

EXECUTIVE SUMMARY – ENFORCEMENT MATTER – CASE NO. 40754  
E. H. BROKERAGE, INC. DBA RP Circuitry  
RN101996494  
Docket No. 2010-1896-IHW-E

Page 1 of 2

**Order Type:**

Agreed Order

**Findings Order Justification:**

N/A

**Media:**

IHW

**Small Business:**

Yes

**Location(s) Where Violation(s) Occurred:**

2675B Myrtle Springs Avenue, Dallas, Dallas County

**Type of Operation:**

circuit board manufacturing facility

**Other Significant Matters:**

Additional Pending Enforcement Actions:	None
Past-Due Penalties:	None
Past-Due Fees:	None
Other:	None
Interested Third-Parties:	None

**Texas Register Publication Date:** April 13, 2012

**Comments Received:** None

***Penalty Information***

**Total Penalty Assessed:** \$8,675

**Amount Deferred for Financial Inability to Pay:** N/A

**Total Paid to General Revenue:** \$275

**Total Due to General Revenue:** \$8,400

Payment Plan: 35 payments of \$240 each

**SEP Conditional Offset:** N/A

**Compliance History Classifications:**

Person/CN – Average

Site/RN – Average

**Major Source:** No

**Statutory Limit Adjustment:** None

**Applicable Penalty Policy:** September 2002

***Investigation Information***

**Complaint Date(s):** N/A  
**Date(s) of Investigation:** October 22, 2010  
**Date(s) of NOV(s):** May 13, 2010  
**Date(s) of NOE(s):** November 9, 2010

***Violation Information***

1. Failed to conduct a hazardous waste determination for each solid waste generated [30 TEX. ADMIN. CODE § 335.62 and 40 C.F.R. § 262.11].
2. Failed to update the Notice of Registration (“NOR”) in a timely manner [30 TEX. ADMIN. CODE § 335.6(c)].

***Corrective Actions/Technical Requirements***

**Corrective Action(s) Completed:**

Updated the Facility’s NOR as of November 3, 2010.

**Technical Requirements:**

1. Within 45 days, conduct waste determinations of all waste streams generated in the course of business.
2. Within 60 days, submit written certification to demonstrate compliance.

***Litigation Information***

**Date Petition(s) Filed:** May 9, 2011; June 24, 2011; September 1, 2011  
**Date Answer(s) Filed:** September 19, 2011  
**SOAH Referral Date:** November 1, 2011  
**Hearing Date(s):**  
Preliminary hearing: January 5, 2012  
Evidentiary hearing: May 24, 2012 (scheduled)  
**Settlement Date:** March 19, 2012

***Contact Information***

**TCEQ Attorneys:** Tammy Mitchell, Litigation Division, (512) 239-3400  
Lena Roberts, Litigation Division, (512) 239-3400

**TCEQ SEP Coordinator:** N/A

**TCEQ Enforcement Coordinator:** Clinton Sims, Enforcement Division, (512) 239- 6933

**TCEQ Regional Contact:** Sam Barrett, Dallas/Fort Worth Regional Office, (817) 588-5800

**Respondent:** Bobby Perkins, Director, E.H. BROKERAGE, INC., 1539 Round Table, Dallas, Texas 75247

**Respondent's Attorney:** Casey Bell, Richards Rodriguez & Skeith LLP, 816 Congress Avenue, Suite 1200, Austin, Texas 78701



# Penalty Calculation Worksheet (PCW)

Policy Revision 2 (September 2002)

PCW Revision October 30, 2008

**TCEQ**

<b>DATES</b>	<b>Assigned</b>	18-Nov-2010	<b>Screening</b>	19-Nov-2010	<b>EPA Due</b>	
	<b>PCW</b>	29-Mar-2011				

<b>RESPONDENT/FACILITY INFORMATION</b>						
<b>Respondent</b>	E.H. BROKERAGE, INC. dba RP Circuitry					
<b>Reg. Ent. Ref. No.</b>	RN101996494					
<b>Facility/Site Region</b>	4-Dallas/Fort Worth	<b>Major/Minor Source</b>	Minor			

