Establishing and Managing an Effective Cross-Connection Control Program
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Introduction

Who Should Read This Guide?

This guide is intended to help public water system (PWS) managers, operators, and program administrators implement their cross-connection control programs. The audience for this guide is Texas PWSs— for example, a water district, a water-supply corporation, or a city-owned or investor-owned PWS.

Members of the general public—customers of water systems—will also find answers in this guide to many questions they may have about cross-connection control programs. For more information, PWSs and their customers can also contact the TCEQ at 512-239-0028 to request the brochure A Consumer’s Guide to Backflow Prevention in Texas (TCEQ publication GI-411). The brochure is also downloadable from the TCEQ’s website at:


In the text of this document, “you” refers to the PWS and its staff members; “program” is short for ‘cross-connection control program’; and “we” refers to the TCEQ or its staff.

This publication is for general guidance only and is not a substitute for the rules or regulations governing cross-connection control and backflow prevention.

Texas Rules

Title 30 of the Texas Administrative Code (30 TAC), Chapter 290, prohibits PWSs from connecting to an actual or potential contamination hazard without first protecting the potable-water supply. The TCEQ rules require PWSs to:

- adopt a plumbing ordinance, regulations, or service agreements
- require customer-service inspections
- require backflow protection using appropriate backflow prevention assemblies
- require those assemblies to be tested to ensure that they are working correctly

This document refers to the Texas rules in the applicable sections throughout. Implementing these rules constitutes a cross-connection control program.

TCEQ rules place the responsibility for recognizing and evaluating hazards within the PWS’s distribution system on the PWS. When a hazard is identified, you must ensure that your consumers are protected from contamination by that hazard. The PWS may terminate water service to any connection where an unprotected health hazard is found and only restore service when the health hazard no longer exists or after it has been properly isolated using a backflow prevention assembly.

Any hazard must be isolated from the drinking-water supply regardless of when the hazard was first created or the site was built. Because the effects of a backflow event can be so significant, there are no grandfather clauses that apply to cross-connection control and backflow prevention in the TCEQ’s regulations on backflow and siphonage. However, the landscape-irrigation regulations do contain some provisions for existing irrigation systems. This is covered in section 8 of this document.

A backflow incident qualifies as an accident that has a negative impact on the delivery of safe and adequate drinking water and must be reported to the TCEQ [30 TAC 290.46(w)(5)]. The TCEQ maintains a 24-hour toll-free number for reporting backflow
incidents and other emergencies: 888-777-3186. Additionally, you should submit a detailed summary of any backflow incident to:

Coordinator, Cross-Connection Control Program  
Technical Review and Oversight Team, MC 159  
Texas Commission on Environmental Quality  
PO Box 13087  
Austin TX 78711-3087

1. Plumbing Ordinance, Regulations, or Service Agreements [30 TAC 290.46(i)]

Every PWS is required to adopt either:

- a plumbing ordinance,
- plumbing regulations, or
- service agreements.

These give the local public water supplier the authority to implement a cross-connection control program. Whichever is adopted, it must have provisions for proper enforcement in order to prohibit cross-connections and other unacceptable plumbing practices.

PWSs serve a wide variety of customers throughout Texas. The potential cross-connections found in a rural area can be very different from those found in an urban setting. Each PWS should carefully consider the types of hazards that may be present in its distribution system before adopting a plumbing ordinance, regulations, or service agreement. This will allow the PWS to tailor the adopted rules to better protect the potable-water supply against a category of specific potential hazards in the PWS’s local area. Important: the adopted ordinance, regulations, or service agreements may be more stringent than the TCEQ regulations, but cannot be less stringent.

For example, if you supply water to residential customers who have irrigation systems and also have animals on their lot, run a business from their house, or have an auxiliary water supply—which increases the contamination hazard—you may require more rigorous testing of the backflow preventers for those customers. While TCEQ rules address the hazards posed to the potable water supply, specific requirements adopted in the local ordinance, regulations, or service agreement will strengthen your enforcement of these requirements—increasing awareness of the rules and reducing the number of questions.

Note that an investor-owned utility has only limited authority to adopt more stringent requirements than the TCEQ rules [30 TAC 291.93(5)].

**Plumbing Ordinance or Regulations**

An ordinance is a formal enactment by a local government, adopted by the governing body of that government (for example, a city council). Typically, a plumbing ordinance will contain the requirements for cross-connection control and backflow prevention that comply with state regulations and also meet the local, specific needs for protecting the potable-water distribution system. A sample plumbing ordinance appears in Appendix H of this guide.

Depending on the local municipality, plumbing regulations that contain requirements for cross-connection control and backflow prevention could be contained within a
plumbing ordinance that encompasses the cross-connection control program and all its specific requirements.

**Service Agreements**

Some public water systems do not have the regulatory or governmental structure to adopt ordinances or regulations. These must use customer-service agreements, which are agreements between the public water systems and their customers, that have provisions for protection against backflow, and cross-connections, and provide for enforcement.

A sample service agreement appears in Appendix B. If the service agreement used by your PWS has been in existence for a long time, it is likely outdated. We recommend that you review and update it, if needed, to include current requirements such as the lead levels allowable by the U.S. Environmental Protection Agency that went into effect on January 4, 2014.

You may use the sample service agreement in the TCEQ’s rules or create your own. If you wish to develop your own, it must include all of the required elements.

A few critical elements of an effective service agreement include:

- **Right of entry:** The agreement must give your personnel, particularly customer-service inspectors, the authority to enter facilities in order to evaluate cross-connections, backflow risks, plumbing materials, and internal backflow prevention programs (where present).
- **Lead ban:** The agreement must have provisions for prohibiting lead in excess of the federal standards in the plumbing materials, as demonstrated by the lead test or the labeling of the plumbing.
- **Enforcement:** The agreement must give you the authority to enforce the requirements for cross-connection control and backflow prevention.

**Plumbing Codes**

The Plumbing License Law in Title 8, Texas Occupations Code, Chapter 1301, requires all municipalities with a population of 5,000 or greater to adopt a plumbing code; smaller municipalities and other types of PWSs may voluntarily adopt a plumbing code.

The two plumbing codes that are authorized to be adopted in the state of Texas by the Texas State Board of Plumbing Examiners are the International Plumbing Code and the Uniform Plumbing Code. These codes are revised every three years. Depending on the particular code and year of revision, the requirements related to cross-connection control and backflow prevention in the code may differ from TCEQ rules.

This very important area of cross-connection control and backflow prevention can become confusing. TCEQ regulations require that a public water supplier adopt a plumbing ordinance, regulations, or service agreements as described in this section. The Plumbing License Law requires the adoption of a plumbing code by municipalities with a population over 5,000; however, adopting a plumbing code does **not** mean that a PWS is in compliance with the TCEQ’s plumbing-ordinance regulation. This distinction is critical, because the plumbing code generally governs all plumbing on the customer’s side of the meter.

While the Plumbing Codes contain some very important cross-connection control and backflow prevention requirements, they do not address the authority of a cross-connection control program and are not specific to a local municipality. The plumbing ordinance
regulation allows the public water supplier to develop requirements for cross-connection control and backflow prevention specific to its distribution system and gives authority to the cross-connection control program.

2. Customer-Service Inspections

Customer-service inspections (CSIs) are the keystone of a successful cross-connection control program. After you have established an authority, a CSI is the next step in implementing such a program.

The customer service inspector is trained and licensed to examine private water-distribution facilities in order to determine the presence of cross-connections, potential contamination hazards, and illegal materials containing lead and copper, but is not permitted to perform plumbing inspections. A CSI can be conducted by a TCEQ-licensed customer-service inspector, a plumbing inspector, or a licensed plumber with a “water supply protection specialist” endorsement (the last two are licensed by the Texas State Board of Plumbing Examiners).

A CSI is required at all new service connections, existing service connections where the PWS has reason to believe that cross-connections or other potential contamination hazards exist, and existing service connections where a material improvement, correction, or addition has been made to the private water-distribution facilities [30 TAC 290.46(j)].

Sometimes, there is some confusion regarding the need for backflow prevention at a site. For example, Appendix F lists dental clinics as posing a health hazard requiring a reduced pressure principle backflow prevention assembly (RP) or an air gap at the meter. Modern dental chairs can be self-contained, with their own water source, and not connected to the potable-water supply. Also, taking x-rays digitally can eliminate the need for potable water to develop them. Thus, a modernized dental clinic may not pose a health hazard. If it can be documented in a CSI that the use of potable water in a dental clinic does not constitute a health hazard then, even though these clinics are named in Appendix F, backflow prevention is not required.

When necessary, the inspector has the option of using more than one CSI certificate to document the inspection results. For example, if the CSI is at a site that is very large and has several different structures, then more than one certificate should be issued to document the locations that were inspected, hazards encountered at each site, and the need for backflow prevention at each site.

The results of the customer-service inspection will identify any cross-connections or actual or potential contamination hazards and determine if backflow prevention is required at the site. More detailed information concerning CSIs will appear in the forthcoming TCEQ publication Customer-Service Inspections: A Guide for Public Water Systems (RG-206), which is expected to be available in 2017 at:


Information on acquiring a CSI license may be obtained by contacting the TCEQ's Occupational Licensing Section at:

<www.tceq.texas.gov/goto/cust_serv_lic>

**Fees and Payment for the CSI**

A PWS that requires a customer-service inspection by its own employees, or provides this service as part of its business, may either:
• charge a fee established by the PWS and approved by its governing body or established by the Public Utility Commission (PUC) in the case of an investor-owned utility, or
• provide the service at no initial cost and then recoup the expenses through rates.

A PWS that requires a customer to arrange for a CSI must:
• ensure that the CSI is conducted by a qualified professional
• ensure that it obtains the original or a copy of the CSI certificate

Payment for the CSI is directly between the customer and the customer-service inspector.

3. Backflow Prevention Assemblies

An effective cross-connection control program must include appropriate means to prevent backflow. This is, typically accomplished by installing backflow prevention assemblies at cross-connections. Whenever possible, the backflow preventer should be located at the point closest to the actual or potential contamination hazard. This will limit the amount of water exposed to backflow, should it occur, and will also make it less likely for someone to tap the water-supply line downstream of the backflow prevention assembly, which would make the downstream connection vulnerable to the contamination hazard.

Selection of Assemblies

TCEQ rules distinguish between health and non-health hazards. A health hazard (or contaminant) involves any substance that can cause death, illness, or the spread of disease: for example, a potable-water connection to a heating system that uses a toxic corrosion-control fluid. A non-health hazard (or pollutant) involves any substance that constitutes a nuisance, or would be aesthetically objectionable if introduced into the public water supply—for example, a potable-water connection to fermentation tanks at a winery.

For protection from a health hazard, the following types of backflow prevention assemblies may be used, provided they are installed per the manufacturer’s and plumbing-code requirements:

• RP, RPZ, or RPBA: reduced pressure principle backflow prevention assembly—will function under both back pressure and back siphonage.
• PVB: pressure-vacuum breaker—will function under back siphonage only; it is allowable to have a control valve downstream.
• SVB: spill-resistant vacuum breaker—will function under back siphonage only; it is allowable to have a control valve downstream.
• AVB: atmospheric vacuum breaker—non-testable, will function under back siphonage only, and cannot have a control or shutoff valve downstream.
• AG: air gap—if this method is used, it must meet the definition of an air gap:
  The unobstructed vertical distance through the free atmosphere between the lowest opening from any pipe or faucet conveying water to a tank, fixture, receptor, sink, or other assembly and the flood level rim of the receptacle. The vertical, physical separation must be at least twice the diameter of the water supply outlet, but never less than 1.0 inch. [30TAC 290.38(2)]

Note that, at a customer connection, once the water flows through an air gap, you no longer retain sanitary control of the water and the supply pressure is lost. In addition, both you and the customer must consider that the air gap exposes the water and the container to the environment, allowing for the direct entry of pathogens and debris.
Testing versus inspection

RPs, PVBs, and SVBs are testable assemblies, whereas AVBs are not testable. Thus, RPs, PVBs, and SVBs are the most suitable assemblies for preventing backflow from a health hazard and are preferable to AVBs in almost every situation. If AVBs and air gaps are installed to protect against health hazards, then the authority having jurisdiction should ensure that they are annually inspected for proper installation and operation, and to confirm that they have not been compromised.

Although there are no procedures for testing an air gap or AVB it is possible to determine whether one is working correctly by inspecting it. An air gap or AVB can be inspected by:

- determining if it is installed correctly, in accordance with the recommendations from the manufacturer and requirements in the plumbing code;
- checking any moving parts for free movement; and
- looking for any evidence of modification.

Check valves

Single-check valves are not backflow prevention assemblies and they cannot be tested. The valve seats may become degraded or fouled, which can allow contaminants to backflow through them.

For protection from a non-health hazard, any of the previously mentioned assemblies may be used, as well as a double-check-valve backflow prevention assembly (DCVA), which will function under a back pressure or back siphonage.

Location of Backflow Prevention Assemblies

The TCEQ does not recommend the installation of backflow-prevention assemblies at all service connections. A typical residential building that has no special water using equipment or processes does not need containment backflow prevention. However residences and other buildings or facilities that:

- Use an auxiliary water supply, such as a private well, a rainwater-harvesting system, or a pump in a lake, must install an RP at the meter connection or provide an air gap at the meter. If it can be documented in a CSI that the plumbing system of the auxiliary water supply and the plumbing system of the potable water supply are physically separated and not cross-connected then this separation distance may serve as an air gap. Periodic CSIs will be required to make sure that the two separate systems have not been cross-connected.
- Have an actual or potential contamination hazard on-site must use an appropriate backflow prevention assembly. Appendix E has a partial list of facilities where health hazards are commonly found [30 TAC 290.47(f)].

