



# Small Business and Local Government Assistance

## E-Recycling Compliance Checklist

This checklist is for guidance purposes only. It is not a substitute for the rules and regulations. The Small Business and Local Government Assistance (SBLGA) program is separate from the Office of Compliance and Enforcement (OCE) of the Texas Commission on Environmental Quality (TCEQ). Contact SBLGA on its toll-free hotline 800-447-2827 or visit the SBLGA website <www.texasenvirohelp.org>.

**Company Information**    \_\_\_ 1st visit    \_\_\_ 2nd visit    \_\_\_ C2 Renewal Site Visit Date: \_\_\_\_\_

<b>Company Name</b>	<input type="text"/>	<b>Facility Contact</b>	<input type="text"/>
<b>Mailing Address</b>	<input type="text"/>	<b>Physical Address</b>	<input type="text"/>
	<input type="text"/>		<input type="text"/>
	<input type="text"/>		<input type="text"/>
	<input type="text"/>	<b>County</b>	<input type="text"/>
<b>Owner's Name</b>	<input type="text"/>	<b>Business Phone</b>	<input type="text"/>
<b>Date of Construction</b>	<input type="text"/>	<b>Primary SIC</b>	<input type="text"/>
<b>Start of Operation</b>	<input type="text"/>	<b>Secondary SIC</b>	<input type="text"/>
<b>Latitude</b>	<input type="text"/>	<b>Longitude</b>	<input type="text"/>
<b>RN/CN</b>	<input type="text"/>	<b>Facility ID</b>	<input type="text"/>

**Important Notes:**

•Compliance-related questions are denoted with an asterisk (\*). Answering “no” to a question with an asterisk may mean the facility is out of compliance with state or federal environmental rules.

•Have there been any process changes since the last site visit?\*

\*If yes, explain the changes and include the date of changes in the comments

### Air Regulations (30 TAC 116.119) – De Minimis Status

Rule Citation	Question	Yes	No	N/A
30 TAC 116.119	Does this facility claim De Minimis status?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 116.119	In order to claim De Minimis, you must answer yes to either (a) and (b), or (c).	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 116.119(a)(2)	*a. Does the facility meet the material usage limits?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 116.119(a)(2)	*b. Does the facility maintain records demonstrating compliance with the usage limits?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 116.119 (a)(1)	*c. Or, are sources at the facility claimed as De Minimis included on the "De Minimis Facilities and Sources" list? <a href="http://www.tceq.state.tx.us/permitting/air/guidance/newsourcereview/list-of-de-minimis-facilities.html">Http://www.tceq.state.tx.us/permitting/air/guidance/newsourcereview/list-of-de-minimis-facilities.html</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 116.119 (b)	If the facility is claiming De Minimis, this status could be revoked if the facility is in violation of any agency rule.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

### Air Regulations (30 TAC 106) – Permits by Rule

Rule Citation	Question	Yes	No	N/A
30 TAC 106	Does the facility claim a Permit by Rule (PBR)? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 106	Does the facility meet all requirements of Permits by Rule (PBR) claimed?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 106.227	*Soldering, Brazing, Welding	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.261	*Facilities Emissions Limitations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.265	*Hand-held and Manually Operated Machines	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106	*Other/Previous PBRs: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106	*Other/Previous PBRs: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106	*Other/Previous PBRs: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.4	Does the facility meet the requirements in 30 TAC 106.4?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.8	*Does the facility maintain records that demonstrate compliance as required by 30 TAC 106.8 for all PBRs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Air Regulations (30 TAC 101) – Emission, Maintenance, Start-up, Shutdown

Rule Citation	Question	Yes	No	N/A
30 TAC 101.201	*Does the facility track all reportable and non-reportable emission events and report them to TCEQ by March 31 of each year?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 101.211	*Does the facility track all reportable and non-reportable scheduled maintenance, start-up, and shut-down activities and report them to TCEQ by March 31 of each year?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 101.10	Is the facility required to submit an annual emissions inventory?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 101.201(b), 101.211(b)	*Are these records maintained for a minimum of 5 years?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Air Regulations

Rule Citation	Question	Yes	No	N/A
40 CFR Part 63	*Does the facility comply with any applicable National Emission Standards for Hazardous Air Pollutants (NESHAP) if it is a source of hazardous air pollutants (HAPs)? <a href="http://www.epa.gov/ttn/atw/mactfnlalph.htm">http://www.epa.gov/ttn/atw/mactfnlalph.htm</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 111 Subchapter A	*Does the facility comply with any applicable 30 TAC Chapter 111 requirements? (Control of Air Pollutants from Visible Emissions and Particulate Matter)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 101.4	*Does the facility avoid being a nuisance (noise, dust, odor, etc.)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Air Regulations (30 TAC 116) – Permits

Rule Citation	Question	Yes	No	N/A
30 TAC 116	*Does this facility have an air permit? If yes, Permit No. _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 116	*If yes: Does the facility comply with all permit conditions? (Use comments section)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Air Regulations (30 TAC 115) – In addition to any other requirements, coating, solvent using, and degreasing processes in the following counties must meet the requirements outlined in this section.**

