Drought Contingency Plan
for a Wholesale Public Water Supplier
Texas Commission on Environmental Quality

Instructions: The following form is a model of a drought contingency plan for a wholesale public water supplier. Not all items may apply to your system’s situation. This form is supplied for your convenience, but you are not required to use this form to submit your plan to the TCEQ. Submit completed plans to: Water Availability Division MC 160, TCEQ, P.O. Box 13087, Austin TX 78711-3087. If you have any questions on how to fill out this form, please contact the Resource Protection Team at 512/239-4691.

______________________________________________________________
(Name of Utility)
______________________________________________________________
(Address, City, Zip Code)
______________________________________________________________
(CCN#)
______________________________________________________________
(PWS #s)
______________________________________________________________
(Date)

Section I: Declaration of Policy, Purpose, and Intent

In order to conserve the available water supply and/or to protect the integrity of water supply facilities, with particular regard for domestic water use, sanitation, and fire protection, and to protect and preserve public health, welfare, and safety and minimize the adverse impacts of water supply shortage or other water supply emergency conditions, the _________________ (name of your water supplier) adopts the following Drought Contingency Plan (the Plan).

Section II: Public Involvement

Opportunity for the public and wholesale water customers to provide input into the preparation of the Plan was provided by _______________ (name of your water supplier) by means of ______________ (describe methods used to inform the public and wholesale customers about the preparation of the plan and opportunities for input; for example, scheduling and proving public notice of a public meeting to accept input on the Plan).
Section III: Wholesale Water Customer Education

The ____________ (name of your water supplier) will periodically provide wholesale water customers with information about the Plan, including information about the conditions under which each stage of the Plan is to be initiated or terminated and the drought response measures to be implemented in each stage. This information will be provided by means of _______________ (e.g., describe methods to be used to provide customers with information about the Plan; for example, providing a copy of the Plan or periodically including information about the Plan with invoices for water sales).

Section IV: Coordination with Regional Water Planning Groups

The water service area of the ____________ (name of your water supplier) is located within the ________________ (name of regional water planning area or areas) and the ______________ (name of your water supplier) has provided a copy of the Plan to the ____________ (name of your regional water planning group or groups).

Section V: Authorization

The ___________________ (designated official; for example, the general manager or executive director), or his/her designee, is hereby authorized and directed to implement the applicable provisions of this Plan upon determination that such implementation is necessary to protect public health, safety, and welfare. The __________, or his/her designee, shall have the authority to initiate or terminate drought or other water supply emergency response measures as described in this Plan.

Section VI: Application

The provisions of this Plan shall apply to all customers utilizing water provided by the _________________ (name of your water supplier). The terms “person” and “customer” as used in the Plan include individuals, corporations, partnerships, associations, and all other legal entities.

Section VII: Criteria for Initiation and Termination of Drought Response Stages

The ____________ (designated official), or his/her designee, shall monitor water supply and/or demand conditions on a (e.g., weekly, monthly) basis and shall determine when conditions warrant initiation or termination of each stage of the Plan. Customer notification of the initiation or termination of drought response stages will be made by mail or telephone. The news media will also be informed.
The triggering criteria described below are based on:

____________________________________________________________________________________

(provide a brief description of the rationale for the triggering criteria; for example, triggering criteria are based on a statistical analysis of the vulnerability of the water source under drought of record conditions).

Stage 1 Triggers -- MILD Water Shortage Conditions

Requirements for initiation – The _____________ (name of your water supplier) will recognize that a mild water shortage condition exists when______________ (describe triggering criteria, see examples below).

Below are examples of the types of triggering criteria that might be used in a wholesale water supplier’s drought contingency plan. One or a combination of such criteria maybe defined for each drought response stage:

Example 1: Water in storage in the _________ (name of reservoir) is equal to or less than _______ (acre-feet and/or percentage of storage capacity).

Example 2: When the combined storage in the _________ (name of reservoirs) is equal to or less than _______ (acre-feet and/or percentage of storage capacity).

Example 3: Flows as measured by the U.S. Geological Survey gage on the ________ (name of river) near ________, Texas reaches ___ cubic feet per second (cfs).

Example 4: When total daily water demand equals or exceeds _____ million gallons for ___ consecutive days or ____ million gallons on a single day.

Example 5: When total daily water demand equals or exceeds ___ percent of the safe operating capacity of ____________ million gallons per day for ___ consecutive days or ___ percent on a single day.

Requirements for termination - Stage 1 of the Plan may be rescinded when all of the conditions listed as triggering events have ceased to exist for a period of ___ (e.g., 30) consecutive days. The _____________ (name of water supplier) will notify its wholesale customers and the media of the termination of Stage 1.

Stage 2 Triggers -- MODERATE Water Shortage Conditions

Requirements for initiation – The _____________ (name of your water supplier) will recognize that a moderate water shortage condition exists when______________ (describe triggering criteria).

Requirements for termination - Stage 2 of the Plan may be rescinded when all of the conditions listed as triggering events have ceased to exist for a period of ___ (e.g., 30) consecutive days. Upon termination of Stage 2, Stage 1 becomes operative. The _____________ (name of your water supplier) will notify its wholesale customers and the media of the termination of Stage 2.

