



Small Business and Local Government Assistance

Wood Products Compliance Checklist

This checklist is for guidance purposes only. It is not a substitute for the rules and regulations. The Small Business and Local Government Assistance (SBLGA) program is separate from the Office of Compliance and Enforcement (OCE) of the Texas Commission on Environmental Quality (TCEQ). Contact SBLGA on its toll-free hotline 800-447-2827 or visit the SBLGA website <www.texasenvirohelp.org>.

Company Information ___ 1st visit ___ 2nd visit ___ C2 Renewal Site Visit Date: _____

Company Name	<input type="text"/>	Facility Contact	<input type="text"/>
Mailing Address	<input type="text"/>	Physical Address	<input type="text"/>
	<input type="text"/>		<input type="text"/>
	<input type="text"/>		<input type="text"/>
	<input type="text"/>	County	<input type="text"/>
Owner's Name	<input type="text"/>	Business Phone	<input type="text"/>
Date of Construction	<input type="text"/>	Primary SIC	<input type="text"/>
Start of Operation	<input type="text"/>	Secondary SIC	<input type="text"/>
Latitude	<input type="text"/>	Longitude	<input type="text"/>
RN/CN	<input type="text"/>	Facility ID	<input type="text"/>

Important Notes:

•Compliance-related questions are denoted with an asterisk (*). Answering "no" to a question with an asterisk may mean the facility is out of compliance with state or federal environmental rules.

•Have there been any process changes since the last site visit?*

*If yes, explain the changes and include the date of changes in the comments

Air Regulations (30 TAC 116.119) – De Minimis Status

Rule Citation	Question	Yes	No	N/A
30 TAC 116.119	Does this facility claim De Minimis status?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 116.119	In order to claim De Minimis, you must answer yes to either (a) and (b), or (c).	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 116.119(a)(2)	*a. Does the facility meet the material usage limits?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 116.119(a)(2)	*b. Does the facility maintain records demonstrating compliance with the usage limits?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 116.119 (a)(1)	*c. Or, are sources at the facility claimed as De Minimis included on the "De Minimis Facilities and Sources" list? Http://www.tceq.state.tx.us/permitting/air/guidance/newsourcereview/list-of-de-minimis-facilities.html	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 116.119 (b)	If the facility is claiming De Minimis, this status could be revoked if the facility is in violation of any agency rule.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Air Regulations (30 TAC 106) – Permits by Rule

Rule Citation	Question	Yes	No	N/A
30 TAC 106	Does the facility claim a Permit by Rule (PBR)? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 106	Does the facility meet all requirements of Permits by Rule (PBR) claimed?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	*Saw Mills (not available unless registered on or before February 1, 2008)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.231	*Manufacturing, Refinishing and Restoring Wood Products	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.265	*Hand-held and Manually Operated Machines	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.412	*Fuel Dispensing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.433	*Surface Coating Facilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.472	*Organic and Inorganic Liquid Loading and Unloading	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.473	*Organic Liquid Loading and Unloading	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.512	*Stationary Engines and Turbines	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106	*Other/Previous PBRs: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106	*Other/Previous PBRs: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106	*Other/Previous PBRs: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.4	Does the facility meet the requirements in 30 TAC 106.4?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.8	*Does the facility maintain records that demonstrate compliance as required by 30 TAC 106.8 for all PBRs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Air Regulations (30 TAC 101) – Emission, Maintenance, Start-up, Shutdown

Rule Citation	Question	Yes	No	N/A
30 TAC 101.201	*Does the facility track all reportable and non-reportable emission events and report them to TCEQ by March 31 of each year?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 101.211	*Does the facility track all reportable and non-reportable scheduled maintenance, start-up, and shut-down activities and report them to TCEQ by March 31 of each year?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 101.10	Is the facility required to submit an annual emissions inventory?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 101.201(b), 101.211(b)	*Are these records maintained for a minimum of 5 years?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Air Regulations

Rule Citation	Question	Yes	No	N/A
30 TAC 111 Subchapter A	*Does the facility comply with any applicable 30 TAC Chapter 111 requirements? (Control of Air Pollutants from Visible Emissions and Particulate Matter)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 101.4	*Does the facility avoid being a nuisance (noise, dust, odor, etc.)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Air Regulations (30 TAC 116) – Permits

Rule Citation	Question	Yes	No	N/A
30 TAC 116	*Does this facility have an air permit? If yes, Permit No. _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 116	*If yes: Does the facility comply with all permit conditions? (Use comments section)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Air Regulations (30 TAC 115) – In addition to any other requirements, coating, solvent using, and

degreasing processes in the following counties must meet the requirements outlined in this section.