<b>CASE INFORMATION</b>						
<b>Enf./Case ID No.</b>	40754	<b>No. of Violations</b>	2			
<b>Docket No.</b>	2010-1896-IHW-E	<b>Order Type</b>	1660			
<b>Media Program(s)</b>	Industrial and Hazardous Waste	<b>Government/Non-Profit</b>	No			
<b>Multi-Media</b>		<b>Enf. Coordinator</b>	Clinton Sims			
		<b>EC's Team</b>	Enforcement Team 6			
<b>Admin. Penalty \$ Limit</b>	<b>Minimum</b>	\$0	<b>Maximum</b>	\$10,000		

## Penalty Calculation Section

<b>TOTAL BASE PENALTY (Sum of violation base penalties)</b>	<b>Subtotal 1</b>	<b>\$8,500</b>
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### ADJUSTMENTS (+/-) TO SUBTOTAL 1

Subtotals 2-7 are obtained by multiplying the Total Base Penalty (Subtotal 1) by the indicated percentage.

<b>Compliance History</b>	5.0% Enhancement	<b>Subtotals 2, 3, &amp; 7</b>	<b>\$425</b>
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<b>Notes</b>	Enhancement for one NOV with same/similar violations.
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<b>Culpability</b>	No	0.0% Enhancement	<b>Subtotal 4</b>	<b>\$0</b>
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<b>Notes</b>	The Respondent does not meet the culpability criteria.
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<b>Good Faith Effort to Comply Total Adjustments</b>	<b>Subtotal 5</b>	<b>\$250</b>
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<b>Economic Benefit</b>	0.0% Enhancement*	<b>Subtotal 6</b>	<b>\$0</b>
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Total EB Amounts	\$1,065	*Capped at the Total EB \$ Amount
Approx. Cost of Compliance	\$21,250	

<b>SUM OF SUBTOTALS 1-7</b>	<b>Final Subtotal</b>	<b>\$8,675</b>
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<b>OTHER FACTORS AS JUSTICE MAY REQUIRE</b>	0.0%	<b>Adjustment</b>	<b>\$0</b>
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Reduces or enhances the Final Subtotal by the indicated percentage.

<b>Notes</b>	
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<b>Final Penalty Amount</b>	<b>\$8,675</b>
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<b>STATUTORY LIMIT ADJUSTMENT</b>	<b>Final Assessed Penalty</b>	<b>\$8,675</b>
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<b>DEFERRAL</b>	0.0% Reduction	<b>Adjustment</b>	<b>\$0</b>
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Reduces the Final Assessed Penalty by the indicted percentage. (Enter number only; e.g. 20 for 20% reduction.)

<b>Notes</b>	Deferral not offered for non-expedited settlement.
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<b>PAYABLE PENALTY</b>	<b>\$8,675</b>
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**Screening Date** 19-Nov-2010      **Docket No.** 2010-1896-IHW-E  
**Respondent** E.H. BROKERAGE, INC. dba RP Circuitry  
**Case ID No.** 40754  
**Reg. Ent. Reference No.** RN101996494  
**Media [Statute]** Industrial and Hazardous Waste  
**Enf. Coordinator** Clinton Sims

