

# Annual Enforcement Report

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*Fiscal Year 2015*



Enforcement Division  
Office of Compliance and Enforcement  
Texas Commission on Environmental Quality

**November 2015**

**The electronic annual enforcement report is available on the TCEQ's Web Page at  
<http://www.tceq.texas.gov/enforcement/reports/AER/annenfreport.html>**

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## **Part One: Report and Tables**



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# Introduction

During the 75<sup>th</sup> Legislative Session, House Bills (HB) 1133 and 1367 added Section (§) 5.126 to the Texas Water Code (TWC) that requires the Texas Commission on Environmental Quality (“TCEQ” or “Commission”) to prepare an electronic enforcement report each year by December 1. The law requires the Commission to report actions for each type of regulatory program. The report is to contain statistical indicators including the number of investigations, number of notices of violation (NOVs) issued, number of enforcement actions, type of enforcement actions, amount of penalties assessed, deferred, or collected, and any other information the Commission determines is relevant. The report must include a comparison of the TCEQ’s enforcement actions for each of the preceding five fiscal years. This report, the nineteenth of its kind, includes information from fiscal years 2010-2015.

The 77<sup>th</sup> Legislative Session added requirements to the Annual Enforcement Report in TWC § 5.756 and re-codified § 5.123 as TWC § 5.126. The new requirements expanded the comparison requirement in the original section by adding a comparative analysis of data evaluating the performance, over time, of the Commission and of entities regulated by the Commission. The Legislature also added a requirement in Texas Health and Safety Code (THSC) § 382.0215 to assess emissions events (EEs), including actions taken by the Commission in response to the EEs. Emissions events are upset events or unscheduled maintenance, startup, or shutdown activities, from a common cause that results in unauthorized emissions of air contaminants.

The 82<sup>nd</sup> Legislative Session added Chapter 28A to the TWC, which pertains to the registration and investigation of certain aggregate production operations (APOs). The law requires the Commission to conduct a physical survey of the state to identify all active APOs and to ensure that each is registered. Additionally, the Commission is required to report the results of the survey, the number and general location of the registered APOs, the number of inspectors trained in multiple areas related to the investigations, the number of investigations conducted, and the results of the investigations.

The TCEQ is the State’s primary and comprehensive environmental regulatory agency. Its mission is to protect our State’s precious human and natural resources consistent with sustainable economic development. The agency regulates more than 571,300 public and private facilities and/or individuals in Texas that affect, or have the potential to significantly affect, the environment. The goal of the agency is clean air, clean water, and the safe management of waste.

## **Glossary of Abbreviations for Programs**

AGRI = water quality facilities such as dairies and concentrated animal feeding operations

AIREI = Air Emissions Inventory

AIR or AQ = air quality

APO = aggregate production operations

DC = dry cleaners

EA = Edwards Aquifer

ER = emergency response

IHW = industrial and hazardous waste

MSW = municipal solid waste, including landfills, tires, medical waste, and used oil/filter facilities

OC or OL = occupational certification/licensing

OSSF = on-site sewage facilities

PST = petroleum storage tanks

PWS = public water supply systems

RAD = radioactive material disposal, including low level radioactive waste and non- oil and gas exploration naturally occurring radioactive material waste disposal

UIC = underground injection control

WQ = water quality, including domestic and industrial wastewater treatment plants, storm water, and sludge use operations (beneficial use sites and transporters)

WR or WATERIGHT = water rights diversion and pumping

WWA = wastewater agriculture, such as dairies and concentrated animal feeding operations

MM = multi-media - any combination of the above

# Annual Enforcement Report

## Fiscal Year 2015

### Executive Summary

Fiscal Year (FY) 2015 was a year of significant accomplishments for the TCEQ. Enforcement efforts resulted in 1,681 administrative orders issued with over \$12.6 million to be paid as penalties and over \$3.2 million to be expended for Supplemental Environmental Projects (SEPs). In addition, there were three administrative orders for which stipulated penalties were collected during FY 2015, one of which became effective in May 2015. These orders contain provisions that require payment of stipulated penalty amounts for specified future violations for the duration of the order. During FY 2015, equal amounts were paid in penalties and SEPs (\$292,000 each for a total of \$584,000) for these three orders. There were an additional 46 civil judicial orders issued through representation by the Texas Office of Attorney General (OAG) that resulted in over \$16.1 million to be paid as penalties.

**Compliance Assistance:** The agency's efforts to provide assistance to the regulated community resulted in helping more than 66,000 Texas small businesses and local governments, keeping them informed about changes and trends in environmental regulations to help them comply. Additionally, TCEQ provided compliance assistance and technical information to nearly 6,500 Texans through agency-sponsored seminars and workshops.

**Investigations and Enforcement:** The agency conducted over 109,000 routine investigations and 4,212 complaint investigations. More than 18,000 NOVs were issued regarding investigations conducted.

The voluntary environmental self-audit program resulted in the receipt, evaluation, and response to 966 notices of intent to audit and 895 disclosures of violation. Those who comply with the conditions of the Texas Environmental, Health, and Safety Audit Privilege Act may qualify for immunity from penalties if swift compliance is achieved.

Along with the agency's administrative and civil enforcement authorities, the TCEQ also partners with the Texas Environmental Enforcement Task Force to pursue criminal enforcement. The agency participated in five search warrants and finalized ten cases with convictions against 11 individuals and two corporations. The finalized cases included 19 felony counts and six misdemeanor counts. These cases resulted in a total of \$16,000 in criminal fines,

over 30 years of community supervision, 156 months of incarceration, 1,050 hours of community service, and over \$23,370,000 in restitution, with \$11,180 ordered to be paid back to the TCEQ Environmental Crimes Unit for laboratory costs.

**Comparative Analysis of Performance:** Most of the enforcement orders issued by the TCEQ were for the water program (818 orders or 47%) and was the result of an increased focus on review and enforcement of self-reported data for public drinking water systems, as well as increased investigations at aggregate production operation sites. Of the 1,690 regulated entities that were issued civil and administrative orders, 489 (29%) had previous enforcement orders and 333 (20%) had previous violations that were the same or similar.

The industry types with the highest percentage of administrative and civil judicial orders issued in FY 2015 were Gasoline Stations with Convenience Stores (18%), Water Supply and Irrigation Systems (17%), Sewage Treatment Facilities (8%), Solid Waste Landfills (3%), and Plumbing, Heating, and Air Conditioning Contractors (3%).

The highest percentage of industry types with previous orders issued included Petroleum Refineries (25%), Water Supply and Irrigation Systems (13%), Basic Organic Chemical Manufacturing (13%), Petrochemical Manufacturing (10%), Gasoline Stations with Convenience Stores (7%), Sewage Treatment Facilities (6%), Natural Gas Liquid Extraction (3%), and Plastics Material and Resin Manufacturing (2%).

The highest percentage of industry types for regulated entities with same or similar violations in prior enforcement orders included Petroleum Refineries (32%), Basic Organic Chemical Manufacturing (17%), Petrochemical Manufacturing (10%), Water Supply and Irrigation Systems (9%), Sewage Treatment Facilities (7%), Gasoline Stations with Convenience Stores (4%), and Natural Gas Liquid Extraction (4%).

**Emissions Events:** The overall number of incidents reported decreased 10% from 4,987 in FY 2014 to 4,512 in FY 2015. The overall number of investigations increased from 1,399 in FY 2014 to 1,652 in FY 2015. The following North American Industrial Classification System (NAICS) code sectors reported the highest number of incidents: 211111 (Crude Petroleum and Natural Gas Extraction), 211112 (Natural Gas Liquid Extraction), and 324110 and 32411 (Petroleum Refineries). The reported quantity of emissions increased 5% from 79,147,373 pounds in FY 2014 to 83,120,470 in FY 2015. Increases were experienced in the Midland, Corpus Christi, and San Antonio regions. In Midland, there were a greater number of incidents reported. Increases in the Corpus Christi region can be attributed to large emissions events and to an increase in oil and gas processing activities. In San Antonio, increases were due to large emissions events.

Sulfur dioxide comprised the greatest quantity (38.3 million pounds) of all the contaminants reported. There was an increase in the amount of highly-reactive volatile organic compounds (HRVOCs) reported from 1,974,877 pounds in FY 2014 to 2,157,001 pounds in FY 2015.

**Aggregate Production Operations:** In FY 2015, the TCEQ completed a total of 4,448 APO surveys and 76 investigations, resulting in the issuance of 136 NOV's and 73 notices of enforcement (NOEs). In addition, 26 administrative orders were issued with \$158,347 to be paid as penalties and \$1,000 to be expended for SEPs.

**Results of Enforcement Actions:** Enforcement Orders resulted in more than 198 million pounds of pollutants eliminated, reduced, or the routes of exposure reduced, and an estimated cost of more than \$131 million that respondents will spend to achieve compliance.

# Chapter 1: The Environmental Toolbox

The TCEQ approaches enforcement as a tool among many available to protect the environment and people while addressing key environmental issues. The agency pursues strong and vigorous enforcement, used within an overall strategy for achieving maximum compliance. The TCEQ uses a broad range of tools to enable and require businesses and governments, large and small, to comply with environmental rules. These tools include on-site and off-site facility assistance, small business and local government assistance, general education events, regulatory workshops, publications and guidance documents, telephone hotlines, and the agency's expansive internet site, as well as traditional investigations and enforcement.

## Event Coordination and Education Activities

The TCEQ also provides educational opportunities and technical assistance through coordinated workshops, seminars, and educational events, including an annual trade fair. During FY 2015, eight agency-sponsored seminars provided technical information and assistance to nearly 6,500 participants.

### **TCEQ-Sponsored Seminars**

- Environmental Trade Fair and Conference
- Dam Safety Workshop for Owners and Operators (three events)
- Water Quality/Stormwater Seminar
- Advanced Air Permitting Seminar
- Public Drinking Water Conference
- Emissions Inventory Seminar

## Assistance to Small Businesses and Local Governments

The TCEQ annually reaches 66,000 Texas small businesses and local governments, keeping them informed about changes and trends in environmental regulations to help them comply. This overall figure included 6,340 direct requests for assistance from small business and local government customers. TCEQ staff also presented compliance information to small businesses and/or local governments at 98 events with over 4,000 attendees. All of this assistance focuses on up-to-date information that ultimately helps the regulated community understand environmental rules and how to comply with those rules.

In addition, 165 small businesses or local governments received on-site compliance assessments through the Compliance Commitment (C2) program, which is a comprehensive, multi-environmental media review of their operations. The C2 recipients correct all compliance deficiencies found during their site visits in exchange for an up to two-year exemption from routine TCEQ investigations. The U.S. Environmental Protection Agency and local enforcement programs in Corpus Christi, Dallas, El Paso, Ft. Worth, Galveston, Houston, Pasadena, Waco, Brazoria County, Fort Bend County, and Montgomery County, also waive scheduled investigations for C2 recipients. At the end of FY 2015, the total number of C2 participants (new and previous members combined) stands at 101 entities.

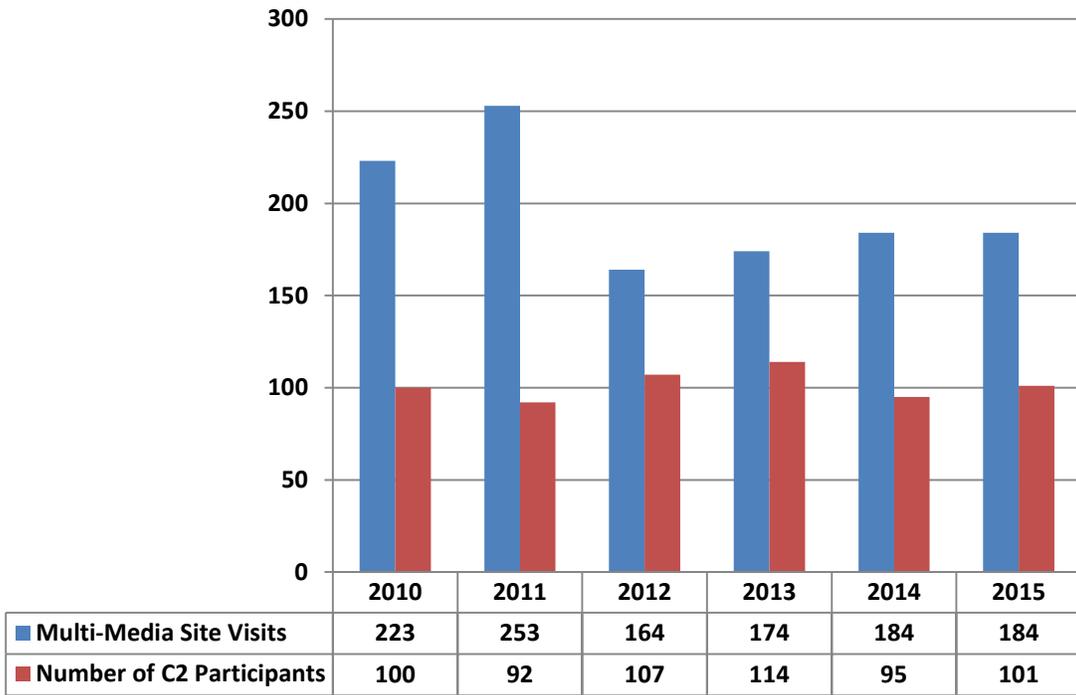
Another effort, the EnviroMentor program, matches participating small businesses and local governments with dedicated environmental professionals who volunteer their time and expertise to help these small entities achieve compliance. In FY 2015, this group tallied 1,216 volunteer hours, and helped 48 small businesses or governments.

Table 1-1 depicts assistance that focused on small businesses and local governments. Figure 1-1 graphically represents Multi-Media Site Visits (site visits to check compliance with all aspects of the environment—air, water, and waste) and total number of C2 recipients over the past six years.

**Table 1-1: Small Business and Local Government Assistance Activities**

FY	Request for Assistance	Multi-Media Site Visits	Number of C2 Participants
2010	6,500	223	100
2011	6,200	253	92
2012	4,950	164	107
2013	5,275	174	114
2014	5,309	184	95
2015	6,340	184	101

**Figure 1-1: Multi-Media Site Visits and Resulting Compliance Commitment Partnerships**



## Investigations and Enforcement Policy

Strong enforcement also means ensuring that enforcement measures are applied in an equitable manner. Equitable treatment requires that violators not come out ahead economically to the disadvantage of those entities that spend substantial amounts of money to comply with the law.

The TCEQ continues to implement the provisions of the enforcement penalty policy which was last revised in April 2014. The cornerstone of this policy emphasizes compliance to protect our citizens from harm, coupled with swift, sure and firm enforcement for those who do not comply.

The TCEQ has the authority to levy penalties in 26 environmental program areas. As of September 1, 2011, penalties can be as much as \$25,000 per day, per violation for most administrative cases and \$25,000 per day, per violation for most civil judicial cases. There are some statutory provisions for certain regulated program activities that authorize different administrative and civil penalty amounts. Some examples of these situations include, but are not limited to:

- Limiting the statutory maximum to \$1,000 (levees and public water supply)
- Limiting the statutory maximum to \$5,000 (occupational licensees, on-site sewage disposal (OSSF), public water utilities, used oil and filters, and water rights)
- Application of a minimum penalty of \$2,500 and maximum penalty of \$25,000 per violation (unauthorized discharges from quarries)
- Application of a strict penalty of \$10,000 per day of violation (with an exception), rather than defining a maximum (rock crusher or concrete plants that perform wet batching, dry batching, or central mixing and are operating without a permit)
- Providing different maximum penalty amounts based upon the particular violation cited (dry cleaners)
- Some statutes provide for a particular penalty amount total per violation, rather than per day (dry cleaners, computer equipment manufacturers)

When determining the penalty amount, the TCEQ considers the nature, circumstances, extent, duration, and gravity of the violation; the severity of impacts to human health and the environment; compliance history; culpability; good faith efforts to comply; economic benefit; deterrence; and other factors as justice may require.

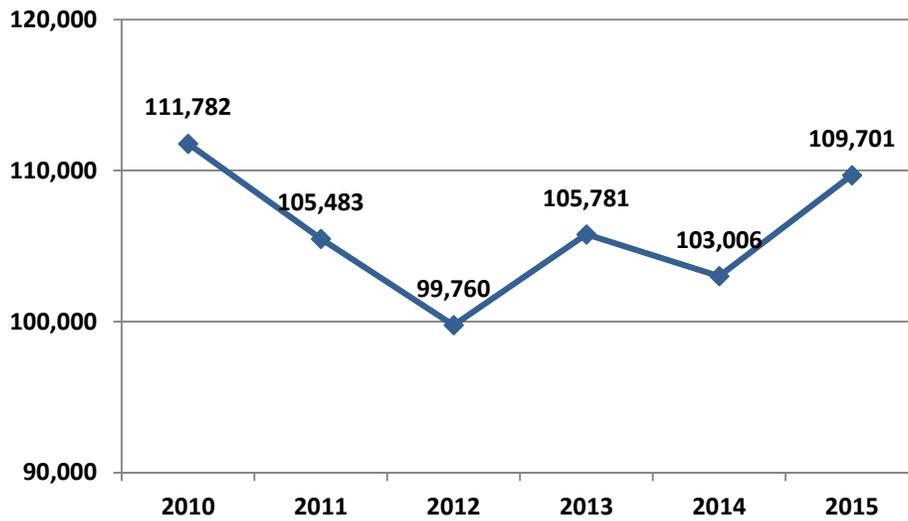
It is important to note that the TCEQ's enforcement activities tend to originate, not in Austin at the Commission's headquarters, but in Texas communities. Scientific, technical, and environmental specialists in the agency's 16 regional offices are responsible for the vast majority of both investigations conducted and complaints investigated.

In FY 2015, the TCEQ conducted over 109,000 investigations, as depicted in Figure 1-2, of which 62,472 were on-site investigations. The agency investigated 4,212 complaints in FY 2015 (see Figure 1-3). For details regarding investigations conducted over the past six years, see Tables T-1A through T-6B in the tables section of this report. For details regarding complaints investigated over the past six years, see Tables T-7 through T-12 in the tables section of this report.

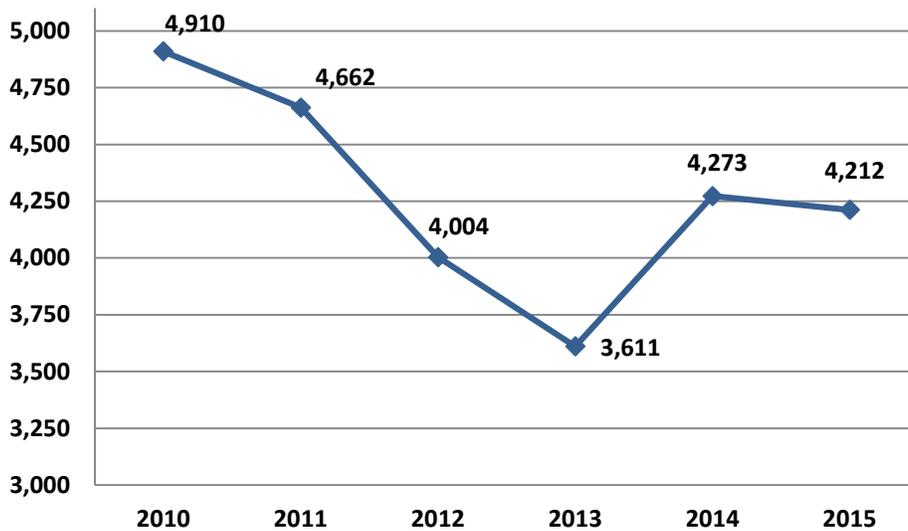
The number of complaint investigations has remained generally consistent over the last two years. The trend in water quality complaint investigations can be attributed to impacts from state-wide drought conditions, population and economic growth, and excessive rain events in certain areas. Drought conditions in the early part of the year and excessive rain events in certain areas in the early part of summer resulted in complaints received regarding water quality and wet weather events. Population and economic growth has also contributed to complaints related to storm water runoff and public water supply systems. The trend in waste complaints may be attributed to population growth and economic development generating construction activities throughout the state.

Advance notification of routine compliance investigations ensures that proper personnel and records will be available at the time of the investigation. However, there are exceptions to advance notification, including those that are complaint related, enforcement follow-up investigations, and other scenarios that warrant an unannounced investigation. The agency provided advance notice for 12,459 distinct on-site investigations and conducted 38,180 unannounced investigations. Please note that the sum of these two numbers will not match the total number of on-site investigations conducted as investigations can include multiple activities, but only be announced once.

**Figure 1-2: Total Investigations by FY**



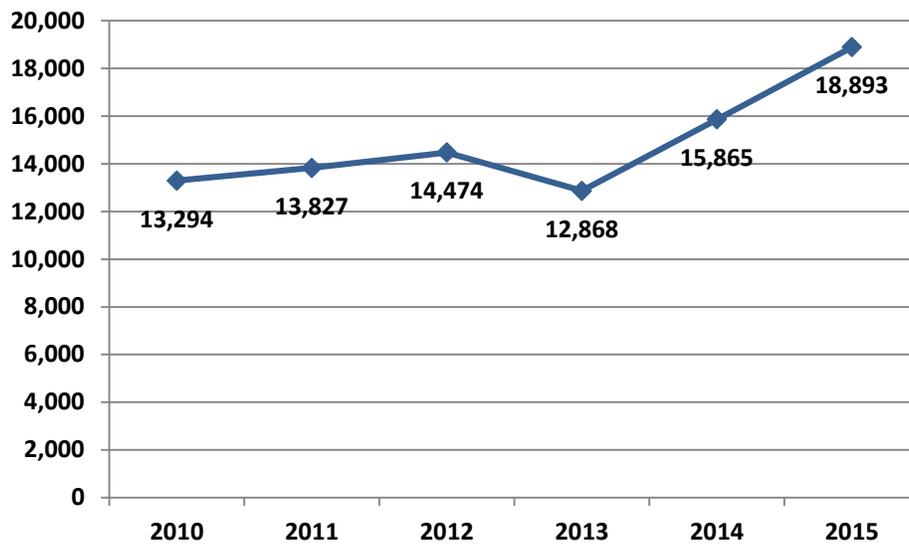
**Figure 1-3: Complaints Investigated by FY**



The most common tool used in Texas to encourage compliance with environmental laws is the “Notice of Violation,” known as the “NOV.” An NOV is sent to an entity when it is determined that an environmental violation may have occurred. The NOV is a multi-purpose, flexible tool that is used thousands of times each year. In many cases it is enough to encourage compliance, thereby halting possible damage to the environment. In other cases it can be the first step in a process that ultimately can result in administrative enforcement, civil enforcement, and/or possible criminal charges for violation of environmental laws. As depicted in Figure 1-4 below, the TCEQ issued 18,893 NOV’s from both the Regional Offices and Central Office in FY 2015.

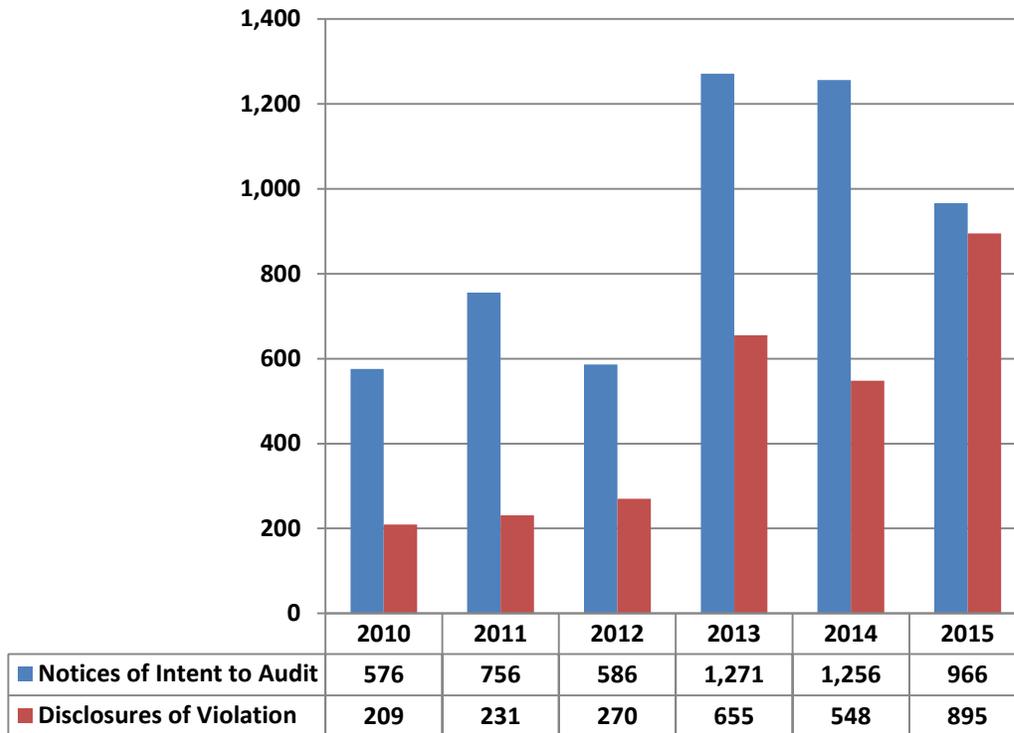
Beginning in FY 2013, the number of NOVs issued from the Central Office Public Drinking Water program has continued to increase. These NOVs are the result of record reviews of self-reported data by public water supply (PWS) systems. The increase is attributed primarily to substantial gains made in streamlining business procedures through the addition of new automated tools for monitoring compliance. Details regarding NOVs issued are included in Tables T-13 through T-24 in the tables section of this report.

**Figure 1-4: Notices of Violation Issued by FY**



The TCEQ's traditional enforcement efforts have been enhanced by voluntary environmental self-audits conducted at facilities under the Texas Environmental, Health, and Safety Audit Privilege Act ("Audit Act"). This legislation encourages businesses and governments subject to environmental regulation to perform comprehensive assessments of compliance with environmental laws, regulations, and permits for their own facilities. Organizations who participate in the Audit Act are required to notify the TCEQ of their intent to self-audit and then fully disclose and resolve violations resulting from the audit. The TCEQ ensures that all violations disclosed under this program are corrected, and, provided that certain conditions of the Audit Act are complied with, the participants in this program may not be subject to civil and administrative penalties. Figure 1-5 depicts a summary of the Notices of Audit and Disclosures of Violation that have been received by the TCEQ from FY 2010 through FY 2015. Beginning in FY 2013, there has been an increase in the number of Notices of Audit (and subsequently, Notices of Disclosures of Violation) from regulated entities associated with oil and gas production.

**Figure 1-5: Summary of Texas Environmental Health and Safety Audit Privilege Act Statistics**



The success of TCEQ’s regulatory assistance and investigation/enforcement programs is revealed in the high percentages of compliance documented following investigations conducted by the TCEQ. Table 1-2 depicts the compliance rates of facilities inspected from FY 2010 through FY 2015.

**Table 1-2: Percent of Facilities Inspected by the TCEQ in Compliance<sup>1</sup>**

	2010	2011	2012	2013	2014	2015
% of inspected air facilities in compliance	97%	97%	97%	98%	98%	98%
% of inspected water facilities in compliance	99%	99%	99%	99%	99%	99%
% of inspected waste facilities in compliance	92%	93%	90%	89%	95%	93%

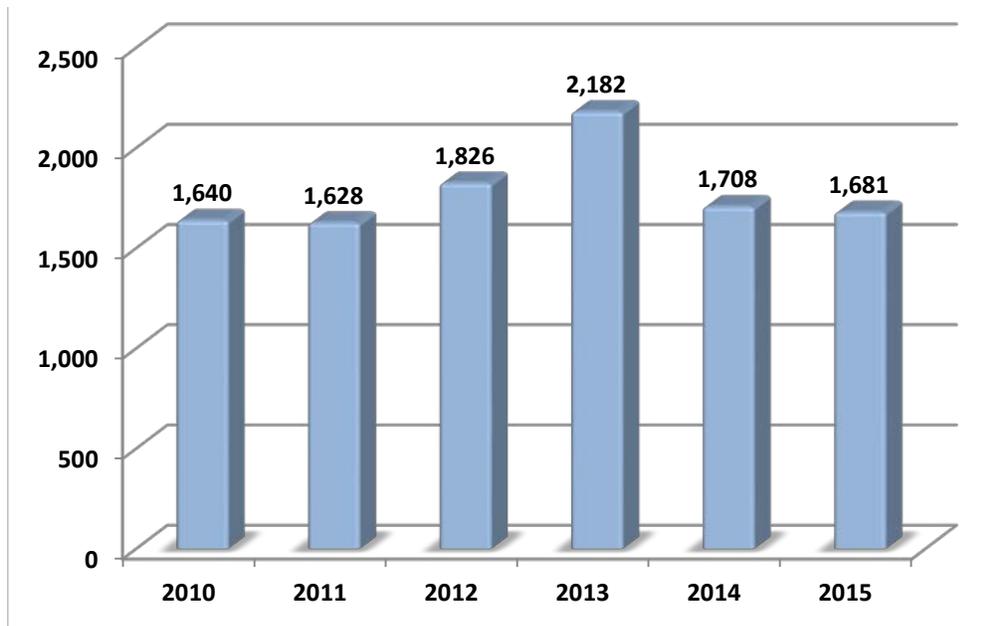
<sup>1</sup> Compliance indicates that enforcement orders, referrals to another agency (OAG, Texas Railroad Commission, etc.) or program (Superfund or criminal investigations, etc.) or compliance agreements were not required following an investigation. Some minor violations may have been noted in the investigations; however, they were corrected within a reasonable period of time, and therefore did not require further enforcement.

## Chapter 2: Dollars and Sense

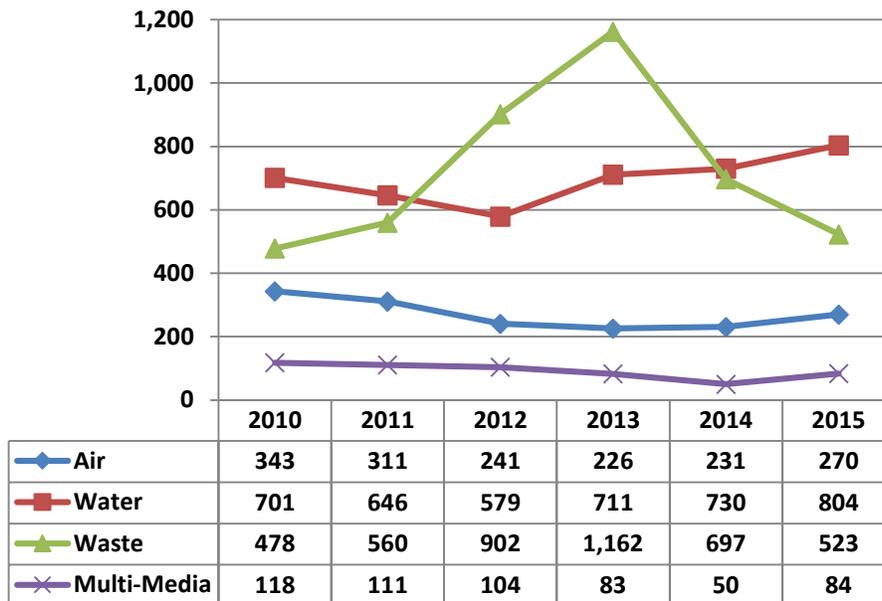
Some of the most familiar indicators for the enforcement activities of a regulatory agency are dollars and cents: How much money did the agency order to be paid in fines? While familiar, this is not necessarily an indication that the agency has been successful. The number of administrative orders issued by the TCEQ varies each year and is dependent upon the violator's willingness to settle a case. If a violator refuses to settle a case, and requests a hearing, the TCEQ must try the case through the administrative hearing process. This is a complex legal process that is similar to trying a case in court and often results in significantly delaying issuance of final orders to allow due process of law.

The TCEQ issued 1,681 administrative orders in FY 2015 (see Figure 2-1). The number of orders issued by the TCEQ has remained relatively high over the years and is expected to remain so in the future due to improvements in efficiencies related to processing enforcement cases. The increase observed in FY 2012 and FY 2013 was due to increased enforcement activity in the petroleum storage tank (PST) program as a result of the Federal Energy Policy Act of 2005.

**Figure 2-1: Total Number of Administrative Orders Issued by FY**



**Figure 2-2: Number of Administrative Orders Issued by Media and FY**



The trend in how issued orders break down by media is dependent mostly on the types of initiatives that are being conducted by the TCEQ. Over the past six years the initiatives have been in different media. For example in FY 2010 in the water media, the agency conducted an initiative targeted at water treatment plants and drinking water systems to ensure that these facilities have emergency generators for a backup power source.

The number of waste orders rose in FY 2011 due to an increase in enforcement activity in the PST program as a result of the Federal Energy Policy Act of 2005. This law requires that each underground storage tank be investigated every three years. In recent years, the number of PST-focused administrative orders has decreased as compliance levels improve.

In FY2015, there was an increased focus on review and enforcement of self-reported data for public drinking water systems, as well as increased investigations at APO sites, resulting in more enforcement actions in the water media.

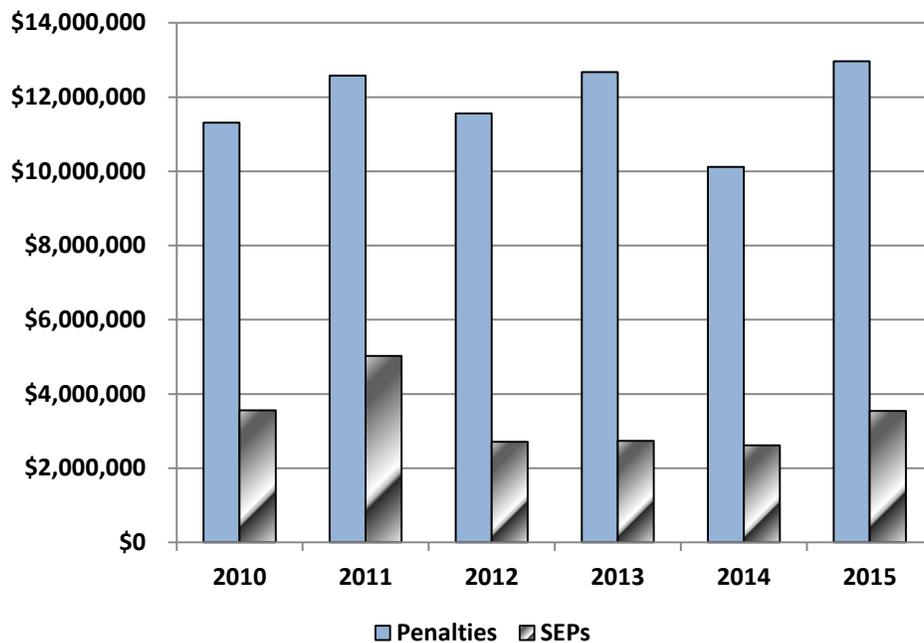
The 1,681 administrative orders issued in FY 2015 required payments of \$12,673,643 in penalties and an additional \$3,249,115 was required to be paid for SEPs. In addition, there were three administrative orders for which stipulated penalties were collected during FY 2015, including one that was issued in May 2015. These orders contain provisions that require payment of stipulated penalty amounts for specified future violations for the duration of the order. During FY 2015, equal amounts were paid in penalties and SEPs (\$292,000 each for a

total of \$584,000) for these three orders. Table 2-1 and Figure 2-3 display the penalty and SEP amounts for the past six years.

**Table 2-1: Summary of Administrative Penalty Amounts and SEPs by FY**

<b>Fiscal Year</b>	<b>Penalties Required to be Paid</b>	<b>SEP Costs</b>	<b>Number of Orders with SEPs</b>
2010	\$11,309,521	\$3,558,484	219
2011	\$12,582,418	\$5,028,126	222
2012	\$11,560,506	\$2,711,108	146
2013	\$12,670,409	\$2,733,988	153
2014	\$10,117,720	\$2,619,315	135
2015	\$12,965,643	\$3,541,115	187

**Figure 2-3: Penalties and SEPs in Administrative Orders by FY**



SEPs are projects approved by the Commission to benefit the local Texas communities where environmental laws and regulations have been violated. Violators are allowed to put a portion of their penalty dollars to work to benefit the environment in the community affected by the violations, rather than paying the entire penalty to the State’s General Revenue Fund. SEP projects that have been approved include the following:

- cleanups of abandoned illegal dump sites,
- community household hazardous waste and tire collections,

- air and water quality monitoring networks,
- wetlands and habitat restoration,
- establishment of nature preserves,
- erosion control,
- placement of ozone air monitoring equipment in schools and local communities,
- installing and/or repairing on-site sewage facilities, municipal sewer lines, and potable water service for low-income households,
- retrofitting of diesel buses to “clean-technology” for school districts,
- energy efficient upgrades and/or retrofits for public buildings or low-income homeowners,
- purchasing alternative fueled equipment to replace diesel and gasoline powered equipment, and
- cleanups of bays and watersheds.

For more information regarding the number of orders issued, programmatic information, and a listing of each of the administrative orders issued by program, see Tables T-25 through T-30 in the tables section of this report, and Appendices 1-12.

If administrative orders and penalties issued by the TCEQ do not achieve environmental compliance, the agency may pursue compliance through the Texas OAG in court. Additionally, there are some cases with such significant violations that the TCEQ refers them to the OAG immediately rather than pursue administrative remedies. During FY 2015, the OAG obtained 46 judicial orders in cases referred from the TCEQ or in which the TCEQ was a necessary and indispensable party. These court orders resulted in \$16,168,632 required to be paid in penalties. Table 2-2 is a summary of the judicial orders that have been issued for the past six years.

**Table 2-2: Civil Judicial Order Penalty Amounts and SEPs by FY**

<b>Fiscal Year</b>	<b>Number of Civil Judicial Orders</b>	<b>Civil Penalties Required to be Paid</b>	<b>SEP Costs<sup>2</sup></b>
2010	27	\$2,116,960	\$1,345,000
2011	29	\$4,377,664	\$115,000
2012	48	\$57,499,142	\$121,500
2013	43	\$10,832,280	\$138,750
2014	23	\$6,178,770	\$0
2015	46	\$16,168,632	\$0

For more information regarding the number of judicial orders issued, programmatic information, and a listing of each of the judicial orders issued by program, see Tables T-31 through T-36 in the tables section of this report and Appendices 13-18.

A strong enforcement program, combined with a strong regulatory assistance program, ensures that the public and environment receive the benefits of the protections embodied in environmental statutes, regulations, and permits.

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<sup>2</sup> Beginning in FY 2014, the OAG no longer approves SEPs.

## Chapter 3: The Criminal Element

### Texas Environmental Enforcement Task Force (the “Task Force”)

Texas is a leader and national model in the investigation and prosecution of environmental crime. Created in 1991, the Task Force has included participants from more than 25 local, state, and federal agencies. The TCEQ and particularly the Environmental Crimes Unit play a key investigative role and head the task force. Members of the Task Force include the TCEQ, the Governor’s Office, the Texas Parks and Wildlife Department, the OAG, the Texas Railroad Commission, and the General Land Office. These agencies coordinate activities with the U.S. Environmental Protection Agency’s Criminal Investigation Division, the U.S. Attorney’s Office, the Federal Bureau of Investigations, and local district and county attorneys. Numerous other federal, state, and local agencies also participate in Task Force investigations. The TCEQ Environmental Crimes Unit with assistance from other members of the Task Force also conducts environmental crimes enforcement training for local law enforcement officers.

### Criminal Investigations

The agency participated in five search warrants and finalized ten cases with convictions against 11 individuals and two corporations during FY 2015 (see Table 3-1). The finalized cases included 19 felony counts and six misdemeanor counts. These cases resulted in a total of \$16,000 in criminal fines, 30 years of community supervision, 156 months of incarceration, 1,050 hours of community service, and over \$23,370,000 in restitution. \$11,180 of the restitution was ordered to be paid to the TCEQ Environmental Crimes Unit for laboratory costs. In addition, almost \$150,000 was spent in remediation costs at two sites. Two individuals were ordered to stop performing emissions testing as part of their plea agreements.

**Table 3-1: Summary of Criminal Investigation Information by FY**

<b>FY</b>	<b>Number of Search Warrants</b>	<b>Number of Cases Resulting in Convictions</b>	<b>Number of Convictions Against Individuals</b>	<b>Number of Convictions Against Corporations</b>	<b>Total Number of Convictions</b>	<b>Number of Felony Counts</b>	<b>Number of Misdemeanor Counts</b>
2010	4	12	11	4	15	30	11
2011	8	22	18	9	27	115	11
2012	8	16	19	5	24	17	12
2013	2	12	13	9	22	19	12
2014	7	27	21	12	33	18	43
2015	5	10	11	2	10	19	6

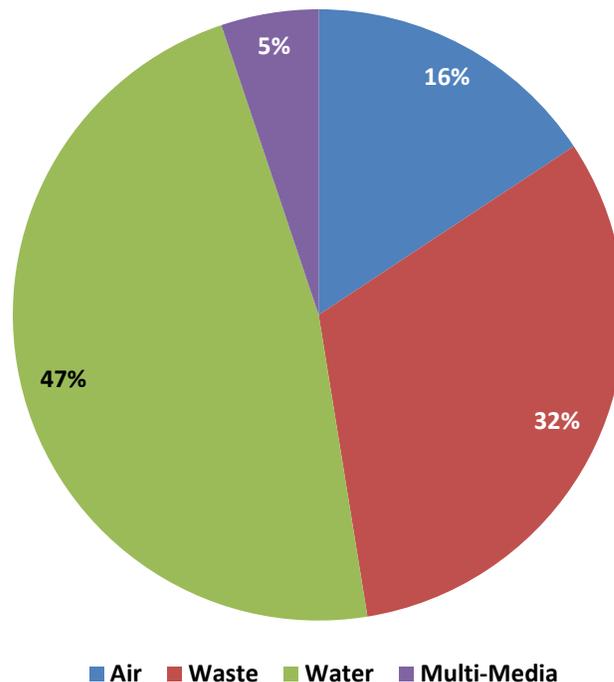
## Chapter 4: Comparative Analysis of Performance

As indicated in the introduction to this report, the 77<sup>th</sup> Legislature added additional requirements to the Annual Enforcement Report, which were codified in TWC § 5.756. The requirements expanded the comparison by adding a comparative analysis of data evaluating the performance, over time, of the Commission and of entities regulated by the Commission. This section of the report addresses the comparison of entities regulated by the Commission. An analysis has been performed on the types of businesses for all of the orders issued, orders issued to regulated entities with prior orders, orders issued to regulated entities with the same or similar violations, the violations included in the orders (including classification of the severity of the violations), and the size of businesses or municipalities that had orders issued during the fiscal year.

### Comparison of Orders Issued by Media Type

In FY 2015, the TCEQ issued 1,681 administrative orders and assisted the OAG in obtaining 46 civil judicial orders. Figure 4-1 displays the percentage of orders issued during FY 2015 by media type (air, waste, water, and multi-media).

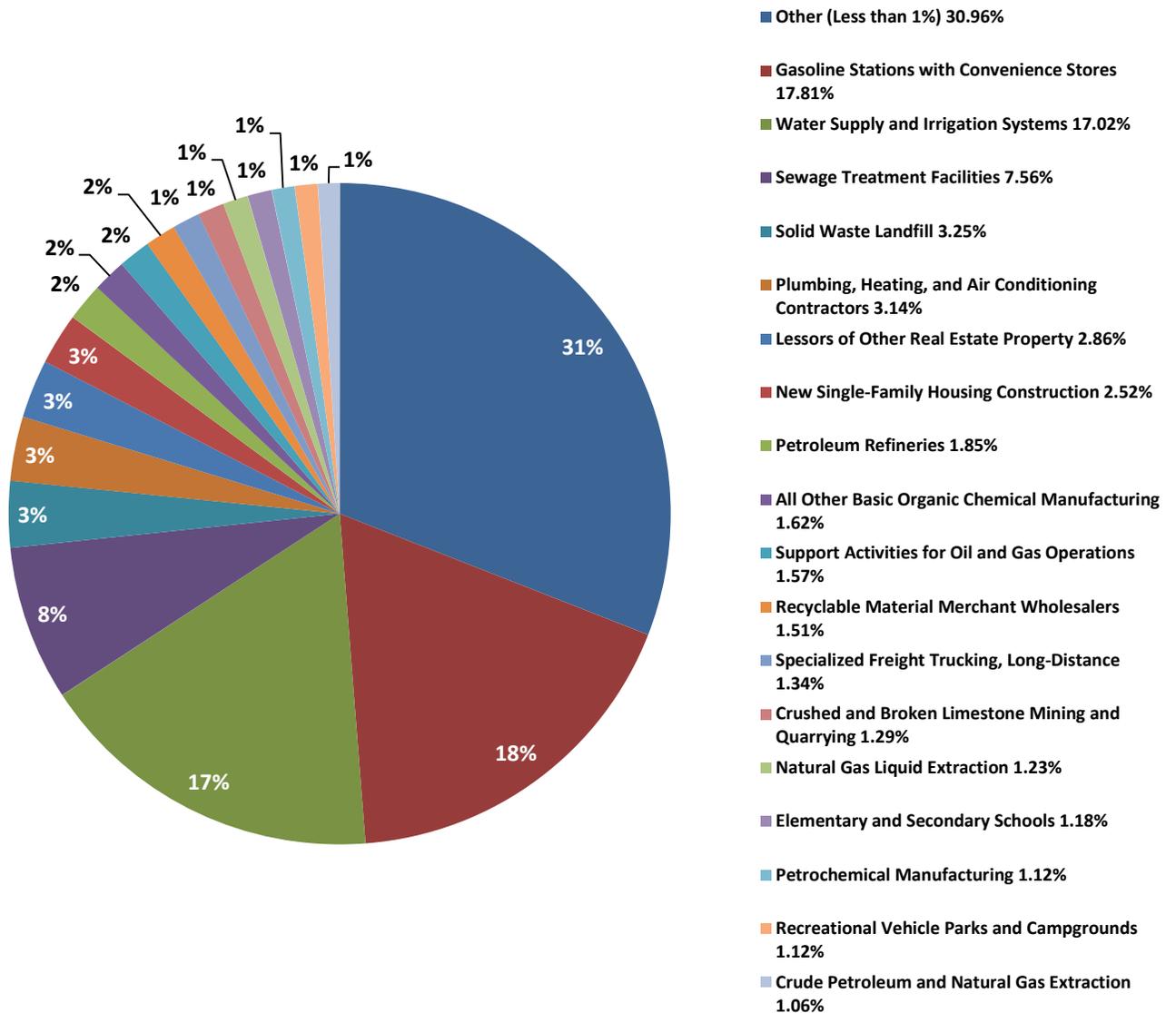
**Figure 4-1: Percent of Orders Issued by Media Type in FY 2015**



## Comparison of Orders Issued by Industry Type

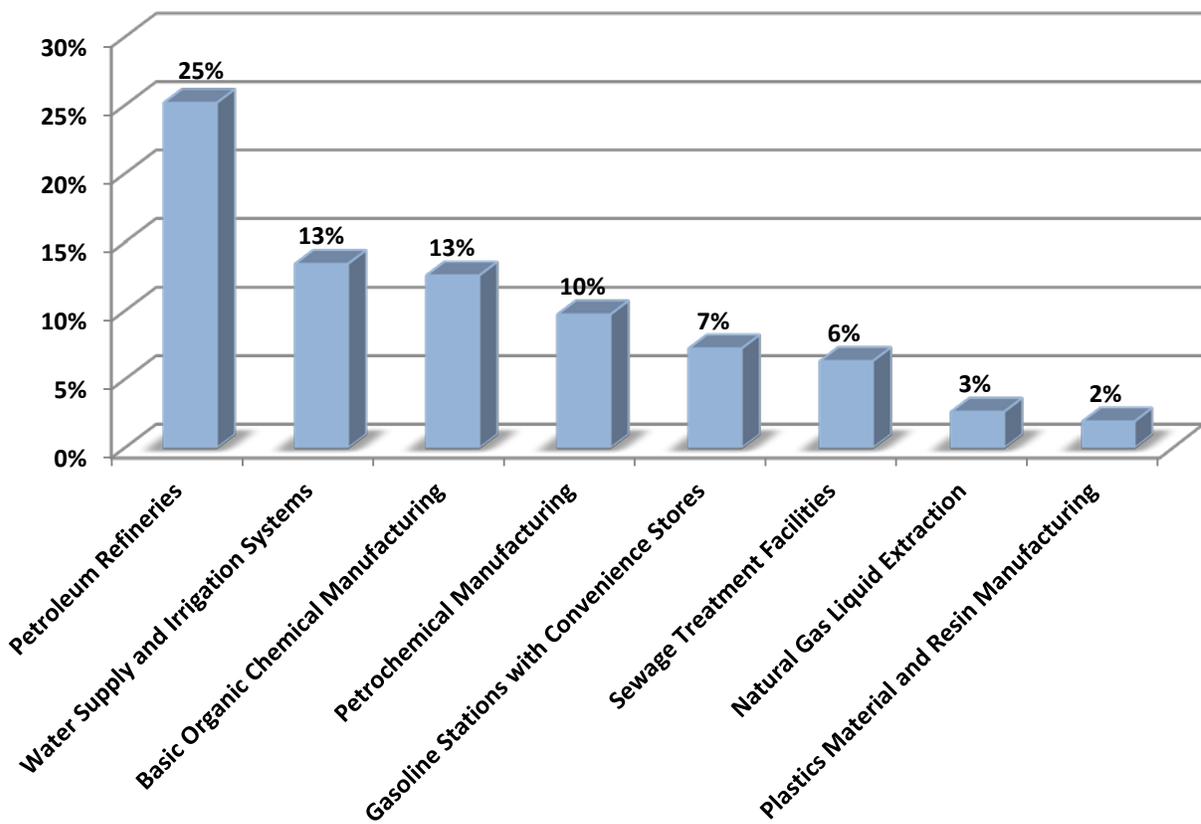
Figure 4-2 depicts the percent of regulated entities with orders issued for FY 2015 based upon the North American Industry Classification System (NAICS). The industry types with the highest percentage of administrative and civil judicial orders issued in FY 2015 were Gasoline Stations with Convenience Stores, Water Supply and Irrigation Systems, Sewage Treatment Facilities, Solid Waste Landfills, and Plumbing, Heating, and Air Conditioning Contractors (see Figure 4-2 for the entire summary). Thirty-one percent of the regulated entities with orders issued represented NAICS categories of less than one percent.

**Figure 4-2: Percent of Industry Types with Orders Issued in FY 2015**



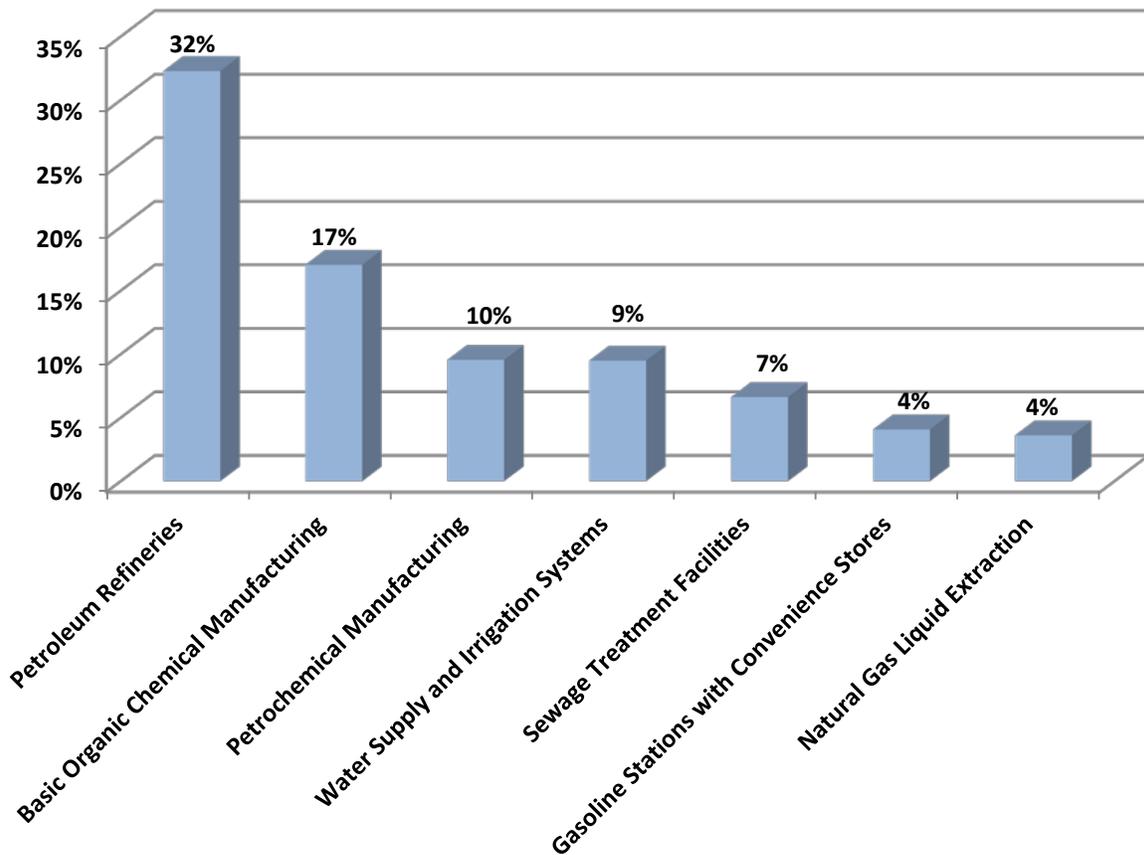
Of the 1,690 regulated entities that were issued civil and administrative orders, 489 (29%) had previous enforcement orders and 333 (20%) had previous violations that were the same or similar. The highest percentage of industry types with previous orders issued included Petroleum Refineries, Water Supply and Irrigation Systems, Basic Organic Chemical Manufacturing, Petrochemical Manufacturing, Gasoline Stations with Convenience Stores, Sewage Treatment Facilities, Natural Gas Liquid Extraction, and Plastics Material and Resin Manufacturing (see Figure 4-3).

**Figure 4-3: Percent of Industry Types with Previous Orders**



The highest percentage of industry types for regulated entities with same or similar violations included in prior enforcement orders were Petroleum Refineries, Basic Organic Chemical Manufacturing, Petrochemical Manufacturing, Water Supply and Irrigation Systems, Sewage Treatment Facilities, Gasoline Stations with Convenience Stores, and Natural Gas Liquid Extraction (see Figure 4-4).

**Figure 4-4: Percent of Industry Types with Same or Similar Violations**

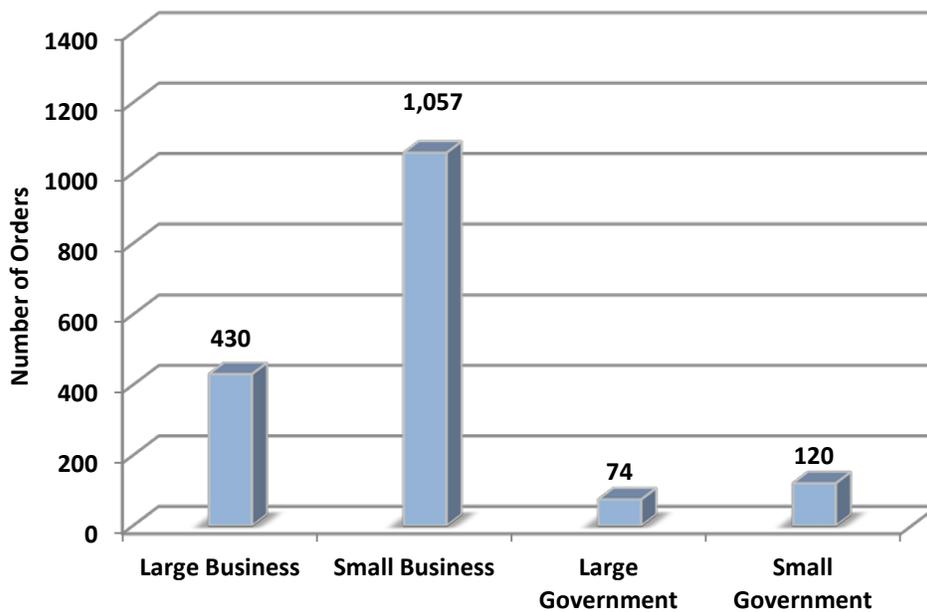


## Comparison of Orders Issued by Regulated Entity Size

Enforcement orders address regulated entities of varying sizes. For administrative orders issued in FY 2015, 63% were issued to small businesses, 26% were issued to large businesses, 4% were issued to large governments, and 7% were issued to small governments. Figure 4-5 depicts the breakdown of the size of business or local government with orders issued during FY 2015.

A small business is defined as any person, firm, or business that employs fewer than 100 full-time employees. A small government is defined as a city with a population of less than 5,000 or a county with a population of less than 25,000.

**Figure 4-5: Size of Regulated Entities with Administrative Orders Issued in FY 2015**



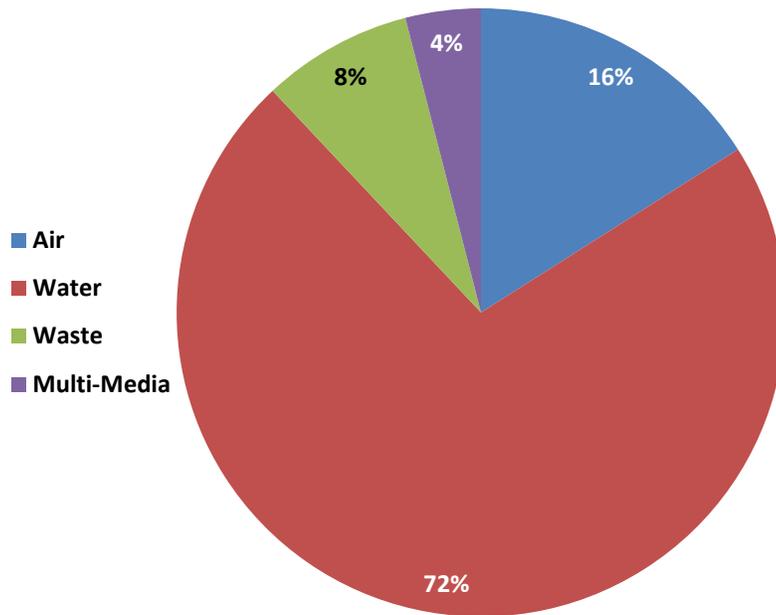
## Comparison of Citations in Orders Issued

Of the 1,726 civil and administrative orders issued in FY 2015, there were 6,649 violations alleged with 13,510 citations of rules, statutes, permits, or orders. Of all of the citations documented, 90% were for violations of rules or statutes, 9% were for violations of permit provisions, and 1% was for violations of orders issued. Table 4-1 lists the highest percentage of rule or statutory citations included in orders issued in FY 2015. Figure 4-6 depicts the most frequently cited rules or statutes by Media Type.

**Table 4-1: Percent of Citations in Orders Issued in FY 2015**

<b>Table 4-1: Percent of Citations in Orders Issued</b>		
<b>Media</b>	<b>Section of Rule Cited</b>	<b>Percent</b>
Water	30 TAC §290.110 – Disinfectant Residuals	18%
Water	30 TAC §290.122 – Public Notification	13%
Water	30 TAC §290.117 – Regulation of Lead and Copper	9%
Air	THSC §382.085 – Unauthorized Emissions Prohibited	7%
Water	30 TAC §290.106 – Inorganic Contaminants	6%
Water	THSC §341.0315 – Public Drinking Water Supply System Requirements	5%
Water	30 TAC §290.109 – Microbial Contaminants	5%
Water	30 TAC §290.46 – Minimum Acceptable Operating Practices for Public Drinking Water Systems	5%
Waste	TWC §26.3475 – Release Detection Requirements; Spill and Overfill Prevention; Corrosion Protection	5%
Multi-Media	30 TAC §305.125 – Consolidated Permits: Standard Permit Conditions	4%
Air	30 TAC §122.143 – General Terms and Conditions of Permits	4%
Waste	30 TAC §334.50 – Release Detection	3%
Water	TWC §26.121 – Unauthorized Discharges Prohibited	3%
Air	30 TAC §116.115 – General and Special Conditions of New Source Review Permits	3%
Water	30 TAC §290.274 – Report Delivery and Recordkeeping	2%
Water	30 TAC §290.111 - Surface Water Treatment	2%
Water	30 TAC §290.113 - Stage 1 Disinfection Byproducts (TTHM and HAA5)	2%
Water	30 TAC §290.108 - Radionuclides Other than Radon	2%
Air	30 TAC §101.20 - Compliance with Environmental Protection Agency Standards	1%
Water	30 TAC §290.115 - Stage 2 Disinfection Byproducts (TTHM and HAA5)	1%
<b>Additional citations of less than 1% frequency are not included in this table.</b>		

**Figure 4-6: Percent of Most Frequently Cited Rules or Statutes by Media**



**Note:** Figure 4-6 is an analysis only of the data in Table 4-1 and does not include citations that were of less than 1% frequency.

### **Compliance History Program**

HB 2912, 77<sup>th</sup> Legislative Session, 2001, required the Commission to "develop a uniform standard for evaluating compliance history." This legislation placed additional requirements on the TCEQ to track and consider the compliance history of businesses and industries when issuing permits, assessing penalties, considering whether to conduct unannounced investigations, and deciding whether a regulated entity can participate in innovative environmental programs.

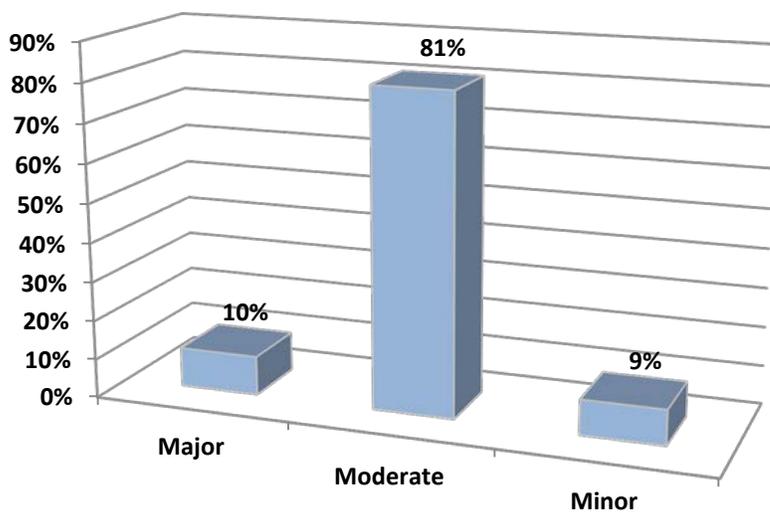
HB 2694, 82<sup>nd</sup> Legislative Session, 2011, required the Commission to make changes to the compliance history rule. In 2012, the TCEQ adopted revisions to 30 Texas Administrative Code (TAC) Chapter 60 (Compliance History) to implement the changes required by HB 2694. These revisions allowed the Commission to use new standards instead of the previous uniform standard for evaluating and using compliance history. In addition, the adopted rule modified the components and formula of compliance history in order to provide a more accurate measure of regulated entities' performance and make compliance history a more effective regulatory tool.

Regulated entities are classified using a three-tiered rating system. “High” performers are those with an above-average compliance record. “Satisfactory” performers have generally complied with environmental regulations, and “Unsatisfactory” performers have a below-average record. By law, unsatisfactory performers cannot receive a flexible permit or a wastewater general permit. They also are not allowed to participate in innovative programs or to receive notices of scheduled compliance investigations.

The program sets up a point system for various violations, criminal convictions, and federal orders. These points may be offset by mitigating factors, such as implementation of a voluntary pollution-reduction program or voluntary disclosure of violations. The point system is based on several factors, including whether a documented violation of an applicable legal requirement is of major, moderate, or minor significance. The Commission’s rationale for categorizing the violations as either major, moderate, or minor, is based on its experience in evaluating the severity of various violations and their impacts, or potential impacts, to human health and the environment.

Figure 4-7 depicts the percentage of major, moderate and minor violations included in orders issued in FY 2015. The highest percentage of violations was of moderate severity.

**Figure 4-7: Severity of Violations Included in Orders Issued in FY 2015**



## **Chapter 5: Assessment of Emissions Events (EEs)**

### **Background**

Subchapter F of 30 TAC Chapter 101 relating to EEs and Scheduled Maintenance, Startup, and Shutdown (MSS) Activities contains the regulatory requirements relating to EEs, opacity events, and scheduled MSS activities. The rule also incorporates the statutory requirements of THSC §§ 382.0215 and 382.0216. For clarification, EEs are upset events or unscheduled MSS activities, from a common cause that result in unauthorized emissions of air contaminants. Scheduled MSS activities are those activities performed by the regulated entity owner or operator that require prior notice and a final report as required by TCEQ rules.

## Reported Incidents

The overall number of incidents reported under 30 TAC Chapter 101, Subchapter F decreased from 4,987 in FY 2014 to 4,512 in FY 2015, a 10% decrease. The largest decrease in reported incidents was in the number of excess opacity incidents. Opacity can be described as emissions that are visible to the eye, such as smoke. See Table 5-1 below for the complete set of data by TCEQ region related to the total number of reported incidents for FY 2015.

**Figure 5-1: Total Number of Incidents Reported Statewide**

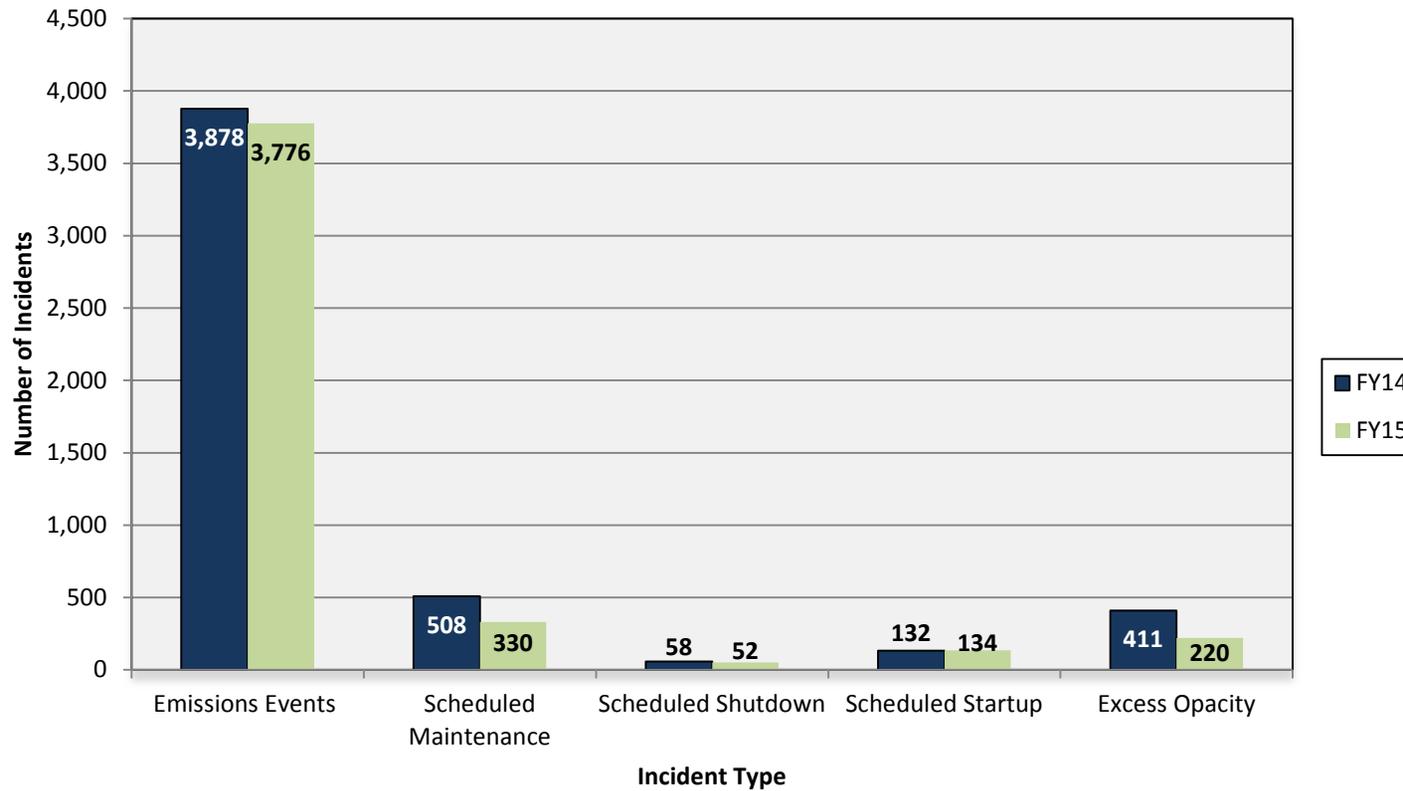


Table 5-1: Total Number of Incidents Reported Statewide under 30 TAC Chapter 101, Subchapter F

Region	Emissions Events	Scheduled Maintenance	Scheduled Shutdown	Scheduled Startup	Excess Opacity	Total
REGION 01 - AMARILLO	127	29	5	4	16	181
REGION 02 - LUBBOCK	178	31	0	0	1	210
REGION 03 - ABILENE	41	5	0	0	6	52
REGION 04 - DFW METROPLEX	53	49	0	0	13	115
REGION 05 - TYLER	72	12	1	33	38	156
REGION 06 - EL PASO	4	0	0	0	0	4
REGION 07 - MIDLAND	2311	41	10	5	42	2,409
REGION 08 - SAN ANGELO	83	7	4	0	1	95
REGION 09 - WACO	22	2	0	5	27	56
REGION 10 - BEAUMONT	188	22	1	9	19	239
REGION 11 - AUSTIN	14	1	0	0	13	28
REGION 12 - HOUSTON	328	77	14	46	28	493
REGION 13 - SAN ANTONIO	82	4	0	4	13	103
REGION 14 - CORPUS CHRISTI	191	44	17	28	2	282
REGION 15 - HARLINGEN	11	3	0	0	0	14
REGION 16 - LAREDO	71	3	0	0	1	75
<b>Total</b>	<b>3,776</b>	<b>330</b>	<b>52</b>	<b>134</b>	<b>220</b>	<b>4,512</b>

## Reported Incidents continued

Table 5-2 below displays data related to incidents reported by Industry Classification. These ten Industry Classifications reported the most incidents during FY 2015.

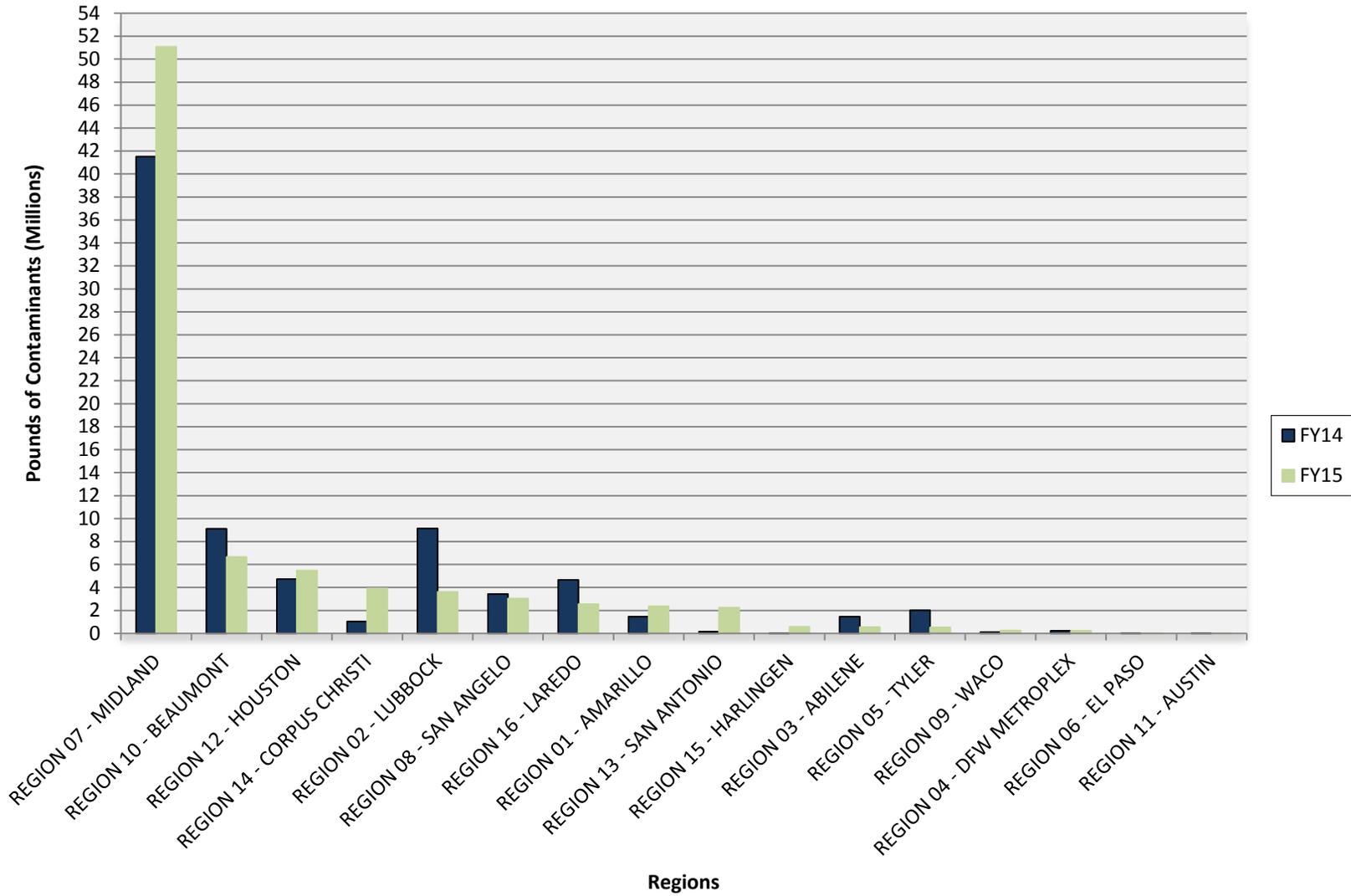
**Table 5-2: Incidents Reported by Industry Classification under 30 TAC Chapter 101, Subchapter F**

<b>North American Industrial Classification System (NAICS)</b>	<b>Emissions Events</b>	<b>Scheduled Maintenance</b>	<b>Scheduled Startup</b>	<b>Scheduled Shutdown</b>	<b>Excess Opacity</b>	<b>Total</b>
<b>21111: Crude Petroleum and Natural Gas Extraction</b>	1,755	37	5	5	4	1,806
<b>21112: Natural Gas Liquid Extraction</b>	515	50	3	17	50	635
<b>32411 and 324110: Petroleum Refineries</b>	294	18	7	15	22	356
<b>325199: All Other Basic Organic Chemical Manufacturing</b>	144	15	7	20	13	199
<b>325188: All Other Basic Inorganic Chemical Manufacturing</b>	46	27	16	21	4	114
<b>325120: Industrial Gas Manufacturing</b>	95	15	0	0	0	110
<b>221112: Fossil Fuel Electric Power Generation</b>	19	4	1	9	58	91
<b>48621 and 486210: Pipeline Transportation of Natural Gas</b>	67	8	3	0	1	79
<b>331311: Alumina Refining</b>	54	0	0	0	0	54
<b>325181: Alkalies and Chlorine Manufacturing</b>	27	18	1	6	0	52

## **Total Quantity of Emissions**

The reported quantity of emissions from FY 2014 to FY 2015 increased from 79,147,373 pounds to 83,120,470 pounds, which is a 5 % increase. Increases were experienced in the Midland, Corpus Christi, and San Antonio regions. In Midland, there were a greater number of incidents reported. Increases in Corpus Christi can be attributed to large emissions events and to an increase in oil and gas processing activities. In San Antonio, increases were due to large emissions events. The Beaumont, Lubbock and Laredo regions experienced a decrease in emissions, which was primarily due to a decrease in activity, the addition of control devices, or large events that had occurred in those regions during FY 2014. See Table 5-3 below for the complete set of data related to pounds of contaminants emitted from regulated entities in each TCEQ region for FY 2015.

**Figure 5-2: Total Quantity of Emissions Reported Statewide**



**Table 5-3: Total Quantity of Emissions (in pounds) Reported Statewide under 30 TAC Chapter 101, Subchapter F**

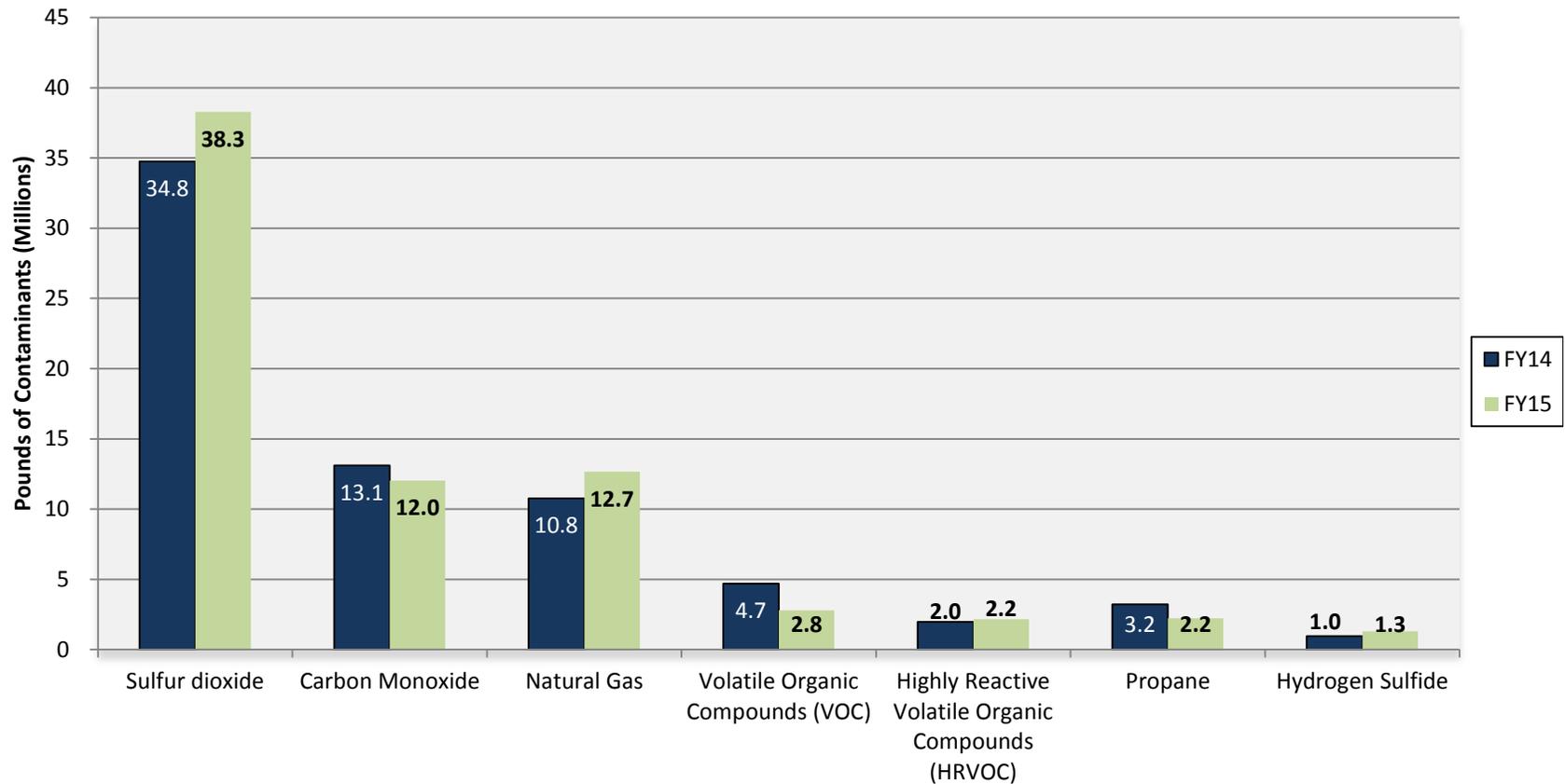
<b>Region</b>	<b>Emissions Events</b>	<b>Scheduled Maintenance</b>	<b>Scheduled Startup</b>	<b>Scheduled Shutdown</b>	<b>Total</b>
<b>REGION 07 - MIDLAND</b>	48,983,442	1,244,064	548,254	301,743	<b>51,077,503</b>
<b>REGION 10 - BEAUMONT</b>	2,951,983	557,440	2,906,397	245,701	<b>6,661,521</b>
<b>REGION 12 - HOUSTON</b>	3,600,086	608,489	1,135,471	138,381	<b>5,482,427</b>
<b>REGION 14 - CORPUS CHRISTI</b>	3,314,344	295,868	273,595	38,729	<b>3,922,536</b>
<b>REGION 02 - LUBBOCK</b>	3,268,966	357,539	0	0	<b>3,626,505</b>
<b>REGION 08 - SAN ANGELO</b>	2,752,429	209,870	0	68,091	<b>3,030,390</b>
<b>REGION 16 - LAREDO</b>	2,548,142	6,793	0	0	<b>2,554,935</b>
<b>REGION 01 - AMARILLO</b>	1,460,178	421,001	472,388	17,218	<b>2,370,785</b>
<b>REGION 13 - SAN ANTONIO</b>	2,154,009	82,223	289	0	<b>2,236,521</b>
<b>REGION 15 - HARLINGEN</b>	577,278	3	0	0	<b>577,281</b>
<b>REGION 03 - ABILENE</b>	525,641	22,970	0	0	<b>548,611</b>
<b>REGION 05 - TYLER</b>	458,296	36,265	26,812	41	<b>521,414</b>
<b>REGION 09 - WACO</b>	229,653	2,069	25,314	0	<b>257,036</b>
<b>REGION 04 - DFW METROPLEX</b>	215,514	13,957	0	0	<b>229,471</b>
<b>REGION 06 - EL PASO</b>	18,101	0	0	0	<b>18,101</b>
<b>REGION 11 - AUSTIN</b>	4,730	703	0	0	<b>5,433</b>
<b>Total</b>	<b>73,062,792</b>	<b>3,859,254</b>	<b>5,388,520</b>	<b>809,904</b>	<b>83,120,470</b>

Please note the quantities of emissions are rounded to the nearest pound. Opacity events are not included as opacity is reported as a percentage.

### Top Contributing Air Contaminants

Sulfur Dioxide comprised the greatest quantity (38.3 million pounds) of all the contaminants reported under 30 TAC Chapter 101, Subchapter F for FY 2015. For this discussion, the term highly reactive volatile organic compound (HRVOC) is used to describe the following groups of contaminants: Butadienes, Butenes, Ethylene, and Propylene. There was an increase in the amount of HRVOCs reported from 1,974,877 pounds in FY 2014 to 2,157,001 pounds in FY 2015. See Table 5-4 below for the complete set of data related to the major contaminants released and reported in FY 2015.

Figure 5-3: Top Contributing Air Contaminants Reported Statewide



**Table 5-4: Total Quantity of Air Contaminants (in pounds) Reported Statewide under 30 TAC Chapter 101, Subchapter F**

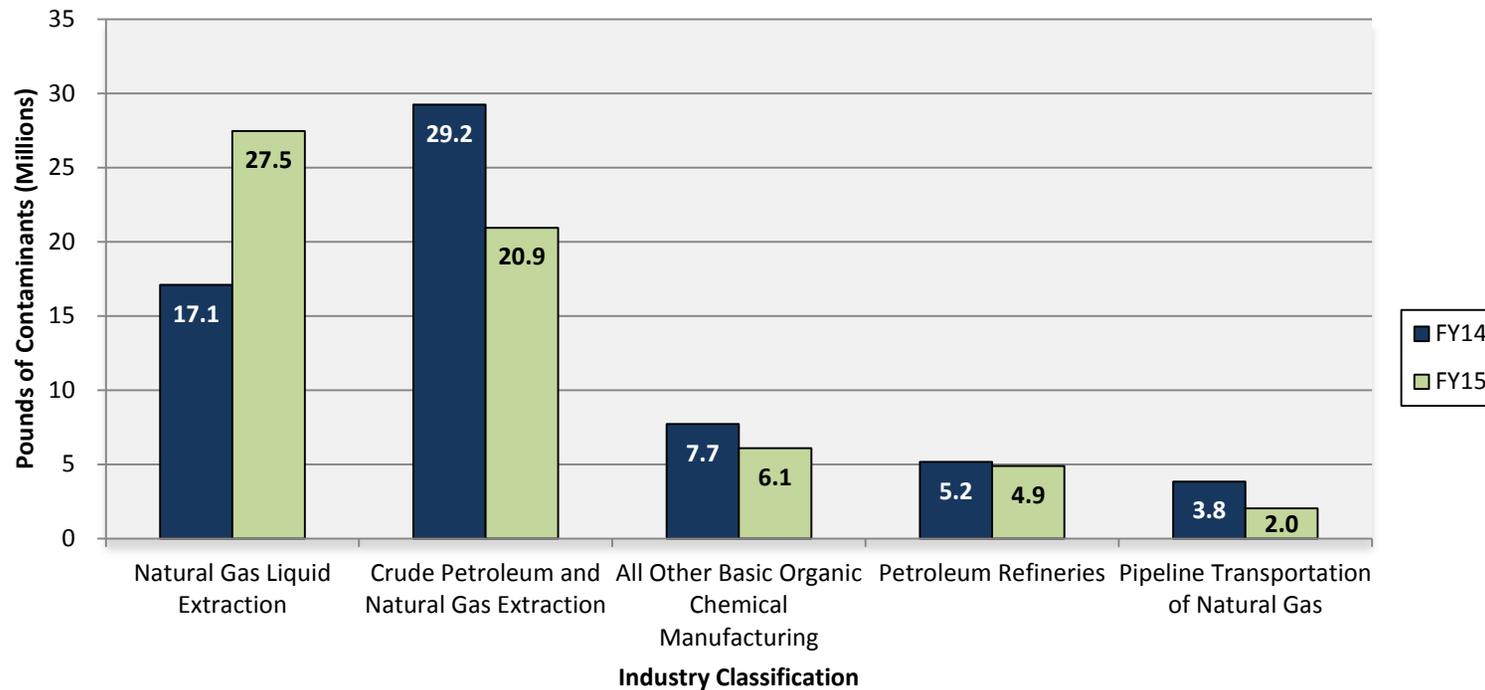
<b>Contaminant Reported</b>	<b>Emissions Events</b>	<b>Scheduled Maintenance</b>	<b>Scheduled Startup</b>	<b>Scheduled Shutdown</b>	<b>Total</b>
<b>Sulfur dioxide</b>	36,300,613	1,421,251	549,709	8,574	<b>38,280,147</b>
<b>Carbon Monoxide</b>	7,429,977	1,042,300	3,316,442	241,026	<b>12,029,745</b>
<b>Natural Gas</b>	12,432,845	211,038	15,250	177	<b>12,659,310</b>
<b>Volatile Organic Compounds (VOC)</b>	2,793,962	8,230	2,721	0	<b>2,804,913</b>
<b>Propane</b>	1,849,011	193,349	97,599	81,090	<b>2,221,049</b>
<b>Hydrogen Sulfide</b>	1,270,600	15,168	5,143	73	<b>1,290,984</b>
<b>Highly Reactive Volatile Organic Compounds (HRVOC)</b>					
Butadienes	356,814	17,872	16,758	3,707	<b>395,150</b>
Butenes	97,645	18,587	16,809	1,597	<b>134,638</b>
Ethylene	704,945	182,788	206,724	82,396	<b>1,176,852</b>
Propylene	220,722	155,775	60,477	13,386	<b>450,360</b>
<b>HRVOC Total</b>	<b>1,380,125</b>	<b>375,021</b>	<b>300,768</b>	<b>101,087</b>	<b>2,157,001</b>

Please note the quantities of emissions are rounded to the nearest pound.

## Quantity of Contaminants by Industry Classification

The top source category for reported contaminants statewide in FY 2015 was Natural Gas Liquid Extraction at 27,455,435 pounds, which is 41% of the total air contaminants reported. The second largest quantity of reported contaminants was from Crude Petroleum and Natural Gas Extraction at 20,936,115 pounds, and was approximately 31% of the total air contaminants reported. All Other Basic Organic Chemical Manufacturing industries reported the third highest quantity at 6,076,094 pounds, and 9% of the total air contaminants reported. See Table 5-5 below for the five Industrial Classifications that reported the highest quantity of contaminants during FY 2015.

Figure 5-4: Quantity of Contaminants by Industrial Classification



**Table 5-5: Total Quantity of Air Contaminants (in pounds) by NAICS Code Reported Statewide under 30 TAC Chapter 101, Subchapter F**

<b>North American Industrial Classification System</b>	<b>Emissions Events</b>	<b>Scheduled Maintenance</b>	<b>Scheduled Startup</b>	<b>Scheduled Shutdown</b>	<b>Total</b>
<b>211112: Natural Gas Liquid Extraction</b>	25,456,682	1,273,766	76,154	648,833	<b>27,455,435</b>
<b>211111: Crude Petroleum and Natural Gas Extraction</b>	20,600,323	265,170	45,308	25,314	<b>20,936,115</b>
<b>325199: All Other Basic Organic Chemical Manufacturing</b>	2,429,063	608,870	304,120	2,734,041	<b>6,076,094</b>
<b>324110 and 32411: Petroleum Refineries</b>	3,853,568	9,194	91,448	929,813	<b>4,884,023</b>
<b>486210 and 48621: Pipeline Transportation of Natural Gas</b>	1,761,616	262,763	14,919	0	<b>2,039,298</b>

Please note the quantities of emissions are rounded to the nearest pound. Opacity events are not included as opacity is reported as a percentage.

## Incidents Investigated

The number of investigations conducted under 30 TAC Chapter 101 increased from 1,399 in FY 2014 to 1,652 in FY 2015. Beginning in FY 2015, additional abbreviated investigations that have routinely been conducted, but not previously captured in the annual enforcement report, are included. The number of NOVs decreased from 178 in FY 2014 to 98 in FY 2015; however, the number of NOEs increased from 46 in FY 2014 to 62 in FY 2015. The largest decrease in NOVs was in the Midland and San Angelo regions while the greatest increase of NOEs was in the Houston and Beaumont regions. See Table 5-6 below for the complete set of data related to investigations, NOVs, and NOEs in response to Scheduled Maintenance, Scheduled Shutdown, Scheduled Startup, EEs, and Opacity incidents for FY 2015.

**Figure 5-5: Incidents Investigated**

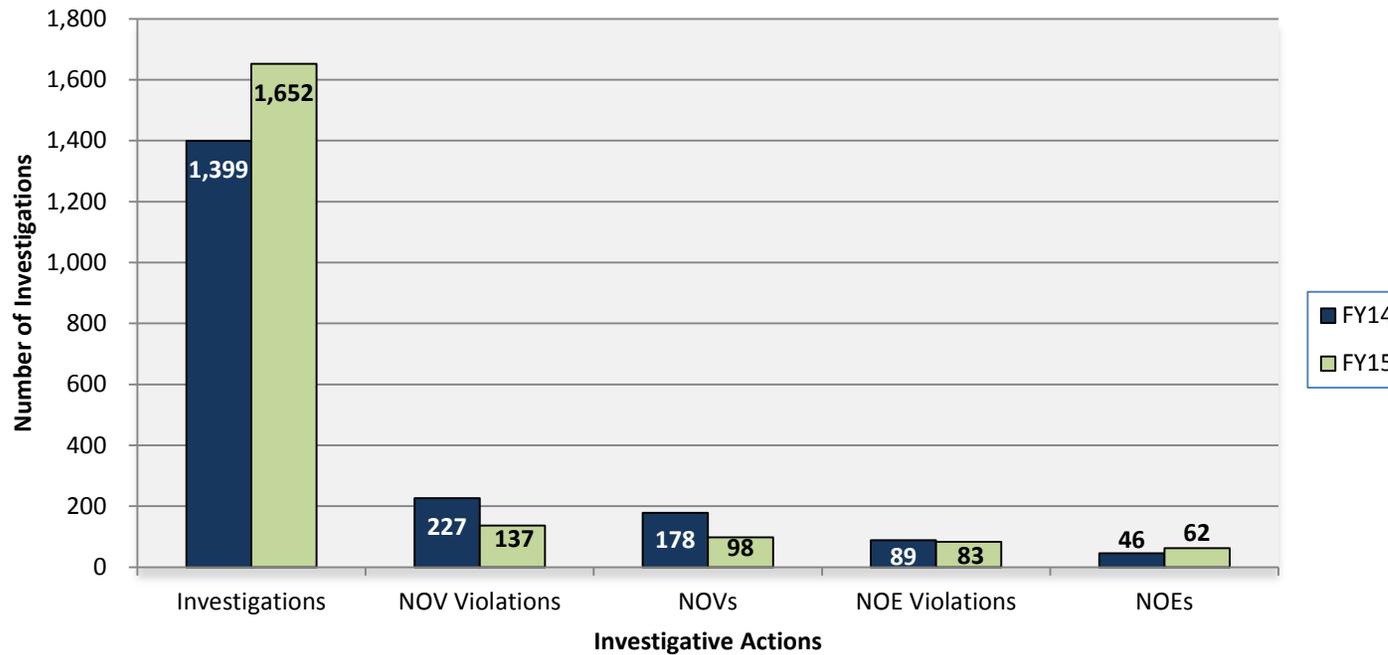


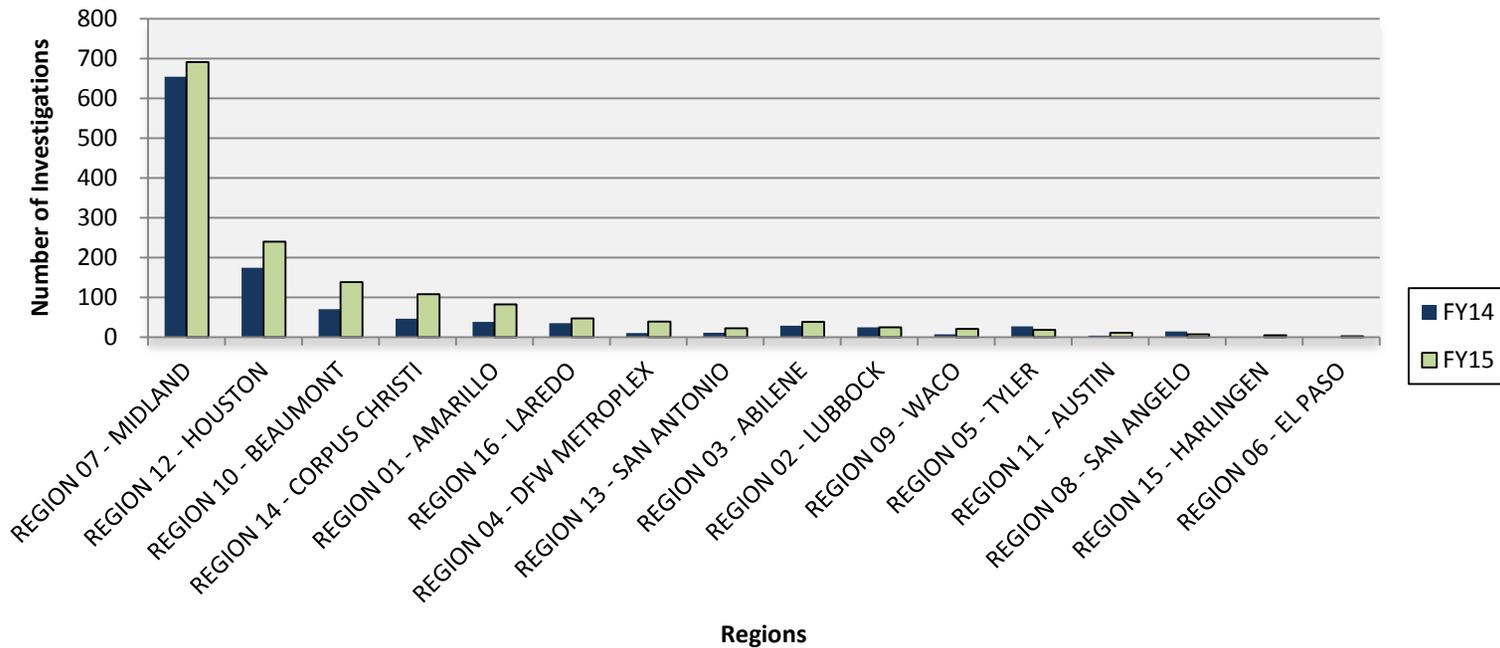
Table 5-6: Agency Response to Incidents Reported under 30 TAC Chapter 101, Subchapter F

<b>Incident Type</b>	<b>Investigations</b>	<b>NOV Violations</b>	<b>NOVs</b>	<b>NOE Violations</b>	<b>NOEs</b>
<b>Maintenance</b>	61	0	0	0	0
<b>Scheduled Shutdown</b>	8	3	1	0	0
<b>Scheduled Startup</b>	36	2	1	0	0
<b>Emissions Events</b>	1,494	114	85	83	62
<b>Excess Opacity</b>	53	18	11	0	0
<b>Total</b>	<b>1,652</b>	<b>137</b>	<b>98</b>	<b>83</b>	<b>62</b>

## Emissions Events Investigations by Region

The total number of EE Investigations increased from 1,146 in FY 2014 to 1,494 in FY 2015. As noted above, previously unreported abbreviated investigations have been included this year. Some examples of investigations conducted in response to reported EEs are as follows: The Midland region conducted 691 investigations in response to receiving 2,311 EE incident reports. The Houston region conducted 240 investigations in response to receiving 328 EE incident reports. The Beaumont region conducted 138 investigations in response to receiving 188 EE incident reports. Please note that every incident reported to the agency is reviewed by TCEQ staff. Those incidents where emissions exceeded these statutory thresholds are investigated further. Incidents which are determined to not have exceeded a regulatory reportable quantity are closed. See Tables 5-7 and 5-8 below for the complete set of FY 2015 data related to EE incidents reported and EE Investigations, respectively.

**Figure 5-6: EE Investigations by Region**



**Table 5-7: Total Number of EE Incidents Reported Statewide under 30 TAC Chapter 101, Subchapter F**

<b>Region</b>	<b>EE Incidents</b>
<b>REGION 07 - MIDLAND</b>	<b>2,311</b>
<b>REGION 12 - HOUSTON</b>	<b>328</b>
<b>REGION 14 - CORPUS CHRISTI</b>	<b>191</b>
<b>REGION 10 - BEAUMONT</b>	<b>188</b>
<b>REGION 02 - LUBBOCK</b>	<b>178</b>
<b>REGION 01 - AMARILLO</b>	<b>127</b>
<b>REGION 08 - SAN ANGELO</b>	<b>83</b>
<b>REGION 13 - SAN ANTONIO</b>	<b>82</b>
<b>REGION 05 - TYLER</b>	<b>72</b>
<b>REGION 16 - LAREDO</b>	<b>71</b>
<b>REGION 04 - DFW METROPLEX</b>	<b>53</b>
<b>REGION 03 - ABILENE</b>	<b>41</b>
<b>REGION 09 - WACO</b>	<b>22</b>
<b>REGION 11 - AUSTIN</b>	<b>14</b>
<b>REGION 15 - HARLINGEN</b>	<b>11</b>
<b>REGION 06 - EL PASO</b>	<b>4</b>
<b>Total</b>	<b>3,776</b>

**Table 5-8: Agency Investigations of EEs under 30 TAC 101, Subchapter F**

<b>Region</b>	<b>EE Investigations</b>
<b>REGION 07 - MIDLAND</b>	<b>691</b>
<b>REGION 12 - HOUSTON</b>	<b>240</b>
<b>REGION 10 - BEAUMONT</b>	<b>138</b>
<b>REGION 14 - CORPUS CHRISTI</b>	<b>108</b>
<b>REGION 01 - AMARILLO</b>	<b>82</b>
<b>REGION 16 - LAREDO</b>	<b>47</b>
<b>REGION 04 - DFW METROPLEX</b>	<b>39</b>
<b>REGION 13 - SAN ANTONIO</b>	<b>22</b>
<b>REGION 03 - ABILENE</b>	<b>38</b>
<b>REGION 02 - LUBBOCK</b>	<b>25</b>
<b>REGION 09 - WACO</b>	<b>21</b>
<b>REGION 05 - TYLER</b>	<b>18</b>
<b>REGION 11 - AUSTIN</b>	<b>11</b>
<b>REGION 08 - SAN ANGELO</b>	<b>7</b>
<b>REGION 15 - HARLINGEN</b>	<b>5</b>
<b>REGION 06 - EL PASO</b>	<b>2</b>
<b>Total</b>	<b>1,494</b>

As previously discussed, every incident reported to the agency is reviewed by TCEQ staff. On some occasions, multiple reported incidents may be included in one investigation.

## Excessive Emissions Events

The number of Excessive EEs determinations decreased from eight in FY 2014 to five in FY 2015. The number of approved Corrective Action Plans (CAP) remained the same in FY 2014 and FY 2015. See Table 5-9 below for the complete set of data. Regulated entities are required to file a CAP within 60 days after receiving notification that an emissions event was deemed excessive. A CAP must identify four things: the cause or causes of the excessive EE (including all contributing factors that led to the EE); the control devices or other measures that are reasonably designed to prevent or minimize similar EEs in the future; operational changes the owner or operator will take to prevent or minimize similar EEs in the future; and time frames within which the owner or operator will implement the components of the CAP.

Figure 5-7: Excessive Determinations and CAPs

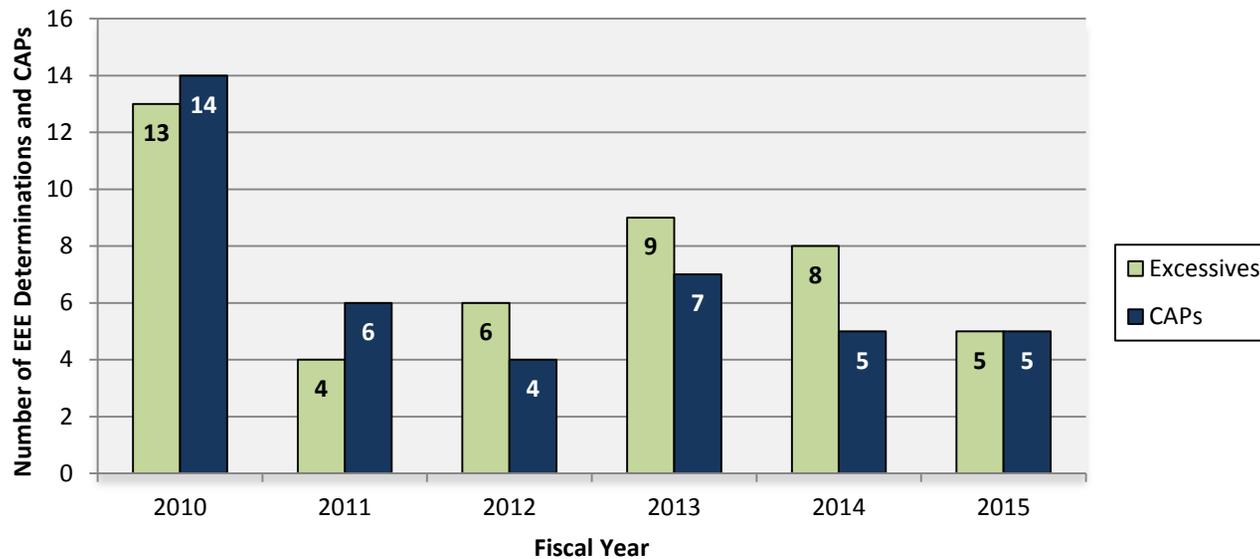


Table 5-9: Excessive EE Determinations and CAPs under 30 TAC Chapter 101, Subchapter F

<b>Region</b>	<b>Excessive EE</b>	<b>Approved CAPs</b>
<b>REGION 01 - AMARILLO</b>	1	2
<b>REGION 02 - LUBBOCK</b>	0	0
<b>REGION 03 - ABILENE</b>	0	0
<b>REGION 04 - DFW METROPLEX</b>	0	0
<b>REGION 05 - TYLER</b>	0	0
<b>REGION 06 - EL PASO</b>	0	0
<b>REGION 07 - MIDLAND</b>	0	0
<b>REGION 08 - SAN ANGELO</b>	0	0
<b>REGION 09 - WACO</b>	0	0
<b>REGION 10 - BEAUMONT</b>	0	0
<b>REGION 11 - AUSTIN</b>	0	0
<b>REGION 12 - HOUSTON</b>	4	2
<b>REGION 13 - SAN ANTONIO</b>	0	1
<b>REGION 14 - CORPUS CHRISTI</b>	0	0
<b>REGION 15 - HARLINGEN</b>	0	0
<b>REGION 16 - LAREDO</b>	0	0
<b>Total</b>	<b>5</b>	<b>5</b>

## Chapter 6: Aggregate Production Operations

As a result of HB 571, the 82<sup>nd</sup> Legislative Session added a requirement in TWC Chapter 28A for the registration and investigation of certain APOs. The law requires the Commission to conduct an annual survey to identify all active APOs in the state and to ensure each active APO is registered. The law also requires the Commission to report the results of the survey, the number and general location of the registered APOs, the number of inspectors trained in multiple areas related to the investigations, the number of investigations conducted, and the results of the investigations.

Aggregates are materials used in construction, including sand, gravel, crushed and broken limestone, crushed and broken granite, dirt, soil, or caliche. The TCEQ adopted new rules implementing HB 571 on June 13, 2012, which are codified in 30 TAC Chapter 342.

The rules require commercial APOs to:

- register with the TCEQ beginning September 1, 2012 (with a deadline of October 30, 2012), and renew registration annually;
- pay registration fees; and
- be inspected every three years.

The definition of an APO can be found in 30 TAC § 342.1(1)(E).

In 2012, after consultation with a stakeholder group, a graduated fee structure was developed. The fee structure will be re-evaluated each year. Survey, registration, and compliance information was sent to entities listed in the TCEQ's Water Quality Permits database, U.S. Geological Survey mining activities, the Texas Department of Transportation's Aggregate Quarry and Pit certification program, and the Mine Safety and Health Administration program. Information regarding the APO requirements was incorporated in the TCEQ publications "News You Can Use" and "The Advocate". Information was also provided to the Texas Aggregates and Concrete Association to provide to their members. Several stakeholder meetings were held prior to rule adoption.

## **Training Program**

The TCEQ developed a training program specific to performance of APO investigations. The training program focuses on authorization requirements and regulatory controls most commonly required at facilities subject to the APO registration requirement. During FY 2015, ten investigators completed the APO investigation training program.

## **Investigations and Results**

The statute allowed those APOs that submitted a Notice of Audit to conduct a self-audit under the Texas Environmental, Health, and Safety Audit Privilege Act with their initial registration to postpone TCEQ inspection requirements until September 1, 2015. The TCEQ initially received 306 notices of audit within the required deadline.

In FY 2015, agency staff completed a total of 4,448 APO surveys and 76 investigations. There were 136 NOVs issued and 73 NOEs issued. In addition, 26 administrative orders were issued with \$158,347 to be paid as penalties and \$1,000 to be expended for SEPs.

The TCEQ continued efforts in FY 2015 to identify the APO universe. To accomplish this task, emphasis was placed on determining compliance with APO rules through the annual survey process.

## Number and General Location of Registered Facilities

As of August 31, 2015, 823 APOs have active registrations with the TCEQ. The number of registered APOs per region is provided in Table 6-1 below.

**Table 6-1: Registered APOs per Region**

<b>Region</b>	<b>Number of Registered APOs</b>
Region 1 – Amarillo	33
Region 2 – Lubbock	18
Region 3 – Abilene	51
Region 4 – Dallas/Fort Worth	96
Region 5 – Tyler	22
Region 6 – El Paso	27
Region 7 – Midland	38
Region 8 – San Angelo	36
Region 9 – Waco	67
Region 10 – Beaumont	36
Region 11 – Austin	98
Region 12 – Houston	121
Region 13 – San Antonio	104
Region 14 – Corpus Christi	40
Region 15 – Harlingen	13
Region 16 – Laredo	23
<b>Total</b>	<b>823</b>

## Chapter 7: Results of Enforcement Actions

Since FY 1998, the TCEQ has been compiling statistical information regarding the results of enforcement actions taken by the TCEQ and through cases referred to the OAG. Once administrative or judicial orders are finalized, the Enforcement Division staff review each case and determine if the enforcement action had an impact or potential impact on public health and the environment. Staff also document some of the actions required to achieve compliance, including an estimate of the cost of compliance to the violators. This statistical data indicates that as a result of administrative and judicial orders in FY 2015, alleged violators spent more than \$131 million to correct violations and take steps necessary to protect the environment. Figure 7-1 illustrates the positive impact enforcement orders have on human health and the environment.

Figure 7-1: Impact Analysis of Administrative and Civil Judicial Orders

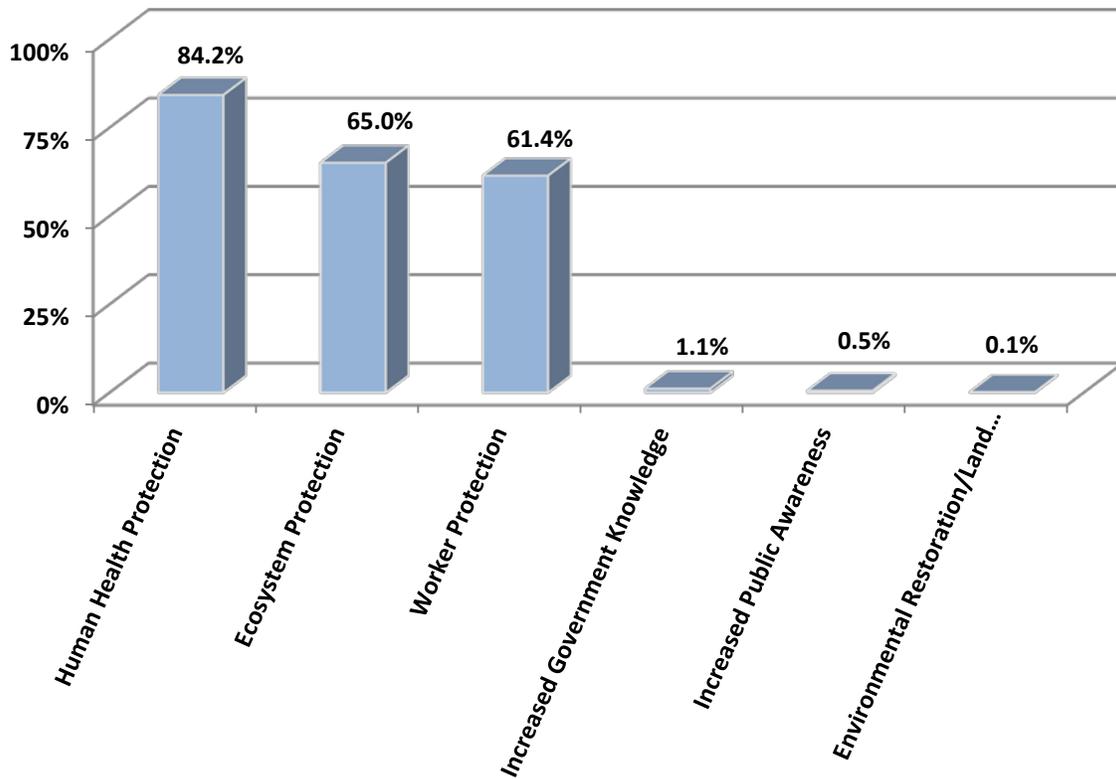


Figure 7-2 depicts the number of enforcement orders that resulted in the following: reduction of the use of hazardous chemicals; industrial process changes; emissions or discharge changes; storage or disposal changes; submittal of a permit application or amendment; environmental compliance promotion through dissemination of information to other members of the regulated community who are similarly situated; provision of public health care (either diagnostic or preventative) to members of the public who were potentially or actually affected by the violations; provision of emergency planning or preparedness equipment or assistance to local emergency response programs; or a decrease in the amount or toxicity of a pollutant (other than through a pollution prevention project).

**Figure 7-2: Results of Administrative and Civil Judicial Orders – Permitting and Process Changes**

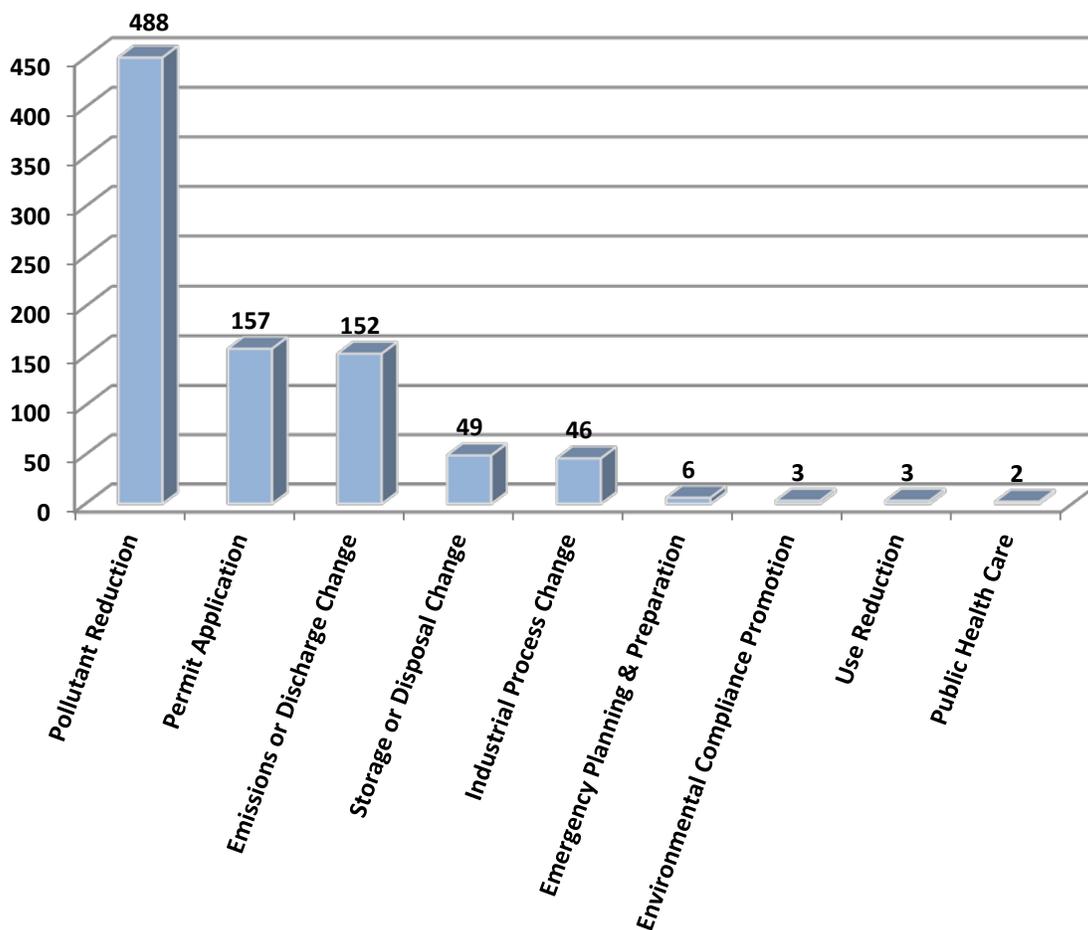


Figure 7-3 depicts the number of enforcement orders that resulted in the following: site assessment, remediation, restoration, or removal activities; auditing, testing, monitoring and/or sampling activities; labeling and manifesting of hazardous wastes; training; recordkeeping and/or reporting activities; and/or provision of site access to admit government officials for investigation and monitoring activities.

**Figure 7-3: Results of Administrative and Civil Judicial Orders – Clean Up, Monitoring, and Recordkeeping Requirements**

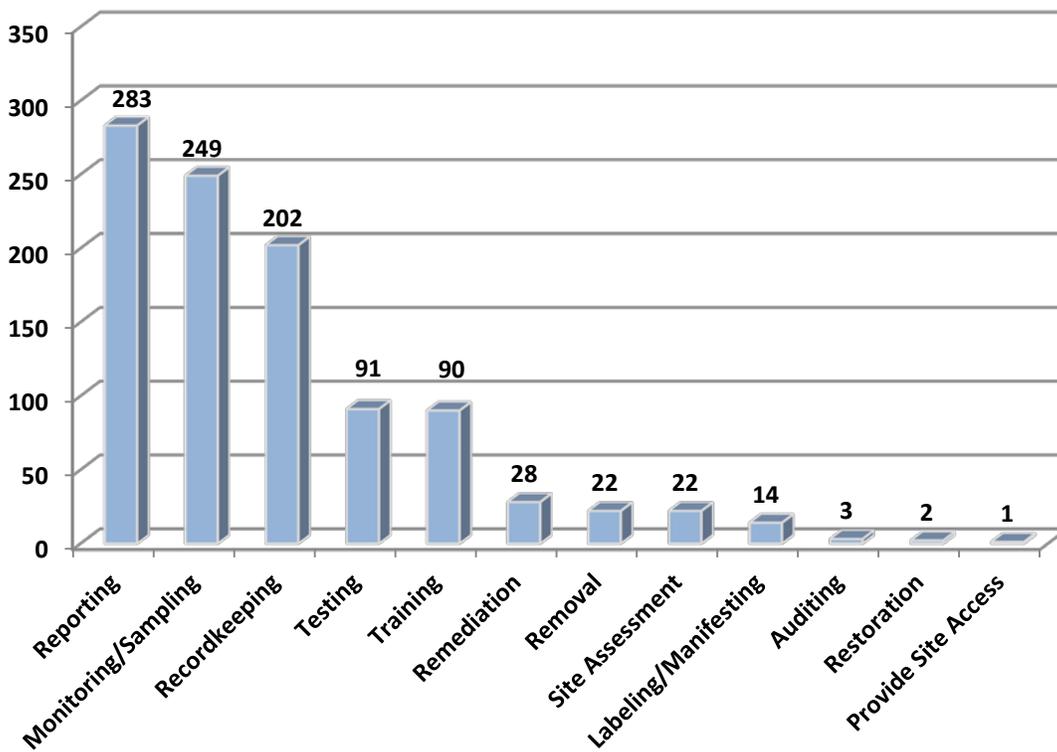


Figure 7-4 depicts the number of enforcement orders that resulted in the following pollution prevention related activities: equipment or technology modifications; product reformulation; raw materials substitutions; process or procedure modifications; in-process recycling; energy efficiency or conservation; improved housekeeping, operating and maintenance, training, or inventory control; and the number of orders with supplemental environmental projects including pollution prevention activities. Pollution prevention activities are those actions that result in reduction or elimination of a source of pollution that is above and beyond any regulatory requirements.

**Figure 7-4: Results of Administrative and Civil Judicial Orders – Pollution Prevention**

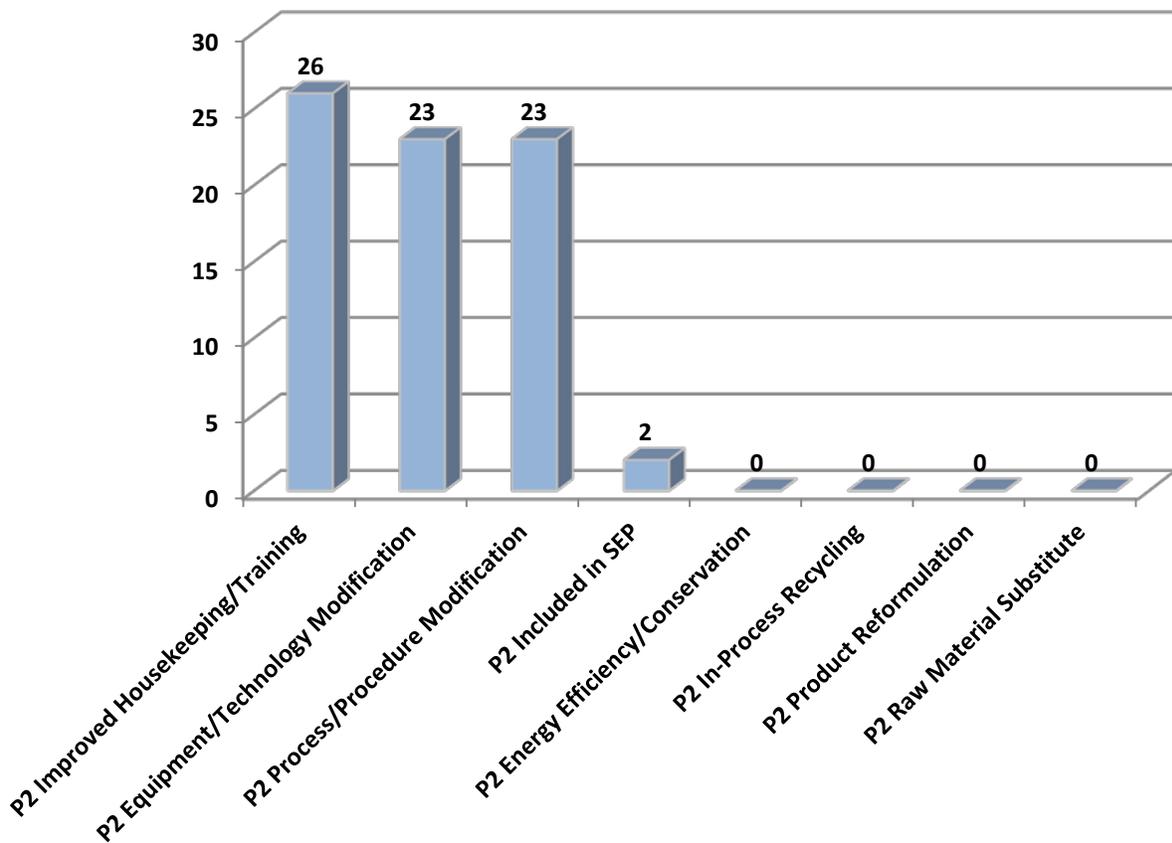


Table 7-1 depicts an estimation of the largest amount of pollutant reductions through enforcement actions finalized in FY 2015. Overall, it is estimated<sup>3</sup> that TCEQ final enforcement actions resulted in, or will result in, more than 198 million pounds of pollutants eliminated or reduced, or the routes of exposure reduced, in the environment.

**Table 7-1: Top 25 Estimated Pollutant Reductions from Orders Issued**

<b>Pollutant Name</b>	<b>Amount in Pounds</b>	<b>Routes of Exposure</b>
Municipal Solid Waste	51,214,831	LAND
Construction Debris	36,962,000	LAND
Trash, Debris, Miscellaneous Materials	35,823,369	LAND
Wood Waste & Tree Trimmings	27,102,800	LAND
Tires (scrap)	21,014,016	LAND
Wastewater Discharge (industrial & municipal)	6,232,659	WATER
Sludge	4,485,202	LAND, WATER
Mulch	4,169,200	LAND
Sewage	1,990,380	WATER
Volatile Organic Compounds (VOCs)	1,880,665	AIR
Ethylene (gaseous)	1,818,194	AIR
Sulfur Dioxide	1,579,613	AIR
Propylene	1,468,172	AIR
Carbon Monoxide	591,692	AIR
Hexane	402,040	AIR
Particulate Matter	327,527	AIR
Wastewater from Oilfield Activities	305,454	LAND
Chemical Oxygen Demand	236,455	WATER
Total Suspended Solids	231,284	WATER
Nitrogen Oxides (NOx)	200,214	AIR, WATER
Propane	190,075	AIR
Biochemical Oxygen Demand	104,981	WATER
Other VOCs	75,613	AIR
Butadiene, 1-3	73,206	AIR
N-Methyl-2-pyrrolidone	54,292	AIR

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<sup>3</sup> Estimations were based upon best educated predictions or knowledge of the technical staff. In those cases where cleanups were not completed yet, estimates of the amount of contaminants to be removed were used. In those cases where emissions or discharges were reduced or eliminated by the enforcement action, the estimation was based upon an assumption that the violations would have continued at least for one year if the TCEQ had not acted.

# Tables Descriptions

## Investigations

Table T-1A summarizes, by TCEQ region and type, total investigations conducted during FY 2015.  
Table T-1B summarizes, by TCEQ region and type, on-site investigations conducted during FY 2015.  
Table T-2A summarizes, by TCEQ region and type, total investigations conducted during FY 2014.  
Table T-2B summarizes, by TCEQ region and type, on-site investigations conducted during FY 2014.  
Table T-3A summarizes, by TCEQ region and type, total investigations conducted during FY 2013.  
Table T-3B summarizes, by TCEQ region and type, on-site investigations conducted during FY 2013.  
Table T-4A summarizes, by TCEQ region and type, total investigations conducted during FY 2012.  
Table T-4B summarizes, by TCEQ region and type, on-site investigations conducted during FY 2012.  
Table T-5A summarizes, by TCEQ region and type, total investigations conducted during FY 2011.  
Table T-5B summarizes, by TCEQ region and type, on-site investigations conducted during FY 2011.  
Table T-6A summarizes, by TCEQ region and type, total investigations conducted during FY 2010.  
Table T-6B summarizes, by TCEQ region and type, on-site investigations conducted during FY 2010.

## Complaints

Table T-7 summarizes, by TCEQ region and type, complaints investigated during FY 2015.  
Table T-8 summarizes, by TCEQ region and type, complaints investigated during FY 2014.  
Table T-9 summarizes, by TCEQ region and type, complaints investigated during FY 2013.  
Table T-10 summarizes, by TCEQ region and type, complaints investigated during FY 2012.  
Table T-11 summarizes, by TCEQ region and type, complaints investigated during FY 2011.  
Table T-12 summarizes, by TCEQ region and type, complaints investigated during FY 2010.

## Notices of Violation (NOV) by Regional Offices

Table T-13 summarizes NOVs issued during FY 2015 by the TCEQ Regional Offices.  
Table T-14 summarizes NOVs issued during FY 2014 by the TCEQ Regional Offices.  
Table T-15 summarizes NOVs issued during FY 2013 by the TCEQ Regional Offices.  
Table T-16 summarizes NOVs issued during FY 2012 by the TCEQ Regional Offices.  
Table T-17 summarizes NOVs issued during FY 2011 by the TCEQ Regional Offices.  
Table T-18 summarizes NOVs issued during FY 2010 by the TCEQ Regional Offices.

## Notices of Violation by Central Office

Table T-19 summarizes NOVs issued during FY 2015 by the TCEQ Central Office in Austin.  
Table T-20 summarizes NOVs issued during FY 2014 by the TCEQ Central Office in Austin.  
Table T-21 summarizes NOVs issued during FY 2013 by the TCEQ Central Office in Austin.  
Table T-22 summarizes NOVs issued during FY 2012 by the TCEQ Central Office in Austin.  
Table T-23 summarizes NOVs issued during FY 2011 by the TCEQ Central Office in Austin.  
Table T-24 summarizes NOVs issued during FY 2010 by the TCEQ Central Office in Austin.

## Administrative Orders Issued

Table T-25 summarizes the administrative orders issued by the TCEQ for FY 2015.  
Table T-26 summarizes the administrative orders issued by the TCEQ for FY 2014.  
Table T-27 summarizes the administrative orders issued by the TCEQ for FY 2013.  
Table T-28 summarizes the administrative orders issued by the TCEQ for FY 2012.  
Table T-29 summarizes the administrative orders issued by the TCEQ for FY 2011.  
Table T-30 summarizes the administrative orders issued by the TCEQ for FY 2010.

## Court Orders Issued

Table T-31 summarizes the judicial orders issued through representation by the Office of Attorney General for FY 2015.  
Table T-32 summarizes the judicial orders issued through representation by the Office of Attorney General for FY 2014.  
Table T-33 summarizes the judicial orders issued through representation by the Office of Attorney General for FY 2013.  
Table T-34 summarizes the judicial orders issued through representation by the Office of Attorney General for FY 2012.  
Table T-35 summarizes the judicial orders issued through representation by the Office of Attorney General for FY 2011.  
Table T-36 summarizes the judicial orders issued through representation by the Office of Attorney General for FY 2010.

Table T-1A: Total Investigations Conducted FY 2015 (9/1/2014 – 8/31/2015)

<b>Table T-1A: Total Investigations<sup>1</sup> Conducted FY 2015 (9/1/2014 – 8/31/2015)</b>																
	<b>AGRI</b>	<b>APO</b>	<b>AIR</b>	<b>EA</b>	<b>IHW<sup>2</sup></b>	<b>MSW</b>	<b>OC</b>	<b>OSSF</b>	<b>PST</b>	<b>PWS<sup>3</sup></b>	<b>RAD</b>	<b>WQ</b>	<b>WR</b>	<b>UIC</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 1</b>	87	28	776	0	139	75	5	277	153	157	0	288	12	45	218	2,260
<b>Region 2</b>	21	59	883	0	52	70	2	74	136	271	0	268	29	0	46	1,911
<b>Region 3</b>	20	86	723	0	28	75	7	146	108	271	0	731	139	0	118	2,452
<b>Region 4</b>	164	1,148	3,798	0	192	199	91	209	1,340	696	0	3,123	187	1	168	11,316
<b>Region 5</b>	124	25	1,336	0	93	135	16	137	102	445	0	2,806	115	0	431	5,765
<b>Region 6</b>	2	20	766	0	45	95	0	27	218	72	0	190	3	0	131	1,569
<b>Region 7</b>	4	21	3,566	0	58	115	4	26	125	104	225	310	5	0	248	4,811
<b>Region 8</b>	1	80	336	0	11	84	0	150	108	103	0	370	176	0	484	1,903
<b>Region 9</b>	6	236	778	0	33	186	10	12	188	412	0	1,414	147	0	131	3,553
<b>Region 10</b>	0	58	2,297	0	83	180	2	671	271	471	0	2,182	71	2	110	6,398
<b>Region 11</b>	0	1,510	564	722	45	141	40	32	184	292	0	1,097	18	0	137	4,782
<b>Region 12</b>	1	737	3,526	0	391	243	46	352	1,351	3,015	1	8,712	62	9	315	18,761
<b>Region 13</b>	8	453	920	506	64	240	21	21	170	486	1	1,164	55	0	307	4,416
<b>Region 14</b>	3	53	788	0	364	179	0	5	219	167	0	1,529	36	125	251	3,719
<b>Region 15</b>	2	6	480	0	35	136	2	3	74	103	1	639	1	0	89	1,571
<b>Region 16</b>	4	14	671	0	11	81	0	41	51	137	0	397	4	0	134	1,545
<b>Other<sup>4</sup></b>	0	0	0	0	0	0	0	0	3,086	0	0	0	0	0	0	3,086
<b>Water-master Programs</b>	0	0	0	0	0	0	0	0	0	0	0	0	29,883	0	0	29,883
<b>TOTALS</b>	447	4,534	22,208	1,228	1,644	2,234	246	2,183	7,884	7,202	228	25,220	30,943	182	3,318	<b>109,701</b>

1 Total Investigations is a summary of ALL investigations conducted by TCEQ staff and includes on-site, record reviews and complaint investigations.

2 DC is included with IHW.

3 PWS investigations include Municipal Utility District Construction investigations.

4 "Other" includes those investigations conducted by contracted investigators.

Table T-1B: On-site Investigations Conducted FY 2015 (9/1/2014 – 8/31/2015)

Table T-1B: On-site Investigations <sup>5</sup> Conducted FY 2015 (9/1/2014 - 8/31/2015)																
	AGRI	APO	AIR	EA	IHW <sup>6</sup>	MSW	OC	OSSF	PST	PWS <sup>7</sup>	RAD	WQ	WR	UIC	MM	TOTAL
<b>Region 1</b>	86	15	246	0	56	7	0	130	149	102	0	75	4	22	137	1,029
<b>Region 2</b>	13	20	91	0	48	58	0	34	127	164	0	56	26	0	26	663
<b>Region 3</b>	11	49	322	0	24	53	0	84	75	118	0	116	40	0	93	985
<b>Region 4</b>	139	114	2,704	0	142	64	1	127	1,234	410	0	465	75	1	162	5,638
<b>Region 5</b>	100	24	192	0	71	129	0	54	76	248	0	504	98	0	400	1,896
<b>Region 6</b>	2	18	672	0	36	102	0	15	192	36	0	85	0	0	107	1,265
<b>Region 7</b>	4	15	281	0	47	74	0	14	100	49	0	48	2	0	205	839
<b>Region 8</b>	1	19	62	0	4	75	0	57	95	51	0	41	47	0	235	687
<b>Region 9</b>	4	28	304	0	27	52	1	10	128	265	0	223	21	0	94	1,157
<b>Region 10</b>	0	38	854	0	66	141	0	438	222	265	0	263	59	2	91	2,439
<b>Region 11</b>	0	6	274	535	36	204	0	20	156	201	0	250	5	0	104	1,791
<b>Region 12</b>	1	50	950	0	265	92	1	167	1,223	2,038	0	811	10	9	256	5,873
<b>Region 13</b>	7	28	527	361	56	189	0	18	115	351	0	294	78	0	254	2,278
<b>Region 14</b>	5	19	290	0	115	211	0	3	200	103	0	203	1	46	211	1,407
<b>Region 15</b>	2	6	96	0	31	136	0	3	55	68	0	171	58	0	86	712
<b>Region 16</b>	4	11	326	0	11	127	0	17	40	76	0	114	19	0	99	844
<b>Other<sup>8</sup></b>	0	0	0	0	0	0	0	0	3,086	0	0	0	0	0	0	3,086
<b>Water-master Programs</b>	0	0	0	0	0	0	0	0	0	0	0	0	29,883	0	0	29,883
<b>TOTALS</b>	379	460	8,191	896	1,035	1,714	3	1,191	7,273	4,545	0	3,719	30,426	80	2,560	<b>62,472</b>

5 Total On-site Investigations includes complaint investigations.

6 DC is included with IHW.

7 PWS investigations include Municipal Utility District Construction investigations.

8 "Other" includes those investigations conducted by contracted investigators.

Table T-2A: Total Investigations Conducted FY 2014 (9/1/2013 – 8/31/2014)

<b>Table T-2A: Total Investigations<sup>9</sup> Conducted FY 2014 (9/1/2013 – 8/31/2014)</b>																
	<b>AGRI</b>	<b>APO</b>	<b>AIR</b>	<b>EA</b>	<b>IHW<sup>10</sup></b>	<b>MSW</b>	<b>OC</b>	<b>OSSF</b>	<b>PST</b>	<b>PWS<sup>11</sup></b>	<b>RAD</b>	<b>WQ</b>	<b>WR</b>	<b>UIC</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 1</b>	87	52	542	0	135	48	4	316	175	200	0	319	0	43	97	2,018
<b>Region 2</b>	27	40	557	0	76	85	0	78	88	323	0	297	26	0	46	1,643
<b>Region 3</b>	4	48	401	0	24	83	0	120	69	200	0	615	171	0	31	1,766
<b>Region 4</b>	162	631	2886	0	160	216	16	253	1571	619	0	3,196	219	1	141	10,071
<b>Region 5</b>	141	11	1,318	0	79	193	8	173	92	483	0	2,469	96	1	282	5,346
<b>Region 6</b>	1	44	937	0	14	83	0	16	388	67	0	203	0	0	124	1,877
<b>Region 7</b>	5	49	2,329	0	57	51	0	25	58	141	164	357	1	0	55	3,292
<b>Region 8</b>	8	44	309	0	1	67	2	114	83	75	0	165	121	0	33	1,022
<b>Region 9</b>	10	183	1,119	0	46	219	3	19	87	432	0	1,461	235	0	62	3,876
<b>Region 10</b>	0	48	2,310	0	85	309	0	623	229	577	0	2,068	24	1	20	6,294
<b>Region 11</b>	1	888	494	747	63	211	15	32	103	284	0	1,304	21	0	134	4,297
<b>Region 12</b>	13	638	3,851	0	413	316	12	120	2090	1,383	0	8,456	55	9	345	17,701
<b>Region 13</b>	1	503	1,020	644	101	210	6	6	229	311	3	915	60	0	223	4,232
<b>Region 14</b>	6	25	728	0	432	160	0	4	138	241	1	1,451	0	133	139	3,458
<b>Region 15</b>	0	2	581	0	54	170	2	2	87	116	0	637	185	0	99	1,935
<b>Region 16</b>	0	10	1,215	0	11	83	0	91	40	167	3	358	2	3	96	2,079
<b>Other<sup>12</sup></b>	0	0	0	0	0	0	0	0	1908	0	0	0	0	0	0	1,908
<b>Water-master Programs</b>	0	0	0	0	0	0	0	0	0	0	0	0	30,191	0	0	30,191
<b>TOTALS</b>	466	3,216	20,597	1,391	1,751	2,504	68	1,992	7,435	5,619	171	24,271	31,407	191	1,927	<b>103,006</b>

<sup>9</sup> Total Investigations is a summary of ALL investigations conducted by TCEQ staff and includes on-site, record reviews and complaint investigations.

<sup>10</sup> DC is included with IHW.

<sup>11</sup> PWS investigations include Municipal Utility District Construction investigations.

<sup>12</sup> "Other" includes those investigations conducted by contracted investigators.

Table T-2B: On-site Investigations Conducted FY 2014 (9/1/2013 – 8/31/2014)

Table T-2B: On-site Investigations <sup>13</sup> Conducted FY 2014 (9/1/2013 - 8/31/2014)																
	AGRI	APO	AIR	EA	IHW <sup>14</sup>	MSW	OC	OSSF	PST	PWS <sup>15</sup>	RAD	WQ	WR	UIC	MM	TOTAL
<b>Region 1</b>	71	37	206	0	60	44	0	164	171	137	0	65	0	21	90	1,066
<b>Region 2</b>	20	37	113	0	70	72	0	43	62	185	0	58	26	0	36	722
<b>Region 3</b>	4	34	149	0	22	74	0	62	41	103	0	94	151	0	29	763
<b>Region 4</b>	141	70	2,005	0	119	130	0	166	1,419	333	0	535	176	1	137	5,232
<b>Region 5</b>	114	11	225	0	63	157	0	85	36	304	0	422	92	1	275	1,785
<b>Region 6</b>	1	33	707	0	9	62	0	11	370	42	0	92	0	0	110	1,437
<b>Region 7</b>	4	28	383	0	50	41	0	8	42	83	0	49	1	0	49	738
<b>Region 8</b>	4	2	155	0	0	41	0	42	40	40	0	24	105	0	15	468
<b>Region 9</b>	7	35	504	0	31	141	0	16	57	255	0	253	227	0	47	1,573
<b>Region 10</b>	0	37	1,002	0	71	258	0	419	188	347	0	258	17	1	15	2,613
<b>Region 11</b>	1	25	244	539	46	141	0	21	77	192	0	412	21	0	123	1,842
<b>Region 12</b>	10	87	1,449	0	303	235	0	110	1,897	713	0	927	53	9	331	6,124
<b>Region 13</b>	1	39	701	423	86	179	0	6	153	175	0	225	60	0	212	2,260
<b>Region 14</b>	5	11	348	0	149	129	0	4	119	127	0	210	0	49	139	1,290
<b>Region 15</b>	0	2	101	0	48	147	0	1	75	71	0	188	185	0	92	910
<b>Region 16</b>	0	10	608	0	10	77	0	43	35	96	0	100	2	3	88	1,072
<b>Other<sup>16</sup></b>	0	0	0	0	0	0	0	0	1,908	0	0	0	0	0	0	1,908
<b>Water-master Programs</b>	0	0	0	0	0	0	0	0	0	0	0	0	29,973	0	0	29,973
<b>TOTALS</b>	383	498	8,900	962	1,137	1,928	0	1,201	6,690	3,203	0	3,912	31,089	85	1,788	<b>61,776</b>

13 Total On-site Investigations includes complaint investigations.

14 DC is included with IHW.

15 PWS investigations include Municipal Utility District Construction investigations.

16 "Other" includes those investigations conducted by contracted investigators.

**Table T-3A: Total Investigations Conducted FY 2013 (9/1/2012 – 8/31/2013)**

<b>Table T-3A: Total Investigations<sup>17</sup> Conducted FY 2013 (9/1/2012 – 8/31/2013)</b>																	
	<b>AGRI</b>	<b>APO<sub>18</sub></b>	<b>AIR</b>	<b>DC</b>	<b>EA</b>	<b>IHW</b>	<b>MSW</b>	<b>OC</b>	<b>OSSF</b>	<b>PST</b>	<b>PWS<sup>19</sup></b>	<b>RAD</b>	<b>WQ</b>	<b>WR</b>	<b>UIC</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 1</b>	97	10	521	0	0	133	61	2	344	114	166	0	311	4	42	16	1,821
<b>Region 2</b>	24	20	640	0	0	81	86	3	85	59	320	0	293	19	0	89	1,719
<b>Region 3</b>	6	1	335	0	0	18	80	0	105	63	197	0	644	319	0	1	1,769
<b>Region 4</b>	178	232	2,843	9	0	197	181	47	248	1,316	541	0	2,897	311	0	62	9,062
<b>Region 5</b>	115	5	1,178	0	0	56	152	34	229	74	473	0	2,371	229	0	230	5,146
<b>Region 6</b>	8	5	1,141	0	0	39	155	0	40	249	80	0	328	0	0	159	2,204
<b>Region 7</b>	4	7	2,514	0	0	44	45	0	23	46	100	123	194	2	1	86	3,189
<b>Region 8</b>	3	2	231	0	0	5	56	0	138	16	78	0	144	111	0	52	836
<b>Region 9</b>	20	0	838	0	0	57	151	6	2	75	494	0	1,283	562	0	127	3,615
<b>Region 10</b>	0	22	2,185	0	0	65	170	0	690	149	446	0	2,104	78	2	13	5,924
<b>Region 11</b>	1	353	453	0	608	24	113	14	16	115	306	0	1,068	262	0	83	3,416
<b>Region 12</b>	9	254	4,197	1	0	426	235	14	59	1,925	1,606	0	8,200	90	7	268	17,291
<b>Region 13</b>	10	330	1,073	0	657	73	151	10	24	85	311	0	949	7	1	139	3,820
<b>Region 14</b>	75	17	1,071	0	0	402	173	2	8	68	401	0	1,483	34	147	121	4,002
<b>Region 15</b>	0	5	951	0	0	78	189	0	50	37	121	0	657	0	0	105	2,193
<b>Region 16</b>	0	1	627	0	0	8	56	0	164	9	117	2	396	0	0	331	1,711
<b>Other<sup>20</sup></b>	0	0	0	0	0	0	0	0	0	8,771	0	0	0	0	0	0	8,771
<b>West, North Central, Border and Coastal Water-masters</b>	0	0	0	0	0	0	0	0	0	0	0	0	0	29,292	0	0	29,292
<b>TOTALS</b>	550	1,264	20,798	10	1,265	1,706	2,054	132	2,225	13,171 <sup>21</sup>	5,757	125	23,322	31,320	200	1,882	<b>105,781</b>

17 Total Investigations is a summary of ALL investigations conducted by TCEQ staff and includes on-site, record reviews and complaint investigations.

18 APO is a new category in the FY 2013 report. See Chapter 6 of this report for more information on the APO Program.

19 PWS investigations include Municipal Utility District Construction investigations.

20 "Other" includes those investigations conducted by contracted investigators.

21 There was an increase in PST investigations due to the Federal Energy Bill.

Table T-3B: On-site Investigations Conducted FY 2013 (9/1/2012 – 8/31/2013)

Table T-3B: On-site Investigations <sup>22</sup> Conducted FY 2013 (9/1/2012 - 8/31/2013)																	
	AGRI	APO <sup>23</sup>	AIR	DC	EA	IHW	MSW	OC	OSSF	PST	PWS <sup>24</sup>	RAD	WQ	WR	UIC	MM	TOTAL
Region 1	92	10	208	0	0	63	58	0	185	108	127	0	107	3	21	16	998
Region 2	18	20	138	0	0	64	73	2	50	51	197	0	90	18	0	75	796
Region 3	4	1	123	0	0	15	75	0	57	42	119	0	109	306	0	0	851
Region 4	149	25	2,107	9	0	157	138	0	165	1,183	374	0	577	293	0	53	5,230
Region 5	106	5	219	0	0	44	136	0	75	25	262	0	487	214	0	221	1,794
Region 6	5	5	773	0	0	28	131	0	26	234	50	0	174	0	0	133	1,559
Region 7	3	1	188	0	0	37	36	0	14	39	69	123	52	1	1	67	631
Region 8	2	2	67	0	0	1	35	0	60	10	41	0	32	108	0	36	394
Region 9	19	0	420	0	0	39	96	0	1	33	259	0	274	555	0	88	1,784
Region 10	0	19	1,075	0	0	50	124	0	454	105	244	0	315	77	2	8	2,473
Region 11	1	8	327	0	426	16	98	0	11	86	181	0	329	262	0	67	1,812
Region 12	9	15	2,529	0	0	345	191	0	56	1,676	1,213	0	1,327	72	7	253	7,693
Region 13	10	6	451	0	398	61	139	0	19	53	203	0	264	6	0	132	1,742
Region 14	67	17	494	0	0	121	149	0	6	60	279	0	348	34	51	109	1,735
Region 15	0	5	670	0	0	65	164	0	26	34	91	0	227	0	0	103	1,385
Region 16	0	1	514	0	0	7	54	0	98	5	80	2	135	0	0	233	1,129
Other <sup>25</sup>	0	0	0	0	0	0	0	0	0	8,749	0	0	0	0	0	0	8,749
West, North Central, Border and Coastal Water-masters	0	0	0	0	0	0	0	0	0	0	0	0	0	28,223	0	0	28,223
<b>TOTALS</b>	485	140	10,303	9	824	1,113	1,697	2	1,303	12,493 <sup>26</sup>	3,789	125	4,847	30,172	82	1,594	<b>68,978</b>

22 Total On-site Investigations includes complaint investigations.

23 APO is a new category in the FY 2013 report. See Chapter 6 of this report for more information on the APO Program.

24 PWS investigations include Municipal Utility District Construction investigations.

25 "Other" includes those investigations conducted by contracted investigators.

26 There was an increase in PST investigations due to the Federal Energy Bill.

Table T-4A: Total Investigations Conducted FY 2012 (9/1/2011 – 8/31/2012)

Table T-4A: Total Investigations <sup>27</sup> Conducted FY 2012 (9/1/2011 - 8/31/2012)																
	AGRI	AIR	DC	EA	IHW	MSW	OC	OSSF	PST	PWS <sup>28</sup>	RAD	WQ	WR	UIC	MM	TOTAL
<b>Region 1</b>	92	559	0	0	128	56	5	342	101	112	0	282	9	42	25	1,753
<b>Region 2</b>	8	231	0	0	86	79	2	90	86	277	0	311	125	0	44	1,339
<b>Region 3</b>	18	415	0	0	16	81	2	109	66	250	0	747	74	0	16	1,794
<b>Region 4</b>	268	2,823	1	0	183	294	72	181	1,472	531	0	3,145	241	1	58	9,270
<b>Region 5</b>	122	1,179	0	0	84	169	8	232	38	493	0	2,635	164	0	222	5,346
<b>Region 6</b>	4	784	0	0	40	119	0	41	231	102	0	231	0	0	92	1,644
<b>Region 7</b>	1	2,358	0	0	74	35	0	5	12	72	39	249	40	1	2	2,888
<b>Region 8</b>	10	211	0	0	11	49	1	58	16	92	0	175	55	0	44	722
<b>Region 9</b>	25	692	0	0	49	167	16	1	22	448	0	1,296	48	0	108	2,872
<b>Region 10</b>	0	2,108	0	0	50	169	2	604	301	576	0	2,216	126	2	33	6,187
<b>Region 11</b>	4	438	0	547	32	122	28	7	95	330	0	1,015	14	0	77	2,709
<b>Region 12</b>	7	3,632	1	0	267	157	42	169	1,887	2,147	0	8,507	56	4	65	16,941
<b>Region 13</b>	12	924	0	524	177	250	11	9	80	358	1	877	105	0	46	3,374
<b>Region 14</b>	1	940	1	0	434	220	0	3	125	234	0	1,370	9	135	83	3,555
<b>Region 15</b>	0	833	0	0	62	175	2	5	30	105	0	611	49	0	104	1,976
<b>Region 16</b>	1	741	0	0	17	85	0	160	49	139	2	289	1	0	26	1,510
<b>Other<sup>29</sup></b>	0	0	0	0	0	0	0	0	8,258	0	0	0	0	0	0	8,258
<b>West, North Central, Border and Coastal Water-masters</b>	0	0	0	0	0	0	0	0	0	0	0	0	27,622	0	0	27,622
<b>TOTALS</b>	573	18,868	3	1,071	1,710	2,227	191	2,016	12,869 <sub>30</sub>	6,266	42	23,956	28,738	185	1,045	<b>99,760</b>

27 Total Investigations is a summary of ALL investigations conducted by TCEQ staff and includes on-site, record reviews and complaint investigations.

28 PWS investigations include Municipal Utility District Construction investigations.

29 "Other" includes those investigations conducted by contracted investigators.

30 There was an increase in PST investigations due to the Federal Energy Bill.

Table T-4B: On-site Investigations Conducted FY 2012 (9/1/2011 – 8/31/2012)

Table T-4B: On-site Investigations <sup>31</sup> Conducted FY 2012 (9/1/2011 - 8/31/2012)																
	AGRI	AIR	DC	EA	IHW	MSW	OC	OSSF	PST	PWS <sup>32</sup>	RAD	WQ	WR	UIC	MM	TOTAL
<b>Region 1</b>	80	182	0	0	60	48	0	161	98	78	0	93	8	20	25	853
<b>Region 2</b>	8	53	0	0	74	59	0	76	78	163	0	84	78	0	41	714
<b>Region 3</b>	9	153	0	0	16	72	0	65	46	120	0	158	73	0	10	722
<b>Region 4</b>	196	2,066	0	0	162	198	21	128	1,334	313	0	580	146	1	53	5,198
<b>Region 5</b>	104	189	0	0	65	144	0	82	18	296	0	538	108	0	215	1,759
<b>Region 6</b>	2	686	0	0	34	105	0	20	217	53	0	132	0	0	85	1,334
<b>Region 7</b>	1	162	0	0	63	22	0	2	12	61	39	39	31	1	2	435
<b>Region 8</b>	8	44	0	0	5	33	0	28	10	45	0	52	32	0	41	298
<b>Region 9</b>	23	274	0	0	35	99	7	0	9	219	0	228	30	0	76	1,000
<b>Region 10</b>	0	949	0	0	35	111	0	394	212	213	0	264	118	2	29	2,327
<b>Region 11</b>	3	148	0	345	26	105	8	6	76	183	0	273	13	0	75	1,261
<b>Region 12</b>	4	1,546	1	0	201	108	1	163	1,686	1,369	0	1,284	31	4	57	6,455
<b>Region 13</b>	12	392	0	264	76	174	0	8	62	201	1	217	24	0	42	1,473
<b>Region 14</b>	0	209	1	0	159	179	0	3	106	107	0	204	8	54	73	1,103
<b>Region 15</b>	0	140	0	0	55	159	1	3	21	76	0	156	49	0	102	762
<b>Region 16</b>	1	164	0	0	17	70	0	106	38	78	2	58	1	0	25	560
<b>Other<sup>33</sup></b>	0	0	0	0	0	0	0	0	8,192	0	0	0	0	0	0	8,192
<b>West, North Central, Border and Coastal Water-masters</b>	0	0	0	0	0	0	0	0	0	0	0	0	27,304	0	0	27,304
<b>TOTALS</b>	451	7,357	2	609	1,083	1,686	38	1,245	12,215 <sub>34</sub>	3,575	42	4,360	28,054	82	951	<b>61,750</b>

31 Total On-site Investigations includes complaint investigations.

32 PWS investigations include Municipal Utility District Construction investigations.

33 "Other" includes those investigations conducted by contracted investigators.

34 There was an increase in PST investigations due to the Federal Energy Bill.

Table T-5A: Total Investigations Conducted FY 2011 (9/1/2010 – 8/31/2011)

Table T-5A: Total Investigations <sup>35</sup> Conducted FY 2011 (9/1/2010 - 8/31/2011)																
	AGRI	AIR	DC	EA	IHW	MSW	OC	OSSF	PST	PWS <sup>36</sup>	RAD	WQ	WR	UIC	MM	TOTAL
<b>Region 1</b>	123	605	0	0	122	73	2	301	153	126	0	346	5	61	5	1,922
<b>Region 2</b>	27	486	0	0	111	81	1	94	122	396	0	342	43	0	28	1,731
<b>Region 3</b>	16	376	0	0	38	89	4	136	91	178	0	711	104	0	18	1,761
<b>Region 4</b>	334	4,750	5	0	206	310	105	161	1,541	766	0	3,131	202	0	43	11,554
<b>Region 5</b>	132	1,385	0	0	91	116	16	262	64	553	0	2,574	120	1	158	5,472
<b>Region 6</b>	3	902	0	0	28	63	2	30	430	121	0	260	2	0	76	1,917
<b>Region 7</b>	3	2,253	0	0	71	48	2	15	11	161	1	276	2	1	14	2,858
<b>Region 8</b>	10	127	0	0	18	69	0	63	92	114	0	213	47	0	47	800
<b>Region 9</b>	72	935	0	0	54	182	30	8	121	526	0	1,305	33	0	90	3,356
<b>Region 10</b>	0	2,012	0	0	81	184	0	784	381	506	0	2,289	148	0	57	6,442
<b>Region 11</b>	6	540	0	541	31	110	49	15	157	406	0	979	43	0	84	2,961
<b>Region 12</b>	10	4,073	2	0	394	245	52	160	3,261	2,543	3	8,463	25	20	87	19,338
<b>Region 13</b>	6	621	0	483	64	224	11	11	132	391	5	925	43	0	58	2,974
<b>Region 14</b>	3	1,131	0	0	424	158	5	3	194	286	2	1,374	3	219	62	3,864
<b>Region 15</b>	0	797	14	0	61	196	2	7	67	151	2	634	1,002	0	115	3,048
<b>Region 16</b>	0	427	0	0	18	55	2	86	61	152	6	308	4	0	19	1,138
<b>Other<sup>37</sup></b>	0	0	0	0	0	0	0	0	5,224	0	0	0	0	0	0	5,224
<b>West, North Central, Border and Coastal Water-masters</b>	0	0	0	0	0	0	0	0	0	0	0	0	29,123	0	0	29,123
<b>TOTALS</b>	745	21,420	21	1,024	1,812	2,203	283	2,136	12,102 <sub>38</sub>	7,376	19	24,130	30,949	302	961	<b>105,483</b>

35 Total Investigations is a summary of ALL investigations conducted by TCEQ staff and includes on-site, record reviews and complaint investigations.

36 PWS investigations include Municipal Utility District Construction investigations.

37 "Other" includes those investigations conducted by contracted investigators.

38 There was an increase in PST investigations due to the Federal Energy Bill.

Table T-5B: On-site Investigations Conducted FY 2011 (9/1/2010 – 8/31/2011)

Table T-5B: On-site Investigations <sup>39</sup> Conducted FY 2011 (9/1/2010 - 8/31/2011)																
	AGRI	AIR	DC	EA	IHW	MSW	OC	OSSF	PST	PWS <sup>40</sup>	RAD	WQ	WR	UIC	MM	TOTAL
<b>Region 1</b>	110	186	0	0	59	53	0	149	128	105	0	146	5	22	2	965
<b>Region 2</b>	21	94	0	0	96	65	0	61	79	131	0	112	25	0	26	710
<b>Region 3</b>	12	157	0	0	36	80	0	75	74	106	0	160	88	0	14	802
<b>Region 4</b>	271	3,739	1	0	165	215	0	120	1,350	421	0	588	60	0	32	6,962
<b>Region 5</b>	114	199	0	0	75	94	0	104	27	314	0	443	27	1	149	1,547
<b>Region 6</b>	2	752	0	0	18	48	0	15	398	46	0	148	2	0	70	1,499
<b>Region 7</b>	3	265	0	0	64	36	0	11	5	67	1	60	0	1	14	527
<b>Region 8</b>	6	46	0	0	9	45	0	31	66	69	0	37	23	0	30	362
<b>Region 9</b>	61	304	0	0	32	101	0	5	29	236	0	273	11	0	82	1,134
<b>Region 10</b>	0	935	0	0	57	110	0	509	203	264	0	246	69	0	38	2,431
<b>Region 11</b>	6	185	0	382	22	82	0	12	90	204	0	226	22	0	73	1,304
<b>Region 12</b>	6	1,955	0	0	276	154	1	143	2,888	1,396	2	1,346	5	20	72	8,264
<b>Region 13</b>	6	299	0	333	50	159	0	11	69	197	5	212	13	0	50	1,404
<b>Region 14</b>	2	188	0	0	130	123	0	2	152	145	2	207	1	55	56	1,063
<b>Region 15</b>	0	169	13	0	58	175	0	2	57	92	2	156	75	0	114	913
<b>Region 16</b>	0	130	0	0	17	49	0	26	53	72	6	83	0	0	15	451
<b>Other<sup>41</sup></b>	0	0	0	0	0	0	0	0	5,105	0	0	0	0	0	0	5,105
<b>West, North Central, Border and Coastal Water-masters</b>	0	0	0	0	0	0	0	0	0	0	0	0	29,123	0	0	29,123
<b>TOTALS</b>	620	9,603	14	715	1,164	1,589	1	1,276	10,773 <sup>42</sup>	3,865	18	4,443	29,549	99	837	<b>64,566</b>

39 Total On-site Investigations includes complaint investigations.

40 PWS investigations include Municipal Utility District Construction investigations.

41 "Other" includes those investigations conducted by contracted investigators.

42 There was an increase in PST investigations due to the Federal Energy Bill.

Table T-6A: Total Investigations Conducted FY 2010 (9/1/2009 – 8/31/2010)

Table T-6A: Total Investigations <sup>43</sup> Conducted FY 2010 (9/1/2009 - 8/31/2010)																
	AGRI	AIR	DC	EA	IHW	MSW	OC	OSSF	PST	PWS <sup>44</sup>	RAD	WQ	WR	UIC	MM	TOTAL
<b>Region 1</b>	120	681	0	0	152	62	2	343	214	149	0	382	3	51	3	2,162
<b>Region 2</b>	33	559	0	0	115	89	0	97	188	282	0	412	1	0	21	1,797
<b>Region 3</b>	19	362	0	0	55	110	5	180	140	268	0	897	61	0	49	2,146
<b>Region 4</b>	358	2,561	19	0	206	362	92	223	1,462	759	0	3,889	314	0	41	10,286
<b>Region 5</b>	200	1,185	0	0	88	154	8	522	71	715	0	3,153	184	1	182	6,463
<b>Region 6</b>	7	1,084	0	4	93	124	3	6	424	256	0	316	4	0	165	2,486
<b>Region 7</b>	4	2,927	0	0	93	58	0	20	51	107	8	286	1	1	11	3,567
<b>Region 8</b>	23	188	0	0	58	79	2	74	111	109	0	308	13	0	36	1,001
<b>Region 9</b>	60	1,025	0	0	41	254	18	24	216	497	0	1,689	187	0	99	4,110
<b>Region 10</b>	64	2,688	0	0	109	274	8	896	401	449	0	2,877	63	1	62	7,892
<b>Region 11</b>	4	466	2	499	37	96	64	19	218	346	0	1,160	50	0	126	3,087
<b>Region 12</b>	9	4,538	14	0	391	336	57	171	2,335	2,053	1	10,105	47	12	95	20,164
<b>Region 13</b>	6	680	5	464	105	229	29	9	241	365	4	1,095	38	0	87	3,357
<b>Region 14</b>	5	1,271	0	0	366	123	1	3	285	251	5	1,645	0	138	88	4,181
<b>Region 15</b>	0	202	0	0	72	122	3	6	198	161	4	805	10	0	52	1,635
<b>Region 16</b>	0	263	1	0	17	71	1	17	65	150	8	403	0	0	13	1,009
<b>Other<sup>45</sup></b>	0	0	0	0	0	0	0	0	539	0	0	0	0	0	0	539
<b>West, North Central, Border and Coastal Water-masters</b>	0	0	0	0	0	0	0	0	0	0	0	0	35,898	0	0	35,898
<b>TOTALS</b>	912	20,680	41	967	1,998	2,543	293	2,610	7,159 <sup>46</sup>	6,917	30	29,422	36,874	204	1,130	<b>111,780</b>

43 Total Investigations is a summary of ALL investigations conducted by TCEQ staff and includes on-site, record reviews and complaint investigations.

44 PWS investigations include Municipal Utility District Construction investigations.

45 "Other" includes those investigations conducted by contracted investigators.

46 There was an increase in PST investigations due to the Federal Energy Bill.

Table T-6B: On-site Investigations Conducted FY 2010 (9/1/2009 – 8/31/2010)

Table T-6B: On-site Investigations <sup>47</sup> Conducted FY 2010 (9/1/2009 - 8/31/2010)																
	AGRI	AIR	DC	EA	IHW	MSW	OC	OSSF	PST	PWS <sub>48</sub>	RAD	WQ	WR	UIC	MM	TOTAL
<b>Region 1</b>	111	252	0	0	80	46	2	177	172	118	0	157	2	17	0	1,134
<b>Region 2</b>	24	93	0	0	105	75	0	51	136	118	0	127	0	0	19	748
<b>Region 3</b>	15	146	0	0	45	90	5	102	102	134	0	170	53	0	38	900
<b>Region 4</b>	242	1,783	14	0	143	145	82	151	1,304	388	0	625	86	0	27	4,990
<b>Region 5</b>	103	195	0	0	76	101	8	106	50	380	0	533	46	1	173	1,772
<b>Region 6</b>	5	884	0	3	63	93	1	2	396	201	0	188	3	0	156	1,995
<b>Region 7</b>	2	131	0	0	78	50	0	12	44	67	8	76	0	0	9	477
<b>Region 8</b>	15	77	0	0	33	57	2	40	81	61	0	112	6	0	26	510
<b>Region 9</b>	14	400	0	0	25	146	16	19	113	285	0	347	46	0	86	1,497
<b>Region 10</b>	0	1,205	0	0	94	197	5	508	287	185	0	326	14	1	47	2,869
<b>Region 11</b>	3	137	0	371	31	69	57	12	174	189	0	298	20	0	110	1,471
<b>Region 12</b>	6	1,995	0	0	308	232	45	143	1,950	1,270	1	1245	16	12	71	7,294
<b>Region 13</b>	6	309	0	319	73	156	26	9	203	214	4	224	17	0	69	1,629
<b>Region 14</b>	3	197	0	0	111	98	1	3	242	128	5	250	0	48	80	1,166
<b>Region 15</b>	0	203	0	0	60	103	1	6	145	96	4	246	9	0	46	919
<b>Region 16</b>	0	114	0	0	16	52	1	9	57	66	8	88	0	0	13	424
<b>Other<sup>49</sup></b>	0	0	0	0	0	0	0	0	441	0	0	0	0	0	0	441
<b>West, North Central, Border and Coastal Water-masters</b>	0	0	0	0	0	0	0	0	0	0	0	0	35,898	0	0	35,898
<b>TOTALS</b>	549	8,121	14	693	1,341	1,710	252	1,350	5,897 <sup>50</sup>	3,900	30	5,012	36,216	79	970	<b>66,134</b>

47 Total On-site Investigations includes complaint investigations.

48 PWS investigations include Municipal Utility District Construction investigations.

49 "Other" includes those investigations conducted by contracted investigators.

50 There was an increase in PST investigations due to the Federal Energy Bill.

Table T-7: Complaint Investigations, FY 2015 (9/1/2014 – 8/31/2015)

Table T-7: Complaint Investigations, FY 2015 (9/1/2014 – 8/31/2015)														
	AGRI	APO	AIR	DC	EA	ER	IHW	MSW	OSSF	PST	PWS	WQ	WR	TOTAL
<b>Region 1</b>	6	0	24	0	0	0	8	14	2	1	0	1	0	56
<b>Region 2</b>	1	1	17	0	0	0	6	9	5	3	17	16	0	75
<b>Region 3</b>	2	3	36	0	0	0	7	20	9	4	25	28	11	145
<b>Region 4</b>	19	12	377	0	0	0	29	39	26	52	122	112	17	805
<b>Region 5</b>	12	6	51	0	0	0	15	24	4	1	57	106	15	291
<b>Region 6</b>	0	1	63	0	0	0	1	26	3	2	4	11	0	111
<b>Region 7</b>	1	0	68	0	0	1	26	36	4	2	5	38	1	182
<b>Region 8</b>	0	0	23	0	0	0	2	14	4	1	6	51	3	104
<b>Region 9</b>	2	1	151	0	0	3	3	56	0	6	78	55	8	363
<b>Region 10</b>	0	1	92	0	0	0	8	17	105	10	54	39	3	329
<b>Region 11</b>	0	1	126	0	26	1	13	48	2	6	15	54	2	294
<b>Region 12</b>	0	1	162	0	0	0	24	87	4	36	241	65	5	625
<b>Region 13</b>	0	4	93	0	12	1	17	56	6	22	15	87	33	346
<b>Region 14</b>	1	2	88	0	0	0	11	20	0	6	25	50	0	203
<b>Region 15</b>	0	1	18	0	0	0	2	29	1	3	14	26	0	94
<b>Region 16</b>	0	1	67	0	0	0	3	29	1	2	10	32	0	145
<b>Water-Master Programs</b>	0	0	0	0	0	0	0	0	0	0	0	0	40	40
<b>No Program Assigned<sup>51</sup></b>														4
<b>TOTALS</b>	44	35	1,456	0	38	6	175	524	176	157	688	771	138	<b>4,212<sup>52</sup></b>

51 "No Program Assigned" includes complaint investigations that cannot be categorized in the listed program areas, or complaints occurring at the end of FY 2015 that have not yet been assigned to a program area.

52 The number of complaints investigated and approved in FY 2015 is 3,962. However, since some complaints are investigated by multiple programs, the total number of complaint investigations is greater.

Table T-8: Complaint Investigations, FY 2014 (9/1/2013 – 8/31/2014)

Table T-8: Complaint Investigations, FY 2014 (9/1/2013 – 8/31/2014)														
	AGRI	APO	AIR	DC	EA	ER	IHW	MSW	OSSF	PST	PWS	WQ	WR	TOTAL
<b>Region 1</b>	8	0	22	0	0	0	11	5	5	0	4	10	0	65
<b>Region 2</b>	7	2	30	0	0	0	9	16	4	3	29	14	0	114
<b>Region 3</b>	2	1	33	0	0	0	6	26	5	6	31	31	10	151
<b>Region 4</b>	11	4	485	0	0	0	12	38	24	63	98	133	18	886
<b>Region 5</b>	12	2	105	0	0	1	16	35	9	6	74	83	13	356
<b>Region 6</b>	0	0	84	0	0	0	2	22	3	1	6	19	0	137
<b>Region 7</b>	0	4	71	0	0	6	20	8	0	9	18	16	0	152
<b>Region 8</b>	0	0	24	0	0	1	0	18	10	0	8	15	5	81
<b>Region 9</b>	6	1	165	0	0	7	7	28	0	4	77	62	13	370
<b>Region 10</b>	0	0	118	0	0	1	6	37	106	9	60	34	2	373
<b>Region 11</b>	1	0	65	0	9	0	7	35	0	8	19	45	3	192
<b>Region 12</b>	5	11	190	0	0	1	20	78	2	75	158	111	4	655
<b>Region 13</b>	0	9	104	0	6	2	26	52	0	18	15	66	23	321
<b>Region 14</b>	4	0	101	0	0	1	5	26	0	8	22	34	0	201
<b>Region 15</b>	0	0	20	0	0	0	1	17	0	1	13	29	1	82
<b>Region 16</b>	0	0	20	0	0	0	1	25	2	2	23	23	0	96
<b>Water-Master Programs</b>	0	0	0	0	0	0	0	0	0	0	0	0	38	38
<b>No Program Assigned<sup>53</sup></b>														3
<b>TOTALS</b>	56	34	1,637	0	15	20	149	466	170	213	655	725	130	<b>4,273<sup>54</sup></b>

53 "No Program Assigned" includes complaint investigations that cannot be categorized in the listed program areas, or complaints occurring at the end of FY 2014 that have not yet been assigned to a program area.

54 The number of complaints investigated and approved in FY 2014 is 4,034. However, since some complaints are investigated by multiple programs, the total number of complaint investigations is greater.

Table T-9: Complaint Investigations, FY 2013 (9/1/2012 – 8/31/2013)

<b>Table T-9: Complaint Investigations, FY 2013 (9/1/2012 – 8/31/2013)</b>														
	<b>AGRI</b>	<b>APO<sup>55</sup></b>	<b>AIR</b>	<b>DC</b>	<b>EA</b>	<b>ER</b>	<b>IHW</b>	<b>MSW</b>	<b>OSSF</b>	<b>PST</b>	<b>PWS</b>	<b>WQ</b>	<b>WR</b>	<b>TOTAL</b>
<b>Region 1</b>	8	0	35	0	0	0	10	13	16	2	14	8	1	107
<b>Region 2</b>	5	0	31	0	0	1	9	13	1	1	27	14	1	103
<b>Region 3</b>	2	0	25	0	0	0	3	25	4	2	29	32	7	129
<b>Region 4</b>	9	1	377	0	0	0	16	43	23	58	30	84	7	648
<b>Region 5</b>	9	0	70	0	0	0	10	25	19	0	51	70	13	267
<b>Region 6</b>	0	0	22	0	0	0	2	36	6	1	5	18	0	90
<b>Region 7</b>	2	1	74	0	0	5	18	22	0	4	9	18	0	153
<b>Region 8</b>	1	0	31	0	0	0	1	27	15	1	2	26	17	121
<b>Region 9</b>	18	0	128	0	0	1	8	37	0	2	70	47	10	321
<b>Region 10</b>	0	1	93	0	0	0	16	29	97	6	40	56	2	340
<b>Region 11</b>	0	3	68	0	11	1	2	34	0	2	14	48	0	183
<b>Region 12</b>	7	2	243	0	0	2	24	60	0	34	111	78	6	567
<b>Region 13</b>	3	0	66	0	13	3	16	40	2	14	23	44	11	235
<b>Region 14</b>	0	1	55	0	0	5	12	38	1	3	11	18	0	144
<b>Region 15</b>	0	0	28	0	0	0	4	34	0	1	9	30	0	106
<b>Region 16</b>	0	1	28	0	0	0	2	13	5	0	14	28	0	91
<b>No Program Assigned<sup>56</sup></b>														6
<b>TOTALS</b>	64	10	1,374	0	24	18	153	489	189	131	459	619	75	<b>3,611<sup>57</sup></b>

55 APO is a new category in the FY 2013 report. See Chapter 6 of this report for more information on the APO Program.

56 “No Program Assigned” includes complaint investigations that cannot be categorized in the listed program areas, or complaints occurring at the end of FY 2013 that have not yet been assigned to a program area.

57 The number of complaints investigated and approved in FY 2013 is 3,480. However, since some complaints are investigated by multiple programs, the total number of complaint investigations is greater.

Table T-10: Complaint Investigations, FY 2012 (9/1/2011 – 8/31/2012)

Table T-10: Complaint Investigations, FY 2012 (9/1/2011 - 8/31/2012)													
	AGRI	AIR	DC	EA	ER	IHW	MSW	OSSF	PST	PWS	WQ	WR	TOTAL
<b>Region 1</b>	15	37	0	0	0	7	14	10	1	2	6	1	93
<b>Region 2</b>	2	16	0	0	0	10	16	6	6	31	9	0	96
<b>Region 3</b>	4	37	0	0	0	0	23	6	3	20	35	9	137
<b>Region 4</b>	23	613	0	0	2	17	53	16	66	27	110	10	937
<b>Region 5</b>	4	86	0	0	1	11	26	13	0	52	101	9	303
<b>Region 6</b>	0	54	0	0	0	3	31	8	3	3	14	0	116
<b>Region 7</b>	0	76	0	0	0	18	11	1	1	10	10	0	127
<b>Region 8</b>	3	28	0	0	0	4	17	3	1	7	45	8	116
<b>Region 9</b>	24	94	0	0	2	15	46	0	3	65	71	3	323
<b>Region 10</b>	0	154	0	0	2	16	72	77	13	89	41	3	467
<b>Region 11</b>	0	56	0	10	1	10	38	2	6	17	57	2	199
<b>Region 12</b>	4	206	0	0	5	16	42	5	30	109	79	9	505
<b>Region 13</b>	5	72	0	6	1	8	28	2	6	20	55	9	212
<b>Region 14</b>	0	78	0	0	0	6	38	0	7	27	27	0	183
<b>Region 15</b>	0	22	0	0	0	4	21	0	6	21	21	6	101
<b>Region 16</b>	0	22	0	0	0	5	23	5	2	11	13	1	82
<b>No Program Assigned<sup>58</sup></b>													7
<b>TOTALS</b>	84	1,651	0	16	14	150	499	154	154	511	694	70	<b>4,004<sup>59</sup></b>

58 "No Program Assigned" includes complaint investigations that cannot be categorized in the listed program areas, or complaints occurring at the end of FY 2012 that have not yet been assigned to a program area.

59 The number of complaints investigated and approved in FY 2012 is 3,943. However, since some complaints are investigated by multiple programs, the total number of complaint investigations is greater.

**Table T-11: Complaint Investigations, FY 2011 (9/1/2010 – 8/31/2011)**

<b>Table T-11: Complaint Investigations, FY 2011 (9/1/2010 - 8/31/2011)</b>													
	<b>AGRI</b>	<b>AIR</b>	<b>DC</b>	<b>EA</b>	<b>ER</b>	<b>IHW</b>	<b>MSW</b>	<b>OSSF</b>	<b>PST</b>	<b>PWS</b>	<b>WQ</b>	<b>WR</b>	<b>TOTAL</b>
<b>Region 1</b>	21	46	0	0	0	6	18	4	2	2	5	1	105
<b>Region 2</b>	5	25	0	0	0	5	17	1	0	17	10	2	82
<b>Region 3</b>	3	53	0	0	0	4	19	2	10	24	30	21	166
<b>Region 4</b>	14	739	1	0	0	31	46	26	64	85	99	4	1,109
<b>Region 5</b>	10	89	0	0	3	14	22	8	3	61	61	11	282
<b>Region 6</b>	1	59	0	0	0	8	25	3	0	6	19	0	121
<b>Region 7</b>	0	140	0	0	4	18	24	2	2	7	18	0	215
<b>Region 8</b>	2	13	0	0	1	4	22	2	2	10	8	3	67
<b>Region 9</b>	77	111	0	0	3	5	48	1	5	84	57	7	398
<b>Region 10</b>	0	182	0	0	2	13	46	89	17	82	38	6	475
<b>Region 11</b>	3	43	0	9	0	6	31	2	0	27	46	0	167
<b>Region 12</b>	6	302	0	0	1	17	71	10	40	155	92	2	696
<b>Region 13</b>	1	100	0	11	1	14	46	1	5	22	58	2	261
<b>Region 14</b>	1	78	0	0	2	9	37	0	7	29	26	0	189
<b>Region 15</b>	0	42	1	0	0	3	56	1	0	27	40	8	178
<b>Region 16</b>	0	28	0	0	0	6	22	3	1	14	8	0	82
<b>No Program Assigned<sup>60</sup></b>													69
<b>TOTALS</b>	144	2,050	2	20	17	163	550	155	158	652	615	67	<b>4,662<sup>61</sup></b>

60 "No Program Assigned" includes complaint investigations that cannot be categorized in the listed program areas or complaints occurring at the end of FY 2011 that have not yet been assigned to a program area.

61 The total number of complaints investigated and approved in FY 2011 is 4,548. However, since some complaints are investigated by multiple programs, the total number of complaint investigations is greater.

**Table T-12: Complaint Investigations, FY 2010 (9/1/2009 – 8/31/2010)**

<b>Table T-12: Complaint Investigations, FY 2010 (9/1/2009 - 8/31/2010)</b>													
	<b>AGRI</b>	<b>AIR</b>	<b>DC<sup>62</sup></b>	<b>EA</b>	<b>ER</b>	<b>IHW</b>	<b>MSW</b>	<b>OSSF</b>	<b>PST</b>	<b>PWS</b>	<b>WQ</b>	<b>WR</b>	<b>TOTAL</b>
<b>Region 1</b>	6	49	0	0	0	8	21	18	0	19	6	0	127
<b>Region 2</b>	7	29	0	0	0	6	13	5	1	12	9	1	83
<b>Region 3</b>	5	40	0	0	0	12	30	28	13	53	35	7	223
<b>Region 4</b>	21	560	6	0	2	30	59	41	44	27	148	12	950
<b>Region 5</b>	7	92	0	0	0	7	9	28	0	75	82	9	309
<b>Region 6</b>	0	56	0	0	1	5	31	1	3	7	17	0	121
<b>Region 7</b>	0	53	0	0	1	16	20	1	3	13	16	0	123
<b>Region 8</b>	5	31	0	0	1	2	21	3	4	8	19	2	96
<b>Region 9</b>	5	336	0	0	0	11	80	2	11	118	132	14	709
<b>Region 10</b>	0	133	0	0	2	13	75	76	19	84	59	3	464
<b>Region 11</b>	1	42	0	19	2	6	41	2	7	25	72	4	221
<b>Region 12</b>	7	324	0	0	4	23	122	8	42	72	94	1	697
<b>Region 13</b>	2	70	0	15	1	17	42	9	25	25	52	0	258
<b>Region 14</b>	0	62	0	0	1	9	29	0	7	32	40	0	180
<b>Region 15</b>	0	50	0	0	1	5	26	0	7	18	67	1	175
<b>Region 16</b>	0	25	0	0	0	4	18	3	1	10	15	1	77
<b>No Program Assigned<sup>63</sup></b>													97
<b>TOTALS</b>	66	1,952	6	34	16	174	637	225	187	598	863	55	<b>4,910<sup>64</sup></b>

<sup>62</sup> Dry Cleaning is a new category in the FY 2010 report. In prior reports this category was reported under the IHW category.

<sup>63</sup> "No Program Assigned" included complaint investigations that cannot be categorized in the listed program areas, or complaints occurring at the end of FY 2010 that have not yet been assigned to a program area.

<sup>64</sup> The number of complaints investigated and approved in FY 2010 is 4,746. However, since some complaints investigated by multiple programs, the total number of complaint investigations is greater.

Table T-13: Notices of Violation Issued (Mailed) FY 2015 (9/1/2014 – 8/31/2015) from Regional Offices

<b>Table T-13: Notices of Violation Issued (Mailed) FY 2015 (9/1/2014 - 8/31/2015) from Regional Offices</b>														
	<b>AGRI</b>	<b>APO</b>	<b>AIR</b>	<b>DC</b>	<b>EA</b>	<b>IHW</b>	<b>MSW</b>	<b>OSSF</b>	<b>PST</b>	<b>PWS</b>	<b>WQ</b>	<b>WR</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 01</b>	6	6	33	0	0	4	2	2	0	36	39	0	2	130
<b>Region 02</b>	3	6	11	0	0	5	8	1	26	48	39	0	4	151
<b>Region 03</b>	12	5	40	0	0	2	3	0	2	70	75	0	12	221
<b>Region 04</b>	11	19	178	0	0	38	33	16	99	130	146	3	20	693
<b>Region 05</b>	4	12	50	0	0	1	5	6	1	131	84	1	14	309
<b>Region 06</b>	2	1	33	0	0	11	26	2	52	15	17	0	16	175
<b>Region 07</b>	1	3	62	0	0	7	4	1	1	4	10	0	4	97
<b>Region 08</b>	0	4	17	0	0	5	17	9	6	21	24	2	11	116
<b>Region 09</b>	0	5	48	0	0	17	30	0	39	96	69	0	12	316
<b>Region 10</b>	0	21	118	0	0	19	25	94	55	104	155	0	9	600
<b>Region 11</b>	0	1	26	0	17	8	13	0	16	65	83	1	23	253
<b>Region 12</b>	0	24	416	0	0	61	16	4	242	512	330	0	46	1,651
<b>Region 13</b>	2	6	64	0	5	8	23	2	5	198	82	1	27	423
<b>Region 14</b>	1	15	82	0	0	12	6	0	26	50	80	0	29	301
<b>Region 15</b>	0	1	15	0	0	10	21	2	17	29	44	0	14	153
<b>Region 16</b>	0	3	23	0	0	1	10	1	9	34	30	0	5	116
<b>TOTALS</b>	42	132	1,216	0	22	209	242	140	596	1,543	1,307	8	248	<b>5,705</b>

Table T-14: Notices of Violation Issued (Mailed) FY 2014 (9/1/2013 – 8/31/2014) from Regional Offices

<b>Table T-14: Notices of Violation Issued (Mailed) FY 2014 (9/1/2013 - 8/31/2014) from Regional Offices</b>														
	<b>AGRI</b>	<b>APO</b>	<b>AIR</b>	<b>DC</b>	<b>EA</b>	<b>IHW</b>	<b>MSW</b>	<b>OSSF</b>	<b>PST</b>	<b>PWS</b>	<b>WQ</b>	<b>WR</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 01</b>	14	7	52	0	0	3	7	5	7	46	33	0	2	176
<b>Region 02</b>	8	4	12	0	0	2	15	1	8	53	20	0	3	126
<b>Region 03</b>	6	7	49	0	0	7	5	0	0	56	54	38	3	225
<b>Region 04</b>	31	19	127	0	0	18	27	23	198	149	190	29	18	829
<b>Region 05</b>	18	5	62	0	0	0	5	6	0	170	64	0	20	350
<b>Region 06</b>	0	10	49	0	0	9	17	1	70	17	29	0	19	221
<b>Region 07</b>	0	2	51	0	0	8	1	0	2	5	14	0	3	86
<b>Region 08</b>	1	1	56	0	0	7	17	11	31	17	13	19	10	183
<b>Region 09</b>	1	13	47	0	0	7	24	0	9	84	79	1	9	274
<b>Region 10</b>	0	18	116	0	0	22	56	107	47	157	141	1	11	676
<b>Region 11</b>	0	7	19	0	11	7	16	0	10	69	114	0	17	270
<b>Region 12</b>	0	40	443	0	0	59	23	0	384	209	347	1	77	1,583
<b>Region 13</b>	0	14	54	0	10	6	10	1	4	89	51	2	22	263
<b>Region 14</b>	1	4	28	0	0	8	20	0	6	58	65	1	28	219
<b>Region 15</b>	0	1	11	0	0	6	25	0	25	36	43	1	12	160
<b>Region 16</b>	0	1	73	0	0	2	9	1	7	34	23	0	15	165
<b>TOTALS</b>	<b>80</b>	<b>153</b>	<b>1249</b>	<b>0</b>	<b>21</b>	<b>171</b>	<b>277</b>	<b>156</b>	<b>808</b>	<b>1249</b>	<b>1280</b>	<b>93</b>	<b>269</b>	<b>5,806</b>

Table T-15: Notices of Violation Issued (Mailed) FY 2013 (9/1/2012 – 8/31/2013) from Regional Offices

<b>Table T-15: Notices of Violation Issued (Mailed) FY 2013 (9/1/2012 - 8/31/2013) from Regional Offices</b>														
	<b>AGRI</b>	<b>APO<sup>65</sup></b>	<b>AIR</b>	<b>DC</b>	<b>EA</b>	<b>IHW</b>	<b>MSW</b>	<b>OSSF</b>	<b>PST</b>	<b>PWS</b>	<b>WQ</b>	<b>WR</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 01</b>	7	12	44	0	0	7	10	14	0	21	36	0	1	152
<b>Region 02</b>	9	1	15	0	0	7	12	0	3	48	31	0	13	139
<b>Region 03</b>	4	9	42	0	0	3	10	0	2	61	45	11	0	187
<b>Region 04</b>	18	16	153	2	0	19	29	22	182	98	134	10	31	714
<b>Region 05</b>	15	2	53	0	0	0	9	8	1	187	66	0	16	357
<b>Region 06</b>	4	1	21	0	0	3	18	0	33	7	15	0	17	119
<b>Region 07</b>	1	0	55	0	0	6	3	0	2	0	10	0	2	79
<b>Region 08</b>	0	0	17	0	0	0	9	9	1	16	21	3	14	90
<b>Region 09</b>	2	0	57	0	0	3	20	0	1	96	81	3	8	271
<b>Region 10</b>	0	11	121	0	0	18	46	102	30	121	158	0	17	624
<b>Region 11</b>	0	4	25	0	39	6	14	0	10	79	101	0	14	292
<b>Region 12</b>	1	4	431	0	0	82	21	1	351	234	422	2	71	1,620
<b>Region 13</b>	1	3	53	0	30	9	16	0	4	87	62	3	22	290
<b>Region 14</b>	4	3	53	0	0	8	24	0	2	85	75	0	27	281
<b>Region 15</b>	0	0	13	0	0	7	26	0	5	32	39	4	11	137
<b>Region 16</b>	0	0	18	0	0	2	7	4	0	24	20	0	10	85
<b>TOTALS</b>	66	66	1,171	2	69	180	274	160	627	1,196	1,316	36	274	<b>5,437</b>

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65 APO is a new category in the FY 2013 report. See Chapter 6 of this report for more information on the APO Program.

**Table T-16: Notices of Violation Issued (Mailed) FY 2012 (9/1/2011 – 8/31/2012) from Regional Offices**

<b>Table T-16: Notices of Violation Issued (Mailed) FY 2012 (9/1/2011 - 8/31/2012) from Regional Offices</b>													
	<b>AGRI</b>	<b>AIR</b>	<b>DC</b>	<b>EA</b>	<b>IHW</b>	<b>MSW</b>	<b>OSSF</b>	<b>PST</b>	<b>PWS</b>	<b>WQ</b>	<b>WR</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 01</b>	14	53	0	0	3	5	9	0	13	36	0	4	137
<b>Region 02</b>	0	10	0	0	8	12	1	4	65	32	0	8	140
<b>Region 03</b>	11	63	0	0	0	4	0	2	65	65	2	5	217
<b>Region 04</b>	44	172	0	0	12	28	17	199	120	219	1	27	839
<b>Region 05</b>	16	58	0	0	4	13	18	0	206	69	1	16	401
<b>Region 06</b>	0	42	0	0	3	31	3	23	26	41	0	18	187
<b>Region 07</b>	1	68	0	0	12	7	0	2	4	13	0	1	108
<b>Region 08</b>	0	13	0	0	1	7	2	4	17	22	0	4	70
<b>Region 09</b>	2	40	0	0	2	21	0	3	114	50	2	17	251
<b>Region 10</b>	0	121	1	0	5	35	75	4	111	139	1	11	543
<b>Region 11</b>	1	21	0	25	8	17	0	10	67	101	0	10	260
<b>Region 12</b>	0	440	0	0	60	33	7	303	305	438	0	33	1,619
<b>Region 13</b>	0	46	0	19	7	19	2	4	64	51	3	9	224
<b>Region 14</b>	0	54	0	0	9	26	0	10	61	76	0	39	275
<b>Region 15</b>	0	4	0	0	8	21	0	4	28	41	1	14	121
<b>Region 16</b>	0	23	0	0	1	15	8	16	20	21	0	5	109
<b>TOTALS</b>	89	1,228	1	44	143	294	142	628	1,286	1,414	11	221	<b>5,501</b>

Table T-17: Notices of Violation Issued (Mailed) FY 2011 (9/1/2010 – 8/31/2011) from Regional Offices

<b>Table T-17: Notices of Violation Issued (Mailed) FY 2011 (9/1/2010 - 8/31/2011) from Regional Offices</b>													
	<b>AGRI</b>	<b>AIR</b>	<b>DC</b>	<b>EA</b>	<b>IHW</b>	<b>MSW</b>	<b>OSSF</b>	<b>PST</b>	<b>PWS</b>	<b>WQ</b>	<b>WR</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 01</b>	8	38	0	0	2	9	3	1	9	44	0	2	116
<b>Region 02</b>	4	8	0	0	13	11	0	4	44	31	0	10	125
<b>Region 03</b>	11	38	0	0	0	6	0	11	51	85	2	3	207
<b>Region 04</b>	41	193	0	0	17	30	19	194	136	184	0	10	824
<b>Region 05</b>	21	83	0	0	9	13	34	0	196	75	5	14	450
<b>Region 06</b>	1	22	0	0	4	15	2	75	16	22	1	13	171
<b>Region 07</b>	0	77	0	0	11	7	1	4	8	10	0	2	120
<b>Region 08</b>	2	10	0	0	7	12	1	14	24	20	2	8	100
<b>Region 09</b>	3	58	0	0	4	20	0	4	108	81	0	9	287
<b>Region 10</b>	0	116	1	0	14	33	79	57	154	132	2	13	601
<b>Region 11</b>	2	22	0	34	5	16	0	11	78	88	0	12	268
<b>Region 12</b>	0	450	0	0	93	20	11	385	348	471	2	48	1,828
<b>Region 13</b>	1	44	0	17	7	35	0	10	68	53	0	7	242
<b>Region 14</b>	1	61	0	0	13	20	0	15	81	88	1	30	310
<b>Region 15</b>	0	21	0	0	10	23	0	12	39	36	9	24	174
<b>Region 16</b>	0	25	0	0	1	9	9	6	24	21	1	3	99
<b>TOTALS</b>	95	1,266	1	51	210	279	159	803	1,384	1,441	25	208	<b>5,922</b>

Table T-18: Notices of Violation Issued (Mailed) FY 2010 (9/1/2009 – 8/31/2010) from Regional Offices

<b>Table T-18: Notices of Violation Issued (Mailed) FY 2010 (9/1/2009 - 8/31/2010) from Regional Offices</b>													
	<b>AGRI</b>	<b>AIR</b>	<b>DC</b>	<b>EA</b>	<b>IHW</b>	<b>MSW</b>	<b>OSSF</b>	<b>PST</b>	<b>PWS</b>	<b>WQ</b>	<b>WR</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 01</b>	17	43	0	0	13	13	13	26	6	59	0	4	194
<b>Region 02</b>	6	16	0	0	6	21	1	50	49	44	0	6	199
<b>Region 03</b>	11	28	0	0	0	2	2	16	77	92	5	15	248
<b>Region 04</b>	53	165	1	0	27	26	28	230	122	191	1	15	859
<b>Region 05</b>	38	69	0	0	6	7	25	9	177	110	8	16	465
<b>Region 06</b>	0	30	0	0	4	13	0	44	20	27	0	36	174
<b>Region 07</b>	0	92	0	0	13	9	0	3	7	18	0	1	143
<b>Region 08</b>	2	17	0	0	13	16	3	35	26	43	5	7	167
<b>Region 09</b>	7	65	0	0	3	41	0	46	105	89	0	10	366
<b>Region 10</b>	0	138	0	0	7	42	84	88	102	167	0	11	639
<b>Region 11</b>	0	17	0	48	5	9	1	50	65	187	0	17	399
<b>Region 12</b>	2	498	0	0	80	30	25	462	338	434	3	53	1,925
<b>Region 13</b>	0	48	0	23	15	20	0	55	67	112	1	13	354
<b>Region 14</b>	1	43	0	0	13	17	0	30	64	78	2	32	280
<b>Region 15</b>	0	23	0	0	7	19	0	88	42	47	3	9	238
<b>Region 16</b>	0	35	0	0	1	6	0	14	31	29	2	1	119
<b>TOTALS</b>	137	1,327	1	71	213	291	182	1,246	1,298	1,727	30	246	<b>6,769</b>

Table T-19: Notices of Violation Issued (Mailed) FY 2015 (9/1/2014 – 8/31/2015) from Central Office

<b>Table T-19: Notices of Violation Issued (Mailed) FY 2015 (9/1/2014 - 8/31/2015) from Central Office</b>												
	<b>AIR</b>	<b>DC</b>	<b>IHW</b>	<b>MSW</b>	<b>OC</b>	<b>PST</b>	<b>PWS</b>	<b>RAD</b>	<b>WQ</b>	<b>WR</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 1</b>	0	0	0	0	0	0	325	0	0	5	0	330
<b>Region 2</b>	0	0	0	0	1	0	1,025	0	0	2	0	1,028
<b>Region 3</b>	1	0	0	0	2	0	635	0	1	39	0	678
<b>Region 4</b>	1	0	0	0	22	0	1,234	0	1	30	0	1,288
<b>Region 5</b>	0	0	0	0	4	0	841	0	3	12	0	860
<b>Region 6</b>	0	0	0	0	0	0	253	0	1	2	0	256
<b>Region 7</b>	9	0	0	0	2	1	646	0	1	1	0	660
<b>Region 8</b>	0	0	0	0	0	0	248	0	0	14	0	262
<b>Region 9</b>	0	0	0	0	2	0	742	0	2	34	0	780
<b>Region 10</b>	0	0	0	0	1	0	1,028	0	2	10	0	1,041
<b>Region 11</b>	0	0	0	0	16	0	678	0	1	8	0	703
<b>Region 12</b>	0	0	0	0	12	0	3,268	0	7	7	0	3,294
<b>Region 13</b>	0	0	0	0	2	0	926	0	0	5	0	933
<b>Region 14</b>	0	0	0	0	0	0	519	0	4	0	1	524
<b>Region 15</b>	0	0	0	0	1	0	185	0	2	0	0	188
<b>Region 16</b>	0	0	0	0	0	0	362	0	1	0	0	363
<b>TOTALS</b>	11	0	0	0	65	1	12,915	0	26	169	1	<b>13,188<sup>66</sup></b>

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66 Most of the Central Office Notices of Violation resulted from the review of self-reported data from PWS systems (12,911)

Table T-20: Notices of Violation Issued (Mailed) FY 2014 (9/1/2013 – 8/31/2014) from Central Office

<b>Table T-20: Notices of Violation Issued (Mailed) FY 2014 (9/1/2013 - 8/31/2014) from Central Office</b>												
	<b>AIR</b>	<b>DC</b>	<b>IHW</b>	<b>MSW</b>	<b>OC</b>	<b>PST</b>	<b>PWS</b>	<b>RAD</b>	<b>WQ</b>	<b>WR</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 1</b>	0	0	0	0	1	0	304	0	0	0	0	305
<b>Region 2</b>	0	0	0	0	0	0	749	0	0	0	0	749
<b>Region 3</b>	0	0	0	0	0	0	364	0	3	6	0	373
<b>Region 4</b>	2	0	0	0	6	0	1,085	0	4	6	0	1,103
<b>Region 5</b>	2	0	0	0	3	0	538	0	9	4	0	556
<b>Region 6</b>	1	0	0	0	0	0	246	0	1	0	0	248
<b>Region 7</b>	0	0	0	0	0	0	492	0	0	0	0	492
<b>Region 8</b>	0	0	0	0	0	0	206	0	2	0	0	208
<b>Region 9</b>	1	0	0	0	1	0	555	0	3	1	0	561
<b>Region 10</b>	2	0	0	0	0	0	605	0	5	6	0	618
<b>Region 11</b>	0	0	0	0	2	0	619	0	1	0	0	622
<b>Region 12</b>	9	0	0	0	5	0	2,360	0	15	1	0	2,390
<b>Region 13</b>	0	0	0	0	1	0	970	1	3	0	0	975
<b>Region 14</b>	2	0	0	0	0	0	354	0	4	0	0	360
<b>Region 15</b>	0	0	0	0	0	0	114	0	4	0	0	118
<b>Region 16</b>	0	0	0	0	0	0	379	0	2	0	0	381
<b>TOTALS</b>	19	0	0	0	19	0	9,940	1	56	24	0	<b>10,059<sup>67</sup></b>

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67 Most of the Central Office Notices of Violation resulted from the review of self-reported data from PWS systems (9,940)

Table T-21: Notices of Violation Issued (Mailed) FY 2013 (9/1/2012 – 8/31/2013) from Central Office

<b>Table T-20: Notices of Violation Issued (Mailed) FY 2013 (9/1/2012 - 8/31/2013) from Central Office</b>												
	<b>AGRI</b>	<b>AIR</b>	<b>DC</b>	<b>IHW</b>	<b>MSW</b>	<b>OC</b>	<b>PST</b>	<b>PWS</b>	<b>RAD</b>	<b>WQ</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 1</b>	0	0	0	0	0	1	0	273	0	0	0	274
<b>Region 2</b>	0	0	0	0	0	0	0	824	0	0	0	824
<b>Region 3</b>	0	0	0	0	0	0	0	273	0	3	0	276
<b>Region 4</b>	0	2	0	0	0	14	0	646	0	13	0	675
<b>Region 5</b>	0	0	0	0	0	16	0	258	0	6	1	281
<b>Region 6</b>	0	0	0	0	0	0	0	141	0	1	0	142
<b>Region 7</b>	0	0	0	0	0	0	0	480	0	0	0	480
<b>Region 8</b>	0	0	0	0	0	0	0	205	0	1	0	206
<b>Region 9</b>	0	0	0	0	0	1	0	373	0	8	0	382
<b>Region 10</b>	0	1	0	0	0	0	0	511	0	4	0	516
<b>Region 11</b>	0	1	0	0	0	4	0	469	0	3	0	477
<b>Region 12</b>	0	2	0	0	0	5	0	1,585	0	20	1	1,613
<b>Region 13</b>	0	3	0	0	0	5	0	540	0	12	0	560
<b>Region 14</b>	0	1	0	0	0	0	0	365	0	6	0	372
<b>Region 15</b>	0	0	0	0	0	0	0	116	0	4	0	120
<b>Region 16</b>	0	0	0	0	0	0	0	229	1	3	0	233
<b>TOTALS</b>	0	10	0	0	0	46	0	7,288	1	84	2	<b>7,431<sup>68</sup></b>

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68 Most of the Central Office Notices of Violation resulted from review of self-reported data from PWS systems (7,280)

Table T-22: Notices of Violation Issued (Mailed) FY 2012 (9/1/2011 – 8/31/2012) from Central Office

<b>Table T-21: Notices of Violation Issued (Mailed) FY 2012 (9/1/2011 - 8/31/2012) from Central Office</b>											
	<b>AGRI</b>	<b>AIR</b>	<b>DC</b>	<b>IHW</b>	<b>MSW</b>	<b>OC</b>	<b>PST</b>	<b>PWS</b>	<b>WQ</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 1</b>	0	0	0	0	2	0	0	247	2	0	251
<b>Region 2</b>	0	1	0	0	0	0	0	812	0	0	813
<b>Region 3</b>	0	6	0	0	0	1	0	335	2	1	345
<b>Region 4</b>	0	36	0	0	2	29	7	835	12	1	922
<b>Region 5</b>	0	0	0	0	0	0	2	279	2	0	283
<b>Region 6</b>	0	0	0	0	0	0	1	169	3	0	173
<b>Region 7</b>	0	2	0	0	0	0	0	535	0	0	537
<b>Region 8</b>	0	0	0	0	0	0	0	229	0	0	229
<b>Region 9</b>	0	1	0	0	2	2	0	405	11	1	422
<b>Region 10</b>	0	0	0	0	1	0	3	642	2	1	649
<b>Region 11</b>	0	0	0	0	0	6	0	612	3	0	621
<b>Region 12</b>	0	11	0	0	0	11	8	2,073	18	1	2,122
<b>Region 13</b>	0	4	0	0	0	0	1	649	1	0	655
<b>Region 14</b>	0	1	0	0	0	0	0	539	1	1	542
<b>Region 15</b>	0	0	0	0	3	1	1	125	4	0	134
<b>Region 16</b>	0	2	0	0	2	0	0	268	1	0	273
<b>TOTALS</b>	0	64	0	0	12	50	23	8,756	62	6	<b>8,973<sup>69</sup></b>

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<sup>69</sup> Most of the Central Office Notices of Violation resulted from review of self-reported data from PWS systems (8,743)

Table T-23: Notices of Violation Issued (Mailed) FY 2011 (9/1/2010 – 8/31/2011) from Central Office

<b>Table T-22: Notices of Violation Issued (Mailed) FY 2011 (9/1/2010 - 8/31/2011) from Central Office</b>											
	<b>AGRI</b>	<b>AIR</b>	<b>DC</b>	<b>IHW</b>	<b>MSW</b>	<b>OC</b>	<b>PST</b>	<b>PWS</b>	<b>WQ</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 1</b>	0	2	0	0	0	0	0	107	2	2	113
<b>Region 2</b>	0	0	0	0	0	0	0	888	0	0	888
<b>Region 3</b>	0	0	0	0	0	4	1	180	1	0	186
<b>Region 4</b>	0	4	2	0	1	50	13	747	5	1	823
<b>Region 5</b>	0	1	0	0	0	8	0	403	1	1	414
<b>Region 6</b>	0	1	0	0	0	1	1	239	0	0	242
<b>Region 7</b>	0	1	0	0	0	1	1	343	0	0	346
<b>Region 8</b>	0	0	0	0	0	0	1	57	2	1	61
<b>Region 9</b>	0	0	0	0	3	15	1	394	3	1	417
<b>Region 10</b>	0	3	0	0	0	0	2	696	0	3	704
<b>Region 11</b>	0	0	0	0	0	14	3	576	1	0	594
<b>Region 12</b>	0	7	0	0	0	22	16	1,796	9	1	1,851
<b>Region 13</b>	0	1	0	1	2	3	0	723	0	1	731
<b>Region 14</b>	0	1	0	0	0	3	4	234	1	1	244
<b>Region 15</b>	0	1	0	0	3	0	1	93	0	1	99
<b>Region 16</b>	0	1	0	0	1	0	0	192	0	2	196
<b>TOTALS</b>	0	23	2	1	10	117	44	7,668	25	15	<b>7,905<sup>70</sup></b>

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70 Most of the Central Office Notices of Violation resulted from review of self-reported data from PWS systems (7,514)

Table T-24: Notices of Violation Issued (Mailed) FY 2010 (9/1/2009 – 8/31/2010) from Central Office

<b>Table T-23: Notices of Violation Issued (Mailed) FY 2010 (9/1/2009 - 8/31/2010) from Central Office</b>											
	<b>AGRI</b>	<b>AIR</b>	<b>DC</b>	<b>IHW</b>	<b>MSW</b>	<b>OC</b>	<b>PST</b>	<b>PWS</b>	<b>WQ</b>	<b>MM</b>	<b>TOTAL</b>
<b>Region 1</b>	0	2	0	0	0	1	0	105	11	1	120
<b>Region 2</b>	0	0	0	0	1	0	1	430	1	0	433
<b>Region 3</b>	0	1	0	0	1	2	1	190	11	0	206
<b>Region 4</b>	0	7	0	2	6	25	16	699	31	1	787
<b>Region 5</b>	0	2	0	0	1	3	2	277	26	1	312
<b>Region 6</b>	0	1	0	0	2	0	2	198	5	0	208
<b>Region 7</b>	0	0	0	0	1	0	2	354	5	0	362
<b>Region 8</b>	0	0	0	0	1	0	1	181	3	2	188
<b>Region 9</b>	0	1	0	0	1	7	1	418	27	2	457
<b>Region 10</b>	0	3	0	0	1	1	7	377	16	2	407
<b>Region 11</b>	0	1	0	0	1	20	3	355	14	1	395
<b>Region 12</b>	0	14	1	0	1	13	17	1,452	125	4	1,627
<b>Region 13</b>	0	1	0	0	5	6	2	413	7	3	437
<b>Region 14</b>	0	0	0	0	2	1	4	322	24	2	355
<b>Region 15</b>	0	1	0	0	2	0	1	76	11	1	92
<b>Region 16</b>	0	2	0	0	4	0	0	129	4	0	139
<b>TOTALS</b>	0	36	1	2	30	79	60	5,976	321	20	<b>6,525<sup>71</sup></b>

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71 Most of the Central Office Notices of Violation resulted from review of self-reported data from PWS systems (5,936)

Table T-25: Summary of Administrative Orders Issued FY 2015

PROGRAMS	TABLE T-25: SUMMARY OF ADMINISTRATIVE ORDERS ISSUED FY 2015				
	NUMBER OF ORDERS ISSUED	ASSESSED	DEFERRED	PAYABLE PENALTY	SEP CONDITIONAL OFFSET
AGRICULTURE	2	\$6,187	\$0	\$6,187	\$0
AIR	270	\$6,806,417	\$825,200	\$3,666,321	\$2,314,896
DRY CLEANERS	2	\$10,500	\$2,100	\$8,400	\$0
INDUSTRIAL AND HAZARDOUS WASTE <sup>72</sup>	21	\$3,169,929	\$357,848	\$2,774,462	\$37,619
MUNICIPAL SOLID WASTE	80	\$754,301	\$197,408	\$510,741	\$46,152
OCCUPATIONAL CERTIFICATION	29	\$19,611	\$1,822	\$17,789	\$0
PETROLEUM STORAGE TANKS	420	\$3,128,018	\$386,068	\$2,710,302	\$31,648
PUBLIC WATER SUPPLY <sup>73</sup>	421	\$609,716	\$102,125	\$503,896	\$3,695
WATER RIGHTS	33	\$29,071	\$1,544	\$26,217	\$1,310
WATER QUALITY	319	\$3,092,022	\$528,874	\$1,874,428	\$688,720
MULTI-MEDIA	84	\$779,266	\$79,291	\$574,900	\$125,075
STIPULATED PENALTIES COLLECTED		\$584,000	\$0	\$292,000	\$292,000
<b>TOTALS</b>	<b>1,681</b>	<b>\$18,989,038</b>	<b>\$2,482,280</b>	<b>\$12,965,643</b>	<b>\$3,541,115</b>

72 Includes Underground Injection Control and Emergency Response cases.

73 Includes Water Utility cases.

Table T-26: Summary of Administrative Orders Issued FY 2014

PROGRAMS	TABLE T-26: SUMMARY OF ADMINISTRATIVE ORDERS ISSUED FY 2014				
	NUMBER OF ORDERS ISSUED	ASSESSED	DEFERRED	PAYABLE PENALTY	SEP CONDITIONAL OFFSET
AGRICULTURE	3	\$12,866	\$250	\$12,616	\$0
AIR	231	\$5,184,041	\$586,024	\$2,970,545	\$1,627,472
DRY CLEANERS	1	\$4,550	\$0	\$4,550	\$0
INDUSTRIAL AND HAZARDOUS WASTE <sup>74</sup>	10	\$603,067	\$50,711	\$530,941	\$21,415
MUNICIPAL SOLID WASTE	37	\$333,402	\$37,823	\$288,939	\$6,640
OCCUPATIONAL CERTIFICATION	19	\$16,921	\$474	\$16,447	\$0
PETROLEUM STORAGE TANKS	682	\$4,339,350	\$527,093	\$3,768,140	\$44,117
PUBLIC WATER SUPPLY <sup>75</sup>	391	\$527,148	\$121,424	\$399,123	\$6,601
WATER RIGHTS	24	\$27,370	\$6,158	\$21,212	\$0
WATER QUALITY	260	\$2,235,545	\$365,625	\$1,329,560	\$540,360
MULTI-MEDIA	50	\$806,905	\$192,548	\$508,647	\$105,710
STIPULATED PENALTIES COLLECTED		\$534,000	\$0	\$267,000	\$267,000
<b>TOTALS</b>	<b>1,708</b>	<b>\$14,625,165</b>	<b>\$1,888,130</b>	<b>\$10,117,720</b>	<b>\$2,619,315</b>

74 Includes Underground Injection Control and Emergency Response cases.

75 Includes Water Utility cases.

**Table T-27: Summary of Administrative Orders Issued FY 2013**

PROGRAMS	TABLE T-27: SUMMARY OF ADMINISTRATIVE ORDERS ISSUED FY 2013				
	NUMBER OF ORDERS ISSUED	ASSESSED	DEFERRED	PAYABLE PENALTY	SEP CONDITIONAL OFFSET
AGRICULTURE	10	\$88,545	\$13,557	\$74,988	\$0
AIR	226	\$3,950,729	\$461,515	\$2,508,942	\$980,272
DRY CLEANERS	2	\$6,000	\$200	\$5,800	\$0
INDUSTRIAL AND HAZARDOUS WASTE <sup>76</sup>	23	\$943,277	\$126,843	\$485,443	\$330,991
MUNICIPAL SOLID WASTE	58	\$552,389	\$63,270	\$481,987	\$7,132
OCCUPATIONAL CERTIFICATION	41	\$30,187	\$1,856	\$28,331	\$0
PETROLEUM STORAGE TANKS	1,079	\$6,004,481	\$937,290	\$4,979,833	\$87,358
PUBLIC WATER SUPPLY <sup>77</sup>	250	\$498,503	\$29,714	\$455,951	\$12,838
WATER RIGHTS	25	\$85,019	\$16,262	\$24,393	\$44,364
WATER QUALITY	385	\$4,863,443	\$1,118,396	\$2,791,722	\$953,325
MULTI-MEDIA	83	\$1,262,232	\$651,505	\$563,019	\$47,708
STIPULATED PENALTIES COLLECTED		\$540,000	\$0	\$270,000	\$270,000
<b>TOTALS</b>	<b>2,182</b>	<b>\$18,824,805</b>	<b>\$3,420,408</b>	<b>\$12,670,409</b>	<b>\$2,733,988</b>

<sup>76</sup> Includes Underground Injection Control and Emergency Response cases.

<sup>77</sup> Includes Water Utility cases.

**Table T-28: Summary of Administrative Orders Issued FY 2012**

PROGRAMS	TABLE T-28: SUMMARY OF ADMINISTRATIVE ORDERS ISSUED FY 2012				
	NUMBER OF ORDERS ISSUED	ASSESSED	DEFERRED	PAYABLE PENALTY	SEP CONDITIONAL OFFSET
AGRICULTURE	11	\$33,265	\$4,358	\$28,907	\$0
AIR	241	\$6,341,818	\$762,477	\$3,639,518	\$1,939,823
DRY CLEANERS	2	\$12,586	\$1,000	\$11,586	\$0
INDUSTRIAL AND HAZARDOUS WASTE <sup>78</sup>	26	\$435,269	\$76,618	\$316,963	\$41,688
MUNICIPAL SOLID WASTE	71	\$738,411	\$68,706	\$666,685	\$3,020
OCCUPATIONAL CERTIFICATION	27	\$17,786	\$691	\$17,095	\$0
PETROLEUM STORAGE TANKS	803	\$4,279,030	\$570,847	\$3,643,259	\$64,924
PUBLIC WATER SUPPLY <sup>79</sup>	222	\$577,463	\$33,696	\$532,315	\$11,452
WATER QUALITY	319	\$2,880,685	\$417,731	\$1,973,286	\$489,668
MULTI-MEDIA	104	\$745,056	\$102,131	\$606,642	\$36,283
STIPULATED PENALTIES COLLECTED		\$248,500	\$0	\$124,250	\$124,250
<b>TOTALS</b>	<b>1,826</b>	<b>\$16,309,869</b>	<b>\$2,038,255</b>	<b>\$11,560,506</b>	<b>\$2,711,108</b>

78 Includes Underground Injection Control and Emergency Response cases.

79 Includes Water Rights and Water Utility cases.

**Table T-29: Summary of Administrative Orders Issued FY 2011**

PROGRAMS	TABLE T-29: SUMMARY OF ADMINISTRATIVE ORDERS ISSUED FY 2011				
	NUMBER OF ORDERS ISSUED	ASSESSED	DEFERRED	PAYABLE PENALTY	SEP CONDITIONAL OFFSET
AGRICULTURE	13	\$55,463	\$6,828	\$48,635	\$0
AIR	311	\$8,965,434	\$1,065,321	\$4,844,406	\$3,055,707
DRY CLEANERS	3	\$8,793	\$1,738	\$7,055	\$0
INDUSTRIAL AND HAZARDOUS WASTE <sup>80</sup>	33	\$1,425,527	\$229,966	\$768,562	\$428,049
MUNICIPAL SOLID WASTE	74	\$637,442	\$34,313	\$513,754	\$89,375
OCCUPATIONAL CERTIFICATION	51	\$51,321	\$1,935	\$49,386	\$0
PETROLEUM STORAGE TANKS	450	\$3,027,118	\$433,722	\$2,581,399	\$11,997
PUBLIC WATER SUPPLY <sup>81</sup>	190	\$591,976	\$26,173	\$563,373	\$2,430
WATER QUALITY	392	\$4,268,730	\$619,234	\$2,524,503	\$1,124,993
MULTI-MEDIA	111	\$1,075,639	\$78,719	\$681,345	\$315,575
<b>TOTALS</b>	<b>1,628</b>	<b>\$20,108,493</b>	<b>\$2,497,949</b>	<b>\$12,582,418</b>	<b>\$5,028,126</b>

80 Includes Underground Injection Control and Emergency Response cases.

81 Includes Water Rights and Water Utility cases.

**Table T-30: Summary of Administrative Orders Issued FY 2010**

PROGRAMS	TABLE T-30: SUMMARY OF ADMINISTRATIVE ORDERS ISSUED FY 2010				
	NUMBER OF ORDERS ISSUED	ASSESSED	DEFERRED	PAYABLE PENALTY	SEP CONDITIONAL OFFSET <sup>82</sup>
AGRICULTURE	23	\$141,890	\$22,643	\$119,247	\$0
AIR	343	\$8,200,123	\$928,358	\$4,889,985	\$2,381,780
DRY CLEANERS	4	\$8,825	\$981	\$7,844	\$0
INDUSTRIAL AND HAZARDOUS WASTE <sup>83</sup>	19	\$612,335	\$158,434	\$285,705	\$168,196
MUNICIPAL SOLID WASTE	60	\$370,644	\$27,535	\$327,609	\$15,500
OCCUPATIONAL CERTIFICATION	66	\$41,020	\$3,982	\$37,038	\$0
PETROLEUM STORAGE TANKS	395	\$3,024,824	\$373,112	\$2,534,871	\$116,841
PUBLIC WATER SUPPLY <sup>84</sup>	223	\$681,723	\$43,640	\$472,463	\$165,620
WATER QUALITY	389	\$3,185,678	\$541,643	\$1,988,642	\$655,393
MULTI-MEDIA	118	\$814,836	\$113,565	\$646,117	\$55,154
<b>TOTALS</b>	<b>1,640</b>	<b>\$17,081,898</b>	<b>\$2,213,893</b>	<b>\$11,309,521</b>	<b>\$3,558,484</b>

82 The SEP Cost category was deleted in FY 2010 since the Litigation Division no longer reports cost as part of the SEP Program

83 Includes Underground Injection Control and Emergency Response cases.

84 Includes Water Rights and Water Utility cases.

**Table T-31: Summary of Civil Judicial Orders Issued FY 2015**

PROGRAMS	TABLE T-31: SUMMARY OF CIVIL JUDICIAL ORDERS ISSUED FY 2015			
	NUMBER OF ORDERS ISSUED	ASSESSED	DEFERRED	PAYABLE PENALTY
AGRICULTURE	1	\$150,000	\$0	\$150,000
AIR	1	\$50,000	\$0	\$50,000
INDUSTRIAL AND HAZARDOUS WASTE <sup>85</sup>	3	\$10,045,275	\$0	\$10,045,275
MUNICIPAL SOLID WASTE	16	\$1,835,944	\$0	\$1,835,944
OCCUPATIONAL CERTIFICATION	0	\$0	\$0	\$0
PETROLEUM STORAGE TANKS	7	\$654,407	\$0	\$654,407
PUBLIC WATER SUPPLY <sup>86</sup>	11	\$1,084,807	\$0	\$1,084,807
WATER QUALITY	2	\$1,600	\$0	\$1,600
MULTI-MEDIA	5	\$2,346,600	\$0	\$2,346,600
<b>TOTALS</b>	<b>46</b>	<b>\$16,168,633</b>	<b>\$0</b>	<b>\$16,168,633</b>

85 Includes Underground Injection Control, Dry Cleaners, and Emergency Response cases.

86 Includes Water Rights and Water Utility cases.

**Table T-32: Summary of Civil Judicial Orders Issued FY 2014**

PROGRAMS	TABLE T-32: SUMMARY OF CIVIL JUDICIAL ORDERS ISSUED FY 2014				
	NUMBER OF ORDERS ISSUED	ASSESSED	DEFERRED	PAYABLE PENALTY	SEP CONDITIONAL OFFSET <sup>87</sup>
AGRICULTURE	0	\$0	\$0	\$0	\$0
AIR	7	\$895,790	\$0	\$895,790	\$0
INDUSTRIAL AND HAZARDOUS WASTE <sup>88</sup>	1	\$48,974	\$0	\$48,974	\$0
MUNICIPAL SOLID WASTE	2	\$247,625	\$0	\$247,625	\$0
OCCUPATIONAL CERTIFICATION	0	\$0	\$0	\$0	\$0
PETROLEUM STORAGE TANKS	6	\$322,118	\$0	\$322,118	\$0
PUBLIC WATER SUPPLY <sup>89</sup>	0	\$0	\$0	\$0	\$0
WATER QUALITY	4	\$1,343,845	\$5,250	\$1,338,595	\$0
MULTI-MEDIA	3	\$3,325,668	\$0	\$3,325,668	\$0
<b>TOTALS</b>	<b>23</b>	<b>\$6,184,020</b>	<b>\$5,250</b>	<b>\$6,178,770</b>	<b>\$0</b>

<sup>87</sup> Beginning in FY 2014, the OAG no longer approves SEPs.