Typical residences only require minimal backflow prevention such as vacuum breakers on the hose bibbs, an air gap for their water-softener drain line, and a backflow prevention assembly on their irrigation system. Even this minimal backflow prevention is critical because, if backflow occurs, the contaminant will first enter the residential plumbing and those people living there will be the first exposed.
**Purchase and Installation of Backflow Prevention Assemblies**

A PWS with qualified personnel may install the backflow prevention assembly, charge for its installation, and charge an installation fee established by the PWS and approved by its governing body—or established by the TCEQ, in the case of an investor-owned utility.

Also, a PWS may instead require the customer to purchase the backflow-prevention assembly and have it installed, in which case the PWS must ensure that the correct assembly is installed and a qualified individual installed it.

Regardless of who installs a backflow prevention assembly, it must be tested upon installation. Experience has shown that a brand-new assembly often will fail the test right out of the box and will need a modification or repair.

The regulations of the Texas State Board of Plumbing Examiners determine which qualified individuals can install such assemblies. Licensed plumbers can install backflow prevention assemblies, but exemptions in the Plumbing License Law allow other individuals to install assemblies in specific cases. For example, a homeowner who has obtained the appropriate permit (if required) may install a backflow prevention assembly on her irrigation system or a water operator may install an assembly on his own distribution system. The flowchart in Appendix A can help you determine who is authorized to install backflow prevention assemblies.

**4. Containment Programs and Internal Cross-Connection Control Programs**

A containment program, also called “premises isolation,” has backflow prevention at the main water connection to the facility (at the meter). For example, one backflow prevention assembly could be installed at the main water-supply line to a manufacturing facility so that all the actual or potential hazards located at that site are contained within that facility without danger of them backflowing into the public water supply. One benefit is that the public water supply is protected with only one backflow prevention assembly. However, the people within the facility are vulnerable to backflow. Protection from internal cross-connections to health hazards is critically important, as they can be found in many facilities with relatively large populations—hospitals, schools, large business facilities, manufacturing facilities, etc. When requiring containment backflow prevention, the PWS should alert the site to the hazards of thermal expansion and the need to turn the water off to the entire site in order to test the backflow preventer. Some sites with containment backflow prevention will install two backflow preventers in parallel, so that water service will not be interrupted. While one backflow preventer is being tested, the other can continue to supply water to the site. If, in a parallel installation, water flows through only one backflow preventer and the second is only there to be used during testing, then the second should at least be pressurized to close the relief valve and prevent entry of any contaminants.

An internal cross-connection control program is one that is located within a facility that has actual or potential contamination hazards connected to the internal potable-water distribution system and should not be confused with the cross-connection control program administered by the PWS. These internal hazards and cross-connections are identified when the customer-service inspection is conducted. An internal cross-connection control program consists of backflow prevention at specific locations within a facility where hazards are located. For example, backflow prevention assemblies could be
installed on the water supply lines to water-using equipment in a manufacturing facility. A very important benefit of an internal cross-connection control program is that not only the public water supply, but also the people within the facility, are protected from backflow. A challenge is the possible need to install more than one backflow prevention assembly.

Internal cross-connection control programs are supported by the following:

- **30 TAC 290.44(h)(1)(B)** At any residence or establishment where an actual or potential contamination hazard exists and an adequate internal cross-connection control program is in effect, backflow protection at the water service entrance or meter is not required.
  - (i) An adequate internal cross-connection control program shall include an annual inspection and testing by a licensed backflow prevention assembly tester on all backflow prevention assemblies used for health hazard protection.
  - (ii) Copies of all such inspection and test reports must be obtained and kept on file by the water purveyor.
  - (iii) It will be the responsibility of the water purveyor to ensure that these requirements are met.

- **30 TAC 290.44(h)(5)** The use of a backflow prevention assembly at the service connection shall be considered as additional backflow protection and shall not negate the use of backflow protection on internal hazards as outlined and enforced by local plumbing codes.

These programs pose a challenge because—being internal—they can be compromised without your knowledge.

As stated above, internal cross-connection control programs are required to be “adequate” in order for the PWS to not also require a backflow preventer at the meter. When a PWS is relying solely on an internal cross-connection control program, then adequacy of the internal program must be determined by requiring CSIs. The TCEQ recommends periodic CSIs at those sites with an internal cross-connection control program and no backflow prevention at the meter. This will ensure that any new cross-connections have the appropriate backflow prevention and existing backflow prevention is still in place. The PWS must retain the original or copies of the inspection reports.

As specified in 30 TAC 290.46(j), whenever a PWS “has reason to believe that cross-connections or other potential contaminant hazards exist,” it can conduct or require a customer-service inspection. The fact that internal cross-connection control programs can change without the PWS being aware of the change and the potential location of internal cross-connection control programs at sites with large populations, suffices as “reason to believe” and supports the periodic inspections of internal cross-connection control programs. These CSIs will ensure that unprotected cross-connections have not been created since the initial inspection and will confirm that the backflow prevention assemblies are still in their appropriate locations and have not been modified. Periodic CSIs for internal cross-connection control programs should also be reflected in the local ordinance or other local authority.

**Example**

A manufacturing facility had several cross-connections on-site. It did not have a containment program, but instead had an internal program in which a backflow preventer was installed at each cross-connection. During the routine testing of the backflow preventer, the BPAT noticed that the backflow preventer was the same type as the one he had tested several weeks ago. This seemed suspicious, so he compared the serial number, as well as the make and model, with test reports from previous tests. He was able to confirm that the manufacturer was actually removing the backflow preventer,
reforming the cross-connection by replacing it with a pipe, and re-installing the backflow preventer at the next cross-connection which was due to have its backflow preventer tested. He had been testing the same backflow preventer at different locations! He immediately informed the PWS, which used this as reason to believe that cross-connections or other potential contaminant hazards existed, and conducted a customer service inspection. After the CSI showed the unprotected cross-connections, the manufacturer installed individual backflow preventers at each one.

5. Coordination, Communication, and Cooperation

A successful backflow prevention and cross-connection control program will include the three Cs: communication, coordination, and cooperation. Some of the personnel to be included in a cross-connection control program may be:

- city, utility, or district management
- a plumbing inspector
- a building official
- employees in environmental services
- water-department management and personnel
- the fire marshal’s office
- industry professionals (irrigators, plumbers, testers, inspectors)

When a PWS supplies water to customers inside incorporated areas where a plumbing code has been adopted, PWSs frequently coordinate cross-connection control with a building- or plumbing-inspection department. The cross-connection control program should reside between the water utility and the building- or plumbing-inspection departments and be composed of staff from both. The building- or plumbing-inspection department administers the plumbing code, which has its own requirements for backflow prevention and cross-connection control; the water utility administers the TCEQ’s requirements for backflow prevention and cross-connection control, so their responsibilities naturally overlap.

One of the challenges faced by PWSs is how to protect the people within a site when the system’s authority ends at the meter. A common misconception is that a PWS that requires backflow prevention at the metered connection to a site does not have to require backflow protection within the site. One key benefit of a cross-connection control program is that it allows for the protection of the potable-water supply not only in the main distribution system, but also within a site. The point where the PWS’s authority generally ends, at the meter, is where the authority of other jurisdictions takes over and continues to prevent backflow.

“The use of a backflow prevention assembly at the service connection shall be considered as additional backflow protection and shall not negate the use of backflow protection on internal hazards as outlined and enforced by local plumbing codes” [30 TAC 290.44(h)(5)]. Thus, according to this regulation, backflow protection at the meter is “considered as additional backflow protection.” In other words: in addition to backflow prevention required by other authorities—plumbing codes, the fire marshal’s office, etc.—backflow protection may also be required at the meter, allowing for protection of customers from backflow within the site.

Because of the shared responsibility for cross-connection control, it is important that everyone involved develop written protocols for sharing information, storing records, and delineating where one department’s jurisdiction ends and another department’s
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jurisdiction begins. Open lines of communication and good working relationships are essential.

Example (Coordination, Communication, and Cooperation)

The administrator of a cross-connection control program was having trouble getting a local backflow prevention assembly tester to submit the original test forms in a reasonable amount of time. Often, this tester would wait months before turning in the test reports. This delay made it difficult for the program administrator to track where new assemblies had been installed and when they were due for testing. The program administrator coordinated with the building- and plumbing-inspection staff so that approval of the plumbing installation and issuance of a certificate of occupancy for those locations where a test was conducted depended on all necessary documentation, such as the Backflow Prevention Assembly Test and Maintenance Report, to first be submitted to the city. This coordination and cooperation between the administrator and department solved the problem. Relevant to this example is the landscape-irrigation regulation [30 TAC 344.52(c), Appendix H], which requires submission of a test report to the water purveyor within 10 business days of testing. Another option for the administrator was to inform the tester that only those registered with the city could test, and those registered testers must submit timely reports.

Outside incorporated areas, PWS personnel usually do not have the support of a plumbing or inspection department. In those cases, the PWS does not have the benefit of plumbing-code rules and so the responsibility for the cross-connection control program rests solely on the PWS. It then becomes essential that the PWS ensures that its service agreement meets TCEQ standards, that each customer signs the service agreement, and that the PWS is able to follow up on hazards identified through periodic CSIs or the required backflow prevention assembly testing.

6. Testing, Inspection, Certification

Assemblies used for protection from health hazards, whether installed at the meter or part of an internal program, must be tested upon installation and once a year thereafter by a licensed backflow prevention assembly tester and the records must be retained by the PWS for at least three years.

Testing Backflow Prevention Assemblies

Like all mechanical devices, backflow prevention assemblies are subject to failure over time and must be tested to ensure that they are operating properly and are protecting the potable-water supply. As noted above, TCEQ rules require that all backflow prevention assemblies be tested upon installation, and that assemblies installed to protect against health hazards must be tested annually [30 TAC 290.44(h)(4)].

In addition to recording the test results, the Test and Maintenance Report (T&M) form in Appendix C, which a licensed backflow-prevention-assembly tester must fill out and sign, requires that the licensed BPAT certify whether the installation of the assembly complies with manufacturer recommendations and local codes. The BPAT then forwards the signed original to the PWS, which is required to retain it. If the BPAT submits a form to the PWS indicating improper installation of a backflow prevention assembly, even though it passed the test, the PWS must arrange for the reinstallation of the assembly in accordance with manufacturer recommendations and local codes.

Licensed BPATs are qualified to test and repair assemblies on any domestic, commercial, industrial, or irrigation service.
There is an additional requirement for BPATs who test and repair assemblies on fire-suppression systems or fire lines. BPATs may test an assembly on these systems only if they are permanently employed by an approved fire-line contractor. This is due to the additional alerting apparatus and wiring that is located on the backflow prevention assembly. It is critical that the BPAT be properly trained to leave the assembly in proper functioning order after the test. A mistake could risk lives and property.

Under Texas Insurance Code Section 6003.002 (Fire Sprinkler Rules) there are certain authorities and individuals to which the rules do not apply, like owners or lessees. If the Fire Sprinkler Rules do not apply, then permanent employment of the BPAT by a fire-line contractor may not be necessary; however, the requirement to obtain a backflow prevention assembly license will remain. More information on backflow prevention on fire suppression systems appears in Backflow Protection on Water-Based Fire Protection Systems (TCEQ publication RG-345), available at: <www.tceq.texas.gov/publications/rg/rg-345.html>

If, during a routine test of a backflow prevention assembly, the BPAT determines that the assembly will not pass the test and needs repair, then the assembly must be repaired and retested after repair. Otherwise, it cannot be said that the backflow prevention assembly passed the test. This is documented by the BPAT in the official T&M form located in Appendix C of this guide.

To promote consistency across the state and provide for a common, fundamental knowledge base on testing backflow prevention assemblies, the TCEQ requires approved training providers to teach the testing procedures that appear in the latest edition of the Manual of Cross-Connection Control from the University of Southern California’s Foundation for Cross-Connection Control and Hydraulic Research. This manual may be obtained from the USC website at <www.usc.edu/dept/fccchr/>.

For maximal protection of the public health, these procedures are designed to fail an assembly before it will allow backflow and contaminate the potable-water supply. An individual who wishes to obtain a license to test backflow prevention assemblies must pass a written and practical test based on the USC field-test procedures.

The gauges that BPATs use to test backflow prevention assemblies are very sensitive and accurate in measuring pressure differentials. If these gauges are not working properly, there is a risk that a backflow prevention assembly could pass a test but not be functioning correctly. Therefore, licensed BPATs must have their test gauges tested for accuracy at least once per year [30 TAC 290.44(h)(4)(B)]. The BPAT must then document the date when the gauges were tested for accuracy on each T&M report. More information on testing gauges may be found in Accuracy Testing of Gauges Used for Testing Backflow-Prevention Assemblies (RG-493).

Many smaller PWSs do not have licensed BPATs on staff. Instead, they may notify customers when the backflow prevention assembly installed at their site is due for testing and require those customers to have the assembly tested. This letter should notify the customer that the water will have to be temporarily turned off, allowing the customer to make any necessary accommodations.

For convenience, these notifications may include a list of local licensed BPATs that the customer can choose from. The PWS should be aware of any restrictions for recommending private companies before providing a list. Depending on the type of ownership, it may be necessary for you to include a disclaimer establishing that the list of BPATs does not constitute an endorsement by the PWS. Another option is to generate a list of BPATs by conducting a search of the TCEQ online operator-licensing database at:
Some PWSs require BPATs testing assemblies in their service area to register with them. This gives the PWS an advantage because it allows the system to determine that the BPAT's license is current, that the BPAT's test gauge has been tested for accuracy within the last year, and that the testers are using the correct test report or a TCEQ-approved alternate.