Rule Citation	Question	Yes	No	N/A
	Is the facility located in the Dallas/Ft. Worth Area (Johnson, Kaufman, Parker, Rockwall, Collin, Denton, Dallas, Tarrant, Ellis counties)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Is the facility located in the Houston/Galveston Area (Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, Waller counties)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Is the facility located in the Beaumont/Port Arthur Area (Hardin, Orange, Jefferson counties)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Is the facility located in any of the following counties (Bastrop, Bexar, Caldwell, Comal, El Paso, Gregg, Guadalupe, Hays, Nueces, Travis, Victoria, Williamson, Wilson)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 115	*If yes to any of the above, does the facility comply with applicable 30 TAC Chapter 115 requirements? (Control of Air Pollution from Volatile Organic Compounds)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Air Regulations (30 TAC 117) – In addition to other requirements, facilities located in the counties in the Dallas/Fort Worth Area or Houston/Galveston/Brazoria Area Ozone Nonattainment Area listed above must meet the requirements in this section.**

Rule Citation	Question	Yes	No	N/A
30 TAC 117.10(29)	Is the facility a major source of NOx? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 117 Subchapter B	*a. Is the facility compliant with all applicable parts of 30 TAC Chapter 117 Subchapter B?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117 Subchapter D	Is the facility a minor source of NOx, operating a stationary internal combustion engine? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 117.2103	*a. If located in DFW area, is the facility meeting an exemption listed in 30 TAC 117.2103? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117.2103(c), 117.2135(e), 117.2145(b) and (c)	*b. Does the facility have records showing compliance with the exemption and 30 TAC 117.2103(c), 117.2135(e), and 117.2145(b) and (c)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117.2003	*c. If located in HGB area, is the facility meeting an exemption listed in 30 TAC 117.2003? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117.2030(c), 117.2035(e), and 117.2045(b) and (c)	*d. Does the facility have records showing compliance with the exemption and 30 TAC 117.2030(c), 117.2035(e), and 117.2045(b) and (c)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117 Subchapter D	*If the facility is not meeting an exemption, does the engine meet the associated emission specification and does the facility comply with the applicable operational, testing, reporting and recordkeeping requirement?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Air Regulations (30 TAC 122) – Federal Operating Permit**

Rule Citation	Question	Yes	No	N/A
30 TAC 122.10(13)	Is the facility a major source?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 122.120	*If yes, does the facility have a federal operating permit? If yes, operating permit number: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Air Regulations (30 TAC 113 and 40 CFR Parts 60, 61, and 63 Requirements) – Federal Requirements**

Rule Citation	Question	Yes	No	N/A
30 TAC Chapter 113, 40 CFR Part 61 and Part 63	*If the facility is a source of hazardous air pollutants (HAPs), do they comply with applicable National Emission Standards for Hazardous Air Pollutants (NESHAP)? For more information on NESHAPs, visit the EPA's Web page at <a href="http://epa.gov/ttn/atw/mactfnla">http://epa.gov/ttn/atw/mactfnla</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC Chapter 113, 40 CFR Part 63	*Does the facility comply with any applicable 30 TAC 113 requirements? (Standards of Performance for HAPs)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## Public Water Supply

Rule Citation	Question	Yes	No	N/A
30 TAC 290.38(66)	Does the facility have a well or manage a water distribution system that provides drinking water to employees or customers? If no, then this section does not apply.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 290.38(66)	Does the facility have a well or manage a water system that provides drinking water to at least 15 service connections or serve at least 25 individuals at least 60 days out of the year? If no, then this section does not apply.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.38(14), (52), (54), (77)	What type of PWS system does the facility have?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 290.38(77)	a. transient, non-community – serves at least 25 people at least 60 days of the year and is not part of a community system.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.38(54)	b. non-transient, non-community – serves at least 25 of the same people at least 6 months out of the year and does not include residential service connections.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	What is the water source for the PWS?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 290.38(29)	a. Groundwater	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	b. Surface water	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.38(30)	c. Groundwater under the influence of surface water	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.39(a)	*Is the facility registered with the TCEQ as a PWS?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.46(e)	*Does the facility have a licensed operator? (transient non-community water systems are exempt if using groundwater or purchase treated water from another public water system)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.109	*Does the facility conduct monthly microbiological testing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.110(b)(4), 290.110(b)(5)	*Does the facility conduct chlorine residual testing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.106, 290.107, 290.108	*Does the facility conduct other contaminant testing as required for their system?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.106 (inorganic), 290.107 (organic), 290.108 (radionuclides)	Indicate what contaminants the facility is testing for: ☐ _____ _____ _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.45(a)(2)	*Does the facility conduct water pressure testing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## Stormwater Discharges

Rule Citation	Question	Yes	No	N/A
MSGP Part II, Section A	Does the facility have an SIC code that requires coverage by a stormwater permit ( <a href="http://www.osha.gov/pls/imis/sicsearch.html">http://www.osha.gov/pls/imis/sicsearch.html</a> ) If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Does the facility have coverage under the Multi-Sector General Permit (MSGP) for discharges from industrial activities or the No Exposure Certification (NEC)? To find out if you have coverage, check <a href="http://www2.tceq.texas.gov/wq_dpa/index.cfm">http://www2.tceq.texas.gov/wq_dpa/index.cfm</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### For facilities covered under the Multi-Sector General Permit for Discharges from Industrial Activities

