

# Emissions Reduction Incentive Grant Supplemental Activity Application Form New Purchase or Lease of Heavy Duty Vehicles and Equipment

TCEQ-10430a  
Version 12.01



Applications will be considered void if language is altered.  
The completed form should be attached to the Project Application Form TCEQ-10430.  
This application form is only valid for the application period ending November 30, 2012, or subsequent end date if the application period is extended.

## **Application Deadline:**

ERIG applications will be accepted until 5 p.m. Central Time on November 30, 2012, unless extended to a later date by the TCEQ.

Texas Commission on Environmental Quality  
Air Quality Division  
Implementation Grants Section (Incentive Grants), MC-204  
P.O. Box 13087  
Austin, TX 78711-3087

<http://www.terpgrants.org>



## New Purchase or Lease of Heavy Duty Vehicles and Equipment

### Form 1 - General Information

Attach this form to the Project Application Form TCEQ-10430

**1. Emission source**

<b>Mark with an X below the new purchase or lease emissions source for this application. (Only one source allowed.)</b>	
On-Road Heavy Duty Vehicles:	
Non-Road Heavy Duty Equipment:	
Marine Vessels:	
Locomotives:	
Stationary Equipment:	

**2. Has the vehicle or equipment already been acquired?** (Mark the appropriate box with an X.)

Yes:		No:	
Date of acquisition:			
Expected # of months to complete the acquisition:			

**3. Has this activity been included in a previous application to TCEQ?** (Mark the appropriate box with an X.)

Yes:		No:	
If the box above was marked yes, then please explain and include the date:			

**Locomotive only**

**4. What will be the primary use of the locomotive?** (Mark the appropriate box with an X. Refer to the instructions in the RFGA for details on the options.)

Switchyard, Short Haul, etc.:		Industrial Rail – Limited use by an industrial or commercial entity. (see instructions in the RFGA for detailed definition):	
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**5. The new locomotive will be what type?** (Mark the appropriate box with an X.)

Genset/Hybrid:		Regular One Engine Switcher:	
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**6. Briefly explain the use of the locomotive below to verify the category marked in Item 4. above.**

**Non-Road Heavy-Duty Equipment Only**

**7. Is the non-road equipment used for natural gas recovery purposes AND the applicant chooses not to commit to operate the equipment at least 75% of the annual use in the areas designated in the application.?** (Mark the appropriate box with an X.)

Note: Non-Road equipment used for natural gas recovery purposes, attach the Supplemental 2: Non-Road Heavy-Duty Equipment Used for Gas Recovery Purposes.

Yes:		No:	
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**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 2.1**

**Baseline Vehicle/Equipment Used for Comparison**

Description	Activity Number:				
Vehicle/Equipment Description:					
Vehicle/Equipment Make:					
Vehicle/Equipment Model or Model Number:					
Vehicle/Equipment Year:					
Vehicle/Equipment Identification Number:					
Gross Vehicle Weight Rating: (On-Road only)					
Engine Make:					
Engine Model or Model Number:					
Engine Identification Number:					
Engine Year:					
Engine Horsepower:					
Fuel Type:					
Engine Family Code: (12-digit emissions code)					
Certified NOx Emissions: (g/bhp-hr)					

**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 2.2**

**Baseline Vehicle/Equipment Used for Comparison**

Description	Activity Number:				
Vehicle/Equipment Description:					
Vehicle/Equipment Make:					
Vehicle/Equipment Model or Model Number:					
Vehicle/Equipment Year:					
Vehicle/Equipment Identification Number:					
Gross Vehicle Weight Rating: (On-Road only)					
Engine Make:					
Engine Model or Model Number:					
Engine Identification Number:					
Engine Year:					
Engine Horsepower:					
Fuel Type:					
Engine Family Code: (12-digit emissions code)					
Certified NOx Emissions: (g/bhp-hr)					