**Fees and Payment for Backflow Prevention Assembly Testing**

Payment for testing a backflow prevention assembly usually takes one of three routes:

- A PWS with one or more appropriately licensed employees may have them conduct tests of backflow prevention assemblies in its service area and charge the customer a fee established by the PWS and approved by its governing body—or established by the PUC in the case of an investor-owned utility.
- A PWS may require its customers to have the backflow prevention assembly tested. In this case, the customer usually hires a private BPAT and pays for the test, and the BPAT submits the test report to the water utility.
- A PWS may give its customers the option of having the backflow prevention assembly tested by a private company or having the water-utility staff come out and conduct the test.

A PWS that requires the customer to have an assembly tested must ensure that a TCEQ-licensed BPAT tested the backflow prevention assembly and that the test results were recorded on the correct form (TCEQ-20700, Backflow Prevention Assembly Test and Maintenance Report).

Exercise caution if you have licensed individuals on the PWS staff and those individuals choose to use their license to generate income on their own time. For example, it would not be appropriate for your staff, as part of doing their job with the PWS, to require the installation or testing of a backflow preventer and then offer to install or test the backflow preventer for a fee payable to the tester, as opposed to a fee included in the monthly water bill payable to the local jurisdiction.

**Certification and Approval of Backflow Prevention Assemblies**

The TCEQ rules (30 TAC 290) do not currently require certification or approval of backflow-prevention assemblies. If a PWS would like to adopt a requirement or restriction identifying the specific backflow-prevention assemblies that can be installed in its service area, it should clearly state the requirement or restriction in its ordinance, regulations, or service agreement.

For any restrictions a PWS places on which backflow-prevention assemblies it approves, valid reasons are critical. Aesthetics such as color or appearance will not suffice as valid reasons to exclude or not approve a backflow prevention assembly. It is best to rely on approval or certification by an organization that specifically lists or approves backflow prevention assemblies. Field testing by these organizations is a crucial aspect of the
approval process. Several U.S. organizations maintain standards for testing and certification of backflow prevention assemblies, including:

- the University of Southern California Foundation for Cross-Connection Control and Hydraulic Research
- the American Society of Sanitary Engineers
- the International Association of Plumbing and Mechanical Officials

The TCEQ regulations on landscape irrigation do require approval of backflow prevention assemblies before use on irrigation systems [30 TAC 344.50(a)].

7. Records and Record Retention

The goal of good record keeping is to maintain accurate, well documented records and to be able to supply records to appropriate persons when needed. People who need the information may be administrators, staff, members of the public, and regulators. This goal can only be accomplished by records management—that is, the application of proven management techniques to the creation, use, maintenance, retention, preservation, and disposal of records. An effective records-management program should allow for the systematic control of records throughout their life cycle—from creation or receipt, through use and maintenance, to final disposition.

The records kept by a PWS show that the system is taking actions to administer an effective cross-connection control program and is protecting the public water supply. For example, if there is a backflow incident in its service area, the PWS can show that a CSI has been conducted, that all appropriate backflow prevention assemblies are present, and that each was working properly at the time of testing.

Backflow Prevention Assembly Test and Maintenance Reports (T&M Forms)

T&M forms are primarily used to record the results of testing a backflow prevention assembly, along with other pertinent information. A sample T&M form appears in Appendix C. Sometimes, a proactive PWS wishes to capture other information from the testing of a backflow prevention assembly that is not listed in the official T&M Form. The TCEQ allows for alternate forms to be developed and used; however, those alternate forms must receive approval from the TCEQ before their being placed in use. Frequently, a PWS will develop its own form, receive approval for its use, and require any BPAT who wishes to test in its service area to use only its approved form. The TCEQ requires that the PWS retain signed, hard-copy original T&M Forms for three years.

At a minimum, before accepting a test report, a PWS should verify that:

- The assembly passed the test.
- The assembly was installed correctly.
- The gauges used were tested for accuracy.
- The date when the assembly was tested is within a year of the date when the test gauge was tested for accuracy.
- The tester’s license is current.
- The assembly is not a new installation needing to be added to the tracking program.

PWSs should develop a procedure to address cases in which they receive a report indicating a failed test. Some possible considerations would be to determine the risk
posed by that connection, the cause of the failure, the time needed to correct the failing backflow preventer, and the date for a follow-up test, and monitoring the status of the backflow preventer.

**The Customer Service Inspection Certificate**

The CSI Certificate is used to record the results of the CSI. As with the T&M Form, you are to use the TCEQ’s official CSI Certificate. A sample of that form appears in Appendix D. However, a PWS may develop its own form or make changes to the official form, as long as the alternate form has received TCEQ approval. CSI certificates must be retained for at least 10 years or kept as a permanent record of the PWS.

Experience has shown that distributing records in the following order has proven beneficial to PWSs:

1. The PWS retains the original.
2. The customer receives a copy.
3. The BPAT or CSI inspector keeps a copy.

**Electronic Record Keeping**

With changes in technology, PWSs are trending toward generating, using, and maintaining electronic versions of records. The TCEQ requires the PWS to retain signed hard-copy original records, or copies in the case of a CSI certificate. For this reason, an electronic form is considered an alternate form and must receive approval. Case by case, a PWS may receive approval to use the internet or another technological medium to comply with the TCEQ’s record-keeping requirements. The use of unique user names and passwords in large part serves the same purpose as signing a hard-copy original. Some of the key questions which should be answered when requesting approval are:

1. What are the deviations from the official TCEQ forms?
2. What precautions have you taken to prevent data loss?
3. What precautions have you taken to ensure data integrity (fraud prevention, consistency with USC test procedures)?
4. How will the software comply with record-retention requirements (BPAT retention: three years; CSI retention: 10 years or indefinitely)?
5. Will the PWS be able to produce a hard copy of the records when requested during a comprehensive compliance inspection by the TCEQ regional investigators?
6. Will training be available to the PWS to ensure that its staff knows enough to be able to supply required information during a comprehensive compliance inspection?
7. Will the software identify when values that are out of acceptable parameters are entered?
8. Will the software alert the tester that a backflow preventer has failed a test?
9. Will the software record that a backflow preventer failed a test, was repaired, and passed the test after repair?
10. What precautions are taken when the tester has successfully tested a backflow preventer and, for some reason, the software is not available (malfunction, user error, etc.)?
11. Where an unscrupulous person is misusing the software, can it confirm that the tester actually went on-site to conduct the test?

Keeping track of the locations and test due dates of all the backflow prevention assemblies installed within a PWS’s service area can be complicated. Software that has been created
specifically to help PWS personnel with this task is available for purchase. An online search for this software will give you an idea of the options available. An alternative is for you to develop your own electronic tracking system—for example, using a spreadsheet program.

8. Landscape Irrigation

Rules for landscape irrigation in 30 TAC 344 may have an impact on a PWS’s cross-connection control program because they have requirements for backflow prevention as well as detailed installation requirements for backflow prevention assemblies. Typically, irrigation systems are a direct cross-connection to the potable-water supply, which requires backflow prevention. Some typical hazards posed by an irrigation system are:

- Organisms (parasites, insect larvae, pathogens) living in the water of the irrigation system.
- Exposure of the sprinkler heads to fertilizers, herbicides, or pesticides in the yard.
- Exposure of the sprinkler heads to fecal material from animals living on the site (dogs, cats, rodents, farm animals).
- Direct connection of chemical additives to the irrigation system.
- Connection of alternative water sources (creeks, rainwater harvesting systems, lakes, private wells, stock tanks, etc.).

Backflow will introduce these elements into the potable-water supply at the site and possibly into the water main. Backflow is especially problematic when pathogenic organisms are introduced into the potable-water supply and may propagate to produce waterborne illness.

The backflow requirements for irrigation systems make it logical that the landscape-irrigation rules and the rules on cross-connection control and backflow prevention are interrelated. PWSs that have not considered the impact of these rules may need to take action to learn about them and implement additional protective measures within their cross-connection control program.

To assist public water suppliers, the TCEQ has published Landscape Irrigator’s Rule Compilation (RG-470), available online at <www.tceq.texas.gov/publications/rg/rg-470.html>.

You can telephone the TCEQ Landscape Irrigation Program at 512-239-5296 or visit its Web page at <www.tceq.texas.gov/goto/lawn>

In order to conserve water, many irrigation systems are supplied with reclaimed water. RG-470 addresses the use of reclaimed water for irrigation systems. It describes requirements for backflow prevention, color coding, and necessary signs. “Reclaimed water” is defined in 30 TAC 210. It refers to wastewater that is discharged under a TCEQ “210 Permit” for beneficial use. If the beneficial use involves human contact, the water is considered Type 1 reclaimed water; if not, the water is called Type 2 reclaimed water.

Many irrigation systems are installed on sites that have an on-site sewage facility (such as a septic tank). The existence of the OSSF elevates the classification of the irrigation system to a health hazard requiring the installation of an RP. Before 2009, a Double-Check Valve Assembly was allowed on irrigation systems installed on sites that also had an OSSF. As a result, there are currently installed irrigation systems that do not have the correct backflow prevention assembly. To address this, the current version of the landscape-irrigation rules states:
If an irrigation system is connected to a potable water supply and requires major maintenance, alteration, repair, or service, the system must be connected to the potable water supply through an approved, properly installed backflow prevention method as defined in this title before any major maintenance, alteration, repair, or service is performed. [30 TAC 344.52(a)]

Historically, this regulation was taken to mean that systems that were installed before 2009 were essentially grandfathered until they required “major maintenance, alteration, repair, or service.” When the backflow preventer can no longer be repaired in line and must be replaced, it must be upgraded to the required RP.

Since 2009, those installing irrigation systems on sites that also have an OSSF must be aware of the change in the required backflow prevention and install the RP.

Licensed irrigators may install backflow prevention assemblies on irrigation systems. The irrigator must use the correct type of assembly taking into account:

- the hydraulic conditions (back pressure)
- hazard analysis
- testing requirements
- installation requirements

Though a licensed irrigator may install the device, it must be tested by a licensed BPAT upon installation.

9. Education and Training

PWS staff education is important to every aspect of a program. Training, a specific kind of education, is even more critical. Training gives an individual the critical information needed to carry out specific tasks. Specific personnel will require specific training, but backflow, what a cross-connection is, and how contaminated water from a customer’s premises can get into the potable water supply, are important concepts all personnel need to comprehend. Contamination involving backflow is usually discovered through water quality complaints, and understanding the details of cross-connection control measures is a necessity. Following are two examples emphasizing the importance of trained water-system staff:

Example 1
Personnel at a particular PWS receive basic training on some concepts of cross-connection control. The meter readers, repair personnel, and anyone having a field job are requested to inform the program coordinator of any potential hazards they notice—such as auxiliary water sources or extensive plumbing work.

A meter reader observes that large pieces of equipment are being brought into a facility. The coordinator makes inquiries and determines that the facility has totally changed the type of work it conducts, and will now be using water using equipment that has contaminants under pressure for various processes. It will now need an RP installed at the meter. Without the knowledge and actions of the field personnel, the potable-water supply would have been vulnerable to the contamination hazards at that facility.

Example 2
A water-quality inspector receives a complaint of tiny bubbles in the water at a restaurant. Upon investigating, the inspector finds what appears to be air in the water and tells the restaurant personnel to flush their internal piping system and the PWS will open the fire hydrants to flush any air out of the water main. This doesn’t solve the problem. Many times what appears to be air in the water at restaurants is actually carbon
dioxide associated with the carbonated beverage dispensing unit. These dispensers have CO₂ canisters that have from 150 to 400 psi of pressure and can backflow the CO₂ gas into the potable water system if the backflow preventer fails. When the CO₂-water mixture comes in contact with copper, it leaches out copper due to the acidity of the mixture. The leached copper then ends up in drinks, making people sick. The water-quality inspector missed the problem because he did not understand cross-connection control and backflow prevention.

**Customer education** is also a critical public-relations tool. Whenever a customer is required to spend money on anything, even if it is to protect public health, good customer education helps the process go smoothly. Educating customers about the hazards of cross-connections and backflow will help them recognize the benefits of protecting their potable water supply by reducing their risk from health hazards, reducing their liability, and increasing their willingness to help the PWS protect the potable-water system. Customers who understand cross-connection control can become allies in preventing further cross-connections at their facilities and in educating other customers.

The TCEQ central office has personnel available to answer questions about establishing a program or interpreting state rules and regulations. Contact the Water Supply Division at 512-239-4691 and ask to speak to someone about backflow prevention and cross-connection control.

### 10. Where to Find More Information

**From the TCEQ**

**Licensing for Backflow Prevention Assembly Testers and Customer-Service Inspectors**

Personnel from the TCEQ’s Occupational Licensing Section can answer routine inquiries about BPAT and CSI licenses. The office is located on the first floor in Building D of the TCEQ complex, located at 12100 Park 35 Circle in Austin. The office hours are 8 a.m. to 5 p.m. Monday through Friday (excluding holidays). You can contact them by phone at 512-239-6133—press 1 for new applications or exams, or press 2 for renewals. You can reach the Occupational Licensing Section by fax at 512-239-6272 or by e-mail at <licenses@tceq.texas.gov>. The mailing address is:

- Backflow Prevention Assembly Tester Licensing Program, MC 178
- or
- Customer Service Inspection Licensing Program, MC 178
- TCEQ
- PO Box 13087
- Austin TX 78711-3087

Helpful phone numbers include:

- Plans and Technical Review Section 512-239-4691
- Public Drinking Water Section 512-239-4691
- Districts Section 512-239-4691
- Publications 512-239-0028
Establishing and Managing an Effective Cross-Connection Control Program

By mail:

Plan and Technical Review Section, MC 159
TCEQ
PO Box 13087
Austin TX 78711-3087

On the Web:


Texas State Board of Plumbing Examiners

By phone: 800-845-6584

On the Web: <www.tsbpe.state.tx.us>

Purchase a Copy of a State-Approved Plumbing Code

International Plumbing Code

International Code Council Store
11711 West 85th Street
Lenexa KS 66214
800-786-4452
<www.iccsafe.org>

Uniform Plumbing Code

IAPMO Order Desk
5001 East Philadelphia Street
Ontario CA 91761
800-854-2766
<www.iapmostore.org>

Other Sources of Information about Cross-Connection Control

American Society of Sanitary Engineering

ASSE International Office
901 Canterbury, Suite A
Westlake OH 44145
440-835-3040
Appendix A: Can I Install a Backflow-Prevention Assembly?