Stage 3 Triggers -- SEVERE Water Shortage Conditions

Requirements for initiation – The _____________ (name of your water supplier) will recognize that a severe water shortage condition exists when______________ (describe triggering criteria; see examples in Stage 1).
Requirements for termination - Stage 3 of the Plan may be rescinded when all of the conditions listed as triggering events have ceased to exist for a period of ___ (e.g., 30) consecutive days. Upon termination of Stage 3, Stage 2 becomes operative. The _________ (name of your water supplier) will notify its wholesale customers and the media of the termination of Stage 3.

Stage 4 Triggers -- CRITICAL Water Shortage Conditions

Requirements for initiation - The _____________ (name of your water supplier) will recognize that an emergency water shortage condition exists when______________ (describe triggering criteria; see examples below).

Example 1.  Major water line breaks, or pump or system failures occur, which cause unprecedented loss of capability to provide water service; or

Example 2.  Natural or man-made contamination of the water supply source(s).

Requirements for termination - Stage 4 of the Plan may be rescinded when all of the conditions listed as triggering events have ceased to exist for a period of ___ (e.g., 30) consecutive days. The _________ (name of your water supplier) will notify its wholesale customers and the media of the termination of Stage 4.

Section VIII:  Drought Response Stages

The ________ (designated official), or his/her designee, shall monitor water supply and/or demand conditions and, in accordance with the triggering criteria set forth in Section VII, shall determine that mild, moderate, or severe water shortage conditions exist or that an emergency condition exists and shall implement the following actions:

Stage 1 Response -- MILD Water Shortage Conditions

Target:  Achieve a voluntary __ percent reduction in __________ (e.g., total water use, daily water demand, etc.).

Best Management Practices for Supply Management:

Describe additional measures, if any, to be implemented directly by ____________ (designated official), or his/her designee(s), to manage limited water supplies and/or reduce water demand. Examples include modifying reservoir operations procedures, interconnection with another water system, and use of reclaimed water for nonpotable purposes.

Water Use Restrictions for Reducing Demand:

(a) The _____________ (designated official), or his/her designee(s), will contact wholesale water customers to discuss water supply and/or demand conditions and will request that wholesale water customers initiate voluntary measures to reduce water use (e.g., implement Stage 1 or appropriate stage of the customer’s drought contingency plan).

(b) The _____________ (designated official), or his/her designee(s), will provide a weekly report to news media with information regarding current water supply and/or demand conditions, projected water supply and demand conditions if drought conditions persist, and consumer information on water conservation measures and practices.
Stage 2 Response -- MODERATE Water Shortage Conditions

**Target:** Achieve a ___ percent reduction in __________ (e.g., total water use, daily water demand, etc.).

**Best Management Practices for Supply Management:**

Describe additional measures, if any, to be implemented directly by __________ (designated official), or his/her designee(s), to manage limited water supplies and/or reduce water demand. Examples include modifying reservoir operations procedures, interconnection with another water system, and use of reclaimed water for non-potable purposes.

**Water Use Restrictions for Reducing Demand:**

(a) The ________________ (designated official), or his/her designee(s), will request wholesale water customers to initiate mandatory measures to reduce non-essential water use (e.g., implement Stage 2 or appropriate stage of the customer’s drought contingency plan).

(b) The ________________ (designated official), or his/her designee(s), will initiate weekly contact with wholesale water customers to discuss water supply and/or demand conditions and the possibility of pro rata curtailment of water diversions and/or deliveries.

(c) The ________________ (designated official), or his/her designee(s), will further prepare for the implementation of pro rata curtailment of water diversions and/or deliveries by preparing a monthly water usage allocation baseline for each wholesale customer.

(d) The ________________ (designated official), or his/her designee(s), will provide a weekly report to news media with information regarding current water supply and/or demand conditions, projected water supply and demand conditions if drought conditions persist, and consumer information on water conservation measures and practices.

Stage 3 Response -- SEVERE Water Shortage Conditions

**Target:** Achieve a ___ percent reduction in __________ (e.g., total water use, daily water demand, etc.).

**Best Management Practices for Supply Management:**

Describe additional measures, if any, to be implemented directly by __________ (designated official), or his/her designee(s), to manage limited water supplies and/or reduce water demand. Examples include modifying reservoir operations procedures, interconnection with another water system, and use of reclaimed water for non-potable purposes.

**Water Use Restrictions for Reducing Demand:**

(a) The ________________ (designated official), or his/her designee(s), will contact wholesale water customers to discuss water supply and/or demand conditions and will request that wholesale water customers initiate additional mandatory measures to reduce non-essential water use (e.g., implement Stage 3 or appropriate stage of the customer’s drought contingency plan).
(b) The _________________ (designated official), or his/her designee(s), will initiate pro rata curtailment of water diversions and/or deliveries for each wholesale customer.