**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 2.3**

**Baseline Vehicle/Equipment Used for Comparison**

Description	Activity Number:				
Vehicle/Equipment Description:					
Vehicle/Equipment Make:					
Vehicle/Equipment Model or Model Number:					
Vehicle/Equipment Year:					
Vehicle/Equipment Identification Number:					
Gross Vehicle Weight Rating: (On-Road only)					
Engine Make:					
Engine Model or Model Number:					
Engine Identification Number:					
Engine Year:					
Engine Horsepower:					
Fuel Type:					
Engine Family Code: (12-digit emissions code)					
Certified NOx Emissions: (g/bhp-hr)					

**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 2.4**

**Baseline Vehicle/Equipment Used for Comparison**

Description	Activity Number:				
Vehicle/Equipment Description:					
Vehicle/Equipment Make:					
Vehicle/Equipment Model or Model Number:					
Vehicle/Equipment Year:					
Vehicle/Equipment Identification Number:					
Gross Vehicle Weight Rating: (On-Road only)					
Engine Make:					
Engine Model or Model Number:					
Engine Identification Number:					
Engine Year:					
Engine Horsepower:					
Fuel Type:					
Engine Family Code: (12-digit emissions code)					
Certified NOx Emissions: (g/bhp-hr)					

**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 3.1**

**New Vehicle/Equipment Information**

Description	Activity Number:				
Vehicle/Equipment Description:					
Vehicle/Equipment Make:					
Vehicle/Equipment Model or Model Number:					
Vehicle/Equipment Year:					
Vehicle/Equipment Identification Number:					
Gross Vehicle Weight Rating: (On-Road only)					
Engine Make:					
Engine Model or Model Number:					
Engine Identification Number:					
Engine Year:					
Engine Horsepower:					
Fuel Type:					
Engine Family Code: (12-digit emissions code)					
Certified NOx Emissions: (g/bhp-hr)					

**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 3.2**

**New Vehicle/Equipment Information**

Description	Activity Number:				
Vehicle/Equipment Description:					
Vehicle/Equipment Make:					
Vehicle/Equipment Model or Model Number:					
Vehicle/Equipment Year:					
Vehicle/Equipment Identification Number:					
Gross Vehicle Weight Rating: (On-Road only)					
Engine Make:					
Engine Model or Model Number:					
Engine Identification Number:					
Engine Year:					
Engine Horsepower:					
Fuel Type:					
Engine Family Code: (12-digit emissions code)					
Certified NOx Emissions: (g/bhp-hr)					

**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 3.3**

**New Vehicle/Equipment Information**

Description	Activity Number:				
Vehicle/Equipment Description:					
Vehicle/Equipment Make:					
Vehicle/Equipment Model or Model Number:					
Vehicle/Equipment Year:					
Vehicle/Equipment Identification Number:					
Gross Vehicle Weight Rating: (On-Road only)					
Engine Make:					
Engine Model or Model Number:					
Engine Identification Number:					
Engine Year:					
Engine Horsepower:					
Fuel Type:					
Engine Family Code: (12-digit emissions code)					
Certified NOx Emissions: (g/bhp-hr)					

**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 3.4**

**New Vehicle/Equipment Information**

Description	Activity Number:				
Vehicle/Equipment Description:					
Vehicle/Equipment Make:					
Vehicle/Equipment Model or Model Number:					
Vehicle/Equipment Year:					
Vehicle/Equipment Identification Number:					
Gross Vehicle Weight Rating: (On-Road only)					
Engine Make:					
Engine Model or Model Number:					
Engine Identification Number:					
Engine Year:					
Engine Horsepower:					
Fuel Type:					
Engine Family Code: (12-digit emissions code)					
Certified NOx Emissions: (g/bhp-hr)					

**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Financial Data**

**Form 4.1**

**1. Incremental Cost / Cost to Applicant Calculation**

(Refer to section 1.5 of the RFGA for explanations of incremental cost and global positioning system under Eligible Cost)

Description	Activity Number:				
Capital Cost - Vehicle/Equipment Purchase (A):					
Global Positioning System (B):					
Price estimate for the baseline vehicle/equipment (C): <small>(including taxes and fees)</small>					
Other Financial Incentives and Tax Credits (D):					
Incremental Cost / Cost to Applicant (E): <small>(A + B - C - D = E)</small>					

