



# Small Business and Local Government Assistance

## Aggregate Production Operation Compliance Checklist

This checklist is for guidance purposes only. It is not a substitute for the rules and regulations. The Small Business and Local Government Assistance (SBLGA) program is separate from the Office of Compliance and Enforcement (OCE) of the Texas Commission on Environmental Quality (TCEQ). Contact SBLGA on its toll-free hotline 800-447-2827 or visit the SBLGA website <www.texasenvirohelp.org>.

### Company Information    \_\_\_ 1st visit    \_\_\_ 2nd visit    \_\_\_ C2 Renewal Site Visit Date: \_\_\_\_\_

<b>Company Name</b>		<b>Facility Contact</b>	
<b>Mailing Address</b>		<b>Physical Address</b>	
		<b>County</b>	
<b>Owner's Name</b>		<b>Business Phone</b>	
<b>Date of Construction</b>		<b>Primary SIC</b>	
<b>Start of Operation</b>		<b>Secondary SIC</b>	
<b>Latitude</b>		<b>Longitude</b>	
<b>RN/CN</b>		<b>Facility ID</b>	

**Important Notes:**

- Compliance-related questions are denoted with an asterisk (\*). Answering "no" to a question with an asterisk may mean the facility is out of compliance with state or federal environmental rules.
  - Have there been any process changes since the last site visit?\*
- \*If yes, explain the changes and include the date of changes in the comments

### Aggregate Productions Operations and Fees

Rule Citation	Question	Yes	No	N/A
30 TAC 342.25	*Has the facility registered each site with the TCEQ as required by 30 TAC 342?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Vernon's Civil Statutes, Title 71, Chapter 1, Article 4447cc, Section 10(g)	Did the facility submit a Notice to Conduct a Compliance Audit under the Texas Environmental, Health, and Safety Audit Privilege Act?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 342.25(d)	*Does the facility renew their registration 12 months from its issuance date?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 342.26(b)	*Has the facility paid their annual registration fees?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

### Air Regulations (30 TAC 106) – Permits by Rule

Rule Citation	Question	Yes	No	N/A
30 TAC 106	Does the facility claim a Permit by Rule (PBR)? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 106	Does the facility meet all requirements of Permits by Rule (PBR) claimed?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	*Rock Crushers: Available to facilities registered on or before July 31, 2008.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.143	*Wet Sand and Gravel Production	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.144	*Bulk Mineral Handling	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.145	*Bulk Sand Handling	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.148	*Material Unloading	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.149	*Sand and Gravel Processing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.183	*Boilers, Heaters, and Other Combustion Devices	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.261	*Facilities Emissions Limitations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.262	*Facilities (Emission and Distance Limitations)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.263	*Routine Maintenance, Start-up and Shutdown of Facilities, and Temporary Maintenance Facilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.265	*Hand-held and Manually Operated Machines	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.412	*Fuel Dispensing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.433	*Surface Coating Facilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.472	*Organic and Inorganic Liquid Loading and Unloading	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.511	*Portable and Emergency Engines and Turbines	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.512	*Stationary Engines and Turbines	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106	*Other/Previous PBRs: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106	*Other/Previous PBRs: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106	*Other/Previous PBRs: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.4	Does the facility meet the requirements in 30 TAC 106.4?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.8	*Does the facility maintain records that demonstrate compliance as required by 30 TAC 106.8 for all PBRs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Air Regulations (30 TAC 101) – Emission, Maintenance, Start-up, Shutdown

Rule Citation	Question	Yes	No	N/A
30 TAC 101.201	*Does the facility track all reportable and non-reportable emission events and report them to TCEQ by March 31 of each year?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 101.211	*Does the facility track all reportable and non-reportable scheduled maintenance, start-up, and shut-down activities and report them to TCEQ by March 31 of each year?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 101.10	Is the facility required to submit an annual emissions inventory?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 101.201(b), 101.211(b)	*Are these records maintained for a minimum of 5 years?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Air Regulations

Rule Citation	Question	Yes	No	N/A
30 TAC 111 Subchapter A	*Does the facility comply with any applicable 30 TAC Chapter 111 requirements? (Control of Air Pollutants from Visible Emissions and Particulate Matter)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Air Regulations (30 TAC 116) – Permits

Rule Citation	Question	Yes	No	N/A
30 TAC 116	*Does this facility have an air permit? If yes, Permit No. _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 116	*If yes: Does the facility comply with all permit conditions? (Use comments section)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Air Regulations (30 TAC 116) – Standard Permit for Temporary Rock and Concrete Crushers

Rule Citation	Question	Yes	No	N/A
Standard Permit for Temporary Rock and Concrete Crushers	*Is the facility currently registered as a Temporary Rock and Concrete Crusher? If yes, temporary crushing operations must meet the conditions listed in section (1) and section (2) for Tier I, or section (1) and section (3) for Tier II. If the facility is	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Section (1) General Requirements. Does the facility meet the General Requirements of the Standard Permit claimed? See below.