Rule Citation	Question	Yes	No	N/A
MSGP Part II, Section B.2	*If the facility has a TPDES wastewater discharge permit, is the facility in compliance with stormwater discharge requirements in that authorization?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section B.12	Does the facility discharge stormwater? If no, the facility is not eligible for Multi-Sector General Permit (MSGP) as there is no discharge to sample.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1	Does the facility have discharge authorization under the No Exposure Certification (NEC)? If yes, the rest of this section will not apply.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section A.1.(a)	*Does the facility have a Storm Water Pollution Prevention Plan (SWP3) prepared, implemented, and readily available?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section A.1.(a)	*Does the facility update the SWP3 as changes are made on site?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section B and D	*Does the facility conduct the periodic inspections and discharge monitoring in the frequency and manner required? Example: Samples are collected in first 30 minutes of discharge during the appropriate permit period and approved sampling methods are used.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section B.3	*Does the facility conduct Quarterly Visual Monitoring? It is required every calendar quarter for all facilities.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section B.7.(c)(3)e.	*Does the facility use controls to prevent exposure of any Pollutant of Concern (POC), document that the POC is not present, or provide analytical data showing that the discharge will not contribute to the impairment/TMDL? (Part II, Section B.7. Impaired	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section B.7.(e)(3)b.	*If the Water Quality Monitoring shows that a POC is above the benchmark value, has the facility implemented a Pollutant Reduction Plan (PRP) for controlling those discharges?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section C. 1.(d)	*Does the facility conduct Numeric Effluent Limitations (aka hazardous metals) Monitoring (applies annually to all facilities)? If certain conditions are met, facilities may opt out of all or part of this requirement.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part IV	*Does the facility conduct Benchmark Monitoring (applies twice per year to all facilities except those in industry Sectors I, P, R, V, W, X, Z, AB, AC)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part IV, Section A.2.	*If discharges are not within benchmark limits, have actions been taken to improve the quality of the discharges (applies to all facilities except those in industry Sectors I, P, R, V, W, X, Z, AB, AC)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section C. 1.(c)(1)	*Are monitoring results recorded on Discharge Monitoring Reports (DMR)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section C.1.(c)(3)	*Does the facility report any noncompliance by March 31 of the following calendar year?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

MSGP Part III. Section B.5	*Does the facility conduct an Annual Comprehensive Site Compliance Inspection? This inspection may substitute for a routine facility inspection if scope is sufficient to meet requirements in Part III. Section B.5.(a).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III. Section B.5.(b)	*Does the facility prepare an Annual Comprehensive Site Compliance Inspection Report (signed and certified as required in Part III. Section E.6.(c)) within 30 days of performing the annual site compliance inspection?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section D.1(c)	*Does the facility have a rain gauge on-site or in the immediate area and maintain a rainfall log on-site or that is readily available?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section D.1.(c)	*Does the facility monitor the rain gauge and log rainfall activity at a minimum of once per week and once per day during storm events?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### For facilities covered under the No Exposure Certification

Rule Citation	Question	Yes	No	N/A
MSGP Part II, Section C.1	Does the facility meet the requirements of the no exposure certification?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1	*There are no industrial materials or activities (including using, storing or cleaning industrial machinery or equipment, and areas where residuals from using, storing or cleaning industrial machinery or equipment remain) exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1	*There are no materials or residuals on the ground or in stormwater inlets from spills/leaks exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1	*There are no materials or products from past industrial activity exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1.(a)(4)	*There is no material handling equipment (except adequately maintained vehicles) exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1	*There are no materials or products during loading/unloading or transporting activities that are exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1.(a)(2)	*There are no materials or products stored outdoors that may be exposed to stormwater (except final products intended for outside use [e.g., new cars] where exposure to stormwater does not result in the discharge of pollutants).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1.(a)(1)	*There are no materials contained in open, deteriorated or leaking storage drums, barrels, tanks, and similar containers that may be exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1	*There are no materials or products handled/stored on roads or railways owned or maintained by the operator that may be exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1.(a)(5)	*There is no waste material (except waste in covered, non-leaking containers [e.g., dumpsters]) that may be exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part V, Section E.2	*There are no activities that include application or disposal of process wastewater that are not otherwise permitted.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1.(a)(7)	*There is no particulate matter or visible deposits of residuals from roof stacks and/or vents not otherwise regulated (i.e., under an air quality control permit) evident in the stormwater discharge.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Discharge to Publicly Owned Treatment Works (Sanitary Sewer System)

Rule Citation	Question	Yes	No	N/A
	Does the facility discharge process wastewater to the sewer system? If yes,	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 403.8(f)(1)(iii)	*Has the facility obtained permission from the publicly owned treatment works to discharge process wastewater?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR Part 403.1, 30 TAC 315	a. Does the publicly owned treatment works have an approved pretreatment program?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 403.8(f)(1)	*b. Does the facility have a permit to discharge process wastewater to the publicly owned treatment works?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 403.8(f)(1)	*c. Does the facility comply with the requirements of this permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If the publicly owned treatment works does not have an approved pretreatment program,	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR Parts 405 through 471	a. Is the facility a categorical industrial user subject to the requirements of any category in 40 CFR Parts 405 through 471?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 403.12(e)	*b. If yes, does the facility submit monitoring reports to the TCEQ each June and December?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 403.12(h)	c. If no, the facility may be required to submit semi-annual monitoring reports to the TCEQ if it is a significant non-categorical industrial user. It is also recommended that the facility contact the city and inform them of the nature of their discharge.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