**2. Maximum Grant Amount Calculation**

(Refer to RFGA for explanation of maximum grant amount calculation, Section 1.5, Maximum Eligible Grant Amount)

Description	Activity Number:				
Incremental Cost / Cost to Applicant (E):					
Enter the maximum % (F):					
Maximum Eligible Grant Amount (G): <small>(E x F = G)</small>					
Grant Amount Requested for This Activity:					

**3. Other Financial Incentives and Tax Credits**

Explain any other financial assistance to be used for the purchase or lease, such as tax credits or deductions, other grants, or any other public financial assistance. This does not include the amount you finance through a bank or other third-party to purchase the equipment.	
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**4. Financing or Lease Terms**

Reimbursement will not be authorized for pre-payment of future periodic financing or lease payments. A grant recipient will need to either ensure that sufficient payments will be made prior to the end of the grant term to use the grant amount or structure the financing or lease agreement to allow for an up-front payment in return for lower periodic payments. CHOOSE ONE:

<b>Capital Lease Financing</b> (equipment will be purchased and retained at the end of the lease). This option is limited to capital lease agreements with a binding commitment for the applicant to take ownership of the equipment. An option to buy at the end of the lease term, without this binding commitment, will not be considered under this option.	<b>Regular Financing:</b>	<b>Cash Purchase:</b>	<b>Lease:</b> <small>Equipment will be returned at the end of the lease. The lease must extend for at least the Activity Life.</small>
Explain financing or lease terms, including the length (months) of the lease or financing:			

**5. Procurement Process**

Explain the process used (or to be used) to select the dealer and the vehicle. Three (3) bids or quotes are required to be attached to this grant application. If only one bid or quote was obtained, explain why the vehicle is only available from one source.	
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**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Financial Data**

**Form 4.2**

**1. Incremental Cost / Cost to Applicant Calculation**

(Refer to section 1.5 of the RFGA for explanations of incremental cost and global positioning system under Eligible Cost)

Description	Activity Number:				
Capital Cost - Vehicle/Equipment Purchase (A):					
Global Positioning System (B):					
Price estimate for the baseline vehicle/equipment (C): <small>(including taxes and fees)</small>					
Other Financial Incentives and Tax Credits (D):					
Incremental Cost / Cost to Applicant (E): <small>(A + B - C - D = E)</small>					

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(Refer to RFGA for explanation of maximum grant amount calculation, Section 1.5, Maximum Eligible Grant Amount)

Description	Activity Number:				
Incremental Cost / Cost to Applicant (E):					
Enter the maximum % (F):					
Maximum Eligible Grant Amount (G): <small>(E x F = G)</small>					
Grant Amount Requested for This Activity:					

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Explain any other financial assistance to be used for the purchase or lease, such as tax credits or deductions, other grants, or any other public financial assistance. This does not include the amount you finance through a bank or other third-party to purchase the equipment.	
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Explain the process used (or to be used) to select the dealer and the vehicle. Three (3) bids or quotes are required to be attached to this grant application. If only one bid or quote was obtained, explain why the vehicle is only available from one source.	
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**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Financial Data**

**Form 4.3**

**1. Incremental Cost / Cost to Applicant Calculation**

(Refer to section 1.5 of the RFGA for explanations of incremental cost and global positioning system under Eligible Cost)

Description	Activity Number:				
Capital Cost - Vehicle/Equipment Purchase (A):					
Global Positioning System (B):					
Price estimate for the baseline vehicle/equipment (C): <small>(including taxes and fees)</small>					
Other Financial Incentives and Tax Credits (D):					
Incremental Cost / Cost to Applicant (E): <small>(A + B - C - D = E)</small>					

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(Refer to RFGA for explanation of maximum grant amount calculation, Section 1.5, Maximum Eligible Grant Amount)

Description	Activity Number:				
Incremental Cost / Cost to Applicant (E):					
Enter the maximum % (F):					
Maximum Eligible Grant Amount (G): <small>(E x F = G)</small>					
Grant Amount Requested for This Activity:					

**3. Other Financial Incentives and Tax Credits**

Explain any other financial assistance to be used for the purchase or lease, such as tax credits or deductions, other grants, or any other public financial assistance. This does not include the amount you finance through a bank or other third-party to purchase the equipment.	
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Explain financing or lease terms, including the length (months) of the lease or financing:			

