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Annual Waste Summary

Industrial & Hazardous Waste Instructions

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Permitting & Registration Support Division
TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Annual Waste Summary

Industrial & Hazardous Waste Instructions

Prepared by

Permitting & Registration Support Division

RG-151

Revised April 2018

Bryan W. Shaw, Ph.D., P.E., *Chairman*
Toby Baker, *Commissioner*
Jon Niermann, *Commissioner*

Stephanie Bergeron Perdue, *Interim Executive Director*

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Introduction

These instructions have helpful information for completing an Annual Waste Summary (AWS) using either a paper form or the State of Texas Environmental Electronic Reporting System (STEERS). The AWS covers the facility's hazardous and industrial Class 1 waste management practices from Jan. 1 through Dec. 31 of the report year. The instructions follow the order of the fields on the AWS Form 00436. The appendixes contain examples of correctly completed forms, detailed instructions of interest to specific generators, and reference tables.

The P2 (Pollution Prevention) Annual Progress Report is a separate report. Contact the TCEQ's Environmental Assistance Division at 512-239-3143 for information about the facility's pollution prevention planning requirements, or visit p2plan.org.

Active Registrations Must File an AWS

Some facilities do not have to report their waste generation activities, but must still submit an AWS. Answer yes or no to the questions below to determine if the facility's waste activities for the year need reporting.

1. During the report year, did the facility generate

- more than 2.2 P (pounds) of acutely hazardous waste?
- more than 220 P of contaminated soil, waste, or other debris resulting from the cleanup of acutely hazardous waste spilled into or on land or water?
- 2,000 P or more of hazardous waste?
- 2,000 P or more of industrial Class 1 nonhazardous waste?

2. During the report year, did the facility store on-site, at any one time:

- 2,200 P or more of hazardous waste?
- more than 2.2 P of acutely hazardous waste?
- more than 220 P of contaminated soil, waste, or other debris resulting from the cleanup of acutely hazardous waste spilled into or on land or water?

3. Did the facility generate more than 220 P of hazardous waste in any one calendar month of the report year?

If the answer to any of the above questions is "Yes", report all hazardous and industrial Class 1 waste generation.

If the answer to all of the above questions is "No", submit an AWS with the box next to "This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste" checked.

If the facility no longer needs to submit an AWS but received a preprinted form, then the facility's Notice of Registration (NOR) is out of date. See "Updating the NOR" on page 6.

Due Dates

If filed on a **paper** form:

January 25th of the year following the reporting period

If filed via **STEERS**:

March 1st of the year following the reporting period

Using STEERS to Submit the AWS

All facilities with Solid Waste Registrations (SWRs) have the option to use STEERS to report, but some facilities must use STEERS. Use the rest of this booklet as a guide to ensure correct completion of the AWS in STEERS.

Advantages of STEERS

All facilities that have a SWR have the option to use STEERS to submit their AWS. STEERS allows the user to add or modify the facility's registration and reporting information via the internet at no charge. STEERS should be used by authorized employees or representatives of the companies to report environmental data. Because this data reporting complies with environmental regulations, any person who submits data using STEERS must have the appropriate authority in the particular environmental program area.

STEERS saves time. STEERS can store the information from the facility's Uniform Hazardous Waste Manifests in the Annual Preparation Files (APF). When it is time to prepare the AWS, STEERS can use the data from the APF to produce a draft summary. Use of the APF is optional-users can also enter AWS data directly into the AWS without using the APF. Users can review, edit, and add to this data before submitting the completed summary.

STEERS has built-in validation checks to help minimize errors on the AWS. Users can edit files after creating them and before submitting them to the TCEQ. You can correct mistakes, delete records, and add information until you submit the report. STEERS has a detailed help system that can answer many questions you might have.

To register for a STEERS account, visit https://www3.tceq.texas.gov/steers/.

For questions about creating or modifying STEERS accounts, including user permissions, contact STEERS Help at 512-239-6925 or STEERS@tceq.texas.gov. For questions about inputting AWS information or other changes to IHW data, contact Registration & Reporting at 512-239-6413 or wasteval@tceq.texas.gov.

Large Quantity Generators Must Use STEERS

Large Quantity Generators of hazardous waste (LQGs) must use STEERS to file the AWS per 30 TAC §335.9(a)(2). An LQG is any facility that generates 1,000 kilograms (2,200 pounds) or more of hazardous waste or 1 kilogram (2.2 pounds) of acutely hazardous waste in any one calendar month.

If the facility is an LQG but does not have the technology necessary to use STEERS, write a letter to request an exemption from this requirement. The TCEQ must receive the letter requesting exemption each year by January 25th. Send a letter to TCEQ, Registration and Reporting MC-129, PO Box 13087, Austin, TX 78711-3087.

In the letter, describe the computer hardware and system. Explain why use of STEERS is not an option. Include the name and phone number of a contact person. A written decision to grant or deny the requested exemption will be sent to your organization.

Updating the NOR

The information preprinted on the AWS comes from the facility's NOR. This notice tells the TCEQ about the facility's management of industrial and hazardous wastes. Keep the facility's NOR current. Update it whenever the facility's waste generation or management practices change.

Updating the Facility's Mailing Address

If the mailing address on the preprinted forms or the generator fee invoice has changed, send Part C and Part G of Form 00002 to the TCEQ's Registration and Reporting Section.

Inactivating Individual Wastes

STEERS users can inactivate wastes that the facility no longer generates. Alternatively, send a letter to the Registration and Reporting Section at one of the addresses shown on page 16. In the letter, include the SWR number, the Texas Waste Code (TWC) to be inactivated, and a signature. Inactivated wastes will not appear on next year's preprinted AWS.

Inactivating the SWR

If the facility has not needed to report any waste for multiple years in a row, the facility may not require a SWR. If the answer to all the questions in Appendix G are "No", the registration should be inactivated. Make sure to close any waste management units (WMUs) no longer in use per 30 TAC §335.8.

A receiving facility may require one or more "TCEQ numbers" if a generating facility with an inactive SWR still needs to ship waste for treatment, storage, or disposal. If so, use these codes to ship waste:

- for a five-digit state ID number: CESQG
- for an EPA ID number: TXCESQG
- for the first four digits of each eight-digit TWC: CESQ

If the receiver requires a 12-digit EPA ID number, then use the number issued by the EPA. Do not use the codes above to report waste on an AWS.

To reactivate the registration, send the TCEQ's Registration and Reporting Section Form 00002 to update the registration. Include a cover letter stating that the registration should be reactivated.

For episodic generation events that require a generator ID, EPA ID, and TWC, fill out Form 00757. The TCEQ will issue temporary numbers to use for manifesting and reporting the waste.

If the Facility Went Out of Business

If the facility is no longer in business, complete an AWS for any reporting period when the registration status was active. Make sure to close all of the WMUs and the SWR per 30 TAC §335.8.

Mid-year ownership changes

A site under a single SWR number can only submit one AWS per reporting period. Therefore, if the facility changes ownership mid-year, both the new and prior owners will need to coordinate their waste reporting in order to submit one AWS for the year. The billing contact listed on the registration at the end of the year (i.e., the billing contact for the new owner) will receive the bill for all fees for the waste reporting period when the ownership change occurred. For more information about fees, see This Summary and the Waste Generation Fee on page 17.

Making Other Changes to the NOR

STEERS users can make many other changes to a registration, such as adding wastes, updating wastes, and adding WMUs. Alternatively, fill out the applicable sections of Form 00002 to make changes. Include Part G of Form 00002 with all paperwork updates.

Completing the Summary

Figure 1. This section of the form is explained on pages 8 through 10.

Hazardous and Industrial Waste
Annual Waste Summary

Please print clearly or type.

Instructions for filling out this form.

Solid Waste Registration #:_____

Reporting Year: _____

Submission Reason: ☐ Original Summary ☐ Revised Summary

Site Name: _____

Physical Street Address: _____

City:_____ State: _____ Zip+4: _____- _____

Waste Reporting Questions

☐ This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste.
☐ This site generated 1.1 tons or more of hazardous waste in one month of the reporting year.
☐ This site generated 2.2 lbs or more of acute hazardous waste in one month of the reporting year.

Getting Started

Gather all manifests and other documentation required to complete the AWS. Check the facilty's NOR to ensure that it has current information. Verify that the waste code information, such as the description and EPA Hazardous Waste Numbers (EHWNs), are up-to-date for each waste. The TCEQ conveys AWS and NOR information to EPA for LQGs during biennial reporting years (odd-numbered years.)

Solid Waste Registration Number

Preprinted forms already contain the facility's five-digit SWR number. On blank forms, fill in the facility's five-digit SWR number. Check this number against the NOR for this facility.

If the numbers do not match, make sure that the site address on the form is the same as the site address on this facility's NOR. If the site address seems incorrect, contact the Registration and Reporting Section at 512-239-6413 or wasteval@tceq.texas.gov.

The SWR number is also known as the "TCEQ ID number" or "state generator's ID."

Reporting Year

Preprinted forms already contain the report year. On blank forms, enter the four-digit calendar year in which the waste was generated or handled (Jan. 1–Dec. 31). Do not use the current calendar year unless submitting a final AWS for the site after the facility has closed.

