



Texas Commission on Environmental Quality
Application for Permanent Transfer of Allowance Ownership
Emissions Banking and Trading of Allowances
Form AR-4 (Page 1)

| | | |
|---|------------------------------------|-----------------|
| Seller's Company Information | | |
| Company Name: XYZ Company | | |
| Mailing Address: 123 ABC Avenue | | |
| City: Houston | State: Texas | ZIP Code: 77345 |
| Telephone Number: (713) 111-1111 | | |
| TCEQ Customer Reference Number (CN): CN123456789 | | |
| Seller's Site Information | | |
| Site Name: XYZ Plant | | |
| Street Address: 789 Plant Street | | |
| Nearest City: Houston | County: Harris | ZIP Code: 77345 |
| TCEQ Regulated Entity Reference Number (RN): RN123456789: | | |
| TCEQ Air Account Number (if applicable): HG-1234-X | | |
| Portfolio Number: P1234 | | |
| Seller's Technical Contact Information | | |
| (Mr. <input type="checkbox"/> Ms. <input checked="" type="checkbox"/> Dr. <input type="checkbox"/> Technical Contact Name: Jane Smith | | |
| Title: Engineer | | |
| Mailing Address: 123 ABC Avenue | | |
| City: Houston | State: Texas | ZIP Code: 77345 |
| Telephone Number: (713) 111-2222 | E-Mail Address: Jane.Smith@xyz.com | |
| Seller's Contact Information | | |
| (Mr. <input checked="" type="checkbox"/> Ms. <input type="checkbox"/> Dr. <input type="checkbox"/> Company Contact Name: John Lee | | |
| Title: Air Advisor | | |
| Mailing Address: 123 ABC Avenue | | |
| City: Houston | State: Texas | ZIP Code: 77345 |
| Telephone Number: (713) 111-3333 | E-Mail Address: John.Lee@xyz.com | |
| Buyer's Company Information | | |
| Company Name: ABC Broker, LLC | | |
| Mailing Address: 234 Broker Street | | |
| City: Austin | State: Texas | ZIP Code: 77123 |
| Telephone Number: (512) 222-4444 | | |
| TCEQ Customer Reference Number (CN): N/A | | |



Texas Commission on Environmental Quality
Application for Permanent Transfer of Allowance Ownership
Emissions Banking and Trading of Allowances
Form AR-4 (Page 2)

| Buyer's Site Information | | | | |
|---|--------------------------------------|--|----------------------|------------------------------|
| Site Name: Broker Account – ABC Broker, LLC | | | | |
| Street Address: N/A | | | | |
| Nearest City: N/A | County: N/A | ZIP Code: N/A | | |
| TCEQ Regulated Entity Reference Number (RN): N/A | | | | |
| TCEQ Air Account Number (if applicable): BKR1234 | | | | |
| Portfolio Number: P6789 | | | | |
| Buyers Technical Contact Information | | | | |
| (Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr. <input checked="" type="checkbox"/>) Technical Contact Name: Janis Doe | | | | |
| Title: Senior Project Manager | | | | |
| Mailing Address: 234 Broker Boulevard | | | | |
| City: Austin | State: Texas | ZIP Code: 77123 | | |
| Telephone Number: (512) 222-5555 | | E-Mail Address: J.Doe@ABCBroker.com | | |
| Buyer's Contact Information | | | | |
| (Mr. <input checked="" type="checkbox"/> Ms. <input type="checkbox"/> Dr. <input type="checkbox"/>) Company Contact Name: James Williams | | | | |
| Title: Vice President | | | | |
| Mailing Address: 234 Broker Boulevard | | | | |
| City: Austin | State: Texas | ZIP Code: 77123 | | |
| Telephone Number: (512) 222-6666 | | E-Mail Address: J.Williams@ABCBroker.com | | |
| Stream of Allowance to be Transferred | | | | |
| Seller's Portfolio Number: P1234 | | Buyer's Portfolio Number: P6789 | | |
| Starting Control Period | Pollutant | Region | Amount Traded (tons) | Purchase Price (\$/ton/year) |
| 2013 - 2014 | NO _x | East | 1.0 | \$95,000 |
| Authorized Signature | | | | |
| <p>I, John Lee, hereby certify that XYZ Company is the owner of the above listed allowances, these allowances may be permanently transferred to the listed buyer, and that information entered in this application is correct to the best of my knowledge and belief.</p> | | | | |
| <i>John Lee</i> _____ Signature | <i>Air Advisor</i> _____ Title | <i>3/30/2013</i> _____ Date | | |
| Note: Original signature in ink is required. | | | | |