**PCW**

Policy Revision 2 (September 2002)  
 PCW Revision October 30, 2008

### Compliance History Worksheet

#### >> Compliance History Site Enhancement (Subtotal 2)

Component	Number of...	Enter Number Here	Adjust.
NOVs	Written notices of violation ("NOVs") with same or similar violations as those in the current enforcement action ( <i>number of NOVs meeting criteria</i> )	1	5%
	Other written NOVs	0	0%
Orders	Any agreed final enforcement orders containing a denial of liability ( <i>number of orders meeting criteria</i> )	0	0%
	Any adjudicated final enforcement orders, agreed final enforcement orders without a denial of liability, or default orders of this state or the federal government, or any final prohibitory emergency orders issued by the commission	0	0%
Judgments and Consent Decrees	Any non-adjudicated final court judgments or consent decrees containing a denial of liability of this state or the federal government ( <i>number of judgements or consent decrees meeting criteria</i> )	0	0%
	Any adjudicated final court judgments and default judgments, or non-adjudicated final court judgments or consent decrees without a denial of liability, of this state or the federal government	0	0%
Convictions	Any criminal convictions of this state or the federal government ( <i>number of counts</i> )	0	0%
Emissions	Chronic excessive emissions events ( <i>number of events</i> )	0	0%
Audits	Letters notifying the executive director of an intended audit conducted under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 ( <i>number of audits for which notices were submitted</i> )	0	0%
	Disclosures of violations under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 ( <i>number of audits for which violations were disclosed</i> )	0	0%
<i>Please Enter Yes or No</i>			
Other	Environmental management systems in place for one year or more	No	0%
	Voluntary on-site compliance assessments conducted by the executive director under a special assistance program	No	0%
	Participation in a voluntary pollution reduction program	No	0%
	Early compliance with, or offer of a product that meets future state or federal government environmental requirements	No	0%

**Adjustment Percentage (Subtotal 2)**

#### >> Repeat Violator (Subtotal 3)

**Adjustment Percentage (Subtotal 3)**

#### >> Compliance History Person Classification (Subtotal 7)

**Adjustment Percentage (Subtotal 7)**

#### >> Compliance History Summary

**Compliance History Notes**

Enhancement for one NOV with same/similar violations.

**Total Adjustment Percentage (Subtotals 2, 3, & 7)**

Screening Date 19-Nov-2010

Docket No. 2010-1896-IHW-E

PCW

Respondent E.H. BROKERAGE, INC. dba RP Circuitry

Policy Revision 2 (September 2002)

Case ID No. 40754

PCW Revision October 30, 2008

Reg. Ent. Reference No. RN101996494

Media [Statute] Industrial and Hazardous Waste

Enf. Coordinator Clinton Sims

Violation Number 1

Rule Cite(s) 30 Tex. Admin. Code § 335.62 and 40 CFR § 262.11

Violation Description Failed to conduct a hazardous waste determination for each solid waste generated. Specifically, the Respondent did not conduct a hazardous waste determination for the film, spent etchant, and floor sweep.

Base Penalty \$10,000

>> Environmental, Property and Human Health Matrix

OR	Release	Harm			Percent
		Major	Moderate	Minor	
Actual					25%
Potential	x				

>> Programmatic Matrix

Falsification	Major	Moderate	Minor	Percent
				0%

Matrix Notes Human health or the environment will or could be exposed to pollutants which would exceed levels that are protective of human health or environmental receptors as a result of the violation.

Adjustment \$7,500

\$2,500

Violation Events

Number of Violation Events 3 29 Number of violation days

mark only one with an x	daily	
	weekly	
	monthly	
	quarterly	
	semiannual	
	annual	
	single event	x

Violation Base Penalty \$7,500

Three single events are recommended (one for each waste stream).

Good Faith Efforts to Comply

0.0% Reduction

\$0

	Before NOV	NOV to EDPRP/Settlement Offer
Extraordinary		
Ordinary		
N/A	x	(mark with x)

Notes The Respondent does not meet the good faith criteria for this violation.

Violation Subtotal \$7,500

Economic Benefit (EB) for this violation

Statutory Limit Test

Estimated EB Amount \$1,064

Violation Final Penalty Total \$7,875

This violation Final Assessed Penalty (adjusted for limits) \$7,875

## Economic Benefit Worksheet

**Respondent** E.H. BROKERAGE, INC. dba RP Circuitry  
**Case ID No.** 40754  
**Req. Ent. Reference No.** RN101996494  
**Media** Industrial and Hazardous Waste  
**Violation No.** 1

Percent Interest	Years of Depreciation
5.0	15

Item Description	Item Cost	Date Required	Final Date	Yrs	Interest Saved	Onetime Costs	EB Amount
No commas or \$							

### Delayed Costs

Equipment				0.00	\$0	\$0	\$0
Buildings				0.00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0
Engineering/construction				0.00	\$0	\$0	\$0
Land				0.00	\$0	n/a	\$0
Record Keeping System	\$21,000	22-Oct-2010	27-Oct-2011	1.01	\$1,064	n/a	\$1,064
Training/Sampling				0.00	\$0	n/a	\$0
Remediation/Disposal				0.00	\$0	n/a	\$0
Permit Costs				0.00	\$0	n/a	\$0
Other (as needed)				0.00	\$0	n/a	\$0

Notes for DELAYED costs

Estimated cost to conduct a hazardous waste determination on five waste streams. The Date Required is the investigation date and the Final Date is the estimated compliance date.