<sup>88</sup> Includes Underground Injection Control, Dry Cleaners, and Emergency Response cases.

<sup>89</sup> Includes Water Rights and Water Utility cases.

**Table T-33: Summary of Civil Judicial Orders Issued FY 2013**

<b>PROGRAMS</b>	<b>TABLE T-33: SUMMARY OF CIVIL JUDICIAL ORDERS ISSUED FY 2013</b>				
	<b>NUMBER OF ORDERS ISSUED</b>	<b>ASSESSED</b>	<b>DEFERRED</b>	<b>PAYABLE PENALTY</b>	<b>SEP CONDITIONAL OFFSET</b>
<b>AGRICULTURE</b>	3	\$372,500	\$10,000	\$362,500	\$0
<b>AIR</b>	4	\$302,063	\$69,375	\$93,938	\$138,750
<b>INDUSTRIAL AND HAZARDOUS WASTE<sup>90</sup></b>	5	\$1,113,697	\$0	\$1,113,697	\$0
<b>MUNICIPAL SOLID WASTE</b>	7	\$178,009	\$7,000	\$171,009	\$0
<b>OCCUPATIONAL CERTIFICATION</b>	0	\$0	\$0	\$0	\$0
<b>PETROLEUM STORAGE TANKS</b>	9	\$2,100,598	\$0	\$2,100,598	\$0
<b>PUBLIC WATER SUPPLY<sup>91</sup></b>	2	\$292,414	\$0	\$292,414	\$0
<b>WATER QUALITY</b>	4	\$64,846	\$64,846	\$0	\$0
<b>MULTI-MEDIA</b>	9	\$8,197,125	\$1,500,000	\$6697,125	\$0
<b>TOTALS</b>	<b>43</b>	<b>\$12,621,251</b>	<b>\$1,651,221</b>	<b>\$10,831,280</b>	<b>\$138,750</b>

90 Includes Underground Injection Control and Emergency Response cases.

91 Includes Water Rights and Water Utility cases.

**Table T-34: Summary of Civil Judicial Orders Issued FY 2012**

<b>PROGRAMS</b>	<b>TABLE T-34: SUMMARY OF CIVIL JUDICIAL ORDERS ISSUED FY 2012</b>				
	<b>NUMBER OF ORDERS ISSUED</b>	<b>ASSESSED</b>	<b>DEFERRED</b>	<b>PAYABLE PENALTY</b>	<b>SEP CONDITIONAL OFFSET</b>
<b>AGRICULTURE</b>	0	\$0	\$0	\$	\$0
<b>AIR</b>	10	\$49,819,025	\$49,000	\$49,770,025	\$49,000
<b>INDUSTRIAL AND HAZARDOUS WASTE<sup>92</sup></b>	4	\$4,681,400	\$0	\$4,681,400	\$0
<b>MUNICIPAL SOLID WASTE</b>	9	\$745,032	\$0	\$745,032	\$0
<b>OCCUPATIONAL CERTIFICATION</b>	0	\$0	\$0	\$0	\$0
<b>PETROLEUM STORAGE TANKS</b>	6	\$228,098	\$0	\$228,098	\$0
<b>PUBLIC WATER SUPPLY<sup>93</sup></b>	6	\$334,800	\$0	\$334,800	\$0
<b>WATER QUALITY</b>	7	\$389,237	\$36,250	\$352,987	\$72,500
<b>MULTI-MEDIA</b>	6	\$1,386,800	\$0	\$1,386,800	\$0
<b>TOTALS</b>	<b>48</b>	<b>\$57,584,392</b>	<b>\$85,250</b>	<b>\$57,499,142</b>	<b>\$121,500</b>

92 Includes Underground Injection Control and Emergency Response cases.

93 Includes Water Rights and Water Utility cases.

**Table T-35: Summary of Civil Judicial Orders Issued FY 2011**

<b>PROGRAMS</b>	<b>TABLE T-35: SUMMARY OF CIVIL JUDICIAL ORDERS ISSUED FY 2011</b>				
	<b>NUMBER OF ORDERS ISSUED</b>	<b>ASSESSED</b>	<b>DEFERRED</b>	<b>PAYABLE PENALTY</b>	<b>SEP CONDITIONAL OFFSET</b>
<b>AGRICULTURE</b>	1	\$633,333	\$0	\$633,333	\$0
<b>AIR</b>	3	\$63,300	\$0	\$63,300	\$0
<b>INDUSTRIAL AND HAZARDOUS WASTE<sup>94</sup></b>	1	\$200,000	\$100,000	\$0	\$100,000
<b>MUNICIPAL SOLID WASTE</b>	4	\$214,155	\$40,000	\$174,155	\$0
<b>OCCUPATIONAL CERTIFICATION</b>	0	\$0	\$0	\$0	\$0
<b>PETROLEUM STORAGE TANKS</b>	8	\$774,570	\$21,000	\$753,570	\$0
<b>PUBLIC WATER SUPPLY<sup>95</sup></b>	0	\$0	\$0	\$0	\$0
<b>WATER QUALITY</b>	6	\$181,817	\$47,307	\$119,510	\$15,000
<b>MULTI-MEDIA</b>	6	\$2,518,796	\$0	\$2,518,796	\$0
<b>TOTALS</b>	<b>29</b>	<b>\$4,585,971</b>	<b>\$208,307</b>	<b>\$4,262,664</b>	<b>\$115,000</b>

94 Includes Underground Injection Control and Emergency Response cases.

95 Includes Water Rights and Water Utility cases.

**Table T-36: Summary of Civil Judicial Orders Issued FY 2010**

PROGRAMS	TABLE T-36: SUMMARY OF CIVIL JUDICIAL ORDERS ISSUED FY 2010				
	NUMBER OF ORDERS ISSUED	ASSESSED	DEFERRED	PAYABLE PENALTY	SEP CONDITIONAL OFFSET <sup>96</sup>
AGRICULTURE	0	\$0	\$0	\$0	\$0
AIR	4	\$1,975,312	\$955,000	\$1,020,312	\$950,000
INDUSTRIAL AND HAZARDOUS WASTE <sup>97</sup>	1	\$0	\$0	\$0	\$0
MUNICIPAL SOLID WASTE	9	\$332,350	\$50,000	\$282,350	\$0
OCCUPATIONAL CERTIFICATION	1	\$31,800	\$0	\$31,800	\$0
PETROLEUM STORAGE TANKS	2	\$149,800	\$0	\$149,800	\$0
PUBLIC WATER SUPPLY <sup>98</sup>	3	\$310,500	\$0	\$310,500	\$0
WATER QUALITY	3	\$596,850	\$500,000	\$96,850	\$375,000
MULTI-MEDIA	4	\$225,348	\$75,000	\$225,348	\$20,000
<b>TOTALS</b>	<b>27</b>	<b>\$3,621,960</b>	<b>\$1,580,000</b>	<b>\$2,116,960</b>	<b>\$1,345,000</b>

<sup>96</sup> The SEP Cost category was deleted in FY 2010 since the Litigation Division no longer reports cost as part of the SEP program.

<sup>97</sup> Includes Underground Injection Control and Emergency Response cases.

<sup>98</sup> Includes Water Rights and Water Utility cases.

# Annual Enforcement Report

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*Fiscal Year 2015*

## **Part Two: Appendices**



Enforcement Division  
Office of Compliance and Enforcement  
Texas Commission on Environmental Quality

**November 2015**

**The electronic annual enforcement report is available on the TCEQ's Web Page at  
<http://www.tceq.texas.gov/enforcement/reports/AER/annenfreport.html>**

# Appendices

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### Administrative Orders

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### Court Orders

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## **Criminal Convictions**

[Appendix 19](#): Description of Criminal Convictions Obtained in Fiscal Year 2015

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## Appendix 1: List of Administrative Orders Issued - FY 2015

### Singles Programs

Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	48056	GAMBOA, ISMAEL	2013-2214-AIR-E	ANGELINA	9/14/2014	\$1,312	\$0	\$1,312	\$0
AQ	43874	DANIELS, ISRAEL JOEL	2012-0702-AIR-E	DALLAS	9/14/2014	\$30,000	\$0	\$30,000	\$0
AQ	47078	ARNOLD CRUSHED STONE, INC.	2013-1183-AIR-E	*MULTIPLE	9/19/2014	\$23,222	\$4,644	\$18,578	\$0
AQ	48251	FL Rich Gas Services, LP	2014-0212-AIR-E	FRIO	9/19/2014	\$102,000	\$0	\$102,000	\$0
AQ	48249	Houston Pipe Line Company, LP	2014-0213-AIR-E	KARNES	9/19/2014	\$6,750	\$0	\$3,375	\$3,375
AQ	47760	Petrosmith Equipment, LP	2013-1878-AIR-E	TAYLOR	9/19/2014	\$27,600	\$5,520	\$22,080	\$0
AQ	48363	Davis Gas Processing, Inc.	2014-0337-AIR-E	REAGAN	9/19/2014	\$14,939	\$0	\$14,939	\$0
AQ	48272	THORNBURG, RIP	2014-0254-AIR-E	ERATH	9/19/2014	\$10,000	\$0	\$10,000	\$0
AQ	48324	The Dow Chemical Company	2014-0300-AIR-E	HARRIS	9/19/2014	\$31,960	\$6,392	\$12,784	\$12,784
AQ	48164	William Marsh Rice University	2014-0117-AIR-E	HARRIS	9/19/2014	\$15,600	\$3,120	\$12,480	\$0
AQ	48223	Equistar Chemicals, LP	2014-0179-AIR-E	CHAMBERS	9/19/2014	\$8,925	\$0	\$4,463	\$4,462
AQ	48465	Chevron Phillips Chemical Company LP	2014-0453-AIR-E	ORANGE	9/22/2014	\$5,062	\$1,012	\$4,050	\$0
AQ	48470	Enterprise Products Operating LLC	2014-0456-AIR-E	WHARTON	9/22/2014	\$5,625	\$1,125	\$4,500	\$0
AQ	48515	Nelson Gardens Energy, LLC	2014-0505-AIR-E	BEXAR	9/22/2014	\$2,813	\$562	\$2,251	\$0
AQ	48453	Exxon Mobil Corporation	2014-0436-AIR-E	BROOKS	9/22/2014	\$2,813	\$562	\$2,251	\$0
AQ	48616	Greenville Electric Utility System	2014-0610-AIR-E	HUNT	9/22/2014	\$3,075	\$615	\$2,460	\$0
AQ	48630	Delek Refining, Ltd.	2014-0641-AIR-E	SMITH	9/22/2014	\$6,563	\$1,312	\$5,251	\$0
AQ	48573	Energy Production Corporation	2014-0562-AIR-E	WOOD	9/22/2014	\$4,312	\$862	\$3,450	\$0
AQ	48512	Odfjell Terminals (Houston), Inc.	2014-0497-AIR-E	HARRIS	9/22/2014	\$3,300	\$660	\$2,640	\$0
AQ	48552	Flint Hills Resources Corpus Christi, LLC	2014-0535-AIR-E	NUECES	9/22/2014	\$7,500	\$1,500	\$6,000	\$0
AQ	41778	Vopak Logistics Services USA Inc.	2011-0897-AIR-E	HARRIS	9/28/2014	\$1,330	\$0	\$1,330	\$0
AQ	48166	Equistar Chemicals, LP	2014-0115-AIR-E	HARRIS	10/5/2014	\$15,000	\$3,000	\$6,000	\$6,000
AQ	47759	Enbridge G & P (North Texas) L.P.	2013-1854-AIR-E	PALO PINTO	10/5/2014	\$62,461	\$12,491	\$24,985	\$24,985
AQ	47536	The Dow Chemical Company	2013-2215-AIR-E	BRAZORIA	10/5/2014	\$49,726	\$9,945	\$19,891	\$19,890
AQ	48435	E. R. Carpenter, L.P.	2014-0424-AIR-E	HARRIS	10/5/2014	\$57,405	\$11,480	\$22,963	\$22,962
AQ	48110	Equistar Chemicals, LP	2014-0048-AIR-E	HARRIS	10/5/2014	\$34,836	\$0	\$17,418	\$17,418
AQ	48255	Wyman-Gordon Forgings, Inc.	2014-0229-AIR-E	HARRIS	10/5/2014	\$23,400	\$4,680	\$9,360	\$9,360
AQ	48108	Total Petrochemicals & Refining USA, Inc.	2014-0046-AIR-E	JEFFERSON	10/5/2014	\$14,563	\$2,912	\$5,826	\$5,825
AQ	48415	Equistar Chemicals, LP	2014-0395-AIR-E	HARRIS	10/5/2014	\$19,688	\$3,937	\$7,876	\$7,875
AQ	48611	Colorado Materials, Ltd.	2014-0611-AIR-E	UVALDE	10/6/2014	\$2,350	\$470	\$1,880	\$0
AQ	48597	LANXESS Corporation	2014-0589-AIR-E	ORANGE	10/6/2014	\$6,563	\$1,312	\$2,626	\$2,625
AQ	48575	Lewis Petro Properties, Inc.	2014-0569-AIR-E	LA SALLE	10/6/2014	\$1,625	\$325	\$1,300	\$0
AQ	48341	Wind Clean Corp.	2014-0307-AIR-E	COLEMAN	10/6/2014	\$6,750	\$1,350	\$2,700	\$2,700
AQ	48681	Owens Corning Roofing and Asphalt, LLC	2014-0686-AIR-E	HARRIS	10/6/2014	\$2,813	\$562	\$2,251	\$0
AQ	48579	Lincoln Manufacturing, Inc.	2014-0571-AIR-E	MONTGOMERY	10/6/2014	\$2,375	\$475	\$1,900	\$0
AQ	43465	Motiva Enterprises LLC	2012-0331-AIR-E	JEFFERSON	10/17/2014	\$34,300	\$6,860	\$13,720	\$13,720

## Singles Programs

## Appendix 1: List of Administrative Orders Issued - FY 2015

Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	48364	Motiva Enterprises LLC	2014-0336-AIR-E	JEFFERSON	10/17/2014	\$67,500	\$0	\$33,750	\$33,750
AQ	46642	The Dow Chemical Company	2013-0736-AIR-E	BRAZORIA	10/17/2014	\$83,825	\$16,765	\$33,530	\$33,530
AQ	47827	PHILLIPS 66 COMPANY	2013-1942-AIR-E	BRAZORIA	10/17/2014	\$40,000	\$0	\$20,000	\$20,000
AQ	48158	Diamond Shamrock Refining Company, L.P.	2014-0120-AIR-E	MOORE	10/17/2014	\$22,751	\$0	\$11,376	\$11,375
AQ	48238	Delek Refining, Ltd.	2014-0197-AIR-E	SMITH	10/17/2014	\$98,637	\$0	\$49,319	\$49,318
AQ	48603	Air Liquide Large Industries U.S. LP	2014-0606-AIR-E	HARRIS	10/18/2014	\$6,225	\$1,245	\$4,980	\$0
AQ	42629	Southwest Shipyard, L.P.	2011-1776-AIR-E	HARRIS	10/18/2014	\$4,125	\$825	\$3,300	\$0
AQ	48326	Shintech Incorporated	2014-0299-AIR-E	BRAZORIA	10/18/2014	\$7,080	\$1,416	\$5,664	\$0
AQ	48707	DCP Midstream, LP	2014-0733-AIR-E	GLASSCOCK	10/18/2014	\$4,000	\$800	\$3,200	\$0
AQ	48733	CENTURY CORROSION TECHNOLOGIES, L.L.C.	2014-0741-AIR-E	HARRIS	10/18/2014	\$5,926	\$1,185	\$4,741	\$0
AQ	48305	Lewis Petro Properties, Inc.	2014-0264-AIR-E	WEBB	10/18/2014	\$3,905	\$781	\$3,124	\$0
AQ	48731	Baker Hughes Incorporated	2014-0740-AIR-E	TRINITY	10/18/2014	\$2,750	\$550	\$2,200	\$0
AQ	48424	Arkema Inc.	2014-0411-AIR-E	JEFFERSON	10/18/2014	\$7,012	\$1,402	\$2,805	\$2,805
AQ	47856	Gulf Coast Waste Disposal Authority	2013-1982-AIR-E	HARRIS	10/18/2014	\$2,075	\$415	\$0	\$1,660
AQ	48666	TPC Group LLC	2014-0687-AIR-E	JEFFERSON	10/18/2014	\$5,775	\$1,155	\$2,310	\$2,310
AQ	48782	Air Liquide Large Industries U.S. LP	2014-0796-AIR-E	BRAZORIA	10/18/2014	\$4,536	\$907	\$3,629	\$0
AQ	48306	TPC Group LLC	2014-0263-AIR-E	HARRIS	10/18/2014	\$6,000	\$1,200	\$2,400	\$2,400
AQ	48304	POST, HENDRIK JR *	2014-0274-AIR-E	FRANKLIN	10/18/2014	\$1,250	\$250	\$500	\$500
AQ	40089	High Tech Auto Restyling INC.	2014-0327-AIR-E	EL PASO	10/25/2014	\$13,750	\$0	\$13,750	\$0
AQ	47607	HASARA, JUSTIN	2013-1772-AIR-E	MONTGOMERY	10/25/2014	\$4,524	\$0	\$4,524	\$0
AQ	46668	KM Aviation, Inc.	2013-0758-AIR-E	CHEROKEE	10/25/2014	\$2,500	\$0	\$2,500	\$0
AQ	42967	MACC Collision Repair Experts, Inc.	2014-0153-AIR-E	EL PASO	10/25/2014	\$6,000	\$0	\$6,000	\$0
AQ	48631	DCP Midstream, LP	2014-0640-AIR-E	HANSFORD	10/27/2014	\$18,562	\$3,712	\$7,425	\$7,425
AQ	48511	AES Deepwater, LLC	2014-0498-AIR-E	HARRIS	10/27/2014	\$17,124	\$3,424	\$13,700	\$0
AQ	48464	Anheuser-Busch, LLC	2014-0454-AIR-E	HARRIS	10/27/2014	\$17,100	\$3,420	\$6,840	\$6,840
AQ	48340	Ascend Performance Materials Texas Inc.	2014-0308-AIR-E	BRAZORIA	10/27/2014	\$15,000	\$3,000	\$6,000	\$6,000
AQ	48396	Shell Chemical LP	2014-0375-AIR-E	HARRIS	10/27/2014	\$400,000	\$0	\$200,000	\$200,000
AQ	47877	PHILLIPS 66 COMPANY	2013-2001-AIR-E	HUTCHINSON	10/27/2014	\$68,494	\$13,698	\$27,398	\$27,398
AQ	47491	Arkema Inc.	2013-1600-AIR-E	JEFFERSON	10/27/2014	\$104,962	\$20,991	\$41,986	\$41,985
AQ	48832	Osburn Contractors, Inc.	2014-0869-AIR-E	*MULTIPLE	10/31/2014	\$4,125	\$825	\$3,300	\$0
AQ	48416	Rommie L. Back	2014-0394-AIR-E	MONTGOMERY	10/31/2014	\$4,012	\$802	\$3,210	\$0
AQ	49089	Bio Energy (Austin), LLC	2014-1121-AIR-E	BEXAR	11/16/2014	\$5,626	\$1,125	\$4,501	\$0
AQ	47799	MARTINEZ, ERIC	2013-1905-AIR-E	EL PASO	11/23/2014	\$1,312	\$0	\$1,312	\$0
AQ	46375	PAVON, TEODORO	2012-2471-MLM-E	BEXAR	11/23/2014	\$7,150	\$0	\$7,150	\$0
AQ	48472	James Hardie Building Products, Inc.	2014-0451-AIR-E	JOHNSON	12/7/2014	\$33,750	\$6,750	\$13,500	\$13,500
AQ	48708	CCP Composites US LLC	2014-0724-AIR-E	HARRIS	12/7/2014	\$22,538	\$4,507	\$18,031	\$0
AQ	44247	ANGEL, JAMES E	2014-0499-AIR-E	TARRANT	12/7/2014	\$9,000	\$0	\$9,000	\$0
AQ	48398	LyondellBasell Acetyls, LLC	2014-0374-AIR-E	HARRIS	12/7/2014	\$95,382	\$19,076	\$38,153	\$38,153

## Singles Programs

## Appendix 1: List of Administrative Orders Issued - FY 2015

Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	48391	DCP Midstream, LP	2014-0381-AIR-E	MOORE	12/7/2014	\$112,500	\$0	\$56,250	\$56,250
AQ	47978	Flint Hills Resources Port Arthur, LLC	2013-2130-AIR-E	JEFFERSON	12/7/2014	\$13,126	\$2,625	\$5,251	\$5,250
AQ	48514	INEOS USA LLC	2014-0496-AIR-E	BRAZORIA	12/7/2014	\$170,625	\$34,125	\$68,250	\$68,250
AQ	48589	Red River Oilfield Services LLC	2014-0583-AIR-E	PARKER	12/7/2014	\$9,000	\$1,800	\$7,200	\$0
AQ	48436	DCP Midstream, LP	2014-0410-AIR-E	HUTCHINSON	12/7/2014	\$27,750	\$5,550	\$11,100	\$11,100
AQ	47608	Bio Energy (Austin), LLC	2013-2018-AIR-E	BEXAR	12/7/2014	\$40,990	\$8,198	\$16,396	\$16,396
AQ	48278	ConocoPhillips Company	2014-0252-AIR-E	HUTCHINSON	12/7/2014	\$76,017	\$15,203	\$30,407	\$30,407
AQ	48732	KM Liquids Terminals LLC	2014-0776-AIR-E	HARRIS	12/7/2014	\$27,562	\$5,512	\$11,025	\$11,025
AQ	47855	GSF Energy, L.L.C.	2013-1983-AIR-E	HARRIS	12/7/2014	\$27,282	\$5,456	\$21,826	\$0
AQ	41726	Gulf Coast Waste Disposal Authority	2011-0837-AIR-E	HARRIS	12/7/2014	\$8,600	\$1,720	\$0	\$6,880
AQ	48161	South Texas Aggregates, Inc.	2014-0151-AIR-E	VAL VERDE	12/19/2014	\$18,269	\$3,653	\$14,616	\$0
AQ	48394	E. I. du Pont de Nemours and Company	2014-0377-AIR-E	ORANGE	12/19/2014	\$25,000	\$0	\$12,500	\$12,500
AQ	48156	Shell Oil Company	2014-0152-AIR-E	HARRIS	12/19/2014	\$38,125	\$0	\$19,063	\$19,062
AQ	48399	Stolt-Nielsen USA Inc.	2014-0373-AIR-E	HARRIS	12/19/2014	\$20,251	\$4,050	\$8,101	\$8,100
AQ	47764	MEMC Pasadena, Inc.	2013-1858-AIR-E	HARRIS	12/19/2014	\$158,200	\$31,640	\$63,280	\$63,280
AQ	48556	Pelican Island Storage Terminal, LLC	2014-0549-AIR-E	GALVESTON	12/19/2014	\$7,875	\$1,575	\$6,300	\$0
AQ	48851	Sebastien Bonneau dba Countryside Farm	2014-0854-AIR-E	BASTROP	12/20/2014	\$1,250	\$250	\$1,000	\$0
AQ	48888	Equistar Chemicals, LP	2014-0897-AIR-E	BRAZORIA	12/20/2014	\$4,040	\$808	\$3,232	\$0
AQ	49030	Lucite International, Inc.	2014-1050-AIR-E	JEFFERSON	12/20/2014	\$7,001	\$1,400	\$5,601	\$0
AQ	48903	TPC Group LLC	2014-0906-AIR-E	HARRIS	12/20/2014	\$3,975	\$795	\$1,590	\$1,590
AQ	48941	Delaware Basin Midstream, LLC	2014-0966-AIR-E	REEVES	12/20/2014	\$5,625	\$1,125	\$4,500	\$0
AQ	48245	GarCo Manufacturing, LLC	2014-0202-AIR-E	HARRIS	1/11/2015	\$1,312	\$0	\$1,312	\$0
AQ	49098	Shell Pipeline Company LP	2014-1125-AIR-E	JEFFERSON	1/12/2015	\$4,201	\$840	\$3,361	\$0
AQ	48930	Solvay USA Inc.	2014-0939-AIR-E	HARRIS	1/12/2015	\$6,563	\$1,312	\$5,251	\$0
AQ	48966	GATX Corporation	2014-0979-AIR-E	ROBERTSON	1/12/2015	\$3,375	\$675	\$2,700	\$0
AQ	48951	Union Carbide Corporation	2014-0968-AIR-E	GALVESTON	1/12/2015	\$297	\$59	\$238	\$0
AQ	48952	Chevron MidContinent, L.P.	2014-0967-AIR-E	ANDREWS	1/12/2015	\$3,975	\$795	\$3,180	\$0
AQ	49168	Republic Plastics, Ltd.	2014-1202-AIR-E	GUADALUPE	1/25/2015	\$5,250	\$1,050	\$4,200	\$0
AQ	49072	Gulf Coast Waste Disposal Authority	2014-1091-AIR-E	GALVESTON	1/25/2015	\$1,941	\$388	\$0	\$1,553
AQ	49229	Lewis Petro Properties, Inc.	2014-1258-AIR-E	WEBB	1/25/2015	\$3,000	\$600	\$2,400	\$0
AQ	48961	Equistar Chemicals, LP	2014-1076-AIR-E	HARRIS	1/25/2015	\$6,563	\$1,312	\$2,626	\$2,625
AQ	49096	Rohm and Haas Chemicals, LLC	2014-1126-AIR-E	HARRIS	1/25/2015	\$3,638	\$727	\$1,456	\$1,455
AQ	48831	Huntsman Petrochemical LLC	2014-0843-AIR-E	JEFFERSON	2/6/2015	\$13,600	\$2,720	\$5,440	\$5,440
AQ	46400	PHILLIPS 66 COMPANYY	2013-0502-AIR-E	HUTCHINSON	2/6/2015	\$43,938	\$8,787	\$17,576	\$17,575
AQ	48803	BP Amoco Chemical Company	2014-0803-AIR-E	GALVESTON	2/6/2015	\$14,513	\$2,902	\$5,806	\$5,805
AQ	44556	General Motors LLC	2012-1411-AIR-E	TARRANT	2/6/2015	\$8,125	\$1,625	\$6,500	\$0
AQ	47501	The Lubrizol Corporation	2013-1608-AIR-E	HARRIS	2/6/2015	\$88,977	\$17,795	\$35,591	\$35,591
AQ	47704	Lucite International, Inc.	2013-1814-AIR-E	JEFFERSON	2/6/2015	\$56,688	\$11,337	\$45,351	\$0

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Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	47676	MAVERICK DRILLING & EXPLORATION USA INC.	2013-1786-AIR-E	FORT BEND	2/6/2015	\$208,622	\$41,724	\$83,449	\$83,449
AQ	48735	Total Petrochemicals & Refining USA, Inc.	2014-0743-AIR-E	JEFFERSON	2/6/2015	\$83,500	\$0	\$41,750	\$41,750
AQ	48668	Enbridge G & P (North Texas) L.P.	2014-0670-AIR-E	JACK	2/6/2015	\$15,400	\$3,080	\$12,320	\$0
AQ	49309	EnLink Midstream Services, LLC	2014-1330-AIR-E	WISE	2/12/2015	\$5,625	\$1,125	\$4,500	\$0
AQ	49203	Tres Palacios Gas Storage LLC	2014-1244-AIR-E	MATAGORDA	2/12/2015	\$5,688	\$1,137	\$4,551	\$0
AQ	49230	Caterpillar Waco Inc.	2014-1257-AIR-E	GUADALUPE	2/12/2015	\$3,563	\$712	\$2,851	\$0
AQ	49064	DCP Midstream, LP	2014-1108-AIR-E	HOWARD	2/12/2015	\$7,500	\$1,500	\$6,000	\$0
AQ	49165	Panda Sherman Power, LLC	2014-1200-AIR-E	GRAYSON	2/12/2015	\$2,813	\$562	\$2,251	\$0
AQ	44975	Aspen Power, LLC	2012-1851-AIR-E	ANGELINA	2/13/2015	\$36,940	\$0	\$36,940	\$0
AQ	47996	Flint Hills Resources Longview, LLC	2013-2149-AIR-E	HARRISON	2/13/2015	\$287,000	\$0	\$143,500	\$143,500
AQ	48895	The Premcor Refining Group Inc.	2014-0903-AIR-E	JEFFERSON	2/13/2015	\$25,000	\$0	\$12,500	\$12,500
AQ	49269	Lide Industries LLC	2014-1294-AIR-E	BELL	2/23/2015	\$6,000	\$1,200	\$4,800	\$0
AQ	49365	CITY OF EL PASO	2014-1393-AIR-E	EL PASO	2/23/2015	\$3,750	\$750	\$0	\$3,000
AQ	48546	ARRIOLA OPERATING & CONSULTING INC.	2014-0537-AIR-E	WILSON	2/26/2015	\$5,491	\$0	\$5,491	\$0
AQ	49189	Energy Transfer Fuel, LP	2014-1246-AIR-E	*MULTIPLE	3/12/2015	\$2,713	\$542	\$2,171	\$0
AQ	49233	CEMEX Construction Materials South, LLC	2014-1255-AIR-E	COMAL	3/12/2015	\$4,538	\$907	\$3,631	\$0
AQ	49282	Robert McHam, Ltd.	2014-1315-AIR-E	MARTIN	3/12/2015	\$3,375	\$675	\$2,700	\$0
AQ	48792	WM Resource Recovery & Recycling Center, Inc.	2014-0794-AIR-E	CHAMBERS	3/12/2015	\$5,325	\$1,065	\$4,260	\$0
AQ	49169	BOSTON, SHANE	2014-1207-AIR-E	ELLIS	3/12/2015	\$1,250	\$250	\$1,000	\$0
AQ	49351	Marquis Construction Services, LLC	2014-1379-AIR-E	BRAZORIA	3/12/2015	\$1,125	\$225	\$900	\$0
AQ	49139	Lehigh Cement Company LLC	2014-1178-AIR-E	MCLENNAN	3/12/2015	\$5,325	\$1,065	\$2,130	\$2,130
AQ	48619	The Premcor Refining Group Inc.	2014-0630-AIR-E	JEFFERSON	3/13/2015	\$41,250	\$8,250	\$16,500	\$16,500
AQ	43146	WIELER, JACOB DYCK	2014-0121-AIR-E	LAMAR	3/13/2015	\$9,750	\$0	\$9,750	\$0
AQ	48662	Enbridge G & P (East Texas) L.P.	2014-0671-AIR-E	CAMP	3/13/2015	\$25,000	\$0	\$12,500	\$12,500
AQ	49078	DCP Midstream, LP	2014-1104-AIR-E	ECTOR	3/13/2015	\$10,447	\$2,089	\$8,358	\$0
AQ	48879	Marathon Petroleum Company LP	2014-0882-AIR-E	GALVESTON	3/13/2015	\$52,747	\$0	\$0	\$52,747
AQ	45939	Rohm and Haas Texas Incorporated	2013-0059-AIR-E	HARRIS	3/13/2015	\$54,275	\$10,855	\$21,710	\$21,710
AQ	49442	T & S Quality Services, LLC	2014-1477-AIR-E	GREGG	3/15/2015	\$2,500	\$500	\$2,000	\$0
AQ	49424	Burlington Resources Oil & Gas Company LP	2014-1447-AIR-E	CRANE	3/15/2015	\$1,125	\$225	\$900	\$0
AQ	49618	LAREDO AUTO ACQUISITIONS INC	2014-1664-AIR-E	WEBB	3/15/2015	\$1,501	\$300	\$1,201	\$0
AQ	43650	JAWAD, JAWAD MOHAMED	2013-0393-AIR-E	DALLAS	4/2/2015	\$30,000	\$0	\$30,000	\$0
AQ	48162	SANTOS, MARTIN	2014-0118-AIR-E	TARRANT	4/2/2015	\$750	\$0	\$750	\$0
AQ	49543	Z READY MIX, INC.	2014-1584-AIR-E	HARRIS	4/4/2015	\$4,321	\$864	\$3,457	\$0
AQ	49187	SIMMONS CUSTOM BOATS, LLC	2014-1221-AIR-E	GALVESTON	4/4/2015	\$3,937	\$787	\$3,150	\$0
AQ	49401	Equistar Chemicals, LP	2014-1433-AIR-E	HARRIS	4/4/2015	\$7,500	\$1,500	\$3,000	\$3,000
AQ	49581	Carrizo (Eagle Ford) LLC	2014-1627-AIR-E	LA SALLE	4/4/2015	\$2,438	\$487	\$1,951	\$0
AQ	49499	Air Liquide Large Industries U.S. LP	2014-1543-AIR-E	HARRIS	4/4/2015	\$3,937	\$787	\$3,150	\$0
AQ	49250	Styrolution America LLC	2014-1274-AIR-E	HARRIS	4/4/2015	\$5,512	\$1,102	\$2,205	\$2,205

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AQ	49318	TXI Operations, LP	2014-1362-AIR-E	NAVARRO	4/4/2015	\$2,813	\$562	\$1,126	\$1,125
AQ	49312	Midlothian Energy, LLC	2014-1377-AIR-E	ELLIS	4/4/2015	\$7,476	\$1,495	\$5,981	\$0
AQ	49410	Liquid Environmental Solutions of Texas, LLC	2014-1443-AIR-E	DALLAS	4/4/2015	\$6,500	\$1,300	\$5,200	\$0
AQ	48850	OCI Beaumont LLC	2014-0867-AIR-E	JEFFERSON	4/9/2015	\$37,500	\$7,500	\$15,000	\$15,000
AQ	49300	Enterprise Products Operating LLC	2014-1321-AIR-E	CHAMBERS	4/9/2015	\$13,125	\$2,625	\$5,250	\$5,250
AQ	49270	Texas A&M University	2014-1295-AIR-E	BRAZOS	4/9/2015	\$24,938	\$4,987	\$19,951	\$0
AQ	48490	The Premcor Refining Group Inc.	2014-0465-AIR-E	JEFFERSON	4/9/2015	\$200,000	\$0	\$100,000	\$100,000
AQ	49174	PHILLIPS 66 COMPANY	2014-1204-AIR-E	HUTCHINSON	4/9/2015	\$10,212	\$2,042	\$4,085	\$4,085
AQ	48929	StarPak, Corp	2014-0961-AIR-E	HARRIS	4/9/2015	\$21,001	\$4,200	\$16,801	\$0
AQ	49228	Gardner Glass Products, Inc.	2014-1282-AIR-E	WALKER	4/9/2015	\$10,913	\$2,182	\$8,731	\$0
AQ	49471	Motiva Enterprises LLC	2014-1514-AIR-E	JEFFERSON	4/9/2015	\$40,000	\$0	\$40,000	\$0
AQ	49019	Stallion Oilfield Services Ltd.	2014-1036-MLM-E	JEFFERSON	4/10/2015	\$2,916	\$0	\$1,458	\$1,458
AQ	48293	VILLARREAL, RUDY	2014-0284-AIR-E	TRAVIS	4/10/2015	\$3,750	\$0	\$3,750	\$0
AQ	49379	Coastal Concrete and Shell Inc.	2014-1403-AIR-E	BRAZORIA	4/23/2015	\$938	\$187	\$751	\$0
AQ	49528	VILLEGAS, EDUARDO	2014-1582-AIR-E	LIBERTY	4/23/2015	\$1,312	\$262	\$1,050	\$0
AQ	49474	Bonifacio Martinez	2014-1511-AIR-E	TARRANT	4/23/2015	\$750	\$150	\$600	\$0
AQ	44914	CITGO Refining and Chemicals Company L.P.	2012-1799-AIR-E	NUECES	4/27/2015	\$9,775	\$0	\$9,775	\$0
AQ	48981	Equistar Chemicals, LP	2014-1003-AIR-E	HARRIS	4/27/2015	\$7,912	\$1,582	\$3,165	\$3,165
AQ	49315	The Praxis Companies, LLC	2014-1336-AIR-E	ELLIS	4/27/2015	\$9,150	\$1,830	\$7,320	\$0
AQ	48610	Linde Gas North America LLC	2014-0612-AIR-E	HARRIS	4/27/2015	\$12,080	\$2,416	\$9,664	\$0
AQ	48926	LCY Elastomers, L.P.	2014-1267-AIR-E	HARRIS	4/27/2015	\$29,340	\$5,868	\$11,736	\$11,736
AQ	48757	E. I. du Pont de Nemours and Company	2014-0835-AIR-E	HARRIS	4/27/2015	\$114,863	\$22,972	\$45,946	\$45,945
AQ	48516	Apache Corporation	2014-0495-AIR-E	WHEELER	4/27/2015	\$25,071	\$5,014	\$20,057	\$0
AQ	49294	Continental Carbon Company	2014-1333-AIR-E	MOORE	5/7/2015	\$40,500	\$8,100	\$32,400	\$0
AQ	49501	Oxy Vinyls, LP	2014-1535-AIR-E	HARRIS	5/7/2015	\$4,575	\$915	\$1,830	\$1,830
AQ	49662	J.H. Strain & Sons, Inc.	2014-1714-AIR-E	TAYLOR	5/7/2015	\$4,125	\$825	\$3,300	\$0
AQ	48639	Sherwin Alumina Company, LLC	2014-0639-AIR-E	SAN PATRICIO	5/7/2015	\$73,125	\$14,625	\$29,250	\$29,250
AQ	48570	TPC Group LLC	2014-0563-AIR-E	HARRIS	5/7/2015	\$0	\$0	\$0	\$0
AQ	49619	Inhance Technologies LLC	2014-1665-AIR-E	HARRIS	5/7/2015	\$2,600	\$520	\$2,080	\$0
AQ	49396	BASF TOTAL Petrochemicals LLC	2014-1426-AIR-E	JEFFERSON	5/7/2015	\$7,125	\$1,425	\$2,850	\$2,850
AQ	49568	MAVERICK TUBE CORPORATION	2014-1614-AIR-E	MONTGOMERY	5/7/2015	\$5,280	\$1,056	\$4,224	\$0
AQ	48578	Chevron Phillips Chemical Company LP	2014-0572-AIR-E	HARRIS	5/7/2015	\$192,626	\$0	\$96,313	\$96,313
AQ	49311	ACME BRICK COMPANY	2014-1331-AIR-E	PARKER	5/7/2015	\$23,668	\$4,733	\$18,935	\$0
AQ	49284	Regency Field Services LLC	2014-1334-AIR-E	PECOS	5/7/2015	\$8,250	\$1,650	\$6,600	\$0
AQ	49639	LS Energy Fabrication, LLC	2014-1693-AIR-E	CHAMBERS	5/7/2015	\$1,300	\$260	\$1,040	\$0
AQ	49449	DCP Midstream, LP	2014-1481-AIR-E	MOORE	5/7/2015	\$33,188	\$6,637	\$13,276	\$13,275
AQ	48268	INVISTA S.a r.l.	2014-0285-AIR-E	ORANGE	5/7/2015	\$99,938	\$19,987	\$39,976	\$39,975
AQ	49050	LOZADA, MIGUEL	2014-1069-AIR-E	EL PASO	5/17/2015	\$3,937	\$0	\$3,937	\$0

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AQ	49810	KUROSKY & COMPANY	2014-1849-AIR-E	TARRANT	5/21/2015	\$1,626	\$325	\$1,301	\$0
AQ	49672	Montgomery Materials, LLC	2014-1723-AIR-E	MONTGOMERY	5/21/2015	\$2,188	\$437	\$1,751	\$0
AQ	49802	Texas American Resources Company	2014-1859-AIR-E	DIMMIT	5/21/2015	\$2,063	\$412	\$1,651	\$0
AQ	49006	Affordable Land Services, LLC	2014-1064-AIR-E	HARRIS	5/21/2015	\$5,990	\$1,198	\$2,396	\$2,396
AQ	45988	Equistar Chemicals, LP	2013-0122-AIR-E	HARRIS	5/23/2015	\$29,575	\$5,915	\$11,830	\$11,830
AQ	49295	Chevron Phillips Chemical Company LP	2014-1329-AIR-E	JEFFERSON	5/23/2015	\$104,525	\$20,905	\$41,810	\$41,810
AQ	49349	Casita Enterprises, Inc.	2014-1378-AIR-E	NAVARRO	5/23/2015	\$7,688	\$1,537	\$6,151	\$0
AQ	49029	The Dow Chemical Company	2014-1053-AIR-E	BRAZORIA	5/23/2015	\$18,750	\$0	\$9,375	\$9,375
AQ	47825	CHASE INDUSTRIES, INC.	2013-1943-AIR-E	CAMERON	5/23/2015	\$37,447	\$7,489	\$14,979	\$14,979
AQ	49237	Davis Gas Processing, Inc.	2014-1253-AIR-E	REAGAN	5/23/2015	\$26,689	\$5,337	\$21,352	\$0
AQ	49366	Formosa Plastics Corporation, Texas	2014-1394-AIR-E	CALHOUN	5/23/2015	\$32,500	\$0	\$16,250	\$16,250
AQ	49451	JSW Steel (USA) Inc.	2014-1488-AIR-E	CHAMBERS	5/23/2015	\$8,925	\$1,785	\$3,570	\$3,570
AQ	49472	Lone Star NGL Fractionators LLC	2014-1519-AIR-E	CHAMBERS	5/23/2015	\$11,700	\$2,340	\$4,680	\$4,680
AQ	48830	LUFKIN CREOSOTING CO., INC.	2014-0834-AIR-E	ANGELINA	5/23/2015	\$14,400	\$2,880	\$5,760	\$5,760
AQ	49352	Pasadena Refining System, Inc	2014-1376-AIR-E	HARRIS	5/23/2015	\$19,688	\$3,937	\$15,751	\$0
AQ	49557	Total Petrochemicals & Refining USA, Inc.	2014-1605-AIR-E	JEFFERSON	6/4/2015	\$7,038	\$1,407	\$2,816	\$2,815
AQ	49720	Meister Industries, Inc.	2014-1771-AIR-E	ECTOR	6/4/2015	\$1,125	\$225	\$900	\$0
AQ	49575	International Paper Company	2014-1617-AIR-E	CASS	6/4/2015	\$3,937	\$787	\$3,150	\$0
AQ	49408	The Lubrizol Corporation	2014-1437-AIR-E	HARRIS	6/12/2015	\$12,688	\$2,537	\$5,076	\$5,075
AQ	49382	Huntsman Petrochemical LLC	2014-1428-AIR-E	JEFFERSON	6/12/2015	\$19,689	\$3,937	\$7,876	\$7,876
AQ	49412	Sid Richardson Carbon, LTD	2014-1460-AIR-E	HUTCHINSON	6/12/2015	\$8,175	\$1,635	\$6,540	\$0
AQ	49450	The Goodyear Tire & Rubber Company	2014-1484-AIR-E	JEFFERSON	6/12/2015	\$117,600	\$23,520	\$47,040	\$47,040
AQ	49507	Total Petrochemicals & Refining USA, Inc.	2014-1550-AIR-E	JEFFERSON	6/12/2015	\$15,000	\$3,000	\$6,000	\$6,000
AQ	49409	TXI Operations, LP	2014-1441-AIR-E	COMAL	6/12/2015	\$59,326	\$11,865	\$23,731	\$23,730
AQ	49765	Elgin-Butler Company	2014-1805-AIR-E	BASTROP	6/18/2015	\$1,500	\$300	\$1,200	\$0
AQ	49231	Texas Hill Country Landscaping INC.	2014-1256-AIR-E	BEXAR	6/18/2015	\$1,312	\$262	\$1,050	\$0
AQ	48835	OROSCO, DANIELA	2014-0852-AIR-E	EL PASO	6/25/2015	\$1,312	\$0	\$1,312	\$0
AQ	43650	Said Mohamed Jawad	2012-0642-AIR-E	DALLAS	6/25/2015	\$7,500	\$0	\$7,500	\$0
AQ	47682	Ballard Exploration Company, Inc.	2013-1791-AIR-E	HARRIS	7/10/2015	\$3,750	\$0	\$3,750	\$0
AQ	49811	Chevron Phillips Chemical Company LP	2014-1850-AIR-E	BRAZORIA	7/10/2015	\$3,975	\$795	\$3,180	\$0
AQ	49891	American Airlines, Inc.	2015-0040-AIR-E	DENTON	7/10/2015	\$2,813	\$562	\$2,251	\$0
AQ	49915	Owens Corning Insulating Systems, LLC	2015-0061-AIR-E	ELLIS	7/10/2015	\$5,662	\$1,132	\$4,530	\$0
AQ	49413	Lion Elastomers LLC	2014-1485-AIR-E	JEFFERSON	7/10/2015	\$7,500	\$0	\$7,500	\$0
AQ	48804	Lewis Petro Properties, Inc.	2014-0817-AIR-E	WEBB	7/17/2015	\$36,628	\$0	\$18,314	\$18,314
AQ	49429	Union Carbide Corporation	2014-1455-AIR-E	GALVESTON	7/17/2015	\$67,600	\$13,520	\$27,040	\$27,040
AQ	47904	Southern Manufacturing Co., L.L.C.	2013-2026-AIR-E	*MULTIPLE	7/17/2015	\$12,000	\$10,800	\$1,200	\$0
AQ	49088	Shell Chemical LP	2014-1110-AIR-E	HARRIS	7/17/2015	\$19,688	\$3,937	\$7,876	\$7,875
AQ	49565	Eastman Chemical Company	2014-1613-AIR-E	HARRISON	7/17/2015	\$8,325	\$1,665	\$3,330	\$3,330

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AQ	49704	Printpack, Inc.	2014-1758-AIR-E	DALLAS	7/17/2015	\$9,375	\$1,875	\$7,500	\$0
AQ	49046	ConocoPhillips Company	2014-1119-AIR-E	HUTCHINSON	7/17/2015	\$13,600	\$2,720	\$5,440	\$5,440
AQ	48849	E. I. du Pont de Nemours and Company	2014-0868-AIR-E	ORANGE	7/17/2015	\$87,500	\$0	\$43,750	\$43,750
AQ	48953	The Lubrizol Corporation	2014-0971-AIR-E	HARRIS	7/17/2015	\$15,000	\$3,000	\$6,000	\$6,000
AQ	49052	EnAqua Solutions, LLC	2014-1070-AIR-E	KARNES	7/17/2015	\$18,375	\$3,675	\$7,350	\$7,350
AQ	49184	Albemarle Corporation	2014-1216-AIR-E	HARRIS	7/17/2015	\$13,575	\$2,715	\$10,860	\$0
AQ	48038	Forged Products, Inc.	2013-2198-AIR-E	HARRIS	7/17/2015	\$12,964	\$2,592	\$10,372	\$0
AQ	49834	Equistar Chemicals, LP	2014-1876-AIR-E	HARRIS	7/19/2015	\$6,563	\$1,312	\$2,626	\$2,625
AQ	50216	National Oilwell Varco, L.P.	2015-0388-AIR-E	TARRANT	7/19/2015	\$2,550	\$510	\$2,040	\$0
AQ	49974	Inhance Technologies LLC	2015-0124-AIR-E	HARRIS	7/19/2015	\$1,300	\$260	\$1,040	\$0
AQ	49668	AA Foundries, Inc.	2014-1722-AIR-E	BEXAR	7/19/2015	\$2,475	\$495	\$1,980	\$0
AQ	50037	Union Tank Car Company	2015-0186-AIR-E	LIBERTY	7/19/2015	\$3,562	\$712	\$1,425	\$1,425
AQ	49721	Ethos Pet Nutrition Inc	2014-1772-AIR-E	BROWN	7/19/2015	\$3,937	\$787	\$3,150	\$0
AQ	49914	Texas Aero Engine Services, L.L.C.	2015-0060-AIR-E	TARRANT	7/19/2015	\$2,438	\$487	\$1,951	\$0
AQ	48972	Cabot Norit Americas, Inc.	2014-1579-AIR-E	HARRISON	8/10/2015	\$80,797	\$16,159	\$64,638	\$0
AQ	49375	DCP Midstream, LP	2014-1410-AIR-E	ECTOR	8/10/2015	\$89,817	\$0	\$89,817	\$0
AQ	49610	SBBQ Operations, LLC	2014-1656-AIR-E	RUSK	8/10/2015	\$10,000	\$2,000	\$8,000	\$0
AQ	50200	UTLX Manufacturing LLC	2015-0366-AIR-E	HARRIS	8/10/2015	\$4,200	\$840	\$3,360	\$0
AQ	49275	TPC Group LLC	2014-1301-AIR-E	JEFFERSON	8/10/2015	\$45,750	\$9,150	\$36,600	\$0
AQ	49478	Shell Oil Company	2014-1522-AIR-E	HARRIS	8/10/2015	\$32,500	\$0	\$16,250	\$16,250
AQ	50291	CALIFORNIA ROCK CRUSHERS	2015-0470-AIR-E	FRIO	8/10/2015	\$1,925	\$385	\$1,540	\$0
AQ	50363	GOLDEN TRIANGLE PROPERTIES, LLC	2015-0561-AIR-E	JEFFERSON	8/10/2015	\$2,438	\$487	\$1,951	\$0
AQ	49759	Baytown Energy Center, LLC	2014-1802-AIR-E	CHAMBERS	8/10/2015	\$66,188	\$0	\$33,094	\$33,094
AQ	49905	Gardner Glass Products, Inc.	2015-0055-AIR-E	WALKER	8/10/2015	\$46,875	\$9,375	\$37,500	\$0
AQ	50387	Enervest Operating, L.L.C.	2015-0610-AIR-E	EDWARDS	8/17/2015	\$3,188	\$637	\$2,551	\$0
AQ	50113	Capital Electric Motor Servic	2015-0279-AIR-E	DALLAS	8/17/2015	\$1,312	\$262	\$1,050	\$0
AQ	50107	The Premcor Refining Group Inc.	2015-0292-AIR-E	JEFFERSON	8/17/2015	\$7,125	\$1,425	\$2,850	\$2,850
AQ	50385	Petro-Crete, LLC	2015-0581-AIR-E	MIDLAND	8/17/2015	\$3,850	\$770	\$3,080	\$0
AQ	49761	CANALES, CIRIA	2014-1168-AIR-E	EL PASO	8/17/2015	\$4,156	\$831	\$3,325	\$0
AQ	50408	Tige Boats, Inc.	2015-0602-AIR-E	TAYLOR	8/17/2015	\$7,125	\$1,425	\$5,700	\$0
AQ	50028	E. I. du Pont de Nemours and Company	2015-0185-AIR-E	HARRIS	8/24/2015	\$25,000	\$0	\$25,000	\$0
AQ	49192	Shell Oil Company	2014-1245-AIR-E	HARRIS	8/24/2015	\$23,450	\$0	\$11,725	\$11,725
AQ	49917	Enbridge G & P (East Texas) L.P.	2015-0062-AIR-E	*MULTIPLE	8/24/2015	\$9,275	\$1,854	\$7,421	\$0
AQ	49823	Chevron Phillips Chemical Company LP	2014-1877-AIR-E	JEFFERSON	8/24/2015	\$95,000	\$0	\$47,500	\$47,500
AQ	49128	WTG Jameson, LP	2014-1162-AIR-E	COKE	8/24/2015	\$70,380	\$0	\$70,380	\$0
AQ	49679	Shell Chemical LP	2014-1728-AIR-E	HARRIS	8/24/2015	\$15,000	\$3,000	\$6,000	\$6,000
AQ	48771	NCI Group, Inc.	2014-0804-AIR-E	HARRIS	8/24/2015	\$15,150	\$3,030	\$6,060	\$6,060
AQ	48911	CITGO Refining and Chemicals Company L.P.	2014-0911-AIR-E	NUECES	8/24/2015	\$11,626	\$0	\$11,626	\$0

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Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	49278	BASF Corporation	2014-1304-AIR-E	BRAZORIA	8/24/2015	\$132,638	\$26,527	\$53,056	\$53,055
AQ	49715	DCP Midstream, LP	2014-1765-AIR-E	HUTCHINSON	8/24/2015	\$25,430	\$0	\$12,715	\$12,715
AQ	42291	United Oilfield Construction LLC *	2014-1205-AIR-E	JOHNSON	8/30/2015	\$18,000	\$0	\$18,000	\$0
AQ	50317	Allied Equipment, Inc.	2015-0503-AIR-E	ECTOR	8/31/2015	\$1,562	\$312	\$1,250	\$0
AQ	50537	TEJAS PRODUCTION SERVICES INC	2015-0739-AIR-E	VICTORIA	8/31/2015	\$2,188	\$437	\$1,751	\$0
AQ	50047	Martin Operating Partnership L. P.	2015-0211-AIR-E	JEFFERSON	8/31/2015	\$1,398	\$279	\$1,119	\$0
<b>Subtotal for AQ : 270</b>						<b>\$6,806,417</b>	<b>\$825,200</b>	<b>\$3,666,321</b>	<b>\$2,314,896</b>
DC	48344	DAO, PHAM *	2014-0326-DCL-E	HARRIS	10/18/2014	\$5,500	\$1,100	\$4,400	\$0
DC	48931	SUPER HEIMER VIEW INC	2014-0937-DCL-E	HARRIS	1/12/2015	\$5,000	\$1,000	\$4,000	\$0
<b>Subtotal for DC : 2</b>						<b>\$10,500</b>	<b>\$2,100</b>	<b>\$8,400</b>	<b>\$0</b>
IHW	48632	TBM HEAT TREATING, INC.	2014-0648-IHW-E	BURNET	9/22/2014	\$787	\$157	\$630	\$0
IHW	48445	Lucite International, Inc.	2014-0423-IHW-E	JEFFERSON	10/18/2014	\$7,500	\$1,500	\$6,000	\$0
IHW	48448	CLEVELAND REGIONAL MEDICAL CENTER, L.P.	2014-0508-IHW-E	LIBERTY	10/27/2014	\$8,533	\$1,706	\$6,827	\$0
IHW	46896	SANDERSON, PAMELA SUE *	2013-1030-MLM-E	BEXAR	11/3/2014	\$7,875	\$0	\$7,875	\$0
IHW	48353	Shell Oil Company	2014-0330-IHW-E	HARRIS	12/7/2014	\$17,500	\$3,500	\$14,000	\$0
IHW	46896	GALLOWAY, VIRGINIA LYNN *	2013-1030-MLM-E	BEXAR	12/27/2014	\$7,875	\$0	\$7,875	\$0
IHW	46658	Haz-Pak, Inc.	2013-0750-MLM-E	SMITH	1/8/2015	\$2,995	\$0	\$2,995	\$0
IHW	40610	Sentinel Plating, Inc.	2014-0058-IHW-E	DALLAS	3/13/2015	\$351,000	\$299,034	\$51,966	\$0
IHW	47668	Cox Manufacturing Company	2013-1769-MLM-E	BEXAR	3/13/2015	\$15,188	\$3,037	\$12,151	\$0
IHW	48232	Carotex, Inc.	2014-0196-IHW-E	JEFFERSON	3/13/2015	\$12,124	\$2,424	\$9,700	\$0
IHW	48049	Exide Technologies	2013-2207-IHW-E	COLLIN	4/27/2015	\$2,451,984	\$0	\$2,451,984	\$0
IHW	47656	Southwest Industrial Services, Inc.	2013-1781-IHW-E	TARRANT	5/23/2015	\$11,250	\$0	\$11,250	\$0
IHW	47859	SIFCO APPLIED SURFACE CONCEPTS LLC	2013-2073-IHW-E	HARRIS	5/23/2015	\$119,521	\$23,903	\$95,618	\$0
IHW	45226	South Hampton Resources, Inc.	2012-2108-IHW-E	HARDIN	5/23/2015	\$32,850	\$0	\$16,425	\$16,425
IHW	47162	LONESTAR ECOLOGY LLC	2013-1275-IHW-E	HARRIS	5/23/2015	\$46,889	\$9,377	\$18,756	\$18,756
IHW	50042	BAIT TEK INC	2015-0199-IHW-E	ORANGE	6/18/2015	\$2,813	\$562	\$2,251	\$0
IHW	49012	L.F. Manufacturing, Inc.	2014-1066-IHW-E	KARNES	6/18/2015	\$6,094	\$1,218	\$2,438	\$2,438
IHW	42685	Benita Castillo Villasenor *	2011-1833-IHW-E	EL PASO	6/25/2015	\$4,750	\$0	\$4,750	\$0
IHW	50140	Taylor Metal Finishing, Inc.	2015-0320-IHW-E	WILLIAMSON	7/19/2015	\$3,000	\$600	\$2,400	\$0
IHW	46402	Petro-Tech Environmental LLC	2013-0500-IHW-E	HARRIS	8/24/2015	\$54,151	\$10,830	\$43,321	\$0
<b>Subtotal for IHW : 20</b>						<b>\$3,164,679</b>	<b>\$357,848</b>	<b>\$2,769,212</b>	<b>\$37,619</b>
IHWNF	42685	RUDNICK, RICHARD *	2011-1833-IHW-E	EL PASO	2/20/2015	\$5,250	\$0	\$5,250	\$0
<b>Subtotal for IHWNP : 1</b>						<b>\$5,250</b>	<b>\$0</b>	<b>\$5,250</b>	<b>\$0</b>
MSW	47892	GRIFFIN, ZACK	2013-2016-MSW-E	BELL	9/14/2014	\$17,587	\$0	\$17,587	\$0
MSW	47353	JONES, STEVE	2013-1461-MSW-E	HOCKLEY	9/14/2014	\$1,312	\$0	\$1,312	\$0
MSW	47189	Weir Bros., Inc.	2013-1289-MSW-E	DENTON	9/19/2014	\$35,000	\$0	\$35,000	\$0
MSW	45434	WAGNER, CATHERINE ANN	2012-2315-MSW-E	IRION	9/19/2014	\$0	\$0	\$0	\$0
MSW	48411	BURGOS, JORGE	2014-0379-MSW-E	TRAVIS	9/22/2014	\$3,607	\$721	\$2,886	\$0

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MSW	46965	MENDEZ, MARGARITO	2013-1064-MSW-E	NACOGDOCHES	9/28/2014	\$1,250	\$0	\$1,250	\$0
MSW	48447	HORWOOD, LARRY RICHARD	2014-0434-MSW-E	STERLING	10/6/2014	\$1,250	\$250	\$1,000	\$0
MSW	48588	JOHNSON, THOMAS F III	2014-0588-MSW-E	UVALDE	10/6/2014	\$1,250	\$250	\$1,000	\$0
MSW	47853	Marta Araceli Martinez *	2013-1972-MSW-E	CAMERON	10/6/2014	\$5,625	\$1,125	\$4,500	\$0
MSW	48615	HERNANDEZ, ROCIO	2014-0609-MSW-E	EL PASO	10/6/2014	\$1,312	\$262	\$1,050	\$0
MSW	48362	ZAPATA, DANIEL C	2014-0335-MSW-E	SCHLEICHER	10/6/2014	\$1,250	\$250	\$1,000	\$0
MSW	48331	BFI Waste Systems of North America, LLC	2014-0319-MSW-E	BURNET	10/6/2014	\$2,624	\$524	\$1,050	\$1,050
MSW	47771	RINGO, RICHARD *	2013-1877-MSW-E	SHELBY	10/18/2014	\$7,500	\$1,500	\$6,000	\$0
MSW	48205	Acme Bridge Company, Inc.	2014-0161-MSW-E	COMAL	10/18/2014	\$6,563	\$1,312	\$5,251	\$0
MSW	47886	1915 Enterprises, LP	2013-2013-MSW-E	JOHNSON	10/18/2014	\$7,500	\$1,500	\$6,000	\$0
MSW	44496	HD Recycling, LLC	2012-1359-MSW-E	PARKER	10/27/2014	\$12,302	\$11,102	\$1,200	\$0
MSW	34149	DECHERT, BILL	2014-0361-MSW-E	KIMBLE	10/27/2014	\$23,000	\$0	\$11,500	\$11,500
MSW	48271	COMEX ENVIRONMENTAL LLC	2014-0225-MSW-E	HARRIS	10/27/2014	\$16,163	\$3,232	\$12,931	\$0
MSW	47556	HD Recycling, LLC	2013-1654-MSW-E	PARKER	10/27/2014	\$105,207	\$104,007	\$1,200	\$0
MSW	47986	D+A Financial Services, LLC	2013-2142-MSW-E	HARDIN	11/6/2014	\$250	\$0	\$250	\$0
MSW	47802	ESQUIVEL, ROGELIO *	2013-1903-MSW-E	HIDALGO	11/6/2014	\$22,500	\$0	\$22,500	\$0
MSW	47887	Exterior Repair International, LLC	2013-2012-MSW-E	JOHNSON	11/6/2014	\$17,472	\$0	\$17,472	\$0
MSW	44270	EVANS, ROBERT J JR *	2012-1129-MSW-E	KAUFMAN	11/13/2014	\$2,000	\$0	\$2,000	\$0
MSW	48095	City of Haskell	2014-0033-MSW-E	HASKELL	12/6/2014	\$5,962	\$1,192	\$4,770	\$0
MSW	48794	City of Big Lake	2014-0825-MSW-E	REAGAN	12/6/2014	\$4,975	\$995	\$3,980	\$0
MSW	47038	SIENNA MULCH, INC.	2013-1135-MSW-E	FORT BEND	12/7/2014	\$24,987	\$0	\$24,987	\$0
MSW	48000	BOWEN, RANDY	2013-2155-MSW-E	JOHNSON	12/27/2014	\$5,343	\$0	\$5,343	\$0
MSW	47319	ROBERTS, JOHN	2013-1434-MSW-E	WILLACY	12/27/2014	\$11,250	\$0	\$11,250	\$0
MSW	48313	LYNCH, DUSTIN	2014-0298-MSW-E	HARDIN	12/27/2014	\$1,312	\$0	\$1,312	\$0
MSW	48974	RAMOS, JOSE *	2014-1012-MSW-E	DENTON	1/25/2015	\$2,250	\$450	\$1,800	\$0
MSW	48874	GARCIA, JOSE VALENTIN	2014-0931-MSW-E	HIDALGO	1/25/2015	\$1,312	\$262	\$1,050	\$0
MSW	49153	WHITE, MICKEY	2014-1199-MSW-E	SAN SABA	1/25/2015	\$1,250	\$250	\$1,000	\$0
MSW	44237	RAY, RICKY	2012-1090-MSW-E	BOWIE	2/20/2015	\$2,675	\$0	\$2,675	\$0
MSW	49287	Refugio County	2014-1309-MLM-E	REFUGIO	2/23/2015	\$1,575	\$315	\$1,260	\$0
MSW	49130	City Of Olton	2014-1160-MSW-E	LAMB	2/23/2015	\$3,825	\$765	\$3,060	\$0
MSW	47907	LADNER, DONALD E SR *	2013-2029-MSW-E	TAYLOR	2/26/2015	\$2,624	\$0	\$2,624	\$0
MSW	39675	Garrett Construction Co.	2013-1921-MSW-E	SAN PATRICIO	2/26/2015	\$27,000	\$0	\$27,000	\$0
MSW	47905	LADNER, DONALD E SR	2013-2030-MSW-E	TAYLOR	2/26/2015	\$3,936	\$0	\$3,936	\$0
MSW	48252	Ian W. Allen	2014-0210-MSW-E	TOM GREEN	2/26/2015	\$1,312	\$0	\$1,312	\$0
MSW	48561	STANLEY, CHAD	2014-0582-MSW-E	CALDWELL	2/26/2015	\$1,312	\$0	\$1,312	\$0
MSW	47869	SIMONS, CHAD *	2013-1993-MSW-E	BURNET	3/5/2015	\$15,000	\$0	\$15,000	\$0
MSW	48922	MOBILE HOME SUPPLY INC	2014-0933-MSW-E	EL PASO	3/12/2015	\$5,249	\$1,049	\$4,200	\$0
MSW	37651	LEATH, EDWARD RUSSELL *	2013-1851-MSW-E	EASTLAND	3/13/2015	\$6,750	\$0	\$6,750	\$0

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MSW	41796	FARRELL, LEE ANN	2013-2222-MSW-E	SAN JACINTO	3/13/2015	\$11,625	\$10,425	\$1,200	\$0
MSW	49518	City of Haskell	2014-1565-MSW-E	HASKELL	3/15/2015	\$1,250	\$250	\$1,000	\$0
MSW	48774	Kelly's Used Auto Parts, Inc.	2014-0879-MSW-E	MCLENNAN	3/15/2015	\$6,750	\$1,350	\$5,400	\$0
MSW	48749	RUTHERFORD, WILLIAM ROBERT	2014-0802-MSW-E	BELL	4/2/2015	\$16,250	\$0	\$16,250	\$0
MSW	48521	Wesley Joseph Martin III	2014-0503-MSW-E	BELL	4/2/2015	\$11,812	\$0	\$11,812	\$0
MSW	49602	LY SA VAN	2014-1654-MLM-E	JEFFERSON	4/4/2015	\$1,313	\$262	\$1,051	\$0
MSW	49562	ANTONUCCI, RUSSELL	2014-1607-MSW-E	HARRIS	4/4/2015	\$1,125	\$225	\$900	\$0
MSW	47894	GARCIA, ANGEL	2013-2021-MSW-E	HIDALGO	4/10/2015	\$577	\$0	\$577	\$0
MSW	47860	M & M WASTE, INC. *	2013-1981-MLM-E	HARRIS	4/10/2015	\$4,000	\$0	\$4,000	\$0
MSW	48877	Amos Trucking LLC	2014-0960-MSW-E	UPSHUR	4/10/2015	\$3,500	\$0	\$3,500	\$0
MSW	43562	MORENO, JAVIER *	2012-0367-MSW-E	MONTGOMERY	5/7/2015	\$33,500	\$0	\$33,500	\$0
MSW	47828	MENDEZ, ALEX	2013-1937-MSW-E	HIDALGO	5/23/2015	\$39,319	\$7,863	\$31,456	\$0
MSW	47650	Eureka! Computer Scrap Recyclers LLC	2013-1752-MSW-E	ROCKWALL	5/23/2015	\$14,413	\$0	\$14,413	\$0
MSW	48459	RECON SERVICES, INC.	2014-0433-MSW-E	TRAVIS	5/23/2015	\$18,771	\$3,754	\$15,017	\$0
MSW	49136	Dooner Organics Inc.	2014-1167-MSW-E	GONZALES	6/4/2015	\$3,950	\$790	\$3,160	\$0
MSW	49606	Irion County	2014-1653-MSW-E	IRION	6/12/2015	\$13,200	\$2,640	\$10,560	\$0
MSW	49928	City of Trinity	2015-0116-MSW-E	TRINITY	6/18/2015	\$1,375	\$275	\$1,100	\$0
MSW	48444	MOTAL, CONNIE H *	2014-0418-MSW-E	LAVACA	6/25/2015	\$1,312	\$0	\$1,312	\$0
MSW	49359	AGUILAR, SALVADOR	2014-1381-MSW-E	EL PASO	6/25/2015	\$3,937	\$0	\$3,937	\$0
MSW	48543	GREEN, CHARLES	2014-0521-MSW-E	HARDIN	7/2/2015	\$1,375	\$0	\$1,375	\$0
MSW	49991	Thermo Fluids Inc.	2015-0140-MSW-E	TERRY	7/10/2015	\$713	\$142	\$571	\$0
MSW	50186	City Of Levelland	2015-0456-MSW-E	HOCKLEY	7/19/2015	\$938	\$187	\$751	\$0
MSW	49975	William Smith	2015-0156-MSW-E	SAN JACINTO	7/19/2015	\$157	\$31	\$126	\$0
MSW	47211	Rapid Marine Fuels, LLC *	2013-1309-MLM-E	BEXAR	8/6/2015	\$5,500	\$0	\$5,500	\$0
MSW	48521	LECUER, DONALD	2014-0503-MSW-E	BELL	8/6/2015	\$11,812	\$0	\$11,812	\$0
MSW	50172	Break it Down, L.L.C.	2015-0360-MSW-E	TRAVIS	8/10/2015	\$2,188	\$437	\$1,751	\$0
MSW	50310	Irion County	2015-0502-MSW-E	IRION	8/10/2015	\$788	\$157	\$631	\$0
MSW	49687	SIMS FARMS, LTD.	2014-1736-MSW-E	TOM GREEN	8/10/2015	\$7,940	\$1,588	\$6,352	\$0
MSW	48060	Downstream Environmental, L.L.C.	2014-0009-MSW-E	HARRIS	8/10/2015	\$22,500	\$14,826	\$7,674	\$0
MSW	50105	D & D TOOLING AND MANUFACTURING, INC.	2015-0265-MSW-E	EL PASO	8/17/2015	\$250	\$50	\$200	\$0
MSW	49838	Joe Burnes	2014-1884-MSW-E	TAYLOR	8/17/2015	\$3,750	\$750	\$3,000	\$0
MSW	49612	AG-Land Distribution, Inc.	2014-1670-MSW-E	LUBBOCK	8/24/2015	\$12,625	\$2,525	\$10,100	\$0
MSW	48772	TIDROW, GARY	2014-0782-MSW-E	HASKELL	8/24/2015	\$15,750	\$8,907	\$6,843	\$0
MSW	49031	Hidalgo County	2014-1094-MSW-E	HIDALGO	8/24/2015	\$17,813	\$3,562	\$0	\$14,251
MSW	47984	Tony's Tire Service *	2013-2144-MSW-E	BEXAR	8/24/2015	\$14,300	\$0	\$14,300	\$0
MSW	49844	Angelina County	2014-1886-MSW-E	ANGELINA	8/24/2015	\$24,188	\$4,837	\$0	\$19,351
MSW	50273	Aurora Povlich	2015-0448-MSW-E	EL PASO	8/31/2015	\$1,312	\$262	\$1,050	\$0
<b>Subtotal for MSW : 80</b>						<b>\$754,301</b>	<b>\$197,408</b>	<b>\$510,741</b>	<b>\$46,152</b>

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OL	44377	WALKER, JOSHUA	2012-1234-LII-E	TRAVIS	9/22/2014	\$765	\$153	\$612	\$0
OL	49081	GONZALES, JAVIER	2014-1115-WOC-E	WILLACY	10/18/2014	\$175	\$0	\$175	\$0
OL	47289	Bellman, Jeremy D.	2013-1401-LII-E	BEXAR	10/25/2014	\$2,021	\$0	\$2,021	\$0
OL	46718	Joshua G. Duke	2013-0808-LII-E	GREGG	10/25/2014	\$1,390	\$0	\$1,390	\$0
OL	47406	Robert A. Garner	2013-1530-LII-E	CORYELL	11/6/2014	\$3,416	\$0	\$3,416	\$0
OL	49484	John Doyle Shaw	2014-1533-WOC-E	IRION	12/20/2014	\$175	\$0	\$175	\$0
OL	49483	LEIBER, EDWARD	2014-1521-WOC-E	GRIMES	12/20/2014	\$175	\$0	\$175	\$0
OL	47943	Chez Eugene Weaver	2013-2087-LII-E	POTTER	1/8/2015	\$958	\$0	\$958	\$0
OL	49544	Simon Gonzalez	2014-1585-WOC-E	SMITH	1/12/2015	\$175	\$0	\$175	\$0
OL	49530	ROQUE, CUITLAHUAC P	2014-1581-WOC-E	HIDALGO	1/12/2015	\$175	\$0	\$175	\$0
OL	49799	GOOCH, MICHAEL B	2014-1845-WOC-E	TARRANT	2/23/2015	\$175	\$0	\$175	\$0
OL	49798	Alex Garza	2014-1843-WOC-E	BEXAR	2/23/2015	\$175	\$0	\$175	\$0
OL	49558	Walter E. Nix Jr.	2014-1628-WOC-E	BURLESON	4/4/2015	\$867	\$173	\$694	\$0
OL	50017	Heriberto Gallegos Jr.	2015-0168-LII-E	BEXAR	4/23/2015	\$175	\$0	\$175	\$0
OL	50016	MOFFITT, MICHAEL L	2015-0167-LII-E	RANDALL	4/23/2015	\$175	\$0	\$175	\$0
OL	46673	Christopher C. McCall	2013-0770-LII-E	TARRANT	4/29/2015	\$250	\$0	\$250	\$0
OL	49496	Eric Blakley	2014-1529-LII-E	HARRIS	5/7/2015	\$600	\$120	\$480	\$0
OL	50227	PIEDRA, JESUS G	2015-0394-WOC-E	TARRANT	6/4/2015	\$175	\$0	\$175	\$0
OL	49965	Casey Layne Vickrey	2015-0125-LII-E	TRAVIS	6/18/2015	\$237	\$47	\$190	\$0
OL	50384	Chris M. Humphreys	2015-0576-WOC-E	BEXAR	7/10/2015	\$175	\$0	\$175	\$0
OL	50214	Sergio Pelaez	2015-0391-LII-E	COLLIN	7/10/2015	\$1,200	\$240	\$960	\$0
OL	49806	Gerald Maxwell	2014-1851-LII-E	DENTON	7/10/2015	\$1,412	\$282	\$1,130	\$0
OL	49988	Shannon S. Salsman	2015-0155-WOC-E	HUNT	7/10/2015	\$861	\$172	\$689	\$0
OL	50437	Kris Helms	2015-0634-WOC-E	ELLIS	7/10/2015	\$175	\$0	\$175	\$0
OL	49937	Thomas W. Davis	2015-0088-WOC-E	ROCKWALL	8/10/2015	\$713	\$142	\$571	\$0
OL	50330	Gilbert W. Herrera	2015-0510-LII-E	WILLIAMSON	8/10/2015	\$959	\$191	\$768	\$0
OL	50263	Adam C. Benefield	2015-0433-LII-E	WILLIAMSON	8/10/2015	\$312	\$62	\$250	\$0
OL	50647	MICHAEL R THORP	2015-0864-MLM-E	TOM GREEN	8/17/2015	\$350	\$0	\$350	\$0
OL	50264	Michael Porter	2015-0434-LII-E	COLLIN	8/31/2015	\$1,200	\$240	\$960	\$0
<b>Subtotal for OL : 29</b>						<b>\$19,611</b>	<b>\$1,822</b>	<b>\$17,789</b>	<b>\$0</b>
PST	43575	Development II Partners, Inc.	2014-0224-PST-E	HARRIS	9/10/2014	\$94,032	\$0	\$94,032	\$0
PST	47605	Haider A., Inc.	2013-1707-PST-E	GALVESTON	9/10/2014	\$10,808	\$0	\$10,808	\$0
PST	41901	S.R.R. Investments, Inc.	2013-1960-PST-E	TRAVIS	9/10/2014	\$90,000	\$0	\$90,000	\$0
PST	46285	HOSCH, OMAR	2013-0390-PST-E	NAVARRO	9/14/2014	\$3,750	\$0	\$3,750	\$0
PST	47686	SOMMER, DAVID	2013-1794-PST-E	TRINITY	9/14/2014	\$5,250	\$0	\$5,250	\$0
PST	46721	Rick & Kim Inc.	2013-0818-PST-E	HENDERSON	9/14/2014	\$5,000	\$0	\$5,000	\$0
PST	47215	TEXAN SINGH, INC.	2013-1318-PST-E	JEFFERSON	9/14/2014	\$4,500	\$0	\$4,500	\$0
PST	46749	ALL L SAVER FOOD INC	2013-0843-PST-E	HENDERSON	9/14/2014	\$2,943	\$0	\$2,943	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	42603	INS Emerald, L.L.C.	2013-1302-PST-E	DALLAS	9/19/2014	\$35,750	\$0	\$35,750	\$0
PST	48143	ALEEM, MOHAMMAD	2014-0091-PST-E	HARRIS	9/19/2014	\$9,042	\$1,808	\$7,234	\$0
PST	47525	Central Foods, Inc.	2013-1623-PST-E	BEXAR	9/19/2014	\$12,600	\$0	\$12,600	\$0
PST	48234	Mary Anne Inc.	2014-0190-PST-E	WALLER	9/19/2014	\$9,750	\$1,950	\$7,800	\$0
PST	45845	Federal Aviation Administration	2012-2695-PST-E	HARRIS	9/19/2014	\$16,876	\$0	\$16,876	\$0
PST	47581	TWINCITY C-STORE INC	2013-1676-PST-E	JEFFERSON	9/19/2014	\$7,875	\$1,575	\$6,300	\$0
PST	48047	Western Refining Wholesale, LLC.	2013-2203-PST-E	EL PASO	9/19/2014	\$16,751	\$3,350	\$13,401	\$0
PST	45723	Bri-Mon Enterprises, Inc.	2012-2581-PST-E	HIDALGO	9/19/2014	\$11,700	\$0	\$11,700	\$0
PST	47413	La Maredia Investments Nome LLC	2013-1519-PST-E	JEFFERSON	9/19/2014	\$10,125	\$0	\$10,125	\$0
PST	47262	Formosa Retail, Inc.	2013-1373-PST-E	BEXAR	9/19/2014	\$11,049	\$0	\$11,049	\$0
PST	46755	GUERRERO, JUAN EDUARDO	2013-0851-PST-E	HIDALGO	9/19/2014	\$9,129	\$0	\$9,129	\$0
PST	48235	SHAH, KASHIF	2014-0189-PST-E	ORANGE	9/19/2014	\$12,844	\$2,568	\$10,276	\$0
PST	46832	Highway 90, Inc.	2013-0910-PST-E	BEXAR	9/19/2014	\$8,850	\$1,770	\$7,080	\$0
PST	46158	Coyanosa Cooperative Gin	2013-0259-PST-E	PECOS	9/19/2014	\$11,750	\$2,350	\$9,400	\$0
PST	47872	ALIMO INVESTMENTS, INC.	2013-1997-PST-E	JEFFERSON	9/19/2014	\$9,731	\$0	\$9,731	\$0
PST	48134	S & A OIL CO., INC.	2014-0092-PST-E	FORT BEND	9/19/2014	\$12,563	\$0	\$12,563	\$0
PST	48296	Peace Business , Inc.	2014-0260-PST-E	HARRIS	9/22/2014	\$2,073	\$414	\$1,659	\$0
PST	48387	TEXPAK Enterprises, Inc.	2014-0357-PST-E	HARDIN	9/22/2014	\$4,093	\$818	\$3,275	\$0
PST	48475	Tarkington - Safari Investments, Inc.	2014-0448-PST-E	LIBERTY	9/22/2014	\$4,772	\$954	\$3,818	\$0
PST	48410	Horizon Traders, Inc.	2014-0389-PST-E	JEFFERSON	9/22/2014	\$5,135	\$1,027	\$4,108	\$0
PST	48635	PANJWANI ENERGY, LLC	2014-0637-PST-E	WILLIAMSON	9/22/2014	\$6,750	\$1,350	\$5,400	\$0
PST	48599	KANJI, ABDUL REHMAN	2014-0593-PST-E	GALVESTON	9/22/2014	\$1,360	\$272	\$1,088	\$0
PST	48648	Alfie's Food Mart, Inc.	2014-0668-PST-E	FAYETTE	9/22/2014	\$4,875	\$975	\$3,900	\$0
PST	48577	Circle G Business, Inc.	2014-0566-PST-E	HARRIS	9/22/2014	\$2,224	\$444	\$1,780	\$0
PST	48688	B&M Maruti LLC	2014-0704-PST-E	DALLAS	9/22/2014	\$3,375	\$675	\$2,700	\$0
PST	47482	Metroplex Lucky Star, LLC	2013-1584-PST-E	TARRANT	9/22/2014	\$2,813	\$562	\$2,251	\$0
PST	48644	SEHAM C-STORE LLC	2014-0647-PST-E	HARRIS	9/22/2014	\$7,125	\$1,425	\$5,700	\$0
PST	47731	SERVICE STOP, L.P.	2013-1840-PST-E	WEBB	9/22/2014	\$4,380	\$876	\$3,504	\$0
PST	48358	Shane and Sons Transportation, Inc.	2014-0368-PST-E	BRAZORIA	9/22/2014	\$3,860	\$772	\$3,088	\$0
PST	48568	ZZF Diamond, Inc.	2014-0561-PST-E	TARRANT	9/22/2014	\$2,976	\$595	\$2,381	\$0
PST	48433	United Petroleum Transports, Inc.	2014-0422-PST-E	*MULTIPLE	9/22/2014	\$1,044	\$208	\$836	\$0
PST	48432	Valliani Enterprises, Inc.	2014-0404-PST-E	HARRIS	9/22/2014	\$7,156	\$1,431	\$5,725	\$0
PST	48273	NIZAMI, LLC	2014-0247-PST-E	JEFFERSON	9/22/2014	\$4,097	\$819	\$3,278	\$0
PST	48531	MISHAL GROCERIES INC. *	2014-0516-PST-E	WILLIAMSON	9/22/2014	\$3,567	\$713	\$2,854	\$0
PST	46695	Elegant Consolidated Group LLC	2013-0792-PST-E	HENDERSON	9/28/2014	\$3,251	\$0	\$3,251	\$0
PST	47366	Tsigereda Mengesha	2013-1475-PST-E	DALLAS	9/28/2014	\$3,532	\$0	\$3,532	\$0
PST	47096	IN AND OUT SPEEDWAY, LLC	2013-1188-PST-E	DENTON	9/28/2014	\$3,825	\$0	\$3,825	\$0
PST	47505	Alishba Corporation	2013-1604-PST-E	BEXAR	9/28/2014	\$5,005	\$0	\$5,005	\$0

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PST	46794	CARDINAL TOWING COMPANY, INC.	2013-0882-PST-E	TARRANT	9/28/2014	\$3,891	\$0	\$3,891	\$0
PST	47637	Abraham Sons Inc	2013-1784-PST-E	BEXAR	9/28/2014	\$5,943	\$0	\$5,943	\$0
PST	47815	MAS EXPRESS L.L.C.	2013-1979-PST-E	GALVESTON	9/28/2014	\$4,538	\$0	\$4,538	\$0
PST	46680	Touchdown Trading, Inc.	2013-0769-PST-E	JEFFERSON	9/28/2014	\$4,629	\$0	\$4,629	\$0
PST	47478	King Fuels, Inc.	2013-1577-PST-E	FORT BEND	9/28/2014	\$7,500	\$0	\$7,500	\$0
PST	47088	Bhima Corporation	2013-1347-PST-E	HARRIS	9/28/2014	\$3,563	\$0	\$3,563	\$0
PST	47847	Canyon State Oil Company, Inc.	2013-1955-PST-E	EL PASO	9/28/2014	\$2,355	\$0	\$2,355	\$0
PST	47415	Benton Rainey, Inc.	2013-1556-PST-E	LAMAR	9/28/2014	\$3,375	\$0	\$3,375	\$0
PST	45404	Brushy Landing, LLC	2012-2263-PST-E	MARION	10/2/2014	\$2,813	\$0	\$2,813	\$0
PST	46073	River Mart, Inc.	2013-0189-PST-E	HIDALGO	10/5/2014	\$16,975	\$3,395	\$13,580	\$0
PST	46986	Blue Streak Transportation, Inc.	2013-1077-PST-E	MIDLAND	10/5/2014	\$26,350	\$0	\$26,350	\$0
PST	48540	KUM ENTERPRISES INC	2014-0529-PST-E	TARRANT	10/6/2014	\$3,126	\$625	\$2,501	\$0
PST	48617	Texas Kohinoor Investments, LLC *	2014-0616-PST-E	BURLESON	10/6/2014	\$2,438	\$487	\$1,951	\$0
PST	48680	Clark Mart Corporation	2014-0679-PST-E	DALLAS	10/6/2014	\$2,568	\$513	\$2,055	\$0
PST	48795	Hill Country Mini Mart, LP	2014-0797-PST-E	KERR	10/6/2014	\$3,375	\$675	\$2,700	\$0
PST	48625	Mike Carlson Motor Co. *	2014-0626-PST-E	TARRANT	10/6/2014	\$4,732	\$946	\$3,786	\$0
PST	48698	Duncanville Independent School District	2014-0703-PST-E	DALLAS	10/6/2014	\$3,375	\$675	\$2,700	\$0
PST	48558	Redi-Mix, LLC	2014-0543-PST-E	DALLAS	10/6/2014	\$5,819	\$1,163	\$2,328	\$2,328
PST	48730	INAARA Investments, Inc.	2014-0710-PST-E	JEFFERSON	10/6/2014	\$1,551	\$310	\$1,241	\$0
PST	48536	Joe's & Cho, Inc.	2014-0568-PST-E	TARRANT	10/6/2014	\$1,562	\$312	\$1,250	\$0
PST	46439	Central Foods, Inc.	2013-0521-PST-E	BEXAR	10/6/2014	\$3,882	\$776	\$3,106	\$0
PST	48704	BURTON GROCERS, INC.	2014-0735-PST-E	TRAVIS	10/6/2014	\$2,438	\$487	\$1,951	\$0
PST	48409	RAI INVESTMENTS INC	2014-0390-PST-E	DALLAS	10/6/2014	\$4,094	\$818	\$3,276	\$0
PST	48145	PALAI, LLC	2014-0090-PST-E	HARRIS	10/17/2014	\$8,712	\$1,742	\$6,970	\$0
PST	48532	N & D Mekhail Enterprises, Inc.	2014-0515-PST-E	HARRIS	10/18/2014	\$3,813	\$762	\$3,051	\$0
PST	48742	Valor Telecommunications of Texas, LLC	2014-0751-PST-E	HALL	10/18/2014	\$3,516	\$703	\$2,813	\$0
PST	48838	NEUTZE, MIKE	2014-0838-PST-E	KERR	10/18/2014	\$3,375	\$675	\$2,700	\$0
PST	48827	QUACH, NHU KIM	2014-0831-PST-E	BRAZOS	10/18/2014	\$3,375	\$675	\$2,700	\$0
PST	48725	Cross Roads Corner Store, Limited Liability Company	2014-0717-PST-E	WASHINGTON	10/18/2014	\$3,000	\$600	\$2,400	\$0
PST	48719	C-STORE TRANDS INC	2014-0719-PST-E	HARDIN	10/18/2014	\$1,913	\$382	\$1,531	\$0
PST	48758	UGROR ENTERPRISES, INC.	2014-0765-PST-E	JEFFERSON	10/18/2014	\$1,362	\$272	\$1,090	\$0
PST	48592	Samkwang USA, Inc.	2014-0586-PST-E	HARRIS	10/18/2014	\$3,036	\$607	\$2,429	\$0
PST	48066	Overseas Enterprises USA, Inc.	2013-2227-PST-E	JEFFERSON	10/18/2014	\$2,625	\$525	\$2,100	\$0
PST	47073	Dhanani Retail, Inc.	2013-1174-PST-E	HARRIS	10/18/2014	\$3,075	\$615	\$2,460	\$0
PST	48063	711 Main Street Ventures, LLC	2013-2220-PST-E	MAVERICK	10/18/2014	\$6,750	\$1,350	\$5,400	\$0
PST	49069	Lumbini Enterprise LLC	2014-0787-PST-E	WILLIAMSON	10/18/2014	\$6,011	\$1,202	\$4,809	\$0
PST	48212	City of Dallas	2014-0170-PST-E	DALLAS	10/18/2014	\$5,625	\$1,125	\$4,500	\$0
PST	49102	Terry Memorial Hospital District	2014-1136-PST-E	TERRY	10/18/2014	\$875	\$0	\$875	\$0

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PST	48627	IBA BUSINESS LLC	2014-0628-PST-E	SAN PATRICIO	10/18/2014	\$2,566	\$513	\$2,053	\$0
PST	48554	Leander Grocery, Inc.	2014-0544-PST-E	WILLIAMSON	10/18/2014	\$3,375	\$675	\$2,700	\$0
PST	48720	GANDY, JESSICA N	2014-0720-PST-E	ANDERSON	10/18/2014	\$3,750	\$750	\$3,000	\$0
PST	48593	QAWI AND QUDDUS, INC.	2014-0585-PST-E	WILLIAMSON	10/18/2014	\$6,750	\$1,350	\$5,400	\$0
PST	48716	TX MALAC GROUP, INC.	2014-0725-PST-E	TARRANT	10/18/2014	\$5,625	\$1,125	\$4,500	\$0
PST	47690	Hays City Corporation	2013-1792-PST-E	TRAVIS	10/18/2014	\$3,857	\$771	\$3,086	\$0
PST	46593	Myra Anum Enterprises Inc	2013-0688-PST-E	WOOD	10/22/2014	\$8,879	\$0	\$8,879	\$0
PST	47450	WILEY, KENNETH D	2013-1550-PST-E	DAWSON	10/25/2014	\$3,750	\$0	\$3,750	\$0
PST	46818	HIGGS, LELDON B	2013-1043-PST-E	WALLER	10/25/2014	\$14,153	\$0	\$14,153	\$0
PST	47814	Loyola Groceries Inc.	2013-1980-PST-E	TRAVIS	10/25/2014	\$7,067	\$0	\$7,067	\$0
PST	47942	PANJGRAYIAN INC.	2013-2080-PST-E	LIBERTY	10/25/2014	\$53,359	\$0	\$53,359	\$0
PST	48321	ASHISH AND ANNA INC	2014-0278-PST-E	JEFFERSON	10/25/2014	\$4,142	\$0	\$4,142	\$0
PST	47327	HNQ, Inc.	2013-1447-PST-E	TRAVIS	10/25/2014	\$10,630	\$0	\$10,630	\$0
PST	47416	FARHAN INTERNATIONAL, LLC	2013-1581-PST-E	JEFFERSON	10/25/2014	\$3,750	\$0	\$3,750	\$0
PST	45133	US Department of Justice	2012-2012-PST-E	DALLAS	10/25/2014	\$5,625	\$0	\$5,625	\$0
PST	47862	Mission Petroleum Carriers, Inc.	2013-1984-PST-E	CAMERON	10/27/2014	\$13,859	\$0	\$13,859	\$0
PST	48434	Saheli Food Inc.	2014-0421-PST-E	POLK	10/27/2014	\$31,582	\$6,316	\$25,266	\$0
PST	48294	ASHOO & KAYAAN INVESTMENT LLC	2014-0261-PST-E	ORANGE	10/31/2014	\$4,926	\$985	\$3,941	\$0
PST	48596	Quality Retailer, INC.	2014-0604-PST-E	HARRIS	10/31/2014	\$4,125	\$825	\$3,300	\$0
PST	46651	HUNZA (U.S.A.), INC.	2013-0748-PST-E	HENDERSON	10/31/2014	\$3,505	\$701	\$2,804	\$0
PST	48676	Richard E. Meaders, Inc.	2014-0702-PST-E	BAYLOR	10/31/2014	\$5,818	\$1,163	\$4,655	\$0
PST	48068	Riviera Club Inc.	2013-2219-PST-E	HARRIS	11/6/2014	\$3,937	\$0	\$3,937	\$0
PST	48741	JYKM Union, Inc.	2014-0773-PST-E	WILLIAMSON	11/16/2014	\$3,979	\$795	\$3,184	\$0
PST	48785	BKS ENT., INC.	2014-0793-PST-E	BURLESON	11/16/2014	\$3,375	\$675	\$2,700	\$0
PST	47208	Quick Pay Enterprises, Inc.	2013-1319-PST-E	BEXAR	11/16/2014	\$15,000	\$0	\$15,000	\$0
PST	48808	Ali Sana LLC *	2014-0811-PST-E	NUECES	11/16/2014	\$2,813	\$562	\$2,251	\$0
PST	48908	FOSTER, DOYLE W	2014-0938-PST-E	WILLIAMSON	11/16/2014	\$7,255	\$1,451	\$5,804	\$0
PST	48739	Alina Enterprises, Inc.	2014-0777-PST-E	HARRIS	11/16/2014	\$3,011	\$602	\$2,409	\$0
PST	48016	Mares Group L.L.C.	2013-2170-PST-E	VAL VERDE	11/23/2014	\$6,750	\$0	\$6,750	\$0
PST	47629	JDN Mobile Inc	2013-1727-PST-E	TRAVIS	11/23/2014	\$3,375	\$0	\$3,375	\$0
PST	48329	Maynard J. Haddad and Kenneth J. Haddad	2014-0334-PST-E	EL PASO	11/23/2014	\$4,103	\$0	\$4,103	\$0
PST	47234	BIG DADDY MART, LLC	2013-1339-PST-E	HARRIS	11/23/2014	\$3,649	\$0	\$3,649	\$0
PST	47516	P. LURA LLC	2013-1760-PST-E	TARRANT	11/23/2014	\$3,375	\$0	\$3,375	\$0
PST	48793	NASHIA INVESTMENTS, INC.	2014-0795-PST-E	NUECES	12/6/2014	\$2,438	\$487	\$1,951	\$0
PST	48918	V A K ENTERPRISES, INC.	2014-0917-PST-E	GALVESTON	12/6/2014	\$1,736	\$347	\$1,389	\$0
PST	46052	ITASCA EXPRESS MART, INC.	2013-0153-PST-E	HILL	12/6/2014	\$5,005	\$1,001	\$4,004	\$0
PST	45779	KRMN GROUP LLC	2013-0009-PST-E	DALLAS	12/7/2014	\$20,899	\$0	\$20,899	\$0
PST	47061	Proton PRC, LTD. dba Oasis Car Wash	2013-1146-PST-E	GRAYSON	12/7/2014	\$14,883	\$0	\$14,883	\$0

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PST	47177	MATAR, MAHA	2013-1276-PST-E	HARRIS	12/7/2014	\$9,500	\$0	\$9,500	\$0
PST	47972	COUNTRY SIDE INC	2013-2115-PST-E	LAMAR	12/7/2014	\$8,900	\$1,780	\$7,120	\$0
PST	47363	CHCA Mainland, L.P.	2013-1466-PST-E	GALVESTON	12/7/2014	\$12,115	\$2,423	\$9,692	\$0
PST	43631	HUYNH, TUONG CONG	2012-0507-PST-E	HARRIS	12/19/2014	\$16,212	\$0	\$16,212	\$0
PST	46475	Chau Management, Inc.	2013-0568-PST-E	CALHOUN	12/19/2014	\$12,937	\$2,587	\$10,350	\$0
PST	48189	BHAKTA, HASMUKH D	2014-0143-PST-E	HUDSPETH	12/19/2014	\$8,100	\$4,500	\$3,600	\$0
PST	47356	Jai Ambica Corporation	2013-1479-PST-E	DALLAS	12/19/2014	\$16,607	\$0	\$16,607	\$0
PST	48775	Shalimar Enterprise Inc	2014-0871-PST-E	JEFFERSON	12/20/2014	\$1,612	\$322	\$1,290	\$0
PST	48710	U & Z Incorporated	2014-0726-PST-E	DALLAS	12/20/2014	\$2,567	\$513	\$2,054	\$0
PST	48917	PATEL, BINAL	2014-0988-PST-E	LEE	12/20/2014	\$5,954	\$1,190	\$4,764	\$0
PST	48963	Hawk's Pantry, Inc.	2014-0975-PST-E	TARRANT	12/20/2014	\$2,717	\$543	\$2,174	\$0
PST	48872	Kwik Pantry *	2014-0872-PST-E	WILLIAMSON	12/20/2014	\$3,375	\$675	\$2,700	\$0
PST	49085	Star Fuels, Inc.	2014-1100-PST-E	JEFFERSON	12/20/2014	\$2,813	\$562	\$2,251	\$0
PST	49110	BJAYS INC.	2014-1132-PST-E	DALLAS	12/20/2014	\$1,719	\$343	\$1,376	\$0
PST	48973	Harisith, Inc.	2014-0986-PST-E	SAN PATRICIO	12/20/2014	\$4,630	\$926	\$3,704	\$0
PST	49112	Racetrac Petroleum, Inc.	2014-1144-PST-E	COLLIN	12/20/2014	\$3,347	\$669	\$2,678	\$0
PST	48777	Landmark Industries Energy, LLC	2014-0841-PST-E	HARRIS	12/20/2014	\$4,875	\$975	\$3,900	\$0
PST	45682	Hafsa Corporation	2012-2532-PST-E	MORRIS	12/27/2014	\$10,629	\$0	\$10,629	\$0
PST	47542	DAKOTA A1, LLC	2013-1637-PST-E	VAN ZANDT	1/11/2015	\$4,500	\$0	\$4,500	\$0
PST	43729	Mykawa Enterprise, Inc.	2012-0550-PST-E	HARRIS	1/11/2015	\$5,100	\$0	\$5,100	\$0
PST	47443	Quickways Inc	2013-1554-PST-E	TARRANT	1/11/2015	\$5,437	\$0	\$5,437	\$0
PST	49033	SHAHTAJ ENTERPRISES INC. *	2014-1052-PST-E	NUECES	1/12/2015	\$2,438	\$487	\$1,951	\$0
PST	48870	Cameron Road Enterprises, Inc.	2014-0880-PST-E	TRAVIS	1/12/2015	\$2,438	\$487	\$1,951	\$0
PST	49070	DISCOUNT CIGARETTE AND BEER INC *	2014-1107-PST-E	WICHITA	1/12/2015	\$6,504	\$1,300	\$5,204	\$0
PST	49154	Clarksville Oil & Gas Co., Ltd.	2014-1174-PST-E	RED RIVER	1/12/2015	\$1,225	\$245	\$980	\$0
PST	49201	SHARDA CONVENIENCE STORE, INC. *	2014-1235-PST-E	ARANSAS	1/12/2015	\$4,500	\$900	\$3,600	\$0
PST	48737	BIG 5 MANAGEMENT CO., LLC	2014-0746-PST-E	WASHINGTON	1/12/2015	\$4,231	\$846	\$3,385	\$0
PST	48923	HEB Grocery Company, LP	2014-0935-PST-E	SAN PATRICIO	1/12/2015	\$7,500	\$1,500	\$6,000	\$0
PST	49362	RUDOLPHS, INC.	2014-1386-PST-E	LAVACA	1/12/2015	\$1,100	\$220	\$880	\$0
PST	49053	Navasota ISD *	2014-1075-PST-E	GRIMES	1/12/2015	\$3,563	\$712	\$2,851	\$0
PST	47889	HH FOOD MART LLC	2013-2069-PST-E	HARRIS	1/25/2015	\$3,968	\$793	\$3,175	\$0
PST	49048	ARSHIA C-STORE, INC. *	2014-1077-PST-E	BRAZOS	1/25/2015	\$4,375	\$875	\$3,500	\$0
PST	48976	R & R Suleiman LLC	2014-0991-PST-E	DALLAS	1/25/2015	\$5,626	\$1,125	\$4,501	\$0
PST	49227	Evergreen Alliance Golf Limited, L.P.	2014-1292-PST-E	LUBBOCK	1/25/2015	\$5,813	\$1,162	\$4,651	\$0
PST	49027	DELGADO, JASON	2014-1039-PST-E	KERR	1/25/2015	\$3,000	\$600	\$2,400	\$0
PST	48900	FARISHTA INVESTMENT, INC.	2014-0900-PST-E	NUECES	1/25/2015	\$5,438	\$1,087	\$4,351	\$0
PST	49166	Brenham Independent School District	2014-1192-PST-E	WASHINGTON	1/25/2015	\$2,438	\$487	\$1,951	\$0
PST	48781	S & K Khairani Corporation	2014-0790-PST-E	HARRIS	2/6/2015	\$9,752	\$1,950	\$7,802	\$0

## Singles Programs

## Appendix 1: List of Administrative Orders Issued - FY 2015

Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	48817	Athens Twin Ventures, Inc.	2014-0820-PST-E	HENDERSON	2/6/2015	\$8,250	\$1,650	\$6,600	\$0
PST	48677	OVERALL, NANCY	2014-0681-PST-E	MONTGOMERY	2/6/2015	\$29,355	\$5,871	\$23,484	\$0
PST	48566	Frontier Tank Lines, Inc.	2014-0556-PST-E	COLLIN	2/6/2015	\$7,984	\$1,596	\$6,388	\$0
PST	48636	TAYLOR DISTRIBUTING COMPANY, INC.	2014-0636-PST-E	TARRANT	2/6/2015	\$10,109	\$2,021	\$4,044	\$4,044
PST	49148	OLVERA, HORACIO	2014-1273-PST-E	ANDERSON	2/12/2015	\$1,675	\$335	\$1,340	\$0
PST	49182	Texas Renaissance Festivals, Inc.	2014-1214-PST-E	GRIMES	2/12/2015	\$3,563	\$712	\$2,851	\$0
PST	49109	Edward Keller *	2014-1150-PST-E	KERR	2/12/2015	\$3,375	\$675	\$2,700	\$0
PST	49115	VASQUEZ, NALLELY	2014-1147-PST-E	ANDERSON	2/12/2015	\$2,438	\$487	\$1,951	\$0
PST	49330	SUPERIOR LUBRICANTS TRANSPORT, INC.	2014-1353-PST-E	TARRANT	2/12/2015	\$2,856	\$571	\$2,285	\$0
PST	48684	LEE, ANNA	2014-0690-PST-E	HOUSTON	2/12/2015	\$3,937	\$2,737	\$1,200	\$0
PST	49254	LJ & KS CORPORATION	2014-1272-PST-E	BRAZOS	2/12/2015	\$3,375	\$675	\$2,700	\$0
PST	49332	Smith & Coffman, Ltd.	2014-1345-PST-E	ROBERTSON	2/12/2015	\$3,375	\$675	\$2,700	\$0
PST	49226	Texas Department of Transportation	2014-1225-PST-E	WILLIAMSON	2/12/2015	\$3,750	\$750	\$0	\$3,000
PST	49334	Tandem Petroleum Marketers, Inc.	2014-1355-PST-E	UVALDE	2/12/2015	\$6,750	\$1,350	\$5,400	\$0
PST	49058	PRICEWISE LLC	2014-1078-PST-E	JEFFERSON	2/12/2015	\$5,282	\$1,056	\$4,226	\$0
PST	48756	Felder's Wholesale, Inc.	2014-0761-PST-E	WASHINGTON	2/12/2015	\$2,350	\$470	\$1,880	\$0
PST	49155	Nueces County	2014-1187-PST-E	NUECES	2/12/2015	\$5,000	\$1,000	\$4,000	\$0
PST	49331	S.A.A.B. MUGHAL LLC	2014-1344-PST-E	GREGG	2/12/2015	\$5,005	\$1,001	\$4,004	\$0
PST	48999	T.S. 71, LTD.	2014-0999-PST-E	TRAVIS	2/12/2015	\$4,999	\$999	\$4,000	\$0
PST	49360	Coastal Transport Co., Inc.	2014-1400-PST-E	TARRANT	2/12/2015	\$1,231	\$246	\$985	\$0
PST	48746	Chittagong Corporation *	2014-0754-PST-E	GRIMES	2/13/2015	\$8,063	\$1,612	\$6,451	\$0
PST	48778	IHU Inc.	2014-0780-PST-E	HARRIS	2/13/2015	\$9,563	\$1,912	\$7,651	\$0
PST	49199	KARIM, MOHAMMED F *	2014-1230-PST-E	WASHINGTON	2/13/2015	\$8,250	\$1,650	\$6,600	\$0
PST	46639	WEBER, PAT	2013-0726-PST-E	MASON	2/20/2015	\$5,725	\$0	\$5,725	\$0
PST	48685	C & S Petroleum, Inc.	2014-0695-PST-E	TARRANT	2/20/2015	\$5,813	\$0	\$5,813	\$0
PST	48402	N & H Enterprises and Son, Inc.	2014-0367-PST-E	JEFFERSON	2/20/2015	\$7,347	\$3,747	\$3,600	\$0
PST	47199	MOHAMMED, BABER *	2013-1332-PST-E	HARRIS	2/20/2015	\$1,660	\$0	\$1,660	\$0
PST	47968	ALIHEMATI, JOHN	2013-2112-PST-E	EL PASO	2/23/2015	\$6,862	\$1,372	\$5,490	\$0
PST	49433	Duncan Thompson Petroleum, Inc.	2014-1462-PST-E	CASS	2/23/2015	\$2,210	\$442	\$1,768	\$0
PST	49514	QW Transport, LLC	2014-1573-PST-E	DALLAS	2/23/2015	\$2,450	\$490	\$1,960	\$0
PST	49373	Bowie County Distributing Company, Inc.	2014-1407-PST-E	BOWIE	2/23/2015	\$2,105	\$421	\$1,684	\$0
PST	48798	Rip Griffin Truck Service Center, Inc	2014-0828-PST-E	LUBBOCK	2/23/2015	\$6,670	\$1,334	\$5,336	\$0
PST	47744	St. Davids Medical Center	2013-1844-PST-E	TRAVIS	2/23/2015	\$3,563	\$712	\$2,851	\$0
PST	48545	BELL, CHARLES F	2014-0545-PST-E	BASTROP	2/26/2015	\$15,750	\$0	\$15,750	\$0
PST	45642	CROCKETT ENTERPRISES INC	2014-0172-PST-E	HOUSTON	2/26/2015	\$10,604	\$0	\$10,604	\$0
PST	47509	AAA GOLDEN INC	2013-1641-PST-E	TRAVIS	2/26/2015	\$4,537	\$0	\$4,537	\$0
PST	42823	CRYSTAL INTERNATIONAL, INC.	2013-2166-PST-E	DALLAS	2/26/2015	\$91,128	\$0	\$91,128	\$0
PST	48985	Texas Department of Transportation	2014-0996-PST-E	LEE	3/12/2015	\$2,813	\$562	\$0	\$2,251

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## Appendix 1: List of Administrative Orders Issued - FY 2015

Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	49307	AT&T Corp.	2014-1326-PST-E	BRAZOS	3/12/2015	\$3,375	\$675	\$2,700	\$0
PST	49302	Taft ISD	2014-1343-PST-E	SAN PATRICIO	3/12/2015	\$2,438	\$487	\$1,951	\$0
PST	49385	ROUND TOP MERCANTILE II, LLC	2014-1413-PST-E	FAYETTE	3/12/2015	\$3,375	\$675	\$2,700	\$0
PST	49207	Westex Capital, LTD.	2014-1231-PST-E	UVALDE	3/12/2015	\$4,348	\$869	\$3,479	\$0
PST	49171	A & H Food Mart, Inc.	2014-1193-PST-E	BRAZOS	3/12/2015	\$3,375	\$675	\$2,700	\$0
PST	49303	Mickan Motor Company, Inc.	2014-1342-PST-E	WILLIAMSON	3/12/2015	\$3,375	\$675	\$2,700	\$0
PST	49335	RODRIGUEZ, GEORGE *	2014-1385-PST-E	UVALDE	3/12/2015	\$3,000	\$600	\$2,400	\$0
PST	49094	Comfort Retail, Inc.	2014-1123-PST-E	KENDALL	3/12/2015	\$2,568	\$513	\$2,055	\$0
PST	49195	BARKAAT INVESTMENTS INC	2014-1293-PST-E	GRIMES	3/12/2015	\$4,063	\$812	\$3,251	\$0
PST	49196	AMYAS INC	2014-1247-PST-E	GREGG	3/12/2015	\$3,504	\$700	\$2,804	\$0
PST	49297	Y J K Inc.	2014-1319-PST-E	WILLIAMSON	3/12/2015	\$4,500	\$900	\$3,600	\$0
PST	49265	Buccaneer Food Stores Inc.	2014-1286-PST-E	WASHINGTON	3/12/2015	\$7,125	\$1,425	\$5,700	\$0
PST	49266	GTE Southwest Incorporated	2014-1287-PST-E	BRAZOS	3/12/2015	\$3,375	\$675	\$2,700	\$0
PST	49505	STEWART, JUDY *	2014-1542-PST-E	HALL	3/12/2015	\$3,375	\$675	\$2,700	\$0
PST	49197	MOHSIN INC.	2014-1238-PST-E	JIM WELLS	3/12/2015	\$2,943	\$588	\$2,355	\$0
PST	49059	Angleton Food Mart, LLC	2014-1130-PST-E	BRAZORIA	3/12/2015	\$4,500	\$900	\$3,600	\$0
PST	48921	Monroe Center, Inc.	2014-0922-PST-E	HARRIS	3/12/2015	\$1,730	\$346	\$1,384	\$0
PST	49086	GRK FOOD MART LLC	2014-1099-PST-E	GILLESPIE	3/12/2015	\$3,876	\$775	\$3,101	\$0
PST	49259	Brianna's Stop & Shop, LLC	2014-1284-PST-E	GREGG	3/12/2015	\$5,979	\$1,195	\$4,784	\$0
PST	48292	L & F DISTRIBUTORS, LLC	2014-0245-PST-E	EL PASO	3/13/2015	\$16,726	\$3,345	\$13,381	\$0
PST	49719	Paradise Corporation	2014-1769-PST-E	HARRIS	3/15/2015	\$3,450	\$690	\$2,760	\$0
PST	49417	MARSH DISTRIBUTING COMPANY	2014-1453-PST-E	HARRIS	3/15/2015	\$2,918	\$583	\$2,335	\$0
PST	49608	AG PRODUCERS CO-OP	2014-1650-PST-E	DALLAM	3/15/2015	\$4,000	\$800	\$3,200	\$0
PST	49414	AMERICAN ENERGY TRANSPORT LLC	2014-1457-PST-E	DALLAS	3/15/2015	\$1,231	\$246	\$985	\$0
PST	47550	Lawbella Gasoline, Inc.	2013-1644-PST-E	WISE	4/2/2015	\$13,500	\$0	\$13,500	\$0
PST	48800	Dauva, LLC	2014-0799-PST-E	HARRIS	4/2/2015	\$12,875	\$0	\$12,875	\$0
PST	48882	YAS STAR, INC.	2014-0887-PST-E	WASHINGTON	4/2/2015	\$3,750	\$0	\$3,750	\$0
PST	46880	Paradise Management, Inc.	2013-0972-PST-E	BEXAR	4/2/2015	\$10,959	\$0	\$10,959	\$0
PST	49576	918 Reality Company, Inc.	2014-1620-PST-E	HARRIS	4/4/2015	\$3,375	\$675	\$2,700	\$0
PST	48697	H.K.R.G., INC.	2014-0714-PST-E	WILLIAMSON	4/4/2015	\$7,253	\$1,450	\$5,803	\$0
PST	46404	Beaumont ISD	2013-0489-PST-E	JEFFERSON	4/4/2015	\$2,813	\$562	\$2,251	\$0
PST	49561	100th Meridian Stop LLC	2014-1615-PST-E	WHEELER	4/4/2015	\$4,500	\$900	\$3,600	\$0
PST	49601	OAKRIDGE & OAKRIDGE GROUP LLC	2014-1643-PST-E	GRAY	4/4/2015	\$3,375	\$675	\$2,700	\$0
PST	49597	Friendly Mart LLC	2014-1642-PST-E	HARRIS	4/4/2015	\$3,825	\$765	\$3,060	\$0
PST	49649	New Southwest America Enterprise Inc	2014-1704-PST-E	TARRANT	4/4/2015	\$3,251	\$650	\$2,601	\$0
PST	49589	Shreedevi AA Corporation	2014-1631-PST-E	WICHITA	4/4/2015	\$3,375	\$675	\$2,700	\$0
PST	49539	Market Truck Stop INC. *	2014-1577-PST-E	HARRIS	4/4/2015	\$4,200	\$840	\$3,360	\$0
PST	49516	357 Street Properties, L.P.	2014-1554-PST-E	LUBBOCK	4/4/2015	\$2,544	\$508	\$2,036	\$0

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PST	49517	ICR Energy LLC	2014-1555-PST-E	BEXAR	4/4/2015	\$2,832	\$566	\$2,266	\$0
PST	49684	Cinco J., Inc.	2014-1739-PST-E	GONZALES	4/4/2015	\$4,817	\$963	\$3,854	\$0
PST	49590	TUNU & YUNU CORPORATION	2014-1633-PST-E	DALLAS	4/4/2015	\$4,687	\$937	\$3,750	\$0
PST	49707	United Petroleum Transports, Inc.	2014-1760-PST-E	BEXAR	4/4/2015	\$1,038	\$207	\$831	\$0
PST	49696	Andrews Transport, LP	2014-1749-PST-E	TARRANT	4/4/2015	\$1,288	\$257	\$1,031	\$0
PST	49258	BLACKHAWK INTERESTS, LLC	2014-1281-PST-E	HARDIN	4/4/2015	\$4,875	\$975	\$3,900	\$0
PST	49510	GHANI, NORA	2014-1567-PST-E	HARRIS	4/4/2015	\$6,250	\$1,250	\$5,000	\$0
PST	49480	KRISHNA BSG INC	2014-1516-PST-E	DALLAS	4/4/2015	\$4,125	\$825	\$3,300	\$0
PST	49494	S&P TEXAN, INC	2014-1525-PST-E	STEPHENS	4/4/2015	\$3,375	\$675	\$2,700	\$0
PST	49569	Johnny Holton *	2014-1611-PST-E	COLLINGSWORTH	4/4/2015	\$6,750	\$1,350	\$5,400	\$0
PST	49358	Gemini Motor Transport, L.P.	2014-1372-PST-E	SAN PATRICIO	4/4/2015	\$5,106	\$1,021	\$4,085	\$0
PST	49159	A N A ENTERPRISES INC	2014-1184-PST-E	BEXAR	4/4/2015	\$3,130	\$626	\$2,504	\$0
PST	48990	HS Business Inc.	2014-1004-PST-E	HARRIS	4/9/2015	\$11,438	\$2,287	\$9,151	\$0
PST	49127	Felder's Wholesale, Inc.	2014-1198-PST-E	WASHINGTON	4/9/2015	\$9,375	\$1,875	\$7,500	\$0
PST	49200	NILU ENTERPRISES, INC	2014-1226-PST-E	ZAVALA	4/9/2015	\$8,750	\$1,750	\$7,000	\$0
PST	47494	ASLAM, MUHAMMAD *	2013-1607-PST-E	TRAVIS	4/10/2015	\$4,500	\$0	\$4,500	\$0
PST	48936	Homegrown Energy - McBryde, L.P.	2014-0950-PST-E	KERR	4/10/2015	\$5,005	\$0	\$5,005	\$0
PST	48799	CARDENAS, MICHAEL T *	2014-0800-PST-E	HARRIS	4/10/2015	\$5,250	\$0	\$5,250	\$0
PST	47798	E&W Investments L.L.C.	2013-1900-PST-E	HARRIS	4/10/2015	\$4,536	\$0	\$4,536	\$0
PST	47797	Digan Patel	2013-1901-PST-E	GALVESTON	4/10/2015	\$3,450	\$0	\$3,450	\$0
PST	46509	GOLDEN 07 ENTERPRISES, INC.	2013-0593-PST-E	HARRIS	4/10/2015	\$1,063	\$0	\$1,063	\$0
PST	49636	The University of Texas Southwestern Medical Center	2014-1680-PST-E	DALLAS	4/23/2015	\$3,000	\$600	\$2,400	\$0
PST	49299	City of College Station	2014-1320-PST-E	BRAZOS	4/23/2015	\$2,813	\$562	\$2,251	\$0
PST	49633	Fikes Wholesale, Inc.	2014-1692-PST-E	GRAY	4/23/2015	\$2,813	\$562	\$2,251	\$0
PST	49329	Texas Department of Transportation	2014-1354-PST-E	*MULTIPLE	4/23/2015	\$7,500	\$1,500	\$0	\$6,000
PST	49592	PAMPA REGIONAL MEDICAL CENTER	2014-1635-PST-E	GRAY	4/23/2015	\$3,375	\$675	\$2,700	\$0
PST	49822	Sadiqa Trading Corporation	2014-1868-PST-E	WICHITA	4/23/2015	\$2,438	\$487	\$1,951	\$0
PST	49762	Maxey Energy Company	2014-1803-PST-E	VAL VERDE	4/23/2015	\$2,438	\$487	\$1,951	\$0
PST	49682	BUC, INC.	2014-1818-PST-E	STEPHENS	4/23/2015	\$6,750	\$1,350	\$5,400	\$0
PST	49813	Vintage Washes, Ltd.	2014-1856-PST-E	DALLAS	4/23/2015	\$3,375	\$675	\$2,700	\$0
PST	49647	DALLAM-HARTLEY COUNTIES HOSPITAL DISTRICT	2014-1712-PST-E	HARTLEY	4/23/2015	\$4,500	\$900	\$3,600	\$0
PST	49777	SUNNY'S RED BLUFF, INC.	2014-1815-PST-E	HARRIS	4/23/2015	\$4,500	\$900	\$3,600	\$0
PST	50061	BRE-LENE LOGISTICS LLC	2015-0227-PST-E	LUBBOCK	4/23/2015	\$1,750	\$0	\$1,750	\$0
PST	49106	Petroleum Products, Inc.	2014-1138-PST-E	LIMESTONE	4/27/2015	\$14,101	\$2,820	\$11,281	\$0
PST	49100	Momo Holding Company, Inc.	2014-1134-PST-E	EL PASO	4/27/2015	\$28,011	\$5,602	\$22,409	\$0
PST	47800	SKJ EMPIRE INVESTMENTS, LLC	2013-1975-PST-E	HIDALGO	4/27/2015	\$38,832	\$0	\$38,832	\$0
PST	48887	Texas Department of Transportation	2014-0919-PST-E	*MULTIPLE	4/27/2015	\$8,625	\$1,725	\$0	\$6,900
PST	48809	New MZ Enterprises Inc.	2014-0812-PST-E	WALLER	4/27/2015	\$13,230	\$2,646	\$10,584	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	49132	MAKNOJIA, MURAD	2014-1190-PST-E	BRAZOS	4/27/2015	\$24,100	\$4,820	\$19,280	\$0
PST	47840	ZAAR BUSINESS INVESTMENTS INC	2013-1948-PST-E	RAINS	4/29/2015	\$15,479	\$0	\$15,479	\$0
PST	48864	Harjeet Food Mart LLC	2014-0855-PST-E	WASHINGTON	4/29/2015	\$9,879	\$0	\$9,879	\$0
PST	49545	Hafiz & Emal LLC	2014-1587-PST-E	DALLAS	5/7/2015	\$9,118	\$1,823	\$7,295	\$0
PST	49693	Odessa College	2014-1742-PST-E	ECTOR	5/7/2015	\$3,375	\$675	\$2,700	\$0
PST	49735	Knapp Chevrolet, Inc.	2014-1782-PST-E	HARRIS	5/7/2015	\$3,514	\$702	\$2,812	\$0
PST	49599	Circle K Stores Inc.	2014-1688-PST-E	DALLAS	5/7/2015	\$7,500	\$1,500	\$6,000	\$0
PST	48655	MKSN Investments, LLC	2014-0658-PST-E	HARRIS	5/7/2015	\$22,600	\$0	\$22,600	\$0
PST	49372	Arguindegui Oil Co. II, Ltd.	2014-1425-PST-E	WEBB	5/7/2015	\$10,109	\$2,021	\$8,088	\$0
PST	48729	Hebron Enterprises, Inc.	2014-0711-PST-E	JACK	5/7/2015	\$2,942	\$588	\$2,354	\$0
PST	48728	McCraw Transport, Inc.	2014-0715-PST-E	FANNIN	5/7/2015	\$15,109	\$0	\$15,109	\$0
PST	49205	CARPENTER, JERRY G	2014-1234-PST-E	ERATH	5/7/2015	\$19,164	\$3,832	\$15,332	\$0
PST	49374	JONES, KERRY F	2014-1408-PST-E	WINKLER	5/7/2015	\$6,739	\$1,347	\$5,392	\$0
PST	48738	ANJIA GOLDEN, INC.	2014-0762-PST-E	TRAVIS	5/7/2015	\$12,475	\$2,495	\$9,980	\$0
PST	47777	Dahopa Oil Company, Inc.	2013-1934-PST-E	BURNET	5/17/2015	\$15,628	\$0	\$15,628	\$0
PST	48504	RJR Restaurants of Denton Limited Partnership	2014-0494-PST-E	DENTON	5/21/2015	\$6,049	\$1,209	\$4,840	\$0
PST	49829	ELITE ENTERPRISE LLC *	2014-1872-PST-E	SOMERVELL	5/21/2015	\$3,750	\$750	\$3,000	\$0
PST	49622	SHENOOR Enterprise, Inc. *	2014-1666-PST-E	DALLAS	5/21/2015	\$3,375	\$675	\$2,700	\$0
PST	49638	WTG Fuels, Inc.	2014-1683-PST-E	HARTLEY	5/21/2015	\$5,625	\$1,125	\$4,500	\$0
PST	49780	Dalpak Enterprises, Inc.	2014-1812-PST-E	DALLAS	5/21/2015	\$2,563	\$512	\$2,051	\$0
PST	47457	Xpress Choice LLC	2013-1560-PST-E	HUNT	5/23/2015	\$45,038	\$0	\$45,038	\$0
PST	47022	CASTRO, CARMEN RAMIREZ	2013-1115-PST-E	MCLENNAN	5/23/2015	\$16,651	\$3,330	\$13,321	\$0
PST	49701	Two Pillars Investments, Inc	2014-1757-PST-E	TARRANT	5/23/2015	\$8,070	\$1,614	\$6,456	\$0
PST	49073	Reema D Corporation	2014-1089-PST-E	TRAVIS	5/27/2015	\$6,606	\$0	\$6,606	\$0
PST	50087	Columbia Medical Center of Las Colinas, INC.	2015-0247-PST-E	DALLAS	6/4/2015	\$2,943	\$588	\$2,355	\$0
PST	49897	Pilot Thomas Logistics LLC	2015-0046-PST-E	LUBBOCK	6/4/2015	\$5,625	\$1,125	\$4,500	\$0
PST	49963	GoPetro Transport LLC	2015-0107-PST-E	HARRIS	6/4/2015	\$1,355	\$271	\$1,084	\$0
PST	49788	Leyendecker Oil, Inc.	2014-1840-PST-E	WEBB	6/4/2015	\$3,505	\$701	\$2,804	\$0
PST	49723	SUBHA INC	2014-1775-PST-E	DALLAS	6/4/2015	\$3,129	\$625	\$2,504	\$0
PST	49901	Davis Oil Co., Inc.	2015-0050-PST-E	BAYLOR	6/4/2015	\$1,043	\$208	\$835	\$0
PST	49767	Sahil Business, Inc.	2014-1804-PST-E	BURLESON	6/4/2015	\$3,937	\$787	\$3,150	\$0
PST	49728	La Familia Retail Inc	2014-1675-PST-E	ATASCOSA	6/4/2015	\$6,703	\$1,340	\$5,363	\$0
PST	49862	Arlington Lakeside Grocery & Grill, Inc.	2015-0015-PST-E	DALLAS	6/4/2015	\$5,004	\$1,000	\$4,004	\$0
PST	49996	Coastal Transport Co., Inc.	2015-0145-PST-E	DALLAS	6/4/2015	\$1,231	\$246	\$985	\$0
PST	49731	Jai Maruti Corporation	2014-1783-PST-E	DALLAS	6/4/2015	\$3,000	\$600	\$2,400	\$0
PST	49786	Ruhan Enterprises, Inc.	2014-1828-PST-E	HARRIS	6/4/2015	\$3,450	\$690	\$2,760	\$0
PST	50010	Fred Garrison Oil Company	2015-0161-PST-E	HALE	6/4/2015	\$913	\$182	\$731	\$0
PST	49797	Petroleum Express, Inc.	2015-0075-PST-E	HARRIS	6/4/2015	\$1,356	\$271	\$1,085	\$0

## Singles Programs

## Appendix 1: List of Administrative Orders Issued - FY 2015

Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	49746	Texas Department of Transportation	2014-1795-PST-E	KIMBLE	6/4/2015	\$3,375	\$675	\$0	\$2,700
PST	50020	TASNIA CORPORATION	2015-0178-PST-E	WICHITA	6/4/2015	\$2,438	\$487	\$1,951	\$0
PST	49739	SAJN ENTERPRISE, INC	2014-1785-PST-E	DALLAS	6/4/2015	\$2,562	\$512	\$2,050	\$0
PST	49485	Traversari USA LLC	2014-1546-PST-E	HARRIS	6/12/2015	\$34,292	\$6,858	\$27,434	\$0
PST	39004	Sur Valley Transport Company	2010-0089-PST-E	BROOKS	6/12/2015	\$8,500	\$0	\$8,500	\$0
PST	49162	Methodist Healthcare System of San Antonio, Ltd., L.L.P.	2014-1495-PST-E	BEXAR	6/12/2015	\$7,517	\$1,503	\$6,014	\$0
PST	50080	Star Transport, L.L.C.	2015-0244-PST-E	HARRIS	6/18/2015	\$1,350	\$270	\$1,080	\$0
PST	49741	J. Safi Enterprises, Inc.	2014-1838-PST-E	DALLAS	6/18/2015	\$4,500	\$900	\$3,600	\$0
PST	50075	SHIFA ENTERPRISES INC	2015-0228-PST-E	WILSON	6/18/2015	\$3,750	\$750	\$3,000	\$0
PST	50045	Platinum Jubilee, Inc.	2015-0207-PST-E	JEFFERSON	6/18/2015	\$1,000	\$200	\$800	\$0
PST	50051	Naz and Naeem Enterprises, Inc *	2015-0205-PST-E	WALKER	6/18/2015	\$3,493	\$698	\$2,795	\$0
PST	50078	City of Dallas	2015-0234-PST-E	DALLAS	6/18/2015	\$3,375	\$675	\$2,700	\$0
PST	49827	CHS Inc.	2014-1891-PST-E	RANDALL	6/18/2015	\$6,109	\$1,221	\$4,888	\$0
PST	49859	Abubaker Ysuf	2015-0032-PST-E	DALLAS	6/18/2015	\$3,375	\$675	\$2,700	\$0
PST	50112	Rinzim Investments, Inc.	2015-0262-PST-E	JEFFERSON	6/18/2015	\$1,562	\$312	\$1,250	\$0
PST	49894	Texaco Classic, Incorporated	2015-0044-PST-E	DALLAS	6/18/2015	\$3,375	\$675	\$2,700	\$0
PST	49957	Muhammad Fahim Khan *	2015-0132-PST-E	CALDWELL	6/18/2015	\$4,500	\$900	\$3,600	\$0
PST	49854	Amber M. Memon	2014-1900-PST-E	HARRIS	6/18/2015	\$3,644	\$728	\$2,916	\$0
PST	48336	JANNESARI, SHABAN	2014-0304-PST-E	HARRIS	6/25/2015	\$3,913	\$0	\$3,913	\$0
PST	48998	TEXAS JUVENILE JUSTICE DEPARTMENT	2014-1010-PST-E	LEE	6/25/2015	\$3,705	\$0	\$3,705	\$0
PST	46860	Benton Rainey, Inc.	2013-0960-PST-E	RED RIVER	6/25/2015	\$2,438	\$0	\$2,438	\$0
PST	39082	Big Valley Distributors, Inc.,	2010-0167-PST-E	WEBB	6/25/2015	\$3,850	\$0	\$3,850	\$0
PST	47688	Lamson Development, L.L.C.	2013-1800-PST-E	GALVESTON	6/25/2015	\$1,125	\$0	\$1,125	\$0
PST	48744	Good Time Stores, Inc.	2014-0750-PST-E	EL PASO	7/2/2015	\$1,860	\$0	\$1,860	\$0
PST	49796	SIR PROPERTIES TRUST	2014-1835-PST-E	DALLAS	7/10/2015	\$2,567	\$513	\$2,054	\$0
PST	49947	Solar Turbines Incorporated	2015-0092-PST-E	DALLAS	7/10/2015	\$3,375	\$675	\$2,700	\$0
PST	49880	APAC-Texas, Inc.	2015-0058-PST-E	DALLAS	7/10/2015	\$2,954	\$590	\$2,364	\$0
PST	49935	ONKAR INC.	2015-0084-PST-E	HARRIS	7/10/2015	\$2,438	\$487	\$1,951	\$0
PST	49529	HANTOUSHI GROUP, INC.	2014-1574-PST-E	HARRIS	7/10/2015	\$3,504	\$0	\$3,504	\$0
PST	50351	Shallowater Independent School District	2015-0536-PST-E	LUBBOCK	7/10/2015	\$2,625	\$0	\$2,625	\$0
PST	49660	Anam Enterprises, Inc.	2014-1711-PST-E	JEFFERSON	7/10/2015	\$5,015	\$1,003	\$4,012	\$0
PST	50022	M & N Lo Joes Corp	2015-0174-PST-E	DALLAS	7/10/2015	\$6,750	\$1,350	\$5,400	\$0
PST	49876	RENE'S TRUCKING, INC	2015-0026-PST-E	HARRIS	7/10/2015	\$6,465	\$1,293	\$5,172	\$0
PST	49280	DCJR Enterprises, Inc.	2014-1307-PST-E	SAN PATRICIO	7/10/2015	\$4,641	\$0	\$4,641	\$0
PST	50090	Pavanputra Hanuman, Inc.	2015-0268-PST-E	NACOGDOCHES	7/10/2015	\$3,693	\$738	\$2,955	\$0
PST	50009	Robby W. Hargraves	2015-0159-PST-E	TOM GREEN	7/10/2015	\$4,475	\$895	\$3,580	\$0
PST	49745	T & M Beer and Wine, Inc. *	2014-1793-PST-E	DALLAS	7/10/2015	\$3,692	\$738	\$2,954	\$0
PST	49515	ASM Gasha Inc	2014-1556-PST-E	DALLAS	7/10/2015	\$5,250	\$0	\$5,250	\$0

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Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	47259	Eileen Pheng	2013-1362-PST-E	LAMAR	7/10/2015	\$4,312	\$862	\$3,450	\$0
PST	50110	LONGVIEW FRIENDS INC.	2015-0261-PST-E	GREGG	7/10/2015	\$1,338	\$267	\$1,071	\$0
PST	50198	SPYGLASS MARINA MANAGEMENT GP, INC. *	2015-0363-PST-E	MONTGOMERY	7/10/2015	\$3,375	\$675	\$2,700	\$0
PST	49903	J.J. SPENCER TRANSPORT II, L.P.	2015-0112-PST-E	SMITH	7/10/2015	\$1,231	\$246	\$985	\$0
PST	49961	Shell Oil Company	2015-0108-PST-E	HARRIS	7/10/2015	\$2,955	\$591	\$2,364	\$0
PST	49722	Schneider National Carriers, Inc.	2014-1774-PST-E	DALLAS	7/10/2015	\$3,375	\$675	\$2,700	\$0
PST	50149	DIAMOND PACKAGE, INC.	2015-0322-PST-E	WILLIAMSON	7/10/2015	\$5,813	\$1,162	\$4,651	\$0
PST	50083	Pedernales Electric Cooperative, Inc.	2015-0329-PST-E	WILLIAMSON	7/10/2015	\$2,438	\$487	\$1,951	\$0
PST	49896	Transport Corporation of America, Inc.	2015-0045-PST-E	DALLAS	7/17/2015	\$21,484	\$4,296	\$17,188	\$0
PST	49032	Western Refining Southwest, Inc.	2014-1051-PST-E	EL PASO	7/17/2015	\$87,785	\$17,557	\$70,228	\$0
PST	49147	SAHAD INVESTMENTS, INC.	2014-1169-PST-E	KENDALL	7/17/2015	\$12,600	\$0	\$12,600	\$0
PST	41609	Donald Mayo	2013-1629-PST-E	HARRIS	7/17/2015	\$33,750	\$0	\$33,750	\$0
PST	49333	WEST PARK BUSINESS INC	2014-1346-PST-E	JEFFERSON	7/17/2015	\$13,890	\$0	\$13,890	\$0
PST	49536	VAKRATUNDA ENTERPRISES, LLC	2014-1575-PST-E	HARRIS	7/17/2015	\$9,000	\$1,800	\$7,200	\$0
PST	49194	INDUS INTEREST, LLC	2014-1240-PST-E	POLK	7/17/2015	\$26,094	\$5,218	\$20,876	\$0
PST	49882	World Fuel Services, Inc.	2015-0028-PST-E	TRAVIS	7/19/2015	\$1,225	\$245	\$980	\$0
PST	49881	Federal Aviation Administration Houston Tracon District 190	2015-0027-PST-E	HARRIS	7/19/2015	\$4,125	\$825	\$3,300	\$0
PST	50265	Ruby Enterprises, Inc.	2015-0430-PST-E	HARRIS	7/19/2015	\$4,500	\$900	\$3,600	\$0
PST	49760	ESHAN ENTERPRISE INC	2014-1806-PST-E	LEON	7/19/2015	\$6,000	\$1,200	\$4,800	\$0
PST	49979	Syeda M. Azeemuddin	2015-0128-PST-E	DALLAS	7/19/2015	\$3,375	\$675	\$2,700	\$0
PST	50072	Dallas County	2015-0225-PST-E	DALLAS	7/19/2015	\$3,375	\$675	\$2,700	\$0
PST	49875	AMHM ENTERPRISE INC	2015-0021-PST-E	JEFFERSON	7/19/2015	\$3,529	\$705	\$2,824	\$0
PST	49603	LKS BUSINESS GROUP LLC	2014-1645-PST-E	DALLAS	7/19/2015	\$7,500	\$1,500	\$6,000	\$0
PST	50197	OAKRIDGE & OAKRIDGE GROUP LLC	2015-0356-PST-E	HUTCHINSON	7/19/2015	\$4,629	\$925	\$3,704	\$0
PST	49992	T. E. C. Well Service, Inc.	2015-0208-PST-E	GREGG	7/19/2015	\$2,568	\$513	\$2,055	\$0
PST	49732	ASNA BUSINESS INC.	2014-1781-PST-E	JEFFERSON	7/19/2015	\$6,480	\$1,296	\$5,184	\$0
PST	50091	U.S. XPRESS, INC.	2015-0270-PST-E	DALLAS	7/19/2015	\$3,692	\$738	\$2,954	\$0
PST	48894	ZURRA ENTERPRISES INC	2014-0918-PST-E	DALLAS	7/19/2015	\$6,596	\$1,319	\$5,277	\$0
PST	49758	ONE STOP GROCERY&GRILL LLC	2014-1799-PST-E	DALLAS	7/19/2015	\$6,000	\$1,200	\$4,800	\$0
PST	50496	McLane Company Inc	2015-0699-PST-E	LUBBOCK	7/19/2015	\$2,625	\$0	\$2,625	\$0
PST	49747	ASHBAR CORPORATION	2014-1794-PST-E	DALLAS	7/19/2015	\$4,629	\$925	\$3,704	\$0
PST	50032	Joe Nandin	2015-0465-PST-E	TOM GREEN	7/19/2015	\$3,937	\$787	\$3,150	\$0
PST	50225	Atour Eyvasian	2015-0379-PST-E	WILLIAMSON	7/19/2015	\$3,750	\$750	\$3,000	\$0
PST	49895	Nilofar, Inc.	2015-0043-PST-E	JEFFERSON	7/19/2015	\$2,973	\$594	\$2,379	\$0
PST	49371	AmAu Incorporated	2014-1398-PST-E	FAYETTE	8/6/2015	\$6,496	\$0	\$6,496	\$0
PST	49772	Sung Hee Kang	2014-1814-PST-E	NUECES	8/10/2015	\$12,214	\$2,442	\$9,772	\$0
PST	50181	Adico Enterprises Inc.	2015-0350-PST-E	HARRIS	8/10/2015	\$5,500	\$1,100	\$4,400	\$0
PST	50121	Federal Bureau of Prisons	2015-0278-PST-E	DALLAS	8/10/2015	\$3,750	\$750	\$3,000	\$0

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Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	49789	Pilot Thomas Logistics LLC	2014-1829-PST-E	SUTTON	8/10/2015	\$56,065	\$11,213	\$44,852	\$0
PST	50184	Racetrac Petroleum, Inc.	2015-0355-PST-E	COLLIN	8/10/2015	\$9,484	\$1,896	\$7,588	\$0
PST	49263	TRANSAM PETROLEUM, INC.	2014-1285-PST-E	DALLAS	8/10/2015	\$19,473	\$0	\$19,473	\$0
PST	49686	Tsigereda Mengesha	2014-1732-PST-E	DALLAS	8/10/2015	\$10,579	\$2,115	\$8,464	\$0
PST	50127	Samira, Inc.	2015-0290-PST-E	HARRIS	8/10/2015	\$4,500	\$900	\$3,600	\$0
PST	50179	Murphy Oil USA, Inc.	2015-0349-PST-E	JEFFERSON	8/10/2015	\$3,562	\$712	\$1,425	\$1,425
PST	50220	WILSON BUSINESS, INC.	2015-0404-PST-E	HARRIS	8/10/2015	\$5,701	\$1,140	\$4,561	\$0
PST	49871	Leo Business, Inc.	2015-0018-PST-E	HARRIS	8/10/2015	\$8,754	\$1,750	\$7,004	\$0
PST	45759	TR's Market Inc dba In & Out	2012-2614-PST-E	DENTON	8/10/2015	\$3,750	\$750	\$3,000	\$0
PST	50082	Tom Van Nguyen	2015-0246-PST-E	TARRANT	8/10/2015	\$43,975	\$8,795	\$35,180	\$0
PST	50209	Vishwanath Enterprise, Inc.	2015-0375-PST-E	COLLIN	8/10/2015	\$3,504	\$700	\$2,804	\$0
PST	49683	Desi Group, Inc.	2014-1734-PST-E	DALLAS	8/10/2015	\$15,015	\$3,003	\$12,012	\$0
PST	50161	Navasota Oil Co., Inc.	2015-0319-PST-E	GRIMES	8/10/2015	\$4,606	\$921	\$3,685	\$0
PST	50014	Joe Vaughn Spraying, Inc	2015-0158-PST-E	SWISHER	8/10/2015	\$2,438	\$487	\$1,951	\$0
PST	49185	Pilgrim's Pride Corporation	2014-1217-PST-E	NACOGDOCHES	8/10/2015	\$13,538	\$2,707	\$10,831	\$0
PST	49729	Ector County	2014-1779-PST-E	ECTOR	8/10/2015	\$13,688	\$2,737	\$10,951	\$0
PST	49685	ZAK Business Inc.	2014-1733-PST-E	MONTGOMERY	8/10/2015	\$8,750	\$1,750	\$7,000	\$0
PST	49434	Texas Transeastern, Inc.	2014-1463-PST-E	HARRIS	8/10/2015	\$8,203	\$1,640	\$6,563	\$0
PST	50068	Jose G. Nieto	2015-0226-PST-E	HIDALGO	8/10/2015	\$9,105	\$1,821	\$7,284	\$0
PST	50196	HIDDEN DIAMOND, INC.	2015-0382-PST-E	DALLAS	8/17/2015	\$3,000	\$600	\$2,400	\$0
PST	49993	NATURAL PETROLEUM LLC	2015-0142-PST-E	DALLAS	8/17/2015	\$3,563	\$712	\$2,851	\$0
PST	49923	Harris County	2015-0073-PST-E	*MULTIPLE	8/17/2015	\$3,750	\$750	\$0	\$3,000
PST	50320	Hajarat Corporation	2015-0500-PST-E	HARRIS	8/17/2015	\$5,401	\$1,080	\$4,321	\$0
PST	50242	PARVEEZ INVESTMENT INC.	2015-0485-PST-E	COLLIN	8/17/2015	\$3,375	\$675	\$2,700	\$0
PST	50304	NIEKNAZ ENTERPRISES INC	2015-0484-PST-E	DALLAS	8/17/2015	\$4,875	\$975	\$3,900	\$0
PST	50294	Khalid J Qureshi	2015-0466-PST-E	BEXAR	8/17/2015	\$3,000	\$600	\$2,400	\$0
PST	49976	Jena Business, Inc.	2015-0126-PST-E	WILLIAMSON	8/24/2015	\$10,500	\$2,100	\$8,400	\$0
PST	47294	SPYGOAT, INC.	2013-1422-PST-E	BEXAR	8/24/2015	\$9,406	\$1,881	\$7,525	\$0
PST	49907	DUBLIN STOP GROUP INC	2015-0053-PST-E	ERATH	8/24/2015	\$9,147	\$1,829	\$7,318	\$0
PST	49908	Derrick Oil & Supply, Inc. of Port Arthur, Texas	2015-0052-PST-E	JEFFERSON	8/24/2015	\$7,875	\$1,575	\$6,300	\$0
PST	49462	Tristar Convenience Stores, Inc.	2014-1505-PST-E	HARRIS	8/30/2015	\$35,100	\$0	\$35,100	\$0
PST	49990	Aspri Investments, LLC	2015-0537-PST-E	BEXAR	8/31/2015	\$3,375	\$675	\$2,700	\$0
<b>Subtotal for PST : 420</b>						<b>\$3,128,018</b>	<b>\$386,068</b>	<b>\$2,710,302</b>	<b>\$31,648</b>
PWS	47521	Allen Watts	2013-1774-PWS-E	*MULTIPLE	9/14/2014	\$5,258	\$0	\$5,258	\$0
PWS	45496	Pamela Sue Hughes	2012-2346-PWS-E	LUBBOCK	9/14/2014	\$5,270	\$0	\$5,270	\$0
PWS	44766	Brazos Valley Septic & Water, Inc.	2014-0207-PWS-E	ROBERTSON	9/19/2014	\$50	\$0	\$50	\$0
PWS	48181	Tommy Fisher	2014-0137-PWS-E	LUBBOCK	9/19/2014	\$6,480	\$6,480	\$0	\$0
PWS	48147	Rowena Water Supply Corporation	2014-0088-PWS-E	RUNNELS	9/19/2014	\$1,281	\$1,281	\$0	\$0

## Singles Programs

## Appendix 1: List of Administrative Orders Issued - FY 2015

Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	43372	City Of Follett	2013-1880-PWS-E	LIPSCOMB	9/19/2014	\$274	\$0	\$274	\$0
PWS	48290	Aqua Utilities, Inc.	2014-0237-PWS-E	HARRIS	9/19/2014	\$345	\$345	\$0	\$0
PWS	47927	Tri Hue Thich	2013-2056-PWS-E	HARRIS	9/19/2014	\$2,918	\$0	\$2,918	\$0
PWS	48054	James W. Jeffcoat *	2013-2212-PWS-E	HASKELL	9/19/2014	\$1,754	\$0	\$1,754	\$0
PWS	48320	City of Childress	2014-0275-PWS-E	CHILDRESS	9/22/2014	\$356	\$71	\$285	\$0
PWS	48497	S.L.C. Water Supply Corporation	2014-0476-PWS-E	LIMESTONE	9/22/2014	\$660	\$132	\$528	\$0
PWS	48417	City of Poteet	2014-0387-PWS-E	ATASCOSA	9/22/2014	\$74	\$14	\$60	\$0
PWS	48491	Bertha Espinosa	2014-0467-PWS-E	HARRIS	9/22/2014	\$110	\$22	\$88	\$0
PWS	48375	American Petroleum Welding, INC.	2014-0341-PWS-E	LUBBOCK	9/22/2014	\$142	\$142	\$0	\$0
PWS	48380	LANXEES Corporation	2014-0352-PWS-E	ORANGE	9/22/2014	\$853	\$170	\$683	\$0
PWS	48405	Catherine Murray	2014-0363-PWS-E	BRAZORIA	9/22/2014	\$2,083	\$415	\$1,668	\$0
PWS	48664	Grace International Churches and Ministries, Inc.	2014-0666-PWS-E	HARRIS	9/22/2014	\$1,035	\$207	\$828	\$0
PWS	48519	PHW, EMW, AWB & EB TEXAS, LLC	2014-0502-PWS-E	TARRANT	9/22/2014	\$805	\$161	\$644	\$0
PWS	48601	Harris County FWSD 1B	2014-0591-PWS-E	HARRIS	9/22/2014	\$180	\$36	\$144	\$0
PWS	48370	Regency Field Services LLC	2014-0343-PWS-E	PECOS	9/22/2014	\$1,397	\$279	\$1,118	\$0
PWS	48259	City of Santa Rosa	2014-0222-PWS-E	CAMERON	9/22/2014	\$1,755	\$351	\$1,404	\$0
PWS	48227	Lakeshore Utility Company	2014-0187-PWS-E	HENDERSON	9/22/2014	\$4,783	\$956	\$3,827	\$0
PWS	48510	The Camp Recovery Centers, L.P.	2014-0492-PWS-E	KERR	9/22/2014	\$3,177	\$634	\$2,543	\$0
PWS	48283	City of Lawn	2014-0241-PWS-E	TAYLOR	9/22/2014	\$1,740	\$348	\$1,392	\$0
PWS	48359	Webb County	2014-0328-PWS-E	WEBB	9/22/2014	\$400	\$80	\$320	\$0
PWS	48426	Calvert C. Huffmaster, Jr.	2014-0396-PWS-E	VICTORIA	9/22/2014	\$2,684	\$536	\$2,148	\$0
PWS	48383	PETERSON, RIC	2014-0351-PWS-E	WHEELER	9/22/2014	\$867	\$173	\$694	\$0
PWS	48421	Southbound, Inc.	2014-0403-PWS-E	BEXAR	9/22/2014	\$1,504	\$300	\$1,204	\$0
PWS	48440	Uplifting Properties LP	2014-0400-PWS-E	TRAVIS	9/22/2014	\$1,529	\$305	\$1,224	\$0
PWS	48361	Lyle B. Murphey	2014-0333-PWS-E	TRAVIS	9/22/2014	\$2,061	\$411	\$1,650	\$0
PWS	48529	City Of Plains	2014-0513-PWS-E	YOAKUM	9/22/2014	\$200	\$40	\$160	\$0
PWS	48564	Veelock, LLC	2014-0552-PWS-E	BRAZOS	9/22/2014	\$224	\$44	\$180	\$0
PWS	48350	Albury Manor Utility Company, Inc.	2014-0311-PWS-E	HARRIS	9/22/2014	\$1,110	\$222	\$888	\$0
PWS	48452	New Progress Wsc	2014-0427-PWS-E	PARKER	9/22/2014	\$458	\$91	\$367	\$0
PWS	48312	Tri-County Point Property Owners Association	2014-0269-PWS-E	JACKSON	9/22/2014	\$575	\$115	\$460	\$0
PWS	48404	MILAGRO INTERESTS, INC.	2014-0364-PWS-E	HARRIS	9/22/2014	\$1,626	\$325	\$1,301	\$0
PWS	48526	Aqua Utilities, Inc.	2014-0511-PWS-E	HAYS	9/22/2014	\$1,523	\$304	\$1,219	\$0
PWS	48346	First States Investors 5200 LLC	2014-0315-PWS-E	HARRIS	9/22/2014	\$1,938	\$387	\$1,551	\$0
PWS	48576	City of Laredo	2014-0564-PWS-E	WEBB	9/22/2014	\$585	\$117	\$468	\$0
PWS	48188	Sam Rayburn Water, Inc.	2014-0134-PWS-E	SAN AUGUSTINE	9/22/2014	\$6,621	\$1,323	\$5,298	\$0
PWS	48390	2012 Weatherford Holdings, LLC	2014-0358-PWS-E	PARKER	9/22/2014	\$2,653	\$530	\$2,123	\$0
PWS	48428	JARVIS CHRISTIAN COLLEGE	2014-0402-PWS-E	WOOD	9/22/2014	\$399	\$79	\$320	\$0
PWS	48604	Thompson Heights Development Company	2014-0603-PWS-E	GRAYSON	9/22/2014	\$500	\$100	\$400	\$0

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PWS	48365	AMARILLO WEST R.V. PARK, L.L.C.	2014-0332-PWS-E	POTTER	9/22/2014	\$673	\$134	\$539	\$0
PWS	48356	Cresson Crossroads, LLC	2014-0322-PWS-E	HOOD	9/22/2014	\$1,619	\$323	\$1,296	\$0
PWS	48207	Synergy 500 Investments, LLC	2014-0155-PWS-E	LUBBOCK	9/22/2014	\$3,643	\$728	\$2,915	\$0
PWS	42831	Curtis J. Shupak	2011-1968-PWS-E	BURLESON	9/28/2014	\$2,346	\$0	\$2,346	\$0
PWS	48178	John L. Moore *	2014-0140-PWS-E	MCLENNAN	9/28/2014	\$356	\$0	\$356	\$0
PWS	47408	Ancar Water System, Inc.	2013-1514-PWS-E	ORANGE	9/28/2014	\$1,955	\$0	\$1,955	\$0
PWS	47695	HDU Services, L.L.C.	2013-1798-PWS-E	BURLESON	9/28/2014	\$4,757	\$0	\$4,757	\$0
PWS	48507	City of Riesel	2014-0478-PWS-E	MCLENNAN	10/5/2014	\$1,092	\$1,092	\$0	\$0
PWS	48431	Terry Bourbon	2014-0416-PWS-E	EL PASO	10/5/2014	\$1,567	\$1,567	\$0	\$0
PWS	48518	James L. Oxford	2014-0491-PWS-E	BEE	10/5/2014	\$210	\$210	\$0	\$0
PWS	48323	WTG Fuels, Inc.	2014-0293-PWS-E	MIDLAND	10/5/2014	\$660	\$660	\$0	\$0
PWS	47998	Deer Creek Ranch Water Co., LLC. *	2013-2146-PWS-E	TRAVIS	10/5/2014	\$217	\$217	\$0	\$0
PWS	48200	The Dow Chemical Company	2014-0158-PWS-E	BRAZORIA	10/5/2014	\$174	\$0	\$174	\$0
PWS	48479	Milberger Landscaping, Inc.	2014-0455-PWS-E	BEXAR	10/5/2014	\$2,046	\$0	\$2,046	\$0
PWS	48352	Sharon Stephenson	2014-0310-PWS-E	LA SALLE	10/5/2014	\$2,329	\$0	\$2,329	\$0
PWS	48461	City of Adrian	2014-0457-PWS-E	OLDHAM	10/6/2014	\$1,120	\$224	\$896	\$0
PWS	48605	Walcott Independent School District	2014-0602-PWS-E	DEAF SMITH	10/6/2014	\$100	\$20	\$80	\$0
PWS	48620	Webb County	2014-0627-PWS-E	WEBB	10/6/2014	\$364	\$72	\$292	\$0
PWS	48628	Southwestern Bell Telephone Company	2014-0635-PWS-E	FORT BEND	10/6/2014	\$270	\$54	\$216	\$0
PWS	48572	Grand Harbor Water Supply Corporation	2014-0560-PWS-E	WISE	10/6/2014	\$155	\$31	\$124	\$0
PWS	48487	Wellborn Special Utility District	2014-0463-PWS-E	BRAZOS	10/6/2014	\$963	\$192	\$771	\$0
PWS	48563	Central Water Control and Improvement District of Angelina County	2014-0553-PWS-E	ANGELINA	10/6/2014	\$315	\$63	\$252	\$0
PWS	48131	Sutton Hills Estates Property Owners' Association, Inc.	2014-0069-PWS-E	SAN AUGUSTINE	10/6/2014	\$1,230	\$245	\$985	\$0
PWS	48565	Carolyn Maxey	2014-0554-PWS-E	BURNET	10/6/2014	\$170	\$34	\$136	\$0
PWS	48965	RABROKER, DENNIS G	2014-0976-WOC-E	BELL	10/6/2014	\$175	\$0	\$175	\$0
PWS	48753	T-Rex Properties, LP	2014-0758-PWS-E	HARRIS	10/6/2014	\$1,094	\$218	\$876	\$0
PWS	48191	MARY F. TRAMMELL	2014-0132-PWS-E	ROBERTSON	10/6/2014	\$1,400	\$280	\$1,120	\$0
PWS	48127	Lonzo J. Gale	2014-0072-PWS-E	SHELBY	10/6/2014	\$1,747	\$349	\$1,398	\$0
PWS	48233	E & M Equity Holdings, LLC.	2014-0183-PWS-E	BRAZORIA	10/6/2014	\$1,947	\$388	\$1,559	\$0
PWS	48626	City of Byers	2014-0623-PWS-E	CLAY	10/17/2014	\$660	\$660	\$0	\$0
PWS	48275	City of Burkburnett	2014-0243-PWS-E	WICHITA	10/17/2014	\$2,100	\$2,100	\$0	\$0
PWS	48280	Sam Rayburn Water, Inc.	2014-0242-PWS-E	SAN AUGUSTINE	10/18/2014	\$6,241	\$1,247	\$4,994	\$0
PWS	48194	William Brent Davis	2014-0130-PWS-E	MONTGOMERY	10/18/2014	\$2,801	\$559	\$2,242	\$0
PWS	48612	Texas SPF Investments, LLC	2014-0608-PWS-E	PARKER	10/18/2014	\$405	\$81	\$324	\$0
PWS	48494	Carolyn Crawford *	2014-0475-PWS-E	BURNET	10/18/2014	\$1,282	\$256	\$1,026	\$0
PWS	48450	East Central SUD	2014-0420-PWS-E	BEXAR	10/18/2014	\$4,370	\$874	\$3,496	\$0
PWS	49082	LEE, ROGER	2014-1117-WOC-E	MOTLEY	10/18/2014	\$175	\$0	\$175	\$0

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PWS	48623	CASH REGISTER SERVICES, INC.	2014-0625-PWS-E	LUBBOCK	10/18/2014	\$335	\$335	\$0	\$0
PWS	48820	Libert-Looneyville Water Supply Corporation	2014-0823-PWS-E	NACOGDOCHES	10/18/2014	\$760	\$151	\$609	\$0
PWS	48885	Twin Lakes Club, Inc.	2014-0893-PWS-E	BRAZORIA	10/18/2014	\$1,380	\$276	\$1,104	\$0
PWS	48878	Hickory Creek SUD	2014-0883-PWS-E	HUNT	10/18/2014	\$2,106	\$421	\$1,685	\$0
PWS	48642	Spring Creek Independent School District	2014-0646-PWS-E	HUTCHINSON	10/18/2014	\$1,140	\$228	\$912	\$0
PWS	47995	Texas Airstream Harbor, Inc.	2013-2147-PWS-E	ANGELINA	10/18/2014	\$605	\$121	\$484	\$0
PWS	46928	Curtis White	2013-1012-PWS-E	SAN AUGUSTINE	10/25/2014	\$4,054	\$0	\$4,054	\$0
PWS	48077	Whispering Oaks Water Coop	2014-0012-PWS-E	HUNT	10/25/2014	\$3,838	\$0	\$3,838	\$0
PWS	48179	Eola Water Supply Corporation	2014-0139-PWS-E	*MULTIPLE	10/25/2014	\$644	\$0	\$644	\$0
PWS	47911	Efrain Franco *	2013-2035-PWS-E	HARRIS	10/27/2014	\$975	\$0	\$975	\$0
PWS	48070	Ashley And Fagan Investments Co., Inc.	2013-2225-PWS-E	SCURRY	10/27/2014	\$1,615	\$1,615	\$0	\$0
PWS	48211	COUNTRY PLACE\NORTHWEST HOME OWNERS' ASSOCIATION, INC.	2014-0168-PWS-E	WASHINGTON	10/27/2014	\$969	\$0	\$969	\$0
PWS	48261	Ericksdahl Water Supply Corporation	2014-0220-PWS-E	JONES	10/27/2014	\$976	\$0	\$976	\$0
PWS	48379	Red River County Water Supply Corporation	2014-0353-PWS-E	RED RIVER	10/27/2014	\$525	\$0	\$525	\$0
PWS	48075	Debbie Block	2014-0006-PWS-E	*MULTIPLE	10/27/2014	\$14,595	\$2,916	\$11,679	\$0
PWS	48914	NEW HORIZONS RANCH AND CENTER, INC.	2014-0916-PWS-E	MILLS	10/31/2014	\$270	\$54	\$216	\$0
PWS	48881	Gurudwara Sahib of Houston Inc.	2014-0888-PWS-E	HARRIS	10/31/2014	\$787	\$157	\$630	\$0
PWS	48787	Concan Water Supply Corporation	2014-0786-PWS-E	UVALDE	10/31/2014	\$2,205	\$441	\$1,764	\$0
PWS	48201	Pamela Sue Hughes	2014-0127-PWS-E	LUBBOCK	11/6/2014	\$13,736	\$0	\$13,736	\$0
PWS	47831	Yarbrough, Shawn Mark	2013-1930-PWS-E	ANGELINA	11/6/2014	\$3,568	\$0	\$3,568	\$0
PWS	47003	Leroy Moody *	2013-1088-PWS-E	BOWIE	11/12/2014	\$873	\$0	\$873	\$0
PWS	48883	Forest Water Supply Corporation	2014-0885-PWS-E	CHEROKEE	11/16/2014	\$685	\$137	\$548	\$0
PWS	48786	BRUSH COUNTRY DEVELOPMENT CORPORATION	2014-0805-PWS-E	DUVAL	11/16/2014	\$799	\$159	\$640	\$0
PWS	49068	Port Central Service Center LP	2014-1086-PWS-E	HARRIS	11/16/2014	\$2,557	\$510	\$2,047	\$0
PWS	48602	Waterwood Municipal Utility District 1	2014-0590-PWS-E	SAN JACINTO	11/16/2014	\$250	\$50	\$200	\$0
PWS	48322	Maria Elena Gueta	2014-0294-PWS-E	BRAZORIA	11/23/2014	\$2,591	\$0	\$2,591	\$0
PWS	48473	Shredder Co Worlds Best, LLC	2014-0443-PWS-E	EL PASO	11/23/2014	\$1,633	\$0	\$1,633	\$0
PWS	48818	City of Roscoe	2014-0821-PWS-E	NOLAN	12/6/2014	\$300	\$60	\$240	\$0
PWS	48262	Donald R. Cole	2014-0219-PWS-E	PARKER	12/6/2014	\$2,629	\$525	\$2,104	\$0
PWS	48783	Market Place Innovation, Inc. *	2014-0784-PWS-E	HARRIS	12/6/2014	\$795	\$159	\$636	\$0
PWS	48693	Aqua Utilities, Inc.	2014-0699-PWS-E	MONTGOMERY	12/6/2014	\$1,063	\$212	\$851	\$0
PWS	48975	Virginia Franklin Fuller	2014-1019-PWS-E	LUBBOCK	12/6/2014	\$431	\$86	\$345	\$0
PWS	48466	Treetop Utilities, Inc.	2014-0447-PWS-E	PARKER	12/6/2014	\$1,327	\$265	\$1,062	\$0
PWS	48542	NEW OASIS OF FAITH CHURCH	2014-0523-PWS-E	HARRIS	12/6/2014	\$1,708	\$341	\$1,367	\$0
PWS	38222	Tom Green County FWSD 2	2013-2179-PWS-E	TOM GREEN	12/7/2014	\$10,302	\$0	\$10,302	\$0
PWS	41689	BRUSH COUNTRY DEVELOPMENT CORPORATION	2013-1883-PWS-E	DUVAL	12/7/2014	\$3,969	\$0	\$3,969	\$0
PWS	48400	City Of Eden	2014-0365-PWS-E	CONCHO	12/7/2014	\$1,785	\$1,785	\$0	\$0

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PWS	48594	Mullin Independent School District	2014-0584-PWS-E	MILLS	12/7/2014	\$6,669	\$6,669	\$0	\$0
PWS	48192	IBG Enterprises, LLC	2014-0131-PWS-E	BEXAR	12/7/2014	\$2,349	\$0	\$2,349	\$0
PWS	39323	Live Oak Resort, Inc.	2013-1210-PWS-E	WASHINGTON	12/7/2014	\$17,630	\$0	\$17,630	\$0
PWS	48260	City of Beeville	2014-0221-PWS-E	BEE	12/19/2014	\$6,929	\$0	\$6,929	\$0
PWS	42008	Bartley Woods WSC	2014-0146-MLM-E	FANNIN	12/19/2014	\$3,018	\$0	\$3,018	\$0
PWS	48171	Virginia Franklin Fuller	2014-0105-PWS-E	LUBBOCK	12/19/2014	\$1,899	\$0	\$1,899	\$0
PWS	48138	Munson Point, Ltd. *	2014-0080-PWS-E	GRAYSON	12/19/2014	\$1,552	\$0	\$1,552	\$0
PWS	43025	The Original Adventure Camp Inc	2014-0468-PWS-E	KERR	12/19/2014	\$1,183	\$0	\$1,183	\$0
PWS	48606	City of O'Brien	2014-0601-PWS-E	HASKELL	12/19/2014	\$864	\$864	\$0	\$0
PWS	48821	STROUHAL FAMILY PARTNERSHIP, LTD.	2014-0824-PWS-E	BRAZORIA	12/20/2014	\$2,567	\$512	\$2,055	\$0
PWS	48949	Brinson, Inc.	2014-0969-PWS-E	MOORE	12/20/2014	\$838	\$167	\$671	\$0
PWS	48484	Langtry Water Supply Corporation	2014-0514-PWS-E	VAL VERDE	12/20/2014	\$630	\$126	\$504	\$0
PWS	48562	Reyna A Martinez	2014-0540-PWS-E	MIDLAND	12/20/2014	\$1,708	\$341	\$1,367	\$0
PWS	48624	Darryl Wheeler	2014-0624-PWS-E	POLK	12/20/2014	\$925	\$185	\$740	\$0
PWS	49426	SAMUEL, ALFREDA L	2014-1454-WOC-E	JEFFERSON	12/20/2014	\$175	\$0	\$175	\$0
PWS	48705	Topsey WSC	2014-0734-PWS-E	CORYELL	12/20/2014	\$350	\$70	\$280	\$0
PWS	49066	Betway Parks, L.P.	2014-1085-PWS-E	HARRIS	12/20/2014	\$3,733	\$746	\$2,987	\$0
PWS	48943	City of Barstow	2014-0962-PWS-E	WARD	12/20/2014	\$400	\$80	\$320	\$0
PWS	41653	Ms. Molly's LLC	2013-2066-PWS-E	COLORADO	12/27/2014	\$5,484	\$0	\$5,484	\$0
PWS	48327	Kyle Freeman	2014-0316-PWS-E	JOHNSON	12/27/2014	\$1,920	\$0	\$1,920	\$0
PWS	48197	Pamela Sue Hughes	2014-0159-PWS-E	LUBBOCK	1/8/2015	\$2,678	\$0	\$2,678	\$0
PWS	43624	Wendell Reese	2013-2019-PWS-E	MATAGORDA	1/8/2015	\$4,790	\$0	\$4,790	\$0
PWS	42246	Rhonda C. Vanover *	2014-0110-PWS-E	LUBBOCK	1/8/2015	\$2,805	\$0	\$2,805	\$0
PWS	48082	Bay Ridge Christian College	2014-0015-PWS-E	FORT BEND	1/8/2015	\$780	\$0	\$780	\$0
PWS	48969	Trailswest Mobile Home Park, LLC	2014-0989-PWS-E	BOWIE	1/12/2015	\$770	\$154	\$616	\$0
PWS	49015	Gum Springs Water Supply Corporation	2014-1027-PWS-E	HARRISON	1/12/2015	\$342	\$342	\$0	\$0
PWS	49531	KENDRICK, DEBBIE M	2014-1586-WOC-E	ORANGE	1/12/2015	\$175	\$0	\$175	\$0
PWS	48767	Williams, Troy L.	2014-0772-PWS-E	WALKER	1/12/2015	\$664	\$132	\$532	\$0
PWS	49065	Pure Utilities, L.C.	2014-1084-PWS-E	POLK	1/25/2015	\$3,552	\$709	\$2,843	\$0
PWS	49629	EDWARDS, KELLY B	2014-1677-WOC-E	SAN SABA	1/25/2015	\$175	\$0	\$175	\$0
PWS	49011	Leigh Water Supply Corporation	2014-1029-PWS-E	HARRISON	1/25/2015	\$157	\$31	\$126	\$0
PWS	48856	Water Association Of North Lake, Inc.	2014-0863-PWS-E	DENTON	1/25/2015	\$1,349	\$269	\$1,080	\$0
PWS	48847	Silver Creek Village Water Supply Corporation	2014-0849-PWS-E	BURNET	2/6/2015	\$1,098	\$1,098	\$0	\$0
PWS	48357	Hernandez, James A.	2014-0321-PWS-E	EL PASO	2/6/2015	\$706	\$0	\$706	\$0
PWS	48600	West Park Municipal Utility District	2014-0592-PWS-E	HARRIS	2/6/2015	\$187	\$187	\$0	\$0
PWS	48469	Beachview Acres Water Association	2014-0445-PWS-E	HILL	2/6/2015	\$945	\$0	\$945	\$0
PWS	48977	St Paul United Methodist Church Huntsville	2014-0995-PWS-E	WALKER	2/6/2015	\$980	\$0	\$980	\$0
PWS	48791	Oak Manor Mud	2014-0789-PWS-E	BRAZORIA	2/6/2015	\$172	\$172	\$0	\$0

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PWS	48208	Peachleaf Associates Venture #1, L.P.	2014-0154-PWS-E	HARRIS	2/6/2015	\$3,909	\$0	\$3,909	\$0
PWS	42113	Silverlake Church	2014-0050-PWS-E	BRAZORIA	2/6/2015	\$62	\$0	\$62	\$0
PWS	48907	STX Process Equipment LLC	2014-0907-PWS-E	DUVAL	2/6/2015	\$354	\$0	\$354	\$0
PWS	49014	North Pines, LLC	2014-1026-PWS-E	HARRIS	2/12/2015	\$6,028	\$1,205	\$4,823	\$0
PWS	49045	City of Orange	2014-1063-PWS-E	ORANGE	2/12/2015	\$2,254	\$450	\$1,804	\$0
PWS	49437	City of Roby	2014-1467-PWS-E	FISHER	2/12/2015	\$477	\$95	\$382	\$0
PWS	48884	Susan Eriks *	2014-0892-PWS-E	HENDERSON	2/12/2015	\$1,149	\$229	\$920	\$0
PWS	48997	Galveston County Waste Consolidated Independent District 19	2014-1015-PWS-E	GALVESTON	2/12/2015	\$385	\$77	\$308	\$0
PWS	48443	Michael H. Johnson	2014-0415-PWS-E	BRAZORIA	2/12/2015	\$1,522	\$304	\$1,218	\$0
PWS	48913	Langtry Water Supply Corporation	2014-0914-PWS-E	VAL VERDE	2/12/2015	\$559	\$111	\$448	\$0
PWS	48995	Richard Clark Enterprises, L.L.C.	2014-1009-PWS-E	MONTGOMERY	2/12/2015	\$3,628	\$725	\$2,903	\$0
PWS	48866	The Millett Housing Group LLC *	2014-0853-PWS-E	LA SALLE	2/12/2015	\$1,237	\$247	\$990	\$0
PWS	48841	Aswad Family Limited Partnership *	2014-0844-PWS-E	HARRIS	2/12/2015	\$549	\$109	\$440	\$0
PWS	49077	Al-Qamar, Inc.	2014-1103-PWS-E	HARRIS	2/12/2015	\$2,842	\$567	\$2,275	\$0
PWS	48920	Lhoist North America of Texas, Ltd.	2014-0921-PWS-E	COMAL	2/12/2015	\$1,261	\$252	\$1,009	\$0
PWS	49071	Samuel Coronado	2014-1090-PWS-E	CAMERON	2/13/2015	\$1,472	\$0	\$1,472	\$0
PWS	38136	Virginia Franklin Fuller	2013-0819-PWS-E	LUBBOCK	2/13/2015	\$13,900	\$0	\$13,900	\$0
PWS	41982	Ramon C. Gonzales, Jr.	2013-1970-PWS-E	MIDLAND	2/13/2015	\$3,353	\$0	\$3,353	\$0
PWS	49142	Raymond W. Blair Jr.	2014-1195-PWS-E	DENTON	2/13/2015	\$1,012	\$0	\$1,012	\$0
PWS	38934	GARZA, HENRY M	2012-0779-PWS-E	HAYS	2/13/2015	\$5,402	\$0	\$5,402	\$0
PWS	47933	NORRA, CAROL ANN	2013-2064-UTL-E	HARRIS	2/20/2015	\$2,250	\$0	\$2,250	\$0
PWS	48488	Kathy Lott *	2014-0462-PWS-E	TARRANT	2/20/2015	\$2,885	\$0	\$2,885	\$0
PWS	48996	104th & Frankford / Affordable Storage, Inc.	2014-1000-PWS-E	LUBBOCK	2/23/2015	\$168	\$168	\$0	\$0
PWS	49243	MOMIN, NIZAR	2014-1250-PWS-E	FORT BEND	2/23/2015	\$100	\$20	\$80	\$0
PWS	49108	Medina Children's Home	2014-1131-PWS-E	BANDERA	2/23/2015	\$285	\$285	\$0	\$0
PWS	49223	City of Goodlow	2014-1228-PWS-E	NAVARRO	2/23/2015	\$330	\$66	\$264	\$0
PWS	48876	City of Roby	2014-0889-PWS-E	FISHER	2/23/2015	\$525	\$105	\$420	\$0
PWS	49190	Dolores A. Luke *	2014-1242-PWS-E	HARDIN	2/23/2015	\$1,851	\$370	\$1,481	\$0
PWS	49037	Harrisburg Water Supply Corp	2014-1057-PWS-E	JASPER	2/23/2015	\$1,275	\$255	\$1,020	\$0
PWS	49348	City of Southmayd	2014-1368-PWS-E	GRAYSON	2/23/2015	\$306	\$61	\$245	\$0
PWS	49023	Walnut Bend Isd	2014-1034-PWS-E	COOKE	2/23/2015	\$1,350	\$270	\$1,080	\$0
PWS	48822	Pixley Water Works, Inc.	2014-0829-PWS-E	POLK	2/23/2015	\$61	\$12	\$49	\$0
PWS	37830	Mirando City Water Supply Corporation	2013-2111-PWS-E	*MULTIPLE	2/26/2015	\$8,450	\$0	\$8,450	\$0
PWS	42092	Rocky Wadlington	2014-0360-PWS-E	LIMESTONE	2/26/2015	\$2,365	\$0	\$2,365	\$0
PWS	48474	Loyal Lybarger	2014-0442-PWS-E	HARRIS	2/26/2015	\$1,960	\$0	\$1,960	\$0
PWS	48659	El Viaje Retreat, LLC	2014-0667-PWS-E	BANDERA	3/5/2015	\$891	\$0	\$891	\$0
PWS	48560	Candelaria Water Supply Corporation	2014-0541-PWS-E	PRESIDIO	3/5/2015	\$979	\$0	\$979	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	48413	Wildwood MHP Villages LLC	2014-0388-PWS-E	LUBBOCK	3/5/2015	\$463	\$0	\$463	\$0
PWS	49055	City of Winona	2014-1072-PWS-E	SMITH	3/12/2015	\$254	\$50	\$204	\$0
PWS	49376	City of Granite Shoals	2014-1406-PWS-E	BURNET	3/12/2015	\$430	\$86	\$344	\$0
PWS	49095	Caza Ranches LLC	2014-1122-PWS-E	ZAVALA	3/12/2015	\$1,444	\$288	\$1,156	\$0
PWS	49107	Energy Transfer Partners, L.P.	2014-1140-PWS-E	HARRIS	3/12/2015	\$3,916	\$783	\$1,567	\$1,566
PWS	49520	Southland ISD	2014-1557-PWS-E	GARZA	3/12/2015	\$165	\$165	\$0	\$0
PWS	49313	City of Toyah	2014-1328-PWS-E	REEVES	3/12/2015	\$360	\$72	\$288	\$0
PWS	49125	R&K Weiman MHP, L.C.	2014-1155-PWS-E	HARRIS	3/12/2015	\$3,689	\$737	\$2,952	\$0
PWS	49013	Turtle Cove Lot Owners Association, Inc.	2014-1025-PWS-E	BRAZORIA	3/12/2015	\$605	\$121	\$484	\$0
PWS	49116	Harvest Family Church of the Assemblies of God	2014-1145-PWS-E	HARRIS	3/12/2015	\$1,250	\$250	\$1,000	\$0
PWS	36946	Lake Whitney Resorts, LLC	2014-0749-PWS-E	HILL	3/13/2015	\$4,077	\$0	\$4,077	\$0
PWS	48927	Unimin Corporation	2014-0940-PWS-E	MCCULLOCH	3/13/2015	\$660	\$660	\$0	\$0
PWS	49273	Aqua Utilities, Inc.	2014-1299-PWS-E	PARKER	3/13/2015	\$3,150	\$3,150	\$0	\$0
PWS	41935	Greenwood Ventures Inc. *	2013-2006-PWS-E	MIDLAND	3/13/2015	\$2,025	\$0	\$2,025	\$0
PWS	49465	City of China	2014-1502-PWS-E	JEFFERSON	3/15/2015	\$672	\$134	\$538	\$0
PWS	49504	Commodore Cove Improvement District	2014-1536-PWS-E	BRAZORIA	3/15/2015	\$168	\$33	\$135	\$0
PWS	49397	Ozark Bottled Water, Inc.	2014-1470-PWS-E	TRAVIS	3/15/2015	\$308	\$61	\$247	\$0
PWS	49246	K C Utilities, Inc.	2014-1265-PWS-E	BRAZORIA	3/15/2015	\$1,926	\$385	\$1,541	\$0
PWS	49036	Voyles, LLC	2014-1081-PWS-E	FAYETTE	3/15/2015	\$950	\$190	\$760	\$0
PWS	49177	Texas Conference Association Of Seventh-Day Adventists	2014-1233-PWS-E	BOSQUE	3/15/2015	\$50	\$10	\$40	\$0
PWS	49388	Ishan Enterprises, Inc.	2014-1419-PWS-E	FORT BEND	3/15/2015	\$358	\$71	\$287	\$0
PWS	49316	Heart O' Texas Council of the Boy Scouts of America	2014-1337-PWS-E	WISE	3/15/2015	\$420	\$84	\$336	\$0
PWS	49420	City Of Midland	2014-1449-PWS-E	MIDLAND	3/15/2015	\$2,310	\$462	\$1,848	\$0
PWS	49468	Wright City WSC	2014-1499-PWS-E	SMITH	3/15/2015	\$562	\$112	\$450	\$0
PWS	49025	Cole DG Lubbock (FM 40) TX, LLC	2014-1033-PWS-E	LUBBOCK	3/15/2015	\$1,392	\$278	\$1,114	\$0
PWS	49193	Sanders, Sory *	2014-1239-PWS-E	MONTGOMERY	3/15/2015	\$423	\$84	\$339	\$0
PWS	49131	City of Livingston	2014-1166-PWS-E	POLK	3/15/2015	\$1,559	\$311	\$1,248	\$0
PWS	48954	Flomot Water Supply Corporation	2014-0974-PWS-E	MOTLEY	3/15/2015	\$1,610	\$321	\$1,289	\$0
PWS	49067	Utilities Investment Company, Inc.	2014-1088-PWS-E	HARRIS	4/4/2015	\$3,733	\$746	\$2,987	\$0
PWS	49390	CORRIENTE INVESTMENTS, LLC	2014-1427-PWS-E	UVALDE	4/4/2015	\$1,568	\$313	\$1,255	\$0
PWS	48811	Wigginsville Victory Tabernacle	2014-0814-PWS-E	MONTGOMERY	4/4/2015	\$1,380	\$276	\$1,104	\$0
PWS	49509	Heart O' Texas Council of the Boy Scouts of America	2014-1548-PWS-E	BELL	4/4/2015	\$390	\$78	\$312	\$0
PWS	49150	American Heritage Housing Corporation	2014-1171-PWS-E	HARRIS	4/4/2015	\$2,487	\$496	\$996	\$995
PWS	49552	City of Denison	2014-1594-PWS-E	GRAYSON	4/4/2015	\$1,093	\$218	\$875	\$0
PWS	49170	Dario Guerra V. III	2014-1196-PWS-E	FRIO	4/4/2015	\$740	\$148	\$296	\$296
PWS	49511	Red River County Water Supply Corporation	2014-1552-PWS-E	RED RIVER	4/4/2015	\$1,471	\$294	\$1,177	\$0
PWS	49652	CITY OF COAHOMA	2014-1696-PWS-E	HOWARD	4/4/2015	\$300	\$60	\$240	\$0
PWS	49158	RICHARDSON, ROGER D *	2014-1185-PWS-E	NAVARRO	4/4/2015	\$1,292	\$258	\$1,034	\$0

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PWS	49497	TRI TRY WATER CORP.	2014-1532-PWS-E	STONEWALL	4/4/2015	\$400	\$80	\$320	\$0
PWS	49380	Sam Rayburn Water, Inc.	2014-1415-PWS-E	SAN AUGUSTINE	4/4/2015	\$1,458	\$291	\$1,167	\$0
PWS	49028	Braskem America, Inc.	2014-1048-PWS-E	HARRIS	4/4/2015	\$3,370	\$674	\$2,696	\$0
PWS	49532	Christian Tabernacle of Houston, Inc.	2014-1578-PWS-E	HARRIS	4/4/2015	\$150	\$30	\$120	\$0
PWS	49593	City Of Wells	2014-1638-PWS-E	CHEROKEE	4/4/2015	\$138	\$27	\$111	\$0
PWS	49405	Virginia L. Myers *	2014-1456-PWS-E	TARRANT	4/4/2015	\$1,271	\$254	\$1,017	\$0
PWS	49399	Lower Valley Water District	2014-1435-PWS-E	EL PASO	4/4/2015	\$1,400	\$280	\$1,120	\$0
PWS	49188	City of Bishop	2014-1232-PWS-E	NUECES	4/4/2015	\$315	\$63	\$252	\$0
PWS	48483	Colleen Ortiz *	2014-0461-PWS-E	BURNET	4/9/2015	\$550	\$0	\$550	\$0
PWS	49320	Clean Harbors San Leon, Inc.	2014-1366-PWS-E	GALVESTON	4/9/2015	\$234	\$234	\$0	\$0
PWS	48218	Coke County Water Supply Corporation	2014-0188-PWS-E	COKE	4/9/2015	\$1,957	\$1,957	\$0	\$0
PWS	48196	Prairie Grove WSC	2014-0128-PWS-E	ANGELINA	4/9/2015	\$2,044	\$0	\$2,044	\$0
PWS	49350	Managed Care Center for Addictive and Other Disorders	2014-1373-PWS-E	LUBBOCK	4/9/2015	\$172	\$172	\$0	\$0
PWS	49304	Aqua Development, Inc.	2014-1318-PWS-E	HARRIS	4/9/2015	\$345	\$345	\$0	\$0
PWS	49157	City of San Marcos	2014-1188-PWS-E	HAYS	4/9/2015	\$345	\$0	\$0	\$345
PWS	49664	City of Glen Rose	2014-1717-PWS-E	SOMERVELL	4/23/2015	\$740	\$148	\$592	\$0
PWS	49570	City of Gregory	2014-1610-PWS-E	SAN PATRICIO	4/23/2015	\$525	\$105	\$420	\$0
PWS	49551	Maverick County	2014-1592-PWS-E	*MULTIPLE	4/23/2015	\$1,015	\$203	\$812	\$0
PWS	49646	City of Big Wells	2014-1702-PWS-E	DIMMIT	4/23/2015	\$643	\$128	\$515	\$0
PWS	49522	City of Diboll	2014-1559-PWS-E	ANGELINA	4/23/2015	\$736	\$147	\$589	\$0
PWS	48967	LOUDEN, MIKE L	2014-0980-PWS-E	LUBBOCK	4/23/2015	\$3,542	\$708	\$2,834	\$0
PWS	49705	Cari Stancik *	2014-1756-PWS-E	JACKSON	4/23/2015	\$505	\$101	\$404	\$0
PWS	49712	Lake Livingston Heights Water Supply Corporation	2014-1763-PWS-E	WALKER	4/23/2015	\$315	\$63	\$252	\$0
PWS	49833	City of Asherton	2014-1874-PWS-E	DIMMIT	4/23/2015	\$747	\$149	\$598	\$0
PWS	49389	LEMLEY, DORIS L	2014-1420-PWS-E	LIMESTONE	4/23/2015	\$700	\$140	\$560	\$0
PWS	49416	Donald R. Cole	2014-1468-MLM-E	PARKER	4/23/2015	\$866	\$173	\$693	\$0
PWS	49370	MOWLES, KENNETH	2014-1397-PWS-E	ARANSAS	4/23/2015	\$550	\$110	\$440	\$0
PWS	49467	Yes Communities, Inc.	2014-1500-PWS-E	HARRIS	4/23/2015	\$100	\$20	\$80	\$0
PWS	49549	Callahan County Water Supply Corporation	2014-1597-PWS-E	CALLAHAN	4/23/2015	\$213	\$213	\$0	\$0
PWS	49252	City of Wellington	2014-1297-PWS-E	COLLINGSWORTH	4/27/2015	\$2,000	\$2,000	\$0	\$0
PWS	49378	Heritage Financial Group, Inc.	2014-1404-PWS-E	HARRISON	4/27/2015	\$1,245	\$0	\$1,245	\$0
PWS	49244	Langham Creek Machine Works, Inc.	2014-1263-PWS-E	HARRIS	4/27/2015	\$1,659	\$0	\$1,659	\$0
PWS	48938	J&S Water Company, L. L. C.	2014-0955-PWS-E	CHAMBERS	4/27/2015	\$550	\$0	\$550	\$0
PWS	48989	Bloomington Independent School District	2014-1007-PWS-E	VICTORIA	4/27/2015	\$1,029	\$0	\$1,029	\$0
PWS	49377	Tom Green County FWSD 2	2014-1405-PWS-E	TOM GREEN	4/29/2015	\$1,170	\$0	\$1,170	\$0
PWS	49768	Eastern Cass Water Supply Corporation	2014-1808-PWS-E	CASS	5/7/2015	\$330	\$66	\$264	\$0
PWS	49347	Laguna Madre Water District	2014-1367-PWS-E	CAMERON	5/7/2015	\$1,170	\$0	\$1,170	\$0
PWS	49178	RANCHO LA FUENTE PARTNERS LLC	2014-1211-PWS-E	BRAZORIA	5/7/2015	\$1,915	\$0	\$1,915	\$0

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PWS	49857	South Texas Electric Cooperative, Inc.	2015-0007-PWS-E	VICTORIA	5/7/2015	\$54	\$10	\$44	\$0
PWS	49812	Jonathan Law	2014-1853-PWS-E	GALVESTON	5/7/2015	\$200	\$40	\$160	\$0
PWS	49314	City of Yorktown	2014-1338-PWS-E	DEWITT	5/7/2015	\$1,411	\$282	\$1,129	\$0
PWS	49126	City of Dumas	2014-1157-PWS-E	MOORE	5/7/2015	\$1,378	\$0	\$1,378	\$0
PWS	49051	Pettus Municipal Utility District	2014-1120-PWS-E	BEE	5/7/2015	\$753	\$0	\$753	\$0
PWS	49546	Tri-County Point Property Owners Association	2014-1590-PWS-E	JACKSON	5/7/2015	\$2,448	\$489	\$1,959	\$0
PWS	40049	Kas Investments, Ltd.	2013-0979-PWS-E	BRAZORIA	5/7/2015	\$4,932	\$0	\$4,932	\$0
PWS	49663	Paint Creek Wsc	2014-1716-PWS-E	HASKELL	5/7/2015	\$390	\$390	\$0	\$0
PWS	48264	Altoga Water Supply Corporation	2014-0217-PWS-E	COLLIN	5/7/2015	\$160	\$0	\$160	\$0
PWS	48790	John Chacko	2014-0810-UTL-E	FORT BEND	5/7/2015	\$275	\$55	\$220	\$0
PWS	49548	City of Hackberry	2014-1591-PWS-E	DENTON	5/7/2015	\$321	\$64	\$257	\$0
PWS	49242	H2O Tech, Inc.	2014-1251-PWS-E	HARRIS	5/7/2015	\$2,203	\$0	\$2,203	\$0
PWS	49481	New Jerusalem Whole Truth Church Of Houston, Inc.	2014-1515-PWS-E	HARRIS	5/7/2015	\$780	\$0	\$780	\$0
PWS	49175	Bapa Krupa, LLC	2014-1212-PWS-E	HARRIS	5/7/2015	\$162	\$32	\$130	\$0
PWS	49804	Ranger Utility Company	2014-1841-PWS-E	BOWIE	5/21/2015	\$808	\$161	\$647	\$0
PWS	49659	Kamira Property Owners Association, Inc.	2014-1710-PWS-E	KERR	5/21/2015	\$210	\$210	\$0	\$0
PWS	48964	Racetrac Petroleum, Inc.	2014-0977-PWS-E	HARRIS	5/21/2015	\$250	\$50	\$200	\$0
PWS	48909	Cade Lakes Water Supply Corporation	2014-0912-PWS-E	BURLESON	5/21/2015	\$1,136	\$227	\$909	\$0
PWS	49700	Hooks Mobile Home Park, Ltd.	2014-1755-PWS-E	HARRIS	5/21/2015	\$3,356	\$671	\$2,685	\$0
PWS	49694	Centerline Water Supply Corporation	2014-1744-PWS-E	BURLESON	5/21/2015	\$1,396	\$279	\$1,117	\$0
PWS	49163	Thirsty Parrot, LLC	2014-1194-PWS-E	WALLER	5/21/2015	\$230	\$46	\$184	\$0
PWS	49733	C.V.W.S., Inc.	2014-1780-PWS-E	HARRISON	5/21/2015	\$1,420	\$283	\$1,137	\$0
PWS	49677	Conroe Independent School District	2014-1731-PWS-E	MONTGOMERY	5/21/2015	\$1,309	\$261	\$1,048	\$0
PWS	49477	City of Lawn	2014-1512-PWS-E	TAYLOR	5/21/2015	\$2,390	\$478	\$1,912	\$0
PWS	48423	Raymond Wong	2014-0384-PWS-E	HARRIS	5/23/2015	\$2,529	\$0	\$2,529	\$0
PWS	49042	Susan Cole *	2014-1062-PWS-E	PARKER	5/23/2015	\$2,571	\$0	\$2,571	\$0
PWS	49191	High Frontier Realty, Inc.	2014-1241-PWS-E	JEFF DAVIS	5/23/2015	\$845	\$0	\$845	\$0
PWS	49453	D P R Water Supply Corporation	2014-1489-PWS-E	FISHER	5/23/2015	\$630	\$630	\$0	\$0
PWS	48468	Fazio Family Investments, Inc.	2014-0446-PWS-E	BANDERA	5/23/2015	\$1,435	\$0	\$1,435	\$0
PWS	49339	Riddle, John	2014-1361-PWS-E	HAYS	5/23/2015	\$548	\$0	\$548	\$0
PWS	42539	Buchanan Lake Village, Inc.	2013-1410-PWS-E	LLANO	5/23/2015	\$137	\$0	\$137	\$0
PWS	49521	Brazosport Independent School District	2014-1558-PWS-E	BRAZORIA	5/23/2015	\$645	\$0	\$645	\$0
PWS	49338	Abraxas Corporation	2014-1360-PWS-E	PARKER	5/23/2015	\$588	\$588	\$0	\$0
PWS	49117	Daniel Memorial Baptist Encampment, Inc.	2014-1148-PWS-E	PANOLA	5/23/2015	\$531	\$0	\$531	\$0
PWS	49591	Umbarger Community Water Supply Corporation	2014-1629-PWS-E	RANDALL	5/23/2015	\$1,020	\$0	\$1,020	\$0
PWS	48457	Dennis Pavlicek *	2014-0431-PWS-E	*MULTIPLE	5/27/2015	\$575	\$0	\$575	\$0
PWS	49959	Howard C. Bigham Jr.	2015-0103-PWS-E	SCURRY	6/4/2015	\$122	\$24	\$98	\$0
PWS	49910	Phillips & Wright Inc	2015-0056-PWS-E	LLANO	6/4/2015	\$154	\$30	\$124	\$0

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PWS	50228	VILLANUEVA, ADAN	2015-0395-WOC-E	BEE	6/4/2015	\$175	\$0	\$175	\$0
PWS	49611	Clara Hills Civic Association	2014-1652-PWS-E	BURLESON	6/4/2015	\$822	\$164	\$658	\$0
PWS	49971	Somervell County Water District	2015-0114-PWS-E	SOMERVELL	6/4/2015	\$300	\$60	\$240	\$0
PWS	49151	Mark Stewart dba Stewart Water	2014-1172-PWS-E	*MULTIPLE	6/4/2015	\$357	\$71	\$286	\$0
PWS	49651	Talley Water Supply Corporation	2014-1697-PWS-E	HARRISON	6/4/2015	\$504	\$100	\$404	\$0
PWS	49835	City of Danbury	2014-1882-PWS-E	BRAZORIA	6/4/2015	\$178	\$178	\$0	\$0
PWS	49872	City of Jayton	2015-0037-PWS-E	KENT	6/4/2015	\$135	\$27	\$108	\$0
PWS	49508	City of Camp Wood	2014-1549-PWS-E	REAL	6/4/2015	\$1,075	\$215	\$860	\$0
PWS	49943	Alto Rural Water Supply Corporation	2015-0083-PWS-E	CHEROKEE	6/4/2015	\$702	\$140	\$562	\$0
PWS	49856	Angeline Grigar	2014-1902-PWS-E	WHARTON	6/4/2015	\$548	\$109	\$439	\$0
PWS	49750	Anderson Water Company, Inc.	2014-1789-PWS-E	GRIMES	6/4/2015	\$919	\$183	\$736	\$0
PWS	49898	Saddle & Surrey Acres WSC	2015-0042-PWS-E	MONTGOMERY	6/4/2015	\$420	\$84	\$336	\$0
PWS	49698	Pilot Point Rural Water Supply, Inc.	2014-1743-PWS-E	DENTON	6/4/2015	\$1,275	\$254	\$1,021	\$0
PWS	49141	Crystal Clear Water Supply Corporation	2014-1175-PWS-E	GUADALUPE	6/12/2015	\$8,100	\$1,620	\$6,480	\$0
PWS	49640	Fry Road Municipal Utility District	2014-1687-PWS-E	HARRIS	6/12/2015	\$315	\$315	\$0	\$0
PWS	49725	Port Alto Homeowners' Association District #1, Inc.	2014-1776-PWS-E	CALHOUN	6/12/2015	\$650	\$0	\$650	\$0
PWS	49261	North Cherokee Wsc	2014-1290-PWS-E	CHEROKEE	6/12/2015	\$2,574	\$0	\$2,574	\$0
PWS	49225	City of Fritch	2014-1227-PWS-E	HUTCHINSON	6/12/2015	\$3,220	\$0	\$3,220	\$0
PWS	49858	Rosebud-Lott Independent School District	2015-0005-PWS-E	FALLS	6/18/2015	\$172	\$172	\$0	\$0
PWS	49888	Lass Utility Service Company Inc	2015-0057-PWS-E	MCLENNAN	6/18/2015	\$183	\$36	\$147	\$0
PWS	49779	JJM Development, Inc.	2014-1821-PWS-E	EL PASO	6/18/2015	\$262	\$52	\$210	\$0
PWS	49909	Kingsbridge Municipal Utility District	2015-0047-PWS-E	FORT BEND	6/18/2015	\$945	\$189	\$756	\$0
PWS	49783	Dacus Land & Cattle Co.	2014-1826-PWS-E	FORT BEND	6/18/2015	\$328	\$65	\$263	\$0
PWS	49524	Bell-Milam-Falls Water Supply Corporation	2014-1569-PWS-E	BELL	6/18/2015	\$792	\$158	\$634	\$0
PWS	49726	MAUKA WATER LTD	2014-1778-PWS-E	JOHNSON	6/18/2015	\$1,683	\$336	\$1,347	\$0
PWS	48265	Suzana Saladin *	2014-0244-PWS-E	*MULTIPLE	6/18/2015	\$2,494	\$498	\$1,996	\$0
PWS	49680	ABF, INC.	2014-1729-PWS-E	HARRISON	6/18/2015	\$3,406	\$681	\$2,725	\$0
PWS	49695	Providence Village Water Control and Improvement District	2014-1745-PWS-E	DENTON	6/18/2015	\$157	\$31	\$126	\$0
PWS	50095	Baybrook Municipal Utility District 1	2015-0253-PWS-E	HARRIS	6/18/2015	\$330	\$66	\$264	\$0
PWS	48968	WTR Real Estate Holdings, L.C.	2014-0981-PWS-E	LUBBOCK	6/25/2015	\$2,293	\$0	\$2,293	\$0
PWS	48039	Oak Terrace Estates, Inc. *	2013-2199-PWS-E	POLK	6/25/2015	\$950	\$0	\$950	\$0
PWS	49556	Shawn M. Horvath	2014-1598-PWS-E	DENTON	7/2/2015	\$95	\$0	\$95	\$0
PWS	48982	Kyle Freeman	2014-1008-PWS-E	JOHNSON	7/2/2015	\$4,101	\$0	\$4,101	\$0
PWS	50159	City of Justin	2015-0306-PWS-E	DENTON	7/10/2015	\$312	\$62	\$250	\$0
PWS	49870	City of Lawn	2015-0016-PWS-E	TAYLOR	7/10/2015	\$800	\$160	\$640	\$0
PWS	50000	Barton WSC	2015-0153-PWS-E	ERATH	7/10/2015	\$1,250	\$250	\$1,000	\$0
PWS	50383	DURAN, JAIME JR	2015-0577-WOC-E	EL PASO	7/10/2015	\$175	\$0	\$175	\$0
PWS	49930	City of Moulton	2015-0078-PWS-E	LAVACA	7/10/2015	\$202	\$40	\$162	\$0

## Singles Programs

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Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	49927	Council Creek Village, Inc.	2015-0070-PWS-E	BURNET	7/10/2015	\$371	\$371	\$0	\$0
PWS	50144	City of Gonzales	2015-0299-PWS-E	GONZALES	7/10/2015	\$1,320	\$264	\$1,056	\$0
PWS	49016	Sanhedrin II, L.P.	2014-1028-PWS-E	HARRIS	7/10/2015	\$3,573	\$0	\$3,573	\$0
PWS	48678	James McIntire	2014-0677-PWS-E	VAL VERDE	7/10/2015	\$2,325	\$0	\$2,325	\$0
PWS	49952	Axtell Water Supply Corporation	2015-0101-PWS-E	MCLENNAN	7/10/2015	\$613	\$122	\$491	\$0
PWS	49583	Rhonda C. Vanover	2014-1624-PWS-E	LUBBOCK	7/10/2015	\$483	\$0	\$483	\$0
PWS	49604	City of Huxley	2014-1646-PWS-E	SHELBY	7/17/2015	\$507	\$0	\$507	\$0
PWS	49041	Jorge & Flor Trucking LLC	2014-1061-PWS-E	MIDLAND	7/17/2015	\$726	\$0	\$726	\$0
PWS	49825	City of Burnet	2014-1870-PWS-E	BURNET	7/17/2015	\$690	\$0	\$690	\$0
PWS	49550	City of Santa Rosa	2014-1593-PWS-E	CAMERON	7/17/2015	\$1,662	\$0	\$1,662	\$0
PWS	49394	Marin Gonzalez	2014-1422-PWS-E	HIDALGO	7/17/2015	\$756	\$0	\$756	\$0
PWS	50027	City of Aspermont	2015-0182-PWS-E	STONEWALL	7/19/2015	\$435	\$87	\$348	\$0
PWS	49987	Trevan S. Fluitt	2015-0135-PWS-E	HAYS	7/19/2015	\$100	\$20	\$80	\$0
PWS	50115	City of Rochester	2015-0267-PWS-E	HASKELL	7/19/2015	\$432	\$86	\$346	\$0
PWS	50132	City of Bartlett	2015-0288-PWS-E	WILLIAMSON	7/19/2015	\$1,055	\$211	\$844	\$0
PWS	50004	Debbie Block	2015-0151-PWS-E	ORANGE	7/19/2015	\$198	\$198	\$0	\$0
PWS	49860	Clay WSC	2015-0006-PWS-E	BURLESON	7/19/2015	\$1,438	\$287	\$1,151	\$0
PWS	47922	WOODMEN OF THE WORLD SOUTHWEST TEXAS FRATERNAL ASS	2013-2049-PWS-E	BANDERA	7/19/2015	\$660	\$132	\$528	\$0
PWS	50138	Rusk Rural Water Supply Corporation	2015-0307-PWS-E	CHEROKEE	7/19/2015	\$256	\$51	\$205	\$0
PWS	50305	City of Wylie	2015-0483-PWS-E	COLLIN	7/19/2015	\$225	\$45	\$180	\$0
PWS	50259	Orbit Systems, Inc.	2015-0426-PWS-E	BRAZORIA	7/19/2015	\$150	\$30	\$120	\$0
PWS	50119	Lake Livingston Water Supply and Sewer Service Corporation	2015-0276-PWS-E	POLK	7/19/2015	\$500	\$100	\$400	\$0
PWS	49010	Wayne H. Lanier, Jr.	2014-1055-PWS-E	MONTGOMERY	8/6/2015	\$2,286	\$0	\$2,286	\$0
PWS	49755	Ha Van Nguyen	2014-1798-PWS-E	BURNET	8/10/2015	\$2,214	\$0	\$2,214	\$0
PWS	50237	Palo Duro Service Company, Inc.	2015-0393-PWS-E	WISE	8/10/2015	\$72	\$14	\$58	\$0
PWS	49989	Riverside Water Supply Corporation	2015-0152-PWS-E	WALKER	8/10/2015	\$1,035	\$207	\$828	\$0
PWS	49624	Duran Apartment Management Inc	2014-1667-PWS-E	BEXAR	8/10/2015	\$1,509	\$301	\$1,208	\$0
PWS	49631	James L. Oxford	2014-1684-PWS-E	BEE	8/10/2015	\$844	\$0	\$844	\$0
PWS	49869	City of Knox City	2015-0010-PWS-E	KNOX	8/10/2015	\$345	\$345	\$0	\$0
PWS	50171	City of Pilot Point	2015-0332-PWS-E	DENTON	8/10/2015	\$1,590	\$318	\$1,272	\$0
PWS	49587	Janie Hibdon *	2014-1630-PWS-E	BANDERA	8/10/2015	\$635	\$0	\$635	\$0
PWS	50003	Eola Water Supply Corporation	2015-0157-PWS-E	*MULTIPLE	8/10/2015	\$101	\$20	\$81	\$0
PWS	49709	Fielder Burnett	2014-1761-PWS-E	RANDALL	8/10/2015	\$900	\$0	\$900	\$0
PWS	49879	Gary C. Aardal	2015-0023-PWS-E	ERATH	8/10/2015	\$277	\$277	\$0	\$0
PWS	49815	Wendell R. Black *	2014-1862-PWS-E	COOKE	8/10/2015	\$899	\$0	\$899	\$0
PWS	48915	RODRIGUEZ, MICHAEL	2014-0915-PWS-E	ORANGE	8/10/2015	\$1,242	\$0	\$1,242	\$0
PWS	50267	Aqua Utilities, Inc.	2015-0427-PWS-E	BRAZORIA	8/10/2015	\$71	\$14	\$57	\$0

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PWS	50343	City of Cisco	2015-0534-PWS-E	EASTLAND	8/10/2015	\$980	\$196	\$784	\$0
PWS	50252	Howard T. Nelius	2015-0411-PWS-E	AUSTIN	8/10/2015	\$510	\$510	\$0	\$0
PWS	50515	WILLIAMS, TERRI	2015-0718-WOC-E	LYNN	8/10/2015	\$175	\$0	\$175	\$0
PWS	49893	Presidio County Water Improvement District 1	2015-0038-PWS-E	PRESIDIO	8/10/2015	\$210	\$210	\$0	\$0
PWS	50170	Mcmullen County WCID 2	2015-0333-PWS-E	MCMULLEN	8/10/2015	\$1,396	\$278	\$1,118	\$0
PWS	49861	City of Silverton	2015-0009-PWS-E	BRISCOE	8/10/2015	\$178	\$178	\$0	\$0
PWS	50262	ARC DGLBKT004, LLC	2015-0431-PWS-E	*MULTIPLE	8/10/2015	\$2,467	\$493	\$1,974	\$0
PWS	50254	Bosque Basin Water Supply Corporation	2015-0419-PWS-E	*MULTIPLE	8/17/2015	\$100	\$20	\$80	\$0
PWS	50316	Maria Medeles *	2015-0490-PWS-E	HARRIS	8/17/2015	\$412	\$82	\$330	\$0
PWS	50306	City of Henderson	2015-0486-PWS-E	RUSK	8/17/2015	\$330	\$66	\$264	\$0
PWS	50331	Krebs Utilities, Inc. *	2015-0507-PWS-E	CHAMBERS	8/17/2015	\$1,307	\$260	\$1,047	\$0
PWS	50290	Dimmit County	2015-0462-PWS-E	DIMITT	8/17/2015	\$105	\$21	\$84	\$0
PWS	50190	Southview Baptist Church	2015-0358-PWS-E	BRAZORIA	8/17/2015	\$1,250	\$250	\$1,000	\$0
PWS	50528	Monarch Utilities I L.P.	2015-0724-PWS-E	*MULTIPLE	8/17/2015	\$330	\$66	\$264	\$0
PWS	50212	City of Beeville	2015-0385-PWS-E	BEE	8/17/2015	\$188	\$37	\$151	\$0
PWS	50268	City of Joaquin	2015-0440-PWS-E	SHELBY	8/17/2015	\$390	\$78	\$312	\$0
PWS	50120	Rayburn Country Municipal Utility District	2015-0275-PWS-E	JASPER	8/17/2015	\$616	\$123	\$0	\$493
PWS	50423	Windsor Water Company	2015-0617-PWS-E	MCLENNAN	8/17/2015	\$148	\$29	\$119	\$0
PWS	49932	Sam Rayburn Water, Inc.	2015-0079-PWS-E	SAN AUGUSTINE	8/24/2015	\$702	\$0	\$702	\$0
PWS	45067	Rodell Water System, Inc.	2014-1788-PWS-E	LEON	8/24/2015	\$4,558	\$0	\$4,558	\$0
PWS	48195	Spring Meadow Mobile Home Park, LLC	2014-0129-PWS-E	MIDLAND	8/24/2015	\$1,616	\$0	\$1,616	\$0
PWS	50414	Matagorda Waste Disposal & Water Supply Corporation	2015-0603-PWS-E	MATAGORDA	8/24/2015	\$345	\$0	\$345	\$0
PWS	49884	City Of Follett	2015-0025-PWS-E	LIPSCOMB	8/24/2015	\$1,202	\$0	\$1,202	\$0
PWS	50300	STANLEY MOBILE HOME PARK, LLC	2015-0478-PWS-E	MIDLAND	8/24/2015	\$1,320	\$1,320	\$0	\$0
PWS	50146	City of Stamford	2015-0303-PWS-E	JONES	8/24/2015	\$1,327	\$0	\$1,327	\$0
PWS	49775	National Park Service	2014-1822-PWS-E	GILLESPIE	8/24/2015	\$367	\$0	\$367	\$0
PWS	49950	Richard Billings	2015-0098-PWS-E	GUADALUPE	8/24/2015	\$1,303	\$0	\$1,303	\$0
PWS	49902	Salem Elm Ridge WSC	2015-0049-PWS-E	MILAM	8/24/2015	\$405	\$405	\$0	\$0
PWS	49658	City of Haskell	2014-1709-PWS-E	HASKELL	8/24/2015	\$1,326	\$0	\$1,326	\$0
PWS	49706	Charles M. Watts	2014-1754-PWS-E	MARION	8/30/2015	\$1,737	\$0	\$1,737	\$0
PWS	49567	Catalpa Water Supply Corporation	2014-1612-PWS-E	RANDALL	8/30/2015	\$690	\$0	\$690	\$0
PWS	49855	Jana Gardner *	2014-1901-PWS-E	BRAZORIA	8/31/2015	\$782	\$156	\$626	\$0
PWS	50370	Sagerton Wsc	2015-0557-PWS-E	HASKELL	8/31/2015	\$165	\$33	\$132	\$0
PWS	49717	City of LaDonia	2014-1768-PWS-E	FANNIN	8/31/2015	\$1,130	\$226	\$904	\$0
PWS	50472	Grassland Water Supply Corporation	2015-0677-PWS-E	LYNN	8/31/2015	\$930	\$186	\$744	\$0
PWS	50084	Samuel Coronado	2015-0237-PWS-E	CAMERON	8/31/2015	\$53	\$10	\$43	\$0
PWS	50251	City of Nome	2015-0410-PWS-E	JEFFERSON	8/31/2015	\$805	\$161	\$644	\$0
PWS	50142	Heart O' Texas Council of the Boy Scouts of America	2015-0297-PWS-E	PALO PINTO	8/31/2015	\$1,722	\$344	\$1,378	\$0

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PWS	50189	Bell-Milam-Falls Water Supply Corporation	2015-0392-WQ-E	BELL	8/31/2015	\$1,550	\$310	\$1,240	\$0
PWS	50386	City of Grapeland	2015-0578-PWS-E	HOUSTON	8/31/2015	\$250	\$50	\$200	\$0
<b>Subtotal for PWS : 421</b>						<b>\$609,716</b>	<b>\$102,125</b>	<b>\$503,896</b>	<b>\$3,695</b>
WATEI	47173	Cresson Crossroads, LLC	2013-1291-WR-E	HOOD	9/28/2014	\$550	\$0	\$550	\$0
WATEI	48958	William Roy Hennig	2014-0973-WR-E	CONCHO	10/6/2014	\$350	\$0	\$350	\$0
WATEI	48857	CHOLLA PETROLEUM, INC.	2014-0858-WR-E	KENT	10/6/2014	\$875	\$0	\$875	\$0
WATEI	48858	GONZALES AND ASSOCIATES	2014-0859-WR-E	JIM WELLS	10/6/2014	\$350	\$0	\$350	\$0
WATEI	48861	NET-MAR, LLC	2014-0862-WR-E	JASPER	10/6/2014	\$350	\$0	\$350	\$0
WATEI	48539	Enervest Operating, L.L.C.	2014-0522-WR-E	PARKER	10/6/2014	\$1,013	\$202	\$811	\$0
WATEI	48762	Marathon Oil EF LLC	2014-0932-WR-E	KENEDY	10/6/2014	\$350	\$0	\$350	\$0
WATEI	48476	ARTHUR, AUBREY *	2014-0440-WR-E	ERATH	10/6/2014	\$250	\$50	\$200	\$0
WATEI	48957	Reece Albert, Inc.	2014-0972-WR-E	MENARD	10/6/2014	\$875	\$0	\$875	\$0
WATEI	49002	W L Hayes Tank Truck Service	2014-1020-WR-E	SHACKELFORD	10/18/2014	\$350	\$0	\$350	\$0
WATEI	49091	MCCLENDON, RONNIE	2014-1146-WR-E	PALO PINTO	10/31/2014	\$350	\$0	\$350	\$0
WATEI	48653	PETTIT, LINDA MOORE	2014-0662-WR-E	COMANCHE	10/31/2014	\$400	\$80	\$320	\$0
WATEI	48386	David Hilsberg	2014-0348-WR-E	CORYELL	11/23/2014	\$250	\$0	\$250	\$0
WATEI	47910	QUINN, DAVID ROSS	2013-2054-WR-E	MCCULLOCH	11/23/2014	\$3,000	\$0	\$3,000	\$0
WATEI	49447	Salinas Construction Technologies, Ltd.	2014-1471-WR-E	UVALDE	12/20/2014	\$350	\$0	\$350	\$0
WATEI	49486	Glenn Fuqua, Inc.	2014-1534-WR-E	WALKER	12/20/2014	\$350	\$0	\$350	\$0
WATEI	49446	DEWITT COUNTY PRECINCT 2	2014-1472-WR-E	DEWITT	12/20/2014	\$350	\$0	\$350	\$0
WATEI	49444	Hills Construction	2014-1478-WR-E	DEWITT	1/12/2015	\$350	\$0	\$350	\$0
WATEI	49419	W. T. Byler Co., Inc.	2014-1451-WR-E	SAN PATRICIO	1/12/2015	\$350	\$0	\$350	\$0
WATEI	49364	Rio Water Supply Corporation	2014-1395-WR-E	STARR	1/12/2015	\$875	\$0	\$875	\$0
WATEI	49669	Reclamation Contractors of Texas & Company	2014-1724-WR-E	CHEROKEE	2/12/2015	\$350	\$0	\$350	\$0
WATEI	47180	SIMPSON, JANICE L *	2013-1290-WR-E	PALO PINTO	2/12/2015	\$2,500	\$500	\$2,000	\$0
WATEI	49793	Newark E&P Operating, LLC	2014-1888-WR-E	PALO PINTO	3/12/2015	\$875	\$0	\$875	\$0
WATEI	49792	KINSEY, PAUL DOUGLAS	2014-1889-WR-E	WISE	3/12/2015	\$350	\$0	\$350	\$0
WATEI	50176	Breckenridge Exploration Company, Inc.	2015-0341-WR-E	YOUNG	6/4/2015	\$350	\$0	\$350	\$0
WATEI	49054	City of Dalworthington Gardens	2014-1071-WR-E	TARRANT	6/4/2015	\$3,375	\$675	\$2,700	\$0
WATEI	47870	Gray TV Ranch, Ltd.	2013-1990-WR-E	MCCULLOCH	6/25/2015	\$2,620	\$0	\$1,310	\$1,310
WATEI	49584	LONG VILLAGE CLUB INC	2014-1626-WR-E	HARRISON	6/25/2015	\$1,500	\$0	\$1,500	\$0
WATEI	48412	BERRY, ROBERT *	2014-0378-WR-E	ERATH	6/25/2015	\$150	\$0	\$150	\$0
WATEI	49103	The Rains Family Limited Partnership	2014-1159-WR-E	KIMBLE	6/25/2015	\$1,000	\$0	\$1,000	\$0
WATEI	48001	GARDNER BURLESON, LOU ZANE *	2013-2168-WR-E	KIMBLE	7/10/2015	\$3,000	\$0	\$3,000	\$0
WATEI	49487	BRADLEY ROBINSON AS TRUSTEE OF BRADLEY S ROBINSON GST	2014-1527-WR-E	HOOD	7/19/2015	\$188	\$37	\$151	\$0
WATEI	50598	DOUGHERTY, STEPHEN TARLTON	2015-0812-WR-E	BEE	8/17/2015	\$875	\$0	\$875	\$0
<b>Subtotal for WATERIGHT : 33</b>						<b>\$29,071</b>	<b>\$1,544</b>	<b>\$26,217</b>	<b>\$1,310</b>