Note: All backflow prevention assemblies must be tested by a licensed BPAT upon installation.

An accessible version of this chart is available at <www.tceq.texas.gov/goto/alt/rg478>.
Appendix B: Sample Service Agreement
[from 30 TAC 290.47(b)]

I. PURPOSE. The NAME OF WATER SYSTEM is responsible for protecting the drinking water supply from contamination or pollution which could result from improper system construction or configuration on the retail connection owner’s side of the meter. The purpose of this service agreement is to notify each customer of the restrictions which are in place to provide this protection. The public water system enforces these restrictions to ensure the public health and welfare. Each retail customer must sign this agreement before the NAME OF WATER SYSTEM will begin service. In addition, when service to an existing retail connection has been suspended or terminated, the water system will not re-establish service unless it has a signed copy of this agreement.

II. RESTRICTIONS. The following unacceptable practices are prohibited by State regulations.

A. No direct connection between the public drinking water supply and a potential source of contamination is permitted. Potential sources of contamination shall be isolated from the public water system by an air-gap or an appropriate backflow prevention device.

B. No cross-connection between the public drinking water supply and a private water system is permitted. These potential threats to the public drinking water supply shall be eliminated at the service connection by the installation of an air-gap or a reduced pressure-zone backflow prevention device.

C. No connection which allows water to be returned to the public drinking water supply is permitted.

D. No pipe or pipe fitting which contains more than 8.0% lead may be used for the installation or repair of plumbing at any connection which provides water for human use.

E. No solder or flux which contains more than 0.2 percent lead can be used for the installation or repair of plumbing at any connection which provides water for human use.

III. SERVICE AGREEMENT. The following are the terms of the service agreement between the NAME OF WATER SYSTEM (the Water System) and NAME OF CUSTOMER (the Customer).

A. The Water System will maintain a copy of this agreement as long as the Customer and/or the premises is connected to the Water System.
B. The Customer shall allow his property to be inspected for possible cross-connections and other potential contamination hazards. These inspections shall be conducted by the Water System or its designated agent prior to initiating new water service; when there is reason to believe that cross-connections or other potential contamination hazards exist; or after any major changes to the private water distribution facilities. The inspections shall be conducted during the Water System’s normal business hours.

C. The Water System shall notify the Customer in writing of any cross-connection or other potential contamination hazard which has been identified during the initial inspection or the periodic reinspection.

D. The Customer shall immediately remove or adequately isolate any potential cross-connections or other potential contamination hazards on his premises.

E. The Customer shall, at his expense, properly install, test, and maintain any backflow prevention device required by the Water System. Copies of all testing and maintenance records shall be provided to the Water System.

IV. ENFORCEMENT. If the Customer fails to comply with the terms of the Service Agreement, the Water System shall, at its option, either terminate service or properly install, test, and maintain an appropriate backflow prevention device at the service connection. Any expenses associated with the enforcement of this agreement shall be billed to the Customer.

CUSTOMER'S SIGNATURE: ______________________________

DATE: __________________
Appendix C: Backflow Prevention Assembly Test and Maintenance Report (Form TCEQ-20700)

This is a sample only. For the official form please go to <www.tceq.texas.gov/goto/cc>.

Texas Commission on Environmental Quality

BACKFLOW PREVENTION ASSEMBLY TEST AND MAINTENANCE REPORT

The following form must be completed for each assembly tested. A signed and dated original must be submitted to the public water supplier for recordkeeping purposes:

<table>
<thead>
<tr>
<th>NAME OF PWS:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>PWS ID#:</td>
<td></td>
</tr>
<tr>
<td>MAILING ADDRESS:</td>
<td></td>
</tr>
<tr>
<td>CONTACT PERSON:</td>
<td></td>
</tr>
<tr>
<td>LOCATION OF SERVICE:</td>
<td></td>
</tr>
</tbody>
</table>

The backflow prevention assembly detailed below has been tested and maintained as required by commission regulations and is certified to be operating within acceptable parameters.

**TYPE OF ASSEMBLY:**
- ☐ Reduced Pressure Principle
- ☐ Reduced Pressure Principle-Detector
- ☐ Double Check Valve
- ☐ Double Check-Detector
- ☐ Pressure Vacuum Breaker
- ☐ Spill-Resistant Pressure Vacuum

### Reduced Pressure Principle Assembly

<table>
<thead>
<tr>
<th>Initial Test</th>
<th>Relief Valve</th>
<th>Pressure Vacuum Breaker</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>1st Check</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Held at ___ psid</td>
<td>Opened at ___ psid</td>
<td>Held at ___ psid</td>
</tr>
<tr>
<td>Closed Tight</td>
<td>Did not open</td>
<td>Leaked</td>
</tr>
<tr>
<td>Leaked</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

| **2nd Check** |              |                         |
| Held at ___ psid | Opened at ___ psid | Held at ___ psid |
| Closed Tight | Did not open | Leaked |
| Leaked |                   |               |

### Double Check Valve Assembly

<table>
<thead>
<tr>
<th>Initial Test</th>
<th>Relief Valve</th>
<th>Pressure Vacuum Breaker</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>1st Check</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Held at ___ psid</td>
<td>Opened at ___ psid</td>
<td>Held at ___ psid</td>
</tr>
<tr>
<td>Closed Tight</td>
<td>Did not open</td>
<td>Leaked</td>
</tr>
<tr>
<td>Leaked</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

| **2nd Check** |              |                         |
| Held at ___ psid | Opened at ___ psid | Held at ___ psid |
| Closed Tight | Did not open | Leaked |
| Leaked |                   |               |

### Test After Repair

<table>
<thead>
<tr>
<th>Test After Repair</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Held at ___ psid</td>
<td>Opened at ___ psid</td>
</tr>
<tr>
<td>Closed Tight</td>
<td>Held at ___ psid</td>
</tr>
<tr>
<td>Leaked</td>
<td></td>
</tr>
</tbody>
</table>

### Remarks:

The above is certified to be true at the time of testing.

Firm Name: [Print/Type] 
Certified Tester Name [Print/Type] 

REVISED AUGUST 2016
<table>
<thead>
<tr>
<th>Firm Address:</th>
<th>Certified Tester Name (Signature):</th>
</tr>
</thead>
<tbody>
<tr>
<td>Firm Phone #:</td>
<td>Cert. Tester No.:</td>
</tr>
<tr>
<td></td>
<td>Date of Test:</td>
</tr>
</tbody>
</table>

* TEST RECORDS MUST BE KEPT FOR AT LEAST THREE YEARS
** USE ONLY MANUFACTURER'S REPLACEMENT PARTS
# Appendix D: Customer Service Inspection Certificate (Form TCEQ-20699)

This is a sample only. For the official form please go to <www.tceq.texas.gov/goto/cc>.

## Texas Commission on Environmental Quality
### Customer Service Inspection Certificate

<table>
<thead>
<tr>
<th>Name of PWS:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>PWS ID #:</td>
<td></td>
</tr>
<tr>
<td>Location of Service:</td>
<td></td>
</tr>
</tbody>
</table>

**Reason for Inspection:**
- New construction .......................................................... ☐ †
- Existing service where contaminant hazards are suspected .......... ☐ †
- Major renovation or expansion of distribution facilities ............. ☐ †

I _________________, upon inspection of the private water distribution facilities connected to the aforementioned public water supply do hereby certify that, to the best of my knowledge:

<table>
<thead>
<tr>
<th>Compliance</th>
<th>Non-Compliance</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>☐</td>
<td>☐</td>
<td>(1) No direct connection between the public drinking water supply and a potential source of contamination exists. Potential sources of contamination are isolated from the public water system by an air gap or an appropriate backflow prevention assembly in accordance with Commission regulations.</td>
</tr>
<tr>
<td>†</td>
<td>☐</td>
<td>(2) No cross-connection between the public drinking water supply and a private water system exists. Where an actual air gap is not maintained between the public water supply and a private water supply, an approved reduced pressure principle backflow prevention assembly is properly installed and a service agreement exists for annual inspection and testing by a certified backflow prevention assembly tester.</td>
</tr>
<tr>
<td>†</td>
<td>☐</td>
<td>(3) No connection exists which would allow the return of water used for condensing, cooling or industrial processes back to the public water supply.</td>
</tr>
<tr>
<td>☐</td>
<td>☐</td>
<td>(4) No pipe or pipe fitting which contains more than 8.0% lead exists in private water distribution facilities installed on or after July 1, 1988 and prior to January 4, 2014.</td>
</tr>
<tr>
<td>☐</td>
<td>☐</td>
<td>(5) Plumbing installed after January 4, 2014 bears the expected labeling indicating ≤0.25% lead content. If not properly labeled, please provide written comment.</td>
</tr>
<tr>
<td>☐</td>
<td>☐</td>
<td>(6) No solder or flux which contains more than 0.2% lead exists in private water distribution facilities installed on or after July 1, 1988.</td>
</tr>
</tbody>
</table>

I further certify that the following materials were used in the installation of the private water distribution facilities:

<table>
<thead>
<tr>
<th>Service lines;</th>
<th>Lead ☐</th>
<th>Copper ☐</th>
<th>PVC ☐</th>
<th>Other ☐</th>
</tr>
</thead>
<tbody>
<tr>
<td>Solder;</td>
<td>Lead ☐</td>
<td>Lead Free ☐</td>
<td>Solvent Weld ☐</td>
<td>Other ☐</td>
</tr>
</tbody>
</table>

I recognize that this document shall become a permanent record of the aforementioned Public Water System and that I am legally responsible for the validity of the information I have provided.

### Remarks:

<table>
<thead>
<tr>
<th>Signature of Inspector:</th>
<th>Registration Number:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title:</td>
<td>Type of Registration:</td>
</tr>
<tr>
<td>Date:</td>
<td></td>
</tr>
</tbody>
</table>
Appendix E: Rules Related to Cross-Connection Control and Backflow Prevention

The following rules have been extracted from the TAC and reformatted for ease of use. In the case of any discrepancy between this guide and the rules published at the Texas Secretary of State’s website <www.sos.state.tx.us>, the SOS site shall apply.

§290.38. Definitions

The following words and terms, when used in this chapter shall have the following meanings, unless the context clearly indicates otherwise. If a word or term used in this chapter is not contained in the following list, its definition shall be as shown in Title 40 Code of Federal Regulations (CFR) §141.2. Other technical terms used shall have the meanings or definitions listed in the latest edition of The Drinking Water Dictionary, prepared by the American Water Works Association.

§290.38(2) Air gap—The unobstructed vertical distance through the free atmosphere between the lowest opening from any pipe or faucet conveying water to a tank, fixture, receptor, sink, or other assembly and the flood level rim of the receptacle. The vertical, physical separation must be at least twice the diameter of the water supply outlet, but never less than 1.0 inch.

... 

§290.38(16) Contamination—The presence of any foreign substance (organic, inorganic, radiological or biological) in water which tends to degrade its quality so as to constitute a health hazard or impair the usefulness of the water.

§290.38(17) Cross-connection—A physical connection between a public water system and either another supply of unknown or questionable quality, any source which may contain contaminating or polluting substances, or any source of water treated to a lesser degree in the treatment process.

... 

§290.38(20) Disinfection—A process which inactivates pathogenic organisms in the water by chemical oxidants or equivalent agents.

§290.38(21) Distribution system—A system of pipes that conveys potable water from a treatment plant to the consumers. The term includes pump stations, ground and elevated storage tanks, potable water mains, and potable water service lines and all associated valves, fittings, and meters, but excludes potable water customer service lines.

§290.38(22) Drinking water—All water distributed by any agency or individual, public or private, for the purpose of human consumption or which may be used in the preparation of foods or beverages or for the cleaning of any utensil or article used in the course of preparation or consumption of food or beverages for human beings. The term “Drinking Water” shall also include
all water supplied for human consumption or used by any institution catering to the public.

§290.38(23) **Drinking water standards**—The commission rules covering drinking water standards in Subchapter F of this chapter (relating to Drinking Water Standards Governing Drinking Water Quality and Reporting Requirements for Public Water Systems).

§290.38(31) **Health hazard**—A cross-connection, potential contamination hazard, or other situation involving any substance that can cause death, illness, spread of disease, or has a high probability of causing such effects if introduced into the potable drinking water supply.

§290.38(32) **Human consumption**—Uses by humans in which water can be ingested into or absorbed by the human body. Examples of these uses include, but are not limited to drinking, cooking, brushing teeth, bathing, washing hands, washing dishes, and preparing foods.

§290.38(53) **Nonhealth hazard**—A cross-connection, potential contamination hazard, or other situation involving any substance that generally will not be a health hazard, but will constitute a nuisance, or be aesthetically objectionable, if introduced into the public water supply.