Submission Reason

Check the applicable box for the type of report being submitted- "Original Summary" or "Revised Summary". Check the box for "Original Summary" if this is the first AWS filed for this facility for the report year. Check the box for "Revised Summary" to submit revisions to the original summary due to incorrect or incomplete information. See "Revising the Summary" on page 17 for more information about filing a revised summary.

Identifying the Facility

The top section of the AWS form contains additional information to identify the facility. Figure 1 shows this section of the form.

Preprinted forms will already contain the following information:

- Site name
- Physical street address: The street address in this field should be the physical address of the facility's site. This address is not necessarily the same as the facility's mailing address.
- City
- State
- ZIP+4

Check the preprinted form for accuracy. Correct any errors by submitting the applicable sections of Form 00002.

On blank forms, fill out each field as follows:

Site Name:

Enter the name the site is known as/by, such as a DBA, as it appears on the registration.

Physical Street Address:

Enter the physical street address/location of the site as it appears on the registration.

City

Enter the city for the physical location of the site as it appears on the registration.

State

Enter the state for the physical location of the site as it appears on the registration.

Zip+4

Enter the zip code for the physical location of the site as it appears on the registration.

Has the Physical Address Changed?

The facility's SWR number is tied to its physical location. If the facility changes locations, fill out Form 00002 to obtain a new SWR. Make sure to determine if the WMUs and/or the SWR at the prior location need to be closed per 30 TAC §335.8.

If the facility has moved, complete an AWS for the prior location as long as the registration remains active. The AWS for the registration tied to the prior location should only contain waste generated at the prior location—that is, the location that corresponds to the SWR number. Complete a separate AWS under a new registration number for any waste generated at the new location.

Waste Reporting Questions

Answering the waste reporting questions does not change either the registration status or the generator status for the facility. Fill out Form 00002 to update the registration. If the facility had a temporary change in generator status, submit a letter notifying the TCEQ within 90 days of the change.

This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste.

Check this box if either one of the following two circumstances applies to the facility:

- In the "Active Registrations Must File an AWS" questionnaire on page 5, you were able to answer "No" for all of the questions.
- The facility generated less than 220 P of hazardous waste a month but generated more than 220 P of industrial Class 1 nonhazardous waste a month, and recycled all of this industrial Class 1 nonhazardous waste during this report year.

If neither of these situations applies to the facility, do not check this box. File a detailed AWS as instructed in the "Reporting Waste Data" section beginning on page 11.

If this box is checked, draw one line through any preprinted TWC numbers. Do not report quantities generated or any other information for the facility's wastes. Submit only one page of the form. Go to "Signing and Sending the Summary" on page 16 to complete the summary.

Example 1 and Example 2 in Appendix A show correctly completed AWSs with the box next to "This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste" checked.

This site generated 1.1 tons or more of hazardous waste in one month of the reporting year.

Check this box if the site generated 1.1 T of hazardous waste in any one month of the report year.

This site generated 2.2 lbs or more of acute hazardous waste in one month of the reporting year.

Check this box if the site generated 2.2 P of acute hazardous waste in any one month of the report year.

Reporting Waste Data

Figure 2. Fields for reporting waste data are explained on pages 11 through 15.

Quantities Generated and Handled					
Texas Waste Code	Total Quantity Generated		UOM	WMC: (for hazardous waste only)	
Waste description:					
Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

For wastewater reporting, see Appendix B, "Guidelines for Reporting Wastewater." Reporting requirements for wastewater vary significantly from other waste reporting.

Basic Reporting Guidelines

In the "Quantities Generated and Handled" section of the AWS form, provide details about each waste the facility generates, handles, or manages. Figure 2 shows a portion of the "Quantities Generated and Handled" section. Each page accommodates information for three wastes with two "Quantity Handled" methods. If a waste has more than two "Quantity Handled" methods, see the instructions for "Quantity Handled" on page 13.

Reportable Wastes

Include all the following wastes on the AWS:

- hazardous waste generation (including episodic generation)
- industrial Class 1 nonhazardous waste generation that is not recycled (including episodic generation)

If the facility has a reportable waste not listed on the preprinted form, ensure that the waste is listed on the NOR and submit an additional Form 00436 to report the waste.

Non-Reportable Wastes

Do not include information the following wastes on the AWS:

- **Universal waste:** Do not report hazardous waste streams managed according to universal waste guidelines.
- **Recycled nonhazardous used oil:** Recycled used oil is regulated by 30 TAC 324 and does not need reporting on the AWS. Inactivate any recycled nonhazardous used oil listed on the facility's NOR. See "Inactivating Individual Wastes" on page 7 to inactivate a waste.
- **Recycled nonhazardous used oil filters** that have been drained and contain no free liquids. Recycled used oil filters are regulated by 30 TAC 328 Subchapter D and do not need reporting on the AWS. Inactivate any used oil filters listed on the facility's NOR that are regulated by 30 TAC 328 Subchapter D. See "Inactivating Individual Wastes" on page 7 to inactivate a waste.
- **Nonindustrial Class 1 waste:** Nonindustrial Class 1 waste reported on the AWS may be billed on the facility's generator fee invoice. To find out how to distinguish between industrial and nonindustrial generators, see Appendix D, "Industrial and Nonindustrial Generators." Inactivate any nonhazardous wastes from the facility's NOR if the facility is nonindustrial. See "Inactivating Individual Wastes" on page 7 to inactivate a waste.
- **Class 2 or Class 3 wastes**

Other Guidelines

Follow these guidelines when entering summary information:

- Type or print clearly. (The blank form can be filled out using Adobe Acrobat Reader.)
- Do not use commas in numbers.

Identifying Each Waste

The main section of the AWS form has three parts. In each of the parts, describe each hazardous and/or industrial Class 1 waste the facility generated and how the waste was handled or managed. In the fields across the tops of these parts, identify the waste by its TWC, indicate the amount the facility generated, and provide a Waste Minimization Code (WMC) for hazardous waste. In the remaining "Quantity Handled" fields in each part, describe how each waste was handled or managed.

Texas Waste Code

Read Appendix B, "Guidelines for Reporting Wastewater," before completing this for wastewater.

Preprinted forms will already have the TWC for each waste the facility generates, handles, or manages on the form. The TWCs on the form come from the facility's NOR, so make sure to keep the facility's NOR current. To inactivate wastes that are no longer generated, use STEERS or send a letter to Registration and Reporting requesting inactivation.

If the facility did not generate, handle, or manage any of the preprinted TWC during the report year, draw a line through that TWC as shown in Example 3 of Appendix A and go directly to the next TWC. Do not enter zeroes for "Total Quantity Generated" and "Quantity Handled."

Use Form 00436 for TWCs that need to be reported and are not listed on the preprinted report. Refer to the NOR to ensure that the TWC you enter is correct and listed on the NOR.

Used oil that is not recycled: TWCs with form code 206 cannot be reported using STEERS. Use Form 00436 to report waste with form code 206 that does not qualify for regulation under 30 TAC 324.

Total Quantity Generated

Enter the total quantity of the waste that this facility generated during the report year using amounts in pounds (P), kilograms (K), or tons (T).

Do not include recycled Class 1 nonhazardous waste in the quantity for this field. However, Class 1 nonhazardous waste that is in storage and will eventually be recycled during a later reporting period must be included in the Total Quantity Generated.

Enter a zero ("0") in this field if this facility did not generate any of this waste during the report year, but the facility managed or handled some quantity of this waste during the report year. For example, if waste in storage from the last reporting period was shipped off-site for disposal, but none of that waste was generated during the reporting period, enter "0" in the Total Quantity Generated, and then enter the management information in the Quantity Handled section of the AWS form.

See Appendix E, "Converting Volume Measurements to Weight Measurements" for assistance with converting waste measured in gallons, cubic feet, or some other unit of volume to P, K, or T. Appendix E has instructions, sample calculations, and two tables of useful conversion factors.

Units of Measurement (UOM)

Enter one of these abbreviations in this field:

- P for pounds
- K for kilograms
- T for tons

Enter the same units of measurement for both the Total Quantity Generated and the Quantity Handled for each entry under one TWC. For instance, if the Total Quantity Generated is reported in T, the Quantity Handled entries must also be reported in T.

Waste Minimization Code (WMC)

The WMC describes the type of waste minimization, recycling, or pollution prevention efforts used to reduce the volume and/or toxicity of hazardous waste. All hazardous waste reported on the AWS must have a corresponding WMC. Choose the code that best describes the waste minimization efforts for the waste from the list below:

- A- Continued initiatives to reduce the quantity and/or toxicity of this waste
- B- Continued initiatives to recycle this waste either on-site or off-site
- C- Implemented new initiatives to reduce the quantity and/or toxicity of this waste
- D- Implemented new initiatives to recycle this waste either on-site or off-site
- N- Waste minimization efforts found to be economically or technically impracticable
- X- No waste minimization efforts were implemented for this waste

Waste Description

This field may be preprinted based on information in the facility's NOR. On a blank form, this field may be left blank, or the waste description from the NOR can be entered in the field.