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WQ	42571	OMAR SALINAS	2013-2185-OSS-E	DUVAL	9/14/2014	\$6,250	\$0	\$6,250	\$0
WQ	47871	LEE, PAULA *	2013-1991-WQ-E	HARDIN	9/14/2014	\$24,937	\$0	\$24,937	\$0
WQ	42813	Marine Quest - Hidden Cove, L.P.	2013-1964-MWD-E	DENTON	9/19/2014	\$13,175	\$0	\$13,175	\$0
WQ	45279	Town of Mustang	2012-2160-MWD-E	NAVARRO	9/19/2014	\$25,012	\$0	\$25,012	\$0
WQ	48017	Southern Methodist University	2013-2171-WQ-E	DALLAS	9/19/2014	\$5,625	\$0	\$0	\$5,625
WQ	48129	Mauriceville Municipal Utility District	2014-0074-MWD-E	ORANGE	9/19/2014	\$27,187	\$5,437	\$0	\$21,750
WQ	47932	City of Annona	2013-1833-MWD-E	RED RIVER	9/19/2014	\$30,375	\$6,075	\$0	\$24,300
WQ	48449	Apple Inc.	2014-0419-EAQ-E	TRAVIS	9/19/2014	\$27,000	\$5,400	\$21,600	\$0
WQ	47667	City of East Tawakoni	2013-1768-MWD-E	RAINS	9/19/2014	\$21,388	\$0	\$0	\$21,388
WQ	45503	Austin Equipment Company, LC	2012-2360-EAQ-E	WILLIAMSON	9/19/2014	\$50,700	\$0	\$50,700	\$0
WQ	48059	Texas Department of Criminal Justice	2013-2221-MWD-E	BRAZORIA	9/19/2014	\$29,250	\$5,850	\$0	\$23,400
WQ	48439	City of Olney	2014-0405-MWD-E	YOUNG	9/22/2014	\$4,000	\$800	\$3,200	\$0
WQ	48585	Ranch Utilities, L.P.	2014-0575-MWD-E	PARKER	9/22/2014	\$6,000	\$1,200	\$4,800	\$0
WQ	48250	WATFORD, JUSTIN *	2014-0204-WQ-E	IRION	9/22/2014	\$1,312	\$262	\$1,050	\$0
WQ	48219	City of New Summerfield	2014-0174-MWD-E	CHEROKEE	9/22/2014	\$6,050	\$1,210	\$4,840	\$0
WQ	48692	CITY OF HENRIETTA	2014-0697-MWD-E	CLAY	9/22/2014	\$4,062	\$812	\$3,250	\$0
WQ	48580	4 WAY LAND & CATTLE, LLC	2014-0570-WQ-E	PARKER	9/22/2014	\$938	\$187	\$751	\$0
WQ	48437	City of Burnet	2014-0406-MWD-E	BURNET	9/22/2014	\$6,250	\$1,250	\$5,000	\$0
WQ	48382	City of Wharton	2014-0408-MWD-E	WHARTON	9/22/2014	\$1,925	\$385	\$1,540	\$0
WQ	48525	City of Glen Rose	2014-0512-MWD-E	SOMERVELL	9/22/2014	\$1,575	\$315	\$1,260	\$0
WQ	47426	City Of Mertzon	2013-1529-MWD-E	IRION	9/28/2014	\$2,438	\$0	\$2,438	\$0
WQ	48222	City of Ore City	2014-0173-MWD-E	UPSHUR	10/5/2014	\$25,737	\$0	\$0	\$25,737
WQ	48551	City of Pharr	2014-0531-MWD-E	HIDALGO	10/5/2014	\$876	\$0	\$876	\$0
WQ	45217	Greatwood Hospitality, Inc.	2012-2085-MWD-E	FORT BEND	10/5/2014	\$51,969	\$48,369	\$3,600	\$0
WQ	48099	Western Dairy Transport, L.L.C.	2014-0038-IWD-E	ERATH	10/5/2014	\$15,946	\$3,189	\$12,757	\$0
WQ	47736	City of Plano	2013-1868-WQ-E	COLLIN	10/5/2014	\$15,312	\$0	\$15,312	\$0
WQ	48956	CAMEO HOMES INC	2014-0992-WQ-E	BELL	10/6/2014	\$875	\$0	\$875	\$0
WQ	48935	Arnold Trucking, Inc.	2014-0949-WQ-E	HARRISON	10/6/2014	\$875	\$0	\$875	\$0
WQ	48859	Junction Builders, LLC	2014-0860-WQ-E	TAYLOR	10/6/2014	\$875	\$0	\$875	\$0
WQ	48607	FML Sand, LLC	2014-0605-OSS-E	MCCULLOCH	10/6/2014	\$168	\$33	\$135	\$0
WQ	48860	Teal Construction Company	2014-0861-WQ-E	HARDIN	10/6/2014	\$875	\$0	\$875	\$0
WQ	48709	City of Kenedy	2014-0731-IWD-E	KARNES	10/6/2014	\$1,800	\$360	\$1,440	\$0
WQ	48862	BULLARD BROTHERS PROPERTIES	2014-0857-WQ-E	HENDERSON	10/6/2014	\$875	\$0	\$875	\$0
WQ	48307	AGUIRRE, FELIPE	2014-0268-WQ-E	EL PASO	10/6/2014	\$2,625	\$525	\$2,100	\$0
WQ	48946	WALLEY, SIDNEY DEWAYNE	2014-0984-MLM-E	IRION	10/6/2014	\$350	\$0	\$350	\$0
WQ	49024	Midwest Engine, Inc.	2014-1045-WQ-E	DALLAS	10/6/2014	\$875	\$0	\$875	\$0
WQ	48919	Edanbra Development, L.C.	2014-0951-WQ-E	BELL	10/6/2014	\$875	\$0	\$875	\$0
WQ	49003	Megatel Homes, Inc.	2014-1021-WQ-E	COLLIN	10/6/2014	\$875	\$0	\$875	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	48661	TRENTCO MANAGMENT, LLC	2014-0664-WQ-E	KERR	10/6/2014	\$875	\$0	\$875	\$0
WQ	48633	CHARTWELL PROPERTIES, LP	2014-0633-WQ-E	WILLIAMSON	10/6/2014	\$1,125	\$225	\$900	\$0
WQ	48763	Sig-Longmire, LLC	2014-0770-WQ-E	MONTGOMERY	10/6/2014	\$875	\$0	\$875	\$0
WQ	46670	ORTEGA, SANDRA	2013-0757-MLM-E	IRION	10/9/2014	\$525	\$0	\$525	\$0
WQ	48286	ST EML, LLC	2014-0235-WQ-E	TARRANT	10/17/2014	\$8,750	\$1,750	\$7,000	\$0
WQ	45036	RICHTER LAND LLC	2012-1922-EAQ-E	COMAL	10/17/2014	\$12,000	\$8,400	\$3,600	\$0
WQ	48337	City of Deer Park	2014-0296-MWD-E	HARRIS	10/17/2014	\$22,875	\$4,575	\$18,300	\$0
WQ	47901	Paradise Isd	2013-2038-MWD-E	WISE	10/18/2014	\$7,250	\$1,450	\$0	\$5,800
WQ	49001	CHAMBERS, CLIFF J	2014-1022-OSI-E	HARDIN	10/18/2014	\$175	\$0	\$175	\$0
WQ	48797	Knife River Corporation - South	2014-0798-WQ-E	HARDIN	10/18/2014	\$938	\$187	\$751	\$0
WQ	48495	Beacon Estates Water Supply Corporation	2014-0471-MWD-E	WALLER	10/18/2014	\$6,600	\$1,320	\$5,280	\$0
WQ	48136	JEC JMC Development, LLC	2014-0085-WQ-E	KENDALL	10/18/2014	\$6,563	\$1,312	\$5,251	\$0
WQ	48595	Lone Star Industries, Inc.	2014-0587-IWD-E	NOLAN	10/18/2014	\$3,300	\$660	\$2,640	\$0
WQ	48004	Orange County Water Control and Improvement District No. 1	2013-2158-MWD-E	ORANGE	10/18/2014	\$3,625	\$725	\$2,900	\$0
WQ	46902	COOPER, JERRY LYNN	2013-0992-IWD-E	HARRIS	10/18/2014	\$4,950	\$990	\$3,960	\$0
WQ	46094	HD Recycling, LLC	2013-0208-WQ-E	PARKER	10/25/2014	\$6,250	\$5,050	\$1,200	\$0
WQ	48458	SCI CONSTRUCTION, LTD.	2014-0430-WQ-E	WILLIAMSON	10/25/2014	\$938	\$0	\$938	\$0
WQ	47841	Matus Construction Group, LLC	2013-1950-WQ-E	MCLENNAN	10/25/2014	\$2,500	\$0	\$2,500	\$0
WQ	48842	City of Rhome	2014-0842-MWD-E	WISE	10/27/2014	\$6,375	\$0	\$6,375	\$0
WQ	48150	Town of Flower Mound	2014-0104-WQ-E	DENTON	10/27/2014	\$8,625	\$0	\$8,625	\$0
WQ	47997	MEXITRON LLC	2013-2148-EAQ-E	BEXAR	10/27/2014	\$11,250	\$2,250	\$9,000	\$0
WQ	48700	Kempenaar Real Estate, Ltd.	2014-0706-AGR-E	HOPKINS	10/27/2014	\$11,475	\$2,295	\$9,180	\$0
WQ	44981	Whitharral Water and Sewer Service Supply Corporation	2012-1869-MWD-E	HOCKLEY	10/27/2014	\$19,795	\$16,195	\$3,600	\$0
WQ	47906	City of Conroe	2013-2028-MWD-E	MONTGOMERY	10/27/2014	\$8,400	\$1,680	\$0	\$6,720
WQ	48764	Mark Aldriedge Custom Homes, LLC	2014-0771-WQ-E	TAYLOR	10/31/2014	\$875	\$0	\$875	\$0
WQ	48805	TRS Construction, Inc.	2014-0807-WQ-E	BRAZOS	10/31/2014	\$875	\$0	\$875	\$0
WQ	48723	City of Morgan's Point	2014-0718-MWD-E	HARRIS	10/31/2014	\$3,250	\$650	\$2,600	\$0
WQ	49145	BOLEN, DEAN L	2014-1180-WOC-E	LAMB	11/16/2014	\$175	\$0	\$175	\$0
WQ	49146	VARGAS, JUSTIN	2014-1179-WOC-E	LAMB	11/16/2014	\$175	\$0	\$175	\$0
WQ	49239	Balcones Minerals Corporation	2014-1270-WQ-E	FAYETTE	11/16/2014	\$875	\$0	\$875	\$0
WQ	49240	West Texas Paving, Inc	2014-1271-WQ-E	LUBBOCK	11/16/2014	\$875	\$0	\$875	\$0
WQ	48812	Highline Real Estate Group, LLC	2014-0818-EAQ-E	WILLIAMSON	11/16/2014	\$3,750	\$750	\$3,000	\$0
WQ	48752	PEREZ, EZEQUIEL ROMEO	2014-0756-WQ-E	WILLACY	12/6/2014	\$2,500	\$500	\$2,000	\$0
WQ	48555	Palo Pinto County	2014-0548-MWD-E	PALO PINTO	12/6/2014	\$2,850	\$570	\$2,280	\$0
WQ	48899	Golden SA Properties, LLC *	2014-1112-OSS-E	IRION	12/6/2014	\$787	\$157	\$630	\$0
WQ	48871	City Of Breckenridge	2014-0874-MWD-E	STEPHENS	12/6/2014	\$1,750	\$350	\$1,400	\$0
WQ	49062	City of Panorama Village	2014-1082-MWD-E	MONTGOMERY	12/6/2014	\$1,250	\$250	\$1,000	\$0

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WQ	45449	8 Mile Park, L.P.	2012-2343-MWD-E	BRAZORIA	12/6/2014	\$49,649	\$0	\$49,649	\$0
WQ	48945	BISHOP, TRAVIS LYNN	2014-0962-MWD-E	MONTGOMERY	12/6/2014	\$1,437	\$287	\$1,150	\$0
WQ	48520	BHAKTA, RAVINDRA MADHAV	2014-0518-MWD-E	HARRIS	12/6/2014	\$2,500	\$500	\$2,000	\$0
WQ	48295	Bayshore Industrial, LLC	2014-0262-IWD-E	HARRIS	12/7/2014	\$12,375	\$2,475	\$9,900	\$0
WQ	45483	Northside Subdivision Water Plant and Distribution Corp.	2012-2342-MWD-E	ERATH	12/7/2014	\$20,150	\$4,030	\$16,120	\$0
WQ	44955	City of Newark	2012-1838-MWD-E	WISE	12/7/2014	\$27,625	\$0	\$0	\$27,625
WQ	47240	City of George West	2013-1340-MWD-E	LIVE OAK	12/7/2014	\$36,638	\$7,327	\$0	\$29,311
WQ	48403	Orange County Water Control and Improvement District No. 1	2014-0369-MWD-E	ORANGE	12/7/2014	\$25,000	\$0	\$25,000	\$0
WQ	48333	Aqua Texas, Inc.	2014-0297-MWD-E	HARRIS	12/7/2014	\$19,012	\$0	\$19,012	\$0
WQ	48182	Bacliff Municipal Utility District	2014-0145-MWD-E	GALVESTON	12/7/2014	\$79,750	\$0	\$79,750	\$0
WQ	47748	City of Galveston	2013-1847-MWD-E	GALVESTON	12/19/2014	\$15,750	\$3,150	\$0	\$12,600
WQ	47440	Cibolo Creek Municipal Authority	2013-1588-MWD-E	BEXAR	12/19/2014	\$5,625	\$0	\$0	\$5,625
WQ	45841	Benbrook Texas Limited Partnership	2012-2700-MWD-E	TARRANT	12/19/2014	\$65,371	\$61,771	\$3,600	\$0
WQ	49404	Manning Homes, Inc.	2014-1431-WQ-E	BELL	12/20/2014	\$875	\$0	\$875	\$0
WQ	49489	LANDMARK RESIDENTIAL CONSTRUCTION - CENTRAL TEXAS, LTD.	2014-1531-WQ-E	WILLIAMSON	12/20/2014	\$875	\$0	\$875	\$0
WQ	48706	SMITH, JAMES DONALD JR	2014-0732-MWD-E	LIBERTY	12/20/2014	\$5,100	\$1,020	\$4,080	\$0
WQ	49057	City of Mount Vernon	2014-1073-MWD-E	FRANKLIN	12/20/2014	\$1,437	\$287	\$1,150	\$0
WQ	48722	KB MARINE INDUSTRY, LLC	2014-0713-WQ-E	JEFFERSON	12/20/2014	\$2,375	\$475	\$1,900	\$0
WQ	48898	NASH Cinco NW, LLC	2014-0901-WQ-E	FORT BEND	12/20/2014	\$813	\$162	\$651	\$0
WQ	48807	Stillwater Custom Homes, Ltd.	2014-0809-WQ-E	BELL	12/20/2014	\$3,989	\$797	\$3,192	\$0
WQ	48934	Northwoods Avery Ranch, LLC	2014-0948-EAQ-E	WILLIAMSON	12/20/2014	\$5,625	\$1,125	\$4,500	\$0
WQ	49384	Port of Houston Authority	2014-1459-WQ-E	HARRIS	12/20/2014	\$875	\$0	\$875	\$0
WQ	48889	R&B Mobile Park, LLC	2014-0895-MWD-E	BRAZOS	1/12/2015	\$6,500	\$1,300	\$5,200	\$0
WQ	48766	Garvin, Roxann	2014-0768-AGR-E	HOPKINS	1/12/2015	\$2,375	\$475	\$1,900	\$0
WQ	49553	Scurry County	2014-1596-WQ-E	SCURRY	1/12/2015	\$875	\$0	\$875	\$0
WQ	48776	City of Poteet	2014-0779-MWD-E	ATASCOSA	1/12/2015	\$7,250	\$1,450	\$0	\$5,800
WQ	48810	City of Baird	2014-0813-MWD-E	CALLAHAN	1/12/2015	\$4,875	\$975	\$3,900	\$0
WQ	48674	City of Hooks	2014-0683-MWD-E	BOWIE	1/12/2015	\$3,375	\$675	\$2,700	\$0
WQ	48438	City Of Robert Lee	2014-0417-MWD-E	COKE	1/12/2015	\$3,136	\$627	\$2,509	\$0
WQ	49090	Southwest Milam Water Supply Corporation	2014-1111-MWD-E	MILAM	1/25/2015	\$1,025	\$205	\$820	\$0
WQ	49538	RENDON, MANUEL	2014-1606-OSS-E	FLOYD	1/25/2015	\$175	\$0	\$175	\$0
WQ	49657	Lindsey Construction, Inc.	2014-1701-WQ-E	MONTGOMERY	1/25/2015	\$875	\$0	\$875	\$0
WQ	48816	Texas Department of Criminal Justice	2014-0878-MWD-E	ANDERSON	2/6/2015	\$10,125	\$2,025	\$0	\$8,100
WQ	48702	Block House Municipal Utility District	2014-0766-WQ-E	WILLIAMSON	2/6/2015	\$6,000	\$0	\$6,000	\$0
WQ	46681	Carolina Creek Christian Camp	2013-0774-MWD-E	WALKER	2/6/2015	\$10,400	\$2,080	\$8,320	\$0
WQ	48717	Texas Sand & Gravel Co., Inc.	2014-0722-WQ-E	POTTER	2/6/2015	\$5,000	\$1,000	\$4,000	\$0
WQ	48598	Envoy Air Inc.	2014-0600-WQ-E	TARRANT	2/6/2015	\$11,250	\$0	\$11,250	\$0

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WQ	44992	North Orange Water & Sewer, LLC	2012-1868-MWD-E	ORANGE	2/6/2015	\$9,188	\$0	\$9,188	\$0
WQ	48902	Buckner Construction, LLC	2014-1093-WQ-E	TAYLOR	2/12/2015	\$3,750	\$750	\$3,000	\$0
WQ	49121	Construction Enterprises, Inc.	2014-1142-WQ-E	BRAZOS	2/12/2015	\$4,500	\$900	\$3,600	\$0
WQ	48891	Perry Homes, LLC	2014-0947-WQ-E	BEXAR	2/12/2015	\$1,413	\$282	\$1,131	\$0
WQ	47951	RKM Utility Services, Inc.	2013-2096-WQ-E	DENTON	2/13/2015	\$7,500	\$0	\$7,500	\$0
WQ	43816	City Of Petersburg	2012-0637-MWD-E	HALE	2/13/2015	\$15,862	\$0	\$0	\$15,862
WQ	47458	Kleberg County	2013-1562-MWD-E	KLEBERG	2/13/2015	\$11,250	\$0	\$0	\$11,250
WQ	48824	City of Jacksonville	2014-0826-MWD-E	CHEROKEE	2/13/2015	\$12,375	\$2,475	\$0	\$9,900
WQ	44198	Beltran's Printing, INC.	2012-1049-IWD-E	EL PASO	2/13/2015	\$7,875	\$1,575	\$6,300	\$0
WQ	48695	Brownsville Public Utilities Board	2014-0701-MWD-E	CAMERON	2/13/2015	\$55,688	\$11,137	\$44,551	\$0
WQ	48442	City of Brady	2014-0412-MWD-E	MCCULLOCH	2/13/2015	\$6,000	\$0	\$0	\$6,000
WQ	48779	Eastland County Water Supply District	2014-0781-MWD-E	EASTLAND	2/13/2015	\$8,850	\$1,770	\$7,080	\$0
WQ	48036	D-Bar-B Water-Wastewater Supply Corporation	2013-2192-MWD-E	DALLAS	2/13/2015	\$4,123	\$523	\$3,600	\$0
WQ	48984	Yes Companies, LLC	2014-0998-WQ-E	COLLIN	2/13/2015	\$4,875	\$0	\$4,875	\$0
WQ	48912	City of Sinton	2014-0910-MWD-E	SAN PATRICIO	2/13/2015	\$38,425	\$7,685	\$30,740	\$0
WQ	48924	Trinity SO GP, LLC	2014-0941-MWD-E	HARRIS	2/13/2015	\$1,525	\$0	\$1,525	\$0
WQ	48097	Ross Ridge Sand Company LP	2014-0035-MLM-E	JEFFERSON	2/20/2015	\$5,000	\$0	\$5,000	\$0
WQ	47752	BOEKHORST, PETRUS ADRIANUS	2013-1859-AGR-E	HOPKINS	2/20/2015	\$4,751	\$0	\$4,751	\$0
WQ	49186	City of Lorenzo	2014-1220-MWD-E	CROSBY	2/23/2015	\$1,125	\$225	\$900	\$0
WQ	49670	Snyder Independent School District	2014-1811-WQ-E	SCURRY	2/23/2015	\$875	\$0	\$875	\$0
WQ	49119	Lake Fork Paradise RV Resorts LLC	2014-1149-MWD-E	WOOD	2/23/2015	\$2,000	\$400	\$1,600	\$0
WQ	46758	AJ Brauer Stone, Inc.	2013-0925-EAQ-E	WILLIAMSON	2/23/2015	\$5,157	\$1,031	\$4,126	\$0
WQ	48890	City of Overton	2014-0896-MWD-E	RUSK	2/23/2015	\$7,437	\$1,487	\$5,950	\$0
WQ	49040	City of Weinert	2014-1056-MWD-E	HASKELL	2/24/2015	\$3,750	\$750	\$3,000	\$0
WQ	49149	City of Big Spring	2014-1170-MWD-E	HOWARD	3/12/2015	\$5,250	\$1,050	\$0	\$4,200
WQ	49180	Carothers Executive Homes, Ltd.	2014-1209-WQ-E	BELL	3/12/2015	\$2,625	\$525	\$2,100	\$0
WQ	48947	FLORES, JIMMY PEREZ	2014-0669-OSI-E	MCCULLOCH	3/12/2015	\$1,021	\$204	\$817	\$0
WQ	45331	City of Spearman	2012-2209-MWD-E	HANSFORD	3/12/2015	\$2,887	\$577	\$2,310	\$0
WQ	49105	Aqua Utilities, Inc.	2014-1139-MWD-E	HARRIS	3/12/2015	\$4,813	\$962	\$3,851	\$0
WQ	48825	City of Vernon	2014-0827-MWD-E	WILBARGER	3/12/2015	\$6,525	\$1,305	\$0	\$5,220
WQ	49060	Select Energy Services, LLC	2014-1113-MLM-E	IRION	3/12/2015	\$1,700	\$339	\$1,361	\$0
WQ	49407	City of Bardwell	2014-1436-MWD-E	ELLIS	3/12/2015	\$3,712	\$742	\$2,970	\$0
WQ	49842	KHBM Partners II, Ltd.	2014-1880-WQ-E	MONTGOMERY	3/12/2015	\$875	\$0	\$875	\$0
WQ	46138	City of Gustine	2013-0253-MWD-E	COMANCHE	3/13/2015	\$40,000	\$0	\$0	\$40,000
WQ	48641	JMH Homes-Houston, LLC	2014-0638-MWD-E	HARRIS	3/13/2015	\$27,500	\$0	\$27,500	\$0
WQ	44504	Double Diamond Utilities Co.	2012-1427-MLM-E	*MULTIPLE	3/13/2015	\$13,038	\$0	\$13,038	\$0
WQ	43738	City of Rising Star	2012-0553-MWD-E	EASTLAND	3/13/2015	\$23,725	\$23,725	\$0	\$0
WQ	48701	Pettus Municipal Utility District	2014-0705-MWD-E	BEE	3/13/2015	\$2,512	\$0	\$2,512	\$0

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WQ	44500	Orange County Water Control and Improvement District No. 2	2012-1424-MWD-E	ORANGE	3/13/2015	\$83,000	\$0	\$83,000	\$0
WQ	49061	FC SW HOUSING, LP	2014-1083-EAQ-E	TRAVIS	3/13/2015	\$8,438	\$1,687	\$6,751	\$0
WQ	48638	VILLALOBOS, MANUEL	2014-0632-WQ-E	EL PASO	3/13/2015	\$8,800	\$1,760	\$7,040	\$0
WQ	49456	Teal Construction Company	2014-1491-WQ-E	JEFFERSON	3/15/2015	\$813	\$162	\$651	\$0
WQ	49363	Brownsville Public Utilities Board	2014-1384-IWD-E	CAMERON	3/15/2015	\$2,000	\$400	\$1,600	\$0
WQ	49653	The Signorelli Company	2014-1721-WQ-E	MONTGOMERY	3/15/2015	\$938	\$187	\$751	\$0
WQ	49005	MORIAH LIMESTONE OPERATIONS, LLC	2014-1038-WQ-E	SHACKELFORD	3/15/2015	\$5,000	\$1,000	\$2,000	\$2,000
WQ	49248	Sprint Sand and Clay, LLC	2014-1268-WQ-E	HARRIS	3/15/2015	\$5,000	\$1,000	\$4,000	\$0
WQ	49087	INDEPENDENCE BREWING COMPANY, INC.	2014-1105-WQ-E	TRAVIS	3/15/2015	\$3,750	\$750	\$3,000	\$0
WQ	48845	West Texas Rock Resources, LLC	2014-1049-WQ-E	RUNNELS	4/4/2015	\$5,938	\$1,187	\$4,751	\$0
WQ	49020	Mezger Enterprises, LTD.	2014-1041-WQ-E	SHACKELFORD	4/4/2015	\$5,000	\$1,000	\$4,000	\$0
WQ	48640	Maxum Oilfield Rentals, LLC	2014-0634-SLG-E	WHARTON	4/4/2015	\$5,925	\$1,185	\$2,370	\$2,370
WQ	49301	ELLINGER MATERIALS, LLC	2014-1323-WQ-E	COLORADO	4/4/2015	\$5,000	\$1,000	\$4,000	\$0
WQ	49322	WALLACE, HAROLD HUDSON	2014-1349-SLG-E	LIVE OAK	4/4/2015	\$4,500	\$900	\$3,600	\$0
WQ	49341	Coast Materials, Inc.	2014-1380-WQ-E	ARANSAS	4/4/2015	\$5,000	\$1,000	\$4,000	\$0
WQ	49441	Texas Redimix Concrete, Inc.	2014-1482-WQ-E	MOORE	4/4/2015	\$1,000	\$200	\$800	\$0
WQ	49236	Gilvin-Terrill, Ltd.	2014-1260-WQ-E	ROBERTS	4/4/2015	\$5,000	\$1,000	\$4,000	\$0
WQ	49448	William Donald Smith	2014-1480-MWD-E	HARRIS	4/4/2015	\$4,500	\$900	\$3,600	\$0
WQ	49953	BRH-Garver Construction, L.P.	2015-0089-WQ-E	MONTGOMERY	4/4/2015	\$875	\$0	\$875	\$0
WQ	49560	City of Reno	2014-1601-MWD-E	LAMAR	4/4/2015	\$1,125	\$225	\$900	\$0
WQ	48839	Rentech Nitrogen Pasadena, LLC	2014-0839-IWD-E	HARRIS	4/9/2015	\$37,500	\$7,500	\$30,000	\$0
WQ	49111	Montgomery County Municipal Utility District 112	2014-1156-MWD-E	MONTGOMERY	4/9/2015	\$9,750	\$0	\$9,750	\$0
WQ	49079	Parkside at Mayfield Ranch	2014-1102-EAQ-E	WILLIAMSON	4/9/2015	\$16,875	\$3,375	\$13,500	\$0
WQ	48152	City of Huntsville	2014-0098-MWD-E	WALKER	4/9/2015	\$9,750	\$1,950	\$7,800	\$0
WQ	48317	City of Bonham	2014-0280-MWD-E	FANNIN	4/9/2015	\$67,873	\$0	\$0	\$67,873
WQ	48769	City of Center	2014-0774-MWD-E	SHELBY	4/9/2015	\$31,500	\$6,300	\$0	\$25,200
WQ	50018	J.J. Fox Construction, Inc.	2015-0169-WQ-E	SAN PATRICIO	4/23/2015	\$875	\$0	\$875	\$0
WQ	48892	Highland Homes - San Antonio, Ltd.	2014-0946-WQ-E	BEXAR	4/23/2015	\$1,301	\$260	\$1,041	\$0
WQ	49392	Enterprise Products Operating LLC	2014-1421-IWD-E	HARRIS	4/23/2015	\$7,400	\$1,480	\$2,960	\$2,960
WQ	49383	Mount Houston Road Municipal Utility District	2014-1416-MWD-E	HARRIS	4/23/2015	\$1,437	\$287	\$0	\$1,150
WQ	49934	KINCANON, TRENTON TODD	2015-0149-OSS-E	SWISHER	4/23/2015	\$175	\$0	\$175	\$0
WQ	48282	Riviera Water Control & Improvement District	2014-0249-MWD-E	KLEBERG	4/27/2015	\$7,475	\$0	\$7,475	\$0
WQ	44603	City of Portland	2012-1472-MWD-E	SAN PATRICIO	4/27/2015	\$36,000	\$7,200	\$0	\$28,800
WQ	49022	MarkWest Javelina Company, L.L.C.	2014-1040-IWD-E	NUECES	4/27/2015	\$23,550	\$4,710	\$18,840	\$0
WQ	47958	Parkside at Mayfield Ranch	2013-2197-EAQ-E	WILLIAMSON	4/27/2015	\$11,250	\$0	\$11,250	\$0
WQ	49272	City of Pflugerville	2014-1300-MWD-E	TRAVIS	4/27/2015	\$21,000	\$0	\$21,000	\$0
WQ	46307	City of Kyle *	2013-0498-MWD-E	HAYS	4/27/2015	\$37,488	\$0	\$37,488	\$0

## Singles Programs

## Appendix 1: List of Administrative Orders Issued - FY 2015

Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	46553	South Fort Worth RV Ranch, LLC	2013-0649-MWD-E	JOHNSON	4/27/2015	\$6,750	\$0	\$6,750	\$0
WQ	48869	KAAPA Aqua Ventures Alliance, LLC	2014-0875-IWD-E	CAMERON	4/27/2015	\$9,446	\$1,889	\$7,557	\$0
WQ	46202	Woodridge Limited Partnership	2013-0313-MWD-E	HARRISON	4/27/2015	\$9,000	\$5,400	\$3,600	\$0
WQ	48906	First Choice Home Construction LLC	2014-0945-WQ-E	TAYLOR	5/7/2015	\$3,750	\$750	\$3,000	\$0
WQ	49830	Hungerford Municipal Utility District 1	2014-1875-MWD-E	WHARTON	5/7/2015	\$1,375	\$275	\$1,100	\$0
WQ	49466	City of Grand Prairie	2014-1513-WQ-E	DALLAS	5/7/2015	\$6,750	\$0	\$6,750	\$0
WQ	48855	Rivercrest Independent School District	2014-0865-MWD-E	RED RIVER	5/7/2015	\$3,162	\$632	\$2,530	\$0
WQ	46750	Angelina County Water Control Improvement District 4	2013-0842-WQ-E	ANGELINA	5/7/2015	\$4,200	\$840	\$3,360	\$0
WQ	49566	Riverside Resources Investments Limited	2014-1608-EAQ-E	TRAVIS	5/7/2015	\$563	\$112	\$451	\$0
WQ	49473	City of Queen City	2014-1508-MWD-E	CASS	5/7/2015	\$1,775	\$355	\$1,420	\$0
WQ	48948	City of De Leon	2014-0959-MWD-E	COMANCHE	5/7/2015	\$15,001	\$0	\$15,001	\$0
WQ	48351	City of Moody	2014-0325-MWD-E	MCLENNAN	5/7/2015	\$15,500	\$3,100	\$12,400	\$0
WQ	49004	Synagro of Texas-CDR, Inc.	2014-1032-SLG-E	*MULTIPLE	5/7/2015	\$9,907	\$1,980	\$7,927	\$0
WQ	49342	Brookeland Fresh Water Supply District	2014-1365-MWD-E	JASPER	5/7/2015	\$17,875	\$0	\$17,875	\$0
WQ	47703	City of Fairfield	2013-1813-MWD-E	FREESTONE	5/7/2015	\$10,125	\$2,025	\$8,100	\$0
WQ	49632	City of Quanah	2014-1681-MWD-E	HARDEMAN	5/21/2015	\$1,313	\$262	\$1,051	\$0
WQ	48826	KARBALAI, RITA LAURA REDOW	2014-0833-MWD-E	MONTGOMERY	5/21/2015	\$4,445	\$889	\$3,556	\$0
WQ	49559	Blue Star Materials II, LLC	2014-1622-WQ-E	WISE	5/21/2015	\$5,000	\$1,000	\$4,000	\$0
WQ	49555	EOG Resources, Inc.	2014-1603-MLM-E	IRION	5/21/2015	\$1,574	\$314	\$1,260	\$0
WQ	49009	ARNOLD CRUSHED STONE, INC.	2014-1109-WQ-E	HILL	5/21/2015	\$5,000	\$1,000	\$4,000	\$0
WQ	50118	GRAHAM, CHARLIE W JR	2015-0274-OSS-E	PARKER	5/21/2015	\$175	\$0	\$175	\$0
WQ	49458	Cedarstone One Investors, Ltd.	2014-1493-MWD-E	MONTGOMERY	5/21/2015	\$3,375	\$675	\$2,700	\$0
WQ	48814	WATERLANDER, HARMEN	2014-0819-AGR-E	ERATH	5/21/2015	\$2,613	\$522	\$2,091	\$0
WQ	49421	Ameriforge Corporation	2014-1450-IWD-E	HARRIS	5/23/2015	\$32,000	\$0	\$32,000	\$0
WQ	49074	New Braunfels Utilities	2014-1097-MWD-E	COMAL	5/23/2015	\$10,500	\$2,100	\$8,400	\$0
WQ	48614	Haney Sitework and Paving LLP	2014-0620-MLM-E	COMAL	5/23/2015	\$28,438	\$5,687	\$22,751	\$0
WQ	47947	ANDREWS, CHESTER ALTON	2013-2086-MWD-E	TARRANT	5/23/2015	\$14,375	\$2,875	\$11,500	\$0
WQ	49123	Terra Verde Utility Company, LLC	2014-1154-MWD-E	WALLER	5/23/2015	\$9,750	\$1,950	\$7,800	\$0
WQ	48500	City of Lacy Lakeview *	2014-0483-MWD-E	MCLENNAN	5/23/2015	\$21,750	\$4,350	\$17,400	\$0
WQ	41355	Fall Creek Utility Company, Inc.	2013-1028-MWD-E	HOOD	5/23/2015	\$13,200	\$9,600	\$3,600	\$0
WQ	49321	Jim Hogg County Wcid 2	2014-1383-MWD-E	JIM HOGG	5/23/2015	\$16,841	\$3,368	\$13,473	\$0
WQ	47737	Robert F. Bryer	2013-1876-MWD-E	HARRIS	5/23/2015	\$16,100	\$3,220	\$12,880	\$0
WQ	49671	1977 Kindred II, L.P.	2014-1725-MWD-E	HARRIS	6/4/2015	\$7,187	\$1,437	\$5,750	\$0
WQ	49711	Canutillo Independent School District	2014-1762-MWD-E	EL PASO	6/4/2015	\$675	\$135	\$540	\$0
WQ	49866	SENTRY DEVELOPMENT, INC.	2015-0012-EAQ-E	WILLIAMSON	6/4/2015	\$2,000	\$400	\$1,600	\$0
WQ	49445	Harris County Municipal Utility District 5	2014-1473-MWD-E	HARRIS	6/4/2015	\$6,376	\$1,275	\$5,101	\$0
WQ	49625	SPARKS JACKIE DON *	2014-1689-OSS-E	IRION	6/4/2015	\$750	\$150	\$600	\$0
WQ	49460	Royal Valley Utilities, Inc.	2014-1494-MWD-E	FORT BEND	6/4/2015	\$4,751	\$950	\$3,801	\$0

## Singles Programs

## Appendix 1: List of Administrative Orders Issued - FY 2015

Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	49534	Montgomery County WCID 1	2014-1580-MWD-E	MONTGOMERY	6/4/2015	\$4,000	\$800	\$3,200	\$0
WQ	50280	Keith Carothers Homes, Inc.	2015-0459-WQ-E	CORYELL	6/4/2015	\$875	\$0	\$875	\$0
WQ	50192	PRECISION VALUE HOMES, L.L.C.	2015-0361-WQ-E	BELL	6/4/2015	\$875	\$0	\$875	\$0
WQ	49381	Kinder Morgan Petcoke, L.P.	2014-1412-IWD-E	JEFFERSON	6/4/2015	\$2,750	\$550	\$2,200	\$0
WQ	49643	W-INDUSTRIES OF TEXAS LLC	2014-1690-MWD-E	HARRIS	6/4/2015	\$2,275	\$455	\$1,820	\$0
WQ	48481	Roman Forest Consolidated Municipal Utility District	2014-0458-MWD-E	MONTGOMERY	6/4/2015	\$3,412	\$682	\$2,730	\$0
WQ	49161	NEWLAND, SCOTT L	2014-1183-WQ-E	HARRISON	6/4/2015	\$875	\$0	\$875	\$0
WQ	49803	City of Austin	2014-1842-EAQ-E	TRAVIS	6/4/2015	\$938	\$187	\$751	\$0
WQ	47250	City of Krum	2013-1358-MWD-E	DENTON	6/12/2015	\$74,375	\$0	\$0	\$74,375
WQ	49525	City of Stephenville	2014-1571-MWD-E	ERATH	6/12/2015	\$17,250	\$3,450	\$0	\$13,800
WQ	49241	City of Harker Heights	2014-1276-MWD-E	BELL	6/12/2015	\$7,500	\$0	\$7,500	\$0
WQ	49814	North Orange Water & Sewer, LLC	2014-1857-MWD-E	ORANGE	6/12/2015	\$27,000	\$0	\$27,000	\$0
WQ	46774	Live Oak Resort, Inc.	2013-0866-WQ-E	WASHINGTON	6/12/2015	\$45,000	\$0	\$45,000	\$0
WQ	49676	City of Manvel	2014-1770-MWD-E	BRAZORIA	6/18/2015	\$3,175	\$635	\$2,540	\$0
WQ	48893	BUCKLEY, BRADLEY	2014-0928-AGR-E	BELL	6/18/2015	\$1,312	\$262	\$1,050	\$0
WQ	49978	Humble Partners Limited Partnership	2015-0122-MWD-E	HARRIS	6/18/2015	\$1,625	\$325	\$1,300	\$0
WQ	50325	P.C. CONTRACTORS L.L.C.	2015-0512-WQ-E	HOOD	6/18/2015	\$875	\$0	\$875	\$0
WQ	50449	J Henry Construction Inc	2015-0641-WQ-E	LUBBOCK	7/10/2015	\$875	\$0	\$875	\$0
WQ	49554	EOG Resources, Inc. *	2014-1604-MLM-E	IRION	7/10/2015	\$1,574	\$314	\$1,260	\$0
WQ	48970	R-TEX Services, LLC	2014-0982-OSS-E	LA SALLE	7/10/2015	\$500	\$0	\$500	\$0
WQ	48216	Nitro Construction, LLC	2014-0164-SLG-E	DEWITT	7/10/2015	\$4,076	\$815	\$3,261	\$0
WQ	50382	Perry Espinosa	2015-0616-WOC-E	GRIMES	7/10/2015	\$175	\$0	\$175	\$0
WQ	50298	Manning Homes, Inc.	2015-0472-WQ-E	BELL	7/10/2015	\$875	\$0	\$875	\$0
WQ	50436	RAMSEY, SCOTT A	2015-0635-WOC-E	YOUNG	7/10/2015	\$175	\$0	\$175	\$0
WQ	48865	City of Big Wells	2014-0886-MWD-E	DIMMIT	7/10/2015	\$5,100	\$1,020	\$4,080	\$0
WQ	50377	ROBINSON, JEREMY J	2015-0572-OSS-E	JOHNSON	7/10/2015	\$175	\$0	\$175	\$0
WQ	48801	EOG Resources, Inc.	2014-0801-WQ-E	HOOD	7/10/2015	\$5,000	\$1,000	\$4,000	\$0
WQ	49791	City of Caddo Mills	2014-1833-MWD-E	HUNT	7/10/2015	\$3,987	\$797	\$3,190	\$0
WQ	48834	Georgetown Life Properties, LLC	2014-1047-EAQ-E	WILLIAMSON	7/10/2015	\$2,813	\$562	\$2,251	\$0
WQ	49724	City of Kilgore	2014-1777-MWD-E	GREGG	7/17/2015	\$21,300	\$0	\$21,300	\$0
WQ	49432	Zapata County	2014-1461-MWD-E	ZAPATA	7/17/2015	\$9,500	\$1,900	\$7,600	\$0
WQ	49391	ATCO-VALLEY PLAZA, LLC	2014-1423-IWD-E	HARRIS	7/17/2015	\$7,875	\$1,575	\$6,300	\$0
WQ	43221	City of Wolfe City	2012-0044-MWD-E	HUNT	7/17/2015	\$29,575	\$0	\$0	\$29,575
WQ	47784	City of Hidalgo	2013-1891-MWD-E	HIDALGO	7/17/2015	\$73,025	\$0	\$73,025	\$0
WQ	47931	US Department of the Air Force	2013-2072-WQ-E	TARRANT	7/17/2015	\$4,584	\$0	\$4,584	\$0
WQ	49114	Stone Oak Hidden Canyon, L.L.C.	2014-1128-EAQ-E	BEXAR	7/17/2015	\$15,750	\$3,150	\$12,600	\$0
WQ	48932	Bell County Water Control & Improvement District 2	2014-0936-MWD-E	BELL	7/17/2015	\$29,250	\$5,850	\$23,400	\$0
WQ	49224	City of Hewitt	2014-1243-WQ-E	MCLENNAN	7/17/2015	\$5,625	\$0	\$5,625	\$0

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WQ	49356	Lindig Construction and Trucking, Inc.	2014-1387-WQ-E	BLANCO	7/17/2015	\$48,250	\$9,650	\$38,600	\$0
WQ	47713	City of Pearland	2013-1816-MWD-E	BRAZORIA	7/17/2015	\$22,500	\$0	\$0	\$22,500
WQ	49099	Liberty Utilities (Woodmark Sewer) Corp.	2014-1127-MWD-E	SMITH	7/17/2015	\$31,687	\$0	\$15,844	\$15,843
WQ	49980	City of Huxley	2015-0129-MWD-E	SHELBY	7/19/2015	\$4,875	\$975	\$0	\$3,900
WQ	49966	City of Gregory	2015-0109-MWD-E	SAN PATRICIO	7/19/2015	\$6,000	\$1,200	\$0	\$4,800
WQ	50057	Barron's Place, LLC	2015-0202-OSS-E	IRION	7/19/2015	\$525	\$105	\$420	\$0
WQ	49667	Arianna Herrera *	2014-1726-WQ-E	MONTGOMERY	7/19/2015	\$3,000	\$600	\$2,400	\$0
WQ	50099	EcoFriendly Water Co., LLC *	2015-0280-MLM-E	IRION	7/19/2015	\$1,587	\$317	\$1,270	\$0
WQ	49916	City of Lavon	2015-0071-MWD-E	COLLIN	7/19/2015	\$488	\$97	\$391	\$0
WQ	49850	New Boston Concrete, Inc.	2014-1894-IWD-E	BOWIE	7/19/2015	\$3,150	\$630	\$2,520	\$0
WQ	50152	KING READY MIX CONCRETE, INC.	2015-0314-WQ-E	NAVARRO	7/19/2015	\$5,000	\$1,000	\$4,000	\$0
WQ	50479	CW Designer Homes Inc	2015-0683-WQ-E	BURNET	7/19/2015	\$875	\$0	\$875	\$0
WQ	50509	Live Oak Rail Partners, LLC	2015-0702-WQ-E	LIVE OAK	7/19/2015	\$875	\$0	\$875	\$0
WQ	50098	City of Brazoria	2015-0254-MWD-E	BRAZORIA	7/19/2015	\$2,500	\$500	\$2,000	\$0
WQ	50442	SILVERADO HOMES INC	2015-0659-WQ-E	CORYELL	7/19/2015	\$875	\$0	\$875	\$0
WQ	48557	City of Bishop	2014-0547-MWD-E	NUECES	8/10/2015	\$10,188	\$2,037	\$0	\$8,151
WQ	49418	Texas Department of Criminal Justice	2014-1452-MWD-E	HOUSTON	8/10/2015	\$14,950	\$2,990	\$0	\$11,960
WQ	49076	SUREGROW AGRICULTURALTRANSPORTATION, INC.	2014-1095-WQ-E	JACK	8/10/2015	\$16,875	\$0	\$16,875	\$0
WQ	50270	SAN FELIPE STONE, INC.	2015-0446-WQ-E	HOOD	8/10/2015	\$5,000	\$1,000	\$4,000	\$0
WQ	48492	Parkside at Mayfield Ranch	2014-0469-EAQ-E	WILLIAMSON	8/10/2015	\$15,276	\$3,055	\$12,221	\$0
WQ	50038	Golden SA Properties, LLC	2015-0192-WQ-E	IRION	8/10/2015	\$1,562	\$312	\$1,250	\$0
WQ	50244	Therm-O-Link of Texas, Inc	2015-0415-WQ-E	EL PASO	8/10/2015	\$938	\$187	\$751	\$0
WQ	50100	HARRISON PROFESSIONAL PROPERTIES, LLC	2015-0256-EAQ-E	BEXAR	8/10/2015	\$2,000	\$400	\$1,600	\$0
WQ	50055	City of Cleveland	2015-0209-MWD-E	LIBERTY	8/10/2015	\$5,250	\$1,050	\$4,200	\$0
WQ	49607	City of Huntington	2014-1648-MWD-E	ANGELINA	8/10/2015	\$10,312	\$2,062	\$0	\$8,250
WQ	49135	Christian Tabernacle of Houston, Inc.	2014-1165-MWD-E	HARRIS	8/10/2015	\$12,375	\$2,475	\$9,900	\$0
WQ	49245	City of Port Arthur	2014-1264-MWD-E	JEFFERSON	8/10/2015	\$42,000	\$0	\$0	\$42,000
WQ	49605	MAYER CONSTRUCTION, LLC	2014-1657-WQ-E	TARRANT	8/10/2015	\$5,000	\$1,000	\$4,000	\$0
WQ	50553	Taylor Morrison of Texas, Inc.	2015-0755-WQ-E	MONTGOMERY	8/10/2015	\$875	\$0	\$875	\$0
WQ	49503	Bailey Bark Materials, Inc.	2014-1537-WQ-E	NACOGDOCHES	8/10/2015	\$1,500	\$300	\$1,200	\$0
WQ	46566	K C Utilities, Inc.	2013-0654-MWD-E	BRAZORIA	8/10/2015	\$36,000	\$32,400	\$3,600	\$0
WQ	50592	Devon Street Homes, L.P.	2015-0788-WQ-E	FORT BEND	8/10/2015	\$875	\$0	\$875	\$0
WQ	48499	Texas Airstream Harbor, Inc.	2014-0484-MWD-E	ANGELINA	8/10/2015	\$10,656	\$0	\$10,656	\$0
WQ	49326	Holy Trinity Episcopal School of Greater Houston Inc.	2014-1347-MWD-E	HARRIS	8/17/2015	\$3,750	\$750	\$3,000	\$0
WQ	49234	City of Wolfforth	2014-1259-WQ-E	LUBBOCK	8/17/2015	\$3,000	\$600	\$0	\$2,400
WQ	50354	Romualdo Soto, Jr.	2015-0543-WQ-E	EL PASO	8/17/2015	\$5,000	\$1,000	\$4,000	\$0
WQ	50182	City of Lone Star	2015-0348-MWD-E	MORRIS	8/17/2015	\$3,625	\$725	\$2,900	\$0
WQ	50147	La Casita Holdings Inc	2015-0312-MWD-E	HARRIS	8/17/2015	\$4,950	\$990	\$3,960	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	49319	City of San Juan	2014-1350-MWD-E	HIDALGO	8/17/2015	\$6,750	\$1,350	\$5,400	\$0
WQ	50299	Qro Mex Construction Company, Inc.	2015-0479-WQ-E	KERR	8/17/2015	\$1,000	\$200	\$800	\$0
WQ	50180	City of Highland Village	2015-0347-WQ-E	DENTON	8/17/2015	\$4,063	\$812	\$3,251	\$0
WQ	49805	Chilton Water Supply and Sewer Service Corporation	2014-1848-MWD-E	FALLS	8/17/2015	\$6,600	\$1,320	\$5,280	\$0
WQ	50253	City of Abbott	2015-0413-MWD-E	HILL	8/17/2015	\$2,750	\$550	\$2,200	\$0
WQ	50210	City of Leander *	2015-0445-WQ-E	WILLIAMSON	8/17/2015	\$1,876	\$375	\$1,501	\$0
WQ	50123	SACC, Inc.	2015-0282-WQ-E	COMAL	8/17/2015	\$876	\$175	\$701	\$0
WQ	49452	Texas Department of Criminal Justice	2014-1492-MWD-E	BRAZORIA	8/17/2015	\$5,750	\$1,150	\$0	\$4,600
WQ	49387	City of Lewisville	2014-1418-MWD-E	DENTON	8/24/2015	\$24,375	\$0	\$24,375	\$0
WQ	43759	City of Edcouch	2012-0646-MWD-E	HIDALGO	8/24/2015	\$17,425	\$3,485	\$13,940	\$0
WQ	47307	Town of Anthony	2013-1499-MWD-E	EL PASO	8/24/2015	\$28,012	\$28,012	\$0	\$0
WQ	49353	Weatherford Aerospace, Inc.	2014-1374-WQ-E	PARKER	8/24/2015	\$4,875	\$0	\$4,875	\$0
WQ	48748	PATRIOT DOZER SERVICE, L.L.C.	2014-0759-WQ-E	BEE	8/24/2015	\$8,375	\$1,675	\$6,700	\$0
WQ	50723	F & H Builders, LLC	2015-0948-WQ-E	GREGG	8/31/2015	\$875	\$0	\$875	\$0
WQ	50714	Sumeer Homes, INC.	2015-0938-WQ-E	DENTON	8/31/2015	\$875	\$0	\$875	\$0
WQ	50067	Eggemeyer Land Clearing, LLC	2015-0241-WQ-E	COMAL	8/31/2015	\$250	\$50	\$200	\$0
WQ	50130	Velvin Oil Company, Inc.	2015-0294-IWD-E	RUSK	8/31/2015	\$1,375	\$275	\$1,100	\$0
WQ	50698	ETXPG LLC	2015-0919-WQ-E	SMITH	8/31/2015	\$875	\$0	\$875	\$0
<b>Subtotal for WQ : 319</b>						<b>\$3,092,022</b>	<b>\$528,874</b>	<b>\$1,874,428</b>	<b>\$688,720</b>
WWAC	45614	CATE, BILL	2014-0331-AGR-E	TARRANT	10/27/2014	\$4,500	\$0	\$4,500	\$0
WWAC	43817	WATSON, MIKE *	2014-1363-AGR-E	WISE	5/17/2015	\$1,687	\$0	\$1,687	\$0
<b>Subtotal for WWAG : 2</b>						<b>\$6,187</b>	<b>\$0</b>	<b>\$6,187</b>	<b>\$0</b>
<b>Total for Singles Programs : 1,597</b>					<b>17,625,772.00</b>	<b>2,402,989.00</b>	<b>12,098,743.00</b>	<b>3,124,040.00</b>	<b>3,124,040.00</b>

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MM	48276	The Letco Group, LLC	2014-0231-MLM-E	HARRIS	9/19/2014	\$11,067	\$2,213	\$8,854	\$0
MM	47144	HC Oilfield Services, LLC	2013-1274-MLM-E	FRIIO	9/19/2014	\$8,069	\$0	\$8,069	\$0
MM	48806	PFEIFFER, ODIS H II	2014-0808-WOC-E	FAYETTE	9/22/2014	\$175	\$0	\$175	\$0
MM	48088	HOLLIEFIELD, ARLIES	2014-0036-MLM-E	JASPER	9/22/2014	\$2,650	\$1,450	\$1,200	\$0
MM	48392	Care Cleaner Enterprises, Llc	2014-0380-DCL-E	COLLIN	9/22/2014	\$1,696	\$339	\$1,357	\$0
MM	48100	TREST, KERRY	2014-0032-MLM-E	HARDIN	10/5/2014	\$11,800	\$2,360	\$9,440	\$0
MM	47861	Webb County	2013-2014-MLM-E	WEBB	10/5/2014	\$59,252	\$0	\$0	\$59,252
MM	48754	LOPEZ, DAVID J	2014-0760-WOC-E	REFUGIO	10/6/2014	\$175	\$0	\$175	\$0
MM	47964	Bottom Line Food Processors, Inc.	2013-2113-MLM-E	TRAVIS	10/17/2014	\$11,438	\$0	\$5,719	\$5,719
MM	48241	City of Crockett	2014-0194-MLM-E	HOUSTON	10/18/2014	\$3,789	\$757	\$0	\$3,032
MM	48699	Emil B. Corporation	2014-0745-DCL-E	DALLAS	10/18/2014	\$399	\$79	\$320	\$0
MM	47278	City of Douglassville	2013-1425-MLM-E	CASS	10/18/2014	\$2,250	\$450	\$1,800	\$0
MM	48522	City of Hearne	2014-0533-MLM-E	ROBERTSON	10/18/2014	\$2,814	\$562	\$2,252	\$0
MM	49083	LANGLEY, JEREMY S	2014-1116-WOC-E	ORANGE	10/18/2014	\$175	\$0	\$175	\$0
MM	42634	City of O'Brien	2013-1642-MLM-E	HASKELL	10/27/2014	\$6,194	\$0	\$6,194	\$0
MM	48622	4J Riverway, LLC	2014-0629-MLM-E	UVALDE	11/16/2014	\$3,611	\$722	\$2,889	\$0
MM	47940	LOWMAN, JAMES TRAVIS	2013-2082-MLM-E	NUECES	11/16/2014	\$5,323	\$0	\$5,323	\$0
MM	46603	Santa Anita Reclamation Project, LLC	2013-0693-MLM-E	HIDALGO	12/6/2014	\$7,376	\$1,475	\$5,901	\$0
MM	46932	KC Fabricare, LLC	2013-1029-MLM-E	TARRANT	12/7/2014	\$11,976	\$2,394	\$9,582	\$0
MM	48101	Interstate Improvement, Inc	2014-0031-MLM-E	HARDIN	12/7/2014	\$13,850	\$2,770	\$11,080	\$0
MM	47565	ETS Oilfield Services, L.P.	2013-1667-MLM-E	ATASCOSA	12/7/2014	\$16,250	\$0	\$16,250	\$0
MM	48120	City of Springtown	2014-0076-MLM-E	PARKER	12/19/2014	\$5,900	\$1,180	\$0	\$4,720
MM	45351	Terra Firma Materials, L.L.C.	2012-2620-MLM-E	HIDALGO	12/19/2014	\$22,501	\$0	\$11,251	\$11,250
MM	48828	SYLVESTER MCCAULLEY WATER SUPPLY CORPORATION	2014-0836-MLM-E	FISHER	12/20/2014	\$806	\$161	\$645	\$0
MM	46869	AHMED, TAUFIQ H	2013-0995-DCL-E	TARRANT	12/27/2014	\$8,699	\$0	\$8,699	\$0
MM	47983	LGI Land, LLC	2013-2128-MLM-E	MONTGOMERY	1/8/2015	\$32,750	\$0	\$32,750	\$0
MM	46379	I. 35 Sandpit, Inc.	2013-0670-MLM-E	JOHNSON	1/8/2015	\$12,496	\$0	\$12,496	\$0
MM	47925	Bradley William	2013-2055-MLM-E	CROCKETT	1/8/2015	\$4,200	\$0	\$4,200	\$0
MM	47244	Oak Hill Ranch Estates Water Co.	2013-1350-MLM-E	GUADALUPE	1/11/2015	\$6,746	\$0	\$6,746	\$0
MM	49367	MOORE, DANIEL C	2014-1399-WOC-E	POLK	1/12/2015	\$175	\$0	\$175	\$0
MM	49482	HOCHSTETLER, HANK B	2014-1520-WOC-E	DENTON	1/12/2015	\$175	\$0	\$175	\$0
MM	48955	Cherry Crushed Concrete, Inc.	2014-0970-MLM-E	FORT BEND	1/12/2015	\$2,813	\$562	\$2,251	\$0
MM	48388	City Of Jasper	2014-0370-MLM-E	JASPER	1/12/2015	\$4,125	\$825	\$3,300	\$0
MM	49425	MENDEZ, ROBERT	2014-1446-WOC-E	DEWITT	1/12/2015	\$175	\$0	\$175	\$0
MM	48868	Christopher L. West	2014-0964-WOC-E	POLK	1/25/2015	\$696	\$139	\$557	\$0
MM	48427	TOMAHAWK RESOURCES LLC	2014-0435-MLM-E	NUECES	2/6/2015	\$11,134	\$2,226	\$8,908	\$0
MM	49630	Willie Hoyack	2014-1678-WOC-E	BEXAR	2/12/2015	\$175	\$0	\$175	\$0

Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	42900	Seneca Water Supply Corporation	2013-1904-MLM-E	TYLER	2/13/2015	\$21,220	\$0	\$21,220	\$0
MM	48740	ISP Technologies Inc.	2014-0748-MLM-E	GALVESTON	2/13/2015	\$33,768	\$6,753	\$27,015	\$0
MM	48829	ZAREENA INVESTMENTS INC	2014-0870-MLM-E	BEXAR	2/13/2015	\$11,250	\$0	\$11,250	\$0
MM	48727	SUPER HEIMER VIEW INC	2014-0716-DCL-E	HARRIS	2/23/2015	\$5,000	\$1,000	\$4,000	\$0
MM	49808	MYERS, ROBERT D	2014-1852-WOC-E	MCLENNAN	2/23/2015	\$175	\$0	\$175	\$0
MM	49800	MCGON, ANTHONY R	2014-1844-WOC-E	TAYLOR	2/23/2015	\$175	\$0	\$175	\$0
MM	49298	City of Refugio	2014-1322-MLM-E	REFUGIO	2/23/2015	\$2,250	\$450	\$1,800	\$0
MM	41510	Savs Investments, Inc.	2012-2141-MLM-E	BLANCO	2/26/2015	\$56,317	\$0	\$56,317	\$0
MM	46215	Rocky Wadlington	2013-0347-MLM-E	LIMESTONE	2/26/2015	\$5,367	\$0	\$5,367	\$0
MM	48724	VELA, VICTOR *	2014-0712-MLM-E	BRAZORIA	3/5/2015	\$2,669	\$0	\$2,669	\$0
MM	48721	Centerville Petroleum, LLC.	2014-0721-MLM-E	LEON	3/12/2015	\$4,668	\$933	\$3,735	\$0
MM	47296	House of Boats, INC.	2013-1424-MLM-E	ARANSAS	3/13/2015	\$13,350	\$0	\$13,350	\$0
MM	48257	FRANCIS, ALFRED	2014-0226-MLM-E	BEXAR	3/15/2015	\$5,270	\$1,054	\$4,216	\$0
MM	48944	Emil B. Corporation	2014-0993-DCL-E	*MULTIPLE	4/4/2015	\$4,133	\$824	\$3,309	\$0
MM	49336	Real County	2014-1364-MLM-E	REAL	4/4/2015	\$1,250	\$250	\$0	\$1,000
MM	49919	MCCALPIN, TERRY S	2015-0127-WOC-E	FANNIN	4/4/2015	\$175	\$0	\$175	\$0
MM	48501	DBA EL PASO STAR READY-MIX	2014-0504-MLM-E	EL PASO	4/27/2015	\$10,464	\$0	\$10,464	\$0
MM	49386	LOCHTE, KEVIN RAY	2014-1414-MLM-E	GILLESPIE	5/7/2015	\$2,708	\$541	\$1,084	\$1,083
MM	49176	Liquid Environmental Solutions of Texas, LLC	2014-1411-MLM-E	ANGELINA	5/7/2015	\$3,750	\$750	\$3,000	\$0
MM	49327	Comal Iron & Metal, Inc.	2014-1351-MLM-E	COMAL	5/7/2015	\$5,750	\$1,150	\$4,600	\$0
MM	48581	City of Ropesville	2014-0574-MLM-E	HOCKLEY	5/7/2015	\$9,491	\$1,898	\$0	\$7,593
MM	48420	El Paso Water Utilities Public Service Board	2014-0392-MLM-E	EL PASO	5/7/2015	\$3,202	\$640	\$0	\$2,562
MM	49737	Corrigan Construction Company, Inc.	2015-0059-IHW-E	POLK	5/7/2015	\$938	\$187	\$751	\$0
MM	47253	HCC HIGH CAPACITY COIL LLC	2013-1573-MLM-E	JIM WELLS	5/7/2015	\$35,479	\$7,095	\$28,384	\$0
MM	49395	City of Decatur	2014-1430-MLM-E	WISE	5/7/2015	\$2,300	\$460	\$1,840	\$0
MM	48988	Wesson Sand Company, Inc.	2014-1042-MLM-E	FORT BEND	5/23/2015	\$9,375	\$1,875	\$7,500	\$0
MM	48067	BASF Corporation	2013-2226-MLM-E	JEFFERSON	5/23/2015	\$72,160	\$14,432	\$28,864	\$28,864
MM	46375	OMAR, ILEANA JANETTE *	2012-2471-MLM-E	BEXAR	5/26/2015	\$13,400	\$0	\$13,400	\$0
MM	49913	Southeast Deaf Smith County Wind Farm, LLC	2015-0123-MLM-E	DEAF SMITH	6/18/2015	\$2,646	\$529	\$2,117	\$0
MM	49454	North Bengal, Inc.	2014-1497-DCL-E	TARRANT	7/10/2015	\$2,422	\$0	\$2,422	\$0
MM	50438	PRICE, BARRY	2015-0633-WOC-E	HOUSTON	7/10/2015	\$175	\$0	\$175	\$0
MM	50440	BALLARD, DOUG	2015-0632-WOC-E	JOHNSON	7/10/2015	\$175	\$0	\$175	\$0
MM	50439	CRUSE, PAUL DON	2015-0639-WOC-E	TARRANT	7/10/2015	\$175	\$0	\$175	\$0
MM	50441	KOHL, THOMAS T RS	2015-0636-WOC-E	HOOD	7/10/2015	\$175	\$0	\$175	\$0
MM	49506	City of Sabinal	2014-1545-MLM-E	UVALDE	7/17/2015	\$9,750	\$1,950	\$7,800	\$0
MM	49926	Loma Alta Water Supply Corporation	2015-0072-MLM-E	HALE	7/19/2015	\$750	\$150	\$600	\$0
MM	50295	Centex Scrap and Metal, Inc.	2015-0473-MLM-E	BELL	7/19/2015	\$3,300	\$660	\$2,640	\$0
MM	50063	Steven Smith	2015-0248-MLM-E	LUBBOCK	7/19/2015	\$1,984	\$396	\$1,588	\$0

Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset	
MM	49674	Jady A. Gilbert	2014-1740-MLM-E	SCURRY	7/19/2015	\$3,356	\$671	\$2,685	\$0	
MM	49104	E & A Materials, Inc.	2014-1137-MLM-E	YOUNG	8/6/2015	\$2,750	\$0	\$2,750	\$0	
MM	48530	Kyle Freeman	2014-0520-MLM-E	JOHNSON	8/6/2015	\$6,046	\$0	\$6,046	\$0	
MM	46722	Cecil G. Ford	2013-0815-MLM-E	*MULTIPLE	8/6/2015	\$9,711	\$0	\$9,711	\$0	
MM	46959	Renda Environmental, Inc.	2013-1048-MLM-E	*MULTIPLE	8/10/2015	\$36,000	\$0	\$36,000	\$0	
MM	48765	Calumet San Antonio Refining, LLC	2014-0769-MLM-E	BEXAR	8/24/2015	\$37,225	\$7,445	\$29,780	\$0	
MM	48160	DE Dietrich (USA), Inc.	2014-0102-MLM-E	NUECES	8/24/2015	\$10,500	\$2,100	\$8,400	\$0	
MM	45760	City of Skellytown	2012-2619-MLM-E	CARSON	8/24/2015	\$13,552	\$2,710	\$10,842	\$0	
MM	47595	Baker Hughes Oilfield Operations, Inc.	2013-1700-MLM-E	JIM WELLS	8/24/2015	\$8,625	\$1,724	\$6,901	\$0	
<b>Total for Multi-Media Programs : 84</b>						<b>779,266.00</b>	<b>79,291.00</b>	<b>574,900.00</b>	<b>125,075.00</b>	<b>125,075.00</b>
<b>Total for all Programs :1,681</b>						<b>18,405,038.00</b>	<b>2,482,280.00</b>	<b>12,673,643.00</b>	<b>3,249,115.00</b>	<b>3,249,115.00</b>

**Summary of SEPs:**

**Total Orders :** 1681  
**Orders with SEPs :** 187  
**Orders with SEPs % :** 11.1  
**Orders with SEP Offset % :** 20.4

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Effective Date	Ratio	Assessed Amt	Deferred Amt	Payable Amt	SEP Cost	SEP Offset
AQ	48166	Equistar Chemicals, LP	10/05/2014	1.00:1	15,000.00	3,000.00	6,000.00	6,000.00	6,000.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.					6,000.00	6,000.00
AQ	48850	OCI Beaumont LLC	04/09/2015	1.00:1	37,500.00	7,500.00	15,000.00	15,000.00	15,000.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is a pollution reduction project that will result in a reduction of air pollutants in the airshed.					15,000.00	15,000.00
AQ	48804	Lewis Petro Properties, Inc.	07/17/2015	1.00:1	36,628.00	0.00	18,314.00	18,314.00	18,314.00
		POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.					18,314.00	18,314.00
AQ	49834	Equistar Chemicals, LP	07/19/2015	1.00:1	6,563.00	1,312.00	2,626.00	2,625.00	2,625.00
		POLLUTION PREVENTION	Performing Party shall use SEP Funds to perform energy efficiency upgrades on public buildings and infrastructure. Specifically, SEP Funds may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); 3) the incremental cost of purchase of more energy-efficient equipment or appliances (versus the cost of purchasing non-energy efficient equipment or appliances); or 4) other direct costs authorized in advance by TCEQ.					2,625.00	2,625.00
AQ	48831	Huntsman Petrochemical LLC	02/06/2015	1.00:1	13,600.00	2,720.00	5,440.00	5,440.00	5,440.00
		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.					5,440.00	5,440.00
AQ	49429	Union Carbide Corporation	07/17/2015	1.00:1	67,600.00	13,520.00	27,040.00	27,040.00	27,040.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					27,040.00	27,040.00
AQ	46400	PHILLIPS 66 COMPANY	02/06/2015	1.00:1	43,938.00	8,787.00	17,576.00	17,575.00	17,575.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary. The asbestos present in these schools is currently stable and there is no requirement to remove it.					17,575.00	17,575.00
AQ	48803	BP Amoco Chemical Company	02/06/2015	1.00:1	14,513.00	2,902.00	5,806.00	5,805.00	5,805.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	Clean School Bus Project - Third-Party Administrator operates a fleet of school buses. Some of these buses are older and emit harmful air pollution. Third-Party Administrator shall use SEP Funds to purchase a lower-emission school bus that is model year 2010 or newer (Replacement Bus) to replace a bus that is model year 1995 ("Older Bus"), thus removing the Older Bus from the roads.	5,805.00	5,805.00					
AQ	47759	Enbridge G & P (North Texas) L.P.	10/05/2014	1.00:1	62,461.00	12,491.00	24,985.00	24,985.00	24,985.00
	POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.	24,985.00	24,985.00					
AQ	45988	Equistar Chemicals, LP	05/23/2015	1.00:1	29,575.00	5,915.00	11,830.00	11,830.00	11,830.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	11,830.00	11,830.00					
AQ	43465	Motiva Enterprises LLC	10/17/2014	1.00:1	34,300.00	6,860.00	13,720.00	13,720.00	13,720.00
	POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.	13,720.00	13,720.00					
AQ	49295	Chevron Phillips Chemical Company LP	05/23/2015	1.00:1	104,525.00	20,905.00	41,810.00	41,810.00	41,810.00
	POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.	41,810.00	41,810.00					
AQ	48472	James Hardie Building Products, Inc.	12/07/2014	1.00:1	33,750.00	6,750.00	13,500.00	13,500.00	13,500.00
	POLLUTION PREVENTION	The Performing Party shall provide reimbursement to schools, school districts, or school bus operators that retrofit, repower, or replace older school buses with newer model year buses or cleaner technology. Retrofitting, in this context, means installing devices to reduce exhaust emissions that are verified or certified by the U.S. Environmental Protection Agency (EPA) or the California Air Resources Board (CARB) as proven retrofit technologies. Repowering, in this context, means replacing older engines with newer, cleaner engines but keeping the same vehicle body. All replacement engines must be certified or verified by the EPA or CARB.	13,500.00	13,500.00					
AQ	49501	Oxy Vinyls, LP	05/07/2015	1.00:1	4,575.00	915.00	1,830.00	1,830.00	1,830.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.	1,830.00	1,830.00					
AQ	49557	Total Petrochemicals & Refining USA, Inc.	06/04/2015	1.00:1	7,038.00	1,407.00	2,816.00	2,815.00	2,815.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.				2,815.00	2,815.00	
AQ	49408	The Lubrizol Corporation	06/12/2015	1.00:1	12,688.00	2,537.00	5,076.00	5,075.00	5,075.00
		POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.					5,075.00	5,075.00
AQ	48639	Sherwin Alumina Company, LLC	05/07/2015	1.00:1	73,125.00	14,625.00	29,250.00	29,250.00	29,250.00
		POLLUTION PREVENTION	The Respondent will contribute to Texas A&M University-Kingsville, Air Quality Monitoring in San Patricio County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Texas A&M University-Kingsville and the Texas Commission on Environmental Quality. The 3rd party will establish three air quality monitoring stations in the San Patricio Water District. The stations will consist of ambient air monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous non-regulatory information on air quality. The stations will also generate data on background concentrations, pollutant transports from outside the air shed, and from the industrial district during the periods of predominant southeast to northwest wind patterns. The monitoring sites will be located at the Odem Raw Water Stations, Taft Texana Blending Station, and the San Patricio Country					14,625.00	14,625.00
		POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.					14,625.00	14,625.00
AQ	49019	Stallion Oilfield Services Ltd.	04/10/2015	1.00:1	2,916.00	0.00	1,458.00	1,458.00	1,458.00
		POLLUTION REDUCTION	Contribution to Clean Vehicles Partnership Project; Replacement and retrofit buses					1,458.00	1,458.00
AQ	48631	DCP Midstream, LP	10/27/2014	1.00:1	18,562.00	3,712.00	7,425.00	7,425.00	7,425.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary.					7,425.00	7,425.00
AQ	47996	Flint Hills Resources Longview, LLC	02/13/2015	1.00:1	287,000.00	0.00	143,500.00	143,500.00	143,500.00
		POLLUTION REDUCTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.					143,500.00	143,500.00
AQ	50107	The Premcor Refining Group Inc.	08/17/2015	1.00:1	7,125.00	1,425.00	2,850.00	2,850.00	2,850.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.	2,850.00	2,850.00					
AQ	49382	Huntsman Petrochemical LLC	06/12/2015	1.00:1	19,689.00	3,937.00	7,876.00	7,876.00	7,876.00
	POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.	7,876.00	7,876.00					
AQ	48619	The Premcor Refining Group Inc.	03/13/2015	1.00:1	41,250.00	8,250.00	16,500.00	16,500.00	16,500.00
	POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.	16,500.00	16,500.00					
AQ	49401	Equistar Chemicals, LP	04/04/2015	1.00:1	7,500.00	1,500.00	3,000.00	3,000.00	3,000.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	3,000.00	3,000.00					
AQ	48394	E. I. du Pont de Nemours and Company	12/19/2014	1.00:1	25,000.00	0.00	12,500.00	12,500.00	12,500.00
	POLLUTION PREVENTION	The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	12,500.00	12,500.00					
AQ	48570	TPC Group LLC *	05/07/2015	0.00:1				0.00	0.00
	POLLUTION PREVENTION	Performing Party shall use SEP Funds to perform energy efficiency upgrades on public buildings and infrastructure. Specifically, SEP Funds may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); 3) the incremental cost of purchase of more energy-efficient equipment or appliances (versus the cost of purchasing non-energy efficient equipment or appliances); or 4) other direct costs authorized in advance by TCEQ.	0.00	0.00					
AQ	48156	Shell Oil Company	12/19/2014	1.00:1	38,125.00	0.00	19,063.00	19,062.00	19,062.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.	19,062.00	19,062.00					
AQ	47536	The Dow Chemical Company	10/05/2014	1.00:1	49,726.00	9,945.00	19,891.00	19,890.00	19,890.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

		POLLUTION PREVENTION			The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	19,890.00	19,890.00		
AQ	49072	Gulf Coast Waste Disposal Authority	01/25/2015	1.00:1	1,941.00	388.00	0.00	1,553.00	1,553.00
		POLLUTION PREVENTION			The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	1,553.00	1,553.00		
AQ	49396	BASF TOTAL Petrochemicals LLC	05/07/2015	1.00:1	7,125.00	1,425.00	2,850.00	2,850.00	2,850.00
		POLLUTION PREVENTION			SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.	2,850.00	2,850.00		
AQ	48399	Stolt-Nielsen USA Inc.	12/19/2014	1.00:1	20,251.00	4,050.00	8,101.00	8,100.00	8,100.00
		POLLUTION PREVENTION			The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.	8,100.00	8,100.00		
AQ	49192	Shell Oil Company	08/24/2015	1.00:1	23,450.00	0.00	11,725.00	11,725.00	11,725.00
		POLLUTION PREVENTION			The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.	11,725.00	11,725.00		
AQ	49029	The Dow Chemical Company	05/23/2015	1.00:1	18,750.00	0.00	9,375.00	9,375.00	9,375.00
		POLLUTION PREVENTION			The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	9,375.00	9,375.00		
AQ	47501	The Lubrizol Corporation	02/06/2015	1.00:1	88,977.00	17,795.00	35,591.00	35,591.00	35,591.00
		POLLUTION PREVENTION			SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.	35,591.00	35,591.00		
AQ	48981	Equistar Chemicals, LP	04/27/2015	1.00:1	7,912.00	1,582.00	3,165.00	3,165.00	3,165.00
		POLLUTION PREVENTION			The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	3,165.00	3,165.00		
AQ	48895	The Premcor Refining Group Inc.	02/13/2015	1.00:1	25,000.00	0.00	12,500.00	12,500.00	12,500.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.	12,500.00	12,500.00					
AQ	47825	CHASE INDUSTRIES, INC.	05/23/2015	1.00:1	37,447.00	7,489.00	14,979.00	14,979.00	14,979.00
	POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.	14,979.00	14,979.00					
AQ	49365	CITY OF EL PASO	02/23/2015	1.00:1	3,750.00	750.00	0.00	3,000.00	3,000.00
	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.	3,000.00	3,000.00					
AQ	48249	Houston Pipe Line Company, LP	09/19/2014	1.00:1	6,750.00	0.00	3,375.00	3,375.00	3,375.00
	POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.	3,375.00	3,375.00					
AQ	49450	The Goodyear Tire & Rubber Company	06/12/2015	1.00:1	117,600.00	23,520.00	47,040.00	47,040.00	47,040.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.	23,520.00	23,520.00					
	POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.	23,520.00	23,520.00					
AQ	48578	Chevron Phillips Chemical Company LP	05/07/2015	1.00:1	192,626.00	0.00	96,313.00	96,313.00	96,313.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	96,313.00	96,313.00					
AQ	47676	MAVERICK DRILLING & EXPLORATION USA INC.	02/06/2015	1.00:1	208,622.00	41,724.00	83,449.00	83,449.00	83,449.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.	83,449.00	83,449.00					
AQ	49300	Enterprise Products Operating LLC	04/09/2015	1.00:1	13,125.00	2,625.00	5,250.00	5,250.00	5,250.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.	5,250.00	5,250.00					
AQ	48398	LyondellBasell Acetyls, LLC	12/07/2014	1.00:1	95,382.00	19,076.00	38,153.00	38,153.00	38,153.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.	38,153.00	38,153.00					
AQ	48364	Motiva Enterprises LLC	10/17/2014	1.00:1	67,500.00	0.00	33,750.00	33,750.00	33,750.00
	POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.	33,750.00	33,750.00					
AQ	49088	Shell Chemical LP	07/17/2015	1.00:1	19,688.00	3,937.00	7,876.00	7,875.00	7,875.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.	7,875.00	7,875.00					
AQ	48435	E. R. Carpenter, L.P.	10/05/2014	1.00:1	57,405.00	11,480.00	22,963.00	22,962.00	22,962.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	22,962.00	22,962.00					
AQ	49478	Shell Oil Company	08/10/2015	1.00:1	32,500.00	0.00	16,250.00	16,250.00	16,250.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.	16,250.00	16,250.00					
AQ	46642	The Dow Chemical Company	10/17/2014	1.00:1	83,825.00	16,765.00	33,530.00	33,530.00	33,530.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	33,530.00	33,530.00					

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

AQ	47827	PHILLIPS 66 COMPANY	10/17/2014	1.00:1	40,000.00	0.00	20,000.00	20,000.00	20,000.00
		POLLUTION PREVENTION	The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The SEP will be done in accordance with all federal, state and local environmental laws and regulations					20,000.00	20,000.00
AQ	50037	Union Tank Car Company	07/19/2015	1.00:1	3,562.00	712.00	1,425.00	1,425.00	1,425.00
		POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.					1,425.00	1,425.00
AQ	48391	DCP Midstream, LP	12/07/2014	1.00:1	112,500.00	0.00	56,250.00	56,250.00	56,250.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary.					56,250.00	56,250.00
AQ	48490	The Premcor Refining Group Inc.	04/09/2015	1.00:1	200,000.00	0.00	100,000.00	100,000.00	100,000.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.					100,000.00	100,000.00
AQ	48110	Equistar Chemicals, LP	10/05/2014	1.00:1	34,836.00	0.00	17,418.00	17,418.00	17,418.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.					17,418.00	17,418.00
AQ	47978	Flint Hills Resources Port Arthur, LLC	12/07/2014	1.00:1	13,126.00	2,625.00	5,251.00	5,250.00	5,250.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.					5,250.00	5,250.00
AQ	49565	Eastman Chemical Company	07/17/2015	1.00:1	8,325.00	1,665.00	3,330.00	3,330.00	3,330.00
		POLLUTION PREVENTION	The contribution will be used to reimburse local school districts for the cost of retrofitting or replacing school buses with cleaner technology buses to reduce air pollution emissions.					3,330.00	3,330.00
AQ	48961	Equistar Chemicals, LP	01/25/2015	1.00:1	6,563.00	1,312.00	2,626.00	2,625.00	2,625.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.					2,625.00	2,625.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

AQ	48514	INEOS USA LLC	12/07/2014	1.00:1	170,625.00	34,125.00	68,250.00	68,250.00	68,250.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					68,250.00	68,250.00
AQ	48926	LCY Elastomers, L.P.	04/27/2015	1.00:1	29,340.00	5,868.00	11,736.00	11,736.00	11,736.00
		POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.					11,736.00	11,736.00
AQ	49174	PHILLIPS 66 COMPANY	04/09/2015	1.00:1	10,212.00	2,042.00	4,085.00	4,085.00	4,085.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary.					4,085.00	4,085.00
AQ	48424	Arkema Inc.	10/18/2014	1.00:1	7,012.00	1,402.00	2,805.00	2,805.00	2,805.00
		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.					2,805.00	2,805.00
AQ	49823	Chevron Phillips Chemical Company LP	08/24/2015	1.00:1	95,000.00	0.00	47,500.00	47,500.00	47,500.00
		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.					47,500.00	47,500.00
AQ	48597	LANXESS Corporation	10/06/2014	1.00:1	6,563.00	1,312.00	2,626.00	2,625.00	2,625.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.					2,625.00	2,625.00
AQ	48903	TPC Group LLC	12/20/2014	1.00:1	3,975.00	795.00	1,590.00	1,590.00	1,590.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.					1,590.00	1,590.00
AQ	49366	Formosa Plastics Corporation, Texas	05/23/2015	1.00:1	32,500.00	0.00	16,250.00	16,250.00	16,250.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.	16,250.00	16,250.00					
AQ	49096	Rohm and Haas Chemicals, LLC	01/25/2015	1.00:1	3,638.00	727.00	1,456.00	1,455.00	1,455.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.						1,455.00	1,455.00
AQ	48158	Diamond Shamrock Refining Company, L.P.	10/17/2014	1.00:1	22,751.00	0.00	11,376.00	11,375.00	11,375.00
	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.						11,375.00	11,375.00
AQ	49046	ConocoPhillips Company	07/17/2015	1.00:1	13,600.00	2,720.00	5,440.00	5,440.00	5,440.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary.						5,440.00	5,440.00
AQ	47764	MEMC Pasadena, Inc.	12/19/2014	1.00:1	158,200.00	31,640.00	63,280.00	63,280.00	63,280.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.						63,280.00	63,280.00
AQ	49507	Total Petrochemicals & Refining USA, Inc.	06/12/2015	1.00:1	15,000.00	3,000.00	6,000.00	6,000.00	6,000.00
	POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.						6,000.00	6,000.00
AQ	48464	Anheuser-Busch, LLC	10/27/2014	1.00:1	17,100.00	3,420.00	6,840.00	6,840.00	6,840.00
	POLLUTION PREVENTION	The contribution will be used to reimburse local school districts for the cost of retrofitting or replacing school buses with cleaner technology buses to reduce air pollution emissions.						6,840.00	6,840.00
AQ	48255	Wyman-Gordon Forgings, Inc.	10/05/2014	1.00:1	23,400.00	4,680.00	9,360.00	9,360.00	9,360.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.						9,360.00	9,360.00
AQ	48324	The Dow Chemical Company	09/19/2014	1.00:1	31,960.00	6,392.00	12,784.00	12,784.00	12,784.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.						12,784.00	12,784.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

AQ	48849	E. I. du Pont de Nemours and Company	07/17/2015	1.00:1	87,500.00	0.00	43,750.00	43,750.00	43,750.00
		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.					43,750.00	43,750.00
AQ	47856	Gulf Coast Waste Disposal Authority	10/18/2014	1.00:1	2,075.00	415.00	0.00	1,660.00	1,660.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					1,660.00	1,660.00
AQ	48340	Ascend Performance Materials Texas Inc.	10/27/2014	1.00:1	15,000.00	3,000.00	6,000.00	6,000.00	6,000.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					6,000.00	6,000.00
AQ	48436	DCP Midstream, LP	12/07/2014	1.00:1	27,750.00	5,550.00	11,100.00	11,100.00	11,100.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary.					11,100.00	11,100.00
AQ	48953	The Lubrizol Corporation	07/17/2015	1.00:1	15,000.00	3,000.00	6,000.00	6,000.00	6,000.00
		POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.					6,000.00	6,000.00
AQ	48666	TPC Group LLC	10/18/2014	1.00:1	5,775.00	1,155.00	2,310.00	2,310.00	2,310.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.					2,310.00	2,310.00
AQ	49052	EnAqua Solutions, LLC	07/17/2015	1.00:1	18,375.00	3,675.00	7,350.00	7,350.00	7,350.00
		POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.					7,350.00	7,350.00
AQ	48396	Shell Chemical LP	10/27/2014	1.00:1	400,000.00	0.00	200,000.00	200,000.00	200,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.	200,000.00	200,000.00					
AQ	47608	Bio Energy (Austin), LLC	12/07/2014	1.00:1	40,990.00	8,198.00	16,396.00	16,396.00	16,396.00
	POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.					16,396.00	16,396.00	
AQ	48278	ConocoPhillips Company	12/07/2014	1.00:1	76,017.00	15,203.00	30,407.00	30,407.00	30,407.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary. The asbestos present in these schools is currently stable and there is no requirement to remove it. The school district is removing the asbestos due to the age of the asbestos and the likelihood of increased traffic in the affected areas in the future. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					30,407.00	30,407.00	
AQ	48662	Enbridge G & P (East Texas) L.P.	03/13/2015	1.00:1	25,000.00	0.00	12,500.00	12,500.00	12,500.00
	POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.					12,500.00	12,500.00	
AQ	49679	Shell Chemical LP	08/24/2015	1.00:1	15,000.00	3,000.00	6,000.00	6,000.00	6,000.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.					6,000.00	6,000.00	
AQ	49451	JSW Steel (USA) Inc.	05/23/2015	1.00:1	8,925.00	1,785.00	3,570.00	3,570.00	3,570.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.					3,570.00	3,570.00	
AQ	49409	TXI Operations, LP	06/12/2015	1.00:1	59,326.00	11,865.00	23,731.00	23,730.00	23,730.00
	POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.					23,730.00	23,730.00	
AQ	49250	Styrolution America LLC	04/04/2015	1.00:1	5,512.00	1,102.00	2,205.00	2,205.00	2,205.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	Clean School Bus Project - Third-Party Administrator operates a fleet of school buses. Some of these buses are older and emit harmful air pollution. Third-Party Administrator shall use SEP Funds to purchase a lower-emission school bus that is model year 2010 or newer ('Replacement Bus') to replace a bus that is model year 1995 ("Older Bus"), thus removing the Older Bus from the roads. Third-Party Administrator shall ensure that the Replacement Bus has an engine that meets 2010 EPA Standards.					2,205.00	2,205.00	
AQ	49472	Lone Star NGL Fractionators LLC	05/23/2015	1.00:1	11,700.00	2,340.00	4,680.00	4,680.00	4,680.00
	POLLUTION PREVENTION	Performing Party shall use SEP Funds to perform energy efficiency upgrades on public buildings and infrastructure. Specifically, SEP Funds may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); 3) the incremental cost of purchase of more energy-efficient equipment or appliances (versus the cost of purchasing non-energy efficient equipment or appliances); or 4) other direct costs authorized in advance by TCEQ.					4,680.00	4,680.00	
AQ	49449	DCP Midstream, LP	05/07/2015	1.00:1	33,188.00	6,637.00	13,276.00	13,275.00	13,275.00
	POLLUTION PREVENTION	The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary. The asbestos present in these schools is currently stable and there is no requirement to remove it. The school district is removing the asbestos due to the age of the asbestos and the likelihood of increased traffic in the affected areas in the future. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					13,275.00	13,275.00	
AQ	48879	Marathon Petroleum Company LP	03/13/2015	1.00:1	52,747.00	0.00	0.00	52,747.00	52,747.00
	POLLUTION REDUCTION	Custom SEP: 11th Street Benzene Monitor with 100% offset					52,747.00	52,747.00	
AQ	47877	PHILLIPS 66 COMPANY	10/27/2014	1.00:1	68,494.00	13,698.00	27,398.00	27,398.00	27,398.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary. The asbestos present in these schools is currently stable and there is no requirement to remove it. The school district is removing the asbestos due to the age of the asbestos and the likelihood of increased traffic in the affected areas in the future. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					27,398.00	27,398.00	
AQ	48306	TPC Group LLC	10/18/2014	1.00:1	6,000.00	1,200.00	2,400.00	2,400.00	2,400.00
	POLLUTION PREVENTION	SEP funds will be used to install or retrofit, operate, maintain, and potentially expand air monitoring stations in Harris County to continuously monitor ambient air for total non-methane hydrocarbons and hazardous air pollutants (HAPs). Specifically, SEP funds will be used to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring.					2,400.00	2,400.00	
AQ	48757	E. I. du Pont de Nemours and Company	04/27/2015	1.00:1	114,863.00	22,972.00	45,946.00	45,945.00	45,945.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					45,945.00	45,945.00	
AQ	48735	Total Petrochemicals & Refining USA, Inc.	02/06/2015	1.00:1	83,500.00	0.00	41,750.00	41,750.00	41,750.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.	41,750.00	41,750.00					
AQ	48732	KM Liquids Terminals LLC	12/07/2014	1.00:1	27,562.00	5,512.00	11,025.00	11,025.00	11,025.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	11,025.00	11,025.00					
AQ	48341	Wind Clean Corp.	10/06/2014	1.00:1	6,750.00	1,350.00	2,700.00	2,700.00	2,700.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.	2,700.00	2,700.00					
AQ	48238	Delek Refining, Ltd.	10/17/2014	1.00:1	98,637.00	0.00	49,319.00	49,318.00	49,318.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.	49,318.00	49,318.00					
AQ	47491	Arkema Inc.	10/27/2014	1.00:1	104,962.00	20,991.00	41,986.00	41,985.00	41,985.00
	POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.	41,985.00	41,985.00					
AQ	48304	POST, HENK *	10/18/2014	1.00:1	1,250.00	250.00	500.00	500.00	500.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.	500.00	500.00					
AQ	48771	NCI Group, Inc.	08/24/2015	1.00:1	15,150.00	3,030.00	6,060.00	6,060.00	6,060.00
	POLLUTION PREVENTION	Clean School Bus Project - Third-Party Administrator operates a fleet of school buses. Some of these buses are older and emit harmful air pollution. Third-Party Administrator shall use SEP Funds to purchase a lower-emission school bus that is model year 2010 or newer ('Replacement Bus') to replace a bus that is model year 1995 ('Older Bus'), thus removing the Older Bus from the roads. Third-Party Administrator shall ensure that the Replacement Bus has an engine that meets 2010 EPA Standards.	6,060.00	6,060.00					
AQ	49759	Baytown Energy Center, LLC	08/10/2015	1.00:1	66,188.00	0.00	33,094.00	33,094.00	33,094.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.	33,094.00	33,094.00					
AQ	48108	Total Petrochemicals & Refining USA, Inc.	10/05/2014	1.00:1	14,563.00	2,912.00	5,826.00	5,825.00	5,825.00
	POLLUTION PREVENTION	Performing Party shall use SEP Funds to perform energy efficiency upgrade on public buildings and infrastructure. Specifically, SEP Funds may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); 3) the incremental cost of purchase of equipment or appliances that are more energy-efficient (versus the cost of purchasing 10nenergy efficient equipment or appliances); or 4) other direct costs authorized in advance by TCEQ.	5,825.00	5,825.00					
AQ	49318	TXI Operations, LP	04/04/2015	1.00:1	2,813.00	562.00	1,126.00	1,125.00	1,125.00
	POLLUTION PREVENTION	The Performing Party shall provide reimbursement to schools, school districts, or school bus operators that retrofit, repower, or replace older school buses with newer model year buses or cleaner technology. Retrofitting, in this context, means installing devices to reduce exhaust emissions that are verified or certified by the U.S. Environmental Protection Agency (EPA) or the California Air Resources Board (CARB) as proven retrofit technologies. Repowering, in this context, means replacing older engines with newer, cleaner engines but keeping the same vehicle body. All replacement engines must be certified or verified by the EPA or CARB.	1,125.00	1,125.00					
AQ	48830	LUFKIN CREOSOTING CO., INC.	05/23/2015	1.00:1	14,400.00	2,880.00	5,760.00	5,760.00	5,760.00
	POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.	5,760.00	5,760.00					
AQ	49006	Affordable Land Services, LLC	05/21/2015	1.00:1	5,990.00	1,198.00	2,396.00	2,396.00	2,396.00
	POLLUTION PREVENTION	Clean School Bus Project - Third-Party Administrator operates a fleet of school buses. Some of these buses are older and emit harmful air pollution. Third-Party Administrator shall use SEP Funds to purchase a lower-emission school bus that is model year 2010 or newer ("Replacement Bus") to replace a bus that is model year 1995 ("Older Bus"), thus removing the Older Bus from the roads. Third-Party Administrator shall ensure that the Replacement Bus has an engine that meets 2010 EPA Standards. Third-Party Administrator certifies that the Older Bus is currently in use, driven on a regular route on a weekly basis for at least the past two years. Third-Party Administrator shall own and operate the Replacement Bus for at least five years following the date of purchase. The Older Bus shall be fully decommissioned as required in the SEP Vehicle Replacement Disposition Form attached as Exhibit 3. All funds received for the scrap value of the Older Bus shall be deposited into the SEP Account, b	2,396.00	2,396.00					
AQ	41726	Gulf Coast Waste Disposal Authority	12/07/2014	1.00:1	8,600.00	1,720.00	0.00	6,880.00	6,880.00
	POLLUTION PREVENTION	Clean School Bus Project - Third-Party Administrator operates a fleet of school buses. Some of these buses are older and emit harmful air pollution. Third-Party Administrator shall use SEP Funds to purchase a lower-emission school bus that is model year 2010 or newer ("Replacement Bus") to replace a bus that is model year 1995 ("Older Bus"), thus removing the Older Bus from the roads. Third-Party Administrator shall ensure that the Replacement Bus has an engine that meets 2010 EPA Standards.	6,880.00	6,880.00					

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

AQ	49278	BASF Corporation	08/24/2015	1.00:1	132,638.00	26,527.00	53,056.00	53,055.00	53,055.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					53,055.00	53,055.00
AQ	49139	Lehigh Cement Company LLC	03/12/2015	1.00:1	5,325.00	1,065.00	2,130.00	2,130.00	2,130.00
		POLLUTION PREVENTION	The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The SEP will be done in accordance with all federal, state and local environmental laws and regulations					2,130.00	2,130.00
AQ	49715	DCP Midstream, LP	08/24/2015	1.00:1	25,430.00	0.00	12,715.00	12,715.00	12,715.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary.					12,715.00	12,715.00
AQ	45939	Rohm and Haas Texas Incorporated	03/13/2015	1.00:1	54,275.00	10,855.00	21,710.00	21,710.00	21,710.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					21,710.00	21,710.00
AQ	48415	Equistar Chemicals, LP	10/05/2014	1.00:1	19,688.00	3,937.00	7,876.00	7,875.00	7,875.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.					7,875.00	7,875.00
AQ	48223	Equistar Chemicals, LP	09/19/2014	1.00:1	8,925.00	0.00	4,463.00	4,462.00	4,462.00
		POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.					4,462.00	4,462.00
AQ	48268	INVISTA S.a.r.l.	05/07/2015	1.00:1	99,938.00	19,987.00	39,976.00	39,975.00	39,975.00
		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.					39,975.00	39,975.00
IHW	49012	L.F. Manufacturing, Inc.	06/18/2015	1.00:1	6,094.00	1,218.00	2,438.00	2,438.00	2,438.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

		POLLUTION PREVENTION	The SEP will be used to conduct events for residents to bring in Household Hazardous Waste ("HHW") such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal ("Collection Event"). When possible, the Collection Event may also provide electronics collection, disposal, and recycling. The Third-Party Administrator or its contractors shall determine exactly which materials will be accepted and how they will be disposed of or recycled. The Third-Party Administrator shall ensure that individuals qualified to make determinations regarding receiving, handling, and temporarily storing HHW are present at each event.	538.00	538.00				
		POLLUTION PREVENTION	Contributions will be used in accordance with the Supplemental Environmental Project Agreement between the Armand Bayou Nature Center and the Texas Commission on Environmental Quality. The tallgrass prairie was once a common ecosystem in Texas and the United States. Today more than 99% of this habitat has been lost and the remainder is highly fragmented and severely threatened by exotic species and development.	1,900.00	1,900.00				
IHW	45226	South Hampton Resources, Inc.	05/23/2015	1.00:1	32,850.00	0.00	16,425.00	16,425.00	16,425.00
		ENVIRONMENTAL RESTORATION	Contribution to a Third Party Administrator					16,425.00	16,425.00
IHW	47162	LONESTAR ECOLOGY LLC	05/23/2015	1.00:1	46,889.00	9,377.00	18,756.00	18,756.00	18,756.00
		POLLUTION PREVENTION	The contribution will be to the Bayou Land Conservancy for the Spring Creek Greenway Project. Specifically, this SEP will focus on preserving multiple tracts of land comprising approximately 1,000 acres in the riparian corridor east of FM 2978 and west of U.S. 59. The Third-Party Recipient shall use SEP Funds to acquire conservation easements on these tracts to provide permanent protection and stewardship of these lands and waters.	6,000.00	6,000.00				
		POLLUTION PREVENTION	Contributions will be used in accordance with the Supplemental Environmental Project Agreement between the Armand Bayou Nature Center and the Texas Commission on Environmental Quality. The tallgrass prairie was once a common ecosystem in Texas and the United States. Today more than 99% of this habitat has been lost and the remainder is highly fragmented and severely threatened by exotic species and development. Prescribed burning is one stewardship tool used to maintain a tallgrass prairie ecosystem.	6,756.00	6,756.00				
		POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation.	6,000.00	6,000.00				
MSW	49031	Hidalgo County	08/24/2015	1.00:1	17,813.00	3,562.00	0.00	14,251.00	14,251.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.	14,251.00	14,251.00				
MSW	49844	Angelina County	08/24/2015	1.00:1	24,188.00	4,837.00	0.00	19,351.00	19,351.00
		POLLUTION PREVENTION	The SEP will be used to conduct events for residents to bring in Household Hazardous Waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. When possible, the Collection Event may also provide electronics collection, disposal, and recycling. The Third-Party Administrator or its contractors shall determine exactly which materials will be accepted and how they will be disposed of or recycled.	19,351.00	19,351.00				
MSW	34149	DECHERT, BILL	10/27/2014	1.00:1	23,000.00	0.00	11,500.00	11,500.00	11,500.00
		POLLUTION REDUCTION	Cleanup of Unauthorized Dumpsites					11,500.00	11,500.00
MSW	48331	BFI Waste Systems of North America, LLC	10/06/2014	1.00:1	2,624.00	524.00	1,050.00	1,050.00	1,050.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	Third-Party Administrator manages a system of island sanctuaries along the Texas coast. These islands constitute more than 4,000 acres that are home to twenty-five species of colonial waterbirds, several of which are considered endangered or threatened. Many of the species of waterbirds nest only on islands owned or leased by Third-Party Administrator.	1,050.00	1,050.00					
PST	48985	Texas Department of Transportation	03/12/2015	1.00:1	2,813.00	562.00	0.00	2,251.00	2,251.00
	POLLUTION PREVENTION	The SEP will be used to conduct events for residents to bring in Household Hazardous Waste ("HHW") such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal ("Collection Event"). When possible, the Collection Event may also provide electronics collection, disposal, and recycling.	2,251.00	2,251.00					
PST	49923	Harris County	08/17/2015	1.00:1	3,750.00	750.00	0.00	3,000.00	3,000.00
	POLLUTION PREVENTION	Project will coordinate with city and/or county government for the clean up of sites where trash and debris has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified, there is no preexisting obligation to clean up the site by the owner or the government, and reasonable efforts have already been taken to prevent the dumping. SEP funds shall be used for the direct cost of collection and disposal of the trash and debris.	3,000.00	3,000.00					
PST	50179	Murphy Oil USA, Inc.	08/10/2015	1.00:1	3,562.00	712.00	1,425.00	1,425.00	1,425.00
	POLLUTION PREVENTION	Contributions will be used in accordance with the Supplemental Environmental Project Agreement between the Armand Bayou Nature Center and the Texas Commission on Environmental Quality. The tallgrass prairie was once a common ecosystem in Texas and the United States. Today more than 99% of this habitat has been lost and the remainder is highly fragmented and severely threatened by exotic species and development. Prescribed burning is one stewardship tool used to maintain a tallgrass prairie ecosystem.	1,425.00	1,425.00					
PST	49329	Texas Department of Transportation	04/23/2015	1.00:1	7,500.00	1,500.00	0.00	6,000.00	6,000.00
	POLLUTION PREVENTION	Nueces River Basin Enhanced Water Quality Monitoring - SEP Funds will be used for sampling and analysis in the Nueces River Basin. Third-Party Administrator shall use SEP Funds to pay its contractors to conduct field measurements and laboratory tests for TDS, sulfate, chloride, arsenic, barium, and strontium. Third-Party Administrator shall also use SEP Funds to pay for vehicle and boat fuel necessary for the contractor to reach the monitoring sites. Third-Party Administrator's contractor shall measure water quality on a monthly basis.	6,000.00	6,000.00					
PST	48558	Redi-Mix, LLC	10/06/2014	1.00:1	5,819.00	1,163.00	2,328.00	2,328.00	2,328.00
	POLLUTION PREVENTION	Third-Party Administrator manages a system of island sanctuaries along the Texas coast. These islands constitute more than 4,000 acres that are home to twenty-five species of colonial waterbirds, several of which are considered endangered or threatened. Many of the species of waterbirds nest only on islands owned or leased by Third-Party Administrator.	2,328.00	2,328.00					
PST	49226	Texas Department of Transportation	02/12/2015	1.00:1	3,750.00	750.00	0.00	3,000.00	3,000.00
	POLLUTION PREVENTION	The contribution will be used to remove invasive woody plants from at least 35 acres of the Barton Creek Greenbelt, a City of Austin-owned parkland preserve. Invasive species removal will allow the opportunity for restoring native hill country/canyon land flora and habitat to the Greenbelt. Removal of sun-blocking invasive species will allow native understory and grass restoration, reducing soil erosion and water pollution of the sensitive karst system.	3,000.00	3,000.00					
PST	49746	Texas Department of Transportation	06/04/2015	1.00:1	3,375.00	675.00	0.00	2,700.00	2,700.00

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## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

		POLLUTION PREVENTION	Third-Party Administrator manages a system of island sanctuaries along the Texas coast. These islands constitute more than 4,000 acres that are home to twenty-five species of colonial waterbirds, several of which are considered endangered or threatened. Many of the species of waterbirds nest only on islands owned or leased by Third-Party Administrator.				2,700.00	2,700.00	
PST	48887	Texas Department of Transportation	04/27/2015	1.00:1	8,625.00	1,725.00	0.00	6,900.00	6,900.00
		POLLUTION PREVENTION	The University of Texas at Austin Lyndon B. Johnson School of Public Affairs - Lower Rio Grande Water Quality Initiatives - the SEP Offset Amount will be used to assist communities in the Lower Rio Grande region (from the Falcon Dam to the Gulf of Mexico) by working with TCEQ, Environmental Protection Agency, Comisión Nacional de Agua, and Comisión Estatal del Agua de Tamaulipas to improve Rio Grande water quality.					6,900.00	6,900.00
PST	48636	TAYLOR DISTRIBUTING COMPANY, INC.	02/06/2015	1.00:1	10,109.00	2,021.00	4,044.00	4,044.00	4,044.00
		POLLUTION PREVENTION	The SEP Offset Amount will be used to assist up to five families per year with upgrading and improving their on-property sewer connections. Haltom City shall establish a pool of funds to which Haltom City residents may apply to cover the cost of sewer connection repair. The SEP Offset Amount will be used to pay a contractor for repair and replacement of sewer connections.					4,044.00	4,044.00
PWS	49150	American Heritage Housing Corporation	04/04/2015	1.00:1	2,487.00	496.00	996.00	995.00	995.00
		POLLUTION PREVENTION	Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will pay for materials, supplies, disposal costs and transportation costs directly associated with the clean up of trash.					995.00	995.00
PWS	49107	Energy Transfer Partners, L.P.	03/12/2015	1.00:1	3,916.00	783.00	1,567.00	1,566.00	1,566.00
		POLLUTION PREVENTION	The contribution will be to the Bayou Land Conservancy for the Spring Creek Greenway Project. Specifically, this SEP will focus on preserving multiple tracts of land comprising approximately 1,000 acres in the riparian corridor east of FM 2978 and west of U.S. 59. The Third-Party Recipient shall use SEP Funds to acquire conservation easements on these tracts to provide permanent protection and stewardship of these lands and waters.					1,566.00	1,566.00
PWS	49170	Dario Guerra V. III	04/04/2015	1.00:1	740.00	148.00	296.00	296.00	296.00
		POLLUTION PREVENTION	Primavera Estates Water Service Assistance - The Third-Party Administrator shall assist the residents of Primavera Estates by conducting an analysis to determine what improvements are necessary to provide water service to the residents. Primavera Estates is a subdivision with 74 lots located on County Roads 36, 79, and 81, southwest of Robstown, Nueces County, Texas.					296.00	296.00
PWS	50120	Rayburn Country Municipal Utility District	08/17/2015	1.00:1	616.00	123.00	0.00	493.00	493.00
		POLLUTION PREVENTION	The SEP will be used to conduct events for residents to bring in Household Hazardous Waste ("HHW") such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal ("Collection Event"). When possible, the Collection Event may also provide electronics collection, disposal, and recycling. The Third-Party Administrator or its contractors shall determine exactly which materials will be accepted and how they will be disposed of or recycled. The Third-Party Administrator shall ensure that individuals qualified to make determinations regarding receiving, handling, and temporarily storing HHW are present at each event.					493.00	493.00
PWS	49157	City of San Marcos	04/09/2015	1.00:1	345.00	0.00	0.00	345.00	345.00

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## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous monitoring of area water quality.	345.00	345.00					
WATER	47870	Gray TV Ranch, Ltd.	06/25/2015	1.00:1	2,620.00	0.00	1,310.00	1,310.00	1,310.00
	POLLUTION REDUCTION	Tire Collection and Abandoned Tire Cleanup						1,310.00	1,310.00
WQ	49980	City of Huxley	07/19/2015	1.00:1	4,875.00	975.00	0.00	3,900.00	3,900.00
	POLLUTION PREVENTION	The SEP will be used to conduct events for residents to bring in Household Hazardous Waste ("HHW") such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal ("Collection Event"). When possible, the Collection Event may also provide electronics collection, disposal, and recycling. The Third-Party Administrator or its contractors shall determine exactly which materials will be accepted and how they will be disposed of or recycled. The Third-Party Administrator shall ensure that individuals qualified to make determinations regarding receiving, handling, and temporarily storing HHW are present at each event.						1,950.00	1,950.00
	POLLUTION PREVENTION	Project will coordinate with city and/or county government for the clean up of sites where trash and debris has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified, there is no preexisting obligation to clean up the site by the owner or the government, and reasonable efforts have already been taken to prevent the dumping. SEP funds shall be used for the direct cost of collection and disposal of the trash and debris.						1,950.00	1,950.00
WQ	48557	City of Bishop	08/10/2015	1.00:1	10,188.00	2,037.00	0.00	8,151.00	8,151.00
	POLLUTION PREVENTION	Nueces River Basin Enhanced Water Quality Monitoring - SEP Funds will be used for sampling and analysis in the Nueces River Basin. Third-Party Administrator shall use SEP Funds to pay its contractors to conduct field measurements and laboratory tests for TDS, sulfate, chloride, arsenic, barium, and strontium. Third-Party Administrator shall also use SEP Funds to pay for vehicle and boat fuel necessary for the contractor to reach the monitoring sites. Third-Party Administrator's contractor shall measure water quality on a monthly basis.						8,151.00	8,151.00
WQ	43816	City Of Petersburg	02/13/2015	1.00:1	15,862.00	0.00	0.00	15,862.00	15,862.00
	POLLUTION REDUCTION	the SEP Offset Amount will be used for purchasing materials and supplies for the irrigation system: PVC irrigation line piping						15,862.00	15,862.00
WQ	44603	City of Portland	04/27/2015	1.00:1	36,000.00	7,200.00	0.00	28,800.00	28,800.00
	POLLUTION PREVENTION	The SEP Offset Amount will be used to develop a landscape design, install a drip irrigation system, prepare the restoration area for planting, and plant xeriscape species and hardy native trees, shrubs, forbs, and grasses. The planting will serve to cover and bind the soil with their root systems thereby reducing soil erosion as well as provide fruit, nectar, seeds, and other bird foraging habitat.						28,800.00	28,800.00
WQ	49149	City of Big Spring	03/12/2015	1.00:1	5,250.00	1,050.00	0.00	4,200.00	4,200.00
	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.						4,200.00	4,200.00
WQ	49966	City of Gregory	07/19/2015	1.00:1	6,000.00	1,200.00	0.00	4,800.00	4,800.00
	POLLUTION REDUCTION	C-SEP; Purchase and Install 2 new lift station pumps and clean concrete wet well						4,800.00	4,800.00
WQ	47458	Kleberg County	02/13/2015	1.00:1	11,250.00	0.00	0.00	11,250.00	11,250.00

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## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION REDUCTION		Compliance SEP: Clarifier repair and upgrade				11,250.00	11,250.00	
WQ	49418	Texas Department of Criminal Justice	08/10/2015	1.00:1	14,950.00	2,990.00	0.00	11,960.00	11,960.00
	POLLUTION PREVENTION		Household Hazardous Waste Collection Events - Third-Party Administrator shall hold household hazardous waste collection events in three regional locations to offer citizens the opportunity to recycle and/or dispose of hazardous material including paint, tires, poisons, antifreeze, used electronics, and other items that are difficult to dispose of in order to deter illegal dumping of these materials. The locations will be in the county seats of Cooke, Grayson, and Fannin Counties so that all citizens in the region have an opportunity to properly dispose of HHW and do not have to drive a disproportionate distance to take advantage of the service.				11,960.00	11,960.00	
WQ	48816	Texas Department of Criminal Justice	02/06/2015	1.00:1	10,125.00	2,025.00	0.00	8,100.00	8,100.00
	POLLUTION PREVENTION		Household Hazardous Waste Collection Events - Third-Party Administrator shall hold household hazardous waste collection events in three regional locations to offer citizens the opportunity to recycle and/or dispose of hazardous material including paint, tires, poisons, antifreeze, used electronics, and other items that are difficult to dispose of in order to deter illegal dumping of these materials.				8,100.00	8,100.00	
WQ	47748	City of Galveston	12/19/2014	1.00:1	15,750.00	3,150.00	0.00	12,600.00	12,600.00
	POLLUTION PREVENTION		Galveston Bay Foundation shall reestablish marsh habitat to Galveston Bay by restoring marsh elevations to those that will support marsh vegetation and protect marsh habitats from the threat of erosion.				12,600.00	12,600.00	
WQ	47901	Paradise Isd	10/18/2014	1.00:1	7,250.00	1,450.00	0.00	5,800.00	5,800.00
	POLLUTION REDUCTION		Compliance SEP: Wastewater Treatment Plant Improvements. Flow equalization basin to be installed.				5,800.00	5,800.00	
WQ	48824	City of Jacksonville	02/13/2015	1.00:1	12,375.00	2,475.00	0.00	9,900.00	9,900.00
	POLLUTION REDUCTION		2 Collection Events				9,900.00	9,900.00	
WQ	47250	City of Krum	06/12/2015	1.00:1	74,375.00	0.00	0.00	74,375.00	74,375.00
	POLLUTION REDUCTION		C-SEP; WWTP Improvements; construct and install ultraviolet disinfection system				74,375.00	74,375.00	
WQ	49392	Enterprise Products Operating LLC	04/23/2015	1.00:1	7,400.00	1,480.00	2,960.00	2,960.00	2,960.00
	POLLUTION PREVENTION		The Conservation Fund shall acquire 2,614 coastal acres known as the Cade Ranch to be permanently protected for water quality and wildlife habitat				2,960.00	2,960.00	
WQ	46138	City of Gustine	03/13/2015	1.00:1	40,000.00	0.00	0.00	40,000.00	40,000.00
	POLLUTION REDUCTION		C-SEP; WWTP Improvements; Lower & level Weir; Repair air system; and install ammonia analyzer				40,000.00	40,000.00	
WQ	49525	City of Stephenville	06/12/2015	1.00:1	17,250.00	3,450.00	0.00	13,800.00	13,800.00
	POLLUTION PREVENTION		Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.				13,800.00	13,800.00	
WQ	49005	MORIAH LIMESTONE OPERATIONS, LLC	03/15/2015	1.00:1	5,000.00	1,000.00	2,000.00	2,000.00	2,000.00
	POLLUTION PREVENTION		Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous monitoring of area water quality.				1,000.00	1,000.00	

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## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	Third-Party Administrator manages a system of island sanctuaries along the Texas coast. These islands constitute more than 4,000 acres that are home to twenty-five species of colonial waterbirds, several of which are considered endangered or threatened. Many of the species of waterbirds nest only on islands owned or leased by Third-Party Administrator.	1,000.00	1,000.00					
WQ	48222	City of Ore City	10/05/2014	1.00:1	25,737.00	0.00	0.00	25,737.00	25,737.00
	POLLUTION PREVENTION	The contribution will be to Caddo Lake Institute (CLI) for the Caddo Lake Watershed Enhanced Monitoring Program to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will be used for increased water quality monitoring and contaminant sampling for use in evaluating risks to water in Caddo Lake and other water bodies in the Cypress Creek basin, comprising the Caddo Lake watershed. This project will supplement and enhance existing monitoring and contaminant sampling within the Cypress Creek basin.						25,737.00	25,737.00
WQ	48017	Southern Methodist University	09/19/2014	1.00:1	5,625.00	0.00	0.00	5,625.00	5,625.00
	POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation.						5,625.00	5,625.00
WQ	49234	City of Wolfforth	08/17/2015	1.00:1	3,000.00	600.00	0.00	2,400.00	2,400.00
	POLLUTION REDUCTION	Hold 2 collection events for Municipal Solid Waste: Tires, old furniture, appliances without Freon, building materials						2,400.00	2,400.00
WQ	43221	City of Wolfe City	07/17/2015	1.00:1	29,575.00	0.00	0.00	29,575.00	29,575.00
	POLLUTION REDUCTION	C-SEP: Lagoon Improvements						29,575.00	29,575.00
WQ	48129	Mauriceville Municipal Utility District	09/19/2014	1.00:1	27,187.00	5,437.00	0.00	21,750.00	21,750.00
	POLLUTION PREVENTION	Contributions will be used in accordance with the Supplemental Environmental Project Agreement between the Armand Bayou Nature Center and the Texas Commission on Environmental Quality. The tallgrass prairie was once a common ecosystem in Texas and the United States. Today more than 99% of this habitat has been lost and the remainder is highly fragmented and severely threatened by exotic species and development. Prescribed burning is one stewardship tool used to maintain a tallgrass prairie ecosystem.						21,750.00	21,750.00
WQ	48640	Maxum Oilfield Rentals, LLC	04/04/2015	1.00:1	5,925.00	1,185.00	2,370.00	2,370.00	2,370.00
	POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation. This project will seek to raise elevations by scraping and terracing.						2,370.00	2,370.00
WQ	47440	Cibolo Creek Municipal Authority	12/19/2014	1.00:1	5,625.00	0.00	0.00	5,625.00	5,625.00
	POLLUTION PREVENTION	The SEP Offset Amount will be used to restore the 600-acre upland habitat at the Mitchell Lake Audubon Center and Sanctuary by removing non-native, exotic plant species and re-establishing native grasses, forbs, and woody vegetation. Native plants that will provide maximum benefit for the wildlife of Mitchell Lake will be reintroduced throughout the uplands.						5,625.00	5,625.00
WQ	48442	City of Brady	02/13/2015	1.00:1	6,000.00	0.00	0.00	6,000.00	6,000.00

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## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	Project will coordinate with city and/or county government for hte clean up of sites where trash and debris has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified, there is no preexisting obligation to clean up the site by the owner or the government, and reasonable efforts have already been taken to prevent the dumping. SEP funds shall be used for the direct cost of collection and disposal of the trash and debris.	6,000.00	6,000.00					
WQ	44955	City of Newark	12/07/2014	1.00:1	27,625.00	0.00	0.00	27,625.00	27,625.00
	ENVIRONMENTAL RESTORATION	shall hire a contractor to place multiple levels of stones along both sides of the creek bank located in the 500 block of Farm to Market Road 718, GPS coordinates Latitude 33.005528 and Longitude -97.488446						27,625.00	27,625.00
WQ	49383	Mount Houston Road Municipal Utility District	04/23/2015	1.00:1	1,437.00	287.00	0.00	1,150.00	1,150.00
	POLLUTION PREVENTION	The contribution will be to the Bayou Land Conservancy for the Spring Creek Greenway Project. Specifically, this SEP will focus on preserving multiple tracts of land comprising approximately 1,000 acres in the riparian corridor east of FM 2978 and west of U.S. 59.						1,150.00	1,150.00
WQ	47932	City of Annona	09/19/2014	1.00:1	30,375.00	6,075.00	0.00	24,300.00	24,300.00
	POLLUTION PREVENTION	Project will coordinate with city and/or county government for hte clean up of sites where trash and debris has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified, there is no preexisting obligation to clean up the site by the owner or the government, and reasonable efforts have already been taken to prevent the dumping. SEP funds shall be used for the direct cost of collection and disposal of the trash and debris.						24,300.00	24,300.00
WQ	48825	City of Vernon	03/12/2015	1.00:1	6,525.00	1,305.00	0.00	5,220.00	5,220.00
	POLLUTION PREVENTION	Project will repair or replace failing water systmes or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies.						5,220.00	5,220.00
WQ	49607	City of Huntington	08/10/2015	1.00:1	10,312.00	2,062.00	0.00	8,250.00	8,250.00
	POLLUTION REDUCTION	Purchase and Install two floating aerators and diffusers at WWTP						8,250.00	8,250.00
WQ	49245	City of Port Arthur	08/10/2015	1.00:1	42,000.00	0.00	0.00	42,000.00	42,000.00
	POLLUTION REDUCTION	Custom SEP: Brinkman Drive Sewer Line Replacement for Low-Income Residents						42,000.00	42,000.00
WQ	47667	City of East Tawakoni	09/19/2014	1.00:1	21,388.00	0.00	0.00	21,388.00	21,388.00
	POLLUTION REDUCTION	Compliance SEP; WWTP Improvements: Replace pumps, replace blades, motor and rotors						21,388.00	21,388.00
WQ	48776	City of Poteet	01/12/2015	1.00:1	7,250.00	1,450.00	0.00	5,800.00	5,800.00
	POLLUTION PREVENTION	Angelina Beautiful Clean (AB/C) is an affiliate member of Keep Texas Beautiful and sponsors cleanups throughout Angelina County, participates in the Texas Recycles Day, and provides information to the public about recycling and the benefits to maintaining a clean and healthy environment. Cleanup events will collect and properly dispose of illegally dumped waste in Angelina County.  Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner.						1,900.00	1,900.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

	POLLUTION PREVENTION	Contributions will be used in accordance with the Supplemental Environmental Project Agreement between the Armand Bayou Nature Center and the Texas Commission on Environmental Quality. The tallgrass prairie was once a common ecosystem in Texas and the United States. Today more than 99% of this habitat has been lost and the remainder is highly fragmented and severely threatened by exotic species and development. Prescribed burning is one stewardship tool used to maintain a tallgrass prairie ecosystem.	1,900.00	1,900.00					
	POLLUTION PREVENTION	Nueces River Basin Enhanced Water Quality Monitoring - SEP Funds will be used for sampling and analysis in the Nueces River Basin. Third-Party Administrator shall use SEP Funds to pay its contractors to conduct field measurements and laboratory tests for TDS, sulfate, chloride, arsenic, barium, and strontium. Third-Party Administrator shall also use SEP Funds to pay for vehicle and boat fuel necessary for the contractor to reach the monitoring sites. Third-Party Administrator's contractor shall measure water quality on a monthly basis.	2,000.00	2,000.00					
WQ	47240	City of George West	12/07/2014	1.00:1	36,638.00	7,327.00	0.00	29,311.00	29,311.00
	POLLUTION REDUCTION	C-SEP: WWTP AND LIFT STATION IMPROVEMENTS						29,311.00	29,311.00
WQ	48317	City of Bonham	04/09/2015	1.00:1	67,873.00	0.00	0.00	67,873.00	67,873.00
	POLLUTION REDUCTION	Compliance SEP: WWTP Improvement						67,873.00	67,873.00
WQ	47713	City of Pearland	07/17/2015	1.00:1	22,500.00	0.00	0.00	22,500.00	22,500.00
	POLLUTION PREVENTION	Contribution will be used for the identification and acquisition of projects, land purchases, and transfer of land to a public agency or nonprofit organization, which will be the ultimate fee title holder and permanent steward for the property.						22,500.00	22,500.00
WQ	48769	City of Center	04/09/2015	1.00:1	31,500.00	6,300.00	0.00	25,200.00	25,200.00
	POLLUTION REDUCTION	HHW Collections						25,200.00	25,200.00
WQ	49099	Liberty Utilities (Woodmark Sewer) Corp.	07/17/2015	1.00:1	31,687.00	0.00	15,844.00	15,843.00	15,843.00
	POLLUTION PREVENTION	The SEP will be used to conduct events for residents to bring in Household Hazardous Waste ("HHW") such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal ("Collection Event"). When possible, the Collection Event may also provide electronics collection, disposal, and recycling. The Third-Party Administrator or its contractors shall determine exactly which materials will be accepted and how they will be disposed of or recycled. The Third-Party Administrator shall ensure that individuals qualified to make determinations regarding receiving, handling, and temporarily storing HHW are present at each event.						15,843.00	15,843.00
WQ	48059	Texas Department of Criminal Justice	09/19/2014	1.00:1	29,250.00	5,850.00	0.00	23,400.00	23,400.00
	POLLUTION PREVENTION	Brazoria County shall provide assistance to low income homeowners to repair or replace existing open, failing, or inadequately designed drinking water wells or to connect the household to central water service and plug the existing well. Brazoria County shall use SEP funds to pay for contractors for direct costs that the homeowners would have been responsible for						23,400.00	23,400.00
WQ	49452	Texas Department of Criminal Justice	08/17/2015	1.00:1	5,750.00	1,150.00	0.00	4,600.00	4,600.00
	POLLUTION PREVENTION	Brazoria County shall provide assistance to low income homeowners to repair or replace failing or inadequately designed On-Site Sewage Facilities (OSSFs) within the county. Many of the OSSFs currently in operation in Brazoria County are substandard and result in the release of sewage in residential areas, public rights-of-way, and waterways.						4,600.00	4,600.00
WQ	47906	City of Conroe	10/27/2014	1.00:1	8,400.00	1,680.00	0.00	6,720.00	6,720.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Single Program

POLLUTION PREVENTION	Contributions will be used in accordance with the Supplemental Environmental Project Agreement between the Armand Bayou Nature Center and the Texas Commission on Environmental Quality. The tallgrass prairie was once a common ecosystem in Texas and the United States. Today more than 99% of this habitat has been lost and the remainder is highly fragmented and severely threatened by exotic species and development. Prescribed burning is one stewardship tool used to maintain a tallgrass prairie ecosystem.	6,720.00	6,720.00
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Count of Single Program Orders: 177	Total: 6,166,076.00	707,645.00	2,334,391.00	1,124,040.00	1,124,040.00
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\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Multi-Media Program

Date Range Selected : 09/01/2014 - 08/31/2015

PGM	Case No.	Respondent Name	Effective Date	Ratio	Assessed Amt	Deferred Amt	Payable Amt	SEP Cost	SEP Offset
MM	49386	LOCHTE, KEVIN RAY	05/07/2015	1.00:1	2,708.00	541.00	1,084.00	1,083.00	1,083.00
		POLLUTION PREVENTION	The contribution will improve and maintain the wetland habitat of the Myrtle Foester-Whitmire Unit of the Aransas National Wildlife Refuge for waterfowl and shorebirds. This cooperative program will provide a more reliable supply of water and improve the efficiency of the delivery of freshwater to and within the unit. The area has been known to hold 18% of the wintering waterfowl on the Texas Gulf Coast. Nearly every waterfowl species using the Central Flyway will benefit from this project by providing high value wintering habitat.					1,083.00	1,083.00
MM	48241	City of Crockett	10/18/2014	1.00:1	3,789.00	757.00	0.00	3,032.00	3,032.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.					3,032.00	3,032.00
MM	47861	Webb County	10/05/2014	1.00:1	59,252.00	0.00	0.00	59,252.00	59,252.00
		POLLUTION REDUCTION	Compliance SEP: UV Disinfection System Repair					59,252.00	59,252.00
MM	48120	City of Springtown	12/19/2014	1.00:1	5,900.00	1,180.00	0.00	4,720.00	4,720.00
		POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.					4,720.00	4,720.00
MM	45351	Terra Firma Materials, L.L.C.	12/19/2014	1.00:1	22,501.00	0.00	11,251.00	11,250.00	11,250.00
		POLLUTION REDUCTION	Cleanup of Unauthorized Dumpsites					11,250.00	11,250.00
MM	48581	City of Ropesville	05/07/2015	1.00:1	9,491.00	1,898.00	0.00	7,593.00	7,593.00
		POLLUTION REDUCTION	Compliance SEP: Calibrate the flow meter, treat weeds on the facultative lagoon, and install rip rap at the facility					7,593.00	7,593.00
MM	49336	Real County	04/04/2015	1.00:1	1,250.00	250.00	0.00	1,000.00	1,000.00
		POLLUTION PREVENTION	Nueces River Basin Enhanced Water Quality Monitoring - SEP Funds will be used for sampling and analysis in the Nueces River Basin. Third-Party Administrator shall use SEP Funds to pay its contractors to conduct field measurements and laboratory tests for TDS, sulfate, chloride, arsenic, barium, and strontium. Third-Party Administrator shall also use SEP Funds to pay for vehicle and boat fuel necessary for the contractor to reach the monitoring sites. Third-Party Administrator's contractor shall measure water quality on a monthly basis.					1,000.00	1,000.00
MM	48420	El Paso Water Utilities Public Service Board	05/07/2015	1.00:1	3,202.00	640.00	0.00	2,562.00	2,562.00
		POLLUTION PREVENTION	The contribution will be to the Trans-Pecos Water & Land Trust for the Trans-Pecos Water Rights Acquisition Project. The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used to acquire, by lease or purchase, surface water rights from various owners of existing water rights in the Rio Grande River Basin in Texas.					2,562.00	2,562.00
MM	47964	Bottom Line Food Processors, Inc.	10/17/2014	1.00:1	11,438.00	0.00	5,719.00	5,719.00	5,719.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 2: List of SEP's & SEP Projects - FY 2015

### Multi-Media Program

POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	2,859.00	2,859.00
POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous monitoring of area water quality.	2,860.00	2,860.00

MM	48067	BASF Corporation	05/23/2015	1.00:1	72,160.00	14,432.00	28,864.00	28,864.00	28,864.00
		POLLUTION PREVENTION						28,864.00	28,864.00
<b>Count of Multi-Media Program Orders: 10</b>					Total:	191,691.00	19,698.00	46,918.00	125,075.00
<b>Grand Total Count of Orders: 187</b>					Grand Total:	6,357,767.00	727,343.00	2,381,309.00	3,249,115.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 3: List of Administrative Orders Issued FY 2014

### Singles Programs

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	45906	Arrowhead Pipeline, L.P.	2013-0028-AIR-E	BRAZORIA	9/12/2013	\$18,750	\$3,750	\$15,000	\$0
AQ	46049	Flint Hills Resources Port Arthur, LLC	2013-0164-AIR-E	JEFFERSON	9/12/2013	\$21,563	\$4,312	\$8,626	\$8,625
AQ	46190	Saint-Gobain Ceramics & Plastics, Inc.	2013-0294-AIR-E	BRAZOS	9/12/2013	\$8,063	\$1,612	\$6,451	\$0
AQ	46103	Prism Gas Systems I, L.P	2013-0250-AIR-E	HARRISON	9/12/2013	\$17,325	\$3,465	\$13,860	\$0
AQ	46155	BASF Corporation	2013-0266-AIR-E	BRAZORIA	9/12/2013	\$250,000	\$0	\$125,000	\$125,000
AQ	46083	APAC-Texas, Inc.	2013-0198-AIR-E	MCLENNAN	9/12/2013	\$1,712	\$0	\$1,712	\$0
AQ	46171	Chevron Phillips Chemical Company LP	2013-0312-AIR-E	JEFFERSON	9/12/2013	\$30,000	\$6,000	\$12,000	\$12,000
AQ	46290	BASF Corporation	2013-0400-AIR-E	JEFFERSON	9/12/2013	\$9,360	\$1,872	\$3,744	\$3,744
AQ	45985	WM Resource Recovery & Recycling Center, Inc.	2013-0104-AIR-E	CHAMBERS	9/12/2013	\$10,826	\$2,165	\$8,661	\$0
AQ	46581	DCP Midstream, LP	2013-0671-AIR-E	HUTCHINSON	9/12/2013	\$25,400	\$0	\$12,700	\$12,700
AQ	46355	J Ferg Coatings, LLC	2013-0455-AIR-E	LUBBOCK	9/14/2013	\$1,125	\$225	\$900	\$0
AQ	45606	ConocoPhillips Company	2012-2598-AIR-E	*MULTIPLE	9/14/2013	\$3,188	\$637	\$2,551	\$0
AQ	46299	Atmos Energy Corporation	2013-0412-AIR-E	CLAY	9/14/2013	\$2,813	\$562	\$2,251	\$0
AQ	46551	CJB Construction, Inc.	2013-0648-AIR-E	BRAZOS	9/14/2013	\$4,374	\$874	\$3,500	\$0
AQ	46147	INVISTA S.a r.l.	2013-0275-AIR-E	HARRIS	9/14/2013	\$5,550	\$1,110	\$2,220	\$2,220
AQ	46086	Juan Felipe Galvez	2013-0200-AIR-E	TOM GREEN	9/26/2013	\$3,375	\$675	\$2,700	\$0
AQ	44779	OVERSTREET-HUGHES CO., INC.	2012-1687-AIR-E	DALLAS	9/26/2013	\$6,175	\$1,235	\$4,940	\$0
AQ	45197	J. M. Holm & Co., Inc.	2012-2073-AIR-E	BRAZORIA	9/26/2013	\$4,087	\$817	\$3,270	\$0
AQ	46630	Flint Hills Resources Houston Chemical, LLC	2013-0720-AIR-E	HARRIS	9/26/2013	\$1,980	\$396	\$1,584	\$0
AQ	46353	ESCONDIDO RESOURCES II, LLC	2013-0456-AIR-E	MCMULLEN	9/26/2013	\$2,500	\$500	\$2,000	\$0
AQ	44034	Superior Crude Gathering, Inc.	2012-0945-AIR-E	SAN PATRICIO	9/30/2013	\$215,600	\$0	\$107,800	\$107,800
AQ	44461	Maximus Coffee Group, LP	2012-1316-AIR-E	HARRIS	9/30/2013	\$10,884	\$2,176	\$8,708	\$0
AQ	45826	WTG Jameson, LP	2012-2691-AIR-E	COKE	9/30/2013	\$21,264	\$4,252	\$17,012	\$0
AQ	44757	Motiva Enterprises LLC	2012-1628-AIR-E	JEFFERSON	9/30/2013	\$28,688	\$5,737	\$11,476	\$11,475
AQ	46397	Equistar Chemicals, LP	2013-0504-AIR-E	NUECES	9/30/2013	\$15,000	\$3,000	\$6,000	\$6,000
AQ	43078	MONTOYA, JESUS	2011-2251-AIR-E	TRINITY	10/2/2013	\$2,625	\$0	\$2,625	\$0
AQ	46637	Texas A&M University	2013-0737-AIR-E	BRAZOS	10/3/2013	\$2,013	\$402	\$1,611	\$0
AQ	46530	Wise Disposal Ventures II, LP	2013-0636-AIR-E	WISE	10/3/2013	\$1,188	\$238	\$950	\$0
AQ	46179	Akzo Nobel Polymer Chemicals LLC	2013-0295-AIR-E	HARRIS	10/18/2013	\$15,750	\$3,150	\$12,600	\$0
AQ	46257	Diamond Shamrock Refining Company, L.P.	2013-0368-AIR-E	MOORE	10/18/2013	\$34,388	\$6,877	\$13,756	\$13,755
AQ	45990	WTG Gas Processing, L.P.	2013-0119-AIR-E	HOWARD	10/18/2013	\$48,376	\$9,675	\$38,701	\$0
AQ	45716	Equistar Chemicals, LP	2012-2572-AIR-E	HARRIS	10/18/2013	\$17,848	\$3,569	\$7,140	\$7,139
AQ	46245	KM Liquids Terminals, L. P.	2013-0369-AIR-E	HARRIS	10/18/2013	\$100,000	\$0	\$50,000	\$50,000
AQ	46384	Shintech Incorporated	2013-0470-AIR-E	BRAZORIA	10/18/2013	\$45,000	\$9,000	\$36,000	\$0
AQ	46638	Regency Field Services LLC	2013-0725-AIR-E	MCMULLEN	10/21/2013	\$3,750	\$750	\$3,000	\$0
AQ	46771	General Cable Texas Operations L.P.	2013-0870-AIR-E	HARRISON	10/21/2013	\$2,813	\$562	\$2,251	\$0

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	46192	Motiva Enterprises LLC	2013-0304-AIR-E	JEFFERSON	11/1/2013	\$22,500	\$4,500	\$9,000	\$9,000
AQ	46191	Magellan Terminals Holdings, L.P.	2013-0305-AIR-E	HARRIS	11/1/2013	\$2,525	\$505	\$2,020	\$0
AQ	46655	DCP Midstream, LP	2013-0760-AIR-E	FAYETTE	11/1/2013	\$37,500	\$7,500	\$15,000	\$15,000
AQ	46649	Airgas Specialty Gases, INC.	2013-0742-AIR-E	HARRIS	11/1/2013	\$2,813	\$562	\$2,251	\$0
AQ	46484	Valero Refining-Texas, L.P.	2013-0588-AIR-E	GALVESTON	11/1/2013	\$7,938	\$1,587	\$6,351	\$0
AQ	46300	CITGO Refining and Chemicals Company L.P.	2013-0411-AIR-E	NUECES	11/1/2013	\$8,000	\$0	\$8,000	\$0
AQ	46297	FRP Storage Solutions Co.	2013-0405-AIR-E	HARRIS	11/1/2013	\$18,750	\$3,750	\$15,000	\$0
AQ	39849	TREVINO, JOSE ALBERTO	2013-0501-AIR-E	WEBB	11/7/2013	\$6,750	\$0	\$6,750	\$0
AQ	46140	Total Petrochemicals & Refining USA, Inc.	2013-0298-AIR-E	JEFFERSON	11/11/2013	\$21,188	\$4,237	\$8,476	\$8,475
AQ	46398	Motiva Enterprises LLC	2013-0551-AIR-E	JEFFERSON	11/11/2013	\$30,000	\$6,000	\$24,000	\$0
AQ	46633	Atlas Pipeline Mid-Continent WestTex, LLC	2013-0722-AIR-E	REAGAN	11/11/2013	\$8,875	\$1,775	\$7,100	\$0
AQ	45063	Davis Gas Processing, Inc.	2012-2029-AIR-E	REAGAN	11/11/2013	\$62,785	\$0	\$62,785	\$0
AQ	45750	Lucite International, Inc.	2012-2622-AIR-E	JEFFERSON	11/11/2013	\$72,541	\$14,508	\$58,033	\$0
AQ	47105	DCP Midstream, LP	2013-1207-AIR-E	NUECES	11/16/2013	\$6,401	\$1,280	\$5,121	\$0
AQ	46795	PHILLIPS 66 COMPANY	2013-0904-AIR-E	HUTCHINSON	11/16/2013	\$7,500	\$1,500	\$3,000	\$3,000
AQ	46987	Permian Tank & Manufacturing, Inc.	2013-1081-AIR-E	ECTOR	11/16/2013	\$5,625	\$1,125	\$4,500	\$0
AQ	46175	CHRIS MCCREARY	2013-0297-AIR-E	COLORADO	11/16/2013	\$1,187	\$237	\$950	\$0
AQ	47028	HOOVER ENERGY TEXAS LLC	2013-1124-AIR-E	PECOS	11/16/2013	\$2,813	\$562	\$2,251	\$0
AQ	46262	Gulf South Pipeline Company, LP	2013-0656-AIR-E	*MULTIPLE	11/28/2013	\$8,624	\$1,724	\$3,450	\$3,450
AQ	43924	Enterprise Hydrocarbons L.P.	2012-0776-AIR-E	BEXAR	11/28/2013	\$95,000	\$0	\$95,000	\$0
AQ	46665	Flint Hills Resources Port Arthur, LLC	2013-0759-AIR-E	JEFFERSON	11/28/2013	\$200,000	\$0	\$100,000	\$100,000
AQ	45905	Trinity Powder Coatings, Inc.	2013-0065-AIR-E	DALLAS	12/1/2013	\$3,937	\$0	\$3,937	\$0
AQ	46825	Cargill Incorporated	2013-0969-AIR-E	HANSFORD	12/9/2013	\$2,250	\$450	\$1,800	\$0
AQ	46729	South Hampton Resources, Inc.	2013-0840-AIR-E	HARDIN	12/9/2013	\$4,725	\$945	\$1,890	\$1,890
AQ	46976	Armortex, Inc.	2013-1065-AIR-E	GUADALUPE	12/9/2013	\$6,000	\$1,200	\$4,800	\$0
AQ	46904	SOTO, DANIEL R	2013-0997-AIR-E	EL PASO	12/9/2013	\$1,188	\$237	\$951	\$0
AQ	44099	National Oilwell Varco, L.P.	2012-0969-AIR-E	HARRIS	12/9/2013	\$3,500	\$700	\$2,800	\$0
AQ	46693	Southcross Gathering Ltd.	2013-0800-AIR-E	MONTGOMERY	12/9/2013	\$1,400	\$280	\$1,120	\$0
AQ	44982	BARRON, ANTHONY	2012-1861-AIR-E	KERR	12/18/2013	\$1,337	\$0	\$1,337	\$0
AQ	45086	ExxonMobil Oil Corporation	2012-1962-AIR-E	JEFFERSON	12/19/2013	\$126,250	\$0	\$63,125	\$63,125
AQ	43911	Motiva Enterprises LLC	2012-0735-AIR-E	JEFFERSON	12/19/2013	\$101,038	\$0	\$50,519	\$50,519
AQ	46516	Total Petrochemicals & Refining USA, Inc.	2013-0673-AIR-E	HARRIS	12/19/2013	\$31,063	\$0	\$31,063	\$0
AQ	46810	Delek Refining, Ltd.	2013-0918-AIR-E	SMITH	12/19/2013	\$19,001	\$3,800	\$15,201	\$0
AQ	46587	The Dallas Group Of America, Inc.	2013-0678-AIR-E	GALVESTON	12/19/2013	\$5,038	\$0	\$2,519	\$2,519
AQ	46852	W & W Fiberglass Tank Company	2013-0968-AIR-E	GRAY	12/19/2013	\$74,550	\$14,910	\$29,820	\$29,820
AQ	46266	The Lubrizol Corporation	2013-0375-AIR-E	HARRIS	12/19/2013	\$61,796	\$0	\$30,898	\$30,898
AQ	47223	Multisources, LTD	2013-1335-AIR-E	FAYETTE	12/19/2013	\$7,875	\$1,575	\$6,300	\$0
AQ	46856	Flint Hills Resources Port Arthur, LLC	2013-0966-AIR-E	JEFFERSON	12/19/2013	\$19,689	\$3,937	\$7,876	\$7,876

## Singles Programs

## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	45055	Quad Williamson LLC	2012-1935-AIR-E	DALLAS	12/19/2013	\$8,925	\$1,785	\$7,140	\$0
AQ	46276	Fiber Brite, Ltd.	2013-0401-AIR-E	LYNN	12/19/2013	\$38,000	\$7,600	\$30,400	\$0
AQ	46495	Lockheed Martin Corporation	2013-0603-AIR-E	TARRANT	12/19/2013	\$4,875	\$0	\$2,438	\$2,437
AQ	46711	Nalco Company	2013-0799-AIR-E	GRAY	1/2/2014	\$1,250	\$250	\$1,000	\$0
AQ	46702	ExxonMobil Oil Corporation	2013-0809-AIR-E	JEFFERSON	1/2/2014	\$7,001	\$1,400	\$2,801	\$2,800
AQ	46580	CANALES, DARIO	2013-0672-AIR-E	EL PASO	1/8/2014	\$5,250	\$0	\$5,250	\$0
AQ	46964	DAVIS OPERATING COMPANY	2013-1066-AIR-E	BRAZORIA	1/24/2014	\$18,000	\$3,600	\$14,400	\$0
AQ	44120	INVISTA S.a r.l.	2012-0968-AIR-E	ORANGE	1/24/2014	\$15,000	\$3,000	\$6,000	\$6,000
AQ	46682	Total Petrochemicals & Refining USA, Inc.	2013-0773-AIR-E	JEFFERSON	1/24/2014	\$19,688	\$3,937	\$7,876	\$7,875
AQ	46686	Red Ewald, Inc.	2013-0801-AIR-E	KARNES	1/24/2014	\$110,055	\$22,010	\$88,045	\$0
AQ	47048	Kuraray America, Inc.	2013-1156-AIR-E	HARRIS	1/25/2014	\$6,338	\$1,267	\$5,071	\$0
AQ	47081	M-I L L C	2013-1182-AIR-E	CAMERON	1/25/2014	\$3,750	\$750	\$3,000	\$0
AQ	47336	Ya Razzak, Inc.	2013-1537-AIR-E	EL PASO	1/25/2014	\$1,125	\$225	\$900	\$0
AQ	47367	Kinder Morgan Production Company LLC	2013-1487-AIR-E	SCURRY	1/25/2014	\$2,813	\$562	\$2,251	\$0
AQ	47428	United Petroleum Transports, Inc.	2013-1532-AIR-E	EL PASO	1/25/2014	\$1,375	\$275	\$1,100	\$0
AQ	47429	Payan's Fuel Center, Inc.	2013-1557-AIR-E	EL PASO	1/25/2014	\$1,500	\$300	\$1,200	\$0
AQ	46895	Affiliated Foods, Inc.	2013-0998-AIR-E	RANDALL	1/25/2014	\$4,500	\$900	\$3,600	\$0
AQ	47261	PHILLIPS 66 COMPANY	2013-1366-AIR-E	BRAZORIA	1/25/2014	\$7,500	\$1,500	\$3,000	\$3,000
AQ	47154	Flint Hills Resources Corpus Christi, LLC	2013-1262-AIR-E	NUECES	1/25/2014	\$6,563	\$1,312	\$5,251	\$0
AQ	47377	Moss Bluff Hub LLC	2013-1502-AIR-E	LIBERTY	1/25/2014	\$5,850	\$1,170	\$4,680	\$0
AQ	47222	Roy Robinson	2013-1330-AIR-E	GALVESTON	1/25/2014	\$2,675	\$535	\$2,140	\$0
AQ	47112	Finley Resources INC.	2013-1216-AIR-E	LIBERTY	1/25/2014	\$2,250	\$450	\$1,800	\$0
AQ	47103	Cimarex Energy Co. of Colorado	2013-1209-AIR-E	GRAY	1/25/2014	\$3,937	\$787	\$3,150	\$0
AQ	47235	Lone Star Dirt & Paving, Ltd.	2013-1334-AIR-E	LUBBOCK	1/25/2014	\$1,250	\$250	\$1,000	\$0
AQ	45793	Valero Refining-Texas, L.P.	2012-2667-AIR-E	HARRIS	1/25/2014	\$7,500	\$1,500	\$6,000	\$0
AQ	43874	L.F. MARTINEZ, INC.	2012-0702-AIR-E	DALLAS	1/25/2014	\$1,500	\$300	\$1,200	\$0
AQ	47109	Oxy Vinyls, LP	2013-1206-AIR-E	HARRIS	1/25/2014	\$3,863	\$772	\$1,546	\$1,545
AQ	47238	RODRIGUEZ CHAVEZ CORPORATION	2013-1341-AIR-E	HARRIS	1/25/2014	\$2,000	\$400	\$1,600	\$0
AQ	47733	Energy Production Corporation	2013-1842-AIR-E	WOOD	2/9/2014	\$3,937	\$787	\$3,150	\$0
AQ	47584	3M Company	2013-1694-AIR-E	TRAVIS	2/9/2014	\$6,000	\$1,200	\$4,800	\$0
AQ	47422	MIYOS, CORPORATION	2013-1535-AIR-E	EL PASO	2/9/2014	\$1,500	\$300	\$1,200	\$0
AQ	47430	Houston Energy, L.P.	2013-1531-AIR-E	LIBERTY	2/9/2014	\$876	\$175	\$701	\$0
AQ	47852	J.H. Strain & Sons, Inc.	2013-1969-AIR-E	TAYLOR	2/9/2014	\$1,000	\$200	\$800	\$0
AQ	47370	Chevron U.S.A. Inc.	2013-1504-AIR-E	HARRIS	2/9/2014	\$3,638	\$727	\$2,911	\$0
AQ	46908	Huntsman Petrochemical, LLC	2013-0996-AIR-E	JEFFERSON	2/14/2014	\$25,000	\$0	\$12,500	\$12,500
AQ	47163	U.S. Silica Company	2013-1261-AIR-E	LIMESTONE	2/14/2014	\$11,251	\$2,250	\$4,501	\$4,500
AQ	47263	Apache Corporation	2013-1369-AIR-E	IRION	2/14/2014	\$10,098	\$2,019	\$8,079	\$0
AQ	46858	Veolia ES Technical Solutions, L.L.C.	2013-0965-AIR-E	JEFFERSON	2/14/2014	\$13,050	\$0	\$13,050	\$0

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Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	47818	American Marazzi Tile, Inc.	2013-1922-AIR-E	DALLAS	2/16/2014	\$5,025	\$1,005	\$4,020	\$0
AQ	47714	Oiltanking Texas City, L.P.	2013-1819-AIR-E	GALVESTON	2/16/2014	\$2,438	\$487	\$1,951	\$0
AQ	47423	Delek Refining, Ltd.	2013-1534-AIR-E	SMITH	2/22/2014	\$14,001	\$2,800	\$11,201	\$0
AQ	47347	Gerdau Ameristeel US Inc.	2013-1463-AIR-E	ORANGE	2/22/2014	\$12,301	\$2,460	\$4,921	\$4,920
AQ	47047	Bayer MaterialScience LLC	2013-1157-AIR-E	CHAMBERS	2/22/2014	\$21,262	\$4,252	\$8,505	\$8,505
AQ	46798	Owens Corning Insulating Systems, LLC	2013-0889-AIR-E	ELLIS	2/22/2014	\$16,576	\$3,315	\$6,631	\$6,630
AQ	47355	Wiley Lease Co., Ltd.	2013-1465-AIR-E	ATASCOSA	2/22/2014	\$6,250	\$0	\$6,250	\$0
AQ	44965	Flint Hills Resources Port Arthur, LLC	2012-1849-AIR-E	JEFFERSON	3/6/2014	\$13,125	\$2,625	\$5,250	\$5,250
AQ	46776	Trinity Industries, Inc.	2013-0874-AIR-E	TARRANT	3/6/2014	\$25,614	\$5,122	\$20,492	\$0
AQ	47309	Stolthaven Houston, Inc.	2013-1428-AIR-E	HARRIS	3/6/2014	\$7,200	\$0	\$7,200	\$0
AQ	44659	Ascend Performance Materials Operations LLC	2012-1543-AIR-E	BRAZORIA	3/6/2014	\$175,389	\$0	\$87,695	\$87,694
AQ	46840	Ineos USA, LLC	2013-0999-AIR-E	BRAZORIA	3/6/2014	\$80,041	\$16,007	\$32,017	\$32,017
AQ	47427	Cabot Norit Americas, Inc.	2013-1533-AIR-E	HARRISON	3/6/2014	\$11,936	\$2,387	\$9,549	\$0
AQ	47651	Blanchard Refining Company LLC	2013-1754-AIR-E	GALVESTON	3/6/2014	\$14,250	\$2,850	\$11,400	\$0
AQ	47254	ExxonMobil Oil Corporation	2013-1364-AIR-E	JEFFERSON	3/6/2014	\$13,125	\$2,625	\$5,250	\$5,250
AQ	47643	Cowtown Gas Processing Partners L.P.	2013-1756-AIR-E	HOOD	3/9/2014	\$6,160	\$1,232	\$4,928	\$0
AQ	47691	Goldston Oil Corporation	2013-1797-AIR-E	GONZALES	3/9/2014	\$4,875	\$975	\$3,900	\$0
AQ	46422	P&N Collins LLC	2013-0505-AIR-E	VAL VERDE	3/9/2014	\$1,501	\$300	\$1,201	\$0
AQ	46785	BOPCO, L.P.	2013-0890-AIR-E	LAVACA	3/9/2014	\$6,000	\$1,200	\$4,800	\$0
AQ	47587	Chevron U.S.A. Inc.	2013-1693-AIR-E	CROCKETT	3/9/2014	\$3,375	\$675	\$2,700	\$0
AQ	46650	GOVE, DANNY K	2013-0752-AIR-E	BRAZOS	3/14/2014	\$3,145	\$0	\$3,145	\$0
AQ	46859	GRANGER, WES	2013-0964-AIR-E	ORANGE	3/14/2014	\$1,312	\$0	\$1,312	\$0
AQ	47723	Valero Refining-Texas, L.P.	2013-1837-AIR-E	HARRIS	3/16/2014	\$7,500	\$1,500	\$6,000	\$0
AQ	47591	ZK Petroleum Co., L.L.C.	2013-1692-AIR-E	FORT BEND	3/28/2014	\$5,175	\$1,035	\$4,140	\$0
AQ	47473	Lucky's Redi-Mix Co. LLC	2013-1575-AIR-E	TARRANT	3/28/2014	\$3,575	\$715	\$2,860	\$0
AQ	47850	King Homes Inc.	2013-1967-AIR-E	HARDIN	3/28/2014	\$3,075	\$615	\$2,460	\$0
AQ	47848	Etoco, L.P.	2013-1963-AIR-E	HARRIS	3/28/2014	\$3,600	\$720	\$2,880	\$0
AQ	47147	Swift Energy Operating, LLC	2013-1242-AIR-E	LA SALLE	4/5/2014	\$14,250	\$2,850	\$11,400	\$0
AQ	46493	ExxonMobil Oil Corporation	2013-0587-AIR-E	JEFFERSON	4/5/2014	\$13,563	\$2,712	\$5,426	\$5,425
AQ	48225	GREEN EXPECTATIONS LAWN AND TREE CARE LLC	2014-0178-AIR-E	LUBBOCK	4/18/2014	\$875	\$0	\$875	\$0
AQ	47817	Calumet Penreco, LLC	2013-1923-AIR-E	GALVESTON	4/18/2014	\$4,938	\$987	\$3,951	\$0
AQ	47310	OCI Beaumont LLC	2013-1427-AIR-E	JEFFERSON	4/18/2014	\$3,775	\$755	\$1,510	\$1,510
AQ	47919	Allied Equipment, Inc.	2013-2047-AIR-E	ECTOR	4/18/2014	\$4,500	\$900	\$3,600	\$0
AQ	47789	Sue-Ann Operating, L.C.	2013-1912-AIR-E	LIBERTY	4/18/2014	\$4,513	\$903	\$3,610	\$0
AQ	47974	Occidental Permian Ltd.	2013-2129-AIR-E	HOCKLEY	4/18/2014	\$2,438	\$487	\$1,951	\$0
AQ	47987	Cinco Natural Resources Corporation	2013-2134-AIR-E	LIVE OAK	4/18/2014	\$4,876	\$975	\$3,901	\$0
AQ	47903	Alcoa World Alumina LLC	2013-2074-AIR-E	CALHOUN	4/18/2014	\$5,513	\$1,102	\$4,411	\$0
AQ	47842	DCP Midstream, LP	2013-1949-AIR-E	MOORE	4/18/2014	\$3,100	\$620	\$1,240	\$1,240

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AQ	47496	Formosa Plastics Corporation, Texas	2013-1609-AIR-E	CALHOUN	4/19/2014	\$15,775	\$3,155	\$6,310	\$6,310
AQ	47569	ZAPATA CART-AWAY CONCRETE & MATERIALS LLC	2013-1668-AIR-E	ZAPATA	4/19/2014	\$10,000	\$0	\$10,000	\$0
AQ	48107	Enterprise Products Operating LLC	2014-0049-AIR-E	CRANE	5/3/2014	\$5,626	\$1,125	\$4,501	\$0
AQ	48005	Shintech Incorporated	2013-2154-AIR-E	BRAZORIA	5/3/2014	\$5,250	\$1,050	\$4,200	\$0
AQ	47113	INVISTA S.a r.l.	2013-1215-AIR-E	VICTORIA	5/8/2014	\$11,238	\$2,247	\$4,496	\$4,495
AQ	47636	Akzo Nobel Polymer Chemicals LLC	2013-1730-AIR-E	HARRIS	5/8/2014	\$23,251	\$4,650	\$9,301	\$9,300
AQ	35549	Western Refining Company, L.P.	2008-0439-AIR-E	EL PASO	5/8/2014	\$40,234	\$0	\$20,117	\$20,117
AQ	47634	Atmos Energy Corporation	2013-1731-AIR-E	HENDERSON	5/8/2014	\$15,300	\$3,060	\$12,240	\$0
AQ	35962	Western Refining Company, L.P.	2008-0890-AIR-E	EL PASO	5/8/2014	\$211,038	\$42,206	\$84,416	\$84,416
AQ	47558	REPUBLIC CORPORATION	2013-1670-AIR-E	HARRISON	5/8/2014	\$10,688	\$2,137	\$4,276	\$4,275
AQ	48069	Linc Gulf Coast Petroleum, Inc.	2013-2224-AIR-E	CHAMBERS	5/15/2014	\$2,250	\$450	\$1,800	\$0
AQ	48153	El Paso Water Utilities Public Service Board	2014-0100-AIR-E	EL PASO	5/15/2014	\$1,000	\$200	\$800	\$0
AQ	47868	Equistar Chemicals, LP	2013-1988-AIR-E	HARRIS	5/24/2014	\$29,250	\$5,850	\$11,700	\$11,700
AQ	47287	DCP Midstream, LP	2013-1402-AIR-E	PANOLA	5/24/2014	\$19,214	\$3,842	\$15,372	\$0
AQ	47573	National Oilwell Varco, L.P.	2013-1673-AIR-E	TOM GREEN	5/24/2014	\$14,625	\$2,925	\$11,700	\$0
AQ	47679	JOY RESOURCES, INC.	2013-1785-AIR-E	LIBERTY	5/24/2014	\$15,413	\$3,082	\$12,331	\$0
AQ	46724	Western Refining Company, L.P.	2013-0841-AIR-E	EL PASO	5/24/2014	\$25,838	\$5,167	\$10,336	\$10,335
AQ	47878	Shell Chemical LP	2013-2000-AIR-E	HARRIS	5/24/2014	\$50,000	\$0	\$25,000	\$25,000
AQ	47630	Oxy Vinyls, LP	2013-1732-AIR-E	HARRIS	5/24/2014	\$19,000	\$0	\$9,500	\$9,500
AQ	47606	Trinity Industries, Inc.	2013-1709-AIR-E	TARRANT	5/24/2014	\$15,523	\$3,104	\$12,419	\$0
AQ	47374	INVISTA S.a r.l.	2013-1503-AIR-E	ORANGE	5/24/2014	\$13,126	\$2,625	\$5,251	\$5,250
AQ	47796	ExxonMobil Oil Corporation	2013-1906-AIR-E	JEFFERSON	5/24/2014	\$87,500	\$0	\$43,750	\$43,750
AQ	47562	Eagle Rock Field Services, L.P.	2013-1669-AIR-E	HEMPHILL	5/24/2014	\$11,250	\$2,250	\$4,500	\$4,500
AQ	48010	SWINDOLL INDUSTRIAL SERVICES INC.	2013-2167-AIR-E	CHAMBERS	6/5/2014	\$3,750	\$750	\$3,000	\$0
AQ	47652	Samsung Austin Semiconductor, L.L.C.	2013-1753-AIR-E	TRAVIS	6/5/2014	\$5,400	\$1,080	\$4,320	\$0
AQ	48173	Fasken Oil and Ranch, Ltd.	2014-0114-AIR-E	WEBB	6/5/2014	\$1,250	\$250	\$1,000	\$0
AQ	48142	Rosetta Resources Operating LP	2014-0082-AIR-E	WEBB	6/5/2014	\$3,750	\$750	\$3,000	\$0
AQ	47881	Chevron Phillips Chemical Company LP	2013-2048-AIR-E	ORANGE	6/13/2014	\$9,450	\$1,890	\$7,560	\$0
AQ	44475	THB Construction L.L.C.	2012-1334-AIR-E	DALLAS	6/13/2014	\$10,000	\$0	\$10,000	\$0
AQ	40160	ESPINOZA, MARIO	2013-1376-AIR-E	SMITH	6/13/2014	\$13,000	\$0	\$13,000	\$0
AQ	47229	Cabot Corporation	2013-1329-AIR-E	GRAY	6/13/2014	\$76,200	\$15,240	\$30,480	\$30,480
AQ	47267	TPC Group LLC	2013-1377-AIR-E	JEFFERSON	6/13/2014	\$13,688	\$2,737	\$5,476	\$5,475
AQ	48096	Bryant Industrial Services, L.L.C.	2014-0040-AIR-E	GALVESTON	6/22/2014	\$3,937	\$787	\$3,150	\$0
AQ	48229	Flint Hills Resources Port Arthur, LLC	2014-0198-AIR-E	JEFFERSON	6/22/2014	\$7,500	\$1,500	\$3,000	\$3,000
AQ	47767	Tandem Energy Corporation	2013-1863-AIR-E	HARRIS	6/29/2014	\$19,297	\$3,859	\$15,438	\$0
AQ	48002	Ineos USA, LLC	2013-2160-AIR-E	BRAZORIA	6/29/2014	\$35,625	\$7,125	\$14,250	\$14,250
AQ	47535	Belvan Corp.	2013-1680-AIR-E	CROCKETT	6/29/2014	\$7,938	\$0	\$7,938	\$0
AQ	47431	City of Laredo	2013-1540-AIR-E	WEBB	6/29/2014	\$36,295	\$7,259	\$0	\$29,036

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AQ	48020	Ashland Elastomers LLC	2013-2173-AIR-E	JEFFERSON	6/29/2014	\$7,600	\$0	\$7,600	\$0
AQ	47696	Kuraray America, Inc.	2013-1820-AIR-E	HARRIS	7/5/2014	\$7,312	\$1,462	\$2,925	\$2,925
AQ	48395	Clearwater Pipeline Company LLC	2014-0376-AIR-E	SUTTON	7/5/2014	\$2,438	\$487	\$1,951	\$0
AQ	48083	E. I. du Pont de Nemours and Company	2014-0041-AIR-E	JEFFERSON	7/5/2014	\$7,500	\$1,500	\$3,000	\$3,000
AQ	47202	Southwest Convenience Stores, LLC	2013-1310-AIR-E	EL PASO	7/5/2014	\$2,750	\$550	\$2,200	\$0
AQ	48242	Pasadena Cogeneration L.P.	2014-0203-AIR-E	HARRIS	7/5/2014	\$3,788	\$757	\$1,516	\$1,515
AQ	48032	KMCO, LLC	2013-2188-AIR-E	HARRIS	7/11/2014	\$3,500	\$0	\$3,500	\$0
AQ	48031	Motiva Enterprises LLC	2013-2189-AIR-E	JEFFERSON	7/11/2014	\$50,438	\$0	\$25,219	\$25,219
AQ	47615	ABACO OPERATING L.L.C.	2013-1721-AIR-E	CHAMBERS	7/11/2014	\$32,596	\$6,519	\$26,077	\$0
AQ	47110	Shell Chemical LP *	2013-1205-AIR-E	HARRIS	7/11/2014	\$48,750	\$9,750	\$19,500	\$19,500
AQ	48199	Seminole Pipeline Company LLC	2014-0150-AIR-E	BRAZORIA	7/18/2014	\$2,813	\$562	\$2,251	\$0
AQ	47104	VIRLAR, JESUS A	2013-1208-AIR-E	WEBB	7/19/2014	\$2,500	\$0	\$2,500	\$0
AQ	48524	Anadarko Gathering Company LLC	2014-0509-AIR-E	FREESTONE	8/1/2014	\$2,888	\$577	\$2,311	\$0
AQ	46624	SHARPS ENVIRONMENTAL SERVICES, INC.	2013-0743-AIR-E	PANOLA	8/7/2014	\$1,125	\$0	\$1,125	\$0
AQ	47492	Flint Hills Resources Port Arthur, LLC	2013-1610-AIR-E	JEFFERSON	8/15/2014	\$26,813	\$5,362	\$10,726	\$10,725
AQ	48037	Equistar Chemicals, LP	2013-2193-AIR-E	HARRIS	8/15/2014	\$15,000	\$3,000	\$6,000	\$6,000
AQ	48109	JX Nippon Chemical Texas Inc.	2014-0047-AIR-E	HARRIS	8/15/2014	\$8,873	\$1,774	\$7,099	\$0
AQ	47730	BASF TOTAL Petrochemicals LLC	2013-1835-AIR-E	JEFFERSON	8/15/2014	\$345,938	\$0	\$172,969	\$172,969
AQ	47768	The Premcor Refining Group Inc.	2013-1862-AIR-E	JEFFERSON	8/15/2014	\$55,063	\$11,012	\$22,026	\$22,025
AQ	46719	Motiva Enterprises LLC	2013-0885-AIR-E	JEFFERSON	8/15/2014	\$35,689	\$7,137	\$14,276	\$14,276
AQ	39332	Attebury Grain, LLC	2012-1326-AIR-E	TARRANT	8/15/2014	\$12,900	\$0	\$12,900	\$0
AQ	47132	INVISTA S.a.r.l.	2013-1243-AIR-E	ORANGE	8/15/2014	\$51,650	\$10,330	\$20,660	\$20,660
AQ	48303	UTLX Manufacturing LLC	2014-0265-AIR-E	HARRIS	8/15/2014	\$27,800	\$5,560	\$22,240	\$0
AQ	47414	Mockingbird Midstream Gas Services, L.L.C.	2013-1536-AIR-E	*MULTIPLE	8/15/2014	\$22,736	\$4,544	\$18,192	\$0
AQ	47843	Copano Processing, L.P.	2013-1952-AIR-E	COLORADO	8/15/2014	\$13,500	\$2,700	\$10,800	\$0
AQ	48467	Ismael Arreola	2014-0452-AIR-E	DALLAS	8/22/2014	\$1,125	\$225	\$900	\$0
AQ	48480	Smith Industries, Inc.	2014-0460-AIR-E	MIDLAND	8/22/2014	\$1,125	\$225	\$900	\$0
AQ	48486	South Hampton Resources, Inc.	2014-0466-AIR-E	HARDIN	8/22/2014	\$4,463	\$892	\$1,786	\$1,785
AQ	48506	VirTex Operating Company, Inc.	2014-0485-AIR-E	LA SALLE	8/22/2014	\$1,250	\$250	\$1,000	\$0
AQ	48553	Crockett County Mining, LLC	2014-0550-AIR-E	IRION	8/22/2014	\$813	\$162	\$651	\$0
AQ	48609	Plains Exploration & Production Company	2014-0613-AIR-E	KARNES	8/22/2014	\$1,000	\$200	\$800	\$0
AQ	48325	John Alihemati	2014-0302-AIR-E	EL PASO	8/22/2014	\$1,750	\$350	\$1,400	\$0
AQ	48419	GE Packaged Power, Inc.	2014-0393-AIR-E	HARRIS	8/22/2014	\$2,438	\$487	\$1,951	\$0
AQ	48287	BASF TOTAL Petrochemicals LLC	2014-0303-AIR-E	JEFFERSON	8/22/2014	\$6,563	\$1,312	\$2,626	\$2,625
AQ	48759	Pasadena Refining System, Inc	2014-0763-AIR-E	HARRIS	8/22/2014	\$7,087	\$1,417	\$5,670	\$0
AQ	47477	Exxon Mobil Corporation	2013-1583-AIR-E	KLEBERG	8/25/2014	\$225,450	\$45,090	\$90,180	\$90,180
AQ	48021	The Premcor Refining Group Inc.	2013-2180-AIR-E	JEFFERSON	8/25/2014	\$30,500	\$0	\$15,250	\$15,250
AQ	47161	CITGO Refining and Chemicals Company L.P.	2013-1125-AIR-E	NUECES	8/25/2014	\$13,125	\$0	\$13,125	\$0

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AQ	48022	Oiltanking Houston, L.P.	2013-2175-AIR-E	HARRIS	8/25/2014	\$18,688	\$3,737	\$7,476	\$7,475
AQ	47758	Camden Resources, LLC	2013-1938-AIR-E	LIBERTY	8/25/2014	\$129,628	\$25,925	\$103,703	\$0
AQ	47729	Rentech Nitrogen Pasadena, LLC	2013-1836-AIR-E	HARRIS	8/25/2014	\$33,188	\$6,637	\$26,551	\$0
AQ	47895	DCP Midstream, LP	2013-2022-AIR-E	STERLING	8/25/2014	\$7,700	\$0	\$7,700	\$0
AQ	47863	ARGENT ENERGY (US) HOLDINGS INC.	2013-1985-AIR-E	FAYETTE	8/25/2014	\$12,564	\$2,511	\$5,027	\$5,026
<b>Subtotal for AQ : 231</b>						<b>\$5,184,041</b>	<b>\$586,024</b>	<b>\$2,970,545</b>	<b>\$1,627,472</b>
DC	47087	Dalco Solvents & Chemicals, Inc.	2013-1181-DCL-E	DALLAS	6/8/2014	\$4,550	\$0	\$4,550	\$0
<b>Subtotal for DC : 1</b>						<b>\$4,550</b>	<b>\$0</b>	<b>\$4,550</b>	<b>\$0</b>
IHW	34759	League City Paving Company, Inc.	2011-1756-MLM-E	GALVESTON	9/14/2013	\$43,750	\$0	\$43,750	\$0
IHW	43591	NEF Partners, Inc.	2012-0397-IHW-E	WALLER	9/15/2013	\$5,500	\$0	\$5,500	\$0
IHW	46563	K-C Creek Land, LP	2013-0655-IHW-E	LEON	10/3/2013	\$1,187	\$237	\$950	\$0
IHW	46661	Walden Environmental Chemistries Inc	2013-0777-IHW-E	MONTGOMERY	12/19/2013	\$23,250	\$4,650	\$18,600	\$0
IHW	46241	Alloy Polymers Orange LLC	2013-0353-IHW-E	ORANGE	12/19/2013	\$50,375	\$10,075	\$40,300	\$0
IHW	41874	Gulf Chemical & Metallurgical Corporation	2011-0987-IHW-E	BRAZORIA	1/24/2014	\$300,256	\$0	\$300,256	\$0
IHW	47029	Western Gulf Terminal Partners, LP	2013-1123-IHW-E	NUECES	2/14/2014	\$100,125	\$20,025	\$80,100	\$0
IHW	46751	Clean Harbors San Leon, Inc.	2013-0848-IHW-E	GALVESTON	2/22/2014	\$53,537	\$10,707	\$21,415	\$21,415
IHW	48008	NYTEX Automatic Products, Inc.	2013-2159-MLM-E	GILLESPIE	8/15/2014	\$12,750	\$2,550	\$10,200	\$0
IHW	47908	K & D MARINE, LLC	2013-2031-MLM-E	JEFFERSON	8/25/2014	\$12,337	\$2,467	\$9,870	\$0
<b>Subtotal for IHW : 10</b>						<b>\$603,067</b>	<b>\$50,711</b>	<b>\$530,941</b>	<b>\$21,415</b>
MSW	45853	MCCANN, ANNE *	2012-2705-MSW-E	LEE	9/26/2013	\$6,750	\$1,350	\$5,400	\$0
MSW	46120	Absolutely Outdoors, Ltd. *	2013-0246-MSW-E	HARRIS	9/30/2013	\$9,375	\$0	\$9,375	\$0
MSW	46235	BAYMON ACME LP	2013-0342-MLM-E	COLLIN	10/3/2013	\$6,650	\$1,330	\$2,660	\$2,660
MSW	46432	CABELLO, OSCAR ELISEO	2013-0533-MSW-E	CAMERON	10/3/2013	\$3,750	\$750	\$3,000	\$0
MSW	46707	Balcones Resources, Inc.	2013-0796-MSW-E	TRAVIS	10/3/2013	\$1,287	\$257	\$1,030	\$0
MSW	45930	TOM CLICK	2013-0057-MSW-E	VICTORIA	11/1/2013	\$15,750	\$0	\$15,750	\$0
MSW	44403	M & M Mooring Company	2012-1263-MSW-E	CAMERON	11/11/2013	\$26,826	\$5,365	\$21,461	\$0
MSW	43125	Jose Garcia	2011-2285-MSW-E	STARR	11/21/2013	\$15,000	\$0	\$15,000	\$0
MSW	44610	Leo Graves	2012-1480-MLM-E	PANOLA	11/21/2013	\$4,000	\$0	\$4,000	\$0
MSW	46634	Global Waste Services, LLC	2013-0724-MSW-E	HARRIS	12/19/2013	\$8,928	\$1,785	\$7,143	\$0
MSW	45968	SMITH, TERRY N	2013-0089-MSW-E	BANDERA	1/2/2014	\$7,000	\$5,800	\$1,200	\$0
MSW	46966	PEREZ, GILBERT V	2013-1063-MSW-E	FRIO	1/2/2014	\$1,250	\$250	\$1,000	\$0
MSW	47549	Millersview-Doole Water Supply Corporation	2013-1740-MSW-E	CONCHO	1/25/2014	\$250	\$50	\$200	\$0
MSW	46558	GINEZ, DANNY	2013-0642-MSW-E	HIDALGO	1/25/2014	\$3,150	\$630	\$2,520	\$0
MSW	46573	SWENSON, JONATHAN	2013-0665-MSW-E	PRESIDIO	2/8/2014	\$1,312	\$0	\$1,312	\$0
MSW	46486	ROSAS, MARIA E	2013-0583-MSW-E	HUDSPETH	2/8/2014	\$3,937	\$0	\$3,937	\$0
MSW	46090	Abel Tijerina *	2013-0257-MLM-E	FRIO	3/6/2014	\$15,250	\$0	\$15,250	\$0
MSW	45219	SMITH, JUDY *	2012-2107-MSW-E	MCCULLOCH	3/16/2014	\$4,125	\$2,925	\$1,200	\$0
MSW	47757	GALLIER, BENNIE LEN	2013-1850-MSW-E	ORANGE	3/28/2014	\$1,312	\$262	\$1,050	\$0

Singles Programs

Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MSW	46231	City Of Jasper	2013-0343-MLM-E	JASPER	4/18/2014	\$4,975	\$995	\$0	\$3,980
MSW	46029	DELGADO, HONORIANA *	2013-0148-MSW-E	CAMERON	5/9/2014	\$11,250	\$0	\$11,250	\$0
MSW	42417	Roy Wayne Smith	2013-1500-MSW-E	MCCULLOCH	5/9/2014	\$13,500	\$0	\$13,500	\$0
MSW	46635	Don M. Tate	2013-0797-MSW-E	SABINE	5/24/2014	\$13,237	\$2,647	\$10,590	\$0
MSW	47299	DANIELS, H A *	2013-1403-MSW-E	GUADALUPE	6/5/2014	\$1,125	\$225	\$900	\$0
MSW	45567	EDWARDS, JOHN HORACE	2012-2508-MSW-E	TOM GREEN	6/8/2014	\$7,500	\$0	\$7,500	\$0
MSW	46030	ORTIZ, BERNABE	2013-0147-MSW-E	CAMERON	6/13/2014	\$1,250	\$0	\$1,250	\$0
MSW	47786	MCDONALD, TIMOTHY LANN	2013-1911-MSW-E	SHELBY	6/13/2014	\$8,250	\$1,650	\$6,600	\$0
MSW	48043	J&S Water Company, L. L. C.	2013-2205-MSW-E	HARRIS	6/22/2014	\$4,950	\$990	\$3,960	\$0
MSW	47279	ROMAN, ALMA	2013-1400-MSW-E	EL PASO	7/31/2014	\$1,312	\$0	\$1,312	\$0
MSW	48029	Daniel Dorado	2013-2186-MSW-E	EL PASO	8/1/2014	\$1,312	\$262	\$1,050	\$0
MSW	47920	William Mjaseth	2013-2046-MSW-E	PANOLA	8/1/2014	\$3,750	\$750	\$3,000	\$0
MSW	46351	WILSON, KEVIN J	2013-0452-MSW-E	HARDIN	8/7/2014	\$262	\$0	\$262	\$0
MSW	46775	DUDLEY, MITCH	2013-0869-MLM-E	DENTON	8/7/2014	\$6,579	\$0	\$6,579	\$0
MSW	48092	Bryan Iron & Metal, Ltd.	2014-0034-MSW-E	BRAZOS	8/15/2014	\$16,250	\$3,250	\$13,000	\$0
MSW	48026	METZ, DIANE L *	2013-2187-MSW-E	HIDALGO	8/15/2014	\$11,250	\$2,250	\$9,000	\$0
MSW	46666	Vista International Technologies, Inc.	2013-0756-MSW-E	DALLAS	8/25/2014	\$70,498	\$0	\$70,498	\$0
MSW	47875	Mo-Vac Service Company	2013-1999-MSW-E	JIM WELLS	8/25/2014	\$20,250	\$4,050	\$16,200	\$0
<b>Subtotal for MSW : 37</b>						<b>\$333,402</b>	<b>\$37,823</b>	<b>\$288,939</b>	<b>\$6,640</b>
OL	42783	ZAVALA, FEDERICO	2011-1927-LII-E	HARRIS	10/2/2013	\$7,500	\$0	\$7,500	\$0
OL	47108	WILSON, JOEDALE	2013-1212-WOC-E	WILBARGER	10/21/2013	\$175	\$0	\$175	\$0
OL	41258	Stephen Hall	2013-0638-LII-E	COLLIN	11/7/2013	\$625	\$0	\$625	\$0
OL	47500	WEBB, WESLEY	2013-1602-WOC-E	DENTON	11/16/2013	\$175	\$0	\$175	\$0
OL	47742	TEAKELL, GLENN R	2013-1839-WOC-E	MCLENNAN	1/2/2014	\$175	\$0	\$175	\$0
OL	46463	Conrad D Liles	2013-0556-LII-E	WALKER	1/25/2014	\$800	\$160	\$640	\$0
OL	44393	MONACO, ANTHONY ROBERT	2012-1256-LII-E	COLLIN	2/9/2014	\$262	\$52	\$210	\$0
OL	44710	Wilmar Monroy	2012-1659-LII-E	HARRIS	3/6/2014	\$1,697	\$0	\$1,697	\$0
OL	48105	Timoteo D. Pineda	2014-0037-LII-E	SMITH	3/16/2014	\$175	\$0	\$175	\$0
OL	47772	Leonardo Fajardo Jr.	2013-1867-LII-E	BEXAR	3/28/2014	\$1,312	\$262	\$1,050	\$0
OL	48144	PAYNE, JOSEPH COOTER	2014-0086-WOC-E	HASKELL	3/28/2014	\$175	\$0	\$175	\$0
OL	48330	MALOY, WAYLON D	2014-0289-WOC-E	LIPSCOMB	5/3/2014	\$175	\$0	\$175	\$0
OL	46837	McAllister, Robert Lewis	2013-0924-LII-E	JOHNSON	5/28/2014	\$1,250	\$0	\$1,250	\$0
OL	46534	CARLTON LEE DECHERT	2013-0635-LII-E	TARRANT	6/13/2014	\$550	\$0	\$550	\$0
OL	48671	Tom Anderson	2014-0674-WOC-E	FREESTONE	7/18/2014	\$175	\$0	\$175	\$0
OL	48652	BOYETT, RICHARD A	2014-0653-OSI-E	PARKER	7/18/2014	\$175	\$0	\$175	\$0
OL	48618	LUZIO, ROBERT J	2014-0622-WOC-E	CASS	7/18/2014	\$175	\$0	\$175	\$0
OL	48718	OAKLEY, GENE E	2014-0723-WOC-E	DEAF SMITH	8/22/2014	\$175	\$0	\$175	\$0
OL	43156	Harvey Ray Hawkins	2013-1968-LII-E	TOM GREEN	8/25/2014	\$1,175	\$0	\$1,175	\$0

## Singles Programs

## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
<b>Subtotal for OL : 19</b>						<b>\$16,921</b>	<b>\$474</b>	<b>\$16,447</b>	<b>\$0</b>
PST	45706	CYPRESS PINE INVESTMENTS, INC.	2012-2560-PST-E	HARRIS	9/12/2013	\$7,943	\$1,588	\$6,355	\$0
PST	46001	SOTO, LISA	2013-0120-PST-E	HARRIS	9/12/2013	\$9,350	\$0	\$9,350	\$0
PST	46401	Industrial Gold Rush Ltd.	2013-0491-PST-E	DALLAS	9/12/2013	\$9,534	\$1,906	\$7,628	\$0
PST	47805	GREWAL, JASPAL SINGH	2011-1298-PST-E	GRAYSON	9/12/2013	\$15,634	\$0	\$15,634	\$0
PST	46006	Marshall I20E Properties LLC	2013-0129-PST-E	HARRISON	9/12/2013	\$7,631	\$0	\$7,631	\$0
PST	44907	Metroplex Lucky Star, LLC	2012-1788-PST-E	DALLAS	9/12/2013	\$7,635	\$0	\$7,635	\$0
PST	40406	KHURSA ENTERPRISES INC	2012-1115-PST-E	TARRANT	9/12/2013	\$54,638	\$36,295	\$18,343	\$0
PST	44998	Mockingbird Skillman Mobil, Inc.	2012-1874-PST-E	DALLAS	9/12/2013	\$8,010	\$0	\$8,010	\$0
PST	42990	TIMALSINA BROTHERS ENTERPRISE, INC. *	2011-2157-PST-E	HARRIS	9/12/2013	\$10,961	\$0	\$10,961	\$0
PST	46056	Sonny Jai, Inc.	2013-0172-PST-E	BROWN	9/12/2013	\$8,501	\$1,700	\$6,801	\$0
PST	46311	TEJANO ENTERPRISES, INC. *	2013-0423-PST-E	KIMBLE	9/12/2013	\$11,250	\$2,250	\$9,000	\$0
PST	44379	NADAF, MUSTAFA	2012-1243-PST-E	TARRANT	9/12/2013	\$11,075	\$0	\$11,075	\$0
PST	44426	Hichi Corporation	2012-1284-PST-E	POTTER	9/12/2013	\$7,120	\$1,423	\$5,697	\$0
PST	44068	Rashmee, Inc.	2012-0914-PST-E	DALLAS	9/12/2013	\$10,030	\$8,830	\$1,200	\$0
PST	46142	Jayvik Auto Systems, Inc.	2013-0255-PST-E	DENTON	9/14/2013	\$5,024	\$1,004	\$4,020	\$0
PST	45963	Khalil Zoom In Market Inc.	2013-0075-PST-E	TARRANT	9/14/2013	\$4,500	\$900	\$3,600	\$0
PST	46546	Mike's Broadway, Inc.	2013-0629-PST-E	GALVESTON	9/14/2013	\$4,125	\$825	\$3,300	\$0
PST	46303	Mission Petroleum Carriers, Inc.	2013-0408-PST-E	BEXAR	9/14/2013	\$6,358	\$1,271	\$5,087	\$0
PST	45904	HIRANI, SHAMSUDDIN *	2013-0018-PST-E	BEXAR	9/14/2013	\$3,750	\$750	\$3,000	\$0
PST	46198	Manakamana Properties, Inc.	2013-0308-PST-E	COMANCHE	9/14/2013	\$3,750	\$750	\$3,000	\$0
PST	46291	KSN Investments, LLC	2013-0398-PST-E	DALLAS	9/14/2013	\$3,923	\$784	\$3,139	\$0
PST	46259	JACKSON OIL COMPANY	2013-0360-PST-E	TITUS	9/14/2013	\$1,107	\$221	\$886	\$0
PST	46260	Cinco J., Inc.	2013-0385-PST-E	GONZALES	9/14/2013	\$1,038	\$207	\$831	\$0
PST	45732	Connel Oil Corp.	2012-2586-PST-E	WARD	9/14/2013	\$3,375	\$675	\$2,700	\$0
PST	46286	New Mart Corporation *	2013-0389-PST-E	FORT BEND	9/14/2013	\$4,500	\$900	\$3,600	\$0
PST	45814	L.A.G. PROPERTIES INC	2012-2655-PST-E	HARRIS	9/14/2013	\$3,375	\$675	\$2,700	\$0
PST	46373	Shuvam, Inc.	2013-0466-PST-E	BROWN	9/14/2013	\$3,375	\$675	\$2,700	\$0
PST	46392	NAVI, Inc	2013-0474-PST-E	GUADALUPE	9/14/2013	\$7,500	\$1,500	\$6,000	\$0
PST	46454	Eastepp, Patsy *	2013-0540-PST-E	CASS	9/14/2013	\$2,813	\$562	\$2,251	\$0
PST	45633	Lone Star Ace Investments, LLC	2012-2499-PST-E	TARRANT	9/14/2013	\$4,500	\$900	\$3,600	\$0
PST	45722	Mavrick Development Corporation	2012-2606-PST-E	DALLAS	9/14/2013	\$2,988	\$597	\$2,391	\$0
PST	45899	Diversified Plastering, Inc.	2013-0117-PST-E	HARRIS	9/14/2013	\$4,067	\$813	\$3,254	\$0
PST	46183	Aarey Colloney, Inc.	2013-0286-PST-E	JEFFERSON	9/14/2013	\$3,375	\$675	\$2,700	\$0
PST	46366	Federal Express Corporation	2013-0442-PST-E	TARRANT	9/14/2013	\$2,813	\$562	\$2,251	\$0
PST	46429	TRAN, TUAN QUOC	2013-0526-PST-E	FORT BEND	9/14/2013	\$3,375	\$675	\$2,700	\$0
PST	45834	Flower Mound Food & Fuel, LLC	2012-2679-PST-E	DENTON	9/14/2013	\$2,813	\$562	\$2,251	\$0
PST	46045	Edingburg Consolidated Independent School District	2013-0155-PST-E	HIDALGO	9/14/2013	\$4,500	\$900	\$3,600	\$0

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## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	46078	R & O Business, Inc.	2013-0186-PST-E	FORT BEND	9/14/2013	\$3,375	\$675	\$2,700	\$0
PST	45705	The Candelario & Melissa Ontiveros Family Limited Partnership	2012-2648-PST-E	HIDALGO	9/14/2013	\$5,000	\$1,000	\$4,000	\$0
PST	46017	FOSHAT, MOE	2013-0146-PST-E	BASTROP	9/14/2013	\$4,880	\$976	\$3,904	\$0
PST	46527	4 H INC	2013-0618-PST-E	ECTOR	9/15/2013	\$7,500	\$0	\$7,500	\$0
PST	46528	Texas Greenmark Investments LLC	2013-0606-PST-E	WHARTON	9/15/2013	\$7,500	\$0	\$7,500	\$0
PST	45253	ADKINS PROPANE, INC.	2012-2124-PST-E	FOARD	9/15/2013	\$24,179	\$0	\$24,179	\$0
PST	45456	Moutawakil Investments, L.L.C.	2012-2324-PST-E	SMITH	9/15/2013	\$3,880	\$0	\$3,880	\$0
PST	45763	SABRINA REALTY, INC.	2012-2724-PST-E	HARRIS	9/15/2013	\$4,875	\$0	\$4,875	\$0
PST	44677	Vuyuru Interests, Inc.	2012-1558-PST-E	MONTGOMERY	9/15/2013	\$4,125	\$0	\$4,125	\$0
PST	45529	RAJU SHORT STOP INC	2012-2385-PST-E	DENTON	9/15/2013	\$3,375	\$0	\$3,375	\$0
PST	46137	Texcco-Mart Company, Incorporated	2013-0252-PST-E	HARRIS	9/15/2013	\$2,567	\$0	\$2,567	\$0
PST	45176	Addison Enterprises Inc.	2012-2060-PST-E	DALLAS	9/15/2013	\$4,636	\$0	\$4,636	\$0
PST	45526	S & S USA Enterprises, Inc.	2012-2388-PST-E	HARRIS	9/15/2013	\$5,813	\$0	\$5,813	\$0
PST	45664	Fuad Ahmad Bataineh *	2012-2603-PST-E	HARRIS	9/15/2013	\$8,881	\$0	\$8,881	\$0
PST	45719	Masood Investments LLC	2012-2550-PST-E	WOOD	9/15/2013	\$3,875	\$0	\$3,875	\$0
PST	46854	Bobcat Kuntry, Incorporated	2013-0943-PST-E	COLLIN	9/15/2013	\$4,875	\$0	\$4,875	\$0
PST	45775	S S S ENTERPRISES, INC.	2012-2666-PST-E	HARRIS	9/15/2013	\$3,942	\$0	\$3,942	\$0
PST	45933	SARMEEN ENTERPRISE INC.	2013-0063-PST-E	HARRIS	9/15/2013	\$4,500	\$0	\$4,500	\$0
PST	45464	Muqasir Enterprises, Inc.	2012-2365-PST-E	SAN JACINTO	9/15/2013	\$3,375	\$0	\$3,375	\$0
PST	45298	Shar-Sha, Inc. *	2012-2143-PST-E	WALKER	9/15/2013	\$10,827	\$0	\$10,827	\$0
PST	44800	Reed Oil Co.	2012-1685-PST-E	CLAY	9/15/2013	\$3,150	\$0	\$3,150	\$0
PST	38104	Shawna, Inc.	2011-2299-PST-E	EASTLAND	9/15/2013	\$68,750	\$0	\$68,750	\$0
PST	42797	Park Abrams Enterprises LLC	2011-1935-PST-E	DALLAS	9/15/2013	\$4,379	\$0	\$4,379	\$0
PST	45146	King Fuels, Inc. *	2012-2021-PST-E	*MULTIPLE	9/15/2013	\$6,563	\$0	\$6,563	\$0
PST	41606	SHARMA, ASHOK K	2011-0744-PST-E	TARRANT	9/15/2013	\$3,640	\$0	\$3,640	\$0
PST	45680	JIVANI, FEROUZ ALI	2012-2571-PST-E	HARRIS	9/15/2013	\$3,750	\$0	\$3,750	\$0
PST	46526	T Break Inc.	2013-0607-PST-E	COLLIN	9/15/2013	\$7,500	\$0	\$7,500	\$0
PST	46428	Southwest Universal, Inc.	2013-0527-PST-E	GALVESTON	9/26/2013	\$3,375	\$675	\$2,700	\$0
PST	46249	Waco Paving, Inc.	2013-0371-PST-E	MCLENNAN	9/26/2013	\$3,505	\$701	\$2,804	\$0
PST	46213	A-RYS GENERAL STORE, LLC	2013-0322-PST-E	HILL	9/26/2013	\$5,130	\$1,026	\$4,104	\$0
PST	46339	WAZ Investments, Inc	2013-0429-PST-E	HILL	9/26/2013	\$7,500	\$1,500	\$6,000	\$0
PST	46341	JIANCO INC	2013-0428-PST-E	TARRANT	9/26/2013	\$6,750	\$1,350	\$5,400	\$0
PST	46617	KHOJA, SHAMSUDDIN N	2013-0714-PST-E	BEXAR	9/26/2013	\$3,881	\$776	\$3,105	\$0
PST	46383	Traders Village, LTD	2013-0494-PST-E	TARRANT	9/26/2013	\$3,000	\$600	\$2,400	\$0
PST	46503	Municipal Golf Association - SA	2013-0600-PST-E	BEXAR	9/26/2013	\$2,000	\$400	\$1,600	\$0
PST	46559	BARTON, DAN	2013-0643-PST-E	HUDSPETH	9/26/2013	\$5,038	\$1,007	\$4,031	\$0
PST	46423	Roundup Partners, L.P.	2013-0507-PST-E	HASKELL	9/26/2013	\$2,567	\$513	\$2,054	\$0

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## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	46455	Karishma Group, Inc.	2013-0542-PST-E	HARRIS	9/26/2013	\$4,500	\$900	\$3,600	\$0
PST	46538	NTA Enterprises, Inc.	2013-0623-PST-E	NAVARRO	9/26/2013	\$3,375	\$675	\$2,700	\$0
PST	46582	VENEGAS, MARIA A	2013-0691-PST-E	BEXAR	9/26/2013	\$3,506	\$701	\$2,805	\$0
PST	46211	D & D Global Inc	2013-0316-PST-E	BOSQUE	9/26/2013	\$3,879	\$775	\$3,104	\$0
PST	46372	HUTCHINSON, WILLIAM	2013-0467-PST-E	COTTLE	9/26/2013	\$6,127	\$1,225	\$4,902	\$0
PST	46187	PHET & PHEAP CORPORATION	2013-0303-PST-E	MCLENNAN	9/26/2013	\$3,879	\$775	\$3,104	\$0
PST	46708	SPIRIT RAYS INC.	2013-0785-PST-E	BURNET	9/26/2013	\$5,625	\$1,125	\$4,500	\$0
PST	46278	SRZ TEXAS ENTERPRISES, LLC	2013-0383-PST-E	NAVARRO	9/26/2013	\$3,879	\$775	\$3,104	\$0
PST	45735	Zarina & Javed Corporation	2012-2595-PST-E	HARRISON	9/26/2013	\$3,750	\$750	\$3,000	\$0
PST	46334	Shivam Ramam Ventures, LLC *	2013-0448-PST-E	VICTORIA	9/26/2013	\$3,501	\$700	\$2,801	\$0
PST	46081	US Border Patrol	2013-0212-PST-E	EL PASO	9/26/2013	\$2,438	\$487	\$1,951	\$0
PST	46162	The Walsh Business Enterprises, LLC	2013-0270-PST-E	FORT BEND	9/26/2013	\$3,450	\$690	\$2,760	\$0
PST	45958	Om and Bros, LLC	2013-0079-PST-E	DENTON	9/26/2013	\$3,000	\$600	\$2,400	\$0
PST	46445	City of Galveston	2013-0564-PST-E	GALVESTON	9/26/2013	\$7,500	\$1,500	\$0	\$6,000
PST	46382	Avery 7-11, Incorporated	2013-0495-PST-E	RED RIVER	9/26/2013	\$3,879	\$775	\$3,104	\$0
PST	45640	Sugar Land Alliance Enterprises, Inc.	2012-2493-PST-E	HARRIS	9/30/2013	\$7,875	\$0	\$7,875	\$0
PST	46209	Wood Wind Square, Ltd.	2013-0317-PST-E	HARRIS	9/30/2013	\$9,900	\$1,980	\$7,920	\$0
PST	45154	Westex Capital, LTD.	2012-2067-PST-E	ATASCOSA	9/30/2013	\$14,000	\$0	\$14,000	\$0
PST	44047	Hakro Investments LLC	2012-0887-PST-E	TARRANT	9/30/2013	\$13,876	\$0	\$13,876	\$0
PST	46221	Patisu Marketing, Inc.	2013-0336-PST-E	COMANCHE	9/30/2013	\$7,630	\$1,526	\$6,104	\$0
PST	45156	Sac-N-Pac Stores, Inc.	2012-2055-PST-E	*MULTIPLE	9/30/2013	\$32,006	\$0	\$32,006	\$0
PST	44309	Katy Independent School District	2012-1160-PST-E	HARRIS	9/30/2013	\$13,500	\$0	\$0	\$13,500
PST	46738	Dawani Business, Inc.	2013-0830-PST-E	MONTGOMERY	9/30/2013	\$9,000	\$0	\$9,000	\$0
PST	45647	Overseas Venture LLC	2012-2487-PST-E	POLK	10/2/2013	\$41,484	\$0	\$41,484	\$0
PST	44213	HOLA AMIGO INCORPORATED	2012-1060-PST-E	DALLAS	10/3/2013	\$6,314	\$1,262	\$5,052	\$0
PST	46447	Severn Trent Environmental Services, Inc. *	2013-0537-PST-E	HARRIS	10/3/2013	\$2,955	\$591	\$2,364	\$0
PST	46589	Sunsari Enterprises Inc	2013-0676-PST-E	TARRANT	10/3/2013	\$3,375	\$675	\$2,700	\$0
PST	45981	NADEEM, MOHAMMED	2013-0098-PST-E	SAN JACINTO	10/3/2013	\$5,131	\$1,026	\$4,105	\$0
PST	46438	ALI, MUFDI I	2013-0522-PST-E	HARRIS	10/3/2013	\$6,563	\$1,312	\$5,251	\$0
PST	46550	VUN, TONG KY	2013-0647-PST-E	BRAZORIA	10/3/2013	\$3,375	\$675	\$2,700	\$0
PST	46714	Bexar County	2013-0804-PST-E	BEXAR	10/3/2013	\$4,500	\$900	\$3,600	\$0
PST	45726	410 HK Enterprises, Inc.	2012-2578-PST-E	DENTON	10/3/2013	\$2,438	\$487	\$1,951	\$0
PST	46512	DIFCO, Inc.	2013-0599-PST-E	NUECES	10/3/2013	\$2,356	\$471	\$1,885	\$0
PST	46333	New Mart Corporation	2013-0431-PST-E	FORT BEND	10/3/2013	\$3,563	\$712	\$2,851	\$0
PST	46173	KIM, INSOO *	2013-0289-PST-E	NAVARRO	10/3/2013	\$4,192	\$838	\$3,354	\$0
PST	46652	Texas Petroleum Group, LLC	2013-0740-PST-E	HARRIS	10/3/2013	\$7,303	\$1,460	\$5,843	\$0
PST	46185	HSBM Retail Management, LLC	2013-0284-PST-E	NAVARRO	10/3/2013	\$4,500	\$900	\$3,600	\$0
PST	46393	ZAMAN, FAKHRUZ *	2013-0473-PST-E	COLORADO	10/3/2013	\$5,755	\$1,151	\$4,604	\$0

## Singles Programs

## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	46816	Four States Petroleum Sales, Inc.	2013-0896-PST-E	PANOLA	10/3/2013	\$1,232	\$246	\$986	\$0
PST	45919	VO, PHU	2013-0035-PST-E	TARRANT	10/3/2013	\$4,730	\$946	\$3,784	\$0
PST	46338	ASA MANAGEMENT, INC.	2013-0430-PST-E	DALLAS	10/3/2013	\$6,166	\$1,233	\$4,933	\$0
PST	46208	Royal Food & Service, Inc.	2013-0324-PST-E	DALLAS	10/3/2013	\$4,909	\$981	\$3,928	\$0
PST	46600	Handy Shop Inc	2013-0686-PST-E	BEXAR	10/3/2013	\$7,500	\$1,500	\$6,000	\$0
PST	46255	D & D Global Inc	2013-0361-PST-E	BOSQUE	10/3/2013	\$6,131	\$1,226	\$4,905	\$0
PST	46132	Raja & Aliah Investments, Inc.	2013-0232-PST-E	GUADALUPE	10/9/2013	\$8,879	\$0	\$8,879	\$0
PST	45957	TEJANI, ABDULALI K	2013-0106-PST-E	TARRANT	10/18/2013	\$8,079	\$1,615	\$6,464	\$0
PST	45576	Amirali Makanojia	2012-2434-PST-E	TRAVIS	10/18/2013	\$9,821	\$1,964	\$7,857	\$0
PST	45813	GJN L.L.C.	2012-2656-PST-E	HARRIS	10/18/2013	\$13,500	\$2,700	\$10,800	\$0
PST	46127	Pantry Convenience Stores, Inc.	2013-0234-PST-E	BEE	10/18/2013	\$11,250	\$2,250	\$9,000	\$0
PST	46396	6965 S. L. Inc.	2013-0492-PST-E	FORT BEND	10/18/2013	\$10,125	\$2,025	\$8,100	\$0
PST	46225	TAAS Corporation	2013-0335-PST-E	TARRANT	10/18/2013	\$10,125	\$2,025	\$8,100	\$0
PST	46377	KT CORPORATION	2013-0463-PST-E	HILL	10/18/2013	\$7,813	\$1,562	\$6,251	\$0
PST	45739	Akal Business Inc.	2012-2599-PST-E	HARRISON	10/20/2013	\$3,891	\$0	\$3,891	\$0
PST	46683	FISHBECK ENTERPRISES, LLC	2013-0793-PST-E	LAVACA	10/21/2013	\$3,750	\$750	\$3,000	\$0
PST	46450	Kunlaya Corporation	2013-0549-PST-E	BURNET	10/21/2013	\$4,506	\$901	\$3,605	\$0
PST	46936	M & M Contracting. Ltd.	2013-1018-PST-E	BEXAR	10/21/2013	\$2,568	\$513	\$2,055	\$0
PST	46662	MAROC PETROLEUM INC	2013-0746-PST-E	DALLAS	10/21/2013	\$3,381	\$676	\$2,705	\$0
PST	47233	VJ MARLIN INVESTMENTS INC	2013-1325-PST-E	FALLS	10/21/2013	\$1,750	\$0	\$1,750	\$0
PST	46572	CHENG, KY	2013-0660-PST-E	NAVARRO	10/21/2013	\$3,879	\$775	\$3,104	\$0
PST	46037	City of Arlington	2013-0140-PST-E	TARRANT	10/21/2013	\$3,750	\$750	\$3,000	\$0
PST	46371	Tom-Tom Investments, Inc	2013-0468-PST-E	BEXAR	10/21/2013	\$2,813	\$562	\$2,251	\$0
PST	46882	TIWANA INVESTMENTS, LLC	2013-0971-PST-E	HIDALGO	10/21/2013	\$2,942	\$588	\$2,354	\$0
PST	46537	Texas Department of Transportation	2013-0624-PST-E	MASON	10/21/2013	\$2,813	\$562	\$0	\$2,251
PST	46389	Chais Family Properties, Ltd.	2013-0476-PST-E	BURNET	10/21/2013	\$3,375	\$675	\$2,700	\$0
PST	46560	Dunlap-Swain Tire Company, Inc	2013-0644-PST-E	DALLAS	10/21/2013	\$6,188	\$1,237	\$4,951	\$0
PST	46815	Chris Property Management, LLC	2013-0897-PST-E	JEFFERSON	10/21/2013	\$6,900	\$1,380	\$5,520	\$0
PST	46472	SKP Inc DBA Schertz Conoco	2013-0601-PST-E	GUADALUPE	10/21/2013	\$5,625	\$1,125	\$4,500	\$0
PST	46413	Dotchy Corporation	2013-0487-PST-E	FORT BEND	10/21/2013	\$4,500	\$900	\$3,600	\$0
PST	46376	Southern Tri-Star Markets, Ltd.	2013-0464-PST-E	BRAZORIA	10/21/2013	\$6,100	\$1,220	\$4,880	\$0
PST	46866	HPT PSC PROPERTIES TRUST *	2013-0956-PST-E	JEFFERSON	10/21/2013	\$7,275	\$1,455	\$5,820	\$0
PST	46543	GAA Investment Inc.	2013-0632-PST-E	GUADALUPE	10/21/2013	\$2,931	\$586	\$2,345	\$0
PST	46811	Zuber Group, Inc.	2013-0900-PST-E	BOSQUE	10/21/2013	\$6,095	\$1,218	\$4,877	\$0
PST	46697	Texas Health Harris Methodist Hospital Southwest Fort Worth	2013-0807-PST-E	TARRANT	10/21/2013	\$2,438	\$487	\$1,951	\$0
PST	47021	JARRELL, KEITH	2013-1112-WOC-E	JASPER	10/21/2013	\$175	\$0	\$175	\$0
PST	46424	USVA Enterprises Inc.	2013-0506-PST-E	GUADALUPE	10/21/2013	\$3,375	\$675	\$2,700	\$0

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PST	46431	New Mart Corporation	2013-0525-PST-E	FORT BEND	10/21/2013	\$4,500	\$900	\$3,600	\$0
PST	46474	New K & T Quick Stop, Inc	2013-0566-PST-E	TARRANT	10/21/2013	\$4,875	\$975	\$3,900	\$0
PST	46544	AHM Retail, Inc.	2013-0631-PST-E	GALVESTON	10/21/2013	\$3,000	\$600	\$2,400	\$0
PST	46380	New Mart Corporation	2013-0479-PST-E	FORT BEND	10/21/2013	\$7,500	\$1,500	\$6,000	\$0
PST	46761	GARCIA, RAUL	2013-0859-PST-E	HIDALGO	10/21/2013	\$2,813	\$562	\$2,251	\$0
PST	46458	Highway 90, Inc.	2013-0563-PST-E	BEXAR	10/21/2013	\$2,813	\$562	\$2,251	\$0
PST	46679	Zaim C-Store Inc.	2013-0772-PST-E	VICTORIA	10/21/2013	\$3,750	\$750	\$3,000	\$0
PST	46026	PNS Shell Inc	2013-0144-PST-E	HARRIS	10/21/2013	\$4,251	\$850	\$3,401	\$0
PST	46511	HANK'S CLEAN-UP & ROLL-OFF SERVICE, INC.	2013-0610-PST-E	TARRANT	11/1/2013	\$9,508	\$1,901	\$7,607	\$0
PST	46654	HERNANDEZ, MARIA P	2013-0744-PST-E	JEFFERSON	11/1/2013	\$2,813	\$562	\$2,251	\$0
PST	45948	R & S Brothers LLC	2013-0108-PST-E	TARRANT	11/1/2013	\$59,850	\$0	\$59,850	\$0
PST	46535	Great Southern Wood - Columbus, Inc. *	2013-0633-PST-E	COLORADO	11/1/2013	\$5,130	\$1,026	\$4,104	\$0
PST	45983	OCHO NLSS MG CORPORATION	2013-0105-PST-E	HARRIS	11/1/2013	\$9,693	\$1,938	\$7,755	\$0
PST	45842	Producers Cooperative Elevator	2012-2698-PST-E	FLOYD	11/1/2013	\$7,944	\$0	\$7,944	\$0
PST	47012	N.A.S.A. Enterprises, Inc.	2013-1133-PST-E	BRAZORIA	11/1/2013	\$3,375	\$675	\$2,700	\$0
PST	46850	FIFTY TOES, INC.	2013-0944-PST-E	GRAYSON	11/1/2013	\$3,504	\$700	\$2,804	\$0
PST	46531	S & Y Inc.	2013-0617-PST-E	TARRANT	11/1/2013	\$3,375	\$675	\$2,700	\$0
PST	45353	MATTSON, JOEL S	2012-2374-PST-E	CALLAHAN	11/1/2013	\$21,144	\$0	\$21,144	\$0
PST	46796	Mission Consolidated Independent School District	2013-0887-PST-E	HIDALGO	11/1/2013	\$7,500	\$1,500	\$6,000	\$0
PST	46072	MSSS Enterprises Inc.	2013-0190-PST-E	BEXAR	11/1/2013	\$8,881	\$0	\$8,881	\$0
PST	46407	PATEL, VINOOKUMAR *	2013-0530-PST-E	VICTORIA	11/1/2013	\$3,879	\$775	\$3,104	\$0
PST	46425	SR & East Texas Journey, LLC	2013-0528-PST-E	RED RIVER	11/1/2013	\$8,375	\$1,675	\$6,700	\$0
PST	46476	Golden Era, Inc.	2013-0567-PST-E	GALVESTON	11/1/2013	\$5,818	\$1,163	\$4,655	\$0
PST	46616	Aalmin Corporation	2013-0710-PST-E	BEXAR	11/1/2013	\$3,375	\$675	\$2,700	\$0
PST	45773	G Jubilee Enterprises, Inc	2012-2629-PST-E	JEFFERSON	11/1/2013	\$10,596	\$0	\$10,596	\$0
PST	46767	Texas Department of Transportation	2013-0862-PST-E	MIDLAND	11/1/2013	\$3,750	\$750	\$0	\$3,000
PST	46361	Gooden Petroleum, Inc.	2013-0444-PST-E	COMANCHE	11/6/2013	\$17,026	\$0	\$17,026	\$0
PST	45703	TSV Corporation	2012-2562-PST-E	PARKER	11/6/2013	\$7,500	\$0	\$7,500	\$0
PST	45909	Alauddin Investments, Inc.	2013-0021-PST-E	BEXAR	11/6/2013	\$3,881	\$0	\$3,881	\$0
PST	45956	Peace Business , Inc.	2013-0080-PST-E	HARRIS	11/7/2013	\$18,750	\$0	\$18,750	\$0
PST	43263	Our G & G, Inc.	2012-0079-PST-E	CHAMBERS	11/7/2013	\$19,003	\$0	\$19,003	\$0
PST	44139	JAFREH, JOHN	2012-0996-PST-E	WALKER	11/7/2013	\$7,741	\$0	\$7,741	\$0
PST	45546	Moutawakil Investments, L.L.C.	2012-2404-PST-E	SMITH	11/7/2013	\$5,132	\$0	\$5,132	\$0
PST	45380	Siraj Minara, Inc.	2012-2236-PST-E	ORANGE	11/7/2013	\$3,750	\$0	\$3,750	\$0
PST	45317	Dallas Merchant Inc.	2012-2246-PST-E	COLLIN	11/11/2013	\$7,875	\$1,575	\$6,300	\$0
PST	46510	Tacs Inc	2013-0611-PST-E	*MULTIPLE	11/11/2013	\$13,500	\$2,700	\$10,800	\$0
PST	46868	Bentwater Yacht & Country Club, Ltd.	2013-0955-PST-E	MONTGOMERY	11/11/2013	\$12,813	\$2,562	\$10,251	\$0
PST	47007	Leopard Express Inc	2013-1100-PST-E	LAVACA	11/16/2013	\$2,438	\$487	\$1,951	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	46862	P. F. & E. Oil Co.	2013-0958-PST-E	BROWN	11/16/2013	\$2,355	\$471	\$1,884	\$0
PST	46891	Vu Associates, Inc.	2013-0983-PST-E	HARRIS	11/16/2013	\$3,955	\$791	\$3,164	\$0
PST	46163	HAMAD, HAZEM	2013-0290-PST-E	NAVARRO	11/16/2013	\$3,750	\$750	\$3,000	\$0
PST	46812	Seek Concept, LLC	2013-0899-PST-E	BEXAR	11/16/2013	\$6,564	\$1,312	\$5,252	\$0
PST	46762	UNG, JERRY *	2013-0858-PST-E	TARRANT	11/16/2013	\$6,750	\$1,350	\$5,400	\$0
PST	46482	97 T Limited *	2013-0579-PST-E	WILSON	11/16/2013	\$2,625	\$0	\$2,625	\$0
PST	47290	Murphy Oil USA, Inc.	2013-1396-PST-E	BEXAR	11/16/2013	\$5,250	\$0	\$5,250	\$0
PST	46977	AT&T Corp.	2013-1055-PST-E	BEXAR	11/16/2013	\$3,516	\$703	\$2,813	\$0
PST	46403	BARKER, MICHAEL LEWIS	2013-0490-PST-E	COLEMAN	11/16/2013	\$3,375	\$675	\$2,700	\$0
PST	47091	Cal Farley's Boys Ranch	2013-1185-PST-E	COCHRAN	11/16/2013	\$4,500	\$900	\$3,600	\$0
PST	46736	Mina Group Inc	2013-0832-PST-E	JEFFERSON	11/16/2013	\$3,750	\$750	\$3,000	\$0
PST	47009	NEP USA Inc.	2013-1137-PST-E	TARRANT	11/16/2013	\$3,000	\$600	\$2,400	\$0
PST	46905	Entergy Texas, Inc.	2013-0982-PST-E	JEFFERSON	11/16/2013	\$3,751	\$750	\$3,001	\$0
PST	46906	Hopkins Oil Company, Inc.	2013-0981-PST-E	MCLENNAN	11/16/2013	\$1,356	\$271	\$1,085	\$0
PST	46760	KAVIN RR LLC	2013-0860-PST-E	BOWIE	11/16/2013	\$6,882	\$1,376	\$5,506	\$0
PST	46596	RANGILA ENTERPRISES INC	2013-0687-PST-E	TARRANT	11/16/2013	\$3,375	\$675	\$2,700	\$0
PST	46803	Sunrise Valley Properties Inc	2013-0894-PST-E	BROWN	11/16/2013	\$1,626	\$325	\$1,301	\$0
PST	46898	Cowtown Petroleum Limited	2013-1025-PST-E	TARRANT	11/16/2013	\$2,438	\$487	\$1,951	\$0
PST	47039	RISAL, PRAKASH	2013-1129-PST-E	GRAYSON	11/16/2013	\$3,375	\$675	\$2,700	\$0
PST	46706	CSCW GROUP LLC	2013-0786-PST-E	TARRANT	11/16/2013	\$2,438	\$487	\$1,951	\$0
PST	46294	D & D International, Inc.	2013-0395-PST-E	FORT BEND	11/21/2013	\$18,850	\$0	\$18,850	\$0
PST	45656	Hira Food LLC	2012-2541-PST-E	WOOD	11/22/2013	\$2,813	\$0	\$2,813	\$0
PST	43332	Rubi & Sons Store, Inc.	2012-0155-PST-E	VAN ZANDT	11/22/2013	\$4,375	\$0	\$4,375	\$0
PST	45462	MD Beer and Wine, L.L.C.	2012-2305-PST-E	GREGG	11/22/2013	\$5,000	\$0	\$5,000	\$0
PST	44524	Lone Star Distributors, Inc. *	2012-1420-PST-E	HIDALGO	11/22/2013	\$4,068	\$0	\$4,068	\$0
PST	47094	MADINA CORPORATION	2013-1190-PST-E	COOKE	11/22/2013	\$2,568	\$0	\$2,568	\$0
PST	44119	ALI, HUSSAIN	2012-0964-PST-E	BEXAR	11/22/2013	\$2,500	\$0	\$2,500	\$0
PST	46204	SUDDERTH, JOE T	2013-0339-PST-E	FANNIN	11/22/2013	\$125	\$0	\$125	\$0
PST	45811	Aspri Investments, LLC *	2013-0086-PST-E	BEXAR	11/22/2013	\$3,505	\$0	\$3,505	\$0
PST	46042	BOQUIN, ANA	2013-0158-PST-E	BROOKS	11/22/2013	\$6,878	\$0	\$6,878	\$0
PST	45235	Ikoankar LLC	2012-2092-PST-E	TARRANT	11/22/2013	\$7,250	\$0	\$7,250	\$0
PST	45830	Development II Partners, Inc.	2012-2683-PST-E	HARRIS	11/22/2013	\$3,750	\$0	\$3,750	\$0
PST	46614	HNKBX, INC.	2013-0705-PST-E	TARRANT	11/22/2013	\$4,500	\$0	\$4,500	\$0
PST	45804	Pinedale Corporation	2012-2661-PST-E	LIBERTY	11/22/2013	\$3,750	\$0	\$3,750	\$0
PST	46133	ADNAN NAJM	2013-0231-PST-E	HARRIS	11/22/2013	\$5,001	\$0	\$5,001	\$0
PST	46370	Scott Sonntag Oil Company, Inc. *	2013-0531-PST-E	BOSQUE	11/22/2013	\$2,942	\$0	\$2,942	\$0
PST	46126	North Forest Isd	2013-0235-PST-E	HARRIS	11/22/2013	\$3,375	\$0	\$3,375	\$0
PST	46156	White Bluff Marina, Inc.	2013-0260-PST-E	HILL	11/22/2013	\$3,880	\$0	\$3,880	\$0

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PST	45299	DNR Business, INC.	2012-2142-PST-E	AUSTIN	11/22/2013	\$4,934	\$0	\$4,934	\$0
PST	45107	City of Pasadena	2012-1977-PST-E	HARRIS	11/22/2013	\$4,500	\$0	\$4,500	\$0
PST	46115	Premium Petroleum Corp.	2013-0256-PST-E	FORT BEND	11/22/2013	\$3,375	\$0	\$3,375	\$0
PST	46525	You Dream We Build, Inc.	2013-0608-PST-E	TARRANT	11/22/2013	\$5,004	\$0	\$5,004	\$0
PST	45736	Chottani, LLC	2012-2594-PST-E	SMITH	11/22/2013	\$7,500	\$0	\$7,500	\$0
PST	46720	KBB & KBB , INC	2013-0812-PST-E	JONES	11/28/2013	\$8,007	\$1,601	\$6,406	\$0
PST	46232	GILLESPIE, PATRICIA	2013-0331-PST-E	ANGELINA	11/28/2013	\$46,859	\$0	\$46,859	\$0
PST	45222	VJK FRIENDS PLACE, INC.	2012-2099-PST-E	DENTON	11/28/2013	\$15,475	\$0	\$15,475	\$0
PST	46629	MKRF, Inc.	2013-0735-PST-E	BEXAR	11/28/2013	\$8,880	\$0	\$8,880	\$0
PST	45569	Cheers One Stop & More Inc.	2012-2425-PST-E	HARRIS	11/28/2013	\$8,880	\$0	\$8,880	\$0
PST	47093	Danam Enterprises Inc.	2013-0987-PST-E	BEXAR	11/28/2013	\$11,250	\$0	\$11,250	\$0
PST	43546	Aman 8 Brothers LLC	2012-0478-PST-E	FREESTONE	12/1/2013	\$2,635	\$0	\$2,635	\$0
PST	44833	RANA INVESTMENT, INC.	2012-1734-PST-E	HARRIS	12/1/2013	\$12,131	\$0	\$12,131	\$0
PST	45641	Our G & G, Inc.	2012-2492-PST-E	LIBERTY	12/1/2013	\$16,516	\$0	\$16,516	\$0
PST	46487	Southwestern Bell Telephone, L.P.	2013-0577-PST-E	BRAZORIA	12/9/2013	\$3,750	\$750	\$3,000	\$0
PST	46747	U. S. Department of Transportation Admin. Beaumont Reserve Fleet	2013-0868-PST-E	JEFFERSON	12/9/2013	\$2,888	\$577	\$2,311	\$0
PST	46386	TEXAS JUVENILE JUSTICE DEPARTMENT	2013-0462-PST-E	BROWN	12/9/2013	\$2,813	\$562	\$2,251	\$0
PST	46604	Southwestern Bell Telephone, L.P.	2013-0685-PST-E	HALE	12/9/2013	\$5,893	\$1,178	\$4,715	\$0
PST	46835	SAYARI, DAVOUD	2013-0921-PST-E	HALE	12/9/2013	\$3,375	\$675	\$2,700	\$0
PST	46974	HUMBLE GROUP INVESTMENT, INC.	2013-1072-PST-E	TRAVIS	12/9/2013	\$2,938	\$587	\$2,351	\$0
PST	46592	CBTP CORPORATION	2013-0689-PST-E	JEFFERSON	12/9/2013	\$4,999	\$999	\$4,000	\$0
PST	46728	Naila Partners, Ltd.	2013-0822-PST-E	BEXAR	12/9/2013	\$3,750	\$750	\$3,000	\$0
PST	46805	Amy Investments, Inc.	2013-0912-PST-E	JEFFERSON	12/9/2013	\$7,500	\$1,500	\$6,000	\$0
PST	46619	PHILIP, VARUGHESE	2013-0719-PST-E	HARRIS	12/9/2013	\$5,112	\$1,022	\$4,090	\$0
PST	46437	AZZAH ENTERPRISES, INC.	2013-0523-PST-E	HARRIS	12/11/2013	\$15,233	\$0	\$15,233	\$0
PST	45563	Jian Enterprises Inc.	2012-2426-PST-E	DENTON	12/18/2013	\$8,750	\$0	\$8,750	\$0
PST	46176	SADOSKI, DONNY	2013-0288-PST-E	HILL	12/18/2013	\$17,320	\$0	\$17,320	\$0
PST	46874	Beep-Beep, L.L.C.	2013-0951-PST-E	HIDALGO	12/19/2013	\$8,879	\$1,775	\$7,104	\$0
PST	47054	G&R Sakun Inc.	2013-1149-PST-E	LAMAR	12/19/2013	\$7,688	\$1,537	\$6,151	\$0
PST	46293	Centergas Fuels, Inc.	2013-0396-PST-E	POTTER	12/19/2013	\$9,109	\$1,821	\$7,288	\$0
PST	46875	Beaumont ISD	2013-0970-PST-E	JEFFERSON	12/19/2013	\$8,439	\$1,686	\$6,753	\$0
PST	46381	R.A.R. BUSINESS INC	2013-0478-PST-E	HARRIS	12/19/2013	\$8,088	\$1,617	\$6,471	\$0
PST	46861	VERMA, ASHISH	2013-0959-PST-E	TARRANT	12/19/2013	\$19,225	\$3,845	\$15,380	\$0
PST	46585	MUNAWAR, MUNIR ARMAD *	2013-0690-PST-E	VICTORIA	1/2/2014	\$3,750	\$750	\$3,000	\$0
PST	47125	Southside Feed & Supply, LLC	2013-1228-PST-E	HENDERSON	1/2/2014	\$2,567	\$513	\$2,054	\$0
PST	44301	SAR, LLC	2012-1146-PST-E	HARRIS	1/2/2014	\$2,975	\$595	\$2,380	\$0
PST	46993	TRIPLE B TRADING LLC	2013-1082-PST-E	HARRIS	1/2/2014	\$3,375	\$675	\$2,700	\$0