Rule Citation	Question	Yes	No	N/A
Standard Permit for Temporary Rock and Concrete Crushers	*a. Is the facility operating at least 440 yards from any residence, school, or place of worship? *Exceptions include: distance requirements were sa	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*b. Are records maintained on site to show hourly throughput of feed hopper for a rolling 24-month period while the plant is in operation and includes the date the crusher was placed on site and dates removed; and hours of operation including daily start	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*c. Are all screen sides enclosed and all conveyors greater than 300 ft covered with a half moon or equivalent enclosure?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*d. Are opacity emissions from any transfer point on the belt conveyors less than 10 percent and from any crusher less than 15 percent, averaged over a six-minute period?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*e. Are emissions from the crusher, associated facilities, associated sources, and in plant roads associated with the plant, visible beyond the property line for no more than 30 seconds?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*f. Are permanently mounted spray bars installed and operated at the inlet and outlet of all crushers, shaker screens, and all material transfer points?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*g. Are dust emissions from all in-plant roads and active work areas that are associated with the operations minimized at all times by at least one of the following methods? Check all that apply.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	(i) Covered with material such as but not limited to, roofing shingles or tire chips (when used in combination with (ii) or (iii) of this subsection;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and	(ii) Treated with dust-suppressant chemicals;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Concrete Crushers

Standard Permit for Temporary Rock and Concrete Crushers	(iii) Watered; or	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	(iv) Paved with a cohesive hard surface that is maintained intact and cleaned.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*h. Are all stockpiles sprinkled with water, dust-suppressant chemicals, or covered, as necessary, to minimize dust emissions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*i. Are raw material and product stockpiles limited to heights of 45 ft or less?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*j. Is the crusher equipped with a runtime meter?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*k. Does the facility meet applicable standards of 40 CFR Part 60, Subpart A, General Provisions of Subpart OOO, Standards of Performance for Nonmetallic Mineral Processing Plants?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*l. Does the site consist of only one crusher and associated sources?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*m. Is the facility in compliance with 30 TAC Chapter 101 Subchapter F, Emission Events and Scheduled Maintenance Startup and Shutdown activities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Section (2) Are the following statements true?**

Rule Citation	Question	Yes	No	N/A
Standard Permit for Temporary Rock and Concrete Crushers	*a. The facility is not located at a quarry or mine.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*b. The crusher's feed hopper throughput does not exceed 125 tons per hour.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*c. The crusher, associated facilities, and sources are located no less than 200 ft from nearest property line.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*d. The equipment is limited to one primary crusher, two conveyors, and two screens.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*e. Permanently mounted spray bars are installed and operated at the inlet and outlet of all crushers, shaker screens, and all material transfer points.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*f. The crusher and associated sources are not located or operating on the same site as any concrete batch plant or asphalt batch plant.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Standard Permit for Temporary Rock and Concrete Crushers	*g. The crusher and associated sources (excluding stockpiles) are not in operation for more than 360 hours or located for more than 45 non-consecutive calendar days on site, whichever comes first.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*h. Crushers and equipment are removed from the site within 24 hours of ceasing operations.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*i. This site was previously authorized by a standard permit and has exhausted all operating hours and the property has been vacated of crushing activities for at least 365 days.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Section (3) Are the following statements true?**

Rule Citation	Question	Yes	No	N/A
Standard Permit for Temporary Rock and Concrete Crushers	*a. The crusher's feed hopper throughput does not exceed 250 tons.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*b. The crushers and all associated facilities and sources are located no less than 300 ft from nearest property line.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*c. The crusher and all associated facilities are located at least 550 ft from any concrete batch plant or asphalt batch plant.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*d. Equipment is limited to one primary crusher, one secondary crusher, two screens, and any associated conveyors.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*e. Crushers and associated sources (excluding stockpiles) are not operating for more than 1,080 hours or located for more than 180 non-consecutive calendar days on site, whichever comes first.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*f. Crushers and equipment are removed from the site within 24 hours of ceasing operations.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit for Temporary Rock and Concrete Crushers	*g. Owner/Operator has not used a standard permit to locate any crusher on a site in which operating hours have been exhausted and the site has not been vacated for 365 days.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 116 Subchapter B	Does this facility have an air NSR permit? If yes, Permit No. _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 116 Subchapter B	*If yes: Does the facility comply with all permit conditions? (Use comments section)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Air Regulations (30 TAC 116) – Standard Permit for Permanent Rock and Concrete Crushers**