Rule Citation	Question	Yes	No	N/A
	Sources of Volatile Organic Compounds (VOC), located in the following counties, may be required to meet 30 TAC 115 requirements as outlined below.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Is the facility located in the Dallas/Ft. Worth Area (Johnson, Kaufman, Parker, Rockwall, Collin, Denton, Dallas, Tarrant, Ellis counties)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Is the facility located in the Houston/Galveston Area (Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, Waller counties)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Is the facility located in the Beaumont/Port Arthur Area (Hardin, Orange, Jefferson counties)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Is the facility located in any of the following counties (Bastrop, Bexar, Caldwell, Comal, El Paso, Gregg, Guadalupe, Hays, Nueces, Travis, Victoria, Williamson, Wilson)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 115	*If yes to any of the above, does the facility comply with applicable 30 TAC Chapter 115 requirements? (Control of Air Pollution from Volatile Organic Compounds)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.427(3)(A)	*Does the facility have daily usage records to demonstrate that it emits less than 3 lbs/hr VOC and less than 15 lbs/24 hrs? (If you meet this minimum requirement, you are exempt from 30 TAC 115.421(a) and 30 TAC 115.423(a).)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.427(a)	*If located in the Beaumont-Port Arthur, Dallas-Fort Worth, El Paso, and Houston-Galveston-Brazoria areas, does the facility meet an exemption from 30 TAC 115.427(a)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.427(b)	*If located in Gregg, Nueces, and Victoria Counties, does the facility meet an exemption from 30 TAC 115.427(b)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.426(4)	*Does the facility have records to support exemption status?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If the facility does not meet an exemption:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.421	*a. Does the facility meet Emission Specifications in 30 TAC 115.421?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.422	*b. Does the facility meet the Control Requirements in 30 TAC 115.422?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.424	*Are Required Inspections conducted according to 30 TAC 115.424?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.425	*Are Required Tests conducted according to 30 TAC 115.425?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.423	*c. Does the facility meet the Alternate Control Requirements in 30 TAC 115.423?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.426	*d. Does the facility maintain material safety data sheets for coatings and solvents used, records of the quantities and types of coatings and solvents used, records of required testing, and any other applicable records required in 30 TAC 115.426 for Moni	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Does the facility have a degreaser? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 115.412, 115.413, 115.417	*a. Does the degreaser meet the control requirements in 30 TAC 115.412 or 115.413? *An exemption in 30 TAC 115.417 may apply*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.415	*b. Are the required tests being conducted?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115.416	*c. Are records of maintenance of control equipment and test results being kept for at least two years?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115	Does this facility have any other processes, activities, or equipment subject to 30 TAC Chapter 115 rules? These include, but are not limited to:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 115	a. Storage of volatile organic compounds (VOCs);	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Subchapter B
Division 1

30 TAC 115 Subchapter B Division 3	b. VOC water separation;	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115 Subchapter B Division 4	c. Industrial wastewater containing VOCs;	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115 Subchapter B Division 6	d. Batch Process;	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.421	Is the VOC content of coatings as applied (lbs. of VOC/gal of coating minus water and exempt solvents) below the following VOC limits? NOTE: The limits are for each product "as applied". That means if the facility mixes part A and part B, then the mixed	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>
30 TAC 115.421(13)(A)(i)	*a. Clear topcoats (5.9 lbs/gal VOC)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.421(13)(A)(ii)	*b. Wash coats (6.5 lbs/gal VOC)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.421(13)(A)(iii)	*c. Final repair coat (6.0 lbs/gal VOC)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.421(13)(A)(iv)	*d. Semi-transparent wiping and glazing stains (6.6 lbs/gal VOC)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.421(13)(A)(v)	*e. Semi-transparent spray stains and toners (6.9 lbs/gal VOC)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.421(13)(A)(vi)	*f. Opaque ground coats and enamels (5.5 lbs/gal VOC)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.421(13)(A)(vii)	*g. Clear sealers (6.2 lbs/gal VOC)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.421(13)(A)(viii)(I)	*h. Clear shellac (5.4 lbs/gal VOC)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.421(13)(A)(viii)(II)	*i. Opaque shellac (5.0 lbs/gal VOC)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.421(13)(A)(ix)	*j. Varnish (5.0 lbs/gal VOC)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.421(13)(A)(x)	*k. All other coatings (7.0 lbs/gal VOC)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.421(13)(B)	*Does the facility direct solvents from cleaning into closed containers that prevent evaporation into the atmosphere? (If yes, these emissions do not count toward emission rates.)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.426(1)(A)	*Is a SDS or other product information that provides VOC content, composition, solids content, solvent density, and other relevant information available for each coating/solvent?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
30 TAC 115.426(1)(D)	*Are all required records available and maintained for at least 2 years?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Air Regulations (30 TAC 117) – In addition to other requirements, facilities located in the counties in the Dallas/Fort Worth Area or Houston/Galveston/Brazoria Area Ozone Nonattainment Area listed above must meet the requirements in this section.