### Avoided Costs

ANNUALIZE [1] avoided costs before entering item (except for one-time avoided costs)

Disposal				0.00	\$0	\$0	\$0
Personnel				0.00	\$0	\$0	\$0
Inspection/Reporting/Sampling				0.00	\$0	\$0	\$0
Supplies/equipment				0.00	\$0	\$0	\$0
Financial Assurance [2]				0.00	\$0	\$0	\$0
ONE-TIME avoided costs [3]				0.00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0

Notes for AVOIDED costs

Approx. Cost of Compliance

\$21,000

**TOTAL**

\$1,064

Screening Date 19-Nov-2010

Docket No. 2010-1896-IHW-E

PCW

Respondent E.H. BROKERAGE, INC. dba RP Circuitry

Policy Revision 2 (September 2002)

Case ID No. 40754

PCW Revision October 30, 2008

Reg. Ent. Reference No. RN101996494

Media [Statute] Industrial and Hazardous Waste

Enf. Coordinator Clinton Sims

Violation Number 2

Rule Cite(s) 30 Tex. Admin. Code § 335.6(c)

Violation Description Failed to update the Notice of Registration ("NOR") in a timely manner. Specifically, the NOR did not include current information about the owner of the Facility, waste streams, and waste management areas.

Base Penalty \$10,000

>> Environmental, Property and Human Health Matrix

OR	Release	Harm			Percent
		Major	Moderate	Minor	
	Actual				0%
	Potential				

>> Programmatic Matrix

Matrix Notes	Falsification	Harm			Percent
		Major	Moderate	Minor	
		x			10%
100% of the rule requirement was not met.					

Adjustment \$9,000

\$1,000

Violation Events

Number of Violation Events 1 29 Number of violation days

mark only one with an x

daily	
weekly	
monthly	
quarterly	
semiannual	
annual	
single event	x

Violation Base Penalty \$1,000

One single event is recommended.

Good Faith Efforts to Comply

25.0% Reduction \$250

	Before NOV	NOV to EDPRP/Settlement Offer
Extraordinary		
Ordinary	x	
N/A		(mark with x)

Notes The Respondent came into compliance on November 3, 2010, prior to the November 9, 2010 Notice of Enforcement.

Violation Subtotal \$750

Economic Benefit (EB) for this violation

Statutory Limit Test

Estimated EB Amount \$0

Violation Final Penalty Total \$800

This violation Final Assessed Penalty (adjusted for limits) \$800

## Economic Benefit Worksheet

**Respondent** E.H. BROKERAGE, INC. dba RP Circuitry  
**Case ID No.** 40754  
**Req. Ent. Reference No.** RN101996494  
**Media** Industrial and Hazardous Waste  
**Violation No.** 2

Percent Interest	Years of Depreciation
5.0	15

Item Description	Item Cost	Date Required	Final Date	Yrs	Interest Saved	Onetime Costs	EB Amount
No commas or \$							

### Delayed Costs

Equipment				0.00	\$0	\$0	\$0
Buildings				0.00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0
Engineering/construction				0.00	\$0	\$0	\$0
Land				0.00	\$0	n/a	\$0
Record Keeping System	\$250	22-Oct-2010	3-Nov-2010	0.03	\$0	n/a	\$0
Training/Sampling				0.00	\$0	n/a	\$0
Remediation/Disposal				0.00	\$0	n/a	\$0
Permit Costs				0.00	\$0	n/a	\$0
Other (as needed)				0.00	\$0	n/a	\$0

Notes for DELAYED costs

Estimated cost to update the NOR. The Date Required is the investigation date and the Final Date is the actual compliance date.

