

New Technology Implementation Grant (NTIG) Project Application Form

TCEQ-20686

Solicitation No. 582-14-41420



Project eligibility criteria and types of purchases eligible under this program are explained in the TCEQ's NTIG Request for Grant Applications (RFGA). The RFGA can be found at www.terpgrants.org. Applicants should review the RFGA before completing this application.

Applications with altered language or forms will be void.

HOW TO APPLY:

1. Submit a response to 'Project Application' TCEQ-20574 (a, b, or c), in a format of your own choosing and according to instructions. The application must be signed and dated. Separate submissions are required for each proposed project.
2. Submit Excel 'Project Application Form' TCEQ-20686. Separate submissions are required for each proposed project.
3. Submit Excel 'Project Budget Form' TCEQ-20687. Separate budget forms are required for each proposed project.
4. Complete an IRS W-9 form. We believe this to be a taxable grant. Please consult with your tax advisor.
5. Submit one copy with an original signature and one electronic copy of the completed application to the address below. Applications may also be hand delivered to TCEQ at the reception desk, Rm. 2202, 2nd floor of Building F on or before the deadline listed below.

PUBLIC INFORMATION NOTICES

If you have questions on how to fill out this form or about the Texas Emissions Reduction Plan program, please contact us at 1-800-919-TERP (8377).

Upon submission, all proposals become the property of the State of Texas and as such become subject to the Texas Public Information Act, Texas Government Code, Chapter 552.

Personal Information Policy: Individuals are entitled to request and review their personal information the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, contact the TCEQ TERP program at 1-800-919-TERP (8377).

Application Deadline:

NTIG applications will be accepted until 5 p.m. CST on June 27, 2014, unless extended to a later date by the TCEQ.

Implementation Grants Section (NTIG), MC-204
Texas Commission on Environmental Quality
Air Quality Division
P.O. Box 13087
Austin, TX 78711-3087

www.terpgrants.org



TCEQ USE ONLY
Application #

TCEQ USE ONLY
Contract #

**Texas Commission on Environmental Quality (TCEQ)
TEXAS EMISSIONS REDUCTION PLAN (TERP)
NEW TECHNOLOGY IMPLEMENTATION GRANT APPLICATION**

FORM 1: Signature Page

1. Applicant/Company Name:	
<i>This block is for information purposes only. The legal name entered on Form 2 will apply for contracting purposes, regardless of the name entered in this block.</i>	

2. Project Category? Please only check ONE.

	Advanced Clean Energy Project:	<input type="checkbox"/>
	Electricity Storage Project:	<input type="checkbox"/>
	New Technology Project:	<input type="checkbox"/>

3. Project Grant Amount (must match Budget)

Total Grant Amount Requested: (Cannot exceed 50% of Total Project Cost.)	
Total Project Cost (including other funding sources):	

4. Physical Address

Physical Address of Proposed Project Site:	
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Authorized Official: Applicant or an employee of the applicant authorized to apply for the grant

I hereby certify that to the best of my knowledge and belief all information provided in this application and any attachments is true and correct. If the application was prepared by a third party, I certify that I have read the complete application after all forms and information were completed, I agree with the information provided, and the date provided below is the date I signed the form. I further understand that prior to incorporating these forms and information into a grant contract the data and information may be revised by the TCEQ for accuracy and that our acceptance of a grant contract will constitute agreement with those revisions. Failure to sign the application or signing it with a false statement may make the submitted offer or any resulting contracts voidable.

Signature of Authorized Official:	
<i>PLEASE SIGN IN BLUE INK. Faxed or photocopied signature pages will not be accepted. The application, with an original signature, must be received by the application deadline or the application will not be accepted.</i>	
Printed Name of Authorized Official:	
Authorized Official's Title:	
Date of Signature: (must be the date the form was signed in ink)	

Intentional falsification of these forms will be prosecuted to the extent allowed under the law and may be used as an adverse factor in future grant selection decisions.

If you have questions on how to fill out this form or about the Texas Emissions Reduction Plan (TERP) program, please contact us at 1-800-919-TERP (8377).

Upon submission, all proposals become the property of the State of Texas and as such become subject to the Texas Public Information Act, V.T.C.S. art. 6252-17a.

Personal Information Policy: Individuals are entitled to request and review their personal information that the agency gathers on its forms. Individuals may also have any errors in their information corrected. To review such information, contact the TCEQ TERP program at 1-800-919-TERP (8377).