Rule Citation	Question	Yes	No	N/A
30 TAC 117.10(29)	Is the facility a major source of NOx? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 117 Subchapter B	*a. Is the facility compliant with all applicable parts of 30 TAC Chapter 117 Subchapter B?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117 Subchapter D	Is the facility a minor source of NOx, operating a stationary internal combustion engine? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 117.2103	*a. If located in DFW area, is the facility meeting an exemption listed in 30 TAC 117.2103? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117.2103(c), 117.2135(e), 117.2145(b) and (c)	*b. Does the facility have records showing compliance with the exemption and 30 TAC 117.2103(c), 117.2135(e), and 117.2145(b) and (c)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117.2003	*c. If located in HGB area, is the facility meeting an exemption listed in 30 TAC 117.2003? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117.2030(c), 117.2035(e), and 117.2045(b) and (c)	*d. Does the facility have records showing compliance with the exemption and 30 TAC 117.2030(c), 117.2035(e), and 117.2045(b) and (c)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 117 Subchapter D	*If the facility is not meeting an exemption, does the engine meet the associated emission specification and does the facility comply with the applicable operational, testing, reporting and recordkeeping requirement?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Air Regulations (30 TAC 122) – Federal Operating Permit

Rule Citation	Question	Yes	No	N/A
	*Has the facility applied for the Air Curtain Incinerator General Operating Permit No. 518?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 122.10(13)	Is the facility a major source?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 122.120	*If yes, does the facility have a federal operating permit? If yes, operating permit number: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Air Regulations (30 TAC 113 and 40 CFR Parts 60, 61, and 63 Requirements) – Federal Requirements

Rule Citation	Question	Yes	No	N/A
30 TAC Chapter 113, 40 CFR Part 61 and Part 63	*If the facility is a source of hazardous air pollutants (HAPs), do they comply with applicable National Emission Standards for Hazardous Air Pollutants (NESHAP)? For more information on NESHAPs, visit the EPA's Web page at http://epa.gov/ttn/atw/mactfnla	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR PART 60	*Does the facility comply with any applicable New Source Performance Standards (NSPS)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Air Regulations (40 CFR Part 63, Subpart HHHHHH) – Miscellaneous Surface Coating Operations

Rule Citation	Question	Yes	No	N/A
40 CFR Part 63, Subpart HHHHHH	Is the facility subject to 40 CFR Part 63, Subpart HHHHHH National Emissions Standards for Hazardous Air Pollutants (NESHAP): Paint Stripping and Miscellaneous Surface Coating Operations at Area Sources?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR Part 63, Subpart HHHHHH	*a. Has the owner or operator submitted the “Initial Notification and Compliance Certification” (Form TCEQ-20454 for existing sources; Form TCEQ-20453 for new sources) or equivalent?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR Part 63, Subpart HHHHHH	*b. Is the facility in compliance with the requirements of 40 CFR Part 63, Subpart HHHHHH National Emissions Standards for Hazardous Air Pollutants (NESHAP): Paint Stripping and Miscellaneous Surface Coating Operations at Area Sources?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR Part 63, Subpart HHHHHH	*c. Has the facility kept adequate records to demonstrate compliance?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR Part 63, Subpart HHHHHH	*d. If anything has changed from the notice given in the “Initial Notification and Compliance Certification” form, has the facility also submitted the required “Annual Notification of Changes Report”?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Air Regulations (40 CFR Part 63, Subpart QQQQQQ) – Wood Preserving

Rule Citation	Question	Yes	No	N/A
	Does the facility conduct wood preserving operations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR Part 63 Subpart QQQQQQ	Is the facility subject to 40 CFR Part 63 Subpart QQQQQQ: National Emission Standards for Hazardous Air Pollutants for Wood Preserving Area Sources? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 63.11432	*a. Has the facility submitted an Initial Notification by the required date?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 63.11432	*b. Has the facility submitted the Notification of Compliance Status?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 63.11434	*c. Is the facility compliant with all the record-keeping, reporting requirements and standards in the rule?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Air Regulations – Standard Permit for Sawmills

Rule Citation	Question	Yes	No	N/A
Standard Permit (1)(A)	Does the sawmill produce no more than 25 million board feet of lumber in any 12-month period?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit (1)(D)	*Has the facility filed their name and address or location of the site with the TCEQ central office, the appropriate TCEQ regional office and any local air pollution control agency having jurisdiction and indicate whether there is a drying kiln on site?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit (2)(A)	*Does the facility operate only from one hour before sunrise to one hour after sunset? (Drying operations naturally by air or using natural gas, LPG, electricity, and infrared radiation may be conducted 24 hours per day.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit (2)(C)	*Does the sawmill operator(s) take the steps described in part (2)(c) of the standard permit to prevent and to extinguish accidental fires or fires resulting from spontaneous combustion?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit (2)(D)	*Does all the production equipment at the sawmill (including debarkers, saws, planers, conveyors, stockpiles, dryers, and boilers) meet the minimum distance requirement of 150 feet from the sawmill property line and the setback distance requirements based	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit (2)(E)	*Are all in-plant roads, truck loading and unloading areas, parking areas, and other traffic areas sprinkled with water, treated with effective dust suppressants, covered,	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

or paved with a cohesive, hard surface or cleaned as necessary to maintain complian