## Singles Programs

## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	44649	B & C Oil Company *	2012-1505-PST-E	ERATH	1/2/2014	\$3,750	\$750	\$3,000	\$0
PST	47086	JJRS Family Investments, LLC	2013-1201-PST-E	HENDERSON	1/2/2014	\$4,624	\$924	\$3,700	\$0
PST	47005	HINH, CHIEU PHOC *	2013-1099-PST-E	RAINS	1/2/2014	\$2,438	\$487	\$1,951	\$0
PST	46982	Lee Oak Grove Inc.	2013-1097-PST-E	TARRANT	1/2/2014	\$3,375	\$675	\$2,700	\$0
PST	46984	JK & JK ENTERPRISES LLC	2013-1078-PST-E	GRAYSON	1/2/2014	\$3,504	\$700	\$2,804	\$0
PST	47072	Commodore Marine, Limited Partnership	2013-1175-PST-E	GRAYSON	1/2/2014	\$2,567	\$513	\$2,054	\$0
PST	46792	Akbar Alesha L.L.C.	2013-0883-PST-E	COLLIN	1/2/2014	\$6,172	\$1,234	\$4,938	\$0
PST	46823	Laxmi, Inc. *	2013-0922-PST-E	TARRANT	1/2/2014	\$3,375	\$675	\$2,700	\$0
PST	47135	ALFAAZ, L.L.C.	2013-1238-PST-E	FORT BEND	1/2/2014	\$2,981	\$596	\$2,385	\$0
PST	46292	PEVEY, THOMAS M	2013-0397-PST-E	JONES	1/2/2014	\$6,429	\$1,285	\$5,144	\$0
PST	46772	VHS San Antonio Partners, LLC	2013-0867-PST-E	BEXAR	1/2/2014	\$3,143	\$628	\$2,515	\$0
PST	47076	SOUTH TEXAS STORES INC	2013-1213-PST-E	DEWITT	1/2/2014	\$5,625	\$1,125	\$4,500	\$0
PST	46885	SUNDANCE FUELS, LTD.	2013-1038-PST-E	HARRIS	1/2/2014	\$4,610	\$922	\$3,688	\$0
PST	46590	Hibeam Corporation	2013-0675-PST-E	NAVARRO	1/2/2014	\$3,751	\$750	\$3,001	\$0
PST	46521	R & W Convenience Stores, LLC	2013-0652-PST-E	BOWIE	1/2/2014	\$5,755	\$1,151	\$4,604	\$0
PST	47055	Star Simran Inc	2013-1148-PST-E	TARRANT	1/2/2014	\$6,750	\$1,350	\$5,400	\$0
PST	46865	MUTUAL OIL TRADING INC.	2013-0957-PST-E	GRAYSON	1/2/2014	\$3,503	\$700	\$2,803	\$0
PST	46934	Valor Telecommunications of Texas, LLC	2013-1020-PST-E	TERRY	1/2/2014	\$3,516	\$703	\$2,813	\$0
PST	47008	VNSS INVESTMENTS L L C	2013-1138-PST-E	BEXAR	1/2/2014	\$3,375	\$675	\$2,700	\$0
PST	47447	K & Rabbani Corporation	2013-1578-PST-E	TARRANT	1/2/2014	\$2,438	\$487	\$1,951	\$0
PST	47209	HARMAN DHILLON INC	2013-1306-PST-E	LAMAR	1/2/2014	\$4,125	\$825	\$3,300	\$0
PST	46746	American General Realty Investment Corporation	2013-0829-PST-E	POTTER	1/2/2014	\$4,631	\$926	\$3,705	\$0
PST	46765	Vinyard Enterprises, Inc.	2013-0947-PST-E	FRANKLIN	1/2/2014	\$5,993	\$1,198	\$4,795	\$0
PST	46925	Nilo Business Inc.	2013-1024-PST-E	BEXAR	1/2/2014	\$3,750	\$750	\$3,000	\$0
PST	46871	THE DYNAMITE INC	2013-0953-PST-E	MONTGOMERY	1/2/2014	\$4,064	\$812	\$3,252	\$0
PST	46170	HAYES, JOHN G	2013-0309-PST-E	HARRIS	1/2/2014	\$4,643	\$928	\$3,715	\$0
PST	47520	Goose Creek Consolidated Independent School District	2013-1631-PST-E	HARRIS	1/2/2014	\$2,625	\$0	\$2,625	\$0
PST	46972	SANCHEZ, ANTONIO C	2013-1071-PST-E	BEXAR	1/2/2014	\$3,880	\$776	\$3,104	\$0
PST	46944	PATEL, JATINBHAI	2013-1017-PST-E	HARRIS	1/2/2014	\$3,000	\$600	\$2,400	\$0
PST	46883	Trinity Valley Community College	2013-1042-PST-E	HENDERSON	1/2/2014	\$2,813	\$562	\$2,251	\$0
PST	47121	Addison Enterprises Inc.	2013-1226-PST-E	ELLIS	1/2/2014	\$6,000	\$1,200	\$4,800	\$0
PST	47074	City of Houston	2013-1173-PST-E	HARRIS	1/2/2014	\$2,813	\$562	\$2,251	\$0
PST	43671	Magic Pro Inc	2012-0486-PST-E	DALLAS	1/8/2014	\$5,137	\$0	\$5,137	\$0
PST	45974	BARAKAT S & A, INC.	2013-0099-PST-E	TARRANT	1/8/2014	\$6,000	\$0	\$6,000	\$0
PST	46664	Al-Imran Enterprises, Inc.	2013-0764-PST-E	HARRIS	1/8/2014	\$5,048	\$0	\$5,048	\$0
PST	44577	Trinity Towers Limited Partnership	2012-1433-PST-E	NUECES	1/24/2014	\$17,002	\$3,399	\$13,603	\$0
PST	46704	JJRS Family Investments, LLC	2013-0788-PST-E	HENDERSON	1/24/2014	\$8,004	\$1,600	\$6,404	\$0
PST	46490	NGUYET, LY *	2013-0575-PST-E	CASS	1/24/2014	\$8,750	\$0	\$8,750	\$0

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## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	47095	Jack and Sam, Inc.	2013-1189-PST-E	HARRIS	1/24/2014	\$9,000	\$0	\$9,000	\$0
PST	46821	SHERGILL FUEL ENTERPRISES INC	2013-0929-PST-E	HENDERSON	1/24/2014	\$15,750	\$0	\$15,750	\$0
PST	43190	Shriners Hospitals for Children, Inc.	2011-2353-PST-E	HARRIS	1/24/2014	\$8,775	\$1,755	\$7,020	\$0
PST	46817	Andrews Transport, LP	2013-1044-PST-E	TARRANT	1/24/2014	\$7,985	\$1,597	\$6,388	\$0
PST	47166	TranStop LLC.	2013-1263-PST-E	JEFFERSON	1/24/2014	\$16,386	\$3,277	\$13,109	\$0
PST	46801	C & L Diamond Liquor, Inc.	2013-0892-PST-E	TARRANT	1/25/2014	\$4,500	\$900	\$3,600	\$0
PST	47188	5M'S GROUP LLC	2013-1283-PST-E	WISE	1/25/2014	\$2,567	\$513	\$2,054	\$0
PST	47411	POINT TIGER ENTERPRISE, INC.	2013-1520-PST-E	RAINS	1/25/2014	\$2,813	\$562	\$2,251	\$0
PST	47169	NARAYAN CORPORATION *	2013-1273-PST-E	MONTGOMERY	1/25/2014	\$5,625	\$1,125	\$4,500	\$0
PST	47225	Aj Business Inc	2013-1389-PST-E	FORT BEND	1/25/2014	\$3,375	\$675	\$2,700	\$0
PST	46931	Big Score Investors, LLC	2013-1022-PST-E	COLLIN	1/25/2014	\$7,110	\$1,422	\$5,688	\$0
PST	47385	FW DRIVE IN BEER & GROCERY INC	2013-1494-PST-E	TARRANT	1/25/2014	\$3,450	\$690	\$2,760	\$0
PST	47340	RAFINA LLC	2013-1484-PST-E	TARRANT	1/25/2014	\$2,813	\$562	\$2,251	\$0
PST	46433	Prosper, Inc	2013-0524-PST-E	BEXAR	1/25/2014	\$5,564	\$1,112	\$4,452	\$0
PST	46933	VO, CHRISTINA T	2013-1021-PST-E	TARRANT	1/25/2014	\$3,000	\$600	\$2,400	\$0
PST	47404	Broadway Motors, Inc.	2013-1524-PST-E	MIDLAND	1/25/2014	\$3,423	\$684	\$2,739	\$0
PST	47190	Nadosh LLC	2013-1308-PST-E	SMITH	1/25/2014	\$4,255	\$851	\$3,404	\$0
PST	47341	KAML INC.	2013-1483-PST-E	GALVESTON	1/25/2014	\$4,125	\$825	\$3,300	\$0
PST	46995	LITTLE SAM INC.	2013-1096-PST-E	BEXAR	1/25/2014	\$3,750	\$750	\$3,000	\$0
PST	47599	NGUYEN, BILLY HUNG	2013-1696-PST-E	TARRANT	1/25/2014	\$4,312	\$862	\$3,450	\$0
PST	47291	JACKSON, CARMELA	2013-1395-PST-E	HIDALGO	1/25/2014	\$3,375	\$675	\$2,700	\$0
PST	47236	Ongley Trucking, Inc.	2013-1331-PST-E	TARRANT	1/25/2014	\$1,350	\$270	\$1,080	\$0
PST	47324	Express Stores LLC	2013-1449-PST-E	JOHNSON	1/25/2014	\$2,567	\$513	\$2,054	\$0
PST	47050	M Siddiqi & Sons Inc	2013-1214-PST-E	DALLAS	1/25/2014	\$3,735	\$747	\$2,988	\$0
PST	47362	Dhungana Corporation	2013-1476-PST-E	HUNT	1/25/2014	\$2,567	\$513	\$2,054	\$0
PST	46705	Adiba Inc.	2013-0787-PST-E	HENDERSON	1/25/2014	\$3,891	\$778	\$3,113	\$0
PST	47027	ANDREPONT, RUSSELL	2013-1178-PST-E	JEFFERSON	1/25/2014	\$3,563	\$712	\$2,851	\$0
PST	47000	Cuero Independant School District	2013-1092-PST-E	DEWITT	1/25/2014	\$2,813	\$562	\$2,251	\$0
PST	47342	City of Lamesa	2013-1454-PST-E	DAWSON	1/25/2014	\$3,375	\$675	\$2,700	\$0
PST	47143	MCCAFFETY, ROBERT	2013-1234-PST-E	WALKER	1/25/2014	\$3,879	\$775	\$3,104	\$0
PST	47183	Pipe Distributors, Inc.	2013-1286-PST-E	HARRIS	1/25/2014	\$2,438	\$487	\$1,951	\$0
PST	46969	Texas Department of Transportation	2013-1058-PST-E	LLANO	1/25/2014	\$4,063	\$812	\$0	\$3,251
PST	47129	Denison ISD	2013-1227-PST-E	GRAYSON	1/25/2014	\$3,375	\$675	\$2,700	\$0
PST	45125	City of Odessa	2012-1995-PST-E	ECTOR	1/25/2014	\$1,750	\$0	\$1,750	\$0
PST	47035	Roman C-Store, Inc.	2013-1130-PST-E	DALLAS	1/25/2014	\$3,725	\$745	\$2,980	\$0
PST	47075	BHANDARI, BASU DEV	2013-1172-PST-E	TARRANT	1/25/2014	\$3,375	\$675	\$2,700	\$0
PST	47459	Happy Lucky Corporation	2013-1572-PST-E	HUNT	1/25/2014	\$4,125	\$825	\$3,300	\$0
PST	47390	Wright Construction Co., Inc.	2013-1493-PST-E	TARRANT	1/25/2014	\$3,375	\$675	\$2,700	\$0

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## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	46996	BAR T TRAVEL CENTER, LLC	2013-1095-PST-E	WALKER	1/25/2014	\$2,942	\$588	\$2,354	\$0
PST	46963	Valor Telecommunications of Texas, LLC	2013-1051-PST-E	LAMB	1/25/2014	\$3,516	\$703	\$2,813	\$0
PST	47344	H2Go, LLC	2013-1453-PST-E	TARRANT	1/25/2014	\$2,438	\$487	\$1,951	\$0
PST	47006	ABF Freight System, Inc.	2013-1098-PST-E	HARRIS	1/25/2014	\$4,630	\$926	\$3,704	\$0
PST	47354	Nazma, LLC.	2013-1480-PST-E	HAYS	1/25/2014	\$7,254	\$1,450	\$5,804	\$0
PST	47158	SINGH, RUPINDERJIT	2013-1288-PST-E	HOPKINS	1/25/2014	\$2,931	\$586	\$2,345	\$0
PST	45835	Joe Swartz Electric Co., Ltd.	2013-0008-PST-E	HARRIS	1/25/2014	\$1,750	\$0	\$1,750	\$0
PST	47127	Taha Investments, Inc.	2013-1219-PST-E	MCLENNAN	1/25/2014	\$2,438	\$487	\$1,951	\$0
PST	47227	Mangal Enterprise LLC	2013-1326-PST-E	FORT BEND	1/25/2014	\$2,813	\$562	\$2,251	\$0
PST	47272	The Kroger Co. *	2013-1380-PST-E	TARRANT	1/25/2014	\$2,813	\$562	\$2,251	\$0
PST	47128	Rita's Convenience Store and Restaurant, LLC	2013-1218-PST-E	MARTIN	1/25/2014	\$2,931	\$586	\$2,345	\$0
PST	47203	S Sagani, Inc.	2013-1295-PST-E	BEXAR	1/25/2014	\$5,755	\$1,151	\$4,604	\$0
PST	47332	AC VIP MARINA, LLC	2013-1445-PST-E	TRAVIS	1/25/2014	\$3,000	\$600	\$2,400	\$0
PST	47678	Ziabon, Inc.	2013-1782-PST-E	GALVESTON	1/25/2014	\$2,438	\$487	\$1,951	\$0
PST	47002	Fort Worth Automotive Inc	2013-1106-PST-E	TARRANT	1/25/2014	\$3,068	\$613	\$2,455	\$0
PST	47123	ROY, BISWAJIT	2013-1231-PST-E	HARRIS	1/25/2014	\$3,750	\$750	\$3,000	\$0
PST	47479	Lithia CM, Inc.	2013-1587-PST-E	MIDLAND	1/25/2014	\$6,257	\$1,251	\$5,006	\$0
PST	47219	Habibco, Inc.	2013-1317-PST-E	TRAVIS	1/25/2014	\$3,000	\$600	\$2,400	\$0
PST	47124	Samkwang USA, Inc.	2013-1246-PST-E	HARRIS	1/25/2014	\$3,879	\$775	\$3,104	\$0
PST	47089	Mahmound A. Alrafati	2013-1200-PST-E	BEXAR	1/25/2014	\$2,943	\$588	\$2,355	\$0
PST	47071	NEPALKO, INC	2013-1176-PST-E	JOHNSON	1/25/2014	\$3,693	\$738	\$2,955	\$0
PST	46873	Federal Bureau of Prisons	2013-0988-PST-E	JEFFERSON	1/25/2014	\$4,500	\$900	\$3,600	\$0
PST	45182	Wright Fuel Distributors, LLC *	2012-2057-PST-E	WILLACY	1/29/2014	\$8,878	\$0	\$8,878	\$0
PST	45631	SARAH LLC	2012-2500-PST-E	SMITH	2/8/2014	\$6,000	\$0	\$6,000	\$0
PST	45030	William Cody Burkett	2012-1907-PST-E	GREGG	2/8/2014	\$4,629	\$0	\$4,629	\$0
PST	43667	Proton PRC, LTD. dba Oasis Car Wash	2012-0524-PST-E	ERATH	2/8/2014	\$6,881	\$0	\$6,881	\$0
PST	46188	VAN DORN, ONA	2013-0282-PST-E	LIVE OAK	2/8/2014	\$6,000	\$0	\$6,000	\$0
PST	45509	Ahsan Enterprises, Inc.	2012-2373-PST-E	HARRIS	2/8/2014	\$6,300	\$0	\$6,300	\$0
PST	46735	Ds Valero Corp	2013-0833-PST-E	HAYS	2/8/2014	\$6,412	\$0	\$6,412	\$0
PST	43352	Butterflies, Inc.	2012-0194-PST-E	NEWTON	2/8/2014	\$6,141	\$0	\$6,141	\$0
PST	46023	SIMON & SUJA, LLC	2013-0245-PST-E	HIDALGO	2/8/2014	\$3,375	\$0	\$3,375	\$0
PST	47249	Total Consolidation, Inc.	2013-1354-PST-E	DENTON	2/8/2014	\$3,563	\$0	\$3,563	\$0
PST	46845	ARMSTRONG, MARK	2013-0934-PST-E	NAVARRO	2/8/2014	\$3,750	\$0	\$3,750	\$0
PST	46027	Semi & Shamim Enterprises, LLC	2013-0161-PST-E	GALVESTON	2/8/2014	\$4,971	\$0	\$4,971	\$0
PST	46460	BLH Sportsman Center, LLC	2013-0547-PST-E	BROWN	2/8/2014	\$7,500	\$0	\$7,500	\$0
PST	46824	Marble Star, Inc.	2013-0935-PST-E	BURNET	2/8/2014	\$3,563	\$0	\$3,563	\$0
PST	45934	Chikita Investment Group LLC	2013-0052-PST-E	HIDALGO	2/8/2014	\$5,000	\$0	\$5,000	\$0
PST	46357	Chea Donald S	2013-0446-PST-E	HARRIS	2/8/2014	\$3,937	\$0	\$3,937	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	45458	Ado Alliance Inc. *	2012-2340-PST-E	ORANGE	2/8/2014	\$4,687	\$0	\$4,687	\$0
PST	46097	Tank Works, Inc.	2013-0211-PST-E	FORT BEND	2/8/2014	\$4,029	\$0	\$4,029	\$0
PST	47368	Sam's Mopac Auto Inc	2013-1474-PST-E	TRAVIS	2/9/2014	\$3,375	\$675	\$2,700	\$0
PST	47466	Sam H Investments, Inc.	2013-1568-PST-E	TARRANT	2/9/2014	\$3,375	\$675	\$2,700	\$0
PST	47099	Aryana, Inc.	2013-1199-PST-E	HARRIS	2/9/2014	\$3,547	\$709	\$2,838	\$0
PST	47467	M & J One Stop LLC	2013-1567-PST-E	TARRANT	2/9/2014	\$2,813	\$562	\$2,251	\$0
PST	47442	CHOWDHURY, SHAKIL	2013-1555-PST-E	HUNT	2/9/2014	\$3,000	\$600	\$2,400	\$0
PST	47405	Hays City Corporation	2013-1523-PST-E	TRAVIS	2/9/2014	\$2,937	\$587	\$2,350	\$0
PST	47266	A & T Inc.	2013-1368-PST-E	ELLIS	2/9/2014	\$7,243	\$1,448	\$5,795	\$0
PST	47548	97 Circle S Convenience Stores, Inc.	2013-1650-PST-E	WISE	2/9/2014	\$3,375	\$675	\$2,700	\$0
PST	47539	DANG, TRI THI	2013-1647-PST-E	POTTER	2/9/2014	\$2,567	\$513	\$2,054	\$0
PST	44362	Bilal Enterprises, Inc.	2012-1220-PST-E	WHARTON	2/9/2014	\$5,768	\$1,153	\$4,615	\$0
PST	47082	SOURYARPAN LLC	2013-1169-PST-E	LAMAR	2/9/2014	\$3,000	\$600	\$2,400	\$0
PST	47546	TIGUA TRANSPORTATION, INC.	2013-1635-PST-E	EL PASO	2/9/2014	\$3,484	\$696	\$2,788	\$0
PST	47480	FAIZAN LLC	2013-1586-PST-E	BEXAR	2/9/2014	\$4,443	\$888	\$3,555	\$0
PST	47013	Essent PRMC, L.P.	2013-1132-PST-E	LAMAR	2/9/2014	\$5,143	\$1,028	\$4,115	\$0
PST	47288	N & H Enterprises and Son, Inc. *	2013-1397-PST-E	JEFFERSON	2/9/2014	\$3,879	\$775	\$3,104	\$0
PST	47756	Shan Enterprises, Inc.	2013-1874-PST-E	GALVESTON	2/9/2014	\$2,438	\$487	\$1,951	\$0
PST	47409	Y & V Enterprises LLC	2013-1522-PST-E	TARRANT	2/9/2014	\$4,500	\$900	\$3,600	\$0
PST	47590	SAJJAD, MOHAMMAD	2013-1690-PST-E	TYLER	2/9/2014	\$4,687	\$937	\$3,750	\$0
PST	47182	Savvis, Inc. *	2013-1287-PST-E	TARRANT	2/9/2014	\$3,393	\$678	\$2,715	\$0
PST	47204	Providence Health Services of Waco	2013-1294-PST-E	MCLENNAN	2/9/2014	\$4,713	\$942	\$3,771	\$0
PST	47198	Stroeher & Son, Inc.	2013-1296-PST-E	GILLESPIE	2/14/2014	\$9,788	\$1,957	\$7,831	\$0
PST	46763	SHERGILL FUEL ENTERPRISES INC	2013-0857-PST-E	HENDERSON	2/14/2014	\$7,875	\$1,575	\$6,300	\$0
PST	47410	LALANI'S INVESTMENT INC.	2013-1521-PST-E	FORT BEND	2/14/2014	\$8,250	\$1,650	\$6,600	\$0
PST	47101	Army & Air Force Exchange Service	2013-1223-PST-E	MCLENNAN	2/16/2014	\$5,625	\$1,125	\$4,500	\$0
PST	47617	Coastal Transport Co., Inc.	2013-1715-PST-E	BEXAR	2/16/2014	\$1,350	\$270	\$1,080	\$0
PST	47322	Dallas Texas Properties, Inc.	2013-1450-PST-E	TARRANT	2/16/2014	\$4,500	\$900	\$3,600	\$0
PST	47706	Mission Petroleum Carriers, Inc.	2013-1824-PST-E	BEXAR	2/16/2014	\$1,350	\$270	\$1,080	\$0
PST	47303	MIDLAND CENTRAL LLC	2013-1417-PST-E	MIDLAND	2/16/2014	\$5,000	\$1,000	\$4,000	\$0
PST	47181	HILS USA INC	2013-1327-PST-E	RED RIVER	2/16/2014	\$2,813	\$562	\$2,251	\$0
PST	47439	Lago Nayaab Enterprises Inc.	2013-1552-PST-E	TRAVIS	2/16/2014	\$3,750	\$750	\$3,000	\$0
PST	47164	Overseas Enterprises USA, Inc. *	2013-1264-PST-E	JEFFERSON	2/16/2014	\$3,825	\$765	\$3,060	\$0
PST	46973	QUICK FILL LLC	2013-1056-PST-E	VAN ZANDT	2/16/2014	\$4,500	\$900	\$3,600	\$0
PST	47051	JN Khan Corporation	2013-1229-PST-E	BEXAR	2/16/2014	\$7,500	\$1,500	\$6,000	\$0
PST	47582	A.L. Butt, Inc.	2013-1683-PST-E	GALVESTON	2/16/2014	\$2,438	\$487	\$1,951	\$0
PST	47953	A & D Card Lock	2013-2101-PST-E	MONTAGUE	2/16/2014	\$875	\$0	\$875	\$0
PST	47025	RAYWOOD FINANCIAL, INC	2013-1179-PST-E	LIBERTY	3/6/2014	\$29,787	\$5,957	\$23,830	\$0

## Singles Programs

## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	46118	FARMERS COOPERATIVE ASSOCIATION	2013-0241-PST-E	LYNN	3/6/2014	\$19,175	\$0	\$19,175	\$0
PST	45852	Bellville Shell, Inc.	2012-2704-PST-E	AUSTIN	3/6/2014	\$7,629	\$0	\$7,629	\$0
PST	46777	ANISA BUSINESS, LLC	2013-0873-PST-E	HARRIS	3/6/2014	\$3,000	\$0	\$3,000	\$0
PST	47436	Franklin & Son, Inc.	2013-1542-PST-E	MARTIN	3/6/2014	\$11,359	\$2,271	\$9,088	\$0
PST	45437	FARAHNAKIAN, NASSER	2012-2294-PST-E	NUECES	3/6/2014	\$11,380	\$0	\$11,380	\$0
PST	45927	ASDH Group, Inc.	2013-0055-PST-E	HARRIS	3/6/2014	\$5,380	\$0	\$5,380	\$0
PST	45337	KESAV SAI LLC	2012-2190-PST-E	DALLAS	3/6/2014	\$9,811	\$0	\$9,811	\$0
PST	45604	Big Score Investors, LLC	2012-2467-PST-E	COLLIN	3/6/2014	\$10,875	\$0	\$10,875	\$0
PST	46219	NOORANI, ABDUL REHMAN	2013-0337-PST-E	BOSQUE	3/6/2014	\$8,880	\$0	\$8,880	\$0
PST	47541	RICE REALTY LLC *	2013-1638-PST-E	ELLIS	3/9/2014	\$5,755	\$1,151	\$4,604	\$0
PST	47553	Sun & Sal Investments Inc	2013-1935-PST-E	TARRANT	3/9/2014	\$3,450	\$690	\$2,760	\$0
PST	47174	Wheatley Investments, Ltd.	2013-1271-PST-E	HARRIS	3/9/2014	\$4,875	\$975	\$3,900	\$0
PST	46343	BROWN, GEORGE *	2013-0469-PST-E	BRAZORIA	3/9/2014	\$2,942	\$588	\$2,354	\$0
PST	46441	M. Farah Enterprise Inc.	2013-0519-PST-E	CASS	3/9/2014	\$6,692	\$1,338	\$5,354	\$0
PST	45346	Chilton ISD	2012-2286-PST-E	FALLS	3/9/2014	\$3,750	\$750	\$3,000	\$0
PST	46313	ACME BRICK COMPANY	2013-0419-PST-E	GUADALUPE	3/9/2014	\$4,681	\$935	\$3,746	\$0
PST	47644	Big Spring Independent School District	2013-1750-PST-E	HOWARD	3/9/2014	\$3,375	\$675	\$2,700	\$0
PST	47537	AHMAD, ALI HAMOUDEH *	2013-1640-PST-E	TRAVIS	3/9/2014	\$2,813	\$562	\$2,251	\$0
PST	47540	Hoa Tran Corporation	2013-1639-PST-E	GALVESTON	3/9/2014	\$3,000	\$600	\$2,400	\$0
PST	47845	Pay and Save, Inc.	2013-1951-PST-E	EL PASO	3/9/2014	\$5,408	\$1,081	\$4,327	\$0
PST	47302	RAFMAYA Inc.	2013-1418-PST-E	RAINS	3/9/2014	\$3,692	\$738	\$2,954	\$0
PST	47544	Coleman Secure Investments, LLC	2013-1646-PST-E	EL PASO	3/9/2014	\$2,987	\$597	\$2,390	\$0
PST	47661	Sunwell Corporation	2013-1761-PST-E	BEXAR	3/9/2014	\$3,855	\$771	\$3,084	\$0
PST	47826	Southwest Convenience Stores, LLC	2013-1927-PST-E	EL PASO	3/9/2014	\$5,625	\$1,125	\$4,500	\$0
PST	47602	Christus Health	2013-1704-PST-E	JEFFERSON	3/9/2014	\$3,000	\$600	\$2,400	\$0
PST	47369	POLLARD AIRCRAFT SALES, INC.	2013-1473-PST-E	DENTON	3/9/2014	\$3,893	\$778	\$3,115	\$0
PST	47687	Valor Telecommunications of Texas, LLC	2013-1793-PST-E	JEFFERSON	3/9/2014	\$6,879	\$1,375	\$5,504	\$0
PST	47456	Mainali Corporation	2013-1561-PST-E	WISE	3/9/2014	\$4,500	\$900	\$3,600	\$0
PST	47137	Sand Inc.	2013-1237-PST-E	ELLIS	3/9/2014	\$7,125	\$1,425	\$5,700	\$0
PST	47707	A. J. KHUU, INC.	2013-1823-PST-E	TARRANT	3/9/2014	\$3,750	\$750	\$3,000	\$0
PST	47594	HLK Auto Group, Inc.	2013-1728-PST-E	TARRANT	3/9/2014	\$3,891	\$778	\$3,113	\$0
PST	47561	West Texas Spike I LTD	2013-1664-PST-E	MIDLAND	3/9/2014	\$4,500	\$900	\$3,600	\$0
PST	43083	Parker Mart, Inc.	2011-2242-PST-E	HARRIS	3/9/2014	\$4,325	\$865	\$3,460	\$0
PST	47192	The Martin-Brower Company, L.L.C.	2013-1356-PST-E	MONTGOMERY	3/9/2014	\$2,943	\$588	\$2,355	\$0
PST	47575	Eastern Trust, Ltd	2013-1699-PST-E	HARRIS	3/9/2014	\$4,875	\$975	\$3,900	\$0
PST	47271	Shujat Holding Company *	2013-1371-PST-E	JEFFERSON	3/9/2014	\$5,630	\$1,126	\$4,504	\$0
PST	47534	FLORES RETAIL INC	2013-1845-PST-E	BEXAR	3/9/2014	\$1,986	\$397	\$1,589	\$0
PST	47803	Baker Hughes Incorporated	2013-1899-PST-E	WEBB	3/9/2014	\$3,000	\$600	\$2,400	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	47269	Pinnacle Propane, LLC	2013-1372-PST-E	MIDLAND	3/9/2014	\$5,881	\$1,176	\$4,705	\$0
PST	47461	C-STORE TRANDS INC	2013-1570-PST-E	HARDIN	3/9/2014	\$938	\$187	\$751	\$0
PST	46799	Howard County	2013-1811-PST-E	HOWARD	3/9/2014	\$5,438	\$1,087	\$4,351	\$0
PST	47718	SWH Realty, Ltd.	2013-1827-PST-E	EL PASO	3/9/2014	\$7,396	\$1,479	\$5,917	\$0
PST	47418	Texas A&M University Commerce	2013-1518-PST-E	HUNT	3/9/2014	\$3,375	\$675	\$2,700	\$0
PST	47465	Tri-Con, Inc.	2013-1569-PST-E	JEFFERSON	3/9/2014	\$2,111	\$422	\$1,689	\$0
PST	47469	Texas Food Mart, Inc.	2013-1566-PST-E	GALVESTON	3/9/2014	\$5,475	\$1,095	\$4,380	\$0
PST	47701	Reeder Distributors, Inc.	2013-1810-PST-E	TARRANT	3/9/2014	\$1,357	\$271	\$1,086	\$0
PST	44933	Defy Inc.	2012-1867-PST-E	BEXAR	3/14/2014	\$15,475	\$0	\$15,475	\$0
PST	46698	Paradise Management, Inc.	2013-0791-PST-E	BEXAR	3/14/2014	\$8,881	\$0	\$8,881	\$0
PST	46310	BENGAL INVESTMENTS INC *	2013-0420-PST-E	BOSQUE	3/14/2014	\$8,879	\$0	\$8,879	\$0
PST	46254	HERNANDEZ, MODESTO	2013-0362-PST-E	HIDALGO	3/14/2014	\$12,500	\$0	\$12,500	\$0
PST	47502	RAKTAKALI ENTERPRISES INC	2013-1605-PST-E	ELLIS	3/16/2014	\$6,375	\$1,275	\$5,100	\$0
PST	47683	MATHEWS, CARL	2013-1790-PST-E	FAYETTE	3/16/2014	\$4,063	\$812	\$3,251	\$0
PST	47647	Ana Holdings, Inc.	2013-1747-PST-E	HENDERSON	3/16/2014	\$4,500	\$900	\$3,600	\$0
PST	47785	RF PETROLEUM #5, INC. *	2013-1890-PST-E	FAYETTE	3/16/2014	\$4,500	\$900	\$3,600	\$0
PST	47559	Sunny Day Enterprises, INC.	2013-1666-PST-E	JEFFERSON	3/16/2014	\$3,506	\$701	\$2,805	\$0
PST	47533	IIA Investments, Inc.	2013-1846-PST-E	BEXAR	3/16/2014	\$6,750	\$1,350	\$5,400	\$0
PST	47677	Development II Partners, Inc.	2013-1783-PST-E	GALVESTON	3/16/2014	\$3,750	\$750	\$3,000	\$0
PST	47572	Newell Recycling Company of El Paso, L.P.	2013-1659-PST-E	EL PASO	3/16/2014	\$4,664	\$932	\$3,732	\$0
PST	47604	Shumaila Enterprises, Inc.	2013-1702-PST-E	GALVESTON	3/16/2014	\$3,375	\$675	\$2,700	\$0
PST	47567	Longhorn Horizon, Inc.	2013-1662-PST-E	JOHNSON	3/16/2014	\$4,500	\$900	\$3,600	\$0
PST	47710	Caddo Mills Independent School District	2013-1815-PST-E	HUNT	3/16/2014	\$2,813	\$562	\$2,251	\$0
PST	47460	4B Group LLC	2013-1571-PST-E	KAUFMAN	3/16/2014	\$6,516	\$1,303	\$5,213	\$0
PST	47018	Samay Piya Enterprise Inc	2013-1117-PST-E	TARRANT	3/26/2014	\$6,000	\$0	\$6,000	\$0
PST	46968	PEREZ, NORMA LINDA	2013-1059-PST-E	HIDALGO	3/26/2014	\$5,129	\$0	\$5,129	\$0
PST	47720	GREAT CONVENIENCE INC	2013-1825-PST-E	TARRANT	3/28/2014	\$3,000	\$600	\$2,400	\$0
PST	47579	VVMH, INC	2013-1684-PST-E	HUNT	3/28/2014	\$2,995	\$598	\$2,397	\$0
PST	47645	GONZALEZ, IRMA	2013-1749-PST-E	HIDALGO	3/28/2014	\$2,438	\$487	\$1,951	\$0
PST	47196	Moulton Bless Corporation	2013-1298-PST-E	LAVACA	3/28/2014	\$2,438	\$487	\$1,951	\$0
PST	47583	City of Port Arthur	2013-1685-PST-E	JEFFERSON	3/28/2014	\$2,813	\$562	\$2,251	\$0
PST	46323	MOIZ 786 INC	2013-0432-PST-E	BEXAR	3/28/2014	\$7,500	\$1,500	\$6,000	\$0
PST	47822	ALEX DEVEN, INC.	2013-1931-PST-E	ELLIS	3/28/2014	\$3,693	\$738	\$2,955	\$0
PST	47890	KHAN, MUSHTAQ	2013-2011-PST-E	HARRIS	3/28/2014	\$4,363	\$872	\$3,491	\$0
PST	47727	E.J. Fuel Investments, LLC	2013-1830-PST-E	WEBB	3/28/2014	\$2,568	\$513	\$2,055	\$0
PST	47638	R. B. Stewart Petroleum Products, Inc.	2013-1725-PST-E	BRAZORIA	3/28/2014	\$2,606	\$521	\$2,085	\$0
PST	47165	GOLD LAND INVESTORS, LLC	2013-1257-PST-E	TARRANT	3/28/2014	\$5,129	\$1,025	\$4,104	\$0
PST	46742	Texas Department of Public Safety	2013-0846-PST-E	HALE	3/28/2014	\$3,750	\$750	\$3,000	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	47935	Jatin Enterprises, Inc.	2013-2068-PST-E	HARRIS	3/28/2014	\$6,699	\$1,339	\$5,360	\$0
PST	46675	Burney Enterprises Inc	2013-0763-PST-E	MCLENNAN	4/5/2014	\$8,504	\$1,700	\$6,804	\$0
PST	47616	Vardhman Investment, Inc.	2013-1716-PST-E	GALVESTON	4/5/2014	\$9,000	\$1,800	\$7,200	\$0
PST	44858	Bullfrog Station, Inc.	2012-1729-PST-E	TARRANT	4/5/2014	\$12,905	\$9,305	\$3,600	\$0
PST	47603	Dallas Housing Authority	2013-1703-PST-E	DALLAS	4/5/2014	\$12,563	\$2,512	\$10,051	\$0
PST	47160	HR&B Services, LLC	2013-1258-PST-E	DALLAS	4/5/2014	\$11,651	\$2,330	\$9,321	\$0
PST	47685	Gal-Tex Hotel Corporation	2013-1788-PST-E	GALVESTON	4/5/2014	\$8,867	\$1,772	\$7,095	\$0
PST	45701	Bexar County Oil LP	2012-2564-PST-E	BEXAR	4/7/2014	\$5,755	\$0	\$5,755	\$0
PST	45925	HEART VENTURES, L.L.C.	2013-0084-PST-E	BROOKS	4/7/2014	\$7,500	\$0	\$7,500	\$0
PST	47060	Shalimar Enterprise Inc	2013-1147-PST-E	JEFFERSON	4/7/2014	\$2,568	\$0	\$2,568	\$0
PST	47239	Sharif & Associates, Inc.	2013-1338-PST-E	MORRIS	4/7/2014	\$3,375	\$0	\$3,375	\$0
PST	45508	HNM Enterprises, Inc.	2012-2389-PST-E	HAYS	4/7/2014	\$2,438	\$0	\$2,438	\$0
PST	45372	Lone Star Petroleum, LP	2012-2225-PST-E	BEXAR	4/7/2014	\$5,625	\$0	\$5,625	\$0
PST	45866	PATEL, SHOBHANA	2012-2715-PST-E	MCLENNAN	4/7/2014	\$6,005	\$0	\$6,005	\$0
PST	44697	King Fuels, Inc.	2012-1570-PST-E	SAN JACINTO	4/7/2014	\$6,563	\$0	\$6,563	\$0
PST	47043	HARRIS, CHARLES B	2013-1150-PST-E	MIDLAND	4/7/2014	\$4,254	\$0	\$4,254	\$0
PST	47620	Charlie Hillard, Inc	2013-1713-PST-E	TARRANT	4/7/2014	\$3,516	\$0	\$3,516	\$0
PST	46935	AL-AQQAD, TAISSSEER	2013-1019-PST-E	TARRANT	4/7/2014	\$4,500	\$0	\$4,500	\$0
PST	45603	Silkot International, Inc.	2012-2459-PST-E	SMITH	4/7/2014	\$6,000	\$0	\$6,000	\$0
PST	47197	Athens Quick Corporation	2013-1297-PST-E	HENDERSON	4/7/2014	\$2,942	\$0	\$2,942	\$0
PST	47709	The Devereux Foundation	2013-1808-PST-E	GALVESTON	4/18/2014	\$6,975	\$1,395	\$5,580	\$0
PST	47523	Ahom Namah, Inc.	2013-1625-PST-E	TARRANT	4/18/2014	\$3,505	\$701	\$2,804	\$0
PST	47836	Crossroad USA Investments Inc	2013-1940-PST-E	TARRANT	4/18/2014	\$3,380	\$676	\$2,704	\$0
PST	47763	DLUGOSCH III, LLC	2013-1855-PST-E	DEWITT	4/18/2014	\$2,813	\$562	\$2,251	\$0
PST	47522	AKT INVESTMENTS INC	2013-1614-PST-E	TARRANT	4/18/2014	\$5,062	\$1,012	\$4,050	\$0
PST	47446	HAYES, JOHN G	2013-1579-PST-E	FORT BEND	4/18/2014	\$5,141	\$1,028	\$4,113	\$0
PST	47435	League City Interests, Inc.	2013-1543-PST-E	GALVESTON	4/18/2014	\$7,500	\$1,500	\$6,000	\$0
PST	47513	GREWAL, HARDEEP S	2013-1616-PST-E	HARRIS	4/18/2014	\$3,036	\$607	\$2,429	\$0
PST	47506	Amani & Afee, LLC	2013-1619-PST-E	HAYS	4/18/2014	\$6,126	\$1,225	\$4,901	\$0
PST	47936	Handy Stop, Inc.	2013-2067-PST-E	FORT BEND	4/18/2014	\$4,749	\$949	\$3,800	\$0
PST	47571	MERENDINO, JOHN	2013-1660-PST-E	DALLAS	4/18/2014	\$4,375	\$875	\$3,500	\$0
PST	47739	Quick Track, Inc.	2013-1959-PST-E	LAMAR	4/18/2014	\$4,687	\$937	\$3,750	\$0
PST	47551	Yamuna Corporation	2013-1643-PST-E	TARRANT	4/18/2014	\$3,375	\$675	\$2,700	\$0
PST	48204	US Foods, Inc.	2014-0160-PST-E	LUBBOCK	4/18/2014	\$1,750	\$0	\$1,750	\$0
PST	47684	Ocean Petroleum, Inc.	2013-1789-PST-E	GALVESTON	4/18/2014	\$3,375	\$675	\$2,700	\$0
PST	47507	Asad Ali Coporation	2013-1611-PST-E	BEXAR	4/18/2014	\$3,879	\$775	\$3,104	\$0
PST	47734	A TO Z USA OPERATIONS LLC	2013-1856-PST-E	HUNT	4/18/2014	\$2,438	\$487	\$1,951	\$0
PST	47680	Greenville Independent School District	2013-1787-PST-E	HUNT	4/18/2014	\$2,579	\$515	\$0	\$2,064

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	47613	RF PETROLEUM #5, INC.	2013-1718-PST-E	FAYETTE	4/18/2014	\$5,755	\$1,151	\$4,604	\$0
PST	46687	Mehak Enterprises, Inc.	2013-0776-PST-E	HENDERSON	4/18/2014	\$2,567	\$513	\$2,054	\$0
PST	47486	LOKHAN, INC.	2013-1593-PST-E	HUNT	4/18/2014	\$6,880	\$1,376	\$5,504	\$0
PST	47115	Premier Parking Company	2013-1222-PST-E	MIDLAND	4/18/2014	\$3,375	\$675	\$2,700	\$0
PST	47140	Post Oak Retailer's Inc.	2013-1236-PST-E	FORT BEND	4/19/2014	\$10,500	\$0	\$10,500	\$0
PST	46577	Piknik Enterprises, Inc.	2013-0667-PST-E	BEXAR	4/19/2014	\$9,596	\$0	\$9,596	\$0
PST	44075	JACKSONVILLE GROUP INC	2012-0966-PST-E	CHEROKEE	4/19/2014	\$25,335	\$0	\$25,335	\$0
PST	47838	Gibson Real Estate, LLC	2013-1945-PST-E	BEXAR	4/19/2014	\$11,382	\$2,276	\$9,106	\$0
PST	47371	Xpress Choice LLC	2013-1497-PST-E	HUNT	4/19/2014	\$8,250	\$1,650	\$6,600	\$0
PST	47495	PSP BUSINESS, INC.	2013-1651-PST-E	HARRIS	4/19/2014	\$9,663	\$1,932	\$7,731	\$0
PST	45429	SNAPPY PAPPY'S, Limited Liability Company (L.L.C.)	2012-2291-PST-E	CAMP	4/25/2014	\$3,750	\$0	\$3,750	\$0
PST	46284	Fuad Ahmad Bataineh	2013-0409-PST-E	HARRIS	4/25/2014	\$5,548	\$0	\$5,548	\$0
PST	46999	Saadia Enterprises LLC *	2013-1093-PST-E	VAN ZANDT	4/25/2014	\$16,651	\$0	\$16,651	\$0
PST	47210	SHARMA, ASHOK K	2013-1305-PST-E	TARRANT	4/25/2014	\$4,687	\$0	\$4,687	\$0
PST	41981	TANWAR, SHASHI C	2012-2570-PST-E	TARRANT	4/25/2014	\$23,625	\$0	\$23,625	\$0
PST	46561	D.C.T.D., Inc.	2013-0645-PST-E	TOM GREEN	4/25/2014	\$5,008	\$0	\$5,008	\$0
PST	47064	Moutawakil Enterprises, L.L.C.	2013-1160-PST-E	VAN ZANDT	4/25/2014	\$9,015	\$0	\$9,015	\$0
PST	47085	CRYSTAL INTERNATIONAL, INC.	2013-1168-PST-E	DALLAS	4/25/2014	\$5,782	\$0	\$5,782	\$0
PST	46545	Darlene Chappell	2013-0630-PST-E	IRION	4/30/2014	\$6,132	\$0	\$6,132	\$0
PST	47755	Holiday Market Inc	2013-1888-PST-E	TARRANT	5/3/2014	\$3,375	\$675	\$2,700	\$0
PST	47660	Devil River, Inc.	2013-1759-PST-E	GALVESTON	5/3/2014	\$3,375	\$675	\$2,700	\$0
PST	47133	North Lamar ISD	2013-1239-PST-E	LAMAR	5/3/2014	\$3,375	\$675	\$2,700	\$0
PST	46032	Watson, Laura Ella	2013-0160-PST-E	BOWIE	5/3/2014	\$4,631	\$926	\$3,705	\$0
PST	48027	City of Houston	2013-2184-PST-E	HARRIS	5/3/2014	\$2,813	\$562	\$2,251	\$0
PST	47483	Imran Investments, Inc.	2013-1595-PST-E	GALVESTON	5/3/2014	\$3,563	\$712	\$2,851	\$0
PST	48044	B T Rand Oil Company Inc	2013-2200-PST-E	JEFFERSON	5/3/2014	\$3,908	\$781	\$3,127	\$0
PST	47741	L&K KING CORPORATION	2013-1957-PST-E	LAMAR	5/3/2014	\$6,692	\$1,338	\$5,354	\$0
PST	47420	Rough Hollow Yacht Club, Ltd.	2013-1516-PST-E	TRAVIS	5/3/2014	\$2,567	\$513	\$2,054	\$0
PST	47597	TSS Busines, Inc.	2013-1697-PST-E	HIDALGO	5/3/2014	\$3,879	\$775	\$3,104	\$0
PST	47328	Southwest Premier Properties, L.L.C. *	2013-1446-PST-E	EL PASO	5/3/2014	\$3,750	\$750	\$3,000	\$0
PST	45982	ALI, KHALID	2013-0209-PST-E	HIDALGO	5/8/2014	\$8,880	\$0	\$8,880	\$0
PST	47512	BENGAL MART INC.	2013-1617-PST-E	HARRIS	5/8/2014	\$8,045	\$1,609	\$6,436	\$0
PST	46362	ELBAYEH, CHAHID	2013-0480-PST-E	GUADALUPE	5/8/2014	\$17,822	\$0	\$17,822	\$0
PST	47665	COVER, VIRGINIA	2013-1763-PST-E	BEXAR	5/8/2014	\$21,100	\$4,220	\$16,880	\$0
PST	46442	BARSOUM, JOHN *	2013-0518-PST-E	ROCKWALL	5/8/2014	\$31,600	\$22,825	\$8,775	\$0
PST	47097	A&K Enterprises, Inc.	2013-1187-PST-E	WHARTON	5/8/2014	\$11,250	\$0	\$11,250	\$0
PST	45459	Southeast Oil Company	2012-2308-PST-E	AUSTIN	5/8/2014	\$8,750	\$0	\$8,750	\$0
PST	45863	H&A Group Inc	2012-2718-PST-E	MCLENNAN	5/9/2014	\$8,879	\$0	\$8,879	\$0

## Singles Programs

## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	45638	Simon-Ricky LLC	2012-2495-PST-E	DENTON	5/9/2014	\$12,856	\$0	\$12,856	\$0
PST	47821	Tenet Healthcare Corporation	2013-1932-PST-E	HARRIS	5/15/2014	\$3,375	\$675	\$2,700	\$0
PST	44280	SHERIDAN HILLS DEVELOPMENTS L.P.	2012-1132-PST-E	HARRIS	5/15/2014	\$975	\$195	\$780	\$0
PST	47658	TITLI LLC	2013-1743-PST-E	HIDALGO	5/24/2014	\$7,625	\$1,525	\$6,100	\$0
PST	47560	SUPERIOR LUBRICANTS TRANSPORT, INC.	2013-1665-PST-E	TARRANT	5/24/2014	\$10,232	\$2,046	\$8,186	\$0
PST	47664	CCOP Senior Living LLC	2013-1764-PST-E	EL PASO	5/24/2014	\$9,974	\$1,994	\$7,980	\$0
PST	47487	NGUYEN, HAI	2013-1592-PST-E	POTTER	5/24/2014	\$8,880	\$1,776	\$7,104	\$0
PST	46212	San Antonio Water System	2013-0323-PST-E	BEXAR	5/24/2014	\$17,563	\$3,512	\$0	\$14,051
PST	47298	TNC Enterprises Inc.	2013-1420-PST-E	TRAVIS	5/28/2014	\$7,629	\$0	\$7,629	\$0
PST	47040	USMAN INC.	2013-1128-PST-E	MCLENNAN	5/28/2014	\$5,129	\$0	\$5,129	\$0
PST	47019	STAR TEX DISTRIBUTORS, INC. DBA RICE BIRD DRIVE-IN	2013-1116-PST-E	FORT BEND	5/28/2014	\$5,106	\$0	\$5,106	\$0
PST	46847	Texas Farm Bureau Business Corporation	2013-0937-PST-E	MCLENNAN	6/5/2014	\$2,291	\$457	\$1,834	\$0
PST	47472	Christus Health	2013-1574-PST-E	JEFFERSON	6/5/2014	\$6,750	\$1,350	\$5,400	\$0
PST	45398	Marta Enterprises, Inc	2012-2260-PST-E	HARRIS	6/5/2014	\$2,625	\$0	\$2,625	\$0
PST	47260	PHAN, RICHARD K	2013-1374-PST-E	TARRANT	6/5/2014	\$3,130	\$626	\$2,504	\$0
PST	44984	PARS Service, Inc.	2012-1855-PST-E	DALLAS	6/5/2014	\$1,898	\$379	\$1,519	\$0
PST	47232	Kyungsoon Enterprise, Inc.	2013-1498-PST-E	DENTON	6/8/2014	\$3,375	\$0	\$3,375	\$0
PST	47337	SEWA RO INC.	2013-1443-PST-E	ELLIS	6/8/2014	\$2,942	\$0	\$2,942	\$0
PST	47321	LERMA, MELINA	2013-1437-PST-E	HIDALGO	6/8/2014	\$3,750	\$0	\$3,750	\$0
PST	45860	Mona Enterprises, Inc.	2012-2721-PST-E	HARRIS	6/8/2014	\$4,631	\$0	\$4,631	\$0
PST	46488	SLA Business, Inc.	2013-0576-PST-E	TARRANT	6/8/2014	\$3,375	\$0	\$3,375	\$0
PST	45724	Federal Aviation Administration	2012-2580-PST-E	HARRIS	6/8/2014	\$5,750	\$0	\$5,750	\$0
PST	47023	Lone Star Petroleum, LP	2013-1131-PST-E	BEXAR	6/8/2014	\$2,813	\$0	\$2,813	\$0
PST	47618	A&J Business Partners, LLC	2013-1714-PST-E	TARRANT	6/8/2014	\$3,750	\$0	\$3,750	\$0
PST	46907	TEXAS GIANT KIM'S, INC.	2013-0980-PST-E	BOWIE	6/8/2014	\$5,855	\$0	\$5,855	\$0
PST	46623	Businesschase, INC.	2013-0729-PST-E	JEFFERSON	6/8/2014	\$5,000	\$0	\$5,000	\$0
PST	47116	Poola Investments Inc.	2013-1221-PST-E	TRAVIS	6/8/2014	\$3,375	\$0	\$3,375	\$0
PST	43490	W. D. Durham LLC	2012-0306-PST-E	WISE	6/8/2014	\$2,637	\$0	\$2,637	\$0
PST	47547	Karedia Business, Inc.	2013-1645-PST-E	MCLENNAN	6/8/2014	\$7,500	\$0	\$7,500	\$0
PST	46955	ATJ Ventures, Inc.	2013-1033-PST-E	HARRIS	6/8/2014	\$7,308	\$0	\$7,308	\$0
PST	44703	Kunwar Incorporated	2012-1577-PST-E	TARRANT	6/8/2014	\$5,125	\$0	\$5,125	\$0
PST	46725	MC. D. C., Inc. *	2013-0817-PST-E	JEFFERSON	6/8/2014	\$4,500	\$0	\$4,500	\$0
PST	45385	Jack's Grocery, Inc.	2012-2268-PST-E	HARRIS	6/13/2014	\$10,913	\$0	\$10,913	\$0
PST	33879	James J Flanagan Shipping Corporation	2013-0653-PST-E	HARRIS	6/13/2014	\$48,750	\$0	\$48,750	\$0
PST	44970	Rafeeq Meghani	2012-1842-PST-E	RUSK	6/13/2014	\$15,491	\$0	\$15,491	\$0
PST	45516	The Hertz Corporation	2012-2370-PST-E	HARRIS	6/13/2014	\$13,225	\$0	\$13,225	\$0
PST	47740	Convenience Store Business Inc	2013-1958-PST-E	LAMAR	6/13/2014	\$10,125	\$2,025	\$8,100	\$0

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Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	47614	Galveston Independent School District	2013-1717-PST-E	GALVESTON	6/13/2014	\$9,376	\$1,875	\$7,501	\$0
PST	45572	BE-To & Sons Gas Station LLC.	2012-2438-PST-E	BEXAR	6/18/2014	\$12,490	\$0	\$12,490	\$0
PST	38483	Darlene Chappell	2013-1336-PST-E	IRION	6/18/2014	\$86,015	\$0	\$86,015	\$0
PST	47674	West End Marina, Inc.	2013-1778-PST-E	GALVESTON	6/22/2014	\$4,630	\$926	\$3,704	\$0
PST	47308	Rains Independent School District	2013-1414-PST-E	RAINS	6/22/2014	\$3,938	\$787	\$3,151	\$0
PST	45397	Oxy Vinyls, LP	2012-2261-PST-E	HARRIS	6/22/2014	\$2,625	\$0	\$2,625	\$0
PST	45914	MANSOUR, NAGY	2013-0032-PST-E	TARRANT	6/22/2014	\$3,825	\$765	\$3,060	\$0
PST	45711	Car Wash Etc, Inc.	2012-2555-PST-E	HARRIS	6/22/2014	\$2,625	\$0	\$2,625	\$0
PST	45708	Lockhart Grocery, Inc. *	2012-2558-PST-E	CALDWELL	6/22/2014	\$2,625	\$0	\$2,625	\$0
PST	48172	Enrique Guajardo	2014-0111-PST-E	EL PASO	6/22/2014	\$5,411	\$1,082	\$4,329	\$0
PST	47776	El Paso Disposal LP	2013-1873-PST-E	EL PASO	6/22/2014	\$4,876	\$975	\$3,901	\$0
PST	48050	BARAKAH MARKET INC	2014-0008-PST-E	DALLAS	6/22/2014	\$4,663	\$932	\$3,731	\$0
PST	47670	AMERICAN ONE-STOP CONVENIENCE STORE, INC.	2013-1771-PST-E	WICHITA	6/22/2014	\$8,130	\$1,626	\$6,504	\$0
PST	46872	Gilmer Independent School District	2013-0952-PST-E	UPSHUR	6/22/2014	\$3,375	\$675	\$2,700	\$0
PST	45709	TEMPLE STAR FOOD MART INC	2012-2557-PST-E	BELL	6/22/2014	\$2,625	\$0	\$2,625	\$0
PST	48106	Kauser Energy Inc.	2014-0045-PST-E	DENTON	6/22/2014	\$4,092	\$818	\$3,274	\$0
PST	45710	Melvin McGill Enterprises, Inc.	2012-2556-PST-E	AUSTIN	6/22/2014	\$2,625	\$0	\$2,625	\$0
PST	43544	SPEED PETRO INC.	2012-0413-PST-E	DALLAS	6/22/2014	\$5,100	\$1,020	\$4,080	\$0
PST	46098	Z & H ENTERPRISES INC	2013-0210-PST-E	BEXAR	6/29/2014	\$8,879	\$0	\$8,879	\$0
PST	47011	TRAINER HALE IH 10 TRUCK STOP LLC	2013-1104-PST-E	BEXAR	6/29/2014	\$11,754	\$0	\$11,754	\$0
PST	47816	Con-way Freight Inc.	2013-1933-PST-E	BEXAR	6/29/2014	\$8,754	\$1,750	\$7,004	\$0
PST	44446	NN NONI LLC	2012-1304-PST-E	COLLIN	6/29/2014	\$42,106	\$0	\$42,106	\$0
PST	45505	Don A. Stewart, Inc.	2012-2363-PST-E	BASTROP	6/29/2014	\$20,825	\$0	\$20,825	\$0
PST	47141	BJ&H 615 N. Palestine, LLC	2013-1235-PST-E	HENDERSON	6/29/2014	\$12,600	\$0	\$12,600	\$0
PST	47079	ENRIQUEZ, MIGUEL	2013-1171-PST-E	HIDALGO	7/2/2014	\$9,803	\$0	\$9,803	\$0
PST	46660	AKTHER GAFFAR, INC *	2013-0747-PST-E	BEXAR	7/2/2014	\$8,881	\$0	\$8,881	\$0
PST	47640	Mardini Holdings Inc	2013-1738-PST-E	PARKER	7/5/2014	\$3,000	\$600	\$2,400	\$0
PST	47185	Texas Department of Public Safety	2013-1285-PST-E	HOPKINS	7/5/2014	\$3,750	\$750	\$3,000	\$0
PST	47207	Memorial Car Care Center, Inc. *	2013-1307-PST-E	HARRIS	7/5/2014	\$7,031	\$1,406	\$5,625	\$0
PST	45915	Salima Manzoor Inc.	2013-0031-PST-E	TARRANT	7/5/2014	\$2,513	\$502	\$2,011	\$0
PST	47596	ROCHA, EFREN	2013-1698-PST-E	HIDALGO	7/5/2014	\$2,813	\$562	\$2,251	\$0
PST	47623	Rehamat Corporation	2013-1802-PST-E	GALVESTON	7/5/2014	\$3,375	\$675	\$2,700	\$0
PST	47335	12 Star Enterprise, Inc.	2013-1444-PST-E	TARRANT	7/5/2014	\$3,750	\$750	\$3,000	\$0
PST	47419	Pritchett Oil, LLC	2013-1517-PST-E	HUNT	7/11/2014	\$9,792	\$1,958	\$7,834	\$0
PST	47358	ZAED BUSINESS INC	2013-1478-PST-E	TRAVIS	7/11/2014	\$8,879	\$1,775	\$7,104	\$0
PST	47323	BROWN, MARIE E	2013-1439-PST-E	HIDALGO	7/18/2014	\$2,438	\$487	\$1,951	\$0
PST	48335	NNY BUSINESS LLC	2014-0295-PST-E	ORANGE	7/18/2014	\$5,299	\$1,059	\$4,240	\$0
PST	47724	EJAZ-HIRA-RAYAN INVESTMENTS, INC.	2013-1831-PST-E	TRAVIS	7/18/2014	\$3,875	\$775	\$3,100	\$0

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Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	47131	A.Z.H.E. Corporation	2013-1230-PST-E	HARRIS	7/18/2014	\$3,563	\$712	\$2,851	\$0
PST	47716	H & L TRADING INC	2013-1822-PST-E	JEFFERSON	7/19/2014	\$4,500	\$0	\$4,500	\$0
PST	47700	MARTIN, MALCOLM	2013-1804-PST-E	ORANGE	7/31/2014	\$7,875	\$0	\$7,875	\$0
PST	48274	Filza Enterprises Inc. *	2014-0246-PST-E	JEFFERSON	8/1/2014	\$2,438	\$487	\$1,951	\$0
PST	43797	TEXPAK Enterprises, Inc.	2012-0616-PST-E	HARDIN	8/1/2014	\$2,525	\$505	\$2,020	\$0
PST	45836	Cosby Management, Inc.	2012-2678-PST-E	TARRANT	8/1/2014	\$7,463	\$1,492	\$5,971	\$0
PST	48258	Businesschase, INC.	2014-0233-PST-E	JEFFERSON	8/1/2014	\$5,163	\$1,032	\$4,131	\$0
PST	48547	ALCORP, Inc.	2014-0528-PST-E	TRAVIS	8/1/2014	\$4,500	\$900	\$3,600	\$0
PST	46833	Aziz Momin *	2013-0909-PST-E	FORT BEND	8/7/2014	\$5,100	\$0	\$5,100	\$0
PST	43425	Premier Powerlines and Telecommunications, Inc.	2012-0222-PST-E	HARRIS	8/7/2014	\$5,200	\$0	\$5,200	\$0
PST	47518	HANG, THO QUI	2013-1779-PST-E	LAMAR	8/7/2014	\$7,442	\$0	\$7,442	\$0
PST	46838	HT BELLS FOOD LLC	2013-0920-PST-E	GRAYSON	8/7/2014	\$3,693	\$0	\$3,693	\$0
PST	43412	Rosey International, Inc.	2012-0232-PST-E	NEWTON	8/7/2014	\$2,641	\$0	\$2,641	\$0
PST	46887	Douglass Distributing Company *	2013-0984-PST-E	GRAYSON	8/7/2014	\$3,375	\$0	\$3,375	\$0
PST	47152	NZ LLC	2013-1249-PST-E	FORT BEND	8/7/2014	\$3,000	\$0	\$3,000	\$0
PST	47589	Alykar, Inc.	2013-1691-PST-E	MCLENNAN	8/7/2014	\$2,567	\$0	\$2,567	\$0
PST	47149	HAMEEDA INVESTMENTS INC	2013-1301-PST-E	DALLAS	8/7/2014	\$5,412	\$0	\$5,412	\$0
PST	47193	Jam Investments, Inc.	2013-1300-PST-E	PALO PINTO	8/7/2014	\$3,937	\$0	\$3,937	\$0
PST	47835	E & V Slack, Inc. *	2013-1977-PST-E	TRAVIS	8/7/2014	\$3,000	\$0	\$3,000	\$0
PST	47717	Universal Enterprises, Inc.	2013-1828-PST-E	HARRIS	8/7/2014	\$2,888	\$0	\$2,888	\$0
PST	47662	JIPU, INC.	2013-1766-PST-E	BEXAR	8/7/2014	\$3,375	\$0	\$3,375	\$0
PST	47719	El Paso Electric Company	2013-1826-PST-E	EL PASO	8/7/2014	\$3,459	\$0	\$3,459	\$0
PST	46296	Dallas Trading Enterprises, Inc. dba Kuick Check	2013-0404-PST-E	BOSQUE	8/7/2014	\$5,129	\$0	\$5,129	\$0
PST	47470	JRP Oil Co., Inc.	2013-1565-PST-E	HUNT	8/7/2014	\$2,567	\$0	\$2,567	\$0
PST	46897	RF FOOD AND GAS, INC.	2013-1074-PST-E	BEXAR	8/15/2014	\$20,625	\$0	\$20,625	\$0
PST	47675	Joe's & Cho, Inc.	2013-1777-PST-E	TARRANT	8/15/2014	\$9,563	\$0	\$9,563	\$0
PST	48115	DURGA ENTERPRISES INC	2014-0051-PST-E	GALVESTON	8/15/2014	\$7,846	\$1,569	\$6,277	\$0
PST	47391	Star 1485 West, Inc.	2013-1492-PST-E	MONTGOMERY	8/15/2014	\$21,093	\$0	\$21,093	\$0
PST	47804	DHP SALES & SERVICES INC	2013-1917-PST-E	HARRIS	8/15/2014	\$9,420	\$1,884	\$7,536	\$0
PST	46288	SNI Corporation	2013-0399-PST-E	*MULTIPLE	8/15/2014	\$14,216	\$0	\$14,216	\$0
PST	47191	HAANA BUSINESS, LLC	2013-1355-PST-E	HIDALGO	8/20/2014	\$10,822	\$0	\$10,822	\$0
PST	47698	Alishan Enterprises, Inc.	2013-1801-PST-E	TARRANT	8/22/2014	\$1,625	\$325	\$1,300	\$0
PST	47921	C&R Distributing, LLC	2013-2045-PST-E	EL PASO	8/22/2014	\$3,855	\$771	\$3,084	\$0
PST	48505	Smart Shop, Inc.	2014-0480-PST-E	TARRANT	8/22/2014	\$1,347	\$269	\$1,078	\$0
PST	47538	City of Sugar Land	2013-1648-PST-E	FORT BEND	8/22/2014	\$2,438	\$487	\$1,951	\$0
PST	48523	Billy Van Nguyen	2014-0507-PST-E	JEFFERSON	8/22/2014	\$2,276	\$455	\$1,821	\$0
PST	48052	Ahluwalia Investments, Inc.	2013-2213-PST-E	DALLAS	8/25/2014	\$12,419	\$2,483	\$9,936	\$0
PST	45031	MALIK, RIZWAN	2012-1943-PST-E	GREGG	8/25/2014	\$14,154	\$2,830	\$11,324	\$0

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Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	47923	Galveston County	2013-2052-PST-E	GALVESTON	8/25/2014	\$8,288	\$1,657	\$6,631	\$0
PST	46104	FANNETT, LLC	2013-0220-PST-E	JEFFERSON	8/25/2014	\$11,000	\$5,594	\$5,406	\$0
PST	48118	Budget Rent-A-Car Of El Paso, Inc.	2014-0061-PST-E	EL PASO	8/25/2014	\$9,748	\$1,949	\$7,799	\$0
<b>Subtotal for PST : 682</b>						<b>\$4,339,350</b>	<b>\$527,093</b>	<b>\$3,768,140</b>	<b>\$44,117</b>
PWS	46554	CITY OF HENRIETTA	2013-0626-PWS-E	CLAY	9/12/2013	\$480	\$0	\$480	\$0
PWS	45363	SNI Corporation	2012-2211-PWS-E	COLORADO	9/12/2013	\$3,312	\$0	\$3,312	\$0
PWS	46240	City of Jefferson	2013-0349-PWS-E	MARION	9/12/2013	\$4,900	\$0	\$4,900	\$0
PWS	46471	Cascade Cavern, Inc.	2013-0574-PWS-E	KENDALL	9/14/2013	\$338	\$67	\$271	\$0
PWS	46507	NGUYEN, BAO VU *	2013-0591-PWS-E	BRAZOS	9/14/2013	\$350	\$70	\$280	\$0
PWS	45061	Jim Kelly's Ice Tee, L.L.C.	2012-1937-PWS-E	HARRIS	9/14/2013	\$1,206	\$241	\$965	\$0
PWS	46466	COLONY ASSOCIATES, LLC	2013-0561-PWS-E	HARRIS	9/14/2013	\$505	\$101	\$404	\$0
PWS	46415	Hydro Conduit of Texas, LP	2013-0516-PWS-E	AUSTIN	9/14/2013	\$200	\$40	\$160	\$0
PWS	46130	City of Angleton	2013-0299-PWS-E	BRAZORIA	9/14/2013	\$1,683	\$336	\$1,347	\$0
PWS	46387	Autozone Texas, L.P.	2013-0472-PWS-E	HARRIS	9/14/2013	\$150	\$30	\$120	\$0
PWS	46610	City of Higgins	2013-0703-PWS-E	LIPSCOMB	9/14/2013	\$495	\$99	\$396	\$0
PWS	46031	Ebeling Water Supply Corporation	2013-0138-PWS-E	HALE	9/14/2013	\$187	\$37	\$150	\$0
PWS	46346	Laguna Madre Water District	2013-0438-PWS-E	CAMERON	9/14/2013	\$442	\$88	\$354	\$0
PWS	44641	KHALIL TEX FOOD MART, L.L.C.	2012-1502-PWS-E	HARRIS	9/15/2013	\$800	\$0	\$800	\$0
PWS	46479	Pollok-Redtown Water Supply Corporation	2013-0573-PWS-E	ANGELINA	9/26/2013	\$513	\$102	\$411	\$0
PWS	45946	Fort Griffin SUD	2013-0061-PWS-E	SHACKELFORD	9/26/2013	\$690	\$138	\$552	\$0
PWS	46457	14777 Chrisman Road, LLC	2013-0543-PWS-E	HARRIS	9/26/2013	\$350	\$70	\$280	\$0
PWS	46723	City of Smiley	2013-0814-PWS-E	GONZALES	9/26/2013	\$517	\$103	\$414	\$0
PWS	46575	SHEKHANI, AFZAL	2013-0664-PWS-E	HARRIS	9/26/2013	\$631	\$126	\$505	\$0
PWS	46427	Laddie Machacek	2013-0514-PWS-E	BRAZORIA	9/26/2013	\$664	\$132	\$532	\$0
PWS	46549	Norwood Wsc	2013-0627-PWS-E	ANDERSON	9/26/2013	\$160	\$31	\$129	\$0
PWS	46618	The Mustang Valley Water Supply Corporation	2013-0713-PWS-E	BOSQUE	9/26/2013	\$50	\$10	\$40	\$0
PWS	46667	City of La Villa	2013-0762-PWS-E	HIDALGO	9/26/2013	\$788	\$157	\$631	\$0
PWS	45185	Vargas, Ampelio *	2012-2048-PWS-E	AUSTIN	9/30/2013	\$3,004	\$0	\$3,004	\$0
PWS	39800	K C Utilities, Inc.	2012-2088-PWS-E	BRAZORIA	9/30/2013	\$2,145	\$0	\$2,145	\$0
PWS	46062	City of Wheeler	2013-0184-PWS-E	WHEELER	9/30/2013	\$9,775	\$9,775	\$0	\$0
PWS	46224	Lyons Water Supply Corporation	2013-0330-PWS-E	BURLESON	9/30/2013	\$172	\$0	\$172	\$0
PWS	46063	The Consolidated Water Supply Corporation	2013-0202-PWS-E	HOUSTON	9/30/2013	\$2,982	\$2,982	\$0	\$0
PWS	46306	MacBee Sud	2013-0426-PWS-E	VAN ZANDT	9/30/2013	\$357	\$0	\$357	\$0
PWS	40501	Hustead, Yetta	2013-0359-PWS-E	BRAZORIA	10/2/2013	\$3,200	\$0	\$3,200	\$0
PWS	45921	Marin Gonzalez	2013-0038-PWS-E	HIDALGO	10/3/2013	\$275	\$55	\$220	\$0
PWS	46410	St. Charles Bay Trailer Inn, Inc.	2013-0484-PWS-E	ARANSAS	10/3/2013	\$1,739	\$347	\$1,392	\$0
PWS	46636	Hays Consolidated Independent School District	2013-0727-PWS-E	HAYS	10/3/2013	\$495	\$99	\$396	\$0
PWS	46555	Harris County Water Control and Improvement District 96	2013-0640-PWS-E	HARRIS	10/3/2013	\$525	\$105	\$420	\$0

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Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	46452	Wayne Robinson	2013-0553-PWS-E	WHARTON	10/3/2013	\$156	\$30	\$126	\$0
PWS	46449	Jeffrey Mahan	2013-0513-PWS-E	FORT BEND	10/3/2013	\$650	\$130	\$520	\$0
PWS	46640	City of Toyah	2013-0728-PWS-E	REEVES	10/3/2013	\$1,150	\$230	\$920	\$0
PWS	46754	Alloy Polymers Texas LP	2013-0844-PWS-E	HOUSTON	10/3/2013	\$174	\$34	\$140	\$0
PWS	46717	City of Knollwood	2013-0806-PWS-E	GRAYSON	10/3/2013	\$950	\$190	\$760	\$0
PWS	46579	C & C GENERAL INVESTMENTS INC	2013-0684-PWS-E	HARRIS	10/3/2013	\$1,144	\$228	\$916	\$0
PWS	46378	City of Bailey	2013-0460-PWS-E	FANNIN	10/3/2013	\$500	\$100	\$400	\$0
PWS	46385	AMIN RAFIQUE PROPERTIES, INC.	2013-0459-PWS-E	HARRIS	10/3/2013	\$254	\$50	\$204	\$0
PWS	45523	Stone Gate Golf Course, L.C.	2012-2383-PWS-E	LUBBOCK	10/18/2013	\$1,739	\$0	\$1,739	\$0
PWS	46269	City of Gladewater	2013-0380-PWS-E	GREGG	10/18/2013	\$4,848	\$0	\$4,848	\$0
PWS	46096	Robert F. Bryer	2013-0218-PWS-E	HARRIS	10/18/2013	\$1,264	\$0	\$1,264	\$0
PWS	46632	John B Sanfilippo & Son, Inc	2013-0721-PWS-E	GUADALUPE	10/21/2013	\$3,364	\$672	\$2,692	\$0
PWS	45936	MARLIN, ADDIE	2013-0049-PWS-E	BRAZORIA	10/21/2013	\$895	\$179	\$716	\$0
PWS	46533	Pixley Water Works, Inc.	2013-0615-PWS-E	POLK	10/21/2013	\$253	\$50	\$203	\$0
PWS	46789	Paint Creek Wsc	2013-0878-PWS-E	HASKELL	10/21/2013	\$952	\$189	\$763	\$0
PWS	46584	Marine Quest - BOZ I, L.P.	2013-0669-PWS-E	TARRANT	10/21/2013	\$847	\$168	\$679	\$0
PWS	47100	WHITE, DANIEL H	2013-1196-WOC-E	WICHITA	10/21/2013	\$175	\$0	\$175	\$0
PWS	46317	Big Chief R.V. Resort, L.L.C.	2013-0422-PWS-E	BURNET	10/21/2013	\$475	\$95	\$380	\$0
PWS	46990	Town of Quintana	2013-1090-PWS-E	BRAZORIA	10/21/2013	\$526	\$105	\$421	\$0
PWS	46414	Harris County	2013-0517-PWS-E	HARRIS	10/21/2013	\$350	\$70	\$280	\$0
PWS	47276	Annette Fields	2013-1386-WOC-E	BOWIE	10/21/2013	\$175	\$0	\$175	\$0
PWS	46556	Barbara Repka *	2013-0637-PWS-E	WALLER	11/1/2013	\$1,483	\$0	\$1,483	\$0
PWS	46557	Sandra West	2013-0641-PWS-E	MADISON	11/1/2013	\$413	\$82	\$331	\$0
PWS	46827	Union Carbide Corporation	2013-0906-PWS-E	CALHOUN	11/1/2013	\$309	\$61	\$248	\$0
PWS	46678	City of Southside Place	2013-0768-PWS-E	HARRIS	11/1/2013	\$145	\$0	\$145	\$0
PWS	46716	Palo Duro Service Company, Inc.	2013-0802-PWS-E	WISE	11/1/2013	\$800	\$160	\$640	\$0
PWS	46576	City of Paducah	2013-0663-PWS-E	COTTLE	11/1/2013	\$1,130	\$226	\$904	\$0
PWS	46282	SLOAN, JESSIE W	2013-0378-PWS-E	BOWIE	11/1/2013	\$1,628	\$325	\$1,303	\$0
PWS	46740	City of Star Harbor	2013-0827-PWS-E	HENDERSON	11/1/2013	\$305	\$0	\$305	\$0
PWS	46529	Federal Bureau of Prisons	2013-0616-PWS-E	JEFFERSON	11/1/2013	\$650	\$130	\$520	\$0
PWS	45670	City of La Joya	2012-2521-PWS-E	HIDALGO	11/1/2013	\$548	\$109	\$439	\$0
PWS	46028	Lake Livingston Water Supply and Sewer Service Corporation	2013-0139-PWS-E	POLK	11/1/2013	\$1,464	\$0	\$1,464	\$0
PWS	24608	Ollie J. Hilliard *	2012-2202-PWS-E	HARRIS	11/7/2013	\$3,009	\$0	\$3,009	\$0
PWS	46793	City of Marlin	2013-0877-PWS-E	FALLS	11/11/2013	\$555	\$0	\$555	\$0
PWS	47090	Town of Darrouzett	2013-1184-PWS-E	LIPSCOMB	11/16/2013	\$1,364	\$272	\$1,092	\$0
PWS	46943	Miller MHP, LLC	2013-1006-PWS-E	HARRIS	11/16/2013	\$945	\$189	\$756	\$0
PWS	46950	Texarkana Mobile Home Park, LLLP	2013-1037-PWS-E	BOWIE	11/16/2013	\$1,178	\$235	\$943	\$0

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PWS	46676	Glassel Properties, LLC	2013-0761-PWS-E	MONTGOMERY	11/16/2013	\$100	\$20	\$80	\$0
PWS	47425	GARCIA, JOSE	2013-1590-WOC-E	TRINITY	11/16/2013	\$175	\$0	\$175	\$0
PWS	46892	A Place to Grow - Child Guidance Center	2013-0976-PWS-E	BRAZORIA	11/16/2013	\$450	\$90	\$360	\$0
PWS	46669	Naylene Dilingham	2013-0753-PWS-E	BANDERA	11/16/2013	\$328	\$65	\$263	\$0
PWS	47126	Gracie G. Fletcher *	2013-1217-PWS-E	BRAZORIA	11/16/2013	\$1,011	\$202	\$809	\$0
PWS	46696	CHARLIE BROWN'S LEARNING CENTER	2013-0781-PWS-E	LUBBOCK	11/16/2013	\$620	\$124	\$496	\$0
PWS	46988	City of Maypearl	2013-1076-PWS-E	ELLIS	11/16/2013	\$660	\$132	\$528	\$0
PWS	46364	PINNACLE BUILDING CO., INC.	2013-0541-PWS-E	WILLIAMSON	11/16/2013	\$1,753	\$350	\$1,403	\$0
PWS	46836	Donald R. Cole *	2013-0919-PWS-E	PARKER	11/16/2013	\$450	\$90	\$360	\$0
PWS	47030	Northside Wsc	2013-1121-MLM-E	WILBARGER	11/16/2013	\$650	\$130	\$520	\$0
PWS	46830	International Boundary And Water Commission	2013-0905-PWS-E	*MULTIPLE	11/16/2013	\$535	\$107	\$428	\$0
PWS	46726	City of Throckmorton	2013-0813-PWS-E	THROCKMORTON	11/16/2013	\$420	\$84	\$336	\$0
PWS	46494	SOLHJOU, ALI MOHAMMAD	2013-0571-PWS-E	HARRIS	11/16/2013	\$485	\$97	\$388	\$0
PWS	46468	HDU Services, L.L.C.	2013-0558-PWS-E	BURLESON	11/16/2013	\$905	\$181	\$724	\$0
PWS	47424	CACERES, FAVIAN E	2013-1528-WOC-E	TRINITY	11/16/2013	\$175	\$0	\$175	\$0
PWS	44343	Fletcher Animal Clinic, P.C.	2012-1237-PWS-E	ERATH	11/21/2013	\$5,070	\$0	\$5,070	\$0
PWS	46453	NEW OASIS OF FAITH CHURCH	2013-0539-PWS-E	HARRIS	11/22/2013	\$357	\$0	\$357	\$0
PWS	45251	Timberland Water System, Inc.	2012-2123-PWS-E	SABINE	11/22/2013	\$1,021	\$0	\$1,021	\$0
PWS	47145	Ocean Mobile Home Park, LLC	2013-1233-PWS-E	CHAMBERS	11/28/2013	\$210	\$0	\$210	\$0
PWS	39803	Thirsty Parrot, LLC	2013-0215-PWS-E	WALLER	11/28/2013	\$240	\$0	\$240	\$0
PWS	46923	Bezinque Properties I, Ltd.	2013-1014-PWS-E	MIDLAND	11/28/2013	\$1,809	\$0	\$1,809	\$0
PWS	46960	Howard T. Nelius	2013-1047-PWS-E	AUSTIN	11/28/2013	\$1,394	\$0	\$1,394	\$0
PWS	40382	Jasper Cindi Inc.	2013-0754-PWS-E	JASPER	12/1/2013	\$1,891	\$0	\$1,891	\$0
PWS	46846	Hustead, Yetta	2013-0936-PWS-E	BRAZORIA	12/1/2013	\$702	\$0	\$702	\$0
PWS	46645	Community Water Service, Inc.	2013-0738-PWS-E	*MULTIPLE	12/9/2013	\$2,050	\$408	\$1,642	\$0
PWS	47172	Texas H2O, Inc.	2013-1268-PWS-E	HOOD	12/9/2013	\$164	\$32	\$132	\$0
PWS	47037	City of Stamford	2013-1126-PWS-E	JONES	12/9/2013	\$172	\$34	\$138	\$0
PWS	46426	Carrington Associates, Inc.	2013-0515-PWS-E	COOKE	12/9/2013	\$1,357	\$271	\$1,086	\$0
PWS	46981	Wayne C Bradford	2013-1068-PWS-E	HAMILTON	12/9/2013	\$550	\$110	\$440	\$0
PWS	43258	JVAC Properties, LLC	2012-0057-PWS-E	DENTON	12/9/2013	\$664	\$132	\$532	\$0
PWS	44546	Tommy Fisher	2012-1403-PWS-E	LUBBOCK	12/9/2013	\$371	\$73	\$298	\$0
PWS	47046	CASA ESPERANZA, INC.	2013-1144-PWS-E	WILLIAMSON	12/9/2013	\$1,071	\$213	\$858	\$0
PWS	47070	Milano WSC	2013-1167-PWS-E	MILAM	12/9/2013	\$1,125	\$225	\$900	\$0
PWS	46102	SOLHJOU, ALI MOHAMMAD	2013-0217-PWS-E	HARRIS	12/9/2013	\$90	\$18	\$72	\$0
PWS	46497	Consumers Water Inc.	2013-0570-PWS-E	HARRIS	12/9/2013	\$4,117	\$823	\$3,294	\$0
PWS	47200	Rio Water Supply Corporation	2013-1293-PWS-E	STARR	12/9/2013	\$1,764	\$352	\$1,412	\$0
PWS	46786	VSW PROPERTIES, LLC	2013-0880-PWS-E	VICTORIA	12/9/2013	\$470	\$94	\$376	\$0
PWS	46941	Alamo Commercial Properties, Ltd.	2013-1008-PWS-E	BEXAR	12/9/2013	\$455	\$91	\$364	\$0

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PWS	46971	Cotulla Fish Hatchery LLC	2013-1054-PWS-E	LA SALLE	12/9/2013	\$627	\$125	\$502	\$0
PWS	46940	Best Friends Treasures Inc.	2013-1009-PWS-E	WALLER	12/9/2013	\$152	\$30	\$122	\$0
PWS	44204	CHANDER, RAMESH *	2012-1050-PWS-E	HARRIS	12/9/2013	\$110	\$22	\$88	\$0
PWS	46302	Mark Stewart dba Stewart Water	2013-0407-PWS-E	CASS	12/19/2013	\$4,861	\$0	\$4,861	\$0
PWS	43568	Mountain Breeze, L.L.C.	2012-0368-PWS-E	COMAL	12/19/2013	\$2,138	\$0	\$2,138	\$0
PWS	46647	North Victoria Utilities, Inc.	2013-0803-PWS-E	VICTORIA	1/2/2014	\$1,796	\$359	\$1,437	\$0
PWS	46788	Jimbo's Road House, LLC	2013-0879-PWS-E	HARRIS	1/2/2014	\$262	\$52	\$210	\$0
PWS	47155	Ranger Utility Company	2013-1256-PWS-E	WALLER	1/2/2014	\$836	\$166	\$670	\$0
PWS	47280	David Friedman	2013-1394-PWS-E	HARRIS	1/2/2014	\$816	\$162	\$654	\$0
PWS	47031	Ricardos Trailer Park LLC	2013-1113-PWS-E	JOHNSON	1/2/2014	\$1,331	\$266	\$1,065	\$0
PWS	47376	Go Park Mobile, LLC	2013-1491-PWS-E	COMAL	1/2/2014	\$2,088	\$416	\$1,672	\$0
PWS	47098	David Thompson as Trustee of MINERAL TRUST 2 *	2013-1198-PWS-E	MOORE	1/2/2014	\$481	\$96	\$385	\$0
PWS	47245	Birome WSC	2013-1343-PWS-E	HILL	1/2/2014	\$650	\$130	\$520	\$0
PWS	47150	Cottonwood Creek MHP, L.P.	2013-1248-PWS-E	DALLAS	1/2/2014	\$750	\$150	\$600	\$0
PWS	47146	Karen Reeves	2013-1232-PWS-E	COOKE	1/2/2014	\$300	\$60	\$240	\$0
PWS	46800	Chong Bai Xia	2013-0891-PWS-E	TARRANT	1/2/2014	\$570	\$114	\$456	\$0
PWS	47242	Kempner Water Supply Corporation	2013-1344-PWS-E	LAMPASAS	1/2/2014	\$1,824	\$364	\$1,460	\$0
PWS	47360	County Line Special Utility District	2013-1470-PWS-E	HAYS	1/2/2014	\$160	\$32	\$128	\$0
PWS	44989	CABAZOS, JOSE FLORES	2012-1879-PWS-E	LUBBOCK	1/8/2014	\$214	\$0	\$214	\$0
PWS	46962	Daves's Roofing Siding and Metal Buildings LLC	2013-1049-PWS-E	LUBBOCK	1/24/2014	\$812	\$0	\$812	\$0
PWS	47277	City of La Villa	2013-1387-PWS-E	HIDALGO	1/24/2014	\$1,288	\$0	\$1,288	\$0
PWS	46759	Red Sand RV, LLC	2013-0855-PWS-E	LA SALLE	1/24/2014	\$2,265	\$0	\$2,265	\$0
PWS	46961	River Bend Water Services, Inc.	2013-1050-PWS-E	MATAGORDA	1/24/2014	\$247	\$0	\$247	\$0
PWS	46626	Red Rock Wsc	2013-0718-PWS-E	DENTON	1/24/2014	\$1,105	\$0	\$1,105	\$0
PWS	40492	PEREZ, ELIZABETH	2013-0704-PWS-E	WEBB	1/24/2014	\$8,312	\$0	\$8,312	\$0
PWS	46848	Lake Livingston Water Supply and Sewer Service Corporation	2013-0942-PWS-E	WALKER	1/24/2014	\$750	\$0	\$750	\$0
PWS	46864	Ramon C. Gonzales, Jr.	2013-0949-PWS-E	MIDLAND	1/24/2014	\$1,444	\$0	\$1,444	\$0
PWS	46889	Sunny Flea Market, Inc.	2013-0978-PWS-E	HARRIS	1/24/2014	\$2,041	\$0	\$2,041	\$0
PWS	46745	Larry E. Smith	2013-0825-PWS-E	BEXAR	1/24/2014	\$1,723	\$0	\$1,723	\$0
PWS	47063	Francisca Richter *	2013-1158-PWS-E	EL PASO	1/24/2014	\$1,199	\$0	\$1,199	\$0
PWS	47531	Jalynn Raybion	2013-1633-PWS-E	BRAZORIA	1/25/2014	\$928	\$185	\$743	\$0
PWS	46942	Louie Koonce	2013-1007-PWS-E	MIDLAND	1/25/2014	\$606	\$121	\$485	\$0
PWS	47361	8 Mile Park, L.P.	2013-1469-PWS-E	BRAZORIA	1/25/2014	\$1,618	\$322	\$1,296	\$0
PWS	47407	Milberger Landscaping, Inc.	2013-1515-PWS-E	BEXAR	1/25/2014	\$500	\$100	\$400	\$0
PWS	47247	City of Rosebud	2013-1349-PWS-E	FALLS	1/25/2014	\$250	\$50	\$200	\$0
PWS	47364	Joseph A. Boulais	2013-1468-PWS-E	HARRIS	1/25/2014	\$2,434	\$486	\$1,948	\$0
PWS	47357	Fred A. Mitchell	2013-1471-PWS-E	JOHNSON	1/25/2014	\$700	\$140	\$560	\$0

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PWS	47175	Rural Bardwell WSC	2013-1267-MLM-E	ELLIS	1/25/2014	\$209	\$41	\$168	\$0
PWS	47216	Sam Rayburn Water, Inc.	2013-1315-PWS-E	SAN AUGUSTINE	1/25/2014	\$1,905	\$381	\$1,524	\$0
PWS	46978	George Watson *	2013-1069-PWS-E	ROBERTSON	1/25/2014	\$1,195	\$239	\$956	\$0
PWS	47241	City of Detroit	2013-1345-PWS-E	RED RIVER	1/25/2014	\$750	\$150	\$600	\$0
PWS	47379	SIGNOR Logistics, LP	2013-1490-PWS-E	DIMITT	1/25/2014	\$105	\$21	\$84	\$0
PWS	47433	Texarkana Mobile Home Park, LLLP	2013-1538-PWS-E	BOWIE	1/25/2014	\$1,151	\$229	\$922	\$0
PWS	47318	Stripes LLC *	2013-1430-PWS-E	LUBBOCK	1/25/2014	\$713	\$142	\$571	\$0
PWS	47020	Manuel Izaguirre *	2013-1114-PWS-E	RANDALL	1/25/2014	\$450	\$90	\$360	\$0
PWS	47412	City of New Boston	2013-1513-PWS-E	BOWIE	1/25/2014	\$255	\$51	\$204	\$0
PWS	47186	City of Mercedes	2013-1282-PWS-E	HIDALGO	1/25/2014	\$1,155	\$231	\$924	\$0
PWS	46347	Clay WSC	2013-0441-PWS-E	BURLESON	2/8/2014	\$920	\$0	\$920	\$0
PWS	46863	LIVE OAKS AT BERRY CREEK RV PARK, INC.	2013-0950-PWS-E	WILLIAMSON	2/8/2014	\$689	\$0	\$689	\$0
PWS	47898	WINDHAM, JAMES R	2013-2023-WOC-E	ORANGE	2/9/2014	\$175	\$0	\$175	\$0
PWS	47349	Shin-Etsu Silicones of America, Inc.	2013-1460-PWS-E	BRAZORIA	2/9/2014	\$658	\$131	\$527	\$0
PWS	47641	BOEKER, JOYCE L	2013-1737-PWS-E	WASHINGTON	2/9/2014	\$142	\$28	\$114	\$0
PWS	47626	City of Stamford	2013-1724-PWS-E	JONES	2/9/2014	\$366	\$73	\$293	\$0
PWS	47671	The North American Islamic Trust Inc.	2013-1776-PWS-E	FORT BEND	2/9/2014	\$374	\$74	\$300	\$0
PWS	47627	Forest Water Supply Corporation	2013-1723-PWS-E	CHEROKEE	2/9/2014	\$351	\$70	\$281	\$0
PWS	47331	James McIntire	2013-1442-PWS-E	VAL VERDE	2/9/2014	\$2,590	\$517	\$2,073	\$0
PWS	46506	Martin, Granville Geronimo III *	2013-0821-PWS-E	PARKER	2/9/2014	\$1,305	\$261	\$1,044	\$0
PWS	47041	Valerie Wilson	2013-1136-PWS-E	*MULTIPLE	2/14/2014	\$2,563	\$0	\$2,563	\$0
PWS	46783	City of Texarkana	2013-0881-PWS-E	BOWIE	2/14/2014	\$18,850	\$0	\$18,850	\$0
PWS	47159	City of Mertens	2013-1254-PWS-E	HILL	2/14/2014	\$650	\$0	\$650	\$0
PWS	47320	City of Coolidge	2013-1436-PWS-E	LIMESTONE	2/16/2014	\$875	\$175	\$700	\$0
PWS	47421	Encinal Water Supply Corporation	2013-1512-PWS-E	LA SALLE	2/16/2014	\$150	\$30	\$120	\$0
PWS	47619	Hog Creek Water Supply Corporation	2013-1712-PWS-E	MCLENNAN	2/16/2014	\$100	\$20	\$80	\$0
PWS	47801	Phyllis Bigby *	2013-1898-PWS-E	COMAL	2/16/2014	\$175	\$35	\$140	\$0
PWS	47993	TEDFORD, DAVID R	2013-2143-WOC-E	YOAKUM	2/16/2014	\$175	\$0	\$175	\$0
PWS	47217	Ebeling Water Supply Corporation	2013-1314-PWS-E	HALE	2/16/2014	\$1,020	\$204	\$816	\$0
PWS	46631	City of Annona	2013-0723-PWS-E	RED RIVER	2/22/2014	\$1,060	\$0	\$1,060	\$0
PWS	43255	Duchman, Ltd.	2013-0682-PWS-E	HAYS	2/22/2014	\$6,058	\$0	\$6,058	\$0
PWS	47255	Community Water Service, Inc.	2013-1361-PWS-E	DENTON	2/22/2014	\$1,052	\$0	\$1,052	\$0
PWS	46853	GHANI, NORA	2013-0941-PWS-E	HARRIS	3/6/2014	\$315	\$0	\$315	\$0
PWS	46360	WATTS, CHARLES	2013-0486-PWS-E	MARION	3/6/2014	\$507	\$0	\$507	\$0
PWS	47066	Reyna A Martinez	2013-1159-PWS-E	MIDLAND	3/6/2014	\$578	\$0	\$578	\$0
PWS	46699	Don Ayalas Mexican Restaurant and Club, Inc.	2013-0780-PWS-E	HOOD	3/6/2014	\$575	\$0	\$575	\$0
PWS	47526	Highway 117 Water Supply Corporation	2013-1628-PWS-E	UVALDE	3/9/2014	\$562	\$112	\$450	\$0
PWS	46627	Scott W. Gray	2013-0739-PWS-E	*MULTIPLE	3/9/2014	\$600	\$120	\$480	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	47631	Rodell Water System, Inc.	2013-1843-PWS-E	LEON	3/9/2014	\$3,570	\$713	\$2,857	\$0
PWS	47476	Copperas Cove MHC, LLC	2013-1576-PWS-E	CORYELL	3/9/2014	\$4,476	\$895	\$1,791	\$1,790
PWS	46491	City of Kendleton	2013-0572-PWS-E	FORT BEND	3/9/2014	\$260	\$52	\$208	\$0
PWS	47702	Angel Elias	2013-1807-PWS-E	ECTOR	3/9/2014	\$656	\$131	\$525	\$0
PWS	47312	American Kcritical Energy Systems, Inc.	2013-1409-PWS-E	COMAL	3/9/2014	\$2,538	\$507	\$2,031	\$0
PWS	47313	RODDY, DON	2013-1408-WOC-E	DENTON	3/9/2014	\$946	\$189	\$757	\$0
PWS	47176	Al S. Jabouri	2013-1266-PWS-E	*MULTIPLE	3/9/2014	\$300	\$60	\$240	\$0
PWS	47780	Lake Livingston Water Supply and Sewer Service Corporation	2013-1887-PWS-E	POLK	3/9/2014	\$350	\$70	\$280	\$0
PWS	46359	PEREZ, JORGE	2013-0461-WOC-E	STARR	3/14/2014	\$1,000	\$0	\$1,000	\$0
PWS	46930	Leroy Leonard Limas	2013-1091-PWS-E	EL PASO	3/14/2014	\$3,744	\$0	\$3,744	\$0
PWS	47666	Vicki Seyer *	2013-1770-PWS-E	GALVESTON	3/16/2014	\$2,668	\$533	\$2,135	\$0
PWS	47788	Flo Community Water Supply Corporation	2013-1892-PWS-E	LEON	3/16/2014	\$300	\$60	\$240	\$0
PWS	47669	Ponderosa and Western Village Water Supply Corporation	2013-1773-PWS-E	EL PASO	3/16/2014	\$780	\$156	\$624	\$0
PWS	47811	City of Lovelady	2013-1914-PWS-E	HOUSTON	3/28/2014	\$1,000	\$200	\$800	\$0
PWS	47576	City of Marble Falls	2013-1675-PWS-E	BURNET	3/28/2014	\$2,197	\$439	\$1,758	\$0
PWS	47574	Adonai Elohai, LLC	2013-1671-PWS-E	GILLESPIE	3/28/2014	\$764	\$152	\$306	\$306
PWS	46757	Thurman Black	2013-0856-PWS-E	HARRIS	3/28/2014	\$1,077	\$215	\$862	\$0
PWS	47592	City Of New Home	2013-1688-PWS-E	LYNN	3/28/2014	\$315	\$63	\$252	\$0
PWS	47864	Orangefield Water Supply Corporation	2013-1986-PWS-E	ORANGE	3/28/2014	\$270	\$54	\$216	\$0
PWS	47256	W J Roddy	2013-1370-PWS-E	DENTON	3/28/2014	\$2,042	\$408	\$1,634	\$0
PWS	46929	Donald E Crane	2013-1011-PWS-E	MIDLAND	4/5/2014	\$2,775	\$0	\$2,775	\$0
PWS	47273	Marilyn Kay Ott	2013-1378-PWS-E	BRAZORIA	4/5/2014	\$1,242	\$0	\$1,242	\$0
PWS	46620	Bunkhouse Land and Cattle, LLC	2013-0716-PWS-E	ERATH	4/7/2014	\$500	\$0	\$500	\$0
PWS	46625	Bosque Basin Water Supply Corporation	2013-0717-PWS-E	MCLENNAN	4/7/2014	\$2,537	\$0	\$2,537	\$0
PWS	44279	Sahil Ventures, Inc.	2012-1131-PWS-E	MONTGOMERY	4/7/2014	\$2,292	\$0	\$2,292	\$0
PWS	47960	Saint Paul WSC	2013-2098-PWS-E	SAN PATRICIO	4/18/2014	\$1,551	\$309	\$1,242	\$0
PWS	47924	City of Franklin	2013-2051-PWS-E	ROBERTSON	4/18/2014	\$150	\$30	\$120	\$0
PWS	47873	Jack Turner	2013-1996-PWS-E	KERR	4/18/2014	\$827	\$165	\$662	\$0
PWS	47854	Leona Bullock	2013-1971-PWS-E	KERR	4/18/2014	\$603	\$120	\$483	\$0
PWS	47813	Lester A. Saucier Jr.	2013-1916-PWS-E	ORANGE	4/18/2014	\$660	\$132	\$528	\$0
PWS	47926	Windsor Water Company	2013-2057-PWS-E	MCLENNAN	4/18/2014	\$1,389	\$277	\$1,112	\$0
PWS	48003	Taylor, Bart L	2013-2153-PWS-E	DIMMIT	4/18/2014	\$150	\$30	\$120	\$0
PWS	47761	Ron C King	2013-1860-PWS-E	BRAZORIA	4/18/2014	\$765	\$153	\$612	\$0
PWS	47624	City of Ranger	2013-1711-PWS-E	EASTLAND	4/18/2014	\$3,562	\$712	\$2,850	\$0
PWS	46951	Prairie Grove WSC	2013-1004-PWS-E	ANGELINA	4/19/2014	\$1,282	\$0	\$1,282	\$0
PWS	46641	HELD GOLF, Ltd.	2013-0733-PWS-E	RANDALL	4/19/2014	\$2,263	\$0	\$2,263	\$0
PWS	44253	Southwestern Bell Telephone Company	2012-1118-PWS-E	FORT BEND	4/19/2014	\$100	\$0	\$100	\$0

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PWS	48246	BELL, DEBRA F	2014-0206-WOC-E	LUBBOCK	5/3/2014	\$175	\$0	\$175	\$0
PWS	47833	Lass Utility Service Company Inc	2013-1929-PWS-E	MCLENNAN	5/3/2014	\$788	\$157	\$631	\$0
PWS	48014	Kempner Water Supply Corporation	2013-2165-PWS-E	LAMPASAS	5/3/2014	\$744	\$148	\$596	\$0
PWS	47793	Lucite International, Inc.	2013-1894-PWS-E	JEFFERSON	5/3/2014	\$2,339	\$467	\$1,872	\$0
PWS	47065	City of Marlin	2013-1162-PWS-E	FALLS	5/3/2014	\$5,631	\$1,126	\$0	\$4,505
PWS	47981	Mahard Egg Farm, Inc.	2013-2124-PWS-E	WILBARGER	5/3/2014	\$1,241	\$248	\$993	\$0
PWS	47568	Jusryn Company, Inc.	2013-1658-PWS-E	HOOD	5/8/2014	\$330	\$0	\$330	\$0
PWS	44066	Intercontinental Water Supply Corporation	2012-0906-PWS-E	HARRIS	5/9/2014	\$1,988	\$0	\$1,988	\$0
PWS	45754	Buchanan Lake Village, Inc.	2012-2609-PWS-E	LLANO	5/9/2014	\$2,942	\$0	\$2,942	\$0
PWS	41995	Derby Water Supply Corporation	2013-1270-PWS-E	FRIO	5/9/2014	\$3,465	\$0	\$3,465	\$0
PWS	46886	CABAZOS, JOSE FLORES	2013-1015-PWS-E	LUBBOCK	5/9/2014	\$3,801	\$0	\$3,801	\$0
PWS	47945	Rock Creek Water Supply Corporation	2013-2084-PWS-E	PALO PINTO	5/15/2014	\$687	\$137	\$550	\$0
PWS	48381	SEA, MICHAEL L	2014-0349-WOC-E	HOCKLEY	5/15/2014	\$175	\$0	\$175	\$0
PWS	46674	City of Rose City	2013-0765-PWS-E	ORANGE	5/15/2014	\$2,325	\$465	\$1,860	\$0
PWS	48122	R&D Terrell Properties LLC	2014-0060-PWS-E	LUBBOCK	5/15/2014	\$100	\$20	\$80	\$0
PWS	47944	City of Mexia	2013-2085-PWS-E	LIMESTONE	5/15/2014	\$1,951	\$390	\$1,561	\$0
PWS	48124	Town of Darrouzett	2014-0073-PWS-E	LIPSCOMB	5/15/2014	\$366	\$73	\$293	\$0
PWS	47102	Bexar County	2013-1197-PWS-E	BEXAR	5/15/2014	\$402	\$80	\$322	\$0
PWS	48065	Northwoods Wsc	2013-2217-PWS-E	MONTGOMERY	5/15/2014	\$1,712	\$341	\$1,371	\$0
PWS	47846	Mountain Breeze, L.L.C.	2013-1954-PWS-E	COMAL	5/15/2014	\$1,407	\$281	\$1,126	\$0
PWS	48030	Greenwood Terrace MHP, LLC	2013-2181-PWS-E	MIDLAND	5/24/2014	\$13,090	\$13,090	\$0	\$0
PWS	47962	City of Buffalo Gap	2013-2097-PWS-E	TAYLOR	5/24/2014	\$150	\$150	\$0	\$0
PWS	47893	City of Tahoka	2013-2017-PWS-E	LYNN	5/24/2014	\$2,100	\$2,100	\$0	\$0
PWS	38789	Patton Lake, Inc.	2013-1944-PWS-E	MONTGOMERY	5/24/2014	\$3,960	\$0	\$3,960	\$0
PWS	47876	REED RV PARK INC	2013-1994-PWS-E	GARZA	5/24/2014	\$990	\$990	\$0	\$0
PWS	46068	THE DYNAMITE INC	2013-0182-PWS-E	MONTGOMERY	5/24/2014	\$1,661	\$0	\$1,661	\$0
PWS	47899	Kamira Property Owners Association, Inc.	2013-2024-PWS-E	KERR	5/24/2014	\$172	\$172	\$0	\$0
PWS	47699	United States Army Corps of Engineers	2013-1806-PWS-E	TARRANT	5/24/2014	\$517	\$0	\$517	\$0
PWS	47917	Harris County Municipal Utility District 25	2013-2041-PWS-E	HARRIS	5/24/2014	\$172	\$172	\$0	\$0
PWS	47965	Steamboat Mountain Water Supply Corporation	2013-2126-PWS-E	TAYLOR	5/24/2014	\$714	\$714	\$0	\$0
PWS	47946	Kenedy County	2013-2083-PWS-E	KENEDY	6/5/2014	\$1,016	\$203	\$813	\$0
PWS	48187	Monarch Utilities I L.P.	2014-0123-PWS-E	HENDERSON	6/5/2014	\$1,402	\$280	\$1,122	\$0
PWS	48133	Monarch Utilities I L.P.	2014-0067-PWS-E	POLK	6/5/2014	\$3,403	\$680	\$2,723	\$0
PWS	44251	Judy K Martin *	2012-1102-PWS-E	PARKER	6/5/2014	\$2,147	\$429	\$1,718	\$0
PWS	48228	M&L Water Supply Corporation	2014-0186-PWS-E	PARKER	6/5/2014	\$817	\$163	\$654	\$0
PWS	47455	Virginia Franklin Fuller	2013-1558-PWS-E	LUBBOCK	6/5/2014	\$3,443	\$687	\$2,756	\$0
PWS	48163	PSC Custom, LP	2014-0108-PWS-E	HARRIS	6/5/2014	\$1,751	\$350	\$1,401	\$0
PWS	48128	City of Brady	2014-0071-PWS-E	MCCULLOCH	6/5/2014	\$455	\$91	\$364	\$0

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PWS	48226	Town of Quintana	2014-0165-PWS-E	BRAZORIA	6/5/2014	\$411	\$82	\$329	\$0
PWS	48064	Olympia Realty Corporation	2013-2218-PWS-E	TRINITY	6/5/2014	\$613	\$122	\$491	\$0
PWS	48119	DISCOVERY PLAYHOUSE LEARNING CENTER, INC.	2014-0065-PWS-E	HARRIS	6/5/2014	\$3,485	\$696	\$2,789	\$0
PWS	46741	T & G MHP, Inc.	2013-0826-PWS-E	TARRANT	6/8/2014	\$937	\$0	\$937	\$0
PWS	47967	Callahan County Water Supply Corporation	2013-2108-PWS-E	CALLAHAN	6/13/2014	\$172	\$172	\$0	\$0
PWS	41854	PEREZ, ELIZABETH	2013-1563-PWS-E	WEBB	6/13/2014	\$1,492	\$0	\$1,492	\$0
PWS	47884	Rochelle Water Supply Corporation	2013-2010-PWS-E	MCCULLOCH	6/13/2014	\$178	\$178	\$0	\$0
PWS	47625	Lake Corpus Christi RV Park & Marina, L.L.C.	2013-1742-PWS-E	LIVE OAK	6/13/2014	\$1,112	\$0	\$1,112	\$0
PWS	47633	Candelaria Water Supply Corporation	2013-1741-PWS-E	PRESIDIO	6/13/2014	\$1,472	\$0	\$1,472	\$0
PWS	47969	BeGreen Recycling, L.L.C.	2013-2110-PWS-E	KARNES	6/13/2014	\$672	\$672	\$0	\$0
PWS	47874	ELITE PARADICE INVESTMENTS, LLC	2013-1995-PWS-E	BRAZORIA	6/13/2014	\$705	\$0	\$705	\$0
PWS	41093	Rays Acquisition Company LLC	2013-0771-PWS-E	WALLER	6/13/2014	\$6,089	\$0	\$6,089	\$0
PWS	47883	Webb County Consolidated Independent School District	2013-2004-PWS-E	WEBB	6/13/2014	\$183	\$183	\$0	\$0
PWS	47934	Catholic Charities of the Archdiocese of Galveston-Houston	2013-2065-PWS-E	GRIMES	6/13/2014	\$780	\$0	\$780	\$0
PWS	47950	Pure Utilities, L.C.	2013-2089-PWS-E	TYLER	6/13/2014	\$315	\$315	\$0	\$0
PWS	48141	Huffman Independent School District	2014-0079-PWS-E	HARRIS	6/22/2014	\$600	\$120	\$480	\$0
PWS	48389	BEF Foods, Inc.	2014-0359-PWS-E	DALLAS	6/22/2014	\$1,739	\$347	\$1,392	\$0
PWS	48042	Old Marbach School Water Supply Corporation	2013-2194-PWS-E	LIVE OAK	6/22/2014	\$1,071	\$213	\$858	\$0
PWS	48018	AMC Creekside LLC	2013-2172-PWS-E	DENTON	6/22/2014	\$525	\$105	\$420	\$0
PWS	48135	King Cottle Water Supply Corporation	2014-0096-PWS-E	COTTLE	6/22/2014	\$999	\$199	\$800	\$0
PWS	48270	Voyles, LLC	2014-0214-PWS-E	FAYETTE	6/22/2014	\$213	\$213	\$0	\$0
PWS	47444	Grassland Water Supply Corporation	2013-1599-PWS-E	LYNN	6/22/2014	\$1,000	\$200	\$800	\$0
PWS	48243	Quail Creek MUD	2014-0201-PWS-E	VICTORIA	6/22/2014	\$172	\$172	\$0	\$0
PWS	48046	Robert A. Williams	2013-2202-PWS-E	MONTGOMERY	6/22/2014	\$2,897	\$578	\$2,319	\$0
PWS	48285	LAS PALOMAS WATER SERVICES COMPANY	2014-0239-PWS-E	WILSON	6/22/2014	\$467	\$93	\$374	\$0
PWS	47916	2012 Weatherford Holdings, LLC	2013-2042-PWS-E	PARKER	6/29/2014	\$576	\$576	\$0	\$0
PWS	47915	Aqua Utilities, Inc.	2013-2043-PWS-E	KERR	6/29/2014	\$990	\$990	\$0	\$0
PWS	47989	Armstrong Water Supply Corporation	2013-2136-PWS-E	BELL	6/29/2014	\$172	\$172	\$0	\$0
PWS	47036	73 Land Corp, Inc.	2013-1127-PWS-E	JEFFERSON	6/29/2014	\$2,877	\$0	\$2,877	\$0
PWS	48074	Terry Bourbon	2014-0007-PWS-E	EL PASO	6/29/2014	\$608	\$608	\$0	\$0
PWS	47956	Martin Operating Partnership L. P.	2013-2099-PWS-E	NUECES	6/29/2014	\$172	\$172	\$0	\$0
PWS	47994	STX Process Equipment LLC	2013-2145-PWS-E	DUVAL	6/29/2014	\$606	\$606	\$0	\$0
PWS	47528	Steve Hebert	2013-1627-PWS-E	HARRIS	6/29/2014	\$1,672	\$0	\$1,672	\$0
PWS	48111	City of Paint Rock	2014-0044-PWS-E	CONCHO	6/29/2014	\$810	\$810	\$0	\$0
PWS	47281	REAGAN, PAULA	2013-1393-PWS-E	DENTON	7/3/2014	\$7,307	\$0	\$7,307	\$0
PWS	39920	RICHARD J DUDA	2013-1472-PWS-E	*MULTIPLE	7/3/2014	\$12,428	\$0	\$12,428	\$0
PWS	47252	Barbara Miller	2013-1360-UTL-E	FORT BEND	7/3/2014	\$7,400	\$0	\$7,400	\$0
PWS	48376	City of Lueders	2014-0340-PWS-E	JONES	7/5/2014	\$215	\$43	\$172	\$0

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PWS	48061	Nameless Hollow Council of Co-Owners	2014-0017-PWS-E	TRAVIS	7/5/2014	\$170	\$34	\$136	\$0
PWS	48221	City of Shepherd	2014-0166-PWS-E	SAN JACINTO	7/5/2014	\$872	\$174	\$698	\$0
PWS	47955	Military Highway Water Supply Corporation	2013-2100-PWS-E	HIDALGO	7/5/2014	\$1,200	\$240	\$960	\$0
PWS	48269	City of Huxley	2014-0215-PWS-E	SHELBY	7/5/2014	\$360	\$72	\$288	\$0
PWS	48236	4J Riverway, LLC	2014-0182-PWS-E	UVALDE	7/5/2014	\$520	\$104	\$416	\$0
PWS	48374	AMK Properties L L C	2014-0355-PWS-E	FRIO	7/5/2014	\$892	\$178	\$714	\$0
PWS	48284	Victoria County Water Control and Improvement District No. 2	2014-0240-PWS-E	VICTORIA	7/5/2014	\$312	\$62	\$250	\$0
PWS	48240	Aqua Utilities, Inc.	2014-0180-PWS-E	GRIMES	7/5/2014	\$157	\$31	\$126	\$0
PWS	48183	Dobbin Plantersville Water Supply Corporation, (A Non-Profit Corporation)	2014-0136-PWS-E	MONTGOMERY	7/5/2014	\$525	\$105	\$420	\$0
PWS	48348	Tucker Water Supply Corporation	2014-0313-PWS-E	ANDERSON	7/5/2014	\$535	\$106	\$429	\$0
PWS	48239	Best Friends Treasures Inc.	2014-0181-PWS-E	WALLER	7/5/2014	\$967	\$193	\$774	\$0
PWS	48155	Joey Paul Sulak	2014-0142-PWS-E	FORT BEND	7/5/2014	\$217	\$43	\$174	\$0
PWS	48230	North Hunt SUD	2014-0185-PWS-E	HUNT	7/5/2014	\$782	\$156	\$626	\$0
PWS	48168	Fly By Night Cattle Company Private Club, Inc	2014-0107-PWS-E	JOHNSON	7/5/2014	\$500	\$100	\$400	\$0
PWS	47975	David L. Fritz *	2013-2119-PWS-E	LUBBOCK	7/11/2014	\$2,808	\$2,808	\$0	\$0
PWS	47882	Margarito Flores *	2013-2005-PWS-E	GILLESPIE	7/11/2014	\$1,087	\$1,087	\$0	\$0
PWS	47530	Rebecca Creek Mud	2013-1630-PWS-E	COMAL	7/11/2014	\$366	\$0	\$366	\$0
PWS	41976	Donald E Crane	2013-1915-PWS-E	MIDLAND	7/11/2014	\$1,355	\$0	\$1,355	\$0
PWS	47511	Shore-Tech, Inc.	2013-1613-PWS-E	GALVESTON	7/11/2014	\$1,904	\$0	\$1,904	\$0
PWS	48248	HOCHHEIM PRAIRIE HERMANN SONS HALL ASSOCIATION	2014-0232-PWS-E	DEWITT	7/11/2014	\$1,380	\$1,380	\$0	\$0
PWS	47991	Stepping Stone Ministry, Inc.	2013-2140-PWS-E	MIDLAND	7/11/2014	\$660	\$660	\$0	\$0
PWS	47970	Key Energy Services, LLC	2013-2125-PWS-E	MIDLAND	7/11/2014	\$630	\$630	\$0	\$0
PWS	48154	Maria A. Beltran *	2014-0095-PWS-E	STARR	7/11/2014	\$270	\$0	\$270	\$0
PWS	48104	Flomot Water Supply Corporation	2014-0030-PWS-E	MOTLEY	7/11/2014	\$9,100	\$9,100	\$0	\$0
PWS	48132	Monarch Utilities I L.P.	2014-0068-PWS-E	POLK	7/11/2014	\$1,404	\$1,404	\$0	\$0
PWS	48090	City of Ropesville	2014-0028-PWS-E	HOCKLEY	7/11/2014	\$1,228	\$1,228	\$0	\$0
PWS	47949	City of Rosebud	2013-2088-PWS-E	FALLS	7/11/2014	\$486	\$486	\$0	\$0
PWS	48471	Ellisor Investments, Ltd.	2014-0444-PWS-E	HARRIS	7/18/2014	\$1,265	\$252	\$1,013	\$0
PWS	48377	Montgomery County Municipal Utility District 88	2014-0339-PWS-E	MONTGOMERY	7/18/2014	\$450	\$90	\$360	\$0
PWS	48184	Sandra West	2014-0135-PWS-E	MADISON	7/18/2014	\$1,269	\$253	\$1,016	\$0
PWS	48393	Blair Water Supply Corporation	2014-0366-PWS-E	TAYLOR	7/18/2014	\$165	\$33	\$132	\$0
PWS	48478	Earth Promise	2014-0441-PWS-E	SOMERVELL	7/18/2014	\$660	\$132	\$528	\$0
PWS	48332	Baker Hughes Oilfield Operations, Inc.	2014-0292-PWS-E	HARRIS	7/18/2014	\$2,294	\$458	\$1,836	\$0
PWS	48378	Moss Lake Water Supply Corporation	2014-0354-PWS-E	COOKE	7/18/2014	\$490	\$98	\$392	\$0
PWS	48368	City of Anton	2014-0345-PWS-E	HOCKLEY	7/18/2014	\$348	\$69	\$279	\$0
PWS	48315	PK-RE, Ltd.	2014-0277-PWS-E	TRAVIS	7/18/2014	\$175	\$35	\$140	\$0
PWS	48291	Mammoet USA South, Inc.	2014-0236-PWS-E	BRAZORIA	7/18/2014	\$2,278	\$455	\$1,823	\$0

## Singles Programs

## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	48263	New Prospect Baptist Church of Nemo Texas	2014-0218-PWS-E	SOMERVELL	7/18/2014	\$1,337	\$267	\$1,070	\$0
PWS	41891	John C. Moore *	2013-1351-PWS-E	MCLENNAN	7/31/2014	\$6,362	\$0	\$6,362	\$0
PWS	46601	El Viaje Retreat, LLC	2013-0681-PWS-E	BANDERA	7/31/2014	\$2,718	\$0	\$2,718	\$0
PWS	48694	MCFAIREN, NOEL D	2014-0698-WOC-E	TRINITY	8/1/2014	\$175	\$0	\$175	\$0
PWS	48371	City of Liverpool	2014-0342-PWS-E	BRAZORIA	8/1/2014	\$677	\$135	\$542	\$0
PWS	48190	Mullin Independent School District	2014-0133-PWS-E	MILLS	8/1/2014	\$125	\$25	\$100	\$0
PWS	48157	Shore-Tech, Inc.	2014-0109-PWS-E	GALVESTON	8/1/2014	\$651	\$130	\$521	\$0
PWS	48508	East Riviera Water Supply Corporation	2014-0493-PWS-E	KLEBERG	8/1/2014	\$755	\$151	\$604	\$0
PWS	48430	Charles Branch	2014-0401-PWS-E	TYLER	8/1/2014	\$150	\$30	\$120	\$0
PWS	48672	GOMEZ, ABEL	2014-0673-WOC-E	PARKER	8/1/2014	\$175	\$0	\$175	\$0
PWS	48673	PARRICK, ARDYTH	2014-0672-WOC-E	PARKER	8/1/2014	\$175	\$0	\$175	\$0
PWS	48302	Anderson Water Company, Inc.	2014-0256-PWS-E	GRIMES	8/1/2014	\$572	\$113	\$459	\$0
PWS	48369	New Progress Wsc	2014-0344-PWS-E	PARKER	8/1/2014	\$550	\$110	\$440	\$0
PWS	48496	City of Carrizo Springs	2014-0472-PWS-E	DIMMIT	8/1/2014	\$3,451	\$689	\$2,762	\$0
PWS	48670	Gary C. Aardal	2014-0675-WOC-E	ERATH	8/1/2014	\$175	\$0	\$175	\$0
PWS	48266	STX Process Equipment LLC	2014-0216-PWS-E	DUVAL	8/1/2014	\$1,980	\$395	\$1,585	\$0
PWS	48297	Morton Road Mud	2014-0259-PWS-E	HARRIS	8/1/2014	\$165	\$165	\$0	\$0
PWS	48385	Cross Development/Montgomery LP	2014-0350-PWS-E	MONTGOMERY	8/1/2014	\$434	\$86	\$348	\$0
PWS	44611	Robert M Smith	2012-1478-PWS-E	POLK	8/7/2014	\$734	\$0	\$734	\$0
PWS	48024	City Of Mason	2013-2177-PWS-E	MASON	8/15/2014	\$690	\$690	\$0	\$0
PWS	11945	SNI Corporation	2013-2053-PWS-E	COLORADO	8/15/2014	\$2,780	\$0	\$2,780	\$0
PWS	47880	North Hunt SUD	2013-2002-PWS-E	HUNT	8/15/2014	\$2,600	\$2,600	\$0	\$0
PWS	48130	Glassel Properties, LLC	2014-0070-PWS-E	MONTGOMERY	8/15/2014	\$1,110	\$1,110	\$0	\$0
PWS	47909	Leona Bullock	2013-2036-PWS-E	KERR	8/15/2014	\$210	\$210	\$0	\$0
PWS	47673	City of Linden	2013-1775-PWS-E	CASS	8/15/2014	\$1,212	\$0	\$1,212	\$0
PWS	47973	AMK Properties L L C	2013-2117-PWS-E	FRIO	8/15/2014	\$2,036	\$0	\$2,036	\$0
PWS	48137	Shintech Incorporated	2014-0089-PWS-E	BRAZORIA	8/15/2014	\$253	\$0	\$253	\$0
PWS	48215	Allsup's Convenience Stores, Inc.	2014-0167-PWS-E	LAMB	8/15/2014	\$582	\$582	\$0	\$0
PWS	47982	Aqua Utilities, Inc.	2013-2123-PWS-E	NUECES	8/15/2014	\$366	\$366	\$0	\$0
PWS	38048	Brandon-Irene Water Supply Corporation	2013-2106-PWS-E	HILL	8/15/2014	\$4,870	\$0	\$4,870	\$0
PWS	48079	Jim Hogg County Wcid 2	2014-0016-PWS-E	JIM HOGG	8/15/2014	\$2,223	\$2,223	\$0	\$0
PWS	48198	Woodlake Josserand WSC	2014-0223-PWS-E	TRINITY	8/15/2014	\$202	\$0	\$202	\$0
PWS	48035	Howard Water Supply Corporation	2013-2191-PWS-E	ELLIS	8/15/2014	\$336	\$336	\$0	\$0
PWS	48114	Golden Spread Electric Cooperative, Inc.	2014-0042-PWS-E	YOAKUM	8/15/2014	\$630	\$630	\$0	\$0
PWS	48299	City of Chillicothe	2014-0271-PWS-E	HARDEMAN	8/15/2014	\$345	\$0	\$345	\$0
PWS	48072	Howard C. Bigham	2013-2230-PWS-E	SCURRY	8/22/2014	\$3,246	\$649	\$2,597	\$0
PWS	48537	Gary C. Aardal	2014-0542-PWS-E	*MULTIPLE	8/22/2014	\$100	\$20	\$80	\$0
PWS	48349	Needville ISD	2014-0312-PWS-E	FORT BEND	8/22/2014	\$100	\$20	\$80	\$0

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PWS	48347	RAINBOW CAMP, LLC	2014-0314-PWS-E	COMAL	8/22/2014	\$620	\$124	\$496	\$0
PWS	48425	Pafford Properties LLC	2014-0397-PWS-E	WALLER	8/22/2014	\$684	\$136	\$548	\$0
PWS	48318	Jones, John Thomas	2014-0276-PWS-E	ANGELINA	8/22/2014	\$2,976	\$595	\$2,381	\$0
PWS	48206	City of Domino	2014-0156-PWS-E	CASS	8/22/2014	\$163	\$32	\$131	\$0
PWS	48025	City of Denver City	2013-2176-PWS-E	YOAKUM	8/22/2014	\$6,174	\$1,234	\$4,940	\$0
PWS	48102	City of Paducah	2014-0027-PWS-E	COTTLE	8/22/2014	\$2,907	\$580	\$2,327	\$0
PWS	48451	La Salle Water Control and Improvement District 1	2014-0425-PWS-E	CALHOUN	8/22/2014	\$151	\$30	\$121	\$0
PWS	48310	Deaf Smith County Fresh Water Supply District 1	2014-0270-PWS-E	DEAF SMITH	8/22/2014	\$706	\$141	\$565	\$0
PWS	48454	Westfield Mobil Home Community, Ltd.	2014-0428-PWS-E	HARRIS	8/22/2014	\$224	\$224	\$0	\$0
PWS	43966	Castle Water, Inc.	2013-1956-PWS-E	HOOD	8/25/2014	\$1,395	\$0	\$1,395	\$0
PWS	48203	City Of Edgewood	2014-0157-PWS-E	VAN ZANDT	8/25/2014	\$253	\$0	\$253	\$0
PWS	48288	Aqua Utilities, Inc.	2014-0238-PWS-E	HUNT	8/25/2014	\$315	\$0	\$315	\$0
PWS	42714	McKEN, LLC	2011-1863-PWS-E	KERR	8/25/2014	\$9,266	\$0	\$9,266	\$0
PWS	48103	Reyna A Martinez	2014-0026-PWS-E	MIDLAND	8/25/2014	\$1,815	\$1,815	\$0	\$0
PWS	48148	Trent Water Works, Inc.	2014-0087-PWS-E	BRAZORIA	8/25/2014	\$127	\$0	\$127	\$0
PWS	48170	North Runnels Wsc	2014-0106-PWS-E	RUNNELS	8/25/2014	\$405	\$405	\$0	\$0
PWS	48123	City of Dodson	2014-0059-PWS-E	COLLINGSWORTH	8/25/2014	\$10,200	\$10,200	\$0	\$0
PWS	48113	City of Bardwell	2014-0043-PWS-E	ELLIS	8/25/2014	\$481	\$481	\$0	\$0
PWS	48023	Perry Wsc	2013-2178-PWS-E	FALLS	8/25/2014	\$202	\$202	\$0	\$0
<b>Subtotal for PWS : 391</b>						<b>\$527,148</b>	<b>\$121,424</b>	<b>\$399,123</b>	<b>\$6,601</b>
WATEI	47325	HGN Operating I, LLC	2013-1440-WR-E	RUNNELS	11/16/2013	\$875	\$0	\$875	\$0
WATEI	46949	Dwane Hoelscher	2013-1003-WR-E	TOM GREEN	11/16/2013	\$1,050	\$210	\$840	\$0
WATEI	47635	Hodges and Son Construction Company, Inc.	2013-1722-WR-E	STEPHENS	12/9/2013	\$350	\$0	\$350	\$0
WATEI	46779	Bar V Holdings LLC	2013-0872-WR-E	MCLENNAN	12/9/2013	\$900	\$180	\$720	\$0
WATEI	47783	QUATRO OIL AND GAS, INC.	2013-1885-WR-E	WICHITA	1/2/2014	\$350	\$0	\$350	\$0
WATEI	46606	MUDualistic Limited Liability Company	2013-0696-WR-E	MCCULLOCH	1/2/2014	\$808	\$161	\$647	\$0
WATEI	47829	McMinn Ranches, Ltd.	2013-1928-WR-E	COMANCHE	1/2/2014	\$1,050	\$0	\$1,050	\$0
WATEI	47819	Ranger Excavating, L.P.	2013-1925-WR-E	TRAVIS	1/2/2014	\$875	\$0	\$875	\$0
WATEI	47184	Double Diamond, Inc.	2013-1353-WR-E	JOHNSON	1/25/2014	\$500	\$100	\$400	\$0
WATEI	47929	LESLEY, KENNETH	2013-2063-WR-E	ERATH	2/16/2014	\$1,050	\$0	\$1,050	\$0
WATEI	47948	CORBELLO, SHEILA M	2013-2094-WR-E	KIMBLE	2/16/2014	\$875	\$0	\$875	\$0
WATEI	47206	LEGACY RIDGE COUNTRY CLUB II, L.L.C.	2013-1311-WR-E	FANNIN	2/16/2014	\$1,368	\$273	\$1,095	\$0
WATEI	47977	RUST RANCH CO	2013-2121-WR-E	KIMBLE	2/16/2014	\$875	\$0	\$875	\$0
WATEI	47961	BROOK ANGUS RANCH, LP	2013-2105-WR-E	MCCULLOCH	2/16/2014	\$875	\$0	\$875	\$0
WATEI	48146	ONEOK Sterling III Pipeline, L.L.C.	2014-0094-WQ-E	GRAYSON	3/28/2014	\$350	\$0	\$350	\$0
WATEI	48176	San Antonio River Authority	2014-0122-WR-E	BEXAR	4/18/2014	\$350	\$0	\$350	\$0
WATEI	47205	Thurman Transportation, Inc.	2013-1312-WR-E	JOHNSON	5/3/2014	\$2,369	\$474	\$1,895	\$0
WATEI	47918	Corby Chitsey	2013-2050-WR-E	TOM GREEN	5/3/2014	\$1,550	\$310	\$1,240	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WATEI	48009	Star Golf Partners, Ltd	2013-2161-WR-E	WILLIAMSON	6/22/2014	\$2,250	\$450	\$1,800	\$0
WATEI	45485	The Menard Irrigation Company	2012-2331-WR-E	MENARD	6/29/2014	\$7,500	\$3,900	\$3,600	\$0
WATEI	48586	EOG Resources, Inc.	2014-0578-WR-E	PANOLA	7/5/2014	\$350	\$0	\$350	\$0
WATEI	48651	Prospect Oilfield Services, LP	2014-0650-WR-E	JACK	7/18/2014	\$350	\$0	\$350	\$0
WATEI	48401	Harvey Ike Thomas	2014-0362-WR-E	HOOD	8/1/2014	\$250	\$50	\$200	\$0
WATEI	48441	Ted R. Chapman	2014-0414-WR-E	PALO PINTO	8/22/2014	\$250	\$50	\$200	\$0
<b>Subtotal for WATERIGHT : 24</b>						<b>\$27,370</b>	<b>\$6,158</b>	<b>\$21,212</b>	<b>\$0</b>
WQ	41459	City of Bynum	2011-0578-MWD-E	HILL	9/12/2013	\$8,300	\$1,660	\$6,640	\$0
WQ	45474	Weatherford U.S., L.P.	2012-2354-IWD-E	HARRIS	9/12/2013	\$49,302	\$0	\$49,302	\$0
WQ	46298	City of Walnut Springs	2013-0410-MWD-E	BOSQUE	9/12/2013	\$12,687	\$2,537	\$0	\$10,150
WQ	46064	National Converting & Packaging Corporation	2013-0178-WQ-E	ELLIS	9/14/2013	\$3,750	\$750	\$3,000	\$0
WQ	46167	City of Archer City	2013-0272-MWD-E	ARCHER	9/14/2013	\$3,125	\$625	\$2,500	\$0
WQ	45659	Fatty Chem By-Products Inc	2012-2546-MLM-E	CAMERON	9/14/2013	\$1,813	\$362	\$1,451	\$0
WQ	46595	L H Devco, Inc.	2013-0680-WQ-E	KENDALL	9/14/2013	\$938	\$187	\$751	\$0
WQ	46003	KV Land, LLC	2013-0135-IWD-E	GALVESTON	9/14/2013	\$7,350	\$1,470	\$5,880	\$0
WQ	46571	Archdiocese of Galveston Houston	2013-0661-MWD-E	MONTGOMERY	9/14/2013	\$5,250	\$1,050	\$0	\$4,200
WQ	44728	City Of Edgewood	2012-1597-MWD-E	VAN ZANDT	9/14/2013	\$7,500	\$7,500	\$0	\$0
WQ	46335	St. David's Healthcare Partnership, L.P., LLP	2013-0435-EAQ-E	WILLIAMSON	9/14/2013	\$1,875	\$375	\$1,500	\$0
WQ	46228	Grey Forest Development, LLC	2013-0354-EAQ-E	BEXAR	9/14/2013	\$4,126	\$825	\$3,301	\$0
WQ	46367	NATIVIDAD HERNANDEZ DBA BAYER ROAD SALVAGE YARD	2013-0458-WQ-E	VICTORIA	9/26/2013	\$4,012	\$802	\$3,210	\$0
WQ	46564	Anrige, Inc.	2013-0668-WQ-E	BEXAR	9/26/2013	\$938	\$187	\$751	\$0
WQ	46739	South Central Water Company	2013-0835-MWD-E	MCMULLEN	9/26/2013	\$4,000	\$800	\$3,200	\$0
WQ	44640	KREBS, STEPHEN PAUL	2012-1525-MWD-E	HARRIS	9/26/2013	\$1,725	\$345	\$1,380	\$0
WQ	46164	Zapata County	2013-0273-MWD-E	ZAPATA	9/26/2013	\$6,162	\$1,232	\$4,930	\$0
WQ	46203	Verdant Industries, LLC	2013-0315-IWD-E	ANGELINA	9/26/2013	\$6,250	\$1,250	\$5,000	\$0
WQ	46165	Taylor Morrison of Texas, Inc.	2013-0268-WQ-E	TRAVIS	9/26/2013	\$813	\$162	\$651	\$0
WQ	46110	City of Austin	2013-0222-MWD-E	TRAVIS	9/30/2013	\$7,500	\$0	\$0	\$7,500
WQ	41090	City of Rochester	2011-0220-MWD-E	HASKELL	9/30/2013	\$9,240	\$0	\$9,240	\$0
WQ	45618	Marine Quest - Hidden Cove, L.P.	2012-2478-MWD-E	DENTON	10/2/2013	\$17,500	\$0	\$17,500	\$0
WQ	46305	Carlisle Independent School District	2013-0414-MWD-E	RUSK	10/3/2013	\$2,250	\$450	\$1,800	\$0
WQ	46444	Del Webb Texas Limited Partnership	2013-0535-EAQ-E	WILLIAMSON	10/3/2013	\$7,500	\$1,500	\$6,000	\$0
WQ	47168	Alford Construction, Inc.	2013-1265-WQ-E	MCLENNAN	10/3/2013	\$875	\$0	\$875	\$0
WQ	47114	Gilvin-Terrill, Ltd.	2013-1211-WQ-E	ROBERTS	10/3/2013	\$875	\$0	\$875	\$0
WQ	46733	BSC Management, Ltd.	2013-0838-IWD-E	HARRIS	10/3/2013	\$813	\$162	\$651	\$0
WQ	46223	Mariah Custom Management, Inc.	2013-0328-WQ-E	PARKER	10/3/2013	\$875	\$0	\$875	\$0
WQ	47053	Contract Trucking and Materials Company	2013-1142-WQ-E	TAYLOR	10/3/2013	\$875	\$0	\$875	\$0
WQ	46295	Robinson, Mark	2013-0394-WQ-E	BRAZOS	10/3/2013	\$1,991	\$398	\$1,593	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	46743	First Omega Partners, Ltd.	2013-0823-WQ-E	BELL	10/3/2013	\$2,439	\$487	\$1,952	\$0
WQ	47004	Ron Fogle Construction, L. P.	2013-1103-WQ-E	TAYLOR	10/3/2013	\$875	\$0	\$875	\$0
WQ	47001	TICER, BENJAMIN T	2013-1087-WOC-E	WALKER	10/3/2013	\$175	\$0	\$175	\$0
WQ	47052	City of Victoria	2013-1143-WQ-E	VICTORIA	10/3/2013	\$875	\$0	\$875	\$0
WQ	46038	Huffsmith-Kohrville, Inc.	2013-0162-MWD-E	HARRIS	10/18/2013	\$19,288	\$3,857	\$15,431	\$0
WQ	44432	City of Charlotte	2012-1290-MWD-E	ATASCOSA	10/18/2013	\$36,440	\$7,288	\$29,152	\$0
WQ	46547	Bosque Utilities Corporation	2013-0634-MWD-E	NAVARRO	10/18/2013	\$8,750	\$1,750	\$7,000	\$0
WQ	46390	Aqua Texas, Inc.	2013-0481-MWD-E	HARRIS	10/18/2013	\$19,125	\$3,825	\$15,300	\$0
WQ	44598	City of Big Wells	2012-1467-MWD-E	DIMMIT	10/18/2013	\$35,325	\$0	\$35,325	\$0
WQ	46111	Lucite International, Inc.	2013-0224-IWD-E	JEFFERSON	10/18/2013	\$89,169	\$17,833	\$35,668	\$35,668
WQ	46121	Valero Refining-Texas, L.P.	2013-0248-IWD-E	NUECES	10/18/2013	\$8,438	\$1,687	\$6,751	\$0
WQ	44595	All American Septic LLC	2012-1461-SLG-E	HARRIS	10/20/2013	\$8,666	\$0	\$8,666	\$0
WQ	46609	Markham MUD	2013-0699-MWD-E	MATAGORDA	10/21/2013	\$5,750	\$1,150	\$4,600	\$0
WQ	46332	Port Mansfield Public Utility District *	2013-0451-MWD-E	WILLACY	10/21/2013	\$3,300	\$660	\$2,640	\$0
WQ	45487	Bigs Real Estate, L.P. *	2012-2453-EAQ-E	BEXAR	10/21/2013	\$3,500	\$700	\$2,800	\$0
WQ	47284	Stockon 5 Construction, L.L.C.	2013-1407-WQ-E	PARKER	10/21/2013	\$875	\$0	\$875	\$0
WQ	46611	Baeza Cattle Company, Inc.	2013-0709-AGR-E	PRESIDIO	10/21/2013	\$1,187	\$237	\$950	\$0
WQ	44298	Horizon Regional Municipal Utility District	2012-1152-IWD-E	EL PASO	11/1/2013	\$6,562	\$1,312	\$0	\$5,250
WQ	46700	City of Austin	2013-0794-MWD-E	TRAVIS	11/1/2013	\$9,375	\$0	\$0	\$9,375
WQ	46653	City of Brenham	2013-0741-MWD-E	WASHINGTON	11/1/2013	\$9,788	\$1,957	\$0	\$7,831
WQ	45812	City of Kingsville	2013-0223-MWD-E	KLEBERG	11/1/2013	\$10,318	\$0	\$0	\$10,318
WQ	46489	City of Georgetown	2013-0584-EAQ-E	WILLIAMSON	11/1/2013	\$5,813	\$1,162	\$4,651	\$0
WQ	46464	City of Rockdale	2013-0555-MWD-E	MILAM	11/1/2013	\$8,250	\$1,650	\$6,600	\$0
WQ	38706	City of Dodd City	2012-2670-MWD-E	FANNIN	11/1/2013	\$45,325	\$0	\$0	\$45,325
WQ	45788	City of Sadler	2012-2638-MWD-E	GRAYSON	11/1/2013	\$26,250	\$5,250	\$0	\$21,000
WQ	44666	Ellison Construction Company, LLC	2012-1527-WQ-E	PARKER	11/7/2013	\$2,500	\$0	\$2,500	\$0
WQ	47014	Rayford Road Municipal Utility District	2013-1119-MWD-E	MONTGOMERY	11/11/2013	\$9,375	\$1,875	\$7,500	\$0
WQ	44465	City of Winona	2012-1358-MWD-E	SMITH	11/11/2013	\$14,527	\$2,905	\$0	\$11,622
WQ	46828	Northwest Airport Management, L.P.	2013-0916-IWD-E	HARRIS	11/16/2013	\$1,675	\$335	\$1,340	\$0
WQ	45740	Superior Powder Coating, INC.	2012-2591-IWD-E	ERATH	11/16/2013	\$6,250	\$1,250	\$5,000	\$0
WQ	47386	Sherrill Construction Co., LLC	2013-1489-WQ-E	RAINS	11/16/2013	\$875	\$0	\$875	\$0
WQ	46467	City of Angus	2013-0560-MWD-E	NAVARRO	11/16/2013	\$2,140	\$428	\$1,712	\$0
WQ	46766	City of Ranger	2013-0864-MWD-E	EASTLAND	11/16/2013	\$3,688	\$737	\$2,951	\$0
WQ	47156	City of Bangs	2013-1259-MWD-E	BROWN	11/16/2013	\$1,063	\$212	\$851	\$0
WQ	45096	QSI Custom Cabinets, LP.	2012-1987-WQ-E	TRAVIS	11/22/2013	\$4,500	\$0	\$4,500	\$0
WQ	46646	BOH Indian Springs TX, L.P.	2013-0805-EAQ-E	BEXAR	11/28/2013	\$18,001	\$3,600	\$14,401	\$0
WQ	37512	City of Wellman	2012-1225-MWD-E	TERRY	11/28/2013	\$20,727	\$0	\$0	\$20,727
WQ	46857	City of Kenedy	2013-0961-MWD-E	KARNES	11/28/2013	\$37,687	\$7,537	\$30,150	\$0

## Singles Programs

## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	44652	Angelina County Water Control Improvement District 3	2012-1518-MWD-E	ANGELINA	11/28/2013	\$15,840	\$15,840	\$0	\$0
WQ	46752	TRI-BAR RANCH COMPANY, LTD.	2013-0847-EAQ-E	UVALDE	12/9/2013	\$7,500	\$1,500	\$6,000	\$0
WQ	47218	Blake Magee Company, L.P.	2013-1322-EAQ-E	WILLIAMSON	12/9/2013	\$2,438	\$487	\$1,951	\$0
WQ	47056	Hays County	2013-1141-WQ-E	HAYS	12/9/2013	\$1,676	\$335	\$1,341	\$0
WQ	46515	Ecologist Services & Disposition, Inc.	2013-0620-WQ-E	CAMERON	12/9/2013	\$1,250	\$250	\$1,000	\$0
WQ	46957	City of Teague	2013-1039-MWD-E	FREESTONE	12/9/2013	\$5,699	\$1,139	\$4,560	\$0
WQ	46692	Nationwide DG New Waverly, Inc.	2013-0798-EAQ-E	HAYS	12/9/2013	\$2,500	\$500	\$2,000	\$0
WQ	47010	Brushy Creek Municipal Utilities District	2013-1105-EAQ-E	WILLIAMSON	12/9/2013	\$1,625	\$325	\$1,300	\$0
WQ	46574	Quadvest, L.P.	2013-0692-MWD-E	MONTGOMERY	12/9/2013	\$2,875	\$575	\$2,300	\$0
WQ	47694	Kent Garbett Properties Llc	2013-1805-WQ-E	MCLENNAN	12/9/2013	\$875	\$0	\$875	\$0
WQ	46568	R & A Harris South, LP	2013-0662-IWD-E	HARRIS	12/9/2013	\$6,100	\$1,220	\$4,880	\$0
WQ	47032	City of Cisco	2013-1134-MWD-E	EASTLAND	12/9/2013	\$2,337	\$467	\$1,870	\$0
WQ	46715	VOORHEIS, TERRY D *	2013-0850-EAQ-E	HAYS	12/9/2013	\$2,500	\$500	\$2,000	\$0
WQ	45839	Larry D Stephens	2012-2699-OSI-E	JOHNSON	12/18/2013	\$300	\$0	\$300	\$0
WQ	46888	City of Waco *	2013-0991-MWD-E	MCLENNAN	12/19/2013	\$7,125	\$0	\$7,125	\$0
WQ	46744	Polk Health Holdings LLC *	2013-0834-MWD-E	POLK	12/19/2013	\$8,914	\$1,782	\$7,132	\$0
WQ	44712	IMMANUEL CHURCH OF AUSTIN	2012-1600-EAQ-E	WILLIAMSON	12/19/2013	\$9,375	\$5,775	\$3,600	\$0
WQ	44359	City of De Kalb	2012-1212-MWD-E	BOWIE	12/19/2013	\$35,890	\$35,890	\$0	\$0
WQ	46831	Firestone Polymers, LLC	2013-0915-IWD-E	ORANGE	12/19/2013	\$30,000	\$6,000	\$12,000	\$12,000
WQ	46436	Rainbow Landscape Materials, LLC	2013-0511-WQ-E	SOMERVELL	12/19/2013	\$9,435	\$1,887	\$7,548	\$0
WQ	46983	City of Goodrich	2013-1080-MWD-E	POLK	12/19/2013	\$16,250	\$0	\$16,250	\$0
WQ	46348	West Hardin County Consolidated Independent School District	2013-0439-MWD-E	HARDIN	1/2/2014	\$6,187	\$1,237	\$0	\$4,950
WQ	47857	Liebrum Properties, LLC	2013-1974-WQ-E	NACOGDOCHES	1/2/2014	\$875	\$0	\$875	\$0
WQ	47058	S. I. Enterprises, LLC	2013-1152-MWD-E	HARRIS	1/2/2014	\$3,050	\$610	\$2,440	\$0
WQ	46768	Grant Road Public Utility District	2013-0863-MWD-E	HARRIS	1/2/2014	\$4,575	\$915	\$3,660	\$0
WQ	46876	Premium Waters, Inc.	2013-0963-IWD-E	TARRANT	1/2/2014	\$3,250	\$650	\$2,600	\$0
WQ	47083	KKAR INVESTMENT, LLC	2013-1204-EAQ-E	HAYS	1/2/2014	\$2,500	\$500	\$2,000	\$0
WQ	47314	Advanced Micro Devices, Inc.	2013-1426-EAQ-E	TRAVIS	1/2/2014	\$1,219	\$243	\$976	\$0
WQ	47343	City of Mabank	2013-1455-MWD-E	KAUFMAN	1/2/2014	\$2,250	\$450	\$1,800	\$0
WQ	47111	City of Troup	2013-1202-MWD-E	CHEROKEE	1/2/2014	\$3,500	\$700	\$2,800	\$0
WQ	47743	BARTON, RONNIE D	2013-1838-WOC-E	EASTLAND	1/2/2014	\$175	\$0	\$175	\$0
WQ	46648	City of Roxton	2013-0749-MWD-E	LAMAR	1/2/2014	\$4,500	\$900	\$3,600	\$0
WQ	43250	Halliburton Energy Services, Inc.	2012-0084-MWD-E	*MULTIPLE	1/2/2014	\$4,502	\$900	\$3,602	\$0
WQ	46819	Aqua Utilities, Inc.	2013-0901-MWD-E	HAYS	1/24/2014	\$16,187	\$3,237	\$12,950	\$0
WQ	47221	City of Azle	2013-1333-MWD-E	TARRANT	1/24/2014	\$14,000	\$0	\$0	\$14,000
WQ	46356	JMH Homes-Houston, LLC	2013-0449-MWD-E	HARRIS	1/24/2014	\$8,721	\$1,744	\$6,977	\$0
WQ	44030	Woden Independent School District	2012-0866-MWD-E	NACOGDOCHES	1/24/2014	\$33,475	\$6,695	\$0	\$26,780

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## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	46263	City of Arlington	2013-0373-WQ-E	TARRANT	1/24/2014	\$13,125	\$0	\$0	\$13,125
WQ	46956	City of Pearland	2013-1040-MWD-E	BRAZORIA	1/24/2014	\$14,625	\$2,925	\$0	\$11,700
WQ	47015	Delek Refining, Ltd.	2013-1122-IWD-E	SMITH	1/24/2014	\$17,550	\$3,510	\$14,040	\$0
WQ	47339	Hunter Media, L.L.C. *	2013-1458-EAQ-E	COMAL	1/25/2014	\$937	\$187	\$750	\$0
WQ	47213	Suntex Marinas, LLC	2013-1313-WQ-E	TARRANT	1/25/2014	\$1,312	\$262	\$1,050	\$0
WQ	47057	City of Point	2013-1153-MWD-E	RAINS	1/25/2014	\$3,412	\$682	\$2,730	\$0
WQ	47067	City of China	2013-1180-MWD-E	JEFFERSON	1/25/2014	\$5,250	\$1,050	\$0	\$4,200
WQ	45095	Sheridan Water Supply Corporation	2012-1980-MWD-E	COLORADO	1/25/2014	\$4,363	\$872	\$3,491	\$0
WQ	47178	City of LaDonia	2013-1278-MWD-E	FANNIN	1/25/2014	\$1,275	\$255	\$1,020	\$0
WQ	47891	Wise Choice Construction	2013-2008-WQ-E	CRANE	1/25/2014	\$875	\$0	\$875	\$0
WQ	47489	SBC, LP	2013-1589-WQ-E	MIDLAND	1/25/2014	\$1,837	\$367	\$1,470	\$0
WQ	46901	WYLIE, CATHERINE NAOMI *	2013-0989-MWD-E	HARRIS	1/25/2014	\$3,375	\$675	\$2,700	\$0
WQ	47564	GROSS, JOHN E *	2013-1672-EAQ-E	TRAVIS	1/25/2014	\$938	\$187	\$751	\$0
WQ	47151	Harborlight Marina and Resort LLC	2013-1260-MWD-E	SABINE	1/25/2014	\$4,275	\$855	\$3,420	\$0
WQ	46301	PinPoint OMP Legacy at Georgetown, LLC	2013-0415-EAQ-E	WILLIAMSON	2/8/2014	\$4,688	\$0	\$4,688	\$0
WQ	44949	GH DAIRY EL PASO *	2012-1828-IWD-E	EL PASO	2/8/2014	\$1,250	\$0	\$1,250	\$0
WQ	47708	City of Troy	2013-1812-MWD-E	BELL	2/9/2014	\$1,338	\$267	\$1,071	\$0
WQ	47610	Pampa Concrete Co., Inc.	2013-1720-IWD-E	GRAY	2/9/2014	\$4,013	\$802	\$3,211	\$0
WQ	47417	Cross Roads Independent School District	2013-1526-MWD-E	HENDERSON	2/9/2014	\$2,875	\$575	\$2,300	\$0
WQ	47214	City of Lubbock	2013-1321-IWD-E	LUBBOCK	2/9/2014	\$3,750	\$750	\$0	\$3,000
WQ	46884	Bobby Fredrickson 1999 Trust, Bobby Fredrickson, Trustee	2013-0994-EAQ-E	WILLIAMSON	2/14/2014	\$33,750	\$6,750	\$27,000	\$0
WQ	46939	City of Kemp	2013-1041-MWD-E	KAUFMAN	2/14/2014	\$7,920	\$1,584	\$6,336	\$0
WQ	46731	City of Willow Park	2013-0836-MWD-E	PARKER	2/14/2014	\$44,038	\$0	\$44,038	\$0
WQ	46893	Bottom Line Food Processors, Inc.	2013-0975-IWD-E	TRAVIS	2/14/2014	\$14,063	\$2,812	\$11,251	\$0
WQ	46694	City of Jourdanton	2013-0795-MWD-E	ATASCOSA	2/14/2014	\$11,812	\$2,362	\$9,450	\$0
WQ	45198	City Of Shamrock	2012-2061-MWD-E	WHEELER	2/14/2014	\$10,251	\$2,050	\$8,201	\$0
WQ	46851	City of Shallowater	2013-0962-MWD-E	LUBBOCK	2/16/2014	\$3,013	\$602	\$2,411	\$0
WQ	46937	Baker Hughes Incorporated	2013-1031-IWD-E	TRINITY	2/16/2014	\$5,743	\$1,148	\$4,595	\$0
WQ	47016	Country Club Retirement Community, L.P.	2013-1118-MWD-E	HILL	2/22/2014	\$3,987	\$0	\$3,987	\$0
WQ	47745	City of Los Fresnos	2013-1849-MWD-E	CAMERON	2/22/2014	\$17,100	\$3,420	\$13,680	\$0
WQ	46881	City of Refugio	2013-0973-MWD-E	REFUGIO	2/22/2014	\$11,050	\$0	\$0	\$11,050
WQ	46349	Metroplex Retaining Walls, Inc.	2013-0453-IWD-E	*MULTIPLE	3/6/2014	\$3,000	\$0	\$3,000	\$0
WQ	39887	DERIDDER, PETER WILFRIDUS	2012-1169-AGR-E	*MULTIPLE	3/6/2014	\$8,546	\$0	\$8,546	\$0
WQ	44771	JJSM Development, Inc.	2012-1883-EAQ-E	WILLIAMSON	3/6/2014	\$41,528	\$0	\$20,764	\$20,764
WQ	48013	East Texas Precast Co., Ltd.	2013-2164-WQ-E	WALLER	3/9/2014	\$875	\$0	\$875	\$0
WQ	47136	Country Terrace Water Company, Inc.	2013-1241-MWD-E	HARRIS	3/9/2014	\$4,125	\$825	\$3,300	\$0
WQ	47293	City of Hamlin	2013-1423-MWD-E	JONES	3/9/2014	\$1,437	\$287	\$1,150	\$0
WQ	47692	Goforth Partners, Inc.	2013-1796-EAQ-E	HAYS	3/9/2014	\$4,875	\$975	\$3,900	\$0

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Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	47659	City of San Saba	2013-1780-MWD-E	SAN SABA	3/9/2014	\$2,875	\$575	\$2,300	\$0
WQ	46419	City of Waelder	2013-0496-MWD-E	GONZALES	3/9/2014	\$5,625	\$1,125	\$0	\$4,500
WQ	47237	Aqua Development, Inc.	2013-1337-MWD-E	TRAVIS	3/9/2014	\$1,937	\$387	\$1,550	\$0
WQ	46283	Brian K Gay	2013-0391-OSI-E	BRAZORIA	3/14/2014	\$2,222	\$0	\$2,222	\$0
WQ	45199	Brian K Gay	2012-2104-OSI-E	BRAZORIA	3/14/2014	\$2,450	\$0	\$2,450	\$0
WQ	48094	Barnett Contracting, Inc.	2014-0022-WQ-E	MCLENNAN	3/16/2014	\$875	\$0	\$875	\$0
WQ	47338	Interurban Forest, LLP	2013-1456-MWD-E	HARRIS	3/16/2014	\$6,000	\$1,200	\$4,800	\$0
WQ	47746	Martin Realty & Land, Inc.	2013-1848-MWD-E	MONTGOMERY	3/16/2014	\$6,500	\$1,300	\$5,200	\$0
WQ	48081	E & A WELDING & OILFIELD SERVICE, INC.	2014-0014-WQ-E	MIDLAND	3/16/2014	\$875	\$0	\$875	\$0
WQ	47751	Pioneer Natural Resources USA, Inc.	2013-1866-OSS-E	REAGAN	3/16/2014	\$225	\$45	\$180	\$0
WQ	47365	Winkley's, Inc.	2013-1486-EAQ-E	WILLIAMSON	3/16/2014	\$1,407	\$281	\$1,126	\$0
WQ	48086	JHH Signature Series, LLC	2014-0024-WQ-E	JOHNSON	3/16/2014	\$875	\$0	\$875	\$0
WQ	48093	United Dirt Contractors, Inc. D.B. A. Miller Dirt Work	2014-0023-WQ-E	RUNNELS	3/16/2014	\$875	\$0	\$875	\$0
WQ	48019	Land Tejas Texas City, Ltd	2013-2174-WQ-E	GALVESTON	3/16/2014	\$875	\$0	\$875	\$0
WQ	47773	City of Manor	2013-1881-MWD-E	TRAVIS	3/16/2014	\$1,063	\$212	\$851	\$0
WQ	47865	Round Rock Seventh-day Adventist Church	2013-1992-EAQ-E	WILLIAMSON	3/28/2014	\$937	\$187	\$750	\$0
WQ	48126	N.E. CONSTRUCTION, LLP	2014-0077-WQ-E	MIDLAND	3/28/2014	\$875	\$0	\$875	\$0
WQ	46991	City of Gatesville	2013-1079-MWD-E	CORYELL	4/5/2014	\$15,187	\$3,037	\$12,150	\$0
WQ	47774	Durk Zwart	2013-1879-AGR-E	ERATH	4/18/2014	\$5,625	\$1,125	\$4,500	\$0
WQ	47578	SMITH, WILLIAM DONALD	2013-1678-MWD-E	HARRIS	4/18/2014	\$6,500	\$1,300	\$5,200	\$0
WQ	47928	G bar S LLC	2013-2060-OSS-E	STERLING	4/18/2014	\$750	\$150	\$600	\$0
WQ	47775	City of Smithville	2013-1875-MWD-E	BASTROP	4/18/2014	\$1,437	\$287	\$1,150	\$0
WQ	47792	Brazos Independent School District	2013-1895-MWD-E	AUSTIN	4/18/2014	\$2,425	\$485	\$1,940	\$0
WQ	47712	ROUND ROCK FAITH MINISTRIES INC.	2013-1818-EAQ-E	WILLIAMSON	4/18/2014	\$3,250	\$650	\$2,600	\$0
WQ	47655	Greif Packaging LLC	2013-1751-MWD-E	HARRIS	4/18/2014	\$4,050	\$810	\$3,240	\$0
WQ	48177	San Jacinto River Materials, Inc.	2014-0103-WQ-E	MONTGOMERY	4/18/2014	\$875	\$0	\$875	\$0
WQ	47957	DLUGOSCH IV LLC	2013-2102-MLM-E	LA SALLE	4/18/2014	\$3,799	\$759	\$3,040	\$0
WQ	46271	Warren Independent School District	2013-0386-MWD-E	TYLER	4/19/2014	\$8,775	\$1,755	\$0	\$7,020
WQ	47139	City of Port Arthur	2013-1240-MWD-E	JEFFERSON	4/19/2014	\$3,738	\$0	\$3,738	\$0
WQ	44076	City of Kendleton	2012-0932-MWD-E	FORT BEND	4/19/2014	\$10,657	\$2,131	\$8,526	\$0
WQ	47333	City of Horseshoe Bay	2013-1451-MWD-E	LLANO	4/19/2014	\$5,400	\$0	\$0	\$5,400
WQ	45160	City of Broaddus	2012-2022-MWD-E	SAN AUGUSTINE	4/19/2014	\$19,925	\$0	\$19,925	\$0
WQ	44602	City of Roscoe	2012-1473-MWD-E	NOLAN	4/19/2014	\$13,000	\$0	\$13,000	\$0
WQ	48007	City Of Eldorado	2013-2156-MWD-E	SCHLEICHER	5/3/2014	\$1,820	\$364	\$1,456	\$0
WQ	48011	Evve D. Kuykendall	2013-2163-OSS-E	IRION	5/3/2014	\$262	\$52	\$210	\$0
WQ	48247	LANSFORD, MICHAEL E	2014-0205-WOC-E	JACK	5/3/2014	\$175	\$0	\$175	\$0
WQ	47715	ELG Metals, Inc.	2013-1817-IWD-E	HARRIS	5/3/2014	\$7,500	\$1,500	\$3,000	\$3,000
WQ	47577	NGUYEN, CHUONG ANH *	2013-1679-MWD-E	HARRIS	5/3/2014	\$6,000	\$1,200	\$4,800	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	47823	City of Kosse	2013-1926-MWD-E	LIMESTONE	5/3/2014	\$6,000	\$1,200	\$0	\$4,800
WQ	47795	Hudspeth County Water Control And Improvement District No. 1	2013-1902-MWD-E	HUDSPETH	5/3/2014	\$5,775	\$1,155	\$4,620	\$0
WQ	48006	Smith County MUD 1	2013-2157-MWD-E	SMITH	5/3/2014	\$2,375	\$475	\$1,900	\$0
WQ	47941	City of Saint Jo	2013-2081-MWD-E	MONTAGUE	5/3/2014	\$6,200	\$1,240	\$4,960	\$0
WQ	47375	Gulbrandsen Technologies Inc.	2013-1501-IWD-E	HARRIS	5/8/2014	\$9,000	\$1,800	\$7,200	\$0
WQ	47580	San Antonio River Authority	2013-1681-WQ-E	BEXAR	5/8/2014	\$5,625	\$0	\$5,625	\$0
WQ	43920	Meadowland Utility Corporation	2012-0750-MWD-E	BRAZORIA	5/8/2014	\$5,325	\$0	\$5,325	\$0
WQ	47824	City of Richardson	2013-1941-WQ-E	DALLAS	5/8/2014	\$12,375	\$0	\$12,375	\$0
WQ	47049	Niazi Family Investments, LTD.	2013-1155-IWD-E	HARRIS	5/9/2014	\$17,187	\$0	\$17,187	\$0
WQ	42400	City of Bridge City	2011-1907-MWD-E	ORANGE	5/9/2014	\$66,626	\$0	\$66,626	\$0
WQ	48041	City of Edmonson	2013-2204-MWD-E	HALE	5/15/2014	\$787	\$157	\$630	\$0
WQ	48185	ANTIQUE ROSE EMPORIUM, INC.	2014-0148-EAQ-E	BEXAR	5/15/2014	\$1,406	\$281	\$1,125	\$0
WQ	47839	Timbercreek Real Estate Partners LLC	2013-1947-OSS-E	IRION	5/15/2014	\$750	\$150	\$600	\$0
WQ	46684	NGUYEN, BAO VU	2013-0778-MWD-E	BRAZOS	5/24/2014	\$23,100	\$4,620	\$18,480	\$0
WQ	47268	Bollinger Texas City, L.P.	2013-1375-IWD-E	GALVESTON	5/24/2014	\$4,400	\$0	\$4,400	\$0
WQ	47794	City of Austin	2013-1920-WQ-E	TRAVIS	5/24/2014	\$7,125	\$0	\$0	\$7,125
WQ	46797	City of Yorktown	2013-0888-MWD-E	DEWITT	5/24/2014	\$51,030	\$10,206	\$40,824	\$0
WQ	47689	City Of Bastrop	2013-1795-MWD-E	BASTROP	5/24/2014	\$14,250	\$2,850	\$0	\$11,400
WQ	47832	City of Sulphur Springs	2013-1936-MWD-E	HOPKINS	5/24/2014	\$20,250	\$4,050	\$0	\$16,200
WQ	46615	City of Joaquin	2013-0708-MWD-E	SHELBY	5/24/2014	\$54,520	\$0	\$0	\$54,520
WQ	45066	City of Fredericksburg	2012-1944-MWD-E	GILLESPIE	5/24/2014	\$18,000	\$3,600	\$0	\$14,400
WQ	46946	Enduring Resources, LLC	2013-1026-MLM-E	REAGAN	6/5/2014	\$2,475	\$495	\$1,980	\$0
WQ	48139	lookout Development Group, L.P.	2014-0149-EAQ-E	WILLIAMSON	6/5/2014	\$6,750	\$1,350	\$5,400	\$0
WQ	47939	Lucky's Redi-Mix Co. LLC	2013-2076-WQ-E	TARRANT	6/5/2014	\$2,625	\$525	\$2,100	\$0
WQ	47808	MARTINSVILLE INDEPENDENT SCHOOL DISTRICT	2013-1918-MWD-E	NACOGDOCHES	6/5/2014	\$3,938	\$787	\$3,151	\$0
WQ	44884	ANDERTON, DOYLE	2012-1782-WQ-E	HUNT	6/8/2014	\$2,625	\$0	\$2,625	\$0
WQ	45019	VK Real Estate LLC	2012-1900-EAQ-E	BEXAR	6/8/2014	\$3,375	\$0	\$3,375	\$0
WQ	46894	Allegheny Development, LLC *	2013-0990-MWD-E	NAVARRO	6/13/2014	\$11,250	\$2,250	\$4,500	\$4,500
WQ	45690	Duchman, Ltd.	2012-2547-IWD-E	HAYS	6/13/2014	\$47,134	\$29,166	\$17,968	\$0
WQ	45042	City of New Boston	2012-1920-MWD-E	BOWIE	6/13/2014	\$35,062	\$7,012	\$0	\$28,050
WQ	47912	City Of Shamrock	2013-2037-MWD-E	WHEELER	6/13/2014	\$8,438	\$0	\$8,438	\$0
WQ	48244	Williams Brothers Construction Co., Inc.	2014-0200-WQ-E	MONTGOMERY	6/22/2014	\$813	\$162	\$651	\$0
WQ	48062	City of Haskell	2014-0018-MWD-E	HASKELL	6/22/2014	\$3,250	\$650	\$2,600	\$0
WQ	44769	Splendora ISD	2012-1644-MWD-E	MONTGOMERY	6/29/2014	\$18,655	\$3,731	\$14,924	\$0
WQ	43929	City of Plano	2012-0760-WQ-E	COLLIN	6/29/2014	\$19,750	\$0	\$19,750	\$0
WQ	48360	South Central Water Company	2014-0329-MWD-E	WILSON	7/5/2014	\$3,375	\$675	\$2,700	\$0
WQ	48217	Maverick Video Productions, Inc.	2014-0177-EAQ-E	BEXAR	7/5/2014	\$2,000	\$400	\$1,600	\$0

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## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	46967	Quail Creek MUD	2013-1062-MWD-E	VICTORIA	7/5/2014	\$3,063	\$612	\$2,451	\$0
WQ	48582	Barnett Contracting, Inc.	2014-0577-WQ-E	MCLENNAN	7/5/2014	\$875	\$0	\$875	\$0
WQ	47807	City of Merkel	2013-2003-MWD-E	TAYLOR	7/5/2014	\$5,600	\$1,120	\$4,480	\$0
WQ	48583	LGI Land, LLC	2014-0580-WQ-E	MONTGOMERY	7/5/2014	\$875	\$0	\$875	\$0
WQ	48584	R. J. Smelley Company, Inc.	2014-0576-WQ-E	PARKER	7/5/2014	\$875	\$0	\$875	\$0
WQ	47732	City of Caddo Mills	2013-1857-MWD-E	HUNT	7/11/2014	\$16,312	\$3,262	\$13,050	\$0
WQ	47810	Bloomington Independent School District	2013-1909-MWD-E	VICTORIA	7/11/2014	\$9,350	\$1,870	\$7,480	\$0
WQ	48058	Catholic Diocese of Austin	2013-2223-EAQ-E	WILLIAMSON	7/18/2014	\$1,062	\$212	\$850	\$0
WQ	48665	Truitt Garland Construction, Inc.	2014-0663-WQ-E	LUBBOCK	7/18/2014	\$875	\$0	\$875	\$0
WQ	48650	LOERA HOME BUILDERS COMPANY	2014-0651-WQ-E	MCLENNAN	7/18/2014	\$875	\$0	\$875	\$0
WQ	47769	City of Shallowater	2013-1861-MWD-E	LUBBOCK	7/18/2014	\$2,625	\$525	\$0	\$2,100
WQ	47963	Lower Colorado River Authority	2013-2107-MWD-E	BASTROP	7/18/2014	\$4,125	\$825	\$3,300	\$0
WQ	48342	Tawakoni Waste, LLC	2014-0318-MWD-E	HUNT	7/18/2014	\$4,000	\$800	\$3,200	\$0
WQ	48343	Jim Hogg County Wcid 2	2014-0305-MWD-E	JIM HOGG	7/18/2014	\$6,562	\$1,312	\$5,250	\$0
WQ	47017	W.M. JONES CONSTRUCTION, INC.	2013-1111-WQ-E	GALVESTON	7/19/2014	\$8,432	\$0	\$8,432	\$0
WQ	48319	Pembrook Ranch Company LLP	2014-0279-OSS-E	REAGAN	8/1/2014	\$500	\$100	\$400	\$0
WQ	47806	EAST CEDAR CREEK FWSD	2013-1907-MWD-E	HENDERSON	8/1/2014	\$3,125	\$625	\$0	\$2,500
WQ	48237	Aqua Utilities, Inc.	2014-0193-MWD-E	BURNET	8/1/2014	\$6,637	\$1,327	\$5,310	\$0
WQ	47258	LONE PINE ENTERPRISES, INC.	2013-1367-WQ-E	TRAVIS	8/1/2014	\$5,625	\$1,125	\$2,250	\$2,250
WQ	48174	City of Archer City	2014-0112-MWD-E	ARCHER	8/1/2014	\$3,225	\$645	\$2,580	\$0
WQ	48308	City of Maypearl	2014-0283-MWD-E	ELLIS	8/1/2014	\$5,062	\$1,012	\$4,050	\$0
WQ	47938	Port of Houston Authority	2013-2077-MWD-E	HARRIS	8/1/2014	\$3,625	\$725	\$2,900	\$0
WQ	47738	City of Boerne	2013-1841-MWD-E	KENDALL	8/1/2014	\$4,500	\$900	\$0	\$3,600
WQ	46469	J. L. H. Land Investments, Corp.	2013-0565-OSS-E	LA SALLE	8/7/2014	\$525	\$0	\$525	\$0
WQ	44412	MAVERICK TUBE LLC DBA TENARISCONROE	2012-1273-IWD-E	MONTGOMERY	8/7/2014	\$3,600	\$0	\$3,600	\$0
WQ	47438	City of Pasadena	2013-1553-MWD-E	HARRIS	8/15/2014	\$15,750	\$3,150	\$0	\$12,600
WQ	47959	E. I. du Pont de Nemours and Company	2013-2104-IWD-E	HARRIS	8/15/2014	\$26,250	\$5,250	\$21,000	\$0
WQ	47432	Frito-Lay, Inc.	2013-1539-IWD-E	*MULTIPLE	8/15/2014	\$16,775	\$0	\$16,775	\$0
WQ	47980	Town of Westlake	2013-2122-WQ-E	DENTON	8/15/2014	\$30,000	\$0	\$0	\$30,000
WQ	48214	TXI Operations, LP	2014-0176-IWD-E	DENTON	8/22/2014	\$5,619	\$1,123	\$2,248	\$2,248
WQ	48289	Hidalgo County	2014-0248-MWD-E	HIDALGO	8/22/2014	\$2,250	\$450	\$1,800	\$0
WQ	48345	St. Paul Water Supply Corporation	2014-0317-MWD-E	SAN PATRICIO	8/22/2014	\$2,500	\$500	\$2,000	\$0
WQ	48057	Brown Septic Tank Service, Inc.	2013-2216-SLG-E	NUECES	8/22/2014	\$6,237	\$1,247	\$4,990	\$0
WQ	48477	City of Shiner	2014-0450-MWD-E	LAVACA	8/22/2014	\$1,750	\$350	\$1,400	\$0
WQ	48309	City of Bellevue	2014-0282-MWD-E	CLAY	8/22/2014	\$5,775	\$1,155	\$4,620	\$0
WQ	48084	J&J STONE COMPANY, INC.	2014-0039-EAQ-E	WILLIAMSON	8/25/2014	\$30,938	\$6,187	\$24,751	\$0
WQ	47490	MOORE WATER SUPPLY CORPORATION	2013-1596-MWD-E	FRIO	8/25/2014	\$13,050	\$2,610	\$10,440	\$0
WQ	48167	Igloo Products Corp.	2014-0113-IWD-E	WALLER	8/25/2014	\$4,375	\$0	\$4,375	\$0

## Singles Programs

## Appendix 3: List of Administrative Orders Issued FY 2014

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset	
WQ	47979	City of Godley	2013-2127-MWD-E	JOHNSON	8/25/2014	\$6,000	\$0	\$6,000	\$0	
WQ	47107	Lake Whitney Resorts, LLC	2013-1224-MWD-E	HILL	8/25/2014	\$14,250	\$0	\$14,250	\$0	
WQ	47514	City Of Robert Lee	2013-1621-MWD-E	COKE	8/25/2014	\$13,612	\$0	\$0	\$13,612	
WQ	47084	The Vineyard Shopping Center II, L.P.	2013-1203-EAQ-E	BEXAR	8/25/2014	\$10,500	\$2,100	\$8,400	\$0	
<b>Subtotal for WQ : 260</b>						<b>\$2,235,545</b>	<b>\$365,625</b>	<b>\$1,329,560</b>	<b>\$540,360</b>	
WWAC	44488	Two Sisters Dairy, LLC	2012-1349-AGR-E	ERATH	1/24/2014	\$9,663	\$0	\$9,663	\$0	
WWAC	46903	McClain Feed Yard, Inc.	2013-1000-AGR-E	DEAF SMITH	3/28/2014	\$1,250	\$250	\$1,000	\$0	
WWAC	44278	Heidi Velsen *	2012-1194-AGR-E	ERATH	6/8/2014	\$1,953	\$0	\$1,953	\$0	
<b>Subtotal for WWAG : 3</b>						<b>\$12,866</b>	<b>\$250</b>	<b>\$12,616</b>	<b>\$0</b>	
<b>Total for Singles Programs : 1,658</b>						<b>13,284,260.00</b>	<b>1,695,582.00</b>	<b>9,342,073.00</b>	<b>2,246,605.00</b>	<b>2,246,605.00</b>

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	44993	AJ's Logistic Services, LLC *	2012-1882-MLM-E	JIM WELLS	9/12/2013	\$18,900	\$3,780	\$15,120	\$0
MM	44909	Empire Disposal, Ltd.	2012-1806-MLM-E	TARRANT	9/12/2013	\$51,050	\$10,210	\$40,840	\$0
MM	44823	Park West Cleaners, L.P.	2012-1714-DCL-E	COLLIN	9/26/2013	\$6,938	\$1,387	\$5,551	\$0
MM	45076	Motiva Enterprises LLC	2012-2014-MLM-E	JEFFERSON	9/30/2013	\$60,775	\$12,155	\$24,310	\$24,310
MM	37163	Sam's Truck Stop Business, Inc.	2012-2082-MLM-E	CULBERSON	9/30/2013	\$36,234	\$0	\$36,234	\$0
MM	46016	Woody Butler Homes, Inc.	2013-0195-MLM-E	MCLENNAN	10/21/2013	\$2,927	\$585	\$2,342	\$0
MM	46182	Elm Ridge Water Company, Inc.	2013-0280-MLM-E	COMAL	11/1/2013	\$4,750	\$950	\$3,800	\$0
MM	46734	LEWIS, MICHAEL A	2013-0837-MLM-E	ATASCOSA	11/1/2013	\$9,113	\$1,822	\$7,291	\$0
MM	25076	City of Queen City	2010-1244-MLM-E	CASS	11/1/2013	\$81,400	\$0	\$0	\$81,400
MM	45387	SOUTH TEXAS ROLL-OFFS, LLC	2012-2252-MLM-E	GONZALES	11/7/2013	\$16,841	\$0	\$16,841	\$0
MM	47334	NORTON, RICK	2013-1441-WOC-E	ELLIS	11/16/2013	\$175	\$0	\$175	\$0
MM	47612	HOOVER, DAVID M	2013-1710-WOC-E	MITCHELL	11/16/2013	\$175	\$0	\$175	\$0
MM	47230	THE 5125 COMPANY	2013-1324-WQ-E	KLEBERG	1/2/2014	\$1,876	\$375	\$1,501	\$0
MM	46594	Fort Worth Pipe Services LP	2013-0695-MLM-E	HOWARD	1/24/2014	\$8,605	\$1,721	\$6,884	\$0
MM	41371	Global Environmental & Marine Services, Inc.	2011-0463-MLM-E	NUECES	1/24/2014	\$95,835	\$0	\$95,835	\$0
MM	46809	Perrin Water Systems, Inc.	2013-0903-MLM-E	JACK	1/25/2014	\$3,822	\$764	\$3,058	\$0
MM	46820	Oilfield Solutions LTD	2013-0902-MLM-E	MIDLAND	2/14/2014	\$32,890	\$6,578	\$26,312	\$0
MM	47330	Willis Concepts LLC	2013-1464-IHW-E	TYLER	2/16/2014	\$2,100	\$420	\$1,680	\$0
MM	44796	LEE BAEK ENTERPRISES INC	2012-1678-MLM-E	DENTON	3/6/2014	\$8,413	\$1,682	\$6,731	\$0
MM	45578	GONZALES, LUPE A	2012-2479-MLM-E	ATASCOSA	3/6/2014	\$9,904	\$8,704	\$1,200	\$0
MM	16143	LIVINGSTON, ROGER DALE	2012-1129-MSW-E	KAUFMAN	3/6/2014	\$15,000	\$0	\$15,000	\$0
MM	46804	Belco Manufacturing Company, Inc.	2013-0913-MLM-E	BELL	3/6/2014	\$21,750	\$4,350	\$17,400	\$0
MM	42283	Eola Water Supply Corporation	2013-0751-MLM-E	CONCHO	3/6/2014	\$7,119	\$0	\$7,119	\$0
MM	45810	Haas-Anderson Construction, Ltd.	2013-0091-MLM-E	SAN PATRICIO	3/9/2014	\$4,077	\$815	\$3,262	\$0
MM	46210	FOWLER, MILDRED	2013-0321-MLM-E	HARDIN	4/7/2014	\$2,600	\$1,400	\$1,200	\$0
MM	48224	ZIEMBA, CHUCK	2014-0163-WOC-E	WILBARGER	4/18/2014	\$175	\$0	\$175	\$0
MM	47812	Wilkinson Gary Iron & Metal, Inc.	2013-1919-MLM-E	WEBB	4/18/2014	\$3,751	\$750	\$3,001	\$0
MM	47697	Austin Powder Company	2013-1821-MLM-E	CAMERON	4/18/2014	\$7,226	\$1,445	\$5,781	\$0
MM	45189	BRUTON, NATHAN LEMUEL *	2012-2071-MLM-E	KARNES	4/25/2014	\$36,750	\$0	\$36,750	\$0
MM	46927	Candelaria Water Supply Corporation	2013-1013-MLM-E	PRESIDIO	4/25/2014	\$880	\$0	\$880	\$0
MM	45059	FLORES, SAUL	2012-1936-MLM-E	EL PASO	4/25/2014	\$12,500	\$0	\$12,500	\$0
MM	48328	MEIER, SHEILA M	2014-0290-WOC-E	LIPSCOMB	5/3/2014	\$175	\$0	\$175	\$0
MM	43375	City of O'Donnell	2012-0396-MLM-E	LYNN	5/8/2014	\$26,988	\$5,397	\$21,591	\$0
MM	46989	PICKENS, ERNEST	2013-1075-MLM-E	MCLENNAN	5/24/2014	\$19,274	\$3,854	\$15,420	\$0
MM	47378	Gerardo Salas	2013-1527-DCL-E	*MULTIPLE	5/28/2014	\$2,250	\$0	\$2,250	\$0
MM	47902	Evodio Cruz	2013-2025-MLM-E	BRAZOS	6/5/2014	\$4,765	\$953	\$3,812	\$0
MM	46922	MALDONADO, ALFREDO	2013-1034-MLM-E	EL PASO	6/5/2014	\$1,187	\$237	\$950	\$0
MM	43406	STONE, THOMAS H	2012-0214-MLM-E	ARCHER	6/13/2014	\$78,353	\$74,753	\$3,600	\$0

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset	
MM	46145	TRI Recycling, Inc.	2013-0293-MLM-E	TRAVIS	6/13/2014	\$8,515	\$0	\$8,515	\$0	
MM	46239	SANCTUARY VENTURES II L.P.	2013-0346-MLM-E	ELLIS	6/29/2014	\$12,112	\$10,912	\$1,200	\$0	
MM	47985	Hinojosa's Crane Services, LLC	2013-2133-MLM-E	WEBB	7/5/2014	\$3,750	\$750	\$3,000	\$0	
MM	48256	Nickco Recycling, Inc.	2014-0209-MLM-E	CAMP	7/5/2014	\$6,601	\$1,320	\$5,281	\$0	
MM	48073	Flying Solo, Inc.	2014-0010-MLM-E	TARRANT	7/5/2014	\$7,225	\$1,445	\$5,780	\$0	
MM	47747	City of Kenedy	2013-1852-MLM-E	KARNES	7/11/2014	\$12,297	\$2,459	\$9,838	\$0	
MM	48112	MZ BEST CLEANERS INC	2014-0066-DCL-E	TARRANT	8/1/2014	\$3,070	\$614	\$2,456	\$0	
MM	48669	SELF, JACK A II	2014-0676-WOC-E	ROCKWALL	8/1/2014	\$175	\$0	\$175	\$0	
MM	47045	Hull Fresh Water Supply District	2013-1154-MLM-E	LIBERTY	8/1/2014	\$3,376	\$675	\$2,701	\$0	
MM	45140	HENDERSON, LEWIS	2012-2168-MLM-E	LIMESTONE	8/15/2014	\$32,886	\$29,286	\$3,600	\$0	
MM	47246	Dry Clean World, Ltd.	2013-1346-DCL-E	COLLIN	8/15/2014	\$10,000	\$0	\$10,000	\$0	
MM	47820	Elvi L Arias-Chavez	2013-1961-DCL-E	DALLAS	8/25/2014	\$9,355	\$0	\$9,355	\$0	
<b>Total for Multi-Media Programs : 50</b>						<b>806,905.00</b>	<b>192,548.00</b>	<b>508,647.00</b>	<b>105,710.00</b>	<b>105,710.00</b>
<b>Total for all Programs :1,708</b>						<b>14,091,165.00</b>	<b>1,888,130.00</b>	<b>9,850,720.00</b>	<b>2,352,315.00</b>	<b>2,352,315.00</b>

**Summary of SEPs:**

**Total Orders :** 1708  
**Orders with SEPs :** 135  
**Orders with SEPs % :** 7.9  
**Orders with SEP Offset % :** 19.3

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Effective Date	Ratio	Assessed Amt	Deferred Amt	Payable Amt	SEP Cost	SEP Offset
AQ	47113	INVISTA S.a.r.l.	05/08/2014	1.00:1	11,238.00	2,247.00	4,496.00	4,495.00	4,495.00
		POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology.					4,495.00	4,495.00
AQ	47492	Flint Hills Resources Port Arthur, LLC	08/15/2014	1.00:1	26,813.00	5,362.00	10,726.00	10,725.00	10,725.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.					10,725.00	10,725.00
AQ	47477	Exxon Mobil Corporation	08/25/2014	1.00:1	225,450.00	45,090.00	90,180.00	90,180.00	90,180.00
		POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.					90,180.00	90,180.00
AQ	46192	Motiva Enterprises LLC	11/01/2013	1.00:1	22,500.00	4,500.00	9,000.00	9,000.00	9,000.00
		POLLUTION PREVENTION	Conduct home energy audits and to assist low income residents in the West Port Arthur area in improving their homes' energy efficiency such as by caulking, weatherizing, insulating, and replacing heating and cooling systems and major appliances with new, energy efficient equipment.					9,000.00	9,000.00
AQ	48037	Equistar Chemicals, LP	08/15/2014	1.00:1	15,000.00	3,000.00	6,000.00	6,000.00	6,000.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants.					6,000.00	6,000.00
AQ	45086	ExxonMobil Oil Corporation	12/19/2013	1.00:1	126,250.00	0.00	63,125.00	63,125.00	63,125.00
		POLLUTION PREVENTION	The contribution will be to Texas Air Quality Research Center at Lamar University for the Flare Speciation and Air Quality Modeling project to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency (CE) and Destruction and Removal Efficiency (DRE).					63,125.00	63,125.00
AQ	47696	Kuraray America, Inc.	07/05/2014	1.00:1	7,312.00	1,462.00	2,925.00	2,925.00	2,925.00
		POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.					2,925.00	2,925.00
AQ	47636	Akzo Nobel Polymer Chemicals LLC	05/08/2014	1.00:1	23,251.00	4,650.00	9,301.00	9,300.00	9,300.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					9,300.00	9,300.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

AQ	47496	Formosa Plastics Corporation, Texas	04/19/2014	1.00:1	15,775.00	3,155.00	6,310.00	6,310.00	6,310.00
		POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.				6,310.00	6,310.00	
AQ	46049	Flint Hills Resources Port Arthur, LLC	09/12/2013	1.00:1	21,563.00	4,312.00	8,626.00	8,625.00	8,625.00
		POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ.				8,625.00	8,625.00	
AQ	44965	Flint Hills Resources Port Arthur, LLC	03/06/2014	1.00:1	13,125.00	2,625.00	5,250.00	5,250.00	5,250.00
		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.				5,250.00	5,250.00	
AQ	46140	Total Petrochemicals & Refining USA, Inc.	11/11/2013	1.00:1	21,188.00	4,237.00	8,476.00	8,475.00	8,475.00
		POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ. Performing Party shall ensure that the data is validated and sent to TCEQ's Leading Environmental Analysis and Display system.				8,475.00	8,475.00	
AQ	47868	Equistar Chemicals, LP	05/24/2014	1.00:1	29,250.00	5,850.00	11,700.00	11,700.00	11,700.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.				11,700.00	11,700.00	
AQ	46729	South Hampton Resources, Inc.	12/09/2013	1.00:1	4,725.00	945.00	1,890.00	1,890.00	1,890.00
		POLLUTION PREVENTION	The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs.				1,890.00	1,890.00	
AQ	43911	Motiva Enterprises LLC	12/19/2013	1.00:1	101,038.00	0.00	50,519.00	50,519.00	50,519.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.	50,519.00	50,519.00				
AQ	35549	Western Refining Company, L.P.	05/08/2014	1.00:1	40,234.00	0.00	20,117.00	20,117.00	20,117.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.	20,117.00	20,117.00				
AQ	48486	South Hampton Resources, Inc.	08/22/2014	1.00:1	4,463.00	892.00	1,786.00	1,785.00	1,785.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.	1,785.00	1,785.00				
AQ	47310	OCI Beaumont LLC	04/18/2014	1.00:1	3,775.00	755.00	1,510.00	1,510.00	1,510.00
		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.	1,510.00	1,510.00				
AQ	48021	The Premcor Refining Group Inc.	08/25/2014	1.00:1	30,500.00	0.00	15,250.00	15,250.00	15,250.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.	15,250.00	15,250.00				
AQ	44120	INVISTA S.a.r.l.	01/24/2014	1.00:1	15,000.00	3,000.00	6,000.00	6,000.00	6,000.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.	6,000.00	6,000.00				
AQ	47730	BASF TOTAL Petrochemicals LLC	08/15/2014	1.00:1	345,938.00	0.00	172,969.00	172,969.00	172,969.00
		POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology.	86,484.00	86,484.00				
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.	86,485.00	86,485.00				
AQ	48083	E. I. du Pont de Nemours and Company	07/05/2014	1.00:1	7,500.00	1,500.00	3,000.00	3,000.00	3,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.				3,000.00	3,000.00	
AQ	47768	The Premcor Refining Group Inc.	08/15/2014	1.00:1	55,063.00	11,012.00	22,026.00	22,025.00	22,025.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.				22,025.00	22,025.00	
AQ	44659	Ascend Performance Materials Operations LLC	03/06/2014	1.00:1	175,389.00	0.00	87,695.00	87,694.00	87,694.00
		POLLUTION PREVENTION	The Third-Party Recipient shall use SEP funds to: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources.				87,694.00	87,694.00	
AQ	46262	Gulf South Pipeline Company, LP	11/28/2013	1.00:1	8,624.00	1,724.00	3,450.00	3,450.00	3,450.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.				3,450.00	3,450.00	
AQ	46719	Motiva Enterprises LLC	08/15/2014	1.00:1	35,689.00	7,137.00	14,276.00	14,276.00	14,276.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.				14,276.00	14,276.00	
AQ	46908	Huntsman Petrochemical, LLC	02/14/2014	1.00:1	25,000.00	0.00	12,500.00	12,500.00	12,500.00
		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.				12,500.00	12,500.00	
AQ	46655	DCP Midstream, LP	11/01/2013	1.00:1	37,500.00	7,500.00	15,000.00	15,000.00	15,000.00
		POLLUTION PREVENTION	The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology.				15,000.00	15,000.00	
AQ	47163	U.S. Silica Company	02/14/2014	1.00:1	11,251.00	2,250.00	4,501.00	4,500.00	4,500.00
		POLLUTION PREVENTION	The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ.				4,500.00	4,500.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

AQ	46795	PHILLIPS 66 COMPANY	11/16/2013	1.00:1	7,500.00	1,500.00	3,000.00	3,000.00	3,000.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary.				3,000.00	3,000.00	
AQ	46840	Ineos USA, LLC	03/06/2014	1.00:1	80,041.00	16,007.00	32,017.00	32,017.00	32,017.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.				32,017.00	32,017.00	
AQ	46257	Diamond Shamrock Refining Company, L.P.	10/18/2013	1.00:1	34,388.00	6,877.00	13,756.00	13,755.00	13,755.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary.				13,755.00	13,755.00	
AQ	48031	Motiva Enterprises LLC	07/11/2014	1.00:1	50,438.00	0.00	25,219.00	25,219.00	25,219.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.				25,219.00	25,219.00	
AQ	35962	Western Refining Company, L.P.	05/08/2014	1.00:1	211,038.00	42,206.00	84,416.00	84,416.00	84,416.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.				84,416.00	84,416.00	
AQ	44034	Superior Crude Gathering, Inc.	09/30/2013	1.00:1	215,600.00	0.00	107,800.00	107,800.00	107,800.00
		POLLUTION REDUCTION	Will contribute to AutoCheck Program				59,058.00	59,058.00	
		POLLUTION REDUCTION	Will contribute to Railroad Commission of Texas				48,742.00	48,742.00	
AQ	48229	Flint Hills Resources Port Arthur, LLC	06/22/2014	1.00:1	7,500.00	1,500.00	3,000.00	3,000.00	3,000.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.				3,000.00	3,000.00	
AQ	47132	INVISTA S.a.r.l.	08/15/2014	1.00:1	51,650.00	10,330.00	20,660.00	20,660.00	20,660.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.				20,660.00	20,660.00	
AQ	47229	Cabot Corporation	06/13/2014	1.00:1	76,200.00	15,240.00	30,480.00	30,480.00	30,480.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary.				30,480.00	30,480.00	
AQ	46682	Total Petrochemicals & Refining USA, Inc.	01/24/2014	1.00:1	19,688.00	3,937.00	7,876.00	7,875.00	7,875.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area.					7,875.00	7,875.00
AQ	48002	Ineos USA, LLC	06/29/2014	1.00:1	35,625.00	7,125.00	14,250.00	14,250.00	14,250.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					14,250.00	14,250.00
AQ	48022	Oiltanking Houston, L.P.	08/25/2014	1.00:1	18,688.00	3,737.00	7,476.00	7,475.00	7,475.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.					7,475.00	7,475.00
AQ	45716	Equistar Chemicals, LP	10/18/2013	1.00:1	17,848.00	3,569.00	7,140.00	7,139.00	7,139.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					7,139.00	7,139.00
AQ	46724	Western Refining Company, L.P.	05/24/2014	1.00:1	25,838.00	5,167.00	10,336.00	10,335.00	10,335.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.					10,335.00	10,335.00
AQ	46587	The Dallas Group Of America, Inc.	12/19/2013	1.00:1	5,038.00	0.00	2,519.00	2,519.00	2,519.00
		POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.					2,519.00	2,519.00
AQ	46155	BASF Corporation	09/12/2013	1.00:1	250,000.00	0.00	125,000.00	125,000.00	125,000.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					125,000.00	125,000.00
AQ	47261	PHILLIPS 66 COMPANY	01/25/2014	1.00:1	7,500.00	1,500.00	3,000.00	3,000.00	3,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

	POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.	3,000.00	3,000.00					
AQ	46852	W & W Fiberglass Tank Company	12/19/2013	1.00:1	74,550.00	14,910.00	29,820.00	29,820.00	29,820.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.	29,820.00	29,820.00					
AQ	46245	KM Liquids Terminals, L. P.	10/18/2013	1.00:1	100,000.00	0.00	50,000.00	50,000.00	50,000.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.	50,000.00	50,000.00					
AQ	47267	TPC Group LLC	06/13/2014	1.00:1	13,688.00	2,737.00	5,475.00	5,475.00	5,475.00
	POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.	5,475.00	5,475.00					
AQ	46665	Flint Hills Resources Port Arthur, LLC	11/28/2013	1.00:1	200,000.00	0.00	100,000.00	100,000.00	100,000.00
	POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ.	100,000.00	100,000.00					
AQ	47347	Gerdau Ameristeel US Inc.	02/22/2014	1.00:1	12,301.00	2,460.00	4,921.00	4,920.00	4,920.00
	POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.	4,920.00	4,920.00					
AQ	47047	Bayer MaterialScience LLC	02/22/2014	1.00:1	21,262.00	4,252.00	8,505.00	8,505.00	8,505.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants.	8,505.00	8,505.00					
AQ	47878	Shell Chemical LP	05/24/2014	1.00:1	50,000.00	0.00	25,000.00	25,000.00	25,000.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.	25,000.00	25,000.00					
AQ	46266	The Lubrizol Corporation	12/19/2013	1.00:1	61,796.00	0.00	30,898.00	30,898.00	30,898.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.	30,898.00	30,898.00					
AQ	47863	ARGENT ENERGY (US) HOLDINGS INC.	08/25/2014	1.00:1	12,564.00	2,511.00	5,027.00	5,026.00	5,026.00
	POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.						5,026.00	5,026.00
AQ	46171	Chevron Phillips Chemical Company LP	09/12/2013	1.00:1	30,000.00	6,000.00	12,000.00	12,000.00	12,000.00
	POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.						12,000.00	12,000.00
AQ	47630	Oxy Vinyls, LP	05/24/2014	1.00:1	19,000.00	0.00	9,500.00	9,500.00	9,500.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.						9,500.00	9,500.00
AQ	46798	Owens Corning Insulating Systems, LLC	02/22/2014	1.00:1	16,576.00	3,315.00	6,631.00	6,630.00	6,630.00
	POLLUTION PREVENTION	The Performing Party shall provide reimbursement to schools, school districts, or school bus operators that retrofit, repower, or replace older school buses with newer model year buses or cleaner technology. Retrofitting, in this context, means installing devices to reduce exhaust emissions that are verified or certified by the U.S. Environmental Protection Agency (EPA) or the California Air Resources Board (CARB) as proven retrofit technologies.						6,630.00	6,630.00
AQ	46856	Flint Hills Resources Port Arthur, LLC	12/19/2013	1.00:1	19,689.00	3,937.00	7,876.00	7,876.00	7,876.00
	POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.						7,876.00	7,876.00
AQ	46290	BASF Corporation	09/12/2013	1.00:1	9,360.00	1,872.00	3,744.00	3,744.00	3,744.00
	POLLUTION PREVENTION	The contribution will be to Texas Air Quality Research Center at Lamar University for the Flare Speciation and Air Quality Modeling project to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency and Destruction and Removal Efficiency.						3,744.00	3,744.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

AQ	44757	Motiva Enterprises LLC	09/30/2013	1.00:1	28,688.00	5,737.00	11,476.00	11,475.00	11,475.00
		POLLUTION PREVENTION	Conduct home energy audits and to assist low income residents in the West Port Arthur area in improving their homes' energy efficiency such as by caulking, weatherizing, insulating, and replacing heating and cooling systems and major appliances with new, energy efficient equipment.					11,475.00	11,475.00
AQ	47842	DCP Midstream, LP	04/18/2014	1.00:1	3,100.00	620.00	1,240.00	1,240.00	1,240.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary.					1,240.00	1,240.00
AQ	46495	Lockheed Martin Corporation	12/19/2013	1.00:1	4,875.00	0.00	2,438.00	2,437.00	2,437.00
		POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology.					2,437.00	2,437.00
AQ	46397	Equistar Chemicals, LP	09/30/2013	1.00:1	15,000.00	3,000.00	6,000.00	6,000.00	6,000.00
		POLLUTION PREVENTION	AutoCheck is a remote vehicle emission sensing system that identifies polluting vehicles and informs the vehicle operators about the health of their vehicle via a large electronic sign. Once a vehicle is identified, qualified service stations perform repairs on identified polluting vehicles. Pre and post emissions tests are performed and tracked, and the service stations are reimbursed for their expenses.					6,000.00	6,000.00
AQ	47431	City of Laredo	06/29/2014	1.00:1	36,295.00	7,259.00	0.00	29,036.00	29,036.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.					29,036.00	29,036.00
AQ	48287	BASF TOTAL Petrochemicals LLC	08/22/2014	1.00:1	6,563.00	1,312.00	2,626.00	2,625.00	2,625.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.					2,625.00	2,625.00
AQ	47374	INVISTA S.a.r.l.	05/24/2014	1.00:1	13,126.00	2,625.00	5,251.00	5,250.00	5,250.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.					5,250.00	5,250.00
AQ	46147	INVISTA S.a.r.l.	09/14/2013	1.00:1	5,550.00	1,110.00	2,220.00	2,220.00	2,220.00
		POLLUTION PREVENTION	SEP funds will be used to install or retrofit, operate, maintain, and potentially expand air monitoring stations in Harris County to continuously monitor ambient air for total non-methane hydrocarbons and hazardous air pollutants (HAPs). Specifically, SEP funds will be used to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring.					2,220.00	2,220.00
AQ	47109	Oxy Vinyls, LP	01/25/2014	1.00:1	3,863.00	772.00	1,546.00	1,545.00	1,545.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.	1,545.00	1,545.00					
AQ	47796	ExxonMobil Oil Corporation	05/24/2014	1.00:1	87,500.00	0.00	43,750.00	43,750.00	43,750.00
	POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.	43,750.00	43,750.00					
AQ	47110	Shell Chemical LP *	07/11/2014	1.00:1	48,750.00	9,750.00	19,500.00	19,500.00	19,500.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.	19,500.00	19,500.00					
AQ	47562	Eagle Rock Field Services, L.P.	05/24/2014	1.00:1	11,250.00	2,250.00	4,500.00	4,500.00	4,500.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Borger Independent School District and the Texas Commission on Environmental Quality. The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary.	4,500.00	4,500.00					
AQ	48242	Pasadena Cogeneration L.P.	07/05/2014	1.00:1	3,788.00	757.00	1,516.00	1,515.00	1,515.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.	1,515.00	1,515.00					
AQ	46493	ExxonMobil Oil Corporation	04/05/2014	1.00:1	13,563.00	2,712.00	5,426.00	5,425.00	5,425.00
	POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.	5,425.00	5,425.00					
AQ	47558	REPUBLIC CORPORATION	05/08/2014	1.00:1	10,688.00	2,137.00	4,276.00	4,275.00	4,275.00
	POLLUTION PREVENTION	The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology.	4,275.00	4,275.00					
AQ	47254	ExxonMobil Oil Corporation	03/06/2014	1.00:1	13,125.00	2,625.00	5,250.00	5,250.00	5,250.00

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## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

	POLLUTION PREVENTION	SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency (CE) and Destruction and Removal Efficiency.	5,250.00	5,250.00					
AQ	46702	ExxonMobil Oil Corporation	01/02/2014	1.00:1	7,001.00	1,400.00	2,801.00	2,800.00	2,800.00
	POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ.	2,800.00	2,800.00					
AQ	46581	DCP Midstream, LP	09/12/2013	1.00:1	25,400.00	0.00	12,700.00	12,700.00	12,700.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions:	12,700.00	12,700.00					
IHW	46751	Clean Harbors San Leon, Inc.	02/22/2014	1.00:1	53,537.00	10,707.00	21,415.00	21,415.00	21,415.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	21,415.00	21,415.00					
MSW	46231	City Of Jasper	04/18/2014	1.00:1	4,975.00	995.00	0.00	3,980.00	3,980.00
	POLLUTION PREVENTION	Angelina Beautiful Clean (AB/C) is an affiliate member of Keep Texas Beautiful and sponsors cleanups throughout Angelina County, participates in the Texas Recycles Day, and provides information to the public about recycling and the benefits to maintaining a clean and healthy environment. Cleanup events will collect and properly dispose of illegally dumped waste in Angelina County.	3,980.00	3,980.00					
MSW	46235	BAYMON ACME LP	10/03/2013	1.00:1	6,650.00	1,330.00	2,660.00	2,660.00	2,660.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	2,660.00	2,660.00					
PST	46537	Texas Department of Transportation	10/21/2013	1.00:1	2,813.00	562.00	0.00	2,251.00	2,251.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used to install an eight-foot tall game fence along 1,900 feet of the northern end of the Baker Sanctuary in order to prevent erosion and habitat destruction from the deer.	2,251.00	2,251.00					
PST	46969	Texas Department of Transportation	01/25/2014	1.00:1	4,063.00	812.00	0.00	3,251.00	3,251.00
	POLLUTION PREVENTION	The contribution will be to the Travis Audubon Society, Inc. for the Baker Sanctuary Fence Project Phase III. The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project").	3,251.00	3,251.00					
PST	47680	Greenville Independent School District	04/18/2014	1.00:1	2,579.00	515.00	0.00	2,064.00	2,064.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	2,064.00	2,064.00					
PST	46212	San Antonio Water System	05/24/2014	1.00:1	17,563.00	3,512.00	0.00	14,051.00	14,051.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

		POLLUTION REDUCTION	Shall remove and properly dispose of solid waste and debris from the lake bed of Medina Lake, including but not limited to 55-gallon drums, scrap tires, chemical containers, and gas cylinders.	14,051.00	14,051.00				
PST	44309	Katy Independent School District	09/30/2013	1.00:1	13,500.00	0.00	0.00	13,500.00	13,500.00
		ENVIRONMENTAL ENHANCEMENT	Contribute to Houston Arboretum & Nature Center					13,500.00	13,500.00
PST	46445	City of Galveston	09/26/2013	1.00:1	7,500.00	1,500.00	0.00	6,000.00	6,000.00
		POLLUTION PREVENTION	("GBF") shall reestablish marsh habitat to Galveston Bay by restoring marsh elevations to those that will support marsh vegetation and protect marsh habitats from the threat of erosion.					6,000.00	6,000.00
PST	46767	Texas Department of Transportation	11/01/2013	1.00:1	3,750.00	750.00	0.00	3,000.00	3,000.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.					3,000.00	3,000.00
PWS	47476	Copperas Cove MHC, LLC	03/09/2014	1.00:1	4,476.00	895.00	1,791.00	1,790.00	1,790.00
		POLLUTION PREVENTION	Brazoria County shall provide assistance to low income homeowners to repair or replace failing or inadequately designed On-Site Sewage Facilities (OSSFs) within the county. Many of the OSSFs currently in operation in Brazoria County are substandard and result in the release of sewage in residential areas, public rights-of-way, and waterways.					1,790.00	1,790.00
PWS	47574	Adonai Elohai, LLC	03/28/2014	1.00:1	764.00	152.00	306.00	306.00	306.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used to install an eight-foot tall game fence along 1,900 feet of the northern end of the Baker Sanctuary in order to prevent erosion and habitat destruction from the deer.					306.00	306.00
PWS	47065	City of Marlin	05/03/2014	1.00:1	5,631.00	1,126.00	0.00	4,505.00	4,505.00
		POLLUTION REDUCTION	Custom SEP: Hold a one-day collection event for electronics, appliances, scrap metal, tires, batteries, used paint, and MSW					4,505.00	4,505.00
WQ	44298	Horizon Regional Municipal Utility District	11/01/2013	1.00:1	6,562.00	1,312.00	0.00	5,250.00	5,250.00
		POLLUTION REDUCTION	Compliance SEP: purchase and install new reverse osmosis concentrator equipment, storage tote, chemical pumps, feed lines, chemicals, valves, and controls					5,250.00	5,250.00
WQ	46110	City of Austin	09/30/2013	1.00:1	7,500.00	0.00	0.00	7,500.00	7,500.00
		POLLUTION PREVENTION	The contribution will be to the Travis Audubon Society, Inc. for the Baker Sanctuary Fence Project Phase III. The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used to install an eight-foot tall game fence along 1,900 feet of the northern end of the Baker Sanctuary in order to prevent erosion and habitat destruction from the deer. The Third-Party Recipient owns the Baker Sanctuary; a 690 acre habitat preserve located in northwest Travis County for state and federally endangered Golden-cheeked Warbler and other wildlife.					7,500.00	7,500.00
WQ	46348	West Hardin County Consolidated Independent School District	01/02/2014	1.00:1	6,187.00	1,237.00	0.00	4,950.00	4,950.00
		POLLUTION PREVENTION	The Big Thicket National Preserve is a heavily forested area in southeast Texas that spans approximately 105,684 acres. The Preserve ranges through 250 miles of waterways across seven east Texas counties (Hardin, Jefferson, Orange, Jasper, Liberty, Polk, and Tyler Counties).					4,950.00	4,950.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

WQ	47221	City of Azle	01/24/2014	1.00:1	14,000.00	0.00	0.00	14,000.00	14,000.00
		POLLUTION REDUCTION	Hold a one-day City-wide collection event for municipal solid waste including but not limited to tires, broken furniture, logs, used automotive oil and antifreeze, used paint, and junk metal.					14,000.00	14,000.00
WQ	46700	City of Austin	11/01/2013	1.00:1	9,375.00	0.00	0.00	9,375.00	9,375.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used to install an eight-foot tall game fence along 1,900 feet of the northern end of the Baker Sanctuary in order to prevent erosion and habitat destruction from the deer.					9,375.00	9,375.00
WQ	47438	City of Pasadena	08/15/2014	1.00:1	15,750.00	3,150.00	0.00	12,600.00	12,600.00
		POLLUTION PREVENTION	Contributions will be used in accordance with the Supplemental Environmental Project Agreement between the Armand Bayou Nature Center and the Texas Commission on Environmental Quality. The tallgrass prairie was once a common ecosystem in Texas and the United States. Today more than 99% of this habitat has been lost and the remainder is highly fragmented and severely threatened by exotic species and development.					4,200.00	4,200.00
		POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation.					4,200.00	4,200.00
		POLLUTION PREVENTION	Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will pay for materials, supplies, disposal costs and transportation costs directly associated with the clean up of trash.					4,200.00	4,200.00
WQ	46271	Warren Independent School District	04/19/2014	1.00:1	8,775.00	1,755.00	0.00	7,020.00	7,020.00
		POLLUTION PREVENTION	The Big Thicket National Preserve is a heavily forested area in southeast Texas that spans approximately 105,684 acres. The Preserve ranges through 250 miles of waterways across seven east Texas counties (Hardin, Jefferson, Orange, Jasper, Liberty, Polk, and Tyler Counties). The Neches River forms the eastern boundary. The Preserve is one of the most biologically diverse ecosystems in the world. In 2001, the Preserve was named a Globally Important Birding Area.					7,020.00	7,020.00
WQ	46653	City of Brenham	11/01/2013	1.00:1	9,788.00	1,957.00	0.00	7,831.00	7,831.00
		POLLUTION PREVENTION	Houston Arboretum and Nature Center - Hurricane Ike Habitat Restoration and Removal of Invasive Species: The Project will restore the native wildlife habitat at the Arboretum which is located in a highly urban area. The restored forest will enhance water quality by serving as a filter for nutrients and other heavy metals thereby reducing the amount of pollutants reaching Buffalo Bayou.					7,831.00	7,831.00
WQ	48214	TXI Operations, LP	08/22/2014	1.00:1	5,619.00	1,123.00	2,248.00	2,248.00	2,248.00
		POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation. This project will seek to raise elevations by scraping and terracing.					2,248.00	2,248.00
WQ	46894	Northshore Harbor Sewer Company, LLC *	06/13/2014	1.00:1	11,250.00	2,250.00	4,500.00	4,500.00	4,500.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.	4,500.00	4,500.00					
WQ	46881	City of Refugio	02/22/2014	1.00:1	11,050.00	0.00	0.00	11,050.00	11,050.00
	POLLUTION PREVENTION	The contribution will be to the City of Portland for the Violet Andrews Park Erosion Control and Habitat Restoration SEP. The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used for habitat restoration and erosion control in Violet Andrews Park. This SEP will restore native vegetation in strategic areas that are denuded within the park site which will reduce erosion and sedimentation, and enhance and restore native habitat for numerous bird species protected under the Migratory Bird Treaty Act.						11,050.00	11,050.00
WQ	44030	Woden Independent School District	01/24/2014	1.00:1	33,475.00	6,695.00	0.00	26,780.00	26,780.00
	POLLUTION REDUCTION	Shall purchase and install a package module wastewater treatment plant.						26,780.00	26,780.00
WQ	47067	City of China	01/25/2014	1.00:1	5,250.00	1,050.00	0.00	4,200.00	4,200.00
	POLLUTION PREVENTION	The contribution will be to Jefferson County Government to be used for the Cheek Community First Time Sewer Service for Low Income Home Owners project. Specifically, SEP monies will be used to provide first time sewer service for low-income residential customers in the Candlelight and Martel Subdivisions (Cheek Community) located in the western area of Jefferson County.						4,200.00	4,200.00
WQ	46831	Firestone Polymers, LLC	12/19/2013	1.00:1	30,000.00	6,000.00	12,000.00	12,000.00	12,000.00
	POLLUTION PREVENTION	The Big Thicket National Preserve is a heavily forested area in southeast Texas that spans approximately 105,684 acres. The Preserve ranges through 250 miles of waterways across seven east Texas counties (Hardin, Jefferson, Orange, Jasper, Liberty, Polk, and Tyler Counties). The Neches River forms the eastern boundary.						12,000.00	12,000.00
WQ	37512	City of Wellman	11/28/2013	1.00:1	20,727.00	0.00	0.00	20,727.00	20,727.00
	POLLUTION REDUCTION	shall purchase, repair, replace and/or install grinder pump for a lift station; flow meters, a primary clarifier and geyser pulse pumps, aerator compressors, and a secondary flow meter at the Weir Box.						20,727.00	20,727.00
WQ	47806	EAST CEDAR CREEK FWSD	08/01/2014	1.00:1	3,125.00	625.00	0.00	2,500.00	2,500.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.						2,500.00	2,500.00
WQ	45812	City of Kingsville	11/01/2013	1.00:1	10,318.00	0.00	0.00	10,318.00	10,318.00
	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system.						10,318.00	10,318.00
WQ	47794	City of Austin	05/24/2014	1.00:1	7,125.00	0.00	0.00	7,125.00	7,125.00
	POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous monitoring of area water quality.						7,125.00	7,125.00

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## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

WQ	47715	ELG Metals, Inc.	05/03/2014	1.00:1	7,500.00	1,500.00	3,000.00	3,000.00	3,000.00
		POLLUTION PREVENTION	SEP Offset Amount will be used to design and install a gate to trap floatable debris, trash, and oil that will be removed from the stream before flowing into Armand Bayou and Galveston Bay. The Third-Party Recipient shall use the SEP Offset Amount to pay a contractor to design, fabricate, install and maintain the Gate which will be located in the Preston Avenue median ditch between Fairmont Parkway and Crenshaw Road in Pasadena, Texas. The Gate will be designed to remove debris, but not cause flooding.				3,000.00	3,000.00	
WQ	47689	City Of Bastrop	05/24/2014	1.00:1	14,250.00	2,850.00	0.00	11,400.00	11,400.00
		POLLUTION REDUCTION	Custom SEP: BOPA, brush, and tire collection				11,400.00	11,400.00	
WQ	46571	Archdiocese of Galveston Houston	09/14/2013	1.00:1	5,250.00	1,050.00	0.00	4,200.00	4,200.00
		POLLUTION PREVENTION	The contribution will be to the Bayou Land Conservancy for the Spring Creek Greenway Project. Specifically, this SEP will focus on preserving multiple tracts of land comprising approximately 1,000 acres in the riparian corridor east of FM 2978 and west of U.S. 59. The Third-Party Recipient shall use SEP Funds to acquire conservation easements on these tracts to provide permanent protection and stewardship of these lands and waters.				4,200.00	4,200.00	
WQ	46263	City of Arlington	01/24/2014	1.00:1	13,125.00	0.00	0.00	13,125.00	13,125.00
		POLLUTION REDUCTION	Custom SEP: shall provide backflow testing, repair, or replacement for low-hazard assemblies for residential users on irrigation systems.				13,125.00	13,125.00	
WQ	47214	City of Lubbock	02/09/2014	1.00:1	3,750.00	750.00	0.00	3,000.00	3,000.00
		POLLUTION PREVENTION	Brazoria County shall provide assistance to low income homeowners to repair or replace failing or inadequately designed On-Site Sewage Facilities (OSSFs) within the county. Many of the OSSFs currently in operation in Brazoria County are substandard and result in the release of sewage in residential areas, public rights-of-way, and waterways.				3,000.00	3,000.00	
WQ	47823	City of Kosse	05/03/2014	1.00:1	6,000.00	1,200.00	0.00	4,800.00	4,800.00
		POLLUTION REDUCTION	Shall implement smoke testing to find point repairs on contribution locations and shall install rain guards at appropriate manholes.				4,800.00	4,800.00	
WQ	45042	City of New Boston	06/13/2014	1.00:1	35,062.00	7,012.00	0.00	28,050.00	28,050.00
		POLLUTION REDUCTION	Compliance SEP: WWTP Upgrade (Aerator Project)				28,050.00	28,050.00	
WQ	46956	City of Pearland	01/24/2014	1.00:1	14,625.00	2,925.00	0.00	11,700.00	11,700.00
		POLLUTION PREVENTION	Brazoria County shall provide assistance to low income homeowners to repair or replace existing open, failing, or inadequately designed drinking water wells or to connect the household to central water service and plug the existing well.				1,700.00	1,700.00	
		POLLUTION PREVENTION	The contribution will be used for the identification and acquisition of projects, land purchases, and transfer of land to a public agency or nonprofit organization, which will be the ultimate fee title holder and permanent steward for the property. TPL will ensure that each property is encumbered with a conservation easement which will preserve the property into perpetuity. SEP monies will be used to pay for the purchase of land. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs.				10,000.00	10,000.00	
WQ	47258	LONE PINE ENTERPRISES, INC.	08/01/2014	1.00:1	5,625.00	1,125.00	2,250.00	2,250.00	2,250.00
		POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous monitoring of area water quality.				2,250.00	2,250.00	
WQ	46419	City of Waelder	03/09/2014	1.00:1	5,625.00	1,125.00	0.00	4,500.00	4,500.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.	4,500.00	4,500.00					
WQ	47769	City of Shallowater	07/18/2014	1.00:1	2,625.00	525.00	0.00	2,100.00	2,100.00
	POLLUTION PREVENTION	C-SEP: Replace Soil Liner at Wastewater Treatment Plant						2,100.00	2,100.00
WQ	47832	City of Sulphur Springs	05/24/2014	1.00:1	20,250.00	4,050.00	0.00	16,200.00	16,200.00
	POLLUTION PREVENTION	The contribution will be to Caddo Lake Institute (CLI) for the Caddo Lake Watershed Enhanced Monitoring Program to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will be used for increased water quality monitoring and contaminant sampling for use in evaluating risks to water in Caddo Lake and other water bodies in the Cypress Creek basin, comprising the Caddo Lake watershed.						16,200.00	16,200.00
WQ	46615	City of Joaquin	05/24/2014	1.00:1	54,520.00	0.00	0.00	54,520.00	54,520.00
	POLLUTION REDUCTION	Repair existing drying beds and construct additional drying bed						54,520.00	54,520.00
WQ	46111	Lucite International, Inc.	10/18/2013	1.00:1	89,169.00	17,833.00	35,668.00	35,668.00	35,668.00
	POLLUTION PREVENTION	The Big Thicket National Preserve is a heavily forested area in southeast Texas that spans approximately 105,684 acres. The Preserve ranges through 250 miles of waterways across seven east Texas counties (Hardin, Jefferson, Orange, Jasper, Liberty, Polk, and Tyler Counties).						35,668.00	35,668.00
WQ	45066	City of Fredericksburg	05/24/2014	1.00:1	18,000.00	3,600.00	0.00	14,400.00	14,400.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.						14,400.00	14,400.00
WQ	44771	JJSM Development, Inc.	03/06/2014	1.00:1	41,528.00	0.00	20,764.00	20,764.00	20,764.00
	ENVIRONMENTAL ENHANCEMENT	Split SEP with unequal amounts.						13,200.00	13,200.00
	POLLUTION REDUCTION	Split SEPs with unequal amounts. Cleanup of Unauthorized Dumpsites						7,564.00	7,564.00
WQ	47980	Town of Westlake	08/15/2014	1.00:1	30,000.00	0.00	0.00	30,000.00	30,000.00
	POLLUTION PREVENTION	The SEP Offset Amount will be used to assist up to five families per year with upgrading and improving their on-property sewer connections. Haltom City shall establish a pool of funds to which Haltom City residents may apply to cover the cost of sewer connection repair.						30,000.00	30,000.00
WQ	47333	City of Horseshoe Bay	04/19/2014	1.00:1	5,400.00	0.00	0.00	5,400.00	5,400.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.						5,400.00	5,400.00
WQ	47514	City Of Robert Lee	08/25/2014	1.00:1	13,612.00	0.00	0.00	13,612.00	13,612.00
	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.						13,612.00	13,612.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Single Program