### Avoided Costs

**ANNUALIZE [1] avoided costs before entering item (except for one-time avoided costs)**

Disposal				0.00	\$0	\$0	\$0
Personnel				0.00	\$0	\$0	\$0
Inspection/Reporting/Sampling				0.00	\$0	\$0	\$0
Supplies/equipment				0.00	\$0	\$0	\$0
Financial Assurance [2]				0.00	\$0	\$0	\$0
ONE-TIME avoided costs [3]				0.00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0

Notes for AVOIDED costs

Approx. Cost of Compliance

\$250

**TOTAL**

\$0

# Compliance History

Customer/Respondent/Owner-Operator: CN603668963 E.H. BROKERAGE, INC. Classification: AVERAGE Rating: 7.50  
Regulated Entity: RN101996494 RP CIRCUITRY Classification: AVERAGE Site Rating: 7.50  
ID Number(s):  
Location: 2675B MYRTLE SPRINGS AVE, DALLAS, TX, 75220  
TCEQ Region: REGION 04 - DFW METROPLEX  
Date Compliance History Prepared: November 17, 2010  
Agency Decision Requiring Compliance History: Enforcement  
Compliance Period: November 17, 2005 to November 17, 2010  
TCEQ Staff Member to Contact for Additional Information Regarding this Compliance History:  
Name: Clinton Sims Phone: (512) 239-6933

## Site Compliance History Components

1. Has the site been in existence and/or operation for the full five year compliance period? No
2. Has there been a (known) change in ownership/operator of the site during the compliance period? No
3. If Yes, who is the current owner/operator? N/A
4. If Yes, who was/were the prior owner(s)/operator(s)? N/A
5. When did the change(s) in owner or operator occur? N/A
6. Rating Date: 9/1/2010 Repeat Violator: NO

## Components (Multimedia) for the Site:

- A. Final Enforcement Orders, court judgments, and consent decrees of the State of Texas and the federal government.  
N/A
- B. Any criminal convictions of the state of Texas and the federal government.  
N/A
- C. Chronic excessive emissions events.  
N/A
- D. The approval dates of investigations. (CCEDS Inv. Track. No.)
- |   |            |          |
|---|------------|----------|
| 1 | 05/12/2010 | (797078) |
| 2 | 11/08/2010 | (872361) |
- E. Written notices of violations (NOV). (CCEDS Inv. Track. No.)
- |              |  |                 |                          |
|--------------|--|-----------------|--------------------------|
| <b>Date:</b> | <b>05/13/2010</b>  | <b>(797078)</b> |                          |
| Self Report? | NO   |                 | Classification: Moderate |
| Citation:    | 30 TAC Chapter 335, SubChapter C 335.69(a)(1)(A)   |                 |                          |
| Description: | Failure to ensure that a generator may only accumulate hazardous waste on-site for 90 days or less without a permit.   |                 |                          |
| Self Report? | NO   |                 | Classification: Moderate |
| Citation:    | 30 TAC Chapter 335, SubChapter C 335.69(a)(2)<br>40 CFR Chapter 262, SubChapter I, PT 262, SubPT C 262.34(a)   |                 |                          |
| Description: | Failure to properly manage containers containing hazardous waste.  |                 |                          |
| Self Report? | NO   |                 | Classification: Moderate |
| Citation:    | 30 TAC Chapter 335, SubChapter C 335.62  |                 |                          |
| Description: | Failure to ensure that a person who generates a solid waste, as defined in 40 CFR 261.2, must determine if that waste is a hazardous waste.                        |                 |                          |
| Self Report? | NO   |                 | Classification: Moderate |
| Citation:    | 30 TAC Chapter 335, SubChapter A 335.6(c)  |                 |                          |
| Description: | Failure to update the facility's notice of registration with the types and dispositions of the wastes generated at the facility.                                   |                 |                          |
| Self Report? | NO   |                 | Classification: Moderate |
| Citation:    | 30 TAC Chapter 335, SubChapter C 335.69(a)(1)(A)<br>30 TAC Chapter 335, SubChapter E 335.112(a)(8)<br>40 CFR Chapter 265, SubChapter I, PT 265, SubPT I 265.177(c) |                 |                          |
| Description: | Failure to separate incompatible wastes.   |                 |                          |
- F. Environmental audits.  
N/A
- G. Type of environmental management systems (EMSs).  
N/A
- H. Voluntary on site compliance assessment dates.  
N/A
- I. Participation in a voluntary pollution reduction program.  
N/A
- J. Early compliance.  
N/A
- Sites Outside of Texas  
N/A