### Discharges to On-Site Sewage Facilities (Septic Systems)

Rule Citation	Question	Yes	No	N/A
30 TAC 285.2(45), 285.2(66)	*Does the facility avoid discharging any process wastewater to a septic system? (Note: On-site septic systems can only be used for domestic sewage.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Discharges to Waters in the State

Rule Citation	Question	Yes	No	N/A
	Does the facility discharge wastewater into surface water (via outfall, run-off, storm drains, rivers, creeks, dry waterways)? If no, the rest of this section does not apply. If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 281.25	*Does the facility have a Texas Pollutant Discharge Elimination System (TPDES) Permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.1, Individual Permit	*a. Does the facility meet the daily average flow from each outfall?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.1, Individual Permit	*b. Does the facility meet the daily maximum flow from each outfall?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.1, Individual Permit	*c. Does the facility meet the discharge limitation for each parameter?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.11, Individual Permit	*d. Does the facility conduct monitoring and sampling as required by their discharge permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.7, Individual Permit	*e. Does the facility submit discharge monitoring reports (DMRs) as required by their permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 305.125, 40 CFR 122.41	*f. Does the facility submit non-compliance reports?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 281.25, 40	*g. Does the facility's TPDES wastewater discharge permit include stormwater	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Individual Permit	*h. Is the facility in compliance with stormwater discharge requirements listed in their TPDES wastewater discharge permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Individual Permit	Does the facility dispose of wastewater adjacent to surface water (by irrigation, evaporation pond, subsurface injection, or another approved method)? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 312, Subchapter (B)	*a. Does the facility have a Texas Land Application Permit (TLAP)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 312, Subchapter (B)	b. If so, are they in compliance with the requirements of the TLAP permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Edwards Aquifer Regulations

Rule Citation	Question	Yes	No	N/A
30 TAC 213 Subchapter A, 30 TAC 214	Is the facility located in Bexar, Comal, Hays, Kinney, Medina, Travis, Uvalde, or Williamson Counties?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 213, 214	If in the affected counties, is the facility located over the recharge zone, contributing zone, transition zone, or contributing zone within the transition zone of the Edwards Aquifer? For maps, see <a href="http://www.tceq.texas.gov/field/eapp/vendors.html">http://www.tceq.texas.gov/field/eapp/vendors.html</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 213	If yes, please see the Edwards Aquifer Compliance Checklist as the facility has additional compliance requirements.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

### Waste Regulations – Industrial/Hazardous Recycling

Rule Citation	Question	Yes	No	N/A
30 TAC 328.149(b)(1)(E), 328.193(b)(1)(E)	Does the facility accept materials containing hazardous materials (heavy metals, batteries, PCBs, free flowing oils and lubricants)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.24(a)	*If yes, does the facility separate the hazardous from the nonhazardous materials?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.6(h)	*Is the facility required to notify the agency under 30 TAC 335.6(h)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.24(j), 335.24(l)	Is the facility required to submit closure costs and plans (stores combustible nonhazardous materials outdoors, or material that poses a significant risk to public health and safety)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	*If yes, have they done so?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.24(k)	Is the facility required to have and maintain financial assurance, as listed in 30 TAC 335.24(k) (stores nonhazardous combustible recyclable materials outdoors, or material that poses a significant risk to public health and safety)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	*a.If yes, have they done so?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.24(e)	Does the facility store waste materials prior to recycling?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.24(b)	Does the facility accept any of the materials listed below:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.24(b)(4)	a. Spent lead acid batteries that are being reclaimed?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 261.4(a)(13)	b. scrap metal that is not excluded under 40 CFR 261.4(a)(13)? (CFR: Code of Federal Regulations)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Does the facility meet the general prohibitions in 30 TAC 335.4 which are listed	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

below? If the statement is "true," then mark "yes."

30 TAC 335.4(1)	*a. the facility does not cause the discharge or imminent threat of discharge of industrial solid waste or municipal hazardous waste into or adjacent to the waters in the state without obtaining specific authorization for such a discharge from the TCEQ.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.4(2)	*b. the facility does not create a nuisance.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.4(3)	*c. the facility does not endanger public health or welfare.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Waste Regulations (30 TAC 328, Subchapter I) – Computer Equipment Recycling**

Rule Citation	Question	Yes	No	N/A
30 TAC 328.133(a)	*Does the facility recycle computer equipment for persons that manufacture or sell new computer equipment in Texas? (Computer Equipment: desktop, notebook or tablet, including monitor or non-tuner display device and accompanying keyboard or mouse from	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328, Subchapter I	If the answer to the previous question is no, the rest of this section does not apply.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)	*Does the facility meet the general requirements for recyclers and 30 TAC 328.149(b)(1)? (a-e below)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
328.149(b)(1)(A)	a. Does the facility manually dismantle the computer equipment for reusable components or process for recycling either in accordance with 30 TAC 328.4 (b) for those facilities subject to and not exempted from that section, or in accordance with the follow	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(A)(i)	(i) Can the facility show that the material is potentially recyclable and has an economically feasible means of being recycled?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(A)(ii)	(ii) Does the facility process for recycling or transfer to a different site for recycling at least 50% by weight or volume of material accumulated every six months?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(B)	b. Does the facility only dispose of computer equipment that cannot be refurbished, reused, or recycled?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(C)	c. For all transfers of computer equipment intended for recycling, does the facility maintain commercial contracts that include the following:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 328.149(b)(1)(C)(i)	(i) Computer equipment quantity and type;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149	(ii) Packaging requirements; and	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149	(iii) Recycling methods and specifications.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(D)	d. Does the facility maintain records for a minimum of three years that include any of the following that are applicable:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(D)(i)	(i) Manifests;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(D)(ii)	(ii) Bills of lading;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(D)(iii)	(iii) Waste disposal records; and	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	(iv) Records that document:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC	1) if the computer equipment is sent to the facility affiliated with the recycler, the	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