WQ	47738	City of Boerne	08/01/2014	1.00:1	4,500.00	900.00	0.00	3,600.00	3,600.00
		POLLUTION PREVENTION	The SEP Offset Amount will be used to install and monitor two water quality meters to add to the existing network of continuously monitored streams and rivers in the Guadalupe River Basin, one on Lake Placid in Guadalupe County and one at Plum Creek at Heidenreich Lane in Hays County.					3,600.00	3,600.00
WQ	38706	City of Dodd City	11/01/2013	1.00:1	45,325.00	0.00	0.00	45,325.00	45,325.00
		POLLUTION REDUCTION	purchase and install a Package Plant which will include a primary clarifier, disinfection, and sludge drying system.					45,325.00	45,325.00
WQ	46298	City of Walnut Springs	09/12/2013	1.00:1	12,687.00	2,537.00	0.00	10,150.00	10,150.00
		POLLUTION REDUCTION	Construct Chlorine Contact Chamber					10,150.00	10,150.00
WQ	45788	City of Sadler	11/01/2013	1.00:1	26,250.00	5,250.00	0.00	21,000.00	21,000.00
		POLLUTION REDUCTION	shall convert its Pond Treatment System to an Activated Sludge Treatment System by adding a .066 MGD clarifier, UV units/chlorine disinfection unit; recirculation pump; yard piping; site electrical; and site fencing					21,000.00	21,000.00
WQ	44465	City of Winona	11/11/2013	1.00:1	14,527.00	2,905.00	0.00	11,622.00	11,622.00
		POLLUTION REDUCTION	Shall build and install new outflow wier; dredge and clean outflow stream; and chemically treat sewer ponds to kill and remove duckweed.					11,622.00	11,622.00
<b>Count of Single Program Orders: 133</b>				<b>Total:</b>	<b>4,386,777.00</b>	<b>435,107.00</b>	<b>1,705,065.00</b>	<b>,246,605.00</b>	<b>,246,605.00</b>

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 4: List of SEP's & SEP Projects - FY 2014

### Multi-Media Program

Date Range Selected : 09/01/2013 - 08/31/2014

PGM	Case No.	Respondent Name	Effective Date	Ratio	Assessed Amt	Deferred Amt	Payable Amt	SEP Cost	SEP Offset
MM	45076	Motiva Enterprises LLC	09/30/2013	1.00:1	60,775.00	12,155.00	24,310.00	24,310.00	24,310.00
		POLLUTION PREVENTION	The contribution will be to Jefferson County Government to be used for the Cheek Community First Time Sewer Service for Low Income Home Owners project. Specifically, SEP monies will be used to provide first time sewer service for low-income residential customers in the Candlelight and Martel Subdivisions (Cheek Community) located in the western area of Jefferson County.					24,310.00	24,310.00

MM	25076	City of Queen City	11/01/2013	1.00:1	81,400.00	0.00	0.00	81,400.00	81,400.00
		POLLUTION REDUCTION	shall purchase and install a backup generator for power interruptions and security fencing for the wastewater treatment plant					81,400.00	81,400.00

<b>Count of Multi-Media Program Orders: 2</b>	Total:	142,175.00	12,155.00	24,310.00	105,710.00	105,710.00
<b>Grand Total Count of Orders: 135</b>	Grand Total:	4,528,952.00	447,262.00	1,729,375.00	2,352,315.00	2,352,315.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 5: List of Administrative Orders Issued FY 2013

### Singles Programs

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	43776	ROBERTS, CLIFF	2012-0600-AIR-E	TARRANT	9/16/2012	\$563	\$112	\$451	\$0
AQ	43769	Air Liquide Large Industries U.S. LP	2012-0602-AIR-E	BRAZORIA	9/16/2012	\$4,875	\$975	\$3,900	\$0
AQ	43948	E. R. Carpenter, L.P.	2012-0784-AIR-E	HARRIS	9/16/2012	\$7,465	\$1,493	\$5,972	\$0
AQ	43744	Leedo Manufacturing Co., L.P.	2012-0568-AIR-E	WHARTON	9/16/2012	\$2,850	\$570	\$2,280	\$0
AQ	43608	CEMEX Construction Materials South, LLC	2012-0443-AIR-E	COMAL	9/16/2012	\$5,500	\$1,100	\$4,400	\$0
AQ	43820	Copano Field Services/North Texas, L.L.C.	2012-0639-AIR-E	COOKE	9/16/2012	\$7,266	\$1,453	\$5,813	\$0
AQ	43768	Cameron Rig Solutions, Inc.	2012-0588-AIR-E	HARRIS	9/16/2012	\$1,625	\$325	\$1,300	\$0
AQ	43438	SCCW Industrial Services, LLC	2012-0249-AIR-E	ORANGE	9/16/2012	\$1,250	\$0	\$1,250	\$0
AQ	43393	INVISTA S.a.r.l.	2012-0260-AIR-E	ORANGE	9/29/2012	\$16,800	\$3,360	\$6,720	\$6,720
AQ	43542	Horizon Milling, LLC	2012-0357-AIR-E	TARRANT	9/29/2012	\$57,000	\$11,400	\$45,600	\$0
AQ	43533	The Dow Chemical Company	2012-0358-AIR-E	BRAZORIA	9/29/2012	\$50,000	\$0	\$25,000	\$25,000
AQ	43436	Champion Technologies, Inc.	2012-0252-AIR-E	FORT BEND	9/29/2012	\$7,700	\$0	\$7,700	\$0
AQ	43580	Hunt Oil Company	2012-0383-AIR-E	HENDERSON	9/29/2012	\$13,125	\$2,625	\$10,500	\$0
AQ	43617	Chevron Phillips Chemical Company LP	2012-0455-AIR-E	HARRIS	9/29/2012	\$25,000	\$0	\$12,500	\$12,500
AQ	43522	Total Petrochemicals & Refining USA, Inc.	2012-0335-AIR-E	JEFFERSON	9/29/2012	\$13,125	\$2,625	\$5,250	\$5,250
AQ	43635	Cross-Cut Hardwoods, Inc.	2012-0438-AIR-E	CHEROKEE	9/30/2012	\$1,620	\$324	\$1,296	\$0
AQ	43675	Nabors Well Services Co.	2012-0487-AIR-E	TARRANT	9/30/2012	\$3,280	\$656	\$2,624	\$0
AQ	43649	BASF Corporation	2012-0454-AIR-E	BRAZORIA	10/13/2012	\$7,125	\$1,425	\$5,700	\$0
AQ	43876	EnCana Oil & Gas (USA) Inc.	2012-0701-AIR-E	ROBERTSON	10/13/2012	\$938	\$187	\$751	\$0
AQ	44033	NuStar Logistics, L.P.	2012-0869-AIR-E	GALVESTON	10/13/2012	\$3,375	\$675	\$1,350	\$1,350
AQ	44582	NAJERA, FELIPE	2012-1445-AIR-E	ANDERSON	10/26/2012	\$875	\$0	\$875	\$0
AQ	41558	Cargill Meat Solutions Corporation	2011-0650-AIR-E	HALE	10/26/2012	\$6,100	\$1,220	\$4,880	\$0
AQ	43810	US Department of the Army	2012-0631-AIR-E	NUECES	10/26/2012	\$2,425	\$485	\$1,940	\$0
AQ	43602	Haltom Tommy	2012-0409-AIR-E	HARRISON	10/26/2012	\$2,000	\$400	\$1,600	\$0
AQ	43780	E. I. du Pont de Nemours and Company	2012-0598-AIR-E	HARRIS	10/27/2012	\$11,040	\$0	\$5,520	\$5,520
AQ	43304	ANDERSON COLUMBIA CO., INC.	2012-0112-AIR-E	ZAPATA	10/27/2012	\$8,439	\$1,687	\$6,752	\$0
AQ	41619	Choice Exploration, Inc.	2011-0718-AIR-E	LIBERTY	10/27/2012	\$17,040	\$0	\$17,040	\$0
AQ	43096	JX Nippon Chemical Texas Inc.	2011-2250-AIR-E	HARRIS	10/27/2012	\$54,117	\$10,823	\$21,647	\$21,647
AQ	43510	Bravo Natural Gas, LLC	2012-0326-AIR-E	*MULTIPLE	10/27/2012	\$16,840	\$3,368	\$13,472	\$0
AQ	43478	WTG Gas Processing, L.P.	2012-0294-AIR-E	HOWARD	10/27/2012	\$5,462	\$0	\$5,462	\$0
AQ	43535	ExxonMobil Oil Corporation	2012-0384-AIR-E	JEFFERSON	10/27/2012	\$13,125	\$2,625	\$5,250	\$5,250
AQ	43262	Eagle Railcar Services - Roscoe, Inc.	2012-0060-AIR-E	NOLAN	10/27/2012	\$44,000	\$8,800	\$35,200	\$0
AQ	43884	Ascend Performance Materials Operations LLC	2012-0707-AIR-E	BRAZORIA	11/11/2012	\$25,500	\$0	\$12,750	\$12,750
AQ	44223	Southern Crushed Concrete, LLC	2012-1065-AIR-E	MONTGOMERY	11/11/2012	\$938	\$187	\$751	\$0
AQ	43943	Texas A&M University	2012-0775-AIR-E	BRAZOS	11/11/2012	\$9,890	\$1,978	\$7,912	\$0
AQ	43996	Halcon Operating Co., Inc.	2012-0838-AIR-E	WILBARGER	11/11/2012	\$4,100	\$820	\$3,280	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	41915	BAYLOR UNIVERSITY	2011-1036-AIR-E	MCLENNAN	11/11/2012	\$20,000	\$4,000	\$0	\$16,000
AQ	43745	WTG Gas Processing, L.P.	2012-0564-AIR-E	HOWARD	11/11/2012	\$13,563	\$2,712	\$10,851	\$0
AQ	44247	ANGEL, JAMES E	2012-1098-AIR-E	TARRANT	11/11/2012	\$1,050	\$210	\$840	\$0
AQ	43620	EOG Resources, Inc.	2012-0441-AIR-E	PANOLA	11/11/2012	\$5,000	\$1,000	\$4,000	\$0
AQ	43974	Flint Hills Resources Port Arthur, LLC	2012-0809-AIR-E	JEFFERSON	11/11/2012	\$7,500	\$1,500	\$3,000	\$3,000
AQ	43604	Azteca Milling, L. P.	2012-0408-AIR-E	HALE	11/11/2012	\$16,500	\$3,300	\$13,200	\$0
AQ	42196	US Department of the Army	2011-1352-AIR-E	EL PASO	11/22/2012	\$1,000	\$200	\$800	\$0
AQ	44001	Hidalgo County	2012-0841-AIR-E	HIDALGO	11/22/2012	\$3,600	\$720	\$0	\$2,880
AQ	43913	Huntsman Petrochemical, LLC	2012-0738-AIR-E	JEFFERSON	11/22/2012	\$7,500	\$1,500	\$3,000	\$3,000
AQ	43842	Equistar Chemicals, LP	2012-0665-AIR-E	HARRIS	11/30/2012	\$25,000	\$0	\$12,500	\$12,500
AQ	43897	Flint Hills Resources Corpus Christi, LLC	2012-0736-AIR-E	NUECES	11/30/2012	\$14,063	\$2,812	\$11,251	\$0
AQ	42422	Lindberg Collision Incorporated	2011-1561-AIR-E	DALLAS	11/30/2012	\$11,550	\$1,775	\$9,775	\$0
AQ	43868	United Structures of America, Inc.	2012-0692-AIR-E	HARRIS	11/30/2012	\$18,450	\$3,690	\$7,380	\$7,380
AQ	43901	Trend Gathering & Treating, LLC	2012-0734-AIR-E	FREESTONE	11/30/2012	\$10,875	\$2,175	\$8,700	\$0
AQ	44054	The Dow Chemical Company	2012-0897-AIR-E	BRAZORIA	12/15/2012	\$19,689	\$3,937	\$7,876	\$7,876
AQ	43969	Formosa Plastics Corporation, Texas	2012-0804-AIR-E	CALHOUN	12/15/2012	\$10,000	\$2,000	\$4,000	\$4,000
AQ	43864	INVISTA S.a r.l.	2012-0684-AIR-E	HARRIS	12/15/2012	\$8,304	\$1,660	\$3,322	\$3,322
AQ	43654	WM Resource Recovery & Recycling Center, Inc.	2012-0462-AIR-E	CHAMBERS	12/15/2012	\$22,485	\$4,497	\$17,988	\$0
AQ	41946	FORT APACHE ENERGY, INC.	2011-1093-AIR-E	GALVESTON	12/15/2012	\$14,813	\$0	\$14,813	\$0
AQ	44288	DCP Midstream, LP	2012-1139-AIR-E	PANOLA	12/15/2012	\$14,001	\$2,800	\$11,201	\$0
AQ	43669	Shumard Corporation	2012-0481-AIR-E	TARRANT	12/15/2012	\$15,402	\$3,080	\$12,322	\$0
AQ	44224	WTL LLC	2012-1064-AIR-E	ROBERTS	12/16/2012	\$1,838	\$367	\$1,471	\$0
AQ	44418	Devon Gas Services, L.P.	2012-1276-AIR-E	WISE	12/16/2012	\$1,875	\$375	\$1,500	\$0
AQ	44134	Davis Gas Processing, Inc.	2012-0982-AIR-E	REAGAN	12/16/2012	\$6,538	\$1,307	\$5,231	\$0
AQ	41289	CASTORIA, JASON	2011-0380-AIR-E	BRAZOS	12/20/2012	\$3,210	\$0	\$3,210	\$0
AQ	44300	City of Brownsville	2012-1150-AIR-E	CAMERON	1/12/2013	\$2,988	\$597	\$2,391	\$0
AQ	44017	E. I. du Pont de Nemours and Company	2012-0861-AIR-E	JEFFERSON	1/12/2013	\$7,500	\$1,500	\$3,000	\$3,000
AQ	44202	Southern Union Pipeline, Ltd.	2012-1047-AIR-E	WARD	2/10/2013	\$9,700	\$1,940	\$7,760	\$0
AQ	44287	Pecan Pipeline Company	2012-1168-AIR-E	MONTAGUE	2/10/2013	\$16,250	\$3,250	\$13,000	\$0
AQ	43986	BP Products North America Inc.	2012-0839-AIR-E	GALVESTON	2/10/2013	\$33,000	\$0	\$33,000	\$0
AQ	44317	Guardian Industries Corp.	2012-1173-AIR-E	NAVARRO	2/10/2013	\$9,842	\$1,968	\$7,874	\$0
AQ	40903	Pasadena Refining System, Inc	2010-2073-AIR-E	HARRIS	2/10/2013	\$757,153	\$0	\$378,577	\$378,576
AQ	44490	NORTH TEXAS PREMIER SOCCER ASSOCIATION INC	2012-1348-AIR-E	DALLAS	2/23/2013	\$1,000	\$200	\$800	\$0
AQ	44351	Huntsman Petrochemical, LLC	2012-1230-AIR-E	JEFFERSON	2/23/2013	\$6,563	\$1,312	\$2,626	\$2,625
AQ	44627	Hueco Quarry, Inc.	2012-1499-AIR-E	EL PASO	2/23/2013	\$1,801	\$360	\$1,441	\$0
AQ	44586	Endeavor Energy Resources, L.P.	2012-1449-AIR-E	TERRY	2/23/2013	\$1,875	\$375	\$1,500	\$0
AQ	44275	Equistar Chemicals, LP	2012-1127-AIR-E	HARRIS	2/23/2013	\$6,563	\$1,312	\$2,626	\$2,625
AQ	44675	G. P. Plastics Corporation	2012-1553-AIR-E	DALLAS	2/23/2013	\$1,312	\$262	\$1,050	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	43829	Targa Downstream LLC	2012-0654-AIR-E	CHAMBERS	2/24/2013	\$30,264	\$6,052	\$12,106	\$12,106
AQ	44108	Jetta Operating Company, Inc.	2012-0951-AIR-E	GRAYSON	2/24/2013	\$16,075	\$3,215	\$12,860	\$0
AQ	44310	Texas Barge & Boat, Inc.	2012-1167-AIR-E	BRAZORIA	2/24/2013	\$8,542	\$1,708	\$6,834	\$0
AQ	43775	SARMIENTO, LION	2012-0601-AIR-E	ANGELINA	3/2/2013	\$1,050	\$0	\$1,050	\$0
AQ	44628	XTREME COLLISION REPAIR, L. P.	2012-1498-AIR-E	DALLAS	3/3/2013	\$1,213	\$242	\$971	\$0
AQ	44660	LyondellBasell Acetyls, LLC	2012-1526-AIR-E	HARRIS	3/3/2013	\$7,125	\$1,425	\$5,700	\$0
AQ	44332	City of Alice	2012-1186-AIR-E	JIM WELLS	3/3/2013	\$1,063	\$212	\$851	\$0
AQ	43742	LBC Houston, L.P.	2012-0565-AIR-E	HARRIS	3/3/2013	\$6,038	\$1,207	\$4,831	\$0
AQ	44702	Champion Pet Foods, Inc.	2012-1603-AIR-E	MCLENNAN	3/3/2013	\$4,125	\$825	\$3,300	\$0
AQ	44701	Leading Edge Completion Center, LLC	2012-1580-AIR-E	TARRANT	3/3/2013	\$1,250	\$250	\$1,000	\$0
AQ	44658	IFS Coatings, Inc.	2012-1555-AIR-E	COOKE	3/3/2013	\$3,750	\$750	\$3,000	\$0
AQ	44776	United Services Automobile Association	2012-1658-AIR-E	BEXAR	3/3/2013	\$2,813	\$562	\$2,251	\$0
AQ	44723	Chevron Phillips Chemical Company LP	2012-1607-AIR-E	HARRIS	3/3/2013	\$6,563	\$1,312	\$5,251	\$0
AQ	44160	Valero Refining-Texas, L.P.	2012-1006-AIR-E	HARRIS	3/9/2013	\$50,000	\$0	\$25,000	\$25,000
AQ	44243	Total Petrochemicals & Refining USA, Inc.	2012-1093-AIR-E	HARRIS	3/9/2013	\$10,370	\$2,074	\$8,296	\$0
AQ	44533	Shell Oil Company	2012-1385-AIR-E	HARRIS	3/21/2013	\$7,500	\$1,500	\$3,000	\$3,000
AQ	45172	CORNETT, DARLEEN	2012-2044-AIR-E	MCLENNAN	3/21/2013	\$750	\$150	\$600	\$0
AQ	44211	J. C. Development, Inc.	2012-1100-AIR-E	MONTGOMERY	3/21/2013	\$1,362	\$272	\$1,090	\$0
AQ	44700	Stolthaven Houston, Inc.	2012-1581-AIR-E	HARRIS	3/21/2013	\$6,563	\$1,312	\$5,251	\$0
AQ	43301	Aero Components, Inc.	2012-0122-AIR-E	TARRANT	3/21/2013	\$6,008	\$1,201	\$0	\$4,807
AQ	45148	Maxey Trailers Mfg., Inc.	2012-2027-AIR-E	LAMAR	3/21/2013	\$2,188	\$437	\$1,751	\$0
AQ	44919	J. D. Packaging, Inc.	2012-1798-AIR-E	MONTGOMERY	3/21/2013	\$1,312	\$262	\$1,050	\$0
AQ	44724	GSF Energy, L.L.C. dba McCarty Road Landfill Gas Recovery Facility	2012-1601-AIR-E	HARRIS	3/21/2013	\$3,000	\$600	\$2,400	\$0
AQ	44920	EAN Holdings, LLC	2012-1797-AIR-E	EL PASO	3/21/2013	\$1,250	\$250	\$1,000	\$0
AQ	44179	LAMBERT, CHRIS *	2012-1022-AIR-E	LIBERTY	3/21/2013	\$3,000	\$600	\$2,400	\$0
AQ	41312	PATRIOT RESOURCES, INC.	2011-0407-AIR-E	ECTOR	3/21/2013	\$1,875	\$375	\$1,500	\$0
AQ	44778	Rescar Companies	2012-1657-AIR-E	HARRIS	3/21/2013	\$5,313	\$1,062	\$2,126	\$2,125
AQ	44552	Pencco, Inc.	2012-1407-AIR-E	ELLIS	3/21/2013	\$1,575	\$315	\$1,260	\$0
AQ	44927	Arkema Inc	2012-1809-AIR-E	HARRIS	3/21/2013	\$4,841	\$968	\$3,873	\$0
AQ	44832	Lone Star Foundries, Inc. *	2012-1778-AIR-E	TRAVIS	3/21/2013	\$938	\$187	\$751	\$0
AQ	44543	Blue Star Materials, LLC	2012-1398-AIR-E	WISE	3/21/2013	\$2,940	\$588	\$2,352	\$0
AQ	45016	Devon Gas Services, L.P.	2012-1898-AIR-E	LIMESTONE	3/21/2013	\$4,250	\$850	\$3,400	\$0
AQ	44483	ConocoPhillips Company	2012-1344-AIR-E	TARRANT	3/21/2013	\$1,875	\$375	\$1,500	\$0
AQ	44815	LANXESS Corporation	2012-1715-AIR-E	ORANGE	3/21/2013	\$7,438	\$1,487	\$2,976	\$2,975
AQ	44958	Hunt Oil Company	2012-1841-AIR-E	WILSON	3/21/2013	\$3,600	\$720	\$2,880	\$0
AQ	45097	Approach Operating, L.L.C.	2012-2065-AIR-E	CROCKETT	3/21/2013	\$5,125	\$1,025	\$4,100	\$0
AQ	44534	Knife River Corporation - South	2012-1405-AIR-E	MCLENNAN	4/7/2013	\$2,875	\$0	\$2,875	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	39111	Dolphin Petroleum, LP	2012-0894-AIR-E	REFUGIO	4/7/2013	\$3,675	\$0	\$3,675	\$0
AQ	45268	The George R. Brown Partnership, L.P.	2012-2165-AIR-E	GARZA	4/7/2013	\$1,250	\$250	\$1,000	\$0
AQ	44423	HARDIN FUELS, INC.	2012-1281-AIR-E	JASPER	4/7/2013	\$1,275	\$255	\$1,020	\$0
AQ	44509	Total Petrochemicals & Refining USA, Inc.	2012-1362-AIR-E	HARRIS	4/7/2013	\$67,125	\$13,425	\$53,700	\$0
AQ	44584	Eagle Rock Field Services, L.P.	2012-1447-AIR-E	GRAY	4/7/2013	\$27,157	\$5,431	\$10,863	\$10,863
AQ	44972	Fritz Industries, Inc.	2012-1845-AIR-E	DALLAS	4/7/2013	\$26,775	\$5,355	\$21,420	\$0
AQ	44081	Gulf Chemical & Metallurgical Corporation	2012-0922-AIR-E	BRAZORIA	4/7/2013	\$28,362	\$5,672	\$22,690	\$0
AQ	44257	The Lubrizol Corporation	2012-1110-AIR-E	HARRIS	4/7/2013	\$15,350	\$3,070	\$6,140	\$6,140
AQ	44544	Westlake Longview Corporation	2012-1404-AIR-E	HARRISON	4/7/2013	\$28,923	\$5,784	\$23,139	\$0
AQ	44419	BASF FINA Petrochemicals Limited Partnership	2012-1277-AIR-E	JEFFERSON	4/7/2013	\$12,850	\$2,570	\$5,140	\$5,140
AQ	44784	Forged Products, Inc.	2012-1670-AIR-E	HARRIS	4/7/2013	\$9,757	\$1,951	\$7,806	\$0
AQ	44217	Devon Gas Services, L.P.	2012-1068-AIR-E	WISE	4/7/2013	\$45,303	\$9,060	\$36,243	\$0
AQ	43347	American Marazzi Tile, Inc.	2012-0216-AIR-E	DALLAS	4/7/2013	\$136,010	\$27,202	\$108,808	\$0
AQ	44936	Caterpillar Inc.	2012-1825-AIR-E	GUADALUPE	4/7/2013	\$7,701	\$1,540	\$6,161	\$0
AQ	44185	Sand Hill Foundation, LLC	2012-1069-AIR-E	PANOLA	4/8/2013	\$1,400	\$0	\$1,400	\$0
AQ	41931	Evans Jr, Robert J. *	2011-1042-AIR-E	KAUFMAN	4/8/2013	\$1,500	\$0	\$1,500	\$0
AQ	42967	MACC Collision Repair Experts, Inc.	2011-2120-AIR-E	EL PASO	4/8/2013	\$1,050	\$0	\$1,050	\$0
AQ	42291	United Oilfield Construction LLC *	2011-1442-AIR-E	JOHNSON	4/8/2013	\$4,200	\$0	\$4,200	\$0
AQ	44782	Austin Equipment Company, LC	2012-1671-AIR-E	WILLIAMSON	4/21/2013	\$1,113	\$222	\$891	\$0
AQ	45038	PLATINUM PACKING INC	2012-1923-AIR-E	GALVESTON	4/21/2013	\$2,500	\$500	\$2,000	\$0
AQ	45054	G & C Contracting Company, Inc.	2012-1963-AIR-E	HOCKLEY	4/21/2013	\$2,500	\$500	\$2,000	\$0
AQ	44184	Exterran Energy Solutions, L.P.	2012-1034-AIR-E	BORDEN	4/21/2013	\$5,995	\$1,199	\$2,398	\$2,398
AQ	45384	Argos Ready Mix (South Central) Corp.	2012-2243-AIR-E	HARRIS	4/21/2013	\$3,750	\$750	\$3,000	\$0
AQ	44924	Cargill Meat Solutions Corporation	2012-1796-AIR-E	HALE	4/21/2013	\$3,875	\$775	\$3,100	\$0
AQ	45953	Brian Fabre	2013-0093-AIR-E	LUBBOCK	4/21/2013	\$875	\$0	\$875	\$0
AQ	44896	Kuraray America, Inc.	2012-1780-AIR-E	HARRIS	4/21/2013	\$4,565	\$913	\$3,652	\$0
AQ	44926	American Marazzi Tile, Inc.	2012-1795-AIR-E	DALLAS	4/27/2013	\$9,576	\$1,915	\$7,661	\$0
AQ	44503	Atlas Roofing Corporation	2012-1556-AIR-E	MORRIS	4/27/2013	\$16,163	\$3,232	\$12,931	\$0
AQ	44883	DCP Midstream, LP	2012-1772-AIR-E	HANSFORD	4/27/2013	\$25,000	\$0	\$12,500	\$12,500
AQ	37492	Bob King's Truck Beds, LLC	2011-2252-AIR-E	WISE	4/27/2013	\$30,420	\$0	\$30,420	\$0
AQ	43988	Total Petrochemicals & Refining USA, Inc.	2012-0821-AIR-E	JEFFERSON	4/27/2013	\$202,600	\$40,520	\$81,040	\$81,040
AQ	45102	ConocoPhillips Company	2012-1975-AIR-E	BRAZORIA	4/27/2013	\$8,300	\$1,660	\$6,640	\$0
AQ	43804	E. I. du Pont de Nemours and Company	2012-0624-AIR-E	ORANGE	4/27/2013	\$20,251	\$4,050	\$8,101	\$8,100
AQ	43863	Marathon Petroleum Company LP	2012-0685-AIR-E	GALVESTON	4/27/2013	\$32,450	\$0	\$16,225	\$16,225
AQ	44743	Ranger Gas Gathering, L.L.C.	2012-1605-AIR-E	EASTLAND	4/27/2013	\$6,000	\$0	\$6,000	\$0
AQ	41876	W. T. Byler Co., Inc.	2011-0995-AIR-E	HARRIS	5/2/2013	\$8,672	\$1,734	\$6,938	\$0
AQ	45120	Air Liquide Large Industries U.S. LP	2012-1992-AIR-E	HARRIS	5/2/2013	\$10,800	\$2,160	\$4,320	\$4,320
AQ	44967	NCI Group, Inc.	2012-1847-AIR-E	HARRIS	5/2/2013	\$12,863	\$2,572	\$10,291	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	45178	Equistar Chemicals, LP	2012-2074-AIR-E	HARRIS	5/2/2013	\$14,250	\$2,850	\$5,700	\$5,700
AQ	45493	Alamo Concrete Products Company	2012-2356-AIR-E	KARNES	5/2/2013	\$4,500	\$900	\$3,600	\$0
AQ	44804	Cryovac, Inc.	2012-1800-AIR-E	WICHITA	5/2/2013	\$4,938	\$988	\$3,950	\$0
AQ	44961	Donny Burnett	2012-1840-AIR-E	HENDERSON	5/2/2013	\$10,000	\$0	\$10,000	\$0
AQ	44794	Enbridge Pipelines (Texas Gathering) L.P.	2012-1676-AIR-E	WHEELER	5/2/2013	\$11,053	\$2,210	\$8,843	\$0
AQ	44722	KMCO, LLC	2012-1602-AIR-E	HARRIS	5/2/2013	\$35,370	\$7,074	\$28,296	\$0
AQ	44959	Lhoist North America of Texas, Ltd	2012-1862-AIR-E	COMAL	5/2/2013	\$15,075	\$3,015	\$12,060	\$0
AQ	45108	Lehigh Cement Company LLC	2012-1983-AIR-E	MCLENNAN	5/2/2013	\$7,613	\$1,522	\$6,091	\$0
AQ	45003	Texas A&M University	2012-1897-AIR-E	BRAZOS	5/2/2013	\$8,250	\$1,650	\$6,600	\$0
AQ	45621	INEOS Polymers Inc.	2012-2480-AIR-E	HARRIS	5/11/2013	\$970	\$194	\$776	\$0
AQ	45652	Rio Grande Valley Sugar Growers, Inc.	2012-2517-AIR-E	HIDALGO	5/11/2013	\$7,150	\$1,430	\$5,720	\$0
AQ	44394	LEGEND NATURAL GAS III LP	2012-1305-AIR-E	WEBB	5/11/2013	\$3,450	\$690	\$2,760	\$0
AQ	45791	TIN Inc.	2012-2649-AIR-E	ORANGE	6/1/2013	\$3,188	\$637	\$2,551	\$0
AQ	45838	Tri- W Construction, Inc.	2012-2690-AIR-E	HARRISON	6/1/2013	\$1,150	\$230	\$920	\$0
AQ	45602	United Parcel Service, Inc.	2012-2466-AIR-E	EL PASO	6/1/2013	\$1,500	\$300	\$1,200	\$0
AQ	37380	Dome Petrochemical, L.C.	2009-0449-AIR-E	CHAMBERS	6/3/2013	\$39,059	\$7,811	\$31,248	\$0
AQ	44746	Ashland Elastomers LLC	2012-1619-AIR-E	JEFFERSON	6/3/2013	\$20,600	\$4,120	\$16,480	\$0
AQ	45497	Exxon Mobil Corporation	2012-2355-AIR-E	NACOGDOCHES	6/3/2013	\$12,525	\$2,505	\$10,020	\$0
AQ	43500	Flint Hills Resources Port Arthur, LLC	2012-0402-AIR-E	JEFFERSON	6/3/2013	\$14,688	\$2,937	\$5,876	\$5,875
AQ	44510	Huntsman Petrochemical, LLC	2012-1361-AIR-E	JEFFERSON	6/3/2013	\$53,850	\$10,770	\$21,540	\$21,540
AQ	43326	Huntsman Petrochemical, LLC	2012-0135-AIR-E	JEFFERSON	6/3/2013	\$10,000	\$2,000	\$4,000	\$4,000
AQ	44671	The Dow Chemical Company	2012-1672-AIR-E	BRAZORIA	6/3/2013	\$41,502	\$8,300	\$16,601	\$16,601
AQ	44934	Superior Pipeline Texas, L.L.C.	2012-1818-AIR-E	HEMPHILL	6/3/2013	\$33,825	\$6,765	\$27,060	\$0
AQ	45442	ExxonMobil Oil Corporation	2012-2329-AIR-E	JEFFERSON	6/3/2013	\$25,000	\$0	\$12,500	\$12,500
AQ	45368	PHILLIPS 66 COMPANY	2012-2231-AIR-E	BRAZORIA	6/3/2013	\$13,125	\$2,625	\$10,500	\$0
AQ	42379	Custom Crates & Pallets Management, L.L.C	2012-1739-AIR-E	EL PASO	6/3/2013	\$3,125	\$0	\$1,563	\$1,562
AQ	44632	Tekni-Plex, Inc.	2012-1629-AIR-E	DALLAS	6/3/2013	\$11,000	\$2,200	\$8,800	\$0
AQ	45312	Oxy Vinyls, LP	2012-2179-AIR-E	HARRIS	6/3/2013	\$16,000	\$3,200	\$6,400	\$6,400
AQ	42299	Motiva Enterprises LLC	2011-1461-AIR-E	JEFFERSON	6/3/2013	\$92,450	\$18,490	\$36,980	\$36,980
AQ	45213	Enterprise Products Operating LLC	2012-2086-AIR-E	CHAMBERS	6/3/2013	\$10,000	\$0	\$5,000	\$5,000
AQ	45336	Greif Packaging LLC	2012-2201-AIR-E	HARRIS	6/3/2013	\$18,600	\$3,720	\$14,880	\$0
AQ	41572	Zenergy, Inc.	2011-0660-AIR-E	BRAZORIA	6/3/2013	\$265,562	\$0	\$265,562	\$0
AQ	45478	E. I. du Pont de Nemours and Company	2012-2344-AIR-E	ORANGE	6/3/2013	\$13,126	\$2,625	\$5,251	\$5,250
AQ	45463	DCP Midstream, LP	2012-2393-AIR-E	ECTOR	6/13/2013	\$39,375	\$7,875	\$15,750	\$15,750
AQ	45519	Flint Hills Resources Corpus Christi, LLC	2012-2382-AIR-E	NUECES	6/13/2013	\$7,975	\$1,595	\$6,380	\$0
AQ	45471	Dome Petrochemical, L.C.	2012-2357-AIR-E	CHAMBERS	6/13/2013	\$7,875	\$0	\$7,875	\$0
AQ	44502	Chevron Phillips Chemical Company LP	2012-1441-AIR-E	JEFFERSON	6/13/2013	\$100,000	\$0	\$50,000	\$50,000
AQ	45917	ICT Holdings, LLC	2013-0037-AIR-E	LAMB	7/19/2013	\$2,425	\$485	\$1,940	\$0

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	41181	Lhoist North America of Texas, Ltd	2011-0274-AIR-E	COMAL	7/19/2013	\$7,400	\$1,480	\$5,920	\$0
AQ	46199	Pierce Metals, LLC	2013-0311-AIR-E	TOM GREEN	7/19/2013	\$1,125	\$225	\$900	\$0
AQ	46055	Craftmasters Powder Coating, Inc.	2012-2317-AIR-E	MCLENNAN	7/19/2013	\$1,500	\$300	\$1,200	\$0
AQ	45613	Leedo Manufacturing Co., L.P.	2012-2482-AIR-E	WHARTON	7/19/2013	\$5,437	\$1,087	\$4,350	\$0
AQ	45887	Rentech Nitrogen Pasadena, LLC	2013-0012-AIR-E	HARRIS	7/19/2013	\$6,563	\$1,312	\$5,251	\$0
AQ	45130	MOISES ENRIQUE MARTINEZ	2012-2016-AIR-E	FORT BEND	7/28/2013	\$1,250	\$0	\$1,250	\$0
AQ	46496	Lone Star NGL Mont Belvieu LP	2013-0586-AIR-E	HARRIS	7/28/2013	\$4,350	\$870	\$3,480	\$0
AQ	46234	KBE Inc	2013-0376-AIR-E	TARRANT	7/28/2013	\$563	\$112	\$451	\$0
AQ	45584	BJT Properties, Inc.	2012-2455-AIR-E	WHARTON	7/28/2013	\$3,075	\$0	\$3,075	\$0
AQ	46315	Celanese Ltd.	2013-0421-AIR-E	HARRIS	7/28/2013	\$3,863	\$772	\$3,091	\$0
AQ	45025	Trinity Industries, Inc.	2012-1916-AIR-E	TARRANT	7/28/2013	\$2,438	\$487	\$1,951	\$0
AQ	45822	Valero Refining-Texas, L.P.	2012-2692-AIR-E	HARRIS	7/28/2013	\$7,500	\$1,500	\$3,000	\$3,000
AQ	44841	Jang, Kevin	2012-1735-AIR-E	HARRIS	7/28/2013	\$1,688	\$0	\$1,688	\$0
AQ	46113	Staley Steel Inc	2013-0249-AIR-E	DENTON	7/28/2013	\$1,250	\$250	\$1,000	\$0
AQ	46178	PVR East Texas Gas Processing, LLC	2013-0296-AIR-E	HARRISON	7/28/2013	\$7,500	\$1,500	\$6,000	\$0
AQ	46050	EOG Resources, Inc.	2013-0205-AIR-E	HILL	7/28/2013	\$938	\$187	\$751	\$0
AQ	46053	BASF Corporation	2013-0169-AIR-E	BRAZORIA	8/3/2013	\$19,300	\$3,860	\$15,440	\$0
AQ	44962	Styrolution America LLC	2012-1839-AIR-E	HARRIS	8/3/2013	\$47,100	\$9,420	\$37,680	\$0
AQ	45586	Enterprise Products Operating LLC	2012-2454-AIR-E	HARRIS	8/3/2013	\$50,000	\$0	\$25,000	\$25,000
AQ	42740	ConocoPhillips Company	2011-1891-AIR-E	HUTCHINSON	8/3/2013	\$19,250	\$3,850	\$15,400	\$0
AQ	45758	Haldor Topsoe, Inc.	2012-2621-AIR-E	HARRIS	8/3/2013	\$10,901	\$2,180	\$8,721	\$0
AQ	45520	INVISTA S.a r.l.	2012-2392-AIR-E	HARRIS	8/3/2013	\$39,485	\$7,897	\$15,794	\$15,794
AQ	45241	LONGORIA ARIEL VICENTE	2012-2109-AIR-E	ECTOR	8/8/2013	\$2,500	\$500	\$2,000	\$0
AQ	46280	Morrow, Shaun	2013-0387-AIR-E	TARRANT	8/8/2013	\$750	\$150	\$600	\$0
AQ	46238	Leedo Manufacturing Co., L.P.	2013-0355-AIR-E	WHARTON	8/8/2013	\$3,562	\$712	\$2,850	\$0
AQ	46084	Endeavor Energy Resources, L.P.	2013-0197-AIR-E	TERRY	8/8/2013	\$3,438	\$687	\$2,751	\$0
AQ	46012	JX Nippon Chemical Texas Inc.	2013-0136-AIR-E	HARRIS	8/8/2013	\$2,475	\$495	\$1,980	\$0
AQ	46214	TEXAS INTERNATIONAL TERMINALS LTD	2013-0326-AIR-E	GALVESTON	8/8/2013	\$2,250	\$450	\$1,800	\$0
AQ	46344	Superior Energy Services, LLC	2013-0436-AIR-E	MEDINA	8/22/2013	\$1,125	\$225	\$900	\$0
AQ	46051	Strike, LLC	2013-0163-AIR-E	WEBB	8/22/2013	\$1,250	\$250	\$1,000	\$0
AQ	43711	Byrd, Pat	2012-0528-AIR-E	ZAVALA	8/23/2013	\$3,150	\$0	\$3,150	\$0
AQ	41897	Loredo Paint & Body, Inc.	2011-1017-AIR-E	KENDALL	8/23/2013	\$1,000	\$0	\$1,000	\$0
AQ	43851	Javier Rodriguez	2012-0673-AIR-E	WEBB	8/23/2013	\$1,050	\$0	\$1,050	\$0
AQ	44971	Richland Dock Works Inc.	2012-1846-AIR-E	NAVARRO	8/26/2013	\$10,000	\$0	\$10,000	\$0
AQ	45910	Chameleon Industries, Inc.	2013-0027-AIR-E	ECTOR	8/26/2013	\$15,125	\$3,025	\$12,100	\$0
AQ	45169	MEMC Pasadena, Inc.	2012-2036-AIR-E	HARRIS	8/26/2013	\$11,401	\$2,280	\$4,561	\$4,560
AQ	45954	David Peters	2013-0111-AIR-E	ECTOR	8/26/2013	\$10,000	\$0	\$10,000	\$0
AQ	46036	Huntsman Petrochemical, LLC	2013-0150-AIR-E	JEFFERSON	8/26/2013	\$13,126	\$2,625	\$5,251	\$5,250

## Singles Programs

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Date Range Selected : 09/01/2012 - 08/31/2013

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AQ	45291	Sid Richardson Carbon, LTD	2012-2164-AIR-E	HUTCHINSON	8/26/2013	\$10,431	\$2,086	\$8,345	\$0
<b>Subtotal for AQ : 226</b>						<b>\$3,950,729</b>	<b>\$461,515</b>	<b>\$2,508,942</b>	<b>\$980,272</b>
DC	44780	Dalco Solvents & Chemicals, Inc.	2012-1661-DCL-E	DALLAS	2/23/2013	\$1,000	\$200	\$800	\$0
DC	41999	Shumaker, Mary L. *	2011-1133-DCL-E	DALLAS	6/23/2013	\$5,000	\$0	\$5,000	\$0
<b>Subtotal for DC : 2</b>						<b>\$6,000</b>	<b>\$200</b>	<b>\$5,800</b>	<b>\$0</b>
IHW	41581	STAG MANAGEMENT INCORPORATED	2011-0663-IHW-E	DALLAS	9/29/2012	\$14,000	\$2,800	\$11,200	\$0
IHW	44070	Terra Renewal Services Inc	2012-0917-IHW-E	ANGELINA	11/11/2012	\$7,500	\$1,500	\$6,000	\$0
IHW	43483	VALADEZ, RICHARD	2012-0316-IHW-E	NUECES	11/16/2012	\$6,078	\$0	\$6,078	\$0
IHW	41387	CASTORIA, JASON	2011-0490-MLM-E	BRAZOS	12/20/2012	\$11,502	\$0	\$11,502	\$0
IHW	44295	Itasca Landfill TX, LP	2012-1140-IHW-E	HILL	2/3/2013	\$4,825	\$965	\$3,860	\$0
IHW	42575	Exide Technologies	2011-1712-IHW-E	COLLIN	2/10/2013	\$592,868	\$0	\$296,434	\$296,434
IHW	43921	Biosolids Management Group Inc *	2012-0761-IHW-E	ERATH	2/23/2013	\$6,750	\$1,350	\$5,400	\$0
IHW	43915	Universal Molding Company Inc.	2012-0744-IHW-E	ELLIS	2/24/2013	\$12,125	\$2,425	\$9,700	\$0
IHW	42491	Sweetwater Corporation	2011-1636-MLM-E	HARRIS	2/24/2013	\$91,962	\$85,813	\$6,149	\$0
IHW	41896	Alpha Omega Recycling, Inc.	2011-1015-IHW-E	GREGG	2/24/2013	\$18,750	\$3,750	\$15,000	\$0
IHW	43946	Absolute Fuels, LLC	2012-0813-IHW-E	LAMB	3/2/2013	\$1,312	\$0	\$1,312	\$0
IHW	44707	Sprint Waste Services LP	2012-1585-IHW-E	HARRIS	3/21/2013	\$3,700	\$740	\$2,960	\$0
IHW	44239	Effective Environmental, Inc.	2012-1086-IHW-E	DALLAS	3/21/2013	\$3,450	\$690	\$1,380	\$1,380
IHW	45017	MARTINEK, DONALD	2012-1915-IHW-E	DENTON	3/21/2013	\$938	\$187	\$751	\$0
IHW	44240	Schumacher International, Inc.	2012-1085-IHW-E	HARRIS	4/7/2013	\$7,022	\$1,404	\$5,618	\$0
IHW	44828	Cooper Natural Resources Inc.	2012-1719-IHW-E	GAINES	4/21/2013	\$2,140	\$428	\$1,712	\$0
IHW	44758	Exxon Mobil Corporation	2012-1630-IHW-E	HARRIS	4/27/2013	\$20,000	\$4,000	\$8,000	\$8,000
IHW	44818	Gulf West Landfill TX, LP	2012-1713-IHW-E	CHAMBERS	5/2/2013	\$9,250	\$0	\$4,625	\$4,625
IHW	45046	Lighthouse Environmental Services, Inc.	2012-1933-IHW-E	HARRIS	6/1/2013	\$4,875	\$975	\$3,900	\$0
IHW	41269	COURT, CALVIN	2011-0364-IHW-E	BOWIE	6/3/2013	\$13,000	\$0	\$13,000	\$0
IHW	37862	RIDLEHUBER, HAROLD	2012-0555-IHW-E	HILL	6/13/2013	\$12,150	\$0	\$12,150	\$0
IHW	45045	BASF Corporation	2012-1934-IHW-E	HARRIS	8/3/2013	\$47,700	\$9,540	\$38,160	\$0
IHW	45131	The Dow Chemical Company	2012-2015-IHW-E	BRAZORIA	8/3/2013	\$51,380	\$10,276	\$20,552	\$20,552
<b>Subtotal for IHW : 23</b>						<b>\$943,277</b>	<b>\$126,843</b>	<b>\$485,443</b>	<b>\$330,991</b>
MSW	42894	James Barnes Ranch	2011-2039-MSW-E	REEVES	9/16/2012	\$7,875	\$0	\$7,875	\$0
MSW	41980	Construction Waste Recycler of Texas L.L.C.	2011-1095-MSW-E	TRAVIS	9/16/2012	\$3,000	\$0	\$3,000	\$0
MSW	43106	Liberty Tire Recycling, LLC	2011-2262-MSW-E	BEXAR	9/16/2012	\$3,000	\$600	\$2,400	\$0
MSW	43607	Beck's Texas Tire Terminal, Inc.	2012-0467-MSW-E	TARRANT	9/29/2012	\$23,026	\$4,605	\$18,421	\$0
MSW	42485	LOPEZ, MARCELINO	2011-1625-MSW-E	HIDALGO	9/29/2012	\$22,500	\$21,300	\$1,200	\$0
MSW	43082	RAMIREZ, ERNESTO E	2011-2248-MSW-E	BEE	10/19/2012	\$33,750	\$0	\$33,750	\$0
MSW	32440	FLORES, ESTUBERTO JR	2010-1898-MSW-E	HIDALGO	10/19/2012	\$3,125	\$0	\$3,125	\$0
MSW	5269	MATHIS, GEORGE	2012-0418-MSW-E	GONZALES	10/19/2012	\$35,000	\$0	\$35,000	\$0
MSW	42756	Synergy Management Group, L.L.C.	2011-1909-MSW-E	HASKELL	10/19/2012	\$22,200	\$0	\$22,200	\$0

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Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MSW	43226	Jeff Barosh LLC	2012-0027-MSW-E	GALVESTON	10/19/2012	\$3,750	\$0	\$3,750	\$0
MSW	43783	HINOJOSA, ANDREW	2012-0596-MSW-E	MARTIN	11/11/2012	\$2,625	\$525	\$2,100	\$0
MSW	43119	ALAMO RECYCLE CENTERS LLC	2011-2278-MSW-E	BEXAR	11/12/2012	\$1,983	\$0	\$1,983	\$0
MSW	43220	ALAMO RECYCLE CENTERS LLC	2012-0016-MSW-E	TAYLOR	11/12/2012	\$1,310	\$0	\$1,310	\$0
MSW	42239	Schoppe Auto Supply, Inc.	2011-1401-MLM-E	BURLESON	11/16/2012	\$6,562	\$0	\$6,562	\$0
MSW	43870	Skinner Lands Carrollton, LLC	2012-0693-MSW-E	DENTON	11/22/2012	\$3,925	\$785	\$3,140	\$0
MSW	42419	Bulldog Tire Recycling, Inc.	2011-1549-MSW-E	HARRIS	11/30/2012	\$45,000	\$0	\$45,000	\$0
MSW	43348	City of Mart	2012-0162-MSW-E	MCLENNAN	12/15/2012	\$8,175	\$1,635	\$6,540	\$0
MSW	43378	UNDERWOOD, JACKIE C	2012-0195-MSW-E	WISE	12/15/2012	\$47,500	\$9,500	\$38,000	\$0
MSW	43683	NAVARETTE, RENE	2012-0494-MLM-E	HUDSPETH	12/20/2012	\$3,150	\$0	\$3,150	\$0
MSW	44249	HURST, HOWARD	2012-1097-MSW-E	MCCULLOCH	1/12/2013	\$1,187	\$237	\$950	\$0
MSW	44427	GARCIA, ROBERT	2012-1291-MSW-E	HIDALGO	1/12/2013	\$3,750	\$750	\$3,000	\$0
MSW	41250	SCROGGINS, JAMES S	2011-0337-MSW-E	HARRIS	2/1/2013	\$1,020	\$0	\$1,020	\$0
MSW	43800	HELMS, TODD	2012-0620-MSW-E	JASPER	2/3/2013	\$262	\$52	\$210	\$0
MSW	43778	Duval County	2012-0597-MSW-E	DUVAL	2/10/2013	\$8,915	\$1,783	\$0	\$7,132
MSW	43578	Davis, Daniel L *	2012-0395-MSW-E	DENTON	2/10/2013	\$7,850	\$0	\$7,850	\$0
MSW	44056	B-S Cartage, Inc.	2012-0898-MSW-E	TRAVIS	2/24/2013	\$11,780	\$2,356	\$9,424	\$0
MSW	43869	Hearthstone Properties, Delaware, LLC	2012-0691-MSW-E	TARRANT	2/24/2013	\$7,750	\$0	\$7,750	\$0
MSW	42490	Southeast Texas Trees LLC *	2011-1629-MSW-E	MONTGOMERY	2/24/2013	\$16,800	\$0	\$16,800	\$0
MSW	44011	GARCIA, ERNESTO	2012-0859-MSW-E	CAMERON	3/2/2013	\$1,275	\$0	\$1,275	\$0
MSW	44467	TRIPLETT, MARVIN *	2012-1323-MSW-E	DALLAS	3/3/2013	\$2,500	\$500	\$2,000	\$0
MSW	44330	Natural Waste Solutions, Inc.	2012-1184-MSW-E	MONTGOMERY	3/3/2013	\$7,469	\$1,493	\$5,976	\$0
MSW	44573	PEREZ, JOSE LUIS	2012-1431-MLM-E	BROOKS	3/3/2013	\$1,574	\$314	\$1,260	\$0
MSW	42079	Kelly Harris DBA Kelly Harris Tire Wrecker and Quick Lube	2011-1216-MSW-E	HENDERSON	3/9/2013	\$17,500	\$0	\$17,500	\$0
MSW	44138	Fogle David dba Enviro Waste Systems	2012-0997-MSW-E	SAN JACINTO	3/9/2013	\$7,875	\$1,575	\$6,300	\$0
MSW	43334	C.J. Resources, INC.	2012-0146-MSW-E	HARRIS	3/15/2013	\$2,326	\$0	\$2,326	\$0
MSW	44320	SHAW, GAIL P *	2012-1185-MSW-E	TARRANT	3/21/2013	\$3,750	\$750	\$3,000	\$0
MSW	44821	TGU, LLC	2012-1711-MSW-E	HARRIS	3/21/2013	\$2,500	\$500	\$2,000	\$0
MSW	43970	RUSSELL, KEN	2012-0803-MSW-E	MEDINA	3/29/2013	\$3,750	\$0	\$3,750	\$0
MSW	43256	ENRIQUE GARCIA PROPERTY	2012-0064-MSW-E	STARR	4/7/2013	\$3,750	\$2,550	\$1,200	\$0
MSW	45145	NGUYEN, DUY	2012-2024-MSW-E	JEFFERSON	4/21/2013	\$262	\$52	\$210	\$0
MSW	45247	City Of Levelland	2012-2121-MSW-E	HOCKLEY	4/21/2013	\$1,125	\$225	\$900	\$0
MSW	45023	City of Del Rio	2012-1914-MSW-E	VAL VERDE	4/27/2013	\$18,750	\$3,750	\$15,000	\$0
MSW	43269	ALLEN, SUE	2012-0111-MSW-E	NUECES	4/29/2013	\$6,300	\$0	\$6,300	\$0
MSW	43701	City of Carrizo Springs	2012-0513-MSW-E	DIMMIT	5/2/2013	\$20,165	\$4,033	\$16,132	\$0
MSW	45300	CLEAN EARTH SOLUTIONS, INC.	2012-2162-MSW-E	COMAL	5/11/2013	\$5,000	\$1,000	\$4,000	\$0
MSW	43016	Los Ebanos Land & Cattle Company, LLC	2011-2190-MSW-E	HIDALGO	5/22/2013	\$15,000	\$0	\$15,000	\$0
MSW	45767	City of Woodville	2012-2624-MSW-E	TYLER	6/1/2013	\$1,250	\$250	\$1,000	\$0

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Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MSW	43016	City of Sullivan City	2011-2190-MSW-E	HIDALGO	6/3/2013	\$15,000	\$0	\$15,000	\$0
MSW	45184	Hodgson, Julie	2012-2077-MSW-E	POLK	6/13/2013	\$7,750	\$1,550	\$6,200	\$0
MSW	43802	MENN, BRANDON *	2012-0623-MLM-E	SAN PATRICIO	6/13/2013	\$12,075	\$0	\$12,075	\$0
MSW	45143	Abel Becerra Jr.	2012-2062-MSW-E	CAMERON	6/23/2013	\$30,000	\$0	\$30,000	\$0
MSW	41927	Penny Cable	2011-1053-MSW-E	MONTAGUE	7/3/2013	\$7,500	\$0	\$7,500	\$0
MSW	45070	CALHOUN, JACK	2012-1948-MSW-E	HAMILTON	7/17/2013	\$1,312	\$0	\$1,312	\$0
MSW	41124	Michael E. Smith *	2011-0311-MSW-E	HUTCHINSON	7/17/2013	\$8,500	\$0	\$8,500	\$0
MSW	45167	HITECH SALVAGE & DEMOLITION, LLC	2012-2043-MSW-E	HARDIN	7/28/2013	\$1,312	\$0	\$1,312	\$0
MSW	45416	Pro Star Roll-off Dumpsters LLC	2012-2287-MSW-E	BEXAR	7/28/2013	\$3,000	\$600	\$2,400	\$0
MSW	45272	William Bartley Duncan	2012-2163-MLM-E	NACOGDOCHES	8/23/2013	\$1,049	\$0	\$1,049	\$0
MSW	31443	Starr County	2013-0134-MSW-E	STARR	8/26/2013	\$6,000	\$0	\$6,000	\$0
<b>Subtotal for MSW : 58</b>						<b>\$552,389</b>	<b>\$63,270</b>	<b>\$481,987</b>	<b>\$7,132</b>
OL	42726	DARQUEA, ROD	2011-1878-LII-E	DALLAS	9/6/2012	\$742	\$0	\$742	\$0
OL	44297	DAVIS, THOMAS W	2012-1142-WOC-E	ROCKWALL	9/16/2012	\$175	\$0	\$175	\$0
OL	44307	YOUNG, MONTE T	2012-1158-WOC-E	BAYLOR	9/16/2012	\$175	\$0	\$175	\$0
OL	42919	HERNANDEZ, MARCIAL	2011-2064-LII-E	HARRIS	9/16/2012	\$745	\$0	\$745	\$0
OL	43246	CLAMPITT, MARK A	2012-0035-LII-E	DALLAS	9/16/2012	\$127	\$25	\$102	\$0
OL	43534	CLAMPITT, MARK A	2012-0361-LII-E	DALLAS	9/30/2012	\$1,207	\$241	\$966	\$0
OL	43470	WALLACE, KORY B	2012-0293-LII-E	WILLIAMSON	10/11/2012	\$867	\$0	\$867	\$0
OL	43403	PADDACK, MICHAEL J	2012-0237-LII-E	TRAVIS	10/19/2012	\$1,968	\$0	\$1,968	\$0
OL	42838	SIRGO, CARLOS A	2011-2021-WOC-E	WILLIAMSON	10/19/2012	\$742	\$0	\$742	\$0
OL	42277	GRACIA, JUAN C	2011-1431-LII-E	JOHNSON	11/12/2012	\$188	\$0	\$188	\$0
OL	44227	GUEVARA, MANUEL	2012-1080-LII-E	TARRANT	11/22/2012	\$792	\$158	\$634	\$0
OL	44732	SCHULTZ, JIM	2012-1615-WOC-E	HARRIS	11/22/2012	\$175	\$0	\$175	\$0
OL	43665	HUNT, ROBERT LEROY JR	2012-0479-LII-E	WILLIAMSON	11/22/2012	\$929	\$185	\$744	\$0
OL	44734	RUIZ, SAL	2012-1613-WOC-E	DALLAS	11/22/2012	\$175	\$0	\$175	\$0
OL	41357	MENDEZ, ARNOLD C JR	2011-0452-LII-E	MONTGOMERY	12/16/2012	\$575	\$115	\$460	\$0
OL	42478	MIDDLETON, TERRY LEE	2011-1630-LII-E	TARRANT	12/20/2012	\$275	\$0	\$275	\$0
OL	44225	REISER, KATHERINE	2012-1072-LII-E	TARRANT	1/12/2013	\$237	\$47	\$190	\$0
OL	44930	Juan Schultz	2012-1803-WOC-E	HARRIS	1/12/2013	\$175	\$0	\$175	\$0
OL	45129	THOMAS, ROBERT C	2012-2004-WOC-E	BELL	2/3/2013	\$175	\$0	\$175	\$0
OL	45128	JOHNSON, LEONARD M	2012-2005-WOC-E	DALLAS	2/23/2013	\$175	\$0	\$175	\$0
OL	44355	GAINER, JENSON L	2012-1214-LII-E	TARRANT	3/15/2013	\$682	\$0	\$682	\$0
OL	45537	HEADRICK, JEFF RAINEY	2012-2395-WOC-E	HARDIN	3/21/2013	\$175	\$0	\$175	\$0
OL	45326	WOODS, BRIAN H SR	2012-2181-WOC-E	VAL VERDE	3/21/2013	\$175	\$0	\$175	\$0
OL	45607	JAMES, GARY W	2012-2472-WOC-E	OLDHAM	3/21/2013	\$175	\$0	\$175	\$0
OL	44440	MEIJER, MARCEL	2012-1306-LII-E	HARRIS	3/21/2013	\$131	\$26	\$105	\$0
OL	44059	Ryan Branch	2012-0903-LII-E	FISHER	4/8/2013	\$999	\$0	\$999	\$0

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OL	43746	HAWKINS, PAUL K	2012-0605-LII-E	TRAVIS	5/17/2013	\$861	\$0	\$861	\$0
OL	44608	ARBOGUST, JEFF A	2012-1513-LII-E	TRAVIS	5/22/2013	\$1,393	\$0	\$1,393	\$0
OL	44974	HERNANDEZ, JOHNNY L	2012-1854-LII-E	BEXAR	5/23/2013	\$1,186	\$0	\$1,186	\$0
OL	46321	MEYER, JARROD L	2013-0483-WOC-E	SMITH	6/8/2013	\$175	\$0	\$175	\$0
OL	45689	Dharell G. Campbell Jr.	2012-2545-WOC-E	VAL VERDE	6/27/2013	\$2,750	\$550	\$2,200	\$0
OL	46532	Michael Rohe	2013-0614-WOC-E	DALLAS	6/27/2013	\$175	\$0	\$175	\$0
OL	45373	Jon Zertuche	2012-2258-LII-E	BEXAR	6/27/2013	\$952	\$190	\$762	\$0
OL	44499	SANCHEZ, ESTEBAN PRADO SR	2012-1400-LII-E	GRAYSON	7/3/2013	\$5,384	\$0	\$5,384	\$0
OL	44803	Henry Peeples	2012-1747-LII-E	DALLAS	7/19/2013	\$1,097	\$219	\$878	\$0
OL	45072	Eric Blakley	2012-1956-LII-E	HARRIS	7/28/2013	\$947	\$0	\$947	\$0
OL	44457	MOGONYE, STEPHEN M	2012-1320-LII-E	CHAMBERS	7/28/2013	\$525	\$0	\$525	\$0
OL	39976	Stephen C Claybourn	2010-1091-LII-E	PARKER	8/8/2013	\$500	\$100	\$400	\$0
OL	46807	WOLF, BRAD A	2013-0930-LII-E	TARRANT	8/22/2013	\$175	\$0	\$175	\$0
OL	46808	CROCKER, MICHAEL	2013-0914-LII-E	ELLIS	8/22/2013	\$175	\$0	\$175	\$0
OL	45209	Sailer, Craig	2012-2079-LII-E	HARRIS	8/23/2013	\$936	\$0	\$936	\$0
<b>Subtotal for OL : 41</b>						<b>\$30,187</b>	<b>\$1,856</b>	<b>\$28,331</b>	<b>\$0</b>
PST	41838	Griggs Shell Enterprises, L.L.C.	2011-0942-PST-E	HARRIS	9/6/2012	\$11,075	\$0	\$11,075	\$0
PST	43782	Sunset Enterprises Inc.	2012-0591-PST-E	CHEROKEE	9/16/2012	\$1,350	\$0	\$1,350	\$0
PST	43381	NORTH COASTAL GROUP INC	2012-0186-PST-E	WISE	9/16/2012	\$3,879	\$775	\$3,104	\$0
PST	42933	RASHID, HOMAYON	2011-2085-PST-E	MADISON	9/16/2012	\$2,703	\$0	\$2,703	\$0
PST	43421	Addison Enterprises Inc.	2012-0275-PST-E	*MULTIPLE	9/16/2012	\$5,274	\$1,054	\$4,220	\$0
PST	43140	Spar Sales, Inc.	2012-0045-PST-E	ELLIS	9/16/2012	\$2,629	\$525	\$2,104	\$0
PST	43325	Kemp Isd	2012-0140-PST-E	KAUFMAN	9/16/2012	\$2,500	\$500	\$2,000	\$0
PST	43183	Allan Clark	2011-2343-PST-E	ELLIS	9/16/2012	\$6,958	\$1,391	\$5,567	\$0
PST	42744	Universal Enterprises, Inc.	2011-1899-PST-E	HARRIS	9/16/2012	\$2,650	\$0	\$2,650	\$0
PST	43697	D.N.D. Corporation	2012-0522-PST-E	DALLAS	9/16/2012	\$2,600	\$520	\$2,080	\$0
PST	41399	TERRYS SUPERMARKET 8 INC	2011-0487-PST-E	DALLAS	9/16/2012	\$6,134	\$0	\$6,134	\$0
PST	43661	Ash-Jen Properties, Inc.	2012-0463-PST-E	NUECES	9/16/2012	\$7,500	\$1,500	\$6,000	\$0
PST	43385	League City Interests, Inc.	2012-0190-PST-E	GALVESTON	9/16/2012	\$3,299	\$0	\$3,299	\$0
PST	43383	Valero Express Mart, Inc.	2012-0184-PST-E	HARRIS	9/16/2012	\$4,500	\$900	\$3,600	\$0
PST	43071	Berkeley First City, L.P.	2011-2215-PST-E	DALLAS	9/16/2012	\$7,437	\$1,487	\$5,950	\$0
PST	43317	City of Paris	2012-0139-PST-E	LAMAR	9/16/2012	\$2,000	\$400	\$1,600	\$0
PST	43689	Harris County	2012-0491-PST-E	HARRIS	9/16/2012	\$2,250	\$450	\$0	\$1,800
PST	41824	T C Jester Mobil, Inc.	2011-0944-PST-E	HARRIS	9/16/2012	\$2,250	\$0	\$2,250	\$0
PST	42798	PURI INVESTMENTS, L.L.C.	2011-1934-PST-E	HARRIS	9/16/2012	\$2,004	\$400	\$1,604	\$0
PST	43270	North Texas Fixture Company, Inc.	2012-0076-PST-E	WISE	9/16/2012	\$2,004	\$0	\$2,004	\$0
PST	41462	PRESTON, JULIAN	2011-0559-PST-E	HARRIS	9/16/2012	\$5,250	\$0	\$5,250	\$0
PST	43593	Dalhart Independent School District	2012-0405-PST-E	DALLAM	9/16/2012	\$3,375	\$675	\$2,700	\$0

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PST	43528	Overseas Enterprises USA, Inc.	2012-0351-PST-E	ORANGE	9/16/2012	\$3,809	\$761	\$3,048	\$0
PST	43238	Real International Inc	2012-0032-PST-E	HENDERSON	9/16/2012	\$3,388	\$677	\$2,711	\$0
PST	43288	Jolly Dreams Corporation	2012-0088-PST-E	FREESTONE	9/16/2012	\$5,000	\$1,000	\$4,000	\$0
PST	43840	SFN Texas Inc	2012-0662-PST-E	CHEROKEE	9/16/2012	\$1,875	\$375	\$1,500	\$0
PST	43507	American Honda Motor Co., Inc.	2012-0324-PST-E	DALLAS	9/16/2012	\$1,000	\$200	\$800	\$0
PST	43825	BSL Golf Corp. *	2012-0652-PST-E	HARRIS	9/16/2012	\$2,500	\$500	\$2,000	\$0
PST	42926	I R ENTERPRISES INC	2011-2075-PST-E	HARRIS	9/16/2012	\$4,451	\$890	\$3,561	\$0
PST	43303	JARVIS, FASHION A	2012-0102-PST-E	NEWTON	9/16/2012	\$5,100	\$1,020	\$4,080	\$0
PST	42425	Buccaneer Food Stores Inc.	2011-1557-PST-E	WASHINGTON	9/16/2012	\$3,629	\$0	\$3,629	\$0
PST	43747	ZS QUICK STOP, INC.	2012-0562-PST-E	BEXAR	9/16/2012	\$4,258	\$851	\$3,407	\$0
PST	43479	16303 FOOD STORE ASSOCIATES, INC.	2012-0286-PST-E	FORT BEND	9/16/2012	\$3,050	\$610	\$2,440	\$0
PST	43159	Overton Stop & Save, Inc.	2012-0080-PST-E	DALLAS	9/16/2012	\$2,250	\$450	\$1,800	\$0
PST	43266	Texas Department of Transportation	2012-0109-PST-E	LIMESTONE	9/16/2012	\$2,500	\$500	\$0	\$2,000
PST	43311	Mukhi Petroleum LLC	2012-0117-PST-E	TARRANT	9/16/2012	\$2,750	\$550	\$2,200	\$0
PST	43516	Bexar County	2012-0328-PST-E	BEXAR	9/16/2012	\$2,250	\$450	\$1,800	\$0
PST	43659	STEPHEN, SIMON	2012-0464-PST-E	HARRIS	9/16/2012	\$4,270	\$854	\$3,416	\$0
PST	43613	Istar Investment, Inc.	2012-0456-PST-E	BEXAR	9/16/2012	\$7,500	\$1,500	\$6,000	\$0
PST	43681	All Seasons Center LLC	2012-0488-PST-E	HARRIS	9/16/2012	\$3,632	\$726	\$2,906	\$0
PST	43366	Sabir Inc.	2012-0179-PST-E	JEFFERSON	9/16/2012	\$6,548	\$0	\$6,548	\$0
PST	43219	McCraw Oil Company, Inc.	2012-0013-PST-E	FANNIN	9/16/2012	\$2,500	\$500	\$2,000	\$0
PST	43493	HANG, LIM	2012-0313-PST-E	HOPKINS	9/16/2012	\$2,005	\$401	\$1,604	\$0
PST	43282	Chen Sunshine, Inc.	2012-0073-PST-E	HENDERSON	9/19/2012	\$3,633	\$0	\$3,633	\$0
PST	43112	B. K. Trading, Inc.	2012-0110-PST-E	LAMAR	9/19/2012	\$28,851	\$0	\$28,851	\$0
PST	43447	F & N Food Market Enterprises II, Ltd.	2012-0281-PST-E	BRAZOS	9/29/2012	\$11,506	\$2,301	\$9,205	\$0
PST	43448	MOSIK, Inc.	2012-0278-PST-E	HARRIS	9/29/2012	\$9,711	\$1,942	\$7,769	\$0
PST	43492	SAT Malik LLC	2012-0307-PST-E	SAN PATRICIO	9/29/2012	\$8,124	\$1,624	\$6,500	\$0
PST	43367	Kenneth Dupuis	2012-0192-PST-E	ORANGE	9/29/2012	\$7,650	\$1,530	\$6,120	\$0
PST	43324	B. K. Trading, Inc.	2012-0126-PST-E	LAMAR	9/30/2012	\$3,275	\$655	\$2,620	\$0
PST	43899	BASHIR PETROLEUM, INC.	2012-0724-PST-E	MONTGOMERY	9/30/2012	\$3,838	\$767	\$3,071	\$0
PST	43658	S. M. Moon Investments Corporation	2012-0465-PST-E	DALLAS	9/30/2012	\$3,675	\$735	\$2,940	\$0
PST	41106	AM/PM Grocery, Inc.	2011-0201-PST-E	TRAVIS	9/30/2012	\$1,875	\$375	\$1,500	\$0
PST	43610	HERNANDEZ, OSCAR	2012-0417-PST-E	HUTCHINSON	9/30/2012	\$6,013	\$1,202	\$4,811	\$0
PST	43625	GLASS, NOELLE *	2012-0461-PST-E	FREESTONE	9/30/2012	\$7,500	\$1,500	\$6,000	\$0
PST	42720	Ikoankar LLC	2011-1887-PST-E	ECTOR	9/30/2012	\$6,379	\$1,275	\$5,104	\$0
PST	43488	Bonham Concrete INC.	2012-0308-PST-E	FANNIN	9/30/2012	\$1,606	\$321	\$1,285	\$0
PST	43873	Michelle Enterprises, Inc.	2012-0694-PST-E	DALLAS	9/30/2012	\$1,754	\$350	\$1,404	\$0
PST	43796	CROWN RETAIL BUSINESS CORPORATION	2012-0613-PST-E	HARRIS	9/30/2012	\$5,000	\$1,000	\$4,000	\$0
PST	43722	Hemphill Independent School District	2012-0541-PST-E	SABINE	9/30/2012	\$4,500	\$900	\$0	\$3,600

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	43515	GLOBAL JUBILEE 2007 INC.	2012-0329-PST-E	FREESTONE	9/30/2012	\$5,128	\$1,025	\$4,103	\$0
PST	44062	Everest Financial Corporation	2012-0910-PST-E	DALLAS	9/30/2012	\$4,000	\$800	\$3,200	\$0
PST	44040	THE METHODIST HOSPITAL	2012-0881-PST-E	HARRIS	9/30/2012	\$2,250	\$450	\$1,800	\$0
PST	43678	All Saints Corporation	2012-0506-PST-E	HARRIS	9/30/2012	\$1,370	\$274	\$1,096	\$0
PST	43727	AWSH-Q INC.	2012-0551-PST-E	CALDWELL	9/30/2012	\$2,636	\$527	\$2,109	\$0
PST	43642	Omar Saif Enterprises, Inc	2012-0445-PST-E	DALLAS	9/30/2012	\$4,128	\$825	\$3,303	\$0
PST	42219	United Fortune Enterprise, Inc.	2011-1362-PST-E	LAMAR	10/11/2012	\$2,629	\$0	\$2,629	\$0
PST	42460	GHENE, MOHAMMAD A	2011-1606-PST-E	SMITH	10/11/2012	\$6,500	\$0	\$6,500	\$0
PST	43247	GOLDEN 07 ENTERPRISES, INC.	2012-0046-PST-E	HARRIS	10/11/2012	\$3,825	\$0	\$3,825	\$0
PST	41757	FOTY LLC	2011-0864-PST-E	HARRIS	10/11/2012	\$2,975	\$0	\$2,975	\$0
PST	43540	MAYFIELD, ALSENIA M	2012-0346-PST-E	DALLAM	10/11/2012	\$2,634	\$0	\$2,634	\$0
PST	42823	CRYSTAL INTERNATIONAL, INC.	2011-1963-PST-E	DALLAS	10/11/2012	\$6,249	\$0	\$6,249	\$0
PST	43444	Speedy K Market, Inc.	2012-0274-PST-E	DALLAS	10/11/2012	\$5,000	\$0	\$5,000	\$0
PST	43104	Do's Investment Inc.	2011-2254-PST-E	HARRIS	10/11/2012	\$2,500	\$0	\$2,500	\$0
PST	43836	FALCON BROTHERS INC	2012-0663-PST-E	ORANGE	10/11/2012	\$1,587	\$0	\$1,587	\$0
PST	42646	Adico Enterprises Inc.	2011-1793-PST-E	HARRIS	10/11/2012	\$5,129	\$0	\$5,129	\$0
PST	42788	E.Y.C. Corporation	2011-1951-PST-E	DALLAS	10/11/2012	\$7,295	\$0	\$7,295	\$0
PST	43482	Brazos County	2012-0300-PST-E	BRAZOS	10/11/2012	\$6,250	\$0	\$0	\$6,250
PST	43073	Puri Enterprises Inc *	2011-2261-PST-E	WASHINGTON	10/11/2012	\$2,629	\$0	\$2,629	\$0
PST	43551	NGUYEN, TOM	2012-0343-PST-E	HARRIS	10/13/2012	\$2,105	\$421	\$1,684	\$0
PST	43837	MAPT Corporation	2012-0670-PST-E	ANDERSON	10/13/2012	\$2,500	\$500	\$2,000	\$0
PST	44096	MRA Foods, Inc.	2012-0939-PST-E	BEXAR	10/13/2012	\$2,500	\$500	\$2,000	\$0
PST	43573	Chwiki Corp.	2012-0374-PST-E	DALLAS	10/13/2012	\$5,309	\$1,061	\$4,248	\$0
PST	44069	Escondido Plaza Inc.	2012-0916-PST-E	BRAZORIA	10/13/2012	\$2,625	\$525	\$2,100	\$0
PST	43788	LIM, KEN	2012-0589-PST-E	DALLAS	10/13/2012	\$2,430	\$486	\$1,944	\$0
PST	43932	Saif Malik Enterprises, Inc.	2012-0764-PST-E	HARRIS	10/13/2012	\$1,925	\$385	\$1,540	\$0
PST	44016	BALDRIDGE, CHIL L	2012-0854-PST-E	HARRIS	10/13/2012	\$6,500	\$1,300	\$5,200	\$0
PST	43968	Texas Lone Star Group, Inc.	2012-0788-PST-E	DALLAS	10/13/2012	\$2,055	\$411	\$1,644	\$0
PST	43855	Westwood Independent School District	2012-0681-PST-E	ANDERSON	10/13/2012	\$1,000	\$200	\$800	\$0
PST	43983	Cherokee County	2012-0832-PST-E	CHEROKEE	10/13/2012	\$1,875	\$375	\$1,500	\$0
PST	43560	Terrell Trading Company Inc.	2012-0370-PST-E	KAUFMAN	10/13/2012	\$3,288	\$657	\$2,631	\$0
PST	43949	KRUG, CELIA *	2012-0799-PST-E	HANSFORD	10/13/2012	\$2,005	\$401	\$1,604	\$0
PST	43952	Hopi River, Inc.	2012-0798-PST-E	WHEELER	10/13/2012	\$3,378	\$675	\$2,703	\$0
PST	43684	East Bengal Corporation	2012-0493-PST-E	DALLAS	10/13/2012	\$2,695	\$539	\$2,156	\$0
PST	43944	City of Houston	2012-0769-PST-E	HARRIS	10/13/2012	\$2,550	\$510	\$2,040	\$0
PST	43609	Gerry Harris	2012-0411-PST-E	FREESTONE	10/13/2012	\$5,000	\$1,000	\$4,000	\$0
PST	43976	M/A/R/C INC.	2012-0835-PST-E	DALLAS	10/13/2012	\$1,300	\$260	\$1,040	\$0
PST	43879	Reddy-Gator, Inc.	2012-0740-PST-E	JEFFERSON	10/13/2012	\$1,687	\$337	\$1,350	\$0

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## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	43600	Guardian Building Products Distribution, Inc.	2012-0436-PST-E	DALLAS	10/13/2012	\$2,625	\$525	\$2,100	\$0
PST	44014	SWOORUP TRADELINK LLC	2012-0855-PST-E	DALLAS	10/13/2012	\$3,500	\$700	\$2,800	\$0
PST	43903	Kawal Financial, Inc.	2012-0723-PST-E	DALLAS	10/13/2012	\$3,850	\$770	\$3,080	\$0
PST	41970	Leander ISD	2011-1074-PST-E	WILLIAMSON	10/13/2012	\$1,875	\$375	\$1,500	\$0
PST	42969	Roman C-Store, Inc.	2011-2131-PST-E	DALLAS	10/13/2012	\$2,945	\$589	\$2,356	\$0
PST	42126	SALEEM, MOHAMMAD	2011-1273-PST-E	JOHNSON	10/13/2012	\$2,500	\$500	\$2,000	\$0
PST	43789	Harcham & Puneet Bhullar, INC.	2012-0617-PST-E	DALLAS	10/13/2012	\$2,550	\$510	\$2,040	\$0
PST	43080	SHREEDHAR CORPORATION INC	2011-2244-PST-E	LUBBOCK	10/19/2012	\$17,313	\$0	\$17,313	\$0
PST	41766	Accel Quick Stop, Inc.	2011-0882-PST-E	TARRANT	10/19/2012	\$3,000	\$0	\$3,000	\$0
PST	42920	HOK, KONG C	2011-2067-PST-E	DALLAS	10/19/2012	\$5,100	\$0	\$5,100	\$0
PST	43605	SMAD ENTERPRISES INC.	2012-0403-PST-E	HARRIS	10/19/2012	\$6,368	\$0	\$6,368	\$0
PST	43069	Pleasanton Retail, Inc.	2011-2263-PST-E	BEXAR	10/19/2012	\$10,126	\$0	\$10,126	\$0
PST	43169	Absolute Fuels, LLC	2011-2326-PST-E	LUBBOCK	10/19/2012	\$8,750	\$0	\$8,750	\$0
PST	41203	ATTAYI, ALI	2011-0296-PST-E	HARRIS	10/19/2012	\$10,273	\$0	\$10,273	\$0
PST	43193	DURHAM, MONSON LEE	2011-2356-PST-E	HARRIS	10/19/2012	\$5,500	\$0	\$5,500	\$0
PST	43127	SUL'Z INTERNATIONAL INC	2011-2283-PST-E	BEXAR	10/19/2012	\$19,734	\$0	\$19,734	\$0
PST	43094	B. K. Trading, Inc.	2011-2237-PST-E	LAMAR	10/19/2012	\$3,631	\$0	\$3,631	\$0
PST	43133	Quick Shop, Inc.	2011-2290-PST-E	JEFFERSON	10/19/2012	\$21,135	\$0	\$21,135	\$0
PST	42769	Malik Group, Inc.	2011-1916-PST-E	DENTON	10/19/2012	\$20,959	\$0	\$20,959	\$0
PST	41327	Vicente Munoz	2011-0412-PST-E	ZAVALA	10/19/2012	\$2,625	\$0	\$2,625	\$0
PST	42596	Alexis To Kik	2011-1783-PST-E	NACOGDOCHES	10/19/2012	\$13,926	\$0	\$13,926	\$0
PST	43184	S & J Oil Company Inc.	2011-2341-PST-E	COOKE	10/19/2012	\$9,038	\$0	\$9,038	\$0
PST	43666	SONIA AND BPSC INC.	2012-0525-PST-E	PALO PINTO	10/26/2012	\$7,500	\$1,500	\$6,000	\$0
PST	43565	Pacer LLC	2012-0393-PST-E	DALLAS	10/26/2012	\$2,300	\$460	\$1,840	\$0
PST	43705	W B DIAMOND INVESTMENTS, INC	2012-0521-PST-E	DALLAS	10/26/2012	\$5,129	\$1,025	\$4,104	\$0
PST	43806	The University of Texas M D Anderson Cancer Center	2012-0628-PST-E	HARRIS	10/26/2012	\$1,925	\$385	\$1,540	\$0
PST	44091	City of Carrollton	2012-0925-PST-E	DALLAS	10/26/2012	\$4,313	\$862	\$0	\$3,451
PST	43900	Noble Investments, LLC	2012-0715-PST-E	DALLAS	10/26/2012	\$2,550	\$510	\$2,040	\$0
PST	43761	Methab Corporation, Inc.	2012-0584-PST-E	HARRIS	10/26/2012	\$2,550	\$510	\$2,040	\$0
PST	43881	GLASS TEXACO DISTRIBUTORS INC	2012-0698-PST-E	CHEROKEE	10/26/2012	\$2,500	\$500	\$2,000	\$0
PST	44256	ARNOLD, DONNA P	2012-1108-PST-E	PANOLA	10/26/2012	\$5,755	\$1,151	\$4,604	\$0
PST	43699	AL-NOR CORPORATION	2012-0515-PST-E	HARRIS	10/26/2012	\$4,007	\$801	\$3,206	\$0
PST	43835	HESS, JAKE	2012-0664-PST-E	GRAY	10/26/2012	\$3,737	\$747	\$2,990	\$0
PST	44131	City of Houston	2012-0980-PST-E	HARRIS	10/26/2012	\$1,500	\$300	\$1,200	\$0
PST	44145	Longview Independent School District	2012-0985-PST-E	GREGG	10/26/2012	\$1,875	\$375	\$1,500	\$0
PST	43961	Kaising, Inc.	2012-0793-PST-E	HARRIS	10/26/2012	\$3,290	\$658	\$2,632	\$0
PST	43474	HEMANI, FAISAL	2012-0289-PST-E	RAINS	10/26/2012	\$2,629	\$525	\$2,104	\$0
PST	44090	GURDIYA LLC	2012-0926-PST-E	HARRIS	10/26/2012	\$2,250	\$450	\$1,800	\$0

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## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	43787	D & D International, Inc.	2012-0609-PST-E	HARRIS	10/26/2012	\$2,350	\$470	\$1,880	\$0
PST	43563	NEW WAY QUICK SHOPPING INC	2012-0406-PST-E	TARRANT	10/26/2012	\$6,525	\$1,304	\$5,221	\$0
PST	43994	GOLAKIA & SINGH LLC	2012-0830-PST-E	DALLAS	10/26/2012	\$6,720	\$1,344	\$5,376	\$0
PST	43845	Eramco Enterprises, Inc.	2012-0668-PST-E	DALLAS	10/26/2012	\$2,379	\$475	\$1,904	\$0
PST	44002	United Big D, Inc.	2012-0826-PST-E	DALLAS	10/26/2012	\$2,862	\$572	\$2,290	\$0
PST	43947	Great Sahara Ventures, LLC	2012-0781-PST-E	DALLAS	10/26/2012	\$4,734	\$946	\$3,788	\$0
PST	43844	Southwestern Motor Transport, Inc.	2012-0727-PST-E	DALLAS	10/27/2012	\$10,000	\$2,000	\$8,000	\$0
PST	39171	G. W. Haston Family Trust	2010-0262-PST-E	JEFFERSON	10/27/2012	\$8,925	\$0	\$8,925	\$0
PST	41817	Colon Enterprises, Inc.	2011-0928-PST-E	DALLAS	10/27/2012	\$16,262	\$0	\$16,262	\$0
PST	44082	North Houston Pole Line L.P.	2012-0929-PST-E	HARRIS	10/27/2012	\$9,446	\$1,889	\$7,557	\$0
PST	43852	JC SHELL, INC	2012-0676-PST-E	HARRIS	10/27/2012	\$8,405	\$1,681	\$6,724	\$0
PST	43296	Retail Investors of Texas, Ltd.	2012-0106-PST-E	JEFFERSON	10/27/2012	\$23,366	\$0	\$23,366	\$0
PST	43384	WIETZIKOSKI, RAYMOND	2012-0191-PST-E	LIMESTONE	10/27/2012	\$10,992	\$2,198	\$8,794	\$0
PST	43637	Walker County	2012-0427-PST-E	WALKER	10/27/2012	\$11,500	\$2,300	\$0	\$9,200
PST	41466	JOSEPH, SONEY	2011-0561-PST-E	CHEROKEE	10/27/2012	\$20,608	\$0	\$20,608	\$0
PST	42608	AMIN, JOGESH	2011-1754-PST-E	TARRANT	10/27/2012	\$23,554	\$0	\$23,554	\$0
PST	43808	Fastrac Food Store's, Inc.	2012-0626-PST-E	HARRIS	10/27/2012	\$16,100	\$3,220	\$12,880	\$0
PST	43556	Japan International Corp	2012-0359-PST-E	TARRANT	10/27/2012	\$13,703	\$2,740	\$10,963	\$0
PST	43807	Murphy Oil USA, Inc.	2012-0627-PST-E	HARRIS	10/27/2012	\$8,975	\$1,795	\$7,180	\$0
PST	42942	A & M Business Inc	2011-2088-PST-E	BRAZOS	10/27/2012	\$11,100	\$0	\$11,100	\$0
PST	44183	Gary Pools, Inc.	2012-1024-PST-E	BEXAR	11/11/2012	\$3,150	\$630	\$2,520	\$0
PST	43287	MUNAWAR, MUNIR ARMAD	2012-0089-PST-E	ARANSAS	11/11/2012	\$1,875	\$375	\$1,500	\$0
PST	44027	Friends International, Inc.	2012-0865-PST-E	HARRIS	11/11/2012	\$3,375	\$675	\$2,700	\$0
PST	44174	FESSLER, RICHARD	2012-1011-PST-E	BEXAR	11/11/2012	\$5,000	\$1,000	\$4,000	\$0
PST	43975	Bain Tire Co., Inc.	2012-0819-PST-E	SHERMAN	11/11/2012	\$2,645	\$529	\$2,116	\$0
PST	43965	Cullen Texaco, Inc.	2012-0789-PST-E	HARRIS	11/11/2012	\$33,850	\$6,770	\$27,080	\$0
PST	44024	JASPER INDEPENDENT SCHOOL DISTRICT	2012-0931-PST-E	JASPER	11/11/2012	\$2,250	\$450	\$1,800	\$0
PST	44264	Little "Nutt" Oil Co.	2012-1123-PST-E	PANOLA	11/11/2012	\$4,500	\$900	\$3,600	\$0
PST	43980	Nick and Sons Inc	2012-0856-PST-E	DALLAS	11/11/2012	\$3,570	\$714	\$2,856	\$0
PST	43963	City of Spearman	2012-0791-PST-E	HANSFORD	11/11/2012	\$4,125	\$825	\$3,300	\$0
PST	43762	Ken Batchelor Cadillac Company, Inc.	2012-0583-PST-E	BEXAR	11/11/2012	\$3,740	\$748	\$2,992	\$0
PST	44159	PTCAA Texas, L.P.	2012-1017-PST-E	LUBBOCK	11/11/2012	\$3,750	\$750	\$3,000	\$0
PST	43718	Muree Valley International, Inc.	2012-0535-PST-E	DALLAS	11/11/2012	\$10,386	\$2,077	\$8,309	\$0
PST	43993	Dallas County Hospital Dist(Parkland Mem Hospital)	2012-0888-PST-E	DALLAS	11/11/2012	\$8,000	\$1,600	\$6,400	\$0
PST	42896	Tri Gaz, Inc.	2011-2036-PST-E	DALLAS	11/11/2012	\$2,500	\$500	\$2,000	\$0
PST	44173	Martin Transport, Inc.	2012-1012-PST-E	GREGG	11/11/2012	\$2,380	\$476	\$1,904	\$0
PST	43222	JURICA, RAYMOND L	2012-0038-PST-E	ELLIS	11/11/2012	\$4,505	\$901	\$3,604	\$0
PST	44128	Aeronev, L. L. C.	2012-0973-PST-E	BEXAR	11/11/2012	\$2,500	\$500	\$2,000	\$0

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PST	43426	BOMBAY SEASONS INC	2012-0221-PST-E	BRAZOS	11/11/2012	\$8,600	\$1,720	\$6,880	\$0
PST	43848	Shivdeep, Inc.	2012-0674-PST-E	DALLAS	11/11/2012	\$5,212	\$1,042	\$4,170	\$0
PST	43805	CCMH POTOMAC LLC	2012-0621-PST-E	HARRIS	11/11/2012	\$5,259	\$1,051	\$4,208	\$0
PST	43824	Samin Enterprises, Inc.	2012-0643-PST-E	DALLAS	11/11/2012	\$4,500	\$900	\$3,600	\$0
PST	43529	S. N. V. Business Inc.	2012-0350-PST-E	HARRIS	11/11/2012	\$2,000	\$400	\$1,600	\$0
PST	43928	Trax, Inc.	2012-0754-PST-E	DALLAS	11/11/2012	\$2,550	\$510	\$2,040	\$0
PST	44787	NOORALI, NADEEM	2012-1673-PST-E	DALLAS	11/12/2012	\$2,550	\$0	\$2,550	\$0
PST	43417	Salima, Inc.	2012-0229-PST-E	DALLAS	11/12/2012	\$2,250	\$0	\$2,250	\$0
PST	43427	Moriz Investments LLC	2012-0220-PST-E	TARRANT	11/12/2012	\$4,473	\$0	\$4,473	\$0
PST	42876	PATEL, NIRANJAN S	2011-2015-PST-E	HARRIS	11/12/2012	\$2,886	\$0	\$2,886	\$0
PST	43831	AB GROCERY, INC.	2012-0657-PST-E	HARRIS	11/12/2012	\$5,040	\$0	\$5,040	\$0
PST	43724	Tahir Enterprises, Inc.	2012-0540-PST-E	HARDIN	11/12/2012	\$6,900	\$0	\$6,900	\$0
PST	42073	Tristar Convenience Stores, Inc.	2011-1241-PST-E	HARRIS	11/12/2012	\$2,679	\$0	\$2,679	\$0
PST	43885	Acres Enterprises, Inc.	2012-0706-PST-E	JASPER	11/12/2012	\$2,630	\$0	\$2,630	\$0
PST	43315	HANG, THO QUI	2012-0132-PST-E	LAMAR	11/12/2012	\$2,004	\$0	\$2,004	\$0
PST	43309	NABIHA ENTERPRISES LLC	2012-0118-PST-E	WISE	11/12/2012	\$2,624	\$0	\$2,624	\$0
PST	43733	Thobani International, Inc.	2012-0547-PST-E	JEFFERSON	11/12/2012	\$5,250	\$0	\$5,250	\$0
PST	43586	HSBM Retail Management, LLC	2012-0389-PST-E	FREESTONE	11/14/2012	\$2,500	\$0	\$2,500	\$0
PST	42421	GHANSHAYAM ENTERPRISE LLC	2011-1555-PST-E	HARRIS	11/14/2012	\$7,822	\$0	\$7,822	\$0
PST	43261	Benton Rainey, Inc.	2012-0062-PST-E	LAMAR	11/14/2012	\$2,629	\$0	\$2,629	\$0
PST	43520	METTLEN, CINDY	2012-0333-PST-E	LEON	11/14/2012	\$2,884	\$0	\$2,884	\$0
PST	43693	Glenn E. Galloway	2012-0645-PST-E	JEFFERSON	11/16/2012	\$9,187	\$0	\$9,187	\$0
PST	43297	ADNAN, KHURRAM	2012-0105-PST-E	LAMAR	11/16/2012	\$2,500	\$0	\$2,500	\$0
PST	41920	MFMK, INC.	2011-1029-PST-E	HARRIS	11/16/2012	\$17,519	\$0	\$17,519	\$0
PST	42895	Tri Gaz 6, Inc.	2011-2037-PST-E	DALLAS	11/22/2012	\$2,550	\$510	\$2,040	\$0
PST	44026	S.K. Teng Corp.	2012-0850-PST-E	SHELBY	11/22/2012	\$4,008	\$801	\$3,207	\$0
PST	44299	KIM, TAE KIL	2012-1147-PST-E	HARRIS	11/22/2012	\$4,080	\$816	\$3,264	\$0
PST	43930	ALTO BUSINESS LLC	2012-0753-PST-E	CHEROKEE	11/22/2012	\$5,129	\$1,025	\$4,104	\$0
PST	44345	Baptist/St. Anthony's Health System	2012-1302-PST-E	POTTER	11/22/2012	\$3,563	\$712	\$2,851	\$0
PST	43890	Seven Star Enterprises LLC	2012-0712-PST-E	CHEROKEE	11/22/2012	\$5,000	\$1,000	\$4,000	\$0
PST	44155	WILKE, BARBARA	2012-0999-PST-E	POTTER	11/22/2012	\$1,754	\$350	\$1,404	\$0
PST	44180	NGUYEN, THO T	2012-1025-PST-E	POTTER	11/22/2012	\$2,005	\$401	\$1,604	\$0
PST	44166	KHAN, ARIF M	2012-1015-PST-E	GREGG	11/22/2012	\$3,005	\$601	\$2,404	\$0
PST	43363	Cousin Brothers Corporation	2012-0212-PST-E	HARRIS	11/22/2012	\$5,129	\$1,025	\$4,104	\$0
PST	44133	Shamsa Enterprises Inc.	2012-0988-PST-E	TARRANT	11/22/2012	\$4,012	\$802	\$3,210	\$0
PST	44235	WALIA FUEL INC.	2012-1076-PST-E	DALLAS	11/22/2012	\$3,825	\$765	\$3,060	\$0
PST	44191	Consolidated Communications Services Company	2012-1039-PST-E	HARRIS	11/22/2012	\$1,876	\$374	\$1,502	\$0
PST	44057	MIDWAY PITSTOP, INC.	2012-0902-PST-E	DENTON	11/22/2012	\$3,851	\$770	\$3,081	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	44290	NGUYEN, LAM	2012-1154-PST-E	POTTER	11/22/2012	\$3,508	\$701	\$2,807	\$0
PST	43799	BVM ENTERPRISE INC	2012-0615-PST-E	HARRIS	11/22/2012	\$5,600	\$1,120	\$4,480	\$0
PST	44012	Ibrahim Retail Group Inc	2012-0912-PST-E	DALLAS	11/22/2012	\$2,250	\$450	\$1,800	\$0
PST	43904	Sal - Ali, Inc.	2012-0722-PST-E	DALLAS	11/22/2012	\$3,850	\$770	\$3,080	\$0
PST	41696	Golden & Silver Enterprises, Inc.	2011-0800-PST-E	HARRIS	11/22/2012	\$1,925	\$385	\$1,540	\$0
PST	44157	Karishma Properties, Inc.	2012-1004-PST-E	BEXAR	11/22/2012	\$2,500	\$500	\$2,000	\$0
PST	44022	HRH Investments, LP	2012-0851-PST-E	DALLAS	11/22/2012	\$3,750	\$750	\$3,000	\$0
PST	43982	MAT-J, L.L.C.	2012-0811-PST-E	GREGG	11/22/2012	\$7,134	\$1,426	\$5,708	\$0
PST	43505	KK IRISH 66 LLC	2012-0318-PST-E	OLDHAM	11/22/2012	\$2,630	\$526	\$2,104	\$0
PST	44356	Northwest Texas Healthcare System, Inc.	2012-1210-PST-E	POTTER	11/22/2012	\$1,541	\$308	\$1,233	\$0
PST	44431	BIVA ENTERPRISES INC	2012-1288-PST-E	WALKER	11/22/2012	\$3,750	\$750	\$3,000	\$0
PST	43923	Big Bend Telephone Company, Inc.	2012-0800-PST-E	BREWSTER	11/22/2012	\$5,861	\$1,172	\$4,689	\$0
PST	43985	GAINES, ROBERT D	2012-0831-PST-E	BELL	11/22/2012	\$3,955	\$791	\$3,164	\$0
PST	44136	Class Enterprises, Inc.	2012-0978-PST-E	HARRIS	11/22/2012	\$2,550	\$510	\$2,040	\$0
PST	44078	Loyal Sarosha INC	2012-1032-PST-E	HARRIS	11/22/2012	\$2,550	\$510	\$2,040	\$0
PST	44396	ANDERSON MERCHANDISERS, L.P.	2012-1259-PST-E	POTTER	11/22/2012	\$2,944	\$588	\$2,356	\$0
PST	44197	VIPUL INC	2012-1043-PST-E	DALLAS	11/22/2012	\$900	\$180	\$720	\$0
PST	42407	Texas Petroleum Group, LLC	2011-1537-PST-E	HARRIS	11/22/2012	\$2,500	\$500	\$2,000	\$0
PST	43956	HAIDER AND SONS ENTERPRISES INC	2012-0796-PST-E	DALLAS	11/22/2012	\$2,250	\$450	\$1,800	\$0
PST	44176	BOBAK AUTOMOTIVE CENTER, L.L.C.	2012-1030-PST-E	HARRIS	11/22/2012	\$4,500	\$900	\$3,600	\$0
PST	44238	Westlex Corporation	2012-1082-PST-E	HARRIS	11/22/2012	\$3,081	\$616	\$2,465	\$0
PST	44093	Paradime Inc	2012-0936-PST-E	HENDERSON	11/22/2012	\$3,508	\$701	\$2,807	\$0
PST	44450	Bir Hanuman, Inc.	2012-1308-PST-E	ERATH	11/22/2012	\$3,750	\$750	\$3,000	\$0
PST	44053	Dee & Dee Oil Co.	2012-0895-PST-E	DALLAS	11/22/2012	\$3,900	\$780	\$3,120	\$0
PST	43554	HOSSAEN, MOHAMMOD Y	2012-0365-PST-E	DALLAS	11/30/2012	\$14,890	\$2,978	\$11,912	\$0
PST	40753	Jackson Fred	2010-1897-PST-E	DALLAS	11/30/2012	\$2,625	\$2,625	\$0	\$0
PST	44031	Monroe Center, Inc.	2012-0864-PST-E	HARRIS	11/30/2012	\$13,172	\$2,634	\$10,538	\$0
PST	43716	Adico Enterprises Inc.	2012-0531-PST-E	HARRIS	11/30/2012	\$12,044	\$2,408	\$9,636	\$0
PST	43312	ACUNA, JESUS	2012-0116-PST-E	EL PASO	11/30/2012	\$8,139	\$0	\$8,139	\$0
PST	43598	R K DREAMS INC	2012-0404-PST-E	DALLAS	12/5/2012	\$17,159	\$0	\$17,159	\$0
PST	43419	Noel S Corporation	2012-0227-PST-E	TARRANT	12/15/2012	\$7,509	\$1,501	\$6,008	\$0
PST	43491	EAS Oil, LLC	2012-0355-PST-E	GILLESPIE	12/15/2012	\$37,332	\$0	\$37,332	\$0
PST	44150	BEE TRUCKING, LLC	2012-0993-PST-E	BEXAR	12/15/2012	\$13,557	\$2,711	\$10,846	\$0
PST	42237	LEE, DAE H	2011-1382-PST-E	HARRIS	12/15/2012	\$9,375	\$8,175	\$1,200	\$0
PST	42041	Edward Devoe Smith	2011-1158-PST-E	SUTTON	12/15/2012	\$7,777	\$0	\$7,777	\$0
PST	34664	MAKHANI, AMIN	2011-1950-PST-E	BEXAR	12/15/2012	\$11,582	\$0	\$11,582	\$0
PST	43945	INWOOD STORES, INC.	2012-0768-PST-E	HARRIS	12/15/2012	\$12,150	\$2,430	\$9,720	\$0
PST	44052	CHAN LUCKY STAR INC	2012-0900-PST-E	ANDERSON	12/16/2012	\$2,500	\$500	\$2,000	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	44316	SAFEWAY INC.	2012-1170-PST-E	HARRIS	12/16/2012	\$3,750	\$750	\$3,000	\$0
PST	44473	GRANBURY QUICK CORPORATION	2012-1335-PST-E	HOOD	12/16/2012	\$3,879	\$775	\$3,104	\$0
PST	44328	LAKSHMI, LTD.	2012-1183-PST-E	KLEBERG	12/16/2012	\$6,692	\$1,338	\$5,354	\$0
PST	43888	Universal Finance Corporation	2012-0770-PST-E	DALLAS	12/16/2012	\$1,300	\$260	\$1,040	\$0
PST	44152	NIKBEH, HAMID	2012-0998-PST-E	DALLAS	12/16/2012	\$6,120	\$1,224	\$4,896	\$0
PST	44177	R & R Suleiman LLC	2012-1010-PST-E	DALLAS	12/16/2012	\$3,634	\$726	\$2,908	\$0
PST	43971	SI Investment Corp	2012-0812-PST-E	GREGG	12/16/2012	\$4,684	\$936	\$3,748	\$0
PST	44080	Signature Flight Support Corporation	2012-0934-PST-E	HARRIS	12/16/2012	\$5,145	\$1,029	\$4,116	\$0
PST	44178	Kirbyville Consolidated Independant School District	2012-1009-PST-E	JASPER	12/16/2012	\$2,625	\$525	\$2,100	\$0
PST	43997	City of Brownsville	2012-0829-PST-E	CAMERON	12/16/2012	\$3,750	\$750	\$3,000	\$0
PST	44283	Donna ISD	2012-1134-PST-E	HIDALGO	12/16/2012	\$3,750	\$750	\$3,000	\$0
PST	44420	Car Spa, Inc. dba Car Spa Car Wash	2012-1278-PST-E	COLLIN	12/16/2012	\$3,750	\$750	\$3,000	\$0
PST	44102	Veslan Properties, Inc.	2012-0942-PST-E	BEXAR	12/16/2012	\$3,750	\$750	\$1,500	\$1,500
PST	44477	M S Marketing, Inc.	2012-1330-PST-E	WHARTON	12/16/2012	\$4,500	\$900	\$3,600	\$0
PST	44335	El Campo Independent School District	2012-1187-PST-E	WHARTON	12/16/2012	\$3,750	\$750	\$3,000	\$0
PST	43209	Ayman International, Inc.	2012-0042-PST-E	HARRIS	12/20/2012	\$5,000	\$0	\$5,000	\$0
PST	43889	BKK HOLDINGS INC.	2012-0710-PST-E	HOOD	12/20/2012	\$8,881	\$0	\$8,881	\$0
PST	43676	HUGHES, BILLY DON	2012-0484-PST-E	SHELBY	12/20/2012	\$5,250	\$0	\$5,250	\$0
PST	43614	SUPER VILLAGE ENTERPRISE INC	2012-0419-PST-E	HARRIS	12/20/2012	\$3,634	\$0	\$3,634	\$0
PST	36111	CHAUDHRY, FARAH	2008-1035-PST-E	NACOGDOCHES	1/7/2013	\$6,300	\$0	\$6,300	\$0
PST	44404	City of Amarillo	2012-1264-PST-E	POTTER	1/12/2013	\$6,875	\$1,375	\$5,500	\$0
PST	44481	BROADUS, GARY L	2012-1340-PST-E	HOOD	1/12/2013	\$2,942	\$588	\$2,354	\$0
PST	44175	MARTIN-TOMLINSON ROOFING COMPANY INC	2012-1031-PST-E	DALLAS	1/12/2013	\$2,635	\$527	\$2,108	\$0
PST	45002	Orhtopedic Hospital Limited	2012-1880-PST-E	HARRIS	1/12/2013	\$3,500	\$0	\$3,500	\$0
PST	44212	Ridu Corporation	2012-1061-PST-E	DALLAS	1/12/2013	\$2,250	\$450	\$1,800	\$0
PST	43157	Transatlantic Alliance Corp.	2011-2319-PST-E	DALLAS	1/12/2013	\$1,875	\$375	\$1,500	\$0
PST	44266	HALDAR, AMIT	2012-1121-PST-E	HARRIS	1/12/2013	\$1,925	\$385	\$1,540	\$0
PST	42905	Texas Department of Transportation	2011-2055-PST-E	BRAZOS	1/12/2013	\$2,250	\$450	\$0	\$1,800
PST	44006	DZ Beechnut, LP	2012-0842-PST-E	HARRIS	1/12/2013	\$6,750	\$1,350	\$5,400	\$0
PST	44321	Tiger Corner Inc.	2012-1180-PST-E	SOMERVELL	1/12/2013	\$2,438	\$487	\$1,951	\$0
PST	44522	JAMIL ENTERPRISES INC	2012-1387-PST-E	WHARTON	1/12/2013	\$4,443	\$888	\$3,555	\$0
PST	44368	DALLAS FRONTIER PETRO INC.	2012-1227-PST-E	DENTON	1/12/2013	\$3,581	\$716	\$2,865	\$0
PST	43647	SAMAH ENTERPRISES INC	2012-0451-PST-E	DALLAS	1/12/2013	\$2,136	\$427	\$1,709	\$0
PST	44148	M & M SKILLMAN LLC	2012-0995-PST-E	DALLAS	1/12/2013	\$6,037	\$1,207	\$4,830	\$0
PST	44657	COLUMBIA MEDICAL CENTER OF MCKINNEY SUBSIDIARY, L.P.	2012-1515-PST-E	COLLIN	1/12/2013	\$2,626	\$524	\$2,102	\$0
PST	43912	AAINA ENTERPRISES INC	2012-0737-PST-E	CHEROKEE	1/12/2013	\$5,000	\$1,000	\$4,000	\$0
PST	37828	ESPINOSA, GILBERTO ROBLES	2009-0949-PST-E	NUECES	1/24/2013	\$8,925	\$0	\$8,925	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	43018	Tristar Convenience Stores, Inc.	2011-2176-PST-E	HARRIS	2/1/2013	\$3,950	\$0	\$3,950	\$0
PST	44140	Thalji Enterprises, Inc.	2012-0987-PST-E	GALVESTON	2/1/2013	\$2,954	\$0	\$2,954	\$0
PST	42867	ABU-ALGHANAM, AHMED	2011-2004-PST-E	ECTOR	2/1/2013	\$2,500	\$0	\$2,500	\$0
PST	42870	Plew Dawgs Market, LLC	2011-2007-PST-E	MONTGOMERY	2/1/2013	\$2,400	\$0	\$2,400	\$0
PST	43643	POKHARA CORPORATION	2012-0444-PST-E	BELL	2/1/2013	\$5,131	\$0	\$5,131	\$0
PST	43318	KAPADIA SADLER DEVELOPMENT INC	2012-0138-PST-E	HENDERSON	2/1/2013	\$2,637	\$0	\$2,637	\$0
PST	43728	Aldine Independent School District	2012-0537-PST-E	HARRIS	2/1/2013	\$2,950	\$0	\$2,950	\$0
PST	43906	Sheema Enterprise, Inc.	2012-0721-PST-E	HARRIS	2/1/2013	\$3,000	\$0	\$3,000	\$0
PST	42199	Valley Assets Holding, Inc.	2011-1337-PST-E	CAMERON	2/1/2013	\$3,500	\$0	\$3,500	\$0
PST	42658	Yushra Investment Inc	2011-1801-PST-E	DALLAS	2/1/2013	\$2,379	\$0	\$2,379	\$0
PST	45173	SAFEWAY INC.	2012-2041-PST-E	DALLAS	2/3/2013	\$875	\$0	\$875	\$0
PST	44367	M.A.A.A. ENTERPRISES, INC.	2012-1216-PST-E	HARRIS	2/3/2013	\$5,414	\$1,082	\$4,332	\$0
PST	44380	RCF INVESTMENTS INC.	2012-1250-PST-E	PARKER	2/3/2013	\$3,375	\$675	\$2,700	\$0
PST	43322	SINGH, HARDEEP	2012-0128-PST-E	LAMAR	2/3/2013	\$5,000	\$1,000	\$4,000	\$0
PST	45153	A.D.A. Group, Inc.	2012-2019-PST-E	COLLIN	2/3/2013	\$875	\$0	\$875	\$0
PST	43981	Shamu Corporation	2012-0814-PST-E	HARRIS	2/3/2013	\$5,082	\$1,016	\$4,066	\$0
PST	44319	Amarillo ISD	2012-1176-PST-E	RANDALL	2/3/2013	\$875	\$0	\$875	\$0
PST	45174	Prestonwood Golf Club LLC	2012-2040-PST-E	DALLAS	2/3/2013	\$875	\$0	\$875	\$0
PST	44365	Blacklands Investment Corporation	2012-1217-PST-E	ERATH	2/3/2013	\$3,750	\$750	\$3,000	\$0
PST	44474	T & C OLIVER LLC	2012-1332-PST-E	MILLS	2/3/2013	\$4,993	\$998	\$3,995	\$0
PST	44268	Kars Inc.	2012-1120-PST-E	DALLAS	2/3/2013	\$2,250	\$450	\$1,800	\$0
PST	44454	Traversari USA LLC	2012-1310-PST-E	HARRIS	2/3/2013	\$3,605	\$721	\$2,884	\$0
PST	44395	PADMA CORPORATION DBA STEP N GO	2012-1258-PST-E	DALLAS	2/3/2013	\$3,450	\$690	\$2,760	\$0
PST	43846	Cousin Brothers Corporation	2012-0675-PST-E	HARRIS	2/3/2013	\$2,801	\$560	\$2,241	\$0
PST	44570	Shawn & Aydin Enterprises, Inc	2012-1428-PST-E	HARRIS	2/3/2013	\$5,670	\$1,134	\$4,536	\$0
PST	44077	WORKE, YEDNECKACHEW	2012-0920-PST-E	GREGG	2/3/2013	\$3,629	\$725	\$2,904	\$0
PST	43418	WEST AVENUE EXPRESS INC	2012-0228-PST-E	BEXAR	2/3/2013	\$5,000	\$1,000	\$4,000	\$0
PST	44060	Bandera Shell LLC	2012-0904-PST-E	BEXAR	2/3/2013	\$5,000	\$1,000	\$4,000	\$0
PST	44210	Burmhi & Sons Inc.	2012-1053-PST-E	GREGG	2/3/2013	\$2,500	\$500	\$2,000	\$0
PST	45165	IASIS HEALTHCARE CORPORATION	2012-2030-PST-E	ECTOR	2/3/2013	\$2,625	\$0	\$2,625	\$0
PST	43967	Jai Kapish Corporation	2012-0857-PST-E	DALLAS	2/3/2013	\$3,131	\$626	\$2,505	\$0
PST	44718	KUTOB, SAEB	2012-1595-PST-E	SMITH	2/3/2013	\$3,750	\$750	\$3,000	\$0
PST	44215	ASAA Investment Inc.	2012-1051-PST-E	BEXAR	2/3/2013	\$1,625	\$325	\$1,300	\$0
PST	44244	DREAM ENTERPRISES INC	2012-1092-PST-E	HARRIS	2/3/2013	\$3,050	\$610	\$2,440	\$0
PST	37836	PLATIS, JOHN	2012-0556-PST-E	HARRIS	2/10/2013	\$58,560	\$0	\$58,560	\$0
PST	43251	D & G STORE LLC	2012-0054-PST-E	ELLIS	2/10/2013	\$8,272	\$1,654	\$6,618	\$0
PST	42764	A Numan, Inc.	2011-1915-PST-E	HARRIS	2/10/2013	\$13,870	\$7,412	\$6,458	\$0
PST	44274	NEW DELTA BUSINESS LLC	2012-1164-PST-E	ORANGE	2/10/2013	\$17,550	\$3,510	\$14,040	\$0

## Singles Programs

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Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	44112	New TelAir Inc	2012-0954-PST-E	HARRIS	2/10/2013	\$7,784	\$1,556	\$6,228	\$0
PST	44172	M.R.D. GROUP, INC.	2012-1013-PST-E	HARRIS	2/10/2013	\$8,670	\$1,734	\$6,936	\$0
PST	44414	Hansford Hospital	2012-1280-PST-E	HANSFORD	2/10/2013	\$7,650	\$1,530	\$6,120	\$0
PST	43853	Federal Aviation Administration	2012-0678-PST-E	HARRIS	2/10/2013	\$8,500	\$1,700	\$6,800	\$0
PST	44358	J. & A. Grocery, Inc.	2012-1208-PST-E	HOOD	2/10/2013	\$9,129	\$1,825	\$7,304	\$0
PST	44153	Philip Varughese	2012-1005-PST-E	HARRIS	2/10/2013	\$9,691	\$1,938	\$7,753	\$0
PST	43673	MRV CORPORATION	2012-0485-PST-E	COMAL	2/10/2013	\$7,652	\$1,529	\$6,123	\$0
PST	42741	Rollins & Rollins Enterprises, Inc.	2011-1890-PST-E	NACOGDOCHES	2/10/2013	\$13,739	\$0	\$13,739	\$0
PST	43066	Alia Enterprises, Inc.	2011-2216-PST-E	HARRIS	2/10/2013	\$8,770	\$0	\$8,770	\$0
PST	42908	Vance Jackson Retail, LLC	2011-2053-PST-E	BEXAR	2/10/2013	\$9,729	\$1,945	\$7,784	\$0
PST	42794	Sylvan I-30 Enterprises, Inc.	2011-1966-PST-E	DALLAS	2/13/2013	\$19,426	\$0	\$19,426	\$0
PST	44606	Kelso Tractor Company, Inc.	2012-1481-PST-E	DENTON	2/23/2013	\$1,231	\$246	\$985	\$0
PST	45113	Argos Ready Mix (South Central) Corp.	2012-1985-PST-E	DALLAS	2/23/2013	\$2,625	\$0	\$2,625	\$0
PST	44538	Southern Foods Group, LLC	2012-1391-PST-E	COLLIN	2/23/2013	\$5,000	\$1,000	\$4,000	\$0
PST	44542	Entergy Texas, Inc.	2012-1399-PST-E	MONTGOMERY	2/23/2013	\$3,375	\$675	\$2,700	\$0
PST	44265	American Food Mart, Inc.	2012-1122-PST-E	GREGG	2/23/2013	\$1,625	\$325	\$1,300	\$0
PST	45243	Dalton Oil, Inc.	2012-2113-PST-E	PARKER	2/23/2013	\$2,625	\$0	\$2,625	\$0
PST	44463	B & B True, Inc.	2012-1317-PST-E	COLLIN	2/23/2013	\$7,008	\$1,401	\$5,607	\$0
PST	43338	MARLOW OIL DISTRIBUTOR INC	2012-0145-PST-E	KAUFMAN	2/23/2013	\$2,250	\$450	\$1,800	\$0
PST	45431	K T T Enterprise, Inc.	2012-1653-PST-E	HARRIS	2/23/2013	\$875	\$0	\$875	\$0
PST	44526	Prasek's Hillje Smokehouse, Inc.	2012-1379-PST-E	WHARTON	2/23/2013	\$875	\$0	\$875	\$0
PST	44425	ABEST CORPORATION	2012-1282-PST-E	HOOD	2/23/2013	\$6,562	\$1,312	\$5,250	\$0
PST	45049	NuStar Logistics, L.P.	2012-1929-PST-E	BEXAR	2/23/2013	\$1,750	\$0	\$1,750	\$0
PST	44696	BK AND BROTHERS, INC.	2012-1571-PST-E	TARRANT	2/23/2013	\$1,750	\$0	\$1,750	\$0
PST	44572	S. N. R. ENTERPRISES, INC.	2012-1430-PST-E	MATAGORDA	2/23/2013	\$3,880	\$776	\$3,104	\$0
PST	44382	SANDERSON, CHARLES	2012-1242-PST-E	SOMERVELL	2/23/2013	\$5,754	\$1,150	\$4,604	\$0
PST	44587	Ozturk Incorporated	2012-1482-PST-E	DENTON	2/23/2013	\$5,625	\$1,125	\$4,500	\$0
PST	45206	P. F. & E. Oil Co.	2012-2066-PST-E	ERATH	2/23/2013	\$875	\$0	\$875	\$0
PST	44476	Blessings, Inc.	2012-1331-PST-E	WHARTON	2/23/2013	\$2,943	\$588	\$2,355	\$0
PST	45084	BUC-EE'S, LTD.	2012-1969-PST-E	WHARTON	2/23/2013	\$5,250	\$0	\$5,250	\$0
PST	45417	Federal Bureau of Prisons	2012-2279-PST-E	HARRIS	2/23/2013	\$2,625	\$0	\$2,625	\$0
PST	44214	Sunshine Stores, Inc.	2012-1052-PST-E	JASPER	2/23/2013	\$2,500	\$500	\$2,000	\$0
PST	44308	King Fuels, Inc.	2012-1161-PST-E	POLK	2/23/2013	\$5,850	\$1,170	\$4,680	\$0
PST	44324	Addison Enterprises Inc.	2012-1179-PST-E	DALLAS	2/23/2013	\$3,550	\$710	\$2,840	\$0
PST	44296	Farmland Fuels, LLC	2012-1148-PST-E	DALLAS	2/23/2013	\$2,250	\$450	\$1,800	\$0
PST	44334	RAFIDI ENTERPRISES LLC	2012-1201-PST-E	CAMERON	2/23/2013	\$2,250	\$450	\$1,800	\$0
PST	44156	MATTU, AJMER S	2012-1002-PST-E	GREGG	2/23/2013	\$4,005	\$801	\$3,204	\$0
PST	44455	Bexar County Hospital District	2012-1311-PST-E	BEXAR	2/23/2013	\$3,750	\$750	\$3,000	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	45224	Deer Park Independent School District	2012-2098-PST-E	HARRIS	2/23/2013	\$2,625	\$0	\$2,625	\$0
PST	44004	NEIGHBORHOOD REAL ESTATE HOLDINGS LLC	2012-0824-PST-E	HARRIS	2/23/2013	\$5,233	\$1,046	\$4,187	\$0
PST	44574	Asash Pest Control	2012-1432-PST-E	WEBB	2/23/2013	\$3,143	\$628	\$2,515	\$0
PST	39661	Dunlap-Swain Tire Company, Inc	2010-0757-PST-E	DALLAS	2/23/2013	\$2,354	\$470	\$1,884	\$0
PST	44497	Central Park Company, L.P.	2012-1368-PST-E	COLLIN	2/23/2013	\$3,882	\$776	\$3,106	\$0
PST	44525	AHAD ENTERPRISES INC	2012-1380-PST-E	WHARTON	2/23/2013	\$3,349	\$669	\$2,680	\$0
PST	43865	Federal Aviation Administration Houston Tracon District 190	2012-0686-PST-E	HARRIS	2/23/2013	\$1,875	\$375	\$1,500	\$0
PST	44389	AMITA INVESTMENTS, INC.	2012-1249-PST-E	MATAGORDA	2/23/2013	\$3,959	\$791	\$3,168	\$0
PST	44037	DLUGOSCH III, LLC	2012-0875-PST-E	DEWITT	2/24/2013	\$43,265	\$8,653	\$34,612	\$0
PST	44149	New Williams Trace Enterprises Inc	2012-0994-PST-E	WALKER	2/24/2013	\$10,763	\$2,152	\$8,611	\$0
PST	42606	ZZQ Enterprises, Inc.	2011-1748-PST-E	DALLAS	2/24/2013	\$16,070	\$0	\$16,070	\$0
PST	43657	New Siara Properties, LP	2012-0459-PST-E	BEXAR	2/24/2013	\$16,477	\$3,295	\$13,182	\$0
PST	41590	Babwani Associates, Inc.	2011-0684-PST-E	TARRANT	2/24/2013	\$31,820	\$0	\$31,820	\$0
PST	44346	Crockett County Consolidated School District	2012-1200-PST-E	CROCKETT	2/24/2013	\$7,751	\$1,550	\$6,201	\$0
PST	44231	HH&K, LLC	2012-1088-PST-E	NEWTON	2/27/2013	\$9,272	\$0	\$9,272	\$0
PST	43959	FASS INC.	2012-0795-PST-E	PANOLA	2/27/2013	\$8,882	\$0	\$8,882	\$0
PST	44388	FIRST GOLDEN JUBILEE ENT., INC.	2012-1238-PST-E	SAN JACINTO	2/27/2013	\$8,881	\$0	\$8,881	\$0
PST	44568	DUVE, CHONG	2012-1426-PST-E	NUECES	3/3/2013	\$3,825	\$765	\$3,060	\$0
PST	43811	HAMEEDA INVESTMENTS INC	2012-0633-PST-E	DALLAS	3/3/2013	\$3,100	\$620	\$2,480	\$0
PST	44805	IOM Enterprise Inc	2012-1693-PST-E	PARKER	3/3/2013	\$3,959	\$791	\$3,168	\$0
PST	43484	Texas Parks and Wildlife Department	2012-0299-PST-E	DALLAS	3/3/2013	\$1,875	\$375	\$1,500	\$0
PST	44774	L FAKIR INC.	2012-1654-PST-E	HARRIS	3/3/2013	\$3,621	\$724	\$2,897	\$0
PST	44594	Campbell Oil Co.	2012-1468-PST-E	NEWTON	3/3/2013	\$1,875	\$375	\$1,500	\$0
PST	44304	PATEL, NICK	2012-1163-PST-E	HARRIS	3/3/2013	\$5,640	\$1,128	\$4,512	\$0
PST	44642	REZA, MASUD	2012-1508-PST-E	COOKE	3/3/2013	\$2,941	\$588	\$2,353	\$0
PST	44817	KSS Enterprises, Inc.	2012-1706-PST-E	HARRIS	3/3/2013	\$3,050	\$610	\$2,440	\$0
PST	44485	RKL HOLDINGS LLC	2012-1341-PST-E	PALO PINTO	3/3/2013	\$3,825	\$765	\$3,060	\$0
PST	44609	Randolph Field Independent School District	2012-1477-PST-E	BEXAR	3/3/2013	\$5,689	\$1,137	\$4,552	\$0
PST	44616	Bay Area Healthcare Group, Ltd.	2012-1484-PST-E	NUECES	3/3/2013	\$2,939	\$587	\$2,352	\$0
PST	44653	Walter C. Keller Distributor, Inc.	2012-1516-PST-E	HIDALGO	3/3/2013	\$6,900	\$1,380	\$5,520	\$0
PST	44809	SIRHAN INVESTMENTS, INC.	2012-1701-PST-E	CAMERON	3/3/2013	\$2,500	\$500	\$2,000	\$0
PST	44695	HUB CITY CONVENIENCE STORES INC	2012-1572-PST-E	LUBBOCK	3/3/2013	\$5,000	\$1,000	\$4,000	\$0
PST	44651	N & S INVESTMENTS INC	2012-1504-PST-E	LUBBOCK	3/3/2013	\$2,141	\$428	\$1,713	\$0
PST	44518	BELL HAI GROCERY INC.	2012-1363-PST-E	BELL	3/3/2013	\$3,750	\$750	\$3,000	\$0
PST	44600	Durham School Services, L.P.	2012-1469-PST-E	DENTON	3/3/2013	\$3,188	\$637	\$1,276	\$1,275
PST	44772	Boening Brothers Dairy, Inc.	2012-1669-PST-E	WILSON	3/3/2013	\$3,750	\$750	\$3,000	\$0
PST	44559	JAY MADI INC.	2012-1416-PST-E	NUECES	3/3/2013	\$3,750	\$750	\$3,000	\$0
PST	44413	Acatex, L.L.C.	2012-1274-PST-E	BEXAR	3/3/2013	\$4,755	\$951	\$3,804	\$0

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Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	44900	SAJID, SYED	2012-1776-PST-E	DALLAS	3/3/2013	\$2,380	\$476	\$1,904	\$0
PST	44601	RAM DOOT ENTERPRISES, INC.	2012-1471-PST-E	COLLIN	3/3/2013	\$3,375	\$675	\$2,700	\$0
PST	44506	NIAZI, AZHAR	2012-1394-PST-E	LAMPASAS	3/3/2013	\$3,883	\$776	\$3,107	\$0
PST	44021	M Siddiqi & Sons Inc	2012-0852-PST-E	DALLAS	3/3/2013	\$2,379	\$475	\$1,904	\$0
PST	44664	J & H Plant Construction, Inc.	2012-1522-PST-E	GREGG	3/3/2013	\$7,362	\$1,472	\$5,890	\$0
PST	44860	Starkey Plumbing Company	2012-1736-PST-E	HARRIS	3/3/2013	\$1,875	\$375	\$1,500	\$0
PST	44436	Aledo Midway Corp.	2012-1294-PST-E	PARKER	3/3/2013	\$2,813	\$562	\$2,251	\$0
PST	44613	Jr.'s Texas Best, LLC	2012-1496-PST-E	WHARTON	3/3/2013	\$3,880	\$776	\$3,104	\$0
PST	44750	MAISAH ENTERPRISE, LLC	2012-1625-PST-E	HARRIS	3/3/2013	\$5,100	\$1,020	\$4,080	\$0
PST	44530	KHAN, NAZMA	2012-1377-PST-E	WHARTON	3/3/2013	\$4,630	\$926	\$3,704	\$0
PST	44669	GHULAM FOOD ENTERPRISES INC	2012-1537-PST-E	SMITH	3/3/2013	\$3,750	\$750	\$3,000	\$0
PST	44827	HH & Bar Investments, Inc.	2012-1717-PST-E	HARRIS	3/3/2013	\$3,350	\$670	\$2,680	\$0
PST	44614	Petro-Chemical Transport, LLC	2012-1483-PST-E	DALLAS	3/3/2013	\$1,250	\$250	\$1,000	\$0
PST	44670	Harris County	2012-1536-PST-E	HARRIS	3/3/2013	\$1,875	\$375	\$0	\$1,500
PST	44791	Lama Enterprises, Inc.	2012-1666-PST-E	KLEBERG	3/3/2013	\$2,813	\$562	\$2,251	\$0
PST	43377	Sreesai, Inc.	2012-0188-PST-E	BURLESON	3/3/2013	\$5,129	\$1,025	\$4,104	\$0
PST	44977	SOUTH MAIN GROCERY INC	2012-1859-PST-E	GREGG	3/3/2013	\$3,879	\$775	\$3,104	\$0
PST	44514	Speedy Business, Inc.	2012-1355-PST-E	HARRIS	3/3/2013	\$6,300	\$1,260	\$5,040	\$0
PST	44466	RIGGS, MATTHEW L	2012-1318-PST-E	SAN SABA	3/3/2013	\$5,756	\$1,151	\$4,605	\$0
PST	44866	Resham Lowt	2012-1745-PST-E	HARRIS	3/3/2013	\$1,975	\$395	\$1,580	\$0
PST	44645	Viawest, Inc.	2012-1507-PST-E	COLLIN	3/3/2013	\$1,313	\$262	\$1,051	\$0
PST	44816	East Texas Medical Center	2012-1707-PST-E	SMITH	3/3/2013	\$3,750	\$750	\$3,000	\$0
PST	44662	Kulsoom Bano, Inc.	2012-1523-PST-E	DALLAS	3/3/2013	\$1,625	\$325	\$1,300	\$0
PST	43601	Zain's Investments, Incorporated	2012-0435-PST-E	BRAZOS	3/3/2013	\$2,500	\$500	\$2,000	\$0
PST	44229	Trak Group, Inc.	2012-1079-PST-E	DALLAS	3/3/2013	\$2,550	\$510	\$2,040	\$0
PST	44840	B K SINGH ENTERPRISES, INC.	2012-1740-PST-E	HARRIS	3/3/2013	\$4,000	\$800	\$3,200	\$0
PST	44612	Presbyterian Village North	2012-1497-PST-E	DALLAS	3/9/2013	\$11,500	\$2,300	\$9,200	\$0
PST	43532	PYAKUREL, SHAKI *	2012-0340-PST-E	ANGELINA	3/9/2013	\$10,132	\$2,026	\$8,106	\$0
PST	42346	NIMMA, SABITHA	2011-1492-PST-E	NACOGDOCHES	3/9/2013	\$22,100	\$0	\$22,100	\$0
PST	43636	Terrill Petroleum Co., Inc.	2012-0428-PST-E	SABINE	3/9/2013	\$9,050	\$1,810	\$7,240	\$0
PST	43575	Development II Partners, Inc.	2012-0379-PST-E	HARRIS	3/9/2013	\$10,632	\$2,126	\$8,506	\$0
PST	43784	Amtul Enterprises, Inc.	2012-0590-PST-E	CHEROKEE	3/9/2013	\$8,750	\$0	\$8,750	\$0
PST	44350	CLJS, Inc.	2012-1203-PST-E	DALLAS	3/9/2013	\$7,609	\$1,521	\$6,088	\$0
PST	44230	Texas New Horizon, Inc.	2012-1078-PST-E	HARRIS	3/9/2013	\$12,500	\$2,500	\$10,000	\$0
PST	44392	NGUYEN, XUAN VAN	2012-1254-PST-E	POTTER	3/15/2013	\$3,508	\$0	\$3,508	\$0
PST	44241	ZAI, KHURRAM SAEED	2012-1091-PST-E	HARRIS	3/15/2013	\$3,825	\$0	\$3,825	\$0
PST	43242	HERSHANA BAY INC	2012-0041-PST-E	DALLAS	3/15/2013	\$5,000	\$0	\$5,000	\$0
PST	44260	BURRIS, CARL	2012-1124-PST-E	RUSK	3/15/2013	\$2,943	\$0	\$2,943	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	43619	SLAMA, TOMMY	2012-0433-PST-E	ELLIS	3/15/2013	\$2,635	\$0	\$2,635	\$0
PST	44306	CHA, MYUNG CHA	2012-1175-PST-E	JIM WELLS	3/15/2013	\$5,129	\$0	\$5,129	\$0
PST	43964	TRIUMPH BUSINESS LLC	2012-0790-PST-E	HARRIS	3/15/2013	\$5,775	\$0	\$5,775	\$0
PST	44088	Unified Affiliates, LLC	2012-0927-PST-E	BEXAR	3/15/2013	\$3,634	\$0	\$3,634	\$0
PST	43538	JOSE NIETO	2012-0366-PST-E	HIDALGO	3/15/2013	\$2,639	\$0	\$2,639	\$0
PST	44372	Signature Car Wash I, LTD.	2012-1232-PST-E	COLLIN	3/15/2013	\$4,739	\$0	\$4,739	\$0
PST	42647	PATEL, BHANY	2011-1805-PST-E	SAN PATRICIO	3/15/2013	\$2,629	\$0	\$2,629	\$0
PST	44039	Khan, Inc.	2012-0874-PST-E	DALLAS	3/15/2013	\$3,000	\$0	\$3,000	\$0
PST	42424	AL-ROUSAN, TARIF	2011-1581-PST-E	DALLAS	3/15/2013	\$2,550	\$0	\$2,550	\$0
PST	42865	WILBURN, DELMA *	2011-2003-PST-E	SHELBY	3/15/2013	\$3,675	\$75	\$3,600	\$0
PST	43549	TMT, Inc.	2012-0344-PST-E	DALLAS	3/15/2013	\$2,500	\$0	\$2,500	\$0
PST	43880	Rosemin Enterprises, Inc.	2012-0739-PST-E	HARRIS	3/15/2013	\$2,375	\$0	\$2,375	\$0
PST	44303	Timpson Quick-Stop, Inc.	2012-1156-PST-E	SHELBY	3/15/2013	\$6,874	\$0	\$6,874	\$0
PST	44058	BJAYS INC.	2012-0901-PST-E	DALLAS	3/15/2013	\$1,925	\$0	\$1,925	\$0
PST	42598	MAXEY, ROBERT	2011-1737-PST-E	GALVESTON	3/16/2013	\$6,900	\$0	\$6,900	\$0
PST	45357	MARIAM INC	2012-2214-PST-E	LIVE OAK	3/21/2013	\$5,250	\$0	\$5,250	\$0
PST	44083	Yash Hospitality, Inc.	2012-0928-PST-E	CAMERON	3/21/2013	\$2,375	\$475	\$1,900	\$0
PST	45653	Brown's Exxon, Inc.	2012-2543-PST-E	DIMMIT	3/21/2013	\$5,250	\$0	\$5,250	\$0
PST	44501	ROWLETT, PHUONG T	2012-1406-PST-E	POTTER	3/21/2013	\$4,257	\$851	\$3,406	\$0
PST	45354	United Telephone Company of Texas, Inc.	2012-2217-PST-E	ERATH	3/21/2013	\$875	\$0	\$875	\$0
PST	44964	Pen Hang, Inc	2012-1890-PST-E	TITUS	3/21/2013	\$3,504	\$700	\$2,804	\$0
PST	45696	Driscoll Children's Hospital	2012-2524-PST-E	NUECES	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45007	Chaudhry Enterprises Incorporated	2012-1887-PST-E	PANOLA	3/21/2013	\$3,510	\$702	\$2,808	\$0
PST	44744	City of Pasadena	2012-1604-PST-E	HARRIS	3/21/2013	\$6,300	\$1,260	\$0	\$5,040
PST	44576	AA Enterprises, Inc.	2012-1435-PST-E	MONTGOMERY	3/21/2013	\$3,561	\$712	\$2,849	\$0
PST	45112	Namz Enterprises, Inc.	2012-1986-PST-E	HARRIS	3/21/2013	\$3,375	\$675	\$2,700	\$0
PST	42958	Lone Star Distributors, Inc.	2011-2105-PST-E	CAMERON	3/21/2013	\$1,125	\$225	\$900	\$0
PST	45532	SON, SOON SUN	2012-2409-PST-E	BELL	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45555	SAHIL MERCHANT, INC.	2012-2419-PST-E	HARRIS	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45784	CIC Construction, Inc.	2012-2645-PST-E	WHARTON	3/21/2013	\$875	\$0	\$875	\$0
PST	44888	ROBLES, MANUEL	2012-1769-PST-E	LUBBOCK	3/21/2013	\$3,750	\$750	\$3,000	\$0
PST	45355	Parker County	2012-2216-PST-E	PARKER	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45551	RRN ENTERPRISES, INC.	2012-2401-PST-E	HARRIS	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	44569	M.R.D. GROUP, INC.	2012-1440-PST-E	HARRIS	3/21/2013	\$4,350	\$870	\$3,480	\$0
PST	45611	281 Star Retail, Inc.	2012-2470-PST-E	ATASCOSA	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45358	Richland College	2012-2245-PST-E	DALLAS	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45166	Benish Corporation	2012-2033-PST-E	DALLAS	3/21/2013	\$3,750	\$750	\$3,000	\$0
PST	44781	Hicks Oil & Butane Co.	2012-1668-PST-E	CAMERON	3/21/2013	\$3,750	\$750	\$3,000	\$0

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PST	43362	Lawbella Gasoline, Inc.	2012-0213-PST-E	WISE	3/21/2013	\$5,138	\$1,027	\$4,111	\$0
PST	45832	Baytown Enterprises, LLC	2012-2681-PST-E	CHAMBERS	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45785	City of Bellville	2012-2644-PST-E	AUSTIN	3/21/2013	\$875	\$0	\$875	\$0
PST	44726	S & S SONS, INC.	2012-1656-PST-E	POLK	3/21/2013	\$5,625	\$1,125	\$4,500	\$0
PST	44740	SIRAJUDDIN, NOORANI	2012-1592-PST-E	BEXAR	3/21/2013	\$2,500	\$500	\$2,000	\$0
PST	45094	B.K.S. Inc.	2012-1966-PST-E	HARRIS	3/21/2013	\$2,567	\$513	\$2,054	\$0
PST	44607	OAKLEY, PATRICIA ANN	2012-1476-PST-E	POLK	3/21/2013	\$5,711	\$1,141	\$4,570	\$0
PST	44742	Lone Star Distributors, Inc.	2012-1624-PST-E	CAMERON	3/21/2013	\$3,750	\$750	\$3,000	\$0
PST	45650	NGUYEN, MAI	2012-2513-PST-E	MONTGOMERY	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	44523	ROYAL K INVESTMENTS CORP	2012-1381-PST-E	MONTGOMERY	3/21/2013	\$3,375	\$675	\$2,700	\$0
PST	45786	C C Distributors, Inc.	2012-2643-PST-E	NUECES	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45307	Methodist Hospitals of Dallas	2012-2169-PST-E	DALLAS	3/21/2013	\$875	\$0	\$875	\$0
PST	45712	LUCAS FOOD MART INC	2012-2554-PST-E	COLLIN	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45552	Bibijan Enterprises, Inc.	2012-2400-PST-E	SMITH	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	44773	SHAZAD INC	2012-1655-PST-E	BEXAR	3/21/2013	\$3,500	\$700	\$2,800	\$0
PST	44118	Taimoor Enterprises Inc	2012-0965-PST-E	ANDERSON	3/21/2013	\$3,500	\$700	\$2,800	\$0
PST	45787	Kent Lubrication Centers, LTD.	2012-2650-PST-E	HOWARD	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45713	Hazara Enterprises, Inc.	2012-2553-PST-E	LIBERTY	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	44834	Alan Skinner Inc	2012-1733-PST-E	DALLAS	3/21/2013	\$2,250	\$450	\$1,800	\$0
PST	44889	Yen K Tat	2012-1768-PST-E	BEXAR	3/21/2013	\$5,887	\$1,177	\$4,710	\$0
PST	44954	HARWIN BUSINESS, INC.	2012-1866-PST-E	HARRIS	3/21/2013	\$5,230	\$1,046	\$4,184	\$0
PST	44678	MODAK & PARTNERS INC.	2012-1548-PST-E	SMITH	3/21/2013	\$3,750	\$750	\$3,000	\$0
PST	44491	Ameen Enterprises LLC	2012-1346-PST-E	ERATH	3/21/2013	\$5,000	\$1,000	\$4,000	\$0
PST	45649	Babaji & Company Inc. dba Phillips 66	2012-2514-PST-E	HARRIS	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	44898	Intercontinental San Antonio Forum Limited Partnership	2012-1777-PST-E	BEXAR	3/21/2013	\$4,003	\$800	\$3,203	\$0
PST	45239	Wayne Koontz	2012-2091-PST-E	LUBBOCK	3/21/2013	\$2,813	\$562	\$2,251	\$0
PST	44390	Wharton County	2012-1248-PST-E	WHARTON	3/21/2013	\$5,000	\$1,000	\$0	\$4,000
PST	44163	HUB CITY CONVENIENCE STORES INC	2012-1016-PST-E	DEAF SMITH	3/21/2013	\$4,858	\$971	\$3,887	\$0
PST	44617	Brownsville Independent School District	2012-1485-PST-E	CAMERON	3/21/2013	\$6,750	\$1,350	\$5,400	\$0
PST	45356	SEQUOIA GOLF WOODLANDS LLC	2012-2215-PST-E	MONTGOMERY	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45225	Parsons International, Inc.	2012-2097-PST-E	HARRIS	3/21/2013	\$3,375	\$675	\$2,700	\$0
PST	44797	3DR LLC	2012-1680-PST-E	WICHITA	3/21/2013	\$5,536	\$1,107	\$4,429	\$0
PST	45553	PLOTNER, MONIKA M	2012-2399-PST-E	BELL	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	44661	ZAK Business Inc.	2012-1524-PST-E	MONTGOMERY	3/21/2013	\$3,750	\$750	\$3,000	\$0
PST	44917	Dallas County Community College District	2012-1805-PST-E	DALLAS	3/21/2013	\$2,500	\$500	\$2,000	\$0
PST	45137	Tawakul Investments Inc	2012-2010-PST-E	DALLAS	3/21/2013	\$5,782	\$1,156	\$4,626	\$0
PST	44550	Starking Inc.	2012-1418-PST-E	BELL	3/21/2013	\$6,693	\$1,338	\$5,355	\$0
PST	44863	Monroe @ Winkler Investments, Inc.	2012-1771-PST-E	HARRIS	3/21/2013	\$5,100	\$1,020	\$4,080	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	45831	Tri-Con, Inc.	2012-2682-PST-E	ORANGE	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45648	J&U Distributing Company, Inc.	2012-2515-PST-E	BELL	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	44935	SEEMA ENTERPRISES INC.	2012-1813-PST-E	HARRIS	3/21/2013	\$2,400	\$480	\$1,920	\$0
PST	45289	Lithia Motors Support Services, Inc.	2012-2150-PST-E	NUECES	3/21/2013	\$2,954	\$590	\$2,364	\$0
PST	45554	BABA NANAK, INC.	2012-2398-PST-E	HARRIS	3/21/2013	\$2,625	\$0	\$2,625	\$0
PST	44216	Khawar & Sons, Inc.	2012-1089-PST-E	HARRIS	3/21/2013	\$2,634	\$526	\$2,108	\$0
PST	44561	King Fuels, Inc.	2012-1419-PST-E	POLK	3/21/2013	\$5,626	\$1,125	\$4,501	\$0
PST	43999	Helfman Enterprises, Inc.	2012-0827-PST-E	HARRIS	3/21/2013	\$4,355	\$871	\$3,484	\$0
PST	45132	Hazara Enterprises, Inc.	2012-2013-PST-E	LIBERTY	3/21/2013	\$2,438	\$487	\$1,951	\$0
PST	45207	Handy Place, Inc.	2012-2080-PST-E	PARKER	3/21/2013	\$2,813	\$562	\$2,251	\$0
PST	44344	Real & Retail Unlimited Inc.	2012-1205-PST-E	DENTON	3/27/2013	\$10,806	\$0	\$10,806	\$0
PST	44261	HAFSI, MOHAMED *	2012-1336-PST-E	BEXAR	3/27/2013	\$5,908	\$0	\$5,908	\$0
PST	43740	NIETO, LUIS	2012-0585-PST-E	HIDALGO	3/27/2013	\$2,634	\$0	\$2,634	\$0
PST	43696	Sardinia Investment, Inc.	2012-0503-PST-E	HARRIS	3/27/2013	\$9,679	\$0	\$9,679	\$0
PST	44386	Lakhani Investments, Inc.	2012-1239-PST-E	MEDINA	3/27/2013	\$8,876	\$0	\$8,876	\$0
PST	44132	B F Beverage, Inc	2012-0979-PST-E	TARRANT	3/29/2013	\$21,280	\$0	\$21,280	\$0
PST	43570	ASAD Trading Inc	2012-0369-PST-E	GREGG	4/7/2013	\$7,518	\$1,503	\$6,015	\$0
PST	44383	Blue Water Fall Inc.	2012-1241-PST-E	BELL	4/7/2013	\$7,256	\$1,451	\$5,805	\$0
PST	45008	CARROLL, WILLIAM	2012-1886-PST-E	PANOLA	4/7/2013	\$4,558	\$911	\$3,647	\$0
PST	44650	BALDRIDGE, CHIL L	2012-1517-PST-E	HARRIS	4/7/2013	\$10,275	\$2,054	\$8,221	\$0
PST	45654	Entergy Texas, Inc.	2012-2542-PST-E	MONTGOMERY	4/7/2013	\$2,625	\$0	\$2,625	\$0
PST	45697	Danish Enterprises, Inc.	2012-2523-PST-E	MONTGOMERY	4/7/2013	\$5,250	\$0	\$5,250	\$0
PST	44106	Lisanti Realty Corporation	2012-0950-PST-E	DALLAS	4/7/2013	\$8,491	\$1,698	\$6,793	\$0
PST	44944	Fresno Investments, Inc.	2012-1876-PST-E	BEXAR	4/7/2013	\$3,375	\$675	\$2,700	\$0
PST	44983	Pierce Construction, Inc.	2012-1856-PST-E	PANOLA	4/7/2013	\$5,755	\$1,151	\$4,604	\$0
PST	41642	Ali, Mohammad	2011-0748-PST-E	WHARTON	4/7/2013	\$4,025	\$4,025	\$0	\$0
PST	45218	MANILA CORPORATION	2012-2101-PST-E	HARRIS	4/7/2013	\$3,750	\$750	\$3,000	\$0
PST	44529	NSBK Services, Inc.	2012-1378-PST-E	HARRIS	4/7/2013	\$10,282	\$2,056	\$8,226	\$0
PST	44633	National Geospatial-Intelligence Agency	2012-1494-PST-E	PALO PINTO	4/7/2013	\$3,750	\$750	\$3,000	\$0
PST	44364	Texas Department of Transportation	2012-1218-PST-E	WALLER	4/7/2013	\$2,813	\$562	\$0	\$2,251
PST	42017	MEZA	2011-1129-PST-E	ECTOR	4/7/2013	\$28,234	\$0	\$28,234	\$0
PST	44826	LALANI, NAVROZ	2012-1718-PST-E	MORRIS	4/7/2013	\$7,942	\$1,588	\$6,354	\$0
PST	45053	Valley Transit Co, Inc. *	2012-1949-PST-E	CAMERON	4/7/2013	\$3,750	\$750	\$3,000	\$0
PST	44754	Pruski Service Center, Inc.	2012-1621-PST-E	WILSON	4/7/2013	\$12,850	\$2,570	\$10,280	\$0
PST	44698	ACTEV Inc.	2012-1579-PST-E	DENTON	4/7/2013	\$3,825	\$765	\$3,060	\$0
PST	44357	Hari Enterprises, L.L.C.	2012-1209-PST-E	WHARTON	4/7/2013	\$9,525	\$1,905	\$7,620	\$0
PST	44329	Lovers Lane European Automotive LLC	2012-1182-PST-E	DALLAS	4/7/2013	\$11,096	\$2,219	\$8,877	\$0
PST	44449	Sorahim Investment, Inc.	2012-1343-PST-E	HARRIS	4/7/2013	\$8,505	\$1,701	\$6,804	\$0

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## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	45151	City of Houston	2012-2020-PST-E	HARRIS	4/7/2013	\$3,750	\$750	\$3,000	\$0
PST	44599	HUT ENTERPRISES, LLC	2012-1470-PST-E	HARRIS	4/7/2013	\$2,423	\$484	\$1,939	\$0
PST	45064	Kuick Way Enterprises Inc	2012-1939-PST-E	CAMP	4/7/2013	\$7,875	\$1,575	\$6,300	\$0
PST	43755	S S S ENTERPRISES, INC.	2012-0572-PST-E	HARRIS	4/7/2013	\$8,120	\$0	\$8,120	\$0
PST	44201	ENIGMA ENTERPRISES INC	2012-1054-PST-E	DALLAS	4/7/2013	\$9,048	\$1,809	\$7,239	\$0
PST	44604	Mondial, Inc.	2012-1474-PST-E	WALLER	4/7/2013	\$7,629	\$1,525	\$6,104	\$0
PST	44402	Avalon Investments, LLC	2012-1479-PST-E	SMITH	4/7/2013	\$33,850	\$6,770	\$27,080	\$0
PST	44894	RIMPAN LLC	2012-1774-PST-E	SHELBY	4/7/2013	\$4,125	\$825	\$3,300	\$0
PST	45092	JALBHE INVESTMENT INC.	2012-1967-PST-E	GREGG	4/7/2013	\$3,880	\$776	\$3,104	\$0
PST	44020	TERHUNE, JACK A	2012-0853-PST-E	OCHILTREE	4/7/2013	\$10,327	\$2,065	\$8,262	\$0
PST	44551	A & M Interests, Inc. dba North Side Texaco	2012-1446-PST-E	MONTGOMERY	4/7/2013	\$10,132	\$2,026	\$8,106	\$0
PST	45010	CRUMP, DANNY	2012-1885-PST-E	TITUS	4/7/2013	\$3,504	\$700	\$2,804	\$0
PST	44564	Siraj Traders, USA, Inc.	2012-1422-PST-E	MONTGOMERY	4/7/2013	\$7,650	\$1,530	\$6,120	\$0
PST	45341	Columbia Medical Center of Lewisville Subsidiary LP	2012-2208-PST-E	DENTON	4/7/2013	\$2,949	\$589	\$2,360	\$0
PST	44535	DASS INVESTMENTS, LLC	2012-1389-PST-E	ZAPATA	4/8/2013	\$5,625	\$0	\$5,625	\$0
PST	43494	MATEJKA, GAYLAND	2012-0314-PST-E	LIMESTONE	4/8/2013	\$2,500	\$0	\$2,500	\$0
PST	44767	Kali, Inc.	2012-1647-PST-E	PARKER	4/8/2013	\$7,500	\$0	\$7,500	\$0
PST	44578	Alia Enterprises, Inc.	2012-1436-PST-E	HARRIS	4/8/2013	\$6,158	\$0	\$6,158	\$0
PST	43459	TLG Properties, Ltd.	2012-0280-PST-E	HARRIS	4/8/2013	\$5,000	\$0	\$5,000	\$0
PST	44484	RAKAH INC	2012-1342-PST-E	COOKE	4/8/2013	\$7,487	\$0	\$7,487	\$0
PST	43227	Alma Discount Package, Inc.	2012-0023-PST-E	ELLIS	4/8/2013	\$3,629	\$0	\$3,629	\$0
PST	44342	Zarina Enterprises, Inc.	2012-1199-PST-E	WHARTON	4/8/2013	\$2,813	\$0	\$2,813	\$0
PST	44141	KVS Business Inc	2012-0986-PST-E	GREGG	4/8/2013	\$2,634	\$0	\$2,634	\$0
PST	44976	M. USA ENTERPRISES LLC	2012-1850-PST-E	DENTON	4/21/2013	\$4,687	\$937	\$3,750	\$0
PST	45480	Big Tex Transportation, Inc.	2012-2412-PST-E	TITUS	4/21/2013	\$3,504	\$700	\$2,804	\$0
PST	45991	SHAHIL CORPORATION	2013-0115-PST-E	MONTGOMERY	4/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45006	PRAPTI, LLC	2012-1888-PST-E	HARRIS	4/21/2013	\$2,888	\$577	\$2,311	\$0
PST	44788	SALEEM, PATTY *	2012-1674-PST-E	MONTAGUE	4/21/2013	\$2,905	\$581	\$2,324	\$0
PST	44795	RDW Rudy's Frisco Limited Partnership	2012-1677-PST-E	COLLIN	4/21/2013	\$6,886	\$1,377	\$5,509	\$0
PST	45074	RIVERSTOP INC	2012-1953-PST-E	GREGG	4/21/2013	\$3,510	\$702	\$2,808	\$0
PST	45318	Shujat Holding Company	2012-2195-PST-E	ORANGE	4/21/2013	\$3,750	\$750	\$3,000	\$0
PST	44990	Asmara Enterprises, Inc.	2012-1865-PST-E	MORRIS	4/21/2013	\$6,880	\$1,376	\$5,504	\$0
PST	44973	ALYSSA ENTERPRISE, INC.	2012-1853-PST-E	HARRIS	4/21/2013	\$4,500	\$900	\$3,600	\$0
PST	45249	BOYD, GROVER	2012-2118-PST-E	GREGG	4/21/2013	\$3,886	\$777	\$3,109	\$0
PST	45186	Mazen, Inc.	2012-2054-PST-E	PARKER	4/21/2013	\$5,759	\$1,151	\$4,608	\$0
PST	44991	GAUDET, MACK	2012-1896-PST-E	RUSK	4/21/2013	\$5,309	\$1,061	\$4,248	\$0
PST	45290	Noble Business Inc.	2012-2149-PST-E	TARRANT	4/21/2013	\$3,375	\$675	\$2,700	\$0
PST	44829	Shepherd Business, Inc.	2012-1726-PST-E	SAN JACINTO	4/21/2013	\$7,500	\$1,500	\$6,000	\$0

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Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	45075	City of Deer Park	2012-1952-PST-E	HARRIS	4/21/2013	\$3,750	\$750	\$3,000	\$0
PST	44203	DEAN, BETTY J	2012-1149-PST-E	SHELBY	4/21/2013	\$3,880	\$776	\$3,104	\$0
PST	45344	Tarkington - Safari Investments, Inc.	2012-2207-PST-E	LIBERTY	4/21/2013	\$3,750	\$750	\$3,000	\$0
PST	44694	WRIGHT JOSHUA INVESTMENTS, LLC	2012-1573-PST-E	WEBB	4/21/2013	\$3,563	\$712	\$2,851	\$0
PST	45379	Norris-Brantley, Inc.	2012-2237-PST-E	GREGG	4/21/2013	\$3,505	\$701	\$2,804	\$0
PST	43723	Star Touch Technologies Inc.	2012-0618-PST-E	FREESTONE	4/21/2013	\$2,550	\$510	\$2,040	\$0
PST	45367	AKHILA INC *	2012-2227-PST-E	BELL	4/21/2013	\$2,942	\$588	\$2,354	\$0
PST	42642	Millennium B & O Corporation	2011-1791-PST-E	DALLAS	4/21/2013	\$3,979	\$795	\$3,184	\$0
PST	45972	REDDY BUSINESS SOLUTIONS, INC.	2013-0070-PST-E	BASTROP	4/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45274	NRV Enterprise, Inc.	2012-2156-PST-E	HARRIS	4/21/2013	\$2,813	\$562	\$2,251	\$0
PST	45674	New Medical Horizon II LTD	2012-2600-PST-E	HARRIS	4/21/2013	\$3,500	\$0	\$3,500	\$0
PST	45210	Saze, Inc.	2012-2083-PST-E	GREGG	4/21/2013	\$3,375	\$675	\$2,700	\$0
PST	45973	TREHAN & RAINA ENTERPRISES LLC	2013-0069-PST-E	HARRIS	4/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45022	YU, HAG YONG	2012-1912-PST-E	HARRIS	4/21/2013	\$5,000	\$1,000	\$4,000	\$0
PST	45109	Stella Link Service Station, Inc.	2012-1984-PST-E	HARRIS	4/21/2013	\$3,375	\$675	\$2,700	\$0
PST	46033	CHUBBIES INVESTMENTS INC	2013-0143-PST-E	LIBERTY	4/21/2013	\$2,625	\$0	\$2,625	\$0
PST	44322	SHIWAKOTI, BISHNU	2012-1211-PST-E	DALLAS	4/21/2013	\$2,475	\$495	\$1,980	\$0
PST	45134	Billy Del Goodman	2012-2034-PST-E	GREGG	4/21/2013	\$3,375	\$675	\$2,700	\$0
PST	45073	Mex-Pak-U.S.A., Inc.	2012-1954-PST-E	WEBB	4/21/2013	\$7,125	\$1,425	\$5,700	\$0
PST	45077	S. M. K International, Inc.	2012-1951-PST-E	GREGG	4/21/2013	\$4,063	\$812	\$3,251	\$0
PST	44837	SPENCER #1 ENTERPRISES, INC.	2012-1731-PST-E	HARRIS	4/21/2013	\$2,974	\$594	\$2,380	\$0
PST	45352	Weatherford Independent School District	2012-2285-PST-E	PARKER	4/21/2013	\$6,564	\$1,312	\$5,252	\$0
PST	45277	RAJESWARY LLP	2012-2155-PST-E	SAN JACINTO	4/21/2013	\$3,375	\$675	\$2,700	\$0
PST	45481	Snappy's Exxpress Mart, Inc.	2012-2336-PST-E	ORANGE	4/21/2013	\$2,813	\$562	\$2,251	\$0
PST	45296	Mughal, Inc.	2012-2145-PST-E	HARRIS	4/21/2013	\$2,813	\$562	\$2,251	\$0
PST	45240	RASHID, IRAM	2012-2090-PST-E	ERATH	4/21/2013	\$3,375	\$675	\$2,700	\$0
PST	46034	Jai L N Inc.	2013-0142-PST-E	BELL	4/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45232	SAYEG, ABRAHAM	2012-2120-PST-E	WALLER	4/21/2013	\$2,438	\$487	\$1,951	\$0
PST	42172	Union Food Store Inc.	2011-1314-PST-E	HARRIS	4/21/2013	\$2,600	\$520	\$2,080	\$0
PST	45118	MAT-J, L.L.C.	2012-1998-PST-E	GREGG	4/21/2013	\$2,571	\$514	\$2,057	\$0
PST	44986	GORKHALI, MANISHA S	2012-1947-PST-E	SHELBY	4/21/2013	\$3,900	\$780	\$3,120	\$0
PST	45065	MLK First Stop, Inc.	2012-1938-PST-E	HARRIS	4/21/2013	\$3,375	\$675	\$2,700	\$0
PST	46035	SBN ENT INC.	2013-0141-PST-E	SMITH	4/21/2013	\$2,625	\$0	\$2,625	\$0
PST	45116	Dallas CTG Corporation	2012-2000-PST-E	GREGG	4/21/2013	\$3,375	\$675	\$2,700	\$0
PST	44960	CLYDE VIEW ENTERPRISES LLC	2012-1875-PST-E	CALLAHAN	4/21/2013	\$3,502	\$700	\$2,802	\$0
PST	45252	Shaikh Trading, LLC	2012-2117-PST-E	HARRIS	4/21/2013	\$1,317	\$263	\$1,054	\$0
PST	44904	AMMOURI, ABED	2012-1790-PST-E	DALLAS	4/21/2013	\$4,873	\$974	\$3,899	\$0
PST	44110	Sunshine Stores, Inc.	2012-0955-PST-E	NACOGDOCHES	4/23/2013	\$20,334	\$0	\$20,334	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	44186	Helsel, Vicki	2012-1028-PST-E	RUSK	4/23/2013	\$8,883	\$0	\$8,883	\$0
PST	44593	Happyle Corporation	2012-1466-PST-E	POLK	4/27/2013	\$7,632	\$0	\$7,632	\$0
PST	43717	CHAPA, ALICE MICHELLE	2012-0536-PST-E	SAN PATRICIO	4/27/2013	\$23,651	\$0	\$23,651	\$0
PST	44422	AMK Properties L L C	2012-1279-PST-E	FRIO	4/27/2013	\$17,005	\$3,401	\$13,604	\$0
PST	43700	ZAKI & SAI INC	2012-0510-PST-E	CHEROKEE	4/27/2013	\$14,439	\$0	\$14,439	\$0
PST	44571	GALVESTON SPEEDY STOP, L.L.C.	2012-1429-PST-E	GALVESTON	4/27/2013	\$6,780	\$0	\$6,780	\$0
PST	44721	S.V. Texas Cooler, LLC	2012-1593-PST-E	WILSON	4/27/2013	\$15,000	\$3,000	\$12,000	\$0
PST	44580	Mock, Thomas M *	2012-1438-PST-E	MONTGOMERY	4/27/2013	\$8,507	\$1,701	\$6,806	\$0
PST	45089	Douglass Distributing Company	2012-1965-PST-E	DENTON	4/27/2013	\$7,779	\$1,555	\$6,224	\$0
PST	43922	NGUYEN, AMY	2012-0748-PST-E	MATAGORDA	4/27/2013	\$8,881	\$1,776	\$7,105	\$0
PST	44482	Gonzales Retailers, LLC	2012-1339-PST-E	GONZALES	4/27/2013	\$9,549	\$1,909	\$7,640	\$0
PST	45171	California Warehouse, Inc	2012-2042-PST-E	HARRIS	4/27/2013	\$7,650	\$0	\$7,650	\$0
PST	42948	DHILLON, INDERJEET	2011-2095-PST-E	YOUNG	4/27/2013	\$12,708	\$0	\$12,708	\$0
PST	44918	United Fuel & Energy Corporation	2012-1815-PST-E	GREGG	4/27/2013	\$10,010	\$2,002	\$8,008	\$0
PST	44636	JANG & CO INC	2012-1509-PST-E	NUECES	4/27/2013	\$31,975	\$6,395	\$25,580	\$0
PST	44545	Shahab, Inc.	2012-1402-PST-E	HOOD	4/27/2013	\$9,247	\$1,849	\$7,398	\$0
PST	44531	Eshaan Retail Group Inc	2012-1376-PST-E	BELL	4/29/2013	\$3,763	\$0	\$3,763	\$0
PST	44913	Supreme Business Inc	2012-1787-PST-E	HARRIS	4/29/2013	\$6,750	\$0	\$6,750	\$0
PST	44065	C.O. Jones Enterprises L.L.C.	2012-0909-PST-E	BREWSTER	4/29/2013	\$6,166	\$0	\$6,166	\$0
PST	43933	FAMIS CORPORATION	2012-0763-PST-E	CHEROKEE	4/29/2013	\$2,633	\$0	\$2,633	\$0
PST	43680	Coastal King Operations, Inc.	2012-0489-PST-E	NUECES	4/29/2013	\$3,880	\$0	\$3,880	\$0
PST	44786	JSTH INVESTMENT, INC.	2012-1667-PST-E	SHELBY	4/29/2013	\$4,505	\$0	\$4,505	\$0
PST	45418	Elim Gas Station Corporation	2012-2278-PST-E	COLLIN	4/29/2013	\$4,500	\$0	\$4,500	\$0
PST	44101	Almeda Plaza, Inc.	2012-0944-PST-E	HARRIS	4/29/2013	\$2,600	\$0	\$2,600	\$0
PST	43163	KC Corporation	2011-2331-PST-E	ELLIS	5/2/2013	\$2,629	\$525	\$2,104	\$0
PST	45428	JML Enterprises Inc	2012-2299-PST-E	TITUS	5/2/2013	\$1,875	\$375	\$1,500	\$0
PST	45230	Lucky Enterprises, Inc.	2012-2094-PST-E	BELL	5/2/2013	\$3,879	\$775	\$3,104	\$0
PST	46122	Hoodbro Enterprises, Inc.	2013-0239-PST-E	DENTON	5/2/2013	\$2,625	\$0	\$2,625	\$0
PST	45591	Young, Michael C. *	2012-2442-PST-E	WOOD	5/2/2013	\$6,750	\$1,350	\$5,400	\$0
PST	45655	Heimco Inc	2012-2511-PST-E	WOOD	5/2/2013	\$3,508	\$701	\$2,807	\$0
PST	45335	Chin, Soon	2012-2221-PST-E	BELL	5/2/2013	\$3,505	\$701	\$2,804	\$0
PST	45106	ZARRIN, SYEDA FARHANA	2012-1978-PST-E	GREGG	5/2/2013	\$2,943	\$588	\$2,355	\$0
PST	42262	Food Fast Corporation	2011-1410-PST-E	LAMAR	5/2/2013	\$4,348	\$869	\$3,479	\$0
PST	45494	Hugh L. Baker *	2012-2350-PST-E	HARRIS	5/2/2013	\$3,883	\$776	\$3,107	\$0
PST	45440	Myeong Ja Jeong	2012-2313-PST-E	HARRIS	5/2/2013	\$5,004	\$1,000	\$4,004	\$0
PST	45457	Mo's Exxon Inc.	2012-2323-PST-E	COLLIN	5/2/2013	\$2,567	\$513	\$2,054	\$0
PST	45079	DOUBLEKEY CROWN CORPORATION	2012-1957-PST-E	ROBERTSON	5/2/2013	\$2,813	\$562	\$2,251	\$0
PST	44891	2000 IIG Inc. dba Diamond Smart 2	2012-1766-PST-E	HARRIS	5/2/2013	\$6,600	\$1,320	\$5,280	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	46123	Sirpunch, Inc.	2013-0238-PST-E	HARRIS	5/2/2013	\$2,625	\$0	\$2,625	\$0
PST	44953	Magnificent Investment, Inc.	2012-1832-PST-E	GUADALUPE	5/2/2013	\$7,632	\$1,526	\$6,106	\$0
PST	45628	SPARKY FUELS, INC.	2012-2501-PST-E	WOOD	5/2/2013	\$2,813	\$562	\$2,251	\$0
PST	45399	Lau Enterprises, LLP	2012-2259-PST-E	SAN JACINTO	5/2/2013	\$3,750	\$750	\$3,000	\$0
PST	45035	Manasiya Properties, Inc.	2012-1906-PST-E	HARRIS	5/2/2013	\$3,375	\$675	\$2,700	\$0
PST	45284	MIRZIAN, INC.	2012-2152-PST-E	DENTON	5/2/2013	\$3,375	\$675	\$2,700	\$0
PST	46124	Collins Wise Oil Company, L.L.C.	2013-0237-PST-E	HILL	5/2/2013	\$2,625	\$0	\$2,625	\$0
PST	44806	Afshan & Iftikhar Enterprises, Inc.	2012-1695-PST-E	MORRIS	5/2/2013	\$8,750	\$1,750	\$7,000	\$0
PST	45211	BALRAJ SINGH	2012-2102-PST-E	LIBERTY	5/2/2013	\$3,638	\$727	\$2,911	\$0
PST	45293	ASMR Family, LLC	2012-2148-PST-E	BELL	5/2/2013	\$6,694	\$1,338	\$5,356	\$0
PST	45187	Z.K.L. Enterprise, Inc.	2012-2053-PST-E	BEXAR	5/2/2013	\$3,375	\$675	\$2,700	\$0
PST	45229	Reagan, Louie	2012-2095-PST-E	ROBERTSON	5/2/2013	\$4,500	\$900	\$3,600	\$0
PST	44067	T N A GROUP INC	2012-0908-PST-E	DALLAS	5/2/2013	\$2,550	\$510	\$2,040	\$0
PST	45190	City of Stephenville	2012-2050-PST-E	ERATH	5/2/2013	\$2,813	\$562	\$0	\$2,251
PST	45396	La Familia Retail Inc	2012-2256-PST-E	ATASCOSA	5/2/2013	\$3,750	\$750	\$3,000	\$0
PST	45311	MABIS, INC.	2012-2177-PST-E	HARRIS	5/2/2013	\$3,543	\$708	\$2,835	\$0
PST	43368	AAA & Family, L.L.C.	2012-0178-PST-E	BRAZOS	5/2/2013	\$1,875	\$375	\$1,500	\$0
PST	45465	Phero & Anna, Inc.	2012-2465-PST-E	NUECES	5/2/2013	\$2,813	\$562	\$2,251	\$0
PST	44978	I F Y ENTERPRISES INC	2012-1858-PST-E	PANOLA	5/2/2013	\$3,510	\$702	\$2,808	\$0
PST	44259	GHENE'S, INC.	2012-1114-PST-E	RUSK	5/2/2013	\$2,943	\$588	\$2,355	\$0
PST	45376	ADAMS FOOD MART INC	2012-2244-PST-E	BELL	5/2/2013	\$3,380	\$676	\$2,704	\$0
PST	45454	Sharee G. A., Inc.	2012-2310-PST-E	TITUS	5/2/2013	\$3,879	\$775	\$3,104	\$0
PST	44890	Kamil Enterprises Inc.	2012-1767-PST-E	DALLAS	5/2/2013	\$7,500	\$1,500	\$6,000	\$0
PST	44812	NAKISA ENTERPRISES LLC	2012-1708-PST-E	DENTON	5/2/2013	\$35,800	\$7,160	\$28,640	\$0
PST	45050	EAGER, RICK	2012-1942-PST-E	LUBBOCK	5/2/2013	\$4,068	\$813	\$3,255	\$0
PST	44979	Kul Devi Enterprises Inc.	2012-1857-PST-E	GREGG	5/2/2013	\$8,005	\$1,601	\$6,404	\$0
PST	45348	E - Z INTERNATIONAL INC.	2012-2206-PST-E	MONTGOMERY	5/2/2013	\$2,438	\$487	\$1,951	\$0
PST	45242	Upham Oil & Gas Company, L.P.	2012-2114-PST-E	PARKER	5/2/2013	\$2,580	\$516	\$2,064	\$0
PST	45205	Gams Inc.	2012-2075-PST-E	KLEBERG	5/2/2013	\$3,885	\$777	\$3,108	\$0
PST	45501	ALRAMAHI, HATEM	2012-2433-PST-E	DENTON	5/2/2013	\$2,438	\$487	\$1,951	\$0
PST	45676	Luetge, Rocky	2012-2534-PST-E	AUSTIN	5/11/2013	\$2,942	\$588	\$2,354	\$0
PST	45343	Poppy's Country Market, LLC	2012-2186-PST-E	MONTGOMERY	5/11/2013	\$3,375	\$675	\$2,700	\$0
PST	45386	Cameron ISD	2012-2232-PST-E	MILAM	5/11/2013	\$3,375	\$675	\$2,700	\$0
PST	45562	M-N-J Grocery Corp.	2012-2430-PST-E	BASTROP	5/11/2013	\$3,880	\$776	\$3,104	\$0
PST	45609	THE TEX-MEX RODRIGUEZ LLC	2012-2468-PST-E	HARRIS	5/11/2013	\$5,200	\$1,040	\$4,160	\$0
PST	45393	AR-RAZZAQ INVESTMENTS INC	2012-2257-PST-E	TITUS	5/11/2013	\$3,877	\$775	\$3,102	\$0
PST	45060	KUTOB LLC	2012-1940-PST-E	GREGG	5/11/2013	\$6,610	\$1,322	\$5,288	\$0
PST	45400	Vu Tran	2012-2267-PST-E	MONTGOMERY	5/11/2013	\$5,947	\$1,189	\$4,758	\$0

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## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	45556	J & J Management, Inc.	2012-2397-PST-E	NUECES	5/11/2013	\$2,568	\$513	\$2,055	\$0
PST	45371	Plano Independent School District	2012-2226-PST-E	COLLIN	5/11/2013	\$3,000	\$600	\$2,400	\$0
PST	45170	PERCY PIERCE TRADING CORPORATION	2012-2032-PST-E	UPSHUR	5/11/2013	\$5,750	\$1,150	\$4,600	\$0
PST	45339	BlueLinx Corporation	2012-2219-PST-E	LUBBOCK	5/11/2013	\$3,516	\$703	\$2,813	\$0
PST	45849	BUC-EE'S, LTD.	2012-2723-PST-E	BRAZORIA	5/11/2013	\$5,625	\$1,125	\$4,500	\$0
PST	45208	Conroe Independent School District	2012-2081-PST-E	MONTGOMERY	5/11/2013	\$2,813	\$562	\$2,251	\$0
PST	45405	CALHOUN'S COUNTRY STORE, INCORPORATED	2012-2284-PST-E	LIBERTY	5/11/2013	\$2,567	\$513	\$2,054	\$0
PST	44867	MKSN Investments, LLC	2012-1744-PST-E	HARRIS	5/11/2013	\$3,125	\$625	\$2,500	\$0
PST	45212	City of Wharton	2012-2084-PST-E	WHARTON	5/11/2013	\$3,375	\$675	\$0	\$2,700
PST	45475	VRST Corporation	2012-2330-PST-E	LUBBOCK	5/11/2013	\$3,375	\$675	\$2,700	\$0
PST	45013	Macive, LLC	2012-1895-PST-E	TITUS	5/11/2013	\$2,568	\$513	\$2,055	\$0
PST	45374	EARNEST, DARRELL *	2012-2250-PST-E	TAYLOR	5/11/2013	\$3,750	\$750	\$3,000	\$0
PST	45407	Habibulla, Quadratulla	2012-2300-PST-E	ATASCOSA	5/11/2013	\$2,943	\$588	\$2,355	\$0
PST	45177	MUNISH ENTERPRISES, INC.	2012-2059-PST-E	JASPER	5/11/2013	\$3,150	\$630	\$2,520	\$0
PST	45142	Verizon Business Network Services Inc.	2012-2583-PST-E	LUBBOCK	5/11/2013	\$3,000	\$600	\$2,400	\$0
PST	45333	Ebrahim, Rafiq	2012-2229-PST-E	MILAM	5/11/2013	\$2,813	\$562	\$2,251	\$0
PST	46149	Burki Trading, Inc.	2013-0262-PST-E	ORANGE	5/11/2013	\$2,625	\$0	\$2,625	\$0
PST	45406	Shuaib Ullah	2012-2326-PST-E	MONTGOMERY	5/11/2013	\$4,125	\$825	\$3,300	\$0
PST	45244	Punjton, Inc.	2012-2112-PST-E	AUSTIN	5/11/2013	\$2,813	\$562	\$2,251	\$0
PST	45183	TEMPLE GROCERY INC	2012-2056-PST-E	BELL	5/11/2013	\$3,879	\$775	\$3,104	\$0
PST	45646	TCS 1 MANAGEMENT COMPANY, L.L.C.	2012-2488-PST-E	ORANGE	5/11/2013	\$4,600	\$920	\$3,680	\$0
PST	45662	HERITAGE FOOD STORE CORPORATION	2012-2569-PST-E	HARRIS	5/11/2013	\$3,375	\$675	\$2,700	\$0
PST	46150	CHS Community Health Systems, Inc.	2013-0261-PST-E	LIBERTY	5/11/2013	\$875	\$0	\$875	\$0
PST	45820	Angel Brothers Enterprises, Ltd.	2012-2674-PST-E	HARRIS	5/11/2013	\$3,880	\$776	\$3,104	\$0
PST	45122	Daffodil Food, Inc.	2012-2002-PST-E	BEXAR	5/11/2013	\$2,383	\$476	\$1,907	\$0
PST	44527	Lone Star Distributors, Inc.	2012-1386-PST-E	HIDALGO	5/17/2013	\$12,781	\$0	\$12,781	\$0
PST	42966	SHEZ INC	2011-2116-PST-E	UVALDE	5/22/2013	\$7,609	\$0	\$7,609	\$0
PST	44682	Amtul Enterprises, Inc.	2012-1557-PST-E	SMITH	5/22/2013	\$7,630	\$0	\$7,630	\$0
PST	45269	Karcher Oil Co. Limited Liability Company	2012-2184-PST-E	WILBARGER	5/23/2013	\$4,256	\$0	\$4,256	\$0
PST	44968	Tyson & Co. Inc.	2012-1844-PST-E	DALLAS	5/23/2013	\$3,522	\$0	\$3,522	\$0
PST	44635	AMPM Enterprises, Inc.	2012-1493-PST-E	SMITH	5/23/2013	\$6,380	\$0	\$6,380	\$0
PST	44969	Aspri Investments, LLC	2012-1843-PST-E	BEXAR	5/23/2013	\$2,637	\$0	\$2,637	\$0
PST	44532	AFRIN C-STORE, INC.	2012-1375-PST-E	WALKER	5/23/2013	\$7,500	\$0	\$7,500	\$0
PST	43927	NSRS ENTERPRISE INC	2012-0755-PST-E	CHEROKEE	5/23/2013	\$4,000	\$0	\$4,000	\$0
PST	45378	Mike's Convenience Store, Inc.	2012-2238-PST-E	MILAM	5/23/2013	\$3,884	\$0	\$3,884	\$0
PST	43616	KHODLE, INC	2012-0563-PST-E	BEXAR	5/23/2013	\$2,500	\$0	\$2,500	\$0
PST	44515	Hamidi Food Mart Inc	2012-1354-PST-E	BEXAR	5/23/2013	\$3,881	\$0	\$3,881	\$0
PST	43024	Park Abrams Enterprises LLC	2011-2171-PST-E	DALLAS	5/23/2013	\$7,169	\$0	\$7,169	\$0

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## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	44536	ASMA C-STORES, INC.	2012-1374-PST-E	WALKER	5/23/2013	\$1,500	\$0	\$1,500	\$0
PST	42777	Sky Business, Inc.	2011-1925-PST-E	BRAZOS	5/23/2013	\$3,510	\$0	\$3,510	\$0
PST	45005	Proton Realty Co.	2012-1889-PST-E	DALLAS	5/23/2013	\$6,840	\$0	\$6,840	\$0
PST	45514	Total Stop, L. P,	2012-2379-PST-E	TITUS	6/1/2013	\$7,500	\$1,500	\$6,000	\$0
PST	45436	M & L Lucky Stop, LLC	2012-2295-PST-E	TITUS	6/1/2013	\$4,500	\$900	\$3,600	\$0
PST	45896	Delko Services, L.L.C.	2013-0014-PST-E	HARRIS	6/1/2013	\$3,375	\$675	\$2,700	\$0
PST	45580	Hass Enterprises, Inc.	2012-2448-PST-E	WOOD	6/1/2013	\$2,943	\$588	\$2,355	\$0
PST	45294	E&W INVESTMENTS LLC	2012-2147-PST-E	HARRIS	6/1/2013	\$5,542	\$1,108	\$4,434	\$0
PST	45482	KEPR Corporation	2012-2335-PST-E	UPSHUR	6/1/2013	\$6,000	\$1,200	\$4,800	\$0
PST	45381	Peshawar Inc.	2012-2235-PST-E	ORANGE	6/1/2013	\$4,687	\$937	\$3,750	\$0
PST	45316	Simrah Corporation, Inc.	2012-2196-PST-E	BELL	6/1/2013	\$5,000	\$1,000	\$4,000	\$0
PST	45278	Khalil G. El-Kasih	2012-2154-PST-E	WALLER	6/1/2013	\$3,891	\$778	\$3,113	\$0
PST	45438	Texas Department of Criminal Justice	2012-2314-PST-E	FALLS	6/1/2013	\$3,375	\$675	\$0	\$2,700
PST	45411	Femio, Inc.	2012-2282-PST-E	COLLIN	6/1/2013	\$5,000	\$1,000	\$4,000	\$0
PST	44041	Texas Department of Transportation	2012-0883-PST-E	CHEROKEE	6/1/2013	\$1,875	\$375	\$0	\$1,500
PST	45872	FJ TRADE INC	2012-2727-PST-E	NUECES	6/1/2013	\$3,134	\$626	\$2,508	\$0
PST	45730	Tarkington ISD	2012-2588-PST-E	LIBERTY	6/1/2013	\$3,750	\$750	\$3,000	\$0
PST	45848	NEW STAR BUSINESS INC.	2012-2702-PST-E	HARRIS	6/1/2013	\$2,888	\$577	\$2,311	\$0
PST	45581	Omar Vela	2012-2447-PST-E	STARR	6/1/2013	\$7,000	\$1,400	\$5,600	\$0
PST	45470	SPT Interests, LLC	2012-2321-PST-E	MONTGOMERY	6/1/2013	\$7,088	\$1,417	\$5,671	\$0
PST	45669	Sealy Independent School District	2012-2537-PST-E	AUSTIN	6/1/2013	\$2,813	\$562	\$2,251	\$0
PST	45432	U & K LLC	2012-2298-PST-E	TARRANT	6/1/2013	\$3,663	\$732	\$2,931	\$0
PST	43710	I Star Enterprises, Inc.	2012-0527-PST-E	BEXAR	6/1/2013	\$2,250	\$450	\$1,800	\$0
PST	45761	Lamar Consolidated Independent School District	2012-2613-PST-E	FORT BEND	6/1/2013	\$2,813	\$562	\$2,251	\$0
PST	45315	Walker County Hospital Corporation	2012-2197-PST-E	WALKER	6/1/2013	\$7,126	\$1,425	\$5,701	\$0
PST	45888	H&C Fuels, LLC	2012-2736-PST-E	HILL	6/1/2013	\$3,750	\$750	\$3,000	\$0
PST	45881	Chun, L.L.C.	2012-2729-PST-E	CAMP	6/1/2013	\$4,193	\$838	\$3,355	\$0
PST	45796	Chaudhry & Sons, Inc.	2012-2639-PST-E	HARRIS	6/1/2013	\$2,438	\$487	\$1,951	\$0
PST	45792	Crockett ISD	2012-2642-PST-E	HOUSTON	6/1/2013	\$2,813	\$562	\$2,251	\$0
PST	45305	HALLIBURTON, JACK	2012-2171-PST-E	WHARTON	6/1/2013	\$3,299	\$659	\$2,640	\$0
PST	45721	Schultz, Jon	2012-2582-PST-E	TITUS	6/1/2013	\$2,942	\$588	\$2,354	\$0
PST	45691	A.J.C. Rental of Texas, Ltd.	2012-2527-PST-E	BEXAR	6/1/2013	\$3,893	\$778	\$3,115	\$0
PST	43559	Texas Department of Transportation	2012-0371-PST-E	WILLIAMSON	6/1/2013	\$5,000	\$1,000	\$0	\$4,000
PST	45362	STATE LINE BUSINESS INC	2012-2212-PST-E	ORANGE	6/1/2013	\$7,500	\$1,500	\$6,000	\$0
PST	45424	International A.L.E.R.T. Academy	2012-2293-PST-E	UPSHUR	6/1/2013	\$3,975	\$795	\$3,180	\$0
PST	45476	Mousavijam, Mirasaad	2012-2338-PST-E	LUBBOCK	6/1/2013	\$4,259	\$851	\$3,408	\$0
PST	45589	City of Denton	2012-2444-PST-E	DENTON	6/1/2013	\$2,813	\$562	\$2,251	\$0
PST	45524	RRL Ventures, Inc.	2012-2411-PST-E	BEXAR	6/1/2013	\$2,813	\$562	\$2,251	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	45201	Aspri Investments, LLC	2012-2049-PST-E	BEXAR	6/1/2013	\$3,882	\$776	\$3,106	\$0
PST	45625	A & K Karam, Inc.	2012-2504-PST-E	BEXAR	6/1/2013	\$3,375	\$675	\$2,700	\$0
PST	45220	Gandaf USA, Inc.	2012-2100-PST-E	SMITH	6/1/2013	\$5,625	\$1,125	\$4,500	\$0
PST	45636	Central Dispatch, Inc.	2012-2497-PST-E	HARRIS	6/1/2013	\$2,813	\$562	\$2,251	\$0
PST	45542	MANSOOR TRADING CORPORATION	2012-2407-PST-E	SMITH	6/1/2013	\$3,750	\$750	\$3,000	\$0
PST	40583	Texas Pleasant Valley Enterprises, Inc.	2010-1722-PST-E	TRAVIS	6/1/2013	\$3,675	\$735	\$2,940	\$0
PST	45262	Western "66" Company	2012-2132-PST-E	BAILEY	6/1/2013	\$3,375	\$675	\$2,700	\$0
PST	45942	Pilot Travel Centers LLC	2013-0051-PST-E	MCLENNAN	6/1/2013	\$6,750	\$1,350	\$5,400	\$0
PST	45338	BM Grocery Store, Inc.	2012-2220-PST-E	BEXAR	6/1/2013	\$3,880	\$776	\$3,104	\$0
PST	45530	Shivamsagar Inc.	2012-2384-PST-E	COLLIN	6/1/2013	\$4,500	\$900	\$3,600	\$0
PST	45443	Southwest Marketers, Inc.	2012-2325-PST-E	PECOS	6/3/2013	\$13,500	\$2,700	\$10,800	\$0
PST	42697	Xuan Inc	2011-1840-PST-E	HARRIS	6/3/2013	\$10,317	\$6,717	\$3,600	\$0
PST	44699	Grande-West End, LLC	2012-1578-PST-E	SMITH	6/3/2013	\$8,438	\$1,687	\$6,751	\$0
PST	45383	Polk Oil Company, Inc.	2012-2233-PST-E	*MULTIPLE	6/3/2013	\$19,782	\$3,956	\$15,826	\$0
PST	45574	VP Fuel Mart Inc	2012-2436-PST-E	DALLAS	6/3/2013	\$8,406	\$1,681	\$6,725	\$0
PST	45575	Kenneth Dupuis	2012-2435-PST-E	ORANGE	6/3/2013	\$9,625	\$1,925	\$7,700	\$0
PST	44893	Pine Tree Independent School District	2012-1764-PST-E	GREGG	6/3/2013	\$18,500	\$3,700	\$0	\$14,800
PST	42855	Addie Marlin	2011-2069-PST-E	BRAZORIA	6/3/2013	\$3,815	\$3,815	\$0	\$0
PST	45510	Paul T. Bryant	2012-2372-PST-E	HAYS	6/3/2013	\$9,504	\$1,900	\$7,604	\$0
PST	44361	Harry Wurzbach Retail, Inc.	2012-1221-PST-E	BEXAR	6/3/2013	\$22,835	\$0	\$22,835	\$0
PST	44753	Merito Retail, Inc.	2012-1622-PST-E	BEXAR	6/3/2013	\$17,725	\$3,545	\$14,180	\$0
PST	45246	21 & 130 Inc	2012-2158-PST-E	CALDWELL	6/3/2013	\$7,635	\$1,527	\$6,108	\$0
PST	42158	Garcha Corporation	2011-1298-PST-E	GRAYSON	6/3/2013	\$15,634	\$12,034	\$3,600	\$0
PST	45090	S.N.N.V. Enterprises Inc.	2012-1968-PST-E	ROBERTSON	6/3/2013	\$7,959	\$0	\$7,959	\$0
PST	44668	S S A Properties Inc	2012-1538-PST-E	SMITH	6/3/2013	\$7,628	\$1,525	\$6,103	\$0
PST	45421	Bongshi Corporation	2012-2276-PST-E	FORT BEND	6/8/2013	\$2,876	\$575	\$2,301	\$0
PST	45829	Chevron U.S.A. Inc.	2012-2684-PST-E	HARRIS	6/8/2013	\$5,767	\$1,153	\$4,614	\$0
PST	46205	HUYNH, ROBERT	2013-0320-PST-E	HARRIS	6/8/2013	\$2,625	\$0	\$2,625	\$0
PST	46281	TA Operating LLC	2013-0381-PST-E	EL PASO	6/8/2013	\$5,250	\$0	\$5,250	\$0
PST	45617	Ilyas Shaikh Shakoor	2012-2475-PST-E	UPSHUR	6/8/2013	\$3,375	\$675	\$2,700	\$0
PST	45685	Alka Corporation	2012-2529-PST-E	HOUSTON	6/8/2013	\$3,893	\$778	\$1,558	\$1,557
PST	45943	City of Missouri City	2013-0050-PST-E	*MULTIPLE	6/8/2013	\$3,750	\$750	\$3,000	\$0
PST	45468	TARK-CEP LLC	2012-2322-PST-E	TITUS	6/8/2013	\$4,631	\$926	\$3,705	\$0
PST	46206	McCreery Aviation Co., Inc.	2013-0319-PST-E	HIDALGO	6/8/2013	\$5,250	\$0	\$5,250	\$0
PST	45600	Mario Aldalu	2012-2461-PST-E	HARRIS	6/8/2013	\$4,600	\$920	\$3,680	\$0
PST	45765	Channelview ISD	2012-2610-PST-E	HARRIS	6/8/2013	\$3,375	\$675	\$0	\$2,700
PST	45897	Hays City Corporation	2013-0013-PST-E	BEXAR	6/8/2013	\$5,625	\$1,125	\$4,500	\$0
PST	45795	TEXPAC GROUP INC	2012-2640-PST-E	TITUS	6/8/2013	\$3,750	\$750	\$3,000	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	45880	Indo-American Enterprise, INC.	2012-2709-PST-E	CHAMBERS	6/8/2013	\$6,750	\$1,350	\$5,400	\$0
PST	46207	EKTA Food, Inc.	2013-0318-PST-E	HARRIS	6/8/2013	\$2,625	\$0	\$2,625	\$0
PST	45549	Zavid Khan	2012-2402-PST-E	COLLIN	6/8/2013	\$3,825	\$765	\$3,060	\$0
PST	45725	CHAIRMAN, LLC	2012-2579-PST-E	CHAMBERS	6/8/2013	\$4,000	\$800	\$3,200	\$0
PST	45882	Intertek USA Inc.	2012-2731-PST-E	BEXAR	6/8/2013	\$3,000	\$600	\$2,400	\$0
PST	45612	Connel Oil Corp.	2012-2477-PST-E	WARD	6/8/2013	\$3,375	\$675	\$1,350	\$1,350
PST	46151	GJ & H INVESTMENT COMPANY INC	2013-0341-PST-E	TARRANT	6/8/2013	\$2,625	\$0	\$2,625	\$0
PST	45395	Zeway Corp.	2012-2327-PST-E	COLLIN	6/8/2013	\$4,125	\$825	\$3,300	\$0
PST	46152	UNLIMITED VALUE, INC.	2013-0352-PST-E	HARRIS	6/8/2013	\$2,625	\$0	\$2,625	\$0
PST	45361	Jim Eanes *	2012-2228-PST-E	MILAM	6/8/2013	\$3,879	\$775	\$3,104	\$0
PST	46153	City of West University Place	2013-0351-PST-E	HARRIS	6/8/2013	\$2,625	\$0	\$2,625	\$0
PST	45833	ANMOL Enterprises, Inc.	2012-2680-PST-E	LIBERTY	6/8/2013	\$3,563	\$712	\$2,851	\$0
PST	46154	Ricky Shipp etal	2013-0340-PST-E	WOOD	6/8/2013	\$5,250	\$0	\$5,250	\$0
PST	45741	Kim, Grace G	2012-2597-PST-E	TRINITY	6/8/2013	\$5,000	\$1,000	\$4,000	\$0
PST	45583	KCMJ Enterprises, Inc.	2012-2446-PST-E	MONTGOMERY	6/8/2013	\$4,500	\$900	\$3,600	\$0
PST	45665	Dennis Porter, Inc.	2012-2602-PST-E	ANDREWS	6/8/2013	\$3,500	\$700	\$2,800	\$0
PST	45506	Alishah Shaikh Inc	2012-2390-PST-E	SMITH	6/8/2013	\$7,500	\$1,500	\$6,000	\$0
PST	45962	Texas State Aquarium Association	2013-0076-PST-E	NUECES	6/8/2013	\$3,750	\$750	\$3,000	\$0
PST	45879	Do, Tuan Ngoc	2012-2710-PST-E	TARRANT	6/8/2013	\$2,888	\$577	\$2,311	\$0
PST	45782	PITTSBURG CORNER EXPRESS LLC	2012-2647-PST-E	CAMP	6/8/2013	\$6,750	\$1,350	\$5,400	\$0
PST	45945	Tx Xpress, LLC	2013-0062-PST-E	TAYLOR	6/8/2013	\$3,375	\$675	\$2,700	\$0
PST	45801	F.M. EXPRESS FOOD MART, INC.	2012-2664-PST-E	HARRIS	6/8/2013	\$4,500	\$900	\$3,600	\$0
PST	45661	Winkle Oil Company, Inc.	2013-0168-PST-E	WOOD	6/8/2013	\$3,750	\$750	\$3,000	\$0
PST	45610	LACOUL, SAMBIDHAN	2012-2469-PST-E	DENTON	6/8/2013	\$2,943	\$588	\$2,355	\$0
PST	46267	Cook Children's Medical Center	2013-0377-PST-E	TARRANT	6/8/2013	\$875	\$0	\$875	\$0
PST	45802	City of Arlington	2012-2663-PST-E	TARRANT	6/8/2013	\$3,375	\$675	\$2,700	\$0
PST	45499	ZIO INVESTMENTS, LLC *	2012-2348-PST-E	ORANGE	6/8/2013	\$3,000	\$600	\$2,400	\$0
PST	44338	MADISON JAMES D AND PERDUE DEANA M	2012-1189-PST-E	POTTER	6/13/2013	\$15,712	\$3,142	\$12,570	\$0
PST	45789	H & Rozy Inc	2012-2636-PST-E	TARRANT	6/13/2013	\$16,350	\$3,270	\$13,080	\$0
PST	45776	FAIRBANK FOOD STORE, INC	2012-2627-PST-E	HARRIS	6/13/2013	\$9,000	\$1,800	\$7,200	\$0
PST	45561	Joe D. Clifton	2012-2422-PST-E	MORRIS	6/13/2013	\$11,383	\$2,276	\$9,107	\$0
PST	43925	Bains Brothers, LLC	2012-0757-PST-E	DALLAS	6/13/2013	\$17,600	\$0	\$17,600	\$0
PST	44207	DNR BUSINESS INC	2012-1095-PST-E	AUSTIN	6/13/2013	\$7,631	\$0	\$7,631	\$0
PST	43211	ALI, IFTKHAR	2012-0007-PST-E	JEFFERSON	6/13/2013	\$12,207	\$8,607	\$3,600	\$0
PST	45718	Aramco Associated Company	2012-2551-PST-E	HARRIS	6/13/2013	\$8,574	\$1,714	\$6,860	\$0
PST	45295	Producers Cooperative Elevator	2012-2146-PST-E	FLOYD	6/13/2013	\$20,101	\$4,020	\$16,081	\$0
PST	45360	Mclane Company Inc	2012-2213-PST-E	BELL	6/13/2013	\$13,259	\$2,651	\$10,608	\$0
PST	45771	Yoon Soo Song	2012-2689-PST-E	HARRIS	6/13/2013	\$9,880	\$0	\$9,880	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	45605	N. E. Jones Oil Company, Inc.	2012-2458-PST-E	MARION	6/13/2013	\$8,036	\$1,607	\$6,429	\$0
PST	45683	S. M. Quick Shop, Inc.	2012-2531-PST-E	WOOD	6/13/2013	\$8,504	\$1,700	\$6,804	\$0
PST	45266	Mustafa Chagani	2012-2129-PST-E	TARRANT	6/18/2013	\$16,824	\$0	\$16,824	\$0
PST	44835	JNK 1st Food, Inc.	2012-1732-PST-E	RUSK	6/18/2013	\$19,043	\$0	\$19,043	\$0
PST	45027	M & M WEST DAVIS INC	2012-1910-PST-E	MONTGOMERY	6/23/2013	\$10,632	\$0	\$10,632	\$0
PST	44648	Petra Petroleum Management LLC	2012-1506-PST-E	COLLIN	6/23/2013	\$12,826	\$0	\$12,826	\$0
PST	41702	Global New Millennium Partners, Ltd.	2011-0816-PST-E	JEFFERSON	6/23/2013	\$7,500	\$0	\$7,500	\$0
PST	43960	Kawa Tahasalih	2012-0794-PST-E	DALLAS	6/23/2013	\$6,246	\$0	\$6,246	\$0
PST	45766	Maknoja Roshan Investment, LLC	2012-2618-PST-E	ROBERTSON	6/27/2013	\$2,942	\$588	\$2,354	\$0
PST	45684	Argos Ready Mix (South Central) Corp.	2012-2530-PST-E	TARRANT	6/27/2013	\$2,954	\$590	\$1,182	\$1,182
PST	45805	KBR Investment Inc.	2012-2660-PST-E	ORANGE	6/27/2013	\$6,750	\$1,350	\$5,400	\$0
PST	45993	PAVLIS, JOHN	2013-0114-PST-E	CHAMBERS	6/27/2013	\$2,942	\$588	\$2,354	\$0
PST	45308	Mohammed Haider	2012-2178-PST-E	RUSK	6/27/2013	\$3,751	\$750	\$3,001	\$0
PST	45521	Gramsn Fuels, Inc.	2012-2378-PST-E	DALLAS	6/27/2013	\$6,744	\$1,348	\$5,396	\$0
PST	45843	MLK Retail Corporation	2012-2697-PST-E	BEXAR	6/27/2013	\$9,416	\$1,883	\$7,533	\$0
PST	45550	MARTINEZ, MANUEL M	2012-2516-PST-E	ZAPATA	6/27/2013	\$3,000	\$600	\$2,400	\$0
PST	45699	A P G & Z, Inc. dba McKinney Food Store	2012-2566-PST-E	DENTON	6/27/2013	\$3,750	\$750	\$3,000	\$0
PST	45790	Lake Dallas Independent School District	2012-2635-PST-E	DENTON	6/27/2013	\$3,375	\$675	\$2,700	\$0
PST	45265	Car Spa, Inc. dba Car Spa Car Wash	2012-2130-PST-E	HARRIS	6/27/2013	\$10,100	\$2,020	\$8,080	\$0
PST	45702	Saakshi Inc	2012-2563-PST-E	FANNIN	6/27/2013	\$3,502	\$700	\$2,802	\$0
PST	45798	M L S S, Inc.	2012-2669-PST-E	BASTROP	6/27/2013	\$3,881	\$776	\$3,105	\$0
PST	45301	2430 Pine Investments, Inc.	2012-2167-PST-E	HARRIS	6/27/2013	\$3,375	\$675	\$2,700	\$0
PST	45781	ANG NEEMA & ANG MARIA INC	2012-2637-PST-E	CAMP	6/27/2013	\$2,813	\$562	\$2,251	\$0
PST	45518	Zaid, LLC	2012-2375-PST-E	SMITH	6/27/2013	\$5,625	\$1,125	\$4,500	\$0
PST	46461	Coastway Inc.	2013-0546-PST-E	ORANGE	6/27/2013	\$2,625	\$0	\$2,625	\$0
PST	45522	Green Express Stores Inc.	2012-2377-PST-E	HARRIS	6/27/2013	\$6,663	\$1,332	\$5,331	\$0
PST	45678	Toepperwein Food Mart, Inc.	2012-2590-PST-E	BEXAR	6/27/2013	\$4,618	\$923	\$3,695	\$0
PST	45959	SARVODAY ENTERPRISES LLC	2013-0078-PST-E	BEXAR	6/27/2013	\$3,881	\$776	\$3,105	\$0
PST	45857	Sambour Mam	2012-2728-PST-E	BOWIE	6/27/2013	\$4,500	\$900	\$3,600	\$0
PST	45978	Copperas Cove ISD	2013-0087-PST-E	CORYELL	6/27/2013	\$2,813	\$562	\$2,251	\$0
PST	45643	Rite Enterprises, Inc.	2012-2544-PST-E	BASTROP	6/27/2013	\$2,942	\$588	\$2,354	\$0
PST	45370	TA Operating LLC	2012-2269-PST-E	RANDALL	6/27/2013	\$6,750	\$1,350	\$5,400	\$0
PST	45704	Aadarsh Business Inc.	2012-2561-PST-E	BASTROP	6/27/2013	\$6,130	\$1,226	\$4,904	\$0
PST	45626	Racetrac Petroleum, Inc.	2012-2503-PST-E	SMITH	6/27/2013	\$7,500	\$1,500	\$6,000	\$0
PST	46462	TRAN, HOA	2013-0545-PST-E	BRAZORIA	6/27/2013	\$2,625	\$0	\$2,625	\$0
PST	46043	Sac-N-Pac Stores, Inc.	2013-0157-PST-E	COMAL	6/27/2013	\$2,813	\$562	\$2,251	\$0
PST	45622	OCHO NLSS MG CORPORATION	2012-2474-PST-E	HARRIS	6/27/2013	\$4,111	\$822	\$3,289	\$0
PST	45484	Asmara Enterprises, Inc.	2012-2334-PST-E	MORRIS	6/27/2013	\$8,961	\$1,792	\$7,169	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	45800	TA Operating LLC	2012-2665-PST-E	EL PASO	6/27/2013	\$10,350	\$2,070	\$8,280	\$0
PST	45693	AllDoc's, Inc.	2012-2526-PST-E	HARRISON	6/27/2013	\$7,630	\$1,526	\$6,104	\$0
PST	45743	Johnson-White & Associates, Inc.	2012-2605-PST-E	HARRISON	6/27/2013	\$6,881	\$1,376	\$5,505	\$0
PST	46091	AA & MM International, Inc.	2013-0203-PST-E	GALVESTON	6/27/2013	\$2,152	\$430	\$1,722	\$0
PST	46044	Ray Huffines Chevrolet, Inc.	2013-0156-PST-E	COLLIN	6/27/2013	\$3,956	\$791	\$3,165	\$0
PST	45864	City of Gatesville	2012-2717-PST-E	CORYELL	6/27/2013	\$3,750	\$750	\$3,000	\$0
PST	46365	PATEL, MAHENDRABHAI B	2013-0443-PST-E	KLEBERG	6/27/2013	\$2,625	\$0	\$2,625	\$0
PST	45907	Patisu Properties, Inc.	2013-0023-PST-E	COMANCHE	6/27/2013	\$2,942	\$588	\$2,354	\$0
PST	45420	SAHIL MERCHANT, INC.	2012-2277-PST-E	HARRIS	6/27/2013	\$2,927	\$585	\$2,342	\$0
PST	45590	CLOUSE, BILLY	2012-2443-PST-E	TARRANT	6/27/2013	\$2,888	\$577	\$2,311	\$0
PST	45825	ES. TEHUETLAN LLC	2012-2686-PST-E	HIDALGO	6/27/2013	\$2,813	\$562	\$2,251	\$0
PST	45816	Stripes LLC	2012-2668-PST-E	HOWARD	6/27/2013	\$6,750	\$1,350	\$2,700	\$2,700
PST	43518	Caddell Stephenson, Inc.	2012-0327-PST-E	SHELBY	6/30/2013	\$3,600	\$0	\$3,600	\$0
PST	43151	SRK GROUPS INC	2011-2313-PST-E	JEFFERSON	7/3/2013	\$17,908	\$0	\$17,908	\$0
PST	45001	RAMIREZ, MELVA	2012-1881-PST-E	NUECES	7/17/2013	\$16,250	\$0	\$16,250	\$0
PST	44448	RAHIYA HILLCREST, INC.	2012-1307-PST-E	LIBERTY	7/17/2013	\$9,751	\$0	\$9,751	\$0
PST	45329	SUAREZ, ALBERTO	2012-2192-PST-E	JIM WELLS	7/17/2013	\$4,500	\$0	\$4,500	\$0
PST	44566	J.S. BASSI ENTERPRISES INC	2012-1439-PST-E	FANNIN	7/17/2013	\$3,882	\$0	\$3,882	\$0
PST	45686	Thomson Enterprises, Inc.	2012-2528-PST-E	HARRIS	7/19/2013	\$5,063	\$1,012	\$4,051	\$0
PST	46279	Ram/Taha, LLC	2013-0382-PST-E	VICTORIA	7/19/2013	\$2,813	\$562	\$2,251	\$0
PST	45762	JPPS Inc.	2012-2612-PST-E	CHAMBERS	7/19/2013	\$2,813	\$562	\$2,251	\$0
PST	45657	Cross Development/Montgomery LP	2012-2540-PST-E	MONTGOMERY	7/19/2013	\$6,001	\$1,199	\$4,802	\$0
PST	46169	Texas Petroleum Group, LLC	2013-0276-PST-E	HARRIS	7/19/2013	\$6,323	\$1,264	\$5,059	\$0
PST	45737	1251 Woodhaven Food Mart, Inc.	2012-2593-PST-E	TARRANT	7/19/2013	\$2,931	\$586	\$2,345	\$0
PST	45894	Cook Children's Medical Center	2013-0015-PST-E	TARRANT	7/19/2013	\$3,375	\$675	\$2,700	\$0
PST	45886	Clara, Inc.	2012-2732-PST-E	BASTROP	7/19/2013	\$5,380	\$1,076	\$4,304	\$0
PST	45673	Timber Forest Chevron, Inc.	2012-2536-PST-E	HARRIS	7/19/2013	\$6,750	\$1,350	\$5,400	\$0
PST	45994	New Jonathon Enterprise Incorporated	2013-0113-PST-E	COLLIN	7/19/2013	\$2,565	\$513	\$2,052	\$0
PST	45548	JILA DEVELOPMENT, L.L.C.	2012-2403-PST-E	DENTON	7/19/2013	\$3,506	\$701	\$2,805	\$0
PST	45975	Pecan Grove Car Wash, Inc.	2013-0068-PST-E	FORT BEND	7/19/2013	\$2,438	\$487	\$1,951	\$0
PST	45651	Ramanlal T. Patel	2012-2512-PST-E	WOOD	7/19/2013	\$3,375	\$675	\$2,700	\$0
PST	45635	RTF, LLC	2012-2498-PST-E	MCMULLEN	7/19/2013	\$3,133	\$626	\$2,507	\$0
PST	45770	Minesh Patel	2012-2631-PST-E	HARRIS	7/19/2013	\$2,438	\$487	\$1,951	\$0
PST	45564	Alan Matysiak	2012-2432-PST-E	HARRISON	7/19/2013	\$3,881	\$776	\$3,105	\$0
PST	44892	Texas New Horizon, Inc.	2012-1765-PST-E	HARRIS	7/19/2013	\$5,464	\$1,092	\$4,372	\$0
PST	45527	Choi, Luke	2012-2387-PST-E	BELL	7/19/2013	\$2,568	\$513	\$2,055	\$0
PST	45928	BASHIR INTERESTS, INC.	2013-0054-PST-E	HOUSTON	7/19/2013	\$3,881	\$776	\$3,105	\$0
PST	45901	Pavan Sut, Inc.	2013-0026-PST-E	UPSHUR	7/19/2013	\$3,750	\$750	\$3,000	\$0

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PST	45898	Fuelcon Management LLC	2013-0016-PST-E	DENTON	7/19/2013	\$4,125	\$825	\$3,300	\$0
PST	45885	AWAIS & HADI LLC	2012-2733-PST-E	HARRIS	7/19/2013	\$4,687	\$937	\$3,750	\$0
PST	45869	838 Bandera Road Management LLC	2012-2713-PST-E	BEXAR	7/19/2013	\$5,000	\$1,000	\$4,000	\$0
PST	46048	Bosque Trading Enterprises, Inc	2013-0154-PST-E	BOSQUE	7/19/2013	\$3,880	\$776	\$3,104	\$0
PST	45491	Upshur-Rural Electric Cooperative Corporation	2012-2352-PST-E	UPSHUR	7/19/2013	\$3,750	\$750	\$3,000	\$0
PST	45970	NISU, Inc.	2013-0072-PST-E	EASTLAND	7/19/2013	\$3,750	\$750	\$3,000	\$0
PST	45823	H Sunny Inc.	2012-2688-PST-E	TARRANT	7/19/2013	\$4,500	\$900	\$3,600	\$0
PST	40924	M & F, Inc.	2011-0019-PST-E	HARRIS	7/19/2013	\$4,574	\$914	\$3,660	\$0
PST	46076	Verma Enterprises Inc	2013-0188-PST-E	CASS	7/19/2013	\$2,942	\$588	\$2,354	\$0
PST	45340	Wildcat Grocery, Inc.	2012-2189-PST-E	HARRISON	7/19/2013	\$2,813	\$562	\$2,251	\$0
PST	46246	Sac-N-Pac Stores, Inc.	2013-0364-PST-E	COMAL	7/19/2013	\$5,625	\$1,125	\$4,500	\$0
PST	45912	Huntington I.S.D.	2013-0033-PST-E	ANGELINA	7/19/2013	\$3,375	\$675	\$2,700	\$0
PST	45593	Ilyas Shaikh Shakoor	2012-2462-PST-E	UPSHUR	7/19/2013	\$3,375	\$675	\$2,700	\$0
PST	45639	Essex Hospitality Inc	2012-2494-PST-E	WOOD	7/19/2013	\$7,500	\$1,500	\$6,000	\$0
PST	45827	William Marsh Rice University	2012-2685-PST-E	HARRIS	7/19/2013	\$4,038	\$807	\$3,231	\$0
PST	45757	Colonial Distribution, Inc.	2012-2615-PST-E	BEXAR	7/19/2013	\$2,943	\$588	\$2,355	\$0
PST	44384	Ted Castillo *	2012-1240-PST-E	CAMERON	7/26/2013	\$5,000	\$0	\$5,000	\$0
PST	45445	Noori Investments, Inc.	2012-2312-PST-E	MONTGOMERY	7/28/2013	\$3,900	\$0	\$3,900	\$0
PST	45450	SUBA BUSINESS LLC	2012-2341-PST-E	HARRIS	7/28/2013	\$3,563	\$0	\$3,563	\$0
PST	45884	Grace Kim	2012-2734-PST-E	TRINITY	7/28/2013	\$3,750	\$750	\$3,000	\$0
PST	45559	SUPREME SAVERS FOOD MART INC	2012-2418-PST-E	SMITH	7/28/2013	\$3,750	\$750	\$3,000	\$0
PST	45026	MALHI, SHAFQAT IRFAN	2012-1931-PST-E	BELL	7/28/2013	\$2,813	\$0	\$2,813	\$0
PST	45461	Rasso's Lytle Corporation	2012-2306-PST-E	ATASCOSA	7/28/2013	\$3,883	\$0	\$3,883	\$0
PST	45194	ZVELS INC	2012-2051-PST-E	DALLAS	7/28/2013	\$3,750	\$0	\$3,750	\$0
PST	45642	CROCKETT ENTERPRISES INC	2012-2491-PST-E	HOUSTON	7/28/2013	\$3,881	\$776	\$3,105	\$0
PST	45435	Tenet Frisco, Ltd	2012-2296-PST-E	COLLIN	7/28/2013	\$1,501	\$300	\$1,201	\$0
PST	45460	Unique Leasing, Inc.	2012-2307-PST-E	ERATH	7/28/2013	\$4,500	\$900	\$3,600	\$0
PST	46004	Jourdanton Independent School District	2013-0131-PST-E	ATASCOSA	7/28/2013	\$3,375	\$675	\$2,700	\$0
PST	45856	BITTU & SANJEEVAN INC	2012-2722-PST-E	GONZALES	7/28/2013	\$3,750	\$750	\$3,000	\$0
PST	45330	FINE MANAGEMENT SERVICES LLC	2012-2191-PST-E	HARRIS	7/28/2013	\$2,813	\$562	\$2,251	\$0
PST	45627	PK INTERNATIONAL LLC	2012-2502-PST-E	HOUSTON	7/28/2013	\$3,880	\$776	\$3,104	\$0
PST	45430	GOLDEN GROUP, INC.	2012-2290-PST-E	HARRIS	7/28/2013	\$4,819	\$0	\$4,819	\$0
PST	44673	Sam's Truck Stop Business, Inc.	2012-1535-PST-E	CULBERSON	7/28/2013	\$7,500	\$0	\$7,500	\$0
PST	46119	FLYING STAR TRANSPORT, L.L.C.	2013-0240-PST-E	POTTER	7/28/2013	\$5,796	\$1,159	\$4,637	\$0
PST	45203	LUERA, JOHN	2012-2068-PST-E	JIM WELLS	7/28/2013	\$3,884	\$0	\$3,884	\$0
PST	44471	PHAM, MO	2012-1327-PST-E	POTTER	7/28/2013	\$2,384	\$0	\$2,384	\$0
PST	43739	Nishi Enterprises, Inc.	2012-0559-PST-E	HARRIS	7/28/2013	\$3,640	\$0	\$3,640	\$0
PST	45415	Asmita, Inc.	2012-2280-PST-E	HARRIS	7/28/2013	\$5,757	\$0	\$5,757	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	44916	Texas Department of Public Safety	2012-1786-PST-E	LUBBOCK	7/28/2013	\$3,375	\$0	\$3,375	\$0
PST	45844	SHEKHANI, AFZAL *	2012-2696-PST-E	HARRIS	7/28/2013	\$4,125	\$825	\$3,300	\$0
PST	45601	SUHA ENTERPRISES INC	2012-2460-PST-E	BEXAR	7/28/2013	\$3,879	\$0	\$3,879	\$0
PST	46230	CKP BROTHERS, INC.	2013-0332-PST-E	BRAZORIA	7/28/2013	\$2,567	\$513	\$2,054	\$0
PST	45966	Saykot Enterprises, Inc.	2013-0073-PST-E	TARRANT	7/28/2013	\$6,000	\$1,200	\$4,800	\$0
PST	46041	Murphy Oil USA, Inc.	2013-0159-PST-E	GALVESTON	7/28/2013	\$6,161	\$1,232	\$4,929	\$0
PST	45783	Columbia Plaza Medical Center of Fort Worth Subsidiary, L.P.	2012-2646-PST-E	TARRANT	7/28/2013	\$3,893	\$778	\$3,115	\$0
PST	45433	Overseas Enterprises USA, Inc.	2012-2297-PST-E	ORANGE	7/28/2013	\$7,000	\$1,400	\$5,600	\$0
PST	45119	MK Petroleum, LLC	2012-1997-PST-E	HARRIS	7/28/2013	\$3,750	\$0	\$3,750	\$0
PST	45616	RALL, HARPREET	2012-2505-PST-E	MONTGOMERY	7/28/2013	\$3,750	\$750	\$3,000	\$0
PST	46388	Travis Morehead	2013-0477-PST-E	ANGELINA	7/28/2013	\$5,625	\$1,125	\$4,500	\$0
PST	45731	Mohammad Latifur Rahman	2012-2587-PST-E	TARRANT	7/28/2013	\$3,375	\$675	\$2,700	\$0
PST	44189	D TRAN INC	2012-1035-PST-E	BEXAR	7/28/2013	\$2,500	\$0	\$2,500	\$0
PST	45764	RSSB INC	2012-2611-PST-E	HARRIS	7/28/2013	\$2,566	\$513	\$2,053	\$0
PST	46093	KHANIA, ASIF	2013-0206-PST-E	CASS	7/28/2013	\$3,416	\$683	\$2,733	\$0
PST	44932	HTD Enterprises Inc.	2012-1814-PST-E	HARRIS	7/28/2013	\$5,100	\$0	\$5,100	\$0
PST	44708	Lone Star Distributors, Inc. *	2012-1757-PST-E	ZAPATA	7/29/2013	\$2,609	\$0	\$2,609	\$0
PST	41496	FAYYAZ, AHMED	2011-0595-PST-E	JEFFERSON	8/3/2013	\$27,208	\$0	\$27,208	\$0
PST	45382	SHAZADA ENTERPRISES, INC.	2012-2234-PST-E	HARRIS	8/3/2013	\$10,873	\$2,174	\$8,699	\$0
PST	44049	DRJO ENTERPRISE INC.	2012-0892-PST-E	DALLAS	8/3/2013	\$7,633	\$0	\$7,633	\$0
PST	44430	SAMODA INC	2012-1287-PST-E	HARRIS	8/3/2013	\$8,063	\$0	\$8,063	\$0
PST	45728	Aslam Ali	2012-2577-PST-E	HARRIS	8/3/2013	\$8,896	\$1,778	\$7,118	\$0
PST	43547	HJTV, Inc.	2012-0471-PST-E	WICHITA	8/3/2013	\$12,488	\$2,497	\$9,991	\$0
PST	45715	Murphy Oil USA, Inc.	2012-2552-PST-E	GALVESTON	8/3/2013	\$9,530	\$1,906	\$7,624	\$0
PST	45511	Abdun Inc. *	2012-2371-PST-E	HARRIS	8/3/2013	\$7,875	\$1,575	\$6,300	\$0
PST	45009	TEXAS SUPERTRACK, INC.	2012-1730-PST-E	TARRANT	8/3/2013	\$16,783	\$0	\$16,783	\$0
PST	43370	KJCJ Enterprises Inc	2012-0176-PST-E	DALLAS	8/3/2013	\$12,464	\$5,744	\$6,720	\$0
PST	34980	Dowd & Sons Automobile Salvage Company, Inc.	2012-1116-PST-E	NAVARRO	8/3/2013	\$120,000	\$116,400	\$3,600	\$0
PST	45115	McAllen Petroleum, Inc.	2012-1988-PST-E	CAMERON	8/3/2013	\$11,553	\$2,310	\$9,243	\$0
PST	45021	Pronto Food Stores, LLC	2012-1913-PST-E	RUSK	8/3/2013	\$11,135	\$0	\$11,135	\$0
PST	44433	Asuda Holdings LLC	2012-1297-PST-E	DENTON	8/3/2013	\$27,100	\$0	\$27,100	\$0
PST	45920	SNB Food, Inc.	2013-0034-PST-E	BEXAR	8/3/2013	\$8,750	\$1,750	\$7,000	\$0
PST	45681	Vakil & Brothers, Inc.	2012-2533-PST-E	HARRIS	8/3/2013	\$7,875	\$1,575	\$6,300	\$0
PST	45637	MEMORIAL HEALTH SYSTEM OF EAST TEXAS	2012-2496-PST-E	POLK	8/3/2013	\$13,500	\$2,700	\$10,800	\$0
PST	46005	Kims's Komer, Inc.	2013-0130-PST-E	COLLIN	8/3/2013	\$14,301	\$2,859	\$11,442	\$0
PST	45534	SJTS, Inc	2012-2420-PST-E	MORRIS	8/3/2013	\$7,942	\$1,588	\$6,354	\$0
PST	46079	Rodriguez, Jorge E	2013-0185-PST-E	FORT BEND	8/3/2013	\$7,592	\$1,518	\$6,074	\$0