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# TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



**IN THE MATTER OF AN  
ENFORCEMENT ACTION  
CONCERNING  
E. H. BROKERAGE, INC. DBA  
RP CIRCUITRY;  
RN101996494**

§  
§  
§  
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**BEFORE THE  
TEXAS COMMISSION ON  
ENVIRONMENTAL QUALITY**

## **AGREED ORDER**

**DOCKET NO. 2010-1896-IHW-E**

### **I. JURISDICTION AND STIPULATIONS**

On \_\_\_\_\_, the Texas Commission on Environmental Quality ("Commission" or "TCEQ") considered this agreement of the parties (the "Agreed Order"), resolving an enforcement action regarding E. H. BROKERAGE, INC. DBA RP Circuitry ("Respondent") under the authority of TEX. WATER CODE ch. 7 and TEX. HEALTH & SAFETY CODE ch. 361. The Executive Director of the TCEQ, represented by the Litigation Division, and Respondent, represented by Casey Bell of the law firm Richards, Rodriguez & Skeith LLP, together stipulate that:

1. Respondent operates a circuit board manufacturing facility previously located at 2675B Myrtle Springs Avenue in Dallas, Dallas County, Texas (the "Facility").<sup>1</sup> The Facility involved the management and/or the disposal of industrial solid waste as defined in TEX. HEALTH & SAFETY CODE ch. 361.
2. This Agreed Order is entered into pursuant to TEX. WATER CODE §§ 7.051 and 7.070. The Commission has jurisdiction of this matter pursuant to TEX. WATER CODE § 5.013 because it alleges violations of TEX. HEALTH & SAFETY CODE ch. 361 and TCEQ rules.
3. The Executive Director and Respondent agree that the Commission has jurisdiction to enter this Agreed Order, and that Respondent is subject to the Commission's jurisdiction.
4. The occurrence of any violation is in dispute and the entry of this Agreed Order shall not constitute an admission by Respondent of any violation alleged in Section II ("Allegations"), nor of any statute or rule.
5. An administrative penalty in the amount of eight thousand six hundred seventy-five dollars (\$8,675.00) is assessed by the Commission in settlement of the violations alleged in Section II. Respondent paid two hundred seventy-five dollars (\$275.00) of the administrative penalty. The remaining amount of eight thousand four hundred dollars (\$8,400.00) of the administrative penalty shall be payable in thirty-five (35) monthly payments of two hundred forty dollars (\$240.00) each. The first monthly

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<sup>1</sup> Respondent's business was located at 2675B Myrtle Springs Avenue, Dallas, Texas at the time of the investigations giving rise to this enforcement action. The business is now located at 1535 Round Table Drive, Dallas, Dallas County, Texas.

payment shall be paid within 30 days after the effective date of this Agreed Order. The subsequent payments shall be paid not later than 30 days following the due date of the previous payment. If Respondent fails to timely and satisfactorily comply with the payment requirements of this Agreed Order, including the payment schedule, the Executive Director may, at his option, accelerate the maturity of the remaining installments, in which event the unpaid balance shall become immediately due and payable without demand or notice. In addition, Respondent's failure to meet the payment schedule of this Agreed Order constitutes the failure by Respondent to timely and satisfactorily comply with all of the terms of this Agreed Order.