Waste Handling

Each of these practices is a form of management/handling:

- disposal (on-site or off)
- storage (on-site or off)
- processing
- treatment
- discharge (for wastewater)

Each row in the quantity handled section will address the questions below:

- How much of this waste did the facility manage/handle?
- How was the waste managed/handled?
- What disposal facility managed waste shipped off-site? What WMU stored waste kept on-site at the end of the year?

Quantity Handled

Enter the amount of waste managed. Report a separate quantity handled in a new row if either the receiving facility or the method of management is different. List the same UOM used under "Total Quantity Generated" for this waste. Do not use a separate line for each manifest, only for

different management facilities (Receiver SWR field) and/or different management methods (Management field).

If there are more than two "Quantity Handled" methods, continue the additional entries on an additional blank Form 00436. Make sure to include the TWC, Total Quantity Generated, and UOM above the "Quantity Handled" so that it's clear which waste is being reported. Example 4 in Appendix A shows how to report a waste when there are two or more "Quantity Handled" rows.

Occasionally, the sum of the entries under "Quantity Handled" will be greater than the number entered under "Total Quantity Generated." These are a few of the circumstances that could cause the "Quantity Handled" entries to exceed "Total Quantity Generated":

- Waste generated in a previous year, in storage at the beginning of the report year and shipped to a facility during this report year.
- Wastewater with the same TWC treated in more than one treatment unit.
- Waste in storage at the beginning of the report year and recycled during the report year.

In fact, it is even possible for a single "Quantity Handled" entry to be greater than the entry under "Total Quantity Generated." Example 5 in Appendix A illustrates this scenario.

Units of Measurement (UOM)

As before, indicate the units used with a one-letter abbreviation corresponding to the unit of measurement. Make sure that the unit of measurement is the same as the one used for the "Total Quantity Generated."

- P for pounds
- K for kilograms
- T for tons

Management

The Management Method Code (also known as the System Type Code, or STC) indicates the method of storage, processing, treatment, or disposal used to manage this quantity of waste handled. Enter this code in the "Management" field.

The code for waste shipped to a treatment, storage, or disposal facility can be found in Item 19 of the Uniform Hazardous Waste Manifest. Call the receiver with any questions about the code or to request the appropriate code.

The NOR should list the management method code for waste managed in on-site WMUs. Make sure that the NOR reflects the correct code, and that the code used on the AWS matches the code on the NOR.

Receiver SWR

This information identifies the specific facility that received or managed this portion of the waste. The type of the facility will determine how to complete this field, as described below:

- Off-site receiving facility in Texas: Enter the receiving facility's five-digit SWR number.
- Out-of-state receiving facility: Find the appropriate receiver code in Appendix H, "Codes for Out-of-State Receivers," and enter that code here. Also enter the receiver's EPA ID number in the next field.
- On-site WMU: If a waste was in storage in an on-site WMU at the end of the year, enter the three-digit on-site WMU number from the facility's NOR. Ensure that the management code listed on the summary matches the management code for the WMU on the NOR.

Receiver EPA ID

Enter the receiver's EPA ID, which can be found in Item 8 of the Uniform Hazardous Waste Manifest. This field must not be blank if the waste is hazardous. It is optional for nonhazardous waste.

For nonhazardous waste sent out-of-state, enter the receiver's EPA ID number, if the receiver has one. If the out-of-state receiver of nonhazardous waste has no EPA ID number, leave this field blank and enter the receiving facility's name in the Receiver EPA ID field on Form 00436, or in the comments on STEERS.

Example 6 in Appendix A shows how to use this field when the waste is nonhazardous.

Fee Exemption Code

Leave the "Fee code" field blank if a waste does not qualify for a waste generation fee exemption. Otherwise, use this field to indicate the type of fee exemption being claimed.

The facility may qualify for one of the following classes of fee exemptions:

1. **Wastewater treated on-site.** See Appendix B, "Guidelines for Reporting Wastewater," for additional information about requesting this fee exemption. Unlike other waste that is exempt from fees, some exempt wastewater does not need reporting. Enter "1" in this field to request this exemption.
2. **Waste generated in certain removal or remedial actions financed by public funds.** Enter "2" in this field to request this exemption. To qualify for this exemption, the waste must have been generated in a removal or remedial action that occurred in Texas and was financed by funds from one of these sources:
   - the Texas Hazardous and Solid Waste Remediation Fee Fund
   - the U.S. Environmental Protection Agency
3. **Recycled hazardous waste.** You may claim a fee exemption for hazardous waste that is recycled. For details about restrictions that apply to this exemption, see Appendix C, "Fee Exemptions for Recycling." Enter "3" in this field to request this exemption. Do not use this fee code for recycled industrial Class 1 waste since recycled Class 1 waste does not need reported.
4. **Wastewater hard-piped to a Publicly Owned Treatment Works (POTW).** If the facility hard-pipes wastewater to a POTW for treatment, you may request this exemption. See Appendix B, "Guidelines for Reporting Wastewater," for additional information about requesting this fee exemption. Enter "4" in this field to request this exemption.

Exemptions are not automatic. They must be claimed on the AWS each year, and the Registration and Reporting Section will review them to ensure that they are valid. The Management Method Code is one factor used to evaluate a requested fee exemption, so verify that the correct management code was entered. If the exemption is approved, this quantity handled will be subtracted from the total quantity generated before the fee is calculated.

The exemption must be claimed on the AWS before the date the generation fee is due. Failure to claim an exemption when the AWS is filed might result in overbilling and could require payment of the full amount billed. Notify the TCEQ in writing and revise the AWS to request adjustments to the amount billed. See "Revising the Summary" on page 17 to find out how to file a revised summary. If the revisions to the summary do not arrive at the TCEQ before the generation fee is due, the full amount billed may need to be paid.

Comments (STEERS only)

If the out-of-state receiver of this nonhazardous waste does not have an EPA ID number, enter the receiver's name and city here. Use only one "Comments" field for each "Quantity Handled." If the information does not fit in the spaces available, abbreviate the name to make it fit.

Signing and Sending the Summary

Certification

The authorized agent is the person the company designates to certify the form. The authorized agent must sign and date the form.

Name

Enter the name of the authorized agent.

Title

Enter the title of the authorized agent.

Company

Enter the name of the company where the authorized agent works.

Telephone

Enter a phone number to reach the authorized agent with any questions about the information contained on the form.

Email

Enter an email address to reach the authorized agent with any questions about the information contained on the form.

Sending the Form to the TCEQ

Make a photocopy of the completed AWS for the facility's records before mailing.

Mail the AWS to the TCEQ at one of the addresses shown below.

Use this address for mail using the U.S. Postal Service:

TCEQ
Registration & Reporting Section MC-129
PO Box 13087
Austin TX 78711-3087

Use this street address for mail if using an overnight delivery service:

TCEQ
Registration & Reporting Section MC-129
12100 Park 35 Circle Bldg. D
Austin TX 78753

Do not use the street address above to mail the AWS through the U.S. Postal Service.

Alternatively, fax the AWS to (512) 239-6410.

A facility's permit may require an Annual Site Activity Report if the facility is a hazardous waste permitted facility. This site activity report may have to include a copy of the AWS. If so, use a photocopy of the facility's AWS—not the original—to file the site activity report.

Revising the AWS

File a revised AWS if it needs correction after it was submitted. Some AWS revisions include, but are not limited to: correcting weights, changing a receiving facility's number, adding a quantities to a TWC already reported, or adding a new waste to the information reported in the original AWS. Note: STEERS can be used to add waste reporting information for any TWCs that have not yet been submitted on an AWS.

Follow these steps to file a revised AWS:

- Print the AWS submission from STEERS, make a photocopy of each page to be revised from the facility's file copy of the AWS, or use a blank Form 00436.
- White out the box for "Original Summary" if revising the original copy.
- Check the box for "Revised Summary" if revising the original copy or using a printed AWS form.
- Submit only the waste codes that need revised. Do not submit waste codes that were correct on the original AWS.
- Draw one line through the information that needs to be changed if revising the original copy or the submission from STEERS.
- Just above or just below the original information, clearly print the new information if revising the original copy or the submission from STEERS. If using a blank Form 00436, indicate only the corrected information on the form.
- Make sure to adjust both the Total Quantity Generated and the Quantity Handled as needed if revising a quantity.
- Make a photocopy of this revised AWS for the facility's file.
- Send the revised AWS to one of the addresses shown on page 16.

The AWS and the Waste Generation Fee

The TCEQ uses the information contained in the AWS to calculate the annual waste generation fee. The TCEQ assesses generation fees according to the following schedule:

- Hazardous waste, 30 Texas Administrative Code (TAC) §335.323(e)(1):

Waste Reported (T)	Annual Fee
Less than 1 T	No charge
from 1 – 50 T	$100
Greater than 50 T	$2.00 per T

- Industrial Class 1 waste, 30 TAC §335.323(e)(2):

Waste Reported (T)	Annual Fee
Less than 1 T	No charge
From 1 – 100 T	$50
Greater than 100 T	$.50 per T

Appendix A. Examples

Each of these examples illustrates one or more aspects of how to complete an AWS. Each example has an explanation for the point or points it illustrates.