Do NOT alter forms. Altered forms will be void.

This form is only valid for the application period ending June 27, 2014.

NEW TECHNOLOGY IMPLEMENTATION GRANT APPLICATION

FORM 2: Applicant Information

1. Applicant/Company Legal Name for Contracting Purposes:			
2. Payee Identification Number (PIN): Indicate the type of number you are providing to be used for your PIN. Provide one of the following numbers. Do <u>not</u> complete both A and B.			
A. Social Security Number (SSN) (Only complete if you are applying as an individual.)			
B. Federal Employer's Identification (FEI) Number: (Only complete if you are applying as a company or other entity (including DBA's))			
3. Mark the drop down box yes or no, if the applicant is currently reporting any Texas tax to the Comptroller's Office other than unemployment (e.g., sales tax, franchise tax).			
If the applicant is currently reporting any Texas tax to the Comptroller's Office other than unemployment (e.g., sales tax, franchise tax) please enter in the Texas Taxpayer Number.			
4. Mark the drop down box yes or no, if you plan to assign your grant payments to a third party.			
Mailing Address for Grant Payments: Include individual or entity name, address, city, state, and zip code.			
Name:		Address:	
City:		State:	Zip Code:
5. Ownership Codes: Check only one (1) ownership type that applies to this application and matches the legal name.			
I - Individual Recipient (not owning a business):		L - Limited Partnership:	
S - Sole Ownership (individual owning a business):		Texas File #:	
Owner's Name:		T - Texas or Limited Liability Corporation:	
Owner's SSN:		Texas Charter #:	
P - Partnership:		A - Professional Association:	
Name:		Texas Charter #:	
SSN/FEI#:		C - Professional Corporation:	
Name:		Texas Charter #:	
SSN/FEI#:		O - Out-of-State Corporation:	
J - Joint Venture:		U - State Agency/University:	
G - Governmental Entity:		R - Foreign (outside of USA):	
N - Other (explain):			
6. Describe Applicant's Primary Business Type:			
Attach any materials (ex: brochures, website address) that provide general information about your business.			

NEW TECHNOLOGY IMPLEMENTATION GRANT APPLICATION

FORM 3: Contact Information

1. Authorized Official: Applicant or an employee of the applicant authorized to apply for the grant.									
Name and Title:									
Prefix:		First:		MI:		Last:		Suffix:	
Title:									
Mailing Address:									
Street Line 1:									
Street Line 2:									
City:		State:		Zip code:					
Physical Address: (For express delivery of legal documents)									
Street Line 1:									
Street Line 2:									
City:		State:		Zip code:					
Primary Phone:				Secondary Phone:					
Fax Number:				E-Mail Address:					
2. Designated Project Representative: Mark the box with an X if the Designated Project Representative is the same as Authorized Official. Note: if they are the same, you do not need to complete the Designated Project Representative information.									
Name and Title:									
Prefix:		First:		MI:		Last:		Suffix:	
Title:									
Mailing Address:									
Street Line 1:									
Street Line 2:									
City:		State:		Zip code:					
Physical Address: (For express delivery of legal documents)									
Street Line 1:									
Street Line 2:									
City:		State:		Zip code:					
Primary Phone:				Secondary Phone:					
Fax Number:				E-Mail Address:					
3. Designated Location for Records Access and Review by the TCEQ or its Representative: (Must be a Physical Address)									
Street Line 1:									
Street Line 2:									
City:		State:		Zip code:					

NEW TECHNOLOGY IMPLEMENTATION GRANT APPLICATION

FORM 4: Certifications

All individuals or business entities, including sole proprietors must complete this section, regardless if child support obligations apply to the applicant.

Certification Regarding Child Support Obligations

Under Section 231.006, Texas Family Code, a child support obligor who is more than 30 days delinquent in paying child support and a business entity in which the obligor is a sole proprietor, partner, shareholder, or owner with an ownership interest of at least 25% is not eligible to receive a state-funded grant or loan. All applicants must include in the application the name and social security number of the individual or sole proprietor and each partner, shareholder, or owner with an ownership interest of 25% of the business entity submitting the application.

Please check one of the options below. If the first option is checked, list the Name and Social Security Numbers of any individual who owns 25% or more of the business entity submitting this application, regardless if child support obligations apply to that individual.

Check if the applicant is an individual or sole proprietorship, or if one or more individuals own 25% or more of the business entity. List the names and social security numbers (SSN) below.		
Name:		SSN:
Check if there is not a single individual who owns 25% or more of the business.		
Check if the applicant is not an individual or business entity.		