Standard Permit (2)(M)	*Does the facility maintain records of annual throughput of hardwood and softwood lumber (converted to board-feet) and records of fuel use, including any hours firing fuel oil or fuel oil purchases at the site, for a rolling 24-month period?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit (2)(F)	*Are all sawmill residues (sawdust, shavings, or bark) watered, removed, or stored as necessary to minimize fugitive particulate emissions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit (2)(H)	*Are all sawmill residue loading (with a front end loader or similar heavy equipment) work areas sprinkled with water, treated with effective dust suppressants, covered, or paved with a cohesive, hard surface and cleaned as necessary to maintain complianc	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit (2)(G)	*Are all sawmill residues conveyed by belts or drag chains to a collection area for disposal? (If using a pneumatic collection system the air must exhaust to a control device meeting requirements in the permit.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit (2)(I) and 2(J)	*Does the facility comply with the visible emissions and opacity requirements found in sections 2(I) and 2(J) of the standard permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit (2)(K)	*Have all boilers and drying ovens met the conditions of operation outlined in the standard permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standard Permit (2)(L)	*Have the annual operating hours for all internal combustion engines and electric generator sets (used only for portable, emergency or standby services at the facility) not exceeded 10 percent of the normal annual operating schedule of the primary equipme	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Air Regulations (40 CFR Part 63, Subpart JJ) – Wood Manufacturing

Rule Citation	Question	Yes	No	N/A
40 CFR 63.800	*Do any of the National Emission Standards for Hazardous Air Pollutants (NESHAP) for wood furniture manufacturing operations apply to this facility?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 63.802	*a. Does the facility demonstrate compliance with applicable emission limits?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR Part 63, Subpart JJ	*b. Has the facility submitted an Initial Notification to the TCEQ and EPA?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 63.803	*c. Has the facility prepared a work practice implementation plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR Part 63, Subpart JJ	*d. Does the facility adhere to the work practice implementation plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR Part 63, Subpart JJ	*e. Does the facility comply with monitoring, recordkeeping and reporting requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Air Curtain Incinerator General Operating Permit

Rule Citation	Question	Yes	No	N/A
	Does the facility operate an Air Curtain Incinerator?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 106.496	*Has the facility applied for the Air Curtain Incinerator Permit By Rule 106.496?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.496 (a)	*Does the facility burn only 100% woodwaste, 100% clean lumber, or 100 % mixture of only wood waste and/or clean lumber?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Air GOP 518	*Has the facility kept adequate records to demonstrate compliance?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.496(h)(2)(B)	*Has the facility's authorization to operate under the General Operating permit been granted or renewed within 5 years of the current date?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Air GOP 518	*Has the facility submitted all required monitoring, deviation, and annual compliance reports?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 106.496	*Is the facility in compliance with all the applicable requirements in the Permit By Rule for Air Curtain Incinerators?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Public Water Supply

Rule Citation	Question	Yes	No	N/A
30 TAC 290.38(66)	Does the facility have a well or manage a water distribution system that provides drinking water to employees or customers? If no, then this section does not apply.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 290.38(66)	Does the facility have a well or manage a water system that provides drinking water to at least 15 service connections or serve at least 25 individuals at least 60 days out of the year? If no, then this section does not apply.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.38(14), (52), (54), (77)	What type of PWS system does the facility have?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 290.38(77)	a. transient, non-community – serves at least 25 people at least 60 days of the year and is not part of a community system.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.38(54)	b. non-transient, non-community – serves at least 25 of the same people at least 6 months out of the year and does not include residential service connections.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	What is the water source for the PWS?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 290.38(29)	a. Groundwater	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	b. Surface water	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.38(30)	c. Groundwater under the influence of surface water	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.39(a)	*Is the facility registered with the TCEQ as a PWS?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.46(e)	*Does the facility have a licensed operator? (transient non-community water systems are exempt if using groundwater or purchase treated water from another public water system)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.109	*Does the facility conduct monthly microbiological testing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.110(b)(4), 290.110(b)(5)	*Does the facility conduct chlorine residual testing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.106, 290.107, 290.108	*Does the facility conduct other contaminant testing as required for their system?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.106 (inorganic), 290.107 (organic), 290.108 (radionuclides)	Indicate what contaminants the facility is testing for: ☐ _____ _____ _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 290.45(a)(2)	*Does the facility conduct water pressure testing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Stormwater Discharges

Rule Citation	Question	Yes	No	N/A
MSGP Part II, Section A	Does the facility have an SIC code that requires coverage by a stormwater permit (http://www.osha.gov/pls/imis/sicsearch.html) If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Does the facility have coverage under the Multi-Sector General Permit (MSGP) for discharges from industrial activities or the No Exposure Certification (NEC)? To find out if you have coverage, check http://www2.tceq.texas.gov/wq_dpa/index.cfm	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

For facilities covered under the Multi-Sector General Permit for Discharges from Industrial Activities