**5. Procurement Process**

Explain the process used (or to be used) to select the dealer and the vehicle. Three (3) bids or quotes are required to be attached to this grant application. If only one bid or quote was obtained, explain why the vehicle is only available from one source.	
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**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Financial Data**

**Form 4.4**

**1. Incremental Cost / Cost to Applicant Calculation**

(Refer to section 1.5 of the RFGA for explanations of incremental cost and global positioning system under Eligible Cost)

Description	Activity Number:				
Capital Cost - Vehicle/Equipment Purchase (A):					
Global Positioning System (B):					
Price estimate for the baseline vehicle/equipment (C): <small>(including taxes and fees)</small>					
Other Financial Incentives and Tax Credits (D):					
Incremental Cost / Cost to Applicant (E): <small>(A + B - C - D = E)</small>					

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(Refer to RFGA for explanation of maximum grant amount calculation, Section 1.5, Maximum Eligible Grant Amount)

Description	Activity Number:				
Incremental Cost / Cost to Applicant (E):					
Enter the maximum % (F):					
Maximum Eligible Grant Amount (G): <small>(E x F = G)</small>					
Grant Amount Requested for This Activity:					

**3. Other Financial Incentives and Tax Credits**

Explain any other financial assistance to be used for the purchase or lease, such as tax credits or deductions, other grants, or any other public financial assistance. This does not include the amount you finance through a bank or other third-party to purchase the equipment.	
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Explain financing or lease terms, including the length (months) of the lease or financing:			

**5. Procurement Process**

Explain the process used (or to be used) to select the dealer and the vehicle. Three (3) bids or quotes are required to be attached to this grant application. If only one bid or quote was obtained, explain why the vehicle is only available from one source.	
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**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 5.1**

**Activity Life and Area**

Description	Activity Number:				
<b>Designated Activity Life:</b>					
<b>% of Annual Usage Austin Area:</b> (Bastrop, Caldwell, Hays, Travis, and Williamson Counties)					
<b>% of Annual Usage Beaumont-Port Arthur Area:</b> (Hardin, Jefferson, and Orange Counties)					
<b>% of Annual Usage Corpus Christi Area:</b> (Nueces and San Patricio Counties)					
<b>% of Annual Usage Dallas-Fort Worth Area:</b> (Collin, Dallas, Denton, Ellis, Hood, Johnson, Kaufman, Parker, Rockwall, Tarrant, and Wise Counties)					
<b>% of Annual Usage Houston-Galveston-Brazoria Area:</b> (Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, and Waller Counties)					
<b>% of Annual Usage San Antonio Area:</b> (Bexar, Comal, Guadalupe, and Wilson Counties)					
<b>% of Annual Usage Tyler-Longview Area:</b> (Gregg, Harrison, Rusk, Smith, and Upshur Counties)					
<b>% of Annual Usage • Victoria Area:</b> (Victoria County)					
<b>% of Annual Usage Spent on Designated Highways and Roadways:</b> (On-Road Only)					

**Travel Description:** Please describe your typical route, including the following: a) Daily, weekly or monthly trips; b) Cities traveled between; and c) Highways traveled. This information must match the percentage and areas marked on Form 5.1

**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 5.2**

**Activity Life and Area**

Description	Activity Number:				
<b>Designated Activity Life:</b>					
<b>% of Annual Usage Austin Area:</b> (Bastrop, Caldwell, Hays, Travis, and Williamson Counties)					
<b>% of Annual Usage Beaumont-Port Arthur Area:</b> (Hardin, Jefferson, and Orange Counties)					
<b>% of Annual Usage Corpus Christi Area:</b> (Nueces and San Patricio Counties)					
<b>% of Annual Usage Dallas-Fort Worth Area:</b> (Collin, Dallas, Denton, Ellis, Hood, Johnson, Kaufman, Parker, Rockwall, Tarrant, and Wise Counties)					
<b>% of Annual Usage Houston-Galveston-Brazoria Area:</b> (Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, and Waller Counties)					
<b>% of Annual Usage San Antonio Area:</b> (Bexar, Comal, Guadalupe, and Wilson Counties)					
<b>% of Annual Usage Tyler-Longview Area:</b> (Gregg, Harrison, Rusk, Smith, and Upshur Counties)					
<b>% of Annual Usage • Victoria Area:</b> (Victoria County)					
<b>% of Annual Usage Spent on Designated Highways and Roadways:</b> (On-Road Only)					