328.149(b)(1)(D)(iv)	facility's location and condition of the computer equipment (refurbished, reusable, recyclable, or to be determined); and			
30 TAC 328.149(b)(1)(D)(iv)(I) ) 328.149(b)(1)(D)(iv)(I I)	2) If the computer equipment is sent to a facility not affiliated with the recycler, location of the first unaffiliated facility to which the computer equipment is sent and condition of the computer equipment (refurbished, reusable, recyclable, or to be d	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	e. Does the facility maintain and possess a written work practice that specifically addresses (how to handle) at least the following:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(E)(i)	(i) Lead;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(E)(ii)	(ii) Mercury;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(E)(iii)	(iii) Beryllium;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(E)(iv)	(iv) Cadmium;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(E)(v)	(v) Batteries;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(E)(vi)	(vi) Polychlorinated biphenyls; and	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(E)(vii)	(vii) Free-flowing liquids such as oils and lubricants.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(F)	*Does the facility store and process the computer equipment in a manner that minimizes the potential release of any hazardous substance into the environment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(G)	*Does the facility package all computer equipment designated for reuse or processing in a manner that protects against damage and minimizes the potential for releases of hazardous substances during storage and transportation?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(1)(H)	*Does the facility operate in accordance with closure and financial assurance requirements in 30 TAC 328.5, unless exempted under 30 TAC 328.5?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.149(b)(2)(B)	*Does the facility have a written, up-to-date plan for responding to and reporting pollution releases, including spills, fires, or explosions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Waste Regulations (30 TAC 328, Subchapter J) – Television Equipment Recycling

Rule Citation	Question	Yes	No	N/A
30 TAC 328.165(4), 30 TAC 328.181(b)	*Does the facility recycle covered television equipment in Texas as defined in 30 TAC 328.165(4)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.181	If the answer to the previous question is no, the rest of this section does not apply.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.193(b)(1)	*Does the facility meet the general requirements for recyclers and 30 TAC 328.193? ( a-f below)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.193, 328.181(b)(1)	a. Has the facility registered with the commission and certified that the facility is in compliance with the standards adopted under 328.193 of this title relating to management of collected television equipment? ( To be completed on or before January 31	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

30 TAC 328.4(b), 328.193(b)(1)(A)	b. Following all efforts to refurbish or reuse covered television equipment, is all remaining covered television equipment manually dismantled for reusable components or processed for recycling either in accordance with 30 TAC 328.4 (b) or in accordance w	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(A)(i)	(i) Can the facility show that the material is potentially recyclable and has an economically feasible means of being recycled?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.4(b)(3), 328.193(b)(1)(A)(ii)	( ii) Does the facility process for recycling or transferred to a different site for recycling at least 50% by weight or volume of material accumulated every six months?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 335, 330.11(e), 328.193(b)(1)(B)	c. Does the facility only dispose of television equipment that cannot be refurbished, reused, or in accordance, with 30 TAC Chapter 335, 30 TAC 330.11(e)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(C)	d. For all transfers of television equipment intended for recycling, does the facility maintain commercial contracts that include the following:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(C)(i)	(i) Covered television equipment quantity and type;	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(C)(ii)	(ii) Packaging requirements; and	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(C)(ii)	(iii) Recycling methods and specifications.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(D)	e. Does the facility maintain records for a minimum of three years that include any of the following that are applicable:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(D)(i)	(i) Manifests;	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(D)(ii)	(ii) Bills of lading;	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(D)(iii)	(iii) Waste disposal records; and	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(D)(iv)(I )	(iv) Records that document:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(D)(iv)(I )	1) If the television equipment is sent to a facility affiliated with the recycler, the facilities location, and the condition of the television equipment (refurbished, reusable, recyclable, or to be determined); and	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(D)(iv)(I I)	2) If the television equipment is sent to a facility not affiliated with the recycler, the location of the first unaffiliated facility to which the television equipment is sent and the condition of the television equipment.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(E)	f) Does the facility maintain and possess a written work practice that specifically addresses (how to handle) at least the following:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(E)(i)	(i) Lead;	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(E)(ii)	(ii) Mercury;	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(E)(iii)	(iii) Beryllium;	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

30 TAC 328.193(b)(1)(E)(iv)	(iv) Cadmium;	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(E)(v)	(v) Batteries;	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(E)(vi)	(vi) Polychlorinated biphenyls; and	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(E)(vii)	(vii) Free flowing liquids such as oils and lubricants.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(F)	*Does the facility store and process the television equipment in a manner that minimizes the potential release of any hazardous substance into the environment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(1)(G)	*Does the facility package all television equipment designated for reuse or processing in a manner that protects against damage and minimizes the potential for release of hazardous substances during storage and transportation?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.5, 328.193(b)(1)(H)	*Does the facility operate in accordance with closure and financial assurance requirements in 30 TAC 328.5, unless exempted under 30 TAC 328.5?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 328.193(b)(2)(B)	*Does the facility have a written, up-to-date plan for responding to and reporting pollution releases, including spills, fires, or explosions?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