Rule Citation	Question	Yes	No	N/A
MSGP Part II, Section B.2	*If the facility has a TPDES wastewater discharge permit, is the facility in compliance with stormwater discharge requirements in that authorization?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section B.12	Does the facility discharge stormwater? If no, the facility is not eligible for Multi-Sector General Permit (MSGP) as there is no discharge to sample.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1	Does the facility have discharge authorization under the No Exposure Certification (NEC)? If yes, the rest of this section will not apply.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section A.1.(a)	*Does the facility have a Storm Water Pollution Prevention Plan (SWP3) prepared, implemented, and readily available?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section A.1.(a)	*Does the facility update the SWP3 as changes are made on site?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section B and D	*Does the facility conduct the periodic inspections and discharge monitoring in the frequency and manner required? Example: Samples are collected in first 30 minutes of discharge during the appropriate permit period and approved sampling methods are used	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section B.3	*Does the facility conduct Quarterly Visual Monitoring? It is required every calendar quarter for all facilities.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section B.7.(c)(3)e.	*Does the facility use controls to prevent exposure of any Pollutant of Concern (POC), document that the POC is not present, or provide analytical data showing that the discharge will not contribute to the impairment/TMDL? (Part II, Section B.7. Impaired	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section B.7.(e)(3)b.	*If the Water Quality Monitoring shows that a POC is above the benchmark value, has the facility implemented a Pollutant Reduction Plan (PRP) for controlling those discharges?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section C. 1.(d)	*Does the facility conduct Numeric Effluent Limitations (aka hazardous metals) Monitoring (applies annually to all facilities)? If certain conditions are met, facilities may opt out of all or part of this requirement.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part IV	*Does the facility conduct Benchmark Monitoring (applies twice per year to all facilities except those in industry Sectors I, P, R, V, W, X, Z, AB, AC)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part IV, Section A.2.	*If discharges are not within benchmark limits, have actions been taken to improve the quality of the discharges (applies to all facilities except those in industry Sectors I, P, R, V, W, X, Z, AB, AC)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section C. 1.(c)(1)	*Are monitoring results recorded on Discharge Monitoring Reports (DMR)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section C.1.(c)(3)	*Does the facility report any noncompliance by March 31 of the following calendar year?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

MSGP Part III. Section B.5	*Does the facility conduct an Annual Comprehensive Site Compliance Inspection? This inspection may substitute for a routine facility inspection if scope is sufficient to meet requirements in Part III. Section B.5.(a).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III. Section B.5.(b)	*Does the facility prepare an Annual Comprehensive Site Compliance Inspection Report (signed and certified as required in Part III. Section E.6.(c)) within 30 days of performing the annual site compliance inspection?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section D.1(c)	*Does the facility have a rain gauge on-site or in the immediate area and maintain a rainfall log on-site or that is readily available?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part III, Section D.1.(c)	*Does the facility monitor the rain gauge and log rainfall activity at a minimum of once per week and once per day during storm events?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

For facilities covered under the No Exposure Certification

Rule Citation	Question	Yes	No	N/A
MSGP Part II, Section C.1	Does the facility meet the requirements of the no exposure certification?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1	*There are no industrial materials or activities (including using, storing or cleaning industrial machinery or equipment, and areas where residuals from using, storing or cleaning industrial machinery or equipment remain) exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1	*There are no materials or residuals on the ground or in stormwater inlets from spills/leaks exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1	*There are no materials or products from past industrial activity exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1.(a)(4)	*There is no material handling equipment (except adequately maintained vehicles) exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1	*There are no materials or products during loading/unloading or transporting activities that are exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1.(a)(2)	*There are no materials or products stored outdoors that may be exposed to stormwater (except final products intended for outside use [e.g., new cars] where exposure to stormwater does not result in the discharge of pollutants).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1.(a)(1)	*There are no materials contained in open, deteriorated or leaking storage drums, barrels, tanks, and similar containers that may be exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1	*There are no materials or products handled/stored on roads or railways owned or maintained by the operator that may be exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1.(a)(5)	*There is no waste material (except waste in covered, non-leaking containers [e.g., dumpsters]) that may be exposed to stormwater.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part V, Section E.2	*There are no activities that include application or disposal of process wastewater that are not otherwise permitted.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MSGP Part II, Section C.1.(a)(7)	*There is no particulate matter or visible deposits of residuals from roof stacks and/or vents not otherwise regulated (i.e., under an air quality control permit) evident in the stormwater discharge.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Discharge to Publicly Owned Treatment Works (Sanitary Sewer System)