§290.38(57) **Plumbing inspector**—Any person employed by a political subdivision for the purpose of inspecting plumbing work and installations in connection with health and safety laws and ordinances, who has no financial or advisory interest in any plumbing company, and who has successfully fulfilled the examinations and requirements of the Texas State Board of Plumbing Examiners.

§290.38(58) **Plumbing ordinance**—A set of rules governing plumbing practices which is at least as stringent and comprehensive as one of the following nationally recognized codes:

- §290.38(58)(A) the International Plumbing Code; or
- §290.38(58)(B) the Uniform Plumbing Code.

§290.38(59) **Potable water customer service line**—The sections of potable water pipe between the customer’s meter and the customer’s point of use.

§290.38(60) **Potable water service line**—The section of pipe between the potable water main to the customer’s side of the water meter. In cases where no customer water meter exists, it is the section of pipe that is under the ownership and control of the public water system.
§290.38(61) *Potable water main*—A pipe or enclosed constructed conveyance operated by a public water system which is used for the transmission or distribution of drinking water to a potable water service line.

§290.38(62) *Potential contamination hazard*—A condition which, by its location, piping or configuration, has a reasonable probability of being used incorrectly, through carelessness, ignorance, or negligence, to create or cause to be created a backflow condition by which contamination can be introduced into the water supply. Examples of potential contamination hazards are:

§290.38(62)(A) bypass arrangements;

§290.38(62)(B) jumper connections;

§290.38(62)(C) removable sections or spools; and

§290.38(62)(D) swivel or changeover assemblies.

...

§290.38(73) *Service line*—A pipe connecting the utility service provider’s main and the water meter, or for wastewater, connecting the main and the point at which the customer’s service line is connected, generally at the customer’s property line.

**§290.42. Water Treatment**

§290.42(d)(2) All plant piping shall be constructed so as to be thoroughly tight against leakage. No cross-connection or interconnection shall be permitted to exist in a filtration plant between a conduit carrying filtered or post-chlorinated water and another conduit carrying raw water or water in any prior stage of treatment.

§290.42(d)(2)(A) Vacuum breakers must be provided on each hose bibb within the plant facility.

§290.42(d)(2)(B) No conduit or basin containing raw water or any water in a prior stage of treatment shall be located directly above, or be permitted to have a single common partition wall with another conduit or basin containing finished water.

§290.42(d)(2)(C) Make-up water supply lines to chemical feeder solution mixing chambers shall be provided with an air gap or other acceptable backflow prevention device.

§290.42(d)(2)(D) Filters shall be located so that common walls will not exist between them and aerators, mixing and sedimentation basins or clearwells. This rule is not strictly applicable, however, to partitions open to view and readily accessible for inspection and repair.
§290.42(d)(2)(E) Filter-to-waste connections, if included, shall be provided with an air gap connection to waste.

§290.42(d)(2)(F) Air release devices on treated waterlines shall be installed in such a manner as to preclude the possibility of submergence or possible entrance of contaminants. In this respect, all openings to the atmosphere shall be covered with 16-mesh or finer corrosion-resistant screening material or an equivalent acceptable to the executive director.

§290.42(d)(11)(F)(vi) When used, surface filter wash systems shall be installed with an atmospheric vacuum breaker or a reduced pressure principle backflow assembly in the supply line. If an atmospheric vacuum breaker is used it shall be installed in a section of the supply line through which all the water passes and which is located above the overflow level of the filter.

§290.42(d)(13)(A) A plant that is built or repainted after October 1, 2000 must use the following color code. The color code to be used in labeling pipes is as follows:

<table>
<thead>
<tr>
<th>Letters</th>
<th>Color of Pipe</th>
</tr>
</thead>
<tbody>
<tr>
<td>Potable Water</td>
<td>Light Blue</td>
</tr>
<tr>
<td>Compressed Air</td>
<td>Light Green</td>
</tr>
<tr>
<td>Instrument Air</td>
<td>Light Green with Dark Green Bands</td>
</tr>
<tr>
<td>Chlorine (gas, liquid, or vent)</td>
<td>Yellow</td>
</tr>
<tr>
<td>Chlorine (solution)</td>
<td>Yellow with Red Bands</td>
</tr>
<tr>
<td>Liquid Alum</td>
<td>Yellow with Orange Bands</td>
</tr>
<tr>
<td>Alum (solution)</td>
<td>Yellow with Green Bands</td>
</tr>
<tr>
<td>Ammonia</td>
<td>Yellow with Brown Bands</td>
</tr>
<tr>
<td>Chlorine Dioxide (solution)</td>
<td>Yellow with Blue Bands</td>
</tr>
<tr>
<td>Ferric chloride</td>
<td>Brown with Red Bands</td>
</tr>
<tr>
<td>Ferric sulfate</td>
<td>Brown with Yellow Bands</td>
</tr>
<tr>
<td>Polymers</td>
<td>White with Green Bands</td>
</tr>
<tr>
<td>Liquid caustic</td>
<td>White with Red Bands</td>
</tr>
<tr>
<td>Caustic (solution)</td>
<td>White with Orange Bands</td>
</tr>
<tr>
<td>Fluoride</td>
<td>White with Yellow Bands</td>
</tr>
<tr>
<td>Ozone</td>
<td>Stainless Steel with White Bands</td>
</tr>
<tr>
<td>Settled Water</td>
<td>Green</td>
</tr>
<tr>
<td>Filter Effluent</td>
<td>Light Blue</td>
</tr>
<tr>
<td>Backwash Supply</td>
<td>Light Blue</td>
</tr>
<tr>
<td>Backwash Waste</td>
<td>Dark Gray</td>
</tr>
<tr>
<td>Drain</td>
<td>Dark Gray</td>
</tr>
<tr>
<td>Raw Water</td>
<td>Tan</td>
</tr>
</tbody>
</table>

§290.42(d)(13)(B) A plant that was repainted before October 1, 2000 may use an alternate color code. The alternate color code must provide clear visual distinction between process streams.

§290.42(d)(13)(C) The system must maintain clear, current documentation of its color code in a location easily accessed by all personnel.
§290.43. Water Storage.

§290.43(c)(7) Each clearwell or potable water storage tank shall be provided with a means of removing accumulated silt and deposits at all low points in the bottom of the tank. Drains shall not be connected to any waste or sewage disposal system and shall be constructed so that they are not a potential agent in the contamination of the stored water.

§290.43(c)(9) No tanks or containers shall be used to store potable water that have previously been used for any non-potable purpose. Where a used tank is proposed for use, a letter from the previous owner or owners must be submitted to the Commission which states the use of the tank.

§290.44. Water Distribution.

§290.44(b) Lead ban. The following provisions apply to the use of lead in plumbing.

§290.44(b)(1) The use of pipes and pipe fittings that contain more than 0.25% lead or solders and flux that contains more than 0.2% lead is prohibited in the following circumstances:

§290.44(b)(1)(A) for installation or repair of any public water supply; and

§290.44(b)(1)(B) for installation or repair of any plumbing in a residential or nonresidential facility providing water for human consumption and connected to a public drinking water supply system.

§290.44(b)(2) This requirement will be waived for lead joints that are necessary for repairs to cast iron pipe.

...

§290.44(h) Backflow, siphonage.

§290.44(h)(1) No water connection from any public drinking water supply system shall be allowed to any residence or establishment where an actual or potential contamination hazard exists unless the public water facilities are protected from contamination.

§290.44(h)(1)(A) At any residence or establishment where an actual or potential contamination hazard exists, additional protection shall be required at the meter in the form of an air gap or backflow prevention assembly. The type of backflow prevention assembly required shall be determined by the specific potential hazard identified in §290.47(i) of this title (relating to Appendices).

§290.44(h)(1)(B) At any residence or establishment where an actual or potential contamination hazard exists and an adequate internal cross-connection control program is in effect, backflow protection at the water service entrance or meter is not required.
§290.44(h)(1)(B)(i) An adequate internal cross-connection control program shall include an annual inspection and testing by a certified backflow prevention assembly tester on all backflow prevention assemblies used for health hazard protection.

§290.44(h)(1)(B)(ii) Copies of all such inspection and test reports must be obtained and kept on file by the water purveyor.

§290.44(h)(1)(B)(iii) It will be the responsibility of the water purveyor to ensure that these requirements are met.

§290.44(h)(2) No water connection from any public drinking water supply system shall be connected to any condensing, cooling, or industrial process or any other system of nonpotable usage over which the public water supply system officials do not have sanitary control, unless the said connection is made in accordance with the requirements of paragraph (1) of this subsection. Water from such systems cannot be returned to the potable water supply.

§290.44(h)(3) Overhead bulk water dispensing stations must be provided with an air gap between the filling outlet hose and the receiving tank to protect against back siphonage and cross-contamination.

§290.44(h)(4) All backflow prevention assemblies that are required according to this section and associated table located in §290.47(i) of this title shall be tested upon installation by a recognized backflow prevention assembly tester and certified to be operating within specifications. Backflow prevention assemblies which are installed to provide protection against health hazards must also be tested and certified to be operating within specifications at least annually by a recognized backflow prevention assembly tester.

§290.44(h)(4)(A) Recognized backflow prevention assembly testers shall have completed an executive director approved course on cross-connection control and backflow prevention assembly testing, pass an examination administered by the executive director, and hold a current license as a backflow prevention assembly tester.

§290.44(h)(4)(A)(i) Backflow prevention assembly testers are qualified to test and repair assemblies on any domestic, commercial, industrial, or irrigation service.

§290.44(h)(4)(A)(ii) Backflow prevention assembly testers may test and repair assemblies on firelines only if they are permanently employed by an Approved Fireline Contractor. The State Fire Marshal’s office requires that any person performing maintenance on firelines must be employed by an Approved Fireline Contractor.

§290.44(h)(4)(B) Gauges used in the testing of backflow prevention assemblies shall be tested for accuracy annually in accordance with the University of Southern California’s Manual of Cross-Connection Control or the American Water Works Association Recommended Practice for Backflow...
Prevention and Cross-Connection Control (Manual M14). Public water systems shall require testers to include test gauge serial numbers on “Test and Maintenance” report forms and ensure testers have gauges tested for accuracy.

\section{§290.44(h)(4)(C)} A test report must be completed by the recognized backflow prevention assembly tester for each assembly tested. The signed and dated original must be submitted to the public water supplier for recordkeeping purposes. Any form which varies from the format specified in commission Form No. 20700 must be approved by the executive director prior to being placed in use.

\section{§290.44(h)(5)} The use of a backflow prevention assembly at the service connection shall be considered as additional backflow protection and shall not negate the use of backflow protection on internal hazards as outlined and enforced by local plumbing codes.

\section{§290.44(h)(6)} At any residence or establishment where there is no actual or potential contamination hazard, a backflow prevention assembly is not required.

\section{§290.44(i) Water hauling.} When drinking water is distributed by tank truck or trailer, it must be accomplished in the following manner.

\section{§290.44(i)(2)(E)} Connections for filling and emptying the tank shall be properly protected to prevent the possible entrance of contamination. These openings must be provided with caps and keeper chains.

\section{§290.44(j) If a structure is connected to a public water supply system and has a rainwater harvesting system, the structure must have appropriate cross-connection safeguards in accordance with subsection (h)(1) of this section.}

\section{§290.44(j)(1)} A privately owned rainwater harvesting system with a capacity of more than 500 gallons that is connected to a public water system for a back-up supply shall have a backflow prevention assembly or an air gap installed at the storage facility for the harvested rainwater to ensure physical separation between the rainwater harvesting system and the public water system.

\section{§290.44(j)(2)} At each residence or facility where water from a rainwater harvesting system is used for potable purposes and there is a connection to a public water system, the public water system shall ensure that the rainwater harvesting system is installed and maintained by a master plumber or journeyman plumber licensed by the Texas State Board of Plumbing Examiners and who holds an endorsement issued by the Texas State Board of Plumbing Examiners as a Water Supply Protection Specialist.
§290.44(j)(3) A person who intends to connect a rainwater harvesting system to a public water system must give written notice of that intention to the municipality or the owner or operator of the public water system in which the rainwater harvesting system is located.

§290.44(j)(4) The public water system used as a back-up supply for the rainwater harvesting system may be connected only to the water storage tank and may not be connected to the plumbing of a structure.

§290.46. Minimum Acceptable Operating Practices for Public Drinking Water Systems

§290.46(f)(3) All public water systems shall maintain a record of operations.

... 

§290.46(f)(3)(B) The following records shall be retained for at least three years: ...

§290.46(f)(3)(B)(v) the records of backflow prevention device programs; ...

§290.46(f)(3)(E) The following records shall be retained for at least ten years: ...

§290.46(f)(3)(E)(iv) copies of the Customer Service Inspection reports required by subsection (j) of this section; ...

[CSI Certificate retention: The CSI Certificate requires that it be retained permanently, whereas the rule references 10 years; therefore, a discrepancy exists. The TCEQ recommends that CSI Reports be retained permanently, as long as the inspected facility is in existence.]

...

§290.46(i) Plumbing ordinance. Public water systems must adopt an adequate plumbing ordinance, regulations, or service agreement with provisions for proper enforcement to insure that neither cross-connections nor other unacceptable plumbing practices are permitted (See §290.47(b) of this title (relating to Appendices)). Should sanitary control of the distribution system not reside with the purveyor, the entity retaining sanitary control shall be responsible for establishing and enforcing adequate regulations in this regard. The use of pipes and pipe fittings that contain more than 0.25% lead or solders and flux that contain more than 0.2% lead is prohibited for installation or repair of any public water supply and for installation or repair of any plumbing in a residential or nonresidential facility providing water for human consumption and connected to a public drinking water supply system. This requirement may be waived for lead joints that are necessary for repairs to cast iron pipe.