I certify that to the best of my knowledge and belief that the individual or business entity submitting this application is eligible to receive a grant. I acknowledge that the grant contract may be terminated and any payments withheld if this certification is inaccurate.

Authorized Official Signature: (Person signing the application) (Please sign and date in BLUE ink)	
Date: (in ink)	

NEW TECHNOLOGY IMPLEMENTATION GRANT APPLICATION

FORM 5: General Certifications and Assurances

This section serves to assure the TCEQ that you understand and agree to the statements. These provisions relate to the basic contract form which will be in force between the applicant and the TCEQ upon award of a grant. TCEQ urges applicants to download a copy of the example grant contract from www.terpgrants.org and review it so that any questions can be discussed early in the application review process. By signing this application, the applicant assures and certifies that:

1. **Legal Authority.** It possesses legal authority in the State of Texas to apply for the grant and that the applicant's governing body has authorized the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the authorized official to act in connection with the application and to provide such additional information as may be required.

2. **Uniform Grant Management Standards.** It will comply the Uniform Grant Management Standards (UGMS), adopted by the Texas Office of the Governor, in accordance with Chapter 783, Texas Government Code.

3. **Procurement of Goods and Services.** In procuring goods and services, it will comply with Part II. Cost Principles for State and Local Governments and Other Affected Parties and Part III. State Uniform Administrative Requirements for Grants of the UGMS. All procurement transactions will be conducted in a manner providing full and open competition.

4. **Historically Underutilized Businesses (HUBs).** Qualified HUBs, as defined and designated under state law, shall have the maximum practicable opportunity to participate in the performance of the work arising out of this project.

5. **Conflict of Interest.** Applicant has not given, offered to give, nor intends to give at anytime hereafter, any economic opportunity, future employment, gift, loan gratuity, special discount, trip, favor, or service to a public servant in connection with the submitted application.

Under Government Code § 2155.004, no person involved in the preparation of the Request for Grant Applications may have any financial interest in this application. If applicant is not eligible, then any contract resulting from this application shall be immediately terminated. Furthermore, under Section 2155.004, Government Code, the applicant certifies that the individual or business entity named in this bid or contract is not ineligible to receive the specified contract and acknowledges that this contract may be terminated and payment withheld if this certification is inaccurate.

6. **Nondiscrimination.** It will comply with all State and Federal statutes relating to nondiscrimination.

7. **Grant Administration.** It will maintain an appropriate grant administration system to ensure that all terms, conditions, and specifications of the grant, including these certifications and assurances, are met.

8. **Audit.** Pursuant to Section 2262.003 of the Texas Government Code, the state auditor may conduct an audit or investigation of the vendor or any other entity or person receiving funds from the state directly under this contract or indirectly through a subcontract under this contract. The acceptance of funds by the applicant or any other entity or person directly under this contract or indirectly through a subcontract under this contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds. Under the direction of the legislative audit committee, the applicant or other entity that is the subject of an audit or investigation by the state auditor must provide the state auditor with access to any information the state auditor considers relevant to the investigation or audit. Applicant will ensure that this clause concerning the authority to audit funds received indirectly by subcontractors through the vendor and the requirement to cooperate is included in any subcontract it awards.

9. **Debt to the State.** It is not indebted to the state or does not have an outstanding tax delinquency. It further understands that the Texas Comptroller is precluded by law from paying a person who is indebted to the state or has a tax delinquency. The applicant must comply with all State and Federal tax laws and fee requirements and is solely responsible for filing all State and Federal tax and fee forms.

Continued on next page

NEW TECHNOLOGY IMPLEMENTATION GRANT APPLICATION
FORM 5: General Certifications and Assurances (continued)

10. Grant Contract. It understands that a copy of the grant contract shell is available from the TCEQ, including a copy posted on the TCEQ's web site at www.terpgrants.org. It further understands that the TCEQ will not normally change the contract language to deal with individual requests from grant recipients.

11. Contracting with an Executive of a State Agency. Under Government Code § 669.003, relating to contracting with an executive of a state agency, Applicant represents that no person who, in the past four years, served as an executive of the Texas Commission on Environmental Quality (TCEQ) or any other state agency, was involved with or has any interest in this Application. If Applicant employs or has used the services of a former executive head of TCEQ or other state agency, then Respondent shall provide the following information: Name of former executive, name of state agency, date of separation from state agency, position with Applicant, and date of employment with Applicant.