Because each company's reporting needs are unique, it is unlikely that any example shows everything needed to complete the AWS correctly. Many of these examples show the correct answer for only one situation.

Read the instructions carefully and review the examples. If you still have questions, contact the Registration and Reporting Section at 512-239-6413 or wasteval@tceq.texas.gov for assistance.

Example 1

Bell Company, 123 M Street, Austin TX 78752

SWR 54321, EPA ID TXR987654321

The Bell Company generated a total of 2,000 P of TWC 0001202H, halogenated solvent. All 2,000 P were manifested to Owens Company for incineration. They also generated a total of 1,200 P of TWC 00023111, asbestos—an industrial Class 1 nonhazardous waste. All 1,200 P were manifested to Texas Landfill for disposal.

This nearly blank form is correct for the Bell Company because they did not generate more than 2,000 P of hazardous waste, and they did not generate more than 2000 P of Class 1 waste. They have correctly checked the box next to "This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste." They do not need to fill out the fields for the waste reporting information. They can proceed to the Certification section.

To find out why this form is completed this way, see "Active Registrations Must File an AWS" on page 5 and "This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste" on page 10.

Hazardous and Industrial Waste Annual Waste Summary

Please print clearly or type.

Instructions for filling out this form.

Solid Waste Registration #: **54321**

Reporting Year: **2016**

Submission Reason: ☑ Original Summary ☐ Revised Summary

Site Name: **BELL COMPANY**

Physical Street Address: **123 M ST**

City: **AUSTIN** State: **TX** Zip+4: **78752** - **1234**

Waste Reporting Questions

☑ This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste.
☐ This site generated 1.1 tons or more of hazardous waste in one month of the reporting year.
☐ This site generated 2.2 lbs or more of acute hazardous waste in one month of the reporting year.

Quantities Generated and Handled

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Certification

By my signature below, I certify under penalty of law that the information provided in this form is complete and accurate.

Name: **HERBERT BELL**

Title: **PLANT MANAGER** Company: **BELL COMPANY**

Telephone: **(512) 555-5555** Email: **HERBERT.BELL@BELLCO.COM**

Signature:

Mail completed form to: TCEQ, Registration & Reporting Section MC-129, PO Box 13087, Austin TX 78711
Or fax it to: (512) 239-6410. You may contact us at (512) 239-6413 or wasteval@tceq.texas.gov.

Individuals are entitled to request and review their personal information the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, please contact the TCEQ Public Information Section at (512) 239-3282.

For TCEQ use only: Date Received:

SWR#: **54321** Year: **2016**

Example 2 (Appendix A continued)

Cornelissens Company, 123 Water Street, Austin TX 78753

SWR 87654, EPA ID TXD123456789

The Cornelissens Company generated 10,000 P of TWC 00012031, nonhalogenated solvent. All 10,000 P were sent to Kelly Company for recycling using management code H020.

This nearly blank form is correct for the Cornelissens Company because they recycled all of this Class 1 nonhazardous waste.

To find out why this form is completed this way, see "Active Registrations Must File an AWS" on page 5 and "This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste" on page 10.

Hazardous and Industrial Waste Annual Waste Summary

Please print clearly or type.

Instructions for filling out this form.

Solid Waste Registration #: **87654**

Reporting Year: **2016**

Submission Reason: ☑ Original Summary ☐ Revised Summary

Site Name: **CORNLISSENS COMPANY**

Physical Street Address: **123 WATER ST**

City: **AUSTIN** State: **TX** Zip+4: **78753** - **1234**

Waste Reporting Questions

☑ This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste.
☐ This site generated 1.1 tons or more of hazardous waste in one month of the reporting year.
☐ This site generated 2.2 lbs or more of acute hazardous waste in one month of the reporting year.

Quantities Generated and Handled

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Certification

By my signature below, I certify under penalty of law that the information provided in this form is complete and accurate.

Name: **PEGGY FRAN**

Title: **OFFICE MANAGER** Company: **CORNLISSENS COMPANY**

Telephone: **(512) 555-5555** Email: **PFRAN@CORNLISSENS.COM**

Signature:

Mail completed form to: TCEQ, Registration & Reporting Section MC-129, PO Box 13087, Austin TX 78711
Or fax it to: (512) 239-6410. You may contact us at (512) 239-6413 or wasteval@tceq.texas.gov.

Individuals are entitled to request and review their personal information the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, please contact the TCEQ Public Information Section at (512) 239-3282.

For TCEQ use only: Date Received:

SWR#: **87654** Year: **2016**

Example 3 (Appendix A continued)

Brand Company, 123 Hill Street, Austin TX 78752

SWR 12345, EPA ID TXD456789102

The Brand Company generated more than 1.1 tons of hazardous waste in at least one month of the year. Thus, they checked the box next to "This site generated 1.1 tons or more of hazardous waste in one month of the reporting year."

The Brand Company generated none of TWC 00021011 or 0003201H and did not store, treat, or dispose of any of this waste during this report year. Because this is a preprinted form, the preparer drew a line through this waste code (see "Texas Waste Code" on page 12). The preparer would not have entered this code if it had been a blank form.

The Brand Company generated 16.5 T of TWC 0006101H, aqueous waste with low solvents, EPA hazardous waste number D001. The Brand Company managed this waste as follows:

- Manifested 8 T to Montgomery Incineration, SWR 11111, using management code H040.
- Disposed of 7.5 T in permitted on-site incineration unit 001, using management code H040.
- Had 7 T remaining in storage in on-site WMU 002, management code H141, on Dec. 31.

Notice that if either the facility or the management code is different, a separate row for each quantity handled must be used. Since the facility had 3 separate types of handling, they needed to use an additional blank form to report the third type of handling. See "Quantity Handled" on page 13 for more information about recording this waste management information.

This page intentionally left blank. Example 3's AWS forms are on pp 24-25.

Hazardous and Industrial Waste Annual Waste Summary

Please print clearly or type.

Instructions for filling out this form.

Solid Waste Registration #: **12345**

Reporting Year: **2016**

Submission Reason: ☑ Original Summary ☐ Revised Summary

Site Name: **BRAND COMPANY**

Physical Street Address: **123 HILL ST**

City: **AUSTIN** State: **TX** Zip+4: **78752** - **1234**

Waste Reporting Questions

☐ This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste.
☑ This site generated 1.1 tons or more of hazardous waste in one month of the reporting year.
☐ This site generated 2.2 lbs or more of acute hazardous waste in one month of the reporting year.

Quantities Generated and Handled

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
00021011			

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
0006101H	16.5000	T	A

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
8.0000	T	H 040	11111	TXD999999999	
1.5000	T	H 040	001		

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
0003201H			

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Certification

By my signature below, I certify under penalty of law that the information provided in this form is complete and accurate.

Name: **FREDA JOHNSON**

Title: **MANAGER** Company: **BRAND COMPANY**

Telephone: **(512) 555-5555** Email: **FREDA@BRANDCO.COM**

Signature:

Mail completed form to: TCEQ, Registration & Reporting Section MC-129, PO Box 13087, Austin TX 78711
Or fax it to: (512) 239-6410. You may contact us at (512) 239-6413 or wasteval@tceq.texas.gov.

Individuals are entitled to request and review their personal information the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, please contact the TCEQ Public Information Section at (512) 239-3282.

For TCEQ use only: Date Received:

SWR#: **12345** Year: **2016**

Hazardous and Industrial Waste Annual Waste Summary

Please print clearly or type.

Instructions for filling out this form.

Solid Waste Registration #: **12345**

Reporting Year: **2016**

Submission Reason: ☑ Original Summary ☐ Revised Summary

Site Name: **BRAND COMPANY**

Physical Street Address: **123 HILL ST**

City: **AUSTIN** State: **TX** Zip+4: **78752** - **1234**

Waste Reporting Questions

☐ This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste.
☑ This site generated 1.1 tons or more of hazardous waste in one month of the reporting year.
☐ This site generated 2.2 lbs or more of acute hazardous waste in one month of the reporting year.

Quantities Generated and Handled

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
0006101H	16.5000	T	A

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
7.0000	T	H 141	002		
	T	H			

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Certification

By my signature below, I certify under penalty of law that the information provided in this form is complete and accurate.

Name: **FREDA JOHNSON**

Title: **MANAGER** Company: **BRAND COMPANY**

Telephone: **(512) 555-5555** Email: **FREDA@BRANDCO.COM**

Signature:

Mail completed form to: TCEQ, Registration & Reporting Section MC-129, PO Box 13087, Austin TX 78711
Or fax it to: (512) 239-6410. You may contact us at (512) 239-6413 or wasteval@tceq.texas.gov.

Individuals are entitled to request and review their personal information the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, please contact the TCEQ Public Information Section at (512) 239-3282.

For TCEQ use only: Date Received:

SWR#: **12345** Year: **2016**

Example 4 (Appendix A continued)

Thompson Company, 123 State Drive, Austin TX 78741

SWR 45678, EPA ID TXD000123456

The Thompson Company generated 2,100 T of TWC 0005202H, halogenated solvent, EHWN D001. It managed the waste by manifesting it as follows:

- 100 T to Montgomery Incineration, SWR 11111, using management code H040.
- 200 T to Peterson Solvent Recovery, SWR 22222, using management code H020.
- 300 T to Zaragoza Solvent Recovery, SWR 33333, using management code H020.
- 400 T to Schiller Solvent Recovery, SWR 44444, using management code H020.
- 500 T to McGee Solvent Recovery, SWR 55555, using management code H020.
- 600 T to Faulkner Solvent Recovery, SWR 66666, using management code H020.