6. Any notice and procedures which might otherwise be authorized or required in this action are waived in the interest of a more timely resolution of the matter.
7. The Executive Director and Respondent agree on a settlement of the matters addressed in this Agreed Order, subject to final approval in accordance with 30 TEX. ADMIN. CODE § 70.10(a).
8. The Executive Director recognizes that Respondent updated its Notice of Registration ("NOR") as of November 3, 2010.
9. The Executive Director may, without further notice or hearing, refer this matter to the Office of the Attorney General of the State of Texas ("OAG") for further enforcement proceedings if the Executive Director determines that Respondent has not complied with one or more of the terms or conditions contained in this Agreed Order.
10. This Agreed Order shall terminate five years from its effective date or upon compliance with all the terms and conditions set forth in this Agreed Order, whichever is later.
11. The provisions of this Agreed Order are deemed severable, and, if a court of competent jurisdiction or other appropriate authority deems any provision of this Agreed Order unenforceable, the remaining provisions shall be valid and enforceable.

## **II. ALLEGATIONS**

1. During an investigation conducted on October 22, 2010, a TCEQ Dallas/Fort Worth Regional Office investigator documented that Respondent:
  - a. Failed conduct a hazardous waste determination for each solid waste generated, in violation of 30 TEX. ADMIN. CODE § 335.62 and 40 C.F.R. § 262.11. Specifically, Respondent did not conduct a hazardous waste determination for the film, spent etchant, and floor sweep; and
  - b. Failed to update the NOR in a timely manner, in violation of 30 TEX. ADMIN. CODE § 335.6(c). Specifically, the NOR did not include current information about the owner of the Facility, waste streams, and waste management areas.
2. Respondent received notice of the alleged violations on or about November 14, 2010.

## **III. DENIALS**

Respondent generally denies each Allegation in Section II.

#### IV. ORDERING PROVISIONS

1. It is, therefore, ordered by the TCEQ that Respondent pay an administrative penalty as set forth in Section I, Paragraph 5, above. The payment of this administrative penalty and Respondent's compliance with all the terms and conditions set forth in this Agreed Order resolve only the Allegations in Section II. The Commission shall not be constrained in any manner from considering or requiring corrective actions or penalties for violations which are not raised here. Administrative penalty payments shall be made payable to "Texas Commission on Environmental Quality" and shall be sent with the notation "Re: E. H. BROKERAGE, INC. DBA RP Circuitry, Docket No. 2010-1896-IHW-E" to:

Financial Administration Division, Revenues Section  
Texas Commission on Environmental Quality  
Attention: Cashier's Office, MC 214  
P.O. Box 13088  
Austin, Texas 78711-3088

2. Respondent shall undertake the following technical requirements:
  - a. Within 45 days after the effective date of this Agreed Order, Respondent shall conduct waste determinations of all waste streams generated in the course of its business, in accordance with 30 TEX. ADMIN. CODE § 335.62 and 40 C.F.R. § 262.11.
  - b. Within 60 days after the effective date of this Agreed Order, Respondent shall submit written certification to demonstrate compliance with Ordering Provision No. 2.a. The certification required by these Ordering Provisions shall be accompanied by detailed supporting documentation, including photographs, receipts, and/or other records, shall be notarized by a State of Texas Notary Public, and shall include the following certification language:

"I certify under penalty of law that I have personally examined and am familiar with the information submitted and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."
  - c. Respondent shall submit the written certification and supporting documentation necessary to demonstrate compliance with these Ordering Provisions to:

Order Compliance Team  
Texas Commission on Environmental Quality  
Enforcement Division, MC 149A  
P.O. Box 13087  
Austin, Texas 78711-3087

and:

Sam Barrett, Waste Section Manager  
Texas Commission on Environmental Quality  
Dallas/Fort Worth Regional Office  
2309 Gravel Road  
Fort Worth, Texas 76118-6951