(c) The _________________ (designated official), or his/her designee(s), will provide a weekly report to news media with information regarding current water supply and/or demand conditions, projected water supply and demand conditions if drought conditions persist, and consumer information on water conservation measures and practices.

Stage 4 Response -- EMERGENCY Water Shortage Conditions

Whenever emergency water shortage conditions exist as defined in Section VII of the Plan, the _________________ (designated official) shall:

1. Assess the severity of the problem and identify the actions needed and time required to solve the problem.

2. Inform the utility director or other responsible official of each wholesale water customer by telephone or in person and suggest actions, as appropriate, to alleviate problems (e.g., notification of the public to reduce water use until service is restored).

3. If appropriate, notify city, county, and/or state emergency response officials for assistance.

4. Undertake necessary actions, including repairs and/or clean-up as needed.

5. Prepare a post-event assessment report on the incident and critique of emergency response procedures and actions.

Section IX: Pro Rata Water Allocation

In the event that the triggering criteria specified in Section VII of the Plan for Stage 3 – Severe Water Shortage Conditions have been met, the _________________ (designated official) is hereby authorized initiate allocation of water supplies on a pro rata basis in accordance with Texas Water Code, §11.039.

Section X: Contract Provisions

The _________________ (name of your water supplier) will include a provision in every wholesale water contract entered into or renewed after adoption of the plan, including contract extensions, that in case of a shortage of water resulting from drought, the water to be distributed shall be divided in accordance with Texas Water Code, §11.039.

Section XI: Enforcement

Example of surcharge:
During any period when either mandatory water use restrictions or pro rata allocation of available water supplies are in effect, wholesale customers shall pay the following surcharges on excess water diversions and/or deliveries:

____ times the normal water charge per acre-foot for water diversions and/or deliveries in excess of the monthly allocation from ____ percent through ____ percent above the monthly allocation.
**Examples of fines and/or discontinuation of service:**
Mandatory water use restrictions or pro rata allocation of available water supplies may be imposed during drought stages and emergency water management actions. These water use restrictions will be enforced by warnings and penalties as follows:

- On the first violation, customers will be notified by written notice that they have violated the mandatory water use restriction.
- If the first violation has not been corrected after ten (10) days from the written notice, _________ (name of your water supplier) may assess a fine up to $______ per violation.
- _______ (name of your water supplier) may install a flow restricting device in the line to limit the amount of water which will pass through the meter in a 24-hour period. The utility may charge the customer for the actual cost of installing and removing the flow restricting device, not to exceed fifty dollars ($50.00);
- _______ (name of your water supplier) maintains the right, at any violation or action level, to disconnect irrigation systems and/or suspend water services to a customer for public safety issues with reconnection fees and possible citations.
- Subsequent violations of the plan shall result in increased fines or upon the occurrence of ______ violations, after notice, the discontinuation of services. Services discontinued under this provision shall be restored only upon payment of a reconnection fee and any other costs incurred by the utility in discontinuing service.

**Section XII: Variances**

The ________________ (designated official), or his/her designee, may, in writing, grant a temporary variance to the pro rata water allocation policies provided by this Plan if it is determined that failure to grant such variance would cause an emergency condition adversely affecting the public health, welfare, or safety and if one or more of the following conditions are met:

(a) Compliance with this Plan cannot be technically accomplished during the duration of the water supply shortage or other condition for which the Plan is in effect.

(b) Alternative methods can be implemented which will achieve the same level of reduction in water use.

Persons requesting an exemption from the provisions of this Plan shall file a petition for variance with the ________________ (designated official) within 5 days after pro rata allocation has been invoked. All petitions for variances shall be reviewed by the __________ (governing body), and shall include the following:

(a) Name and address of the petitioner(s).
(b) Detailed statement with supporting data and information as to how the pro rata allocation of water under the policies and procedures established in the Plan adversely affects the petitioner or what damage or harm will occur to the petitioner or others if petitioner complies with this Ordinance.
(c) Description of the relief requested.
(d) Period of time for which the variance is sought.
(e) Alternative measures the petitioner is taking or proposes to take to meet the intent of this Plan and the compliance date.
(f) Other pertinent information.

Variances granted by the ________________ (governing body) shall be subject to the following conditions, unless waived or modified by the __________ (governing body) or its designee:
(a) Variances granted shall include a timetable for compliance.
(b) Variances granted shall expire when the Plan is no longer in effect, unless the petitioner has failed to meet specified requirements.

No variance shall be retroactive or otherwise justify any violation of this Plan occurring prior to the issuance of the variance.

Section XIII: Severability

It is hereby declared to be the intention of the ________________ (governing body of your water supplier) that the sections, paragraphs, sentences, clauses, and phrases of this Plan are severable and, if any phrase, clause, sentence, paragraph, or section of this Plan shall be declared unconstitutional by the valid judgment or decree of any court of competent jurisdiction, such unconstitutionality shall not affect any of the remaining phrases, clauses, sentences, paragraphs, and sections of this Plan, since the same would not have been enacted by the ________________ (governing body of your water supplier) without the incorporation into this Plan of any such unconstitutional phrase, clause, sentence, paragraph, or section.