Rule Citation	Question	Yes	No	N/A
30 TAC 116.611	*Is the facility currently registered as a Permanent Rock and Concrete Crusher? If yes, does the facility meet all the requirements of the Standard Permit claimed? See below.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*a. Is the facility a rock or concrete crusher operating no more than 2,640 hours in any 12-month period?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*b. Is the maximum throughput no more than 200 tons per hour? Standard Permit section (3)(A)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*c. Is this facility processing only nonmetallic minerals as described in 40 CFR Part 60, Subpart 000?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*d. Are records maintained on-site to show hourly site production for a rolling 24-month period while the plant is in operation and includes daily hours of operation; throughput per hour; road and work area cleaning and dust suppression logs; and stockpile	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*e. Are the crusher and all associated facilities, including engines and/or generator sets (not including associated sources), located no less than 200 ft. from the nearest property line and no less than 440 yards from any residence, school, or place of w	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*f. Are the crushing facilities (not including associated sources) located at least 550 ft. from any other rock crusher, concrete crusher, concrete batch plant, or hot mix asphalt plant?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*g. Are all associated sources, including but not limited to, roads (except for incidental traffic and the entrance and exit to the site), work areas, and stockpiles, located at least 100 ft. from the property line?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit, 30 TAC 116.10(4)	*h. Is the facility limited to one primary crusher, one secondary crusher, one vibrating grizzly, two screens, any conveyors, and one internal combustion engine (or combination of engines) of no more than 1,000 total hours?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*i. Does the rock crusher and associated facilities discontinue operations one hour after official sunset and not begin until at least one hour before official sunrise?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*j. Is each crusher equipped with a runtime meter which operates during crushing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*k. Are permanently mounted spray bars installed and operated at the inlet and outlet of all crushers, at all shaker screens, and at all material transfer points?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*l. Are opacity emissions 10% or less from any transfer point on belt conveyors or screens and 15% or less from any crusher averaged over a six minute period?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*m. Are emissions from the crusher, associated facilities, associated sources, and in plant roads associated with the plant, visible beyond the property line for no more than 30 seconds?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*n. Are dust emissions from all in-plant roads and active work areas that are associated with the operations minimized at all times by at least one of the following methods? Check all that apply.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	(i) Covered with material such as but not limited to, roofing shingles or tire chips (when used in combination with (ii) or (iii) of this subsection;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	(ii) Treated with dust-suppressant chemicals;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	(iii) Watered; or	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	(iv) Paved with a cohesive hard surface that is maintained intact and cleaned.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit	*o. Are all stockpiles sprinkled with water, dust-suppressant chemicals, or covered, as	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

necessary, to minimize dust emissions?

Standard Permit \*p. Are raw material and product stockpiles limited to heights of 45 ft or less?

Standard Permit \*q. Is the crusher equipped with a weigh hopper or scale belt to accurately determine the mass of material being crushed?

**Air Regulations (30 TAC 115) – In addition to any other requirements, coating, solvent using, and degreasing processes in the following counties must meet the requirements outlined in this section.**

Rule Citation	Question	Yes	No	N/A
	Sources of Volatile Organic Compounds (VOC), located in the following counties, may be required to meet 30 TAC 115 requirements as outlined below.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Is the facility located in the Dallas/Ft. Worth Area (Johnson, Kaufman, Parker, Rockwall, Collin, Denton, Dallas, Tarrant, Ellis counties)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Is the facility located in the Houston/Galveston Area (Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, Waller counties)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Is the facility located in the Beaumont/Port Arthur Area (Hardin, Orange, Jefferson counties)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Is the facility located in any of the following counties (Bastrop, Bexar, Caldwell, Comal, El Paso, Gregg, Guadalupe, Hays, Nueces, Travis, Victoria, Williamson, Wilson)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 115	*If yes to any of the above, does the facility comply with applicable 30 TAC Chapter 115 requirements? (Control of Air Pollution from Volatile Organic Compounds)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.415	*b. Are the required tests being conducted?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.416	*c. Are records of maintenance of control equipment and test results being kept for at least two years?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115	Does this facility have any other processes, activities, or equipment subject to 30 TAC Chapter 115 rules? These include, but are not limited to:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115 Subchapter B Division 1	a. Storage of volatile organic compounds (VOCs);	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115 Subchapter B Division 3	b. VOC water separation;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115 Subchapter B Division 4	c. Industrial wastewater containing VOCs;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115 Subchapter B Division 6	d. Batch Process;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Air Regulations (30 TAC 117) – In addition to other requirements, facilities located in the counties in the Dallas/Fort Worth Area or Houston/Galveston/Brazoria Area Ozone Nonattainment Area listed above must meet the requirements in this section.**

Rule Citation	Question	Yes	No	N/A
30 TAC 117.10(29)	Is the facility a major source of NOx? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 117 Subchapter B	*a. Is the facility compliant with all applicable parts of 30 TAC Chapter 117 Subchapter B?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117 Subchapter D	Is the facility a minor source of NOx, operating a stationary internal combustion engine? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 117.2103	*a. If located in DFW area, is the facility meeting an exemption listed in 30 TAC 117.2103? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117.2103(c), 117.2135(e), 117.2145(b) and (c)	*b. Does the facility have records showing compliance with the exemption and 30 TAC 117.2103(c), 117.2135(e), and 117.2145(b) and (c)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117.2003	*c. If located in HGB area, is the facility meeting an exemption listed in 30 TAC 117.2003? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117.2030(c), 117.2035(e), and 117.2045(b) and (c)	*d. Does the facility have records showing compliance with the exemption and 30 TAC 117.2030(c), 117.2035(e), and 117.2045(b) and (c)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117 Subchapter D	*If the facility is not meeting an exemption, does the engine meet the associated emission specification and does the facility comply with the applicable operational, testing, reporting and recordkeeping requirement?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Air Regulations (30 TAC 122) – Federal Operating Permit