See "Quantity Handled" on page 13 for more information about using these rows to tell the TCEQ about the facility's waste management practices.

Hazardous and Industrial Waste Annual Waste Summary

Please print clearly or type.

Instructions for filling out this form.

Solid Waste Registration #: **45678**

Reporting Year: **2016**

Submission Reason: ☑ Original Summary ☐ Revised Summary

Site Name: **THOMPSON COMPANY**

Physical Street Address: **123 STATE DR**

City: **AUSTIN** State: **TX** Zip+4: **78741** - **9874**

Waste Reporting Questions

☐ This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste.
☑ This site generated 1.1 tons or more of hazardous waste in one month of the reporting year.
☐ This site generated 2.2 lbs or more of acute hazardous waste in one month of the reporting year.

Quantities Generated and Handled

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
0005202H	2,100.0000	T	C

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
100.0000	T	H 040	11111	TXP000011111	
200.0000	T	H 020	22222	TXP000022222	3

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
0005202H	2,100.0000	T	C

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
300.0000	T	H 020	33333	TXP000033333	3
400.0000	T	H 020	44444	TXP000044444	3

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
0005202H	2,100.0000	T	C

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
500.0000	T	H 020	55555	TXP000055555	3
600.0000	T	H 020	66666	TXP000066666	3

Certification

By my signature below, I certify under penalty of law that the information provided in this form is complete and accurate.

Name: **RUSSELL THOMPSON**

Title: **OWNER** Company: **THOMPSON COMPANY**

Telephone: **(512) 555-5555** Email: **RUSST@THOMPSONCO.COM**

Signature:

Mail completed form to: TCEQ, Registration & Reporting Section MC-129, PO Box 13087, Austin TX 78711
Or fax it to: (512) 239-6410. You may contact us at (512) 239-6413 or wasteval@tceq.texas.gov.

Individuals are entitled to request and review their personal information the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, please contact the TCEQ Public Information Section at (512) 239-3282.

For TCEQ use only: Date Received:

SWR#: **45678** Year: **2016**

Example 5 (Appendix A continued)

Dixon Manufacturing Company, 123 Flag Blvd, Austin TX 78746

SWR 56789, EPA ID TXD901234567

During this reporting year, Dixon Manufacturing generated 73 T of nonhalogenated solvent, TWC 0001203H. There were 17 T of the same solvent in storage at the beginning of this report year.

A total of 89 T was neutralized in the on-site RCRA permit-exempt wastewater treatment unit number 008. After neutralization, the resulting wastewater was discharged under a Clean Water Act discharge permit. On Dec. 31, 1 T of TWC 0001203H remained in storage at the facility in on-site WMU 009.

During this report year, Dixon did not generate any halogenated solvent, TWC 0002202H-EHWN D001, but Dixon did have 24 T of this waste in storage as of Dec. 31 of the previous year. This year, Dixon shipped 12 T of this waste to Carolgens Disposal Service, SWR 97865, using management code H141. The other 12 T remained in storage on-site (management code H141) in WMU 001. To show the handling of this waste, the preparer entered a “0” under “Total Quantity Generated,” entered “12” in two rows under “Quantity Handled,” and then completed the remaining fields in each row.

See “Total Quantity Generated” on page 12 and “Quantity Handled” on page 13 for more information about completing these fields.

Hazardous and Industrial Waste Annual Waste Summary

Please print clearly or type.

Instructions for filling out this form.

Solid Waste Registration #: **56789**

Reporting Year: **2016**

Submission Reason: ☑ Original Summary ☐ Revised Summary

Site Name: **DIXON MANUFACTURING COMPANY**

Physical Street Address: **123 FLAG BLVD**

City: **AUSTIN** State: **TX** Zip+4: **78746** - **4567**

Waste Reporting Questions

☐ This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste.
☑ This site generated 1.1 tons or more of hazardous waste in one month of the reporting year.
☐ This site generated 2.2 lbs or more of acute hazardous waste in one month of the reporting year.

Quantities Generated and Handled

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
0001203H	73.0000	T	B

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
89.0000	T	H 110	008		
1.0000	T	H 141	009		

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
0002202H	0.0000	T	X

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
12.0000	T	H 141	97865	TXP000097865	
12.0000	T	H 141	001		

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Certification

By my signature below, I certify under penalty of law that the information provided in this form is complete and accurate.

Name: **CLIFF DIXON**

Title: **ENVIRONMENTAL COORDINATOR** Company: **DIXON MANUFACTURING**

Telephone: **(512) 555-5555** Email: **CLIFF.DIXON@DIXONMFG.COM**

Signature:

Mail completed form to: TCEQ, Registration & Reporting Section MC-129, PO Box 13087, Austin TX 78711
Or fax it to: (512) 239-6410. You may contact us at (512) 239-6413 or wasteval@tceq.texas.gov.

Individuals are entitled to request and review their personal information the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, please contact the TCEQ Public Information Section at (512) 239-3282.

For TCEQ use only: Date Received:

SWR#: **56789** Year: **2016**

Example 6 (Appendix A continued)

Johnson & Dunn Company, 123 Capitol Street, Austin TX 78704

SWR 12345, EPA ID TXD123456789

J&D generated 16,000 P of primary sludge and debris, TWC 00024981. This is a nonhazardous waste.

The amount of 8,000 P was manifested for disposal to Louisiana Landfill, Monroe, Louisiana. The amount of 5,000 P was manifested for incineration to Drucker Company, EPA ID LAD891234567, Baton Rouge, Louisiana. On Dec. 31, J&D had 3,000 P remaining in storage at their site in on-site WMU 010.

If this waste were hazardous, J&D would need to report EPA ID numbers for both of these out-of-state facilities.

See "Receiver EPA ID" and "Comments" on page 15 for more information about completing these fields.

Hazardous and Industrial Waste Annual Waste Summary

Please print clearly or type.

Instructions for filling out this form.

Solid Waste Registration #: **12345**

Reporting Year: **2016**

Submission Reason: ☑ Original Summary ☐ Revised Summary

Site Name: **JOHNSON & DUNN COMPANY**

Physical Street Address: **123 CAPITOL ST**

City: **AUSTIN** State: **TX** Zip+4: **78704** - **4561**

Waste Reporting Questions

☐ This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste.
☐ This site generated 1.1 tons or more of hazardous waste in one month of the reporting year.
☐ This site generated 2.2 lbs or more of acute hazardous waste in one month of the reporting year.

Quantities Generated and Handled

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
00024891	16,000.0000	P	

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
8,000.0000	P	H 132	D0022	Monroe Lndfl	
5,000.0000	P	H 040	D0022	LAD891234567	

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
00024891	16,000.0000	P	

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
3,000.0000	P	H 141	010		
	P	H			

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Certification

By my signature below, I certify under penalty of law that the information provided in this form is complete and accurate.

Name: **ANNIE DUNN**

Title: **OFFICE PERSONNEL** Company: **JOHNSON & DUNN COMPANY**

Telephone: **(512) 555-5555** Email: **ADUNN@J&DCO.COM**

Signature:

Mail completed form to: TCEQ, Registration & Reporting Section MC-129, PO Box 13087, Austin TX 78711
Or fax it to: (512) 239-6410. You may contact us at (512) 239-6413 or wasteval@tceq.texas.gov.

Individuals are entitled to request and review their personal information the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, please contact the TCEQ Public Information Section at (512) 239-3282.

For TCEQ use only: Date Received:

SWR#: **12345** Year: **2016**

Example 7 (Appendix A continued)

Hanshaw Manufacturing, 100 Governor Highway, Austin TX 78748

SWR 87654, EPA ID TXR000000789

Hanshaw Manufacturing generated 10,000 P of a hazardous wastewater (TWC 0090102H; EHWN K104) that was treated on-site in WMUs 001, 002, and 003.

The resulting waste was sent to Jones Environmental (SWR 87659). Because this is an EPA-listed waste, after treatment it is still a hazardous waste (EHWN K104).

In this waste summary, notice that "Total Quantity Generated" does not equal the sum of the entries under "Quantity Handled." The waste generation fee is based on the entry under "Total Quantity Generated."

For more information about reporting wastewater, see "Guidelines for Reporting Wastewater" in Appendix B.

Hazardous and Industrial Waste Annual Waste Summary

Please print clearly or type.

Instructions for filling out this form.

Solid Waste Registration #: **87654**

Reporting Year: **2016**

Submission Reason: ☑ Original Summary ☐ Revised Summary

Site Name: **HANSHAWS MANUFACTURING**

Physical Street Address: **100 GOVERNOR HWY**

City: **AUSTIN** State: **TX** Zip+4: **78748** - **6548**

Waste Reporting Questions

☐ This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste.
☑ This site generated 1.1 tons or more of hazardous waste in one month of the reporting year.
☐ This site generated 2.2 lbs or more of acute hazardous waste in one month of the reporting year.