§290.46(j) Customer service inspections. A customer service inspection certificate shall be completed prior to providing continuous water service to new construction, on any existing service either when the water purveyor has reason to believe that cross-connections
or other potential contaminant hazards exist, or after any material improvement, correction, or addition to the private water distribution facilities. Any customer service inspection certificate form which varies from the format found in commission Form No. 20699 must be approved by the executive director prior to being placed in use.

§290.46(j)(1) Individuals with the following credentials shall be recognized as capable of conducting a customer service inspection certification.

§290.46(j)(1)(A) Plumbing Inspectors and Water Supply Protection Specialists licensed by the Texas State Board of Plumbing Examiners (TSBPE).

§290.46(j)(1)(B) Customer service inspectors who have completed a commission-approved course, passed an examination administered by the executive director, and hold current professional license as a customer service inspector.

§290.46(j)(2) As potential contaminant hazards are discovered, they shall be promptly eliminated to prevent possible contamination of the water supplied by the public water system. The existence of a health hazard, as identified in §290.47(i) of this title, shall be considered sufficient grounds for immediate termination of water service. Service can be restored only when the health hazard no longer exists, or until the health hazard has been isolated from the public water system in accordance with §290.44(h) of this title (relating to Water Distribution).

§290.46(j)(3) These customer service inspection requirements are not considered acceptable substitutes for and shall not apply to the sanitary control requirements stated in §290.102(a)(5) of this title (relating to General Applicability).

§290.46(k) Interconnection. No physical connection between the distribution system of a public drinking water supply and that of any other water supply shall be permitted unless the other water supply is of a safe, sanitary quality and the interconnection is approved by the executive director.
Appendix F: Assessment of Hazard and Selection of Assemblies [from 30 TAC 290.47(f)]

The following table lists many common hazards. It is not an all-inclusive list of the hazards that may be found connected to public water systems.

<table>
<thead>
<tr>
<th>Description of Premises</th>
<th>Assessment of Hazard</th>
<th>Required Assembly</th>
</tr>
</thead>
<tbody>
<tr>
<td>Aircraft and missile plants</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Animal feedlots</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Automotive plants</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Breweries</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Canneries, packing houses and rendering plants</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Commercial car wash facilities</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Commercial laundries</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Cold storage facilities</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Connection to sewer pipe</td>
<td>Health</td>
<td>AG</td>
</tr>
<tr>
<td>Dairies</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Docks and dockside facilities</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Dye works</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Food and beverage processing plants</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Hospitals, morgues, mortuaries, medical clinics, dental clinics, veterinary clinics, autopsy facilities, sanitariums, and medical labs</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Metal manufacturing, cleaning, processing, and fabrication plants</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Microchip fabrication facilities</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Paper and paper products plants</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Petroleum processing or storage facilities</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Photo and film processing labs</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Plants using radioactive material</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Plating or chemical plants</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Pleasure-boat marinas</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Private/Individual/Unmonitored Wells</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Reclaimed water systems</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Restricted, classified or other closed facilities</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Rubber plants</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Sewage lift stations</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Sewage treatment plants</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Slaughter houses</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Steam plants</td>
<td>Health</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Tall buildings or elevation differences where the highest outlet is 80 feet or more above the meter</td>
<td>Nonhealth</td>
<td>DCVA</td>
</tr>
<tr>
<td>Internal Protection: Description of Cross Connection</td>
<td>Assessment of Hazard</td>
<td>Required Assembly</td>
</tr>
<tr>
<td>----------------------------------------------------</td>
<td>----------------------</td>
<td>------------------</td>
</tr>
<tr>
<td>Aspirators</td>
<td>Nonhealth†</td>
<td>AVB</td>
</tr>
<tr>
<td>Aspirator (medical)</td>
<td>Health</td>
<td>AVB or PVB</td>
</tr>
<tr>
<td>Autoclaves</td>
<td>Health</td>
<td>RPBA</td>
</tr>
<tr>
<td>Autopsy and mortuary equipment</td>
<td>Health</td>
<td>AVB or PVB</td>
</tr>
<tr>
<td>Bedpan washers</td>
<td>Health</td>
<td>AVB or PVB</td>
</tr>
<tr>
<td>Connection to industrial fluid systems</td>
<td>Health</td>
<td>RPBA</td>
</tr>
<tr>
<td>Connection to plating tanks</td>
<td>Health</td>
<td>RPBA</td>
</tr>
<tr>
<td>Connection to salt-water cooling systems</td>
<td>Health</td>
<td>RPBA</td>
</tr>
<tr>
<td>Connection to sewer pipe</td>
<td>Health</td>
<td>AG</td>
</tr>
<tr>
<td>Cooling towers with chemical additives</td>
<td>Health</td>
<td>AG</td>
</tr>
<tr>
<td>Cuspidors</td>
<td>Health</td>
<td>AVB or PVB</td>
</tr>
<tr>
<td>Degreasing equipment</td>
<td>Nonhealth†</td>
<td>DCVA</td>
</tr>
<tr>
<td>Domestic space-heating boiler</td>
<td>Nonhealth†</td>
<td>RPBA</td>
</tr>
<tr>
<td>Dye vats or machines</td>
<td>Health</td>
<td>RPBA</td>
</tr>
<tr>
<td>Fire-fighting system (toxic liquid foam concentrates)</td>
<td>Health</td>
<td>RPBA</td>
</tr>
<tr>
<td>Flexible shower heads</td>
<td>Nonhealth†</td>
<td>AVB or PVB</td>
</tr>
<tr>
<td>Heating equipment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Commercial</td>
<td>Nonhealth†</td>
<td>RPBA</td>
</tr>
<tr>
<td>Domestic</td>
<td>Nonhealth†</td>
<td>DCVA</td>
</tr>
<tr>
<td>Hose bibbs</td>
<td>Nonhealth†</td>
<td>AVB</td>
</tr>
<tr>
<td>Irrigation systems</td>
<td></td>
<td></td>
</tr>
<tr>
<td>with chemical additives</td>
<td>Health</td>
<td>RPBA</td>
</tr>
<tr>
<td>without chemical additives</td>
<td>Nonhealth†</td>
<td>DCVA, AVB, or PVB</td>
</tr>
<tr>
<td>Kitchen equipment—Commercial</td>
<td>Nonhealth†</td>
<td>AVB</td>
</tr>
<tr>
<td>Lab bench equipment</td>
<td>Health or</td>
<td>AVB or PVB</td>
</tr>
<tr>
<td></td>
<td>Nonhealth†</td>
<td></td>
</tr>
<tr>
<td>Ornamental fountains</td>
<td>Health</td>
<td>AVB or PVB</td>
</tr>
<tr>
<td>Swimming pools</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Private</td>
<td>Nonhealth†</td>
<td>PVB or AG</td>
</tr>
<tr>
<td>Public</td>
<td>Nonhealth†</td>
<td>RPBA or AG</td>
</tr>
<tr>
<td>Sewage pump</td>
<td>Health</td>
<td>AG</td>
</tr>
<tr>
<td>Sewage ejectors</td>
<td>Health</td>
<td>AG</td>
</tr>
<tr>
<td>Shampoo basins</td>
<td>Nonhealth†</td>
<td>AVB</td>
</tr>
<tr>
<td>Specimen tanks</td>
<td>Health</td>
<td>AVB or PVB</td>
</tr>
<tr>
<td>Steam generators</td>
<td>Nonhealth†</td>
<td>RPBA</td>
</tr>
<tr>
<td>Steam tables</td>
<td>Nonhealth†</td>
<td>AVB</td>
</tr>
<tr>
<td>Sterilizers</td>
<td>Health</td>
<td>RPBA</td>
</tr>
<tr>
<td>Tank vats or other vessels containing toxic substances</td>
<td>Health</td>
<td>RPBA</td>
</tr>
<tr>
<td>Trap primers</td>
<td>Health</td>
<td>AG</td>
</tr>
<tr>
<td>Vending machines</td>
<td>Nonhealth†</td>
<td>RPBA or PVB</td>
</tr>
<tr>
<td>Watering troughs</td>
<td>Health</td>
<td>AG or PVB</td>
</tr>
</tbody>
</table>

NOTE: AG = air gap; AVB = atmospheric vacuum breaker; DCVA = double check valve backflow prevention assembly; PVB = pressure vacuum breaker; RPBA = reduced-pressure principle backflow prevention assembly

AVBs and PVBs may be used to isolate health hazards under certain conditions, that is, back-siphonage situations. Additional area of premises isolation may be required.

†Where a greater hazard exists (due to toxicity or other potential health impact) additional area protection with RPBAs is required.
Appendix G: Rules for Licensing CSI Inspectors, BPATs, and Landscape Irrigators

The following rules have been extracted from the TAC and reformatted for ease of use. In the case of any discrepancy between this guide and the rules published at the Texas Secretary of State’s website <www.sos.state.tx.us>, the SOS site shall apply.

Licensing Requirements for Backflow Prevention Assembly Testers (from 30 TAC Chapter 30, Subchapter B)

§30.51 Purpose and Applicability

§30.51(a) The purpose of this subchapter is to establish qualifications for issuing and renewing licenses to an individual who tests and repairs backflow prevention assemblies.

§30.51(b) An individual who tests and repairs backflow prevention assemblies must meet the qualifications of this subchapter and be licensed according to Subchapter A of this chapter (relating to Administration of Occupational Licenses and Registrations).

§30.57 Definitions

The following word and term, when used in this subchapter, shall have the following meaning, unless the context clearly indicates otherwise.

Backflow prevention assembly tester (BPAT)—An individual who tests and repairs backflow prevention assemblies.

§30.60 Qualifications for Initial License

To obtain a license, an individual must have:

§30.60(1) met the requirements in Subchapter A of this chapter (relating to Administration of Occupational Licenses and Registrations);

§30.60(2) passed an examination;

§30.60(3) received a high school diploma or equivalent certificate;

§30.60(4) completed an approved 40-hour backflow prevention assembly testing training course; and

§30.60(5) worked at least two years in an approved area which includes, but is not limited to:

§30.60(5)(A) operating or maintaining a public drinking water system;

§30.60(5)(B) installing or repairing residential, commercial, or industrial drinking water treatment equipment;
§30.60(5)(C) installing or repairing lawn irrigation systems;

§30.60(5)(D) performing activities requiring a master or journeyman plumbing license;

§30.60(5)(E) installing or servicing fire suppression sprinkler systems and lines;

§30.60(5)(F) operating or maintaining a domestic wastewater treatment facility;

§30.60(5)(G) performing health inspections that requires a registered sanitarian; or

§30.60(5)(H) performing other duties approved by the executive director.

§30.60(6) An individual may substitute one year of the required experience with:

§30.60(6)(A) one year of college credit (32 semester hours); or

§30.60(6)(B) 20 hours of approved training in addition to the required 40-hour backflow prevention assembly testing training course.

§30.62 Qualifications for License Renewal

To renew a license, an individual must have:

§30.62(1) met the requirements in Subchapter A of this chapter (relating to Administration of Occupational Licenses and Registrations); and

§30.62(2) completed 24 hours of approved continuing education which includes eight hours of approved practical skills training.

**Licensing Requirements for Customer-Service Inspectors**

*(from 30 TAC Chapter 30, Subchapter C)*

§30.81 Purpose and Applicability

§30.81(a) The purpose of this subchapter is to establish qualifications for issuing and renewing licenses to individuals who conduct and certify customer service inspections.

§30.81(b) An individual who performs customer service inspections must meet the qualifications of this subchapter and be licensed according to Subchapter A of this chapter (relating to Administration of Occupational Licenses and Registrations).

§30.81(c) An endorsement for customer service inspections shall expire when an individual renews a water operator’s license or the license expires. To obtain a customer service inspector license, an individual holding an endorsement must submit a new application with the appropriate fee.
§30.81(d) A licensed customer service inspector shall not perform plumbing inspections required under Plumbing Licensing Law 15(a) (Texas Civil Statutes, Volume 17-1/2, Article 6243-101).

§30.87 Definitions

The following words and terms, when used in this subchapter, shall have the following meanings, unless the context clearly indicates otherwise.

§30.87(1) Cross-connection—A physical connection between a public water system and either another supply of unknown or questionable quality, any source which may contain contaminating or polluting substances, or any source of water treated to a lesser degree in the treatment process.

§30.87(2) Customer service inspection—An examination of the private water distribution facility for the purpose of providing or denying water service. The inspection is limited to the identification and prevention of cross-connections, potential contaminant hazards, and illegal lead materials. Customer service inspections are completed before providing continuous water service to new construction, on any existing service where there is reason to believe that cross-connections or other potential contaminant hazards exist, or after any material improvement, correction, or addition to private water distribution facilities (see §290.46(j) of this title (relating to Minimum Acceptable Operating Practices for Public Drinking Water Systems)).

§30.87(3) Customer service inspector—The person who is licensed by the executive director to perform customer service inspections.

§30.90 Qualifications for Initial License

§30.90(a) To obtain a license, an individual must have:

§30.90(a)(1) met the requirements in Subchapter A of this chapter (relating to Administration of Occupational Licenses and Registrations);

§30.90(a)(2) received a high school diploma or equivalent certificate;

§30.90(a)(3) completed an approved customer service inspector training course;

§30.90(a)(4) worked at least two years in an approved area which includes, but is not limited to:

§30.90(a)(4)(A) operation or maintenance of a public drinking water treatment or distribution system;

§30.90(a)(4)(B) performing activities requiring a master or journeyman plumbing license;

§30.90(a)(4)(C) conducting building or construction inspections; or
§30.90(a)(4)(D) performing duties related to this profession approved by the executive director.

