

ONE-TIME COMPLIANCE REPORT FOR DENTAL DISCHARGERS

**To comply with 40 Code of Federal Regulations** **§441.50 relating to Effluent Limitation Guidelines and Standards for the Dental Office Category**

**IMPORTANT:** Use the [INSTRUCTIONS](#INSTRUCTIONS) to fill out each question on this form.

# Section 1. Dental Facility Information

1. Name of the Dental Facility: Click here to enter text.
2. Physical Address: Click here to enter text.

City, State, and Zip Code: Click here to enter text.

County Where the Facility is Located: Click here to enter text.

1. Mailing Address: Click here to enter text.

City, State, and Zip Code: Click here to enter text.

1. Owner’s Name: Click here to enter text.
2. Operator’s Name (if different from owner): Click here to enter text.
3. Name of Wastewater Treatment Plant (if known): Click here to enter text.

# Section 2. Facility Contact Information

This individual will be contacted by TCEQ if additional information is needed about this dental facility.

Prefix (Mr. Ms. or Miss): Click here to enter text.

First and Last Name: Click here to enter text. Suffix: Click here to enter text.

Title: Click here to enter text. Credentials: Click here to enter text.

Phone Number: Click here to enter text.

Email: Click here to enter text.

Mailing Address: Click here to enter text.

City, State, and Zip Code: Click here to enter text.

# Section 3. Applicability

[ ]   This facility places or removes dental amalgam. *Complete Sections 4, 5, 6, 7, and 8.*

[ ]   This facility does not place dental amalgam and does not remove amalgam except in limited emergency or unplanned, unanticipated circumstances. *Complete Section 8 only.*

[ ]   This facility previously submitted a One-Time Compliance Report. This facility is submitting a new One Time Compliance Report because of a transfer of ownership. *Complete Sections 4, 5, 6, 7, and 8.*

#  Section 4. Dental Facility Description

1. Total Number of Dental Chairs: Click here to enter text.
2. Total Number of Dental Chairs where amalgam may be placed or removed: Click here to enter text.
3. Did the facility discharge amalgam process wastewater on or before July 14, 2017 (under any ownership)? [ ]    Yes [ ]    No

# Section 5. Description of Amalgam Separator or Equivalent Device

Select one or more descriptions and complete the applicable questions for that selection.

[ ]   The dental facility has installed one or more amalgam separators that meet the requirements of 40 CFR [§ 441.30(a)(1)(i) and (ii)](https://www.federalregister.gov/d/2017-12338/p-284).

For each amalgam separator, provide the make, model, year installed, and the number of chairs at which amalgam placement or removal may occur that are served by the amalgam separator. Attach additional sheets if there are more than 4 different amalgam separators.

| **Make** | **Model** | **Year Installed** | **Number of Chairs** |
| --- | --- | --- | --- |
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|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

[ ]   Prior to June 14, 2017, the dental facility installed one or more existing amalgam separators that do not meet the requirements of 40 CFR [§ 441.30(a)(1)(i) and (ii)](https://www.federalregister.gov/d/2017-12338/p-284).

1. For each amalgam separator, provide the make, model, year installed, and the number of chairs at which amalgam placement or removal may occur that are served by the amalgam separator. Attach additional sheets if there are more than 4 different amalgam separators.

| **Make** | **Model** | **Year Installed** | **Number of Chairs** |
| --- | --- | --- | --- |
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1. I understand that such separators must be replaced with one or more amalgam separators (or equivalent devices) that meet the requirements of 40 CFR [§ 441.30(a)(1)](https://www.federalregister.gov/d/2017-12338/p-284) or 40 CFR [§ 441.30(a)(2)](https://www.federalregister.gov/d/2017-12338/p-290), after their useful life has ended, and no later than June 14, 2027, whichever is sooner. [ ]   Yes

[ ]   The dental facility has installed one or more equivalent devices.

For each equivalent device, provide the make, model, year installed, and the average removal efficiency as determined per **40 CFR** [**§ 441.30(a)(2)i- iii**](https://www.federalregister.gov/d/2017-12338/p-290), and the number of chairs at which amalgam placement or removal may occur that are served by the equivalent device. Attach additional sheets if there are more than 4 different equivalent devices.

| **Make** | **Model** | **Year Installed** | **Average Removal Efficiency** | **Number of Chairs** |
| --- | --- | --- | --- | --- |
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Optional: Provide any additional description of amalgam separator(s) and/or equivalent device(s): Click here to enter text.