Quantities Generated and Handled

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
0090102H	10,000.0000	P	N

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
10,000.0000	P	H 129	001		
10,000.0000	P	H 129	002		

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
0090102H	10,000.0000	P	N

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
10,000.0000	P	H 129	003		
10,000.0000	P	H 129	87659	TXP000087659	

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Certification

By my signature below, I certify under penalty of law that the information provided in this form is complete and accurate.

Name: **CAROLINE HANSHAW**

Title: **ENVIRONMENTAL MANAGER** Company: **HANSHAWS MANUFACTURING**

Telephone: **(512) 555-5555** Email: **CHANSHAW@HANSHAWSMFG.COM**

Signature:

Mail completed form to: TCEQ, Registration & Reporting Section MC-129, PO Box 13087, Austin TX 78711
Or fax it to: (512) 239-6410. You may contact us at (512) 239-6413 or wasteval@tceq.texas.gov.

Individuals are entitled to request and review their personal information the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, please contact the TCEQ Public Information Section at (512) 239-3282.

For TCEQ use only: Date Received:

SWR#: **87654** Year: **2016**

Example 8 (Appendix A continued)

Moreno Company, 300 Star Blvd, Austin TX 78738

SWR 98765, EPA ID TXR000000112

Moreno Company generated 8,000 P of corrosive wastewater containing lead at 2.0 ppm (TWC 0006105H; EHWN D002). This waste was neutralized in the on-site RCRA permit-exempt wastewater treatment unit number 003.

The neutralization treatment did not change the lead content of the wastewater. The resulting Class 1 waste (TWC 00071131) was sent to Ximinez Company, SWR 12345, for further treatment.

For more information about reporting wastewater, see "Guidelines for Reporting Wastewater" in Appendix B.

Hazardous and Industrial Waste Annual Waste Summary

Please print clearly or type.

Instructions for filling out this form.

Solid Waste Registration #: **98765**

Reporting Year: **2016**

Submission Reason: ☑ Original Summary ☐ Revised Summary

Site Name: **MORENO COMPANY**

Physical Street Address: **300 STAR BLVD**

City: **AUSTIN** State: **TX** Zip+4: **78738** - **9874**

Waste Reporting Questions

☐ This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste.
☑ This site generated 1.1 tons or more of hazardous waste in one month of the reporting year.
☐ This site generated 2.2 lbs or more of acute hazardous waste in one month of the reporting year.

Quantities Generated and Handled

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
0006105H	8,000.0000	P	X

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
8,000.0000	P	H 121	003		1
	P	H			

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
00071131	8,000.0000	P	

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
8,000.0000	P	H 081	12345		
	P	H			

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Certification

By my signature below, I certify under penalty of law that the information provided in this form is complete and accurate.

Name: **BILL MORENO**

Title: **MANAGER** Company: **MORENO COMPANY**

Telephone: **(512) 555-5555** Email: **BILL.MORENO@MORENOCO.COM**

Signature:

Mail completed form to: TCEQ, Registration & Reporting Section MC-129, PO Box 13087, Austin TX 78711
Or fax it to: (512) 239-6410. You may contact us at (512) 239-6413 or wasteval@tceq.texas.gov.

Individuals are entitled to request and review their personal information the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, please contact the TCEQ Public Information Section at (512) 239-3282.

For TCEQ use only: Date Received:

SWR#: **98765** Year: **2016**

Example 9 (Appendix A continued)

The Smith & Jones Company, 123 Main Street, Austin, TX 78704

SWR 21111, EPA ID TXD012345678

Smith & Jones generated a total of 1,200 T of halogenated solvent (TWC 0001202H). It handled this waste as follows:

- manifested 400 T off-site for solvent extraction to Ximinez Company, SWR 12345
- manifested another 600 T for incineration to Owens Company, SWR 67890
- held 200 T in storage at the generating site as of Dec. 31

Of these quantities handled, only the 400 T shipped for solvent extraction qualifies for the recycling exemption. Any further handling of the 200 T that remain in storage must be reported in the next year's AWS.

This year's waste generation fee for Smith & Jones will be based on 800 T of this waste—1,200 T generated minus 400 T recycled this report year.

See "Fee Exemptions for Recycling" in Appendix C for a complete explanation of how to account for recycled hazardous waste.

Hazardous and Industrial Waste Annual Waste Summary

Please print clearly or type.

Instructions for filling out this form.

Solid Waste Registration #: **21111**

Reporting Year: **2016**

Submission Reason: ☑ Original Summary ☐ Revised Summary

Site Name: **SMITH & JONES COMPANY**

Physical Street Address: **123 MAIN ST**

City: **AUSTIN** State: **TX** Zip+4: **78704** - **3216**

Waste Reporting Questions

☐ This site did not generate reportable quantities of hazardous and/or Class 1 industrial waste.
☑ This site generated 1.1 tons or more of hazardous waste in one month of the reporting year.
☐ This site generated 2.2 lbs or more of acute hazardous waste in one month of the reporting year.

Quantities Generated and Handled

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
0001202H	1,200.0000	T	D

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
400.0000	T	H 020	12345	TXP000012345	3
600.0000	T	H 020	67890	TXP000067890	

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)
0001202H	1,200.0000	T	D

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
200.0000	T	H 110	001		
	T	H			

Texas Waste Code	Total Quantity Generated	UOM	WMC: (for hazardous waste only)

Waste description:

Quantity Handled	UOM	Management	Receiver SWR	Receiver EPA ID	Fee code
		H			
		H			

Certification

By my signature below, I certify under penalty of law that the information provided in this form is complete and accurate.

Name: **FRANCINE SMITH**

Title: **ENVIRONMENTAL MANAGER** Company: **SMITH & JONES COMPANY**

Telephone: **(512) 555-5555** Email: **FRAN.SMITH@S&JCO.COM**

Signature:

Mail completed form to: TCEQ, Registration & Reporting Section MC-129, PO Box 13087, Austin TX 78711
Or fax it to: (512) 239-6410. You may contact us at (512) 239-6413 or wasteval@tceq.texas.gov.

Individuals are entitled to request and review their personal information the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, please contact the TCEQ Public Information Section at (512) 239-3282.

For TCEQ use only: Date Received:

SWR#: **21111** Year: **2016**

Appendix B. Guidelines for Reporting Wastewater

Wastewater presents three challenges for completing the facility's AWS:

- First, make sure that reporting the wastewater is required.
- Second, correctly report all wastewater that is required for reporting.
- Third, claim fee exemptions for any eligible wastewater.

Non-Reportable Wastewater

Do not count wastewater that meets all three of the conditions listed below in determining the facility's hazardous waste status, and do not report this wastewater on the facility's AWS:

- The wastewater is industrial Class 1 or only a "characteristic" hazardous waste—that is, it only has EHWNs that start with D, and does not have any EHWNs beginning with the letter F, K, P, or U.
- The wastewater is treated immediately on-site in one or more units. The units that treat the waste must have the unit type "tank" or "wastewater treatment plant" and the unit regulatory status "RCRA permit-exempt wastewater treatment unit" or "RCRA permit-exempt totally enclosed treatment facility" on the NOR.
- After treatment, the wastewater is a Class 2 nonhazardous waste.

The facility's NOR must list both the untreated and treated wastewater as waste streams, but do not report it on the AWS.

Example: The facility generates a wastewater that is hazardous solely because it exhibits the characteristic of corrosivity (EHWN D002). The wastewater is treated on-site. This waste is treated in a neutralization tank that is a "RCRA permit-exempt totally enclosed treatment facility." After this treatment, the wastewater is a Class 2 nonhazardous waste.

This wastewater fits all three of the conditions stated above for wastewater that must appear on the facility's NOR but should not be reported. Do not report its generation or treatment on the AWS. If a TWC for this wastewater is preprinted on the AWS, draw a line through that field. Leave all other fields for this TWC blank.

Reportable Wastewater

Report all wastewater that does not meet the conditions under "Non-Reportable Wastewater." Reporting of treatment or discharge of wastewater is different than other wastes, so note the instructions below.

Treatment

One form of handling wastewater is treatment. Treatment also includes processes that might be considered "pretreatment."

How the facility's treatment of wastewater is reported depends on these two factors:

- the number of wastewater treatment units on the facility's NOR used to treat the waste
- whether a treatment step changes the classification of the wastewater

The following text will show how to use this information to correctly enter wastewater treatment processes in the AWS. After reading this information, see "Waste Handling" on page 13 and complete each row as required for the handling of any other waste.

The number of treatment units identified on the NOR determines how many rows need to be completed under the TWC for this wastewater.

If the facility's wastewater treatment plant is shown on the NOR as one unit, then report the management of this waste in a single row under its TWC. Under "Facility Number," enter the WMU number from the NOR.

If the facility's wastewater treatment plant appears on the NOR as several different units, each having a different WMU number, then report the waste as it travels from unit to unit through the treatment plant. Under "Facility Number," enter the number of each WMU from the NOR. As in Example 7 in Appendix A, the sum of the quantities handled will be greater than the total quantity generated. This is not an error. It does not increase the waste generation fee.