§30.90(b) One year of college (32 semester hours) or an additional 20 hours of training credits may be substituted for one year of the experience requirement.

§30.92 Qualifications for License Renewal

To renew a license, an individual must have:

§30.92(1) met the requirements in Subchapter A of this chapter (relating to Administration of Occupational Licenses and Registrations); and

§30.92(2) completed 16 hours of approved continuing education.

§30.95 Exemptions

Plumbing inspectors and water supply protection specialists licensed by the State Board of Plumbing Examiners are exempt from these requirements.

Licensing Requirements for Landscape Irrigators, Installers, Irrigation Technicians, and Irrigation Inspectors (from 30 TAC Chapter 30, Subchapter D)

§30.111 Purpose and Applicability

§30.111(a) The purpose of this subchapter is to establish qualifications for issuing and renewing licenses to individuals who:

§30.111(a)(1) sell, design, install, maintain, alter, repair, or service an irrigation system;

§30.111(a)(2) provide consulting services relating to an irrigation system;

§30.111(a)(3) connect an irrigation system to any water supply; or

§30.111(a)(4) inspect irrigation systems and perform other enforcement duties as an employee or as a contractor.

§30.111(b) An individual who performs any of the tasks listed in subsection (a) of this section must meet the qualifications of this subchapter and be licensed according to Subchapter A of this chapter (relating to Administration of Occupational Licenses and Registrations), unless they are exempt under §30.129 of this title (relating to Exemptions); and must comply with the requirements in Chapter 344 of this title (relating to Landscape Irrigation).

§30.117 Definitions

The following words and terms, when used in this subchapter, shall have the following meanings, unless the context clearly indicates otherwise.
§30.117(1) Installer—An individual who connects irrigation systems to any water supply.

§30.117(2) Irrigator—An individual who sells, designs, installs, maintains, alters, repairs, or services an irrigation system; provides consulting services relating to an irrigation system; or connects an irrigation system to any water supply.

§30.120 Qualifications for Initial License

§30.120(a) To obtain an installer license prior to January 1, 2009, an individual must:

§30.120(a)(1) meet the requirements in Subchapter A of this chapter (relating to Administration of Occupational Licenses and Registrations); and

§30.120(a)(2) pass the applicable examination.

§30.120(b) Effective January 1, 2010, the installer license will no longer be valid and will be replaced by an irrigation technician license. No new installer license applications will be accepted after June 1, 2009. New installer licenses issued after the effective date of these rules will remain valid through December 31, 2009. The fee for initial installer licenses issued after the effective date of these rules will be prorated to reflect the validity period.

§30.120(c) To obtain an irrigator license, an individual must:

§30.120(c)(1) meet the requirements in Subchapter A of this chapter (relating to Administration of Occupational Licenses and Registrations);

§30.120(c)(2) complete and pass the basic irrigator training course; and

§30.120(c)(3) pass all sections of the applicable examination.

§30.120(d) To obtain an irrigation technician license, an individual must:

§30.120(d)(1) meet the requirements in Subchapter A of this chapter;

§30.120(d)(2) complete the basic irrigation technician course; and

§30.120(d)(3) pass the applicable examination.

§30.120(e) To obtain an irrigation inspector license, an individual must:

§30.120(e)(1) meet the requirements in Subchapter A of this chapter.

§30.120(e)(2) successfully complete:

§30.120(e)(2)(A) the basic irrigator training course;

§30.120(e)(2)(B) an approved backflow prevention assembly testing training course; and

§30.120(e)(2)(C) an approved water conservation or water audit course; or

§30.120(e)(2)(D) an approved landscape irrigation inspection course.
§30.120 (c)(3) pass the applicable examination.

§30.120 (f) An individual is ineligible to obtain an irrigation inspector license if the individual engages in or has financial or advisory interest in an entity that:

§30.120 (f)(1) sells, designs, installs, maintains, alters, repairs, or services an irrigation system;

§30.120 (f)(2) provides consulting services relating to an irrigation system; or

§30.120 (f)(3) connects an irrigation system to any water supply.

§30.122 Qualifications for License Renewal

§30.122 (a) To renew an installer license that expires prior to June 1, 2009, an individual must meet the requirements in Subchapter A of this chapter (relating to Administration of Occupational Licenses and Registrations).

§30.122 (b) Effective January 1, 2010, the installer license will no longer be valid and will be replaced by an irrigation technician license. No installer license renewal applications will be accepted after December 31, 2008.

§30.122 (c) Installer licenses renewed after the effective date of these rules, but prior to June 1, 2009, will remain valid until December 31, 2009. The fee for installer licenses renewed after the effective date of these rules will be prorated to reflect the validity period.

§30.122 (d) To renew an irrigator license, an individual must:

§30.122 (d)(1) meet the requirements in Subchapter A of this chapter; and

§30.122 (d)(2) complete 24 hours of approved training credits.

§30.122 (e) To renew an irrigation technician license, an individual must:

§30.122 (e)(1) meet the requirements in Subchapter A of this chapter; and

§30.122 (e)(2) complete 16 hours of approved training credits.

§30.122 (f) To renew an irrigation inspector license, an individual must:

§30.122 (f)(1) meet the requirements in Subchapter A of this chapter; and

§30.122 (f)(2) complete 24 hours of approved training credits.

§30.129 Exemptions

§30.129 (a) The licensing requirements of this chapter do not apply to a person who:

§30.129 (a)(1) is licensed by the Texas State Board of Plumbing Examiners and is working within the scope provided by the plumbing laws;

§30.129 (a)(2) is registered or licensed as a professional engineer or architect or landscape architect if the work is related to the pursuit of the profession;
§30.129(a)(3) is under the direct supervision of a licensed irrigator and assists in the installation, maintenance, alteration, repair, or service of an irrigation system; or

§30.129(a)(4) is an owner of a business that employs a licensed irrigator to supervise the business’ sale, design, consultation, installation, maintenance, alteration, repair, and service of irrigation systems. For the purpose of this subchapter, employs means steadily, uniformly, or habitually working in an employer-employee relationship with the intent to earn a livelihood, as opposed to working casually or occasionally.

§30.129(b) The licensing requirements of this chapter do not apply to:

§30.129(b)(1) irrigation or yard sprinkler work that is performed by a property owner in a building or on premises owned or occupied by the owner as the owner’s home;

§30.129(b)(2) irrigation or yard sprinkler repair work, other than extension of an existing irrigation or yard sprinkler system or installation of a replacement system that is:

§30.129(b)(2)(A) performed by a maintenance person who does not act as an irrigator or engage in yard sprinkler construction or maintenance for the public; and

§30.129(b)(2)(B) incidental to and on premises owned by the business in which the person is regularly employed or engaged;

§30.129(b)(3) irrigation or yard sprinkler work that is performed:

§30.129(b)(3)(A) by a regular employee of a railroad who does not act as an irrigator or engage in yard sprinkler construction or maintenance for the public; and

§30.129(b)(3)(B) on the premises or equipment of the railroad;

§30.129(b)(4) irrigation and yard sprinkler work that is performed on public property by a person who is regularly employed by a county, city, town, special district, or political subdivision of the state;

§30.129(b)(5) irrigation or yard sprinkler work that is performed by a person using a garden hose, hose sprinkler, hose-end product, including soaker hose, or agricultural irrigation system;

§30.129(b)(6) an activity that includes a commercial agricultural irrigation system;

§30.129(b)(7) irrigation or yard sprinkler work that is performed by an agriculturist, agronomist, horticulturist, forester, gardener, contract gardener, garden or lawn caretaker, nurseryman, or grader or cultivator of land on land owned by the individual performing the work;
§30.129(b)(8) irrigation or yard sprinkler work that is performed by a member of a property owners’ association as defined by Property Code, §202.001, on real property owned by the association or in common by the members of the association if the irrigation or yard sprinkler system water real property that is less than 1/2 acre in size and is used for aesthetic or recreational purposes.

§30.129(c) A person who is exempt from the license requirements of this subchapter shall comply with the standards established by Chapter 344 of this title (relating to Landscape Irrigation). The term irrigation system does not include a system used on or by an agricultural operation as defined in Texas Agriculture Code, §251.002.
Appendix H: Landscape-Irrigation Program Rules Related to Cross-Connection Control Programs

The following rules have been extracted from the TAC and reformatted for ease of use. In the case of any discrepancy between this guide and the rules published at the Texas Secretary of State’s website <www.sos.state.tx.us>, the SOS site shall apply.

§344.1. Definitions.

The following words and terms, when used in this chapter, have the following meanings, unless the context clearly indicates otherwise.

§344.1(1) Air gap—A complete physical separation between the free flowing discharge end of a potable water supply pipeline and an open or non-pressure receiving vessel.

§344.1(2) Atmospheric Vacuum Breaker—An assembly containing an air inlet valve, a check seat, and an air inlet port. The flow of water into the body causes the air inlet valve to close the air inlet port. When the flow of water stops the air inlet valve falls and forms a check against back-siphonage. At the same time it opens the air inlet port allowing air to enter and satisfy the vacuum. Also known as an Atmospheric Vacuum Breaker Back-siphonage Prevention Assembly.

§344.1(3) Backflow prevention—The mechanical prevention of reverse flow, or back siphonage, of nonpotable water from an irrigation system into the potable water source.

§344.1(4) Backflow prevention assembly—Any assembly used to prevent backflow into a potable water system. The type of assembly used is based on the existing or potential degree of health hazard and backflow condition.

§344.1(5) Completion of irrigation system installation—When the landscape irrigation system has been installed, all minimum standards met, all tests performed, and the irrigator is satisfied that the system is operating correctly.

§344.1(7) Cross-connection—An actual or potential connection between a potable water source and an irrigation system that may contain contaminate or pollutants or any source of water that has been treated to a lesser degree in the treatment process.

§344.1(10) Double Check Valve—An assembly that is composed of two independently acting, approved check valves, including tightly closed resilient seated shutoff valves attached at each end of the assembly and fitted with properly
located resilient seated test cocks. Also known as a Double Check Valve Backflow Prevention Assembly.

§344.1(14) **Health hazard**—A cross-connection or potential cross-connection with an irrigation system that involves any substance that may, if introduced into the potable water supply, cause death or illness, spread disease, or have a high probability of causing such effects.

§344.1(16) **Inspector**—A licensed plumbing inspector, water district operator, other governmental entity, or irrigation inspector who inspects irrigation systems and performs other enforcement duties for a municipality or water district as an employee or as a contractor.

§344.1(18) **Irrigation inspector**—A person who inspects irrigation systems and performs other enforcement duties for a municipality or water district as an employee or as a contractor and is required to be licensed under Chapter 30 of this title (relating to Occupational Licenses and Registrations).

§344.1(30) **Major maintenance, alteration, repair, or service**—Any activity that involves opening to the atmosphere the irrigation main line at any point prior to the discharge side of any irrigation zone control valve. This includes, but is not limited to, repairing or connecting into a main supply pipe, replacing a zone control valve, or repairing a zone control valve in a manner that opens the system to the atmosphere.

§344.1(34) **Non-health hazard**—A cross-connection or potential cross connection from a landscape irrigation system that involves any substance that generally would not be a health hazard but would constitute a nuisance or be aesthetically objectionable if introduced into the potable water supply.

§344.1(35) **Non-potable water**—Water that is not suitable for human consumption. Non-potable water sources include, but are not limited to, irrigation systems, lakes, ponds, streams, gray water that is discharged from washing machines, dishwashers or other appliances, water vapor condensate from cooling towers, reclaimed water, and harvested rainwater.

§344.1(37) **Potable water**—Water that is suitable for human consumption.

§344.1(38) **Pressure Vacuum Breaker**—An assembly containing an independently operating internally loaded check valve and an independently operating loaded air inlet valve located on the discharge side of the
check valve. Also known as a Pressure Vacuum Breaker Back-siphonage Prevention Assembly.

§344.1(39) **Reclaimed water**—Domestic or municipal wastewater which has been treated to a quality suitable for beneficial use, such as landscape irrigation.

...§344.1(41) **Reduced Pressure Principle Backflow Prevention Assembly**—An assembly containing two independently acting approved check valves together with a hydraulically operating mechanically independent pressure differential relief valve located between the two check valves and below the first check valve.

§344.24. **Local Regulation and Inspection.**

§344.24(b) Any city, town, county, other political subdivision of the state, or public water supplier that is not required to adopt rules or ordinances regulating landscape irrigation may adopt a landscape irrigation program by ordinance or rule and may be responsible for inspection of connections to its public water supply system up to and including the backflow prevention device.

§344.36. **Duties and Responsibilities of Installers and Irrigation Technicians.**

§344.36(a) A licensed installer may connect an irrigation system to a water supply through December 31, 2009. This includes installing an approved backflow prevention method pursuant to §344.50 of this title (relating to Backflow Prevention Methods) when connecting an irrigation system to a potable water supply. Beginning January 1, 2009, a licensed irrigation technician may connect an irrigation system to a water supply, including installing an approved backflow prevention method pursuant to §344.50 of this title and may maintain, alter, repair, service, or direct the installation of irrigation systems under the supervision of an irrigator.

§344.36(b) If an installer or irrigation technician connects an irrigation system to a potable water supply, the connection and installation of the backflow prevention method must be as indicated on the site irrigation plan or as directed by the licensed irrigator and documented on the site irrigation plan.

§344.36(c) Through December 31, 2009, an installer is responsible for the connection of an irrigation system to a water supply under the supervision of a licensed irrigator.