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## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	45228	Marbach Food Inc *	2012-2096-PST-E	BEXAR	8/3/2013	\$7,632	\$0	\$7,632	\$0
PST	45479	New Caney Enterprise, Inc.	2012-2337-PST-E	MONTGOMERY	8/3/2013	\$8,063	\$0	\$8,063	\$0
PST	45960	Marshall ISD	2013-0101-PST-E	HARRISON	8/3/2013	\$8,750	\$1,750	\$7,000	\$0
PST	45989	Brookshire Grocery Company	2013-0116-PST-E	BOWIE	8/8/2013	\$4,875	\$975	\$3,900	\$0
PST	45645	Sopeak Khuon	2012-2489-PST-E	HARRISON	8/8/2013	\$3,881	\$776	\$3,105	\$0
PST	45923	City of Houston	2013-0085-PST-E	HARRIS	8/8/2013	\$5,000	\$1,000	\$0	\$4,000
PST	45778	A & H LUCKY STOP, INC	2012-2625-PST-E	TITUS	8/8/2013	\$5,625	\$1,125	\$4,500	\$0
PST	46233	Toor Enterprises, Inc.	2013-0365-PST-E	HARRIS	8/8/2013	\$2,971	\$594	\$2,377	\$0
PST	46218	SHIWAKOTI, BISHNU	2013-0338-PST-E	DENTON	8/8/2013	\$3,750	\$750	\$3,000	\$0
PST	46189	MAHESANIA, RUFU	2013-0302-PST-E	BEXAR	8/8/2013	\$3,750	\$750	\$3,000	\$0
PST	45908	City of Dallas	2013-0022-PST-E	DALLAS	8/8/2013	\$3,750	\$750	\$3,000	\$0
PST	46229	ZAHABEE INC	2013-0333-PST-E	GUADALUPE	8/8/2013	\$3,750	\$750	\$3,000	\$0
PST	46125	KSHITIJ LLC	2013-0236-PST-E	BOSQUE	8/8/2013	\$5,000	\$1,000	\$4,000	\$0
PST	46220	STARKEY, BOBBY L	2013-0350-PST-E	CASS	8/8/2013	\$3,750	\$750	\$3,000	\$0
PST	45531	Denton County	2012-2410-PST-E	DENTON	8/8/2013	\$2,813	\$562	\$0	\$2,251
PST	45932	Asif Eddie Dawood *	2013-0102-PST-E	HARRIS	8/8/2013	\$6,375	\$1,275	\$5,100	\$0
PST	45644	Hasor Enterprise Inc.	2012-2490-PST-E	HARRIS	8/8/2013	\$3,375	\$675	\$2,700	\$0
PST	45900	ALEEM, MOHAMMAD	2013-0020-PST-E	HARRIS	8/8/2013	\$5,062	\$1,012	\$4,050	\$0
PST	45700	Denpak Convenience Store, Inc.	2012-2565-PST-E	DENTON	8/8/2013	\$3,751	\$750	\$3,001	\$0
PST	45707	Robbins Osborn Road Island, Inc.	2012-2559-PST-E	SMITH	8/8/2013	\$5,755	\$1,151	\$4,604	\$0
PST	46114	Sherbart Holdings Inc	2013-0243-PST-E	ATASCOSA	8/8/2013	\$2,813	\$562	\$2,251	\$0
PST	46143	Hyun Dae Lee *	2013-0263-PST-E	BOWIE	8/8/2013	\$4,625	\$925	\$3,700	\$0
PST	46226	Jaya Kali, Inc.	2013-0334-PST-E	BROWN	8/8/2013	\$3,750	\$750	\$3,000	\$0
PST	45663	G.M. Brothers, Inc. *	2012-2568-PST-E	HARRIS	8/8/2013	\$3,375	\$675	\$2,700	\$0
PST	46087	David Mears	2013-0199-PST-E	BOWIE	8/8/2013	\$2,813	\$562	\$2,251	\$0
PST	45579	Breiten, Alec	2012-2449-PST-E	JIM HOGG	8/21/2013	\$8,880	\$0	\$8,880	\$0
PST	45599	Quality Retail Services, Inc.	2012-2456-PST-E	WILLACY	8/21/2013	\$9,625	\$0	\$9,625	\$0
PST	45566	Mohammad Danish Mohammad	2012-2424-PST-E	LIBERTY	8/21/2013	\$8,750	\$0	\$8,750	\$0
PST	45410	AMMAN & SAARIZ LLC	2012-2283-PST-E	SMITH	8/21/2013	\$11,250	\$0	\$11,250	\$0
PST	45615	Benanee Corporation	2012-2476-PST-E	HARRIS	8/21/2013	\$9,000	\$0	\$9,000	\$0
PST	45918	Sardinia Group, Inc.	2013-0036-PST-E	TRINITY	8/21/2013	\$8,882	\$0	\$8,882	\$0
PST	44906	AZM Enterprises, Inc. *	2012-1789-PST-E	BEXAR	8/21/2013	\$12,832	\$0	\$12,832	\$0
PST	45422	Moonis, Maaz M	2012-2332-PST-E	POLK	8/21/2013	\$8,750	\$0	\$8,750	\$0
PST	45111	AM PM ENTERPRISES INC *	2012-1982-PST-E	SMITH	8/21/2013	\$13,750	\$0	\$13,750	\$0
PST	46770	Himalayan Star, Inc.	2013-0946-PST-E	ERATH	8/22/2013	\$2,625	\$0	\$2,625	\$0
PST	45949	ABSK Enterprises Inc.	2013-0083-PST-E	BEXAR	8/22/2013	\$3,880	\$776	\$3,104	\$0
PST	46480	Alishan's, Inc.	2013-0580-PST-E	TARRANT	8/22/2013	\$2,625	\$0	\$2,625	\$0
PST	46477	GERMAN N VEGA	2013-0582-PST-E	STARR	8/22/2013	\$2,625	\$0	\$2,625	\$0

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## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	46478	COWPOKES CONVENIENCE STORES, INC.	2013-0581-PST-E	EASTLAND	8/22/2013	\$2,625	\$0	\$2,625	\$0
PST	45964	Sunrise Valley Properties Inc	2013-0074-PST-E	EASTLAND	8/22/2013	\$3,375	\$675	\$2,700	\$0
PST	45850	MITESH C-STORE, INC.	2013-0007-PST-E	TRINITY	8/22/2013	\$3,882	\$776	\$3,106	\$0
PST	45965	Westmount, Inc.	2013-0100-PST-E	HARRIS	8/22/2013	\$3,825	\$765	\$3,060	\$0
PST	46193	ZGHOUL LLC	2013-0301-PST-E	GUADALUPE	8/22/2013	\$5,000	\$1,000	\$4,000	\$0
PST	46909	Midway Armadillo Corporation	2013-1061-PST-E	UPSHUR	8/22/2013	\$2,625	\$0	\$2,625	\$0
PST	46910	ZAREEN ENTERPRISES, INC.	2013-1060-PST-E	LIBERTY	8/22/2013	\$2,625	\$0	\$2,625	\$0
PST	45929	Hadeel Corporation	2013-0053-PST-E	TARRANT	8/22/2013	\$3,563	\$712	\$2,851	\$0
PST	46354	Denny Oil Company *	2013-0447-PST-E	NACOGDOCHES	8/22/2013	\$2,350	\$470	\$1,880	\$0
PST	45870	Gold Land Investors, LLC	2012-2712-PST-E	TARRANT	8/22/2013	\$4,629	\$925	\$3,704	\$0
PST	45755	Luis Garza	2012-2616-PST-E	STARR	8/22/2013	\$3,880	\$776	\$3,104	\$0
PST	45570	Gricelda Samano	2012-2428-PST-E	DENTON	8/22/2013	\$5,000	\$1,000	\$4,000	\$0
PST	46186	NAVARRO REGIONAL HOSPITAL *	2013-0283-PST-E	NAVARRO	8/22/2013	\$2,953	\$590	\$2,363	\$0
PST	46773	H & Rozy Inc	2013-0945-PST-E	TARRANT	8/22/2013	\$2,625	\$0	\$2,625	\$0
PST	46108	Abraham Inc	2013-0219-PST-E	BOSQUE	8/22/2013	\$6,000	\$1,200	\$4,800	\$0
PST	45998	Ashnoor, L.L.C.	2013-0121-PST-E	HARRIS	8/22/2013	\$4,258	\$851	\$3,407	\$0
PST	46612	NEXTEL OF TEXAS, INC.	2013-0707-PST-E	HARRIS	8/22/2013	\$2,625	\$0	\$2,625	\$0
PST	46184	Shree Pramukh Bapa, Corporation	2013-0285-PST-E	GUADALUPE	8/22/2013	\$3,879	\$775	\$3,104	\$0
PST	46058	Dharani Enterprises Inc.	2013-0171-PST-E	FORT BEND	8/22/2013	\$6,644	\$1,328	\$5,316	\$0
PST	45455	Zishan Ali	2012-2309-PST-E	COLLIN	8/22/2013	\$3,750	\$750	\$3,000	\$0
PST	46099	Sripati, Inc.	2013-0244-PST-E	MARION	8/22/2013	\$3,750	\$750	\$3,000	\$0
PST	46613	Kingstreet Investments, LLC	2013-0706-PST-E	HARRIS	8/22/2013	\$2,625	\$0	\$2,625	\$0
PST	41085	ANA, INC.	2011-0187-PST-E	HARRIS	8/23/2013	\$5,100	\$0	\$5,100	\$0
PST	43499	Sona Stores, Inc.	2012-0315-PST-E	NEWTON	8/23/2013	\$5,133	\$0	\$5,133	\$0
PST	45028	Tristar Convenience Stores, Inc.	2012-1909-PST-E	HARRIS	8/23/2013	\$7,500	\$0	\$7,500	\$0
PST	45535	Kaur Investments, Inc.	2012-2408-PST-E	HARRIS	8/23/2013	\$8,875	\$0	\$8,875	\$0
PST	44581	Acres Enterprises, Inc.	2012-1455-PST-E	JASPER	8/23/2013	\$17,685	\$0	\$17,685	\$0
PST	45419	Metroplex Lucky Star, LLC	2012-2198-PST-E	DALLAS	8/23/2013	\$5,130	\$0	\$5,130	\$0
PST	45819	Mica Corporation	2012-2675-PST-E	BEXAR	8/26/2013	\$8,882	\$1,776	\$7,106	\$0
PST	45498	Farmersville Texaco, Inc.	2012-2349-PST-E	COLLIN	8/26/2013	\$8,063	\$1,612	\$6,451	\$0
PST	45997	Channelview Enterprises, Inc.	2013-0132-PST-E	HARRIS	8/26/2013	\$18,000	\$3,600	\$14,400	\$0
PST	46316	University Behavioral Health of El Paso, LLC	2013-0417-PST-E	EL PASO	8/26/2013	\$9,544	\$1,908	\$7,636	\$0
PST	46092	Zaman-Naseer Properties, LLC	2013-0207-PST-E	HARRIS	8/26/2013	\$9,375	\$1,875	\$7,500	\$0
<b>Subtotal for PST : 1,079</b>						<b>\$6,004,481</b>	<b>\$937,290</b>	<b>\$4,979,833</b>	<b>\$87,358</b>
PWS	43453	Mostafa A Soliman	2012-0267-PWS-E	WALLER	9/6/2012	\$293	\$0	\$293	\$0
PWS	42601	Jasper Cindi Inc.	2011-1743-PWS-E	JASPER	9/6/2012	\$3,542	\$0	\$3,542	\$0
PWS	42995	Golden Pass LNG Terminal LLC	2011-2151-PWS-E	JEFFERSON	9/16/2012	\$3,022	\$604	\$2,418	\$0
PWS	43839	Ravenna-Nunnelee Water Supply Corporation	2012-0659-PWS-E	FANNIN	9/16/2012	\$100	\$20	\$80	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	43539	Shoalwater Flats Association	2012-0363-PWS-E	CALHOUN	9/16/2012	\$2,095	\$419	\$1,676	\$0
PWS	43557	Signal Hill Water System 24	2012-0373-PWS-E	HAYS	9/16/2012	\$330	\$66	\$264	\$0
PWS	43386	Cliffside Guest House, L.L.C.	2012-0189-PWS-E	COMAL	9/16/2012	\$1,556	\$310	\$1,246	\$0
PWS	42443	Sam Rayburn Water, Inc.	2011-1572-PWS-E	SAN AUGUSTINE	9/16/2012	\$1,637	\$0	\$1,637	\$0
PWS	42972	AMPHENOL STEWARD ENTERPRISES INC	2011-2122-PWS-E	MIDLAND	9/29/2012	\$8,700	\$0	\$8,700	\$0
PWS	43691	C & D CHARLES STREET GP, LLC	2012-0497-PWS-E	HARRIS	9/30/2012	\$2,681	\$535	\$2,146	\$0
PWS	43753	Altoga Water Supply Corporation	2012-0570-PWS-E	COLLIN	9/30/2012	\$120	\$24	\$96	\$0
PWS	43751	GATE TREE LLC	2012-0614-PWS-E	BANDERA	9/30/2012	\$711	\$142	\$569	\$0
PWS	43354	Mooreville Water Supply Corporation	2012-0166-PWS-E	FALLS	9/30/2012	\$985	\$197	\$788	\$0
PWS	42752	City of Del Rio	2011-1894-PWS-E	VAL VERDE	10/11/2012	\$317	\$0	\$317	\$0
PWS	43640	Howard C. Bigham	2012-0423-PWS-E	SCURRY	10/13/2012	\$263	\$52	\$211	\$0
PWS	43862	Alvin Country Club, LLC	2012-0689-PWS-E	BRAZORIA	10/13/2012	\$100	\$20	\$80	\$0
PWS	43361	Red River Authority of Texas	2012-0174-PWS-E	CHILDRESS	10/13/2012	\$1,050	\$210	\$840	\$0
PWS	43756	Aqua Utilities, Inc.	2012-0569-PWS-E	TRAVIS	10/13/2012	\$665	\$133	\$532	\$0
PWS	42260	United States Army Corps of Engineers	2011-1406-PWS-E	TARRANT	10/13/2012	\$877	\$175	\$702	\$0
PWS	43850	Butler WSC	2012-0666-PWS-E	FREESTONE	10/13/2012	\$1,254	\$250	\$1,004	\$0
PWS	43513	United States Army Corps of Engineers	2012-0332-PWS-E	TARRANT	10/13/2012	\$560	\$112	\$448	\$0
PWS	43240	Mcmullen County WCID 2	2012-0030-PWS-E	MCMULLEN	10/19/2012	\$1,365	\$0	\$1,365	\$0
PWS	42348	ROGERS, GORDON DEAN	2011-1505-PWS-E	ANGELINA	10/19/2012	\$13,282	\$0	\$13,282	\$0
PWS	43308	GILLOTT, DAVID T	2012-0114-PWS-E	*MULTIPLE	10/19/2012	\$1,450	\$0	\$1,450	\$0
PWS	44161	Kendall County Water Control and Improvement District No. 1	2012-1008-PWS-E	KENDALL	10/26/2012	\$54	\$10	\$44	\$0
PWS	43838	PILSNER, DAVID	2012-0660-PWS-E	COLORADO	10/26/2012	\$501	\$100	\$401	\$0
PWS	44079	Custom Water Co., L.L.C.	2012-0919-PWS-E	MONTAGUE	10/26/2012	\$125	\$25	\$100	\$0
PWS	44098	City of McGregor	2012-0940-PWS-E	MCLENNAN	10/26/2012	\$1,357	\$271	\$1,086	\$0
PWS	43935	CHAU, HONG	2012-0767-PWS-E	HARRIS	10/26/2012	\$969	\$193	\$776	\$0
PWS	43372	City Of Follett	2012-0172-PWS-E	LIPSCOMB	10/27/2012	\$1,278	\$0	\$1,278	\$0
PWS	37345	North San Saba Wsc	2012-0557-PWS-E	SAN SABA	10/27/2012	\$21,079	\$0	\$21,079	\$0
PWS	43688	Brenda Cunningham Byrd *	2012-0490-PWS-E	COLORADO	10/27/2012	\$2,708	\$0	\$2,708	\$0
PWS	11945	SNI Corporation	2011-1631-PWS-E	COLORADO	10/27/2012	\$11,176	\$0	\$11,176	\$0
PWS	43454	Oak Valley Mobile Home Park LLC	2012-0266-PWS-E	BRAZORIA	10/27/2012	\$838	\$0	\$838	\$0
PWS	42113	Silverlake Church	2011-1263-PWS-E	BRAZORIA	11/11/2012	\$2,835	\$0	\$2,835	\$0
PWS	43819	Aqua Utilities, Inc.	2012-0636-PWS-E	POLK	11/11/2012	\$154	\$30	\$124	\$0
PWS	35120	Ghuman Enterprise Inc.	2012-0714-PWS-E	MONTGOMERY	11/11/2012	\$4,079	\$0	\$4,079	\$0
PWS	43826	City of Ballinger	2012-0649-PWS-E	RUNNELS	11/11/2012	\$980	\$196	\$784	\$0
PWS	44168	Farmers Transport, Inc.	2012-1007-PWS-E	CALHOUN	11/11/2012	\$153	\$30	\$123	\$0
PWS	43966	Castle Water, Inc.	2012-0787-PWS-E	PARKER	11/11/2012	\$2,795	\$559	\$2,236	\$0
PWS	41513	Harris County FWSD 1B	2011-0608-UTL-E	HARRIS	11/12/2012	\$262	\$0	\$262	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	43882	Pieper, Diane *	2012-0697-PWS-E	MATAGORDA	11/12/2012	\$165	\$0	\$165	\$0
PWS	43794	Aqua Utilities, Inc.	2012-0606-PWS-E	MCLENNAN	11/22/2012	\$1,860	\$372	\$1,488	\$0
PWS	44044	KL Comfort Park, Ltd.	2012-0886-PWS-E	BELL	11/22/2012	\$1,393	\$278	\$1,115	\$0
PWS	43158	Palo Duro Service Company, Inc.	2011-2318-PWS-E	PARKER	11/22/2012	\$3,630	\$726	\$2,904	\$0
PWS	44370	SHIVERS, DAVID E	2012-1226-PWS-E	RUSK	11/22/2012	\$202	\$40	\$162	\$0
PWS	36425	SWEA GARDENS ESTATES UTILITY, INC.	2012-0571-PWS-E	HARRIS	11/30/2012	\$3,742	\$0	\$3,742	\$0
PWS	40091	THE ANGLERS LODGE LLC	2012-0720-PWS-E	VAL VERDE	11/30/2012	\$4,840	\$0	\$4,840	\$0
PWS	43342	BULLOCK, LEONA	2012-0149-PWS-E	KERR	11/30/2012	\$2,088	\$0	\$2,088	\$0
PWS	43958	CRAWFORD, CAROLYN	2012-0818-PWS-E	BURNET	11/30/2012	\$1,095	\$0	\$1,095	\$0
PWS	43704	Lake Hills DTP II, LLC	2012-0517-PWS-E	BANDERA	11/30/2012	\$2,572	\$0	\$2,572	\$0
PWS	41991	KEENAN, RICHARD ALLEN	2011-1163-PWS-E	GALVESTON	11/30/2012	\$2,042	\$0	\$2,042	\$0
PWS	40291	OLD TYMER ENTERPRISES, INC.	2011-2253-PWS-E	BEXAR	12/1/2012	\$7,370	\$0	\$7,370	\$0
PWS	44246	City of Cameron	2012-1101-PWS-E	MILAM	12/15/2012	\$8,721	\$1,744	\$6,977	\$0
PWS	38222	Tom Green County FWSD 2	2012-0957-PWS-E	TOM GREEN	12/15/2012	\$4,990	\$0	\$4,990	\$0
PWS	44086	Brian Dlugosch *	2012-0935-PWS-E	DEWITT	12/15/2012	\$2,169	\$0	\$2,169	\$0
PWS	41812	Amana Rose, LLC	2011-0924-PWS-E	MARION	12/15/2012	\$18,357	\$0	\$18,357	\$0
PWS	43653	Southwestern Holdings, Inc.	2012-0469-PWS-E	PRESIDIO	12/15/2012	\$2,513	\$0	\$2,513	\$0
PWS	44103	Bell Milam Falls WSC	2012-0946-PWS-E	BELL	12/16/2012	\$789	\$157	\$632	\$0
PWS	43919	Parmer, Patsy *	2012-0752-PWS-E	SHELBY	12/16/2012	\$434	\$86	\$348	\$0
PWS	44263	City of Marlin	2012-1112-PWS-E	FALLS	12/16/2012	\$441	\$88	\$353	\$0
PWS	43757	SANCTUARY VENTURES II L.P.	2012-0625-PWS-E	ELLIS	12/16/2012	\$50	\$10	\$40	\$0
PWS	44378	Sand Hills Water Supply Corporation	2012-1236-PWS-E	SHELBY	12/16/2012	\$624	\$124	\$500	\$0
PWS	43437	Donald R. Cole *	2012-0244-PWS-E	PARKER	12/16/2012	\$677	\$135	\$542	\$0
PWS	44537	CITY OF HENRIETTA	2012-1371-PWS-E	CLAY	12/16/2012	\$360	\$72	\$288	\$0
PWS	43624	REESE, WENDELL	2012-0424-PWS-E	MATAGORDA	12/20/2012	\$2,188	\$0	\$2,188	\$0
PWS	44170	HOLT, JACK HASTON PE	2012-1027-PWS-E	TRAVIS	1/12/2013	\$1,212	\$242	\$970	\$0
PWS	44111	Brushy Creek WSC	2012-0953-PWS-E	ANDERSON	1/12/2013	\$1,260	\$252	\$1,008	\$0
PWS	44233	The Consolidated Water Supply Corporation	2012-1074-PWS-E	HOUSTON	1/12/2013	\$451	\$90	\$361	\$0
PWS	44228	City of Lawn	2012-1075-PWS-E	TAYLOR	1/12/2013	\$249	\$49	\$200	\$0
PWS	44293	Travis County Water Control and Improvement District 20	2012-1144-PWS-E	TRAVIS	1/12/2013	\$165	\$33	\$132	\$0
PWS	44291	Caney Creek Municipal Utility District *	2012-1145-PWS-E	MATAGORDA	1/12/2013	\$855	\$171	\$684	\$0
PWS	44097	Debbie Block *	2012-0941-PWS-E	ORANGE	1/12/2013	\$2,078	\$415	\$1,663	\$0
PWS	44400	Treasure Island Municipal Utility District	2012-1261-PWS-E	BRAZORIA	1/12/2013	\$750	\$150	\$600	\$0
PWS	44590	Annette Goodrum, Inc.	2012-1456-PWS-E	BRAZORIA	1/12/2013	\$110	\$22	\$88	\$0
PWS	44254	City of Moulton	2012-1107-PWS-E	LAVACA	2/3/2013	\$168	\$33	\$135	\$0
PWS	44513	Rock Creek Water Supply Corporation	2012-1372-PWS-E	PALO PINTO	2/3/2013	\$780	\$156	\$624	\$0
PWS	44405	Aqua Utilities, Inc.	2012-1296-PWS-E	TRAVIS	2/3/2013	\$3,277	\$655	\$2,622	\$0
PWS	44193	CARRANZA, THOMAS	2012-1041-PWS-E	POLK	2/3/2013	\$1,185	\$237	\$948	\$0

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PWS	44048	Tri-Community Water Supply Corporation	2012-0891-PWS-E	CALDWELL	2/3/2013	\$2,193	\$438	\$1,755	\$0
PWS	43025	The Original Adventure Camp Inc	2011-2174-PWS-E	KERR	2/10/2013	\$1,536	\$0	\$1,536	\$0
PWS	43934	Leonard Denton	2012-0762-PWS-E	BLANCO	2/10/2013	\$1,322	\$0	\$1,322	\$0
PWS	44255	City of Rosebud	2012-1106-PWS-E	FALLS	2/10/2013	\$2,002	\$0	\$2,002	\$0
PWS	44019	REAGAN, PAULA	2012-0849-PWS-E	DENTON	2/10/2013	\$2,810	\$0	\$2,810	\$0
PWS	44385	City of Petrolia	2012-1235-PWS-E	CLAY	2/10/2013	\$410	\$0	\$410	\$0
PWS	36946	Lake Whitney Resorts, LLC	2012-0022-PWS-E	HILL	2/10/2013	\$11,827	\$0	\$11,827	\$0
PWS	44720	BATEMAN, DOUGLAS A	2012-1589-PWS-E	BRAZORIA	2/23/2013	\$590	\$118	\$472	\$0
PWS	44511	Sanhedrin II, L.P.	2012-1351-PWS-E	HARRIS	2/23/2013	\$450	\$90	\$360	\$0
PWS	44258	San Pedro Canyon Water Company *	2012-1128-PWS-E	VAL VERDE	2/23/2013	\$1,373	\$274	\$1,099	\$0
PWS	44555	GRAVES LONG MOUNTAIN PROPERTIES LLC	2012-1437-PWS-E	LLANO	2/23/2013	\$350	\$70	\$280	\$0
PWS	44597	Chappell Hill Water Supply Corporation	2012-1465-PWS-E	WASHINGTON	2/23/2013	\$888	\$177	\$711	\$0
PWS	44665	City of Moody	2012-1520-PWS-E	MCLENNAN	2/23/2013	\$120	\$24	\$96	\$0
PWS	45179	HILLIARD, BRIAN D	2012-2046-WOC-E	FANNIN	2/23/2013	\$175	\$0	\$175	\$0
PWS	44620	Arkema Inc	2012-1492-PWS-E	JEFFERSON	2/23/2013	\$150	\$30	\$120	\$0
PWS	43791	Nayna Patel *	2012-0607-PWS-E	HARRIS	2/23/2013	\$1,401	\$279	\$1,122	\$0
PWS	44647	City of Stamford	2012-1521-PWS-E	JONES	2/23/2013	\$160	\$32	\$128	\$0
PWS	43091	United States Postal Service	2011-2234-PWS-E	HARRIS	2/24/2013	\$3,864	\$0	\$3,864	\$0
PWS	40421	Joseph Adam Corporation *	2009-0890-PWS-E	LUBBOCK	3/1/2013	\$2,550	\$0	\$2,550	\$0
PWS	44460	Georgia-Pacific Wood Products South LLC	2012-1312-PWS-E	POLK	3/3/2013	\$1,105	\$220	\$885	\$0
PWS	44494	BELTRAN, MARIA A *	2012-1353-PWS-E	STARR	3/3/2013	\$1,025	\$205	\$820	\$0
PWS	44873	Yam United Investment Group, LLC	2012-1785-PWS-E	FORT BEND	3/3/2013	\$755	\$150	\$605	\$0
PWS	44801	Ramage, James Thomas	2012-1684-PWS-E	LUBBOCK	3/3/2013	\$920	\$183	\$737	\$0
PWS	44807	WALLACE, DAVID STANLEY SR	2012-1699-PWS-E	MIDLAND	3/3/2013	\$1,227	\$244	\$983	\$0
PWS	44470	SIMS, PAUL *	2012-1324-PWS-E	WASHINGTON	3/3/2013	\$455	\$91	\$364	\$0
PWS	44407	S.L.C. Water Supply Corporation	2012-1266-PWS-E	LIMESTONE	3/9/2013	\$417	\$0	\$417	\$0
PWS	44032	Raymond E. Windham	2012-0871-PWS-E	BROWN	3/15/2013	\$1,832	\$0	\$1,832	\$0
PWS	43550	City of Paint Rock	2012-0342-PWS-E	CONCHO	3/15/2013	\$946	\$0	\$946	\$0
PWS	43373	Virginia Franklin Fuller	2012-0171-PWS-E	LUBBOCK	3/16/2013	\$5,381	\$0	\$5,381	\$0
PWS	44748	Brazoria County Water Corporation	2012-1618-PWS-E	BRAZORIA	3/21/2013	\$450	\$90	\$180	\$180
PWS	44950	G M WSC	2012-1831-PWS-E	SABINE	3/21/2013	\$636	\$127	\$509	\$0
PWS	45056	Texas H2O, Inc.	2012-1927-PWS-E	HOOD	3/21/2013	\$472	\$94	\$378	\$0
PWS	44940	DCP Midstream, LP	2012-1811-PWS-E	MIDLAND	3/21/2013	\$204	\$40	\$164	\$0
PWS	45034	Altoga Water Supply Corporation	2012-1904-PWS-E	COLLIN	3/21/2013	\$52	\$10	\$42	\$0
PWS	44792	Appleby Water Supply Corporation	2012-1691-PWS-E	NACOGDOCHES	3/21/2013	\$577	\$115	\$462	\$0
PWS	44825	Green Springs Water Supply Corporation	2012-1728-PWS-E	DENTON	3/21/2013	\$560	\$112	\$448	\$0
PWS	44799	Franz, Raymond Louis	2012-1704-PWS-E	HARRIS	3/21/2013	\$55	\$11	\$44	\$0
PWS	45267	Plainview BioEnergy, LLC	2012-2140-PWS-E	HALE	3/21/2013	\$150	\$30	\$120	\$0

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PWS	44997	MONROE, DEXTER	2012-1873-PWS-E	HENDERSON	3/21/2013	\$252	\$50	\$202	\$0
PWS	44912	Bapa Krupa, LLC	2012-1804-UTL-E	HARRIS	3/21/2013	\$817	\$163	\$654	\$0
PWS	44437	Brian Fabre	2012-1295-PWS-E	LUBBOCK	3/21/2013	\$915	\$183	\$732	\$0
PWS	43709	Carpenter, Melissa Lee *	2012-0523-PWS-E	BRAZORIA	3/21/2013	\$2,035	\$406	\$1,629	\$0
PWS	44822	Cowtown RV Park, Ltd.	2012-1703-PWS-E	PARKER	3/21/2013	\$1,763	\$351	\$1,412	\$0
PWS	44766	Brazos Valley Septic & Water, Inc.	2012-1643-PWS-E	ROBERTSON	3/21/2013	\$255	\$51	\$204	\$0
PWS	44716	Motiva Enterprises LLC	2012-1591-PWS-E	JEFFERSON	3/21/2013	\$1,490	\$298	\$1,192	\$0
PWS	45040	Fayette County WCID Monument Hill	2012-1917-PWS-E	FAYETTE	4/7/2013	\$949	\$189	\$760	\$0
PWS	45068	City of Brownsboro	2012-1945-PWS-E	HENDERSON	4/7/2013	\$52	\$0	\$52	\$0
PWS	44623	TSCHIRHART, HAROLD	2012-1490-PWS-E	GUADALUPE	4/7/2013	\$775	\$155	\$620	\$0
PWS	31301	Fatima Family Village, Inc.	2012-2122-PWS-E	HARRIS	4/7/2013	\$957	\$0	\$957	\$0
PWS	44899	Raza, Shahbaz	2012-1775-PWS-E	CHAMBERS	4/7/2013	\$1,237	\$247	\$990	\$0
PWS	44706	Alloy Polymers Texas LP	2012-1584-PWS-E	HOUSTON	4/7/2013	\$8,477	\$1,695	\$6,782	\$0
PWS	44508	City of La Villa	2012-1352-PWS-E	HIDALGO	4/7/2013	\$5,230	\$0	\$5,230	\$0
PWS	38818	Hunter Road Investments LLC	2012-1675-PWS-E	HAYS	4/7/2013	\$405	\$0	\$405	\$0
PWS	44487	Rayburn Country Municipal Utility District	2012-1345-PWS-E	JASPER	4/7/2013	\$897	\$179	\$718	\$0
PWS	45012	City of Tenaha	2012-1893-PWS-E	SHELBY	4/7/2013	\$172	\$0	\$172	\$0
PWS	45081	Falcon Rural Water Supply Corp	2012-1959-PWS-E	STARR	4/7/2013	\$896	\$179	\$717	\$0
PWS	44879	Hudson Water Supply Corporation	2012-1763-PWS-E	ANGELINA	4/7/2013	\$1,216	\$0	\$1,216	\$0
PWS	44871	C S Community Water Supply Corporation	2012-1742-PWS-E	MCLENNAN	4/7/2013	\$53	\$10	\$43	\$0
PWS	45018	City of Wilmer	2012-1899-PWS-E	DALLAS	4/7/2013	\$1,215	\$243	\$972	\$0
PWS	43472	Maverick County	2012-0285-PWS-E	MAVERICK	4/7/2013	\$742	\$0	\$742	\$0
PWS	43941	NIX, WALTER E JR	2012-0766-PWS-E	BURLESON	4/7/2013	\$3,798	\$0	\$3,798	\$0
PWS	45015	Voyles, LLC	2012-1892-PWS-E	FAYETTE	4/7/2013	\$827	\$165	\$662	\$0
PWS	44901	P. M. Petroleum, Inc.	2012-1812-PWS-E	TRAVIS	4/7/2013	\$3,022	\$0	\$3,022	\$0
PWS	44921	City of Gladewater	2012-1783-PWS-E	GREGG	4/7/2013	\$1,035	\$207	\$828	\$0
PWS	44869	City of Zavalla	2012-1752-PWS-E	ANGELINA	4/7/2013	\$178	\$0	\$178	\$0
PWS	44789	BRENNAN, JEFFREY H	2012-1665-PWS-E	*MULTIPLE	4/7/2013	\$1,327	\$264	\$1,063	\$0
PWS	45067	Rodell Water System, Inc.	2012-1946-MLM-E	LEON	4/7/2013	\$937	\$187	\$750	\$0
PWS	45364	City of Gruver	2012-2210-PWS-E	HANSFORD	4/7/2013	\$517	\$103	\$414	\$0
PWS	44063	City of Cotulla	2012-0907-PWS-E	LA SALLE	4/8/2013	\$2,694	\$0	\$2,694	\$0
PWS	44752	NGUYEN, HA VAN	2012-1620-PWS-E	BURNET	4/21/2013	\$1,610	\$322	\$1,288	\$0
PWS	45033	Gary Wayne Ashby	2012-1918-PWS-E	LUBBOCK	4/27/2013	\$2,904	\$0	\$2,904	\$0
PWS	44507	Clifford & Clyde Kitten, L.P.	2012-1366-PWS-E	GARZA	4/27/2013	\$504	\$0	\$504	\$0
PWS	45136	East Marion County Water Supply Corporation	2012-2008-PWS-E	MARION	4/27/2013	\$175	\$0	\$175	\$0
PWS	44429	Tank Works, Inc.	2012-1286-PWS-E	FORT BEND	4/27/2013	\$1,127	\$0	\$1,127	\$0
PWS	45100	Lower Colorado River Authority	2012-1974-PWS-E	LAMPASAS	4/27/2013	\$345	\$0	\$345	\$0
PWS	44417	City of Megargel	2012-1289-PWS-E	ARCHER	4/27/2013	\$1,104	\$0	\$1,104	\$0

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PWS	45223	Donald S Fletcher	2012-2089-PWS-E	ERATH	4/29/2013	\$1,808	\$0	\$1,808	\$0
PWS	45104	David M Chandler, Inc.	2012-1976-PWS-E	GREGG	4/29/2013	\$565	\$0	\$565	\$0
PWS	41967	MINALDI, BEVERLY LEE	2011-1089-MLM-E	SABINE	4/29/2013	\$5,269	\$0	\$5,269	\$0
PWS	45513	Lubbock Reese Redevelopment Authority Corporation	2012-2369-PWS-E	LUBBOCK	5/2/2013	\$100	\$20	\$80	\$0
PWS	45467	City of La Ward	2012-2318-PWS-E	JACKSON	5/2/2013	\$168	\$33	\$135	\$0
PWS	45175	Leibold-Grothues Ranch Ltd.	2012-2039-PWS-E	BANDERA	5/2/2013	\$2,223	\$0	\$2,223	\$0
PWS	45052	Goodsprings Water Supply Corporation	2012-1928-PWS-E	RUSK	5/2/2013	\$172	\$0	\$172	\$0
PWS	43321	Lake Livingston Water Supply and Sewer Service Corporation	2012-0125-PWS-E	SAN JACINTO	5/2/2013	\$817	\$163	\$654	\$0
PWS	45444	City of Marlin	2012-2319-PWS-E	FALLS	5/2/2013	\$804	\$0	\$804	\$0
PWS	45069	Hill, Noma	2012-1961-PWS-E	WALLER	5/2/2013	\$2,251	\$0	\$2,251	\$0
PWS	44592	Flomot Water Supply Corporation	2012-1534-PWS-E	MOTLEY	5/2/2013	\$1,090	\$217	\$873	\$0
PWS	44634	Cotulla Fish Hatchery LLC	2012-1533-PWS-E	LA SALLE	5/2/2013	\$1,863	\$0	\$1,863	\$0
PWS	45365	City of Splendora	2012-2224-PWS-E	MONTGOMERY	5/2/2013	\$1,485	\$297	\$1,188	\$0
PWS	46074	BELL, SAMMIE L	2013-0180-WOC-E	CARSON	5/2/2013	\$175	\$0	\$175	\$0
PWS	44988	GF Group, Inc.	2012-1864-PWS-E	BANDERA	5/2/2013	\$715	\$143	\$572	\$0
PWS	45032	City of Fritch	2012-1905-PWS-E	HUTCHINSON	5/11/2013	\$226	\$45	\$181	\$0
PWS	44064	Ms. Molly's LLC	2012-0915-PWS-E	COLORADO	5/11/2013	\$256	\$51	\$205	\$0
PWS	45797	City of Lacy Lakeview	2012-2652-PWS-E	MCLENNAN	5/11/2013	\$686	\$137	\$549	\$0
PWS	45938	City of De Kalb	2013-0047-PWS-E	BOWIE	5/11/2013	\$549	\$109	\$440	\$0
PWS	45409	City of Anahuac	2012-2274-PWS-E	CHAMBERS	5/11/2013	\$630	\$126	\$504	\$0
PWS	45677	Aqua Utilities, Inc.	2012-2519-PWS-E	HAYS	5/11/2013	\$645	\$129	\$516	\$0
PWS	45103	Ramon C. Gonzales, Jr.	2012-1973-PWS-E	MIDLAND	5/22/2013	\$1,307	\$0	\$1,307	\$0
PWS	43612	Thomas Pankratz	2012-0415-PWS-E	KENDALL	5/22/2013	\$3,489	\$0	\$3,489	\$0
PWS	44621	MORRIS, LESLIE L *	2012-1491-PWS-E	HARRIS	5/23/2013	\$1,525	\$0	\$1,525	\$0
PWS	45533	Lakeshore Utility Company	2012-2522-PWS-E	SMITH	6/1/2013	\$276	\$55	\$221	\$0
PWS	45967	City of Joaquin	2013-0066-PWS-E	SHELBY	6/1/2013	\$517	\$103	\$414	\$0
PWS	45799	Ochiltree County	2012-2651-PWS-E	OCHILTREE	6/1/2013	\$720	\$144	\$576	\$0
PWS	45558	Town of Woodsboro	2012-2414-PWS-E	REFUGIO	6/1/2013	\$900	\$180	\$720	\$0
PWS	39908	Soda Water Supply Corporation	2012-1725-PWS-E	POLK	6/3/2013	\$510	\$0	\$510	\$0
PWS	45426	Diocese of Victoria	2012-2304-PWS-E	LAVACA	6/3/2013	\$2,310	\$0	\$2,310	\$0
PWS	38037	A. & P. Water Supply Corporation	2012-2183-PWS-E	PANOLA	6/3/2013	\$2,728	\$0	\$2,728	\$0
PWS	44313	BRUSH COUNTRY DEVELOPMENT CORPORATION	2012-1188-PWS-E	DUVAL	6/3/2013	\$3,669	\$0	\$3,669	\$0
PWS	44839	Travis County Municipal Utility District 10	2012-1852-PWS-E	TRAVIS	6/3/2013	\$183	\$0	\$183	\$0
PWS	45250	Jonathan Law	2012-2116-PWS-E	GALVESTON	6/3/2013	\$714	\$0	\$714	\$0
PWS	45818	Centerville Water Supply Corporation	2012-2671-PWS-E	TRINITY	6/3/2013	\$172	\$0	\$172	\$0
PWS	44318	Frankston Rural Water Supply Corporation	2012-1174-PWS-E	ANDERSON	6/3/2013	\$1,277	\$0	\$1,277	\$0
PWS	45695	City of Lone Oak	2012-2694-PWS-E	HUNT	6/3/2013	\$495	\$0	\$495	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	45672	S & K Enterprise, Inc.	2012-2520-PWS-E	MONTGOMERY	6/3/2013	\$696	\$0	\$696	\$0
PWS	35459	Ranger Utility Company	2011-1171-PWS-E	WALLER	6/3/2013	\$21,250	\$0	\$21,250	\$0
PWS	44808	City of Plainview	2012-1700-PWS-E	HALE	6/3/2013	\$15,822	\$3,164	\$0	\$12,658
PWS	45325	DAY, RONNIE E	2012-2182-PWS-E	LUBBOCK	6/3/2013	\$686	\$0	\$686	\$0
PWS	42018	Laddie Machacek	2011-1195-PWS-E	BRAZORIA	6/3/2013	\$3,893	\$0	\$3,893	\$0
PWS	44158	Cypress Hills Limited	2012-1003-PWS-E	HARRISON	6/3/2013	\$2,490	\$0	\$2,490	\$0
PWS	45937	City Of Panhandle	2013-0048-PWS-E	CARSON	6/8/2013	\$960	\$192	\$768	\$0
PWS	45717	Lake Winona Property Owners Association	2012-2549-PWS-E	GRIMES	6/8/2013	\$1,557	\$311	\$1,246	\$0
PWS	44915	Al-Qamar, Inc.	2012-1784-PWS-E	HARRIS	6/8/2013	\$1,598	\$318	\$1,280	\$0
PWS	45895	City of Idalou	2013-0019-PWS-E	LUBBOCK	6/8/2013	\$330	\$66	\$264	\$0
PWS	45987	High Point Water Supply Corporation	2013-0109-PWS-E	KAUFMAN	6/8/2013	\$338	\$67	\$271	\$0
PWS	45427	PINE MEADOW MHC, LLC	2012-2289-PWS-E	HARDIN	6/8/2013	\$1,550	\$310	\$1,240	\$0
PWS	39612	STAHLHEBER, GARY DON *	2012-0301-PWS-E	BRAZORIA	6/13/2013	\$5,867	\$0	\$5,867	\$0
PWS	44208	KLAERNER, SAMUEL O	2012-1133-PWS-E	GILLESPIE	6/13/2013	\$2,068	\$0	\$2,068	\$0
PWS	37608	Blanca Cruz	2011-2322-PWS-E	BANDERA	6/23/2013	\$25,904	\$0	\$25,904	\$0
PWS	45412	The Center Serving Persons with Mental Retardation	2012-2273-PWS-E	WALLER	6/27/2013	\$525	\$105	\$420	\$0
PWS	46570	PENNINGTON, BRADLEY E	2013-0658-WOC-E	SAN AUGUSTINE	6/27/2013	\$175	\$0	\$175	\$0
PWS	40698	Tempe Water Supply Corporation	2012-2575-PWS-E	POLK	6/27/2013	\$842	\$0	\$842	\$0
PWS	45495	City of Pyote	2012-2347-PWS-E	WARD	6/27/2013	\$1,620	\$0	\$1,620	\$0
PWS	44272	Trailswest Mobile Home Park, LLC	2012-1117-PWS-E	BOWIE	6/27/2013	\$976	\$194	\$782	\$0
PWS	45671	Hamilton Pool H2O LLC	2012-2576-PWS-E	HAYS	6/27/2013	\$721	\$144	\$577	\$0
PWS	45255	Randy L. Snapp	2012-2128-PWS-E	GILLESPIE	7/17/2013	\$968	\$0	\$968	\$0
PWS	35519	Custom Water Co., L.L.C.	2012-0160-PWS-E	MONTAGUE	7/18/2013	\$12,402	\$0	\$12,402	\$0
PWS	45188	Robert F. Bryer	2012-2047-PWS-E	HARRIS	7/19/2013	\$939	\$187	\$752	\$0
PWS	45597	PLAINVIEW SERENITY CENTER INC	2012-2440-PWS-E	HALE	7/19/2013	\$900	\$180	\$720	\$0
PWS	45889	City of Mercedes	2013-0005-PWS-E	HIDALGO	7/19/2013	\$1,123	\$224	\$899	\$0
PWS	46136	City of Kemp	2013-0229-PWS-E	KAUFMAN	7/19/2013	\$325	\$65	\$260	\$0
PWS	44679	Mountain Breeze, L.L.C.	2012-1545-PWS-E	COMAL	7/28/2013	\$1,543	\$308	\$1,235	\$0
PWS	44182	Texas Parks and Wildlife Department	2012-1023-PWS-E	PALO PINTO	7/28/2013	\$750	\$150	\$600	\$0
PWS	44717	Rocky Wadlington	2012-1590-PWS-E	LIMESTONE	7/28/2013	\$1,015	\$0	\$1,015	\$0
PWS	46247	West Texas Boys Ranch	2013-0357-PWS-E	TOM GREEN	7/28/2013	\$833	\$166	\$667	\$0
PWS	46105	OLD TYMER ENTERPRISES, INC.	2013-0216-PWS-E	BEXAR	7/28/2013	\$2,176	\$0	\$2,176	\$0
PWS	46258	S.L.C. Water Supply Corporation	2013-0356-PWS-E	LIMESTONE	8/3/2013	\$258	\$0	\$258	\$0
PWS	45903	MARINA QUEST INC	2013-0017-PWS-E	GRAYSON	8/3/2013	\$2,082	\$0	\$2,082	\$0
PWS	38185	Thomas Osborn Hammond	2012-0777-PWS-E	SOMERVELL	8/3/2013	\$25,051	\$0	\$25,051	\$0
PWS	45619	Dana L. Miller	2012-2473-PWS-E	DENTON	8/3/2013	\$4,225	\$0	\$4,225	\$0
PWS	45913	Thomas, George	2013-0029-PWS-E	RANDALL	8/3/2013	\$1,883	\$0	\$1,883	\$0
PWS	44622	City of Granite Shoals	2012-1503-PWS-E	BURNET	8/8/2013	\$670	\$134	\$536	\$0

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PWS	46071	CII ALLIANCE INC	2013-0181-PWS-E	GALVESTON	8/8/2013	\$1,534	\$306	\$1,228	\$0
PWS	46014	Sam Rayburn Water, Inc.	2013-0125-PWS-E	SAN AUGUSTINE	8/8/2013	\$400	\$80	\$320	\$0
PWS	46502	Altoga Water Supply Corporation	2013-0569-PWS-E	COLLIN	8/8/2013	\$213	\$42	\$171	\$0
PWS	46243	City of Cameron	2013-0358-PWS-E	MILAM	8/8/2013	\$1,728	\$345	\$1,383	\$0
PWS	46277	Longhorn Ranch Motel, Inc.	2013-0379-PWS-E	BREWSTER	8/8/2013	\$200	\$40	\$160	\$0
PWS	46135	City of Trinidad	2013-0230-PWS-E	HENDERSON	8/8/2013	\$992	\$198	\$794	\$0
PWS	46065	Atascosa Rural Water Supply Corporation	2013-0183-PWS-E	BEXAR	8/22/2013	\$840	\$168	\$672	\$0
PWS	46100	City of Thornton	2013-0258-PWS-E	LIMESTONE	8/22/2013	\$396	\$79	\$317	\$0
PWS	46289	Houston Nursery LLC	2013-0427-PWS-E	FORT BEND	8/22/2013	\$150	\$30	\$120	\$0
PWS	46101	Nigton-Wakefield Water Supply Corporation	2013-0214-PWS-E	TRINITY	8/22/2013	\$1,340	\$268	\$1,072	\$0
PWS	46160	Greenwood Ventures Inc.	2013-0281-PWS-E	MIDLAND	8/23/2013	\$2,734	\$0	\$2,734	\$0
PWS	44540	Norman Barnett	2012-1397-UTL-E	HARRIS	8/23/2013	\$1,575	\$0	\$1,575	\$0
PWS	46304	Brazoria County	2013-0406-PWS-E	BRAZORIA	8/26/2013	\$495	\$0	\$495	\$0
PWS	46522	Blackjack WSC	2013-0596-PWS-E	CHEROKEE	8/26/2013	\$230	\$0	\$230	\$0
PWS	46227	Brazos Valley Septic & Water, Inc.	2013-0329-PWS-E	BRAZOS	8/26/2013	\$172	\$0	\$172	\$0
PWS	45817	BIRD, LEO *	2012-2672-PWS-E	ANGELINA	8/26/2013	\$620	\$0	\$620	\$0
PWS	46166	City of Freeport	2013-0269-PWS-E	BRAZORIA	8/26/2013	\$351	\$0	\$351	\$0
PWS	45512	Caliber Texas Properties LP	2012-2366-PWS-E	FORT BEND	8/26/2013	\$2,098	\$0	\$2,098	\$0
PWS	46222	Vince Britten	2013-0345-PWS-E	POTTER	8/26/2013	\$1,756	\$0	\$1,756	\$0
<b>Subtotal for PWS : 249</b>						<b>\$496,568</b>	<b>\$29,714</b>	<b>\$454,016</b>	<b>\$12,838</b>
WATEI	42725	Prestonwood Golf Club LLC	2011-1881-WR-E	DENTON	9/16/2012	\$1,600	\$0	\$1,600	\$0
WATEI	43509	Thomas Hayre *	2012-0322-WR-E	MENARD	9/16/2012	\$2,500	\$500	\$1,000	\$1,000
WATEI	43686	Alice Southern Equipment Service, Inc.	2012-0496-WR-E	LEON	9/30/2012	\$1,694	\$338	\$1,356	\$0
WATEI	42678	Toll Dallas TX LLC	2011-1835-WR-E	TARRANT	9/30/2012	\$1,880	\$376	\$1,504	\$0
WATEI	43951	WORRELL, REX R	2012-0802-WR-E	HOOD	10/13/2012	\$500	\$100	\$400	\$0
WATEI	43298	BOASSO AMERICA CORPORATION	2012-0101-WR-E	HARRIS	10/13/2012	\$3,000	\$600	\$2,400	\$0
WATEI	43883	DECORDOVA BEND ESTATES OWNERS ASSOCIATION, INC.	2012-0704-WR-E	HOOD	11/11/2012	\$500	\$100	\$400	\$0
WATEI	42521	Braden Exploration, LLC *	2011-1668-WR-E	WISE	11/11/2012	\$2,350	\$470	\$1,880	\$0
WATEI	44762	Graham Tank Trucks, Inc.	2012-1640-WR-E	YOUNG	11/22/2012	\$350	\$0	\$350	\$0
WATEI	44761	Saylor General Contractors, Inc.	2012-1641-WR-E	YOUNG	11/22/2012	\$350	\$0	\$350	\$0
WATEI	44760	Newcastle Independent School District	2012-1642-WR-E	YOUNG	11/22/2012	\$350	\$0	\$350	\$0
WATEI	44864	Southwest Integrated Enterprises, Inc.	2012-1750-WR-E	YOUNG	12/16/2012	\$350	\$0	\$350	\$0
WATEI	44591	Devon Energy Production Company, L.P.	2012-1487-WR-E	JOHNSON	1/12/2013	\$869	\$173	\$696	\$0
WATEI	44705	CANYON WEST GOLF CLUB, INC.	2012-1583-WR-E	PARKER	2/23/2013	\$500	\$100	\$400	\$0
WATEI	44886	City of Newcastle	2012-1826-WR-E	YOUNG	3/3/2013	\$750	\$150	\$600	\$0
WATEI	44875	FIRST GRANBURY BANCORPORATION	2012-1754-WR-E	PARKER	3/3/2013	\$750	\$150	\$600	\$0
WATEI	41275	Kiewit Texas Construction L.P.	2011-0399-WR-E	COMANCHE	3/21/2013	\$615	\$123	\$492	\$0

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WATEI	44292	Somervell County	2012-1141-WR-E	SOMERVELL	4/7/2013	\$50,500	\$10,100	\$0	\$40,400
WATEI	45320	Newman Copeland	2012-2180-WR-E	MCLENNAN	4/21/2013	\$3,000	\$600	\$2,400	\$0
WATEI	44206	Garrett Flying Service, Inc.	2012-1048-WR-E	BRAZORIA	4/21/2013	\$7,411	\$1,482	\$2,965	\$2,964
WATEI	46066	Ringo Drilling I, L.P	2013-0176-WR-E	TAYLOR	5/2/2013	\$350	\$0	\$350	\$0
WATEI	46112	Valley Boring Service, L.L.C.	2013-0227-WR-E	ZAPATA	5/2/2013	\$350	\$0	\$350	\$0
WATEI	44562	Holiday Hills Country Club, Inc.	2012-1459-WR-E	PALO PINTO	5/11/2013	\$750	\$150	\$600	\$0
WATEI	45687	City of Gainesville	2013-0045-WR-E	COOKE	5/11/2013	\$2,250	\$450	\$1,800	\$0
WATEI	45688	BRIDGEPORT COUNTRY CLUB, INC.	2013-0044-WR-E	WISE	6/8/2013	\$1,500	\$300	\$1,200	\$0
<b>Subtotal for WATERIGHT : 25</b>						<b>\$85,019</b>	<b>\$16,262</b>	<b>\$24,393</b>	<b>\$44,364</b>
WATU	44187	J & K Group, Incorporated	2012-1026-UTL-E	FORT BEND	5/23/2013	\$1,935	\$0	\$1,935	\$0
<b>Subtotal for WATUTIL : 1</b>						<b>\$1,935</b>	<b>\$0</b>	<b>\$1,935</b>	<b>\$0</b>
WQ	38893	City of Hawk Cove	2009-2074-MWD-E	HUNT	9/2/2012	\$9,225	\$0	\$9,225	\$0
WQ	42571	OMAR SALINAS	2011-1724-OSS-E	DUVAL	9/6/2012	\$787	\$0	\$787	\$0
WQ	42312	KRIESE, SHAWN	2011-1507-SLG-E	BASTROP	9/6/2012	\$7,500	\$0	\$7,500	\$0
WQ	44121	RHONE BUILDING CORP	2012-0958-WQ-E	WICHITA	9/16/2012	\$875	\$0	\$875	\$0
WQ	43396	Shady Hollow Municipal Utility District	2012-0203-EAQ-E	TRAVIS	9/16/2012	\$5,650	\$1,130	\$4,520	\$0
WQ	41629	ROGERS, GORDON DEAN	2011-0786-MWD-E	ANGELINA	9/16/2012	\$46,274	\$0	\$46,274	\$0
WQ	37801	Terbo Construction, L. P.	2009-0927-WQ-E	WALLER	9/16/2012	\$700	\$0	\$700	\$0
WQ	43815	FOURTH QUARTER PROPERTIES 140, LLC	2012-0638-EAQ-E	BEXAR	9/16/2012	\$1,875	\$375	\$1,500	\$0
WQ	44226	Rio Concho, Inc.	2012-1071-WQ-E	TOM GREEN	9/16/2012	\$875	\$0	\$875	\$0
WQ	41484	City of Celeste	2011-0583-MWD-E	HUNT	9/29/2012	\$47,040	\$0	\$47,040	\$0
WQ	43229	Matagorda Waste Disposal & Water Supply Corporation	2012-0034-MWD-E	MATAGORDA	9/29/2012	\$26,975	\$5,395	\$21,580	\$0
WQ	42941	City of Leander	2011-2089-MWD-E	WILLIAMSON	9/29/2012	\$33,000	\$0	\$0	\$33,000
WQ	43008	Granite Stonebridge Health Center LLC	2011-2158-MWD-E	TRAVIS	9/29/2012	\$13,621	\$2,724	\$10,897	\$0
WQ	42723	City of Rosebud	2011-1884-MWD-E	FALLS	9/29/2012	\$34,050	\$34,050	\$0	\$0
WQ	41985	City Of Edgewood	2011-1097-MWD-E	VAN ZANDT	9/29/2012	\$6,712	\$6,712	\$0	\$0
WQ	43502	Brazoria County Municipal Utility District 21	2012-0317-MWD-E	BRAZORIA	9/29/2012	\$17,290	\$0	\$17,290	\$0
WQ	43866	City of Groesbeck	2012-0688-MWD-E	LIMESTONE	9/30/2012	\$4,430	\$885	\$3,545	\$0
WQ	44375	LARRY RAMBO TRUCKING	2012-1247-WQ-E	TARRANT	9/30/2012	\$875	\$0	\$875	\$0
WQ	43606	Johnson Resources, Inc.	2012-0509-IHW-E	NUECES	9/30/2012	\$1,886	\$377	\$1,509	\$0
WQ	44399	North Texas Maverick Builders LP	2012-1260-WQ-E	PARKER	9/30/2012	\$875	\$0	\$875	\$0
WQ	44373	John Merritt Homes, Inc.	2012-1252-WQ-E	KENDALL	9/30/2012	\$875	\$0	\$875	\$0
WQ	43694	Johnson County Redi-Mix, Ltd.	2012-0502-WQ-E	JOHNSON	9/30/2012	\$950	\$190	\$760	\$0
WQ	43990	BAZE CHEMICAL, INC	2012-0837-IWD-E	LIBERTY	9/30/2012	\$1,590	\$318	\$1,272	\$0
WQ	44376	Turner Industries Group, L.L.C.	2012-1246-WQ-E	NUECES	9/30/2012	\$875	\$0	\$875	\$0
WQ	44374	City of Granbury	2012-1251-WQ-E	HOOD	9/30/2012	\$875	\$0	\$875	\$0
WQ	43938	Golden Spread Redi-Mix, Inc.	2012-0773-IWD-E	POTTER	10/13/2012	\$3,800	\$760	\$3,040	\$0
WQ	43905	Hamshire-Fannett Independent School District	2012-0730-MWD-E	JEFFERSON	10/13/2012	\$4,925	\$985	\$3,940	\$0

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WQ	43721	City of Bellevue	2012-0543-MWD-E	CLAY	10/13/2012	\$2,160	\$432	\$1,728	\$0
WQ	43917	Upper Jasper County Water Authority	2012-0741-MWD-E	JASPER	10/13/2012	\$720	\$144	\$576	\$0
WQ	40873	LINH-SON BUDDHIST ASSOCIATION OF AUSTIN, TEXAS	2010-2034-EAQ-E	TRAVIS	10/13/2012	\$5,250	\$1,050	\$4,200	\$0
WQ	43857	Lone Star Growers, L.P.	2012-0682-IWD-E	MCLENNAN	10/13/2012	\$1,350	\$270	\$1,080	\$0
WQ	43752	Quality Product Finishing, Inc.	2012-0576-IWD-E	HARRIS	10/13/2012	\$1,926	\$385	\$1,541	\$0
WQ	43763	Pilgrim's Pride Corporation	2012-0587-IWD-E	NACOGDOCHES	10/13/2012	\$7,280	\$1,456	\$5,824	\$0
WQ	42203	Campbell Concrete & Materials LLC	2011-1346-IWD-E	GALVESTON	10/13/2012	\$3,000	\$600	\$2,400	\$0
WQ	43995	Smart Materials, Inc.	2012-0823-WQ-E	LIBERTY	10/13/2012	\$3,750	\$750	\$3,000	\$0
WQ	44164	Trinity Bay Conservation District	2012-1019-MWD-E	CHAMBERS	10/13/2012	\$5,300	\$1,060	\$4,240	\$0
WQ	43758	Port of Houston Authority	2012-0574-MWD-E	HARRIS	10/13/2012	\$5,075	\$1,015	\$0	\$4,060
WQ	40891	Andrade, Baldemar Cienfuegos	2010-2058-IHW-E	WEBB	10/19/2012	\$21,000	\$0	\$21,000	\$0
WQ	44498	Craigs Dirt Service LLC	2012-1350-WQ-E	SMITH	10/26/2012	\$875	\$0	\$875	\$0
WQ	43679	Dia-Den Ltd.	2012-0516-MWD-E	HARRIS	10/26/2012	\$2,124	\$424	\$1,700	\$0
WQ	44619	HOGG, KEVIN S	2012-1501-WQ-E	MCLENNAN	10/26/2012	\$875	\$0	\$875	\$0
WQ	44479	Landmark Industries, Ltd.	2012-1337-WQ-E	FORT BEND	10/26/2012	\$875	\$0	\$875	\$0
WQ	44625	Tyler Pounds Regional Airport	2012-1488-WQ-E	SMITH	10/26/2012	\$875	\$0	\$875	\$0
WQ	44624	City of College Station	2012-1489-WQ-E	BRAZOS	10/26/2012	\$875	\$0	\$875	\$0
WQ	44205	City of Center	2012-1055-MWD-E	SHELBY	10/26/2012	\$7,110	\$1,422	\$5,688	\$0
WQ	43707	Iowa Park Ready-Mix, Inc.	2012-0526-IWD-E	WICHITA	10/26/2012	\$2,730	\$546	\$2,184	\$0
WQ	43236	City of Huntsville	2012-0202-MWD-E	WALKER	10/26/2012	\$2,600	\$520	\$2,080	\$0
WQ	44472	Katy Independent School District	2012-1328-WQ-E	FORT BEND	10/26/2012	\$875	\$0	\$875	\$0
WQ	41742	City of Florence	2011-0854-MWD-E	WILLIAMSON	10/27/2012	\$13,107	\$2,621	\$10,486	\$0
WQ	44113	Grand Ranch Treatment Company	2012-0956-MWD-E	JOHNSON	10/27/2012	\$8,155	\$1,631	\$6,524	\$0
WQ	43942	New Braunfels Utilities	2012-0771-MWD-E	COMAL	10/27/2012	\$7,750	\$1,550	\$6,200	\$0
WQ	40621	City of Bailey	2010-1826-MWD-E	FANNIN	10/27/2012	\$73,650	\$73,650	\$0	\$0
WQ	43785	Diamond S Cattle Company	2012-0672-IWD-E	PALO PINTO	10/27/2012	\$13,250	\$2,650	\$10,600	\$0
WQ	33235	NGUYEN, CHUNG	2011-2082-MWD-E	BELL	10/27/2012	\$58,750	\$0	\$58,750	\$0
WQ	37396	City of Overton	2009-0452-MWD-E	RUSK	10/27/2012	\$42,642	\$0	\$42,642	\$0
WQ	43987	Trinity Rural Water Supply Corporation	2012-0878-MWD-E	TRINITY	10/27/2012	\$7,612	\$1,522	\$6,090	\$0
WQ	42042	West Yukon Estates LLC	2011-1255-MWD-E	HARRIS	10/27/2012	\$19,513	\$18,313	\$1,200	\$0
WQ	42546	Robinson, James Wayne	2011-1678-MWD-E	HARRIS	10/27/2012	\$12,210	\$0	\$12,210	\$0
WQ	43760	City of Ranger	2012-0573-MWD-E	EASTLAND	10/27/2012	\$9,970	\$1,994	\$7,976	\$0
WQ	43750	City of Commerce	2012-0566-MWD-E	HUNT	10/27/2012	\$12,225	\$0	\$0	\$12,225
WQ	43340	City of Fort Worth	2012-0151-MWD-E	TARRANT	10/27/2012	\$15,625	\$0	\$0	\$15,625
WQ	43457	Lower Colorado River Authority	2012-0291-MWD-E	BASTROP	10/27/2012	\$6,413	\$0	\$6,413	\$0
WQ	41483	City of Atlanta	2011-0582-MWD-E	CASS	10/27/2012	\$12,350	\$12,350	\$0	\$0
WQ	43621	City of Springtown	2012-0449-MWD-E	PARKER	10/27/2012	\$30,350	\$0	\$0	\$30,350
WQ	42832	Hill Country Harbor, L.P.	2011-1970-MWD-E	PALO PINTO	10/27/2012	\$22,800	\$0	\$22,800	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	42068	City of Malone	2011-1189-MWD-E	HILL	10/27/2012	\$23,144	\$23,144	\$0	\$0
WQ	40901	City of Detroit	2010-2069-MWD-E	RED RIVER	11/11/2012	\$2,560	\$512	\$2,048	\$0
WQ	44690	City of Nash	2012-1565-WQ-E	BOWIE	11/11/2012	\$875	\$0	\$875	\$0
WQ	43646	City of Mertens	2012-0452-MWD-E	HILL	11/11/2012	\$1,800	\$0	\$1,800	\$0
WQ	44687	Commercial Metals Company	2012-1568-WQ-E	NUECES	11/11/2012	\$875	\$0	\$875	\$0
WQ	44029	City of Grand Saline	2012-0867-MWD-E	VAN ZANDT	11/11/2012	\$2,700	\$0	\$2,700	\$0
WQ	44654	MATHEWS, STEPHEN W RS	2012-1540-OSI-E	HARRISON	11/11/2012	\$175	\$0	\$175	\$0
WQ	43887	City of Kilgore	2012-0709-MWD-E	GREGG	11/11/2012	\$4,975	\$995	\$3,980	\$0
WQ	44302	Orange County Water Control and Improvement District No. 1	2012-1151-MWD-E	ORANGE	11/11/2012	\$4,725	\$945	\$3,780	\$0
WQ	44691	CJB Construction, Inc.	2012-1564-WQ-E	HOOD	11/11/2012	\$875	\$0	\$875	\$0
WQ	43902	Union Water Supply Corporation	2012-0731-MWD-E	STARR	11/11/2012	\$2,582	\$516	\$2,066	\$0
WQ	44618	City of College Station	2012-1532-WQ-E	BRAZOS	11/11/2012	\$875	\$0	\$875	\$0
WQ	39073	Hanson Pipe & Precast LLC	2012-0868-IWD-E	DALLAS	11/11/2012	\$4,525	\$905	\$3,620	\$0
WQ	44688	Smith Tank & Equipment Company	2012-1567-WQ-E	SMITH	11/11/2012	\$875	\$0	\$875	\$0
WQ	44689	W & W Fiberglass Tank Company	2012-1566-WQ-E	GRAY	11/11/2012	\$875	\$0	\$875	\$0
WQ	43989	West Road Water Supply Corporation *	2012-0820-IWD-E	HARRIS	11/11/2012	\$1,891	\$377	\$1,514	\$0
WQ	43908	Gulf West Landfill TX, LP	2012-0732-IWD-E	CHAMBERS	11/11/2012	\$2,135	\$427	\$854	\$854
WQ	43245	E. I. du Pont de Nemours and Company	2012-0050-IWD-E	HARRIS	11/11/2012	\$91,125	\$18,225	\$36,450	\$36,450
WQ	43856	West Harris County Municipal Utility District 7	2012-0680-MWD-E	HARRIS	11/11/2012	\$14,062	\$0	\$14,062	\$0
WQ	36741	Avalon Water Supply And Sewer Service Corporation	2011-1488-MWD-E	ELLIS	11/11/2012	\$66,275	\$66,275	\$0	\$0
WQ	44236	City of Pineland	2012-1083-MWD-E	SABINE	11/11/2012	\$5,915	\$1,183	\$4,732	\$0
WQ	44686	City of Beeville	2012-1569-WQ-E	BEE	11/11/2012	\$875	\$0	\$875	\$0
WQ	43053	MCJUNKIN RED MAN CORPORATION	2011-2206-MWD-E	HARRIS	11/12/2012	\$714	\$0	\$714	\$0
WQ	43235	Woodside Tuscan Oaks, LLC *	2012-0157-EAQ-E	BEXAR	11/12/2012	\$3,750	\$0	\$3,750	\$0
WQ	42547	GRZANN, JAMES	2011-1669-WQ-E	TARRANT	11/16/2012	\$2,100	\$0	\$2,100	\$0
WQ	44314	Trinity SO GP, LLC	2012-1166-MWD-E	HARRIS	11/22/2012	\$1,776	\$355	\$1,421	\$0
WQ	43931	Murvaul Wsc	2012-0758-IWD-E	PANOLA	11/22/2012	\$6,950	\$1,390	\$5,560	\$0
WQ	44495	Angelina Water Supply Corporation	2012-1392-MWD-E	ANGELINA	11/22/2012	\$1,060	\$212	\$848	\$0
WQ	44000	Waste Management of Texas, Inc.	2012-0879-IWD-E	GALVESTON	11/22/2012	\$1,040	\$208	\$832	\$0
WQ	44764	City of Copperas Cove	2012-1639-WQ-E	CORYELL	11/22/2012	\$875	\$0	\$875	\$0
WQ	44770	City of Copperas Cove	2012-1638-WQ-E	CORYELL	11/22/2012	\$875	\$0	\$875	\$0
WQ	44763	City of Copperas Cove	2012-1651-WQ-E	CORYELL	11/22/2012	\$875	\$0	\$875	\$0
WQ	44315	Military Highway Water Supply Corporation	2012-1165-MWD-E	CAMERON	11/22/2012	\$2,375	\$475	\$1,900	\$0
WQ	44819	BUTLER CECIL	2012-1716-WOC-E	UPTON	11/22/2012	\$175	\$0	\$175	\$0
WQ	43907	City of George West	2012-0783-MWD-E	LIVE OAK	11/22/2012	\$2,360	\$472	\$1,888	\$0
WQ	43339	City of Coolidge	2012-0152-MWD-E	LIMESTONE	11/30/2012	\$19,146	\$3,829	\$15,317	\$0
WQ	43514	MAGNOLIA SHELL TRUCK STOP, INC.	2012-0330-IWD-E	HARRIS	11/30/2012	\$54,600	\$0	\$54,600	\$0

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## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	43464	City of Marlin	2012-0270-SLG-E	FALLS	11/30/2012	\$9,000	\$1,800	\$0	\$7,200
WQ	43854	City of Lefors	2012-0679-MWD-E	GRAY	11/30/2012	\$8,980	\$1,796	\$7,184	\$0
WQ	42458	United States Army Corps of Engineers	2011-1599-MWD-E	WILLIAMSON	11/30/2012	\$52,830	\$10,566	\$42,264	\$0
WQ	43670	Aqua Utilities, Inc.	2012-0480-MWD-E	HARRIS	11/30/2012	\$30,482	\$0	\$30,482	\$0
WQ	43228	City of Kennard	2012-0026-MWD-E	HOUSTON	11/30/2012	\$54,502	\$0	\$0	\$54,502
WQ	41886	City of Burleson	2011-1002-WQ-E	JOHNSON	11/30/2012	\$12,250	\$0	\$12,250	\$0
WQ	43651	Ngo, Andy	2012-0457-WQ-E	NACOGDOCHES	11/30/2012	\$5,250	\$0	\$5,250	\$0
WQ	7761	SOUTHEAST WATER RECLAMATION PLANT	2012-1903-MWD-E	LUBBOCK	11/30/2012	\$0	\$0	\$0	\$0
WQ	42964	Fruitvale Independent School District	2011-2117-MWD-E	VAN ZANDT	11/30/2012	\$7,560	\$1,512	\$6,048	\$0
WQ	40452	J&S Water Company, L. L. C.	2010-1581-MWD-E	CHAMBERS	11/30/2012	\$39,325	\$0	\$39,325	\$0
WQ	41819	City of Van Alstyne	2011-0940-MWD-E	GRAYSON	12/15/2012	\$30,845	\$0	\$0	\$30,845
WQ	43953	City of Dripping Springs	2012-0801-MWD-E	HAYS	12/15/2012	\$14,438	\$2,887	\$11,551	\$0
WQ	41409	City of Huntington	2011-0504-MWD-E	ANGELINA	12/15/2012	\$38,925	\$38,925	\$0	\$0
WQ	43909	Aus-Tex Parts & Services, Ltd.	2012-0729-MWD-E	HAYS	12/15/2012	\$13,750	\$2,750	\$11,000	\$0
WQ	43004	City of Arlington	2011-2258-WQ-E	TARRANT	12/15/2012	\$11,250	\$0	\$0	\$11,250
WQ	43005	CANTU, HERLINDA G	2011-2202-EAQ-E	BEXAR	12/15/2012	\$8,100	\$1,620	\$6,480	\$0
WQ	44042	Victoria County Water Control and Improvement District No. 2	2012-0913-MWD-E	VICTORIA	12/15/2012	\$18,360	\$3,672	\$14,688	\$0
WQ	43861	MHC TT, Inc.	2012-0742-MWD-E	HILL	12/15/2012	\$7,525	\$1,505	\$6,020	\$0
WQ	44222	Cypress Hill Mud 1	2012-1103-MWD-E	HARRIS	12/16/2012	\$2,745	\$549	\$2,196	\$0
WQ	44445	Powell, Jackie Diane	2012-1384-IWD-E	HARRIS	12/16/2012	\$3,725	\$745	\$2,980	\$0
WQ	44285	Chambers Control & Improvement District 1	2012-1181-MWD-E	CHAMBERS	12/16/2012	\$6,300	\$1,260	\$5,040	\$0
WQ	44327	El Paso County Water Control Improvement District 4	2012-1299-MWD-E	EL PASO	12/16/2012	\$6,825	\$1,365	\$5,460	\$0
WQ	44785	City of Alice	2012-1664-WQ-E	JIM WELLS	12/16/2012	\$875	\$0	\$875	\$0
WQ	43972	City of Paducah	2012-0806-WQ-E	COTTLE	12/16/2012	\$1,337	\$267	\$1,070	\$0
WQ	43641	GARCIA, ERASMO	2012-0450-OSS-E	MCCULLOCH	12/20/2012	\$3,900	\$0	\$3,900	\$0
WQ	42194	Indian Petro Corp.	2011-1344-MWD-E	SAN JACINTO	12/20/2012	\$16,830	\$0	\$16,830	\$0
WQ	44195	City of Collinsville	2012-1044-MWD-E	GRAYSON	1/12/2013	\$3,310	\$662	\$2,648	\$0
WQ	44946	Panhandle Northern Railroad, L.L.C.	2012-1827-WQ-E	HUTCHINSON	1/12/2013	\$875	\$0	\$875	\$0
WQ	44994	Ash Grove Texas, L.P.	2012-1872-WQ-E	DENTON	1/12/2013	\$875	\$0	\$875	\$0
WQ	44369	Texas H2O, Inc.	2012-1229-MWD-E	HOOD	1/12/2013	\$5,000	\$1,000	\$4,000	\$0
WQ	44458	Luminant Big Brown Mining Company LLC *	2012-1321-IWD-E	FREESTONE	1/12/2013	\$5,558	\$1,111	\$4,447	\$0
WQ	44905	PHILLIPS SPECIALTY PRODUCTS INC.	2012-1802-WQ-E	BRAZOS	1/12/2013	\$875	\$0	\$875	\$0
WQ	44267	Calpine Hidalgo Energy Center, L.P. *	2012-1126-IWD-E	HIDALGO	1/12/2013	\$4,515	\$903	\$0	\$3,612
WQ	44995	Allgood Construction Company, Incorporated	2012-1871-WQ-E	BRAZORIA	1/12/2013	\$875	\$0	\$875	\$0
WQ	44908	City Of Rusk	2012-1801-WQ-E	CHEROKEE	1/12/2013	\$875	\$0	\$875	\$0
WQ	44391	Richards ISD	2012-1257-MWD-E	GRIMES	1/12/2013	\$2,237	\$447	\$1,790	\$0
WQ	44943	P & H Mining Equipment Inc.	2012-1821-WQ-E	GREGG	1/12/2013	\$875	\$0	\$875	\$0

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WQ	41545	Rhimco Industries, Inc.	2011-0637-WQ-E	TARRANT	2/1/2013	\$1,020	\$0	\$1,020	\$0
WQ	44401	REYES, OSCAR S	2012-1265-OSI-E	FRIO	2/3/2013	\$500	\$100	\$400	\$0
WQ	44167	Jimmie Hahn Partnership, Ltd.	2012-1021-IWD-E	WASHINGTON	2/3/2013	\$3,750	\$750	\$3,000	\$0
WQ	44462	City of Troup	2012-1315-MWD-E	CHEROKEE	2/3/2013	\$5,425	\$1,085	\$4,340	\$0
WQ	43645	Country Club Retirement Community, L.P.	2012-0448-MWD-E	HILL	2/3/2013	\$7,060	\$1,412	\$5,648	\$0
WQ	44250	Greif Packaging LLC	2012-1099-IWD-E	HARRIS	2/3/2013	\$800	\$160	\$640	\$0
WQ	44406	Woodland Oaks Utility Company, Inc.	2012-1283-MWD-E	MONTGOMERY	2/3/2013	\$1,775	\$355	\$1,420	\$0
WQ	43991	PDK Liquid Stone Partners, L.P.	2012-0836-IWD-E	ELLIS	2/3/2013	\$2,300	\$460	\$1,840	\$0
WQ	44434	Knife River Corporation - South	2012-1292-IWD-E	JEFFERSON	2/3/2013	\$4,800	\$960	\$3,840	\$0
WQ	43860	Batesville WSC	2012-0743-MWD-E	ZAVALA	2/3/2013	\$2,550	\$510	\$2,040	\$0
WQ	44286	Oxid L.P.	2012-1136-IWD-E	HARRIS	2/3/2013	\$2,500	\$500	\$2,000	\$0
WQ	44428	City of Electra	2012-1285-MWD-E	WICHITA	2/3/2013	\$4,850	\$970	\$0	\$3,880
WQ	44045	American Concrete & Gunite, LP	2012-0890-IWD-E	PARKER	2/3/2013	\$3,400	\$680	\$2,720	\$0
WQ	44630	Dale Lowden Excavating, Inc.	2012-1531-WQ-E	HAYS	2/3/2013	\$1,876	\$375	\$1,501	\$0
WQ	42232	City of Hubbard	2011-1381-MWD-E	HILL	2/10/2013	\$52,708	\$10,541	\$0	\$42,167
WQ	43148	City of Gruver	2011-2342-MWD-E	HANSFORD	2/10/2013	\$11,965	\$0	\$11,965	\$0
WQ	44072	City of Mineral Wells	2012-0921-MLM-E	PALO PINTO	2/10/2013	\$21,563	\$0	\$21,563	\$0
WQ	44323	City of Shenandoah	2012-1213-MWD-E	MONTGOMERY	2/10/2013	\$16,900	\$3,380	\$13,520	\$0
WQ	42095	Montgomery County Municipal District 89	2011-1214-MWD-E	MONTGOMERY	2/10/2013	\$17,500	\$0	\$17,500	\$0
WQ	43172	City of Mullin	2011-2330-MWD-E	MILLS	2/10/2013	\$11,500	\$2,300	\$0	\$9,200
WQ	45163	PPG ARCHITECTURAL COATINGS LLC	2012-2031-WQ-E	BELL	2/23/2013	\$875	\$0	\$875	\$0
WQ	44381	Waller County Road Improvement District 1	2012-1255-MWD-E	WALLER	2/23/2013	\$2,500	\$500	\$2,000	\$0
WQ	44589	City of San Augustine	2012-1458-MWD-E	SAN AUGUSTINE	2/23/2013	\$4,034	\$806	\$3,228	\$0
WQ	45285	Woodside Homes of South Texas, LLC	2012-2139-WQ-E	BEXAR	2/23/2013	\$875	\$0	\$875	\$0
WQ	45051	City of Stephenville	2012-1925-WQ-E	ERATH	2/23/2013	\$875	\$0	\$875	\$0
WQ	44252	Ohmstede Ltd.	2012-1104-IWD-E	HARRIS	2/23/2013	\$4,170	\$834	\$3,336	\$0
WQ	44756	City of Nocona	2012-1627-MWD-E	MONTAGUE	2/23/2013	\$3,352	\$670	\$2,682	\$0
WQ	45164	KHBM Partners II, Ltd.	2012-2038-WQ-E	HARRIS	2/23/2013	\$875	\$0	\$875	\$0
WQ	44439	The Middlesteadt Shopping Center, LP	2012-1301-MWD-E	HARRIS	2/23/2013	\$3,725	\$745	\$2,980	\$0
WQ	44615	City of Pflugerville	2012-1486-MWD-E	TRAVIS	2/23/2013	\$2,375	\$475	\$1,900	\$0
WQ	45168	KIRBY, RICKY W I	2012-2035-OSI-E	GRAYSON	2/23/2013	\$175	\$0	\$175	\$0
WQ	44519	CITY OF HENRIETTA	2012-1390-MWD-E	CLAY	2/23/2013	\$4,185	\$837	\$3,348	\$0
WQ	45234	City of Gonzales	2012-2087-WQ-E	GONZALES	2/23/2013	\$875	\$0	\$875	\$0
WQ	44505	Sportsman's World Municipal Utility District	2012-1360-IWD-E	PALO PINTO	2/23/2013	\$1,362	\$272	\$1,090	\$0
WQ	43779	AWODEIN, CHRISTINA NDIDI-AMAKA	2012-0611-MWD-E	HARRIS	2/24/2013	\$16,800	\$3,360	\$13,440	\$0
WQ	44154	Wyman-Gordon Forgings, LP	2012-1056-IWD-E	HARRIS	2/24/2013	\$22,687	\$4,537	\$18,150	\$0
WQ	42442	City of Hawk Cove	2011-1708-MWD-E	HUNT	2/24/2013	\$35,790	\$35,790	\$0	\$0
WQ	42506	City of Celina	2011-1643-MWD-E	COLLIN	2/24/2013	\$26,030	\$0	\$0	\$26,030

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WQ	42234	City Of Lockney	2011-1387-MWD-E	FLOYD	2/24/2013	\$20,475	\$4,095	\$0	\$16,380
WQ	40130	Tawakoni Waste Water Corporation	2010-1255-MWD-E	HUNT	2/24/2013	\$22,935	\$0	\$22,935	\$0
WQ	43877	City of Brazoria	2012-0703-MWD-E	BRAZORIA	2/24/2013	\$9,920	\$1,984	\$0	\$7,936
WQ	42970	Monarch Utilities I L.P.	2011-2189-MWD-E	MONTGOMERY	2/24/2013	\$29,800	\$5,960	\$23,840	\$0
WQ	44137	central state shingle recycling LLC	2012-0976-WQ-E	DENTON	3/2/2013	\$5,350	\$0	\$5,350	\$0
WQ	45062	Monarch Utilities I L.P.	2012-1941-MWD-E	MONTGOMERY	3/3/2013	\$4,012	\$802	\$3,210	\$0
WQ	44408	NANCY N. RABB PROPERTIES, LTD.	2012-1272-EAQ-E	WILLIAMSON	3/3/2013	\$3,000	\$600	\$1,200	\$1,200
WQ	44811	Camp Longhorn Capital, Inc.	2012-1710-MWD-E	BURNET	3/3/2013	\$4,470	\$894	\$3,576	\$0
WQ	44528	City of Dodd City	2012-1383-MWD-E	FANNIN	3/3/2013	\$3,000	\$600	\$2,400	\$0
WQ	44567	Harris County Municipal Utility District 480	2012-1425-MWD-E	HARRIS	3/3/2013	\$5,250	\$1,050	\$4,200	\$0
WQ	45155	Mclennan County WCID 2	2012-2023-MWD-E	MCLENNAN	3/3/2013	\$1,125	\$225	\$900	\$0
WQ	44820	Webber, LLC	2012-1712-IWD-E	ELLIS	3/3/2013	\$1,250	\$250	\$1,000	\$0
WQ	44371	Jim Hogg County Wcid 2	2012-1233-MWD-E	JIM HOGG	3/9/2013	\$7,790	\$1,558	\$6,232	\$0
WQ	44755	Orange County Water Control and Improvement District No. 1	2012-1632-MWD-E	ORANGE	3/9/2013	\$9,858	\$0	\$9,858	\$0
WQ	44516	City of Hallsville	2012-1365-MWD-E	HARRISON	3/9/2013	\$2,462	\$0	\$2,462	\$0
WQ	43736	C & R WATER SUPPLY INC.	2012-0595-MWD-E	MONTGOMERY	3/9/2013	\$18,438	\$3,687	\$14,751	\$0
WQ	43812	City of Big Lake	2012-0634-MWD-E	REAGAN	3/9/2013	\$18,340	\$0	\$18,340	\$0
WQ	44331	Forest Glen Inc	2012-1224-MWD-E	WALKER	3/9/2013	\$10,500	\$2,100	\$8,400	\$0
WQ	44558	GULF MARINE FABRICATORS LP	2012-1415-MWD-E	SAN PATRICIO	3/9/2013	\$2,462	\$0	\$2,462	\$0
WQ	43462	City of Gunter	2012-0276-MWD-E	GRAYSON	3/9/2013	\$7,667	\$1,533	\$0	\$6,134
WQ	43160	City of Naples	2012-0048-MWD-E	MORRIS	3/9/2013	\$8,730	\$1,746	\$6,984	\$0
WQ	44025	Aqua Utilities, Inc.	2012-0858-MWD-E	HAYS	3/9/2013	\$21,089	\$0	\$21,089	\$0
WQ	45623	Ken Deitz Homes, Inc.	2012-2486-WQ-E	SMITH	3/21/2013	\$875	\$0	\$875	\$0
WQ	44910	Rolling Creek Utility District	2012-1792-MWD-E	HARRIS	3/21/2013	\$1,500	\$300	\$1,200	\$0
WQ	44353	GOFORTH, VICTOR	2012-1206-WQ-E	GILLESPIE	3/21/2013	\$2,750	\$550	\$2,200	\$0
WQ	44862	Eagle Construction and Environmental Services, LLC	2012-1751-WQ-E	BRAZORIA	3/21/2013	\$1,500	\$300	\$1,200	\$0
WQ	45490	TC Manufacturing Co., Inc.	2012-2345-WQ-E	GREGG	3/21/2013	\$875	\$0	\$875	\$0
WQ	45446	Black Canyon Construction Company	2012-2302-WQ-E	GALVESTON	3/21/2013	\$875	\$0	\$875	\$0
WQ	45821	NEUDORF, JOHN	2012-2673-WOC-E	LUBBOCK	3/21/2013	\$175	\$0	\$175	\$0
WQ	45624	Compass Development AND Construction Inc	2012-2485-WQ-E	ANGELINA	3/21/2013	\$875	\$0	\$875	\$0
WQ	43341	St. Andrew Lutheran Church	2012-0154-EAQ-E	BEXAR	3/21/2013	\$7,250	\$3,650	\$3,600	\$0
WQ	45515	City of Atlanta	2012-2368-WQ-E	CASS	3/21/2013	\$875	\$0	\$875	\$0
WQ	45447	WM Recycle America, L.L.C.	2012-2301-WQ-E	WILLIAMSON	3/21/2013	\$875	\$0	\$875	\$0
WQ	44768	City of Port Arthur	2012-1645-MWD-E	JEFFERSON	3/21/2013	\$5,000	\$1,000	\$4,000	\$0
WQ	26964	River Chase Subdivision II, Ltd.	2012-0733-EAQ-E	WILLIAMSON	3/29/2013	\$180,000	\$0	\$180,000	\$0
WQ	44194	Port of Houston Authority	2012-1042-MWD-E	HARRIS	4/7/2013	\$8,250	\$1,650	\$0	\$6,600
WQ	44714	McDonald's Corporation	2012-1598-MWD-E	HARRIS	4/7/2013	\$9,450	\$1,890	\$7,560	\$0

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## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	44865	Raymond Fraga DBA Desert West Oil Recovery	2012-1741-WQ-E	EL PASO	4/7/2013	\$4,500	\$900	\$3,600	\$0
WQ	44415	City of Archer City	2012-1271-MWD-E	ARCHER	4/7/2013	\$8,280	\$1,656	\$6,624	\$0
WQ	44162	Dripping Springs Apartments, L.P.	2012-1020-MWD-E	HAYS	4/7/2013	\$7,646	\$1,529	\$6,117	\$0
WQ	44902	Texas Trucking Company Incorporated	2012-1808-EAQ-E	WILLIAMSON	4/7/2013	\$6,250	\$1,250	\$2,500	\$2,500
WQ	45328	Medina County Water Control & Improvement District No. 2	2012-2200-MWD-E	MEDINA	4/7/2013	\$1,500	\$300	\$1,200	\$0
WQ	43660	City of Shiner	2012-0466-MWD-E	LAVACA	4/7/2013	\$8,950	\$1,790	\$7,160	\$0
WQ	42467	Bell County Water Control & Improvement District 2	2011-1611-MWD-E	BELL	4/7/2013	\$210,365	\$210,365	\$0	\$0
WQ	44190	City of McGregor	2012-1036-MWD-E	MCLENNAN	4/7/2013	\$22,427	\$0	\$22,427	\$0
WQ	45091	City of Hitchcock	2012-1971-MWD-E	GALVESTON	4/7/2013	\$5,062	\$1,012	\$4,050	\$0
WQ	44676	San Antonio Water System	2012-1550-MWD-E	BEXAR	4/7/2013	\$39,375	\$0	\$0	\$39,375
WQ	45000	City of Pearland	2012-1877-MWD-E	BRAZORIA	4/7/2013	\$24,438	\$0	\$24,438	\$0
WQ	45126	Bay Bluff, L.P.	2012-2001-MWD-E	HARRIS	4/7/2013	\$3,563	\$712	\$2,851	\$0
WQ	44646	City of Shepherd	2012-1511-MWD-E	SAN JACINTO	4/7/2013	\$6,563	\$0	\$6,563	\$0
WQ	41332	City of Normangee	2011-0456-MWD-E	LEON	4/7/2013	\$46,826	\$0	\$46,826	\$0
WQ	43813	Lopez, Erasmo Jr.	2012-0696-WQ-E	STARR	4/7/2013	\$20,462	\$4,092	\$16,370	\$0
WQ	43327	City Of Cumby	2012-0264-MWD-E	HOPKINS	4/7/2013	\$14,800	\$0	\$14,800	\$0
WQ	45043	Texas Brine Company, L.L.C.	2012-1926-WQ-E	JEFFERSON	4/7/2013	\$9,750	\$0	\$9,750	\$0
WQ	43814	City of Stamford	2012-0700-MWD-E	JONES	4/7/2013	\$17,655	\$3,531	\$14,124	\$0
WQ	44749	City of Borger	2012-1633-MWD-E	HUTCHINSON	4/7/2013	\$16,600	\$3,320	\$13,280	\$0
WQ	44277	City of Huntsville	2012-1172-MWD-E	WALKER	4/7/2013	\$13,438	\$2,687	\$10,751	\$0
WQ	43698	Town of Lakewood Village	2012-0512-MWD-E	DENTON	4/7/2013	\$8,082	\$0	\$8,082	\$0
WQ	45144	Eddy Packing Co., Inc.	2012-2018-WQ-E	LAVACA	4/7/2013	\$17,387	\$3,477	\$13,910	\$0
WQ	44409	Geo Specialty Chemicals, Inc.	2012-1268-IWD-E	HARRIS	4/7/2013	\$10,725	\$2,145	\$4,290	\$4,290
WQ	44340	E. I. du Pont de Nemours and Company	2012-1198-IWD-E	ORANGE	4/7/2013	\$107,500	\$0	\$107,500	\$0
WQ	44911	City of Austin	2012-1791-MWD-E	TRAVIS	4/7/2013	\$10,001	\$0	\$0	\$10,001
WQ	44410	City of Lewisville	2012-1269-WQ-E	DENTON	4/7/2013	\$6,750	\$0	\$6,750	\$0
WQ	42595	North Texas Municipal Water District	2011-1738-MWD-E	*MULTIPLE	4/7/2013	\$46,937	\$0	\$0	\$46,937
WQ	43164	City of Asherton	2011-2329-MWD-E	DIMITT	4/7/2013	\$25,680	\$0	\$0	\$25,680
WQ	44729	EAST CEDAR CREEK FWSD	2012-1698-MWD-E	HENDERSON	4/7/2013	\$4,800	\$960	\$0	\$3,840
WQ	44876	Green Land Ventures, LTD.	2012-1755-WQ-E	BEXAR	4/7/2013	\$1,125	\$225	\$900	\$0
WQ	43085	Green Land Ventures, LTD.	2011-2249-EAQ-E	BEXAR	4/8/2013	\$5,250	\$0	\$5,250	\$0
WQ	44885	Texas Concrete Enterprise, L.L.C.	2012-1762-IWD-E	HARRIS	4/21/2013	\$1,562	\$312	\$1,250	\$0
WQ	45105	Woodgate Mobile Home Village, Inc.	2012-1979-MWD-E	HARRIS	4/21/2013	\$3,938	\$787	\$3,151	\$0
WQ	45085	Pettus Municipal Utility District	2012-2069-MWD-E	BEE	4/21/2013	\$1,220	\$244	\$976	\$0
WQ	44878	North East Independent School District	2012-1761-EAQ-E	BEXAR	4/21/2013	\$6,713	\$1,342	\$5,371	\$0
WQ	44996	Rankin Road West MUD	2012-1878-MWD-E	HARRIS	4/21/2013	\$2,500	\$500	\$2,000	\$0
WQ	45935	DMAC Construction & Development, Inc.	2013-0046-WQ-E	FORT BEND	4/21/2013	\$875	\$0	\$875	\$0
WQ	45150	City of Kenedy	2012-2072-IWD-E	KARNES	4/21/2013	\$1,000	\$200	\$800	\$0

## Singles Programs

## Appendix 5: List of Administrative Orders Issued FY 2013

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	45101	Jarvis, Robert H.	2012-1996-MWD-E	HARRIS	4/21/2013	\$4,875	\$975	\$3,900	\$0
WQ	34844	West Houston Airport Corporation	2011-0667-MWD-E	HARRIS	4/24/2013	\$44,650	\$0	\$44,650	\$0
WQ	45044	North Mission Glen Municipal Utility District	2012-1924-MWD-E	FORT BEND	4/27/2013	\$41,249	\$8,249	\$33,000	\$0
WQ	41057	City of Gregory	2011-0152-MWD-E	SAN PATRICIO	4/27/2013	\$15,318	\$0	\$15,318	\$0
WQ	44802	OB-GYN AND ANAESTHESIA ASSOCIATES, P.A.	2012-1686-EAQ-E	BEXAR	4/27/2013	\$9,500	\$1,900	\$7,600	\$0
WQ	44046	Senna Hills Municipal Utility District *	2012-0889-MWD-E	TRAVIS	4/27/2013	\$11,986	\$2,397	\$9,589	\$0
WQ	44232	City of Whitney	2012-1084-MWD-E	HILL	4/27/2013	\$7,820	\$1,564	\$0	\$6,256
WQ	42122	Lamberti USA, Incorporated	2011-1264-IWD-E	WHARTON	4/27/2013	\$64,129	\$0	\$32,065	\$32,064
WQ	43973	City of Olney	2012-0808-MWD-E	YOUNG	4/27/2013	\$72,363	\$72,363	\$0	\$0
WQ	44192	City of Laredo	2012-1063-MWD-E	WEBB	4/27/2013	\$1,233	\$0	\$1,233	\$0
WQ	44759	City of Midway	2012-1634-MWD-E	MADISON	4/27/2013	\$10,540	\$2,108	\$8,432	\$0
WQ	44881	City of Miami	2012-1758-MWD-E	ROBERTS	4/27/2013	\$16,070	\$3,214	\$12,856	\$0
WQ	44416	City of Hereford	2012-1275-MWD-E	DEAF SMITH	4/27/2013	\$10,499	\$2,099	\$0	\$8,400
WQ	44638	D & K Development Corp.	2012-1519-MWD-E	TARRANT	4/27/2013	\$4,375	\$0	\$4,375	\$0
WQ	44023	City of Harlingen Waterworks System	2012-0893-MWD-E	CAMERON	4/27/2013	\$16,362	\$3,272	\$13,090	\$0
WQ	43525	Alon USA, LP	2012-0356-IWD-E	HOWARD	4/27/2013	\$17,830	\$3,566	\$14,264	\$0
WQ	42123	Bayou Development, LLC.	2011-1265-MWD-E	GALVESTON	4/27/2013	\$6,004	\$0	\$6,004	\$0
WQ	44352	City of Arlington	2012-1267-WQ-E	TARRANT	4/27/2013	\$7,125	\$0	\$7,125	\$0
WQ	43059	City of Blanket	2011-2220-MWD-E	BROWN	5/2/2013	\$7,257	\$0	\$0	\$7,257
WQ	44870	TRIPLE R BANDERA ENTERPRISES, LTD.	2012-1748-EAQ-E	BEXAR	5/2/2013	\$7,275	\$1,455	\$5,820	\$0
WQ	44196	Williamson County	2012-1045-EAQ-E	WILLIAMSON	5/2/2013	\$938	\$187	\$751	\$0
WQ	44999	FERNANDEZ, CARLOS	2012-1870-IWD-E	KLEBERG	5/2/2013	\$4,000	\$800	\$3,200	\$0
WQ	45281	Valley Municipal Utility District 2	2012-2176-MWD-E	CAMERON	5/2/2013	\$14,200	\$2,840	\$11,360	\$0
WQ	45260	City of Amherst	2012-2134-MWD-E	LAMB	5/2/2013	\$1,876	\$375	\$1,501	\$0
WQ	44478	Magellan Terminals Holdings, L.P.	2012-1333-IWD-E	NUECES	5/2/2013	\$7,409	\$1,481	\$5,928	\$0
WQ	44273	Texas Department of Transportation	2012-1177-MWD-E	NAVARRO	5/2/2013	\$13,500	\$0	\$0	\$13,500
WQ	42679	WORTHAM OAKS HOMEOWNERS ASSOCIATION, INC.	2011-1824-MLM-E	BEXAR	5/2/2013	\$2,750	\$550	\$2,200	\$0
WQ	45058	IVEY KIM	2012-1960-OSS-E	MCCULLOCH	5/2/2013	\$688	\$138	\$550	\$0
WQ	46067	ATKINSON CANDY COMPANY	2013-0177-WQ-E	ANGELINA	5/2/2013	\$875	\$0	\$875	\$0
WQ	44248	City of Alto	2012-1109-MWD-E	CHEROKEE	5/2/2013	\$7,500	\$1,500	\$0	\$6,000
WQ	44219	City of Bullard	2012-1062-MWD-E	CHEROKEE	5/2/2013	\$15,600	\$3,120	\$0	\$12,480
WQ	45596	Bridgestone Retail Operations, LLC	2012-2452-EAQ-E	WILLIAMSON	5/2/2013	\$1,564	\$312	\$626	\$626
WQ	44554	MOSES, WES	2012-1409-SLG-E	HARRIS	5/11/2013	\$6,375	\$1,275	\$5,100	\$0
WQ	46180	Toyo Ink America, LLC	2013-0278-WQ-E	BRAZOS	5/11/2013	\$875	\$0	\$875	\$0
WQ	44588	PIPHER, ERIC	2012-1451-EAQ-E	WILLIAMSON	5/11/2013	\$1,875	\$375	\$1,500	\$0
WQ	46000	Texas Industrial Box Maintenance, Inc	2013-0112-IWD-E	HARRIS	5/11/2013	\$1,625	\$325	\$1,300	\$0
WQ	44922	Wimberley Independent School District	2012-1794-EAQ-E	HAYS	5/11/2013	\$7,500	\$1,500	\$6,000	\$0
WQ	46174	Barnett Contracting, Inc.	2013-0279-WQ-E	MCCLENNAN	5/11/2013	\$875	\$0	\$875	\$0

## Singles Programs

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Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	45448	Parkway Municipal Utility District	2012-2333-WQ-E	HARRIS	5/11/2013	\$3,250	\$650	\$2,600	\$0
WQ	44492	City of Stockdale	2012-1357-MWD-E	WILSON	5/11/2013	\$7,012	\$1,402	\$0	\$5,610
WQ	45742	Postive Feed, Ltd.	2012-2604-IWD-E	AUSTIN	5/11/2013	\$800	\$160	\$640	\$0
WQ	45254	Jbtb Investments I Ltd	2012-2136-EAQ-E	WILLIAMSON	5/22/2013	\$7,875	\$0	\$7,875	\$0
WQ	44557	Medistar Victory SA Hospital, LP *	2012-1749-EAQ-E	BEXAR	6/1/2013	\$5,625	\$1,125	\$4,500	\$0
WQ	45394	Hydro Conduit of Texas, LP	2012-2316-IWD-E	HARRIS	6/1/2013	\$2,875	\$575	\$2,300	\$0
WQ	45858	Pilot Travel Centers LLC	2012-2708-WQ-E	TAYLOR	6/1/2013	\$1,000	\$200	\$800	\$0
WQ	44631	BOES, BARRY	2012-1542-EAQ-E	HAYS	6/1/2013	\$6,750	\$1,350	\$2,700	\$2,700
WQ	45543	Air Liquide Large Industries U.S. LP	2012-2413-IWD-E	HARRIS	6/1/2013	\$4,970	\$994	\$1,988	\$1,988
WQ	45525	Northtown Municipal Utility District	2012-2391-WQ-E	TRAVIS	6/3/2013	\$4,875	\$0	\$4,875	\$0
WQ	45280	Driftwood Equities, Ltd.	2012-2159-MWD-E	HAYS	6/3/2013	\$7,901	\$1,580	\$6,321	\$0
WQ	44442	Trophy Club Municipal Utility District 1	2012-1367-MWD-E	DENTON	6/3/2013	\$50,500	\$10,100	\$0	\$40,400
WQ	44512	City of Blanco	2012-1453-MWD-E	BLANCO	6/3/2013	\$36,063	\$7,212	\$28,851	\$0
WQ	45469	Bollinger Texas City, L.P.	2012-2328-IWD-E	GALVESTON	6/3/2013	\$4,200	\$0	\$4,200	\$0
WQ	45057	Texas Department of Criminal Justice	2012-1955-MWD-E	BRAZORIA	6/3/2013	\$11,550	\$0	\$0	\$11,550
WQ	44987	Houston Refining LP	2012-2037-IWD-E	HARRIS	6/3/2013	\$22,500	\$4,500	\$9,000	\$9,000
WQ	45200	Skidmore Water Supply Corporation	2012-2078-MWD-E	BEE	6/3/2013	\$6,375	\$0	\$6,375	\$0
WQ	45216	City of Weslaco	2012-2105-MWD-E	HIDALGO	6/3/2013	\$9,300	\$1,860	\$7,440	\$0
WQ	45256	Glenn Thurman, Inc.	2012-2127-WQ-E	TARRANT	6/3/2013	\$8,017	\$1,602	\$6,415	\$0
WQ	45099	City of Georgetown	2012-1972-MWD-E	WILLIAMSON	6/3/2013	\$15,000	\$3,000	\$0	\$12,000
WQ	44874	Huntsman International Fuels, LLC *	2012-1760-IWD-E	JEFFERSON	6/3/2013	\$36,800	\$7,360	\$14,720	\$14,720
WQ	44872	City of Chico	2012-1746-MWD-E	WISE	6/3/2013	\$33,300	\$0	\$33,300	\$0
WQ	44683	Sereno LLC	2012-1561-MWD-E	GRAYSON	6/3/2013	\$10,125	\$0	\$10,125	\$0
WQ	44751	City of Odessa	2012-1626-MWD-E	MIDLAND	6/3/2013	\$7,937	\$1,587	\$0	\$6,350
WQ	44036	City of Josephine	2012-0872-MWD-E	COLLIN	6/3/2013	\$48,770	\$0	\$48,770	\$0
WQ	45995	City of Daingerfield	2013-0194-MWD-E	MORRIS	6/8/2013	\$3,350	\$670	\$2,680	\$0
WQ	45304	LOPEZ JESUS	2012-2173-MLM-E	IRION	6/8/2013	\$713	\$142	\$571	\$0
WQ	45668	Oiltanking Houston, L.P.	2012-2548-IWD-E	HARRIS	6/8/2013	\$1,175	\$235	\$940	\$0
WQ	45632	City of Dickens	2012-2507-MWD-E	DICKENS	6/8/2013	\$1,312	\$262	\$1,050	\$0
WQ	46057	City of Cleveland	2013-0173-MWD-E	LIBERTY	6/8/2013	\$1,375	\$275	\$0	\$1,100
WQ	45892	United States Army Corps of Engineers	2013-0011-MWD-E	BOSQUE	6/8/2013	\$3,250	\$650	\$2,600	\$0
WQ	45840	Big Oaks Municipal Utility District	2012-2693-MWD-E	FORT BEND	6/8/2013	\$2,025	\$405	\$1,620	\$0
WQ	46336	BAYWAY HOMES, INCORPORATED	2013-0425-WQ-E	GALVESTON	6/8/2013	\$875	\$0	\$875	\$0
WQ	45004	City of Sabinal	2012-1891-MWD-E	UVALDE	6/13/2013	\$9,451	\$1,889	\$7,562	\$0
WQ	43886	City of Thornton	2012-0705-MWD-E	LIMESTONE	6/13/2013	\$72,012	\$0	\$0	\$72,012
WQ	45507	529 #35 LTD.	2012-2367-MWD-E	HARRIS	6/13/2013	\$8,750	\$1,750	\$7,000	\$0
WQ	44948	Kendall Speight, INC.	2012-1829-SLG-E	NUECES	6/13/2013	\$20,648	\$4,129	\$16,519	\$0
WQ	43274	City of Bishop	2012-0082-MWD-E	NUECES	6/13/2013	\$35,880	\$0	\$0	\$35,880

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WQ	45180	Atlas Woodworks, LLC	2012-2064-IWD-E	DENTON	6/23/2013	\$1,312	\$0	\$1,312	\$0
WQ	45098	McWane, Inc.	2012-2063-IWD-E	SMITH	6/27/2013	\$24,675	\$4,935	\$19,740	\$0
WQ	46519	City of Athens	2013-0613-WQ-E	HENDERSON	6/27/2013	\$875	\$0	\$875	\$0
WQ	46520	City of Athens	2013-0612-WQ-E	HENDERSON	6/27/2013	\$875	\$0	\$875	\$0
WQ	42921	Aqua Utilities, Inc.	2011-2070-MWD-E	ROCKWALL	6/27/2013	\$19,800	\$0	\$19,800	\$0
WQ	45568	Montgomery County Utility District 2	2012-2427-MWD-E	MONTGOMERY	6/27/2013	\$10,062	\$0	\$10,062	\$0
WQ	45979	B.C.A.H. Property Management, LLC *	2013-0103-EAQ-E	BEXAR	6/27/2013	\$2,925	\$585	\$2,340	\$0
WQ	43916	City of Cushing	2012-0658-MWD-E	NACOGDOCHES	6/27/2013	\$158,000	\$158,000	\$0	\$0
WQ	45587	City of Rogers	2012-2451-MWD-E	BELL	6/27/2013	\$13,188	\$2,637	\$0	\$10,551
WQ	45273	Northwest Harris County Municipal Utility District 36	2012-2161-MWD-E	HARRIS	6/27/2013	\$1,063	\$212	\$851	\$0
WQ	45874	City of Moulton	2012-2730-MWD-E	LAVACA	6/27/2013	\$12,325	\$2,465	\$0	\$9,860
WQ	44135	VA WESTFIELD PARTNERS, LLC	2012-0977-WQ-E	COLLIN	7/3/2013	\$5,000	\$0	\$5,000	\$0
WQ	44877	BORREGO, DUSTIN	2012-1756-SLG-E	MONTGOMERY	7/17/2013	\$11,359	\$0	\$11,359	\$0
WQ	44142	Manki LLC	2012-1001-MWD-E	POLK	7/17/2013	\$113,305	\$0	\$113,305	\$0
WQ	46168	REYNA, CANDELARIO	2013-0271-OSS-E	KINNEY	7/19/2013	\$1,620	\$324	\$1,296	\$0
WQ	46015	Quadvest, L.P.	2013-0193-MWD-E	MONTGOMERY	7/28/2013	\$2,250	\$450	\$1,800	\$0
WQ	46181	KENMARK HOMES, LP	2013-0277-WQ-E	PARKER	7/28/2013	\$2,750	\$550	\$2,200	\$0
WQ	46536	City of Maud	2013-0625-MWD-E	BOWIE	7/28/2013	\$1,687	\$337	\$1,350	\$0
WQ	46242	City of Richmond	2013-0366-MWD-E	FORT BEND	7/28/2013	\$4,001	\$800	\$3,201	\$0
WQ	45322	Hamshire-Fannett Independent School District	2012-2204-MWD-E	JEFFERSON	7/28/2013	\$4,300	\$860	\$3,440	\$0
WQ	45093	Teen Mania Ministries, Inc.	2012-1970-MWD-E	SMITH	7/28/2013	\$1,020	\$0	\$1,020	\$0
WQ	45941	MANCHACA FOOD, INC.	2013-0058-EAQ-E	HAYS	7/28/2013	\$1,875	\$375	\$1,500	\$0
WQ	46216	City of Hubbard	2013-0325-MWD-E	HILL	7/28/2013	\$3,750	\$750	\$3,000	\$0
WQ	44038	HSR Site Control, LLC	2012-0882-WQ-E	BEXAR	7/28/2013	\$1,250	\$0	\$1,250	\$0
WQ	44269	ConocoPhillips Company	2012-1125-IWD-E	BRAZORIA	8/3/2013	\$37,500	\$7,500	\$15,000	\$15,000
WQ	46011	Bolivar Utility Services, LLC	2013-0133-MWD-E	GALVESTON	8/3/2013	\$20,001	\$0	\$20,001	\$0
WQ	43786	Algonquin Water Resources Of America Inc *	2012-0629-MWD-E	SMITH	8/3/2013	\$60,000	\$0	\$30,000	\$30,000
WQ	45944	City of Houston	2013-0056-MWD-E	HARRIS	8/3/2013	\$27,189	\$0	\$0	\$27,189
WQ	44221	Minsa Corporation	2012-1153-IWD-E	BAILEY	8/3/2013	\$34,315	\$6,862	\$27,453	\$0
WQ	45999	City of Eagle Lake	2013-0118-MWD-E	COLORADO	8/3/2013	\$23,562	\$0	\$23,562	\$0
WQ	37835	City Of Follett	2011-0616-MWD-E	LIPSCOMB	8/3/2013	\$24,640	\$0	\$24,640	\$0
WQ	46040	McClendon Construction Co., Inc. *	2013-0151-WQ-E	JOHNSON	8/3/2013	\$4,875	\$0	\$4,875	\$0
WQ	38618	Circle Bar Truck Corral, Inc.	2013-0292-MWD-E	CROCKETT	8/3/2013	\$1,550	\$0	\$1,550	\$0
WQ	43937	Tuscany Heights Partners, Ltd.	2012-0774-EAQ-E	BEXAR	8/3/2013	\$9,225	\$1,845	\$7,380	\$0
WQ	46128	OLIVARES, LEONARD D	2013-0228-SLG-E	BEE	8/8/2013	\$4,750	\$950	\$3,800	\$0
WQ	45893	City of Liberty Hill	2013-0010-MWD-E	WILLIAMSON	8/8/2013	\$7,500	\$1,500	\$0	\$6,000
WQ	46791	City of Hillsboro	2013-0875-WQ-E	HILL	8/22/2013	\$875	\$0	\$875	\$0
WQ	46849	Vale Building Group LLC	2013-0940-WQ-E	BELL	8/22/2013	\$875	\$0	\$875	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset	
WQ	46197	City of Castroville	2013-0310-MWD-E	MEDINA	8/22/2013	\$2,250	\$450	\$1,800	\$0	
WQ	46518	AJWO, Inc.	2013-0602-MLM-E	TAYLOR	8/22/2013	\$2,278	\$455	\$1,823	\$0	
WQ	46002	City of Newton	2013-0123-SLG-E	NEWTON	8/22/2013	\$5,250	\$1,050	\$4,200	\$0	
WQ	45634	Central Transport, Inc.	2012-2509-IWD-E	EL PASO	8/22/2013	\$7,500	\$1,500	\$6,000	\$0	
WQ	46485	NEW HOPE BAPTIST CHURCH OF JARRELL	2013-0585-EAQ-E	WILLIAMSON	8/22/2013	\$1,875	\$375	\$1,500	\$0	
WQ	46843	Sprint Sand and Clay, LLC	2013-0933-WQ-E	HARRIS	8/22/2013	\$875	\$0	\$875	\$0	
WQ	46790	Ranger Excavating, L.P.	2013-0876-WQ-E	MCLENNAN	8/22/2013	\$875	\$0	\$875	\$0	
WQ	46900	Jon McDonald Custom Homes, L.P.	2013-0974-WQ-E	TAYLOR	8/22/2013	\$875	\$0	\$875	\$0	
WQ	46844	David E. Harvey Builders, Inc.	2013-0932-WQ-E	HARRIS	8/22/2013	\$875	\$0	\$875	\$0	
WQ	46318	West Texas Boys Ranch	2013-0424-MWD-E	TOM GREEN	8/22/2013	\$1,000	\$200	\$800	\$0	
WQ	46730	Partners in Building LP	2013-0824-WQ-E	FORT BEND	8/22/2013	\$875	\$0	\$875	\$0	
WQ	46148	Azteca Milling, L. P.	2013-0265-IWD-E	DEAF SMITH	8/26/2013	\$56,000	\$11,200	\$44,800	\$0	
WQ	45660	Ellwood Texas Forge Navasota, LLC	2012-2607-IWD-E	GRIMES	8/26/2013	\$8,800	\$1,760	\$7,040	\$0	
WQ	43822	Woodmark Utilities, Inc. *	2012-0647-MWD-E	SMITH	8/26/2013	\$47,182	\$0	\$23,591	\$23,591	
WQ	46161	City of Corrigan	2013-0274-MWD-E	POLK	8/26/2013	\$9,300	\$1,860	\$7,440	\$0	
WQ	41596	ABF PACKING, INC.	2011-0691-WQ-E	ERATH	8/26/2013	\$15,550	\$3,110	\$12,440	\$0	
WQ	46217	Vopak Terminal Deer Park, Inc.	2013-0344-IWD-E	HARRIS	8/26/2013	\$8,640	\$1,728	\$6,912	\$0	
WQ	44790	BASF FINA Petrochemicals Limited Partnership	2012-1773-IWD-E	JEFFERSON	8/26/2013	\$14,250	\$0	\$7,125	\$7,125	
WQ	45855	Pilgrim's Pride Corporation	2012-2706-IWD-E	TITUS	8/26/2013	\$63,987	\$0	\$31,994	\$31,993	
<b>Subtotal for WQ : 385</b>						<b>\$4,863,443</b>	<b>\$1,118,396</b>	<b>\$2,791,722</b>	<b>\$953,325</b>	
WWAC	41674	JONGSMA, OLKE ANDRIES	2011-0909-AGR-E	WOOD	10/27/2012	\$10,350	\$0	\$10,350	\$0	
WWAC	43898	MCNUTT, GUY *	2012-0746-AGR-E	ERATH	11/11/2012	\$3,010	\$602	\$2,408	\$0	
WWAC	39426	DEVRIES, GEORGE TED	2010-0508-AGR-E	ERATH	11/30/2012	\$8,300	\$0	\$8,300	\$0	
WWAC	43992	TALSMA, KLAAS	2012-0840-AGR-E	ERATH	12/15/2012	\$49,687	\$9,937	\$39,750	\$0	
WWAC	44398	GUESS, MARK	2012-1452-AGR-E	PARMER	2/23/2013	\$2,000	\$400	\$1,600	\$0	
WWAC	44129	HEFNER, TOMMY	2012-0974-AGR-E	PARMER	3/3/2013	\$2,040	\$408	\$1,632	\$0	
WWAC	45614	Bill Cate	2012-2483-AGR-E	TARRANT	6/1/2013	\$1,312	\$262	\$1,050	\$0	
WWAC	40021	Tex-S, L.L.C	2010-1135-AGR-E	HAMILTON	7/19/2013	\$5,723	\$1,144	\$4,579	\$0	
WWAC	44493	ALMEIDA, FABIAN	2012-1454-AGR-E	JOHNSON	7/28/2013	\$4,023	\$804	\$3,219	\$0	
WWAC	43817	WATSON, MIKE *	2012-0635-AGR-E	WISE	8/23/2013	\$2,100	\$0	\$2,100	\$0	
<b>Subtotal for WWAG : 10</b>						<b>\$88,545</b>	<b>\$13,557</b>	<b>\$74,988</b>	<b>\$0</b>	
<b>Total for Singles Programs : 2,099</b>						<b>17,022,573.00</b>	<b>2,768,903.00</b>	<b>11,837,390.00</b>	<b>2,416,280.00</b>	<b>2,416,280.00</b>

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	44107	GUYNN, BENJAMIN G	2012-0949-WOC-E	CHEROKEE	9/16/2012	\$175	\$0	\$175	\$0
MM	43278	City of Denton	2012-0092-MLM-E	DENTON	9/29/2012	\$13,770	\$2,754	\$11,016	\$0
MM	43443	Northwest Harris County MUD 15	2012-0256-MLM-E	HARRIS	9/29/2012	\$11,725	\$2,345	\$9,380	\$0
MM	42142	Thu Phoung Enterprise Cleaners Incorporated	2011-1285-MLM-E	GALVESTON	9/29/2012	\$103,250	\$91,550	\$11,700	\$0
MM	43404	PMRT, Inc.	2012-0236-MLM-E	GALVESTON	9/29/2012	\$37,233	\$7,446	\$14,894	\$14,893
MM	43597	Collins Corp.	2012-0407-MLM-E	GREGG	9/29/2012	\$18,100	\$3,620	\$14,480	\$0
MM	42091	R&R Screen Graphics, LLC	2011-1217-MLM-E	KAUFMAN	10/11/2012	\$5,500	\$0	\$5,500	\$0
MM	41330	Krebs Utilities, Inc.	2011-0416-UTL-E	HARRIS	10/19/2012	\$735	\$0	\$735	\$0
MM	41331	Krebs Utilities, Inc.	2011-0417-UTL-E	HARRIS	10/19/2012	\$813	\$0	\$813	\$0
MM	44548	LANDRUM, DALE T	2012-1443-WOC-E	POLK	10/26/2012	\$175	\$0	\$175	\$0
MM	44549	HINOJOSA, SERGIO	2012-1442-WOC-E	DUVAL	10/26/2012	\$175	\$0	\$175	\$0
MM	44547	HERRERA, ERIC M	2012-1444-WOC-E	NOLAN	10/26/2012	\$175	\$0	\$175	\$0
MM	43397	Cadre Material Products, LLC	2012-0206-MLM-E	MCCULLOCH	10/27/2012	\$12,057	\$2,410	\$9,647	\$0
MM	43587	Grunewald Sandblasting, Inc.	2012-0400-MLM-E	VICTORIA	10/27/2012	\$14,126	\$2,825	\$11,301	\$0
MM	43174	Star Tex Gasoline & Oil Distributors, Inc.	2011-2333-MSW-E	JIM WELLS	11/12/2012	\$1,125	\$0	\$1,125	\$0
MM	43147	R&K Fabricating, Inc.	2011-2363-IHW-E	LIBERTY	11/12/2012	\$4,875	\$0	\$4,875	\$0
MM	44738	WIMBERLEY, JAMES D	2012-1609-WOC-E	STEPHENS	11/22/2012	\$175	\$0	\$175	\$0
MM	44735	LICATINO, ROY	2012-1612-WOC-E	JEFFERSON	11/22/2012	\$175	\$0	\$175	\$0
MM	44737	Daniel Robins	2012-1610-WOC-E	JEFFERSON	11/22/2012	\$175	\$0	\$175	\$0
MM	43918	MOON, DAVID	2012-0745-DCL-E	NUECES	11/22/2012	\$210	\$42	\$168	\$0
MM	44733	PASKE, KATIE	2012-1614-WOC-E	LUBBOCK	11/22/2012	\$175	\$0	\$175	\$0
MM	44739	LENDERMAN, LYNN	2012-1608-WOC-E	HOUSTON	11/22/2012	\$175	\$0	\$175	\$0
MM	44326	Pierce Metals, LLC	2012-1253-MLM-E	TOM GREEN	11/22/2012	\$3,750	\$750	\$3,000	\$0
MM	44736	CAILLOUET, RICHARD D JR	2012-1611-WOC-E	HARDIN	11/22/2012	\$175	\$0	\$175	\$0
MM	44730	KRALL, ERIC	2012-1617-WOC-E	HARDIN	11/22/2012	\$175	\$0	\$175	\$0
MM	44731	HEBERT, STEPHEN	2012-1616-WOC-E	JEFFERSON	11/22/2012	\$175	\$0	\$175	\$0
MM	43435	OGILVIE, WHIT R	2012-0243-WOC-E	LIMESTONE	12/20/2012	\$1,500	\$0	\$1,500	\$0
MM	39701	Benjamin Sanjuan	2010-0801-MLM-E	HARRIS	1/5/2013	\$92,734	\$0	\$92,734	\$0
MM	44333	Copano Field Services/North Texas, L.L.C.	2012-1215-IHW-E	MONTAGUE	1/12/2013	\$6,928	\$1,385	\$5,543	\$0
MM	42624	Escobedo, Luis	2011-1777-MLM-E	EL PASO	2/1/2013	\$2,868	\$0	\$2,868	\$0
MM	42602	PONCE, VIRGIL	2011-1744-IHW-E	BRAZOS	2/10/2013	\$15,000	\$0	\$15,000	\$0
MM	42522	GUZMAN, JOSEPHINE	2011-1665-MLM-E	CAMERON	2/10/2013	\$12,000	\$8,400	\$3,600	\$0
MM	43439	Magnablend, Inc.	2012-0251-MLM-E	ELLIS	2/10/2013	\$38,500	\$0	\$38,500	\$0
MM	40602	Krebs Utilities, Inc.	2010-1752-UTL-E	HARRIS	2/10/2013	\$436	\$0	\$436	\$0
MM	43764	Cobra Stone, Inc.	2012-0586-MLM-E	WILLIAMSON	2/10/2013	\$10,188	\$2,037	\$8,151	\$0
MM	44094	New Birmingham Resources, LLC	2012-0948-MLM-E	SMITH	2/10/2013	\$34,124	\$6,824	\$13,650	\$13,650
MM	40695	Krebs Utilities, Inc.	2010-1835-UTL-E	HARRIS	2/10/2013	\$508	\$0	\$508	\$0
MM	40601	Krebs Utilities, Inc.	2010-1753-UTL-E	HARRIS	2/10/2013	\$452	\$0	\$452	\$0

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	44520	T.F.R. ENTERPRISES, INC	2012-1369-MLM-E	FAYETTE	3/21/2013	\$5,580	\$1,116	\$4,464	\$0
MM	44709	M D Lancaster Co., Inc.	2012-1599-MLM-E	TARRANT	3/21/2013	\$1,500	\$300	\$1,200	\$0
MM	45594	LEHNERT, DAVID R	2012-2439-WOC-E	MILAM	3/21/2013	\$175	\$0	\$175	\$0
MM	45375	Timothy M. Bradberry	2012-2223-WOC-E	WISE	3/21/2013	\$210	\$0	\$210	\$0
MM	45536	BENGE, CODY W	2012-2396-WOC-E	HUTCHINSON	3/21/2013	\$175	\$0	\$175	\$0
MM	44938	Dalco Solvents & Chemicals, Inc.	2012-1817-DCL-E	DALLAS	4/7/2013	\$5,750	\$1,150	\$4,600	\$0
MM	41888	City of Big Spring	2011-1007-MLM-E	HOWARD	4/7/2013	\$13,015	\$0	\$0	\$13,015
MM	44459	USM Manufacturing LLC	2012-1319-MLM-E	LUBBOCK	4/7/2013	\$24,673	\$4,934	\$19,739	\$0
MM	35752	CROUCH'S CLEANERS, INC.	2012-0163-MLM-E	BOWIE	4/8/2013	\$650	\$0	\$650	\$0
MM	46075	MESNEAK, WILLIAM K	2013-0179-WOC-E	CARSON	4/21/2013	\$175	\$0	\$175	\$0
MM	45947	NELSON, JOHN D	2013-0064-WOC-E	MILAM	4/21/2013	\$175	\$0	\$175	\$0
MM	44074	EAGLE'S DROP LLC	2012-0970-MLM-E	MONTGOMERY	4/21/2013	\$2,016	\$403	\$1,613	\$0
MM	45149	Martinek Trucking Corporation	2012-2026-IHW-E	GRAYSON	4/21/2013	\$938	\$187	\$751	\$0
MM	44868	Multi-Chem Group, LLC	2012-1753-MLM-E	SUTTON	4/27/2013	\$15,562	\$0	\$15,562	\$0
MM	43672	Cotulla Fish Hatchery LLC	2012-0630-MLM-E	LA SALLE	4/29/2013	\$1,785	\$0	\$1,785	\$0
MM	44715	ANDERSON, CARLTON	2012-1588-WOC-E	JEFFERSON	5/2/2013	\$761	\$152	\$609	\$0
MM	44939	Chevron Phillips Chemical Company LP	2012-1824-MLM-E	HUTCHINSON	5/2/2013	\$32,813	\$6,562	\$26,251	\$0
MM	44929	ZARADAM LLC	2012-1819-MLM-E	DALLAS	6/1/2013	\$3,275	\$655	\$2,620	\$0
MM	42707	Heard, Robert E. Jr.	2011-1819-MLM-E	HARRIS	6/3/2013	\$10,500	\$0	\$10,500	\$0
MM	41895	Randy Richardson Company	2011-1016-MLM-E	VAN ZANDT	6/3/2013	\$61,017	\$57,417	\$3,600	\$0
MM	44674	Boundary Ventures, Inc.	2012-1554-MLM-E	COLORADO	6/3/2013	\$9,653	\$0	\$9,653	\$0
MM	44813	Energy Pumping Specialties, Inc.	2012-1721-MLM-E	WEBB	6/8/2013	\$6,575	\$1,315	\$5,260	\$0
MM	44859	Taylor, Jodie *	2012-1743-MLM-E	VAN ZANDT	6/8/2013	\$2,896	\$579	\$2,317	\$0
MM	45048	Morgan Oil Company	2012-1932-PST-E	NACOGDOCHES	6/13/2013	\$11,250	\$0	\$11,250	\$0
MM	46430	COX, TIM J	2013-0512-WOC-E	HARRIS	6/27/2013	\$175	\$0	\$175	\$0
MM	46569	MURPHEY, LYLE B	2013-0659-WOC-E	TRAVIS	6/27/2013	\$175	\$0	\$175	\$0
MM	46567	Pace, Joey D.	2013-0651-WOC-E	WHEELER	6/27/2013	\$175	\$0	\$175	\$0
MM	44028	Cresson Crossroads, LLC	2012-0863-WR-E	HOOD	6/27/2013	\$875	\$0	\$875	\$0
MM	46498	QUENTIN WADE BANISTER	2013-0590-WOC-E	TOM GREEN	6/27/2013	\$175	\$0	\$175	\$0
MM	44925	Speedy Stop Food Stores, LLC	2012-1793-PST-E	TRAVIS	6/27/2013	\$43,879	\$8,775	\$35,104	\$0
MM	46499	QUENTIN WADE BANISTER	2013-0605-WOC-E	TOM GREEN	6/27/2013	\$175	\$0	\$175	\$0
MM	42617	Crochet Equipment Co., Inc.	2011-1764-MLM-E	JEFFERSON	7/28/2013	\$900	\$0	\$900	\$0
MM	44765	Barrett Street Partners, Inc.	2012-1646-DCL-E	HARRIS	7/28/2013	\$512	\$0	\$512	\$0
MM	46095	VANDERLEI, KORNELIS WILT *	2013-0221-OSS-E	LAMB	7/28/2013	\$825	\$165	\$660	\$0
MM	45846	H & S Constructors, Inc.	2012-2703-MLM-E	DIMMIT	8/3/2013	\$11,500	\$2,300	\$9,200	\$0
MM	44563	J & J SOIL SERVICE, INC.	2012-1423-MLM-E	HOUSTON	8/3/2013	\$12,250	\$0	\$12,250	\$0
MM	45302	River City Ready Mix, Inc.	2012-2174-MLM-E	BEXAR	8/3/2013	\$20,000	\$4,000	\$16,000	\$0
MM	45237	AG-Meier Industries, LLC	2012-2115-MLM-E	BELL	8/3/2013	\$15,375	\$3,075	\$6,150	\$6,150

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset	
MM	34430	Effluent Recycling, Inc.	2011-1973-MLM-E	TARRANT	8/3/2013	\$421,963	\$420,763	\$1,200	\$0	
MM	44348	National Electric Coil Co., L.P.	2012-1202-MLM-E	CAMERON	8/3/2013	\$20,164	\$4,032	\$16,132	\$0	
MM	46039	K & K Construction, Inc.	2013-0247-MLM-E	MONTGOMERY	8/8/2013	\$4,188	\$837	\$3,351	\$0	
MM	46250	Centex Scrap and Metal, Inc.	2013-0367-MLM-E	BELL	8/22/2013	\$2,000	\$400	\$1,600	\$0	
MM	45080	Derby Water Supply Corporation	2012-1958-MLM-E	FRIO	8/23/2013	\$4,712	\$0	\$4,712	\$0	
MM	45500	Blevins, Tommy	2012-2358-MLM-E	CALHOUN	8/23/2013	\$43,759	\$0	\$43,759	\$0	
MM	45955	Brown, Doris	2013-0090-MLM-E	MILAM	8/23/2013	\$2,729	\$0	\$2,729	\$0	
<b>Total for Multi-Media Programs : 83</b>						<b>1,262,232.00</b>	<b>651,505.00</b>	<b>563,019.00</b>	<b>47,708.00</b>	<b>47,708.00</b>
<b>Total for all Programs :2,182</b>						<b>18,284,805.00</b>	<b>3,420,408.00</b>	<b>12,400,409.00</b>	<b>2,463,988.00</b>	<b>2,463,988.00</b>

**Summary of SEPs:**

**Total Orders :** 2182  
**Orders with SEPs :** 153  
**Orders with SEPs % :** 7.0  
**Orders with SEP Offset % :** 16.6

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Effective Date	Ratio	Assessed Amt	Deferred Amt	Payable Amt	SEP Cost	SEP Offset
AQ	45463	DCP Midstream, LP	06/13/2013	1.00:1	39,375.00	7,875.00	15,750.00	15,750.00	15,750.00
		POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.					15,750.00	15,750.00
AQ	43829	Targa Downstream LLC	02/24/2013	1.00:1	30,264.00	6,052.00	12,106.00	12,106.00	12,106.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					12,106.00	12,106.00
AQ	43842	Equistar Chemicals, LP	11/30/2012	1.00:1	25,000.00	0.00	12,500.00	12,500.00	12,500.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					12,500.00	12,500.00
AQ	44533	Shell Oil Company	03/21/2013	1.00:1	7,500.00	1,500.00	3,000.00	3,000.00	3,000.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					3,000.00	3,000.00
AQ	44001	Hidalgo County	11/22/2012	1.00:1	3,600.00	720.00	0.00	2,880.00	2,880.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government.					2,880.00	2,880.00
AQ	43301	Aero Components, Inc.	03/21/2013	1.00:1	6,008.00	1,201.00	0.00	4,807.00	4,807.00
		POLLUTION PREVENTION	SEP funds will be used to construct, operate, maintain, and potentially expand a network of continuous ambient monitoring stations ("CAMS") that monitor both air toxics and ozone precursors.					4,807.00	4,807.00
AQ	43500	Flint Hills Resources Port Arthur, LLC	06/03/2013	1.00:1	14,688.00	2,937.00	5,876.00	5,875.00	5,875.00
		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.					5,875.00	5,875.00
AQ	43884	Ascend Performance Materials Operations LLC	11/11/2012	1.00:1	25,500.00	0.00	12,750.00	12,750.00	12,750.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					12,750.00	12,750.00
AQ	44883	DCP Midstream, LP	04/27/2013	1.00:1	25,000.00	0.00	12,500.00	12,500.00	12,500.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

	POLLUTION PREVENTION	The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary. The asbestos present in these schools is currently stable and there is no requirement to remove it. The school district is removing the asbestos due to the age of the asbestos and the likelihood of increased traffic in the affected areas in the future.	12,500.00	12,500.00					
AQ	43780	E. I. du Pont de Nemours and Company	10/27/2012	1.00:1	11,040.00	0.00	5,520.00	5,520.00	5,520.00
	POLLUTION PREVENTION	SEP funds will be used to install or retrofit, operate, maintain, and potentially expand air monitoring stations in Harris County to continuously monitor ambient air for total non-methane hydrocarbons and hazardous air pollutants.	5,520.00	5,520.00					
AQ	45169	MEMC Pasadena, Inc.	08/26/2013	1.00:1	11,401.00	2,280.00	4,561.00	4,560.00	4,560.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology.	4,560.00	4,560.00					
AQ	43393	INVISTA S.a.r.l.	09/29/2012	1.00:1	16,800.00	3,360.00	6,720.00	6,720.00	6,720.00
	POLLUTION PREVENTION	The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ.	6,720.00	6,720.00					
AQ	45120	Air Liquide Large Industries U.S. LP	05/02/2013	1.00:1	10,800.00	2,160.00	4,320.00	4,320.00	4,320.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	4,320.00	4,320.00					
AQ	44510	Huntsman Petrochemical, LLC	06/03/2013	1.00:1	53,850.00	10,770.00	21,540.00	21,540.00	21,540.00
	POLLUTION PREVENTION	Conduct home energy audits and to assist low income residents in the West Port Arthur area in improving their homes' energy efficiency such as by caulking, weatherizing, insulating, and replacing heating and cooling systems and major appliances with new, energy efficient equipment.	21,540.00	21,540.00					
AQ	43326	Huntsman Petrochemical, LLC	06/03/2013	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ.	4,000.00	4,000.00					
AQ	44160	Valero Refining-Texas, L.P.	03/09/2013	1.00:1	50,000.00	0.00	25,000.00	25,000.00	25,000.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	25,000.00	25,000.00					
AQ	44671	The Dow Chemical Company	06/03/2013	1.00:1	41,502.00	8,300.00	16,601.00	16,601.00	16,601.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	16,601.00	16,601.00					
AQ	44351	Huntsman Petrochemical, LLC	02/23/2013	1.00:1	6,563.00	1,312.00	2,626.00	2,625.00	2,625.00
	POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations.	2,625.00	2,625.00					

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

AQ	43988	Total Petrochemicals & Refining USA, Inc.	04/27/2013	1.00:1	202,600.00	40,520.00	81,040.00	81,040.00	81,040.00
		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations.					81,040.00	81,040.00
AQ	43913	Huntsman Petrochemical, LLC	11/22/2012	1.00:1	7,500.00	1,500.00	3,000.00	3,000.00	3,000.00
		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations.					3,000.00	3,000.00
AQ	45178	Equistar Chemicals, LP	05/02/2013	1.00:1	14,250.00	2,850.00	5,700.00	5,700.00	5,700.00
		POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality.					5,700.00	5,700.00
AQ	44017	E. I. du Pont de Nemours and Company	01/12/2013	1.00:1	7,500.00	1,500.00	3,000.00	3,000.00	3,000.00
		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network.					3,000.00	3,000.00
AQ	45442	ExxonMobil Oil Corporation	06/03/2013	1.00:1	25,000.00	0.00	12,500.00	12,500.00	12,500.00
		POLLUTION PREVENTION	The contribution will be to Texas Air Quality Research Center at Lamar University for the Flare Speciation and Air Quality Modeling project to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency (CE) and Destruction and Removal Efficiency (DRE).					12,500.00	12,500.00
AQ	42379	Custom Crates & Pallets Management, L.L.C	06/03/2013	1.00:1	3,125.00	0.00	1,563.00	1,562.00	1,562.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.					1,562.00	1,562.00
AQ	41915	BAYLOR UNIVERSITY	11/11/2012	1.00:1	20,000.00	4,000.00	0.00	16,000.00	16,000.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations					16,000.00	16,000.00
AQ	44054	The Dow Chemical Company	12/15/2012	1.00:1	19,689.00	3,937.00	7,876.00	7,876.00	7,876.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					7,876.00	7,876.00
AQ	45312	Oxy Vinyls, LP	06/03/2013	1.00:1	16,000.00	3,200.00	6,400.00	6,400.00	6,400.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

		POLLUTION PREVENTION	SEP funds will be used to install or retrofit, operate, maintain, and potentially expand air monitoring stations in Harris County to continuously monitor ambient air for total non-methane hydrocarbons and hazardous air pollutants (HAPs). Specifically, SEP funds will be used to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring.	6,400.00	6,400.00				
AQ	43804	E. I. du Pont de Nemours and Company	04/27/2013	1.00:1	20,251.00	4,050.00	8,101.00	8,100.00	8,100.00
		POLLUTION PREVENTION	The contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs.	8,100.00	8,100.00				
AQ	42299	Motiva Enterprises LLC	06/03/2013	1.00:1	92,450.00	18,490.00	36,980.00	36,980.00	36,980.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area.	36,980.00	36,980.00				
AQ	43863	Marathon Petroleum Company LP	04/27/2013	1.00:1	32,450.00	0.00	16,225.00	16,225.00	16,225.00
		POLLUTION PREVENTION	The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions.	16,225.00	16,225.00				
AQ	44584	Eagle Rock Field Services, L.P.	04/07/2013	1.00:1	27,157.00	5,431.00	10,863.00	10,863.00	10,863.00
		POLLUTION PREVENTION	The school district, through an asbestos certified contractor, will remove asbestos from Central Elementary, the Borger High School Auditorium, and the Gateway Elementary. The asbestos present in these schools is currently stable and there is no requirement to remove it. The school district is removing the asbestos due to the age of the asbestos and the likelihood of increased traffic in the affected areas in the future.	10,863.00	10,863.00				
AQ	46036	Huntsman Petrochemical, LLC	08/26/2013	1.00:1	13,126.00	2,625.00	5,251.00	5,250.00	5,250.00
		POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.	5,250.00	5,250.00				
AQ	43533	The Dow Chemical Company	09/29/2012	1.00:1	50,000.00	0.00	25,000.00	25,000.00	25,000.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	25,000.00	25,000.00				
AQ	45213	Enterprise Products Operating LLC	06/03/2013	1.00:1	10,000.00	0.00	5,000.00	5,000.00	5,000.00
		POLLUTION PREVENTION	Performing Party shall use SEP Funds to perform energy efficiency upgrades on public buildings and infrastructure. Specifically, SEP Funds may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); 3) the incremental cost of purchase of more energy-efficient equipment or appliances (versus the cost of purchasing non-energy efficient equipment or appliances); or 4) other direct costs authorized in advance by TCEQ.	5,000.00	5,000.00				

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## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

AQ	43969	Formosa Plastics Corporation, Texas	12/15/2012	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
		POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions.					4,000.00	4,000.00
AQ	45586	Enterprise Products Operating LLC	08/03/2013	1.00:1	50,000.00	0.00	25,000.00	25,000.00	25,000.00
		POLLUTION PREVENTION	Performing Party shall use SEP Funds to perform energy efficiency upgrades on public buildings and infrastructure. Specifically, SEP Funds may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); 3) the incremental cost of purchase of more energy-efficient equipment or appliances (versus the cost of purchasing non-energy efficient equipment or appliances); or 4) other direct costs authorized in advance by TCEQ.					12,500.00	12,500.00
		POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality.					12,500.00	12,500.00
AQ	44778	Rescar Companies	03/21/2013	1.00:1	5,313.00	1,062.00	2,126.00	2,125.00	2,125.00
		POLLUTION PREVENTION	The contribution will be to the City of Baytown for the Hospital Remediation Project at Goose Creek. Specifically, the SEP Offset Amount will be used to demolish the existing building and parking surfaces, at the former San Jacinto Hospital located at 1105 Decker Drive and 1 Price Street in Baytown, Harris County, Texas, which will remove the source of asbestos, lead, mold, and runoff.					2,125.00	2,125.00
AQ	44033	NuStar Logistics, L.P.	10/13/2012	1.00:1	3,375.00	675.00	1,350.00	1,350.00	1,350.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality.					1,350.00	1,350.00
AQ	43096	JX Nippon Chemical Texas Inc.	10/27/2012	1.00:1	54,117.00	10,823.00	21,647.00	21,647.00	21,647.00
		POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions.					21,647.00	21,647.00
AQ	45822	Valero Refining-Texas, L.P.	07/28/2013	1.00:1	7,500.00	1,500.00	3,000.00	3,000.00	3,000.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					3,000.00	3,000.00
AQ	44257	The Lubrizol Corporation	04/07/2013	1.00:1	15,350.00	3,070.00	6,140.00	6,140.00	6,140.00
		POLLUTION PREVENTION	SEP funds will be used to install or retrofit, operate, maintain, and potentially expand air monitoring stations in Harris County to continuously monitor ambient air for total non-methane hydrocarbons and hazardous air pollutants (HAPs). Specifically, SEP funds will be used to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring.					6,140.00	6,140.00
AQ	43864	INVISTA S.a r.l.	12/15/2012	1.00:1	8,304.00	1,660.00	3,322.00	3,322.00	3,322.00
		POLLUTION PREVENTION	SEP funds will be used to install or retrofit, operate, maintain, and potentially expand air monitoring stations in Harris County to continuously monitor ambient air for total non-methane hydrocarbons and hazardous air pollutants (HAPs). Specifically, SEP funds will be used to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring.					3,322.00	3,322.00
AQ	44184	Exterran Energy Solutions, L.P.	04/21/2013	1.00:1	5,995.00	1,199.00	2,398.00	2,398.00	2,398.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

	POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.					2,398.00	2,398.00	
AQ	43974	Flint Hills Resources Port Arthur, LLC	11/11/2012	1.00:1	7,500.00	1,500.00	3,000.00	3,000.00	3,000.00
	POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network which includes nine monitoring stations currently at the following locations: 1) Beaumont CAM#2; 2) Cove School CAM #C695; 3) Mauriceville CAM#642; 4) Port Arthur (Motiva) Industrial Site CAM #C628; 5) Port Arthur Memorial High School campus CAM #C689; 6) Port Neches CAM #136; 7) Sabine Pass CAM #C640; 8) Southeast Texas Regional Airport CAM #C643; 9) West Orange CAM #C9.					3,000.00	3,000.00	
AQ	40903	Pasadena Refining System, Inc	02/10/2013	1.00:1	757,153.00	0.00	378,577.00	378,576.00	378,576.00
	POLLUTION PREVENTION	SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					378,576.00	378,576.00	
AQ	43617	Chevron Phillips Chemical Company LP	09/29/2012	1.00:1	25,000.00	0.00	12,500.00	12,500.00	12,500.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants.					12,500.00	12,500.00	
AQ	45478	E. I. du Pont de Nemours and Company	06/03/2013	1.00:1	13,126.00	2,625.00	5,251.00	5,250.00	5,250.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ.					5,250.00	5,250.00	
AQ	44275	Equistar Chemicals, LP	02/23/2013	1.00:1	6,563.00	1,312.00	2,626.00	2,625.00	2,625.00
	POLLUTION PREVENTION	Performing Party shall use SEP Funds to perform energy efficiency upgrades on public buildings and infrastructure.					2,625.00	2,625.00	
AQ	44419	BASF FINA Petrochemicals Limited Partnership	04/07/2013	1.00:1	12,850.00	2,570.00	5,140.00	5,140.00	5,140.00
	POLLUTION PREVENTION	The contribution will be to Texas Air Quality Research Center at Lamar University for the Flare Speciation and Air Quality Modeling project to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency and Destruction and Removal Efficiency.					5,140.00	5,140.00	
AQ	44815	LANXESS Corporation	03/21/2013	1.00:1	7,438.00	1,487.00	2,976.00	2,975.00	2,975.00
	POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area.					2,975.00	2,975.00	
AQ	43868	United Structures of America, Inc.	11/30/2012	1.00:1	18,450.00	3,690.00	7,380.00	7,380.00	7,380.00
	POLLUTION PREVENTION	SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					7,380.00	7,380.00	
AQ	45520	INVISTA S.a.r.l.	08/03/2013	1.00:1	39,485.00	7,897.00	15,794.00	15,794.00	15,794.00

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## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

	POLLUTION PREVENTION	SEP funds will be used to install or retrofit, operate, maintain, and potentially expand air monitoring stations in Harris County to continuously monitor ambient air for total non-methane hydrocarbons and hazardous air pollutants (HAPs). Specifically, SEP funds will be used to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring.	15,794.00	15,794.00					
AQ	44502	Chevron Phillips Chemical Company LP	06/13/2013	1.00:1	100,000.00	0.00	50,000.00	50,000.00	50,000.00
	POLLUTION REDUCTION	Contribute to West Port Arthur Home Energy Efficiency Program						25,000.00	25,000.00
	POLLUTION REDUCTION	Contribute to Meteorological and Air Monitoring Network						25,000.00	25,000.00
AQ	43535	ExxonMobil Oil Corporation	10/27/2012	1.00:1	13,125.00	2,625.00	5,250.00	5,250.00	5,250.00
	POLLUTION PREVENTION	Performing Party shall operate, maintain, and potentially expand the existing Southeast Texas Regional Air Monitoring Network.						5,250.00	5,250.00
AQ	43522	Total Petrochemicals & Refining USA, Inc.	09/29/2012	1.00:1	13,125.00	2,625.00	5,250.00	5,250.00	5,250.00
	POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ.						5,250.00	5,250.00
IHW	44239	Effective Environmental, Inc.	03/21/2013	1.00:1	3,450.00	690.00	1,380.00	1,380.00	1,380.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.						1,380.00	1,380.00
IHW	44758	Exxon Mobil Corporation	04/27/2013	1.00:1	20,000.00	4,000.00	8,000.00	8,000.00	8,000.00
	POLLUTION PREVENTION	Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will pay for materials, supplies, disposal costs and transportation costs directly associated with the clean up of trash.						8,000.00	8,000.00
IHW	42575	Exide Technologies	02/10/2013	1.00:1	592,868.00	0.00	296,434.00	296,434.00	296,434.00
	CLEAN UP	The SEP Offset Amount will be used to conduct tire collection events where residents will be able to drop off tires for proper disposal or recycling or to clean sites where tires have been disposed of illegally.						296,434.00	296,434.00
IHW	44818	Gulf West Landfill TX, LP	05/02/2013	1.00:1	9,250.00	0.00	4,625.00	4,625.00	4,625.00
	POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation.						4,625.00	4,625.00
IHW	45131	The Dow Chemical Company	08/03/2013	1.00:1	51,380.00	10,276.00	20,552.00	20,552.00	20,552.00
	POLLUTION PREVENTION	The contribution will be to the Friends of the River San Bernard for the Friends of the River San Bernard Natural Area Acquisition and Conservation Program, as set forth in the SEP Agreement referenced above between the Third Party Recipient and the TCEQ. The SEP offset amount will be used to preserve a contiguous wetland corridor along the San Bernard River in lower Fort Bend County.						20,552.00	20,552.00
MSW	43778	Duval County	02/10/2013	1.00:1	8,915.00	1,783.00	0.00	7,132.00	7,132.00

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## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	3,566.00	3,566.00					
	POLLUTION PREVENTION	Project will coordinate with city and/or county government for the clean up of sites where trash and debris has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified, there is no preexisting obligation to clean up the site by the owner or the government, and reasonable efforts have already been taken to prevent the dumping.	3,566.00	3,566.00					
PST	45684	Argos Ready Mix (South Central) Corp.	06/27/2013	1.00:1	2,954.00	590.00	1,182.00	1,182.00	1,182.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	1,182.00	1,182.00					
PST	44744	City of Pasadena	03/21/2013	1.00:1	6,300.00	1,260.00	0.00	5,040.00	5,040.00
	POLLUTION PREVENTION	Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will pay for materials, supplies, disposal costs and transportation costs directly associated with the clean up of trash.	5,040.00	5,040.00					
PST	45923	City of Houston	08/08/2013	1.00:1	5,000.00	1,000.00	0.00	4,000.00	4,000.00
	POLLUTION PREVENTION	The contribution will be to the Bayou Land Conservancy for the Spring Creek Greenway Project. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, this SEP will focus on preserving multiple tracts of land comprising approximately 1,000 acres in the riparian corridor east of FM 2978 and west of U.S. 59.	4,000.00	4,000.00					
PST	45685	Alka Corporation	06/08/2013	1.00:1	3,893.00	778.00	1,558.00	1,557.00	1,557.00
	POLLUTION PREVENTION	Clean up of Illegal Dumpsites:  Will collect and properly dispose of illegally dumped waste in Angelina County. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner.  Eligible Counties: Angelina, Houston	1,557.00	1,557.00					
PST	44091	City of Carrollton	10/26/2012	1.00:1	4,313.00	862.00	0.00	3,451.00	3,451.00
	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites.	3,451.00	3,451.00					
PST	45438	Texas Department of Criminal Justice	06/01/2013	1.00:1	3,375.00	675.00	0.00	2,700.00	2,700.00
	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system.	2,700.00	2,700.00					
PST	45765	Channelview ISD	06/08/2013	1.00:1	3,375.00	675.00	0.00	2,700.00	2,700.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

	POLLUTION PREVENTION	Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will pay for materials, supplies, disposal costs and transportation costs directly associated with the clean up of trash.	2,700.00	2,700.00					
PST	44041	Texas Department of Transportation	06/01/2013	1.00:1	1,875.00	375.00	0.00	1,500.00	1,500.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.	1,500.00	1,500.00					
PST	44893	Pine Tree Independent School District	06/03/2013	1.00:1	18,500.00	3,700.00	0.00	14,800.00	14,800.00
	POLLUTION REDUCTION	Shall install the drainage system that will tie into the existing municipal drainage system in order to significantly reduce or eliminate siltation and erosion downstream	14,800.00	14,800.00					
PST	44364	Texas Department of Transportation	04/07/2013	1.00:1	2,813.00	562.00	0.00	2,251.00	2,251.00
	POLLUTION PREVENTION	Houston Arboretum and Nature Center - Hurricane Ike Habitat Restoration and Removal of Invasive Species: The Project will restore the native wildlife habitat at the Arboretum which is located in a highly urban area.	2,251.00	2,251.00					
PST	42905	Texas Department of Transportation	01/12/2013	1.00:1	2,250.00	450.00	0.00	1,800.00	1,800.00
	POLLUTION PREVENTION	Project will coordinate with city and/or county government for hte clean up of sites where trash and debris has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified, there is no preexisting obligation to clean up the site by the owner or the government, and reasonable efforts have already been taken to prevent the dumping.	1,800.00	1,800.00					
PST	43689	Harris County	09/16/2012	1.00:1	2,250.00	450.00	0.00	1,800.00	1,800.00
	POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat.	1,800.00	1,800.00					
PST	43722	Hemphill Independent School District	09/30/2012	1.00:1	4,500.00	900.00	0.00	3,600.00	3,600.00
	POLLUTION PREVENTION	Hurricane Ike Habitat Restoration and Removal of Invasive Species: The Project will restore the native wildlife habitat at the Arboretum which is located in a highly urban area. The restored forest will enhance water quality by serving as a filter for nutrients and other heavy metals thereby reducing the amount of pollutants reaching Buffalo Bayou.	3,600.00	3,600.00					
PST	44600	Durham School Services, L.P.	03/03/2013	1.00:1	3,188.00	637.00	1,276.00	1,275.00	1,275.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	637.00	637.00					
	POLLUTION PREVENTION	Project will coordinate with city and/or county government for hte clean up of sites where trash and debris has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified, there is no preexisting obligation to clean up the site by the owner or the government, and reasonable efforts have already been taken to prevent the dumping. SEP funds shall be used for the direct cost of collection and disposal of the trash and debris.	638.00	638.00					

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

PST	45612	Connel Oil Corp.	06/08/2013	1.00:1	3,375.00	675.00	1,350.00	1,350.00	1,350.00
		POLLUTION PREVENTION	The contribution will be to the Trans-Pecos Water & Land Trust for the Trans-Pecos Water Rights Acquisition Project. The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used to acquire, by lease or purchase, surface water rights from various owners of existing water rights in the Rio Grande River Basin in Texas.					1,350.00	1,350.00
PST	43637	Walker County	10/27/2012	1.00:1	11,500.00	2,300.00	0.00	9,200.00	9,200.00
		POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system.					9,200.00	9,200.00
PST	45212	City of Wharton	05/11/2013	1.00:1	3,375.00	675.00	0.00	2,700.00	2,700.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third Party Recipient named above. The contribution will be to the Friends of the River San Bernard for the Friends of the River San Bernard Natural Area Acquisition and Conservation Program, as set forth in the SEP Agreement referenced above between the Third Party Recipient and the TCEQ. The SEP offset amount will be used to preserve a contiguous wetland corridor along the San Bernard River in lower Fort Bend County. Ducks Unlimited has protected an adjacent 114 acre tract with two conservation easements, but the contiguity of this wetland corridor greatly depends on the acquisition and preservation of the remaining acreage.					2,700.00	2,700.00
PST	45190	City of Stephenville	05/02/2013	1.00:1	2,813.00	562.00	0.00	2,251.00	2,251.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.					2,251.00	2,251.00
PST	45531	Denton County	08/08/2013	1.00:1	2,813.00	562.00	0.00	2,251.00	2,251.00
		POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.					2,251.00	2,251.00
PST	44390	Wharton County	03/21/2013	1.00:1	5,000.00	1,000.00	0.00	4,000.00	4,000.00
		POLLUTION PREVENTION	The contribution will be to the Friends of the River San Bernard for the Friends of the River San Bernard Natural Area Acquisition and Conservation Program, as set forth in the SEP Agreement referenced above between the Third Party Recipient and the TCEQ. The SEP offset amount will be used to preserve a contiguous wetland corridor along the San Bernard River in lower Fort Bend County.					4,000.00	4,000.00
PST	43266	Texas Department of Transportation	09/16/2012	1.00:1	2,500.00	500.00	0.00	2,000.00	2,000.00
		POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ.					2,000.00	2,000.00
PST	44670	Harris County	03/03/2013	1.00:1	1,875.00	375.00	0.00	1,500.00	1,500.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

	POLLUTION PREVENTION	The contribution will be to the Bayou Land Conservancy for the Spring Creek Greenway Project. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, this SEP will focus on preserving multiple tracts of land comprising approximately 1,000 acres in the riparian corridor east of FM 2978 and west of U.S. 59.	1,500.00	1,500.00					
PST	43559	Texas Department of Transportation	06/01/2013	1.00:1	5,000.00	1,000.00	0.00	4,000.00	4,000.00
	POLLUTION PREVENTION	Railroad Commission of Texas - Alternative Fuels Clean School Bus Replacement Program. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ.						4,000.00	4,000.00
PST	44102	Veslan Properties, Inc.	12/16/2012	1.00:1	3,750.00	750.00	1,500.00	1,500.00	1,500.00
	POLLUTION PREVENTION	The SEP Offset Amount will be used to restore the 600-acre upland habitat at the Mitchell Lake Audubon Center and Sanctuary by removing non-native, exotic plant species and re-establishing native grasses, forbs, and woody vegetation. Native plants that will provide maximum benefit for the wildlife of Mitchell Lake will be reintroduced throughout the uplands. The SEP will be performed in accordance with all federal, state, and local environmental laws and regulations.						1,500.00	1,500.00
PST	43482	Brazos County	10/11/2012	1.00:1	6,250.00	0.00	0.00	6,250.00	6,250.00
	CLEAN UP	Respondent will contribute to the Texas Association of Resource Conservation and Development Areas, Inc. ("RC&D") for the Cleanup of Unauthorized Trash Dumps.						6,250.00	6,250.00
PST	45816	Stripes LLC	06/27/2013	1.00:1	6,750.00	1,350.00	2,700.00	2,700.00	2,700.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.						2,700.00	2,700.00
PWS	44748	Brazoria County Water Corporation	03/21/2013	1.00:1	450.00	90.00	180.00	180.00	180.00
	POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat.						180.00	180.00
PWS	44808	City of Plainview	06/03/2013	1.00:1	15,822.00	3,164.00	0.00	12,658.00	12,658.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.						12,658.00	12,658.00
WATER	44206	Garrett Flying Service, Inc.	04/21/2013	1.00:1	7,411.00	1,482.00	2,965.00	2,964.00	2,964.00
	POLLUTION PREVENTION	Brazoria County shall provide assistance to low income homeowners to repair or replace existing open, failing, or inadequately designed drinking water wells or to connect the household to central water service and plug the existing well.						2,964.00	2,964.00
WATER	43509	Thomas Hayre *	09/16/2012	1.00:1	2,500.00	500.00	1,000.00	1,000.00	1,000.00
	POLLUTION PREVENTION	The contribution will be to the Trans-Pecos Water & Land Trust for the Trans-Pecos Water Rights Acquisition Project. The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project").						1,000.00	1,000.00
WATER	44292	Somervell County	04/07/2013	1.00:1	50,500.00	10,100.00	0.00	40,400.00	40,400.00
	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems.						40,400.00	40,400.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

WQ	43059	City of Blanket	05/02/2013	1.00:1	7,257.00	0.00	0.00	7,257.00	7,257.00
		POLLUTION REDUCTION	Custom SEP: Respondent shall hold a one-day city-wide collection event to allow residents to dispose of tires, broken furniture, household chemicals, used paint, appliances without Freon, old mattresses, old carpet and padding, water heaters, junk metal, car batteries, computers and other electronics, pallets, and brush (Project 1). Respondent shall perform an erosion control project on the creek crossings on County Road 620 ("CR620"), latitude 31.817891, longitude -98.788827 and the creek crossing on Avenue "I," latitude 31.820793, longitude -98.788557 (Project 2).					7,257.00	7,257.00
WQ	44269	ConocoPhillips Company	08/03/2013	1.00:1	37,500.00	7,500.00	15,000.00	15,000.00	15,000.00
		POLLUTION PREVENTION	The contribution will be to the Friends of the River San Bernard for the Friends of the River San Bernard Natural Area Acquisition and Conservation Program, as set forth in the SEP Agreement referenced above between the Third Party Recipient and the TCEQ. The SEP offset amount will be used to preserve a contiguous wetland corridor along the San Bernard River in lower Fort Bend County.					15,000.00	15,000.00
WQ	42232	City of Hubbard	02/10/2013	1.00:1	52,708.00	10,541.00	0.00	42,167.00	42,167.00
		POLLUTION REDUCTION	Remove the existing bar screen and install a manually-cleaned course screening device and a bypass channel at the WWTP					42,167.00	42,167.00
WQ	44194	Port of Houston Authority	04/07/2013	1.00:1	8,250.00	1,650.00	0.00	6,600.00	6,600.00
		POLLUTION PREVENTION	Houston Arboretum and Nature Center - Hurricane Ike Habitat Restoration and Removal of Invasive Species: The Project will restore the native wildlife habitat at the Arboretum which is located in a highly urban area.					3,300.00	3,300.00
		POLLUTION PREVENTION	The contribution will be to the Bayou Land Conservancy for the Spring Creek Greenway Project. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Third-Party Recipient and the TCEQ.					3,300.00	3,300.00
WQ	41819	City of Van Alstyne	12/15/2012	1.00:1	30,845.00	0.00	0.00	30,845.00	30,845.00
		POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.					30,845.00	30,845.00
WQ	43464	City of Marlin	11/30/2012	1.00:1	9,000.00	1,800.00	0.00	7,200.00	7,200.00
		POLLUTION REDUCTION	Respondent shall hold two (2) two-day city-wide collection events to allow residents to dispose of tires, appliances w/o Freon, and electronics.					7,200.00	7,200.00
WQ	44902	Texas Trucking Company Incorporated	04/07/2013	1.00:1	6,250.00	1,250.00	2,500.00	2,500.00	2,500.00
		POLLUTION PREVENTION	The contribution will be to the Austin Parks Foundation for the Restoration and Rehabilitation of the Barton Springs Pool Bypass Tunnel. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Third-Party Recipient and the TCEQ. The deteriorated condition of the Barton Springs Pool Bypass tunnel results in a significant increase of pollutants from urban runoff into the pool.					2,500.00	2,500.00
WQ	43886	City of Thornton	06/13/2013	1.00:1	72,012.00	0.00	0.00	72,012.00	72,012.00
		POLLUTION REDUCTION	SEP Offset Amount shall be used for materials, supplies, equipment, and engineering services for one or more of the following: Weir gate, flow meter, variable-speed drives for sludge pumps, smoke test, and electronic equipment building construction					72,012.00	72,012.00
WQ	44442	Trophy Club Municipal Utility District 1	06/03/2013	1.00:1	50,500.00	10,100.00	0.00	40,400.00	40,400.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

		POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	40,400.00	40,400.00				
WQ	43786	Tall Timbers Utility Company, Inc. *	08/03/2013	1.00:1	60,000.00	0.00	30,000.00	30,000.00	30,000.00
		POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system.					30,000.00	30,000.00
WQ	44676	San Antonio Water System	04/07/2013	1.00:1	39,375.00	0.00	0.00	39,375.00	39,375.00
		POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous monitoring of area water quality.					39,375.00	39,375.00
WQ	43274	City of Bishop	06/13/2013	1.00:1	35,880.00	0.00	0.00	35,880.00	35,880.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government.					35,880.00	35,880.00
WQ	45057	Texas Department of Criminal Justice	06/03/2013	1.00:1	11,550.00	0.00	0.00	11,550.00	11,550.00
		POLLUTION PREVENTION	Brazoria County shall provide assistance to low income homeowners to repair or replace failing or inadequately designed On-Site Sewage Facilities (OSSFs) within the county. Many of the OSSFs currently in operation in Brazoria County are substandard and result in the release of sewage in residential areas, public rights-of-way, and waterways.					11,550.00	11,550.00
WQ	43822	Woodmark Utilities, Inc. *	08/26/2013	1.00:1	47,182.00	0.00	23,591.00	23,591.00	23,591.00
		POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system.					23,591.00	23,591.00
WQ	44232	City of Whitney	04/27/2013	1.00:1	7,820.00	1,564.00	0.00	6,256.00	6,256.00
		POLLUTION REDUCTION	Custom SEP: hold a one-day household hazardous waste collection event at no cost for city residents.					6,256.00	6,256.00
WQ	42122	Lamberti USA, Incorporated	04/27/2013	1.00:1	64,129.00	0.00	32,065.00	32,064.00	32,064.00
		ENVIRONMENTAL ENHANCEMENT	Pre-approved contribution to 3P Recipient					32,064.00	32,064.00
WQ	44987	Houston Refining LP	06/03/2013	1.00:1	22,500.00	4,500.00	9,000.00	9,000.00	9,000.00
		POLLUTION PREVENTION	SEP Offset Amount will be used to design and install a gate to trap floatable debris, trash, and oil that will be removed from the stream before flowing into Armand Bayou and Galveston Bay. The Third-Party Recipient shall use the SEP Offset Amount to pay a contractor to design, fabricate, install and maintain the Gate which will be located in the Preston Avenue median ditch between Fairmont Parkway and Crenshaw Road in Pasadena, Texas. The Gate will be designed to remove debris, but not cause flooding.					9,000.00	9,000.00
WQ	44408	NANCY N. RABB PROPERTIES, LTD.	03/03/2013	1.00:1	3,000.00	600.00	1,200.00	1,200.00	1,200.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

	POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous monitoring of area water quality.	1,200.00	1,200.00					
WQ	44267	Calpine Hidalgo Energy Center, L.P. *	01/12/2013	1.00:1	4,515.00	903.00	0.00	3,612.00	3,612.00
	POLLUTION PREVENTION	The SEP Offset Amount will be used to acquire, by lease or purchase, surface water rights from various owners of existing water rights in the Rio Grande River Basin in Texas. Within 30 days after water rights are acquired, Third-Party Recipient shall then apply to TCEQ to administratively amend the water rights permit or certificate of adjudication (collectively referred to as "permit") pursuant to Water Code, Section 11.0237.	3,612.00	3,612.00					
WQ	42506	City of Celina	02/24/2013	1.00:1	26,030.00	0.00	0.00	26,030.00	26,030.00
	POLLUTION REDUCTION	Shall utilize a rented sewer vacuum truck in order to remove silt, grease, and waste solids from various components of the wastewater system	26,030.00	26,030.00					
WQ	43004	City of Arlington	12/15/2012	1.00:1	11,250.00	0.00	0.00	11,250.00	11,250.00
	POLLUTION REDUCTION	Respondent shall purchase a litter trailer for public use in local clean-up efforts.	11,250.00	11,250.00					
WQ	44631	BOES, BARRY	06/01/2013	1.00:1	6,750.00	1,350.00	2,700.00	2,700.00	2,700.00
	POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment.	2,700.00	2,700.00					
WQ	46057	City of Cleveland	06/08/2013	1.00:1	1,375.00	275.00	0.00	1,100.00	1,100.00
	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies.	1,100.00	1,100.00					
WQ	43750	City of Commerce	10/27/2012	1.00:1	12,225.00	0.00	0.00	12,225.00	12,225.00
	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government.	12,225.00	12,225.00					
WQ	45099	City of Georgetown	06/03/2013	1.00:1	15,000.00	3,000.00	0.00	12,000.00	12,000.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	12,000.00	12,000.00					
WQ	45944	City of Houston	08/03/2013	1.00:1	27,189.00	0.00	0.00	27,189.00	27,189.00
	POLLUTION PREVENTION	This SEP will focus on preserving multiple tracts of land comprising approximately 1,000 acres in the riparian corridor east of FM 2978 and west of U.S. 59. The Third-Party Recipient shall use SEP Funds to acquire conservation easements on these tracts to provide permanent protection and stewardship of these lands and waters. The Third-Party Recipient shall also use SEP Funds to conduct restoration work, including tree plantings, on these tracts, as needed.	27,189.00	27,189.00					

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

WQ	44273	Texas Department of Transportation	05/02/2013	1.00:1	13,500.00	0.00	0.00	13,500.00	13,500.00
		POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system.					13,500.00	13,500.00
WQ	45587	City of Rogers	06/27/2013	1.00:1	13,188.00	2,637.00	0.00	10,551.00	10,551.00
		POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.					10,551.00	10,551.00
WQ	44416	City of Hereford	04/27/2013	1.00:1	10,499.00	2,099.00	0.00	8,400.00	8,400.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.					8,400.00	8,400.00
WQ	43228	City of Kennard	11/30/2012	1.00:1	54,502.00	0.00	0.00	54,502.00	54,502.00
		POLLUTION REDUCTION	Installation of WWTP Effluent Disinfection System					54,502.00	54,502.00
WQ	43340	City of Fort Worth	10/27/2012	1.00:1	15,625.00	0.00	0.00	15,625.00	15,625.00
		POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners.					15,625.00	15,625.00
WQ	43908	Gulf West Landfill TX, LP	11/11/2012	1.00:1	2,135.00	427.00	854.00	854.00	854.00
		POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat.					854.00	854.00
WQ	44409	Geo Specialty Chemicals, Inc.	04/07/2013	1.00:1	10,725.00	2,145.00	4,290.00	4,290.00	4,290.00
		POLLUTION PREVENTION	SEP Offset Amount will be used to design and install a gate to trap floatable debris, trash, and oil that will be removed from the stream before flowing into Armand Bayou and Galveston Bay. The Third-Party Recipient shall use the SEP Offset Amount to pay a contractor to design, fabricate, install and maintain the Gate which will be located in the Preston Avenue median ditch between Fairmont Parkway and Crenshaw Road in Pasadena, Texas.					4,290.00	4,290.00
WQ	43245	E. I. du Pont de Nemours and Company	11/11/2012	1.00:1	91,125.00	18,225.00	36,450.00	36,450.00	36,450.00
		POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat.					36,450.00	36,450.00
WQ	43462	City of Gunter	03/09/2013	1.00:1	7,667.00	1,533.00	0.00	6,134.00	6,134.00
		POLLUTION REDUCTION	Shall hire a contractor to remove sludge, re-stabilize four pond embankments, and install deeper baffles at WWTP					6,134.00	6,134.00
WQ	44874	Huntsman Propylene Oxide LLC *	06/03/2013	1.00:1	36,800.00	7,360.00	14,720.00	14,720.00	14,720.00
		POLLUTION PREVENTION	The Big Thicket National Preserve is a heavily forested area in southeast Texas that spans approximately 105,684 acres. The Preserve ranges through 250 miles of waterways across seven east Texas counties (Hardin, Jefferson, Orange, Jasper, Liberty, Polk, and Tyler Counties). The Neches River forms the eastern boundary. The Preserve is one of the most biologically diverse ecosystems in the world. In 2001, the Preserve was named a Globally Important Birding Area. In 2007 the Neches River was named in the top 10 Most Endangered Rivers by the American Rivers Association.					14,720.00	14,720.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

WQ	44492	City of Stockdale	05/11/2013	1.00:1	7,012.00	1,402.00	0.00	5,610.00	5,610.00
		POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.					5,610.00	5,610.00
WQ	42941	City of Leander	09/29/2012	1.00:1	33,000.00	0.00	0.00	33,000.00	33,000.00
		CLEAN UP	Respondent shall hold a one-day event for collecting tires, household hazardous waste, and large, bulky municipal solid waste including, but not limited to paint, used furniture, old mattresses, broken fences, and brush.					33,000.00	33,000.00
WQ	44428	City of Electra	02/03/2013	1.00:1	4,850.00	970.00	0.00	3,880.00	3,880.00
		POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.					3,880.00	3,880.00
WQ	44248	City of Alto	05/02/2013	1.00:1	7,500.00	1,500.00	0.00	6,000.00	6,000.00
		POLLUTION REDUCTION	The SEP Offset Amount shall be used for materials, supplies, and equipment for one or more of the following: bubble diffuser assemblies, weights and caps, diffuser sheaths and manufacturer's manual					6,000.00	6,000.00
WQ	42234	City Of Lockney	02/24/2013	1.00:1	20,475.00	4,095.00	0.00	16,380.00	16,380.00
		POLLUTION PREVENTION	Project will coordinate with city and/or county government for hte clean up of sites where trash and debris has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified, there is no preexisting obligation to clean up the site by the owner or the government, and reasonable efforts have already been taken to prevent the dumping.					16,380.00	16,380.00
WQ	44219	City of Bullard	05/02/2013	1.00:1	15,600.00	3,120.00	0.00	12,480.00	12,480.00
		POLLUTION REDUCTION	Compliance SEP: replace approx. 220 ft. of 6-in sewer line; set manhole; replace two bad taps					12,480.00	12,480.00
WQ	44911	City of Austin	04/07/2013	1.00:1	10,001.00	0.00	0.00	10,001.00	10,001.00
		POLLUTION PREVENTION	The contribution will be to the Travis Audubon Society, Inc. for the Baker Sanctuary Fence Project Phase III. The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used to install an eight-foot tall game fence along 1,900 feet of the northern end of the Baker Sanctuary in order to prevent erosion and habitat destruction from the deer. The Third-Party Recipient owns the Baker Sanctuary; a 690 acre habitat preserve located in northwest Travis County for state and federally endangered Golden-cheeked Warbler and other wildlife.					10,001.00	10,001.00
WQ	43877	City of Brazoria	02/24/2013	1.00:1	9,920.00	1,984.00	0.00	7,936.00	7,936.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government.					7,936.00	7,936.00
WQ	43621	City of Springtown	10/27/2012	1.00:1	30,350.00	0.00	0.00	30,350.00	30,350.00
		POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners.					30,350.00	30,350.00
WQ	42595	North Texas Municipal Water District	04/07/2013	1.00:1	46,937.00	0.00	0.00	46,937.00	46,937.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems.	46,937.00	46,937.00					
WQ	44790	BASF FINA Petrochemicals Limited Partnership	08/26/2013	1.00:1	14,250.00	0.00	7,125.00	7,125.00	7,125.00
	POLLUTION PREVENTION	The Big Thicket National Preserve is a heavily forested area in southeast Texas that spans approximately 105,684 acres. The Preserve ranges through 250 miles of waterways across seven east Texas counties (Hardin, Jefferson, Orange, Jasper, Liberty, Polk, and Tyler Counties).	7,125.00	7,125.00					
WQ	43164	City of Asherton	04/07/2013	1.00:1	25,680.00	0.00	0.00	25,680.00	25,680.00
	POLLUTION REDUCTION	SEP Offset Amount shall be used to purchase parallel pumps, motors, and panel electrical control boxes with alarms for each lift station	25,680.00	25,680.00					
WQ	44729	EAST CEDAR CREEK FWSD	04/07/2013	1.00:1	4,800.00	960.00	0.00	3,840.00	3,840.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	3,840.00	3,840.00					
WQ	45855	Pilgrim's Pride Corporation	08/26/2013	1.00:1	63,987.00	0.00	31,994.00	31,993.00	31,993.00
	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems.	31,993.00	31,993.00					
WQ	45543	Air Liquide Large Industries U.S. LP	06/01/2013	1.00:1	4,970.00	994.00	1,988.00	1,988.00	1,988.00
	POLLUTION PREVENTION	Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways.	1,988.00	1,988.00					
WQ	45596	Bridgestone Retail Operations, LLC	05/02/2013	1.00:1	1,564.00	312.00	626.00	626.00	626.00
	POLLUTION PREVENTION	Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to the Austin Parks Foundation for the Restoration and Rehabilitation of the Barton Springs Pool Bypass Tunnel.	626.00	626.00					
WQ	44751	City of Odessa	06/03/2013	1.00:1	7,937.00	1,587.00	0.00	6,350.00	6,350.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	6,350.00	6,350.00					
WQ	43172	City of Mullin	02/10/2013	1.00:1	11,500.00	2,300.00	0.00	9,200.00	9,200.00
	POLLUTION REDUCTION	Respondent shall hire a certified contractor to vacuum out the clearwater effluent tank, cement around ten manholes and install manhole inflow protectors under each of the manhole covers	9,200.00	9,200.00					
WQ	45874	City of Moulton	06/27/2013	1.00:1	12,325.00	2,465.00	0.00	9,860.00	9,860.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	9,860.00	9,860.00					
WQ	45893	City of Liberty Hill	08/08/2013	1.00:1	7,500.00	1,500.00	0.00	6,000.00	6,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Single Program

POLLUTION PREVENTION		Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies.					6,000.00	6,000.00	
WQ	43758	Port of Houston Authority	10/13/2012	1.00:1	5,075.00	1,015.00	0.00	4,060.00	4,060.00
POLLUTION PREVENTION		Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat.					4,060.00	4,060.00	
<b>Count of Single Program Orders: 149</b>			Total:	4,276,982.00	345,301.00	1,515,401.00	1,416,280.00	1,416,280.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 6: List of SEP's & SEP Projects - FY 2013

### Multi-Media Program

Date Range Selected : 09/01/2012 - 08/31/2013

PGM	Case No.	Respondent Name	Effective Date	Ratio	Assessed Amt	Deferred Amt	Payable Amt	SEP Cost	SEP Offset
MM	41888	City of Big Spring	04/07/2013	1.00:1	13,015.00	0.00	0.00	13,015.00	13,015.00
		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites.					13,015.00	13,015.00
MM	45237	AG-Meier Industries, LLC	08/03/2013	1.00:1	15,375.00	3,075.00	6,150.00	6,150.00	6,150.00
		POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system.					6,150.00	6,150.00
MM	43404	PMRT, Inc.	09/29/2012	1.00:1	37,233.00	7,446.00	14,894.00	14,893.00	14,893.00
		POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat.					14,893.00	14,893.00
MM	44094	New Birmingham Resources, LLC	02/10/2013	1.00:1	34,124.00	6,824.00	13,650.00	13,650.00	13,650.00
		POLLUTION PREVENTION	The contribution will be to The Conservation Fund for the Bunn's Lake Habitat Acquisition & Preservation Project. The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ.					13,650.00	13,650.00
<b>Count of Multi-Media Program Orders: 4</b>				Total:	99,747.00	17,345.00	34,694.00	47,708.00	47,708.00
<b>Grand Total Count of Orders: 153</b>				Grand Total:	4,376,729.00	362,646.00	1,550,095.00	2,463,988.00	2,463,988.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 7: List of Administrative Orders Issued FY2012

### Singles Programs

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	41266	Ballard Exploration Company, Inc.	2011-0352-AIR-E	LIBERTY	9/16/2011	\$32,500	\$6,500	\$26,000	\$0
AQ	41463	SPRINGER & SPRINGER, INC.	2011-0555-AIR-E	MONTGOMERY	9/16/2011	\$2,000	\$400	\$1,600	\$0
AQ	38434	Hamilton Oil, Inc.	2009-1561-AIR-E	VAL VERDE	9/16/2011	\$2,700	\$0	\$2,700	\$0
AQ	41158	KM Liquids Terminals LLC	2011-0250-AIR-E	HARRIS	9/16/2011	\$37,950	\$7,590	\$15,180	\$15,180
AQ	41555	Modisette Welding & Supply, LLC	2011-0657-AIR-E	GREGG	9/16/2011	\$1,050	\$210	\$840	\$0
AQ	41368	BP Amoco Chemical Company	2011-0461-AIR-E	GALVESTON	9/16/2011	\$2,354	\$470	\$1,884	\$0
AQ	41218	INVISTA S.a r.l.	2011-0310-AIR-E	VICTORIA	9/16/2011	\$5,625	\$1,125	\$2,250	\$2,250
AQ	41501	Red River Oilfield Services LLC	2011-0600-AIR-E	PARKER	9/16/2011	\$800	\$160	\$640	\$0
AQ	41265	Ballard Exploration Company, Inc.	2011-0353-AIR-E	LIBERTY	9/16/2011	\$32,500	\$6,500	\$26,000	\$0
AQ	41641	Borger Energy Associates, L.P.	2011-0741-AIR-E	HUTCHINSON	10/2/2011	\$1,060	\$212	\$848	\$0
AQ	41517	Total Petrochemicals & Refining USA, Inc.	2011-0603-AIR-E	HARRIS	10/2/2011	\$10,000	\$2,000	\$8,000	\$0
AQ	41233	ExxonMobil Oil Corporation	2011-0374-AIR-E	JEFFERSON	10/2/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	41246	Anadarko E&P Company LP	2011-0360-AIR-E	PANOLA	10/2/2011	\$9,375	\$1,875	\$7,500	\$0
AQ	40691	Devon Energy Production Company, L.P.	2010-1830-AIR-E	*MULTIPLE	10/2/2011	\$72,777	\$14,554	\$58,223	\$0
AQ	41531	Veneerstone, LLC	2011-0623-AIR-E	COLLIN	10/2/2011	\$10,000	\$0	\$10,000	\$0
AQ	40911	INVISTA S.a r.l.	2010-2078-AIR-E	ORANGE	10/2/2011	\$14,850	\$2,970	\$5,940	\$5,940
AQ	41434	Equistar Chemicals, LP	2011-0530-AIR-E	HARRIS	10/2/2011	\$7,789	\$1,557	\$3,116	\$3,116
AQ	41478	Bar Constructors, Inc.	2011-0577-AIR-E	KAUFMAN	10/2/2011	\$2,100	\$420	\$840	\$840
AQ	41020	WTG Gas Processing, L.P.	2011-0122-AIR-E	HOWARD	10/2/2011	\$8,150	\$1,630	\$6,520	\$0
AQ	41383	Shell Oil Company	2011-0475-AIR-E	HARRIS	10/17/2011	\$10,000	\$0	\$5,000	\$5,000
AQ	38347	Albemarle Corporation	2009-1515-AIR-E	HARRIS	10/17/2011	\$1,823	\$364	\$1,459	\$0
AQ	41320	Oxy USA WTP LP	2011-0449-AIR-E	WINKLER	10/17/2011	\$3,750	\$750	\$3,000	\$0
AQ	41428	Flint Hills Resources Corpus Christi, LLC	2011-0529-AIR-E	NUECES	10/17/2011	\$6,650	\$1,330	\$5,320	\$0
AQ	41412	Chevron Phillips Chemical Company LP	2011-0506-AIR-E	BRAZORIA	10/17/2011	\$10,468	\$2,093	\$4,188	\$4,187
AQ	41215	Sand Hill Panola SWD #5 LLC	2011-0306-AIR-E	PANOLA	10/17/2011	\$4,806	\$961	\$3,845	\$0
AQ	39831	Valero Refining-Texas, L.P.	2010-0924-AIR-E	*MULTIPLE	11/3/2011	\$591,798	\$0	\$295,899	\$295,899
AQ	41235	ConocoPhillips Company	2011-0326-AIR-E	HUTCHINSON	11/3/2011	\$141,925	\$0	\$70,963	\$70,962
AQ	40297	Leading Edge Aviation Services Inc	2010-1408-AIR-E	POTTER	11/3/2011	\$45,500	\$0	\$45,500	\$0
AQ	41584	Firestone Polymers, LLC	2011-0655-AIR-E	ORANGE	11/3/2011	\$20,000	\$0	\$10,000	\$10,000
AQ	41518	Xtreme Collision Repair Inc.	2011-0604-AIR-E	COLLIN	11/3/2011	\$2,000	\$400	\$1,600	\$0
AQ	41514	INEOS USA LLC	2011-0614-AIR-E	BRAZORIA	11/3/2011	\$10,496	\$2,099	\$8,397	\$0
AQ	41471	NuStar Logistics, L.P.	2011-0569-AIR-E	GALVESTON	11/3/2011	\$6,100	\$1,220	\$2,440	\$2,440
AQ	41715	Hunt Oil Company	2011-0808-AIR-E	WILSON	11/3/2011	\$6,250	\$1,250	\$5,000	\$0
AQ	41570	Waste Management of Texas, Inc.	2011-0658-AIR-E	COMAL	11/18/2011	\$1,875	\$375	\$1,500	\$0
AQ	41532	Hidalgo County	2011-0622-AIR-E	HIDALGO	11/18/2011	\$3,000	\$600	\$2,400	\$0
AQ	39783	Diamond Shamrock Refining Company, L.P. *	2010-0909-MLM-E	*MULTIPLE	11/18/2011	\$292,291	\$58,456	\$165,781	\$68,054

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	41449	Ballard Exploration Company, Inc.	2011-0547-AIR-E	LIBERTY	11/18/2011	\$35,000	\$7,000	\$28,000	\$0
AQ	41425	Phillips 66 Pipeline LLC	2011-0523-AIR-E	*MULTIPLE	11/18/2011	\$48,400	\$9,680	\$38,720	\$0
AQ	41416	Rohm and Haas Texas Incorporated	2011-0510-AIR-E	HARRIS	11/18/2011	\$124,405	\$0	\$62,203	\$62,202
AQ	41485	The Dow Chemical Company	2011-0581-AIR-E	BRAZORIA	11/18/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	41447	Enbridge Pipelines (East Texas) L.P.	2011-0548-AIR-E	FREESTONE	11/18/2011	\$3,110	\$622	\$2,488	\$0
AQ	41108	Lone Star NGL Mont Belvieu L.P.	2011-0212-AIR-E	HARRIS	11/18/2011	\$50,625	\$10,125	\$40,500	\$0
AQ	39924	Exxon Mobil Corporation	2010-1036-AIR-E	HARRIS	11/18/2011	\$40,000	\$0	\$20,000	\$20,000
AQ	41955	Arkema Inc.	2011-1069-AIR-E	HARRIS	12/19/2011	\$20,300	\$4,060	\$16,240	\$0
AQ	41754	Pecan Pipeline Company	2011-0870-AIR-E	MONTAGUE	12/19/2011	\$15,860	\$3,172	\$12,688	\$0
AQ	41553	E. I. du Pont de Nemours and Company	2011-0648-AIR-E	JEFFERSON	12/19/2011	\$30,102	\$6,020	\$12,041	\$12,041
AQ	41686	Lyondell Chemical Company	2011-0784-AIR-E	HARRIS	12/19/2011	\$6,625	\$1,325	\$2,650	\$2,650
AQ	41675	Valero Refining-Texas, L.P.	2011-0781-AIR-E	HARRIS	12/19/2011	\$26,880	\$5,376	\$21,504	\$0
AQ	41580	NuStar Terminals Partners TX L.P.	2011-0689-AIR-E	GALVESTON	12/19/2011	\$4,300	\$860	\$1,720	\$1,720
AQ	41815	Guardian Industries Corp.	2011-0933-AIR-E	NAVARRO	12/19/2011	\$3,075	\$615	\$2,460	\$0
AQ	41758	DCP Midstream, LP	2011-0861-AIR-E	HOOD	12/19/2011	\$9,875	\$1,975	\$0	\$7,900
AQ	41592	Devon Gas Services, L.P.	2011-0685-AIR-E	WISE	12/19/2011	\$5,250	\$1,050	\$4,200	\$0
AQ	41913	Earthgrains Baking Companies, Inc.	2011-1027-AIR-E	LAMAR	12/19/2011	\$1,875	\$375	\$1,500	\$0
AQ	41627	Equistar Chemicals, LP	2011-0727-AIR-E	BRAZORIA	12/19/2011	\$20,550	\$4,110	\$16,440	\$0
AQ	41367	Exxon Mobil Corporation	2011-0450-AIR-E	HARRIS	12/19/2011	\$30,000	\$6,000	\$12,000	\$12,000
AQ	40324	Ismael Arreola	2010-1440-AIR-E	DALLAS	12/19/2011	\$6,300	\$0	\$6,300	\$0
AQ	41735	Midwest Engine, Inc.	2011-0842-AIR-E	DALLAS	12/19/2011	\$3,150	\$630	\$2,520	\$0
AQ	41953	INEOS USA LLC	2011-1081-AIR-E	BRAZORIA	12/19/2011	\$10,496	\$0	\$10,496	\$0
AQ	41643	E. I. du Pont de Nemours and Company	2011-0757-AIR-E	HARRIS	12/19/2011	\$9,075	\$0	\$4,538	\$4,537
AQ	41607	L. H. Lacy Company, LTD.	2011-0705-AIR-E	DALLAS	12/19/2011	\$2,100	\$420	\$840	\$840
AQ	42069	Oldcastle BuildingEnvelope, Inc.	2011-1190-AIR-E	KAUFMAN	1/27/2012	\$12,730	\$2,546	\$10,184	\$0
AQ	42185	Arkema Inc.	2011-1353-AIR-E	JEFFERSON	1/27/2012	\$10,000	\$2,000	\$8,000	\$0
AQ	41571	ONEOK Hydrocarbon Southwest, LLC	2011-0659-AIR-E	CHAMBERS	1/27/2012	\$82,875	\$16,575	\$33,150	\$33,150
AQ	41680	Enbridge G & P (East Texas) L.P.	2011-0782-AIR-E	*MULTIPLE	1/27/2012	\$30,672	\$6,134	\$24,538	\$0
AQ	41727	Valero Refining-Texas, L.P.	2011-0991-AIR-E	GALVESTON	1/27/2012	\$10,000	\$0	\$5,000	\$5,000
AQ	41611	Diamond Shamrock Refining Company, L.P.	2011-0710-AIR-E	MOORE	1/27/2012	\$145,211	\$29,042	\$58,085	\$58,084
AQ	41844	ZOLTEK CORPORATION	2011-0956-AIR-E	TAYLOR	1/27/2012	\$32,660	\$6,532	\$26,128	\$0
AQ	41989	Prairie View A&M University	2011-1121-AIR-E	WALLER	1/27/2012	\$10,970	\$0	\$10,970	\$0
AQ	41684	Belvan Corp.	2011-0783-AIR-E	CROCKETT	1/27/2012	\$40,230	\$0	\$40,230	\$0
AQ	42178	Sivalls Inc	2011-1354-AIR-E	ECTOR	1/27/2012	\$35,000	\$7,000	\$28,000	\$0
AQ	41593	Motiva Enterprises LLC	2011-0688-AIR-E	JEFFERSON	1/27/2012	\$10,000	\$0	\$10,000	\$0
AQ	41814	David Longoria Trucking and Construction, LLC	2011-0934-AIR-E	DUVAL	1/27/2012	\$20,000	\$0	\$20,000	\$0
AQ	41777	Hilcorp Energy Company *	2011-0891-AIR-E	BRAZORIA	2/5/2012	\$14,800	\$2,960	\$11,840	\$0
AQ	41612	Ballard Exploration Company, Inc.	2011-0711-AIR-E	LIBERTY	2/5/2012	\$52,500	\$10,500	\$42,000	\$0

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## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	41613	Ballard Exploration Company, Inc.	2011-0712-AIR-E	LIBERTY	2/5/2012	\$62,500	\$12,500	\$50,000	\$0
AQ	41335	Enterprise Products Operating LLC	2011-0429-AIR-E	CHAMBERS	2/5/2012	\$66,925	\$13,385	\$26,770	\$26,770
AQ	41979	Davis Gas Processing, Inc.	2011-1119-AIR-E	REAGAN	2/5/2012	\$11,310	\$2,262	\$9,048	\$0
AQ	41614	Ballard Exploration Company, Inc.	2011-0713-AIR-E	HARRIS	2/5/2012	\$62,500	\$12,500	\$50,000	\$0
AQ	41990	NPL Construction Co	2011-1122-AIR-E	DALLAS	2/10/2012	\$4,800	\$960	\$3,840	\$0
AQ	41941	DCP Midstream, LP	2011-1062-AIR-E	MOORE	2/10/2012	\$3,950	\$790	\$1,580	\$1,580
AQ	42137	Martech, LLC	2011-1286-AIR-E	ELLIS	2/18/2012	\$8,750	\$1,750	\$0	\$7,000
AQ	41441	Exxon Mobil Corporation	2011-0541-AIR-E	HARRIS	2/18/2012	\$13,300	\$2,660	\$5,320	\$5,320
AQ	41755	Shell Oil Company *	2011-0860-AIR-E	HARRIS	2/18/2012	\$64,525	\$0	\$32,263	\$32,262
AQ	41256	SQUARE MILE ENERGY, L.L.C.	2011-0341-AIR-E	BRAZORIA	2/18/2012	\$40,440	\$8,088	\$16,176	\$16,176
AQ	42206	The Premcor Refining Group Inc.	2011-1355-AIR-E	JEFFERSON	2/18/2012	\$30,000	\$6,000	\$12,000	\$12,000
AQ	42347	Celanese Ltd.	2011-1501-AIR-E	MATAGORDA	2/18/2012	\$10,139	\$0	\$10,139	\$0
AQ	41734	ISP Technologies Inc.	2011-0841-AIR-E	GALVESTON	2/18/2012	\$13,520	\$2,704	\$10,816	\$0
AQ	42187	ConocoPhillips Company	2011-1328-AIR-E	HUTCHINSON	2/18/2012	\$19,750	\$3,950	\$7,900	\$7,900
AQ	41907	Strand Energy, L.C.	2011-1021-AIR-E	WALLER	2/18/2012	\$35,917	\$7,183	\$28,734	\$0
AQ	42263	VirTex Operating Company, Inc.	2011-1412-AIR-E	ATASCOSA	2/18/2012	\$14,250	\$2,850	\$11,400	\$0
AQ	15009	Magnum Blue Ribbons Feeds, Inc.	2011-1080-AIR-E	DEAF SMITH	2/18/2012	\$12,100	\$0	\$12,100	\$0
AQ	41589	TPC Group LLC	2011-0682-AIR-E	JEFFERSON	2/25/2012	\$6,800	\$1,360	\$5,440	\$0
AQ	41872	Lyondell Chemical Company	2011-0985-AIR-E	HARRIS	2/25/2012	\$6,550	\$1,310	\$2,620	\$2,620
AQ	41753	Alstom Power Inc.	2011-0871-AIR-E	HARRIS	3/3/2012	\$3,600	\$720	\$2,880	\$0
AQ	41759	EOG Resources, Inc.	2011-0866-AIR-E	LA SALLE	3/3/2012	\$1,500	\$300	\$1,200	\$0
AQ	42619	ConocoPhillips Company	2011-1766-AIR-E	BRAZORIA	3/3/2012	\$10,000	\$0	\$10,000	\$0
AQ	42376	INEOS USA LLC	2011-1585-AIR-E	BRAZORIA	3/3/2012	\$10,000	\$2,000	\$8,000	\$0
AQ	42638	Rio Grande Valley Sugar Growers, Inc.	2011-1785-AIR-E	HIDALGO	3/3/2012	\$14,900	\$2,980	\$11,920	\$0
AQ	43254	Exxon Mobil Corporation	2011-2336-AIR-E	HARRIS	3/3/2012	\$98,000	\$0	\$49,000	\$49,000
AQ	41740	Norit Americas, Inc.	2011-0850-AIR-E	HARRISON	3/3/2012	\$69,650	\$13,930	\$27,860	\$27,860
AQ	41474	Zack Burkett Co.	2011-0570-AIR-E	STEPHENS	3/16/2012	\$10,000	\$0	\$10,000	\$0
AQ	41716	Exxon Mobil Corporation	2011-0831-AIR-E	HARRIS	3/16/2012	\$10,000	\$2,000	\$4,000	\$4,000
AQ	42473	Merisol USA LLC	2011-1608-AIR-E	HARRIS	3/16/2012	\$21,625	\$4,325	\$17,300	\$0
AQ	40115	Arkema Inc.	2010-1235-AIR-E	JEFFERSON	3/16/2012	\$34,650	\$0	\$17,325	\$17,325
AQ	42389	Explorer Pipeline Company	2011-1522-AIR-E	JEFFERSON	3/16/2012	\$149,248	\$0	\$149,248	\$0
AQ	42270	Georgia-Pacific Gypsum LLC	2011-1418-AIR-E	HARDEMAN	3/16/2012	\$21,450	\$4,290	\$8,580	\$8,580
AQ	40286	Ash Grove Texas, L.P.	2010-1402-AIR-E	ELLIS	3/16/2012	\$10,975	\$0	\$10,975	\$0
AQ	41972	TPC Group LLC	2011-1090-AIR-E	JEFFERSON	3/18/2012	\$6,775	\$1,355	\$5,420	\$0
AQ	42268	Armortex, Inc.	2011-1419-AIR-E	GUADALUPE	3/18/2012	\$3,067	\$613	\$2,454	\$0
AQ	42379	Custom Crates & Pallets Management, L.L.C	2011-1521-AIR-E	EL PASO	3/18/2012	\$1,050	\$210	\$840	\$0
AQ	42004	Equistar Chemicals, LP	2011-1134-AIR-E	NUECES	3/18/2012	\$6,575	\$1,315	\$2,630	\$2,630
AQ	41940	Enterprise Products Operating LLC	2011-1056-AIR-E	HARRIS	3/18/2012	\$4,325	\$865	\$1,730	\$1,730

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Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	42292	Johann Haltermann, Ltd.	2011-1443-AIR-E	HARRIS	3/18/2012	\$6,950	\$1,390	\$5,560	\$0
AQ	42330	Milwhite, Inc.	2011-1479-AIR-E	CAMERON	3/18/2012	\$1,270	\$254	\$1,016	\$0
AQ	42428	Village Farms, L.P.	2011-1563-AIR-E	PRESIDIO	3/18/2012	\$900	\$180	\$720	\$0
AQ	42132	Pallet & Crating Co., Inc.	2011-1279-AIR-E	GRAYSON	3/18/2012	\$1,250	\$250	\$1,000	\$0
AQ	39849	Jose Alberto Trevino	2010-0950-AIR-E	WEBB	3/23/2012	\$1,050	\$0	\$1,050	\$0
AQ	40915	John Duncan	2011-0021-AIR-E	BRAZORIA	3/23/2012	\$6,147	\$0	\$6,147	\$0
AQ	41380	Crane Technologies, Inc.	2011-0474-AIR-E	BRAZORIA	4/1/2012	\$1,700	\$0	\$1,700	\$0
AQ	42449	Chevron Phillips Chemical Company LP	2011-1597-AIR-E	JEFFERSON	4/5/2012	\$18,775	\$3,755	\$7,510	\$7,510
AQ	42205	Ace World Companies Ltd.	2011-1351-AIR-E	TARRANT	4/5/2012	\$18,900	\$3,780	\$15,120	\$0
AQ	41615	Ballard Exploration Company, Inc.	2011-0714-AIR-E	HARRIS	4/5/2012	\$42,500	\$8,500	\$34,000	\$0
AQ	41797	Huntsman Petrochemical LLC	2011-0918-AIR-E	JEFFERSON	4/5/2012	\$30,000	\$6,000	\$12,000	\$12,000
AQ	42136	Enterprise Products Operating LLC	2011-1316-AIR-E	CHAMBERS	4/5/2012	\$10,569	\$2,113	\$4,228	\$4,228
AQ	40749	KMTEx Properties, Inc.	2010-1895-AIR-E	JEFFERSON	4/5/2012	\$5,550	\$1,110	\$4,440	\$0
AQ	42325	Total Petrochemicals & Refining USA, Inc.	2011-1477-AIR-E	JEFFERSON	4/5/2012	\$269,775	\$53,955	\$107,910	\$107,910
AQ	39662	ACI Partners, LLC	2010-0756-AIR-E	BEXAR	4/5/2012	\$3,000	\$0	\$3,000	\$0
AQ	42533	Chevron Phillips Chemical Company LP	2011-1683-AIR-E	HUTCHINSON	4/5/2012	\$18,876	\$3,775	\$15,101	\$0
AQ	42269	INVISTA S.a.r.l.	2011-1420-AIR-E	VICTORIA	4/8/2012	\$4,725	\$945	\$1,890	\$1,890
AQ	42594	KM Liquids Terminals LLC	2011-1726-AIR-E	HARRIS	4/8/2012	\$224	\$44	\$180	\$0
AQ	42349	The Boeing Company	2011-1503-AIR-E	BEXAR	4/8/2012	\$2,050	\$410	\$1,640	\$0
AQ	41679	Kourosh K Babaahmadi	2011-0805-AIR-E	HARRIS	4/8/2012	\$1,675	\$335	\$1,340	\$0
AQ	42587	South Houston Green Power, LLC	2011-1729-AIR-E	GALVESTON	4/21/2012	\$2,175	\$435	\$1,740	\$0
AQ	42639	Kaneka Texas Corporation	2011-1787-AIR-E	HARRIS	4/21/2012	\$1,400	\$280	\$1,120	\$0
AQ	42599	National Oilwell Varco, L.P.	2011-1739-AIR-E	HARRIS	4/21/2012	\$6,650	\$1,330	\$5,320	\$0
AQ	42512	Upham Oil & Gas Company, L.P.	2011-1660-AIR-E	WISE	4/21/2012	\$1,875	\$375	\$1,500	\$0
AQ	42562	ONEOK Hydrocarbon Southwest, LLC	2011-1714-AIR-E	CHAMBERS	4/23/2012	\$9,650	\$1,930	\$7,720	\$0
AQ	42661	Ascend Performance Materials Operations LLC	2011-1808-AIR-E	BRAZORIA	4/23/2012	\$10,000	\$2,000	\$8,000	\$0
AQ	42747	Kinder Morgan Tejas Pipeline LLC	2011-1904-AIR-E	BRAZORIA	4/23/2012	\$12,650	\$2,530	\$10,120	\$0
AQ	40433	CITGO Refining and Chemicals Company L.P.	2010-1555-AIR-E	NUECES	4/23/2012	\$11,653	\$0	\$5,827	\$5,826
AQ	42427	Flint Hills Resources Port Arthur, LLC	2011-1562-AIR-E	JEFFERSON	4/23/2012	\$46,450	\$9,290	\$18,580	\$18,580
AQ	42742	Air Products LLC	2011-1886-AIR-E	HARRIS	4/23/2012	\$7,930	\$1,586	\$6,344	\$0
AQ	42369	Tarrant County	2011-1514-AIR-E	TARRANT	5/12/2012	\$6,000	\$1,200	\$4,800	\$0
AQ	42591	Crosstex North Texas Gathering, L.P.	2011-1727-AIR-E	PARKER	5/12/2012	\$6,475	\$1,295	\$5,180	\$0
AQ	42630	Styrolution America LLC	2011-1775-AIR-E	HARRIS	5/12/2012	\$4,966	\$993	\$3,973	\$0
AQ	42331	Hudson Products Corporation	2011-1480-AIR-E	FORT BEND	5/12/2012	\$5,180	\$1,036	\$4,144	\$0
AQ	42618	Cabot Corporation	2011-1762-AIR-E	GRAY	5/24/2012	\$6,100	\$1,220	\$4,880	\$0
AQ	42748	La Porte Methanol Company, L.P.	2011-1903-AIR-E	HARRIS	5/24/2012	\$2,950	\$590	\$2,360	\$0
AQ	43026	Texas Steel Conversion, Inc.	2011-2175-AIR-E	HARRIS	5/24/2012	\$1,125	\$225	\$900	\$0
AQ	42513	Texas City Cogeneration, LLC	2011-1649-AIR-E	GALVESTON	5/24/2012	\$1,825	\$365	\$730	\$730

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	43035	The Antique Drapery Rod Co., Inc	2011-2192-AIR-E	DALLAS	5/24/2012	\$6,300	\$1,260	\$5,040	\$0
AQ	42061	Aruba Petroleum, INC.	2011-1184-AIR-E	WISE	5/24/2012	\$3,605	\$721	\$0	\$2,884
AQ	42684	Bobby Kenimer	2011-1830-AIR-E	HUTCHINSON	5/24/2012	\$1,000	\$200	\$800	\$0
AQ	42561	Kuraray America, Inc.	2011-1701-AIR-E	HARRIS	5/25/2012	\$95,193	\$19,038	\$38,078	\$38,077
AQ	42607	Baytown Energy Center, LLC	2011-1747-AIR-E	CHAMBERS	5/25/2012	\$36,025	\$7,205	\$14,410	\$14,410
AQ	42254	BASF FINA Petrochemicals Limited Partnership	2011-1403-AIR-E	JEFFERSON	5/25/2012	\$20,130	\$4,026	\$8,052	\$8,052
AQ	42166	Shell Oil Company	2011-1311-AIR-E	HARRIS	5/25/2012	\$10,000	\$2,000	\$4,000	\$4,000
AQ	42578	E. I. du Pont de Nemours and Company	2011-1732-AIR-E	ORANGE	5/25/2012	\$10,535	\$2,107	\$4,214	\$4,214
AQ	42662	Chevron Phillips Chemical Company LP	2011-1807-AIR-E	HARRIS	5/25/2012	\$72,748	\$0	\$36,374	\$36,374
AQ	42433	Exxon Mobil Corporation	2011-1584-AIR-E	HARRIS	5/25/2012	\$113,958	\$22,791	\$45,584	\$45,583
AQ	42412	INVISTA S.a.r.l.	2011-1547-AIR-E	ORANGE	5/25/2012	\$36,100	\$7,220	\$14,440	\$14,440
AQ	43044	WILEY, BELO	2011-2191-AIR-E	ATASCOSA	6/7/2012	\$1,120	\$224	\$896	\$0
AQ	42785	Oxy Vinyls, LP	2011-1928-AIR-E	HARRIS	6/7/2012	\$5,275	\$1,055	\$2,110	\$2,110
AQ	42502	The Lubrizol Corporation	2011-1637-AIR-E	HARRIS	6/7/2012	\$3,425	\$685	\$2,740	\$0
AQ	42996	Wilkinson Jim Iron & Metal, Inc.	2011-2162-AIR-E	CAMERON	6/7/2012	\$3,400	\$680	\$2,720	\$0
AQ	41396	City Public Service	2011-0484-AIR-E	BEXAR	6/8/2012	\$24,000	\$4,800	\$0	\$19,200
AQ	42588	Texas State University - San Marcos	2011-1728-AIR-E	HAYS	6/8/2012	\$17,150	\$3,430	\$13,720	\$0
AQ	42579	Enterprise Products Operating LLC	2011-1731-AIR-E	CHAMBERS	6/8/2012	\$5,000	\$0	\$2,500	\$2,500
AQ	42843	BP Amoco Chemical Company	2011-1983-AIR-E	GALVESTON	6/8/2012	\$11,450	\$2,290	\$4,580	\$4,580
AQ	42584	Cowtown Gas Processing Partners L.P.	2011-1730-AIR-E	HOOD	6/8/2012	\$19,170	\$3,834	\$0	\$15,336
AQ	40542	PEREZ, JOE	2010-1686-AIR-E	TAYLOR	6/8/2012	\$1,300	\$0	\$1,300	\$0
AQ	41942	Exxon Mobil Corporation	2011-1061-AIR-E	HARRIS	6/8/2012	\$12,100	\$2,420	\$4,840	\$4,840
AQ	42207	Diamond Shamrock Refining Company, L.P.	2011-1350-AIR-E	LIVE OAK	6/8/2012	\$33,913	\$6,782	\$13,566	\$13,565
AQ	42681	Equistar Chemicals, LP	2011-1829-AIR-E	HARRIS	6/8/2012	\$10,000	\$0	\$5,000	\$5,000
AQ	41997	The Dow Chemical Company	2011-1123-AIR-E	BRAZORIA	6/8/2012	\$259,222	\$51,844	\$103,689	\$103,689
AQ	42063	Ascend Performance Materials Operations LLC	2011-1185-AIR-E	BRAZORIA	6/8/2012	\$113,629	\$22,725	\$45,452	\$45,452
AQ	42535	Eastman Chemical Company	2011-1691-AIR-E	HARRISON	6/8/2012	\$63,300	\$12,660	\$25,320	\$25,320
AQ	42448	Equistar Chemicals, LP	2011-1596-AIR-E	HARRIS	6/8/2012	\$20,000	\$4,000	\$8,000	\$8,000
AQ	41476	DeGeer Trucking, Inc.	2011-0576-AIR-E	PARKER	6/14/2012	\$800	\$0	\$800	\$0
AQ	41053	Black Bear Energy Services, LLC	2011-0147-AIR-E	FAYETTE	6/15/2012	\$4,200	\$0	\$4,200	\$0
AQ	41903	P-J-AUTO SALES INC	2011-1020-AIR-E	TARRANT	6/15/2012	\$500	\$0	\$500	\$0
AQ	43031	INEOS USA LLC	2011-2264-AIR-E	HARRIS	6/17/2012	\$3,450	\$690	\$2,760	\$0
AQ	43027	South Hampton Resources, Inc.	2011-2177-AIR-E	HARDIN	6/17/2012	\$3,822	\$764	\$1,529	\$1,529
AQ	43243	Swift Energy Operating, LLC	2012-0036-AIR-E	MCMULLEN	6/17/2012	\$2,925	\$585	\$2,340	\$0
AQ	42854	Bell Helicopter Textron Inc.	2011-1992-AIR-E	POTTER	6/17/2012	\$5,000	\$1,000	\$4,000	\$0
AQ	42300	Exxon Mobil Corporation	2011-1462-AIR-E	NACOGDOCHES	6/17/2012	\$6,750	\$1,350	\$5,400	\$0
AQ	42963	Flint Hills Resources Port Arthur, LLC	2011-2129-AIR-E	JEFFERSON	6/22/2012	\$12,400	\$2,480	\$4,960	\$4,960
AQ	42979	Ascend Performance Materials Operations LLC	2011-2133-AIR-E	BRAZORIA	6/22/2012	\$7,650	\$1,530	\$6,120	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	42936	Huntsman Petrochemical, LLC	2011-2099-AIR-E	JEFFERSON	6/22/2012	\$20,545	\$0	\$10,273	\$10,272
AQ	42791	Equistar Chemicals, LP	2011-1947-AIR-E	HARRIS	6/22/2012	\$10,571	\$2,114	\$4,229	\$4,228
AQ	43131	Chevron Phillips Chemical Company LP	2011-2293-AIR-E	HARRIS	7/6/2012	\$23,000	\$4,600	\$9,200	\$9,200
AQ	42636	INEOS USA LLC	2011-1788-AIR-E	BRAZORIA	7/6/2012	\$10,000	\$2,000	\$8,000	\$0
AQ	42999	Moss Bluff Hub LLC	2011-2161-AIR-E	LIBERTY	7/6/2012	\$36,720	\$7,344	\$29,376	\$0
AQ	43070	Eastman Chemical Company	2011-2228-AIR-E	HARRISON	7/6/2012	\$7,975	\$0	\$3,988	\$3,987
AQ	42514	Heather McD, Ltd.	2011-1648-AIR-E	CAMERON	7/6/2012	\$10,200	\$2,040	\$8,160	\$0
AQ	43121	Exxon Mobil Corporation	2011-2280-AIR-E	KLEBERG	7/6/2012	\$10,154	\$0	\$10,154	\$0
AQ	43289	Brazos Electric Power Cooperative, Inc.	2012-0099-AIR-E	JACK	7/6/2012	\$9,200	\$1,840	\$7,360	\$0
AQ	42817	SCCW Industrial Services, LLC	2011-1960-AIR-E	JEFFERSON	7/8/2012	\$4,000	\$800	\$3,200	\$0
AQ	42339	Freeport LNG Development, L.P.	2011-1500-AIR-E	BRAZORIA	7/8/2012	\$2,430	\$486	\$1,944	\$0
AQ	43302	Southline Metal Products Company	2012-0113-AIR-E	HARRIS	7/8/2012	\$2,625	\$525	\$2,100	\$0
AQ	42067	Bayer Corporation	2011-1191-AIR-E	CHAMBERS	7/8/2012	\$2,300	\$460	\$1,840	\$0
AQ	42403	VASQUEZ JOSE	2011-1544-AIR-E	HIDALGO	7/15/2012	\$2,040	\$0	\$2,040	\$0
AQ	43205	Cameron Technologies US, Inc.	2012-0011-AIR-E	MIDLAND	7/15/2012	\$5,250	\$1,050	\$4,200	\$0
AQ	43469	El Pollo Regio IP, LLC	2012-0284-AIR-E	COLLIN	7/15/2012	\$1,312	\$262	\$1,050	\$0
AQ	42947	Braden Exploration, LLC	2011-2113-AIR-E	WISE	8/2/2012	\$11,250	\$2,250	\$9,000	\$0
AQ	43111	The Dow Chemical Company	2011-2269-AIR-E	BRAZORIA	8/2/2012	\$10,000	\$2,000	\$4,000	\$4,000
AQ	43036	Motiva Enterprises LLC	2011-2212-AIR-E	JEFFERSON	8/2/2012	\$10,062	\$2,012	\$4,025	\$4,025
AQ	43225	Union Carbide Corporation	2012-0029-AIR-E	GALVESTON	8/2/2012	\$63,384	\$12,676	\$25,354	\$25,354
AQ	42669	DCP Midstream, LP	2011-1816-AIR-E	PANOLA	8/2/2012	\$631,628	\$0	\$315,814	\$315,814
AQ	42869	Davis Gas Processing, Inc.	2011-2008-AIR-E	REAGAN	8/2/2012	\$14,161	\$2,832	\$11,329	\$0
AQ	43276	Total Petrochemicals & Refining USA, Inc.	2012-0087-AIR-E	JEFFERSON	8/2/2012	\$25,000	\$0	\$12,500	\$12,500
AQ	43285	E. I. du Pont de Nemours and Company	2012-0093-AIR-E	HARRIS	8/5/2012	\$7,500	\$1,500	\$6,000	\$0
AQ	43451	VAL-TEX ASPHALT & ENVIRONMENTAL RECYCLING, INC.	2012-0265-AIR-E	DUVAL	8/5/2012	\$3,751	\$750	\$3,001	\$0
AQ	43244	Ed Bell Construction Company	2012-0040-AIR-E	COOKE	8/5/2012	\$938	\$187	\$751	\$0
AQ	43175	Oxy Vinyls, LP	2011-2352-AIR-E	HARRIS	8/5/2012	\$7,500	\$1,500	\$3,000	\$3,000
AQ	43595	Union Carbide Corporation	2012-0399-AIR-E	GALVESTON	8/5/2012	\$5,150	\$1,030	\$2,060	\$2,060
AQ	43146	Wieler, Jacob Dyck	2011-2306-AIR-E	LAMAR	8/5/2012	\$3,150	\$630	\$2,520	\$0
AQ	43281	Tanda Management LLC	2012-0100-AIR-E	HARRIS	8/5/2012	\$450	\$90	\$360	\$0
AQ	43618	Sterling Collision Centers, Inc.	2012-0442-AIR-E	TRAVIS	8/5/2012	\$4,500	\$900	\$3,600	\$0
AQ	43100	DCP Midstream, LP	2011-2257-AIR-E	ANDREWS	8/5/2012	\$3,125	\$625	\$2,500	\$0
AQ	43585	Shell Pipeline Company LP	2012-0401-AIR-E	HARRIS	8/5/2012	\$5,100	\$1,020	\$4,080	\$0
AQ	42332	NEF Partners, Inc.	2011-1482-AIR-E	WALLER	8/5/2012	\$6,516	\$1,303	\$5,213	\$0
AQ	43316	The Goodyear Tire & Rubber Company	2012-0136-AIR-E	JEFFERSON	8/12/2012	\$7,125	\$1,425	\$5,700	\$0
AQ	43749	Air Products LLC	2012-0567-AIR-E	HARRIS	8/12/2012	\$1,130	\$226	\$904	\$0
AQ	43415	Flint Hills Resources Corpus Christi, LLC	2012-0239-AIR-E	NUECES	8/12/2012	\$6,475	\$1,295	\$5,180	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	43632	IESI Tx Landfill LP	2012-0439-AIR-E	RUSK	8/12/2012	\$770	\$154	\$616	\$0
AQ	43155	BASF Corporation	2011-2315-AIR-E	HARRIS	8/17/2012	\$8,325	\$1,665	\$3,330	\$3,330
AQ	42804	Celanese Ltd.	2011-1945-AIR-E	MATAGORDA	8/17/2012	\$17,743	\$3,548	\$14,195	\$0
AQ	43809	Magellan Pipeline Terminals, L.P.	2012-0632-AIR-E	HARRIS	8/17/2012	\$112,750	\$0	\$112,750	\$0
AQ	42880	Union Carbide Corporation	2011-2024-AIR-E	GALVESTON	8/17/2012	\$11,550	\$2,310	\$4,620	\$4,620
AQ	43196	Occidental Chemical Corporation	2011-2362-AIR-E	SAN PATRICIO	8/17/2012	\$10,000	\$0	\$5,000	\$5,000
AQ	42965	Shell Oil Company *	2011-2121-AIR-E	HARRIS	8/17/2012	\$21,569	\$0	\$10,785	\$10,784
AQ	41530	Gardner Glass Products, Inc.	2011-0621-AIR-E	WALKER	8/17/2012	\$35,340	\$0	\$35,340	\$0
AQ	41860	LARRANAGA, GINA	2011-0975-AIR-E	BELL	8/22/2012	\$1,050	\$0	\$1,050	\$0
AQ	43630	LANXEES Corporation	2012-0440-AIR-E	ORANGE	8/26/2012	\$6,563	\$1,312	\$2,626	\$2,625
AQ	43280	Xexes, Inc.	2012-0086-AIR-E	HARRIS	8/26/2012	\$1,750	\$350	\$1,400	\$0
AQ	43583	Marlin Midstream, LLC	2012-0410-AIR-E	TYLER	8/26/2012	\$5,100	\$1,020	\$4,080	\$0
AQ	43777	Worsham Steed Gas Storage LLC	2012-0599-AIR-E	JACK	8/26/2012	\$2,475	\$495	\$1,980	\$0
AQ	42993	Enterprise Products Operating LLC	2011-2150-AIR-E	CHAMBERS	8/26/2012	\$3,725	\$745	\$1,490	\$1,490
AQ	43077	Shell Pipeline Company LP	2011-2227-AIR-E	JEFFERSON	8/27/2012	\$30,156	\$0	\$30,156	\$0
AQ	43168	Energy Corporation of America	2011-2327-AIR-E	FORT BEND	8/27/2012	\$16,521	\$3,304	\$13,217	\$0
<b>Subtotal for AQ : 241</b>						<b>\$6,341,818</b>	<b>\$762,477</b>	<b>\$3,639,518</b>	<b>\$1,939,823</b>
DC	40875	NIM's, Inc.	2010-2042-DCL-E	KAUFMAN	10/6/2011	\$7,586	\$0	\$7,586	\$0
DC	43555	FINE PRESS INC	2012-0362-DCL-E	HARRIS	8/12/2012	\$5,000	\$1,000	\$4,000	\$0
<b>Subtotal for DC : 2</b>						<b>\$12,586</b>	<b>\$1,000</b>	<b>\$11,586</b>	<b>\$0</b>
ER	41521	MDLS INC	2011-0611-MSW-E	GREGG	11/18/2011	\$1,691	\$338	\$1,353	\$0
<b>Subtotal for ER : 1</b>						<b>\$1,691</b>	<b>\$338</b>	<b>\$1,353</b>	<b>\$0</b>
IHW	39435	Vickers Industrial Coatings, Inc.	2010-0519-IHW-E	HARRIS	9/2/2011	\$93,520	\$0	\$93,520	\$0
IHW	41461	Structural Metals, Inc.	2011-0557-IHW-E	GUADALUPE	9/16/2011	\$5,525	\$1,105	\$2,210	\$2,210
IHW	40332	Conner Steel Products Inc.	2010-1443-IHW-E	TOM GREEN	10/17/2011	\$22,348	\$0	\$22,348	\$0
IHW	41406	Lewis, Sr., E.J.	2011-0511-IHW-E	JEFFERSON	10/17/2011	\$1,050	\$210	\$840	\$0
IHW	40019	Texas Industrial Box Maintenance, Inc	2010-1133-IHW-E	HARRIS	11/3/2011	\$19,890	\$0	\$19,890	\$0
IHW	41455	Falcon International Inc.	2011-0556-IHW-E	ECTOR	11/18/2011	\$1,050	\$210	\$840	\$0
IHW	41852	Lucite International, Inc.	2011-0967-IHW-E	JEFFERSON	12/19/2011	\$10,000	\$0	\$10,000	\$0
IHW	41292	Dude Fuller *	2011-0394-IHW-E	HARDIN	1/8/2012	\$19,650	\$0	\$19,650	\$0
IHW	41130	PENA, ANDREW	2011-0215-IHW-E	ELLIS	2/5/2012	\$18,340	\$14,502	\$3,838	\$0
IHW	41666	SEVILLE PROCESSING, INC	2011-0774-IHW-E	ELLIS	2/10/2012	\$5,250	\$1,050	\$4,200	\$0
IHW	41992	KOLDER, INC.	2011-1118-IHW-E	KAUFMAN	3/3/2012	\$20,940	\$4,188	\$16,752	\$0
IHW	42022	BASF Corporation	2011-1144-IHW-E	HARRIS	3/16/2012	\$42,750	\$8,550	\$17,100	\$17,100
IHW	41141	ROAK, PAULA	2011-0225-IHW-E	NACOGDOCHES	3/23/2012	\$1,050	\$0	\$1,050	\$0
IHW	42200	Clean Harbors Deer Park, LLC	2011-1348-IHW-E	HARRIS	4/8/2012	\$5,450	\$1,090	\$4,360	\$0
IHW	42574	Triumph Structures - East Texas, Inc.	2011-1713-IHW-E	GREGG	4/23/2012	\$10,470	\$2,094	\$4,188	\$4,188
IHW	42190	Texas Auto Salvage, Inc.	2011-1349-IHW-E	BEXAR	5/12/2012	\$3,570	\$714	\$2,856	\$0