After wastewater treatment, the waste classification will be either unchanged or reduced. Wastewater with an EHWN beginning with F, P, K, or U may change form when treated, but does not have its classification reduced.

Classification Unchanged. When a treatment step does not change the classification of the wastewater, the wastewater produced is the same waste as the wastewater that entered treatment. Example 7 in Appendix A illustrates such a case. Use a new row under the same TWC for each treatment unit identified in the NOR if the classification of the wastewater does not change in that treatment unit.

Classification Reduced. When a treatment step reduces the waste classification of the wastewater, you have generated a new waste. This waste will have its own TWC. Report the original waste generated and its treatment by management code.

- If the wastewater produced in a treatment step is Class 2 nonhazardous waste, the reporting for this waste stream is complete. Do not report the generation of the resulting treated waste.
- If a treatment step reduces the classification of the wastewater to Class 1 nonhazardous waste, then you must report this Class 1 nonhazardous waste and its treatment or disposal under a new TWC. Example 8 in Appendix A illustrates how to report the production of a Class 1 nonhazardous waste through the treatment of hazardous wastewater.

Discharge

These are three of the more common ways the facility could discharge wastewater:

- as a permitted discharge under an on-site TPDES permit
- as a hard-piped discharge to a publicly owned treatment works (POTW)
- as a shipment off-site, including shipment to a POTW

Do not report on-site permitted TPDES discharges on the AWS. These discharges also should not appear on the facility's NOR per 30 TAC §335.1(146)(A)(i).

The facility's hard-piped discharge of wastewater to a POTW or shipment of the wastewater off-site is a way of handling this waste. Report the discharge as a "Quantity Handled" under the TWC of this waste. With the following exceptions, complete the remaining fields in the "Quantity Handled" row as described under "Waste Handling" on page 13:

- Under "Management": Enter H135 if the facility did not treat the wastewater before discharging it. If the facility did treat the wastewater before discharging it, enter the appropriate code for on-site treatment from Appendix F.
- Under "Facility Number": When sending the wastewater to a POTW, a portion of the Water Quality Authorization Number of the POTW will be entered as the facility number. The POTW's water quality authorization number can be found using the Central Registry search on the TCEQ's website or by contacting the POTW. The water quality authorization number will start with R followed by eight digits (R########). For the facility number on the AWS,

drop the "R" at the beginning of the authorization number and enter the five digits directly after the "R". Example: The City of Casper's POTW has water quality authorization number R88888123. For wastewater sent to City of Casper's POTW, 88888 would be entered as the facility number. (If the wastewater is hazardous, you'll also need to enter the POTW's EPA ID in the "Receiver EPA ID" field).

Fee Exemptions for Wastewater

Reportable hazardous wastewater is exempt from hazardous waste generation fees if it meets these criteria:

- The wastewater is only a "characteristic" hazardous waste—that is, it only has EHWNs that start with D, and does not have any EHWNs beginning with the letter F, K, P, or U.
- The wastewater is treated immediately on-site in one or more units. The units that treat the waste must have the unit type "tank" or "wastewater treatment plant" and the unit regulatory status "RCRA permit-exempt wastewater treatment unit" or "RCRA permit-exempt totally enclosed treatment facility" on the NOR.
- After being treated, the wastewater is a Class 1 nonhazardous waste.

To claim this fee exemption, enter a "1" under "Fee" for this waste on the facility's AWS. Report the resulting Class 1 nonhazardous waste under its own TWC. This Class 1 waste is not exempt from fees.

Hard-piped Wastewater

Hazardous and/or Class 1 wastewater that is hard-piped to a POTW for treatment must be reported on the facility's AWS but it is exempt from hazardous waste generation fees if it meets these criteria:

- The wastewater is only a "characteristic" hazardous waste—that is, it only has EHWNs that start with D, and does not have any EHWNs beginning with the letter F, K, P, or U.
- After treatment at the POTW, hazardous wastewater is rendered nonhazardous.
- After treatment at the POTW, Class 1 wastewater is no longer a Class 1 waste.

To claim this fee exemption, enter a "4" under "Fee" for this waste on the facility's AWS.

Appendix C. Fee Exemptions for Recycling

Recycled hazardous waste can receive an exemption from generation fees. (As a reminder, recycled industrial Class 1 should not be reported.) To receive this exemption, the facility must have recycled the waste in this report year. Waste recycled after Dec. 31 may be counted for an exemption in the following report year. See "Accounting for Recycling" on page 42 to find out how this affects the AWS.

If the exemption is not claimed when the AWS is completed, revise the AWS to add the fee exemption. The facility is responsible for any fees that accrue prior to the revision. The TCEQ may deny any claims filed after the date the fees are due.

Documenting Exemptions

Hazardous wastes may be eligible for this exemption regardless of whether the recycling occurs at the facility—that is, on-site—or at another facility— that is, off-site. The supporting documentation to claim this exemption is different for on-site recycling and off-site recycling.

On-Site Recycling

To claim an exemption from generation fees for hazardous waste recycled on-site, the facility's NOR and AWS must be properly completed.

The following information must appear on the facility's NOR:

- the on-site management unit or units in which the waste is recycled
- the unit type must be a boiler, industrial furnace, thermal processing unit, tank, or distillation/solvent recovery unit.
- the unit regulatory status of each of these management units must be "Permit exempt recycling unit."
- the management code for each of these management units must list at least one of the following management codes: H010, H020, H039, or H050.

The facility's AWS must show this information:

- Under "Management": Enter the management code that indicates how this waste was recycled.
- Under "Fee": For the recycled quantity of waste, enter the number "3." This code indicates that you are claiming a fee exemption for recycling.
- Under "Facility Number": Enter the WMU number from the facility's NOR.

Example 9 in Appendix A illustrates how to claim a fee exemption for recycling.

Off-Site Recycling

To claim an exemption from generation fees for hazardous waste recycled off-site, the facility's AWS must show this information:

- Under "Management Code": Enter the code that indicates how this waste was recycled. Valid recycling management codes are generally H010, H020, H039, or H061.
- Under "Fee": For the recycled quantity of waste, enter the number "3." This code indicates that you are claiming a fee exemption for recycling.
- Under "Facility Number": Enter the five-digit SWR number of the off-site recycling facility.

The lower section of Example 4 in Appendix A illustrates how to report waste recycled off-site. Out-of-state recycling requires review by the TCEQ. The TCEQ may request documentation from the disposal facility outlining how the waste was recycled.

Accounting for Recycling

Waste that is destined to be recycled but has not actually been recycled by Dec. 31 of the report year is not eligible for a fee exemption.

If the facility generates a hazardous waste, recycles some of this waste, and stores the rest, make two separate entries under "Quantity Handled" as shown in Example 9. One entry is for the recycled waste; the other is for the waste that is in storage and waiting to be recycled. Request an exemption on the stored waste in the next report year by indicating the recycling on one of the "Quantity Handled" lines on the next year's report.

Appendix D. Industrial and Nonindustrial Generators

Industrial facilities may face additional regulations that do not apply to nonindustrial facilities. Therefore, it is very important to be able to determine whether or not a facility is industrial or nonindustrial.

Industrial Activity Defined

An industrial facility engages in any of the following activities:

- It makes a product for wholesale according to an organized plan and with a division of labor.
- It changes materials by processing them. Repackaging by itself is not considered an industrial activity.

or

- It substantially supports either of the above activities. An example of a substantially supportive activity includes transporting products or chemicals to another location so they can become part of a manufacturing operating (e.g., transporting refined petroleum chemicals used to produce plastics). They do not include activities that are not directly supportive, such as transporting vending machine snacks to a company that manufactures plastics.

If the facility conducts any industrial activities, all wastes that the facility produces are industrial waste, including Class 2 office trash and hazardous waste.

Examples of Typical Industrial Facilities

- Apparel and accessories manufacturers
- Intermediate product/chemical storage facilities
- Cabinet and/or furniture manufacturers
- Mining operations
- Ceramic floor and wall tile manufacturers
- Mobile home construction
- Chemical and allied products manufacturers
- Oil and/or chemical refineries
- Electric generating plants
- Product testing facilities
- Electronic assembly facilities
- Product research and development
- Electroplating operations
- Sawmills and planing mills
- Fabricated metal products facilities
- Slaughterhouses
- Formulating operations (e.g., mixing operations)
- Wineries

Examples of Typical Nonindustrial Facilities

(Note: If one or more of the following facilities or activities is located on a site that is considered industrial, wastes from the facility or activity are considered industrial wastes. For example, wastes from a printing operation located on an industrial facility's site are considered industrial wastes.)

- Artisans
- Household hazardous waste collection
- Automobile dealers
- Lawn and gardening services
- Commercial printers
- Meat packaging only (no slaughtering)
- Concrete ready-mix plants
- One-hour eyeglass facilities
- Crude oil and natural gas pipelines
- Petroleum distributors only
- Custom cabinetmakers
- Photographic studios
- Distribution of electricity (no generation)
- Public transportation
- Distribution of goods
- Dry cleaning facilities
- Repair services
- Funeral homes
- Retail stores and outlets
- Furniture refinishing
- Schools
- Gasoline stations
- Telecommunications
- Grocery and convenience stores
- Veterinary services
- Health care and allied services

Appendix E. Converting Volume Measurements to Weight Measurements

Three methods (other than simply weighing the entire volume of a waste) that can assist in determining the weight of a waste are explained below. The conversion factors in Table E-1 may help with these and other calculations needed to report the waste data in P, K, or T. The TCEQ cannot do conversions on behalf of a regulated organization.