Rule Citation	Question	Yes	No	N/A
	Does the facility discharge process wastewater to the sewer system? If yes,	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 403.8(f)(1)(iii)	*Has the facility obtained permission from the publicly owned treatment works to discharge process wastewater?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR Part 403.1, 30 TAC 315	a. Does the publicly owned treatment works have an approved pretreatment program?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 403.8(f)(1)	*b. Does the facility have a permit to discharge process wastewater to the publicly owned treatment works?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 403.8(f)(1)	*c. Does the facility comply with the requirements of this permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If the publicly owned treatment works does not have an approved pretreatment program,	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR Parts 405 through 471	a. Is the facility a categorical industrial user subject to the requirements of any category in 40 CFR Parts 405 through 471?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 403.12(e)	*b. If yes, does the facility submit monitoring reports to the TCEQ each June and December?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 403.12(h)	c. If no, the facility may be required to submit semi-annual monitoring reports to the TCEQ if it is a significant non-categorical industrial user. It is also recommended that the facility contact the city and inform them of the nature of their discharge.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 403.6	*d. Does the facility's effluent comply with federal categorical pretreatment standards?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Discharges to On-Site Sewage Facilities (Septic Systems)

Rule Citation	Question	Yes	No	N/A
30 TAC 285.2(45), 285.2(66)	*Does the facility avoid discharging any process wastewater to a septic system? (Note: On-site septic systems can only be used for domestic sewage.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Discharges to Waters in the State

Rule Citation	Question	Yes	No	N/A
	Does the facility discharge wastewater into surface water (via outfall, run-off, storm drains, rivers, creeks, dry waterways)? If no, the rest of this section does not apply. If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 281.25	*Does the facility have a Texas Pollutant Discharge Elimination System (TPDES) Permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.1, Individual Permit	*a. Does the facility meet the daily average flow from each outfall?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.1, Individual Permit	*b. Does the facility meet the daily maximum flow from each outfall?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.1, Individual Permit	*c. Does the facility meet the discharge limitation for each parameter?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.11, Individual Permit	*d. Does the facility conduct monitoring and sampling as required by their discharge permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 319.7, Individual Permit	*e. Does the facility submit discharge monitoring reports (DMRs) as required by their permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 305.125, 40	*f. Does the facility submit non-compliance reports?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

CFR 122.41

30 TAC 281.25, 40 CFR Part 122 Subpart B	*g. Does the facility's TPDES wastewater discharge permit include stormwater discharges?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Individual Permit	*h. Is the facility in compliance with stormwater discharge requirements listed in their TPDES wastewater discharge permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Individual Permit	Does the facility dispose of wastewater adjacent to surface water (by irrigation, evaporation pond, subsurface injection, or another approved method)? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 312, Subchapter (B)	*a. Does the facility have a Texas Land Application Permit (TLAP)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 312, Subchapter (B)	b. If so, are they in compliance with the requirements of the TLAP permit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Edwards Aquifer Regulations

Rule Citation	Question	Yes	No	N/A
30 TAC 213 Subchapter A, 30 TAC 214	Is the facility located in Bexar, Comal, Hays, Kinney, Medina, Travis, Uvalde, or Williamson Counties?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 213, 214	If in the affected counties, is the facility located over the recharge zone, contributing zone, transition zone, or contributing zone within the transition zone of the Edwards Aquifer? For maps, see http://www.tceq.texas.gov/field/eapp/vendors.html	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 213	If yes, please see the Edwards Aquifer Compliance Checklist as the facility has additional compliance requirements.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Generator Status

Rule Citation	Question	Yes	No	N/A
30 TAC 335.78(a), 40 CFR 261.5	Is the facility a Conditionally Exempt Small-Quantity Generator? CESQGs generate no more than 220 pounds of hazardous waste per month; generate up to 2.2 pounds of acutely hazardous waste per month; never accumulate more than 2,200 pounds of hazardous was	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34	Is the facility a Small-Quantity Generator? SQGs generate more than 220 pounds but less than 2,200 pounds of hazardous waste per month; generate up to 2.2 pounds of acutely hazardous waste per month; never accumulate more than 13,200 pounds of hazardous w	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34	Is the facility a Large-Quantity Generator? LQGs generate more than 2,200 pounds of hazardous waste per month; generate more than 2.2 pounds of acutely hazardous waste per month; and have a 90-day storage time limit.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Waste Regulations (General Requirements)

Rule Citation	Question	Yes	No	N/A
30 TAC 335.62, 335.504	*Has the facility performed a hazardous waste determination on all solid waste streams?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335.510, 335.511, 335.513	*Does the facility maintain documentation to support all hazardous waste determinations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335.9	*Does the facility have records of monthly waste generation to support its claimed generator status?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335.6,335.63	*Is this facility registered with the TCEQ as a hazardous waste generator? (not required for CESQG) TCEQ Registration No. _____ EPA ID _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Is the facility an industrial waste generator? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335.503	*Is all non-hazardous waste classified as Class 1, Class 2, or Class 3?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.6	*If this facility generates greater than 220 lbs of Class 1 waste are they registered with the TCEQ? (Only required if not already registered as a SQG or LQG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.6	*Is the facility's Notice of Registration (NOR) up to date, including all waste streams and waste management units? (Not required for CESQG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.9	*Has the facility submitted an Annual Waste Summary each year? (Not required for CESQG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.9, 335.70	*Does the facility fulfill all other recordkeeping and reporting requirements for its generator status?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.9	*Has the facility reconciled their manifests with their records of generation to verify the amounts of waste transported off-site and disposed?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Waste Regulations (On-Site Accumulations Requirements)

