**Environmental Management System**

**Application for Incentives**

This is a Microsoft Word form file. To enter information, tab to the desired field and begin typing. For checkboxes, tab to the desired field then enter an “X”.

###### Section I: General Site Information

Name of site:

Name of parent company (if any):

Mailing address for site:

City:       State:       ZIP Code:

Physical address for site:

City:       State: Texas ZIP Code:       County:

List all 11-digit TCEQ Regulated Entity Number(s) (RN) and your primary Customer Number (CN) for your site. If you do not know your RN(s), go to <https://www15.tceq.texas.gov/crpub/> and perform a search for your site.

RNs:

CN:

Salutation:   Mr./    Mrs./    Ms./    Dr.

Contact name:

Title:

Work phone:       Fax:

Work e-mail:

Primary SIC code:       NAICS code:

How many full-time equivalent employees currently work at your site?

Provide a brief description of your site, including what you produce and what your role is in producing it.

###### Section II: Environmental Management System Elements

**Confirm that your EMS includes the following elements:**

1. An **Environmental Policy**.    Yes

**Please attach.**    Attached

1. A list of **Significant Environmental Aspects** (or **Hazards)** specific to your site.    Yes

**Please attach a list.**    List Attached

1. A list of **Environmental Goals (aka Objectives) and Targets** specific to your site.    Yes

**Please attach a list.**     List Attached

1. A clearly assigned chain of **Responsibility** for each element of your    Yes

Environmental Management System.

* You may include an organizational chart to assist reviewers with your application.

1. A methodology for **Documentation of Procedures and Results** of your   Yes

Environmental Management System.

1. A methodology for **Evaluating and Refining** your Environmental Management    Yes

System.

1. Date that your EMS was formally implemented Date:

###### Section III: Independent Assessment of the Site’s EMS

1. Has your EMS been granted certification by an independent third party?    Yes

If yes, when? Date:

Copy of certification is attached?   Attached

1. What standard did the independent assessor use?

(e.g. ISO14001, ISO18001, Responsible Care®)

1. Name of independent assessment organization:
2. Name of lead assessor:       Phone:

###### Section IV: Site’s Environmental History Verification

1. Does your site owe any delinquent fees to TCEQ?    Yes    No

If yes, please explain:

Sites may not participate if delinquent fees and/or penalties are owed to TCEQ.

1. Has an activity at your site resulted in a judgment in an environmental suit brought by the Texas or United States attorney general within the past five years?    Yes    No

If yes, please explain:

1. Has an activity at your site resulted in a criminal conviction or plea for environmentally related violations of criminal laws within the past three years?    Yes    No

If yes, please explain:

###### Section V: Site Commitment Statement

I, (name)      , (title)       of (organization name)      , certify that I have personally examined all information provided in this application, including the attachments, and all information provided is accurate and complete, to the best of my knowledge, and ability to make reasonable inquiry.

This site has a fully functioning environmental management system (EMS), which meets the requirements of the law including systems to maintain compliance with all federal, state, and local requirements; and the EMS will be maintained for the duration of the certification granted.

My site has conducted an objective assessment of its compliance with all federal, state, tribal, or local environmental requirements, and the facility has corrected all identified instances of potential or actual noncompliance issues.

Based on the foregoing compliance assessment and subsequent corrective actions, my site is, to the best of my knowledge, and based on reasonable inquiry, currently in compliance with applicable federal, state, tribal, and local environmental requirements. If Title V air pollution requirements apply, my site’s certification of compliance and associated deviation reports accurately reflect any noncompliance issues found.

I understand that this application does not guarantee incentives, and is contingent upon TCEQ approval of this application.

I am duly authorized to execute this statement on behalf of the site applying for incentives.

Signature:

Date:

Work phone:

Work e-mail:

###### Section VI: Submitting the Application

Please submit your completed application and attachments to TCEQ. You can submit the application by:

1. E-mailing the completed application with attached documents to **p2@tceq.texas.gov.**

OR

2. Mailing the completed application with attached documents to:

EMS Application, MC 118

Texas Commission on Environmental Quality

PO Box 13087

Austin, TX 78711-3087

If you have questions, please contact the EMS Coordinator at 512-239-3143 or e-mail p2@tceq.texas.gov