3. All relief not expressly granted in this Agreed Order is denied.
4. The duties and provisions imposed by this Agreed Order shall apply to and be binding upon Respondent. Respondent is ordered to give notice of this Agreed Order to personnel who maintain day-to-day control over its operations referenced in this Agreed Order.
5. If Respondent fails to comply with any of the Ordering Provisions in this Agreed Order within the prescribed schedules, and that failure is caused solely by an act of God, war, strike, riot, or other catastrophe, Respondent's failure to comply is not a violation of this Agreed Order. Respondent shall have the burden of establishing to the Executive Director's satisfaction that such an event has occurred. Respondent shall notify the Executive Director within seven days after Respondent becomes aware of a delaying event and shall take all reasonable measures to mitigate and minimize any delay.
6. The Executive Director may grant an extension of any deadline in this Agreed Order or in any plan, report, or other document submitted pursuant to this Agreed Order, upon a written and substantiated showing of good cause. All requests for extensions by Respondent shall be made in writing to the Executive Director. Extensions are not effective until Respondent receives written approval from the Executive Director. The determination of what constitutes good cause rests solely with the Executive Director.
7. This Agreed Order, issued by the Commission, shall not be admissible against Respondent in a civil proceeding, unless the proceeding is brought by the OAG to: (1) enforce the terms of this Agreed Order; or (2) pursue violations of a statute within the Commission's jurisdiction, or of a rule adopted or an order or permit issued by the Commission under such a statute.
8. This Agreed Order may be executed in separate and multiple counterparts, which together shall constitute a single instrument. Any page of this Agreed Order may be copied, scanned, digitized, converted to electronic portable document format ("pdf"), or otherwise reproduced and may be transmitted by digital or electronic transmission, including but not limited to facsimile transmission and electronic mail. Any signature affixed to this Agreed Order shall constitute an original signature for all purposes and may be used, filed, substituted, or issued for any purpose for which an original signature could be used. The term "signature" shall include manual signatures and true and accurate reproductions of manual signatures created, executed, endorsed, adopted, or authorized by the person or persons to whom the signatures are attributable. Signatures may be copied or reproduced digitally, electronically, by photocopying, engraving, imprinting, lithographing, electronic mail, facsimile transmission, stamping, or any other means or process which the Executive Director deems acceptable. In this paragraph exclusively, the terms "electronic transmission" "owner" "person" "writing" and "written" shall have the meanings assigned to them under TEX. BUS. ORG. CODE § 1.002.

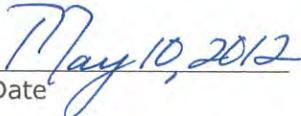
9. Pursuant to 30 TEX. ADMIN. CODE § 70.10(b) and TEX. GOV'T CODE § 2001.142, the effective date of this Agreed Order is the date of hand delivery of this Agreed Order to Respondent, or three days after the date on which the Commission mails a copy of the fully executed Agreed Order to Respondent, whichever is earlier.

**SIGNATURE PAGE**

**TEXAS COMMISSION ON ENVIRONMENTAL QUALITY**

\_\_\_\_\_  
For the Commission

  
\_\_\_\_\_  
For the Executive Director

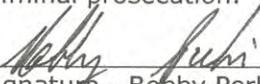
  
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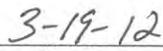
I, the undersigned, have read and understand the attached Agreed Order. I represent that I am authorized to agree to the attached Agreed Order on behalf of E. H. BROKERAGE, INC. DBA RP Circuitry, and I do agree to the terms and conditions specified therein. I further acknowledge that the TCEQ, in accepting payment for the penalty amount, is materially relying on such representation.

I also understand that failure to comply with the Ordering Provisions in this order and/or failure to timely pay the penalty amount may result in:

- A negative impact on compliance history;
- Greater scrutiny of any permit applications;
- Referral of this case to the Attorney General's office for contempt, injunctive relief, additional penalties, and/or attorney fees, or to a collection agency;
- Increased penalties in any future enforcement actions;
- Automatic referral to the Attorney General's Office of any future enforcement actions; and
- TCEQ seeking other relief as authorized by law.

In addition, I understand that any falsification of any compliance documents may result in criminal prosecution.

  
\_\_\_\_\_  
Signature - Bobby Perkins, Director  
E. H. BROKERAGE, INC.

  
\_\_\_\_\_  
Date