Rule Citation	Question	Yes	No	N/A
30 TAC 335.69	*Does the facility comply with appropriate accumulation time requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.69, 40 CFR 262.34(c), 262.34(d), 261.5	*Does the facility comply with appropriate accumulation quantity requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 261.190	Is hazardous waste accumulated in tanks at the facility? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.191	*a. Has the tank system's integrity been assessed and certified by an independent, qualified, registered professional engineer? (Applies to LQG only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.69(a)(3)	*b. Are tanks labeled with the words "hazardous waste"?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.195	*c. Are records kept of daily tank inspections?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.193(e)	*d. Do tanks have a secondary containment system designed to contain 100% of the largest tank within its boundaries? (LQG only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.193(e)	*e. If yes, is the secondary containment either designed or operated to prevent run-on or infiltration of precipitation into the secondary containment system or have sufficient excess capacity to contain run-on or infiltration of precipitation from a 25 y	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Is hazardous waste accumulated in container storage areas at the facility? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 265.172	*a. Are waste containers labeled, dated, closed, and compatible with their contents? (Required for LQG and SQG only, although CESQG may want to adhere to this also.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If the facility is a SQG or LQG:

40 CFR 265.174	*a. Does the facility conduct weekly container inspections?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.15(d)	*b. Does the facility document weekly container inspections?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.16	*c. Have employees been trained in the handling of hazardous waste with regards to their job duties?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.55	*d. Has an emergency response coordinator and alternative been designated, available 24 hours a day to respond to on-site spills and accidents?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.69(f)(5)(B) , 40 CFR 262.34(d)(5)(ii)(A)	*e. Have emergency numbers been posted by the telephone at the facility?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34	Is hazardous waste accumulated in satellite accumulation areas at the facility?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	If yes, answer the following if the facility is a SQG or LQG	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 265.172, 262.31, 262.34(a)(3), 262.34(a)(2)	*a. Are waste containers labeled, closed and compatible with their contents?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34(c)(1)	*b. Is the amount of accumulated waste at each satellite accumulation point less than 55 gallons or 1 quart of acutely hazardous waste?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 262.34(c)(2)	*c. Is waste from the satellite area moved to a waste management unit within 3 days once the 55-gallon limit or 1 quart of acutely hazardous waste is exceeded?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265 Subpart I, 262.34	*d. Is the location of the satellite accumulation area documented? For rule interpretation, see the EPA FAQ here: http://www.epa.gov/osw/hazard/generation/labwaste/memo-saa.htm	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.6	*Have all on-site and off-site hazardous waste recycling activities been registered with the TCEQ? (entered on NOR or Form TCEQ-0525, SQG and LQG only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 264.13(b)	*If hazardous waste is treated, stored, or disposed of on-site, has the facility compiled a waste analysis plan (WAP) or obtained a permit for that activity?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Waste Regulations (Transportation and Disposal Requirements)

Rule Citation	Question	Yes	No	N/A
30 TAC 335 Subchapter D	*Does the facility use a TCEQ/EPA registered transporter? (CESQGs may transport their own waste without a manifest to an authorized disposal facility.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.41	*Does the facility use a TCEQ/EPA permitted treatment, storage, disposal (TSD) facility?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.10	*Does the facility manifest all hazardous and Class I waste that is transported? ☐ (Applies to SQG, LQG, and CESQGs that generate more than 220 lbs of Class I waste. Class I waste sent for recycling does not require a manifest.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.13(i)	*Does the facility have all applicable copies (generator/transporter/disposal) of manifests for the last 3 years? (Applies to SQG and LQG only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 268.7	*Does the facility have Land Disposal Restriction (LDR) certification statements per waste stream and disposal facility for the last 3 years? (Applies to SQG and LQG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Universal Waste Regulations

Rule Citation	Question	Yes	No	N/A
30 TAC 335 Subchapter H Division 5	Does the facility currently manage any of its hazardous waste streams as “universal waste?” If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335 Subchapter R, 40 CFR 273	*a. Are the waste streams appropriately classified and eligible for coverage under the universal waste rule?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273.14, 273.34, 30 TAC 335.69(a)(1)(A)	*b. Are all containers holding universal waste properly labeled?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273.13, 273.33, 30 TAC 335.262(c)(2)	Are universal waste containers closed, structurally sound, compatible with the waste, and lacking signs of leakage?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273.15, 273.35	*Are all universal waste streams shipped to a TSD facility or universal waste handler within 1 year of their initial generation date?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.69(a), 40 CFR 262.34(a)	*If not, does the facility have appropriate documentation on hand to show that an extended time limit is needed to facilitate proper recovery, treatment or disposal of universal waste?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR Section 273.39	*If the facility is a Large Quantity Handler of universal waste, are all universal waste shipments accompanied by a bill of lading or other shipping document?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273.32	*If the facility is a Large Quantity Handler of universal waste, has written notification of universal waste management been sent to the TCEQ and has the facility been assigned an EPA identification number before accumulating or exceeding the 5,000 kg sto	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 273 Subpart E	*Does the facility use a TCEQ/EPA authorized recycling or TSD facility for handling universal waste?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Used Oil Regulations