**Travel Description:** Please describe your typical route, including the following: a) Daily, weekly or monthly trips; b) Cities traveled between; and c) Highways traveled. This information must match the percentage and areas marked on Form 5.2.

**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 5.3**

**Activity Life and Area**

Description	Activity Number:				
<b>Designated Activity Life:</b>					
<b>% of Annual Usage Austin Area:</b> (Bastrop, Caldwell, Hays, Travis, and Williamson Counties)					
<b>% of Annual Usage Beaumont-Port Arthur Area:</b> (Hardin, Jefferson, and Orange Counties)					
<b>% of Annual Usage Corpus Christi Area:</b> (Nueces and San Patricio Counties)					
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<b>% of Annual Usage Spent on Designated Highways and Roadways:</b> (On-Road Only)					

**Travel Description:** Please describe your typical route, including the following: a) Daily, weekly or monthly trips; b) Cities traveled between; and c) Highways traveled. This information must match the percentage and areas marked on Form 5.3.

**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 5.4**

**Activity Life and Area**

Description	Activity Number:				
<b>Designated Activity Life:</b>					
<b>% of Annual Usage Austin Area:</b> (Bastrop, Caldwell, Hays, Travis, and Williamson Counties)					
<b>% of Annual Usage Beaumont-Port Arthur Area:</b> (Hardin, Jefferson, and Orange Counties)					
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<b>% of Annual Usage Spent on Designated Highways and Roadways:</b> (On-Road Only)					

**Travel Description:** Please describe your typical route, including the following: a) Daily, weekly or monthly trips; b) Cities traveled between; and c) Highways traveled. This information must match the percentage and areas marked on Form 5.4.

**New Purchase or Lease of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 6**

**Usage**

**1. Usage Commitment Option - List either Option 1 or Option 2 as described in section 1.11 of the RFGA:**  
 (By selecting Option 2, the applicant will be required to install GPS on the Vehicle/Equipment unless this requirement is waived by the TCEQ)


**2. Annual Usage** - Is the annual usage listed below in miles, gallons, or hours?

**List the annual usage for each activity**

Description	Activity Number:									
Annual Usage:										

**List the annual usage for each activity**

Description	Activity Number:									
Annual Usage:										

**List the annual usage for each activity**

Description	Activity Number:									
Annual Usage:										

**List the annual usage for each activity**

Description	Activity Number:									
Annual Usage:										

## **New Purchase or Lease of On-Road Heavy Duty Vehicles**

**This page should be used as a checklist before grant application submission.**

**Below is a list of all the pages that require a signature. ALL forms must include original signatures in all signature blocks and should be signed in BLUE ink. No photocopies, faxes, scanned copies, or other copies of required signatures will be accepted. If a signature page is missing or has been altered, the application will not be accepted.**

### **SIGNATURE PAGES**

Signature Page (Authorized Official); Child Support Certification (Authorized Official); W-9 Form (Authorized Official)

### **The following documentation, if applicable, is required with the application**

1. A detailed original price quote plus two other bids or quotes for the purchase of the vehicle/equipment/engine if it has not yet been purchased.
2. If the vehicle/equipment/engine has already been purchased, provide the purchase, lease, or financing agreement and/or invoice showing the price paid. The purchase may not have been made before the opening of the grant application period.

### **ADDITIONAL FORMS TO INCLUDE**

W-9 Form (Request for Taxpayer Identification Number and Certification Form)

Waiver Request (if applicable)

Photocopy of state or federal issued identification card (if applicant is individual or sole proprietor)

Supplemental Form 1: Stationary Equipment (if applicable)

Supplemental Form 2: Non-Road Heavy-Duty Equipment Used for Gas Recovery Purposes (if applicable)