Rule Citation	Question	Yes	No	N/A
30 TAC 122.10(13)	Is the facility a major source?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 122.120	*If yes, does the facility have a federal operating permit? If yes, operating permit number: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Air Regulations (30 TAC 113 and 40 CFR Parts 60, 61, and 63 Requirements) – Federal Requirements

Rule Citation	Question	Yes	No	N/A
40 CFR Part 60	*Does the facility comply with any applicable 40 CFR Part 60 requirements? (Standards of Performance for New Stationary Sources)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC Chapter 113, 40 CFR Part 61 and Part 63	*If the facility is a source of hazardous air pollutants (HAPs), do they comply with applicable National Emission Standards for Hazardous Air Pollutants (NESHAP)? For more information on NESHAPs, visit the EPA's Web page at <a href="http://epa.gov/ttn/atw/mactfnla">http://epa.gov/ttn/atw/mactfnla</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC Chapter 113, 40 CFR Part 63	*Does the facility comply with any applicable 30 TAC 113 requirements? (Standards of Performance for HAPs)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR PART 60	*Does the facility comply with any applicable New Source Performance Standards (NSPS)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Public Water Supply

Rule Citation	Question	Yes	No	N/A
30 TAC 290.38(66)	Does the facility have a well or manage a water distribution system that provides drinking water to employees or customers? If no, then this section does not apply.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 290.38(66)	Does the facility have a well or manage a water system that provides drinking water to at least 15 service connections or serve at least 25 individuals at least 60 days out of the year? If no, then this section does not apply.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.38(14), (52), (54), (77)	What type of PWS system does the facility have?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 290.38(77)	a. transient, non-community – serves at least 25 people at least 60 days of the year and is not part of a community system.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.38(54)	b. non-transient, non-community – serves at least 25 of the same people at least 6 months out of the year and does not include residential service connections.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	What is the water source for the PWS?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 290.38(29)	a. Groundwater	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	b. Surface water	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.38(30)	c. Groundwater under the influence of surface water	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.39(a)	*Is the facility registered with the TCEQ as a PWS?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.46(e)	*Does the facility have a licensed operator? (transient non-community water systems are exempt if using groundwater or purchase treated water from another public water system)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.109	*Does the facility conduct monthly microbiological testing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.110(b)(4), 290.110(b)(5)	*Does the facility conduct chlorine residual testing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.106, 290.107, 290.108	*Does the facility conduct other contaminant testing as required for their system?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.106 (inorganic), 290.107 (organic), 290.108 (radionuclides)	Indicate what contaminants the facility is testing for: <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.45(a)(2)	*Does the facility conduct water pressure testing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### TPDES Multi-Sector General Permit for Discharges from Aggregate Production Operations (TXR050000)

Rule Citation	Question	Yes	No	N/A
General Permit	*Is the facility currently registered under the TPDES Multi-Sector General Permit TXR050000? This general permit does not authorize those facilities described in Texas Water Code 26.553 (Regulation of Quarries within Water Quality Protection Area).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	Does the facility follow the sampling, monitoring, and reporting requirements outlined in the MSGP?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*a. Does the facility follow procedures for sampling, monitoring, and analyses according to procedures specified in the MSGP?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

General Permit	*b. Does the facility comply with all recordkeeping requirements of the MSGP?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*c. Does the facility comply with reporting requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*d. Are periodic inspections conducted at least once per quarter and documented in accordance with the MSGP to determine effectiveness of Pollution Prevention Measures and Controls?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*e. Are discharges from each authorized outfall visually examined on a quarterly basis during normal hours of operation and records maintained?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*f. Are all outfalls tested for the presence of specific mining-related non-stormwater discharges?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*g. Does the facility conduct an Annual Comprehensive Site Compliance Inspection and prepare an Annual Comprehensive Site Compliance Report in accordance with the MSGP?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*h. Does the facility maintain a rain gauge on site?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*i. Does the facility, at a minimum, monitor the rain gauge once per week and once per day during a rain event?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*j. Does the facility maintain a log for their rain gauge monitoring?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*k. Is discharge monitored for the pollutant(s) of concern annually, and is sampling, monitoring, analyses, and reporting conducted in accordance with the MSGP?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*l. Is data from laboratory analyses of stormwater discharge samples summarized and updated annually?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*m. Does the facility conduct benchmark monitoring once every six months and report annually by March 31st?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*n. Are all samples taken representative of discharge, and is sampling conducted within the first 30 minutes of discharge?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*o. If stormwater discharges authorized under this permit combine with other stormwater or with wastewater authorized under a separate permit, is sampling conducted at a point before water combines?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*p. Does the facility report annual hazardous metals and sector specific effluent limits on DMRs in accordance with MSGP by March 31st each year?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*Does the facility conduct sampling, monitoring, and reporting annually in accordance with the MSGP and meet the daily maximum effluent limits for the following hazardous metals? (Permittees may qualify for a waiver from certain monitoring requirements if	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	a. Arsenic	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	b. Barium	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	c. Cadmium	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	d. Chromium	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	e. Copper	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	f. Lead	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	g. Manganese	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	h. Mercury	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	i. Nickel	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