# Section 6. Operation and Maintenance of Amalgam Separators and Equivalent Devices

Is a third-party service provider under contract with this facility to ensure proper operation and maintenance in accordance with 40 CFR [§ 441.30](https://www.federalregister.gov/d/2017-12338/p-281) or 40 CFR [§ 441.40](https://www.federalregister.gov/d/2017-12338/p-309).

[ ]    Yes

Provide the name of the third-party service provider:

Click here to enter text.

[ ]    No

Provide a description of the practices employed by the facility to ensure proper operation and maintenance in accordance with 40 CFR § 441.30 or 40 CFR § 441.40. Click here to enter text.

# Section 7. Operation, Maintenance, and Best Management Practice Certifications

1. I certify that the amalgam separator(s) and/or equivalent device(s) will be operated and maintained to meet the requirements in 40 CFR [§ 441.30](https://www.federalregister.gov/d/2017-12338/p-281) or 40 CFR [§ 441.40](https://www.federalregister.gov/d/2017-12338/p-309).

[ ]    Yes

1. I certify that the following Best Management Practices are being implemented, and will continue to be implemented, at the dental facility identified in Section 1:
2. Waste amalgam including, but not limited to, dental amalgam from chair-side traps, screens, vacuum pump filters, dental tools, cuspidors, or collection devices, must not be discharged to a publicly owned treatment works (e.g., municipal sewage system).
3. Dental unit water lines, chair-side traps, and vacuum lines that discharge amalgam process wastewater to a publicly owned treatment works (e.g., municipal sewage system) must not be cleaned with oxidizing or acidic cleaners, including but not limited to bleach, chlorine, iodine and peroxide that have a pH lower than 6 or greater than 8 (i.e. cleaners that may increase the dissolution of mercury).

[ ]    Yes

# Section 8. Signatory Certification

I understand that as long as this dental facility is in operation, or until ownership is transferred, the dental facility or an agent or representative of the dental facility must maintain this One Time Compliance Report and make it available for inspection in either physical or electronic form.

I certify that I am a responsible corporate officer, a general partner or proprietor (if the facility is a partnership or sole proprietorship), or a duly authorized representative in accordance with the requirements of 40 CFR § 403.12(l) of the above named dental facility, and certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Authorized Representative Name: Click here to enter text.

Authorized Representative Phone: Click here to enter text.

Authorized Representative Email: Click here to enter text.

Signature (use blue ink): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date: \_\_\_\_\_\_\_\_

Instructions for

One-Time Compliance Report

for Dental Dischargers

# GENERAL INFORMATION

## Form Applicability

This form contains the minimum information dental facilities must submit in a one-time compliance report as required by the Effluent Limitations Guidelines and Standards for the Dental Office Category (“Dental Amalgam Rule”). For more information, please see the [Dental Effluent Guidelines](https://www.epa.gov/eg/dental-effluent-guidelines) website. To determine if your dental facility is exempt from this rule, please see [40 CFR §441.10](https://www.ecfr.gov/cgi-bin/text-idx?SID=8cd479a3a836f1837caa08f7630d8698&mc=true&node=pt40.32.441&rgn=div5#se40.32.441_110https://www.ecfr.gov/cgi-bin/text-idx?SID=8cd479a3a836f1837caa08f7630d8698&mc=true&node=pt40.32.441&rgn=div5).

If you have questions on how to fill out this form or about the Pretreatment program, please contact us at 512-239-4671.

Individuals are entitled to request and review their personal information that the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, contact us at 512-239-3282.

Your Control Authority (CA) may be your publicly owned wastewater utility or the Texas Commission on Environmental Quality (TCEQ). To determine your CA, please see the Texas CA List (<https://www.tceq.texas.gov/permitting/wastewater/pretreatment>) to find the name of the City where your dental office is located. If the City where the dental office is located is not listed in the Texas CA List, then the TCEQ is the CA.

Note: If the CA is a City, the City may have developed an equivalent form. Please contact the City prior to completing this form to ensure you complete the correct form. The CA Contact Information List is available on the following website: <https://www.tceq.texas.gov/permitting/wastewater/pretreatment>

## Where to Send the One-Time Compliance Report

If the CA is a City and the City instructed you to complete this form, send the form to the address specified by the City. Do not submit the form to TCEQ.