Using Density and Volume

If the density of a waste (that is, its weight per unit volume) is known, calculate the weight of the waste by using this formula:

Density of Waste × Volume of Waste = Weight of Waste

Example: A waste weighs 11.5 P per gallon. There are 50 gal of this waste. Calculate its total weight as follows:

11.5 P/gal × 50 gal = 575 P

Using the Volume and Weight of a Representative Sample

The formula for using this method is:

(Volume of Waste ÷ Volume of Sample) × Weight of Sample = Total Weight of Waste

These are the four steps in using this method:

1. Obtain a representative sample of known volume (for example, 1 gal) of the waste whose weight is being calculated.
2. Weigh the sample.
3. Calculate the number of such samples in the waste by dividing the volume of the waste by the volume of the sample.
4. Multiply the number obtained in Step 3 by the weight of the sample to obtain the weight of the waste.

Example: A facility has 9,500 L of a liquid waste but its specific gravity is unknown. Calculate the weight of this liquid waste as follows:

1. Take a representative sample of a known volume of the liquid waste. For this example, the sample volume is 2 L.
2. Weigh the sample taken in Step 1. For this example, the sample weighs 3 kg.
3. Divide the volume of the original waste by the volume of the sample:

   9,500 L ÷ 2 L = 4,750

4. Multiply the result of Step 3 by the weight of the sample of waste:

   4,750 × 3 K= 14,250 K

The weight of the original 9,500 L of liquid waste is 14,250 K.

Using Specific Gravity and Volume

The specific gravity of a waste is the ratio of its weight to the weight of an equal volume of water. If the specific gravity of the waste is known and its total volume is measurable, then use this method to calculate the total weight of the waste. Refer to Table E-1 to find the weight of water needed to do this calculation with many different units of volume.

When using this method, make sure that the units associated with the volume of the waste are the same as the weight of the volume of water used as a reference. For example, if the volume of the waste is in cubic yards, then use the weight of 1 cubic yard of water in this calculation.

The specific gravity of the waste can often be obtained from the Material Safety Data Sheet, the manufacturer's literature, materials handbooks, or from a laboratory.

The formula for using this method is:

Weight of Water per Unit Volume × Specific Gravity × Volume of Waste = Weight of Waste

Example: A facility has 2 cubic feet of lead waste. Lead has a specific gravity of 11.35—that is, a given volume of lead weighs 11.35 times as much as the same volume of water. The weight of 1 cubic feet of water is approximately 62.3 P. With this information, calculate the weight of the 2 cubic feet of lead as follows:

$$62.3\ P/ft^3 \times 11.35 \times 2\ ft^3 = 1{,}414\ P$$

Table E-1: Volumes of Water and Their Weight in P

Volume of Water	**Weight**
1 gallon	8.34 P
1 liter	2.205 P
1 cubic centimeter	0.0022 P
1 cubic inch	0.0361 P
1 cubic foot	62.3 P
1 cubic yard	1,682.1 P
1 cubic meter	2,198.4 P

Appendix F. Management Codes

Reclamation and Recovery

Code	Description
H010	Metals recovery including retorting, smelting, chemical, etc.
H020	Solvents recovery
H039	Other recovery or reclamation for reuse including acid regeneration, organics recovery, etc.
H050	Energy recovery at this site; use as fuel (includes on-site fuel blending)
H061	Fuel blending prior to energy recovery at another site

Destruction or Treatment Prior to Disposal at Another Site

Code	Description
H040	Incineration; thermal destruction other than use as a fuel
H070	Chemical treatment (reduction/destruction/oxidation/precipitation)
H081	Biological treatment with or without precipitation
H100	Physical treatment only (adsorption/absorption/separation/stripping/dewatering)
H110	Stabilization prior to land disposal at another site (encapsulation/stabilization/fixation)
H120	Combination of chemical, biological and/or physical treatment
H121	Neutralization only
H122	Evaporation
H129	Other treatment (specify in the unit description)

Disposal

Code	Description
H131	Land treatment or application (to include on-site treatment and/or stabilization)
H132	Landfill or surface impoundment that will be closed as landfill (to include on-site treatment and/or stabilization)
H134	Deepwell or underground injection (with or without treatment)
H135	Discharge to sewer/POTW or NPDES (with prior storage - with or without treatment)

Storage and Transfer

Code	Description
H141	Storage, bulking, and/or transfer off-site - no treatment/recovery, fuel blending, or disposal at this site.

For TCEQ Use Only: IHW REG/ /CO/ /UPDATE

Appendix G: Inactivating the NOR

If the answer to all of the questions below is "No", the facility does not have a notification requirement with the TCEQ. Request inactivation of the NOR by returning this questionnaire to the Registration and Reporting Section. Submit the required unit closure information according to Texas Administrative Code (TAC) §335.8 with this form. Requirements and guidance to close WMUs can be found at http://www.tceq.texas.gov/remediation/corrective_action/closure.html. For questions call: 512-239-6413.

	Yes	No
1. In any one calendar month during the report year, did the facility generate:		
• more than 220 pounds of hazardous waste?	☐	☐
• more than 220 pounds of industrial Class 1 nonhazardous waste?	☐	☐
2. During the report year, did the facility generate:		
• 2,200 pounds or more of hazardous waste?	☐	☐
• 2,200 pounds or more of industrial Class 1 nonhazardous waste?	☐	☐
• more than 2.2 pounds of acute hazardous waste? (Acute waste carries a P-listed EHWN.)	☐	☐
• more than 220 pounds of contaminated soil, waste, or other debris resulting from the cleanup of acute hazardous waste spilled into or on land or water?	☐	☐
3. During the report year, did the facility store on-site at any one time:		
• 2,200 pounds or more of hazardous waste?	☐	☐
• more than 2.2 pounds of acute hazardous waste?	☐	☐
• more than 220 pounds of contaminated soil, waste, or other debris resulting from the cleanup of acute hazardous waste spilled into or on land or water?	☐	☐

Texas Solid Waste Registration Number:_____ EPA ID Number:_____

☐ Check this box if the site above is also registered as a transporter and/or receiver, and you would like to inactivate the solid waste registration's transporting and receiving activities as well.

☐ Check this box if the site above will not generate any reportable waste for the current reporting year.

I answered "No" to all of the questions listed above and wish to inactivate my NOR. I have included the required unit closure information according to Texas Administrative Code (TAC) §335.8 for each WMU at this site.

Company Name: _____

Printed Name of Company Representative: _____

Signature of Company Representative: _____ Date: _____

Telephone Number: _____

Send to the following address:
Texas Commission on Environmental Quality
Registration and Reporting Section, MC-129
P.O. Box 13087
Austin, Texas 78711-3087
Or fax to: 512-239-6410

Appendix H. Codes for Out-of-State Receivers

State	Abbr.	Code
Alabama	AL	D0001
Alaska	AK	D0002
Arizona	AZ	D0004
Arkansas	AR	D0005
California	CA	D0006
Colorado	CO	D0008
Connecticut	CT	D0009
Delaware	DE	D0010
Florida	FL	D0012
Georgia	GA	D0013
Hawaii	HI	D0015
Idaho	ID	D0016
Illinois	IL	D0017
Indiana	IN	D0018
Iowa	IA	D0019
Kansas	KS	D0020
Kentucky	KY	D0021
Louisiana	LA	D0022
Maine	ME	D0023
Maryland	MD	D0024
Massachusetts	MA	D0025
Michigan	MI	D0026
Minnesota	MN	D0027
Mississippi	MS	D0028
Missouri	MO	D0029
Montana	MT	D0030
Nebraska	NE	D0031
Nevada	NV	D0032
New Hampshire	NH	D0033
New Jersey	NJ	D0034
New Mexico	NM	D0035
New York	NY	D0036
North Carolina	NC	D0037
North Dakota	ND	D0038
Ohio	OH	D0039
Oklahoma	OK	D0040
Oregon	OR	D0041
Pennsylvania	PA	D0042
Rhode Island	RI	D0044
South Carolina	SC	D0045
South Dakota	SD	D0046
Tennessee	TN	D0047
Utah	UT	D0049
Vermont	VT	D0050
Virginia	VA	D0051
Washington	WA	D0053
West Virginia	WV	D0054
Wisconsin	WI	D0055
Wyoming	WY	D0056

Foreign Country Codes

Country	Code	EPA ID
Belgium	F0069	FCBELGIUM
Canada	F0063	FCCANADA
France	F0076	FCFRANCE
Germany	F0068	FCGERMANY
Korea	F0013	FCKOREA
Mexico	F0061	FCMEXICO
Netherlands	F0071	FCNETHERLAND

Codes for countries not listed above can be found in the STEERS help at https://www3.tceq.texas.gov/steers/help/ihw/foreigncodes.html.