General Permit	j. Selenium	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	k. Silver	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	l. Zinc	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*Has the facility developed a Storm Water Pollution Prevention Plan (SWP3) as required by the MSGP?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*a. Does the facility adhere to the SWP3 minimum requirements outlined in the MSGP and include best management practices, a description of the industrial activities, a map of the general location, site map, and potential pollutant sources?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*b. Is the SWP3 revised following the completion of the Annual Site Compliance Inspection Report?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*c. Is employee training conducted at least once per year and records of training activities maintained? Training is for those employees who work in areas where industrial materials/activities are exposed to stormwater or those responsible for implementin	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*d. Has the facility established a Storm Water Pollution Prevention Team as required by the MSGP?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*e. Does the Pollution Prevention Team investigate causes of each benchmark exceedances and document the investigation in the SWP3 within 90 days following the sampling event?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*f. Does the facility compare the results of analyses to the benchmark values and modify the SWP3 as necessary to protect water quality?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*Is this facility subject to numeric effluent limits for mine dewatering and subject to federal guidelines under 40 CFR Part 436? If yes, does the facility meet the requirements of the MSGP?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*a. Are samples taken before runoff combines with other stormwater runoff?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*b. Does the facility comply with numeric effluents limitations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Permit	*c. Does the facility follow procedures for reporting and report exceedances of numeric effluent limits within 24 hours to the TCEQ regional office and follow up with a written report to both the regional office and to the TCEQ Enforcement Division within	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335, General Permit	*If the facility generates industrial solid waste in the management and treatment of stormwater, is it managed in accordance with 30 TAC Chapter 335?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## Wastewater Discharges

Rule Citation	Question	Yes	No	N/A
30 TAC 321 Subchapter D	Does the facility conduct sand and gravel washing operations? If yes, they must comply with 30 TAC Chapter 321 Subchapter D. See below.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Texas Water Code Chapter 26	*a. If the facility does not wish to be regulated by 30 TAC Chapter 321, has an application been submitted to the executive director for a permit pursuant to the Texas Water Code, Chapter 26?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 321.63	*b. If the facility does not have a permit, have the necessary retention ponds, dikes, ditches, dams and berms been provided for retaining the process wastewater so that it does not enter any water in the state?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 321.64	*c. Is all uncontaminated runoff diverted around process wastewater treatment and retention facilities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

30 TAC 321.65	*d. Do the treatment and retention facilities at all times provide enough available capacity to retain all process wastewater?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 307, 308	*e. If the facility makes or proposes to make any discharge into or adjacent to any water in the state, has an application for a discharge permit been submitted to the executive director?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Discharge to Publicly Owned Treatment Works (Sanitary Sewer System)

Rule Citation	Question	Yes	No	N/A
	Does the facility discharge process wastewater to the sewer system? If yes,	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 403.8(f)(1)(iii)	*Has the facility obtained permission from the publicly owned treatment works to discharge process wastewater?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR Part 403.1, 30 TAC 315	a. Does the publicly owned treatment works have an approved pretreatment program?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 403.8(f)(1)	*b. Does the facility have a permit to discharge process wastewater to the publicly owned treatment works?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 403.8(f)(1)	*c. Does the facility comply with the requirements of this permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If the publicly owned treatment works does not have an approved pretreatment program,	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR Parts 405 through 471	a. Is the facility a categorical industrial user subject to the requirements of any category in 40 CFR Parts 405 through 471?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 403.12(e)	*b. If yes, does the facility submit monitoring reports to the TCEQ each June and December?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 403.12(h)	c. If no, the facility may be required to submit semi-annual monitoring reports to the TCEQ if it is a significant non-categorical industrial user. It is also recommended that the facility contact the city and inform them of the nature of their discharge.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 403.6	*d. Does the facility's effluent comply with federal categorical pretreatment standards?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Discharges to On-Site Sewage Facilities (Septic Systems)

Rule Citation	Question	Yes	No	N/A
30 TAC 285.2(45), 285.2(66)	*Does the facility avoid discharging any process wastewater to a septic system? (Note: On-site septic systems can only be used for domestic sewage.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Discharges to Waters in the State

Rule Citation	Question	Yes	No	N/A
	Does the facility discharge wastewater into surface water (via outfall, run-off, storm drains, rivers, creeks, dry waterways)? If no, the rest of this section does not apply. If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 281.25	*Does the facility have a Texas Pollutant Discharge Elimination System (TPDES) Permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.1, Individual Permit	*a. Does the facility meet the daily average flow from each outfall?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.1, Individual Permit	*b. Does the facility meet the daily maximum flow from each outfall?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.1,	*c. Does the facility meet the discharge limitation for each parameter?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Individual Permit