### Waste Regulations (30 TAC 328.4) – Recycling Notification Limitations on Storage

Rule Citation	Question	Yes	No	N/A
30 TAC 328.4(b)(1)	*Has the facility demonstrated that material it accumulates is potentially recyclable and has an economically feasible means of being recycled?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.4(b)(2)	*Does the facility maintain all records necessary to demonstrate that within 270 days of commencement of operations, the amount of each material recycled or transferred to a different site for recycling equals 25% by weight or volume of all material accum	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.4(b)(3)	*Does the facility keep all records necessary to demonstrate that during each subsequent six-month period, the facility either recycles or transfers to a different site for recycling at least 50% by weight or volume of material accumulated at the beginnin	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.4(b)(3)(A)	*In calculating the percentage of turnover each six-month period, are the percentage limits applied to each material of the same type?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.4(b)(3)(B)	*Can the site show that materials processed for recycling are contained, covered, or otherwise managed to protect them from degradation, contamination, or loss of value as recyclable material? (If so, these materials should not count against the site in c	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.2(4)(b)(3)(B)(ii)	*Have materials from other recycling facilities that are not included in the percentage turnover calculations been processed for recycling?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.2(3), 328.4(b), 328.4(f)	*Has the facility been granted approval for alternative compliance with the standards in the definition of incidental amounts of nonrecyclable waste or with the storage limitations)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.4(c-d)	*If the facility processes recyclable material that contains more than incidental amounts of nonrecyclable wastes, and it is not approved for alternative compliance, has it obtained a permit or registration?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 330.9(c), 328.4(f)	*Has the executive director requested in writing that the recycling facility obtain a permit for registration as a municipal solid waste facility under 30 TAC Chapter 330 due to failure to comply with the requirements of 30 TAC 328.4?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.4(f)	*If the answer to either of the previous 2 questions is yes, is the facility operating with	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

the approved alternate compliance authorization or with the required permit or registration?

### Waste Regulations (30 TAC 328.5) – Recycling Notification – Recordkeeping

Rule Citation	Question	Yes	No	N/A
	Does the facility maintain all records to show:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 328.4	*a. Compliance with the requirements of 30 TAC 328.4 (demonstration that the material is recyclable and economically feasible to recycle, recyclable turnover rate, percentage of nonrecyclable material received, etc.)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.5(f)(2)	*b. Reasonable efforts to maintain source separation of material received?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.5(f)(2)(A)	*c. Records documenting notice to customers of source separation requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.5(f)(2)(B)	*d. Records documenting training of staff in the inspection of incoming loads to ensure that they contain no more than 10% incidental, nonrecyclable wastes? (Incidental, non-recyclable waste is waste that accompanies a recyclable material despite reasona	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.5(f)(2)(C)	*e. Documentation of loads rejected for exceeding 10% incidental nonrecyclable waste?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.5(f)(2)(D)	*f. Documentation that incidental nonrecyclable waste constitutes no more than 5% of the average total scale weight or volume of all materials received in the last 6 months?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.5(c)	g. Does the facility store combustible material outdoors?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.5(d)	*h. If yes, is there proof of financial assurance sufficient cover all closure costs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.4(g), 328.5(h)	*Does the owner or operator of the facility that manages combustible materials (outdoors) have a fire prevention and suppression plan that is made available to local fire prevention authorities for review and coordination?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.5(c)	*If the facility stores combustible material outdoors or poses a significant risk to public health and safety as determined by the executive director, did the owner or operator provide a written cost estimate for the disposition of all process and unproce	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### MSW Regulations and Recycling Regulations

Rule Citation	Question	Yes	No	N/A
30 TAC 328.2(6)	Does the facility serve as collection and processing point for only nonputrescible, source-separated recyclable material? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.2(3)	*a. Does the facility process recyclable materials that contain less than incidental amounts (10% per load, 5% per 6-month average) of non-recyclable waste?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.4 (b)-(f), 328.5(b)-(h)	Is the facility exempt from the limitations on storage of recyclable materials in 30 TAC 328.4 (b) through (f) and the reporting and recordkeeping requirements of 30 TAC 328.5(b) through (h)? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 328.4(a)(1)	a. Is the owner or operator of the facility a local government or any agency of the state or federal government?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.4(a)(2)	b. Does the facility receive > 50% of material from generators not affiliated with facility and receives no financial compensation to accept the material and can show that material is potentially recyclable and has an economic means of being recycled?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.4(a)(4)	c. Is the facility owned or operated by, or affiliated with, a person holding a permit to dispose of municipal solid waste?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

30 TAC 328.4(a)(3) d. Does the facility smelt recyclable metals or is it a secondary metals recycling facility that is affiliated with the smelter of recyclable materials and has demonstrated that the primary function of the facility is to process material with a resale value?