If the TCEQ is your CA, send the form to one of the following:

**BY REGULAR U.S. MAIL:**

TCEQ

Dental Office Compliance Report

Office of Compliance and Enforcement (MC-224)

P.O. Box 13087

Austin, Texas 78711-3087

**BY OVERNIGHT/EXPRESS MAIL:**

TCEQ

Dental Office Compliance Report

Office of Compliance and Enforcement (MC-224)

12100 Park 35 Circle

Austin, TX 78753

## TCEQ Contact List

Form questions: 800-447-2827

Technical questions: 512-239-4671

Environmental Law Division: 512-239-0600

Records Management - obtain copies of forms: 512-239-0900

# INSTRUCTIONS FOR FILLING OUT THE FORM

## Section 1. Dental Facility Information

* Provide the name of dental facility.
* Provide the complete physical address of dental facility (street, city, state, zip code, and county)
* Provide the complete mailing address of dental facility (street, city, state and zip code)
* Provide the name of the owner of the dental facility.
* Provide the name of the operator of the dental facility, if different than the owner.
* If known, provide the name of the wastewater treatment plant (WWTP) that the dental facility discharges wastewater to.

## Section 2. Facility Contact Information

* Provide the first and last name of the person that TCEQ can contact for additional information regarding the dental facility.
* Provide the title, credentials (if applicable), phone number, email, and a complete mailing address (street, city, state, and zip code) for the facility contact.

## Section 3. Applicability

* If the dental office places or removes dental amalgam, check this box and complete Sections 4, 5, 6, 7, and 8 of the form.
* If the dental office does not place or remove dental amalgam, except in limited emergency or unplanned, unanticipated circumstances, check this box and complete Section 8 only.
* If the dental office previously submitted a One-Time Compliance Report, but is undergoing a transfer of ownership, check this box and complete Sections 4, 5, 6, 7, and 8 of the form.

## Section 4. Dental Facility Description

* Provide the total number of dental chairs in the dental facility.
* Provide the total number of dental chairs where amalgam may be place or removed.
* Provide either a “yes” or a “no” response to whether or not the dental office discharged dental amalgam wastewater on or before July 14, 2017.

## Section 5. Description of Amalgam Separator or Equivalent Device

* If the dental facility has installed one or more amalgam separators that meet the requirements of 40 CFR [§ 441.30](https://www.federalregister.gov/d/2017-12338/p-281) (a)(1)(i) and (ii), provide the make, model, year installed and the number of chairs at which amalgam placement or removal may occur that are served by the amalgam separator. Please provide this information for each amalgam separator at the dental facility. Attach additional sheets if there are more than four different amalgam separators.
* If prior to June 14, 2017 the dental facility installed one or more existing amalgam separators that do not meet the requirements of 40 CFR [§ 441.30](https://www.federalregister.gov/d/2017-12338/p-281) (a)(1)(i) and (ii), provide the make, model, year installed and number of chairs at which amalgam placement or removal may occur that are served by the amalgam separator. Please provide this information for each amalgam separator at the dental facility. Attach additional sheets if there are more than four different amalgam separators. Please provide a response to the certification statement by checking the “yes” box.
* If the dental facility has installed one or more equivalent devices, provide the make, model, year installed, the average removal efficiency as determined by 40 CFR [§ 441.30](https://www.federalregister.gov/d/2017-12338/p-281) (a)(2)i-iii, and the number of chairs at which amalgam placement or removal may occur that are served by the equivalent device. Please provide this information for each equivalent device at the dental facility. Attach additional sheets if there are more than four different equivalent devices.

## Section 6. Operation and Maintenance of Amalgam Separators and Equivalent Devices

* If a third-party service provider is under contract with the dental facility to ensure proper operation and maintenance of the amalgam separator (or equivalent devices), in accordance with 40 CFR [§ 441.30](https://www.federalregister.gov/d/2017-12338/p-281) or 40 CFR [§ 441.40](https://www.federalregister.gov/d/2017-12338/p-309), check the “yes” box and provide the name of the third-party service provider.
* If a third-party service provider is not under contract by the dental facility, check the “no” box and provide a description of the practices employed by the facility to ensure proper operation and maintenance of the amalgam separator (or equivalent devices), in accordance with 40 CFR [§ 441.30](https://www.federalregister.gov/d/2017-12338/p-281) or 40 CFR [§ 441.40](https://www.federalregister.gov/d/2017-12338/p-309).

## Section 7. Operation, Maintenance, and Best Management Practice Certifications

* Please provide a response to the certification statement that the amalgam separator and/or equivalent device(s) will be operated and maintained at the dental office to meet the requirements in 40 CFR §441.30 or 40 CFR §441.40 by checking the “yes” box.
* Please provide a response to the certification statement that both of the best management practices are being implemented and will continue to be implemented at the dental office by checking the “yes” box.
* Failure to indicate “Yes” for the two certification items may result in the requirement for the OTCR Form to be resubmitted.

## Section 8. Signatory Certification

* Provide the name, phone number, and email address of the authorized representative. The authorized representative must sign and date the form using blue ink. The certification must bear an original signature of a person meeting the signatory requirements specified under 30 Texas Administrative Code §305.44.