30 TAC 319.11, Individual Permit	*d. Does the facility conduct monitoring and sampling as required by their discharge permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.7, Individual Permit	*e. Does the facility submit discharge monitoring reports (DMRs) as required by their permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 305.125, 40 CFR 122.41	*f. Does the facility submit non-compliance reports?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 281.25, 40 CFR Part 122 Subpart B	*g. Does the facility's TPDES wastewater discharge permit include stormwater discharges?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Individual Permit	*h. Is the facility in compliance with stormwater discharge requirements listed in their TPDES wastewater discharge permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Individual Permit	Does the facility dispose of wastewater adjacent to surface water (by irrigation, evaporation pond, subsurface injection, or another approved method)? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 312, Subchapter (B)	*a. Does the facility have a Texas Land Application Permit (TLAP)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 312, Subchapter (B)	b. If so, are they in compliance with the requirements of the TLAP permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Facilities located in the John Graves Scenic Riverway**

Rule Citation	Question	Yes	No	N/A
30 TAC 311	Is the facility located in the John Graves Scenic Riverway? If yes, additional requirements may apply.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Edwards Aquifer Regulations**

Rule Citation	Question	Yes	No	N/A
30 TAC 213 Subchapter A, 30 TAC 214	Is the facility located in Bexar, Comal, Hays, Kinney, Medina, Travis, Uvalde, or Williamson Counties?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 213, 214	If in the affected counties, is the facility located over the recharge zone, contributing zone, transition zone, or contributing zone within the transition zone of the Edwards Aquifer? For maps, see <a href="http://www.tceq.texas.gov/field/eapp/vendors.html">http://www.tceq.texas.gov/field/eapp/vendors.html</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 213	If yes, please see the Edwards Aquifer Compliance Checklist as the facility has additional compliance requirements.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

**Petroleum Storage Tank (PST) Regulations**

Rule Citation	Question	Yes	No	N/A
30 TAC 334.7, 334.127	*Are all regulated USTs and ASTs registered with the TCEQ?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 334.7, 334.8	*Are all USTs containing motor fuel self-certified?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 334.8(c)(5)	*Is a current, valid TCEQ delivery certificate posted at the facility for all motor fuel USTs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 334.602(a)	*Has the facility designated an A, B, and C operator for its USTs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 334.602(a)(4)	*Does the UST facility have appropriate signage if a trained operator is not present?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

30 TAC 334.10(b), 334.130(b)	*Are the appropriate records being maintained for the recordkeeping requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 334.8(c)(5)(C)	*Have all motor fuel USTs been properly labeled?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 334.48(c)	*If the facility is involved in retail fuel sales, do they keep required Inventory Control records?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 334 Subchapter C, 30 TAC 37 Subchapter I	*Do all USTs meet TCEQ requirements for corrosion protection, spill and overfill prevention, leak detection, financial assurance, and registration/self-certification?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Do any of the following conditions exist regarding storage tanks? Check all that apply.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 112.1(d)(2)(ii)	a. Total aboveground capacity of the facility is greater than 1,320 gallons?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 112.1(d)(2)(i)	b. Total capacity in underground tanks is greater than 42,000 gallons?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 112.1	*If the answer to (a.) or (b.) above is yes, does the facility have a Spill Prevention Control & Countermeasure (SPCC) Plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115 Subchapter C Division 2 and Division 4	*Does the facility have an exemption for Stage II or meet Stage I and Stage II requirements, if necessary?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.227, 115.241	*Does the facility have documentation to support an exemption from or compliance with Stage I and Stage II requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 334.72	If the facility has had a reportable release or suspected release,	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 334.72	*Has the release been reported to the TCEQ within 24 hours.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 334.74, 334.50	*Has the system been tightness tested within 30 days?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.217, 115.227, 115.237, 115.242, 115.257	*Does the facility have documentation to support an exemption from or compliance with Stage I and Stage II requirements or met the conditions listed in the Stage II enforcement discretion memo of May 23, 2012?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.242(b), 115.241	*Has the facility decommissioned Stage II vapor recovery controls (all Stage II vapor recovery controls must be decommissioned no later than August 31, 2018.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.242(d), 115.241	*If no, has the facility continued to maintain equipment and conduct all necessary testing related to the Stage II vapor recovery system?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.241(b)	*If the facility has decommissioned their system, did they submit a written intent to decommission notification to the TCEQ regional office and local government with jurisdiction at least 30 days prior to the beginning decommissioning activities and condu	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.241(b)	*Did the facility submit a decommissioning completion notice to the TCEQ regional office within 10 days of decommissioning?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC Part 1, Chapter 115.227	*Does the facility have documentation to support an exemption from or compliance with Stage I standards?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC Part 1, Chapter 115.217	*If located in Beaumont/Port Arthur, Dallas/Fort Worth, El Paso, and Houston/Galveston areas, does the facility have documentation to support an exemption from or compliance with Loading and Unloading of Volatile Organic Compounds standards?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC Part 1, Chapter 115.237	*If located in Beaumont/Port Arthur, Dallas/Fort Worth, El Paso, and Houston/Galveston areas, does the facility have documentation to support an	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

exemption from or compliance with Control of Volatile Organic Compound Leaks from Transport Vessels standards?

30 TAC Part 1,  
Chapter 115.257

\*If located in El Paso county, does the facility have documentation to support an exemption from or compliance with Control of Reid Vapor Pressure of Gasoline standards?