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Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
IHW	40610	Sentinel Plating, Inc.	2010-1759-IHW-E	DALLAS	5/25/2012	\$60,500	\$12,100	\$48,400	\$0
IHW	42532	ConocoPhillips Company	2011-1680-IHW-E	HUTCHINSON	6/8/2012	\$37,500	\$7,500	\$15,000	\$15,000
IHW	40637	RAMIREZ, DANIEL DBA DANNY'S AUTO RECYCLING	2010-1838-IHW-E	BEXAR	6/14/2012	\$1,875	\$0	\$1,875	\$0
IHW	39795	Long Bow Development, LLC	2010-0906-MLM-E	BEXAR	6/14/2012	\$3,850	\$0	\$3,850	\$0
IHW	40754	E.H. BROKERAGE, INC.	2010-1896-IHW-E	DALLAS	6/22/2012	\$8,675	\$0	\$8,675	\$0
IHW	43161	Structural Metals, Inc.	2011-2321-IHW-E	GUADALUPE	8/5/2012	\$5,475	\$1,095	\$2,190	\$2,190
IHW	43428	BFI Waste Services of Texas, LP	2012-0248-IHW-E	HARRIS	8/12/2012	\$2,500	\$500	\$1,000	\$1,000
IHW	41583	Lane Plating Works, Inc.	2011-0662-IHW-E	DALLAS	8/27/2012	\$28,350	\$21,372	\$6,978	\$0
<b>Subtotal for IHW : 24</b>						<b>\$429,578</b>	<b>\$76,280</b>	<b>\$311,610</b>	<b>\$41,688</b>
IHWNF	41921	Chemical Research & Licensing Company	2011-1034-IHW-E	HARRIS	6/15/2012	\$4,000	\$0	\$4,000	\$0
<b>Subtotal for IHWNP : 1</b>						<b>\$4,000</b>	<b>\$0</b>	<b>\$4,000</b>	<b>\$0</b>
MSW	41426	MHBS, Inc.	2011-0524-MSW-E	DEWITT	9/16/2011	\$900	\$180	\$720	\$0
MSW	41407	Esker Payne	2011-0532-MSW-E	HARDIN	9/16/2011	\$262	\$52	\$210	\$0
MSW	37651	Christopher Atwood Leath *	2009-0728-MSW-E	EASTLAND	9/16/2011	\$5,250	\$0	\$5,250	\$0
MSW	37651	Ellen and Cecil Lou Frasier	2009-1049-MSW-E	EASTLAND	10/6/2011	\$5,250	\$0	\$5,250	\$0
MSW	41392	Lee Ann Potter	2011-0491-MSW-E	TRAVIS	10/17/2011	\$5,250	\$1,050	\$4,200	\$0
MSW	41172	J&J WELL SERVICE INC	2011-0264-MSW-E	TOM GREEN	10/17/2011	\$7,500	\$1,500	\$6,000	\$0
MSW	40407	Blackman, Warren	2010-1537-MSW-E	GRAYSON	10/22/2011	\$10,500	\$0	\$10,500	\$0
MSW	40720	SANCHEZ, EVA	2010-1882-MSW-E	HIDALGO	10/22/2011	\$2,625	\$0	\$2,625	\$0
MSW	40188	Casco Hauling & Excavating Co.	2010-1313-MSW-E	HARRIS	11/3/2011	\$10,500	\$2,100	\$8,400	\$0
MSW	40378	CATHEY, LARRY	2010-1495-MSW-E	MCLENNAN	11/3/2011	\$8,833	\$0	\$8,833	\$0
MSW	41515	City of Crosbyton	2011-0605-MSW-E	CROSBY	11/18/2011	\$4,500	\$900	\$3,600	\$0
MSW	41697	TX Energy Services, LLC	2011-0801-MSW-E	JIM WELLS	11/18/2011	\$11,908	\$2,381	\$9,527	\$0
MSW	36971	Industrial Scrap Materials, Inc.	2011-0007-MSW-E	TARRANT	11/18/2011	\$8,100	\$0	\$8,100	\$0
MSW	41363	MOBLEY, DAVID M	2011-0454-MSW-E	WHARTON	11/23/2011	\$1,000	\$0	\$1,000	\$0
MSW	40299	GUILLORY, ROY L *	2010-1420-MSW-E	NEWTON	12/19/2011	\$1,050	\$0	\$1,050	\$0
MSW	40920	Lubbock Industries, Inc. *	2011-0006-MSW-E	LUBBOCK	12/19/2011	\$5,500	\$0	\$5,500	\$0
MSW	41623	BURGOS, JORGE	2011-0725-MSW-E	TRAVIS	12/19/2011	\$2,500	\$500	\$2,000	\$0
MSW	40963	CASTRO, JUAN	2011-0054-MSW-E	CAMERON	12/19/2011	\$5,250	\$0	\$5,250	\$0
MSW	37080	CLOUD, ALVIN	2011-0189-MSW-E	*MULTIPLE	1/8/2012	\$5,160	\$0	\$5,160	\$0
MSW	41216	Jerry Waller Tire Service, Incorporated *	2011-0307-MSW-E	DALLAS	1/8/2012	\$43,260	\$0	\$43,260	\$0
MSW	41603	RICO, MARCELINO *	2011-0700-MSW-E	HIDALGO	1/8/2012	\$10,000	\$0	\$10,000	\$0
MSW	35702	Four States Recycling, Inc.	2010-1179-MSW-E	POTTER	1/27/2012	\$12,465	\$0	\$12,465	\$0
MSW	41352	BRANDON ZORN	2011-0483-MSW-E	CORYELL	2/10/2012	\$1,040	\$208	\$832	\$0
MSW	37042	Micro Dirt, Inc.	2009-0096-MSW-E	TRAVIS	2/16/2012	\$103,800	\$0	\$103,800	\$0
MSW	41836	Whole Woman's Health of Austin, LLC	2011-0954-MSW-E	TRAVIS	2/18/2012	\$22,980	\$4,596	\$18,384	\$0
MSW	42038	Stericycle, Inc.	2011-1157-MSW-E	BASTROP	2/18/2012	\$42,612	\$8,522	\$34,090	\$0
MSW	41833	Whole Woman's Health of McAllen, LLC	2011-0955-MSW-E	HIDALGO	2/18/2012	\$17,430	\$3,486	\$13,944	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MSW	40796	Lonnie Bearden, Sr	2010-1935-MLM-E	TOM GREEN	2/25/2012	\$13,440	\$0	\$13,440	\$0
MSW	41801	SAN SEBASTIAN PARTNERS, LP	2011-0915-MSW-E	CHEROKEE	2/25/2012	\$6,575	\$1,315	\$5,260	\$0
MSW	41436	MICHAEL PARMA	2011-0534-MSW-E	DEWITT	2/25/2012	\$3,866	\$0	\$3,866	\$0
MSW	41435	LEE, TERRY F	2011-0533-MSW-E	DEWITT	2/25/2012	\$3,866	\$0	\$3,866	\$0
MSW	41974	Timber Solutions, Inc.	2011-1088-MSW-E	FORT BEND	3/3/2012	\$2,096	\$419	\$1,677	\$0
MSW	42836	KENNARD, J T III	2011-2119-MSW-E	TARRANT	3/12/2012	\$1,900	\$380	\$1,520	\$0
MSW	41827	LOKEY KEVIN	2011-0946-MSW-E	LUBBOCK	4/5/2012	\$68,845	\$13,769	\$55,076	\$0
MSW	41535	City of Victoria	2011-0626-MSW-E	VICTORIA	4/5/2012	\$47,700	\$9,540	\$38,160	\$0
MSW	42250	City Of Levelland	2011-1411-MSW-E	HOCKLEY	5/12/2012	\$2,950	\$590	\$0	\$2,360
MSW	42631	Grande Butane Company Inc.	2011-1784-MSW-E	STARR	5/12/2012	\$3,750	\$750	\$3,000	\$0
MSW	42417	SMITH, ROY WAYNE	2011-1559-MSW-E	MCCULLOCH	5/12/2012	\$2,750	\$550	\$2,200	\$0
MSW	43110	City Of Mason	2011-2277-MSW-E	MASON	5/24/2012	\$1,125	\$225	\$900	\$0
MSW	42566	Eagle Electronics Resources, Inc.	2011-1709-MSW-E	HARRIS	5/24/2012	\$7,053	\$1,410	\$5,643	\$0
MSW	42197	River City Rolloffs, Inc.	2011-1345-MSW-E	TRAVIS	5/24/2012	\$2,100	\$420	\$1,680	\$0
MSW	42949	RECON SERVICES, INC.	2011-2098-MSW-E	TRAVIS	5/24/2012	\$1,000	\$200	\$800	\$0
MSW	40230	RAMOS, HECTOR C *	2010-1359-MSW-E	ATASCOSA	5/25/2012	\$15,000	\$0	\$15,000	\$0
MSW	43001	Grand Haven Homes, L.P.	2011-2159-MSW-E	WILLIAMSON	6/7/2012	\$1,000	\$200	\$800	\$0
MSW	42179	PRUETT, SHELBA G *	2011-1323-MSW-E	CAMERON	6/14/2012	\$15,000	\$0	\$15,000	\$0
MSW	40330	Robert Keller	2010-1441-MSW-E	JONES	6/14/2012	\$18,401	\$0	\$18,401	\$0
MSW	42153	TREVINO, FERNANDO	2011-1301-MSW-E	CAMERON	6/14/2012	\$15,000	\$0	\$15,000	\$0
MSW	43051	City of Mercedes	2011-2204-MSW-E	HIDALGO	6/17/2012	\$3,750	\$750	\$3,000	\$0
MSW	42713	Partus Land, L.L.C.	2011-1862-MSW-E	BRAZOS	6/17/2012	\$5,250	\$1,050	\$4,200	\$0
MSW	42731	RGV TIRE RECYCLING GROUP LLC	2011-1879-MSW-E	HIDALGO	7/6/2012	\$15,000	\$3,000	\$12,000	\$0
MSW	42675	Lubbock Alternator & Supploy, Inc.	2011-1874-MSW-E	LUBBOCK	7/8/2012	\$2,500	\$500	\$2,000	\$0
MSW	42922	MARTINEZ, GLORIA	2011-2145-MSW-E	STARR	7/8/2012	\$1,050	\$210	\$840	\$0
MSW	43117	Los Campeones, Inc.	2011-2276-MSW-E	CAMERON	7/8/2012	\$4,200	\$840	\$3,360	\$0
MSW	42809	Arturo Ulloa	2011-1971-MSW-E	HIDALGO	7/8/2012	\$6,375	\$1,275	\$5,100	\$0
MSW	43040	Greenstar Mid-America, LLC	2011-2226-MSW-E	BEXAR	7/15/2012	\$1,650	\$330	\$660	\$660
MSW	42368	KING, DANNY JOE	2011-1510-MSW-E	MONTGOMERY	7/15/2012	\$525	\$0	\$525	\$0
MSW	42387	US Innovations, Inc.	2011-1473-MSW-E	DENTON	7/26/2012	\$15,700	\$0	\$15,700	\$0
MSW	42677	central state shingle recycling LLC	2011-1823-MSW-E	*MULTIPLE	7/26/2012	\$18,375	\$0	\$18,375	\$0
MSW	36880	ROBERTS, FRANK *	2011-2198-MSW-E	BOSQUE	7/26/2012	\$22,750	\$0	\$22,750	\$0
MSW	42397	MOON NATHAN W *	2011-1546-MSW-E	REEVES	7/27/2012	\$1,000	\$0	\$1,000	\$0
MSW	42950	J & M Truck Tire Shop, Inc.	2011-2101-MSW-E	BEXAR	8/2/2012	\$10,000	\$2,000	\$8,000	\$0
MSW	43176	Larry J. Appelt	2011-2345-MSW-E	LAVACA	8/5/2012	\$1,050	\$210	\$840	\$0
MSW	43248	ROLAND, MARVIN LEE	2012-0049-MSW-E	GONZALES	8/5/2012	\$1,250	\$250	\$1,000	\$0
MSW	43046	Eckert, Patti	2011-2197-MSW-E	KIMBLE	8/5/2012	\$1,312	\$262	\$1,050	\$0
MSW	42851	PAPE, SUSAN *	2011-1989-MSW-E	BEXAR	8/17/2012	\$900	\$0	\$900	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MSW	42084	Cowtown Excavating Company	2011-1207-MSW-E	TARRANT	8/17/2012	\$12,500	\$0	\$12,500	\$0
MSW	43398	Molly-Jack Moser Waldrop	2012-0209-MSW-E	MENARD	8/26/2012	\$1,070	\$214	\$856	\$0
MSW	43473	Maverick County	2012-0283-MSW-E	MAVERICK	8/26/2012	\$1,050	\$210	\$840	\$0
MSW	43320	Lloyd Earl Hunt	2012-0141-MSW-E	TRAVIS	8/26/2012	\$1,187	\$237	\$950	\$0
MSW	43231	Nido, Ltd	2012-0292-MSW-E	GUADALUPE	8/27/2012	\$10,625	\$2,125	\$8,500	\$0
MSW	42211	City of Forest Hill	2011-1990-MSW-E	TARRANT	8/27/2012	\$10,500	\$0	\$10,500	\$0
<b>Subtotal for MSW : 71</b>						<b>\$738,411</b>	<b>\$68,706</b>	<b>\$666,685</b>	<b>\$3,020</b>
OL	40647	SCALES, JOHN A PE	2010-1793-LII-E	MCLENNAN	9/2/2011	\$1,037	\$0	\$1,037	\$0
OL	40271	TILLEY, MIKE JASON	2010-1385-LII-E	COLLIN	9/16/2011	\$1,018	\$0	\$1,018	\$0
OL	40712	DODD, TERRY	2010-1863-LII-E	WILLIAMSON	10/6/2011	\$262	\$0	\$262	\$0
OL	40761	SARTOR, JOHN	2010-1907-LII-E	VICTORIA	10/17/2011	\$1,840	\$0	\$1,840	\$0
OL	41152	HUDDLESTON, JON M	2011-0227-LII-E	BELL	11/6/2011	\$1,220	\$0	\$1,220	\$0
OL	41258	Stephen Hall	2011-0346-LII-E	COLLIN	11/23/2011	\$262	\$0	\$262	\$0
OL	41094	The Woodlands Lawn and Pool Services, LLC	2011-0184-LII-E	HARRIS	11/23/2011	\$787	\$0	\$787	\$0
OL	41290	CELIS, KATHERINE M	2011-0381-LII-E	DENTON	11/23/2011	\$431	\$0	\$431	\$0
OL	41523	SANCHEZ, JUAN	2011-0619-LII-E	HARRIS	11/23/2011	\$1,210	\$0	\$1,210	\$0
OL	40951	BARNES, THOMAS L JR	2011-0031-LII-E	HARRIS	12/19/2011	\$312	\$0	\$312	\$0
OL	41894	CROWLEY, CHARLES C	2011-1014-LII-E	BEXAR	12/19/2011	\$188	\$37	\$151	\$0
OL	41554	BENAVIDES, GILBERT	2011-0649-LII-E	LUBBOCK	1/8/2012	\$250	\$0	\$250	\$0
OL	38468	SANTOS, GEORGE	2011-1218-LII-E	HARRIS	2/16/2012	\$650	\$0	\$650	\$0
OL	42567	WIBLE, JOSH	2011-1692-WOC-E	TRAVIS	3/18/2012	\$210	\$0	\$210	\$0
OL	42666	WHITESIDE, LARRY W	2011-1811-LII-E	TARRANT	4/8/2012	\$225	\$45	\$180	\$0
OL	42576	WEST, RALPH	2011-1710-LII-E	DALLAS	4/8/2012	\$188	\$37	\$151	\$0
OL	41700	MORENO, CHRIS G	2011-0809-LII-E	DALLAS	4/25/2012	\$262	\$0	\$262	\$0
OL	42509	LOPEZ, CARLOS R	2011-1652-WOC-E	CONCHO	5/13/2012	\$1,367	\$0	\$1,367	\$0
OL	42492	CURB, TRAVIS R	2011-1635-LII-E	BELL	5/24/2012	\$1,125	\$225	\$900	\$0
OL	43416	MORENO, ELIDA	2012-0218-WOC-E	CONCHO	6/7/2012	\$210	\$0	\$210	\$0
OL	43279	CAROTHERS, CODY J	2012-0094-WOC-E	BURNET	6/7/2012	\$210	\$0	\$210	\$0
OL	42231	HARVEY, JASON M	2011-1377-LII-E	BASTROP	6/14/2012	\$742	\$0	\$742	\$0
OL	42559	CASTREJON, RICARDO	2011-1711-LII-E	DALLAS	6/15/2012	\$1,125	\$0	\$1,125	\$0
OL	43191	FULLER, PAUL	2011-2358-LII-E	WILLIAMSON	7/15/2012	\$450	\$90	\$360	\$0
OL	43894	KITELEY, MARY C	2012-0728-OSS-E	JOHNSON	8/5/2012	\$175	\$0	\$175	\$0
OL	43156	HAWKINS, HARVEY RAY	2011-2314-LII-E	TOM GREEN	8/5/2012	\$1,288	\$257	\$1,031	\$0
OL	42856	JOBE, DUSTIN	2011-1991-LII-E	POTTER	8/22/2012	\$742	\$0	\$742	\$0
<b>Subtotal for OL : 27</b>						<b>\$17,786</b>	<b>\$691</b>	<b>\$17,095</b>	<b>\$0</b>
PST	40998	Roohi-Joohi Inc.	2011-0091-PST-E	TRAVIS	9/2/2011	\$5,110	\$0	\$5,110	\$0
PST	41342	Z Q INVESTMENTS, INC.	2011-0445-PST-E	BEXAR	9/16/2011	\$7,000	\$1,400	\$5,600	\$0
PST	41171	YU, KUN WON	2011-0263-PST-E	DALLAS	9/16/2011	\$5,323	\$1,064	\$4,259	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	37844	Federico C. Villarreal	2009-0942-PST-E	DEWITT	9/16/2011	\$7,875	\$4,275	\$3,600	\$0
PST	41255	Garza Miguel, Jr.	2011-0368-PST-E	STARR	9/16/2011	\$2,625	\$525	\$2,100	\$0
PST	41295	Panther Aviation, Inc.	2011-0389-PST-E	JOHNSON	9/16/2011	\$10,443	\$2,088	\$8,355	\$0
PST	41373	El Paso Independent School District	2011-0468-PST-E	EL PASO	9/16/2011	\$5,850	\$1,170	\$4,680	\$0
PST	41101	Texas Eastern Transmission, LP	2011-0194-PST-E	HARRIS	9/16/2011	\$2,005	\$401	\$1,604	\$0
PST	41174	AYRA INVESTMENT, INC.	2011-0268-PST-E	JEFFERSON	9/16/2011	\$7,878	\$1,575	\$6,303	\$0
PST	36539	Thomas Ralph and Thomas Janice	2008-1613-PST-E	HARRIS	9/16/2011	\$6,300	\$0	\$6,300	\$0
PST	40605	Jeffy's, Inc. dba Jeffys Exxon Mobil 2	2010-1756-PST-E	HARRIS	9/16/2011	\$2,923	\$0	\$2,923	\$0
PST	41419	Aziz Mansoor	2011-0515-PST-E	JOHNSON	9/16/2011	\$2,380	\$476	\$1,904	\$0
PST	41242	OSMANI, TY	2011-0392-PST-E	GRAYSON	9/16/2011	\$3,875	\$775	\$3,100	\$0
PST	41286	Ruble Petroleum, Inc.	2011-0377-PST-E	HUNT	9/16/2011	\$2,091	\$418	\$1,673	\$0
PST	41278	Knollwood Mercantile Company	2011-0387-PST-E	GRAYSON	9/16/2011	\$4,322	\$864	\$3,458	\$0
PST	40914	COMPLEX OMV INC	2010-2082-PST-E	HARRIS	9/16/2011	\$5,108	\$1,021	\$4,087	\$0
PST	41081	GENERAL BODY MANUFACTURING CO	2011-0197-PST-E	TARRANT	9/16/2011	\$3,098	\$619	\$2,479	\$0
PST	41418	Borders & Long Oil, Inc.	2011-0514-PST-E	KAUFMAN	9/16/2011	\$10,000	\$2,000	\$8,000	\$0
PST	28013	Aliahsan Nadia Enterprises, Inc.	2009-0476-PST-E	BEXAR	9/16/2011	\$30,155	\$19,176	\$10,979	\$0
PST	41464	Rogers Prairie Mercantile, Inc.	2011-0560-PST-E	LEON	9/16/2011	\$3,737	\$747	\$2,990	\$0
PST	41201	Irvin Petersen, Executor for the Estate of Dossie Foster	2011-0292-PST-E	BRAZOS	9/16/2011	\$2,625	\$525	\$2,100	\$0
PST	40422	NOUREEN INC	2010-1531-PST-E	MAVERICK	9/16/2011	\$2,625	\$0	\$2,625	\$0
PST	41252	The Wilson N. Jones Memorial Hospital	2011-0339-PST-E	GRAYSON	9/16/2011	\$6,300	\$1,260	\$5,040	\$0
PST	41479	Total Consolidation, Inc.	2011-0588-PST-E	DENTON	9/16/2011	\$2,348	\$469	\$1,879	\$0
PST	41370	RENTERIA, ESTEBAN REY	2011-0466-PST-E	ANDERSON	10/2/2011	\$2,625	\$525	\$2,100	\$0
PST	41550	Shanil Oil Company	2011-0639-PST-E	JEFFERSON	10/2/2011	\$7,033	\$1,406	\$5,627	\$0
PST	41567	ABUHAMRA, IBRAHIM	2011-0671-PST-E	HARRIS	10/2/2011	\$1,755	\$351	\$1,404	\$0
PST	41677	Sandford Oil Company Inc	2011-0787-PST-E	WISE	10/2/2011	\$3,875	\$775	\$3,100	\$0
PST	41207	Farias Octavio	2011-0299-PST-E	HARRIS	10/2/2011	\$5,500	\$1,100	\$4,400	\$0
PST	41791	Maund Automotive Group, LP	2011-0902-PST-E	TRAVIS	10/2/2011	\$2,352	\$470	\$1,882	\$0
PST	41296	Olympia C-Store Management LLC	2011-0390-PST-E	TARRANT	10/2/2011	\$6,455	\$1,291	\$5,164	\$0
PST	41157	Stars Impex, Inc.	2011-0249-PST-E	JOHNSON	10/2/2011	\$5,000	\$1,000	\$4,000	\$0
PST	41023	Kunwar Incorporated	2011-0132-PST-E	TARRANT	10/2/2011	\$2,250	\$450	\$1,800	\$0
PST	41605	RYK ENTERPRISES LLC	2011-0701-PST-E	JEFFERSON	10/2/2011	\$1,875	\$375	\$1,500	\$0
PST	41297	Speedexx Enterprise Inc.	2011-0391-PST-E	HARRIS	10/2/2011	\$2,750	\$550	\$2,200	\$0
PST	40982	MKSN Investments, LLC	2011-0080-PST-E	HARRIS	10/2/2011	\$4,500	\$900	\$3,600	\$0
PST	41622	KHOU-TV, L.P.	2011-0729-PST-E	HARRIS	10/2/2011	\$10,693	\$2,138	\$8,555	\$0
PST	40872	BEST OF LAMA INCORPORATED	2010-2031-PST-E	TARRANT	10/2/2011	\$2,500	\$500	\$2,000	\$0
PST	41322	Bismilla, Inc.	2011-0413-PST-E	HARRIS	10/2/2011	\$3,250	\$650	\$2,600	\$0
PST	41337	Omega Retail, Inc.	2011-0433-PST-E	HARRIS	10/2/2011	\$1,925	\$385	\$1,540	\$0
PST	41660	EZ Action Retail, L.P.	2011-0768-PST-E	FAYETTE	10/2/2011	\$1,985	\$397	\$1,588	\$0

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## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	41133	Saykot Enterprises, Inc.	2011-0237-PST-E	TARRANT	10/2/2011	\$2,764	\$552	\$2,212	\$0
PST	31634	Haider A., Inc.	2010-2006-PST-E	HARRIS	10/5/2011	\$39,780	\$0	\$39,780	\$0
PST	40893	S & S Conveniences, Inc.	2010-2052-PST-E	TARRANT	10/5/2011	\$2,600	\$0	\$2,600	\$0
PST	40181	SANJIB, RAY *	2010-1302-PST-E	WILLIAMSON	10/5/2011	\$7,129	\$0	\$7,129	\$0
PST	40918	GARCIA, MEROSLEM *	2010-2085-PST-E	HARRIS	10/6/2011	\$3,675	\$0	\$3,675	\$0
PST	41375	Suren Simon of Cleburne, Inc.	2011-0473-PST-E	JOHNSON	10/17/2011	\$2,250	\$450	\$1,800	\$0
PST	41456	MAYO, DONALD SR	2011-0553-PST-E	HARRIS	10/17/2011	\$2,500	\$500	\$2,000	\$0
PST	41549	Texas Christian University	2011-0642-PST-E	TARRANT	10/17/2011	\$2,250	\$450	\$1,800	\$0
PST	39848	Don Finley	2010-0949-PST-E	JOHNSON	10/17/2011	\$4,725	\$0	\$4,725	\$0
PST	41556	Irkasa Inc.	2011-0652-PST-E	TARRANT	10/17/2011	\$1,625	\$325	\$1,300	\$0
PST	39894	Carolyn Fink	2010-0997-PST-E	JASPER	10/17/2011	\$1,000	\$0	\$1,000	\$0
PST	41635	H.K.R.G., INC.	2011-0734-PST-E	WILLIAMSON	10/17/2011	\$3,750	\$750	\$3,000	\$0
PST	41587	Compass USA Enterprises, Inc.	2011-0675-PST-E	HARRIS	10/17/2011	\$2,425	\$485	\$1,940	\$0
PST	41541	Dipert Travel & Transportation, Ltd.	2011-0633-PST-E	TARRANT	10/17/2011	\$3,529	\$705	\$2,824	\$0
PST	40184	GATES, ROBERT N	2010-1306-PST-E	TYLER	10/17/2011	\$3,500	\$0	\$3,500	\$0
PST	40783	Addison Enterprises Inc.	2010-1940-PST-E	DALLAS	10/17/2011	\$3,544	\$0	\$3,544	\$0
PST	41597	Budhwani & Virani, Inc.	2011-0693-PST-E	TARRANT	10/17/2011	\$3,220	\$644	\$2,576	\$0
PST	41284	City of Sherman	2011-0376-PST-E	GRAYSON	10/17/2011	\$1,875	\$375	\$1,500	\$0
PST	41302	COMMERCE QUICK STOP INC	2011-0398-PST-E	HUNT	10/17/2011	\$2,629	\$525	\$2,104	\$0
PST	41722	KAML INC.	2011-0827-PST-E	GALVESTON	10/17/2011	\$2,247	\$449	\$1,798	\$0
PST	40018	DOSSANI, AZIZ	2010-1158-PST-E	TARRANT	10/22/2011	\$3,173	\$0	\$3,173	\$0
PST	40895	SALEH, ADNEN M	2010-2060-PST-E	TARRANT	10/22/2011	\$5,122	\$0	\$5,122	\$0
PST	40707	Natalin Dorette Keenan	2010-1853-PST-E	HOUSTON	10/22/2011	\$3,675	\$0	\$3,675	\$0
PST	40862	Mendez, Rene *	2010-2028-PST-E	JEFFERSON	10/22/2011	\$2,625	\$0	\$2,625	\$0
PST	40769	Seaberg Farms, Inc.	2010-1912-PST-E	LIBERTY	10/22/2011	\$3,850	\$0	\$3,850	\$0
PST	39846	HUSSAIN, SHARIQ	2010-0965-PST-E	HARRIS	11/2/2011	\$16,410	\$0	\$16,410	\$0
PST	40701	Spurg, LLC	2010-1888-PST-E	JEFFERSON	11/2/2011	\$15,341	\$0	\$15,341	\$0
PST	41724	Mica Corporation	2011-0848-PST-E	TARRANT	11/3/2011	\$2,629	\$525	\$2,104	\$0
PST	39658	Thomas Petroleum, LLC	2010-0751-PST-E	*MULTIPLE	11/3/2011	\$84,004	\$0	\$42,002	\$42,002
PST	41625	Strasburger Enterprises, Inc.	2011-0746-PST-E	TRAVIS	11/3/2011	\$5,000	\$1,000	\$4,000	\$0
PST	41577	BINFORD, JOHNNY	2011-0677-PST-E	FAYETTE	11/3/2011	\$3,750	\$750	\$3,000	\$0
PST	41443	Anjani C-Store Inc	2011-0546-PST-E	BRAZORIA	11/3/2011	\$6,680	\$1,336	\$5,344	\$0
PST	40717	LAKHANI, MEHMOOD	2010-1858-PST-E	DALLAS	11/3/2011	\$9,750	\$0	\$9,750	\$0
PST	41200	Tuff Enterprise, Inc.	2011-0314-PST-E	FORT BEND	11/3/2011	\$5,625	\$1,125	\$4,500	\$0
PST	40904	ALY, SHAZIA	2011-0004-PST-E	DALLAS	11/3/2011	\$7,756	\$1,551	\$6,205	\$0
PST	41202	A & T Inc.	2011-0291-PST-E	ELLIS	11/3/2011	\$8,380	\$1,676	\$6,704	\$0
PST	41534	Trishakti Enterprises, Inc	2011-0625-PST-E	TARRANT	11/3/2011	\$2,300	\$460	\$1,840	\$0
PST	41786	BELTWAY STORES INC.	2011-0905-PST-E	HARRIS	11/3/2011	\$1,757	\$351	\$1,406	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	38823	OLD TYMER ENTERPRISES, INC.	2009-1991-PST-E	BEXAR	11/6/2011	\$48,453	\$0	\$48,453	\$0
PST	41063	We Are Crazy, Inc.	2011-0275-PST-E	ORANGE	11/6/2011	\$4,178	\$0	\$4,178	\$0
PST	40883	Frankie Willis Executor Estate of Clovis Willis	2010-2039-PST-E	LIMESTONE	11/6/2011	\$3,375	\$0	\$3,375	\$0
PST	41149	ADIL, AQIL M	2011-0266-PST-E	CHAMBERS	11/6/2011	\$5,425	\$0	\$5,425	\$0
PST	40056	MOSLEY, ROBBIE	2010-1168-PST-E	LAMB	11/6/2011	\$3,675	\$0	\$3,675	\$0
PST	39681	MAI, TAM VAN *	2010-0773-PST-E	HARRIS	11/6/2011	\$4,069	\$0	\$4,069	\$0
PST	41551	Hurst-Eules-Bedford ISD	2011-0640-PST-E	TARRANT	11/18/2011	\$1,925	\$385	\$1,540	\$0
PST	41723	Sai Shiva Incorporated	2011-0828-PST-E	TRAVIS	11/18/2011	\$3,000	\$600	\$2,400	\$0
PST	40981	Dixie Mart, Inc.	2011-0072-PST-E	TARRANT	11/18/2011	\$15,082	\$0	\$15,082	\$0
PST	41573	Johnson Supply and Equipment Corporation	2011-0673-PST-E	HARRIS	11/18/2011	\$36,100	\$7,220	\$28,880	\$0
PST	41792	Stewart Delivery Service, Inc.	2011-0901-PST-E	TARRANT	11/18/2011	\$3,398	\$679	\$2,719	\$0
PST	40631	Nam Bui	2010-1782-PST-E	HARRIS	11/18/2011	\$10,341	\$0	\$10,341	\$0
PST	41620	YOUSEF, NIZAR	2011-0745-PST-E	TOM GREEN	11/18/2011	\$2,750	\$550	\$2,200	\$0
PST	41438	MARTY MECHANICAL, INC.	2011-0545-PST-E	DENTON	11/18/2011	\$4,273	\$854	\$3,419	\$0
PST	41537	Texan Convenience, Inc.	2011-0628-PST-E	TARRANT	11/18/2011	\$3,326	\$665	\$2,661	\$0
PST	41811	Star Houston, Inc.	2011-0923-PST-E	HARRIS	11/18/2011	\$2,392	\$478	\$1,914	\$0
PST	41522	SENER INVESTMENTS, LLC	2011-0613-PST-E	DALLAS	11/18/2011	\$2,359	\$471	\$1,888	\$0
PST	41516	SINGH, KASHMIR	2011-0612-PST-E	GRAYSON	11/18/2011	\$7,000	\$1,400	\$5,600	\$0
PST	41588	A A B Investment, Inc.	2011-0674-PST-E	HARRIS	11/18/2011	\$5,000	\$1,000	\$4,000	\$0
PST	41601	JWK AUTO GROUP INC	2011-0692-PST-E	TARRANT	11/18/2011	\$2,500	\$500	\$2,000	\$0
PST	41662	Heerji Corporation	2011-0770-PST-E	DALLAS	11/18/2011	\$4,600	\$920	\$3,680	\$0
PST	40097	Mona Enterprises, Inc.	2010-1220-PST-E	HARRIS	11/18/2011	\$8,186	\$0	\$8,186	\$0
PST	41475	TEJANI, ABDULALI K	2011-0572-PST-E	TARRANT	11/18/2011	\$8,172	\$1,634	\$6,538	\$0
PST	41481	Kurio Interests, L.L.C.	2011-0587-PST-E	HARRIS	11/18/2011	\$6,600	\$1,320	\$5,280	\$0
PST	39737	DOBANI INC.	2010-0834-PST-E	HARRIS	11/20/2011	\$9,303	\$0	\$9,303	\$0
PST	41209	Ninfa Montalvo *	2011-0301-PST-E	HARRIS	11/23/2011	\$5,500	\$0	\$5,500	\$0
PST	41234	TOUNLEY, DONNA M	2011-0325-PST-E	DENTON	11/23/2011	\$6,300	\$0	\$6,300	\$0
PST	41111	Womack, Larry	2011-0262-PST-E	HARRIS	11/23/2011	\$2,875	\$0	\$2,875	\$0
PST	41148	Bickett Terry	2011-0233-PST-E	CHAMBERS	11/23/2011	\$3,850	\$0	\$3,850	\$0
PST	41176	Pathan Investments, INC. DBA Time Out	2011-0270-PST-E	JEFFERSON	12/8/2011	\$6,184	\$0	\$6,184	\$0
PST	39774	RICKY INVESTMENTS INC.	2010-0881-PST-E	POLK	12/8/2011	\$47,350	\$0	\$47,350	\$0
PST	41323	Noel A Zamora	2011-0411-PST-E	STARR	12/8/2011	\$6,300	\$0	\$6,300	\$0
PST	41977	5 STAR FORTUNE INC.	2011-1085-PST-E	HARRIS	12/19/2011	\$2,500	\$500	\$2,000	\$0
PST	41993	JYKM Union, Inc.	2011-1110-PST-E	WILLIAMSON	12/19/2011	\$2,500	\$500	\$2,000	\$0
PST	41661	I-TEX Grocery, Inc.	2011-0769-PST-E	HARRIS	12/19/2011	\$4,130	\$826	\$3,304	\$0
PST	41014	Sona Ventures, Inc.	2011-0109-PST-E	HARRIS	12/19/2011	\$5,100	\$0	\$5,100	\$0
PST	41617	Con-way Freight Inc.	2011-0716-PST-E	HARRIS	12/19/2011	\$7,500	\$1,500	\$6,000	\$0
PST	42021	ZEE GLOBAL ENTERPRISE INC	2011-1130-PST-E	HARRIS	12/19/2011	\$2,429	\$485	\$1,944	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	41649	Albert Khoa Corp	2011-0750-PST-E	HARRIS	12/19/2011	\$2,555	\$511	\$2,044	\$0
PST	41656	Vachana S Mao	2011-0762-PST-E	TARRANT	12/19/2011	\$1,155	\$231	\$924	\$0
PST	41890	Jupiter Food Mart, LLC	2011-1005-PST-E	TARRANT	12/19/2011	\$2,375	\$475	\$1,900	\$0
PST	42012	FH&S Enterprises, Inc.	2011-1113-PST-E	TARRANT	12/19/2011	\$1,540	\$308	\$1,232	\$0
PST	41832	Naqib Business Corporation	2011-0952-PST-E	HARRIS	12/19/2011	\$2,350	\$470	\$1,880	\$0
PST	41542	Crown Enterprises, Inc.	2011-0631-PST-E	TARRANT	12/19/2011	\$10,879	\$2,175	\$8,704	\$0
PST	40459	Northwest Petroleum LP	2010-1588-PST-E	HAYS	12/19/2011	\$8,004	\$0	\$8,004	\$0
PST	41599	City of Houston	2011-0699-PST-E	HARRIS	12/19/2011	\$14,475	\$2,895	\$11,580	\$0
PST	40956	A L Nizamani, Inc.	2011-0071-PST-E	HARRIS	12/19/2011	\$2,650	\$0	\$2,650	\$0
PST	41885	Save N More Corporation	2011-0999-PST-E	HARRIS	12/19/2011	\$3,000	\$600	\$2,400	\$0
PST	39973	OGHI Enterprises Inc.	2010-1094-PST-E	MONTGOMERY	12/19/2011	\$13,074	\$0	\$13,074	\$0
PST	41468	Ahefaz, LLC	2011-0566-PST-E	HARRIS	12/19/2011	\$9,694	\$1,938	\$7,756	\$0
PST	41015	MOMIN, AKBAR ALI *	2011-0117-PST-E	TRAVIS	12/19/2011	\$2,500	\$0	\$2,500	\$0
PST	40400	Tom Green County	2010-1516-PST-E	TOM GREEN	12/19/2011	\$3,000	\$0	\$3,000	\$0
PST	41822	Harris County Hospital District	2011-0938-PST-E	HARRIS	12/19/2011	\$2,250	\$450	\$1,800	\$0
PST	41950	Jack & Jeff, Inc.	2011-1059-PST-E	HARRIS	12/19/2011	\$8,137	\$1,627	\$6,510	\$0
PST	41701	Height's Exxon, Inc.	2011-0815-PST-E	HARRIS	12/19/2011	\$3,379	\$675	\$2,704	\$0
PST	41657	TEXAS UNITED ENTERPRISE INC	2011-0761-PST-E	GRAYSON	12/19/2011	\$5,129	\$1,025	\$4,104	\$0
PST	40803	PHAM, QUANG DANG	2010-1948-PST-E	HARRIS	12/19/2011	\$2,871	\$0	\$2,871	\$0
PST	41543	Hossain Makvandi	2011-0632-PST-E	ROCKWALL	12/19/2011	\$3,879	\$775	\$3,104	\$0
PST	41845	STK FOOD INC	2011-0960-PST-E	HARRIS	12/19/2011	\$5,200	\$1,040	\$4,160	\$0
PST	40865	E.Y.C. Corporation	2010-2026-PST-E	DALLAS	12/19/2011	\$2,000	\$0	\$2,000	\$0
PST	42032	LN Corporation	2011-1148-PST-E	HARRIS	12/19/2011	\$4,500	\$900	\$3,600	\$0
PST	41709	Joe Johs, Jr.	2011-0820-PST-E	MATAGORDA	12/19/2011	\$4,025	\$0	\$4,025	\$0
PST	41559	TCA International Investments, Inc.	2011-0668-PST-E	FAYETTE	12/19/2011	\$13,600	\$2,720	\$10,880	\$0
PST	41560	Lone Star Convenience Stores, Inc.	2011-0669-PST-E	TARRANT	12/19/2011	\$6,750	\$1,350	\$5,400	\$0
PST	41952	Main Street Business, Inc.	2011-1068-PST-E	HARRIS	12/19/2011	\$8,850	\$1,770	\$7,080	\$0
PST	41879	NAGI, VINOD	2011-0994-PST-E	WILLIAMSON	12/19/2011	\$4,500	\$900	\$3,600	\$0
PST	41087	Hashir Investments, Inc.	2011-0180-PST-E	TRAVIS	12/19/2011	\$2,629	\$0	\$2,629	\$0
PST	41568	ABF Freight System, Inc.	2011-0672-PST-E	HOUSTON	12/19/2011	\$2,500	\$500	\$2,000	\$0
PST	39526	Bluff Springs Enterprises Inc.	2010-0616-PST-E	TRAVIS	12/19/2011	\$11,897	\$0	\$11,897	\$0
PST	41655	Fondren ADP, Ltd.	2011-0760-PST-E	HARRIS	12/19/2011	\$3,050	\$610	\$2,440	\$0
PST	41751	Trinity Industries, Inc.	2011-0898-PST-E	TARRANT	12/19/2011	\$3,141	\$628	\$2,513	\$0
PST	41762	YOUNGBLOOD, ROBERT M	2011-0482-PST-E	TYLER	12/19/2011	\$2,500	\$0	\$2,500	\$0
PST	41632	MSBK, LLC	2011-0732-PST-E	ORANGE	12/19/2011	\$8,448	\$1,689	\$6,759	\$0
PST	41616	NAFISA INC	2011-0715-PST-E	TARRANT	12/19/2011	\$3,570	\$714	\$2,856	\$0
PST	41695	Austin Independent School District	2011-0799-PST-E	TRAVIS	12/19/2011	\$1,125	\$225	\$900	\$0
PST	42114	L. H. Chaney Materials, Inc.	2011-1250-PST-E	WISE	12/19/2011	\$3,876	\$775	\$3,101	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	41776	Lakeside Country Club	2011-0920-PST-E	HARRIS	12/19/2011	\$2,250	\$450	\$1,800	\$0
PST	41929	JP Morgan Chase	2011-1041-PST-E	TARRANT	12/19/2011	\$6,555	\$1,311	\$5,244	\$0
PST	39572	Jerome H. Jansky	2010-0664-PST-E	HOUSTON	12/19/2011	\$6,300	\$0	\$6,300	\$0
PST	41790	KASHMIR ROAD LINES LLC	2011-0903-PST-E	HARRIS	12/19/2011	\$4,104	\$820	\$3,284	\$0
PST	42033	SIBLEY, EDWARD W	2011-1147-PST-E	HARRIS	12/19/2011	\$2,350	\$470	\$1,880	\$0
PST	41487	Mir Brothers Inc.	2011-0586-PST-E	HARRIS	12/19/2011	\$2,162	\$432	\$1,730	\$0
PST	40978	Anmol Investment, Inc.	2011-0059-PST-E	HARRIS	12/19/2011	\$2,550	\$0	\$2,550	\$0
PST	41813	LEJO Management, Inc.	2011-1055-PST-E	HARRIS	12/19/2011	\$3,050	\$610	\$2,440	\$0
PST	42052	Crane County Hospital District	2011-1177-PST-E	CRANE	12/19/2011	\$4,875	\$975	\$3,900	\$0
PST	41730	KASHMIR ROAD LINES LLC	2011-0834-PST-E	HARRIS	12/19/2011	\$5,748	\$1,149	\$4,599	\$0
PST	41604	Femato Enterprises, LLC	2011-0702-PST-E	BLANCO	12/19/2011	\$14,078	\$2,815	\$11,263	\$0
PST	39728	DALLAS MSA INC.	2010-0823-PST-E	TARRANT	12/19/2011	\$12,012	\$0	\$12,012	\$0
PST	40967	Oski LLC	2011-0045-PST-E	TRAVIS	12/19/2011	\$5,000	\$0	\$5,000	\$0
PST	41739	Mucklai Investments, Inv.	2011-0852-PST-E	TARRANT	12/19/2011	\$4,600	\$920	\$3,680	\$0
PST	39629	PHAM, QUANG DANG	2011-0853-PST-E	HARRIS	12/19/2011	\$3,406	\$0	\$3,406	\$0
PST	41608	Addison Enterprises Inc.	2011-0704-PST-E	ROCKWALL	12/19/2011	\$3,500	\$700	\$2,800	\$0
PST	41688	ASHFAQE ENTERPRISES, INC.	2011-0814-PST-E	TARRANT	12/19/2011	\$3,835	\$767	\$3,068	\$0
PST	42130	JAMES WOOD MOTORS INC	2011-1276-PST-E	WISE	12/19/2011	\$2,500	\$500	\$2,000	\$0
PST	40990	A & H Petroleum Inc.	2011-0078-PST-E	TARRANT	12/19/2011	\$5,000	\$0	\$5,000	\$0
PST	41564	Kauser Energy Inc.	2011-0670-PST-E	DENTON	12/19/2011	\$4,210	\$842	\$3,368	\$0
PST	41270	BRUTON, JERRY	2011-0386-PST-E	NAVARRO	12/19/2011	\$2,625	\$0	\$2,625	\$0
PST	41951	Hill Country Telephone Cooperative, Inc.	2011-1058-PST-E	KERR	12/19/2011	\$2,250	\$450	\$1,800	\$0
PST	41222	K & A Retail Inc.	2011-0340-PST-E	DALLAS	1/8/2012	\$3,154	\$0	\$3,154	\$0
PST	40860	M & K Pantry LLC	2010-2021-PST-E	HARDIN	1/8/2012	\$3,675	\$0	\$3,675	\$0
PST	41261	Felix Rodriguez *	2011-0349-PST-E	*MULTIPLE	1/8/2012	\$6,300	\$0	\$6,300	\$0
PST	41557	IZ, Inc.	2011-0653-PST-E	*MULTIPLE	1/8/2012	\$16,780	\$0	\$16,780	\$0
PST	40921	Desi Group, Inc.	2011-0005-PST-E	DALLAS	1/8/2012	\$44,085	\$0	\$44,085	\$0
PST	41348	Al-Museer, Inc.	2011-0444-PST-E	HARRIS	1/8/2012	\$4,025	\$0	\$4,025	\$0
PST	41527	GALINDO, LEOPOLDO E	2011-0646-PST-E	CRANE	1/8/2012	\$65,000	\$0	\$65,000	\$0
PST	15492	M & K Pantry LLC	2011-0638-PST-E	HARDIN	1/25/2012	\$28,125	\$0	\$28,125	\$0
PST	41923	VOSS ROAD EXXON, LLC	2011-1054-PST-E	HARRIS	1/27/2012	\$7,929	\$1,585	\$6,344	\$0
PST	41910	City of Houston	2011-1023-PST-E	HARRIS	1/27/2012	\$7,600	\$1,520	\$6,080	\$0
PST	42034	Quick Gas & Lube Inc.	2011-1142-PST-E	HARRIS	1/27/2012	\$25,584	\$5,116	\$20,468	\$0
PST	41782	Ahmad, Masood	2011-0895-PST-E	JEFFERSON	1/27/2012	\$9,677	\$1,935	\$7,742	\$0
PST	42134	HOMEYER, CARL	2011-1278-PST-E	BURLESON	1/27/2012	\$9,011	\$1,802	\$7,209	\$0
PST	41831	SAAI, LLC	2011-0953-PST-E	FAYETTE	1/27/2012	\$12,012	\$2,402	\$9,610	\$0
PST	41469	Hernandez Petroleum, Inc.	2011-0567-PST-E	WEBB	2/8/2012	\$5,000	\$0	\$5,000	\$0
PST	41389	Walterscheid Meat Co.	2011-0485-PST-E	COOKE	2/10/2012	\$2,136	\$427	\$1,709	\$0

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## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	41747	Victron Stores, L.P.	2011-0859-PST-E	TARRANT	2/10/2012	\$2,600	\$520	\$2,080	\$0
PST	42121	International Business Connection, Inc.	2011-1290-PST-E	TARRANT	2/10/2012	\$2,250	\$450	\$1,800	\$0
PST	40899	SHAFIQ, MOHAMMAD	2010-2087-PST-E	MONTGOMERY	2/10/2012	\$3,668	\$733	\$2,935	\$0
PST	41984	Bogga Enterprises LLC	2011-1109-PST-E	HARRIS	2/10/2012	\$2,675	\$535	\$2,140	\$0
PST	41633	Cross Street Service, Inc.	2011-0733-PST-E	TARRANT	2/10/2012	\$3,150	\$630	\$2,520	\$0
PST	41586	UHS of Texoma, Inc.	2011-0676-PST-E	GRAYSON	2/10/2012	\$3,000	\$600	\$2,400	\$0
PST	41810	King Fuels, Inc.	2011-0922-PST-E	HARRIS	2/10/2012	\$3,850	\$770	\$3,080	\$0
PST	42064	Hit Mi Entertainment, Inc.	2011-1182-PST-E	HARRIS	2/10/2012	\$2,429	\$485	\$1,944	\$0
PST	41769	Habibco, Inc.	2011-0879-PST-E	TRAVIS	2/10/2012	\$2,500	\$500	\$2,000	\$0
PST	41711	HRH Investments, LP	2011-0821-PST-E	TARRANT	2/10/2012	\$4,800	\$960	\$3,840	\$0
PST	41257	SAEED, MOHAMMAD	2011-0343-PST-E	JOHNSON	2/13/2012	\$2,500	\$0	\$2,500	\$0
PST	40772	SLOAN, JAMES BROOK	2010-1934-PST-E	JOHNSON	2/13/2012	\$2,625	\$0	\$2,625	\$0
PST	41855	GEO ENTERPRISES INC.	2011-0959-PST-E	HARRIS	2/13/2012	\$3,100	\$0	\$3,100	\$0
PST	41799	Bis C-Store, Inc.	2011-1001-PST-E	HARRIS	2/13/2012	\$4,600	\$0	\$4,600	\$0
PST	40577	NAMINATH INVESTMENT, INC.	2010-1723-PST-E	GALVESTON	2/13/2012	\$4,875	\$0	\$4,875	\$0
PST	41333	IGLESIAS, JESUS	2011-0458-PST-E	HIDALGO	2/13/2012	\$5,408	\$0	\$5,408	\$0
PST	38619	Chwiki Corp.	2009-1756-PST-E	DALLAS	2/16/2012	\$9,350	\$140	\$9,210	\$0
PST	41848	Ashok K Sharma *	2011-0968-PST-E	TARRANT	2/16/2012	\$2,120	\$0	\$2,120	\$0
PST	42311	CUSA GCT, LLC	2011-1459-PST-E	HARRIS	2/18/2012	\$13,611	\$2,722	\$10,889	\$0
PST	42119	Rahil, Incorporated	2011-1262-PST-E	BEXAR	2/18/2012	\$36,637	\$7,327	\$29,310	\$0
PST	41934	MOOSA INC. LLC	2011-1050-PST-E	HARRIS	2/18/2012	\$12,705	\$2,541	\$10,164	\$0
PST	41973	Kachmar Enterprises, Inc.	2011-1087-PST-E	HARRIS	2/22/2012	\$24,065	\$0	\$24,065	\$0
PST	41685	Al-Imran Enterprises, Inc.	2011-0788-PST-E	HARRIS	2/25/2012	\$2,650	\$530	\$2,120	\$0
PST	42080	Sadash Corporation	2011-1200-PST-E	WISE	2/25/2012	\$3,879	\$775	\$3,104	\$0
PST	42177	KBCH, LLC	2011-1321-PST-E	WISE	2/25/2012	\$2,497	\$499	\$1,998	\$0
PST	42040	Food Fast Corporation	2011-1159-PST-E	KAUFMAN	2/25/2012	\$1,000	\$200	\$800	\$0
PST	42039	VJP CORPORATION	2011-1155-PST-E	TARRANT	2/25/2012	\$5,229	\$1,045	\$4,184	\$0
PST	42054	Vuyuru Interests, Inc.	2011-1179-PST-E	MONTGOMERY	2/25/2012	\$2,404	\$480	\$1,924	\$0
PST	41744	Federal Aviation Administration	2011-0855-PST-E	TARRANT	2/25/2012	\$4,500	\$900	\$3,600	\$0
PST	41417	Charlsie Ann Evans Wilkins	2011-0513-PST-E	GRAYSON	2/25/2012	\$5,075	\$0	\$5,075	\$0
PST	42088	LUU, KATHY	2011-1209-PST-E	HARRIS	2/25/2012	\$6,329	\$1,265	\$5,064	\$0
PST	41892	Smithco Marketing Corporation	2011-1009-PST-E	KIMBLE	2/25/2012	\$3,750	\$750	\$3,000	\$0
PST	42176	Hall Oil Company, Inc.	2011-1320-PST-E	HOPKINS	2/25/2012	\$2,001	\$400	\$1,601	\$0
PST	42308	SARA PANTRY INC	2011-1457-PST-E	GRIMES	2/25/2012	\$2,004	\$400	\$1,604	\$0
PST	42267	All-Tex Erection Systems, Inc.	2011-1415-PST-E	HARRIS	2/25/2012	\$2,641	\$528	\$2,113	\$0
PST	41912	Jack Cooper Transport Co., Inc.	2011-1038-PST-E	TARRANT	2/25/2012	\$6,749	\$1,349	\$5,400	\$0
PST	42071	Kd Allseason, Inc.	2011-1197-PST-E	HARRIS	2/25/2012	\$5,500	\$1,100	\$4,400	\$0
PST	42124	Kountry Korner Store, Inc.	2011-1271-PST-E	WISE	2/25/2012	\$2,004	\$400	\$1,604	\$0

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## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	41795	STONE TRUCKING COMPANY, INC.	2011-0900-PST-E	LIVE OAK	2/25/2012	\$3,590	\$718	\$2,872	\$0
PST	41654	Prudencia Andrade	2011-0759-PST-E	CAMERON	2/25/2012	\$3,500	\$0	\$3,500	\$0
PST	41936	Kenneth W. Blevins	2011-1049-PST-E	LIVE OAK	2/25/2012	\$4,265	\$853	\$3,412	\$0
PST	42020	SAM LIM INC	2011-1237-PST-E	TARRANT	2/25/2012	\$3,600	\$720	\$2,880	\$0
PST	40824	QUICKWAYS INC	2010-1974-PST-E	TARRANT	2/25/2012	\$2,217	\$443	\$1,774	\$0
PST	42249	T&L Golden Star, Inc.	2011-1396-PST-E	HENDERSON	2/25/2012	\$1,125	\$225	\$900	\$0
PST	42024	Bhai Business, LLC	2011-1138-PST-E	HARRIS	2/25/2012	\$2,550	\$510	\$2,040	\$0
PST	42154	Wilkins & Pyeatt, Inc.	2011-1295-PST-E	LAMAR	2/25/2012	\$2,629	\$525	\$2,104	\$0
PST	42174	Hebron Enterprises, Inc.	2011-1317-PST-E	WISE	2/25/2012	\$1,000	\$200	\$800	\$0
PST	42159	Coston & Son, Inc.	2011-1299-PST-E	LAMAR	2/25/2012	\$3,850	\$770	\$3,080	\$0
PST	42294	Leibham Milk Transport, Inc.	2011-1438-PST-E	GRIMES	2/25/2012	\$2,379	\$475	\$1,904	\$0
PST	42141	E.Z. Good Inc.	2011-1287-PST-E	HARRIS	2/25/2012	\$2,600	\$520	\$2,080	\$0
PST	41301	REID, TERESA	2011-0397-PST-E	JOHNSON	2/25/2012	\$2,629	\$0	\$2,629	\$0
PST	41937	Avis Rent A Car System, Inc.	2011-1048-PST-E	HARRIS	2/25/2012	\$2,500	\$500	\$2,000	\$0
PST	41293	PATEL, BHASKAR R	2011-0382-PST-E	BRAZORIA	2/25/2012	\$3,500	\$0	\$3,500	\$0
PST	42065	2000 IIG Inc. dba Diamond Smart 2	2011-1193-PST-E	HARRIS	2/25/2012	\$2,933	\$586	\$2,347	\$0
PST	40599	HARDEN, DAVID	2010-1775-PST-E	WALKER	2/26/2012	\$3,500	\$3,500	\$0	\$0
PST	41800	New Caney Enterprise, Inc.	2011-0914-PST-E	MONTGOMERY	3/1/2012	\$3,952	\$0	\$3,952	\$0
PST	35348	STANLEY, C M JR	2008-0219-PST-E	GREGG	3/1/2012	\$3,675	\$0	\$3,675	\$0
PST	41901	S.R.R. Investments, Inc.	2011-1011-PST-E	TRAVIS	3/1/2012	\$5,000	\$0	\$5,000	\$0
PST	41175	Fifa Inc.	2011-0267-PST-E	TARRANT	3/1/2012	\$1,925	\$0	\$1,925	\$0
PST	41010	Arsh Trading, Inc.	2011-0107-PST-E	TRAVIS	3/1/2012	\$5,000	\$0	\$5,000	\$0
PST	41177	Scyene Mobil, L.L.C.	2011-0269-PST-E	DALLAS	3/1/2012	\$5,410	\$0	\$5,410	\$0
PST	41308	GK SINGH ENTERPRISES, L.L.C.	2011-0404-PST-E	GRAYSON	3/1/2012	\$3,372	\$0	\$3,372	\$0
PST	41919	MATAR, FOUAD	2011-1030-PST-E	HARRIS	3/1/2012	\$3,050	\$0	\$3,050	\$0
PST	41948	William Grocery, Inc.	2011-1060-PST-E	WILLIAMSON	3/3/2012	\$3,500	\$700	\$2,800	\$0
PST	41899	YILDIRIM, IZZET	2011-1012-PST-E	HARRIS	3/3/2012	\$2,600	\$520	\$2,080	\$0
PST	42028	New Mart Corporation	2011-1139-PST-E	FORT BEND	3/3/2012	\$3,155	\$631	\$2,524	\$0
PST	41705	Gova Enterprise, Inc.	2011-0818-PST-E	HARRIS	3/3/2012	\$4,979	\$995	\$3,984	\$0
PST	41870	2SAM, INCORPORATED	2011-0983-PST-E	TRAVIS	3/3/2012	\$2,886	\$577	\$2,309	\$0
PST	42161	MATLOCK, JOHN A	2011-1309-PST-E	KAUFMAN	3/3/2012	\$1,875	\$375	\$1,500	\$0
PST	41930	Excellent Inc.	2011-1052-PST-E	HARRIS	3/3/2012	\$4,700	\$940	\$3,760	\$0
PST	41750	STELLA LINK BUSINESS LLC	2011-0863-PST-E	HARRIS	3/3/2012	\$2,880	\$576	\$2,304	\$0
PST	42371	KAPADIA SADLER DEVELOPMENT INC	2011-1512-PST-E	HENDERSON	3/3/2012	\$11,208	\$2,241	\$8,967	\$0
PST	41720	610 ENTERPRISES INC.	2011-0838-PST-E	HARRIS	3/3/2012	\$5,100	\$1,020	\$4,080	\$0
PST	42066	Spring Branch ISD	2011-1187-PST-E	HARRIS	3/3/2012	\$1,925	\$385	\$1,540	\$0
PST	42009	A C Mobile Inc	2011-1137-PST-E	HARRIS	3/3/2012	\$3,500	\$700	\$2,800	\$0
PST	41783	Vishnu Priya, LLC	2011-0894-PST-E	DALLAS	3/3/2012	\$2,550	\$510	\$2,040	\$0

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PST	42081	Phuong Gia Corporation	2011-1201-PST-E	HOPKINS	3/3/2012	\$3,880	\$776	\$3,104	\$0
PST	42127	Asmats, L. L. C.	2011-1274-PST-E	HARRIS	3/3/2012	\$2,350	\$470	\$1,880	\$0
PST	41013	HoustonAustin Investments, LLC.	2011-0111-PST-E	TRAVIS	3/3/2012	\$3,879	\$775	\$3,104	\$0
PST	41861	NYLA, Inc.	2011-0981-PST-E	HARRIS	3/3/2012	\$1,925	\$385	\$1,540	\$0
PST	41789	FGH Insulation Co.	2011-0904-PST-E	HARRIS	3/3/2012	\$2,361	\$472	\$1,889	\$0
PST	42109	West End Auto-Works, Inc.	2011-1246-PST-E	HARRIS	3/3/2012	\$7,833	\$1,566	\$6,267	\$0
PST	40187	ZQS CORPORATION, INC. *	2010-1326-PST-E	GALVESTON	3/9/2012	\$19,868	\$0	\$19,868	\$0
PST	41578	QUICKWAYS INC	2011-0678-PST-E	TARRANT	3/9/2012	\$3,570	\$0	\$3,570	\$0
PST	41962	SINGH, SUNY	2011-1078-PST-E	WASHINGTON	3/16/2012	\$8,742	\$0	\$8,742	\$0
PST	42098	Commercial Metals Company	2011-1233-PST-E	DALLAS	3/18/2012	\$1,875	\$375	\$1,500	\$0
PST	41880	Avis Rent A Car System, Inc.	2011-0993-PST-E	DALLAS	3/18/2012	\$2,500	\$500	\$2,000	\$0
PST	42326	TRAN, CAROL PHAM	2011-1474-PST-E	TARRANT	3/18/2012	\$2,250	\$450	\$1,800	\$0
PST	42209	Mica Corporation	2011-1335-PST-E	HARRIS	3/18/2012	\$2,500	\$500	\$2,000	\$0
PST	42003	NGUYEN, LINDA PHUONG HONG	2011-1128-PST-E	HARRIS	3/18/2012	\$5,150	\$1,030	\$4,120	\$0
PST	41909	Mohammed K. Ali	2011-1024-PST-E	HARRIS	3/18/2012	\$3,425	\$685	\$2,740	\$0
PST	42160	Athens Twin Ventures, Inc.	2011-1310-PST-E	HENDERSON	3/18/2012	\$3,879	\$775	\$3,104	\$0
PST	42144	U S REALTY HOLDINGS LTD	2011-1292-PST-E	JOHNSON	3/18/2012	\$2,629	\$525	\$2,104	\$0
PST	41956	AAM, Inc.	2011-1106-PST-E	DALLAS	3/18/2012	\$2,900	\$580	\$2,320	\$0
PST	41785	MAINALI CORPORATION	2011-0906-PST-E	WISE	3/18/2012	\$1,999	\$399	\$1,600	\$0
PST	42238	Diamond A Inc.	2011-1385-PST-E	WILLIAMSON	3/18/2012	\$3,879	\$775	\$3,104	\$0
PST	41849	ANMOL & ASSOCIATES INC	2011-0984-PST-E	TARRANT	3/18/2012	\$2,250	\$450	\$900	\$900
PST	42552	Crocker Crane LP	2011-1689-PST-E	DALLAS	3/18/2012	\$2,379	\$475	\$1,904	\$0
PST	42083	Miller Grove Farm Supply, Inc.	2011-1236-PST-E	HOPKINS	3/18/2012	\$2,379	\$475	\$1,904	\$0
PST	42204	LAYTON, LARRY	2011-1380-PST-E	HOPKINS	3/18/2012	\$3,880	\$776	\$3,104	\$0
PST	42266	JAVAID, MUHAMMAD K	2011-1417-PST-E	HARRIS	3/18/2012	\$2,379	\$475	\$1,904	\$0
PST	42314	Oleg Corporation	2011-1598-PST-E	HARRIS	3/18/2012	\$2,250	\$450	\$1,800	\$0
PST	41864	NEMRY, NASHAT	2011-0971-PST-E	HARRIS	3/18/2012	\$5,229	\$1,045	\$4,184	\$0
PST	42242	N. R. S. Investments, Inc.	2011-1392-PST-E	TARRANT	3/18/2012	\$5,046	\$1,009	\$4,037	\$0
PST	42058	M. S. Kari & Associates, Inc.	2011-1188-PST-E	HARRIS	3/18/2012	\$1,000	\$200	\$800	\$0
PST	42208	NEEL KAMAL INC	2011-1336-PST-E	WILLIAMSON	3/18/2012	\$5,129	\$1,025	\$4,104	\$0
PST	42243	Creedmoor Country Grocery, Inc.	2011-1393-PST-E	TRAVIS	3/18/2012	\$2,629	\$525	\$2,104	\$0
PST	42324	ALTAMESA FINE FOOD LLP.	2011-1502-PST-E	TARRANT	3/18/2012	\$2,814	\$562	\$2,252	\$0
PST	42125	Vasan, Inc.	2011-1272-PST-E	KENDALL	3/18/2012	\$2,041	\$408	\$1,633	\$0
PST	42167	Neutze Properties, Ltd.	2011-1379-PST-E	KENDALL	3/18/2012	\$3,500	\$700	\$2,800	\$0
PST	42245	ALI, ADEEL	2011-1395-PST-E	ELLIS	3/18/2012	\$3,629	\$725	\$2,904	\$0
PST	42120	Jack's Grocery, Inc.	2011-1289-PST-E	HARRIS	3/18/2012	\$4,629	\$925	\$3,704	\$0
PST	42226	ALYCO INC	2011-1373-PST-E	WILLIAMSON	3/18/2012	\$2,500	\$500	\$2,000	\$0
PST	42293	SABIR BUSINESS INC.	2011-1437-PST-E	HARRIS	3/18/2012	\$2,300	\$460	\$1,840	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	42035	NGO, HONG PHUOC	2011-1146-PST-E	HARRIS	3/18/2012	\$5,200	\$1,040	\$4,160	\$0
PST	42163	CHONG & MOON INVESTMENT LLC	2011-1306-PST-E	HARRIS	3/18/2012	\$4,050	\$810	\$3,240	\$0
PST	42060	Aelina Enterprises, Inc.	2011-1181-PST-E	WILLIAMSON	3/18/2012	\$5,129	\$1,025	\$4,104	\$0
PST	42046	MAHARATHI, INC	2011-1168-PST-E	LAMAR	3/18/2012	\$3,893	\$778	\$3,115	\$0
PST	42423	S. H. AND I, LLC	2011-1556-PST-E	HARRIS	3/18/2012	\$2,800	\$560	\$2,240	\$0
PST	41981	TANWAR, SHASHI C	2011-1108-PST-E	TARRANT	3/18/2012	\$4,720	\$944	\$3,776	\$0
PST	42195	Sohani, Inc.	2011-1339-PST-E	JOHNSON	3/18/2012	\$2,500	\$500	\$2,000	\$0
PST	42062	Texas Youth Commission	2011-1194-PST-E	LEE	3/18/2012	\$2,500	\$500	\$2,000	\$0
PST	42049	Valley Feed Mill Inc. of Paris	2011-1170-PST-E	LAMAR	3/18/2012	\$2,500	\$500	\$2,000	\$0
PST	41708	CO-CATHEDRAL OF THE SACRED HEART CATHOLIC PARISH	2011-0819-PST-E	HARRIS	3/18/2012	\$900	\$180	\$720	\$0
PST	42053	Tajani Oil Inc.	2011-1178-PST-E	TARRANT	3/18/2012	\$6,250	\$1,250	\$5,000	\$0
PST	42329	Wilbert's Service Station, Inc.	2011-1476-PST-E	LEE	3/18/2012	\$2,629	\$525	\$2,104	\$0
PST	42074	AMERICAN BIRD INC	2011-1198-PST-E	DALLAS	3/18/2012	\$2,379	\$475	\$1,904	\$0
PST	42265	U&N, Inc	2011-1414-PST-E	HARRIS	3/18/2012	\$2,500	\$500	\$2,000	\$0
PST	42048	B. K. Trading, Inc.	2011-1169-PST-E	LAMAR	3/23/2012	\$3,629	\$0	\$3,629	\$0
PST	41349	REYES, CARLOS	2011-0448-PST-E	DALLAS	3/23/2012	\$2,750	\$0	\$2,750	\$0
PST	41376	Canaris Dan	2011-0472-PST-E	COLORADO	4/1/2012	\$6,600	\$0	\$6,600	\$0
PST	41994	Chef Point, L.L.C.	2011-1111-PST-E	TARRANT	4/1/2012	\$2,500	\$0	\$2,500	\$0
PST	39014	Ghotra Ventures Inc	2010-0056-PST-E	BEXAR	4/1/2012	\$2,625	\$0	\$2,625	\$0
PST	41038	720 Lamar Place, L. C.	2011-0133-PST-E	TRAVIS	4/1/2012	\$3,750	\$0	\$3,750	\$0
PST	42258	JOTKAMAL INC	2011-1407-PST-E	DALLAS	4/1/2012	\$3,277	\$0	\$3,277	\$0
PST	42093	C K GROUP ENTERPRISE INC	2011-1210-PST-E	ARANSAS	4/1/2012	\$2,629	\$0	\$2,629	\$0
PST	41809	ABRAMS BUSINESS INC	2011-0921-PST-E	TARRANT	4/5/2012	\$10,013	\$0	\$10,013	\$0
PST	42529	NIXON PARTNERS LLC	2011-1663-PST-E	GONZALES	4/5/2012	\$30,780	\$6,156	\$24,624	\$0
PST	41070	CHATARPAL, THAKOOR	2011-0165-PST-E	HARRIS	4/5/2012	\$9,300	\$0	\$9,300	\$0
PST	42450	Texas Renaissance Festivals, Inc.	2011-1590-PST-E	GRIMES	4/5/2012	\$12,745	\$2,549	\$10,196	\$0
PST	36831	LADHANI, AMIRALI *	2010-1576-PST-E	ATASCOSA	4/5/2012	\$31,105	\$0	\$31,105	\$0
PST	40859	Simone Stores Inc	2010-2032-PST-E	HARDIN	4/5/2012	\$8,622	\$0	\$8,622	\$0
PST	41196	NOORANI & BROTHERS, INC.	2011-0355-PST-E	HARRIS	4/5/2012	\$19,820	\$0	\$19,820	\$0
PST	40365	FVC FOOD MART INC	2010-1487-PST-E	FORT BEND	4/5/2012	\$8,486	\$4,886	\$3,600	\$0
PST	42212	Becky Wheeler *	2011-1357-PST-E	LAMAR	4/5/2012	\$8,667	\$1,733	\$6,934	\$0
PST	42240	Appletree, Inc.	2011-1386-PST-E	VAN ZANDT	4/8/2012	\$3,880	\$776	\$3,104	\$0
PST	41314	HERNANDEZ, ELENA	2011-0410-PST-E	CAMERON	4/8/2012	\$5,250	\$1,050	\$4,200	\$0
PST	42531	HAIQA INC	2011-1675-PST-E	HARRIS	4/8/2012	\$2,525	\$505	\$2,020	\$0
PST	42264	Cody Company, Inc.	2011-1413-PST-E	ELLIS	4/8/2012	\$2,005	\$401	\$1,604	\$0
PST	41761	Border Stop, Inc.	2011-0872-PST-E	HARRIS	4/8/2012	\$2,379	\$475	\$1,904	\$0
PST	42309	Availi Enterprise, Inc.	2011-1458-PST-E	HARRIS	4/8/2012	\$2,750	\$550	\$2,200	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	42393	MACON, THOMAS MIKE	2011-1527-PST-E	ELLIS	4/8/2012	\$5,129	\$1,025	\$4,104	\$0
PST	42616	Shanar Bros. Enterprises, Inc.	2011-1759-PST-E	HARRIS	4/8/2012	\$2,250	\$450	\$1,800	\$0
PST	42525	BREWER, JOHNNY C JR	2011-1656-PST-E	BURLESON	4/8/2012	\$2,379	\$475	\$1,904	\$0
PST	42345	JAFARI, JAMAL	2011-1493-PST-E	TARRANT	4/8/2012	\$2,350	\$470	\$1,880	\$0
PST	42814	MLLCA INC	2011-1953-PST-E	WISE	4/8/2012	\$3,986	\$797	\$3,189	\$0
PST	42735	N & S Trading LLC	2011-1877-PST-E	TARRANT	4/8/2012	\$2,500	\$500	\$2,000	\$0
PST	42222	Mahek & Malika, Inc.	2011-1365-PST-E	DALLAS	4/8/2012	\$2,500	\$500	\$2,000	\$0
PST	42350	Navasota ISD	2011-1662-PST-E	GRIMES	4/8/2012	\$1,125	\$225	\$900	\$0
PST	42252	New West American, Incorporated	2011-1398-PST-E	TARRANT	4/8/2012	\$4,610	\$922	\$3,688	\$0
PST	42279	Robana, Inc.	2011-1425-PST-E	HARRIS	4/8/2012	\$5,100	\$1,020	\$4,080	\$0
PST	42335	DURANT, TOM	2011-1485-PST-E	TARRANT	4/8/2012	\$4,129	\$825	\$3,304	\$0
PST	42655	Wolfenson Electric, Inc.	2011-1802-PST-E	HARRIS	4/8/2012	\$2,500	\$500	\$2,000	\$0
PST	42307	King Fuels, Inc.	2011-1456-PST-E	HARRIS	4/8/2012	\$4,500	\$900	\$3,600	\$0
PST	42233	Kohinoor Business, Inc.	2011-1375-PST-E	WILLIAMSON	4/8/2012	\$5,129	\$1,025	\$4,104	\$0
PST	41609	MAYO, DONALD SR	2011-0706-PST-E	HARRIS	4/8/2012	\$6,629	\$1,325	\$5,304	\$0
PST	42585	NGUYEN, PHI DINH	2011-1733-PST-E	HARRIS	4/8/2012	\$2,350	\$470	\$1,880	\$0
PST	42722	Houlette Petroleum, Inc.	2011-1888-PST-E	PARMER	4/8/2012	\$1,988	\$397	\$1,591	\$0
PST	42301	JAE'S ALL SEASONS MARKET, INC.	2011-1448-PST-E	HARRIS	4/8/2012	\$5,000	\$1,000	\$4,000	\$0
PST	42007	T'S EXPRESS, INC.	2011-1343-PST-E	HARRIS	4/8/2012	\$2,730	\$546	\$2,184	\$0
PST	42711	Silverton Oil Co., Inc.	2011-1860-PST-E	BRISCOE	4/8/2012	\$2,629	\$525	\$2,104	\$0
PST	42372	West End Interests, Inc.	2011-1513-PST-E	GALVESTON	4/8/2012	\$2,837	\$567	\$2,270	\$0
PST	42516	ARIJA & ALISHAN INVESTMENT INC	2011-1657-PST-E	HARRIS	4/8/2012	\$2,550	\$510	\$2,040	\$0
PST	42170	PVSS Enterprises, Inc.	2011-1342-PST-E	HARRIS	4/8/2012	\$6,500	\$1,300	\$5,200	\$0
PST	42402	David Maddox	2011-1553-PST-E	KAUFMAN	4/8/2012	\$2,004	\$400	\$1,604	\$0
PST	42445	Ambassador Jet Center, Ltd.	2011-1573-PST-E	DALLAS	4/8/2012	\$1,733	\$346	\$1,387	\$0
PST	42341	ARARI 1 ENTERPRISE	2011-1497-PST-E	GRAYSON	4/8/2012	\$2,629	\$525	\$2,104	\$0
PST	42418	ADIB, BAHIG	2011-1554-PST-E	DALLAS	4/8/2012	\$2,250	\$450	\$1,800	\$0
PST	42097	SALEEM, MOHAMMAD	2011-1234-PST-E	JOHNSON	4/8/2012	\$2,250	\$450	\$1,800	\$0
PST	42188	Jack White Enterprises, Inc.	2011-1340-PST-E	POLK	4/8/2012	\$5,250	\$1,050	\$4,200	\$0
PST	42405	Kismat, Inc.	2011-1535-PST-E	TARRANT	4/8/2012	\$2,550	\$510	\$2,040	\$0
PST	42515	SINGH, KASHMIR	2011-1651-PST-E	GRAYSON	4/8/2012	\$2,505	\$501	\$2,004	\$0
PST	41698	Farhat Brothers, Inc.	2011-0802-PST-E	TARRANT	4/8/2012	\$5,100	\$1,020	\$4,080	\$0
PST	42628	Jena Business, Inc.	2011-1772-PST-E	WILLIAMSON	4/8/2012	\$2,629	\$525	\$2,104	\$0
PST	42157	AMEJ Corporation	2011-1297-PST-E	WISE	4/8/2012	\$3,879	\$775	\$3,104	\$0
PST	42343	CDK Investments, Inc.	2011-1495-PST-E	VAN ZANDT	4/8/2012	\$3,880	\$776	\$3,104	\$0
PST	42344	Lancaster Express, Inc.	2011-1494-PST-E	DALLAS	4/8/2012	\$4,590	\$918	\$3,672	\$0
PST	42244	City of Houston	2011-1394-PST-E	HARRIS	4/8/2012	\$900	\$180	\$720	\$0
PST	42481	I.L.F. Enterprises, Inc.	2011-1613-PST-E	HARRIS	4/8/2012	\$2,550	\$510	\$2,040	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	42297	S. W. Greer Company, Inc.	2011-1447-PST-E	HARRIS	4/8/2012	\$2,500	\$500	\$2,000	\$0
PST	42451	Meghna Enterprise, Inc.	2011-1591-PST-E	WASHINGTON	4/8/2012	\$4,500	\$900	\$3,600	\$0
PST	41887	KNA Business, Inc.	2011-1006-PST-E	HARRIS	4/8/2012	\$2,250	\$450	\$1,800	\$0
PST	42528	FALLBROOK BUSINESS INC	2011-1734-PST-E	HARRIS	4/8/2012	\$4,500	\$900	\$3,600	\$0
PST	34882	BASHEER, MOHAMMED	2010-0503-PST-E	GALVESTON	4/14/2012	\$39,964	\$0	\$39,964	\$0
PST	42749	Horizon Retail, L.L.C.	2011-1897-PST-E	HARRIS	4/21/2012	\$2,425	\$485	\$1,940	\$0
PST	42613	ANA, INC.	2011-1751-PST-E	HARRIS	4/21/2012	\$5,300	\$1,060	\$4,240	\$0
PST	42498	N H A J, L.C.	2011-1658-PST-E	HARRIS	4/21/2012	\$6,229	\$1,245	\$4,984	\$0
PST	41932	AASIF Corporation	2011-1051-PST-E	JEFFERSON	4/21/2012	\$2,625	\$525	\$2,100	\$0
PST	42480	A R ENTERPRISES, INC.	2011-1614-PST-E	HARRIS	4/21/2012	\$2,300	\$460	\$1,840	\$0
PST	42554	SUN SHINE STAR CORPORATION	2011-1687-PST-E	HARRIS	4/21/2012	\$2,300	\$460	\$1,840	\$0
PST	42673	Dixie In Inc.	2011-1818-PST-E	HARRIS	4/21/2012	\$3,920	\$784	\$3,136	\$0
PST	42503	OH, JEE SUNG	2011-1872-PST-E	DALLAS	4/21/2012	\$770	\$154	\$616	\$0
PST	42715	Hays-Porter Oil Co. LLC	2011-1871-PST-E	BAYLOR	4/21/2012	\$2,379	\$475	\$1,904	\$0
PST	42253	KIM, YOUNG G	2011-1399-PST-E	HARRIS	4/21/2012	\$2,679	\$535	\$2,144	\$0
PST	42479	Ideal Business, Inc.	2011-1615-PST-E	HARRIS	4/21/2012	\$2,129	\$425	\$1,704	\$0
PST	42570	New Mart Corporation	2011-1705-PST-E	FORT BEND	4/21/2012	\$2,424	\$484	\$1,940	\$0
PST	42280	Coastal Investment Group, LLC	2011-1454-PST-E	HUNT	4/21/2012	\$2,004	\$400	\$1,604	\$0
PST	42414	RAAA, Inc.	2011-1541-PST-E	*MULTIPLE	4/21/2012	\$2,600	\$520	\$2,080	\$0
PST	42573	Bravo Aviation, L.L.C.	2011-1704-PST-E	JASPER	4/21/2012	\$1,500	\$300	\$1,200	\$0
PST	42497	KOTRIWALLA L L C	2011-1655-PST-E	HARRIS	4/21/2012	\$2,250	\$450	\$1,800	\$0
PST	42553	BELL, LUNA	2011-1688-PST-E	ARANSAS	4/21/2012	\$2,379	\$475	\$1,904	\$0
PST	41983	Walia Ventures Inc	2011-1096-PST-E	HARRIS	4/21/2012	\$2,500	\$500	\$2,000	\$0
PST	42468	Walnut Hill I-35 Enterprises, L.L.C.	2011-1391-PST-E	DALLAS	4/23/2012	\$16,200	\$3,240	\$12,960	\$0
PST	40940	Joe Westmoreland	2011-0025-PST-E	HENDERSON	4/28/2012	\$2,625	\$0	\$2,625	\$0
PST	39972	RATLIFF, EDWARD	2010-1087-PST-E	MONTAGUE	5/11/2012	\$2,625	\$0	\$2,625	\$0
PST	42680	Neutze Properties, Ltd.	2011-1827-PST-E	VAL VERDE	5/12/2012	\$2,500	\$500	\$2,000	\$0
PST	42430	Addison Enterprises Inc.	2011-1579-PST-E	HARRIS	5/12/2012	\$3,100	\$620	\$2,480	\$0
PST	42351	DJ GROUP, LLC	2011-1504-PST-E	HARRIS	5/12/2012	\$2,175	\$435	\$1,740	\$0
PST	41905	S.S.G. Fuel Service, Inc.	2011-1026-PST-E	HARRIS	5/12/2012	\$6,500	\$1,300	\$5,200	\$0
PST	42225	Foster, Doyle W	2011-1384-PST-E	WILLIAMSON	5/12/2012	\$2,500	\$500	\$2,000	\$0
PST	42050	ZNY Enterprises, Inc.	2011-1176-PST-E	TARRANT	5/12/2012	\$3,570	\$714	\$2,856	\$0
PST	42808	Beniks Corporation	2011-2081-PST-E	DALLAS	5/12/2012	\$1,625	\$325	\$1,300	\$0
PST	41851	Sam's East, Inc.	2011-1150-PST-E	TARRANT	5/12/2012	\$750	\$150	\$600	\$0
PST	42147	Bushra Corporation	2011-1304-PST-E	ELLIS	5/12/2012	\$4,250	\$850	\$3,400	\$0
PST	42470	THEODOSIOU, JOHN	2011-1603-PST-E	HARRIS	5/12/2012	\$5,208	\$1,041	\$4,167	\$0
PST	42452	Blinn College	2011-1592-PST-E	WASHINGTON	5/12/2012	\$2,500	\$500	\$2,000	\$0
PST	41954	SKSB Corporation	2011-1079-PST-E	DALLAS	5/12/2012	\$3,850	\$770	\$3,080	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	42495	D.N.D. Corporation	2011-1632-PST-E	TARRANT	5/12/2012	\$3,500	\$700	\$2,800	\$0
PST	42441	CREEKBEND RETAILERS, LLC	2011-1574-PST-E	HARRIS	5/12/2012	\$2,550	\$510	\$2,040	\$0
PST	42550	E.Z. Good Inc.	2011-1690-PST-E	HARRIS	5/12/2012	\$2,629	\$525	\$2,104	\$0
PST	42760	Jatin Enterprises, Inc.	2011-1908-PST-E	HARRIS	5/12/2012	\$5,027	\$1,005	\$4,022	\$0
PST	42431	JAI SHREE OM CORPORATION	2011-1578-PST-E	VAN ZANDT	5/12/2012	\$4,500	\$900	\$3,600	\$0
PST	42296	REEYAN BUSINESS, INC.	2011-1439-PST-E	HARRIS	5/12/2012	\$4,000	\$800	\$3,200	\$0
PST	41283	Petropark Fuel & Food Inc.	2011-0372-PST-E	HARRIS	5/12/2012	\$4,500	\$900	\$3,600	\$0
PST	42524	WE-2 Enterprises, Inc.	2011-1661-PST-E	HARRIS	5/12/2012	\$2,600	\$520	\$2,080	\$0
PST	41969	West Chimney Enterprises, Inc.	2011-1075-PST-E	HARRIS	5/12/2012	\$4,629	\$925	\$3,704	\$0
PST	42556	BFI Waste Services of Texas, LP	2011-1698-PST-E	HARRIS	5/12/2012	\$4,738	\$947	\$1,896	\$1,895
PST	41180	Laporte Industries, L.L.C.	2011-0298-PST-E	HARRIS	5/13/2012	\$4,550	\$0	\$4,550	\$0
PST	42229	B. K. Trading, Inc.	2011-1374-PST-E	LAMAR	5/13/2012	\$2,629	\$0	\$2,629	\$0
PST	42085	RODRIGUEZ, GUADALUPE	2011-1206-PST-E	LA SALLE	5/13/2012	\$5,250	\$0	\$5,250	\$0
PST	42129	KHAWAJA, ATA UR RAHMAN	2011-1275-PST-E	HARRIS	5/16/2012	\$6,000	\$0	\$6,000	\$0
PST	42198	RH Terrell Management, LLC	2011-1338-PST-E	KAUFMAN	5/16/2012	\$8,064	\$0	\$8,064	\$0
PST	42790	Eagle Kim Inc.	2011-1938-PST-E	ROCKWALL	5/24/2012	\$2,005	\$401	\$1,604	\$0
PST	42858	GK Mart Fuel Investments, LLC *	2011-1995-PST-E	LA SALLE	5/24/2012	\$5,250	\$1,050	\$4,200	\$0
PST	42674	U.S. Empire Enterprises Inc.	2011-1822-PST-E	DALLAS	5/24/2012	\$2,550	\$510	\$2,040	\$0
PST	42652	Kolkhorst Petroleum Company	2011-1810-PST-E	BRAZOS	5/24/2012	\$4,500	\$900	\$3,600	\$0
PST	42868	HENG, MONICA	2011-2058-PST-E	NACOGDOCHES	5/24/2012	\$2,379	\$475	\$1,904	\$0
PST	42650	MARTY MECHANICAL, INC.	2011-1800-PST-E	DALLAS	5/24/2012	\$2,750	\$550	\$2,200	\$0
PST	42904	Davis and Wardlaw Oil Co., Inc.	2011-2056-PST-E	BAYLOR	5/24/2012	\$2,504	\$500	\$2,004	\$0
PST	42840	Munir Armad Munawar	2011-1981-PST-E	SAN PATRICIO	5/24/2012	\$900	\$180	\$720	\$0
PST	42472	City of Garland	2011-1601-PST-E	DALLAS	5/24/2012	\$4,875	\$975	\$0	\$3,900
PST	42889	HO, DUC HAN	2011-2032-PST-E	HARRIS	5/24/2012	\$1,975	\$395	\$1,580	\$0
PST	42980	Sewell Village Cadillac Company, INC.	2011-2123-PST-E	DALLAS	5/24/2012	\$1,875	\$375	\$1,500	\$0
PST	42543	Central Transport, LLC	2011-1723-PST-E	DALLAS	5/24/2012	\$5,201	\$1,040	\$4,161	\$0
PST	42632	PATEL, MOHAMMED NASEEM	2011-1812-PST-E	TARRANT	5/24/2012	\$1,625	\$325	\$1,300	\$0
PST	42555	KASHMIR ROAD LINES LLC	2011-1686-PST-E	HARRIS	5/24/2012	\$5,200	\$1,040	\$4,160	\$0
PST	42961	Sewell Village Cadillac Company, INC.	2011-2128-PST-E	DALLAS	5/24/2012	\$1,875	\$375	\$1,500	\$0
PST	42937	Neutze Properties, Ltd.	2011-2084-PST-E	UVALDE	5/24/2012	\$2,500	\$500	\$2,000	\$0
PST	42852	Wagnon Family, L.P.	2011-1987-PST-E	LAMAR	5/24/2012	\$2,004	\$400	\$1,604	\$0
PST	42551	Colo4, LLC	2011-1771-PST-E	DALLAS	5/24/2012	\$2,377	\$475	\$1,902	\$0
PST	42910	Jimmie Hahn Partnership, Ltd.	2011-2051-PST-E	WASHINGTON	5/24/2012	\$1,875	\$375	\$1,500	\$0
PST	42648	PAVANPUTRA HANUMAN INC	2011-1804-PST-E	NACOGDOCHES	5/24/2012	\$3,500	\$700	\$2,800	\$0
PST	42897	Sevtex, Inc.	2011-2035-PST-E	BRAZOS	5/24/2012	\$2,250	\$450	\$1,800	\$0
PST	42835	Burns, Jamie	2011-1972-PST-E	LUBBOCK	5/24/2012	\$2,500	\$500	\$2,000	\$0
PST	42577	TRUONG, PHAT	2011-1703-PST-E	JEFFERSON	5/24/2012	\$970	\$194	\$776	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	42692	CAPPS, BARRY	2011-2059-PST-E	FREESTONE	5/24/2012	\$5,000	\$1,000	\$4,000	\$0
PST	42881	ZRZ Corporation	2011-2018-PST-E	BRAZOS	5/24/2012	\$1,875	\$375	\$1,500	\$0
PST	42793	KANG, YOUNG SOO	2011-1937-PST-E	HARRIS	5/24/2012	\$2,250	\$450	\$1,800	\$0
PST	42753	Sunshine Food Mart, Inc.	2011-1896-PST-E	NACOGDOCHES	5/24/2012	\$2,629	\$525	\$2,104	\$0
PST	42853	DAUNA'S, LLC	2011-2001-PST-E	GILLESPIE	5/24/2012	\$2,005	\$401	\$1,604	\$0
PST	42712	N-N-Out Convenience Store	2011-1861-PST-E	ECTOR	5/24/2012	\$1,129	\$225	\$904	\$0
PST	42909	SUSANNE'S CORPORATION	2011-2052-PST-E	DALLAS	5/24/2012	\$975	\$195	\$780	\$0
PST	42611	Tristar Convenience Stores, Inc.	2011-1752-PST-E	HARRIS	5/24/2012	\$2,550	\$510	\$2,040	\$0
PST	42845	KHAWAJA, ATA UR RAHMAN	2011-1979-PST-E	HARRIS	5/25/2012	\$4,673	\$0	\$4,673	\$0
PST	42444	WBZ LLC *	2011-1623-PST-E	HARRIS	5/25/2012	\$12,527	\$2,505	\$10,022	\$0
PST	42670	TAWAKUL INVESTMENTS INC	2011-1815-PST-E	DALLAS	5/25/2012	\$8,679	\$1,735	\$6,944	\$0
PST	43108	Thomas N Harrison	2011-2266-PST-E	GALVESTON	6/7/2012	\$2,163	\$432	\$1,731	\$0
PST	42776	Phal & Mui, Inc.	2011-1922-PST-E	DALLAS	6/7/2012	\$5,649	\$1,129	\$4,520	\$0
PST	42455	Shaku Brothers Inc.	2011-1593-PST-E	HARRIS	6/7/2012	\$6,822	\$1,364	\$5,458	\$0
PST	42649	Harris County	2011-1803-PST-E	HARRIS	6/7/2012	\$3,400	\$680	\$0	\$2,720
PST	42887	Traversari USA LLC	2011-2027-PST-E	HARRIS	6/7/2012	\$2,557	\$511	\$2,046	\$0
PST	43170	Car Spa, Inc. dba Car Spa Car Wash	2011-2325-PST-E	DALLAS	6/7/2012	\$1,925	\$385	\$1,540	\$0
PST	42822	Newton ISD	2011-1956-PST-E	NEWTON	6/7/2012	\$1,875	\$375	\$1,500	\$0
PST	42863	S & L Services, Inc.	2011-1998-PST-E	HARRIS	6/7/2012	\$4,600	\$920	\$3,680	\$0
PST	42210	Austin Mac Haik Ford Lincoln LTD	2011-1334-PST-E	WILLIAMSON	6/7/2012	\$2,379	\$475	\$952	\$952
PST	42295	M & F, Inc.	2011-1470-PST-E	HARRIS	6/7/2012	\$3,500	\$700	\$2,800	\$0
PST	42982	TRAN, JENNY	2011-2143-PST-E	DALLAS	6/7/2012	\$5,870	\$1,174	\$4,696	\$0
PST	43011	S N S GROUP INC.	2011-2152-PST-E	ELLIS	6/7/2012	\$1,125	\$225	\$900	\$0
PST	43043	United Site Services of Texas, Inc.	2011-2182-PST-E	DALLAS	6/7/2012	\$4,129	\$825	\$3,304	\$0
PST	42914	New Star Investments, Inc.	2011-2049-PST-E	HARRIS	6/7/2012	\$1,975	\$395	\$1,580	\$0
PST	42721	CHU-DINH CORPORATION	2011-1882-PST-E	HARRIS	6/7/2012	\$3,570	\$714	\$2,856	\$0
PST	43015	GN Inc.	2011-2165-PST-E	GREGG	6/7/2012	\$3,627	\$725	\$2,902	\$0
PST	43054	HEB Grocery Company, LP	2011-2205-PST-E	HARRIS	6/7/2012	\$6,861	\$1,372	\$5,489	\$0
PST	43124	ENNIS S & D LLC	2011-2286-PST-E	ELLIS	6/7/2012	\$1,875	\$375	\$1,500	\$0
PST	42983	Athens Independent School District	2011-2136-PST-E	HENDERSON	6/7/2012	\$2,500	\$500	\$2,000	\$0
PST	43090	SS & SY Investments, Inc.	2011-2239-PST-E	WISE	6/7/2012	\$2,004	\$400	\$1,604	\$0
PST	43201	U.S. Mart, Inc.	2011-2367-PST-E	FORT BEND	6/7/2012	\$5,100	\$1,020	\$4,080	\$0
PST	42819	R & R Suleiman LLC	2011-1952-PST-E	DALLAS	6/7/2012	\$5,545	\$1,109	\$4,436	\$0
PST	43084	NIAKAN, HAMID *	2011-2241-PST-E	KAUFMAN	6/7/2012	\$1,875	\$375	\$1,500	\$0
PST	43034	Hamilton Holdings, L.P.	2011-2186-PST-E	LUBBOCK	6/7/2012	\$2,500	\$500	\$2,000	\$0
PST	43118	Ennis ISD	2011-2275-PST-E	ELLIS	6/7/2012	\$2,250	\$450	\$1,800	\$0
PST	42825	U.S. Mart, Inc.	2011-1962-PST-E	HARRIS	6/7/2012	\$5,200	\$1,040	\$4,160	\$0
PST	41835	MURAS, MARION	2011-0943-PST-E	LEE	6/7/2012	\$2,250	\$450	\$1,800	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	43079	Methodist Hospitals Of Dallas	2011-2222-PST-E	DALLAS	6/7/2012	\$4,500	\$900	\$3,600	\$0
PST	42687	AARIN C-STORE INC	2011-1834-PST-E	HARRIS	6/7/2012	\$2,679	\$535	\$2,144	\$0
PST	42821	ASM Gasha Inc	2011-1961-PST-E	DALLAS	6/7/2012	\$5,029	\$1,005	\$4,024	\$0
PST	42847	TEXAS BLUE STAR ENTERPRISES INC.	2011-1988-PST-E	HARRIS	6/7/2012	\$2,425	\$485	\$1,940	\$0
PST	43271	Singh and Sunny Corporation	2012-0075-PST-E	HENDERSON	6/7/2012	\$2,250	\$450	\$1,800	\$0
PST	43022	ERDAGI, VEHBI	2011-2173-PST-E	SAN PATRICIO	6/7/2012	\$4,375	\$875	\$3,500	\$0
PST	42766	Crown Enterprises, Inc.	2011-1912-PST-E	HARRIS	6/7/2012	\$2,500	\$500	\$2,000	\$0
PST	43045	Terrill Petroleum Co., Inc.	2011-2181-PST-E	SABINE	6/7/2012	\$2,641	\$528	\$2,113	\$0
PST	42580	Win-Mark Properties, Ltd	2011-1722-PST-E	HARRIS	6/7/2012	\$2,250	\$450	\$1,800	\$0
PST	42663	GULKAS INC	2011-1799-PST-E	BRAZORIA	6/8/2012	\$8,926	\$1,785	\$7,141	\$0
PST	42872	Southwest Convenience Stores, LLC	2011-2012-PST-E	WICHITA	6/8/2012	\$11,000	\$2,200	\$8,800	\$0
PST	42610	JAE'S ALL SEASONS MARKET, INC.	2011-1753-PST-E	HARRIS	6/8/2012	\$16,042	\$3,208	\$12,834	\$0
PST	42487	North Texas Convenience Stores, Inc.	2011-1619-PST-E	TARRANT	6/8/2012	\$21,850	\$4,370	\$17,480	\$0
PST	42461	Jian Enterprises Inc.	2011-1605-PST-E	DALLAS	6/13/2012	\$5,100	\$0	\$5,100	\$0
PST	41869	Riverside Texas Enterprises, Inc.	2011-0977-PST-E	TARRANT	6/13/2012	\$6,600	\$0	\$6,600	\$0
PST	42218	Waynette Pollock Brasuell	2011-1372-PST-E	TYLER	6/14/2012	\$3,850	\$0	\$3,850	\$0
PST	41183	Anum Texas Corp	2011-0279-PST-E	TARRANT	6/14/2012	\$3,121	\$0	\$3,121	\$0
PST	41472	Sharon Gorman Locher Rogers	2011-0573-PST-E	HARRIS	6/14/2012	\$3,325	\$0	\$3,325	\$0
PST	41365	Paulette Hagle	2011-0465-PST-E	HASKELL	6/14/2012	\$6,000	\$0	\$6,000	\$0
PST	42070	Gramsn Fuels, Inc.	2011-1186-PST-E	DALLAS	6/14/2012	\$2,500	\$0	\$2,500	\$0
PST	41414	BUKHARI, NADIA	2011-0509-PST-E	JEFFERSON	6/14/2012	\$16,123	\$0	\$16,123	\$0
PST	41939	Cervenka Enterprises, Inc.	2011-1047-PST-E	TOM GREEN	6/14/2012	\$11,338	\$0	\$11,338	\$0
PST	42286	Alinas Estate, Inc.	2011-1435-PST-E	DALLAS	6/14/2012	\$2,250	\$0	\$2,250	\$0
PST	42696	ANISA BUSINESS, LLC	2011-1853-PST-E	HARRIS	6/15/2012	\$2,550	\$0	\$2,550	\$0
PST	42477	HO XLA, INC	2011-1616-PST-E	HARRIS	6/15/2012	\$2,378	\$0	\$2,378	\$0
PST	42489	Richmond Mart, L.L.C.	2011-1627-PST-E	HARRIS	6/15/2012	\$2,550	\$0	\$2,550	\$0
PST	42494	K.R. Andani Corporation	2011-1633-PST-E	DALLAS	6/15/2012	\$2,550	\$0	\$2,550	\$0
PST	42704	Lama Enterprises, Inc.	2011-1850-PST-E	NUECES	6/15/2012	\$3,570	\$0	\$3,570	\$0
PST	42665	Mistry Enterprises Inc.	2011-1797-PST-E	DALLAS	6/15/2012	\$2,765	\$0	\$2,765	\$0
PST	42583	Tristar Convenience Stores, Inc.	2011-1721-PST-E	HARRIS	6/15/2012	\$2,550	\$0	\$2,550	\$0
PST	41748	MOUDRY, EDWIN	2011-0858-PST-E	HARRIS	6/15/2012	\$2,629	\$0	\$2,629	\$0
PST	42700	SYDNI Inc.	2011-1848-PST-E	HARRIS	6/15/2012	\$5,000	\$0	\$5,000	\$0
PST	42399	DAYNA 100 INVESTMENTS, INC.	2011-1534-PST-E	HARRIS	6/15/2012	\$950	\$0	\$950	\$0
PST	42701	Windwater Inc.	2011-1852-PST-E	HARRIS	6/15/2012	\$2,550	\$0	\$2,550	\$0
PST	43039	Richmond Mart, L.L.C.	2011-2195-PST-E	HARRIS	6/15/2012	\$2,269	\$0	\$2,269	\$0
PST	42857	Ans Fina Business Enterprises Inc	2011-1996-PST-E	DALLAS	6/15/2012	\$4,795	\$0	\$4,795	\$0
PST	41505	NAJM, ADNAN	2011-0598-PST-E	HARRIS	6/15/2012	\$3,044	\$0	\$3,044	\$0
PST	41866	LEE, SEOUNG WOO	2011-0979-PST-E	DALLAS	6/15/2012	\$2,550	\$0	\$2,550	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	41884	Universal Enterprises, Inc.	2011-1000-PST-E	HARRIS	6/15/2012	\$3,098	\$0	\$3,098	\$0
PST	41381	Longhorn Horizon, Inc.	2011-0478-PST-E	JOHNSON	6/15/2012	\$2,500	\$0	\$2,500	\$0
PST	42106	5 Star Diamond, LLC	2011-1242-PST-E	DALLAS	6/15/2012	\$4,906	\$0	\$4,906	\$0
PST	41978	Two Pillars Investments, Inc	2011-1107-PST-E	TARRANT	6/15/2012	\$3,250	\$0	\$3,250	\$0
PST	42251	WILLHITE, TREVOR J	2011-1397-PST-E	WILLIAMSON	6/15/2012	\$3,104	\$0	\$3,104	\$0
PST	42557	Dawani Business, Inc. *	2011-1697-PST-E	MONTGOMERY	6/15/2012	\$1,875	\$0	\$1,875	\$0
PST	42220	RAINEY, BENTON	2011-1363-PST-E	DELTA	6/15/2012	\$2,629	\$0	\$2,629	\$0
PST	42527	Quick AI, Inc.	2011-1735-PST-E	WISE	6/15/2012	\$2,550	\$0	\$1,275	\$1,275
PST	42998	DOOST LLC	2011-2167-PST-E	DALLAS	6/17/2012	\$2,550	\$510	\$2,040	\$0
PST	42862	ISS Groups Inc.	2011-1999-PST-E	HARDIN	6/17/2012	\$3,353	\$670	\$2,683	\$0
PST	42977	S.K. Teng Corp.	2011-2125-PST-E	NACOGDOCHES	6/17/2012	\$5,529	\$1,105	\$4,424	\$0
PST	43139	Pyramid Land Development Company	2011-2304-PST-E	FREESTONE	6/17/2012	\$2,250	\$450	\$1,800	\$0
PST	42765	DCJR Enterprises, Inc.	2011-1914-PST-E	SAN PATRICIO	6/17/2012	\$4,000	\$800	\$1,600	\$1,600
PST	42327	Javeria Enterprises Inc	2011-1475-PST-E	HARRIS	6/17/2012	\$3,100	\$620	\$2,480	\$0
PST	43058	Shy Investment, Inc.	2011-2209-PST-E	NUECES	6/17/2012	\$3,000	\$600	\$2,400	\$0
PST	43014	Stream Realty Partners LP	2011-2179-PST-E	DALLAS	6/17/2012	\$4,409	\$881	\$3,528	\$0
PST	43075	NGUYEN, MINDY HUYNH	2011-2223-PST-E	HARRIS	6/17/2012	\$2,679	\$535	\$2,144	\$0
PST	43138	Husam Enterprises Inc	2011-2295-PST-E	HARRIS	6/17/2012	\$5,100	\$1,020	\$4,080	\$0
PST	43019	Planters Grain Cooperative of Odem, Texas	2011-2170-PST-E	SAN PATRICIO	6/17/2012	\$1,125	\$225	\$900	\$0
PST	43135	Southwest Convenience Stores, LLC	2011-2298-PST-E	ECTOR	6/17/2012	\$1,375	\$275	\$1,100	\$0
PST	42903	JUMA, KARIM	2011-2057-PST-E	WICHITA	6/17/2012	\$5,129	\$1,025	\$4,104	\$0
PST	42842	SHREE HARIOM CORPORATION	2011-1980-PST-E	BANDERA	6/17/2012	\$4,092	\$818	\$3,274	\$0
PST	43187	LAM, TIMMY J *	2011-2348-PST-E	KAUFMAN	6/17/2012	\$2,005	\$401	\$1,604	\$0
PST	42985	ESSA INC	2011-2137-PST-E	HARRIS	6/17/2012	\$3,545	\$709	\$2,836	\$0
PST	42997	MUSLEH, HANNA	2011-2156-PST-E	HARRIS	6/17/2012	\$2,055	\$411	\$1,644	\$0
PST	43356	Z & S Corporation	2012-0169-PST-E	VAN ZANDT	6/17/2012	\$2,379	\$475	\$1,904	\$0
PST	42952	Rains Independent School District	2011-2109-PST-E	RAINS	6/17/2012	\$6,600	\$1,320	\$5,280	\$0
PST	43208	WEST HOUSTON INFINITI, LTD.	2012-0008-PST-E	HARRIS	6/17/2012	\$2,500	\$500	\$2,000	\$0
PST	42323	Pala Inc	2011-1481-PST-E	HARRIS	6/17/2012	\$4,600	\$920	\$3,680	\$0
PST	43197	Pottsboro Independent School District	2011-2364-PST-E	GRAYSON	6/17/2012	\$2,500	\$500	\$2,000	\$0
PST	42213	Zaydan Corporation, Inc.	2011-1359-PST-E	LLANO	6/17/2012	\$6,129	\$1,225	\$4,904	\$0
PST	43253	Caruthers Oil Co., Inc.	2012-0051-PST-E	WISE	6/17/2012	\$2,500	\$500	\$2,000	\$0
PST	42818	NGUYEN, THANH K	2011-1964-PST-E	DALLAS	6/17/2012	\$1,875	\$375	\$1,500	\$0
PST	42820	Amindus Service, LLC	2011-1957-PST-E	HARRIS	6/17/2012	\$1,754	\$350	\$1,404	\$0
PST	43052	BK Golden Silver, Inc.	2011-2219-PST-E	HENDERSON	6/17/2012	\$2,387	\$477	\$1,910	\$0
PST	43306	Charles Busbey	2012-0120-PST-E	GRAYSON	6/17/2012	\$2,004	\$400	\$1,604	\$0
PST	42984	Athens Twin Ventures, Inc.	2011-2138-PST-E	HENDERSON	6/17/2012	\$5,126	\$1,025	\$4,101	\$0
PST	42955	Susser Petroleum Company LLC	2011-2106-PST-E	BEXAR	6/17/2012	\$5,000	\$1,000	\$4,000	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	43003	Racetrac Petroleum, Inc.	2011-2154-PST-E	DALLAS	6/17/2012	\$2,625	\$525	\$2,100	\$0
PST	42155	Star Serve, Inc.	2011-1305-PST-E	HARRIS	6/17/2012	\$5,200	\$1,040	\$4,160	\$0
PST	42683	Campbell Oil Co.	2011-1826-PST-E	*MULTIPLE	6/17/2012	\$5,129	\$1,025	\$4,104	\$0
PST	42943	BROWN, DONNIE R	2011-2111-PST-E	HARRIS	6/17/2012	\$2,550	\$510	\$2,040	\$0
PST	43264	SPBK National Inc	2012-0078-PST-E	ROCKWALL	6/17/2012	\$2,379	\$475	\$1,904	\$0
PST	42586	Eko Group, Inc.	2011-1720-PST-E	HARRIS	6/17/2012	\$4,829	\$965	\$3,864	\$0
PST	42493	GWD Operating, LTD.	2011-1634-PST-E	DALLAS	6/17/2012	\$2,550	\$510	\$2,040	\$0
PST	42899	CRYSTAL INTERNATIONAL, INC.	2011-2045-PST-E	DALLAS	6/17/2012	\$4,485	\$897	\$3,588	\$0
PST	42907	Boonville Food Mart, LLC	2011-2054-PST-E	BRAZOS	6/17/2012	\$3,500	\$700	\$2,800	\$0
PST	42796	United Petroleum Transports, Inc.	2011-1936-PST-E	ECTOR	6/17/2012	\$7,359	\$1,471	\$5,888	\$0
PST	42871	Tristar Convenience Stores, Inc.	2011-2013-PST-E	HARRIS	6/22/2012	\$10,964	\$2,192	\$8,772	\$0
PST	42703	Ann, Inc.	2011-1851-PST-E	DALLAS	6/22/2012	\$8,750	\$1,750	\$7,000	\$0
PST	42463	VIRANI, ZOHRA	2011-1604-PST-E	MCLENNAN	6/27/2012	\$4,830	\$0	\$4,830	\$0
PST	41908	VENZON, STEPHEN A	2011-1025-PST-E	LEE	6/28/2012	\$2,500	\$0	\$2,500	\$0
PST	41975	MISHA ENTERPRISES INC.	2011-1086-PST-E	LLANO	6/28/2012	\$11,349	\$0	\$11,349	\$0
PST	41505	NAJM, ECATERINA	2011-0598-PST-E	HARRIS	6/28/2012	\$3,669	\$0	\$3,669	\$0
PST	42409	Sahas Business, Inc.	2011-1538-PST-E	HARRIS	6/28/2012	\$6,750	\$0	\$6,750	\$0
PST	42099	KR MINA INC	2011-1232-PST-E	HARRIS	6/28/2012	\$7,328	\$0	\$7,328	\$0
PST	41731	NGUYEN, THONG VAN	2011-0835-PST-E	TARRANT	6/28/2012	\$12,081	\$0	\$12,081	\$0
PST	42287	Rusche Oil Co., Inc.	2011-1436-PST-E	LLANO	6/28/2012	\$15,956	\$0	\$15,956	\$0
PST	42078	CJY Investments, L.L.C.	2011-1199-PST-E	COLLIN	6/28/2012	\$16,511	\$0	\$16,511	\$0
PST	43150	S ALWADI , INC.	2011-2307-PST-E	ELLIS	7/6/2012	\$8,772	\$1,754	\$7,018	\$0
PST	41765	Takhar & Son, L.L.C.	2011-0875-PST-E	GRAYSON	7/6/2012	\$12,012	\$2,402	\$9,610	\$0
PST	42827	Kirk Sheet Metal Company, Inc.	2011-2135-PST-E	DALLAS	7/6/2012	\$14,981	\$2,996	\$11,985	\$0
PST	43272	Racetrac Petroleum, Inc.	2012-0074-PST-E	DALLAS	7/6/2012	\$7,658	\$1,531	\$6,127	\$0
PST	43128	Bonham ISD	2011-2291-PST-E	FANNIN	7/8/2012	\$2,500	\$500	\$2,000	\$0
PST	42803	Market Truck Stop INC.	2011-1933-PST-E	HARRIS	7/8/2012	\$6,300	\$1,260	\$5,040	\$0
PST	43409	Davis Motor Crane Service, Inc.	2012-0242-PST-E	DALLAS	7/8/2012	\$2,250	\$450	\$1,800	\$0
PST	43300	SUNG, MICHAEL	2012-0103-PST-E	KAUFMAN	7/8/2012	\$2,635	\$527	\$2,108	\$0
PST	43038	Zeena Corporation	2011-2185-PST-E	ELLIS	7/8/2012	\$3,880	\$776	\$3,104	\$0
PST	43032	Wm. G. Johnson Oil Co.	2011-2196-PST-E	HENDERSON	7/8/2012	\$6,504	\$1,300	\$5,204	\$0
PST	43049	Frank M. Martinez	2011-2193-PST-E	MAVERICK	7/8/2012	\$1,875	\$375	\$1,500	\$0
PST	43120	Shree-JI Corporation	2011-2279-PST-E	HENDERSON	7/8/2012	\$3,885	\$777	\$3,108	\$0
PST	43141	DIEP, SANG THANH	2011-2303-PST-E	HARRIS	7/8/2012	\$2,350	\$470	\$1,880	\$0
PST	42757	BZ ENTERPRISES INC	2011-1906-PST-E	DALLAS	7/8/2012	\$6,123	\$1,224	\$4,899	\$0
PST	43081	FUEL DEPOT, LLC	2011-2243-PST-E	EL PASO	7/8/2012	\$3,342	\$668	\$2,674	\$0
PST	43017	Sand Inc.	2011-2164-PST-E	ELLIS	7/8/2012	\$1,875	\$375	\$1,500	\$0
PST	43171	DANIEL, GILBERT A	2011-2324-PST-E	FREESTONE	7/8/2012	\$2,004	\$400	\$1,604	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	43213	Shaan Group, Inc.	2012-0014-PST-E	HARRIS	7/8/2012	\$2,300	\$460	\$1,840	\$0
PST	42877	GOOD LUCK EXXON INC	2011-2014-PST-E	JEFFERSON	7/8/2012	\$4,864	\$972	\$3,892	\$0
PST	43291	Metro National Corporation	2012-0096-PST-E	HARRIS	7/8/2012	\$3,733	\$746	\$2,987	\$0
PST	42902	Nita Corporation	2011-2043-PST-E	DALLAS	7/8/2012	\$4,750	\$950	\$3,800	\$0
PST	42886	Susser Petroleum Company LLC	2011-2029-PST-E	BEXAR	7/8/2012	\$3,750	\$750	\$3,000	\$0
PST	43047	Hong & Taft, Inc.	2011-2201-PST-E	HARRIS	7/8/2012	\$7,206	\$1,441	\$5,765	\$0
PST	43179	CJ CHARLES DEVELOPMENT INC	2012-0010-PST-E	DALLAS	7/8/2012	\$4,013	\$802	\$3,211	\$0
PST	43223	Bisma-Zaeem, Inc.	2012-0012-PST-E	VAN ZANDT	7/8/2012	\$3,638	\$727	\$2,911	\$0
PST	43390	Susser Petroleum Company LLC	2012-0198-PST-E	HARRIS	7/8/2012	\$2,625	\$525	\$2,100	\$0
PST	42953	Susser Petroleum Company LLC	2011-2108-PST-E	BEXAR	7/8/2012	\$5,000	\$1,000	\$4,000	\$0
PST	43328	City of Ennis	2012-0156-PST-E	ELLIS	7/8/2012	\$1,875	\$375	\$1,500	\$0
PST	43305	Tim Cooper Farm Enterprises, L.P.	2012-0121-PST-E	FREESTONE	7/8/2012	\$2,008	\$401	\$1,607	\$0
PST	43295	KARAM Z ENTERPRISES INC.	2012-0107-PST-E	JEFFERSON	7/8/2012	\$6,163	\$1,232	\$4,931	\$0
PST	43041	Commercial Metals Company	2011-2184-PST-E	DALLAS	7/8/2012	\$1,875	\$375	\$1,500	\$0
PST	42930	NATHAN INVESTMENTS LLC	2011-2074-PST-E	BRAZOS	7/8/2012	\$3,750	\$750	\$3,000	\$0
PST	43441	Bond Enterprises, Inc. dba Bond's First Stop	2012-0255-PST-E	FREESTONE	7/8/2012	\$2,754	\$550	\$2,204	\$0
PST	43152	COTTURA INC	2011-2312-PST-E	DALLAS	7/8/2012	\$5,100	\$1,020	\$4,080	\$0
PST	43186	M & S BROTHERS, INC.	2011-2349-PST-E	ORANGE	7/8/2012	\$7,426	\$1,485	\$5,941	\$0
PST	43391	KIM, SONG IL	2012-0197-PST-E	HARRIS	7/8/2012	\$3,342	\$668	\$2,674	\$0
PST	43467	Federal Express Corporation	2012-0290-PST-E	HARRIS	7/8/2012	\$3,342	\$668	\$2,674	\$0
PST	43020	ASA MANAGEMENT, INC.	2011-2211-PST-E	ELLIS	7/8/2012	\$2,942	\$588	\$2,354	\$0
PST	43136	MERINO, EUFROCINA	2011-2297-PST-E	ORANGE	7/8/2012	\$2,923	\$584	\$2,339	\$0
PST	42975	FUEL DEPOT, LLC	2011-2127-PST-E	EL PASO	7/8/2012	\$3,416	\$683	\$2,733	\$0
PST	41704	Aziz Enterprises, LLC	2011-0817-PST-E	JOHNSON	7/15/2012	\$5,129	\$0	\$5,129	\$0
PST	43042	David Maddox	2011-2183-PST-E	HENDERSON	7/15/2012	\$2,004	\$400	\$1,604	\$0
PST	43095	Baylor Health Care System	2011-2236-PST-E	DALLAS	7/15/2012	\$2,766	\$553	\$2,213	\$0
PST	43182	ANA Holdings, LLC	2011-2350-PST-E	HENDERSON	7/15/2012	\$2,500	\$500	\$2,000	\$0
PST	42560	PARKS, BEN B	2011-1706-PST-E	EL PASO	7/15/2012	\$13,668	\$0	\$13,668	\$0
PST	42282	Allied Trading Corporation dba A & Q Chevron Food Mart	2011-1429-PST-E	HARRIS	7/15/2012	\$2,250	\$450	\$1,800	\$0
PST	43504	SHARDA CONVENIENCE STORE, INC.	2012-0319-PST-E	ARANSAS	7/15/2012	\$2,635	\$527	\$2,108	\$0
PST	43000	M.A.A.R. ENTERPRISES LLC	2011-2166-PST-E	HENDERSON	7/15/2012	\$6,219	\$1,243	\$4,976	\$0
PST	43293	Luis Suarez	2012-0095-PST-E	ECTOR	7/15/2012	\$2,625	\$525	\$2,100	\$0
PST	43460	Haider Corporation	2012-0268-PST-E	WICHITA	7/15/2012	\$2,250	\$450	\$1,800	\$0
PST	42217	RUIZ, OSCAR	2011-1371-PST-E	LA SALLE	7/15/2012	\$8,925	\$0	\$8,925	\$0
PST	43239	SESSUMS, BRADLEY	2012-0037-PST-E	LAMAR	7/15/2012	\$5,150	\$1,030	\$4,120	\$0
PST	43414	NAGI, VINOD	2012-0230-PST-E	WILLIAMSON	7/15/2012	\$2,500	\$500	\$2,000	\$0
PST	41736	Shahzab & Sara, Inc.	2011-0849-PST-E	HARRIS	7/15/2012	\$2,550	\$510	\$2,040	\$0
PST	43166	Shakil Enterprises Inc.	2011-2323-PST-E	HENDERSON	7/15/2012	\$2,629	\$525	\$2,104	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	43400	Nueces Electric Cooperative, Inc.	2012-0207-PST-E	NUECES	7/15/2012	\$3,000	\$600	\$2,400	\$0
PST	43154	B & F and Sons, LLC	2011-2310-PST-E	ELLIS	7/15/2012	\$3,125	\$625	\$2,500	\$0
PST	43092	Leo Business, Inc.	2011-2238-PST-E	HARRIS	7/15/2012	\$4,354	\$870	\$3,484	\$0
PST	42256	Sahand, Inc.	2011-1400-PST-E	TARRANT	7/15/2012	\$750	\$150	\$600	\$0
PST	43387	Ellis County	2012-0201-PST-E	ELLIS	7/15/2012	\$2,500	\$500	\$2,000	\$0
PST	43284	JONES, JACKIE S	2012-0091-PST-E	HOPKINS	7/15/2012	\$1,875	\$375	\$1,500	\$0
PST	43408	HEM, SOPHORN	2012-0233-PST-E	LAMAR	7/15/2012	\$3,500	\$700	\$2,800	\$0
PST	42728	Mehtab Enterprise, LLC	2011-1868-PST-E	HARRIS	7/15/2012	\$5,147	\$1,029	\$4,118	\$0
PST	43185	SWAMI SHREEHARI LLC	2011-2340-PST-E	KAUFMAN	7/15/2012	\$1,875	\$375	\$1,500	\$0
PST	43067	Oil Patch Petroleum, Inc.	2011-2225-PST-E	NUECES	7/15/2012	\$5,000	\$1,000	\$4,000	\$0
PST	42156	Beechnut Food Stores, Inc.	2011-1296-PST-E	HARRIS	7/25/2012	\$3,175	\$0	\$3,175	\$0
PST	42622	ALIKE INC.	2011-1767-PST-E	DALLAS	7/26/2012	\$10,695	\$0	\$10,695	\$0
PST	42426	Sharp Investments, Inc.	2011-1558-PST-E	HARRIS	7/26/2012	\$75,100	\$0	\$75,100	\$0
PST	42302	SAO LIM CORPORATION	2011-1449-PST-E	DALLAS	7/26/2012	\$2,100	\$0	\$2,100	\$0
PST	42789	TEXAS BLUE STAR ENTERPRISES INC.	2011-1939-PST-E	HARRIS	7/27/2012	\$5,100	\$0	\$5,100	\$0
PST	42968	NIMMA, SABITHA	2011-2132-PST-E	NACOGDOCHES	7/27/2012	\$3,000	\$0	\$3,000	\$0
PST	39474	DURHAM, GARY C	2010-0554-PST-E	DALLAS	7/27/2012	\$2,625	\$0	\$2,625	\$0
PST	42829	Alief Petroleum, LP	2011-1969-PST-E	HARRIS	7/27/2012	\$3,699	\$0	\$3,699	\$0
PST	42976	CLAY BUSINESS INC	2011-2126-PST-E	HARRIS	8/2/2012	\$9,000	\$1,800	\$7,200	\$0
PST	41119	Husnain AFTAB Enterprises, Inc.	2011-0211-MLM-E	ORANGE	8/2/2012	\$51,456	\$0	\$51,456	\$0
PST	43137	Reed Shell Inc.	2011-2296-PST-E	HARRIS	8/2/2012	\$11,548	\$2,309	\$9,239	\$0
PST	42706	Bay Area Healthcare Group, Ltd.	2011-1857-PST-E	NUECES	8/2/2012	\$7,576	\$1,515	\$6,061	\$0
PST	42981	ASB Enterprises, Inc.	2011-2130-PST-E	JEFFERSON	8/2/2012	\$18,213	\$3,642	\$14,571	\$0
PST	43260	Lake Texoma Highport, L.L.C.	2012-0058-PST-E	GRAYSON	8/2/2012	\$14,192	\$2,838	\$11,354	\$0
PST	42846	INS Emerald, L.L.C.	2011-1978-PST-E	TARRANT	8/2/2012	\$21,441	\$4,287	\$17,154	\$0
PST	41646	Greenpaw Properties, L.P.	2011-0749-PST-E	HARRIS	8/2/2012	\$3,850	\$3,850	\$0	\$0
PST	41767	Briargrove, LLC	2011-0881-PST-E	HARRIS	8/2/2012	\$10,449	\$2,089	\$8,360	\$0
PST	43541	Centerville Independent School District	2012-0511-PST-E	LEON	8/5/2012	\$2,500	\$500	\$2,000	\$0
PST	41372	ARG Partners, Ltd.	2011-0467-PST-E	GRAYSON	8/5/2012	\$3,750	\$750	\$3,000	\$0
PST	43286	GSC Enterprises, Inc.	2012-0090-PST-E	HOPKINS	8/5/2012	\$3,880	\$776	\$3,104	\$0
PST	42131	MAKARA ENTERPRISE LP	2011-1277-PST-E	HUNT	8/5/2012	\$2,250	\$450	\$1,800	\$0
PST	43389	Fort Bend Independent School District	2012-0199-PST-E	FORT BEND	8/5/2012	\$1,000	\$200	\$800	\$0
PST	42411	Al-Royal Inc.	2011-1539-PST-E	GRIMES	8/5/2012	\$2,004	\$400	\$1,604	\$0
PST	43685	Aneeka & Safeer, Inc.	2012-0505-PST-E	HARRIS	8/5/2012	\$2,000	\$400	\$1,600	\$0
PST	43481	Mexia Independent School District	2012-0296-PST-E	LIMESTONE	8/5/2012	\$2,000	\$400	\$1,600	\$0
PST	43567	Dallas County Schools	2012-0392-PST-E	DALLAS	8/5/2012	\$2,500	\$500	\$2,000	\$0
PST	43530	TT & C LLC	2012-0349-PST-E	JEFFERSON	8/5/2012	\$3,547	\$709	\$2,838	\$0
PST	43195	SKY 2 C, INC.	2011-2361-PST-E	HARRIS	8/5/2012	\$5,500	\$1,100	\$4,400	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	43954	DANA, AMAL	2012-0797-PST-E	TARRANT	8/5/2012	\$875	\$0	\$875	\$0
PST	43134	Samira, Inc.	2011-2294-PST-E	HARRIS	8/5/2012	\$2,679	\$535	\$2,144	\$0
PST	43215	Victron Stores, L.P.	2012-0025-PST-E	ELLIS	8/5/2012	\$2,500	\$500	\$2,000	\$0
PST	41328	City of Pasadena	2011-0432-PST-E	HARRIS	8/5/2012	\$7,350	\$1,470	\$5,880	\$0
PST	43143	B. K. Trading, Inc.	2011-2301-PST-E	LAMAR	8/5/2012	\$6,129	\$1,225	\$4,904	\$0
PST	43420	Rand Oil Co, LP	2012-0226-PST-E	LIMESTONE	8/5/2012	\$2,250	\$450	\$1,800	\$0
PST	43527	Crete Carrier Corporation	2012-0352-PST-E	DALLAS	8/5/2012	\$2,016	\$403	\$1,613	\$0
PST	43395	Comfort Country Store, Inc.	2012-0205-PST-E	KENDALL	8/5/2012	\$2,250	\$450	\$1,800	\$0
PST	43611	KEVINS TEXAS QUICK STOP INC	2012-0416-PST-E	OLDHAM	8/5/2012	\$2,054	\$410	\$1,644	\$0
PST	43299	ALIS MARKET INC	2012-0104-PST-E	KAUFMAN	8/5/2012	\$2,250	\$450	\$1,800	\$0
PST	43313	RASANA INC	2012-0115-PST-E	KAUFMAN	8/5/2012	\$3,500	\$700	\$2,800	\$0
PST	42593	City of Lockhart	2011-1718-PST-E	CALDWELL	8/5/2012	\$1,875	\$375	\$1,500	\$0
PST	42691	MALLOY GROUP INC	2011-2006-PST-E	DALLAS	8/5/2012	\$5,625	\$1,125	\$4,500	\$0
PST	43353	New Mart Corporation	2012-0193-PST-E	HARRIS	8/5/2012	\$2,550	\$510	\$2,040	\$0
PST	42882	Naqib Enterprises, Inc.	2011-2068-PST-E	HARRIS	8/5/2012	\$6,120	\$1,224	\$4,896	\$0
PST	42959	Hasina Inc.	2011-2104-PST-E	HARRIS	8/5/2012	\$1,625	\$325	\$1,300	\$0
PST	42915	City of Dallas *	2011-2048-PST-E	DALLAS	8/5/2012	\$2,500	\$500	\$2,000	\$0
PST	43126	ZAMAN, MUHAMMAD	2011-2284-PST-E	SAN PATRICIO	8/5/2012	\$1,754	\$350	\$1,404	\$0
PST	43063	FAA HOUSTON TRACON DISTRICT 190	2011-2218-PST-E	HARRIS	8/5/2012	\$1,875	\$375	\$1,500	\$0
PST	43429	Corporation of the Presiding Bishop of the Church of Jesus Christ of Latter-day Saints	2012-0219-PST-E	DALLAS	8/5/2012	\$1,875	\$375	\$1,500	\$0
PST	43180	DANIEL, GILBERT JR *	2012-0009-PST-E	FREESTONE	8/5/2012	\$3,875	\$775	\$3,100	\$0
PST	43349	Sahar Enterprises, Inc.	2012-0161-PST-E	HARRIS	8/5/2012	\$4,179	\$835	\$3,344	\$0
PST	43028	EKN Corporation	2011-2256-PST-E	HARRIS	8/5/2012	\$2,554	\$510	\$2,044	\$0
PST	43449	Jiva Corporation Inc	2012-0262-PST-E	HARRIS	8/5/2012	\$1,975	\$395	\$1,580	\$0
PST	43199	JAMES, DAVID T *	2011-2368-PST-E	FREESTONE	8/5/2012	\$3,881	\$776	\$3,105	\$0
PST	43571	STOWE, MARK *	2012-0375-PST-E	ANGELINA	8/5/2012	\$1,876	\$375	\$1,501	\$0
PST	42342	Sira C-Store, Inc.	2011-1496-PST-E	HARRIS	8/5/2012	\$2,250	\$450	\$1,800	\$0
PST	43344	DV ENTERPRISES INC	2012-0158-PST-E	ELLIS	8/5/2012	\$3,885	\$777	\$3,108	\$0
PST	43633	MANILA CORPORATION	2012-0430-PST-E	HARRIS	8/5/2012	\$4,500	\$900	\$3,600	\$0
PST	43010	Jacinto Enterprises, Inc.	2011-2203-PST-E	MAVERICK	8/5/2012	\$2,180	\$436	\$1,744	\$0
PST	42745	ALIBHAI, BAHADURALI G	2011-1898-PST-E	DALLAS	8/5/2012	\$2,000	\$400	\$1,600	\$0
PST	42534	City of Arlington	2011-1707-PST-E	TARRANT	8/5/2012	\$6,100	\$1,220	\$0	\$4,880
PST	43265	Con-way Freight Inc.	2012-0077-PST-E	DALLAS	8/5/2012	\$2,625	\$525	\$2,100	\$0
PST	43548	VST Enterprises Inc	2012-0372-PST-E	DALLAS	8/5/2012	\$1,300	\$260	\$1,040	\$0
PST	43153	Pioneer Food Mart Inc	2011-2311-PST-E	VAN ZANDT	8/5/2012	\$2,379	\$475	\$1,904	\$0
PST	43319	Kea Investments, Inc.	2012-0129-PST-E	HENDERSON	8/12/2012	\$2,250	\$450	\$1,800	\$0
PST	43463	Ruby Enterprises, Inc.	2012-0272-PST-E	HARRIS	8/12/2012	\$2,250	\$450	\$1,800	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	43524	DUVALL WILLIAM A	2012-0338-PST-E	MONTAGUE	8/12/2012	\$1,000	\$200	\$800	\$0
PST	43834	AL-AQSA ENTERPRISES INC	2012-0671-PST-E	CHEROKEE	8/12/2012	\$2,250	\$450	\$1,800	\$0
PST	43584	M & J One Stop LLC	2012-0390-PST-E	TARRANT	8/12/2012	\$6,112	\$1,222	\$4,890	\$0
PST	43167	CLAYBOURN, STEPHEN CRAIG	2011-2328-PST-E	JACK	8/12/2012	\$5,626	\$1,125	\$4,501	\$0
PST	43702	Southern Star Concrete, Inc.	2012-0514-PST-E	DALLAS	8/12/2012	\$2,250	\$450	\$1,800	\$0
PST	43099	AKSONS ENTERPRISE INC	2011-2255-PST-E	BEXAR	8/12/2012	\$2,500	\$500	\$2,000	\$0
PST	43564	ConocoPhillips Company	2012-0381-PST-E	HARRIS	8/12/2012	\$6,109	\$1,221	\$4,888	\$0
PST	43692	Duggan Industries, Inc.	2012-0619-PST-E	DALLAS	8/12/2012	\$1,999	\$399	\$1,600	\$0
PST	43725	S & T Food Mart Inc.	2012-0539-PST-E	DALLAS	8/12/2012	\$1,925	\$385	\$1,540	\$0
PST	43241	UHS of Texoma, Inc.	2012-0031-PST-E	GRAYSON	8/12/2012	\$2,250	\$450	\$1,800	\$0
PST	43726	PEAVY, BILLY	2012-0538-PST-E	HARDIN	8/12/2012	\$5,560	\$1,112	\$4,448	\$0
PST	43790	TORRES, JAVIER	2012-0604-PST-E	MAVERICK	8/12/2012	\$2,379	\$475	\$1,904	\$0
PST	43323	Imran Investments, Inc.	2012-0127-PST-E	GALVESTON	8/12/2012	\$5,306	\$1,061	\$4,245	\$0
PST	43379	Brothers Petroleum, Inc.	2012-0187-PST-E	BEXAR	8/12/2012	\$4,500	\$900	\$3,600	\$0
PST	43445	Sunshine VN1, L.P.	2012-0259-PST-E	HARRIS	8/12/2012	\$2,250	\$450	\$1,800	\$0
PST	43009	HAGERMAN, NAOMI	2011-2153-PST-E	WHEELER	8/12/2012	\$6,230	\$1,246	\$4,984	\$0
PST	43623	Mike's Broadway, Inc.	2012-0432-PST-E	GALVESTON	8/12/2012	\$2,482	\$496	\$1,986	\$0
PST	43772	Southstar Enterprises, Inc.	2012-0592-PST-E	HARRIS	8/12/2012	\$2,000	\$400	\$1,600	\$0
PST	43734	Aquatics, Inc.	2012-0546-PST-E	DALLAS	8/12/2012	\$4,413	\$882	\$3,531	\$0
PST	43440	Huma Corporation	2012-0250-PST-E	DALLAS	8/12/2012	\$2,961	\$592	\$2,369	\$0
PST	43399	Aldine Independent School District	2012-0208-PST-E	HARRIS	8/12/2012	\$6,660	\$1,332	\$5,328	\$0
PST	43072	JEET BUSINESS INC.	2012-0097-PST-E	HARRIS	8/12/2012	\$2,695	\$539	\$2,156	\$0
PST	43687	ADJP, INC.	2012-0492-PST-E	HARDIN	8/12/2012	\$2,754	\$550	\$2,204	\$0
PST	43639	LTHM Dallas - Operations, LLC	2012-0425-PST-E	DALLAS	8/12/2012	\$4,650	\$930	\$3,720	\$0
PST	43388	United Corporation	2012-0200-PST-E	LIMESTONE	8/12/2012	\$2,380	\$476	\$1,904	\$0
PST	43149	Cowboy Quick Stop Inc.	2011-2308-PST-E	KAUFMAN	8/12/2012	\$2,061	\$412	\$1,649	\$0
PST	43029	H & K Beverage Corp.	2011-2207-PST-E	DALLAS	8/17/2012	\$20,105	\$4,021	\$16,084	\$0
PST	43307	WESTOVER AND WESTOVER GROUP, LLC	2012-0119-PST-E	DALLAS	8/17/2012	\$15,971	\$3,194	\$12,777	\$0
PST	42878	ROCHA, RAY	2011-2038-PST-E	SABINE	8/17/2012	\$12,714	\$2,542	\$10,172	\$0
PST	42708	MC. D. C., Inc.	2011-1856-PST-E	JEFFERSON	8/17/2012	\$8,356	\$1,670	\$6,686	\$0
PST	42716	JIND INTERESTS LLC	2011-1870-PST-E	HARRIS	8/17/2012	\$11,715	\$0	\$11,715	\$0
PST	43177	F.T. Woods Construction Services, Inc.	2011-2354-PST-E	WILLIAMSON	8/17/2012	\$8,895	\$1,779	\$7,116	\$0
PST	41718	Zeam, Incorporated	2011-0823-PST-E	TARRANT	8/19/2012	\$5,500	\$0	\$5,500	\$0
PST	43076	T & M Beer and Wine, Inc.	2011-2245-PST-E	DALLAS	8/19/2012	\$5,100	\$0	\$5,100	\$0
PST	42603	INS Emerald, L.L.C.	2011-1760-PST-E	DALLAS	8/19/2012	\$7,125	\$0	\$7,125	\$0
PST	42768	YU, KUN WON	2011-1929-PST-E	DALLAS	8/19/2012	\$7,449	\$0	\$7,449	\$0
PST	42604	Nas and S Corporation	2011-1757-PST-E	DALLAS	8/19/2012	\$5,624	\$0	\$5,624	\$0
PST	42030	Hadi & Aga Store Management LLC	2011-1140-PST-E	TARRANT	8/19/2012	\$2,550	\$0	\$2,550	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	42456	Dania October LLC	2011-1594-PST-E	TARRANT	8/22/2012	\$7,500	\$0	\$7,500	\$0
PST	42717	DES Corporation	2011-1869-PST-E	MONTAGUE	8/22/2012	\$9,987	\$0	\$9,987	\$0
PST	41631	RASHID INC.	2011-0730-PST-E	HARRIS	8/22/2012	\$1,350	\$0	\$1,350	\$0
PST	41964	SM & LEE INC	2011-1076-PST-E	DALLAS	8/22/2012	\$2,629	\$0	\$2,629	\$0
PST	43523	NGUYEN, TRIEU MINH	2012-0336-PST-E	HUTCHINSON	8/26/2012	\$2,380	\$476	\$1,904	\$0
PST	43526	Phuquy Enterprises Inc	2012-0337-PST-E	HARRIS	8/26/2012	\$2,000	\$400	\$1,600	\$0
PST	43181	J. D. Abrams, L. P.	2011-2344-PST-E	HARRIS	8/26/2012	\$2,004	\$400	\$1,604	\$0
PST	43832	MDM FARM SUPPLY & SERVICE, INC.	2012-0656-PST-E	HANSFORD	8/26/2012	\$2,383	\$476	\$1,907	\$0
PST	43648	Rinzim Investments, Inc.	2012-0453-PST-E	JEFFERSON	8/26/2012	\$7,079	\$1,415	\$5,664	\$0
PST	43233	Starline, Inc.	2012-0063-PST-E	HENDERSON	8/26/2012	\$2,006	\$401	\$1,605	\$0
PST	43431	Petroleum Wholesale, L.P.	2012-0241-PST-E	HARRIS	8/26/2012	\$2,538	\$507	\$2,031	\$0
PST	43574	Limestone County	2012-0380-PST-E	LIMESTONE	8/26/2012	\$6,000	\$1,200	\$0	\$4,800
PST	43355	YOUSEF, IMRAN	2012-0167-PST-E	HARRIS	8/26/2012	\$2,629	\$525	\$2,104	\$0
PST	43234	New A Malik, Inc.	2012-0059-PST-E	KAUFMAN	8/26/2012	\$2,250	\$450	\$1,800	\$0
PST	43369	SAJJAD, MOHAMMAD	2012-0177-PST-E	JEFFERSON	8/26/2012	\$7,176	\$1,434	\$5,742	\$0
PST	43706	Harris County Hospital District	2012-0520-PST-E	HARRIS	8/26/2012	\$1,875	\$375	\$1,500	\$0
PST	43450	Hoodbro Enterprises, Inc.	2012-0261-PST-E	DALLAS	8/26/2012	\$5,740	\$1,148	\$4,592	\$0
PST	42690	Centergas Fuels, Inc.	2011-1844-PST-E	*MULTIPLE	8/26/2012	\$4,370	\$873	\$3,497	\$0
PST	43743	Rockwall Beverage Center, Inc.	2012-0558-PST-E	DALLAS	8/26/2012	\$4,475	\$895	\$3,580	\$0
PST	43715	Sawani Enterprises, Inc.	2012-0532-PST-E	HARRIS	8/26/2012	\$1,755	\$351	\$1,404	\$0
PST	43579	PADGETT, HENRY L	2012-0377-PST-E	LEON	8/26/2012	\$2,630	\$526	\$2,104	\$0
PST	43331	TMT, Inc.	2012-0130-PST-E	DALLAS	8/26/2012	\$4,520	\$904	\$3,616	\$0
PST	43475	SUPERIOR USA CARWASH INC.	2012-0288-PST-E	HARRIS	8/26/2012	\$750	\$150	\$600	\$0
PST	43878	Houston Country Club	2012-0725-PST-E	HARRIS	8/26/2012	\$2,625	\$525	\$2,100	\$0
PST	43720	Majestic Fuel Supplies, LLC	2012-0534-PST-E	DALLAS	8/26/2012	\$3,000	\$600	\$2,400	\$0
PST	43712	United Fuel & Energy Corporation	2012-0529-PST-E	ANDREWS	8/26/2012	\$3,751	\$750	\$3,001	\$0
PST	43552	Kincheloe Oil Company	2012-0360-PST-E	DALLAS	8/26/2012	\$2,017	\$403	\$1,614	\$0
PST	43553	Yaa Ali Corporation	2012-0382-PST-E	SAN JACINTO	8/27/2012	\$8,879	\$0	\$8,879	\$0
PST	43392	Samrod Corporation	2012-0234-PST-E	DALLAS	8/27/2012	\$7,693	\$1,538	\$6,155	\$0
PST	41868	Tristar Convenience Stores, Inc.	2011-0978-PST-E	HARRIS	8/27/2012	\$30,780	\$0	\$30,780	\$0
PST	42816	SCOBEE, RANDY	2011-1958-PST-E	WICHITA	8/27/2012	\$13,600	\$0	\$13,600	\$0
PST	42888	City of Fort Worth	2011-2033-PST-E	TARRANT	8/27/2012	\$8,000	\$1,600	\$6,400	\$0
PST	40743	New Sarr Corporation	2010-1890-PST-E	TARRANT	8/27/2012	\$9,197	\$5,597	\$3,600	\$0
PST	43582	Ash-Jen Properties, Inc.	2012-0385-PST-E	SAN PATRICIO	8/27/2012	\$11,000	\$2,200	\$8,800	\$0
PST	42315	One Stop Food Stores, Inc.	2011-1370-PST-E	DALLAS	8/27/2012	\$11,237	\$0	\$11,237	\$0
PST	41651	Town & Country Food Stores, Inc.	2011-0751-PST-E	LAMB	8/27/2012	\$8,750	\$0	\$8,750	\$0
PST	43577	S A K GROCERY INC.	2012-0391-PST-E	BRAZOS	8/27/2012	\$8,329	\$1,665	\$6,664	\$0
PST	42096	PLANO SHELL INC	2011-1235-PST-E	TARRANT	8/27/2012	\$24,525	\$0	\$24,525	\$0

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## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	42884	CELINA SHELL FOOD MART, INC	2011-2023-PST-E	COLLIN	8/27/2012	\$39,573	\$7,914	\$31,659	\$0
PST	42978	Momentum Investment, Inc.	2011-2124-PST-E	CHAMBERS	8/27/2012	\$34,850	\$6,970	\$27,880	\$0
PST	42737	SAANIYA & ARMAAN EXPRESS MART INC	2011-1900-PST-E	BRAZOS	8/27/2012	\$10,494	\$2,098	\$8,396	\$0
PST	43644	NORAMI ENTERPRISES INC	2012-0447-PST-E	WHARTON	8/27/2012	\$8,006	\$1,601	\$6,405	\$0
<b>Subtotal for PST : 803</b>						<b>\$4,279,030</b>	<b>\$570,847</b>	<b>\$3,643,259</b>	<b>\$64,924</b>
PWS	34348	Evelyn Patricia Walker	2010-0901-PWS-E	POLK	9/2/2011	\$3,960	\$0	\$3,960	\$0
PWS	40501	HUSTEAD, YETTA	2010-1633-PWS-E	BRAZORIA	9/2/2011	\$1,953	\$0	\$1,953	\$0
PWS	41068	RV Express Amarillo, LLC	2011-0163-PWS-E	POTTER	9/16/2011	\$2,136	\$0	\$2,136	\$0
PWS	40335	A Texas Blue Moon Corporation *	2010-1445-PWS-E	TRAVIS	9/16/2011	\$1,784	\$0	\$1,784	\$0
PWS	41411	Gulflander Partners Group, L.P.	2011-0505-PWS-E		9/16/2011	\$660	\$132	\$528	\$0
PWS	39920	RICHARD J DUDA	2010-1023-PWS-E	KAUFMAN	9/16/2011	\$3,542	\$0	\$3,542	\$0
PWS	41340	BLAIR, RAY W	2011-0434-PWS-E	DENTON	9/16/2011	\$172	\$0	\$172	\$0
PWS	40988	Leon Junction Water Supply Corporation	2011-0082-PWS-E	CORYELL	9/16/2011	\$1,288	\$257	\$1,031	\$0
PWS	41415	Cracker Barrel, Inc.	2011-0518-PWS-E	VICTORIA	10/2/2011	\$949	\$189	\$760	\$0
PWS	39925	Weatherford Holdings, L.P.	2010-1103-PWS-E	PARKER	10/2/2011	\$7,667	\$0	\$7,667	\$0
PWS	41427	Janie Riddle	2011-0536-PWS-E	PARKER	10/2/2011	\$3,098	\$0	\$3,098	\$0
PWS	41272	Skidmore Water Supply Corporation	2011-0370-PWS-E	BEE	10/2/2011	\$1,280	\$256	\$1,024	\$0
PWS	41529	City Of Roaring Springs	2011-0620-PWS-E	MOTLEY	10/2/2011	\$275	\$55	\$220	\$0
PWS	40305	GILLOTT, DAVID T	2010-1418-PWS-E	WISE	10/6/2011	\$1,785	\$0	\$1,785	\$0
PWS	40613	GENERAL SAMS OFF ROAD PARK, INC.	2010-1769-PWS-E	WALKER	10/6/2011	\$3,185	\$0	\$3,185	\$0
PWS	41388	Pallet Companies, Inc.	2011-0488-PWS-E	HARRIS	10/17/2011	\$2,558	\$0	\$2,558	\$0
PWS	40775	Matt Boren *	2010-1954-PWS-E	SHELBY	10/17/2011	\$2,628	\$0	\$2,628	\$0
PWS	38136	FULLER, VIRGINIA FRANKLIN	2009-1295-PWS-E	LUBBOCK	10/17/2011	\$2,799	\$0	\$2,799	\$0
PWS	41566	Heart O' Texas Council of the Boy Scouts of America	2011-0651-PWS-E	BELL	10/17/2011	\$3,352	\$0	\$3,352	\$0
PWS	41548	Clara Hills Civic Association	2011-0696-PWS-E	BURLESON	10/17/2011	\$422	\$0	\$422	\$0
PWS	40500	Savs Investments, Inc.	2010-1634-PWS-E	BLANCO	10/17/2011	\$3,920	\$0	\$3,920	\$0
PWS	39951	Jaycee's Children Center, Inc.	2010-1070-PWS-E	HARRIS	10/17/2011	\$3,407	\$0	\$3,407	\$0
PWS	37830	Mirando City Water Supply Corporation	2011-0318-PWS-E	WEBB	10/17/2011	\$4,389	\$0	\$4,389	\$0
PWS	41421	OCP -Tres Rios LLC	2011-0535-PWS-E	SOMERVELL	10/17/2011	\$3,034	\$0	\$3,034	\$0
PWS	40797	BENITEZ, OSCAR	2010-1939-PWS-E	FORT BEND	10/22/2011	\$3,077	\$0	\$3,077	\$0
PWS	39615	Lake Corpus Christi RV Park & Marina, L.L.C.	2010-0737-PWS-E	LIVE OAK	10/22/2011	\$1,681	\$0	\$1,681	\$0
PWS	40355	5510 Acorn L.L.C.	2010-1471-PWS-E	HARRIS	11/3/2011	\$23,268	\$0	\$23,268	\$0
PWS	40129	City of Scottsville	2010-1254-PWS-E	HARRISON	11/3/2011	\$4,372	\$0	\$4,372	\$0
PWS	39336	City of Alpine	2010-0421-PWS-E	BREWSTER	11/3/2011	\$7,270	\$0	\$0	\$7,270
PWS	41644	American Pioneer Investments, Inc.	2011-0763-PWS-E	HARRIS	11/3/2011	\$2,124	\$424	\$1,700	\$0
PWS	41602	Copperas Cove MHC, LLC	2011-0694-PWS-E	CORYELL	11/3/2011	\$1,732	\$0	\$866	\$866
PWS	37771	Moore's Water System of Beaver Lake, Inc. *	2011-0596-PWS-E	MCLENNAN	11/6/2011	\$576	\$0	\$576	\$0
PWS	37288	City of Stanton	2011-0752-PWS-E	MARTIN	11/18/2011	\$895	\$0	\$895	\$0

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Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	41524	City Of Menard	2011-0708-PWS-E	MENARD	11/18/2011	\$767	\$153	\$614	\$0
PWS	41840	City of Anson	2011-0958-PWS-E	JONES	11/18/2011	\$940	\$188	\$752	\$0
PWS	40782	Medina, Dora	2010-1918-PWS-E	EL PASO	11/18/2011	\$5,656	\$0	\$5,656	\$0
PWS	41309	Daves's Roofing Siding and Metal Buildings LLC	2011-0435-PWS-E	LUBBOCK	11/18/2011	\$5,379	\$0	\$5,379	\$0
PWS	41591	Village Farms, L.P.	2011-0686-PWS-E	*MULTIPLE	11/18/2011	\$4,807	\$0	\$2,404	\$2,403
PWS	41300	MATA, LORENZO E	2011-0324-WOC-E	WEBB	11/18/2011	\$1,125	\$225	\$900	\$0
PWS	41816	SOUTH LAKE PARK SERVICES INC	2011-0927-PWS-E	TARRANT	11/18/2011	\$1,000	\$0	\$1,000	\$0
PWS	41689	BRUSH COUNTRY DEVELOPMENT CORPORATION	2011-0796-PWS-E	DUVAL	11/18/2011	\$488	\$97	\$391	\$0
PWS	41211	HUTCHESON, CECIL D	2011-0309-PWS-E	LUBBOCK	11/23/2011	\$324	\$0	\$324	\$0
PWS	41891	MOORE, JOHN L *	2011-1008-PWS-E	MCLENNAN	12/8/2011	\$4,138	\$0	\$4,138	\$0
PWS	41820	City of Center	2011-0951-PWS-E	SHELBY	12/19/2011	\$855	\$171	\$684	\$0
PWS	41693	City of Lawn	2011-0798-PWS-E	TAYLOR	12/19/2011	\$1,732	\$0	\$1,732	\$0
PWS	39125	SOLIMAN, MOSTAFA A	2010-0222-PWS-E	WALLER	12/19/2011	\$3,748	\$0	\$3,748	\$0
PWS	41271	Tlaloc Outdoors, Inc.	2011-0361-PWS-E	VAL VERDE	12/19/2011	\$1,163	\$0	\$1,163	\$0
PWS	34461	T S Ranch & Retreat, Inc.	2010-1069-PWS-E	PARKER	12/19/2011	\$910	\$0	\$910	\$0
PWS	41710	City of Kerrville	2011-0825-PWS-E	KERR	12/19/2011	\$695	\$0	\$695	\$0
PWS	41610	University of Texas of the Permian Basin	2011-0709-PWS-E	MIDLAND	12/19/2011	\$913	\$0	\$0	\$913
PWS	36524	Highland Park Water Supply Corporation	2010-1944-PWS-E	BOSQUE	12/19/2011	\$650	\$0	\$650	\$0
PWS	42072	HORN, CHARLES KENNETH	2011-1192-PWS-E	BURNET	12/19/2011	\$1,376	\$0	\$1,376	\$0
PWS	41823	NELIUS, HOWARD T	2011-0937-PWS-E	AUSTIN	12/19/2011	\$2,697	\$0	\$2,697	\$0
PWS	41746	City of Whitney	2011-0856-PWS-E	HILL	12/19/2011	\$2,500	\$500	\$2,000	\$0
PWS	41804	City of Ackerly	2011-0917-PWS-E	DAWSON	12/19/2011	\$825	\$165	\$660	\$0
PWS	40126	Arthur Thompson Post No. 8905, Veteran of Foreign Wars of the United States Cypress Texas	2010-1241-PWS-E	HARRIS	1/5/2012	\$4,375	\$0	\$4,375	\$0
PWS	41775	ABDELHAK, MARDOCHE	2011-0888-PWS-E	BEXAR	1/8/2012	\$8,697	\$0	\$8,697	\$0
PWS	41510	Savs Investments, Inc.	2011-0635-PWS-E	BLANCO	1/8/2012	\$2,597	\$0	\$2,597	\$0
PWS	36668	BILLINGS, RICHARD	2011-1046-PWS-E	GUADALUPE	1/8/2012	\$1,531	\$0	\$1,531	\$0
PWS	41318	SOLALINDE, ALFREDO M	2011-0469-PWS-E	HARRIS	1/8/2012	\$1,478	\$0	\$1,478	\$0
PWS	40608	LYBARGER, LOYAL	2010-1755-MLM-E	HARRIS	1/8/2012	\$2,620	\$0	\$2,620	\$0
PWS	41405	Consumers Water Inc.	2011-0501-UTL-E	HARRIS	1/8/2012	\$10,946	\$0	\$10,946	\$0
PWS	42107	Happy Hill Farm Children's Home, Inc.	2011-1318-PWS-E	SOMERVELL	1/27/2012	\$3,480	\$0	\$3,480	\$0
PWS	41877	Olmito Water Supply Corporation	2011-0990-PWS-E	CAMERON	1/27/2012	\$6,240	\$0	\$6,240	\$0
PWS	42105	ZARS, LIEF	2011-1229-PWS-E	KENDALL	1/27/2012	\$4,743	\$0	\$4,743	\$0
PWS	40302	White Oak Estates MHC LLC	2010-1574-PWS-E	MONTGOMERY	1/27/2012	\$5,532	\$0	\$5,532	\$0
PWS	41760	Whitharral Water and Sewer Service Supply Corporation	2011-0874-PWS-E	HOCKLEY	1/27/2012	\$950	\$0	\$950	\$0
PWS	41918	PRINCESS, INC.	2011-1028-PWS-E	HARRIS	2/5/2012	\$6,854	\$0	\$6,854	\$0
PWS	41947	City of Rochester	2011-1044-PWS-E	HASKELL	2/5/2012	\$1,145	\$0	\$1,145	\$0
PWS	41968	Margarito Flores *	2011-1084-PWS-E	GILLESPIE	2/5/2012	\$3,165	\$0	\$3,165	\$0

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Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	42077	Union Tank Car Company	2011-1196-PWS-E	HARRIS	2/10/2012	\$870	\$174	\$696	\$0
PWS	41325	MORGENTHALER, EDWIN T	2011-0415-PWS-E	BRAZORIA	2/10/2012	\$2,297	\$459	\$1,838	\$0
PWS	41402	Suburban Utility Co	2011-0499-UTL-E	HARRIS	2/13/2012	\$5,348	\$0	\$5,348	\$0
PWS	41403	Community Utility Company	2011-0500-UTL-E	HARRIS	2/13/2012	\$1,080	\$0	\$1,080	\$0
PWS	42171	BABB, TERRY L SR	2011-1313-PWS-E	HENDERSON	2/16/2012	\$1,932	\$0	\$1,932	\$0
PWS	41856	CHRISTOPHER W DUNCAN	2011-0970-PWS-E	ANGELINA	2/16/2012	\$330	\$0	\$330	\$0
PWS	42006	RICHARD J DUDA	2011-1126-PWS-E	KAUFMAN	2/16/2012	\$2,904	\$0	\$2,904	\$0
PWS	36172	SULLIVAN, RICHARD	2011-0911-PWS-E	LUBBOCK	2/16/2012	\$28,954	\$0	\$28,954	\$0
PWS	41621	DESAI, PRATAP	2011-0721-PWS-E	HARRIS	2/18/2012	\$7,942	\$0	\$7,942	\$0
PWS	41828	DENSMAN, KENNETH D	2011-0941-PWS-E	VICTORIA	2/18/2012	\$5,105	\$0	\$5,105	\$0
PWS	42000	City of Liberty	2011-1103-PWS-E	LIBERTY	2/25/2012	\$2,440	\$488	\$1,952	\$0
PWS	41552	BLACK, MELISSA *	2011-0641-PWS-E	ERATH	2/25/2012	\$2,243	\$0	\$2,243	\$0
PWS	41996	Shauna Lynn McGee LLC	2011-1102-PWS-E	TRAVIS	2/25/2012	\$2,788	\$0	\$2,788	\$0
PWS	42538	City of Ballinger	2011-1671-PWS-E	RUNNELS	3/3/2012	\$368	\$0	\$368	\$0
PWS	41764	Central Bowie County Water Supply Corporation	2011-0873-PWS-E	BOWIE	3/3/2012	\$3,634	\$726	\$2,908	\$0
PWS	41925	A&M Silver, Inc.	2011-1037-PWS-E	HARRIS	3/3/2012	\$1,516	\$303	\$1,213	\$0
PWS	40600	City of Bartlett	2010-1749-PWS-E	WILLIAMSON	3/3/2012	\$672	\$134	\$538	\$0
PWS	42261	River Bend Water Services, Inc.	2011-1409-PWS-E	MATAGORDA	3/3/2012	\$422	\$0	\$422	\$0
PWS	42056	Deanville Water Supply Corporation	2011-1174-PWS-E	BURLESON	3/16/2012	\$3,480	\$0	\$3,480	\$0
PWS	40652	Peach Creek Dam & Lake Club	2010-1807-PWS-E	MONTGOMERY	3/16/2012	\$8,449	\$8,449	\$0	\$0
PWS	42367	CHARLIE BROWN'S LEARNING CENTER	2011-1509-PWS-E	LUBBOCK	3/16/2012	\$3,590	\$0	\$3,590	\$0
PWS	41854	PEREZ, ELIZABETH	2011-0957-PWS-E	WEBB	3/16/2012	\$6,002	\$0	\$6,002	\$0
PWS	42169	THE UNIVERSAL CHURCH	2011-1312-PWS-E	EL PASO	3/16/2012	\$3,397	\$0	\$3,397	\$0
PWS	42036	Stone Gate Golf Course, L.C.	2011-1172-PWS-E	LUBBOCK	3/18/2012	\$534	\$106	\$428	\$0
PWS	42008	Bartley Woods WSC	2011-1104-PWS-E	FANNIN	3/18/2012	\$160	\$32	\$128	\$0
PWS	42037	Perry Wsc	2011-1154-PWS-E	FALLS	3/18/2012	\$287	\$57	\$230	\$0
PWS	41841	Minsa Corporation	2011-0949-PWS-E	BAILEY	3/18/2012	\$3,994	\$798	\$3,196	\$0
PWS	42511	City of Ranger	2011-1674-PWS-E	EASTLAND	3/18/2012	\$270	\$54	\$216	\$0
PWS	42336	ROMERO, GERARDO M *	2011-1483-PWS-E	HIDALGO	4/5/2012	\$3,010	\$0	\$3,010	\$0
PWS	40291	AMK Properties L L C	2010-1405-PWS-E	BEXAR	4/5/2012	\$7,370	\$0	\$7,370	\$0
PWS	42398	BOROFF, ESTHER E	2011-1526-PWS-E	ECTOR	4/5/2012	\$1,807	\$0	\$1,807	\$0
PWS	41933	Sahil Management Ltd.	2011-1045-PWS-E	BEXAR	4/5/2012	\$4,249	\$0	\$4,249	\$0
PWS	37429	DOLEN, DANNY J	2010-1837-PWS-E	LIMESTONE	4/5/2012	\$897	\$0	\$897	\$0
PWS	42434	Morton Valley WSC	2011-1588-PWS-E	EASTLAND	4/8/2012	\$265	\$53	\$212	\$0
PWS	42285	MACGINNIS, JAMES L	2011-1445-WOC-E	TYLER	4/8/2012	\$1,688	\$337	\$1,351	\$0
PWS	42778	City of Hewitt	2011-1923-PWS-E	MCLENNAN	4/8/2012	\$630	\$126	\$504	\$0
PWS	42633	City of Cottonwood Shores	2011-1779-PWS-E	BURNET	4/8/2012	\$654	\$130	\$524	\$0
PWS	42623	White River Development Co., Inc.	2011-1778-PWS-E	CROSBY	4/8/2012	\$250	\$50	\$200	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	42446	Mosheim Wsc	2011-1571-PWS-E	BOSQUE	4/8/2012	\$360	\$72	\$288	\$0
PWS	42698	Laguna Tres, Inc.	2011-1845-PWS-E	HOOD	4/8/2012	\$310	\$62	\$248	\$0
PWS	42288	Carroll Water Supply Corporation	2011-1446-PWS-E	SMITH	4/21/2012	\$570	\$114	\$456	\$0
PWS	42637	Acton MUD	2011-1780-PWS-E	HOOD	4/21/2012	\$1,430	\$286	\$1,144	\$0
PWS	42536	City of Bellevue	2011-1673-PWS-E	CLAY	4/21/2012	\$1,143	\$228	\$915	\$0
PWS	41460	Monticello Drive Estates, Inc.	2011-0554-PWS-E	PARKER	4/21/2012	\$1,570	\$314	\$1,256	\$0
PWS	42076	Park Hills Baptist Church of Austin, Texas	2011-1205-PWS-E	TRAVIS	4/21/2012	\$1,210	\$242	\$968	\$0
PWS	42892	Roosevelt Independent School District	2011-2031-PWS-E	LUBBOCK	4/21/2012	\$100	\$20	\$80	\$0
PWS	42938	Hidalgo County Municipal Utility District 1	2011-2087-PWS-E	HIDALGO	4/21/2012	\$1,160	\$232	\$928	\$0
PWS	42388	Palo Duro Service Company, Inc.	2011-1582-MLM-E	WISE	4/21/2012	\$939	\$187	\$752	\$0
PWS	42935	City of Robinson	2011-2077-PWS-E	MCLENNAN	4/21/2012	\$768	\$153	\$615	\$0
PWS	42544	NATIONAL RETAIL PROPERTIES, INC.	2011-1694-PWS-E	POTTER	4/21/2012	\$4,263	\$852	\$3,411	\$0
PWS	42340	City of Runaway Bay	2011-1487-PWS-E	WISE	4/21/2012	\$377	\$0	\$377	\$0
PWS	42051	Seaboard International, Inc.	2011-1173-PWS-E	HARRIS	4/23/2012	\$840	\$0	\$840	\$0
PWS	42859	Al's Investments Inc DBA Al's North Texas Property Management, Inc.	2011-1993-PWS-E	WISE	4/23/2012	\$6,220	\$0	\$6,220	\$0
PWS	41707	FENOGLIO, DAVID A	2011-0824-PWS-E	MONTAGUE	4/25/2012	\$4,238	\$0	\$4,238	\$0
PWS	42202	WHITE, CURTIS	2011-1333-PWS-E	SAN AUGUSTINE	4/25/2012	\$2,077	\$0	\$2,077	\$0
PWS	42104	Buena Vista WSC	2011-1245-PWS-E	SHELBY	5/12/2012	\$4,289	\$857	\$3,432	\$0
PWS	42284	Warren Water Supply Corporation	2011-1428-PWS-E	TYLER	5/12/2012	\$2,075	\$415	\$1,660	\$0
PWS	42883	The Bitter Creek WSC	2011-2025-PWS-E	NOLAN	5/12/2012	\$272	\$54	\$218	\$0
PWS	42283	Eola Water Supply Corporation	2011-1451-MLM-E	CONCHO	5/12/2012	\$2,225	\$445	\$1,780	\$0
PWS	42168	HUTCHESON, CECIL D	2011-1315-PWS-E	LUBBOCK	5/13/2012	\$21,030	\$0	\$21,030	\$0
PWS	41928	High Gabriel Water Supply Corporation	2011-1040-PWS-E	WILLIAMSON	5/13/2012	\$3,841	\$0	\$3,841	\$0
PWS	42334	City of Shallowater	2011-1491-PWS-E	LUBBOCK	5/24/2012	\$1,276	\$255	\$1,021	\$0
PWS	42699	Monarch Utilities I L.P.	2011-1846-PWS-E	TYLER	5/24/2012	\$886	\$177	\$709	\$0
PWS	42898	Morgan Mill WSC	2011-2040-PWS-E	ERATH	5/24/2012	\$440	\$88	\$352	\$0
PWS	42885	Aqua Texas, Inc.	2011-2022-PWS-E	HAYS	5/24/2012	\$312	\$62	\$250	\$0
PWS	42991	Creedmoor-MAHA Water Supply Corporation	2011-2148-PWS-E	TRAVIS	5/24/2012	\$387	\$77	\$310	\$0
PWS	42634	City of O'Brien	2011-1781-PWS-E	HASKELL	5/24/2012	\$1,976	\$395	\$1,581	\$0
PWS	42645	City of Caddo Mills	2011-1796-PWS-E	HUNT	5/25/2012	\$1,165	\$0	\$1,165	\$0
PWS	42893	Texan Tulip, Inc.	2011-2030-PWS-E	GALVESTON	5/25/2012	\$1,360	\$0	\$1,360	\$0
PWS	42779	CCI-MBM II, L.P.	2011-1924-PWS-E	BRAZORIA	6/7/2012	\$727	\$145	\$582	\$0
PWS	42447	Beltran, Jose O. *	2011-1653-PWS-E	STARR	6/7/2012	\$612	\$122	\$490	\$0
PWS	42682	DLUGOSCH, PETE A	2011-1831-PWS-E	DEWITT	6/7/2012	\$325	\$65	\$260	\$0
PWS	43203	Upper Leon River Municipal Water District	2011-2365-PWS-E	COMANCHE	6/7/2012	\$680	\$136	\$544	\$0
PWS	42987	P C S Development Company	2011-2141-PWS-E	ORANGE	6/7/2012	\$346	\$69	\$277	\$0
PWS	42609	Dragon Products, Ltd.	2011-1750-PWS-E	HARDIN	6/7/2012	\$675	\$135	\$540	\$0

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PWS	43013	Nico Tyme Water Co-op, Inc.	2011-2163-PWS-E	BEXAR	6/7/2012	\$1,083	\$216	\$867	\$0
PWS	42572	Taylor Petroleum Companies, Inc.	2011-1702-PWS-E	LUBBOCK	6/8/2012	\$4,355	\$0	\$4,355	\$0
PWS	41881	RICHTER, FRANCISCA	2011-0992-PWS-E	EL PASO	6/8/2012	\$1,620	\$0	\$1,620	\$0
PWS	42019	City of Adrian	2011-1165-PWS-E	OLDHAM	6/8/2012	\$3,262	\$0	\$3,262	\$0
PWS	41995	Derby Water Supply Corporation	2011-1101-PWS-E	FRIO	6/14/2012	\$5,010	\$0	\$5,010	\$0
PWS	41935	WILLIAMS, PATRICIA *	2011-1073-PWS-E	MIDLAND	6/14/2012	\$10,938	\$0	\$10,938	\$0
PWS	41390	HORVATH, SHAWN M	2011-0489-PWS-E	DENTON	6/14/2012	\$10,991	\$0	\$10,991	\$0
PWS	42569	Line Camp Partners, LLC	2011-1717-PWS-E	HOOD	6/15/2012	\$1,828	\$0	\$1,828	\$0
PWS	43132	City of Gregory	2011-2289-PWS-E	SAN PATRICIO	6/17/2012	\$2,470	\$494	\$1,976	\$0
PWS	43068	NORTH HUNT SUD	2011-2214-PWS-E	HUNT	6/17/2012	\$1,340	\$267	\$1,073	\$0
PWS	42839	Rio WSC	2011-1974-PWS-E	STARR	6/17/2012	\$2,773	\$554	\$2,219	\$0
PWS	42988	Gonzales County Water Supply Corporation	2011-2140-PWS-E	GONZALES	6/17/2012	\$5,786	\$1,156	\$4,630	\$0
PWS	42539	Buchanan Lake Village, Inc.	2011-1670-PWS-E	LLANO	6/22/2012	\$1,575	\$0	\$1,575	\$0
PWS	42224	Chinatown Waterworks, Inc.	2011-1383-PWS-E	WILLIAMSON	6/28/2012	\$1,084	\$0	\$1,084	\$0
PWS	42173	Nigton-Wakefield Water Supply Corporation	2011-1322-PWS-E	TRINITY	7/6/2012	\$1,564	\$0	\$1,564	\$0
PWS	41658	First Baptist Church of Jonestown, Texas	2011-0765-PWS-E	TRAVIS	7/6/2012	\$2,610	\$0	\$2,610	\$0
PWS	42848	Munson Point Property Owners Association	2011-1986-PWS-E	GRAYSON	7/6/2012	\$1,055	\$0	\$1,055	\$0
PWS	43767	BERRY, BRANDON J	2012-0581-WOC-E	MCLENNAN	7/8/2012	\$175	\$0	\$175	\$0
PWS	42102	REEVES, KAREN	2011-1240-PWS-E	COOKE	7/8/2012	\$6,121	\$1,223	\$4,898	\$0
PWS	42146	Catholic Charities of the Archdiocese of Galveston-Houston	2011-1293-PWS-E	HARRIS	7/8/2012	\$1,200	\$240	\$960	\$0
PWS	40615	WONG, RAYMOND	2010-1763-UTL-E	HARRIS	7/15/2012	\$420	\$84	\$336	\$0
PWS	43771	HALE, WILLIAM A	2012-0579-WOC-E	KLEBERG	7/15/2012	\$175	\$0	\$175	\$0
PWS	43432	City of Goldthwaite	2012-0240-PWS-E	MILLS	7/15/2012	\$172	\$34	\$138	\$0
PWS	43489	Cimarron Municipal Utility District	2012-0305-PWS-E	HARRIS	7/15/2012	\$1,500	\$300	\$1,200	\$0
PWS	43360	Segovia Inc.	2012-0175-PWS-E	KIMBLE	7/15/2012	\$107	\$21	\$86	\$0
PWS	42092	STEWART, STEVEN P *	2011-1230-PWS-E	LIMESTONE	7/26/2012	\$4,313	\$0	\$4,313	\$0
PWS	41982	GONZALES, RAMON C JR	2011-1099-PWS-E	MIDLAND	7/26/2012	\$3,938	\$0	\$3,938	\$0
PWS	43224	Smith, William Donald	2012-0021-PWS-E	HARRIS	7/27/2012	\$140	\$0	\$140	\$0
PWS	42581	O'Neal, Robert R.	2011-1716-PWS-E	HARRIS	7/27/2012	\$2,442	\$0	\$2,442	\$0
PWS	42828	City of Eagle Pass Water Works System	2011-1967-PWS-E	MAVERICK	8/2/2012	\$5,913	\$0	\$5,913	\$0
PWS	42537	SESSO, MICHAEL	2011-1672-PWS-E	VAL VERDE	8/2/2012	\$1,995	\$0	\$1,995	\$0
PWS	42016	Presidio County Water Improvement District 1	2011-1127-PWS-E	PRESIDIO	8/2/2012	\$2,037	\$0	\$2,037	\$0
PWS	42246	VANOVER, RHONDA C *	2011-1390-PWS-E	LUBBOCK	8/2/2012	\$5,679	\$0	\$5,679	\$0
PWS	42992	HIBDON, STEVEN A *	2011-2147-PWS-E	BANDERA	8/5/2012	\$3,599	\$719	\$2,880	\$0
PWS	43275	Woodlake Josserand WSC	2012-0070-PWS-E	TRINITY	8/5/2012	\$729	\$145	\$584	\$0
PWS	43405	South Kirbyville Rural Water Supply Corporation	2012-0247-MLM-E	JASPER	8/12/2012	\$402	\$80	\$322	\$0
PWS	43656	Laneville Water Supply Corporation	2012-0458-PWS-E	RUSK	8/12/2012	\$106	\$20	\$86	\$0

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PWS	43358	Faulkey Gully MUD	2012-0168-PWS-E	HARRIS	8/12/2012	\$612	\$122	\$490	\$0
PWS	43364	City Of Robert Lee	2012-0173-PWS-E	COKE	8/12/2012	\$588	\$117	\$471	\$0
PWS	43255	Duchman, Ltd.	2012-0053-PWS-E	HAYS	8/12/2012	\$550	\$110	\$440	\$0
PWS	43501	Val Verde County WCID Comstock	2012-0321-PWS-E	VAL VERDE	8/12/2012	\$920	\$184	\$736	\$0
PWS	43267	BRADBERRY, TIMOTHY M	2012-0071-PWS-E	WISE	8/12/2012	\$1,144	\$228	\$916	\$0
PWS	42082	GARZA, HENRY M	2011-1281-PWS-E	HAYS	8/17/2012	\$2,892	\$0	\$2,892	\$0
PWS	41873	MORRIS, LESLIE L	2011-0982-PWS-E	HARRIS	8/17/2012	\$1,151	\$0	\$1,151	\$0
PWS	42128	K C Utilities, Inc.	2011-1270-PWS-E	BRAZORIA	8/17/2012	\$1,000	\$0	\$1,000	\$0
PWS	42644	Sam's Truck Stop Business, Inc.	2011-1792-PWS-E	CULBERSON	8/17/2012	\$3,340	\$0	\$3,340	\$0
PWS	41653	Ms. Molly's LLC	2011-0764-PWS-E	COLORADO	8/17/2012	\$3,476	\$0	\$3,476	\$0
PWS	41944	LEGGETT, PAUL	2011-1067-PWS-E	PANOLA	8/17/2012	\$5,279	\$0	\$5,279	\$0
PWS	43652	Hilco United Services, Inc.	2012-0477-PWS-E	HILL	8/26/2012	\$1,605	\$321	\$1,284	\$0
PWS	43107	City of Weslaco	2011-2260-PWS-E	HIDALGO	8/26/2012	\$1,111	\$222	\$889	\$0
PWS	43259	Baker Hughes Oilfield Operations, Inc.	2012-0056-PWS-E	WALLER	8/26/2012	\$1,015	\$203	\$812	\$0
PWS	42927	Lakeshore Utility Company	2011-2073-PWS-E	HENDERSON	8/26/2012	\$350	\$70	\$280	\$0
PWS	43130	Texas H2O, Inc.	2011-2334-PWS-E	HOOD	8/26/2012	\$1,220	\$244	\$976	\$0
PWS	43581	Debbie Block	2012-0414-MLM-E	ORANGE	8/26/2012	\$279	\$55	\$224	\$0
PWS	43230	Waller County Mobile Home Water Corporation	2012-0020-PWS-E	WALLER	8/27/2012	\$117	\$0	\$117	\$0
PWS	41976	Donald E Crane	2011-1125-PWS-E	MIDLAND	8/27/2012	\$8,551	\$0	\$8,551	\$0
<b>Subtotal for PWS : 201</b>						<b>\$542,288</b>	<b>\$28,976</b>	<b>\$501,860</b>	<b>\$11,452</b>
WATEI	41806	Mewbourne Oil Company	2011-0936-WR-E	OCHILTREE	9/16/2011	\$350	\$0	\$350	\$0
WATEI	41958	R. K. Hall Construction, LTD.	2011-1092-WR-E	GRAYSON	10/17/2011	\$350	\$0	\$350	\$0
WATEI	41957	Knife River Corporation - South	2011-1094-WR-E	KAUFMAN	10/17/2011	\$875	\$0	\$875	\$0
WATEI	41883	B. C. SPRABERRY FARMING & RANCHING, LTD.	2011-1004-WR-E	JONES	11/3/2011	\$750	\$150	\$600	\$0
WATEI	42116	Fluid Disposal Specialties, Inc.	2011-1267-WR-E	SAN AUGUSTINE	11/18/2011	\$350	\$0	\$350	\$0
WATEI	40869	CITY CONCRETE, INC.	2010-2071-WR-E	JACK	12/19/2011	\$500	\$100	\$400	\$0
WATEI	42189	Regal Energy Operating, L.L.C.	2011-1378-WR-E	WISE	3/3/2012	\$850	\$170	\$680	\$0
WATEI	42545	PATEL, RAY	2011-1667-WR-E	DENTON	3/18/2012	\$350	\$0	\$350	\$0
WATEI	42850	FRIDAY, CHRIS	2011-2020-WR-E	SHELBY	3/18/2012	\$350	\$0	\$350	\$0
WATEI	42800	Ebeling Water Supply Corporation	2011-1975-WR-E	HALE	6/7/2012	\$525	\$105	\$420	\$0
WATEI	42912	Ricky Bonds Septic Systems & Water Wells LLC	2011-2065-WR-E	WALLER	6/8/2012	\$3,350	\$670	\$2,680	\$0
WATEI	42940	ESE WE MAKE TRACKS INC	2011-2086-WR-E	MONTGOMERY	7/8/2012	\$6,368	\$1,273	\$5,095	\$0
WATEI	42733	Devon Energy Production Company, L.P.	2011-1876-WR-E	WISE	7/8/2012	\$1,850	\$370	\$1,480	\$0
WATEI	42917	PINTO CONSTRUCTION CO INC	2011-2061-WR-E	NACOGDOCHES	7/8/2012	\$7,414	\$1,482	\$5,932	\$0
WATEI	43892	WTL Sand and Gravel, L.L.C.	2012-0716-WR-E	GRAY	8/5/2012	\$875	\$0	\$875	\$0
WATEI	44010	WALLER, CLARENCE	2012-0846-WR-E	SHACKELFORD	8/5/2012	\$350	\$0	\$350	\$0
WATEI	43232	Pecan Plantation Owners Association, Inc.	2012-0144-WR-E	HOOD	8/12/2012	\$250	\$50	\$200	\$0
WATEI	43123	Lenmo, Inc.	2011-2281-WR-E	HOOD	8/12/2012	\$250	\$50	\$200	\$0

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WATEI	42775	Sugartree, Inc.	2011-1921-WR-E	PARKER	8/12/2012	\$1,500	\$300	\$1,200	\$0
WATEI	42305	CANAN OPERATING INC	2011-1453-WR-E	CLAY	8/17/2012	\$4,850	\$0	\$4,850	\$0
WATEI	42651	FRERICH MATERIALS, LLC	2011-1849-WR-E	RUNNELS	8/19/2012	\$2,868	\$0	\$2,868	\$0
<b>Subtotal for WATERIGHT : 21</b>						<b>\$35,175</b>	<b>\$4,720</b>	<b>\$30,455</b>	<b>\$0</b>
WQ	39837	LAMENDOLA, MICHAEL	2010-0942-WQ-E	TRAVIS	9/2/2011	\$7,700	\$0	\$7,700	\$0
WQ	41208	ExxonMobil Oil Corporation	2011-0300-IWD-E	JEFFERSON	9/16/2011	\$23,900	\$4,780	\$9,560	\$9,560
WQ	41423	City of Tatum	2011-0528-MWD-E	RUSK	9/16/2011	\$11,120	\$2,224	\$0	\$8,896
WQ	41321	Horizon Regional Municipal Utility District	2011-0422-MWD-E	EL PASO	9/16/2011	\$3,420	\$684	\$2,736	\$0
WQ	41251	City of San Marcos	2011-0336-EAQ-E	HAYS	9/16/2011	\$4,000	\$800	\$0	\$3,200
WQ	40639	City of Bridgeport	2010-1786-MWD-E	WISE	9/16/2011	\$37,020	\$37,020	\$0	\$0
WQ	41273	City of Rosebud	2011-0367-MWD-E	FALLS	9/16/2011	\$9,100	\$1,820	\$7,280	\$0
WQ	41519	JARVIS CHRISTIAN COLLEGE	2011-0609-MWD-E	WOOD	9/16/2011	\$1,313	\$262	\$1,051	\$0
WQ	41598	Hydro Conduit of Texas, LP	2011-0690-IWD-E	BRAZORIA	9/16/2011	\$1,300	\$260	\$1,040	\$0
WQ	41959	Trican Well Service, L.P.	2011-1082-WQ-E	SAN PATRICIO	9/16/2011	\$700	\$0	\$700	\$0
WQ	41287	Forestar (USA) Real Estate Group Inc.	2011-0423-EAQ-E	WILLIAMSON	9/16/2011	\$7,500	\$1,500	\$3,000	\$3,000
WQ	41509	City of Raymondville	2011-0607-MWD-E	WILLACY	9/16/2011	\$7,150	\$1,430	\$5,720	\$0
WQ	41237	City of Bogata	2011-0327-MWD-E	RED RIVER	9/16/2011	\$4,550	\$0	\$4,550	\$0
WQ	40684	Exide Technologies	2010-1818-IWD-E	COLLIN	9/16/2011	\$32,940	\$6,588	\$26,352	\$0
WQ	41313	FALCON HOSPITALITY, INC.	2011-0408-EAQ-E	WILLIAMSON	9/16/2011	\$3,000	\$600	\$2,400	\$0
WQ	41410	Victoria County Wcid 1	2011-0526-MWD-E	VICTORIA	9/16/2011	\$8,360	\$0	\$8,360	\$0
WQ	40151	MITCHELL JR, ARTHUR	2010-1276-SLG-E	RUSK	9/16/2011	\$3,053	\$610	\$2,443	\$0
WQ	41344	Quail Creek MUD	2011-0443-MWD-E	VICTORIA	9/16/2011	\$805	\$161	\$644	\$0
WQ	41280	Zapata County	2011-0371-MWD-E	ZAPATA	10/2/2011	\$13,200	\$2,640	\$0	\$10,560
WQ	41305	City of Lefors	2011-0493-MWD-E	GRAY	10/2/2011	\$3,550	\$0	\$3,550	\$0
WQ	41452	City Of Lexington	2011-0549-MWD-E	LEE	10/2/2011	\$6,670	\$1,334	\$0	\$5,336
WQ	41507	Upper Leon River Municipal Water District	2011-0601-MWD-E	COMANCHE	10/2/2011	\$4,960	\$992	\$3,968	\$0
WQ	41467	Quarters, LLC	2011-0565-MWD-E	HARRIS	10/2/2011	\$1,202	\$240	\$962	\$0
WQ	41091	Texas Parks and Wildlife Department	2011-0221-MWD-E	TAYLOR	10/2/2011	\$1,380	\$276	\$0	\$1,104
WQ	41565	City of Coolidge	2011-0665-MWD-E	LIMESTONE	10/2/2011	\$1,820	\$364	\$1,456	\$0
WQ	41336	West Wise Special Utility District	2011-0438-WQ-E	WISE	10/2/2011	\$4,200	\$840	\$0	\$3,360
WQ	41400	Fort Bend County MUD 130	2011-0494-MWD-E	FORT BEND	10/2/2011	\$14,840	\$0	\$14,840	\$0
WQ	41360	Lake Municipal Utility District	2011-0455-MWD-E	HARRIS	10/2/2011	\$1,200	\$240	\$960	\$0
WQ	41227	City of Pampa	2011-0316-MWD-E	GRAY	10/2/2011	\$9,500	\$1,900	\$7,600	\$0
WQ	41544	City of Killeen	2011-0634-WQ-E	BELL	10/17/2011	\$10,000	\$0	\$10,000	\$0
WQ	41385	City of Calvert	2011-0476-MWD-E	ROBERTSON	10/17/2011	\$6,464	\$0	\$0	\$6,464
WQ	41781	Bentina Homes, Ltd.	2011-1091-WQ-E	BELL	10/17/2011	\$700	\$0	\$700	\$0
WQ	41137	Clean Harbors Deer Park, L.P.	2011-0253-IWD-E	HARRIS	10/17/2011	\$23,900	\$4,780	\$9,560	\$9,560
WQ	41433	Llano Ready Mix, Inc.	2011-0531-IWD-E	LLANO	10/17/2011	\$1,200	\$240	\$960	\$0

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Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	33143	Advantage Asphalt Products, Ltd.	2009-1305-WQ-E	ARMSTRONG	10/17/2011	\$16,032	\$0	\$16,032	\$0
WQ	41000	Westfield Mobil Home Community, Ltd. dba Westfield Mobile Home Park	2011-0113-MWD-E	HARRIS	10/22/2011	\$4,800	\$0	\$4,800	\$0
WQ	40737	ATC Transport, L.L.C.	2010-1876-WQ-E	WEBB	10/22/2011	\$1,050	\$0	\$1,050	\$0
WQ	41536	Atchley Lumber & Supply, Inc. *	2011-0627-WQ-E	TRINITY	11/3/2011	\$1,050	\$210	\$840	\$0
WQ	41526	Wallach Concrete, Inc.	2011-0618-IWD-E	ANDREWS	11/3/2011	\$3,360	\$672	\$2,688	\$0
WQ	41749	Prairiland ISD	2011-0867-MWD-E	LAMAR	11/3/2011	\$500	\$100	\$400	\$0
WQ	41355	Fall Creek Utility Company, Inc.	2011-0525-MWD-E	HOOD	11/3/2011	\$8,960	\$1,792	\$7,168	\$0
WQ	41694	Circle T Promotions, Ltd.	2011-0811-MWD-E	HAMILTON	11/3/2011	\$5,680	\$1,136	\$4,544	\$0
WQ	32428	City of Bartlett	2010-1257-MWD-E	BELL	11/3/2011	\$35,772	\$0	\$35,772	\$0
WQ	41579	C/B/K DOMINION DEVELOPMENT LTD	2011-0661-EAQ-E	BEXAR	11/3/2011	\$750	\$150	\$600	\$0
WQ	42075	PIXLEY, ROBERT W	2011-1243-OSI-E	POLK	11/3/2011	\$175	\$0	\$175	\$0
WQ	39581	Beyer, Jimmy Gaylon	2010-0742-WQ-E	ERATH	11/3/2011	\$15,750	\$0	\$15,750	\$0
WQ	41520	T.F.R. ENTERPRISES, INC	2011-0610-EAQ-E	WILLIAMSON	11/3/2011	\$1,875	\$375	\$1,500	\$0
WQ	41264	Texas A & M University at Galveston Development	2011-0378-MWD-E	GALVESTON	11/3/2011	\$1,140	\$228	\$912	\$0
WQ	42108	BEHRENS, JACKIE	2011-1247-OSI-E	MCCULLOCH	11/3/2011	\$210	\$0	\$210	\$0
WQ	41304	Bloomington Independent School District	2011-0395-MWD-E	VICTORIA	11/3/2011	\$3,008	\$0	\$3,008	\$0
WQ	41630	Rapido Redi-Mix Inc *	2011-0728-IWD-E	HARRIS	11/3/2011	\$1,400	\$280	\$1,120	\$0
WQ	40630	US Department of the Army	2010-1783-IWD-E	BEXAR	11/3/2011	\$361	\$0	\$361	\$0
WQ	39143	Jackson County Water Control and Improvement District No. 2	2010-0259-MWD-E	JACKSON	11/3/2011	\$11,280	\$0	\$11,280	\$0
WQ	40817	Head Family Partnership, Ltd.	2010-1960-IWD-E	HARRISON	11/6/2011	\$6,355	\$0	\$6,355	\$0
WQ	41100	South Fort Worth RV Ranch, LLC	2011-0193-MWD-E	JOHNSON	11/6/2011	\$5,220	\$0	\$5,220	\$0
WQ	40147	J. B. Services Construction, Inc.	2010-1267-WQ-E	BRAZORIA	11/6/2011	\$3,000	\$0	\$3,000	\$0
WQ	39025	TRIPLETTE, RONALD	2010-0097-SLG-E	BRAZOS	11/6/2011	\$7,000	\$0	\$7,000	\$0
WQ	37063	DIAMOND T RANCH DEVELOPMENT INC	2009-0105-EAQ-E	BEXAR	11/6/2011	\$2,600	\$0	\$2,600	\$0
WQ	38762	Big D Hazmat, Inc. *	2009-1905-IWD-E	GREGG	11/16/2011	\$10,000	\$0	\$10,000	\$0
WQ	41628	White Oak Utilities, Inc.	2011-0723-MWD-E	MONTGOMERY	11/18/2011	\$11,340	\$0	\$11,340	\$0
WQ	41448	City of Gilmer	2011-0551-MWD-E	UPSHUR	11/18/2011	\$14,025	\$2,805	\$11,220	\$0
WQ	41112	Aqua Development, Inc.	2011-0230-MWD-E	TRAVIS	11/18/2011	\$4,582	\$916	\$3,666	\$0
WQ	41647	City of Byers	2011-0743-MWD-E	CLAY	11/18/2011	\$7,285	\$1,457	\$5,828	\$0
WQ	40729	City of Sonora	2010-1891-MWD-E	SUTTON	11/18/2011	\$30,986	\$0	\$30,986	\$0
WQ	41115	J & N Development, Inc.	2011-0209-EAQ-E	WILLIAMSON	11/18/2011	\$3,060	\$612	\$2,448	\$0
WQ	41618	Magellan Terminals Holdings, L.P.	2011-0717-IWD-E	HARRIS	11/18/2011	\$2,660	\$532	\$2,128	\$0
WQ	42115	XTO Energy Inc.	2011-1269-WQ-E	SHELBY	11/18/2011	\$700	\$0	\$700	\$0
WQ	41486	City of Pearland	2011-0585-MWD-E	BRAZORIA	11/18/2011	\$8,700	\$1,740	\$6,960	\$0
WQ	42110	Black Canyon Construction Company	2011-1268-WQ-E	KENDALL	11/18/2011	\$700	\$0	\$700	\$0
WQ	41794	City of Murchison	2011-0907-MWD-E	HENDERSON	11/18/2011	\$5,406	\$1,081	\$4,325	\$0
WQ	41687	City of Harker Heights	2011-0810-MWD-E	BELL	11/18/2011	\$9,700	\$0	\$9,700	\$0

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WQ	38544	DeBERRY FORESTRY & WILDLIFE MANAGMENT, LLC	2011-0243-WQ-E	UPSHUR	11/18/2011	\$15,000	\$0	\$15,000	\$0
WQ	41446	BP Products North America Inc.	2011-0615-IWD-E	GALVESTON	11/18/2011	\$15,225	\$3,045	\$12,180	\$0
WQ	41190	Star G Construction, LLC.	2011-0285-WQ-E	PARKER	11/23/2011	\$1,050	\$0	\$1,050	\$0
WQ	41071	ROBINSON, KAREN	2011-0183-OSS-E	FORT BEND	11/23/2011	\$525	\$0	\$525	\$0
WQ	41009	Langguth, Robert	2011-0104-WQ-E	BELL	11/23/2011	\$2,200	\$0	\$2,200	\$0
WQ	41546	PK-RE Development Company, Inc	2011-0027-MWD-E	TRAVIS	12/19/2011	\$4,177	\$835	\$3,342	\$0
WQ	41134	City of La Joya	2011-0244-MWD-E	HIDALGO	12/19/2011	\$16,700	\$3,340	\$0	\$13,360
WQ	40076	Stone Hedge Utility Co., Inc.	2010-1185-MWD-E	MONTGOMERY	12/19/2011	\$13,994	\$0	\$13,994	\$0
WQ	39840	Daffron Partners, Ltd.	2010-0937-WQ-E	TRAVIS	12/19/2011	\$1,050	\$0	\$1,050	\$0
WQ	41770	Country Club Retirement Community, L.P.	2011-0883-MWD-E	HILL	12/19/2011	\$990	\$198	\$792	\$0
WQ	41793	United States Army Corps of Engineers	2011-0908-MWD-E	WILLIAMSON	12/19/2011	\$14,700	\$2,940	\$11,760	\$0
WQ	41645	National Oilwell Varco, L.P.	2011-0742-IWD-E	HARRIS	12/19/2011	\$1,380	\$276	\$1,104	\$0
WQ	42186	New Birmingham Resources, LLC	2011-1361-WQ-E	CHEROKEE	12/19/2011	\$700	\$0	\$700	\$0
WQ	41893	PIXLEY, ROBERT W	2011-1010-OSI-E	POLK	12/19/2011	\$225	\$45	\$180	\$0
WQ	41444	Hereford Renewable Energy, LLC *	2011-0664-IWD-E	DEAF SMITH	12/19/2011	\$1,000	\$200	\$800	\$0
WQ	42118	City of Mount Vernon	2011-1257-MWD-E	FRANKLIN	12/19/2011	\$1,520	\$304	\$1,216	\$0
WQ	41728	City of Lott	2011-0833-MWD-E	FALLS	12/19/2011	\$9,579	\$1,915	\$7,664	\$0
WQ	41673	Gerald Osterkamp *	2011-0813-OSS-E	BAILEY	12/19/2011	\$750	\$150	\$600	\$0
WQ	41676	Prestige Gunitite of North Texas, LTD.	2011-0785-IWD-E	TARRANT	12/19/2011	\$4,460	\$892	\$3,568	\$0
WQ	42117	Firestone Polymers, LLC	2011-1258-IWD-E	ORANGE	12/19/2011	\$7,900	\$1,580	\$6,320	\$0
WQ	41807	JARRELL INDEPENDENT SCHOOL DISTRICT	2011-0919-MWD-E	WILLIAMSON	12/19/2011	\$6,930	\$1,386	\$0	\$5,544
WQ	41495	Sebastian Municipal Utility District	2011-0594-MWD-E	CAMERON	12/19/2011	\$2,457	\$491	\$1,966	\$0
WQ	41690	Esperanza Water Service Company, Inc.	2011-0791-IWD-E	HUDSPETH	12/19/2011	\$4,857	\$971	\$3,886	\$0
WQ	41223	City of Dilley	2011-0347-MWD-E	FRIO	12/19/2011	\$5,290	\$1,058	\$4,232	\$0
WQ	41692	Lajitas Municipal Services Company LLC	2011-0793-MWD-E	BREWSTER	12/19/2011	\$1,260	\$252	\$1,008	\$0
WQ	41473	Petroleum Wholesale, L.P.	2011-0571-IWD-E	HARRIS	12/19/2011	\$13,125	\$2,625	\$10,500	\$0
WQ	40513	City of Sabinal	2010-1656-MWD-E	UVALDE	12/19/2011	\$10,720	\$0	\$10,720	\$0
WQ	41961	City of Killeen	2011-1072-WQ-E	BELL	12/19/2011	\$5,000	\$0	\$5,000	\$0
WQ	40725	Zapata County	2010-1950-MWD-E	ZAPATA	12/19/2011	\$74,860	\$0	\$0	\$74,860
WQ	41582	Bilma PUD	2011-0683-MWD-E	HARRIS	12/19/2011	\$990	\$198	\$792	\$0
WQ	41480	Trailer Wheel & Frame Co.	2011-0579-OSS-E	HARRIS	12/19/2011	\$1,756	\$351	\$1,405	\$0
WQ	41713	City of Lone Star	2011-0812-MWD-E	MORRIS	12/19/2011	\$4,120	\$824	\$3,296	\$0
WQ	41667	Stone Hedge Utility Co., Inc.	2011-0776-MWD-E	MONTGOMERY	1/8/2012	\$40,347	\$0	\$40,347	\$0
WQ	41205	ZAMORA, ALEX	2011-0304-OSI-E	EL PASO	1/8/2012	\$736	\$0	\$736	\$0
WQ	41067	Class Ready Mix	2011-0161-IWD-E	*MULTIPLE	1/8/2012	\$14,501	\$0	\$14,501	\$0
WQ	40622	Meadowland Utility Corporation	2010-1767-MWD-E	*MULTIPLE	1/8/2012	\$132,302	\$0	\$132,302	\$0
WQ	41226	VALDEZ, EDUARDO	2011-0315-OSS-E	BRAZORIA	1/8/2012	\$1,100	\$0	\$1,100	\$0
WQ	41083	City of Jacksonville	2011-0203-MWD-E	CHEROKEE	1/27/2012	\$43,702	\$8,740	\$0	\$34,962

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WQ	41914	AVG-Austin, LP	2011-1035-EAQ-E	TRAVIS	1/27/2012	\$15,400	\$3,080	\$12,320	\$0
WQ	41442	Oda, Mike	2011-0544-MWD-E	FORT BEND	1/27/2012	\$12,575	\$2,515	\$10,060	\$0
WQ	40477	City of Carrollton	2010-1619-WQ-E	DALLAS	1/27/2012	\$23,600	\$0	\$0	\$23,600
WQ	41857	Trinity Bay Conservation District	2011-0969-MWD-E	CHAMBERS	1/27/2012	\$20,925	\$4,185	\$0	\$16,740
WQ	40497	Calabrian Corporation	2010-1631-IWD-E	JEFFERSON	1/27/2012	\$38,870	\$0	\$38,870	\$0
WQ	41960	Sadlers Smokehouse Ltd	2011-1066-WQ-E	RUSK	1/27/2012	\$18,600	\$0	\$18,600	\$0
WQ	41191	Gulf Copper & Manufacturing Corporation	2011-0284-IWD-E	GALVESTON	1/27/2012	\$11,426	\$2,285	\$4,571	\$4,570
WQ	39993	K C Utilities, Inc.	2010-1111-MWD-E	BRAZORIA	1/27/2012	\$34,510	\$0	\$34,510	\$0
WQ	42023	City of Madisonville	2011-1131-MWD-E	MADISON	2/5/2012	\$7,860	\$1,572	\$0	\$6,288
WQ	41916	City of Commerce	2011-1032-MWD-E	HUNT	2/5/2012	\$38,800	\$0	\$0	\$38,800
WQ	42045	City of Hubbard	2011-1162-MWD-E	HILL	2/5/2012	\$20,400	\$0	\$0	\$20,400
WQ	41669	Pegasus Polymers Bebelux Inc.	2011-0775-IWD-E	HARRIS	2/5/2012	\$14,985	\$2,997	\$11,988	\$0
WQ	41316	City of Killeen *	2011-0420-WQ-E	BELL	2/5/2012	\$15,000	\$0	\$15,000	\$0
WQ	42090	City of Willow Park	2011-1238-MWD-E	PARKER	2/5/2012	\$8,850	\$0	\$8,850	\$0
WQ	42010	City of Nacogdoches	2011-1116-MWD-E	NACOGDOCHES	2/5/2012	\$8,300	\$1,660	\$6,640	\$0
WQ	41345	Prida Construction, Inc.	2011-0617-WQ-E	GALVESTON	2/10/2012	\$2,924	\$584	\$2,340	\$0
WQ	40908	New Waverly Sound Investments, LLC	2010-2066-WQ-E	WALLER	2/10/2012	\$2,500	\$0	\$2,500	\$0
WQ	41569	Mirando City Water Supply Corporation	2011-0666-MWD-E	WEBB	2/10/2012	\$1,155	\$231	\$924	\$0
WQ	41539	MOORE WATER SUPPLY CORPORATION	2011-0643-MWD-E	FRIO	2/10/2012	\$1,790	\$358	\$1,432	\$0
WQ	41752	Brownsville Navigation District	2011-0889-MWD-E	CAMERON	2/10/2012	\$1,512	\$302	\$1,210	\$0
WQ	41540	Dorsett Brothers Concrete Supply, Inc.	2011-0644-IWD-E	HARRIS	2/10/2012	\$1,400	\$280	\$1,120	\$0
WQ	42454	165 Howe, L.P.	2011-1587-WQ-E	DENTON	2/10/2012	\$700	\$0	\$700	\$0
WQ	42526	G & G Enterprises, Inc.	2011-1666-WQ-E	ORANGE	2/10/2012	\$700	\$0	\$700	\$0
WQ	42440	Edna Lumber Co., Inc.	2011-1567-WQ-E	COMAL	2/10/2012	\$700	\$0	\$700	\$0
WQ	42439	Prater Equipment Co., Inc.	2011-1568-WQ-E	PALO PINTO	2/10/2012	\$700	\$0	\$700	\$0
WQ	41773	City of Chandler	2011-0887-MWD-E	HENDERSON	2/10/2012	\$1,550	\$310	\$1,240	\$0
WQ	41114	Texas Concrete Enterprise, L.L.C.	2011-0207-IWD-E	HARRIS	2/10/2012	\$2,940	\$588	\$2,352	\$0
WQ	41803	City of Caldwell	2011-0929-MWD-E	BURLESON	2/10/2012	\$1,092	\$218	\$874	\$0
WQ	42259	Lutheran Outdoors Ministry of Texas, Inc.	2011-1408-MWD-E	FAYETTE	2/10/2012	\$6,080	\$1,216	\$4,864	\$0
WQ	41858	Bayou Pines LLC	2011-0973-MWD-E	ORANGE	2/10/2012	\$4,480	\$896	\$3,584	\$0
WQ	42437	ATLANTIC AVIATION CORPORATION	2011-1569-WQ-E	EL PASO	2/10/2012	\$700	\$0	\$700	\$0
WQ	41843	Study Butte WSC	2011-0965-IWD-E	BREWSTER	2/10/2012	\$7,140	\$1,428	\$5,712	\$0
WQ	42432	BUC-EE'S, LTD.	2011-1570-WQ-E	COMAL	2/10/2012	\$700	\$0	\$700	\$0
WQ	41638	ACME READYMIX LTD. LLP	2011-0754-IWD-E	JIM WELLS	2/13/2012	\$1,400	\$0	\$1,400	\$0
WQ	41118	Hamilton Custom Service, Inc.	2011-0216-SLG-E	YOUNG	2/16/2012	\$12,064	\$0	\$12,064	\$0
WQ	41457	Jatra International, Inc.	2011-0558-MWD-E	FREESTONE	2/16/2012	\$17,680	\$0	\$17,680	\$0
WQ	42457	City of Fort Worth	2011-1595-MWD-E	TARRANT	2/18/2012	\$10,000	\$0	\$0	\$10,000
WQ	41780	Hyder, Syed Nooridun	2011-0896-MWD-E	BRAZOS	2/18/2012	\$75,507	\$0	\$75,507	\$0

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WQ	40260	City of La Coste	2010-1373-MWD-E	MEDINA	2/18/2012	\$28,860	\$0	\$28,860	\$0
WQ	41193	C & R WATER SUPPLY INC.	2011-0342-MWD-E	MONTGOMERY	2/18/2012	\$23,419	\$4,683	\$18,736	\$0
WQ	41624	Aqua Development, Inc.	2011-0731-MWD-E	WISE	2/18/2012	\$10,525	\$0	\$10,525	\$0
WQ	36641	City of Kosse	2008-1629-MWD-E	LIMESTONE	2/18/2012	\$43,250	\$43,250	\$0	\$0
WQ	33751	Westex Capital, LTD.	2010-1491-WQ-E	KENDALL	2/18/2012	\$14,520	\$0	\$14,520	\$0
WQ	41774	City of Santa Rosa	2011-0886-MWD-E	CAMERON	2/18/2012	\$7,992	\$1,598	\$6,394	\$0
WQ	42191	McAllen Construction, Inc.	2011-1332-WQ-E	CAMERON	2/25/2012	\$750	\$150	\$600	\$0
WQ	42094	Aldine Independent School District	2011-1213-MWD-E	HARRIS	2/25/2012	\$2,720	\$544	\$2,176	\$0
WQ	42111	Aldine Independent School District	2011-1256-MWD-E	HARRIS	2/25/2012	\$6,700	\$1,340	\$5,360	\$0
WQ	41902	City of Floydada	2011-1019-MWD-E	FLOYD	2/25/2012	\$7,060	\$1,412	\$5,648	\$0
WQ	41847	MHC TT, Inc.	2011-0963-MWD-E	HILL	2/25/2012	\$1,000	\$200	\$800	\$0
WQ	42043	471 LAND, INC.	2011-1259-EAQ-E	MEDINA	2/25/2012	\$750	\$150	\$600	\$0
WQ	41600	HALL AND SONS TRANSPORT INC	2011-0698-WQ-E	BEXAR	2/25/2012	\$3,150	\$0	\$3,150	\$0
WQ	41949	BOZE ENTERPRISES II, LLC	2011-1057-SLG-E	HARRIS	2/25/2012	\$5,550	\$1,110	\$4,440	\$0
WQ	42395	City of Palestine	2011-1531-MWD-E	ANDERSON	3/3/2012	\$13,200	\$0	\$13,200	\$0
WQ	42055	City of Buffalo	2011-1183-MWD-E	LEON	3/3/2012	\$2,020	\$404	\$1,616	\$0
WQ	41719	City of Schertz	2011-0847-MWD-E	BEXAR	3/3/2012	\$1,150	\$230	\$920	\$0
WQ	41525	Tex Mix Partners, LTD	2011-0630-IWD-E	WILLIAMSON	3/3/2012	\$721	\$144	\$577	\$0
WQ	42377	MOTOROLA NORTHWEST PROPERTY OWNERS, INC.	2011-1532-EAQ-E	WILLIAMSON	3/3/2012	\$16,125	\$3,225	\$12,900	\$0
WQ	41683	CROSSWINDS I PARTNERSHIP LTD	2011-0797-EAQ-E	BEXAR	3/3/2012	\$5,000	\$1,000	\$4,000	\$0
WQ	41488	City of Newton	2011-0584-MWD-E	NEWTON	3/3/2012	\$5,370	\$1,074	\$4,296	\$0
WQ	42505	City of Mexia	2011-1644-MWD-E	LIMESTONE	3/16/2012	\$15,900	\$3,180	\$12,720	\$0
WQ	42138	Jarvis, Robert H.	2011-1284-MWD-E	HARRIS	3/16/2012	\$9,285	\$1,857	\$7,428	\$0
WQ	42273	Jasper County Wcid 1	2011-1426-MWD-E	JASPER	3/16/2012	\$6,150	\$0	\$6,150	\$0
WQ	40551	City of Tyler	2010-1697-MWD-E	SMITH	3/16/2012	\$41,400	\$0	\$0	\$41,400
WQ	42192	M.F. Site Construction, Inc.	2011-1331-WQ-E	CAMERON	3/18/2012	\$750	\$150	\$600	\$0
WQ	42401	City of Teague	2011-1542-MWD-E	FREESTONE	3/18/2012	\$4,040	\$808	\$3,232	\$0
WQ	42193	Gilbane Building Company	2011-1330-WQ-E	CAMERON	3/18/2012	\$750	\$150	\$600	\$0
WQ	42408	HAND, NEAIL	2011-1543-EAQ-E	TRAVIS	3/18/2012	\$900	\$180	\$720	\$0
WQ	42235	VIZZA WASH LIMITED PARTNERSHIP	2011-1389-MLM-E	WILLIAMSON	3/18/2012	\$1,500	\$300	\$1,200	\$0
WQ	42730	Ragin Construction, LLC	2011-1866-WQ-E	GREGG	3/18/2012	\$700	\$0	\$700	\$0
WQ	42337	City of Corrigan	2011-1498-MWD-E	POLK	3/18/2012	\$4,960	\$992	\$3,968	\$0
WQ	42654	Hallmark, Jerry	2011-1794-WQ-E	TAYLOR	3/18/2012	\$700	\$0	\$700	\$0
WQ	42657	Metzger Construction Company	2011-1795-WQ-E	MONTGOMERY	3/18/2012	\$700	\$0	\$700	\$0
WQ	42729	LAWSON, CHUCK	2011-1867-WQ-E	TAYLOR	3/18/2012	\$700	\$0	\$700	\$0
WQ	42150	City of Merkel	2011-1307-MWD-E	TAYLOR	3/18/2012	\$1,000	\$200	\$800	\$0
WQ	42087	Spring Center, Inc.	2011-1211-MWD-E	HARRIS	3/18/2012	\$4,020	\$804	\$3,216	\$0
WQ	41850	SEC Energy Products & Services, L.P.	2011-1003-MWD-E	HARRIS	3/18/2012	\$1,856	\$371	\$1,485	\$0