12. Debarment. Applicant certifies that the applying entity and its principals are eligible to participate in this transaction and have not been subjected to suspension, debarment, or similar ineligibility determined by any federal, state or local governmental entity and that Respondent is in compliance with the State of Texas statutes and rules relating to procurement and that Respondent is not listed on the federal government's terrorism watch list as described in Executive Order 13224. Entities ineligible for federal procurement are listed at <http://www.epls.gov>.

13. Hurricane Katrina and Other Natural Disasters. Under Section 2155.006(b) of the Texas Government Code, a state agency may not accept a bid or award a contract, including a contract for which purchasing authority is delegated to a state agency, that includes proposed financial participation by a person who, during the five-year period preceding the date of the bid or award, has been: (1) convicted of violating a federal law in connection with a contract awarded by the federal government for relief, recovery, or reconstruction efforts as a result of Hurricane Rita, as defined by Section 39.459, Utilities Code, Hurricane Katrina, or any other disaster occurring after September 24, 2005; or (2) assessed a penalty in a federal civil or administrative enforcement action in connection with a contract awarded by the federal government for relief, recovery, or reconstruction efforts as a result of Hurricane Rita, as defined by Section 39.459, Utilities Code, Hurricane Katrina, or any other disaster occurring after September 24, 2005.

Under Section 2155.006 of the Texas Government Code, the bidder certifies that the individual or business entity named in this Application is not ineligible to receive the specified contract and acknowledges that any contract resulting from this IFB may be terminated and payment withheld if this certification is inaccurate.

14. The applicant has not been adjudicated during the preceding three-year period to have committed substantive, non-clerical violations resulting in an actual release of hazardous waste that presented an imminent and substantial danger to the public health and safety or the environment.

15. Applicant, nor any of its officers, have been adjudicated by a court of law to have violated the Texas Deceptive Trade Practices Act.

16. If any of these certifications change between submittal of the Application and award of a contract or cancellation of the Solicitation, you will promptly notify TCEQ.

NEW TECHNOLOGY IMPLEMENTATION GRANT APPLICATION

FORM 6: Program-Specific Certifications and Assurances

The applicant hereby assures and certifies compliance with all state statutes, regulations, policies, guidelines, and requirements as they relate to the application, acceptance, and use of funds for this project. The applicant further assures and further certifies that:

1. The proposed technology project has a substantial implementation plan.
2. The applicant agrees to maintain and operate the proposed technology for 5 years after the final reimbursement is paid by TCEQ.
3. The applicant has informed the TCEQ of all permits, including but not limited to permits with the TCEQ, the Public Utility Commission of Texas (PUCT), and the Railroad Commission of Texas (RRC), and certifies that applicant is in compliance with all permits.
4. The proposed activities are not required by any state or federal law, rule, or regulation, memorandum of agreement, or other legally binding document.

<p>Authorized Official Signature: (Person signing the application) (Please sign and date in BLUE ink)</p> <p>Date: (in ink)</p>	
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NEW TECHNOLOGY IMPLEMENTATION GRANT APPLICATION

FORM 7: Health/Safety and Environmental Impact Certification

1. The applicant assures that it has made a reasonable effort to identify safety and health issues that might arise from the implementation, testing, or use of the proposed technology (Please check one below with an X).

The applicant is not aware of any safety and health issues that might arise from the implementation, testing, or use of the proposed technology.	<input type="checkbox"/>
The applicant is aware of one or more safety and health issues that might arise from the implementation, testing, or use of the proposed technology and has identified those issues in this application.	<input type="checkbox"/>

2. The applicant assures that it has made a reasonable effort to identify adverse environmental impacts, such as increased emissions of pollutants or creation of a hazardous waste that might arise from the implementation, testing, or use of the proposed technology (Please check one with below an X).

The applicant is not aware of any adverse environmental impacts, such as increased emissions of pollutants or creation of a hazardous waste, that might arise from the implementation, testing, or use of the proposed technology.	<input type="checkbox"/>
The applicant is aware of one or more adverse environmental impacts, such as increased emissions of pollutants or creation of a hazardous waste, that might arise from the implementation, testing, or use of the proposed technology and has identified those issues in this application.	<input type="checkbox"/>

3. Signature

Authorized Official Signature: (Person signing the application) (Please sign and date in BLUE ink)	<input type="text"/>
Date: (in ink)	<input type="text"/>