**If the answer to any of the previous question is "yes," then the facility is exempt; the rest of this section will not apply.**

Rule Citation	Question	Yes	No	N/A
30 TAC 328.2(6), 30 TAC 328.5(b)	Has the owner or operator of the facility that collects/processes nonputrescible, source-separated material, reported the following on a notice of intent to operate a recycling facility form (TCEQ-20049) provided by the agency:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.2(5)(b)	*a. Prior to the commencement of new operations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.2(5)(b)(1)	*b. The types of material accepted for recycling?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.2(5)(b)(2)	*c. Any storage of materials prior to recycling?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.2(5)(b)(3)	*d. How the material will be recycled?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.2(5)(b)(4)	*e. Any updates or changes to information contained in the report within 90 days of the change?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Generator Status**

Rule Citation	Question	Yes	No	N/A
30 TAC 335.78(a), 40 CFR 261.5	Is the facility a Conditionally Exempt Small-Quantity Generator? CESQGs generate no more than 220 pounds of hazardous waste per month; generate up to 2.2 pounds of acutely hazardous waste per month; never accumulate more than 2,200 pounds of hazardous waste	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34	Is the facility a Small-Quantity Generator? SQGs generate more than 220 pounds but less than 2,200 pounds of hazardous waste per month; generate up to 2.2 pounds of acutely hazardous waste per month; never accumulate more than 13,200 pounds of hazardous waste	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34	Is the facility a Large-Quantity Generator? LQGs generate more than 2,200 pounds of hazardous waste per month; generate more than 2.2 pounds of acutely hazardous waste per month; and have a 90-day storage time limit.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Waste Regulations (General Requirements)**

Rule Citation	Question	Yes	No	N/A
30 TAC 335.62, 335.504	*Has the facility performed a hazardous waste determination on all solid waste streams?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335.510, 335.511, 335.513	*Does the facility maintain documentation to support all hazardous waste determinations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335.9	*Does the facility have records of monthly waste generation to support its claimed generator status?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335.6, 335.63	*Is this facility registered with the TCEQ as a hazardous waste generator? (not required for CESQG) TCEQ Registration No. _____ EPA ID _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Is the facility an industrial waste generator? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335.503	*Is all non-hazardous waste classified as Class 1, Class 2, or Class 3?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

30 TAC 335.6	*If this facility generates greater than 220 lbs of Class 1 waste are they registered with the TCEQ? (Only required if not already registered as a SQG or LQG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.6	*Is the facility's Notice of Registration (NOR) up to date, including all waste streams and waste management units? (Not required for CESQG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.9	*Has the facility submitted an Annual Waste Summary each year? (Not required for CESQG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.9, 335.70	*Does the facility fulfill all other recordkeeping and reporting requirements for its generator status?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.9	*Has the facility reconciled their manifests with their records of generation to verify the amounts of waste transported off-site and disposed?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Waste Regulations (On-Site Accumulations Requirements)

Rule Citation	Question	Yes	No	N/A
30 TAC 335.69	*Does the facility comply with appropriate accumulation time requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.69, 40 CFR 262.34(c), 262.34(d), 261.5	*Does the facility comply with appropriate accumulation quantity requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Is hazardous waste accumulated in container storage areas at the facility? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 265.172	*a. Are waste containers labeled, dated, closed, and compatible with their contents? (Required for LQG and SQG only, although CESQG may want to adhere to this also.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If the facility is a SQG or LQG:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 265.174	*a. Does the facility conduct weekly container inspections?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.15(d)	*b. Does the facility document weekly container inspections?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.16	*c. Have employees been trained in the handling of hazardous waste with regards to their job duties?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.55	*d. Has an emergency response coordinator and alternative been designated, available 24 hours a day to respond to on-site spills and accidents?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.69(f)(5)(B) , 40 CFR 262.34(d)(5)(ii)(A)	*e. Have emergency numbers been posted by the telephone at the facility?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34	Is hazardous waste accumulated in satellite accumulation areas at the facility?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	If yes, answer the following if the facility is a SQG or LQG	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 265.172, 262.31, 262.34(a)(3), 262.34(a)(2)	*a. Are waste containers labeled, closed and compatible with their contents?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34(c)(1)	*b. Is the amount of accumulated waste at each satellite accumulation point less than 55 gallons or 1 quart of acutely hazardous waste?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34(c)(2)	*c. Is waste from the satellite area moved to a waste management unit within 3 days once the 55-gallon limit or 1 quart of acutely hazardous waste is exceeded?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265 Subpart I, 262.34	*d. Is the location of the satellite accumulation area documented? For rule interpretation, see the EPA FAQ here: <a href="http://www.epa.gov/osw/hazard/generation/labwaste/memo-saa.htm">http://www.epa.gov/osw/hazard/generation/labwaste/memo-saa.htm</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

30 TAC 335.6	*Have all on-site and off-site hazardous waste recycling activities been registered with the TCEQ? (entered on NOR or Form TCEQ-0525, SQG and LQG only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 264.13(b)	*If hazardous waste is treated, stored, or disposed of on-site, has the facility compiled a waste analysis plan (WAP) or obtained a permit for that activity?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Waste Regulations (Transportation and Disposal Requirements)

Rule Citation	Question	Yes	No	N/A
30 TAC 335 Subchapter D	*Does the facility use a TCEQ/EPA registered transporter? (CESQGs may transport their own waste without a manifest to an authorized disposal facility.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.41	*Does the facility use a TCEQ/EPA permitted treatment, storage, disposal (TSD) facility?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.10	*Does the facility manifest all hazardous and Class I waste that is transported? ☐ (Applies to SQG, LQG, and CESQGs that generate more than 220 lbs of Class I waste. Class I waste sent for recycling does not require a manifest.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.13(i)	*Does the facility have all applicable copies (generator/transporter/disposal) of manifests for the last 3 years? (Applies to SQG and LQG only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 268.7	*Does the facility have Land Disposal Restriction (LDR) certification statements per waste stream and disposal facility for the last 3 years? (Applies to SQG and LQG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Universal Waste Regulations