### Generator Status

Rule Citation	Question	Yes	No	N/A
30 TAC 335.78(a), 40 CFR 261.5	Is the facility a Conditionally Exempt Small-Quantity Generator? CESQGs generate no more than 220 pounds of hazardous waste per month; generate up to 2.2 pounds of acutely hazardous waste per month; never accumulate more than 2,200 pounds of hazardous was	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34	Is the facility a Small-Quantity Generator? SQGs generate more than 220 pounds but less than 2,200 pounds of hazardous waste per month; generate up to 2.2 pounds of acutely hazardous waste per month; never accumulate more than 13,200 pounds of hazardous w	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34	Is the facility a Large-Quantity Generator? LQGs generate more than 2,200 pounds of hazardous waste per month; generate more than 2.2 pounds of acutely hazardous waste per month; and have a 90-day storage time limit.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Waste Regulations (General Requirements)

Rule Citation	Question	Yes	No	N/A
30 TAC 335.62, 335.504	*Has the facility performed a hazardous waste determination on all solid waste streams?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335.510, 335.511, 335.513	*Does the facility maintain documentation to support all hazardous waste determinations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335.9	*Does the facility have records of monthly waste generation to support its claimed generator status?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335.6,335.63	*Is this facility registered with the TCEQ as a hazardous waste generator? (not required for CESQG) TCEQ Registration No. _____ EPA ID _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.503	*Is all non-hazardous waste classified as Class 1, Class 2, or Class 3?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.6	*If this facility generates greater than 220 lbs of Class 1 waste are they registered with the TCEQ? (Only required if not already registered as a SQG or LQG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.6	*Is the facility's Notice of Registration (NOR) up to date, including all waste streams and waste management units? (Not required for CESQG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.9	*Has the facility submitted an Annual Waste Summary each year? (Not required for CESQG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.9, 335.70	*Does the facility fulfill all other recordkeeping and reporting requirements for its generator status?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.9	*Has the facility reconciled their manifests with their records of generation to verify the amounts of waste transported off-site and disposed?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Waste Regulations (On-Site Accumulations Requirements)

Rule Citation	Question	Yes	No	N/A
30 TAC 335.69	*Does the facility comply with appropriate accumulation time requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.69, 40 CFR 262.34(c), 262.34(d), 261.5	*Does the facility comply with appropriate accumulation quantity requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 261.190	Is hazardous waste accumulated in tanks at the facility? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.191	*a. Has the tank system's integrity been assessed and certified by an independent, qualified, registered professional engineer? (Applies to LQG only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.69(a)(3)	*b. Are tanks labeled with the words "hazardous waste"?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.195	*c. Are records kept of daily tank inspections?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.193(e)	*d. Do tanks have a secondary containment system designed to contain 100% of the largest tank within its boundaries? (LQG only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.193(e)	*e. If yes, is the secondary containment either designed or operated to prevent run-on or infiltration of precipitation into the secondary containment system or have sufficient excess capacity to contain run-on or infiltration of precipitation from a 25 y	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Is hazardous waste accumulated in container storage areas at the facility? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 265.172	*a. Are waste containers labeled, dated, closed, and compatible with their contents? (Required for LQG and SQG only, although CESQG may want to adhere to this also.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If the facility is a SQG or LQG:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 265.174	*a. Does the facility conduct weekly container inspections?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.15(d)	*b. Does the facility document weekly container inspections?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.16	*c. Have employees been trained in the handling of hazardous waste with regards to their job duties?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.55	*d. Has an emergency response coordinator and alternative been designated, available 24 hours a day to respond to on-site spills and accidents?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.69(f)(5)(B) , 40 CFR 262.34(d)(5)(ii)(A)	*e. Have emergency numbers been posted by the telephone at the facility?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34	Is hazardous waste accumulated in satellite accumulation areas at the facility?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	If yes, answer the following if the facility is a SQG or LQG	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 265.172, 262.31, 262.34(a)(3), 262.34(a)(2)	*a. Are waste containers labeled, closed and compatible with their contents?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34(c)(1)	*b. Is the amount of accumulated waste at each satellite accumulation point less than 55 gallons or 1 quart of acutely hazardous waste?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34(c)(2)	*c. Is waste from the satellite area moved to a waste management unit within 3 days once the 55-gallon limit or 1 quart of acutely hazardous waste is exceeded?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265 Subpart I, 262.34	*d. Is the location of the satellite accumulation area documented? For rule interpretation, see the EPA FAQ here: <a href="http://www.epa.gov/osw/hazard/generation/labwaste/memo-saa.htm">http://www.epa.gov/osw/hazard/generation/labwaste/memo-saa.htm</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.6	*Have all on-site and off-site hazardous waste recycling activities been registered with the TCEQ? (entered on NOR or Form TCEQ-0525, SQG and LQG only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

40 CFR 264.13(b)	*If hazardous waste is treated, stored, or disposed of on-site, has the facility compiled a waste analysis plan (WAP) or obtained a permit for that activity?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
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### Waste Regulations (Transportation and Disposal Requirements)

