

Texas Commission on Environmental Quality

CHECKLIST WORKSHEET

IHW FOCUSED INVESTIGATION FOR GENERATION AND CLASSIFICATION

Reg Ent Name : _____

Date : _____

Add ID _____

Investigator Name _____

Item No.	Description	Answer	Citations	Notes
	SECTION A: Recordkeeping and Reporting			
1	Does the generator keep records of all hazardous waste and industrial solid waste activities in a format which is retrievable and easy to copy?		335.9(a)(1)(B) 335.9(a)(1)(C) 335.9(a)(1)(D) 335.9(a)(1)(E) 335.9(a)(1)(A) 335.9(a)(1)(F) 335.9(a)(1)(G)	
2	Do hazardous waste records reflect the current waste generation status?			
3	If the facility is a registered LQG, does the facility owner/operator use STEERS for submittals of notifications and Annual Waste Summaries? AND If the facility is a registered LQG, does the generator submit complete and correct Annual Waste Summaries on paper forms on or before January 25 of each year? (NB: Electronic AWS reports are due on or before March 1.)		335.9(a)(2) 335.9(a)(2)(A) 335.9(a)(2)(B) 335.8(b)	
4	If the facility is an unregistered generator or an out-of-state primary exporter, have Waste Shipment Summaries (S1) and/or Foreign Waste Shipment Summaries (F1) been completed correctly and submitted on or before the 25th of each month for shipments originating during the previous month and maintained at the facility for at least 3 years?		335.13(b) 335.13(a) 335.13(c) 335.13(d)	
	SECTION B: Notification			
1	Did the generator provide written notification for all municipal hazardous waste streams, industrial solid waste streams and associated waste management units?		335.6(c) 335.6(c)(5)(D) 335.6(c)(5)(C) 335.6(c)(5)(B) 335.6(c)(5)(A) 335.6(c)(1) 335.6(c)(2) 335.6(c)(3) 335.6(c)(4)	
2	Did the regulated entity immediately document any changes or additional information with respect to that originally provided and was written notification provided within 90 days?		335.6(c)	

CHECKLIST WORKSHEET

IHW FOCUSED INVESTIGATION FOR GENERATION AND CLASSIFICATION (Cont)

3	Has the regulated entity provided written notification of the transportation of hazardous or Class 1 waste?		335.6(d)(2) 335.6(d)(1)	
4	For generators and receivers of recyclable materials and non-hazardous recyclable materials subject to the notification requirements of 335.24 or Subchapter H, submitted notification of the recycling activity provided as required under 335.6(h)?		335.6(h)	
	SECTION C: Hazardous Waste Determination/Classification			
1	Has the generator completed hazardous waste determinations for each solid waste generated?		335.62 335.510 335.513 262.11(a) 262.11(b) 262.11(c) 262.11(c)(1) 262.11(c)(2) 262.11(d)	
1A	Listed as a hazardous waste in 40 CFR Part 261, Subpart D?			
1B	Process or materials knowledge? Reference 335.504(3), 262.11(c)(2) AND Process or materials knowledge? 335.511		335.504(3) 335.513 335.511 262.11(c)(2)	
1C	Tested for characterization as identified in Part 261, Subpart C? Reference 335.504(3), 262.11(c)(1)			
2	For each hazardous waste, has the generator determined if it is restricted from land disposal?		335.431(c)(1) 335.431(c)(2) 335.431(c)(3) 268.7(a)(1)	
3	Has the generator document waste classification determinations on all industrial (including non-hazardous) solid wastes?		335.513 335.503(a)(4)(D) 335.503(a)(4)(C) 335.503(a)(4)(B) 335.503(a)(4)(A) 335.503(a)	
3A	Tested for characteristics as identified in Part 261, Subpart C? 335.509			
	SECTION D: Units			
1	For each waste code, did the generator identify a waste management unit?		335.6(c)	
2	For each unit, did the generator identify which wastes they manage?		335.6(c)	
3	Prior to placement of waste in a land-based unit or prior to disposal, did the waste meet all applicable treatment standards?		335.431(c)(3) 335.431(c)(2) 335.431(c)(1) 268.40	

CHECKLIST WORKSHEET

IHW FOCUSED INVESTIGATION FOR GENERATION AND CLASSIFICATION (Cont)

4	If the waste or treatment residue is a restricted waste with a delayed effective date, was it placed in a unit that meets all MTRs (unless it meets a specified listed exclusion)?		335.431(c)(1) 335.431(c)(2) 335.431(c)(3) 268.5(h)(2)	
5	If the answer to #3 or #4 is "no", is the activity authorized by some exemption, a variance from the LDR requirements, a case-by-case extension or some other authorization?			
6	If yes, explain the authorized activity:			
	SECTION E: Additional information			
1	Is a more in-depth investigation warranted? If YES, enter one of the following into the Comment area: a. [Applicable Type code] conducted during this investigation or b. In-depth investigation will be scheduled at a later date.			

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