Rule Citation	Question	Yes	No	N/A
30 TAC 324.7	Does the facility collect used oil from the public? If yes,	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 324.7(1)(B)	*a. Is the facility registered with the TCEQ as a used oil collection center?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 279.22	*Are all containers labeled with the words “USED OIL”?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 265.173	Are containers kept closed?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 279.10(b)	Are any hazardous fluids mixed with the used oil? (CESQG are exempt from this regulation). If yes,	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.2, 40 CFR 279.21	*a. Is this mixture managed as a hazardous waste? (CESQG are exempt from this regulation)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 324.4(2)(C), 40 CFR 279	*Does the facility use a TCEQ/EPA registered transporter to remove used oil? (unnecessary if self transporting one 55-gallon drum or less to a generator aggregate point or an approved management facility)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 324.11	*Does the owner avoid transporting more than one 55-gallon drum of used oil to an authorized disposal/recycling facility at any time?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Used Oil Filter Regulations

Rule Citation	Question	Yes	No	N/A
30 TAC 328.26(d), 40 CFR 279	*Are all containers labeled with the words "USED OIL FILTERS"?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.23(c)	*Are containers closed and in good condition?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.23	*Are filters drained before recycling?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.24(b)(1)	*Does the facility store 6 or fewer 55-gallon drums of filters at any time?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.24	*Does the facility use a TCEQ registered transporter to remove the filters? (not necessary if transporting two 55-gallon drums or less)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.25	*Does the facility use a bill of lading when having the filters transported?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 328.26	*Does the facility keep used oil filters separate from other type of filters (e.g. fuel)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Other Requirements

Rule Citation	Question	Yes	No	N/A
40 CFR Part 372.3, 372.22(a)	Is the facility subject to Section 313 of EPCRA requirements for annual toxic release inventory?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 372.3	a. Did the facility employ more than 10 full-time employees during the year(s) in question? Or, if less than 10 full-time employees, were more than 20,000 hours worked collectively by all full-time, part-time and contract employees for the facility? Per	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 372.23	b. Does the Business SIC/NAICS code trigger TRI reporting? For NAICS, go to www.census.gov/eos/www/naics	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 372.28	c. During the year in question, did the facility use more than the threshold amounts of a toxic chemical? It should be noted that thresholds for reporting of persistent bioaccumulative toxic (PBT) chemicals are significantly lower than for non-PBTs (see 4 That is:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 372.25	*i. Did the facility "manufacture" (a covered TRI activity) more than 25,000 pounds of non-PBT toxic chemical, or more than the threshold amount of a PBT chemical?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
40 CFR 372.25	*ii. Did the facility "process" (a covered TRI activity) more than 25,000 pounds of non-PBT toxic chemical, or more than the threshold amount of a PBT chemical?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 372.25	*iii. Did the facility "otherwise use" (a covered TRI activity) more than 25,000 pounds of non-PBT toxic chemical, or more than the threshold amount of a PBT chemical?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 CFR 370.42	*Does the facility comply with the Texas Department of State Health Services' requirements for Tier II? www.dshs.state.tx.us/tiertwo/	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.473	Is the facility subject to the Waste Reduction Policy Act (WRPA)? https://www.tceq.texas.gov/p2/wrpa/wrpa.html	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 335.474	*a. Has a Source Reduction Waste Minimization Plan (SR/WM) been developed? (SQGs, LQGs and TRI form R reporters submit once every 5 years) Plan requirements can be found in the publication Guide to Pollution Prevention Planning at http://www.tceq.texas.gov	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.476	*b. Has an Executive Summary of the SR/WM plan and a Certificate of Completeness and Correctness been submitted? (SQGs, LQGs and TRI form R reporters only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 TAC 335.476	*c. Has an Annual Progress Report been submitted? (LQGs and TRI form R reporters only)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

30 TAC 335.476	*d. If the facility is a SQG, are they meeting annual progress report requirements either through their annual waste summary and hazardous waste reduction goals or by submitting an Annual Progress Report?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
29 CFR 1910.1200(g)(8)	*Does the facility have Safety Data Sheets (SDS) or other information for all chemicals used in the last 24-months?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 327	Have there been any spills at the facility? If yes:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 TAC 327.5	*a. Has the facility taken appropriate reporting and abatement actions? Http://www.tceq.texas.gov/response/spills.html	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	*Does the facility practice good housekeeping?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Multimedia Recordkeeping Review

Rule Citation	Question	Yes	No	N/A
	Can the facility demonstrate adequate recordkeeping with all applicable rules and permits? Note: A minimum of 25% of all required records must be reviewed during the site visit. List records reviewed in the comment section below.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Comments