Rule Citation	Question	Yes	No	N/A
30 TAC 335 Subchapter D	*Does the facility use a TCEQ/EPA registered transporter? (CESQGs may transport their own waste without a manifest to an authorized disposal facility.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.41	*Does the facility use a TCEQ/EPA permitted treatment, storage, disposal (TSD) facility?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.10	*Does the facility manifest all hazardous and Class I waste that is transported? ☐ (Applies to SQG, LQG, and CESQGs that generate more than 220 lbs of Class I waste. Class I waste sent for recycling does not require a manifest.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.13(i)	*Does the facility have all applicable copies (generator/transporter/disposal) of manifests for the last 3 years? (Applies to SQG and LQG only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Universal Waste Regulations

Rule Citation	Question	Yes	No	N/A
30 TAC 335 Subchapter H Division 5	Does the facility currently manage any of its hazardous waste streams as “universal waste?” If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335 Subchapter R, 40 CFR 273	*a. Are the waste streams appropriately classified and eligible for coverage under the universal waste rule?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273.14, 273.34, 30 TAC 335.69(a)(1)(A)	*b. Are all containers holding universal waste properly labeled?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273.13, 273.33, 30 TAC 335.262(c)(2)	Are universal waste containers closed, structurally sound, compatible with the waste, and lacking signs of leakage?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273.15, 273.35	*Are all universal waste streams shipped to a TSD facility or universal waste handler within 1 year of their initial generation date?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.69(a), 40 CFR 262.34(a)	*If not, does the facility have appropriate documentation on hand to show that an extended time limit is needed to facilitate proper recovery, treatment or disposal of universal waste?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR Section 273.39	*If the facility is a Large Quantity Handler of universal waste, are all universal waste shipments accompanied by a bill of lading or other shipping document?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273.32	*If the facility is a Large Quantity Handler of universal waste, has written notification of universal waste management been sent to the TCEQ and has the facility been assigned an EPA identification number before accumulating or exceeding the 5,000 kg sto	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273 Subpart E	*Does the facility use a TCEQ/EPA authorized recycling or TSD facility for handling universal waste?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Other Requirements

Rule Citation	Question	Yes	No	N/A
40 CFR Part 372.3, 372.22(a)	Is the facility subject to Section 313 of EPCRA requirements for annual toxic release inventory?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 372.3	a. Did the facility employ more than 10 full-time employees during the year(s) in question? Or, if less than 10 full-time employees, were more than 20,000 hours worked collectively by all full-time, part-time and contract employees for the facility? Per	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 372.23	b. Does the Business SIC/NAICS code trigger TRI reporting? For NAICS, go to <a href="http://www.census.gov/eos/www/naics">www.census.gov/eos/www/naics</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 372.28	c. During the year in question, did the facility use more than the threshold amounts of a toxic chemical? It should be noted that thresholds for reporting of persistent bioaccumulative toxic (PBT) chemicals are significantly lower than for non-PBTs (see 4 That is:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 372.25	*i. Did the facility "manufacture" (a covered TRI activity) more than 25,000 pounds of non-PBT toxic chemical, or more than the threshold amount of a PBT chemical?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 372.25	*ii. Did the facility "process" (a covered TRI activity) more than 25,000 pounds of non-PBT toxic chemical, or more than the threshold amount of a PBT chemical?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 372.25	*iii. Did the facility "otherwise use" (a covered TRI activity) more than 25,000 pounds of non-PBT toxic chemical, or more than the threshold amount of a PBT chemical?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 370.42	*Does the facility comply with the Texas Department of State Health Services' requirements for Tier II? <a href="http://www.dshs.state.tx.us/tiertwo/">www.dshs.state.tx.us/tiertwo/</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.474	*a. Has a Source Reduction Waste Minimization Plan (SR/WM) been developed? (SQGs, LQGs and TRI form R reporters submit once every 5 years) Plan requirements can be found in the publication Guide to Pollution Prevention Planning at <a href="http://www.tceq.texas.gov">http://www.tceq.texas.gov</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.476	*b. Has an Executive Summary of the SR/WM plan and a Certificate of Completeness and Correctness been submitted? (SQGs, LQGs and TRI form R reporters only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.476	*c. Has an Annual Progress Report been submitted? (LQGs and TRI form R reporters only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.476	*d. If the facility is a SQG, are they meeting annual progress report requirements either through their annual waste summary and hazardous waste reduction goals or by submitting an Annual Progress Report?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
29 CFR 1910.1200(g)(8)	*Does the facility have Safety Data Sheets (SDS) or other information for all chemicals used in the last 24-months?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 327	Have there been any spills at the facility? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 327.5	*a. Has the facility taken appropriate reporting and abatement actions? <a href="http://www.tceq.texas.gov/response/spills.html">Http://www.tceq.texas.gov/response/spills.html</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	*Does the facility practice good housekeeping?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

### Multimedia Recordkeeping Review

Rule Citation	Question	Yes	No	N/A
	Can the facility demonstrate adequate recordkeeping with all applicable rules and permits? Note: A minimum of 25% of all required records must be reviewed during the site visit. List records reviewed in the comment section below.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

