

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



A RESOLUTION

concerning the delegation to the Executive Director of the authority to issue certain administrative enforcement orders and field citations and authorizing the Executive Director's sub-delegation of authority relating to administrative enforcement orders and field citations, TCEQ Docket No. **2011-1506-RES**

WHEREAS, the Executive Director is authorized by Section 7.002 of the Texas Water Code, to issue an administrative enforcement order, including an administrative order that assesses penalties or orders corrective measures, upon delegation by the Commission;

WHEREAS, there are a substantial number of administrative enforcement orders and field citations requiring Commission action;

WHEREAS, the Commission strives to improve efficiency and effectiveness in its service to the public in fulfilling its mission to protect human health and the environment;

WHEREAS, businesses, individuals, municipalities, and organizations who are a party to an administrative enforcement order would be well served by the timely issuance of those orders;

WHEREAS, the Commission has equally strong desires to increase the timeliness and effectiveness of the enforcement process while providing the public with a reasonable opportunity to address the Commission;

WHEREAS, the Commission desires to enhance its time management of agenda meetings;

WHEREAS, many of the administrative enforcement orders and field citations which are presented to the Commission for approval are considered to be "agreed" because the parties have reached settlement of the enforcement matter;

WHEREAS, the term “Administrative Enforcement Order” means any commission order enforcing or directing compliance with any provisions; whether of statutes, regulations, permits or licenses, or orders; which the commission is entitled by law to enforce or with which the commission is entitled by law to compel compliance;

WHEREAS, the term “Agreed Order” is defined as an administrative enforcement order where the parties have reached settlement of the enforcement matter, which the Office of Public Interest Counsel has had an opportunity for review, and which has been noticed to the general public in accordance with the provisions of the Texas Administrative Procedures and Texas Register Act and applicable substantive law;

WHEREAS, the Executive Director is authorized to delegate to his staff any authority or duty assigned to him unless the statute, rule or order, assigning the authority or duty specifies otherwise pursuant to Section 5.222 of the Texas Water Code;

WHEREAS, 30 Texas Administrative Code § 3.2 defines Executive Director as the Executive Director of the Commission, or any authorized individual designated to act for the Executive Director;

WHEREAS, to streamline the processing of administrative enforcement orders and field citations the Executive Director may elect to delegate his authority to review, issue, approve, and act on such administrative enforcement orders or field citations to the Deputy Director with responsibility for the particular program involved with certain exceptions;

WHEREAS, notwithstanding this delegation of authority, the Executive Director in his discretion may forward any agreed administrative enforcement order or field citation to the Commission for approval as appropriate, including those orders or citations which require the interpretation or establishment of Commission policy; and

WHEREAS, the Commission does not intend by the issuance of this resolution to repeal or change any duty or authority delegated to the Executive Director by Commission rule.

NOW, THEREFORE, BE IT RESOLVED BY THE TEXAS COMMISSION ON ENVIRONMENTAL QUALITY that the Commission hereby adopts the following procedures for expediting approval of agreed administrative enforcement orders and field citations:

1. The Executive Director or his designee may approve and sign field citations and agreed administrative enforcement orders that meet the following criteria:
 - a. The agreed administrative enforcement order is not a findings order;
 - b. The administrative penalty assessed is \$7,500 or less;

- c. The agreed administrative enforcement order or field citation meets all statutory and administrative criteria;
- d. No new issues affecting Commission policy or involving unprecedented interpretations of existing policy are presented in the agreed administrative enforcement order or field citation;
- e. No objection is raised by the Office of Public Interest Counsel; and
- f. No adverse public comment was received after the order or citation was published in the Texas Register.

2. Notice of the date after which the Executive Director or his designee will act to approve the agreed administrative enforcement order or field citation must be published in the Texas Register and provide a minimum of 30 days for public comment.

3. For orders or field citations issued by the Executive Director or his designee, parties shall be given notice of the approved order or field citation, either personally or by first class mail, in accordance with APA §2001.142. In addition, the chief clerk shall file notice of the Executive Director's order or field citation in the Texas Register not later than ten days after the date on which the order or field citation is approved.

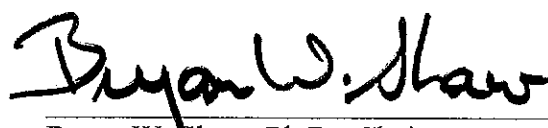
4. The order will become effective on the date of hand-delivery of the Order to the party or three days after the date on which the Commission mails notice of the order to the party, whichever is earlier.

5. The Executive Director will provide regular reports to each Commissioner, the Office of General Counsel, and the Office of Public Interest Counsel describing the administrative enforcement orders and field citations that have been approved, as well as any changes that may occur to his sub-delegation of authority.

IT IS FURTHER RESOLVED that the Commission hereby directs the Executive Director to implement and administer this procedure for approving administrative enforcement orders and field citations as soon as practicable.

Issue date: **AUG 25 2011**

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Bryan W. Shaw, Ph.D., Chairman