§344.36(d) Beginning January 1, 2009, an irrigation technician, under the supervision of a licensed irrigator, is responsible for:

§344.36(d)(1) connecting an irrigation system to a water supply; and

§344.36(d)(2) providing on-site supervision of the installation, maintenance, alteration, repair, service of an irrigation system including the final walk through
with the irrigation system owner or owner's representative to explain the
maintenance and operation of the irrigation system.

§344.50. Backflow Prevention Methods.

§344.50(a) Any irrigation system that is connected to a public or private potable water
supply must be connected through a commission-approved backflow prevention method. The
backflow prevention device must be approved by the American Society of Sanitary Engineers;
or the Foundation for Cross-Connection Control and Hydraulic Research, University of
Southern California; or the Uniform Plumbing Code; or any other laboratory that has
equivalent capabilities for both the laboratory and field evaluation of backflow prevention
assemblies. The backflow prevention device must be installed in accordance with the
laboratory approval standards or if the approval does not include specific installation
information, the manufacturer's current published recommendations.

§344.50(b) If conditions that present a health hazard exist, one of the following methods
must be used to prevent backflow;

§344.50(b)(1) An air gap may be used if:

§344.50(b)(1)(A) there is an unobstructed physical separation; and

§344.50(b)(1)(B) the distance from the lowest point of the water supply
outlet to the flood rim of the fixture or assembly into which the outlet
discharges is at least one inch or twice the diameter of the water supply
outlet, whichever is greater.

§344.50(b)(2) Reduced pressure principle backflow prevention assemblies may be
used if:

§344.50(b)(2)(A) the device is installed at a minimum of 12 inches
above ground in a location that will ensure that the assembly will not be
submerged; and

§344.50(b)(2)(B) drainage is provided for any water that may be discharged
through the assembly relief valve.

§344.50(b)(3) Pressure vacuum breakers may be used if:

§344.50(b)(3)(A) no back-pressure condition will occur; and

§344.50(b)(3)(B) the device is installed at a minimum of 12 inches
above any downstream piping and the highest downstream opening.
Pop-up sprinklers are measured from the retracted position from the
top of the sprinkler.

§344.50(b)(4) Atmospheric vacuum breakers may be used if:

§344.50(b)(4)(A) no back-pressure will be present;
§344.50(b)(4)(B) there are no shutoff valves downstream from the atmospheric vacuum breaker;

§344.50(b)(4)(C) the device is installed at a minimum of six inches above any downstream piping and the highest downstream opening. Pop-up sprinklers are measured from the retracted position from the top of the sprinkler;

§344.50(b)(4)(D) there is no continuous pressure on the supply side of the atmospheric vacuum breaker for more than 12 hours in any 24-hour period; and

§344.50(b)(4)(E) a separate atmospheric vacuum breaker is installed on the discharge side of each irrigation control valve, between the valve and all the emission devices that the valve controls.

§344.50(c) Backflow prevention devices used in applications designated as health hazards must be tested upon installation and annually thereafter.

§344.50(d) If there are no conditions that present a health hazard double check valve backflow prevention assemblies may be used to prevent backflow if the device is tested upon installation and:

§344.50(d)(1) a local regulatory authority does not prohibit the use of a double check valve;

§344.50(d)(2) backpressure caused by an elevation of pressure in the discharge piping by pump or elevation of piping above the supply pressure which could cause a reversal of the normal flow of water or back-siphonage conditions caused by a reduced or negative pressure in the irrigation system exist; and

§344.50(d)(3) test cocks are used for testing only.

§344.50(e) If a double check valve is installed below ground:

§344.50(e)(1) test cocks must be plugged, except when the double check valve is being tested;

§344.50(e)(2) test cock plugs must be threaded, water-tight, and made of non-ferrous material;

§344.50(e)(3) a y-type strainer is installed on the inlet side of the double check valve;

§344.50(e)(4) there must be a clearance between any fill material and the bottom of the double check valve to allow space for testing and repair; and

§344.50(e)(5) there must be space on the side of the double check valve to test and repair the double check valve.
§344.51. Specific Conditions and Cross-Connection Control.

§344.51(a) Before any chemical is added to an irrigation system connected to any potable water supply, the irrigation system must be connected through a reduced pressure principle backflow prevention assembly or air gap.

§344.51(b) Connection of more than one water source to an irrigation system presents the potential for contamination of the potable water supply if backflow occurs. Therefore, connection of any additional water source to an irrigation system that is connected to the potable water supply can only be done if the irrigation system is connected to the potable water supply through a reduced-pressure principle backflow prevention assembly or an air gap.

§344.51(c) Irrigation system components with chemical additives induced by aspiration, injection, or emission system connected to any potable water supply must be connected through a reduced pressure principle backflow device.

§344.51(d) If an irrigation system is designed or installed on a property that is served by an on-site sewage facility, as defined in Chapter 285 of this title (relating to On-Site Sewage Facilities), then:

§344.51(d)(1) all irrigation piping and valves must meet the separation distances from the On-Site Sewage Facilities system as required for a private water line in §285.91(10) of this title (relating to Minimum Required Separation Distances for On-Site Sewage Facilities);

§344.51(d)(2) any connections using a private or public potable water source must be connected to the water source through a reduced pressure principle backflow prevention assembly as defined in §344.50 of this title (relating to Backflow Prevention Methods); and

§344.51(d)(3) any water from the irrigation system that is applied to the surface of the area utilized by the On-Site Sewage Facility system must be controlled on a separate irrigation zone or zones so as to allow complete control of any irrigation to that area so that there will not be excess water that would prevent the On-Site Sewage Facilities system from operating effectively.

§344.52. Installation of Backflow Prevention Device.

§344.52(a) If an irrigation system is connected to a potable water supply and requires major maintenance, alteration, repair, or service, the system must be connected to the potable water supply through an approved, properly installed backflow prevention method as defined in this title before any major maintenance, alteration, repair, or service is performed.

§344.52(b) If an irrigation system is connected to a potable water supply through a double check valve, pressure vacuum breaker, or reduced pressure principle backflow assembly and includes an automatic master valve on the system, the automatic master valve must be installed on the discharge side of the backflow prevention assembly.
§344.52(c) The irrigator shall ensure the backflow prevention device is tested prior to being placed in service and the test results provided to the local water purveyor and the irrigation system's owner or owner's representative within 10 business days of testing of the backflow prevention device.


§344.61(c) All irrigation plans used for construction must be drawn to scale. The plan must include, at a minimum, the following information:

... §344.61(c)(7) location, type, and size of each:

... §344.61(c)(7)(B) backflow prevention device;

...


§344.62(k) Isolation valve. All new irrigation systems must include an isolation valve between the water meter and the backflow prevention device.

§344.62(n) Water contained within the piping of an irrigation system is deemed to be non-potable. ...

§344.65. Reclaimed Water.

Reclaimed water may be utilized in landscape irrigation systems if:

§344.65(4) the domestic potable water line is connected using an air gap or a reduced pressure principle backflow prevention device, in accordance with §290.47(i) of this title (relating to Appendices);

§344.65(6) backflow prevention on the reclaimed water supply line shall be in accordance with the regulations of the water purveyor.
Appendix I: Sample Plumbing Ordinance

Please note that this is a sample ordinance and should not be modified or adopted without review by the public water system’s legal counsel.

This ordinance adds a new section to the City’s Code of Ordinances.

ORDINANCE NO. ________
An ordinance of the city council of the City of ________, Texas, amending Chapter ___ of the Code of Ordinances of ________, Texas, by adding a new section ___________ to be entitled “Cross-Connection Control Program,” providing a repeal clause and a severability clause, establishing penalties for the violation of these restrictions and provisions for their enforcement, and finding and determining that the meeting at which this ordinance is passed is open to the public as required by law.

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF ________, TEXAS:

SECTION 1—That Chapter ___ of the Code of Ordinances of the City of ________, Texas, be amended to add a new section ___________, such section to read as follows:

Section ____________ : Cross-Connection Control Program

a. Definitions
(2) TCEQ: The Texas Commission on Environmental Quality.
(3) 290 Rules: The TCEQ’s rules and regulations for public water systems, which appear in Title 30, Texas Administrative Code, Chapter 290.

b. General
(1) No water-service connection shall be made to any establishment where a potential or actual contamination hazard exists unless the water supply is protected in accordance with the 290 Rules and this ordinance. The water purveyor shall discontinue water service if a required air gap or backflow prevention assembly is not installed, maintained, and tested in accordance with the 290 Rules and this ordinance.

(2) No backflow protection at the water service meter is required where an adequate internal cross-connection control program is in place.

c. Installation, Testing, and Maintenance of Backflow Prevention Assemblies

(1) All backflow prevention assemblies must be tested upon installation by a licensed backflow prevention assembly tester and certified to be operating within specifications. Backflow prevention assemblies that are installed to protect against health hazards must also be tested and certified to be operating within specifications at least annually by a recognized backflow prevention assembly tester.

(2) Backflow prevention assemblies installed on fire suppression systems must be tested by a backflow prevention assembly tester permanently employed by an approved fireline contractor.

(3) Gauges used for backflow prevention assembly testing must be tested for accuracy at least annually in accordance with the AWWA’s Manual M14 or the current edition.
of the University of Southern California’s *Manual of Cross-Connection Control*. A copy of the gauge accuracy test report must be submitted to the City of __________ to demonstrate the gauge has been tested for accuracy.

(3) A recognized backflow prevention assembly tester must hold a current license issued from the TCEQ.

c. **Customer Service Inspections**

(1) A customer service inspection must be completed before the provision of continuous water service to all new construction, on any existing service when the water purveyor has reason to believe that cross-connections or other contaminant hazards exist, or after any material improvement, correction, or addition to the private water-distribution facilities.

(2) Only individuals with the following credentials shall be recognized as capable of conducting a customer service inspection:

(A) Plumbing inspectors and water-supply-protection specialists that have been licensed by the Texas State Board of Plumbing Examiners.

(B) Customer service inspectors that have been licensed by the TCEQ.

(3) The customer service inspection must certify that:

(A) No direct connection between the public drinking water supply and a potential source of contamination exists. Potential sources of contamination must be isolated from the public water system by a properly installed air gap or an appropriate backflow prevention assembly.

(B) No cross-connection between the public water supply and a private water source exists. Where an actual, properly installed air gap is not maintained between the public water supply and a private water supply, an approved reduced-pressure-zone backflow prevention assembly is properly installed and a service agreement exists for annual inspection and testing by a recognized backflow prevention assembly tester.

(C) No connection exists that allows water to be returned to the public drinking water supply.

(D) No pipe or pipe fitting that contains more than 0.25 percent lead is used for the installation or repair of plumbing at any connection that supplies water for human use.

(E) No solder or flux that contains more than 0.2 percent lead is used for the installation or repair of plumbing at any connection that provides water for human use.

**Irrigation Systems**

(1) Any irrigation system that is connected to a public or private potable water supply must be connected through a backflow prevention assembly approved by the Texas Commission on Environmental Quality.

(2) Backflow prevention assemblies installed on irrigation systems that are classified as health hazards must be tested at least annually.
SECTION 2—REPEAL

All ordinances that are in conflict with the provisions of this ordinance are hereby repealed, and all other ordinances of the City not in conflict with the provisions of this ordinance shall remain in full force and effect.

SECTION 3—SEVERABILITY

The phrases, clauses, sentences, paragraphs, and sections of this ordinance are severable and, if any phrase, clause, sentence, paragraph, or section of this ordinance shall be declared unconstitutional by the valid judgment or decree of any court of competent jurisdiction, such unconstitutionality shall not affect any of the remaining phrases, clauses, sentences, paragraphs, and sections of this ordinance.

SECTION 4—ENFORCEMENT

A violation of this ordinance is a misdemeanor and, upon conviction, any person who violates this ordinance shall be punished by a fine of not less than ________ and not more than _________. Each day that one or more of the provisions in this ordinance is violated shall constitute a separate offense. If a person is convicted of ________ or more distinct violations of this ordinance, the ______________, ________________, ______________ shall, upon due notice to the customer, be authorized to discontinue water service to the premises where such violations occur. Services discontinued under such circumstances shall be restored only upon payment of a reconnection charge, hereby established at $_____________, and any other costs incurred by the City of __________ in discontinuing service. In addition, suitable assurance must be given to the ________________, ________________, or ________________ that the same action shall not be repeated while the ordinance is in effect. Compliance with this ordinance may also be sought through injunctive relief in district court.

Optional Provisions for the Section “Installation, Testing and Maintenance of Backflow Prevention Assemblies”:

All backflow prevention assemblies must be installed and tested in accordance with the manufacturer’s instructions, Manual M14, or the University of Southern California’s Manual of Cross-Connection Control.

Assemblies must be repaired, overhauled, or replaced whenever the assemblies are found to be defective. Original forms recording testing, repairs, and overhaul must be kept and submitted to the City of _________ within five working days of the test, repair or overhaul of each backflow prevention assembly.

No backflow prevention assembly or device may be removed from use, or relocated, or other assembly or device substituted for it, without the approval of the City of __________.

Optional Provision for the Section “Irrigation Systems”:

Backflow prevention assemblies installed on irrigation systems that are not classified as health hazards must be tested every _____________ years or as required by the adopted plumbing code.
Optional Section:

Fire-Hydrant Protection

An approved reduced-pressure principle backflow prevention assembly (RPBA) is the minimum protection for fire-hydrant water meters that are being used for a temporary water supply during any construction or other uses which would pose a potential hazard to the public water supply.

(A) An RPBA must be installed if any solution other than potable water can be introduced into the system.
(B) It is the responsibility of all persons engaging in the use and rental of a fire-hydrant water meter to abide by the conditions of this article. All fire-hydrant meter rentals shall meet the current requirements of the City.