Rule Citation	Question	Yes	No	N/A
30 TAC 335 Subchapter H Division 5	Does the facility currently manage any of its hazardous waste streams as “universal waste?” If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335 Subchapter R, 40 CFR 273	*a. Are the waste streams appropriately classified and eligible for coverage under the universal waste rule?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273.14, 273.34, 30 TAC 335.69(a)(1)(A)	*b. Are all containers holding universal waste properly labeled?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273.13, 273.33, 30 TAC 335.262(c)(2)	Are universal waste containers closed, structurally sound, compatible with the waste, and lacking signs of leakage?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273.15, 273.35	*Are all universal waste streams shipped to a TSD facility or universal waste handler within 1 year of their initial generation date?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.69(a), 40 CFR 262.34(a)	*If not, does the facility have appropriate documentation on hand to show that an extended time limit is needed to facilitate proper recovery, treatment or disposal of universal waste?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR Section 273.39	*If the facility is a Large Quantity Handler of universal waste, are all universal waste shipments accompanied by a bill of lading or other shipping document?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273.32	*If the facility is a Large Quantity Handler of universal waste, has written notification of universal waste management been sent to the TCEQ and has the facility been assigned an EPA identification number before accumulating or exceeding the 5,000 kg sto	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

40 CFR 273 Subpart E \*Does the facility use a TCEQ/EPA authorized recycling or TSD facility for handling universal waste?

## Lead Acid Battery Regulations

Rule Citation	Question	Yes	No	N/A
	*If the facility sells batteries, is it registered with the state comptroller and collecting the appropriate fee?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.13	*Are all used batteries sent to an authorized facility for recycling or reclamation?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.251	*If the facility reclaims batteries on-site, has the TCEQ been notified?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.16	*If the facility is a battery retailer or wholesaler, has written notice been posted that is at least 8.5 x 11 inches in size, contains the universal recycling symbol, and addresses the notification requirements in both English and Spanish? <a href="http://www.tce">Http://www.tce</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## Other Requirements

Rule Citation	Question	Yes	No	N/A
40 CFR Part 372.3, 372.22(a)	Is the facility subject to Section 313 of EPCRA requirements for annual toxic release inventory?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 372.3	a. Did the facility employ more than 10 full-time employees during the year(s) in question? Or, if less than 10 full-time employees, were more than 20,000 hours worked collectively by all full-time, part-time and contract employees for the facility? Per	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 372.23	b. Does the Business SIC/NAICS code trigger TRI reporting? For NAICS, go to <a href="http://www.census.gov/eos/www/naics">www.census.gov/eos/www/naics</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 372.28	c. During the year in question, did the facility use more than the threshold amounts of a toxic chemical? It should be noted that thresholds for reporting of persistent bioaccumulative toxic (PBT) chemicals are significantly lower than for non-PBTs (see 4 That is:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 372.25	*i. Did the facility "manufacture" (a covered TRI activity) more than 25,000 pounds of non-PBT toxic chemical, or more than the threshold amount of a PBT chemical?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 372.25	*ii. Did the facility "process" (a covered TRI activity) more than 25,000 pounds of non-PBT toxic chemical, or more than the threshold amount of a PBT chemical?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 372.25	*iii. Did the facility "otherwise use" (a covered TRI activity) more than 25,000 pounds of non-PBT toxic chemical, or more than the threshold amount of a PBT chemical?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 370.42	*Does the facility comply with the Texas Department of State Health Services' requirements for Tier II? <a href="http://www.dshs.state.tx.us/tiertwo/">www.dshs.state.tx.us/tiertwo/</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.473	Is the facility subject to the Waste Reduction Policy Act (WRPA)? <a href="https://www.tceq.texas.gov/p2/wrpa/wrpa.html">https://www.tceq.texas.gov/p2/wrpa/wrpa.html</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335.474	*a. Has a Source Reduction Waste Minimization Plan (SR/WM) been developed? (SQGs, LQGs and TRI form R reporters submit once every 5 years) Plan requirements can be found in the publication Guide to Pollution Prevention Planning at <a href="http://www.tceq.texas.gov">http://www.tceq.texas.gov</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.476	*b. Has an Executive Summary of the SR/WM plan and a Certificate of Completeness and Correctness been submitted? (SQGs, LQGs and TRI form R reporters only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.476	*c. Has an Annual Progress Report been submitted? (LQGs and TRI form R reporters only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

30 TAC 335.476	*d. If the facility is a SQG, are they meeting annual progress report requirements either through their annual waste summary and hazardous waste reduction goals or by submitting an Annual Progress Report?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
29 CFR 1910.1200(g)(8)	*Does the facility have Safety Data Sheets (SDS) or other information for all chemicals used in the last 24-months?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 327	Have there been any spills at the facility? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 327.5	*a. Has the facility taken appropriate reporting and abatement actions? <a href="http://www.tceq.texas.gov/response/spills.html">Http://www.tceq.texas.gov/response/spills.html</a>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	*Does the facility practice good housekeeping?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

### Multimedia Recordkeeping Review

Rule Citation	Question	Yes	No	N/A
	Can the facility demonstrate adequate recordkeeping with all applicable rules and permits? Note: A minimum of 25% of all required records must be reviewed during the site visit. List records reviewed in the comment section below.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

### Comments