## Singles Programs

## Appendix 7: List of Administrative Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	42089	Northwest Harris County Municipal Utility District 32	2011-1212-MWD-E	HARRIS	3/18/2012	\$4,400	\$880	\$3,520	\$0
WQ	42590	Magnolia ISD	2011-1725-MWD-E	MONTGOMERY	3/18/2012	\$5,820	\$1,164	\$4,656	\$0
WQ	41470	City of Elsa	2011-0564-MWD-E	HIDALGO	4/1/2012	\$5,725	\$0	\$5,725	\$0
WQ	42530	City of Kerrville	2011-1664-MWD-E	KERR	4/5/2012	\$15,500	\$0	\$15,500	\$0
WQ	42465	Hudspeth County Water Control And Improvement District 1	2011-1628-MWD-E	HUDSPETH	4/5/2012	\$13,500	\$2,700	\$10,800	\$0
WQ	42620	City of Refugio	2011-1768-MWD-E	REFUGIO	4/5/2012	\$8,190	\$1,638	\$6,552	\$0
WQ	42247	Wilbarger Creek Municipal Utility District 2	2011-1423-MWD-E	TRAVIS	4/8/2012	\$3,585	\$717	\$2,868	\$0
WQ	41538	Williams Concrete Products, Inc.	2011-0629-IWD-E	DALLAS	4/8/2012	\$1,260	\$252	\$1,008	\$0
WQ	42215	El Paso County Water Control Improvement District 4	2011-1367-MWD-E	EL PASO	4/8/2012	\$6,250	\$1,250	\$5,000	\$0
WQ	42248	Boling Municipal Water District	2011-1422-MWD-E	WHARTON	4/8/2012	\$2,520	\$504	\$2,016	\$0
WQ	42180	DUVALL, MELISSA *	2011-1327-MLM-E	COMAL	4/8/2012	\$7,200	\$1,440	\$2,880	\$2,880
WQ	42612	Harris County Municipal Utility District 189	2011-1755-MWD-E	HARRIS	4/8/2012	\$5,190	\$1,038	\$4,152	\$0
WQ	42112	City of Cisco	2011-1248-MWD-E	EASTLAND	4/8/2012	\$7,320	\$1,464	\$5,856	\$0
WQ	42183	Town of Woodloch	2011-1326-MWD-E	MONTGOMERY	4/8/2012	\$5,100	\$1,020	\$4,080	\$0
WQ	42668	CMH Parks, Inc.	2011-1820-MWD-E	COLLIN	4/8/2012	\$1,070	\$214	\$856	\$0
WQ	42849	Park Avenue Construction Ltd	2011-2009-WQ-E	TOM GREEN	4/8/2012	\$700	\$0	\$700	\$0
WQ	42298	Aldine Independent School District	2011-1484-MWD-E	HARRIS	4/8/2012	\$5,760	\$1,152	\$4,608	\$0
WQ	42597	City of Carrizo Springs	2011-1736-MWD-E	DIMMIT	4/8/2012	\$4,410	\$882	\$3,528	\$0
WQ	42548	Stephenville Mobile Home Park, Ltd.	2011-1677-MWD-E	ERATH	4/8/2012	\$3,380	\$676	\$2,704	\$0
WQ	42275	Kaufman County Fresh Water Supply District No 1A	2011-1427-MWD-E	KAUFMAN	4/8/2012	\$5,550	\$1,110	\$4,440	\$0
WQ	42627	San Antonio Water System	2011-1774-EAQ-E	BEXAR	4/8/2012	\$1,500	\$300	\$0	\$1,200
WQ	43089	Caldwell Tanks, Inc.	2011-2233-WQ-E	ANDERSON	4/21/2012	\$700	\$0	\$700	\$0
WQ	42518	SPILLANE & ASSOCIATES, INC.	2011-1682-EAQ-E	WILLIAMSON	4/21/2012	\$1,500	\$300	\$1,200	\$0
WQ	42488	City of Streetman	2011-1624-MWD-E	FREESTONE	4/21/2012	\$5,844	\$1,168	\$4,676	\$0
WQ	42394	City of Bardwell	2011-1530-MWD-E	ELLIS	4/21/2012	\$4,110	\$822	\$3,288	\$0
WQ	42313	BOH Indian Springs TX, L.P.	2011-1499-EAQ-E	BEXAR	4/21/2012	\$1,875	\$375	\$1,500	\$0
WQ	42436	Texas Tile Manufacturing LLC	2011-1583-IWD-E	HARRIS	4/23/2012	\$8,484	\$1,696	\$6,788	\$0
WQ	42392	City of Brazoria	2011-1529-MWD-E	BRAZORIA	4/23/2012	\$14,920	\$0	\$14,920	\$0
WQ	41889	Cargill Meat Solutions Corporation	2011-1347-IWD-E	HALE	4/23/2012	\$12,700	\$2,540	\$10,160	\$0
WQ	42542	City of Roxton	2011-1679-MWD-E	LAMAR	4/23/2012	\$8,835	\$1,767	\$7,068	\$0
WQ	40268	Teen Challenge of Texas	2010-1380-MWD-E	NUECES	4/23/2012	\$23,595	\$0	\$23,595	\$0
WQ	42565	City of Lovelady	2011-1699-MWD-E	HOUSTON	4/23/2012	\$10,200	\$0	\$10,200	\$0
WQ	42656	Igloo Products Corp.	2011-1806-IWD-E	WALLER	4/23/2012	\$13,620	\$0	\$13,620	\$0
WQ	42805	Gary W Purser Construction Ltd.	2011-1931-WQ-E	BELL	5/12/2012	\$2,500	\$500	\$2,000	\$0
WQ	42686	Texas A&M University	2011-1832-MWD-E	JEFFERSON	5/12/2012	\$2,403	\$480	\$1,923	\$0
WQ	42228	Mahesh Investments-Lake Fork, L.L.C.	2011-1376-MWD-E	WOOD	5/12/2012	\$570	\$114	\$456	\$0
WQ	42272	Solhjou, Bahram	2011-1430-MWD-E	HARRIS	5/12/2012	\$3,528	\$705	\$2,823	\$0

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WQ	42724	City of Karnes City	2011-1883-MWD-E	KARNES	5/24/2012	\$1,200	\$240	\$960	\$0
WQ	42807	BREWER, RICKY	2011-1948-SLG-E	TRAVIS	5/24/2012	\$2,250	\$450	\$1,800	\$0
WQ	42501	Eola Water Supply Corporation	2011-1659-MWD-E	CONCHO	5/24/2012	\$1,940	\$388	\$1,552	\$0
WQ	42727	City of Muenster	2011-1873-MWD-E	COOKE	5/24/2012	\$1,920	\$384	\$1,536	\$0
WQ	42415	City of Newton	2011-1548-MWD-E	NEWTON	5/24/2012	\$7,300	\$1,460	\$5,840	\$0
WQ	42719	Azteca Milling, L. P.	2011-1885-IWD-E	HALE	5/24/2012	\$2,120	\$424	\$1,696	\$0
WQ	41945	Rice Consolidated Independent School District	2011-1114-MWD-E	COLORADO	5/24/2012	\$4,200	\$840	\$3,360	\$0
WQ	42844	City of Runaway Bay	2011-1982-MWD-E	WISE	5/24/2012	\$7,130	\$1,426	\$5,704	\$0
WQ	42508	AAA Sanitation, Inc.	2011-1642-SLG-E	SMITH	5/25/2012	\$12,960	\$2,592	\$10,368	\$0
WQ	42746	Peaster Independent School District Public Facility Corporation	2011-1901-MWD-E	PARKER	5/25/2012	\$7,300	\$0	\$7,300	\$0
WQ	43037	Northeast Texas Community College Foundation	2011-2188-MWD-E	TITUS	6/7/2012	\$5,678	\$1,135	\$4,543	\$0
WQ	43217	MOSSBURG, JACK	2012-0018-WQ-E	HARDIN	6/7/2012	\$700	\$0	\$700	\$0
WQ	42464	Ranch Utilities, L.P.	2011-1609-MWD-E	PARKER	6/7/2012	\$6,390	\$1,278	\$5,112	\$0
WQ	43218	Southern Crushed Concrete, LLC	2012-0017-WQ-E	MONTGOMERY	6/7/2012	\$700	\$0	\$700	\$0
WQ	43086	WARM SPRINGS FOUNDATION INC	2011-2247-MWD-E	GONZALES	6/7/2012	\$832	\$166	\$666	\$0
WQ	42755	Pitts Ready Mix of Burkburnett, Inc.	2011-1910-IWD-E	WICHITA	6/7/2012	\$5,978	\$1,195	\$4,783	\$0
WQ	42770	TACKETT, TERRY	2011-1926-SLG-E	*MULTIPLE	6/7/2012	\$1,187	\$237	\$950	\$0
WQ	42626	Big Oaks Municipal Utility District	2011-1773-MWD-E	FORT BEND	6/7/2012	\$1,350	\$270	\$1,080	\$0
WQ	41639	April Plaza Marina, Inc.	2011-0803-MWD-E	MONTGOMERY	6/8/2012	\$10,646	\$2,129	\$8,517	\$0
WQ	42879	City of Austin	2011-2019-MWD-E	TRAVIS	6/8/2012	\$13,050	\$0	\$0	\$13,050
WQ	39984	Eastland County Water Supply District	2011-1612-MWD-E	EASTLAND	6/8/2012	\$8,990	\$0	\$8,990	\$0
WQ	37591	M.R. Patel *	2011-0177-MWD-E	LIBERTY	6/8/2012	\$52,627	\$0	\$52,627	\$0
WQ	42310	Stafford Mobile Home Park, Inc.	2011-1460-MWD-E	FORT BEND	6/8/2012	\$13,425	\$2,685	\$10,740	\$0
WQ	43065	INEOS USA LLC	2011-2221-IWD-E	HARRIS	6/8/2012	\$17,490	\$3,498	\$13,992	\$0
WQ	41626	Bhakta, Ravindra *	2011-0724-MWD-E	HARRIS	6/15/2012	\$6,360	\$0	\$6,360	\$0
WQ	42582	MAYFIELD, MIKE L	2011-1749-SLG-E	MONTAGUE	6/15/2012	\$1,238	\$0	\$1,238	\$0
WQ	42890	San Antonio Water System	2011-2034-MWD-E	BEXAR	6/17/2012	\$4,100	\$820	\$0	\$3,280
WQ	42289	City of Petrolia	2011-1440-MWD-E	CLAY	6/17/2012	\$5,564	\$1,112	\$4,452	\$0
WQ	43006	ATA Land, L.P.	2011-2160-EAQ-E	TRAVIS	6/17/2012	\$4,025	\$805	\$3,220	\$0
WQ	43012	Aqua Utilities, Inc.	2011-2168-MWD-E	HAYS	6/17/2012	\$3,479	\$695	\$2,784	\$0
WQ	42986	City of Krum	2011-2139-MWD-E	DENTON	6/17/2012	\$6,238	\$1,247	\$4,991	\$0
WQ	42689	City of Asherton	2011-1837-MWD-E	DIMITT	6/17/2012	\$2,730	\$546	\$2,184	\$0
WQ	42784	Fort Hancock Water Control and Improvement District	2011-1943-MWD-E	HUDSPETH	6/22/2012	\$13,770	\$2,754	\$11,016	\$0
WQ	43088	Mallard Point WWTP, LLC	2011-2246-MWD-E	HUNT	6/22/2012	\$7,934	\$1,586	\$6,348	\$0
WQ	42660	CROSSWINDS I PARTNERSHIP LTD	2011-1813-EAQ-E	BEXAR	6/22/2012	\$13,750	\$2,750	\$11,000	\$0
WQ	41288	YFZ Land, LLC	2011-0379-MLM-E	SCHLEICHER	6/22/2012	\$30,582	\$0	\$30,582	\$0
WQ	42005	Briarwood Lutheran Ministries	2011-1115-MWD-E	DENTON	6/22/2012	\$4,137	\$0	\$4,137	\$0

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WQ	42960	Aqua Utilities, Inc.	2011-2118-MWD-E	HARRIS	6/22/2012	\$6,300	\$0	\$6,300	\$0
WQ	42271	City of Cotulla	2011-1421-MWD-E	LA SALLE	6/22/2012	\$7,680	\$1,536	\$0	\$6,144
WQ	42792	Paisano Redi-Mix Inc	2011-1942-IWD-E	TARRANT	6/22/2012	\$30,003	\$6,000	\$24,003	\$0
WQ	41839	CITY CONCRETE, INC.	2011-0966-IWD-E	WICHITA	6/22/2012	\$27,614	\$5,522	\$22,092	\$0
WQ	42375	Balcones Distilling LLC	2011-1517-WQ-E	MCLENNAN	6/22/2012	\$7,500	\$0	\$7,500	\$0
WQ	42013	Karbalai, Rita Laura Redow	2011-1117-MWD-E	HARRIS	6/22/2012	\$17,640	\$0	\$17,640	\$0
WQ	42373	Mages Group, LLC	2011-1516-WQ-E	NACOGDOCHES	7/6/2012	\$7,875	\$1,575	\$6,300	\$0
WQ	42558	City of Morton	2011-1700-MWD-E	COCHRAN	7/6/2012	\$9,350	\$1,870	\$7,480	\$0
WQ	41965	CPS Energy	2012-0468-WQ-E	BEXAR	7/8/2012	\$700	\$0	\$700	\$0
WQ	42946	SARATOGA HOMES OF TEXAS AUSTIN, LLC	2011-2094-WQ-E	BELL	7/8/2012	\$700	\$0	\$700	\$0
WQ	43277	City of Los Fresnos	2012-0081-MWD-E	CAMERON	7/8/2012	\$4,375	\$875	\$3,500	\$0
WQ	43114	Mira Vista, Inc.	2012-0142-WQ-E	TAYLOR	7/8/2012	\$3,375	\$675	\$2,700	\$0
WQ	42945	North Orange Water & Sewer, LLC	2011-2100-MWD-E	ORANGE	7/8/2012	\$4,708	\$941	\$3,767	\$0
WQ	42954	Prue Bend Homeowners Association, Inc.	2011-2103-EAQ-E	BEXAR	7/8/2012	\$3,050	\$610	\$2,440	\$0
WQ	43194	KENMARK HOMES, LP	2011-2359-WQ-E	PARKER	7/8/2012	\$2,500	\$500	\$2,000	\$0
WQ	42957	Metroplex Quarry's Inc.	2011-2112-IWD-E	PALO PINTO	7/15/2012	\$1,848	\$369	\$1,479	\$0
WQ	43402	WATERPARK MANAGEMENT, INC.	2012-0210-WQ-E	COMAL	7/15/2012	\$2,300	\$460	\$1,840	\$0
WQ	42519	Paver Investments Limited Partnership	2011-1681-EAQ-E	TRAVIS	7/15/2012	\$2,000	\$400	\$1,600	\$0
WQ	43461	Rhodia Inc.	2012-0279-IWD-E	WILBARGER	7/15/2012	\$6,128	\$1,225	\$4,903	\$0
WQ	43249	DRY COMAL CREEK VINEYARDS, INC.	2012-0085-EAQ-E	COMAL	7/15/2012	\$5,625	\$1,125	\$4,500	\$0
WQ	42390	BARR, DOUGLAS LEE	2011-1575-WQ-E	NUECES	7/15/2012	\$4,080	\$0	\$4,080	\$0
WQ	43430	Texas Airstream Harbor, Inc.	2012-0246-MWD-E	ANGELINA	7/15/2012	\$3,982	\$796	\$3,186	\$0
WQ	43188	2100 Ross Realty LP	2011-2351-IWD-E	DALLAS	7/15/2012	\$1,050	\$210	\$840	\$0
WQ	40891	Lopez De Nava, Luis Medina *	2010-2058-IHW-E	WEBB	7/27/2012	\$2,625	\$0	\$2,625	\$0
WQ	43330	TA Operating LLC	2012-0133-MWD-E	HILL	8/2/2012	\$35,875	\$0	\$35,875	\$0
WQ	41339	Nueces County Water Control and Improvement District No. 5	2011-0431-MWD-E	NUECES	8/2/2012	\$87,605	\$62,730	\$24,875	\$0
WQ	43212	Trinity Pines Conference Center, Inc.	2012-0047-MWD-E	TRINITY	8/2/2012	\$9,450	\$0	\$9,450	\$0
WQ	43173	Montgomery County WCID 1	2011-2332-MWD-E	MONTGOMERY	8/2/2012	\$13,800	\$2,760	\$11,040	\$0
WQ	42466	City of Yorktown	2011-1610-MWD-E	DEWITT	8/2/2012	\$27,540	\$0	\$27,540	\$0
WQ	41506	J&S Water Company, L. L. C.	2011-0599-MWD-E	HARRIS	8/2/2012	\$27,434	\$5,486	\$21,948	\$0
WQ	43257	City of Magnolia	2012-0055-MWD-E	MONTGOMERY	8/5/2012	\$1,773	\$354	\$1,419	\$0
WQ	43410	Texas Community Bank National Association	2012-0238-EAQ-E	BEXAR	8/5/2012	\$1,250	\$250	\$1,000	\$0
WQ	43714	Blake Site Corp.	2012-0530-WQ-E	TARRANT	8/5/2012	\$938	\$187	\$751	\$0
WQ	43350	Chemical Specialties, Inc.	2012-0165-IWD-E	BRAZORIA	8/5/2012	\$3,140	\$628	\$2,512	\$0
WQ	43893	Oncor Electric Delivery Company LLC	2012-0718-WQ-E	ARCHER	8/5/2012	\$875	\$0	\$875	\$0
WQ	43273	City of Rhome	2012-0083-MWD-E	WISE	8/5/2012	\$2,200	\$440	\$1,760	\$0
WQ	43895	Joe Bland Construction, L.P.	2012-0717-WQ-E	WILLIAMSON	8/5/2012	\$875	\$0	\$875	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	43401	Thomas Excavating, Inc.	2012-0211-WQ-E	PARKER	8/5/2012	\$938	\$187	\$751	\$0
WQ	44007	GAMBLIN, JAMES D	2012-0848-WQ-E	MONTAGUE	8/12/2012	\$875	\$0	\$875	\$0
WQ	44008	Weiss Sand and Clay, LP	2012-0870-WQ-E	FORT BEND	8/12/2012	\$700	\$0	\$700	\$0
WQ	43622	Southwestern Public Service Company	2012-0437-IWD-E	LAMB	8/12/2012	\$990	\$198	\$792	\$0
WQ	43376	S & L Aero, INC.	2012-0253-WQ-E	EL PASO	8/12/2012	\$1,875	\$375	\$1,500	\$0
WQ	44009	Mueller Co.	2012-0847-WQ-E	CAMERON	8/12/2012	\$875	\$0	\$875	\$0
WQ	43109	City of Royse City	2011-2273-MWD-E	ROCKWALL	8/17/2012	\$6,000	\$0	\$0	\$6,000
WQ	42813	Marine Quest - Hidden Cove, L.P.	2011-1955-MWD-E	DENTON	8/17/2012	\$8,484	\$0	\$8,484	\$0
WQ	41453	City of Orange	2011-0552-MWD-E	ORANGE	8/17/2012	\$98,350	\$0	\$0	\$98,350
WQ	43030	Walnut Cove Water Supply Corporation	2011-2178-MWD-E	MONTGOMERY	8/17/2012	\$29,350	\$0	\$29,350	\$0
WQ	42834	City of Port Arthur	2011-1977-MWD-E	JEFFERSON	8/19/2012	\$3,300	\$0	\$3,300	\$0
WQ	44117	Rex-Hide Industries, Inc.	2012-0959-WQ-E	SMITH	8/26/2012	\$875	\$0	\$875	\$0
WQ	43471	City of Valley View	2012-0282-MWD-E	COOKE	8/26/2012	\$4,388	\$877	\$3,511	\$0
WQ	43411	Blue Bell Manor Utility Co., Inc. *	2012-0235-MWD-E	HARRIS	8/26/2012	\$3,015	\$603	\$2,412	\$0
WQ	44085	Southeastern Freight Lines, Inc.	2012-0923-WQ-E	WICHITA	8/26/2012	\$875	\$0	\$875	\$0
WQ	44115	WRL General Contractors, Ltd.	2012-0961-WQ-E	HENDERSON	8/26/2012	\$875	\$0	\$875	\$0
WQ	44116	Batchelder Construction, Inc.	2012-0960-WQ-E	BEXAR	8/26/2012	\$875	\$0	\$875	\$0
WQ	44084	Wolff Construction, LP	2012-0924-WQ-E	BELL	8/26/2012	\$875	\$0	\$875	\$0
WQ	43189	Mohiuddin, Syed Asif	2012-0043-OSS-E	FORT BEND	8/26/2012	\$1,779	\$355	\$1,424	\$0
WQ	43521	Trinity River Authority Of Texas	2012-0422-WQ-E	TARRANT	8/27/2012	\$5,625	\$0	\$5,625	\$0
WQ	43572	PL Propylene LLC	2012-0376-IWD-E	HARRIS	8/27/2012	\$18,000	\$3,600	\$7,200	\$7,200
WQ	43122	Sasson, Eli Gravierl	2011-2292-MWD-E	HARRIS	8/27/2012	\$16,125	\$3,225	\$12,900	\$0
WQ	41691	ANDREWS, CHESTER ALTON	2011-0792-MWD-E	TARRANT	8/27/2012	\$43,269	\$35,309	\$7,960	\$0
<b>Subtotal for WQ : 319</b>						<b>\$2,880,685</b>	<b>\$417,731</b>	<b>\$1,973,286</b>	<b>\$489,668</b>
WWA	41156	CLOWDUS, LAURENCE ELMER	2011-0248-AGR-E	HAMILTON	10/17/2011	\$1,010	\$202	\$808	\$0
WWA	39491	SCHOUTEN, NOVA D *	2010-0585-AGR-E	ERATH	10/17/2011	\$2,600	\$0	\$2,600	\$0
WWA	39017	SCHOUTEN, NOVA D *	2010-0093-AGR-E	BOSQUE	11/3/2011	\$5,200	\$0	\$5,200	\$0
WWA	41648	WEST, RONNIE DEWAYNE	2011-0740-AGR-E	JOHNSON	11/18/2011	\$2,250	\$450	\$1,800	\$0
WWA	40387	PX Feeders LLC	2010-1534-AGR-E	HAMILTON	12/19/2011	\$1,050	\$0	\$1,050	\$0
WWA	41737	R.J. Dairy, L.L.C. *	2011-0846-AGR-E	ERATH	12/19/2011	\$8,090	\$1,618	\$6,472	\$0
WWA	40495	HUNT, ROBERT E III	2010-1655-AGR-E	PARKER	2/13/2012	\$2,625	\$0	\$2,625	\$0
WWA	42182	Briseno, Arturo	2011-1329-AGR-E	ERATH	3/18/2012	\$1,640	\$328	\$1,312	\$0
WWA	42833	Talsma, Klaas	2011-1984-AGR-E	ERATH	6/17/2012	\$3,300	\$660	\$2,640	\$0
WWA	38311	Omar Aviles	2009-1441-AGR-E	ERATH	7/8/2012	\$1,020	\$204	\$816	\$0
WWA	43060	Koke, Johan Gerrit	2011-2270-AGR-E	ERATH	8/12/2012	\$4,480	\$896	\$3,584	\$0
<b>Subtotal for WWA : 11</b>						<b>\$33,265</b>	<b>\$4,358</b>	<b>\$28,907</b>	<b>\$0</b>
<b>Total for Singles Programs : 1,722</b>					<b>15,316,313.00</b>	<b>1,936,124.00</b>	<b>10,829,614.00</b>	<b>2,550,575.00</b>	<b>2,550,575.00</b>

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	41195	Trinity So PTN, L.P.	2011-0289-UTL-E	HARRIS	9/16/2011	\$194	\$38	\$156	\$0
MM	41341	Yes Communities, Inc.	2011-0437-UTL-E	HARRIS	9/16/2011	\$436	\$87	\$349	\$0
MM	41971	CLICK, LEW	2011-1083-WOC-E	ERATH	9/16/2011	\$210	\$0	\$210	\$0
MM	41900	HERRIN, DUSTIN	2011-1022-WOC-E	NEWTON	9/16/2011	\$210	\$0	\$210	\$0
MM	40674	Moorpark Village, Inc. dba Moorpark Village Water System	2010-1813-UTL-E	HARRIS	9/16/2011	\$428	\$0	\$428	\$0
MM	41379	City of Pasadena	2011-0519-UTL-E	HARRIS	9/16/2011	\$525	\$105	\$420	\$0
MM	41805	DAUGHTRY, JESSE R	2011-0925-WOC-E	WALLER	9/16/2011	\$210	\$0	\$210	\$0
MM	40024	Gainesville Foundry, Inc.	2010-1132-MLM-E	COOKE	9/16/2011	\$56,465	\$0	\$56,465	\$0
MM	41043	Linda W Ball	2011-0156-UTL-E	HARRIS	10/2/2011	\$735	\$147	\$588	\$0
MM	41358	Houston Airport Hospitality LP	2011-0460-UTL-E	HARRIS	10/2/2011	\$695	\$139	\$556	\$0
MM	40626	Harris County Water Corporation	2010-1776-UTL-E	HARRIS	10/2/2011	\$448	\$89	\$359	\$0
MM	39036	GAVLICK, JOHN R	2010-0117-AIR-E	ELLIS	10/6/2011	\$1	\$0	\$1	\$0
MM	40646	Greenwood Place Civic Club, Inc.	2010-1799-UTL-E	HARRIS	10/6/2011	\$428	\$0	\$428	\$0
MM	38221	SWT Farm & Ranch Supply	2009-1377-MLM-E	*MULTIPLE	10/6/2011	\$9,000	\$9,000	\$0	\$0
MM	39596	Vacation Home Builders, Inc.	2010-0706-MLM-E	POLK	10/17/2011	\$4,637	\$0	\$4,637	\$0
MM	41276	J Mac Tool Inc	2011-0366-MLM-E	TARRANT	10/17/2011	\$2,200	\$440	\$1,760	\$0
MM	41494	Sequoia Improvement District	2011-0589-UTL-E	HARRIS	10/17/2011	\$577	\$115	\$462	\$0
MM	41378	Bammel Forest Utility Company	2011-0497-UTL-E	HARRIS	10/17/2011	\$262	\$52	\$210	\$0
MM	39899	CORY, BYRON	2010-1005-MLM-E	*MULTIPLE	10/22/2011	\$4,780	\$0	\$4,780	\$0
MM	39142	ESPARZA RODOLFO	2010-0244-MLM-E	EL PASO	10/22/2011	\$13,155	\$9,555	\$3,600	\$0
MM	40767	PARSONS, LEON JR	2010-1754-MLM-E	HARDIN	10/22/2011	\$2,109	\$0	\$2,109	\$0
MM	41027	TREVINO, GREGORY	2011-0124-MLM-E	GALVESTON	10/22/2011	\$4,866	\$0	\$4,866	\$0
MM	40966	DAVIS, HAROLD G	2011-0074-MLM-E	GRIMES	10/22/2011	\$2,950	\$0	\$2,950	\$0
MM	41397	CSE Spring Branch LLC	2011-0507-UTL-E	HARRIS	11/3/2011	\$333	\$66	\$267	\$0
MM	41398	CSE The Village LLC	2011-0508-UTL-E	HARRIS	11/3/2011	\$321	\$64	\$257	\$0
MM	39677	Tadeo B Pina JR	2010-0770-MLM-E	*MULTIPLE	11/3/2011	\$8,340	\$0	\$8,340	\$0
MM	41477	PLASCENCIA, ALFREDO	2011-0575-MLM-E	REFUGIO	11/3/2011	\$1,972	\$394	\$1,578	\$0
MM	41369	Murphy Oil USA, Inc.	2011-0462-EAQ-E	WILLIAMSON	11/3/2011	\$2,760	\$552	\$2,208	\$0
MM	40451	MCKNIGHT, CECIL L *	2010-1620-IHW-E	LIBERTY	11/6/2011	\$67,425	\$0	\$67,425	\$0
MM	41361	Mannan, Timothy Ray	2011-0453-MLM-E	JOHNSON	11/6/2011	\$2,047	\$0	\$2,047	\$0
MM	40531	BLUE, GARY	2010-1673-MLM-E	WINKLER	11/6/2011	\$2,505	\$0	\$2,505	\$0
MM	41732	Lake Municipal Utility District	2011-0836-UTL-E	HARRIS	11/18/2011	\$630	\$126	\$504	\$0
MM	39991	Aldine Community Care Center, Inc.	2010-1109-MLM-E	HARRIS	12/8/2011	\$46,478	\$0	\$46,478	\$0
MM	42316	MACGINNIS, THOMAS H	2011-1467-WOC-E	TYLER	12/19/2011	\$210	\$0	\$210	\$0
MM	42165	GOODMAN, ROBERT A	2011-1319-PWS-E	LYNN	12/19/2011	\$210	\$0	\$210	\$0
MM	41353	City of Port Aransas	2011-0512-MLM-E	NUECES	12/19/2011	\$13,925	\$2,785	\$11,140	\$0
MM	42317	ARMSTRONG, TRAVIS W	2011-1466-WOC-E	HILL	12/19/2011	\$210	\$0	\$210	\$0
MM	42318	HICKS, WILLIAM STERLING	2011-1465-WOC-E	HILL	12/19/2011	\$210	\$0	\$210	\$0

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	38426	Bisma-Zaeem, Inc.	2009-1555-MLM-E	VAN ZANDT	12/19/2011	\$13,468	\$0	\$13,468	\$0
MM	41382	Harris County Municipal Utility District 420	2011-0480-UTL-E	HARRIS	12/19/2011	\$475	\$95	\$380	\$0
MM	41663	WHITE CLEANERS & LAUNDRY INC	2011-0766-DCL-E	CAMERON	12/19/2011	\$3,015	\$603	\$2,412	\$0
MM	41224	Hunt County	2011-0319-MSW-E	HUNT	12/19/2011	\$10,000	\$0	\$0	\$10,000
MM	41771	City of Dell City	2011-0884-MLM-E	HUDSPETH	12/19/2011	\$9,138	\$1,827	\$7,311	\$0
MM	40035	Am Ja, Inc.	2010-1145-DCL-E	*MULTIPLE	12/19/2011	\$2,655	\$0	\$2,655	\$0
MM	38528	PERRY JR, GILBERT WAYNE	2009-1687-IHW-E	VAN ZANDT	1/8/2012	\$4,700	\$0	\$4,700	\$0
MM	40703	City of Driscoll	2010-1852-MLM-E	NUECES	1/27/2012	\$12,000	\$2,400	\$9,600	\$0
MM	42496	MINALDI, BEVERLY LEE	2011-1576-WOC-E	JEFFERSON	2/10/2012	\$210	\$0	\$210	\$0
MM	42385	JOHNSON, DON JR	2011-1518-WOC-E	ANGELINA	2/10/2012	\$210	\$0	\$210	\$0
MM	42459	OWEN, IVAR V	2011-1600-WOC-E	WILLIAMSON	2/10/2012	\$210	\$0	\$210	\$0
MM	41413	MILAGRO INTERESTS, INC.	2011-0517-UTL-E	HARRIS	2/10/2012	\$315	\$63	\$252	\$0
MM	41362	Kingsland Estates Water Supply Corporation	2011-0479-UTL-E	HARRIS	2/10/2012	\$472	\$94	\$378	\$0
MM	32665	I. 35 Sandpit, Inc. *	2010-1027-MSW-E	JOHNSON	2/13/2012	\$6,480	\$0	\$6,480	\$0
MM	42201	Union Pacific Railroad Company	2011-1433-WQ-E	DALLAS	2/18/2012	\$11,250	\$0	\$11,250	\$0
MM	41798	Levelland/Hockley County Ethanol, LLC	2011-0913-MLM-E	HOCKLEY	2/25/2012	\$5,830	\$1,166	\$4,664	\$0
MM	41594	West Yukon Estates LLC	2011-0695-UTL-E	HARRIS	3/1/2012	\$450	\$0	\$450	\$0
MM	41842	James A Derryberry	2011-1039-MLM-E	SAN JACINTO	3/3/2012	\$3,074	\$614	\$2,460	\$0
MM	40697	City of Mart	2010-1844-MLM-E	MCLENNAN	3/16/2012	\$48,405	\$43,672	\$4,733	\$0
MM	42750	JARAMILLO, HIPOLITO JR	2011-1893-WOC-E	REFUGIO	3/18/2012	\$210	\$0	\$210	\$0
MM	41634	City of Hilshire Village	2011-0738-UTL-E	HARRIS	3/18/2012	\$588	\$117	\$471	\$0
MM	42751	PEREZ, ALBERT R	2011-1892-WOC-E	REFUGIO	3/18/2012	\$210	\$0	\$210	\$0
MM	40475	Fisher, Gerald	2010-1608-IHW-E	HARRIS	3/23/2012	\$2,200	\$0	\$2,200	\$0
MM	39912	SLC Construction LLC	2010-1019-MLM-E	*MULTIPLE	3/23/2012	\$35,600	\$0	\$35,600	\$0
MM	42924	LOPEZ, ROBERT	2011-2072-WOC-E	EL PASO	4/8/2012	\$210	\$0	\$210	\$0
MM	42925	GARCIA, MIGUEL A	2011-2071-WOC-E	EL PASO	4/8/2012	\$210	\$0	\$210	\$0
MM	43192	FOUST, MARK T	2011-2355-WOC-E	HARDIN	4/21/2012	\$210	\$0	\$210	\$0
MM	43237	RIOS, JUAN	2012-0019-WOC-E	BURNET	4/21/2012	\$210	\$0	\$210	\$0
MM	42333	Lone Star Ready-Mix, L.P.	2011-1489-MLM-E	WILLIAMSON	5/12/2012	\$4,900	\$980	\$3,920	\$0
MM	42507	Nueces County Water Control and Improvement District 3	2011-1645-MLM-E	NUECES	5/12/2012	\$3,503	\$700	\$2,803	\$0
MM	41825	OCONNOR, LOUIS	2011-0947-MLM-E	GALVESTON	5/13/2012	\$3,192	\$0	\$3,192	\$0
MM	42420	City of Nederland	2011-1560-MLM-E	JEFFERSON	5/24/2012	\$4,650	\$930	\$3,720	\$0
MM	42230	The Consolidated Water Supply Corporation	2011-1508-MLM-E	HOUSTON	5/24/2012	\$992	\$198	\$794	\$0
MM	42500	F A L C Enterprises, LLC.	2011-1646-MLM-E	EL PASO	5/24/2012	\$4,965	\$993	\$3,972	\$0
MM	42718	Artemio Martinez	2011-1875-MLM-E	CAMERON	5/25/2012	\$10,431	\$2,086	\$8,345	\$0
MM	41784	Coil Tubing Services, L. L. C.	2011-0916-MLM-E	JIM WELLS	5/25/2012	\$16,904	\$3,380	\$13,524	\$0
MM	39930	PANKRATZ, THOMAS	2010-1105-MLM-E	KENDALL	5/25/2012	\$7,404	\$0	\$7,404	\$0
MM	42255	MeadWestvaco Texas, L.P.	2011-1402-MLM-E	*MULTIPLE	5/25/2012	\$7,966	\$1,592	\$6,374	\$0

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset	
MM	42621	GOMEZ, ROGER	2011-1769-MLM-E	BAILEY	5/25/2012	\$9,000	\$1,800	\$7,200	\$0	
MM	42799	City of Ropesville	2011-1944-MLM-E	HOCKLEY	6/7/2012	\$5,950	\$1,190	\$4,760	\$0	
MM	42916	Clear Lake Services, Inc.	2011-2060-MLM-E	HARRIS	6/8/2012	\$9,527	\$1,904	\$7,623	\$0	
MM	36265	VASQUEZ, MARK A	2010-1973-MLM-E	BEXAR	6/8/2012	\$30,444	\$0	\$30,444	\$0	
MM	42027	PAPE, SHANE *	2011-1151-MLM-E	BEXAR	6/14/2012	\$88,275	\$0	\$88,275	\$0	
MM	38671	Cynthia Ann Batiste	2011-1765-MLM-E	JEFFERSON	6/14/2012	\$10,400	\$0	\$10,400	\$0	
MM	42257	GARZA, ALBERTO *	2011-1405-MLM-E	CAMERON	6/15/2012	\$7,500	\$0	\$7,500	\$0	
MM	41922	Lummus Technology Inc.	2011-1033-IHW-E	HARRIS	6/15/2012	\$4,000	\$0	\$4,000	\$0	
MM	41796	Farrell, Lee Ann	2011-0910-MLM-E	SAN JACINTO	6/15/2012	\$2,784	\$1,584	\$1,200	\$0	
MM	42900	Seneca Water Supply Corporation	2011-2042-MLM-E	TYLER	6/17/2012	\$3,395	\$679	\$2,716	\$0	
MM	42864	BRIDGEPORT TRUCK MANUFACTURING, INC.	2011-2000-MLM-E	WISE	6/17/2012	\$5,792	\$1,158	\$4,634	\$0	
MM	41338	REALTY ALLIANCE *	2011-0430-IHW-E	*MULTIPLE	6/22/2012	\$5,000	\$0	\$5,000	\$0	
MM	43773	BUSH, BILLY J	2012-0578-WOC-E	EASTLAND	7/8/2012	\$175	\$0	\$175	\$0	
MM	43766	CANTU, LONGINO J JR	2012-0582-WOC-E	JONES	7/8/2012	\$175	\$0	\$175	\$0	
MM	43770	LINSTAEDTER, RONNIE	2012-0580-WOC-E	MILAM	7/15/2012	\$175	\$0	\$175	\$0	
MM	43774	KRESTA, CLYDE R	2012-0577-WOC-E	RUNNELS	7/15/2012	\$175	\$0	\$175	\$0	
MM	42667	MARTIN, KENNETH	2011-1889-MLM-E	ORANGE	7/26/2012	\$9,975	\$0	\$9,975	\$0	
MM	41721	City of Edinburg	2011-0862-MLM-E	HIDALGO	8/2/2012	\$12,000	\$2,400	\$0	\$9,600	
MM	43984	WEBSTER, ORDIA B	2012-0810-WOC-E	REFUGIO	8/5/2012	\$175	\$0	\$175	\$0	
MM	43207	E. S. Water Utility Consolidators Inc.	2012-0028-MLM-E	MONTGOMERY	8/5/2012	\$160	\$32	\$128	\$0	
MM	42615	Newell Recycling Company of El Paso, L.P.	2011-1758-MLM-E	EL PASO	8/5/2012	\$4,837	\$967	\$3,870	\$0	
MM	43252	LTT & HK Investments, LLP	2012-0052-DCL-E	HARRIS	8/5/2012	\$3,775	\$755	\$3,020	\$0	
MM	43910	GOMEZ, ERNESTO E	2012-0719-WOC-E	LYNN	8/5/2012	\$175	\$0	\$175	\$0	
MM	43508	Thelin Recycling Company, L.P. *	2012-0325-MLM-E	JOHNSON	8/12/2012	\$750	\$150	\$600	\$0	
MM	41260	Effective Environmental, Inc.	2011-0348-MLM-E	NAVARRO	8/17/2012	\$16,375	\$0	\$8,188	\$8,187	
MM	42911	City of Domino	2011-2047-MLM-E	CASS	8/17/2012	\$2,642	\$0	\$2,642	\$0	
MM	44050	ROBERT STANLEY EDWARDS	2012-0933-WOC-E	JOHNSON	8/26/2012	\$175	\$0	\$175	\$0	
MM	42641	Exterran Energy Solutions LP	2011-1838-MLM-E	VICTORIA	8/27/2012	\$21,241	\$4,248	\$8,497	\$8,496	
<b>Total for Multi-Media Programs : 104</b>						<b>745,056.00</b>	<b>102,131.00</b>	<b>606,642.00</b>	<b>36,283.00</b>	<b>36,283.00</b>
<b>Total for all Programs :1,826</b>						<b>16,061,369.00</b>	<b>2,038,255.00</b>	<b>11,436,256.00</b>	<b>2,586,858.00</b>	<b>2,586,858.00</b>

**Summary of SEPs:**

**Total Orders :** 1826  
**Orders with SEPs :** 146  
**Orders with SEPs % :** 8.0  
**Orders with SEP Offset % :** 18.4

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Effective Date	Ratio	Assessed Amt	Deferred Amt	Payable Amt	SEP Cost	SEP Offset
AQ	42269	INVISTA S.a r.l.	04/08/2012	1.00:1	4,725.00	945.00	1,890.00	1,890.00	1,890.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations					1,890.00	1,890.00
AQ	42561	Kuraray America, Inc.	05/25/2012	1.00:1	95,193.00	19,038.00	38,078.00	38,077.00	38,077.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants.					38,077.00	38,077.00
AQ	43131	Chevron Phillips Chemical Company LP	07/06/2012	1.00:1	23,000.00	4,600.00	9,200.00	9,200.00	9,200.00
		POLLUTION PREVENTION	SEP funds will be used to install or retrofit, operate, maintain, and potentially expand air monitoring stations in Harris County to continuously monitor ambient air for total non-methane hydrocarbons and hazardous air pollutants (HAPs). Specifically, SEP funds will be used to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring.					9,200.00	9,200.00
AQ	43155	BASF Corporation	08/17/2012	1.00:1	8,325.00	1,665.00	3,330.00	3,330.00	3,330.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants.					3,330.00	3,330.00
AQ	41396	City Public Service	06/08/2012	1.00:1	24,000.00	4,800.00	0.00	19,200.00	19,200.00
		POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.  Minimum Contribution: \$1,500					19,200.00	19,200.00
AQ	43630	LANXESS Corporation	08/26/2012	1.00:1	6,563.00	1,312.00	2,626.00	2,625.00	2,625.00
		POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of activities to reduce emissions.					2,625.00	2,625.00
AQ	41383	Shell Oil Company	10/17/2011	1.00:1	10,000.00	0.00	5,000.00	5,000.00	5,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

POLLUTION PREVENTION	<p>The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.</p> <p>Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.</p>	5,000.00	5,000.00
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AQ	39783	Valero Refining-Texas, L.P. *	11/18/2011	1.00:1	292,291.00	58,456.00	165,781.00	68,054.00	68,054.00
	POLLUTION PREVENTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to the Bayou Land Conservancy for the Spring Creek Greenway Project. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, this SEP will focus on preserving multiple tracts of land comprising approximately 1,000 acres in the riparian corridor east of FM 2978 and west of U.S. 59. The Third-Party Recipient shall use SEP Funds to acquire conservation easements on these tracts to provide permanent protection and stewardship of these lands and waters. The Third-Party Recipient shall also use SEP Funds to conduct restoration work, including tree plantings, on these tracts, as needed. All property acquired in whole or in part with SEP Funds must be preserved in perpetuity through a conservation easement that complies with Subchapter A, Chapter 183, Texas Na						29,758.00	29,758.00
	POLLUTION PREVENTION	AutoCheck is a remote vehicle emission sensing system that identifies polluting vehicles and informs the vehicle operators about the health of their vehicle via a large electronic sign. Once a vehicle is identified, qualified service stations perform repairs on identified polluting vehicles. Pre and post emissions tests are performed and tracked, and the service stations are reimbursed for their expenses. SEP monies will be used to pay for repair vouchers, equipment maintenance, and a program operator. The SEP will be performed in accordance with all federal, state and local environmental laws and regulations.						34,250.00	34,250.00
	POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ. Performing Party shall ensure that the data is validated and sent to TCEQ's Leading Environmental Analysis and Display (LEADS) system. All costs associated with the collection, transfer, and formatting of these data to be compatible with the LEADS system is the responsibility of the Third-Party Recipient.						4,046.00	4,046.00

AQ	43111	The Dow Chemical Company	08/02/2012	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.						4,000.00	4,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

AQ	42607	Baytown Energy Center, LLC	05/25/2012	1.00:1	36,025.00	7,205.00	14,410.00	14,410.00	14,410.00
		POLLUTION PREVENTION	SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.				14,410.00	14,410.00	
AQ	41233	ExxonMobil Oil Corporation	10/02/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
		POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ. Performing Party shall ensure that the data is validated and sent to TCEQ's Leading Environmental Analysis and Display (LEADS) system. All costs associated with the collection, transfer, and formatting of these data to be compatible with the LEADS system is the responsibility of the Third-Party Recipient.				4,000.00	4,000.00	
AQ	42254	BASF FINA Petrochemicals Limited Partnership	05/25/2012	1.00:1	20,130.00	4,026.00	8,052.00	8,052.00	8,052.00
		POLLUTION PREVENTION	Conduct home energy audits and to assist low income residents in the West Port Arthur area in improving their homes' energy efficiency such as by caulking, weatherizing, insulating, and replacing heating and cooling systems and major appliances with new, energy efficient equipment.				8,052.00	8,052.00	
AQ	42785	Oxy Vinyls, LP	06/07/2012	1.00:1	5,275.00	1,055.00	2,110.00	2,110.00	2,110.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.				2,110.00	2,110.00	
AQ	41553	E. I. du Pont de Nemours and Company	12/19/2011	1.00:1	30,102.00	6,020.00	12,041.00	12,041.00	12,041.00
		POLLUTION PREVENTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas Air Research Center for the Flare Minimization and Air Quality Modeling project. The contribution will be used in accordance with the Supplemental Environmental Project executed on October 30, 2009, between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used for advanced modeling involving utilizing optimization and plant-wide dynamic simulation to cause reductions in flaring during turnaround operations at industrial facilities. Flaring during turnaround operations (startups and shutdowns) at industrial facilities is a substantial source of both volatile organic compounds (VOCs) and highly reactive volatile organic compounds (HRVOCs), and flaring results in considerable loss of energy and resources. Reductions in VOC and HRVOC emissions are expected to occur primarily through reducing the duration of turnar				12,041.00	12,041.00	
AQ	42137	Martech, LLC	02/18/2012	1.00:1	8,750.00	1,750.00	0.00	7,000.00	7,000.00
		POLLUTION PREVENTION	SEP funds will be used to construct, operate, maintain, and potentially expand a network of continuous ambient monitoring stations ("CAMS") that monitor both air toxics and ozone precursors.				7,000.00	7,000.00	
AQ	43036	Motiva Enterprises LLC	08/02/2012	1.00:1	10,062.00	2,012.00	4,025.00	4,025.00	4,025.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area.	4,025.00	4,025.00					
AQ	42449	Chevron Phillips Chemical Company LP	04/05/2012	1.00:1	18,775.00	3,755.00	7,510.00	7,510.00	7,510.00
	POLLUTION PREVENTION	Conduct home energy audits and to assist low income residents in the West Port Arthur area in improving their homes' energy efficiency such as by caulking, weatherizing, insulating, and replacing heating and cooling systems and major appliances with new, energy efficient equipment.					7,510.00	7,510.00	
AQ	41416	Rohm and Haas Texas Incorporated	11/18/2011	1.00:1	124,405.00	0.00	62,203.00	62,202.00	62,202.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					62,202.00	62,202.00	
AQ	41485	The Dow Chemical Company	11/18/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					4,000.00	4,000.00	
AQ	42579	Enterprise Products Operating LLC	06/08/2012	1.00:1	5,000.00	0.00	2,500.00	2,500.00	2,500.00
	POLLUTION PREVENTION	SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					2,500.00	2,500.00	
AQ	39831	Valero Refining-Texas, L.P.	11/03/2011	1.00:1	591,798.00	0.00	295,899.00	295,899.00	295,899.00
	POLLUTION PREVENTION	<p>The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.</p> <p>Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board. invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.</p>					295,899.00	295,899.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

AQ	41686	Lyondell Chemical Company	12/19/2011	1.00:1	6,625.00	1,325.00	2,650.00	2,650.00	2,650.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to The Sheltering Arms to be used for the Sheltering Arms Weatherization Assistance Program as set forth in the Agreement between the Third-Party Recipient and TCEQ. The SEP offset amount shall be used to perform energy efficiency upgrades on low-income homes in Harris County. Specifically, the SEP offset amount may only be used for: 1) purchase and installation of compact fluorescent light bulbs; 2) the incremental cost of replacement of energy-inefficient fans and refrigerators with energy-efficient models; 3) the incremental cost of replacement of energy-inefficient washers and dryers with energy-efficient models; 4) sheetrock repairs to sustain and protect new attic insulation; 5) floor repairs to prevent air leaks; 6) repairs to patches made to sheetrock during the addition of all new insulation; and 7) other direct costs authorized in advance by TCEQ.</p>				2,650.00	2,650.00	
AQ	42166	Shell Oil Company	05/25/2012	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
		POLLUTION PREVENTION	<p>The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.</p>				4,000.00	4,000.00	
AQ	41571	ONEOK Hydrocarbon Southwest, LLC	01/27/2012	1.00:1	82,875.00	16,575.00	33,150.00	33,150.00	33,150.00
		POLLUTION PREVENTION	<p>Performing Party shall use SEP Funds to perform energy efficiency upgrades on public buildings and infrastructure. Specifically, SEP Funds may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); 3) the incremental cost of purchase of more energy-efficient equipment or appliances (versus the cost of purchasing non-energy efficient equipment or appliances); or 4) other direct costs authorized in advance by TCEQ.</p> <p>Environmental Benefits: reduce fuel and electricity usage for heating and cooling and other day-to-day operations. These reductions will reduce emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel and generation of electricity.</p>				33,150.00	33,150.00	
AQ	41441	Exxon Mobil Corporation	02/18/2012	1.00:1	13,300.00	2,660.00	5,320.00	5,320.00	5,320.00
		POLLUTION PREVENTION	<p>The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.</p>				5,320.00	5,320.00	
AQ	43070	Eastman Chemical Company	07/06/2012	1.00:1	7,975.00	0.00	3,988.00	3,987.00	3,987.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations					3,987.00	3,987.00	
AQ	43027	South Hampton Resources, Inc.	06/17/2012	1.00:1	3,822.00	764.00	1,529.00	1,529.00	1,529.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations					1,529.00	1,529.00	
AQ	43225	Union Carbide Corporation	08/02/2012	1.00:1	63,384.00	12,676.00	25,354.00	25,354.00	25,354.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality.					25,354.00	25,354.00	
AQ	42669	DCP Midstream, LP	08/02/2012	1.00:1	631,628.00	0.00	315,814.00	315,814.00	315,814.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the SEP between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reduce nitrogen oxides, volatile organic compounds, carbon monoxide, and particulate matter emissions by replacing older diesel buses with newer buses that meet more stringent emission standards.					315,814.00	315,814.00	
AQ	41580	NuStar Terminals Partners TX L.P.	12/19/2011	1.00:1	4,300.00	860.00	1,720.00	1,720.00	1,720.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.					1,720.00	1,720.00	
AQ	41235	ConocoPhillips Company	11/03/2011	1.00:1	141,925.00	0.00	70,963.00	70,962.00	70,962.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations	70,962.00	70,962.00					
AQ	42880	Union Carbide Corporation	08/17/2012	1.00:1	11,550.00	2,310.00	4,620.00	4,620.00	4,620.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fuelled or clean diesel buses.					4,620.00	4,620.00	
AQ	41716	Exxon Mobil Corporation	03/16/2012	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.					4,000.00	4,000.00	
AQ	42004	Equistar Chemicals, LP	03/18/2012	1.00:1	6,575.00	1,315.00	2,630.00	2,630.00	2,630.00
	POLLUTION PREVENTION	AutoCheck is a remote vehicle emission sensing system that identifies polluting vehicles and informs the vehicle operators about the health of their vehicle via a large electronic sign. Once a vehicle is identified, qualified service stations perform repairs on identified polluting vehicles. Pre and post emissions tests are performed and tracked, and the service stations are reimbursed for their expenses. SEP monies will be used to pay for repair vouchers, equipment maintenance, and a program operator. The SEP will be performed in accordance with all federal, state and local environmental laws and regulations.					2,630.00	2,630.00	
AQ	41940	Enterprise Products Operating LLC	03/18/2012	1.00:1	4,325.00	865.00	1,730.00	1,730.00	1,730.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.					1,730.00	1,730.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

AQ	40433	CITGO Refining and Chemicals Company L.P.	04/23/2012	1.00:1	11,653.00	0.00	5,827.00	5,826.00	5,826.00
		POLLUTION REDUCTION	Contribution will be to the Texas A&M University-Corpus Christi for the Texas A&M University-Corpus Christi AutoCheck Program					5,826.00	5,826.00
AQ	43175	Oxy Vinyls, LP	08/05/2012	1.00:1	7,500.00	1,500.00	3,000.00	3,000.00	3,000.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants.					3,000.00	3,000.00
AQ	41727	Valero Refining-Texas, L.P.	01/27/2012	1.00:1	10,000.00	0.00	5,000.00	5,000.00	5,000.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					5,000.00	5,000.00
AQ	43595	Union Carbide Corporation	08/05/2012	1.00:1	5,150.00	1,030.00	2,060.00	2,060.00	2,060.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					2,060.00	2,060.00
AQ	43196	Occidental Chemical Corporation	08/17/2012	1.00:1	10,000.00	0.00	5,000.00	5,000.00	5,000.00
		POLLUTION PREVENTION	AutoCheck is a remote vehicle emission sensing system that identifies polluting vehicles and informs the vehicle operators about the health of their vehicle via a large electronic sign.					5,000.00	5,000.00
AQ	41755	Shell Chemical LP *	02/18/2012	1.00:1	64,525.00	0.00	32,263.00	32,262.00	32,262.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					32,262.00	32,262.00
AQ	41797	Huntsman Petrochemical LLC	04/05/2012	1.00:1	30,000.00	6,000.00	12,000.00	12,000.00	12,000.00
		POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ. Performing Party shall ensure that the data is validated and sent to TCEQ's Leading Environmental Analysis and Display (LEADS) system. All costs associated with the collection, transfer, and formatting of these data to be compatible with the LEADS system is the responsibility of the Third-Party Recipient.					12,000.00	12,000.00
AQ	41335	Enterprise Products Operating LLC	02/05/2012	1.00:1	66,925.00	13,385.00	26,770.00	26,770.00	26,770.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.	26,770.00	26,770.00					
AQ	42963	Flint Hills Resources Port Arthur, LLC	06/22/2012	1.00:1	12,400.00	2,480.00	4,960.00	4,960.00	4,960.00
	POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.					4,960.00	4,960.00	
AQ	43254	Exxon Mobil Corporation	03/03/2012	1.00:1	98,000.00	0.00	49,000.00	49,000.00	49,000.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.					49,000.00	49,000.00	
AQ	41758	DGP Midstream, LP	12/19/2011	1.00:1	9,875.00	1,975.00	0.00	7,900.00	7,900.00
	POLLUTION PREVENTION	SEP funds will be used to construct, operate, maintain, and potentially expand a network of continuous ambient monitoring stations ("CAMS") that monitor both air toxics and ozone precursors.					7,900.00	7,900.00	
AQ	41584	Firestone Polymers, LLC	11/03/2011	1.00:1	20,000.00	0.00	10,000.00	10,000.00	10,000.00
	POLLUTION PREVENTION	Specifically, the SEP Offset Amount will be used to perform energy efficiency upgrades on public buildings and infrastructure. The SEP Offset Amount may only be used for: (1) the cost of conducting energy efficiency audits; (2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); (3) the incremental cost of purchase of equipment or appliances that are more energy-efficient (versus the cost of purchasing non-energy efficient equipment or appliances); or (4) other direct costs authorized in advance by TCEQ. The SEP Offset Amount may only be used for the direct cost of performing this project and no portion will be spent on administrative costs. For items 2, 3, and 4 above, Performing Party shall submit a budget and proposals for expenditure to TCEQ for written approval prior to the use of SEP Funds.  Modifications may include but are not limited to weatherization; energy-reduction modifications such a					10,000.00	10,000.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

AQ	42136	Enterprise Products Operating LLC	04/05/2012	1.00:1	10,569.00	2,113.00	4,228.00	4,228.00	4,228.00
		POLLUTION PREVENTION	SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.				4,228.00	4,228.00	
AQ	43276	Total Petrochemicals & Refining USA, Inc.	08/02/2012	1.00:1	25,000.00	0.00	12,500.00	12,500.00	12,500.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area.				12,500.00	12,500.00	
AQ	40115	Arkema Inc.	03/16/2012	1.00:1	34,650.00	0.00	17,325.00	17,325.00	17,325.00
		POLLUTION REDUCTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to the Southeast Texas Regional Planning Commission for the Meteorological and Air Quality Monitoring Network.				17,325.00	17,325.00	
AQ	42843	BP Amoco Chemical Company	06/08/2012	1.00:1	11,450.00	2,290.00	4,580.00	4,580.00	4,580.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.				4,580.00	4,580.00	
AQ	42965	Shell Chemical LP *	08/17/2012	1.00:1	21,569.00	0.00	10,785.00	10,784.00	10,784.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.				10,784.00	10,784.00	
AQ	42584	Cowtown Gas Processing Partners L.P.	06/08/2012	1.00:1	19,170.00	3,834.00	0.00	15,336.00	15,336.00
		POLLUTION PREVENTION	SEP funds will be used to construct, operate, maintain, and potentially expand a network of continuous ambient monitoring stations ("CAMS") that monitor both air toxics and ozone precursors.				15,336.00	15,336.00	
AQ	41256	SQUARE MILE ENERGY, L.L.C.	02/18/2012	1.00:1	40,440.00	8,088.00	16,176.00	16,176.00	16,176.00
		POLLUTION REDUCTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.				16,176.00	16,176.00	
AQ	41941	DCP Midstream, LP	02/10/2012	1.00:1	3,950.00	790.00	1,580.00	1,580.00	1,580.00
		POLLUTION PREVENTION	SEP funds will be used to construct, operate, maintain, and potentially expand a network of continuous ambient monitoring stations ("CAMS") that monitor both air toxics and ozone precursors.				1,580.00	1,580.00	
AQ	42513	Texas City Cogeneration, LLC	05/24/2012	1.00:1	1,825.00	365.00	730.00	730.00	730.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.				730.00	730.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

AQ	42578	E. I. du Pont de Nemours and Company	05/25/2012	1.00:1	10,535.00	2,107.00	4,214.00	4,214.00	4,214.00
		POLLUTION PREVENTION	The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ.					4,214.00	4,214.00
AQ	41611	Diamond Shamrock Refining Company, L.P.	01/27/2012	1.00:1	145,211.00	29,042.00	58,085.00	58,084.00	58,084.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations					58,084.00	58,084.00
AQ	42206	The Premcor Refining Group Inc.	02/18/2012	1.00:1	30,000.00	6,000.00	12,000.00	12,000.00	12,000.00
		POLLUTION PREVENTION	Conduct home energy audits and to assist low income residents in the West Port Arthur area in improving their homes' energy efficiency such as by caulking, weatherizing, insulating, and replacing heating and cooling systems and major appliances with new, energy efficient equipment.					12,000.00	12,000.00
AQ	42427	Flint Hills Resources Port Arthur, LLC	04/23/2012	1.00:1	46,450.00	9,290.00	18,580.00	18,580.00	18,580.00
		POLLUTION PREVENTION	Conduct home energy audits and to assist low income residents in the West Port Arthur area in improving their homes' energy efficiency such as by caulking, weatherizing, insulating, and replacing heating and cooling systems and major appliances with new, energy efficient equipment.					18,580.00	18,580.00
AQ	41942	Exxon Mobil Corporation	06/08/2012	1.00:1	12,100.00	2,420.00	4,840.00	4,840.00	4,840.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fuelled or clean diesel buses.					4,840.00	4,840.00
AQ	39924	Exxon Mobil Corporation	11/18/2011	1.00:1	40,000.00	0.00	20,000.00	20,000.00	20,000.00
		POLLUTION REDUCTION	the SEP Offset Amount will be used to provide reimbursement to school districts, area transit agencies, or local governments in the Houston-Galveston non-attainment area to retrofit or replace older school buses with current model year buses or cleaner technology and for the incremental cost of replacing local government fleet vehicles with newer lower-emission vehicles, which may include alternative-fueled vehicles.					20,000.00	20,000.00
AQ	42187	ConocoPhillips Company	02/18/2012	1.00:1	19,750.00	3,950.00	7,900.00	7,900.00	7,900.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations	7,900.00	7,900.00					
AQ	41740	Norit Americas, Inc.	03/03/2012	1.00:1	69,650.00	13,930.00	27,860.00	27,860.00	27,860.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations	27,860.00	27,860.00					
AQ	42662	Chevron Phillips Chemical Company LP	05/25/2012	1.00:1	72,748.00	0.00	36,374.00	36,374.00	36,374.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.	36,374.00	36,374.00					
AQ	41412	Chevron Phillips Chemical Company LP	10/17/2011	1.00:1	10,468.00	2,093.00	4,188.00	4,187.00	4,187.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fuelled or clean diesel buses.	4,187.00	4,187.00					
AQ	42207	Diamond Shamrock Refining Company, L.P.	06/08/2012	1.00:1	33,913.00	6,782.00	13,566.00	13,565.00	13,565.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations	13,565.00	13,565.00					

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

AQ	42325	Total Petrochemicals & Refining USA, Inc.	04/05/2012	1.00:1	269,775.00	53,955.00	107,910.00	107,910.00	107,910.00
		POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ. Performing Party shall ensure that the data is validated and sent to TCEQ's Leading Environmental Analysis and Display (LEADS) system. All costs associated with the collection, transfer, and formatting of these data to be compatible with the LEADS system is the responsibility of the Third-Party Recipient.					53,955.00	53,955.00
		POLLUTION PREVENTION	Conduct home energy audits and to assist low income residents in the West Port Arthur area in improving their homes' energy efficiency such as by caulking, weatherizing, insulating, and replacing heating and cooling systems and major appliances with new, energy efficient equipment.					53,955.00	53,955.00

AQ	42681	Equistar Chemicals, LP	06/08/2012	1.00:1	10,000.00	0.00	5,000.00	5,000.00	5,000.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.					5,000.00	5,000.00

AQ	41158	KM Liquids Terminals LLC	09/16/2011	1.00:1	37,950.00	7,590.00	15,180.00	15,180.00	15,180.00
		POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.					15,180.00	15,180.00

AQ	41367	Exxon Mobil Corporation	12/19/2011	1.00:1	30,000.00	6,000.00	12,000.00	12,000.00	12,000.00
		POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.					12,000.00	12,000.00

AQ	41997	The Dow Chemical Company	06/08/2012	1.00:1	259,222.00	51,844.00	103,689.00	103,689.00	103,689.00
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\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	103,689.00	103,689.00					
AQ	40911	INVISTA S.a r.l.	10/02/2011	1.00:1	14,850.00	2,970.00	5,940.00	5,940.00	5,940.00
	POLLUTION PREVENTION	Specifically, the SEP Offset Amount will be used to perform energy efficiency upgrades on public buildings and infrastructure. The SEP Offset Amount may only be used for: (1) the cost of conducting energy efficiency audits; (2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); (3) the incremental cost of purchase of equipment or appliances that are more energy-efficient (versus the cost of purchasing non-energy efficient equipment or appliances); or (4) other direct costs authorized in advance by TCEQ. The SEP Offset Amount may only be used for the direct cost of performing this project and no portion will be spent on administrative costs. For items 2, 3, and 4 above, Performing Party shall submit a budget and proposals for expenditure to TCEQ for written approval prior to the use of SEP Funds.  Modifications may include but are not limited to weatherization; energy-reduction modifications such a	5,940.00	5,940.00					
AQ	42061	Aruba Petroleum, INC.	05/24/2012	1.00:1	3,605.00	721.00	0.00	2,884.00	2,884.00
	POLLUTION PREVENTION	SEP funds will be used to construct, operate, maintain, and potentially expand a network of continuous ambient monitoring stations ("CAMS") that monitor both air toxics and ozone precursors.	2,884.00	2,884.00					
AQ	42433	Exxon Mobil Corporation	05/25/2012	1.00:1	113,958.00	22,791.00	45,584.00	45,583.00	45,583.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants.	45,583.00	45,583.00					
AQ	41872	Lyondell Chemical Company	02/25/2012	1.00:1	6,550.00	1,310.00	2,620.00	2,620.00	2,620.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to The Sheltering Arms to be used for the Sheltering Arms Weatherization Assistance Program as set forth in the Agreement between the Third-Party Recipient and TCEQ. The SEP offset amount shall be used to perform energy efficiency upgrades on low-income homes in Harris County. Specifically, the SEP offset amount may only be used for: 1) purchase and installation of compact fluorescent light bulbs; 2) the incremental cost of replacement of energy-inefficient fans and refrigerators with energy-efficient models; 3) the incremental cost of replacement of energy-inefficient washers and dryers with energy-efficient models; 4) sheetrock repairs to sustain and protect new attic insulation; 5) floor repairs to prevent air leaks; 6) repairs to patches made to sheetrock during the addition of all new insulation; and 7) other direct costs authorized in advance by TCEQ.	2,620.00	2,620.00					
AQ	41434	Equistar Chemicals, LP	10/02/2011	1.00:1	7,789.00	1,557.00	3,116.00	3,116.00	3,116.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.				3,116.00		3,116.00	
AQ	42936	Huntsman Petrochemical, LLC	06/22/2012	1.00:1	20,545.00	0.00	10,273.00	10,272.00	10,272.00
	POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ. Performing Party shall ensure that the data is validated and sent to TCEQ's Leading Environmental Analysis and Display (LEADS) system. All costs associated with the collection, transfer, and formatting of these data to be compatible with the LEADS system is the responsibility of the Third-Party Recipient.						10,272.00	10,272.00
AQ	42412	INVISTA S.a.r.l.	05/25/2012	1.00:1	36,100.00	7,220.00	14,440.00	14,440.00	14,440.00
	POLLUTION PREVENTION	The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ.						14,440.00	14,440.00
AQ	41478	Bar Constructors, Inc.	10/02/2011	1.00:1	2,100.00	420.00	840.00	840.00	840.00
	POLLUTION PREVENTION	The Performing Party shall provide reimbursement to schools, school districts, or school bus operators that retrofit, repower, or replace older school buses with newer model year buses or cleaner technology. Retrofitting, in this context, means installing devices to reduce exhaust emissions that are verified or certified by the U.S. Environmental Protection Agency (EPA) or the California Air Resources Board (CARB) as proven retrofit technologies. Repowering, in this context, means replacing older engines with newer, cleaner engines but keeping the same vehicle body. All replacement engines must be certified or verified by the EPA or CARB.						840.00	840.00
AQ	42063	Ascend Performance Materials Operations LLC	06/08/2012	1.00:1	113,629.00	22,725.00	45,452.00	45,452.00	45,452.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.						45,452.00	45,452.00
AQ	41643	E. I. du Pont de Nemours and Company	12/19/2011	1.00:1	9,075.00	0.00	4,538.00	4,537.00	4,537.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	SEP funds will be used to install or retrofit, operate, maintain, and potentially expand air monitoring stations in Harris County to continuously monitor ambient air for total non-methane hydrocarbons and hazardous air pollutants (HAPs). Specifically, SEP funds will be used to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring.	4,537.00	4,537.00					
AQ	42535	Eastman Chemical Company	06/08/2012	1.00:1	63,300.00	12,660.00	25,320.00	25,320.00	25,320.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations	25,320.00	25,320.00					
AQ	42791	Equistar Chemicals, LP	06/22/2012	1.00:1	10,571.00	2,114.00	4,229.00	4,228.00	4,228.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants.	4,228.00	4,228.00					
AQ	42448	Equistar Chemicals, LP	06/08/2012	1.00:1	20,000.00	4,000.00	8,000.00	8,000.00	8,000.00
	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.	8,000.00	8,000.00					
AQ	41218	INVISTA S.a.r.l.	09/16/2011	1.00:1	5,625.00	1,125.00	2,250.00	2,250.00	2,250.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fuelled or clean diesel buses.	2,250.00	2,250.00					
AQ	42993	Enterprise Products Operating LLC	08/26/2012	1.00:1	3,725.00	745.00	1,490.00	1,490.00	1,490.00
	POLLUTION PREVENTION	SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fuelled or clean diesel buses.	1,490.00	1,490.00					
AQ	41607	L. H. Lacy Company, LTD.	12/19/2011	1.00:1	2,100.00	420.00	840.00	840.00	840.00
	POLLUTION PREVENTION	The Performing Party shall provide reimbursement to schools, school districts, or school bus operators that retrofit, repower, or replace older school buses with newer model year buses or cleaner technology. Retrofitting, in this context, means installing devices to reduce exhaust emissions that are verified or certified by the U.S. Environmental Protection Agency (EPA) or the California Air Resources Board (CARB) as proven retrofit technologies. Repowering, in this context, means replacing older engines with newer, cleaner engines but keeping the same vehicle body. All replacement engines must be certified or verified by the EPA or CARB.	840.00	840.00					

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

AQ	41471	NuStar Logistics, L.P.	11/03/2011	1.00:1	6,100.00	1,220.00	2,440.00	2,440.00	2,440.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.				2,440.00	2,440.00	
AQ	42270	Georgia-Pacific Gypsum LLC	03/16/2012	1.00:1	21,450.00	4,290.00	8,580.00	8,580.00	8,580.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations				8,580.00	8,580.00	
IHW	43428	BFI Waste Services of Texas, LP	08/12/2012	1.00:1	2,500.00	500.00	1,000.00	1,000.00	1,000.00
		POLLUTION PREVENTION	This SEP will focus on preserving multiple tracts of land comprising approximately 1,000 acres in the riparian corridor east of FM 2978 and west of U.S. 59. The Third-Party Recipient shall use SEP Funds to acquire conservation easements on these tracts to provide permanent protection and stewardship of these lands and waters.				1,000.00	1,000.00	
IHW	42532	ConocoPhillips Company	06/08/2012	1.00:1	37,500.00	7,500.00	15,000.00	15,000.00	15,000.00
		POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.  Minimum Contribution: \$1,500				15,000.00	15,000.00	
IHW	42022	BASF Corporation	03/16/2012	1.00:1	42,750.00	8,550.00	17,100.00	17,100.00	17,100.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.				17,100.00	17,100.00	
IHW	43161	Structural Metals, Inc.	08/05/2012	1.00:1	5,475.00	1,095.00	2,190.00	2,190.00	2,190.00
		POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas.				2,190.00	2,190.00	
IHW	41461	Structural Metals, Inc.	09/16/2011	1.00:1	5,525.00	1,105.00	2,210.00	2,210.00	2,210.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

		POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous monitoring of area water quality. The information collected will be non-regulatory, and will be used to monitor the quality and safety of Texas surface waters, and to assess the state of the environment with real-time or near real-time monitoring data. SEP monies will be used to pay for the instrumentation, installation, and maintenance and/or operation of the instrumentation, data validation, software license, and web server costs.					2,210.00	2,210.00
IHW	42574	Triumph Structures - East Texas, Inc.	04/23/2012	1.00:1	10,470.00	2,094.00	4,188.00	4,188.00	4,188.00
		POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.  Minimum Contribution: \$1,500					4,188.00	4,188.00
MSW	42250	City Of Levelland	05/12/2012	1.00:1	2,950.00	590.00	0.00	2,360.00	2,360.00
		POLLUTION PREVENTION	Abandoned Tire Clean-Up.					2,360.00	2,360.00
MSW	43040	Greenstar Mid-America, LLC	07/15/2012	1.00:1	1,650.00	330.00	660.00	660.00	660.00
		POLLUTION PREVENTION	The Third-Party recipient shall install additional water quality monitoring sites to complement and enhance the existing San Antonio River Basin Monitoring Network (SARBMN) along the San Antonio River in Bexar, Wilson, Karnes, and Goliad counties. The Third-Party recipient may also use SEP funds to pay for the continued operation and maintenance of existing SARBMN sites.					660.00	660.00
PST	42649	Harris County	06/07/2012	1.00:1	3,400.00	680.00	0.00	2,720.00	2,720.00
		POLLUTION PREVENTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to the Bayou Land Conservancy for the Spring Creek Greenway Project. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, this SEP will focus on preserving multiple tracts of land comprising approximately 1,000 acres in the riparian corridor east of FM 2978 and west of U.S. 59. The Third-Party Recipient shall use SEP Funds to acquire conservation easements on these tracts to provide permanent protection and stewardship of these lands and waters. The Third-Party Recipient shall also use SEP Funds to conduct restoration work, including tree plantings, on these tracts, as needed. All property acquired in whole or in part with SEP Funds must be preserved in perpetuity through a conservation easement that complies with Subchapter A, Chapter 183, Texas Na					2,720.00	2,720.00
PST	42765	DCJR Enterprises, Inc.	06/17/2012	1.00:1	4,000.00	800.00	1,600.00	1,600.00	1,600.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to the City of Portland for the Violet Andrews Park Erosion Control and Habitat Restoration SEP. The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used for habitat restoration and erosion control in Violet Andrews Park. This SEP will restore native vegetation in strategic areas that are denuded within the park site which will reduce erosion and sedimentation, and enhance and restore native habitat for numerous bird species protected under the Migratory Bird Treaty Act.	1,600.00	1,600.00					
PST	43574	Limestone County	08/26/2012	1.00:1	6,000.00	1,200.00	0.00	4,800.00	4,800.00
	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government.	4,800.00	4,800.00					
PST	42210	Austin Mac Haik Ford Lincoln LTD	06/07/2012	1.00:1	2,379.00	475.00	952.00	952.00	952.00
	POLLUTION PREVENTION	Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to the Austin Parks Foundation for the Restoration and Rehabilitation of the Barton Springs Pool Bypass Tunnel. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Third-Party Recipient and the TCEQ (the "Project"). The deteriorated condition of the Barton Springs Pool Bypass tunnel results in a significant increase of pollutants from urban runoff into the pool. Specifically, this SEP will restore and rehabilitate the Barton Springs Pool bypass tunnel inlet grate and outlet valve by providing funds for the engineering design, materials and construction activities to repair the tunnel.	952.00	952.00					
PST	39658	Thomas Petroleum, LLC	11/03/2011	1.00:1	84,004.00	0.00	42,002.00	42,002.00	42,002.00
	POLLUTION REDUCTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Jefferson County for the Cheek Community First Time Sewer Service for Low-Income Home Owners. The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used to provide first time sewer service for approximately 81 Low-Income residential customers in the Candlelight and Martel Subdivisions (Cheek Community) located in the western area of Jefferson County. This area has open sewage pits or poorly maintained septic systems which are a hazard to human health and the environment.	42,002.00	42,002.00					
PST	42472	City of Garland	05/24/2012	1.00:1	4,875.00	975.00	0.00	3,900.00	3,900.00
	POLLUTION PREVENTION	SEP funds will be used to construct, operate, maintain, and potentially expand a network of continuous ambient monitoring stations ("CAMS") that monitor both air toxics and ozone precursors.	3,900.00	3,900.00					
PST	41849	ANMOL & ASSOCIATES INC	03/18/2012	1.00:1	2,250.00	450.00	900.00	900.00	900.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	The contribution will be to Caddo Lake Institute (CLI) for the Caddo Lake Watershed Enhanced Monitoring Program to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will be used for increased water quality monitoring and contaminant sampling for use in evaluating risks to water in Caddo Lake and other water bodies in the Cypress Creek basin, comprising the Caddo Lake watershed. This project will supplement and enhance existing monitoring and contaminant sampling within the Cypress Creek basin. Depending upon the amount of funding available, SEP funds may be used to increase the frequency of samples taken and analyzed from existing monitoring sites, expand the types of monitoring done for existing sites, fund monitoring at other locations, or fund other types of environmental sampling for contaminants that enter waters of the State.	900.00	900.00					
PST	42534	City of Arlington	08/05/2012	1.00:1	6,100.00	1,220.00	0.00	4,880.00	4,880.00
	POLLUTION PREVENTION	SEP funds will be used to construct, operate, maintain, and potentially expand a network of continuous ambient monitoring stations ("CAMS") that monitor both air toxics and ozone precursors.						4,880.00	4,880.00
PST	42527	Quick AI, Inc.	06/15/2012	1.00:1	2,550.00	0.00	1,275.00	1,275.00	1,275.00
	POLLUTION PREVENTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to the Texas Association of Resource Conservation & Development Areas, Inc. ("RC&D") for the Water and Wastewater Treatment Assistance project.						1,275.00	1,275.00
PST	42556	BFI Waste Services of Texas, LP	05/12/2012	1.00:1	4,738.00	947.00	1,896.00	1,895.00	1,895.00
	POLLUTION PREVENTION	Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will pay for materials, supplies, disposal costs and transportation costs directly associated with the clean up of trash.						1,895.00	1,895.00
PWS	39336	City of Alpine	11/03/2011	1.00:1	7,270.00	0.00	0.00	7,270.00	7,270.00
	POLLUTION REDUCTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to the Trans-Pecos Water & Land Trust for the Trans-Pecos Water Rights Acquisition Project.						7,270.00	7,270.00
PWS	41591	Village Farms, L.P.	11/18/2011	1.00:1	4,807.00	0.00	2,404.00	2,403.00	2,403.00
	POLLUTION PREVENTION	he contribution will be to the Trans-Pecos Water & Land Trust for the Trans-Pecos Water Rights Acquisition Project. The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used to acquire, by lease or purchase, surface water rights from various owners of existing water rights in the Rio Grande River Basin in Texas. Within 30 days after water rights are acquired, Third-Party Recipient shall then apply to TCEQ to administratively amend the water rights permit or certificate of adjudication (collectively referred to as "permit") pursuant to Water Code, Section 11.0237. If water rights are purchased, Third-Party Recipient shall change the use exclusively to instream flows dedicated to environmental needs. If the water rights are leased, Third-Party Recipient shall either change the use exclusively to or add a use for instream flows dedicated to environmental needs.						2,403.00	2,403.00
PWS	41602	Copperas Cove MHC, LLC	11/03/2011	1.00:1	1,732.00	0.00	866.00	866.00	866.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

		POLLUTION PREVENTION	The contribution will be to the Travis Audubon Society, Inc. for the Baker Sanctuary Fence Project Phase III. The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used to install an eight-foot tall game fence along 1,900 feet of the northern end of the Baker Sanctuary in order to prevent erosion and habitat destruction from the deer. The Third-Party Recipient owns the Baker Sanctuary; a 690 acre habitat preserve located in northwest Travis County for state and federally endangered Golden-cheeked Warbler and other wildlife.				866.00	866.00	
PWS	41610	University of Texas of the Permian Basin	12/19/2011	1.00:1	913.00	0.00	0.00	913.00	913.00
		POLLUTION PREVENTION	The contribution will be to the Travis Audubon Society, Inc. for the Baker Sanctuary Fence Project Phase III. The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used to install an eight-foot tall game fence along 1,900 feet of the northern end of the Baker Sanctuary in order to prevent erosion and habitat destruction from the deer. The Third-Party Recipient owns the Baker Sanctuary; a 690 acre habitat preserve located in northwest Travis County for state and federally endangered Golden-cheeked Warbler and other wildlife.				913.00	913.00	
WQ	41280	Zapata County	10/02/2011	1.00:1	13,200.00	2,640.00	0.00	10,560.00	10,560.00
		POLLUTION REDUCTION	Respondent shall hold two one-day events for the collection, recycling, and proper disposal of used tires (the "Project"). The events will be advertised in the local newspaper of largest circulation and will include the required enforcement statement as stated in Section 6, Publicity, below. Citizens will be invited to drop off tires free of charge at a location convenient to local citizens located at the Zapata County Waterworks Maintenance Yard, 451 FM 496, Zapata, Texas, and at the collection site at San Ygnacio Landfill, (RN102327574), N US Highway 83, San Ygnacio, Texas 78067. Labor shall be provided by the Respondent at no cost to the SEP. Respondent shall provide the necessary equipment to collect tires. The SEP Offset Amount will be used for the cost of a licensed contractor to transport and properly dispose of collected tires, for directional signs at the drop off locations, and for the cost of publication of the events.				10,560.00	10,560.00	
WQ	41208	ExxonMobil Oil Corporation	09/16/2011	1.00:1	23,900.00	4,780.00	9,560.00	9,560.00	9,560.00
		POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies. Eligible Counties: Statewide				9,560.00	9,560.00	
WQ	41134	City of La Joya	12/19/2011	1.00:1	16,700.00	3,340.00	0.00	13,360.00	13,360.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION REDUCTION	Respondent shall hold three (3) one-day events for the collection, recycling, and proper disposal of used tires (the "Project"). The events shall be advertised in the local newspaper of largest circulation and shall include the required enforcement statement as stated in Section 6, Publicity, below. Citizens will be invited to drop off tires free of charge at a location convenient to local citizens located at the corner of Military Road and Garza Avenue in La Joya, Texas. Labor shall be provided by the Respondent at no cost to the SEP. Respondent shall provide the necessary equipment to collect tires. The SEP Offset Amount shall be used for the cost of a licensed contractor to pick up and transport the tires to the Hidalgo County Landfill (Permit No. 1727; RN102003597), a proper disposal facility, located on Military Road off of Farm to Market Road 1427. The contractor will take the recyclable tires directly to an authorized tire recycling facility and provide proof of delivery to the City of La Joya. The SEP Offset Amount shall also be used for directional signs at the drop off locations, and for the cost of publication of the events.	13,360.00	13,360.00					
WQ	41083	City of Jacksonville	01/27/2012	1.00:1	43,702.00	8,740.00	0.00	34,962.00	34,962.00
	POLLUTION REDUCTION	Respondent shall hold three (3) one-day events for collecting tires, batteries, used furniture, appliances without Freon, plastic, electronic waste (e-Waste), brush, paper, and metal. Respondent shall offer five supervised drop-off collection sites where the public can drop off materials for disposal or recycling at no cost to the citizens. These collections sites will be located at 903 Peebles Street, 507 Highway 175, 924 Larissa, 728 E. Rusk, and 1108 S. Jackson. The disposal facility will be Royal Oaks Landfill (RN101927010; Permit No. 1614A) located at 608 County Road 4102, Jacksonville, Texas 75766. The events will be advertised in a local newspaper to increase public awareness of and participation in the events and will include the required enforcement statement described in Section 6. Publicity, below.	34,962.00	34,962.00					
WQ	43109	City of Royse City	08/17/2012	1.00:1	6,000.00	0.00	0.00	6,000.00	6,000.00
	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners.	6,000.00	6,000.00					
WQ	41385	City of Calvert	10/17/2011	1.00:1	6,464.00	0.00	0.00	6,464.00	6,464.00
	POLLUTION REDUCTION	Respondent shall hold a three-day event for the collection, recycling, or proper disposal of tires and residential electronic waste ("E-waste") consisting of computers and televisions. Respondent shall offer a designated drop-off location at Old City Yard, Hanna Street, Calvert, Texas, where the public can drop off materials for disposal or recycling at no cost to the citizens. The event will be advertised in a local newspaper to increase public awareness of and participation in the event. Respondent shall collect, transport, dispose of, or recycle the collected materials at Brazos Valley Solid Waste Management Agency (Permit No. 1444C, RN100830090), 7600 Rock Prairie Road, College Station, Texas. The SEP Offset Amount will be used for the cost of a licensed contractor to transport and properly dispose of or recycle collected tires and E-waste, for directional signs at the drop off location(s), and for the cost of publication of the event. Labor shall be provided by the Respondent at no cost to the SEP.	6,464.00	6,464.00					
WQ	41453	City of Orange	08/17/2012	1.00:1	98,350.00	0.00	0.00	98,350.00	98,350.00
	POLLUTION PREVENTION	Respondent shall upgrade the infrastructure at the Jackson Street Wastewater Treatment Plant ("WWTP") by replacing the ultra-violet ("UV") disinfection equipment, modifying the effluent channel, and replacing the effluent flow meter.	98,350.00	98,350.00					
WQ	41423	City of Tatum	09/16/2011	1.00:1	11,120.00	2,224.00	0.00	8,896.00	8,896.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	The contribution will be to Caddo Lake Institute (CLI) for the Caddo Lake Watershed Enhanced Monitoring Program to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will be used for increased water quality monitoring and contaminant sampling for use in evaluating risks to water in Caddo Lake and other water bodies in the Cypress Creek basin, comprising the Caddo Lake watershed. This project will supplement and enhance existing monitoring and contaminant sampling within the Cypress Creek basin. Depending upon the amount of funding available, SEP funds may be used to increase the frequency of samples taken and analyzed from existing monitoring sites, expand the types of monitoring done for existing sites, fund monitoring at other locations, or fund other types of environmental sampling for contaminants that enter waters of the State.					8,896.00	8,896.00	
WQ	42890	San Antonio Water System	06/17/2012	1.00:1	4,100.00	820.00	0.00	3,280.00	3,280.00
	POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous monitoring of area water quality. The information collected will be non-regulatory, and will be used to monitor the quality and safety of Texas surface waters, and to assess the state of the environment with real-time or near real-time monitoring data. SEP monies will be used to pay for the instrumentation, installation, and maintenance and/or operation of the instrumentation, data validation, software license, and web server costs.						3,280.00	3,280.00
WQ	41452	City Of Lexington	10/02/2011	1.00:1	6,670.00	1,334.00	0.00	5,336.00	5,336.00
	POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous monitoring of area water quality. The information collected will be non-regulatory, and will be used to monitor the quality and safety of Texas surface waters, and to assess the state of the environment with real-time or near real-time monitoring data. SEP monies will be used to pay for the instrumentation, installation, and maintenance and/or operation of the instrumentation, data validation, software license, and web server costs.						5,336.00	5,336.00
WQ	41251	City of San Marcos	09/16/2011	1.00:1	4,000.00	800.00	0.00	3,200.00	3,200.00
	POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous monitoring of area water quality. The information collected will be non-regulatory, and will be used to monitor the quality and safety of Texas surface waters, and to assess the state of the environment with real-time or near real-time monitoring data. SEP monies will be used to pay for the instrumentation, installation, and maintenance and/or operation of the instrumentation, data validation, software license, and web server costs.						3,200.00	3,200.00
WQ	42879	City of Austin	06/08/2012	1.00:1	13,050.00	0.00	0.00	13,050.00	13,050.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to the Austin Parks Foundation for the Restoration and Rehabilitation of the Barton Springs Pool Bypass Tunnel. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Third-Party Recipient and the TCEQ (the "Project"). The deteriorated condition of the Barton Springs Pool Bypass tunnel results in a significant increase of pollutants from urban runoff into the pool. Specifically, this SEP will restore and rehabilitate the Barton Springs Pool bypass tunnel inlet grate and outlet valve by providing funds for the engineering design, materials and construction activities to repair the tunnel.	13,050.00	13,050.00					
WQ	41091	Texas Parks and Wildlife Department	10/02/2011	1.00:1	1,380.00	276.00	0.00	1,104.00	1,104.00
	ENVIRONMENTAL RESTORATION	Respondent shall perform an erosion control project along the shore of Lake Abilene in Taylor County, Texas, to reduce siltation in the Fort Phantom Lake Watershed (the "Project"). The bank of Lake Abilene has eroded approximately seven feet within the past five years. The Project will consist of the installation of slope protection to eliminate ongoing erosion of Lake Abilene's shoreline. Slope stabilization will be addressed by the installation of R-Rap walls (also referred to as Bag Wall installation). The R-Rap wall installation will begin at N32°14'00.5" W99°53'41.4" and will continue 140 yards west to N32°14'01.7" W99°53'43.9". The SEP Offset Amount will be used to purchase R-Rap materials. No SEP Offset Amount may be used to pay labor costs for Park Rangers that will provide the labor for the Project during normal working hours.	1,104.00	1,104.00					
WQ	42023	City of Madisonville	02/05/2012	1.00:1	7,860.00	1,572.00	0.00	6,288.00	6,288.00
	POLLUTION PREVENTION	Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to the Austin Parks Foundation for the Restoration and Rehabilitation of the Barton Springs Pool Bypass Tunnel. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Third-Party Recipient and the TCEQ (the "Project"). The deteriorated condition of the Barton Springs Pool Bypass tunnel results in a significant increase of pollutants from urban runoff into the pool. Specifically, this SEP will restore and rehabilitate the Barton Springs Pool bypass tunnel inlet grate and outlet valve by providing funds for the engineering design, materials and construction activities to repair the tunnel.	6,288.00	6,288.00					
WQ	42457	City of Fort Worth	02/18/2012	1.00:1	10,000.00	0.00	0.00	10,000.00	10,000.00
	POLLUTION PREVENTION	The contribution will be to Caddo Lake Institute (CLI) for the Caddo Lake Watershed Enhanced Monitoring Program to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will be used for increased water quality monitoring and contaminant sampling for use in evaluating risks to water in Caddo Lake and other water bodies in the Cypress Creek basin, comprising the Caddo Lake watershed. This project will supplement and enhance existing monitoring and contaminant sampling within the Cypress Creek basin. Depending upon the amount of funding available, SEP funds may be used to increase the frequency of samples taken and analyzed from existing monitoring sites, expand the types of monitoring done for existing sites, fund monitoring at other locations, or fund other types of environmental sampling for contaminants that enter waters of the State.	10,000.00	10,000.00					
WQ	41916	City of Commerce	02/05/2012	1.00:1	38,800.00	0.00	0.00	38,800.00	38,800.00
	CLEAN UP	Respondent shall remove and properly dispose of asbestos from two structures located at the City Park that the Respondent has deemed to be unsafe.	38,800.00	38,800.00					

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

WQ	40477	City of Carrollton	01/27/2012	1.00:1	23,600.00	0.00	0.00	23,600.00	23,600.00
		POLLUTION PREVENTION	Respondent shall install a half-pipe with extended net ("capture gate") to capture and to prevent the solids from entering into Josey Lake at coordinates 32.973233,-96.891731					23,600.00	23,600.00
WQ	42180	WHITEHEAD, IAN L *	04/08/2012	1.00:1	7,200.00	1,440.00	2,880.00	2,880.00	2,880.00
		POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous monitoring of area water quality. The information collected will be non-regulatory, and will be used to monitor the quality and safety of Texas surface waters, and to assess the state of the environment with real-time or near real-time monitoring data. SEP monies will be used to pay for the instrumentation, installation, and maintenance and/or operation of the instrumentation, data validation, software license, and web server costs.					2,880.00	2,880.00
WQ	42045	City of Hubbard	02/05/2012	1.00:1	20,400.00	0.00	0.00	20,400.00	20,400.00
		POLLUTION PREVENTION	Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to the Austin Parks Foundation for the Restoration and Rehabilitation of the Barton Springs Pool Bypass Tunnel. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Third-Party Recipient and the TCEQ (the "Project"). The deteriorated condition of the Barton Springs Pool Bypass tunnel results in a significant increase of pollutants from urban runoff into the pool. Specifically, this SEP will restore and rehabilitate the Barton Springs Pool bypass tunnel inlet grate and outlet valve by providing funds for the engineering design, materials and construction activities to repair the tunnel.					20,400.00	20,400.00
WQ	41287	Forestar (USA) Real Estate Group Inc.	09/16/2011	1.00:1	7,500.00	1,500.00	3,000.00	3,000.00	3,000.00
		POLLUTION PREVENTION	The Bunny Run Preserve is a 37-acre tract of land on the south side of the Colorado River just west of the Loop 360 Bridge in Travis County. The land was donated to The Hill Country Conservancy with the stipulation that the land be used in perpetuity as a wild bird preserve. Performing Party shall use SEP Funds to purchase native plants, seeds, and equipment in order to restore the native habitat of the preserve as more fully described below. Performing Party shall also use SEP Funds for labor, material and equipment costs directly associated with the clearance of brush from the small stream to revitalize the natural wetlands on the property.  Environmental Benefit: provide a discernible environmental benefit by restoring the native habitat of the preserve, which is located in a highly urban environment.					3,000.00	3,000.00
WQ	41137	Clean Harbors Deer Park, L.P.	10/17/2011	1.00:1	23,900.00	4,780.00	9,560.00	9,560.00	9,560.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation. This project will seek to raise elevations by scraping and terracing. Scraping involves the use of earthmoving equipment to grade an eroded, bluff-like shoreline so that it slopes gradually towards the water resulting in the ability of plant growth. Terracing uses earthmoving equipment to create small levees by burrowing and stacking sediment in shallow water.	9,560.00	9,560.00					
WQ	41857	Trinity Bay Conservation District	01/27/2012	1.00:1	20,925.00	4,185.00	0.00	16,740.00	16,740.00
	POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation. This project will seek to raise elevations by scraping and terracing. Scraping involves the use of earthmoving equipment to grade an eroded, bluff-like shoreline so that it slopes gradually towards the water resulting in the ability of plant growth. Terracing uses earthmoving equipment to create small levees by burrowing and stacking sediment in shallow water.	16,740.00	16,740.00					
WQ	41336	West Wise Special Utility District	10/02/2011	1.00:1	4,200.00	840.00	0.00	3,360.00	3,360.00
	POLLUTION PREVENTION	The contribution will be to Caddo Lake Institute (CLI) for the Caddo Lake Watershed Enhanced Monitoring Program to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will be used for increased water quality monitoring and contaminant sampling for use in evaluating risks to water in Caddo Lake and other water bodies in the Cypress Creek basin, comprising the Caddo Lake watershed. This project will supplement and enhance existing monitoring and contaminant sampling within the Cypress Creek basin. Depending upon the amount of funding available, SEP funds may be used to increase the frequency of samples taken and analyzed from existing monitoring sites, expand the types of monitoring done for existing sites, fund monitoring at other locations, or fund other types of environmental sampling for contaminants that enter waters of the State.	3,360.00	3,360.00					
WQ	41807	JARRELL INDEPENDENT SCHOOL DISTRICT	12/19/2011	1.00:1	6,930.00	1,386.00	0.00	5,544.00	5,544.00
	POLLUTION PREVENTION	Performing Party shall use SEP funds for the following activities for the Barton Springs Bypass Tunnel Repair: Engineering design, materials and construction activities to replace the bypass tunnel inlet grate; and engineering design, materials and construction activities of the bypass tunnel outlet gate/valve ("valve").  For the second phase of the project, the Performing Party shall replace the tunnel inlet grate with a bar or grate pattern that optimizes passage of debris to allow the full capacity of the tunnel to be utilized.	5,544.00	5,544.00					
WQ	43572	PL Propylene LLC	08/27/2012	1.00:1	18,000.00	3,600.00	7,200.00	7,200.00	7,200.00
	POLLUTION PREVENTION	The Project will restore the native wildlife habitat at the Arboretum which is located in a highly urban area. The restored forest will enhance water quality by serving as a filter for nutrients and other heavy metals thereby reducing the amount of pollutants reaching Buffalo Bayou.	7,200.00	7,200.00					
WQ	42627	San Antonio Water System	04/08/2012	1.00:1	1,500.00	300.00	0.00	1,200.00	1,200.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Single Program

	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies. Eligible Counties: Statewide Minimum Contribution: \$2,000					1,200.00	1,200.00	
WQ	42271	City of Cotulla	06/22/2012	1.00:1	7,680.00	1,536.00	0.00	6,144.00	6,144.00
		POLLUTION REDUCTION	Self-Administered					6,144.00	6,144.00
WQ	41191	Gulf Copper & Manufacturing Corporation	01/27/2012	1.00:1	11,426.00	2,285.00	4,571.00	4,570.00	4,570.00
		POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation. This project will seek to raise elevations by scraping and terracing. Scraping involves the use of earthmoving equipment to grade an eroded, bluff-like shoreline so that it slopes gradually towards the water resulting in the ability of plant growth. Terracing uses earthmoving equipment to create small levees by burrowing and stacking sediment in shallow water.					4,570.00	4,570.00
WQ	40725	Zapata County	12/19/2011	1.00:1	74,860.00	0.00	0.00	74,860.00	74,860.00
		POLLUTION REDUCTION	Respondent shall install water lines and provide first-time water service to approximately five low-income residences located in the Falcon Rural Water Supply Corporation area on Pedernales Road in Zapata County (the "Project"). The Project will begin at the intersection of Highway 83 and Pedernales Road and proceed east for 5 miles on Pedernales Road. The SEP Offset Amount will be used to purchase materials, supplies, and equipment necessary to complete the Project and for the testing and service connection fees for each of the residences. Zapata County will provide, at its own cost, the construction labor. Falcon Rural Water Supply Corporation is not under an enforcement action, and the proposed water line is not within the Zapata County Waterworks CCN.					74,860.00	74,860.00
WQ	40551	City of Tyler	03/16/2012	1.00:1	41,400.00	0.00	0.00	41,400.00	41,400.00
		POLLUTION PREVENTION	The SEP Offset Amount will be used to repair the leakage/seepage occurring at the diversion conduit outlet at the dam. The SEP Offset Amount will be used for the costs of construction, but not limited to, including materials and equipment, dewatering process and inspection fees relative to the construction portion of the Project. No SEP Offset Amount will be used for labor engineering, or design phases of the Project.					41,400.00	41,400.00
<b>Count of Single Program Orders:</b>		<b>142</b>	<b>Total:</b>		<b>5,234,853.00</b>	<b>568,019.00</b>	<b>2,116,259.00</b>	<b>,550,575.00</b>	<b>,550,575.00</b>

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 8: List of SEP's & SEP Projects - FY2012

### Multi-Media Program

Date Range Selected : 09/01/2011 - 08/31/2012

PGM	Case No.	Respondent Name	Effective Date	Ratio	Assessed Amt	Deferred Amt	Payable Amt	SEP Cost	SEP Offset
MM	42641	Exterran Energy Solutions LP	08/27/2012	1.00:1	21,241.00	4,248.00	8,497.00	8,496.00	8,496.00
		POLLUTION PREVENTION	The Project will restore the native wildlife habitat at the Arboretum which is located in a highly urban area.					8,496.00	8,496.00
MM	41721	City of Edinburg	08/02/2012	1.00:1	12,000.00	2,400.00	0.00	9,600.00	9,600.00
		ENVIRONMENTAL RESTORATION	Respondent shall install concrete rip-rap material along the eroded embankments of the two large ponds of the wetland area at the World Birding Center located at 714 South Raul Longoria Road, Edinburg, Texas					9,600.00	9,600.00
MM	41260	Effective Environmental, Inc.	08/17/2012	1.00:1	16,375.00	0.00	8,188.00	8,187.00	8,187.00
		POLLUTION PREVENTION	Respondent shall contribute to the Texas Association of Resource Conservation & Development Areas, Inc. ("RC&D") for the Water or Wastewater Treatment Assistance program.					8,187.00	8,187.00
MM	41224	Hunt County	12/19/2011	1.00:1	10,000.00	0.00	0.00	10,000.00	10,000.00
		POLLUTION PREVENTION	The contribution will be to Caddo Lake Institute (CLI) for the Caddo Lake Watershed Enhanced Monitoring Program to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will be used for increased water quality monitoring and contaminant sampling for use in evaluating risks to water in Caddo Lake and other water bodies in the Cypress Creek basin, comprising the Caddo Lake watershed. This project will supplement and enhance existing monitoring and contaminant sampling within the Cypress Creek basin. Depending upon the amount of funding available, SEP funds may be used to increase the frequency of samples taken and analyzed from existing monitoring sites, expand the types of monitoring done for existing sites, fund monitoring at other locations, or fund other types of environmental sampling for contaminants that enter waters of the State.					10,000.00	10,000.00
<b>Count of Multi-Media Program Orders: 4</b>		<b>Total:</b>		59,616.00	6,648.00	16,685.00	36,283.00	36,283.00	
<b>Grand Total Count of Orders: 146</b>		<b>Grand Total:</b>		5,294,469.00	574,667.00	2,132,944.00	2,586,858.00	2,586,858.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 9: List of Administrative Orders Issued FY2011

### Singles Programs

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	38061	Titan Gunite, LLC	2009-1202-AIR-E	HARRIS	9/10/2010	\$6,120	\$0	\$6,120	\$0
AQ	37647	HUYNH, THANG	2009-0738-AIR-E	LIMESTONE	9/26/2010	\$1,120	\$224	\$896	\$0
AQ	39493	DCP Midstream, LP	2010-0587-AIR-E	ANDREWS	9/26/2010	\$3,550	\$710	\$1,420	\$1,420
AQ	39274	Arkema Inc.	2010-0336-AIR-E	HARRIS	9/26/2010	\$10,000	\$2,000	\$8,000	\$0
AQ	39232	E. I. du Pont de Nemours and Company	2010-0313-AIR-E	HARRIS	9/26/2010	\$10,336	\$2,067	\$8,269	\$0
AQ	39329	Acacia Natural Gas Corporation	2010-0414-AIR-E	MONTGOMERY	9/26/2010	\$14,345	\$2,869	\$11,476	\$0
AQ	36876	Orange Crush Recyclers, Ltd.	2008-1841-AIR-E	DALLAS	9/26/2010	\$3,060	\$0	\$3,060	\$0
AQ	37372	Janes Pavement Services, Inc.	2009-0416-AIR-E	WALKER	9/26/2010	\$11,000	\$200	\$10,800	\$0
AQ	39307	BASF FINA Petrochemicals Limited Partnership	2010-0393-AIR-E	JEFFERSON	9/26/2010	\$9,775	\$1,955	\$3,910	\$3,910
AQ	39093	ConocoPhillips Company	2010-0178-AIR-E	HUTCHINSON	9/26/2010	\$47,084	\$0	\$23,542	\$23,542
AQ	39105	WTG Gas Processing, L.P.	2010-0219-AIR-E	HOWARD	9/26/2010	\$4,850	\$970	\$3,880	\$0
AQ	39330	Exxon Mobil Corporation	2010-0427-AIR-E	HARRIS	9/26/2010	\$10,000	\$2,000	\$4,000	\$4,000
AQ	39457	BOPCO, L.P.	2010-0536-AIR-E	HOCKLEY	10/15/2010	\$2,000	\$400	\$1,600	\$0
AQ	38827	Brownfield Farmers, L.L.C.	2009-2000-AIR-E	TERRY	10/15/2010	\$1,160	\$232	\$928	\$0
AQ	39063	Exxon Mobil Corporation	2010-0134-AIR-E	HARRIS	10/15/2010	\$40,000	\$0	\$20,000	\$20,000
AQ	39444	TOTAL PETROCHEMICALS USA, INC.	2010-0525-AIR-E	HARRIS	10/15/2010	\$10,000	\$2,000	\$8,000	\$0
AQ	38179	Exxon Mobil Corporation	2009-1343-AIR-E	HARRIS	10/15/2010	\$3,700	\$0	\$1,850	\$1,850
AQ	39324	Chevron Phillips Chemical Company LP	2010-0410-AIR-E	HARRIS	10/15/2010	\$30,000	\$0	\$15,000	\$15,000
AQ	39582	Midwest Wood Treating Inc	2010-0682-AIR-E	NACOGDOCHES	10/15/2010	\$12,000	\$2,400	\$4,800	\$4,800
AQ	39492	ExxonMobil Oil Corporation	2010-0586-AIR-E	JEFFERSON	10/15/2010	\$10,000	\$0	\$5,000	\$5,000
AQ	39305	Valero Refining-Texas, L.P.	2010-0390-AIR-E	GALVESTON	10/15/2010	\$233,000	\$46,600	\$93,200	\$93,200
AQ	39156	Devon Gas Services, L.P.	2010-0232-AIR-E	WISE	10/15/2010	\$5,625	\$1,125	\$4,500	\$0
AQ	39271	Natural Gas Pipeline Company of America LLC	2010-0357-AIR-E	WISE	10/25/2010	\$9,375	\$1,875	\$7,500	\$0
AQ	39578	Azteca Milling, L. P.	2010-0662-AIR-E	DEAF SMITH	10/25/2010	\$3,775	\$755	\$3,020	\$0
AQ	39556	Citgo Refining and Chemicals Company L.P.	2010-0644-AIR-E	NUECES	10/25/2010	\$7,500	\$1,500	\$6,000	\$0
AQ	37339	Valero Refining-Texas, L.P.	2009-0401-AIR-E	NUECES	10/25/2010	\$291,649	\$0	\$145,825	\$145,824
AQ	39394	Rodney Wayne Winters	2010-0488-AIR-E	WISE	10/25/2010	\$6,000	\$1,200	\$4,800	\$0
AQ	39387	The Dow Chemical Company	2010-0477-AIR-E	BRAZORIA	10/25/2010	\$20,000	\$0	\$10,000	\$10,000
AQ	38354	Belvan Corp.	2009-1490-AIR-E	CROCKETT	10/25/2010	\$7,650	\$0	\$7,650	\$0
AQ	39471	DCP Midstream, LP	2010-0555-AIR-E	WHARTON	10/25/2010	\$10,000	\$0	\$10,000	\$0
AQ	38015	Houston Refining LP	2009-1158-AIR-E	HARRIS	10/25/2010	\$10,501	\$0	\$10,501	\$0
AQ	38141	Shell Oil Company	2009-1300-AIR-E	HARRIS	10/25/2010	\$51,570	\$0	\$25,785	\$25,785
AQ	39536	Enbridge G & P (North Texas) LP	2010-0627-AIR-E	PARKER	10/25/2010	\$3,900	\$780	\$3,120	\$0
AQ	39241	Favelle Favco Cranes USA, Inc.	2010-0339-AIR-E	CAMERON	10/25/2010	\$3,345	\$669	\$1,338	\$1,338
AQ	39224	Huntsman Petrochemical Corporation	2010-0315-AIR-E	JEFFERSON	10/25/2010	\$29,600	\$5,920	\$11,840	\$11,840
AQ	39545	Intercontinental Terminals Company LLC	2010-0632-AIR-E	HARRIS	10/25/2010	\$5,226	\$1,045	\$4,181	\$0

## Singles Programs

## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	39238	Motiva Enterprises LLC	2010-0381-AIR-E	JEFFERSON	10/25/2010	\$97,167	\$19,433	\$38,867	\$38,867
AQ	39390	Exxon Mobil Corporation	2010-0448-AIR-E	HARRIS	10/25/2010	\$40,000	\$0	\$20,000	\$20,000
AQ	38569	Stoneham Mill Inc	2009-1590-AIR-E	LIBERTY	11/14/2010	\$7,500	\$0	\$7,500	\$0
AQ	39240	SandRidge Midstream, Inc.	2010-0340-AIR-E	PECOS	11/15/2010	\$42,600	\$0	\$42,600	\$0
AQ	39546	CROWN Cork & Seal USA, Inc.	2010-0629-AIR-E	MONTGOMERY	11/15/2010	\$1,640	\$328	\$1,312	\$0
AQ	39552	Houston Refining LP	2010-0641-AIR-E	HARRIS	11/15/2010	\$20,499	\$0	\$20,499	\$0
AQ	39585	ConocoPhillips Company	2010-0675-AIR-E	HUTCHINSON	11/15/2010	\$70,000	\$0	\$35,000	\$35,000
AQ	39367	Maximus Coffee Group, LP	2010-0457-AIR-E	HARRIS	11/15/2010	\$8,560	\$1,712	\$3,424	\$3,424
AQ	39764	Targa Permian LP	2010-0871-AIR-E	CRANE	11/15/2010	\$3,983	\$796	\$3,187	\$0
AQ	39579	WTG Gas Processing, L.P.	2010-0668-AIR-E	MARTIN	11/15/2010	\$6,240	\$1,248	\$4,992	\$0
AQ	39332	Attebury Grain, LLC	2010-0418-AIR-E	TARRANT	11/15/2010	\$17,520	\$3,504	\$14,016	\$0
AQ	39735	DCP Midstream, LP	2010-0830-AIR-E	CROCKETT	11/15/2010	\$3,075	\$615	\$2,460	\$0
AQ	39406	850 Pine Street, Inc.	2010-0491-AIR-E	JEFFERSON	11/15/2010	\$11,050	\$2,210	\$4,420	\$4,420
AQ	38906	XTO Energy Inc.	2009-2084-AIR-E	YOAKUM	11/15/2010	\$237,247	\$0	\$118,624	\$118,623
AQ	39194	ExxonMobil Oil Corporation	2010-0258-AIR-E	JEFFERSON	11/15/2010	\$132,500	\$26,500	\$53,000	\$53,000
AQ	39647	Valero Refining-Texas, L.P.	2010-0741-AIR-E	NUECES	11/15/2010	\$5,350	\$1,070	\$4,280	\$0
AQ	39247	E. I. du Pont de Nemours and Company	2010-0379-AIR-E	JEFFERSON	11/15/2010	\$30,050	\$6,010	\$12,020	\$12,020
AQ	39718	Veolia ES Technical Solutions, L.L.C.	2010-0811-AIR-E	JEFFERSON	12/4/2010	\$22,100	\$4,420	\$8,840	\$8,840
AQ	39962	Armortex, Inc.	2010-1073-AIR-E	GUADALUPE	12/4/2010	\$3,025	\$605	\$2,420	\$0
AQ	38966	Chemtrade Refinery Services, Inc.	2010-0052-AIR-E	JEFFERSON	12/4/2010	\$8,850	\$1,770	\$7,080	\$0
AQ	39698	Formosa Plastics Corporation, Texas	2010-0795-AIR-E	CALHOUN	12/4/2010	\$17,950	\$3,590	\$7,180	\$7,180
AQ	37691	Enterprise Hydrocarbons L.P.	2009-0778-AIR-E	NUECES	12/4/2010	\$7,920	\$0	\$7,920	\$0
AQ	39730	UNI-GRAPHICS PRINTING LTD	2010-0824-AIR-E	TARRANT	12/4/2010	\$22,245	\$4,449	\$17,796	\$0
AQ	39860	Kuraray America, Inc.	2010-0962-AIR-E	HARRIS	12/4/2010	\$2,700	\$540	\$2,160	\$0
AQ	39542	Alcoa World Alumina LLC	2010-0633-AIR-E	CALHOUN	12/4/2010	\$20,700	\$4,140	\$16,560	\$0
AQ	39787	Luminant Generation Company LLC	2010-0890-AIR-E	DALLAS	12/4/2010	\$2,025	\$405	\$1,620	\$0
AQ	39733	WTG Jameson, L.P.	2010-0826-AIR-E	COKE	12/4/2010	\$3,825	\$765	\$3,060	\$0
AQ	39814	ISP Synthetic Elastomers LLC	2010-0916-AIR-E	JEFFERSON	12/4/2010	\$6,325	\$1,265	\$2,530	\$2,530
AQ	39580	ExxonMobil Oil Corporation	2010-0671-AIR-E	JEFFERSON	12/4/2010	\$19,200	\$3,840	\$7,680	\$7,680
AQ	39588	Cimarex Energy Co.	2010-0679-AIR-E	JEFFERSON	12/4/2010	\$6,536	\$1,307	\$2,615	\$2,614
AQ	39549	Koch Pipeline Company, L.P.	2010-0639-AIR-E	NUECES	12/4/2010	\$15,000	\$0	\$15,000	\$0
AQ	39538	McWane, Inc.	2010-0620-AIR-E	SMITH	12/4/2010	\$73,168	\$14,633	\$29,268	\$29,267
AQ	39602	Benedum Gas Partners, L.P.	2010-0700-AIR-E	UPTON	12/4/2010	\$3,175	\$635	\$2,540	\$0
AQ	37994	YOUNG, NEAL	2009-1111-AIR-E	GALVESTON	12/23/2010	\$4,080	\$0	\$4,080	\$0
AQ	37658	ING, KENNETH	2009-0697-AIR-E	GRAYSON	12/25/2010	\$800	\$160	\$640	\$0
AQ	39852	Marathon Petroleum Company LP	2010-0952-AIR-E	GALVESTON	12/25/2010	\$7,300	\$1,460	\$5,840	\$0
AQ	39122	Houston Refining LP	2010-0211-AIR-E	HARRIS	12/25/2010	\$36,285	\$7,257	\$29,028	\$0
AQ	39784	Fiberglass Specialities, Inc.	2010-0889-AIR-E	RUSK	12/25/2010	\$9,700	\$1,940	\$7,760	\$0

## Singles Programs

## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	39731	Plank Coatings, Inc.	2010-0828-AIR-E	ECTOR	12/25/2010	\$950	\$190	\$760	\$0
AQ	37604	Albemarle Corporation	2009-0687-AIR-E	HARRIS	12/25/2010	\$12,690	\$0	\$6,345	\$6,345
AQ	39928	Agrifos Fertilizer L.L.C.	2010-1035-AIR-E	HARRIS	12/25/2010	\$7,550	\$1,510	\$6,040	\$0
AQ	39529	INVISTA S a r.l.	2010-0635-AIR-E	HARRIS	12/25/2010	\$1,260	\$252	\$1,008	\$0
AQ	39727	Enterprise Products Operating LLC	2010-0821-AIR-E	CHAMBERS	12/25/2010	\$7,296	\$1,459	\$2,919	\$2,918
AQ	37885	TOTAL PETROCHEMICALS USA, INC.	2009-1015-AIR-E	HARRIS	12/25/2010	\$13,275	\$2,655	\$5,310	\$5,310
AQ	39819	American Acryl L.P.	2010-0922-AIR-E	HARRIS	12/25/2010	\$10,000	\$0	\$10,000	\$0
AQ	40100	Budget Rent-A-Car Of El Paso, Inc.	2010-1223-AIR-E	EL PASO	2/5/2011	\$900	\$180	\$720	\$0
AQ	39643	Akzo Nobel Surface Chemistry LLC	2010-0738-AIR-E	FORT BEND	2/5/2011	\$15,960	\$0	\$7,980	\$7,980
AQ	39967	MEMC Pasadena, Inc.	2010-1078-AIR-E	HARRIS	2/5/2011	\$6,604	\$1,320	\$2,642	\$2,642
AQ	39854	Laredo Paving, Inc.	2010-0954-AIR-E	BROWN	2/5/2011	\$1,050	\$210	\$840	\$0
AQ	39824	Eagle Rock Field Services, L.P.	2010-0920-AIR-E	HEMPHILL	2/5/2011	\$2,400	\$480	\$1,920	\$0
AQ	40170	Elgin-Butler Company	2010-1292-AIR-E	BASTROP	2/5/2011	\$7,000	\$1,400	\$5,600	\$0
AQ	39781	Prism Gas Systems I, L.P	2010-0887-AIR-E	HARRISON	2/5/2011	\$54,800	\$10,960	\$21,920	\$21,920
AQ	40137	Enterprise Products Operating LLC	2010-1261-AIR-E	HARRIS	2/5/2011	\$1,320	\$264	\$1,056	\$0
AQ	39850	Rhodia Inc.	2010-0951-AIR-E	HARRIS	2/5/2011	\$3,360	\$672	\$2,688	\$0
AQ	39858	Craftmasters Powder Coating, Inc.	2010-0970-AIR-E	MCLENNAN	2/5/2011	\$3,270	\$654	\$2,616	\$0
AQ	40389	DCP Midstream, LP	2010-1511-AIR-E	ECTOR	2/5/2011	\$23,875	\$4,775	\$9,550	\$9,550
AQ	40041	Citgo Refining and Chemicals Company L.P.	2010-1150-AIR-E	NUECES	2/5/2011	\$10,000	\$2,000	\$8,000	\$0
AQ	39490	Shell Oil Company *	2010-0609-AIR-E	HARRIS	2/5/2011	\$40,000	\$0	\$20,000	\$20,000
AQ	39751	Flint Hills Resources, LP	2010-0854-AIR-E	JEFFERSON	2/5/2011	\$70,382	\$0	\$35,191	\$35,191
AQ	39953	E. I. du Pont de Nemours and Company	2010-1058-AIR-E	JEFFERSON	2/5/2011	\$7,875	\$1,575	\$6,300	\$0
AQ	39876	Devon Gas Services, L.P.	2010-0995-AIR-E	WISE	2/5/2011	\$2,350	\$470	\$1,880	\$0
AQ	40145	Lone Star Industries, Inc.	2010-1266-AIR-E	NOLAN	2/5/2011	\$1,360	\$272	\$1,088	\$0
AQ	39485	ALVAREZ, JOSE E	2010-0573-AIR-E	WEBB	2/5/2011	\$1,575	\$315	\$1,260	\$0
AQ	39514	Chevron Phillips Chemical Company LP	2010-0607-AIR-E	HARRIS	2/5/2011	\$527,900	\$105,580	\$211,160	\$211,160
AQ	39884	Pencoco, Inc.	2010-0989-AIR-E	AUSTIN	2/5/2011	\$1,090	\$218	\$872	\$0
AQ	39931	Belvan Corp.	2010-1038-AIR-E	CROCKETT	2/20/2011	\$63,600	\$0	\$63,600	\$0
AQ	40007	Heather McD, Ltd.	2010-1123-AIR-E	CAMERON	2/20/2011	\$8,325	\$1,665	\$6,660	\$0
AQ	40198	Moss Bluff Hub LLC	2010-1322-AIR-E	LIBERTY	2/20/2011	\$3,375	\$675	\$2,700	\$0
AQ	39691	DCP Midstream, LP	2010-0784-AIR-E	HUTCHINSON	2/20/2011	\$55,350	\$11,070	\$22,140	\$22,140
AQ	40043	Chevron Phillips Chemical Company LP	2010-1151-AIR-E	BRAZORIA	2/20/2011	\$23,250	\$4,650	\$9,300	\$9,300
AQ	39353	STICE ENTERPRISES, INC.	2010-0430-AIR-E	PARKER	2/20/2011	\$3,550	\$710	\$2,840	\$0
AQ	39965	Lucite International, Inc.	2010-1079-AIR-E	JEFFERSON	2/20/2011	\$14,550	\$2,910	\$11,640	\$0
AQ	39923	Sofia Enterprises, L.P.	2010-1033-AIR-E	TOM GREEN	2/20/2011	\$9,302	\$0	\$9,302	\$0
AQ	40070	University of Texas Medical Branch at Galveston	2010-1180-AIR-E	GALVESTON	2/20/2011	\$5,000	\$1,000	\$4,000	\$0
AQ	40160	ESPINOZA, MARIO	2010-1282-AIR-E	SMITH	2/20/2011	\$5,250	\$1,050	\$4,200	\$0
AQ	40125	Matheson Tri-Gas, Inc.	2010-1242-AIR-E	FORT BEND	2/20/2011	\$4,750	\$0	\$4,750	\$0

## Singles Programs

## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	39152	The Kippur Corporation	2010-0241-AIR-E	EL PASO	2/20/2011	\$16,000	\$0	\$16,000	\$0
AQ	38090	BASF Catalysts LLC	2009-1271-AIR-E	HARRIS	2/20/2011	\$4,365	\$873	\$3,492	\$0
AQ	39978	Flint Hills Resources, LP	2010-1099-AIR-E	JEFFERSON	2/20/2011	\$28,350	\$5,670	\$11,340	\$11,340
AQ	40099	PVR Natural Gas Gathering LLC	2010-1221-AIR-E	HEMPHILL	2/20/2011	\$14,961	\$2,992	\$11,969	\$0
AQ	40231	DCP Midstream, LP	2010-1358-AIR-E	MOORE	2/20/2011	\$2,625	\$525	\$2,100	\$0
AQ	39883	DCP Midstream, LP	2010-0985-AIR-E	CROCKETT	3/7/2011	\$363,829	\$72,765	\$145,532	\$145,532
AQ	40267	Noltex L.L.C.	2010-1386-AIR-E	HARRIS	3/7/2011	\$6,200	\$1,240	\$4,960	\$0
AQ	39926	Gulf Coast Waste Disposal Authority	2010-1076-AIR-E	GALVESTON	3/7/2011	\$17,100	\$3,420	\$0	\$13,680
AQ	40047	Chevron Phillips Chemical Company LP	2010-1154-AIR-E	HARRIS	3/7/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	38076	Equistar Chemicals, LP	2009-1247-AIR-E	MATAGORDA	3/7/2011	\$8,500	\$1,700	\$6,800	\$0
AQ	40154	The Dow Chemical Company	2010-1279-AIR-E	BRAZORIA	3/7/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	40148	DCP Midstream, LP	2010-1272-AIR-E	OCHILTREE	3/7/2011	\$2,250	\$450	\$1,800	\$0
AQ	40190	Houston Refining LP	2010-1315-AIR-E	HARRIS	3/7/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	39873	Exxon Mobil Corporation	2010-0976-AIR-E	HARRIS	3/7/2011	\$26,950	\$5,390	\$10,780	\$10,780
AQ	40430	Brazos Electric Power Cooperative, Inc.	2010-1557-AIR-E	PARKER	3/7/2011	\$2,075	\$415	\$1,660	\$0
AQ	38689	DCP Midstream, LP	2009-1821-AIR-E	ECTOR	3/7/2011	\$755,251	\$0	\$377,626	\$377,625
AQ	40353	Hanson Brick East, LLC	2010-1470-AIR-E	PALO PINTO	3/7/2011	\$2,500	\$500	\$2,000	\$0
AQ	40234	City of Pampa	2010-1357-AIR-E	GRAY	3/7/2011	\$1,290	\$258	\$1,032	\$0
AQ	40084	KM Liquids Terminals LLC	2010-1205-AIR-E	HARRIS	3/7/2011	\$4,550	\$910	\$3,640	\$0
AQ	40186	WTG Gas Processing, L.P.	2010-1312-AIR-E	MARTIN	3/7/2011	\$8,067	\$1,613	\$6,454	\$0
AQ	39828	Enterprise Products Operating LLC	2010-0926-AIR-E	CHAMBERS	3/7/2011	\$22,150	\$0	\$11,075	\$11,075
AQ	40142	Kinder Morgan Treating LP	2010-1269-AIR-E	WILLACY	3/7/2011	\$6,750	\$1,350	\$5,400	\$0
AQ	39284	Aruba Petroleum, INC.	2010-0365-AIR-E	WISE	3/7/2011	\$35,500	\$0	\$0	\$35,500
AQ	40417	Ranger Gas Gathering, L.L.C.	2010-1539-AIR-E	EASTLAND	3/7/2011	\$58,900	\$11,780	\$47,120	\$0
AQ	40144	Cargill Meat Solutions Corporation	2010-1265-AIR-E	PARMER	3/7/2011	\$37,926	\$7,585	\$30,341	\$0
AQ	39952	INEOS USA LLC	2010-1059-AIR-E	BRAZORIA	3/7/2011	\$70,000	\$0	\$35,000	\$35,000
AQ	39929	Escambia Operating Co. LLC	2010-1037-AIR-E	ATASCOSA	3/7/2011	\$900	\$180	\$720	\$0
AQ	40161	Devon Gas Services, L.P.	2010-1284-AIR-E	WISE	3/19/2011	\$7,500	\$1,500	\$6,000	\$0
AQ	40352	Enterprise Products Operating LLC	2010-1465-AIR-E	CHAMBERS	3/19/2011	\$6,448	\$1,289	\$2,580	\$2,579
AQ	40484	Alon USA, LP	2010-1640-AIR-E	HOWARD	3/19/2011	\$4,200	\$840	\$3,360	\$0
AQ	40505	United Copper Industries, Inc.	2010-1643-AIR-E	DENTON	3/19/2011	\$7,125	\$1,425	\$5,700	\$0
AQ	38788	Exxon Mobil Corporation	2009-1944-AIR-E	HARRIS	3/19/2011	\$60,000	\$0	\$30,000	\$30,000
AQ	40167	Plant Process Equipment, Inc.	2010-1291-AIR-E	GALVESTON	3/19/2011	\$1,530	\$306	\$612	\$612
AQ	40010	Texmark Chemicals, Inc.	2010-1126-AIR-E	HARRIS	3/19/2011	\$21,625	\$4,325	\$8,650	\$8,650
AQ	40507	Republic Plastics, Ltd.	2010-1644-AIR-E	GUADALUPE	3/19/2011	\$3,725	\$745	\$2,980	\$0
AQ	40504	Tiger Trailers, Inc.	2010-1642-AIR-E	TITUS	3/19/2011	\$750	\$150	\$600	\$0
AQ	40274	Rhodia Inc.	2010-1388-AIR-E	HARRIS	3/19/2011	\$1,410	\$282	\$1,128	\$0
AQ	40311	Wesco Refractories, Inc.	2010-1429-AIR-E	TARRANT	3/19/2011	\$4,200	\$840	\$3,360	\$0

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## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	40423	Southwest Shipyard, L.P.	2010-1536-AIR-E	HARRIS	3/19/2011	\$46,900	\$9,380	\$18,760	\$18,760
AQ	39998	ExxonMobil Oil Corporation	2010-1114-AIR-E	JEFFERSON	3/19/2011	\$20,614	\$0	\$10,307	\$10,307
AQ	39699	E. I. du Pont de Nemours and Company	2010-0839-MLM-E	ORANGE	3/19/2011	\$43,500	\$8,700	\$17,400	\$17,400
AQ	40340	Cerrito Gas Processing, L.L.C.	2010-1454-AIR-E	WEBB	3/19/2011	\$12,355	\$2,471	\$9,884	\$0
AQ	38941	Exxon Mobil Corporation	2010-0027-AIR-E	HARRIS	3/19/2011	\$6,325	\$0	\$3,163	\$3,162
AQ	40212	City of Fort Worth	2010-1334-AIR-E	TARRANT	3/19/2011	\$15,900	\$3,180	\$0	\$12,720
AQ	40453	Davis Gas Processing, Inc.	2010-1583-AIR-E	CROCKETT	4/18/2011	\$3,125	\$625	\$2,500	\$0
AQ	38480	Turner Industries Group, L.L.C.	2009-1616-AIR-E	LAMAR	4/18/2011	\$18,125	\$3,625	\$7,250	\$7,250
AQ	40476	United States Gypsum Company	2010-1628-AIR-E	HARRIS	4/18/2011	\$17,375	\$3,475	\$13,900	\$0
AQ	40361	Polk County	2010-1477-AIR-E	POLK	4/18/2011	\$9,110	\$1,822	\$7,288	\$0
AQ	40432	E. I. du Pont de Nemours and Company	2010-1556-AIR-E	JEFFERSON	4/18/2011	\$27,675	\$5,535	\$11,070	\$11,070
AQ	40427	Chevron Phillips Chemical Company LP	2010-1550-AIR-E	HARRIS	4/18/2011	\$5,150	\$1,030	\$2,060	\$2,060
AQ	40232	Ogre, Inc.	2010-1355-AIR-E	RANDALL	4/18/2011	\$18,000	\$3,600	\$14,400	\$0
AQ	39574	Chevron Phillips Chemical Company LP	2010-0709-AIR-E	BRAZORIA	4/18/2011	\$235,330	\$47,066	\$94,132	\$94,132
AQ	39565	Exxon Mobil Corporation	2010-0656-AIR-E	HARRIS	4/18/2011	\$80,000	\$0	\$40,000	\$40,000
AQ	40195	BASF FINA Petrochemicals Limited Partnership	2010-1318-AIR-E	JEFFERSON	4/18/2011	\$61,450	\$12,290	\$24,580	\$24,580
AQ	40460	Pioneer Natural Resources USA, Inc.	2010-1587-AIR-E	BEE	4/18/2011	\$3,750	\$750	\$3,000	\$0
AQ	40376	GE Engine Services - Dallas, L.P.	2010-1492-AIR-E	TARRANT	4/18/2011	\$2,250	\$450	\$1,800	\$0
AQ	40394	Watco Tanks, Inc.	2010-1510-AIR-E	WILSON	4/18/2011	\$3,175	\$635	\$2,540	\$0
AQ	40322	The Lubrizol Corporation	2010-1468-AIR-E	HARRIS	4/18/2011	\$9,075	\$1,815	\$3,630	\$3,630
AQ	40210	Shell Chemical LP	2010-1331-AIR-E	HARRIS	4/18/2011	\$21,346	\$0	\$10,673	\$10,673
AQ	40337	KMCO Properties L.P.	2010-1446-AIR-E	HARRIS	4/18/2011	\$4,450	\$890	\$3,560	\$0
AQ	37528	Tyson Poultry, Inc.	2009-0601-AIR-E	SHELBY	4/18/2011	\$15,753	\$3,150	\$12,603	\$0
AQ	40520	Owens Corning Composite Materials, LLC	2010-1662-AIR-E	RANDALL	4/18/2011	\$8,300	\$1,660	\$6,640	\$0
AQ	40038	Bayer Materialscience LLC	2010-1148-AIR-E	CHAMBERS	4/18/2011	\$19,836	\$0	\$19,836	\$0
AQ	40429	Celanese Ltd.	2010-1559-AIR-E	HARRIS	4/18/2011	\$3,975	\$795	\$3,180	\$0
AQ	40412	ExxonMobil Oil Corporation	2010-1524-AIR-E	JEFFERSON	4/18/2011	\$4,082	\$816	\$1,633	\$1,633
AQ	40398	Equistar Chemicals, LP	2010-1515-AIR-E	HARRIS	4/18/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	40536	TOTAL PETROCHEMICALS USA, INC.	2010-1675-AIR-E	HARRIS	4/18/2011	\$10,000	\$2,000	\$8,000	\$0
AQ	38379	Pioneer Natural Resources USA, Inc.	2009-1510-AIR-E	POTTER	4/18/2011	\$30,516	\$6,103	\$24,413	\$0
AQ	40710	L & L Pallet Supply, Inc.	2010-1854-AIR-E	RANDALL	5/8/2011	\$2,710	\$542	\$2,168	\$0
AQ	40397	Motiva Enterprises LLC	2010-1514-AIR-E	JEFFERSON	5/8/2011	\$85,125	\$17,025	\$34,050	\$34,050
AQ	40480	American Marazzi Tile, Inc.	2010-1613-AIR-E	DALLAS	5/8/2011	\$16,350	\$3,270	\$13,080	\$0
AQ	39506	Equistar Chemicals, LP	2010-0591-AIR-E	HARRIS	5/8/2011	\$10,000	\$2,000	\$8,000	\$0
AQ	40562	Sherwin Alumina, L.P.	2010-1705-AIR-E	SAN PATRICIO	5/8/2011	\$4,550	\$910	\$1,820	\$1,820
AQ	40313	ISP Technologies Inc.	2010-1430-AIR-E	GALVESTON	5/8/2011	\$5,250	\$1,050	\$2,100	\$2,100
AQ	40329	The Dow Chemical Company	2010-1450-AIR-E	BRAZORIA	5/8/2011	\$96,975	\$19,395	\$38,790	\$38,790
AQ	40734	SandRidge Energy, Inc.	2010-1873-AIR-E	GALVESTON	5/8/2011	\$950	\$190	\$760	\$0

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Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	38258	Mobil Chemical Company Inc.	2009-1397-AIR-E	JEFFERSON	5/8/2011	\$12,600	\$2,520	\$5,040	\$5,040
AQ	40519	Huntsman Petrochemical Corporation	2010-1661-AIR-E	JEFFERSON	5/8/2011	\$21,700	\$4,340	\$8,680	\$8,680
AQ	40565	United States Gypsum Company	2010-1710-AIR-E	NOLAN	5/8/2011	\$10,500	\$2,100	\$4,200	\$4,200
AQ	40065	Linde Gas North America LLC	2010-1187-AIR-E	HARRIS	5/8/2011	\$55,650	\$11,130	\$22,260	\$22,260
AQ	40402	Pasadena Cogeneration L.P.	2010-1517-AIR-E	HARRIS	5/8/2011	\$883	\$176	\$707	\$0
AQ	39245	Equistar Chemicals, LP	2010-0328-AIR-E	HARRIS	5/8/2011	\$10,000	\$2,000	\$8,000	\$0
AQ	40425	Delek Refining, Ltd.	2010-1547-AIR-E	SMITH	5/8/2011	\$70,250	\$14,050	\$28,100	\$28,100
AQ	39231	Equistar Chemicals, LP	2010-0335-AIR-E	HARRIS	5/8/2011	\$10,000	\$2,000	\$8,000	\$0
AQ	40506	Exxon Mobil Corporation	2010-1654-AIR-E	HARRIS	5/8/2011	\$5,875	\$1,175	\$2,350	\$2,350
AQ	40585	DCP Midstream, LP	2010-1730-AIR-E	CARSON	5/8/2011	\$25,200	\$5,040	\$10,080	\$10,080
AQ	40650	ConocoPhillips Company	2010-1795-AIR-E	HUTCHINSON	5/8/2011	\$9,725	\$1,945	\$7,780	\$0
AQ	40547	SANTA RITA MOTORS, INC	2010-1691-AIR-E	TOM GREEN	5/8/2011	\$1,250	\$250	\$1,000	\$0
AQ	39111	Dolphin Petroleum, LP	2010-0193-AIR-E	REFUGIO	5/8/2011	\$3,175	\$0	\$3,175	\$0
AQ	39162	INEOS Nova, LLC	2010-0261-AIR-E	GALVESTON	5/8/2011	\$10,250	\$2,050	\$4,100	\$4,100
AQ	40676	Arkema Inc.	2010-1810-AIR-E	JEFFERSON	5/8/2011	\$10,000	\$0	\$10,000	\$0
AQ	40535	Enbridge G & P (North Texas) LP	2010-1676-AIR-E	PARKER	5/8/2011	\$10,125	\$2,025	\$8,100	\$0
AQ	40582	BASF Corporation	2010-1726-AIR-E	HARRIS	5/8/2011	\$4,100	\$820	\$3,280	\$0
AQ	40483	GB Biosciences Corporation	2010-1612-AIR-E	HARRIS	5/8/2011	\$9,850	\$1,970	\$3,940	\$3,940
AQ	37082	TOTAL PETROCHEMICALS USA, INC.	2009-0131-AIR-E	JEFFERSON	5/8/2011	\$130,575	\$0	\$65,288	\$65,287
AQ	39420	INEOS USA LLC	2010-0507-AIR-E	BRAZORIA	5/8/2011	\$10,050	\$2,010	\$8,040	\$0
AQ	40595	SandRidge CO2, LLC	2010-1743-AIR-E	PECOS	5/8/2011	\$66,128	\$13,225	\$52,903	\$0
AQ	39660	Atlas Pallet Industries, Inc.	2010-0759-AIR-E	JOHNSON	5/8/2011	\$1,130	\$0	\$1,130	\$0
AQ	40393	Enterprise Crude Pipeline LLC	2010-1506-AIR-E	GALVESTON	5/8/2011	\$8,400	\$1,680	\$6,720	\$0
AQ	40742	C&R Distributing, LLC	2010-1883-AIR-E	EL PASO	5/22/2011	\$900	\$180	\$720	\$0
AQ	40064	PAVE/LOCK/PLUS, LLC	2010-1182-AIR-E	FORT BEND	5/22/2011	\$20,000	\$0	\$20,000	\$0
AQ	39861	Kaneka Texas Corporation	2010-0978-AIR-E	HARRIS	5/22/2011	\$42,275	\$8,455	\$33,820	\$0
AQ	40704	Rudy's West Bar-B-Q, LLC	2010-1871-AIR-E	EL PASO	5/22/2011	\$920	\$184	\$736	\$0
AQ	38615	Davis Gas Processing, Inc.	2009-1782-AIR-E	REAGAN	5/22/2011	\$93,085	\$18,617	\$37,234	\$37,234
AQ	40624	The Dow Chemical Company	2010-1781-AIR-E	BRAZORIA	5/22/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	40359	Williams Production - Gulf Coast Company, L.P.	2010-1476-AIR-E	DENTON	5/22/2011	\$9,000	\$1,800	\$7,200	\$0
AQ	40693	Enterprise Products Operating LLC	2010-1831-AIR-E	CHAMBERS	5/22/2011	\$6,251	\$1,250	\$2,501	\$2,500
AQ	40692	Chevron Phillips Chemical Company LP	2010-1845-AIR-E	HARRIS	5/22/2011	\$10,000	\$2,000	\$8,000	\$0
AQ	40694	Eastman Chemical Company	2010-1834-AIR-E	HARRISON	5/22/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	40345	Belvan Corp.	2010-1453-AIR-E	CROCKETT	5/22/2011	\$119,360	\$0	\$119,360	\$0
AQ	40227	Apache Corporation	2010-1354-AIR-E	WHEELER	5/22/2011	\$139,000	\$27,800	\$111,200	\$0
AQ	40512	Sunoco, Inc. (R&M)	2010-1652-AIR-E	HARRIS	5/22/2011	\$15,825	\$3,165	\$12,660	\$0
AQ	40560	Akzo Nobel Surface Chemistry LLC	2010-1709-AIR-E	FORT BEND	5/22/2011	\$9,675	\$1,935	\$7,740	\$0
AQ	40357	Eagle Rock Field Services, L.P.	2010-1473-AIR-E	*MULTIPLE	5/22/2011	\$23,170	\$4,633	\$18,537	\$0

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Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	39610	Basell USA Inc.	2010-0708-AIR-E	HARRIS	5/22/2011	\$3,550	\$710	\$2,840	\$0
AQ	39657	Clayton Violette DBA GTO Automotive	2010-0806-AIR-E	BELL	6/11/2011	\$4,000	\$0	\$4,000	\$0
AQ	40696	Valero Refining-Texas, L.P.	2010-1836-AIR-E	GALVESTON	6/20/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	40310	INEOS USA LLC	2010-1421-AIR-E	BRAZORIA	6/20/2011	\$411,776	\$82,355	\$164,711	\$164,710
AQ	40651	WTG Gas Processing, L.P.	2010-1796-AIR-E	HOWARD	6/20/2011	\$30,140	\$0	\$30,140	\$0
AQ	40706	Flint Hills Resources Port Arthur, LLC	2010-1865-AIR-E	JEFFERSON	6/20/2011	\$20,000	\$4,000	\$8,000	\$8,000
AQ	38935	Coastal Crushed Concrete, LLC	2010-0005-AIR-E	VICTORIA	6/20/2011	\$1,000	\$0	\$1,000	\$0
AQ	40833	DCP Midstream, LP	2010-1991-AIR-E	ECTOR	6/20/2011	\$1,975	\$395	\$1,580	\$0
AQ	38652	Pasadena Refining System, Inc	2009-1807-AIR-E	HARRIS	6/20/2011	\$279,125	\$0	\$279,125	\$0
AQ	40514	Baytown Asphalt Materials, Ltd.	2010-1685-AIR-E	HARRIS	6/20/2011	\$2,750	\$550	\$1,100	\$1,100
AQ	40744	Shell Chemical LP	2010-1889-AIR-E	HARRIS	6/20/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	40765	E. I. du Pont de Nemours and Company	2010-1909-AIR-E	JEFFERSON	6/20/2011	\$8,375	\$1,675	\$3,350	\$3,350
AQ	40653	Ryan C. Hoerauf	2010-1827-AIR-E	HENDERSON	6/20/2011	\$7,125	\$1,425	\$5,700	\$0
AQ	40800	Air Products LLC	2010-1945-AIR-E	HARRIS	6/20/2011	\$5,450	\$1,090	\$4,360	\$0
AQ	40521	Newell Recycling Company of El Paso, L.P.	2010-1665-AIR-E	EL PASO	6/20/2011	\$2,140	\$428	\$1,712	\$0
AQ	40294	Diamond Shamrock Refining Company, L.P.	2010-1410-AIR-E	MOORE	6/20/2011	\$60,433	\$0	\$60,433	\$0
AQ	40236	Texas Blending and Warehousing Corporation	2010-1365-AIR-E	HARRIS	6/20/2011	\$1,875	\$0	\$1,875	\$0
AQ	40641	Equistar Chemicals, LP	2010-1794-AIR-E	HARRIS	6/20/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	40418	Shell Oil Company	2010-1538-AIR-E	HARRIS	6/20/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	40312	Huntsman Petrochemical Corporation	2010-1422-AIR-E	JEFFERSON	7/2/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	40746	Pure-Flow, Inc.	2010-1880-AIR-E	ANDREWS	7/2/2011	\$8,000	\$1,600	\$6,400	\$0
AQ	40465	United Structures of America, Inc.	2010-1598-AIR-E	HARRIS	7/2/2011	\$66,048	\$13,209	\$52,839	\$0
AQ	40953	Enterprise Products Operating LLC	2011-0032-AIR-E	CHAMBERS	7/2/2011	\$2,625	\$525	\$1,050	\$1,050
AQ	40842	ConocoPhillips Company	2010-2001-AIR-E	BRAZORIA	7/2/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	40829	Tristream East Texas, LLC	2010-2015-AIR-E	HENDERSON	7/2/2011	\$2,500	\$500	\$2,000	\$0
AQ	40745	Chevron Phillips Chemical Company LP	2010-1881-AIR-E	HUTCHINSON	7/2/2011	\$23,250	\$4,650	\$18,600	\$0
AQ	40828	Regency Field Services LLC	2010-2014-AIR-E	HENDERSON	7/2/2011	\$9,743	\$1,948	\$7,795	\$0
AQ	40996	General Dynamics Ordnance and Tactical Systems, Inc.	2011-0092-AIR-E	DALLAS	7/2/2011	\$2,925	\$585	\$2,340	\$0
AQ	40884	The Lubrizol Corporation	2010-2043-AIR-E	HARRIS	7/2/2011	\$17,450	\$3,490	\$6,980	\$6,980
AQ	40089	High Tech Auto Restyling INC.	2010-1210-AIR-E	EL PASO	7/10/2011	\$2,100	\$0	\$2,100	\$0
AQ	40834	Southern Union Pipeline, Ltd.	2010-1992-AIR-E	PECOS	7/31/2011	\$7,250	\$1,450	\$2,900	\$2,900
AQ	40987	SABINA PETROCHEMICALS LLC	2011-0073-AIR-E	JEFFERSON	7/31/2011	\$44,000	\$8,800	\$17,600	\$17,600
AQ	40866	LyondellBasell Acetyls, LLC	2010-2025-AIR-E	HARRIS	7/31/2011	\$17,175	\$0	\$8,588	\$8,587
AQ	40871	Houston Refining LP	2010-2029-AIR-E	HARRIS	7/31/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	40752	Congress Materials LLC	2010-1930-AIR-E	TARRANT	7/31/2011	\$1,000	\$200	\$800	\$0
AQ	40902	Shell Chemical LP	2010-2072-AIR-E	HARRIS	7/31/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	38828	Ascend Performance Materials, LLC	2009-1997-AIR-E	BRAZORIA	7/31/2011	\$65,564	\$0	\$32,782	\$32,782
AQ	40825	Exxon Mobil Corporation	2010-1975-AIR-E	NACOGDOCHES	7/31/2011	\$6,550	\$1,310	\$5,240	\$0

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Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	40822	PATRIOT RESOURCES INC	2010-1968-AIR-E	*MULTIPLE	7/31/2011	\$60,000	\$12,000	\$48,000	\$0
AQ	40847	Orange County Container Group LLC	2010-2030-AIR-E	KAUFMAN	7/31/2011	\$9,046	\$1,809	\$7,237	\$0
AQ	40962	LANXESS Corporation	2011-0038-AIR-E	ORANGE	7/31/2011	\$8,260	\$1,652	\$3,304	\$3,304
AQ	40750	Huntsman Petrochemical Corporation	2010-1929-AIR-E	JEFFERSON	7/31/2011	\$20,000	\$0	\$10,000	\$10,000
AQ	40180	TOTAL PETROCHEMICALS USA, INC.	2010-1300-AIR-E	JEFFERSON	7/31/2011	\$213,972	\$42,794	\$85,589	\$85,589
AQ	40922	FAMCOR Oil, Inc.	2011-0068-AIR-E	SAN JACINTO	7/31/2011	\$30,000	\$6,000	\$12,000	\$12,000
AQ	40863	Huntsman Petrochemical, LLC	2010-2023-AIR-E	JEFFERSON	8/13/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	41184	DCP Midstream, LP	2011-0281-AIR-E	HANSFORD	8/13/2011	\$10,179	\$0	\$5,090	\$5,089
AQ	40858	City Ready Mix, Inc.	2010-2020-AIR-E	WEBB	8/13/2011	\$18,985	\$1,797	\$17,188	\$0
AQ	41182	City of Austin	2011-0276-AIR-E	TRAVIS	8/13/2011	\$1,875	\$375	\$0	\$1,500
AQ	41019	Joe Bland Construction, L.P.	2011-0116-AIR-E	TRAVIS	8/13/2011	\$10,000	\$0	\$10,000	\$0
AQ	41140	Century Asphalt, Ltd.	2011-0224-AIR-E	FORT BEND	8/13/2011	\$6,500	\$1,300	\$2,600	\$2,600
AQ	41153	Enbridge Pipelines (Texas Gathering) L.P.	2011-0245-AIR-E	HEMPHILL	8/13/2011	\$1,250	\$250	\$1,000	\$0
AQ	41037	Kaneka Texas Corporation	2011-0142-AIR-E	HARRIS	8/13/2011	\$12,150	\$2,430	\$9,720	\$0
AQ	40045	Equistar Chemicals, LP	2010-1153-AIR-E	NUECES	8/13/2011	\$0	\$0	\$0	\$0
AQ	40689	Ascend Performance Materials, LLC	2010-1828-AIR-E	BRAZORIA	8/13/2011	\$33,200	\$6,640	\$13,280	\$13,280
AQ	41179	Linde Gas North America LLC	2011-0271-AIR-E	HARRIS	8/13/2011	\$5,074	\$1,014	\$4,060	\$0
AQ	40607	Tristar Aggregates 1, LLC	2010-1757-AIR-E	*MULTIPLE	8/13/2011	\$6,500	\$1,300	\$5,200	\$0
AQ	40954	The Dow Chemical Company	2011-0051-AIR-E	BRAZORIA	8/13/2011	\$30,000	\$0	\$15,000	\$15,000
AQ	41239	LBC Houston, L.P.	2011-0329-AIR-E	HARRIS	8/13/2011	\$1,920	\$384	\$1,536	\$0
AQ	41116	E. I. du Pont de Nemours and Company	2011-0208-AIR-E	ORANGE	8/13/2011	\$20,484	\$4,096	\$8,194	\$8,194
AQ	41110	Chevron Phillips Chemical Company LP	2011-0202-AIR-E	JEFFERSON	8/13/2011	\$70,000	\$0	\$35,000	\$35,000
AQ	33469	Advantage Asphalt Products, Ltd.	2007-0768-AIR-E	RANDALL	8/18/2011	\$46,221	\$0	\$46,221	\$0
AQ	41189	Flint Hills Resources Port Arthur, LLC	2011-0287-AIR-E	JEFFERSON	8/27/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	41026	Cherokee Water Company	2011-0131-AIR-E	GREGG	8/27/2011	\$4,985	\$997	\$3,988	\$0
AQ	39614	AET Inc Limited	2010-0703-AIR-E	JEFFERSON	8/27/2011	\$36,000	\$0	\$18,000	\$18,000
AQ	41185	WTG Gas Processing, L.P.	2011-0283-AIR-E	HOWARD	8/27/2011	\$10,000	\$0	\$10,000	\$0
AQ	41213	LANXESS Corporation	2011-0312-AIR-E	ORANGE	8/27/2011	\$6,275	\$1,255	\$2,510	\$2,510
AQ	41164	TOTAL PETROCHEMICALS USA, INC.	2011-0260-AIR-E	JEFFERSON	8/27/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	40705	ALIHEMATI, JOHN	2010-1869-AIR-E	EL PASO	8/27/2011	\$1,400	\$0	\$1,400	\$0
AQ	41217	Houston Refining LP	2011-0308-AIR-E	HARRIS	8/27/2011	\$10,568	\$0	\$5,284	\$5,284
AQ	41214	INEOS USA LLC	2011-0406-AIR-E	HARRIS	8/27/2011	\$3,200	\$640	\$2,560	\$0
AQ	41299	Exxon Mobil Corporation	2011-0396-AIR-E	HARRIS	8/27/2011	\$10,000	\$2,000	\$4,000	\$4,000
AQ	39013	Ascend Performance Materials, LLC	2010-0088-AIR-E	BRAZORIA	8/27/2011	\$22,175	\$0	\$11,088	\$11,087
AQ	40916	J-W Operating Company	2011-0039-AIR-E	WISE	8/27/2011	\$212,500	\$0	\$0	\$212,500
AQ	41136	INEOS USA LLC	2011-0223-AIR-E	BRAZORIA	8/27/2011	\$59,520	\$0	\$59,520	\$0
AQ	40037	BROTHERS MATERIALS, LTD	2010-1147-AIR-E	WEBB	8/27/2011	\$12,625	\$0	\$12,625	\$0
AQ	41142	Ascend Performance Materials, LLC	2011-0222-AIR-E	BRAZORIA	8/27/2011	\$10,000	\$0	\$10,000	\$0

## Singles Programs

## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	41512	Aus-Tex Body & Frame, Inc.	2011-0602-AIR-E	TRAVIS	8/27/2011	\$900	\$180	\$720	\$0
AQ	40339	Southwest Grain Co.	2010-1456-AIR-E	HIDALGO	8/27/2011	\$2,040	\$0	\$2,040	\$0
AQ	41104	Equistar Chemicals, LP	2011-0198-AIR-E	HARRIS	8/27/2011	\$20,000	\$4,000	\$8,000	\$8,000
AQ	41408	Martin Operating Partnership L. P.	2011-0503-AIR-E	HALE	8/27/2011	\$2,320	\$464	\$1,856	\$0
AQ	41126	PVR Gas Resources, LLC	2011-0214-AIR-E	OCHILTREE	8/27/2011	\$10,235	\$2,047	\$8,188	\$0
AQ	41366	Akzo Nobel Surface Chemistry LLC	2011-0451-AIR-E	FORT BEND	8/27/2011	\$3,300	\$660	\$2,640	\$0
AQ	41247	Huntsman Petrochemical Corporation	2011-0358-AIR-E	JEFFERSON	8/27/2011	\$20,000	\$4,000	\$8,000	\$8,000
AQ	41206	DCP Midstream, LP	2011-0297-AIR-E	HUTCHINSON	8/27/2011	\$15,050	\$3,010	\$12,040	\$0
AQ	41099	Flint Hills Resources Port Arthur, LLC	2011-0192-AIR-E	JEFFERSON	8/27/2011	\$10,000	\$2,000	\$4,000	\$4,000
<b>Subtotal for AQ : 311</b>						<b>\$8,965,434</b>	<b>\$1,065,321</b>	<b>\$4,844,406</b>	<b>\$3,055,707</b>
DC	39302	SLK DEVELOPMENT LLC	2010-0387-DCL-E	DALLAS	10/15/2010	\$2,283	\$456	\$1,827	\$0
DC	40092	EJO ENTERPRISES INC	2010-1247-DCL-E	TRAVIS	10/25/2010	\$100	\$0	\$100	\$0
DC	40939	DAO, TIEN M	2011-0029-DCL-E	KAUFMAN	7/31/2011	\$6,410	\$1,282	\$5,128	\$0
<b>Subtotal for DC : 3</b>						<b>\$8,793</b>	<b>\$1,738</b>	<b>\$7,055</b>	<b>\$0</b>
ER	40395	SINGH, MAC	2010-1513-MSW-E	CALLAHAN	6/11/2011	\$1,050	\$0	\$1,050	\$0
<b>Subtotal for ER : 1</b>						<b>\$1,050</b>	<b>\$0</b>	<b>\$1,050</b>	<b>\$0</b>
IHW	39373	Chevron U.S.A. Inc.	2010-0465-IHW-E	HARRIS	9/26/2010	\$1,520	\$304	\$1,216	\$0
IHW	38848	Southwest Shipyard, L.P.	2009-2011-IHW-E	HARRIS	9/26/2010	\$397,856	\$79,571	\$159,143	\$159,142
IHW	37853	Momentive Specialty Chemicals, Inc.	2009-0965-IHW-E	MCCULLOCH	10/15/2010	\$79,600	\$0	\$39,800	\$39,800
IHW	36502	Valero Refining-Texas, L.P.	2008-1520-IHW-E	NUECES	10/15/2010	\$38,688	\$0	\$19,344	\$19,344
IHW	38849	MCCONNELL, G H	2009-2012-IHW-E	DALLAS	10/16/2010	\$14,375	\$0	\$14,375	\$0
IHW	34663	Equistar Chemicals, LP	2007-1469-IHW-E	NUECES	11/15/2010	\$58,100	\$0	\$58,100	\$0
IHW	38579	US Ecology Texas, Inc.	2009-1717-IHW-E	NUECES	12/25/2010	\$54,600	\$10,920	\$21,840	\$21,840
IHW	39183	KUIPERS, GEORGE	2010-0289-IHW-E	COLLIN	1/14/2011	\$6,300	\$0	\$6,300	\$0
IHW	39869	Goodrich Corporation	2010-1061-IHW-E	HARRIS	2/5/2011	\$6,469	\$1,293	\$5,176	\$0
IHW	38720	Exxon Mobil Corporation	2009-1848-IHW-E	HARRIS	2/5/2011	\$69,600	\$13,920	\$27,840	\$27,840
IHW	39151	Equistar Chemicals, LP	2010-0243-IHW-E	HARRIS	2/5/2011	\$53,381	\$10,676	\$42,705	\$0
IHW	40000	Formosa Plastics Corporation, Texas	2010-1112-IHW-E	CALHOUN	2/20/2011	\$67,675	\$13,535	\$27,070	\$27,070
IHW	40093	Linde Gas North America LLC	2010-1248-IHW-E	HARRIS	2/20/2011	\$3,220	\$644	\$2,576	\$0
IHW	40013	CCC Group, Inc.	2010-1228-IHW-E	BEXAR	2/20/2011	\$2,875	\$575	\$2,300	\$0
IHW	39999	Sherwin Alumina Company, LLC	2010-1216-IHW-E	SAN PATRICIO	3/7/2011	\$1,650	\$330	\$1,320	\$0
IHW	38548	Maria Murr	2009-1651-IHW-E	TARRANT	3/19/2011	\$33,000	\$29,400	\$3,600	\$0
IHW	39464	2300 Sugar Sweet Realty, LLC	2010-0548-IHW-E	HIDALGO	3/27/2011	\$11,550	\$0	\$11,550	\$0
IHW	40362	Munro Dry Cleaning Company	2010-1479-IHW-E	JEFFERSON	5/8/2011	\$6,525	\$1,305	\$2,610	\$2,610
IHW	40327	Shell Oil Company	2010-1439-IHW-E	HARRIS	5/8/2011	\$97,020	\$19,404	\$38,808	\$38,808
IHW	40487	Lowe Precast, Inc.	2010-1627-IHW-E	MCLENNAN	5/8/2011	\$1,270	\$254	\$1,016	\$0
IHW	40539	Safety-Kleen Systems, Inc.	2010-1690-IHW-E	DENTON	5/22/2011	\$5,000	\$1,000	\$4,000	\$0
IHW	39121	Quality Container and Environmental Services LLC	2010-0208-IHW-E	HARRIS	6/20/2011	\$37,575	\$0	\$37,575	\$0

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Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
IHW	38743	Equistar Chemicals, LP	2009-1896-IHW-E	BRAZORIA	6/20/2011	\$67,050	\$0	\$67,050	\$0
IHW	40456	US Department of the Navy	2010-1595-IHW-E	NUECES	6/20/2011	\$87,242	\$17,448	\$69,794	\$0
IHW	40762	Holly Energy Partners - Operating, L.P.	2010-1906-IHW-E	CULBERSON	7/2/2011	\$30,350	\$6,070	\$12,140	\$12,140
IHW	40552	Robbie D. Wood, Inc.	2010-1720-IHW-E		7/2/2011	\$2,500	\$500	\$2,000	\$0
IHW	40226	Johns Manville	2010-1352-IHW-E	JOHNSON	7/31/2011	\$8,911	\$1,782	\$7,129	\$0
IHW	40735	USGreentech, L.L.C.	2010-1894-IHW-E	MCCULLOCH	8/13/2011	\$2,100	\$420	\$1,680	\$0
IHW	40375	Lyondell Chemical Company	2010-1575-IHW-E	HARRIS	8/13/2011	\$0	\$0	\$0	\$0
IHW	39340	Cemex Cement of Texas, L.P. *	2010-0424-IHW-E	COMAL	8/27/2011	\$23,850	\$0	\$11,925	\$11,925
IHW	40588	Diamond Shamrock Refining Company, L.P.	2010-1729-IHW-E	LIVE OAK	8/27/2011	\$103,075	\$20,615	\$41,230	\$41,230
IHW	39785	Intergulf Corporation	2010-0888-IHW-E	HARRIS	8/27/2011	\$52,600	\$0	\$26,300	\$26,300
<b>Subtotal for IHW : 32</b>						<b>\$1,425,527</b>	<b>\$229,966</b>	<b>\$767,512</b>	<b>\$428,049</b>
MSW	38151	Rodman, LLC	2009-1294-MSW-E	DENTON	9/10/2010	\$15,000	\$0	\$15,000	\$0
MSW	38598	ANDRADE, ROLAND C	2009-1791-MSW-E	ATASCOSA	9/10/2010	\$1,000	\$0	\$1,000	\$0
MSW	39005	MORRIS, ROBERT D	2010-0081-MSW-E	BEXAR	9/26/2010	\$4,725	\$945	\$3,780	\$0
MSW	39276	Patsy Tidwell	2010-0370-MSW-E	SAN JACINTO	9/26/2010	\$1,000	\$200	\$800	\$0
MSW	38992	GALERA, ASUNCION MANRIQUEZ	2010-0080-MLM-E	HARRIS	9/26/2010	\$1,250	\$250	\$1,000	\$0
MSW	38751	TEMPLETON, WILLIAM	2009-1975-MSW-E	CAMERON	9/26/2010	\$2,100	\$420	\$1,680	\$0
MSW	39261	ROBINSON, LARRY	2010-0337-MSW-E	KINNEY	10/15/2010	\$1,050	\$0	\$1,050	\$0
MSW	39455	Walter Young	2010-0566-MSW-E	TOM GREEN	10/15/2010	\$2,625	\$525	\$2,100	\$0
MSW	38671	CELESTINE, LEEROY *	2009-1816-MLM-E	JEFFERSON	10/16/2010	\$1,050	\$0	\$1,050	\$0
MSW	39048	MALDONADO, JOE	2010-0146-MSW-E	BEXAR	11/14/2010	\$2,625	\$0	\$2,625	\$0
MSW	34775	GILMORE, JOE DAVID	2007-1657-MSW-E	MONTAGUE	11/14/2010	\$1,050	\$0	\$1,050	\$0
MSW	39339	Hector Ortiz	2010-0432-MLM-E	EL PASO	11/15/2010	\$5,000	\$1,000	\$4,000	\$0
MSW	39133	US RECYCLING CORPORATION	2010-0213-MSW-E	BEXAR	11/15/2010	\$1,000	\$200	\$800	\$0
MSW	39361	GONZALES, HENRY	2010-0454-MSW-E	KINNEY	11/15/2010	\$1,050	\$0	\$1,050	\$0
MSW	37431	ADAMS, JEANETTE	2009-0492-MSW-E	LAMAR	11/18/2010	\$2,500	\$1,300	\$1,200	\$0
MSW	39475	THOMAS AND DORIS HUTTO, INC.	2010-0558-MLM-E	HOUSTON	12/4/2010	\$14,475	\$2,895	\$11,580	\$0
MSW	39847	Triangle Waste Solutions, LP	2010-0948-MSW-E	JEFFERSON	12/4/2010	\$778	\$155	\$623	\$0
MSW	39316	Wilke Tire Service, Inc.	2010-0428-MSW-E	NUECES	12/5/2010	\$3,600	\$0	\$3,600	\$0
MSW	38445	Eastex Sand & Materials, Inc.	2009-1579-MSW-E	HARDIN	12/24/2010	\$10,600	\$0	\$10,600	\$0
MSW	39300	PERDEW, JIMMY	2010-0395-MSW-E	SAN JACINTO	12/24/2010	\$1,000	\$0	\$1,000	\$0
MSW	39600	Sageline, Inc.	2010-0693-MSW-E	WILLIAMSON	12/25/2010	\$1,185	\$237	\$948	\$0
MSW	37628	Daniel L. Mauldin	2009-0714-MSW-E	ELLIS	12/25/2010	\$4,899	\$0	\$4,899	\$0
MSW	39070	MITCHELL, DANNY	2010-0149-MSW-E	MILAM	12/25/2010	\$2,675	\$535	\$2,140	\$0
MSW	38171	A-Affordable Vacuum Services and Disposal Site, Inc.	2009-1320-MSW-E	HARRIS	12/25/2010	\$1,540	\$308	\$1,232	\$0
MSW	35798	City of Kingsville	2009-2049-MSW-E	KLEBERG	12/25/2010	\$80,625	\$0	\$0	\$80,625
MSW	39500	TREVINO, DAVID	2010-0583-MSW-E	LUBBOCK	1/14/2011	\$10,500	\$0	\$10,500	\$0
MSW	39233	Vicente Lopez *	2010-0323-MSW-E	EL PASO	1/14/2011	\$2,500	\$0	\$2,500	\$0

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Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MSW	39333	TOVAR, REGINA *	2010-0442-MSW-E	SHELBY	2/5/2011	\$2,500	\$500	\$2,000	\$0
MSW	39041	City of Port Arthur	2010-0048-MSW-E	JEFFERSON	2/5/2011	\$23,825	\$4,765	\$19,060	\$0
MSW	38713	Biomedical Waste Solutions, LLC	2009-1861-MSW-E	JEFFERSON	2/5/2011	\$48,496	\$9,699	\$38,797	\$0
MSW	39508	Eco-Keeper, LLC	2010-0594-MSW-E	HAYS	2/5/2011	\$1,500	\$300	\$1,200	\$0
MSW	39595	Sakai, Paul A	2010-0707-MSW-E	HIDALGO	2/5/2011	\$250	\$50	\$200	\$0
MSW	38958	PALACIOS, OSCAR	2010-0013-MSW-E	HIDALGO	2/20/2011	\$7,500	\$0	\$7,500	\$0
MSW	39659	Pro Star Roll-off Dumpsters LLC	2010-0755-MSW-E	BEXAR	2/20/2011	\$6,750	\$1,350	\$5,400	\$0
MSW	37754	Downstream Environmental, L.L.C.	2009-0862-MSW-E	HARRIS	2/25/2011	\$18,360	\$0	\$18,360	\$0
MSW	40416	JOHNSON, KEVIN	2010-1530-MSW-E	HOUSTON	3/19/2011	\$3,030	\$606	\$2,424	\$0
MSW	40196	COOPER, JERRY LYNN	2010-1319-MSW-E	HARRIS	3/19/2011	\$5,000	\$1,000	\$4,000	\$0
MSW	37675	Phillip Crump	2009-0741-MSW-E	PANOLA	3/19/2011	\$900	\$0	\$900	\$0
MSW	39212	SIMMONS, JERRY	2010-0334-MSW-E	ORANGE	3/27/2011	\$1,050	\$0	\$1,050	\$0
MSW	39729	HILL, JACKIE	2010-0833-MSW-E	CORYELL	3/27/2011	\$1,050	\$0	\$1,050	\$0
MSW	38607	NERREN, CLARENCE W	2009-1771-MLM-E	HARDIN	3/27/2011	\$1,312	\$0	\$1,312	\$0
MSW	39442	PEREZ, RAYMOND	2010-0524-MSW-E	HIDALGO	4/8/2011	\$9,000	\$0	\$9,000	\$0
MSW	39909	Triple A Dump Truck Service, L.L.C.	2010-1012-MSW-E	HIDALGO	5/8/2011	\$2,500	\$0	\$2,500	\$0
MSW	39780	City of Newton	2010-0907-MLM-E	NEWTON	5/8/2011	\$7,000	\$1,400	\$0	\$5,600
MSW	40403	HARRIS, ROY	2010-1520-MSW-E	KINNEY	5/8/2011	\$2,625	\$0	\$2,625	\$0
MSW	40446	DIRT FREE CARPET & UPHOLSTERY CLEANING, INC.	2010-1573-MSW-E	GUADALUPE	5/8/2011	\$1,443	\$288	\$1,155	\$0
MSW	40343	Eco International LLC	2010-1478-MSW-E	HARRIS	5/22/2011	\$1,750	\$350	\$1,400	\$0
MSW	30599	Gettrell A. Carter *	2006-1140-MSW-E	CALDWELL	5/26/2011	\$23,250	\$0	\$23,250	\$0
MSW	38588	JOABERT DEVELOPMENT COMPANY	2009-1764-MSW-E	BEXAR	6/9/2011	\$1,070	\$0	\$1,070	\$0
MSW	40877	Cibolo Waste, Inc.	2010-2044-MSW-E	COMAL	6/20/2011	\$2,354	\$470	\$1,884	\$0
MSW	37525	Post Oak Grinding, L.L.C.	2009-0585-MSW-E	DALLAS	6/20/2011	\$8,400	\$0	\$8,400	\$0
MSW	40386	Sentinel Resources Corporation	2010-1503-MSW-E	*MULTIPLE	6/20/2011	\$24,000	\$0	\$24,000	\$0
MSW	40328	Alamo Recycle Centers, LLC	2010-1438-MSW-E	BEXAR	6/20/2011	\$2,393	\$478	\$1,915	\$0
MSW	39166	JP Environmental Recycling, LLC *	2010-0234-MSW-E	WICHITA	6/20/2011	\$30,000	\$0	\$30,000	\$0
MSW	38582	HESTER, TRAVIS D *	2009-1757-MSW-E	DALLAS	6/20/2011	\$8,250	\$0	\$8,250	\$0
MSW	40957	R2R Recycling LLC	2011-0034-MSW-E	TARRANT	7/2/2011	\$2,250	\$450	\$1,800	\$0
MSW	40945	Jim Hogg County	2011-0069-MSW-E	JIM HOGG	7/2/2011	\$2,250	\$450	\$1,800	\$0
MSW	39921	Madison, Mary *	2010-1026-MSW-E	TRINITY	7/10/2011	\$1,050	\$0	\$1,050	\$0
MSW	36101	RAMON, MACLOVIO	2010-1490-MSW-E	DUVAL	7/10/2011	\$9,100	\$0	\$9,100	\$0
MSW	39625	DUNN, DEMPSEY	2010-0714-MSW-E	HOUSTON	7/10/2011	\$1,050	\$0	\$1,050	\$0
MSW	35243	TAYLOR, MARVIN WAYNE	2010-1311-MSW-E	SHELBY	7/10/2011	\$600	\$0	\$600	\$0
MSW	39682	Bonilla, Horacio Tomas Meneses	2010-0774-MSW-E	EL PASO	7/10/2011	\$262	\$0	\$262	\$0
MSW	29047	Garland Kimbrell	2010-1423-MSW-E	LUBBOCK	7/10/2011	\$78,225	\$0	\$78,225	\$0
MSW	40006	Stephens, William F DBA WS TIRE TRANSPORT	2010-1124-MSW-E	POTTER	7/10/2011	\$2,625	\$0	\$2,625	\$0
MSW	35354	SHELTON, DENNIE	2010-0883-MSW-E	MCCULLOCH	7/10/2011	\$7,875	\$0	\$7,875	\$0

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Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MSW	39489	Santos Barcenas dba Tyre King Recycling	2010-0575-MSW-E	HALE	7/10/2011	\$13,200	\$0	\$13,200	\$0
MSW	40173	BEVERLIN, TERRY	2010-1294-MSW-E	HARDIN	7/10/2011	\$1,000	\$0	\$1,000	\$0
MSW	33719	CHOATE, ROBERT	2010-1268-MSW-E	JEFFERSON	7/22/2011	\$31,250	\$0	\$31,250	\$0
MSW	34523	Ray Carpenter dba Carpenter Dirt Work	2010-1928-MSW-E	LAMPASAS	7/22/2011	\$12,008	\$0	\$12,008	\$0
MSW	39744	GARCIA, JULIA *	2010-0862-MSW-E	BELL	7/31/2011	\$3,500	\$0	\$3,500	\$0
MSW	40927	City of Big Lake	2011-0048-MSW-E	REAGAN	7/31/2011	\$3,937	\$787	\$0	\$3,150
MSW	40827	VELASQUEZ, FRANCISCO	2010-1986-MSW-E	HIDALGO	7/31/2011	\$10,000	\$2,000	\$8,000	\$0
MSW	10822	Western States Realty, LLC	2010-1699-MSW-E	JONES	8/20/2011	\$32,300	\$0	\$32,300	\$0
MSW	41121	Standard Waste Services, LLC	2011-0246-MSW-E	BEXAR	8/27/2011	\$2,000	\$400	\$1,600	\$0
<b>Subtotal for MSW : 74</b>						<b>\$637,442</b>	<b>\$34,313</b>	<b>\$513,754</b>	<b>\$89,375</b>
OL	39086	DAILEY, MARK WILLIAM	2010-0164-LII-E	BEXAR	9/10/2010	\$1,358	\$0	\$1,358	\$0
OL	38441	GUERRERO, MIGUEL	2009-1580-LII-E	TRAVIS	9/10/2010	\$500	\$0	\$500	\$0
OL	38531	HARKIN, SHANE	2009-1674-LII-E	TRAVIS	9/10/2010	\$250	\$0	\$250	\$0
OL	38295	ORDONEZ, JOSE	2009-1418-LII-E	DALLAS	9/10/2010	\$500	\$0	\$500	\$0
OL	39098	GONZALEZ, ALEJANDRO C	2010-0204-LII-E	DALLAS	9/26/2010	\$250	\$50	\$200	\$0
OL	39519	Platinum Plus Enterprises Inc	2010-0603-LII-E	MONTGOMERY	9/26/2010	\$375	\$75	\$300	\$0
OL	39494	LOPEZ, FILEMON	2010-0589-LII-E	TARRANT	9/26/2010	\$250	\$50	\$200	\$0
OL	39159	Ismael Medina	2010-0254-LII-E	LIBERTY	10/15/2010	\$563	\$112	\$451	\$0
OL	39218	LEBEAU, STEVE M	2010-0350-LII-E	TARRANT	10/15/2010	\$813	\$162	\$651	\$0
OL	39516	Jason's Lawn Care and Landscaping, Inc.	2010-0598-LII-E	MCLENNAN	10/25/2010	\$500	\$100	\$400	\$0
OL	39741	PARVIN, REED	2010-0841-LII-E	LIPSCOMB	10/25/2010	\$250	\$50	\$200	\$0
OL	39472	HENNARD, RANDY TODD	2010-0557-LII-E	JOHNSON	10/25/2010	\$237	\$47	\$190	\$0
OL	39573	SLUDER, STEVEN M	2010-0665-LII-E	SMITH	10/25/2010	\$450	\$90	\$360	\$0
OL	38427	SKERO, LARRY E	2009-1557-LII-E	LLANO	11/4/2010	\$952	\$0	\$952	\$0
OL	39137	Benjamin D. Baize	2010-0198-LII-E	VAL VERDE	11/14/2010	\$4,561	\$0	\$4,561	\$0
OL	39480	MCWILLIAMS, BART L	2010-0563-LII-E	TOM GREEN	11/15/2010	\$375	\$75	\$300	\$0
OL	39203	QUINTON, RODY ALEJANDRO	2010-0290-LII-E	FORT BEND	11/15/2010	\$1,125	\$225	\$900	\$0
OL	39065	TADDEI, RUBENS	2010-0170-LII-E	GALVESTON	12/4/2010	\$1,244	\$0	\$1,244	\$0
OL	38881	RODRIGUEZ, ANGEL	2009-2059-LII-E	TARRANT	12/5/2010	\$250	\$0	\$250	\$0
OL	38927	SANTILLAN, RODOLFO	2010-0012-LII-E	DALLAS	12/24/2010	\$250	\$0	\$250	\$0
OL	39479	WOOD, JAMES H	2010-0565-LII-E	TARRANT	12/25/2010	\$193	\$38	\$155	\$0
OL	39913	ROHDE, MARK E	2010-1015-LII-E	KENDALL	12/25/2010	\$500	\$100	\$400	\$0
OL	38984	EVANS, DERALD	2010-0070-LII-E	TARRANT	12/25/2010	\$250	\$0	\$250	\$0
OL	39599	MEYER, JARROD L	2010-0705-LII-E	SMITH	1/14/2011	\$362	\$0	\$362	\$0
OL	39778	WILLIAMSON, ROBERT JAMES	2010-0885-LII-E	TRAVIS	2/5/2011	\$1,575	\$315	\$1,260	\$0
OL	39663	ORTEGA, ROBERTO	2010-0754-LII-E	DALLAS	2/5/2011	\$225	\$45	\$180	\$0
OL	39910	MAYBERRY, CHRIS L	2010-1011-LII-E	COMAL	2/5/2011	\$255	\$51	\$204	\$0
OL	40316	REED, PAUL D	2010-1433-LII-E	WALKER	2/20/2011	\$193	\$38	\$155	\$0

## Singles Programs

## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
OL	39927	Lawn Appeal LLC	2010-1034-LII-E	ANGELINA	2/20/2011	\$450	\$90	\$360	\$0
OL	39392	GLENN, LISA	2010-0482-LII-E	LAMAR	2/25/2011	\$745	\$0	\$745	\$0
OL	39644	VANCE, ROBERT W	2010-0739-LII-E	BEXAR	2/25/2011	\$262	\$0	\$262	\$0
OL	39740	SLATER, JOE F	2010-0858-LII-E	HOOD	2/25/2011	\$1,681	\$0	\$1,681	\$0
OL	39248	One Stop Landscape Shop, LLC	2010-0356-LII-E	TRAVIS	3/12/2011	\$500	\$0	\$500	\$0
OL	39039	PHILLIPS, MATT R	2010-0114-LII-E	SMITH	3/12/2011	\$250	\$0	\$250	\$0
OL	39135	STOCKTON, KEVIN	2010-0225-LII-E	TRAVIS	3/19/2011	\$225	\$0	\$225	\$0
OL	39863	Local Landscape, LLC	2010-0960-LII-E	COMAL	3/19/2011	\$250	\$0	\$250	\$0
OL	38374	NOYES, DAN	2009-1498-WOC-E	HARRIS	3/19/2011	\$1,992	\$0	\$1,992	\$0
OL	39992	BAUGH, DANIEL	2010-1118-LII-E	TRAVIS	3/19/2011	\$250	\$0	\$250	\$0
OL	39221	MARTINEZ, FRED	2010-0312-LII-E	WILLIAMSON	3/27/2011	\$500	\$0	\$500	\$0
OL	38474	SISCA, JOEY	2009-1566-LII-E	TRAVIS	3/27/2011	\$900	\$0	\$900	\$0
OL	39222	WHITSON, CLAYTON C	2010-0311-LII-E	BELL	3/27/2011	\$1,375	\$0	\$1,375	\$0
OL	37663	MOSCOSO, MANUEL ANTONIO	2009-0751-LII-E	HARRIS	4/18/2011	\$450	\$0	\$450	\$0
OL	39515	SANCHEZ, JUAN	2010-0612-LII-E	HARRIS	5/8/2011	\$1,245	\$0	\$1,245	\$0
OL	39456	FERNANDEZ, JAMIE J	2010-0544-LII-E	HARRIS	5/22/2011	\$739	\$147	\$592	\$0
OL	39856	CANTU, CARY	2010-0957-LII-E	NACOGDOCHES	7/10/2011	\$262	\$0	\$262	\$0
OL	39857	KOZER, JUAN	2010-0958-LII-E	CALDWELL	7/10/2011	\$250	\$0	\$250	\$0
OL	39694	PENA, JOSE	2010-0788-LII-E	DALLAS	7/31/2011	\$250	\$0	\$250	\$0
OL	39445	CHOATE, MARK P	2010-0526-LII-E	DALLAS	7/31/2011	\$18,105	\$0	\$18,105	\$0
OL	40721	HOWELLS, JOSHUA W	2010-1861-LII-E	BLANCO	8/13/2011	\$663	\$0	\$663	\$0
OL	40681	CORONADO, JOSE EDUARDO	2010-1819-LII-E	HARRIS	8/20/2011	\$996	\$0	\$996	\$0
OL	41145	JEFFERSON, NEIL D	2011-0265-LII-E	COLLIN	8/27/2011	\$375	\$75	\$300	\$0
<b>Subtotal for OL : 51</b>						<b>\$51,321</b>	<b>\$1,935</b>	<b>\$49,386</b>	<b>\$0</b>
PST	38364	Anatolian Trading, Inc.	2009-1410-PST-E	HARRIS	9/10/2010	\$3,648	\$0	\$3,648	\$0
PST	38986	Rabindra Nauth	2010-0073-PST-E	JEFFERSON	9/10/2010	\$6,600	\$0	\$6,600	\$0
PST	38822	Lindale Stores, Inc.	2009-2003-PST-E	DALLAS	9/10/2010	\$5,207	\$0	\$5,207	\$0
PST	37531	TRAN, NHAT QUANG	2009-0588-PST-E	HARRIS	9/15/2010	\$7,720	\$0	\$7,720	\$0
PST	39273	N & K Hampton Services, Inc.	2010-0326-PST-E	DALLAS	9/26/2010	\$4,226	\$845	\$3,381	\$0
PST	39081	Estate of A. B. Guess c/o Arlozelle P. Guess, Executor	2010-0209-PST-E	FORT BEND	9/26/2010	\$6,300	\$1,260	\$5,040	\$0
PST	39350	Texas A&M University	2010-0431-PST-E	BRAZOS	9/26/2010	\$4,800	\$960	\$3,840	\$0
PST	39155	Shujat Holding Company	2010-0230-PST-E	*MULTIPLE	9/26/2010	\$17,500	\$3,500	\$14,000	\$0
PST	39337	K H Jung Investment, Inc.	2010-0423-PST-E	NUECES	9/26/2010	\$5,460	\$1,092	\$4,368	\$0
PST	39244	Star Mini Mart, LLC	2010-0344-PST-E	JEFFERSON	9/26/2010	\$4,275	\$855	\$3,420	\$0
PST	39297	Juliet Operating Company, Inc.	2010-0406-PST-E	TARRANT	9/26/2010	\$3,519	\$703	\$2,816	\$0
PST	40004	Wallinsein Enterprises, Inc.	2010-1122-PST-E	LUBBOCK	9/26/2010	\$1,750	\$0	\$1,750	\$0
PST	39267	Convenience Mart, Inc.	2010-0329-PST-E	HARRIS	9/26/2010	\$10,000	\$2,000	\$8,000	\$0
PST	39461	Panjwani Enterprises, Inc.	2010-0539-PST-E	HARRIS	9/26/2010	\$2,200	\$440	\$1,760	\$0

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Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	39270	JUNAID INVESTMENTS INC	2010-0319-PST-E	HARRIS	9/26/2010	\$2,558	\$511	\$2,047	\$0
PST	38662	Collin County	2009-1834-PST-E	COLLIN	9/26/2010	\$3,675	\$735	\$2,940	\$0
PST	38672	Metroplex Lucky Star, LLC	2009-1759-PST-E	TARRANT	9/26/2010	\$3,689	\$737	\$2,952	\$0
PST	39254	Kohettur, Inc.	2010-0327-PST-E	HARRIS	9/26/2010	\$6,237	\$1,247	\$4,990	\$0
PST	39439	Skinny's, LLC	2010-0522-PST-E	TOM GREEN	9/26/2010	\$5,090	\$1,018	\$4,072	\$0
PST	35544	Ari Ari, Ltd.	2008-0445-PST-E	DALLAS	9/26/2010	\$19,471	\$0	\$19,471	\$0
PST	39359	New Alia Enterprise, Inc.	2010-0446-PST-E	GALVESTON	10/15/2010	\$2,244	\$448	\$1,796	\$0
PST	39376	Pfhc, Ltd.	2010-0464-PST-E	HOUSTON	10/15/2010	\$18,980	\$3,796	\$15,184	\$0
PST	39383	Jack D. Williams	2010-0473-PST-E	RANDALL	10/15/2010	\$2,625	\$525	\$2,100	\$0
PST	39225	GOVINDRAM, BHARATH U	2010-0306-PST-E	JEFFERSON	10/15/2010	\$36,100	\$7,220	\$28,880	\$0
PST	38305	Dixie In Inc.	2009-1479-PST-E	HARRIS	10/15/2010	\$6,631	\$0	\$6,631	\$0
PST	38591	Jorge Magallanes Martinez	2009-1731-PST-E	WEBB	10/15/2010	\$3,675	\$0	\$3,675	\$0
PST	38590	G.L. Properties, Inc.	2009-1733-PST-E	WEBB	10/15/2010	\$2,750	\$0	\$2,750	\$0
PST	39263	I. S. S. Enterprises Inc	2010-0368-PST-E	HARRIS	10/15/2010	\$8,565	\$1,713	\$6,852	\$0
PST	39416	M&O Services, L.L.C.	2010-0499-PST-E	EL PASO	10/15/2010	\$14,560	\$2,912	\$11,648	\$0
PST	39451	LEE, KWANG SOON	2010-0559-PST-E	HARRIS	10/15/2010	\$4,188	\$837	\$3,351	\$0
PST	38605	Shamsuddin A. Lassi *	2009-1715-PST-E	MCLENNAN	10/15/2010	\$18,021	\$0	\$18,021	\$0
PST	39198	Star Fuels, Inc.	2010-0371-PST-E	HARRIS	10/15/2010	\$8,554	\$0	\$8,554	\$0
PST	38731	Sarbali, Inc.	2009-1882-PST-E	HARRIS	10/15/2010	\$5,337	\$0	\$5,337	\$0
PST	39537	Methodist Healthcare System of San Antonio, Ltd., L.L.P.	2010-0669-PST-E	BEXAR	10/15/2010	\$2,305	\$461	\$1,844	\$0
PST	39393	ALI, AKBER	2010-0484-PST-E	TRAVIS	10/15/2010	\$5,331	\$1,066	\$4,265	\$0
PST	39262	Gova Enterprise, Inc.	2010-0351-PST-E	HARRIS	10/15/2010	\$8,040	\$1,608	\$6,432	\$0
PST	39320	JWK AUTO GROUP INC	2010-0392-PST-E	TARRANT	10/15/2010	\$6,673	\$1,334	\$5,339	\$0
PST	39374	Steinhagen Oil Company, Inc.	2010-0469-PST-E	JEFFERSON	10/15/2010	\$11,205	\$2,241	\$8,964	\$0
PST	39589	R & D Distributing Ltd	2010-0680-PST-E	SHELBY	10/15/2010	\$8,025	\$1,605	\$6,420	\$0
PST	38183	Magic First, Inc.	2009-1340-PST-E	HARRIS	10/15/2010	\$20,340	\$0	\$20,340	\$0
PST	39623	Dollie Jobe	2010-0719-PST-E	SWISHER	10/15/2010	\$2,625	\$525	\$2,100	\$0
PST	39419	Skinny's, LLC	2010-0504-PST-E	TOM GREEN	10/15/2010	\$6,095	\$1,219	\$4,876	\$0
PST	39354	GILL, ROBERT JOHN	2010-0437-PST-E	WICHITA	10/15/2010	\$2,625	\$0	\$2,625	\$0
PST	39375	Racetrac Petroleum, Inc.	2010-0483-PST-E	HARRIS	10/15/2010	\$9,454	\$1,890	\$7,564	\$0
PST	39534	Army & Air Force Exchange Service	2010-0622-PST-E	DALLAS	10/15/2010	\$8,000	\$1,600	\$6,400	\$0
PST	38431	Beechnut Food Stores, Inc.	2009-1525-PST-E	HARRIS	10/16/2010	\$3,119	\$0	\$3,119	\$0
PST	38894	Shafiq Rehman *	2009-2069-PST-E	HARRIS	10/16/2010	\$6,387	\$0	\$6,387	\$0
PST	38875	BMS GROCERY STORES LLC	2009-2045-PST-E	BEXAR	10/16/2010	\$8,229	\$0	\$8,229	\$0
PST	39144	S.S.O.S. Investments INT'L Inc.	2010-0197-PST-E	GALVESTON	10/16/2010	\$6,900	\$0	\$6,900	\$0
PST	39667	Brazoria County	2010-0762-PST-E	BRAZORIA	10/25/2010	\$4,425	\$885	\$3,540	\$0
PST	39548	BUI, HIEU	2010-0637-PST-E	HARRIS	10/25/2010	\$4,658	\$931	\$3,727	\$0
PST	40075	Good Time Stores, Inc.	2010-1201-PST-E	HOCKLEY	10/25/2010	\$1,750	\$0	\$1,750	\$0

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Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	39617	7-Eleven, Inc.	2010-0727-PST-E	TARRANT	10/25/2010	\$3,301	\$660	\$2,641	\$0
PST	39560	Horseshoe Bay Resort, Ltd.	2010-0647-PST-E	LLANO	10/25/2010	\$12,531	\$2,505	\$10,026	\$0
PST	39060	Gemini Ventures Inc.	2010-0140-PST-E	HARRIS	10/25/2010	\$6,305	\$1,261	\$5,044	\$0
PST	39710	United Parcel Service, Inc.	2010-0808-PST-E	TARRANT	10/25/2010	\$3,025	\$605	\$2,420	\$0
PST	39418	RUH Enterprises, Inc.	2010-0502-PST-E	TARRANT	10/25/2010	\$12,981	\$2,596	\$10,385	\$0
PST	39603	ESSA INC	2010-0692-PST-E	HARRIS	10/25/2010	\$2,897	\$579	\$2,318	\$0
PST	39707	Sunrise Fuel, Inc.	2010-0803-PST-E	HARRIS	10/25/2010	\$4,391	\$878	\$3,513	\$0
PST	39215	Lucky Lady Oil Company	2010-0285-PST-E	ELLIS	10/25/2010	\$14,326	\$2,865	\$11,461	\$0
PST	38317	B & H Group, Inc.	2009-1453-PST-E	JOHNSON	11/14/2010	\$2,625	\$0	\$2,625	\$0
PST	39177	James L Wells	2010-0269-PST-E	LEON	11/14/2010	\$3,675	\$0	\$3,675	\$0
PST	37764	SHEKHANI, AFZAL *	2009-0874-PST-E	HARRIS	11/14/2010	\$20,116	\$0	\$20,116	\$0
PST	36051	PERKINS, BARBARA	2008-1201-PST-E	CHILDRESS	11/14/2010	\$2,750	\$0	\$2,750	\$0
PST	38213	AHMED, MOHAMMED A	2009-1360-PST-E	HARRIS	11/14/2010	\$9,125	\$0	\$9,125	\$0
PST	39031	Gadi Shushan *	2010-0119-PST-E	NUECES	11/14/2010	\$17,850	\$0	\$17,850	\$0
PST	40229	Central Freight Lines, Inc.	2010-1368-PST-E	LUBBOCK	11/15/2010	\$1,750	\$0	\$1,750	\$0
PST	39513	A&A PETROLEUM OF TEXAS INC	2010-0599-PST-E	HARRIS	11/15/2010	\$5,059	\$1,011	\$4,048	\$0
PST	39434	A & N Momin Enterprises, Inc.	2010-0513-PST-E	HARRIS	11/15/2010	\$30,456	\$6,091	\$24,365	\$0
PST	39436	SJKR, Inc. dba Steve's Texaco	2010-0520-PST-E	*MULTIPLE	11/15/2010	\$3,125	\$625	\$2,500	\$0
PST	39653	HIMALAYAN STAR INC	2010-0780-PST-E	ERATH	11/15/2010	\$6,684	\$1,336	\$5,348	\$0
PST	39158	Anum Texas Corp	2010-0281-PST-E	TARRANT	11/15/2010	\$6,171	\$1,234	\$4,937	\$0
PST	38904	ALHINDI, ALI AHMAD	2009-2086-PST-E	*MULTIPLE	11/15/2010	\$5,665	\$0	\$5,665	\$0
PST	39356	FT-EZCO, Inc.	2010-0438-PST-E	TARRANT	11/15/2010	\$4,484	\$0	\$4,484	\$0
PST	39566	Lucky Associates, Inc.	2010-0657-PST-E	TRAVIS	11/15/2010	\$4,856	\$971	\$3,885	\$0
PST	39577	Texas Petroleum Group, LLC	2010-0663-PST-E	HARRIS	11/15/2010	\$4,946	\$989	\$3,957	\$0
PST	39629	PHAM, QUANG DANG	2010-0718-PST-E	HARRIS	11/15/2010	\$3,446	\$689	\$2,757	\$0
PST	39558	Elwans, Inc.	2010-0648-PST-E	HARRIS	11/15/2010	\$4,524	\$904	\$3,620	\$0
PST	39311	Bhima Corporation	2010-0399-PST-E	HARRIS	11/15/2010	\$1,925	\$385	\$1,540	\$0
PST	40277	Centergas Fuels, Inc.	2010-1415-PST-E	LAMB	11/15/2010	\$1,750	\$0	\$1,750	\$0
PST	39146	J N J Operations, INC.	2010-0235-PST-E	BRAZORIA	11/15/2010	\$3,035	\$0	\$3,035	\$0
PST	39619	N & S INVESTMENTS INC	2010-0725-PST-E	LUBBOCK	11/15/2010	\$3,850	\$770	\$3,080	\$0
PST	40127	Hays City Corporation	2010-1243-PST-E	TRAVIS	11/15/2010	\$1,020	\$0	\$1,020	\$0
PST	39628	N.K.S.S. Enterprises, Inc.	2010-0722-PST-E	ORANGE	11/15/2010	\$5,775	\$1,155	\$4,620	\$0
PST	38651	FATIMA ENTERPRISES INC.	2009-1800-PST-E	FORT BEND	11/15/2010	\$16,403	\$3,280	\$13,123	\$0
PST	39896	Racetrac Petroleum, Inc.	2010-1000-PST-E	TARRANT	11/15/2010	\$4,786	\$957	\$3,829	\$0
PST	39522	Federico Mendez, LLC	2010-0610-PST-E	BEE	11/15/2010	\$4,976	\$995	\$3,981	\$0
PST	39673	Somang Management Inc	2010-0767-PST-E	CALHOUN	11/15/2010	\$5,355	\$1,071	\$4,284	\$0
PST	39775	TRT Development Company - CCS	2010-0968-PST-E	*MULTIPLE	11/15/2010	\$6,503	\$1,300	\$5,203	\$0
PST	39621	CHOE, YUNBAE	2010-0720-PST-E	NUECES	11/15/2010	\$6,602	\$1,320	\$5,282	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	39616	WAGGONER, WILLIAM	2010-0728-PST-E	GALVESTON	11/15/2010	\$2,443	\$488	\$1,955	\$0
PST	39499	United Transmissions, Inc.	2010-0584-PST-E	HARRIS	11/15/2010	\$2,796	\$559	\$2,237	\$0
PST	39386	NGUYEN, TO *	2010-0475-PST-E	TARRANT	12/4/2010	\$6,592	\$1,318	\$5,274	\$0
PST	39806	Hope, Hardwork, and Happiness, Inc.	2010-0898-PST-E	DALLAS	12/4/2010	\$2,625	\$525	\$2,100	\$0
PST	39862	DALLAS SNR INC	2010-0961-PST-E	DALLAS	12/4/2010	\$3,803	\$760	\$3,043	\$0
PST	38089	Jerry Spencer, L.P.	2009-1250-PST-E	TARRANT	12/4/2010	\$20,200	\$0	\$20,200	\$0
PST	39575	Reliance Store, LLC	2010-0661-PST-E	HARRIS	12/4/2010	\$5,684	\$1,136	\$4,548	\$0
PST	39501	VILLARREAL, ALBERTO ALBA	2010-0581-PST-E	CAMERON	12/4/2010	\$5,183	\$1,036	\$4,147	\$0
PST	39593	Richey and Monk Grocery, Inc.	2010-0686-PST-E	LIBERTY	12/4/2010	\$12,517	\$2,503	\$10,014	\$0
PST	39561	TJSR, Inc.	2010-0670-PST-E	FORT BEND	12/4/2010	\$8,506	\$1,701	\$6,805	\$0
PST	40012	CHAI EXPRESS INC	2010-1127-PST-E	TARRANT	12/4/2010	\$3,118	\$623	\$2,495	\$0
PST	39389	Ogbazgi Semer Galai and Ogbazgi Tesfaslassie	2010-0479-PST-E	DALLAS	12/4/2010	\$3,508	\$0	\$3,508	\$0
PST	39622	Anju Enterprises, Inc.	2010-0721-PST-E	HARRIS	12/4/2010	\$14,023	\$2,804	\$11,219	\$0
PST	39518	ISSN Enterprises, Inc.	2010-0601-PST-E	BRAZORIA	12/4/2010	\$23,787	\$4,757	\$19,030	\$0
PST	39652	Southern Tri-Star Markets II, LTD	2010-0747-PST-E	BRAZORIA	12/4/2010	\$11,516	\$2,303	\$9,213	\$0
PST	39711	BHATT, SAMIR H	2010-0810-PST-E	KAUFMAN	12/4/2010	\$10,854	\$2,170	\$8,684	\$0
PST	39441	CAMPBELL BUSINESS INC	2010-0546-PST-E	HARRIS	12/4/2010	\$7,105	\$1,421	\$5,684	\$0
PST	39651	Kingstreet Investments, LLC	2010-0746-PST-E	HARRIS	12/4/2010	\$2,782	\$556	\$2,226	\$0
PST	39103	METRO MART INC	2010-0159-PST-E	HARRIS	12/4/2010	\$4,663	\$0	\$4,663	\$0
PST	39502	Ranglers Group Inc	2010-0582-PST-E	ERATH	12/4/2010	\$9,179	\$1,835	\$7,344	\$0
PST	39465	Travis E. Tindol Jr.	2010-0545-PST-E	BEE	12/4/2010	\$7,208	\$1,441	\$5,767	\$0
PST	39557	PRITEN YOGESH PATEL LLC	2010-0650-PST-E	LUBBOCK	12/4/2010	\$3,525	\$705	\$2,820	\$0
PST	37980	Blue Sky Corporation	2009-1056-PST-E	GALVESTON	12/4/2010	\$3,983	\$796	\$3,187	\$0
PST	39745	Hernandez, Duvelsa L	2010-0877-PST-E	NUECES	12/4/2010	\$5,265	\$1,053	\$4,212	\$0
PST	38351	Flores Ricardo	2009-1491-PST-E	*MULTIPLE	12/5/2010	\$6,300	\$0	\$6,300	\$0
PST	38779	TRENT, DOYLE	2009-1942-PST-E	MCLENNAN	12/5/2010	\$3,675	\$0	\$3,675	\$0
PST	39543	Davis and Wardlaw Oil Co., Inc.	2010-0634-PST-E	BAYLOR	12/5/2010	\$6,300	\$0	\$6,300	\$0
PST	37906	Z. A.O., INC.	2009-1052-MLM-E	EL PASO	12/5/2010	\$7,000	\$0	\$7,000	\$0
PST	39207	PCR CONSTRUCTION/DEVELOPMENT INC.	2010-0288-PST-E	HIDALGO	12/14/2010	\$9,066	\$0	\$9,066	\$0
PST	37014	LEE, EUN BOK	2009-0052-PST-E	HARRIS	12/23/2010	\$4,946	\$0	\$4,946	\$0
PST	39059	Kingwood Petroleum, LLC	2010-0135-PST-E	NACOGDOCHES	12/24/2010	\$3,675	\$0	\$3,675	\$0
PST	36257	Santos Barcenas	2009-1963-PST-E	TOM GREEN	12/24/2010	\$21,630	\$0	\$21,630	\$0
PST	39317	GRAY, DWAYNE	2010-0407-PST-E	MCLENNAN	12/24/2010	\$4,725	\$0	\$4,725	\$0
PST	39533	BROOM, JIM	2010-0179-PST-E	NACOGDOCHES	12/24/2010	\$6,300	\$0	\$6,300	\$0
PST	40030	We Are Crazy, Inc.	2010-1137-PST-E	ORANGE	12/25/2010	\$2,000	\$0	\$2,000	\$0
PST	39825	Rudy's Texas Bar-B-Q, L.L.C	2010-0984-PST-E	MCLENNAN	12/25/2010	\$6,100	\$1,220	\$4,880	\$0
PST	39719	KIE Enterprises, Inc.	2010-0882-PST-E	HARRIS	12/25/2010	\$4,180	\$836	\$3,344	\$0
PST	36919	Oliver Rod C	2008-1893-PST-E	*MULTIPLE	12/25/2010	\$5,250	\$0	\$5,250	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	39645	Southern Tri-Star Markets II, LTD	2010-0740-PST-E	BRAZORIA	12/25/2010	\$11,141	\$2,228	\$8,913	\$0
PST	39943	Muree Valley International, Inc.	2010-1045-PST-E	DALLAS	12/25/2010	\$2,173	\$434	\$1,739	\$0
PST	39771	TBNL Investments, Inc.	2010-0900-PST-E	GALVESTON	12/25/2010	\$4,179	\$835	\$3,344	\$0
PST	39586	AGESHEN, MALCOM	2010-0676-PST-E	DALLAS	12/25/2010	\$11,791	\$2,358	\$9,433	\$0
PST	39830	Nabius #1, Inc.	2010-0930-PST-E	TARRANT	12/25/2010	\$7,918	\$1,583	\$6,335	\$0
PST	40436	Texas Department of Aging and Disability Services	2010-1578-PST-E	LUBBOCK	12/25/2010	\$1,750	\$0	\$1,750	\$0
PST	39620	IZZA, Inc.	2010-0724-PST-E	HARRIS	12/25/2010	\$4,519	\$903	\$3,616	\$0
PST	39835	JD Cambridge Enterprise Inc	2010-0944-PST-E	TARRANT	12/25/2010	\$4,182	\$836	\$3,346	\$0
PST	39832	DOUANGKESONE, ORAPHINE	2010-0980-PST-E	TOM GREEN	12/25/2010	\$7,175	\$1,435	\$5,740	\$0
PST	39794	Shah & Shah, Inc.	2010-0894-PST-E	DALLAS	12/25/2010	\$12,906	\$2,581	\$10,325	\$0
PST	39259	Ahor Enterprise Inc.	2010-0322-PST-E	HARRIS	12/25/2010	\$10,973	\$0	\$10,973	\$0
PST	40119	Sathya Enterprises, LLC	2010-1239-PST-E	HARRIS	12/25/2010	\$3,834	\$766	\$3,068	\$0
PST	39815	WESTPARK STATION INC	2010-0969-PST-E	HARRIS	12/25/2010	\$6,031	\$1,206	\$4,825	\$0
PST	39705	NGO, THUAN T	2010-0802-PST-E	GALVESTON	12/25/2010	\$6,500	\$1,300	\$5,200	\$0
PST	39721	SOPHIA FOOD MARKET INC	2010-0816-PST-E	MILAM	12/25/2010	\$7,406	\$1,481	\$5,925	\$0
PST	39956	Palmera Inc	2010-1065-PST-E	DALLAS	12/25/2010	\$3,330	\$666	\$2,664	\$0
PST	39886	Sama, Inc.	2010-0996-PST-E	HARRIS	12/25/2010	\$5,806	\$1,161	\$4,645	\$0
PST	39676	2S & J INVESTMENT GROUP LLC	2010-0779-PST-E	HARRIS	12/25/2010	\$14,530	\$2,906	\$11,624	\$0
PST	39739	Lynda F. Cochran	2010-0842-PST-E	ORANGE	12/25/2010	\$2,625	\$525	\$2,100	\$0
PST	34380	4200 Rosedale, LLC	2007-1259-PST-E	TARRANT	12/27/2010	\$3,675	\$0	\$3,675	\$0
PST	39725	Khatib Nabil A	2010-0820-PST-E	*MULTIPLE	1/14/2011	\$2,625	\$0	\$2,625	\$0
PST	39282	Jerry F. Waneck	2010-0377-PST-E	BASTROP	1/26/2011	\$7,496	\$0	\$7,496	\$0
PST	39843	Charania, Inc.	2010-0933-PST-E	JASPER	2/5/2011	\$3,675	\$735	\$2,940	\$0
PST	39979	Star Fuels, Inc.	2010-1098-PST-E	HARRIS	2/5/2011	\$10,396	\$2,079	\$8,317	\$0
PST	39934	Joseph David Fitts	2010-1049-PST-E	DEWITT	2/5/2011	\$2,625	\$525	\$2,100	\$0
PST	39807	Murphy Oil USA, Inc.	2010-0897-PST-E	EL PASO	2/5/2011	\$6,620	\$1,324	\$5,296	\$0
PST	40020	NOORANI & BROTHERS, INC.	2010-1131-PST-E	HARRIS	2/5/2011	\$4,100	\$820	\$3,280	\$0
PST	39963	Nabil Enterprises, Inc.	2010-1083-PST-E	HARRIS	2/5/2011	\$8,650	\$1,730	\$6,920	\$0
PST	39960	Flying J, Inc.	2010-1071-PST-E	ORANGE	2/5/2011	\$9,278	\$1,855	\$7,423	\$0
PST	40034	CHUNG, WOOCHUN	2010-1142-PST-E	HARRIS	2/5/2011	\$2,515	\$503	\$2,012	\$0
PST	39945	Independent Oil Company	2010-1042-PST-E	HILL	2/5/2011	\$4,500	\$900	\$3,600	\$0
PST	40102	ZOOBAIRIE, SAEED A	2010-1219-PST-E	TRAVIS	2/5/2011	\$4,241	\$848	\$3,393	\$0
PST	40060	Retail Max, Inc.	2010-1170-PST-E	JEFFERSON	2/5/2011	\$9,675	\$1,935	\$7,740	\$0
PST	40159	Nogales Produce, Inc.	2010-1290-PST-E	DALLAS	2/5/2011	\$12,193	\$2,438	\$9,755	\$0
PST	39882	Steinhagen Oil Company, Inc.	2010-1014-PST-E	JEFFERSON	2/5/2011	\$11,878	\$2,375	\$9,503	\$0
PST	40363	Raheela Enterprises Inc.	2010-1481-PST-E	HARRIS	2/5/2011	\$2,000	\$400	\$1,600	\$0
PST	39851	Amakanojija, Inc.	2010-0953-PST-E	GALVESTON	2/5/2011	\$3,415	\$683	\$2,732	\$0
PST	38344	Kd Allseason, Inc.	2009-1475-PST-E	HARRIS	2/5/2011	\$8,050	\$0	\$8,050	\$0

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PST	40576	TEXANS TEXACO	2010-1719-PST-E	BELL	2/5/2011	\$875	\$0	\$875	\$0
PST	40036	Adil and Waseem Inc.	2010-1146-PST-E	HARRIS	2/5/2011	\$4,840	\$968	\$3,872	\$0
PST	39985	Dauva, LLC	2010-1107-PST-E	HARRIS	2/5/2011	\$3,765	\$753	\$3,012	\$0
PST	39796	Q M MARKETS, INC.	2010-0925-PST-E	BRAZORIA	2/5/2011	\$8,550	\$1,710	\$6,840	\$0
PST	21549	SILVA, HECTOR SR	2008-0377-PST-E	FRIO	2/5/2011	\$56,550	\$52,950	\$3,600	\$0
PST	39679	Yeung Realty, Inc.	2010-0805-PST-E	*MULTIPLE	2/5/2011	\$7,018	\$1,402	\$5,616	\$0
PST	40112	Parkway Food Mart Inc.	2010-1229-PST-E	FORT BEND	2/5/2011	\$5,406	\$1,081	\$4,325	\$0
PST	40044	ALMEDA MART INC	2010-1161-PST-E	HARRIS	2/20/2011	\$4,369	\$873	\$3,496	\$0
PST	39700	A.A.G. Texas, Inc	2010-0849-PST-E	HARRIS	2/20/2011	\$4,165	\$833	\$3,332	\$0
PST	39777	Shahz Brothers Inc.	2010-0891-PST-E	NUECES	2/20/2011	\$3,330	\$666	\$2,664	\$0
PST	39605	KHAN, NASEEN	2010-0750-PST-E	DALLAS	2/20/2011	\$3,936	\$0	\$3,936	\$0
PST	39826	Ikoankar LLC	2010-0921-PST-E	TARRANT	2/20/2011	\$3,661	\$732	\$2,929	\$0
PST	39916	Murphy Oil USA, Inc.	2010-1025-PST-E	COLLIN	2/20/2011	\$19,029	\$3,805	\$15,224	\$0
PST	39975	Nalla Idayan Inc.	2010-1090-PST-E	BRAZORIA	2/20/2011	\$6,756	\$1,351	\$5,405	\$0
PST	39845	Delta Enterprise, Inc.	2010-0966-PST-E	HARRIS	2/20/2011	\$4,143	\$828	\$3,315	\$0
PST	39867	NZSA, Inc.	2010-0971-PST-E	BEXAR	2/20/2011	\$8,735	\$1,747	\$6,988	\$0
PST	40069	Diamond Gulf, Inc.	2010-1178-PST-E	HARRIS	2/20/2011	\$3,646	\$729	\$2,917	\$0
PST	40284	YOUSEF, AHMAD	2010-1403-PST-E	TOM GREEN	2/20/2011	\$8,454	\$1,690	\$6,764	\$0
PST	39968	Zeway Corp.	2010-1089-PST-E	COLLIN	2/20/2011	\$2,161	\$432	\$1,729	\$0
PST	40003	Quick Pay Enterprises, Inc.	2010-1134-PST-E	BEXAR	2/20/2011	\$9,304	\$1,860	\$7,444	\$0
PST	39885	City Int'l., Ltd.	2010-1044-PST-E	HARRIS	2/20/2011	\$15,376	\$3,075	\$12,301	\$0
PST	39890	153 INC	2010-0992-PST-E	CALHOUN	2/20/2011	\$3,621	\$724	\$2,897	\$0
PST	39804	GREAT CHAMBERS INVESTMENT INC.	2010-0899-PST-E	CHAMBERS	2/20/2011	\$14,155	\$2,831	\$11,324	\$0
PST	39868	Compass USA Enterprises, Inc.	2010-0972-PST-E	HARRIS	2/20/2011	\$2,225	\$445	\$1,780	\$0
PST	39971	Rimo, Inc.	2010-1082-PST-E	DALLAS	2/20/2011	\$3,240	\$648	\$2,592	\$0
PST	40080	Dharani Enterprises Inc.	2010-1202-PST-E	FORT BEND	2/20/2011	\$9,030	\$1,806	\$7,224	\$0
PST	39841	City of Cedar Hill	2010-0935-PST-E	DALLAS	2/20/2011	\$12,025	\$2,405	\$9,620	\$0
PST	40052	MAHNAZ ENTERPRISES INC	2010-1166-PST-E	HARRIS	2/20/2011	\$2,290	\$458	\$1,832	\$0
PST	39524	LONDONDERRY ENTERPRISES INC	2010-0611-PST-E	HARRIS	2/25/2011	\$24,659	\$0	\$24,659	\$0
PST	38880	Prissy Knighten *	2009-2055-PST-E	*MULTIPLE	2/25/2011	\$5,425	\$0	\$5,425	\$0
PST	39706	Ramon Aguilar	2010-0832-PST-E	JEFFERSON	2/25/2011	\$4,900	\$0	\$4,900	\$0
PST	40176	Mars Convenience, Inc.	2010-1296-PST-E	HARRIS	3/7/2011	\$2,580	\$516	\$2,064	\$0
PST	38646	McCraw Oil Company, Inc.	2009-1752-PST-E	TARRANT	3/7/2011	\$19,222	\$0	\$19,222	\$0
PST	40447	Circle Bar Truck Corral, Inc.	2010-1554-PST-E	CROCKETT	3/7/2011	\$13,000	\$2,600	\$10,400	\$0
PST	39977	Flying J Transportation LLC	2010-1093-PST-E	EL PASO	3/7/2011	\$3,282	\$656	\$2,626	\$0
PST	40251	Eastgreen, Inc.	2010-1390-PST-E	TARRANT	3/7/2011	\$6,513	\$1,302	\$5,211	\$0
PST	40032	ANANTKRUPA ENTERPRISE LLC	2010-1138-PST-E	MONTGOMERY	3/7/2011	\$4,901	\$980	\$3,921	\$0
PST	40288	John J. Hebert Distributor, Inc.	2010-1401-PST-E	HARRIS	3/7/2011	\$6,257	\$1,251	\$5,006	\$0

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PST	40194	Rodos One, LLC	2010-1317-PST-E	HARRIS	3/7/2011	\$5,775	\$1,155	\$4,620	\$0
PST	40296	First Minit Markit Inc	2010-1407-PST-E	DALLAS	3/7/2011	\$2,525	\$505	\$2,020	\$0
PST	40118	Farmers Cooperative Association of O'Donnell, Texas	2010-1238-PST-E	LYNN	3/7/2011	\$3,800	\$760	\$3,040	\$0
PST	40058	Majestic Fuel Supplies, LLC	2010-1169-PST-E	DALLAS	3/7/2011	\$2,598	\$519	\$2,079	\$0
PST	40366	Mims Meat Co., Inc.	2010-1483-PST-E	HARRIS	3/7/2011	\$4,500	\$900	\$3,600	\$0
PST	40121	Sard Enterprises Inc.	2010-1240-PST-E	HARRIS	3/7/2011	\$2,528	\$505	\$2,023	\$0
PST	40360	S.S.G. Fuel Service, Inc.	2010-1480-PST-E	HARRIS	3/7/2011	\$9,915	\$1,983	\$7,932	\$0
PST	40053	Pokluda, Frank James	2010-1167-PST-E	WALLER	3/7/2011	\$14,050	\$2,810	\$11,240	\$0
PST	40358	The George Foundation	2010-1475-PST-E	FORT BEND	3/7/2011	\$4,750	\$950	\$3,800	\$0
PST	40061	OCHO NLSS MG CORPORATION	2010-1173-PST-E	HARRIS	3/7/2011	\$2,742	\$548	\$2,194	\$0
PST	40275	MEYER, GEORGE PHILIP	2010-1389-PST-E	SCHLEICHER	3/7/2011	\$6,437	\$1,287	\$5,150	\$0
PST	39415	Dustin Whaley	2010-0530-PST-E	OLDHAM	3/12/2011	\$3,675	\$0	\$3,675	\$0
PST	39467	Boo Kooz, Inc.	2010-0547-PST-E	TOM GREEN	3/12/2011	\$13,625	\$0	\$13,625	\$0
PST	39523	Cheryl McCarey *	2010-0613-PST-E	BRAZORIA	3/19/2011	\$16,467	\$0	\$16,467	\$0
PST	40164	FOTY LLC	2010-1305-PST-E	HARRIS	3/19/2011	\$2,537	\$507	\$2,030	\$0
PST	39327	Star Fuels, Inc.	2010-0614-PST-E	GALVESTON	3/19/2011	\$8,151	\$0	\$8,151	\$0
PST	40079	Huynh & Le Corporation, Inc.	2010-1190-PST-E	GALVESTON	3/19/2011	\$5,700	\$1,140	\$4,560	\$0
PST	40370	Budget Rent A Car System, Inc.	2010-1600-PST-E	HARRIS	3/19/2011	\$2,250	\$450	\$1,800	\$0
PST	40334	SUPER SERVE, INC.	2010-1444-PST-E	HARRIS	3/19/2011	\$2,117	\$423	\$1,694	\$0
PST	40367	H G W ENTERPRISES, INC.	2010-1484-PST-E	HARRIS	3/19/2011	\$7,134	\$1,426	\$5,708	\$0
PST	40205	Garrhon Enterprises, Inc.	2010-1325-PST-E	TARRANT	3/19/2011	\$6,655	\$1,331	\$5,324	\$0
PST	40155	M J H STAR ENTERPRISES, INC.	2010-1280-PST-E	HARRIS	3/19/2011	\$3,184	\$636	\$2,548	\$0
PST	40349	S.S.G. Fuel Service, Inc.	2010-1466-PST-E	HARRIS	3/19/2011	\$9,056	\$1,811	\$7,245	\$0
PST	40377	Taylor Petroleum Companies, Inc.	2010-1493-PST-E	TOM GREEN	3/19/2011	\$8,750	\$1,750	\$7,000	\$0
PST	40462	GreenAcres Grocery, Inc.	2010-1597-PST-E	JEFFERSON	3/19/2011	\$2,408	\$481	\$1,927	\$0
PST	40493	VALLE, MARIA	2010-1629-PST-E	HARRIS	3/19/2011	\$4,500	\$900	\$3,600	\$0
PST	40315	Lone Star Petroleum, LP	2010-1432-PST-E	HARRIS	3/19/2011	\$5,000	\$1,000	\$4,000	\$0
PST	40426	E Z STOP, L.L.C.	2010-1546-PST-E	NUECES	3/19/2011	\$3,500	\$700	\$2,800	\$0
PST	38656	Bucky's Navigation, Inc.	2009-1806-PST-E	NUECES	3/19/2011	\$41,674	\$0	\$41,674	\$0
PST	40419	PALLETIZED TRUCKING, INC.	2010-1533-PST-E	HARRIS	3/19/2011	\$5,220	\$1,044	\$4,176	\$0
PST	40557	Pac N Sac Stores, Inc.	2010-1698-PST-E	HAYS	3/19/2011	\$2,978	\$595	\$2,383	\$0
PST	39680	Jorge Varela Mar	2010-0771-PST-E	NACOGDOCHES	3/19/2011	\$2,625	\$0	\$2,625	\$0
PST	40351	DORANTES, SACRAMENTO JR	2010-1469-PST-E	ORANGE	3/19/2011	\$3,675	\$735	\$2,940	\$0
PST	40488	Albert Khoa Corp	2010-1623-PST-E	HARRIS	3/19/2011	\$2,425	\$485	\$1,940	\$0
PST	40481	MCCUTCHEN, RAYMOND KENT	2010-1618-PST-E	HUNT	3/19/2011	\$2,003	\$400	\$1,603	\$0
PST	40272	Cleereco Services, Inc.	2010-1387-PST-E	MENARD	3/19/2011	\$13,900	\$2,780	\$11,120	\$0
PST	30967	AHMED REALTY GP, L.L.C. *	2009-0236-PST-E	HARRIS	3/24/2011	\$8,060	\$0	\$8,060	\$0
PST	36828	BETTS, IRA	2008-1814-PST-E	GRIMES	3/27/2011	\$6,300	\$0	\$6,300	\$0

## Singles Programs

## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	39410	Karen Mengelkamp	2010-0493-PST-E	CORYELL	3/27/2011	\$4,500	\$0	\$4,500	\$0
PST	37828	ESPINOSA, GILBERT ROBLES	2009-0949-PST-E	NUECES	3/27/2011	\$8,925	\$0	\$8,925	\$0
PST	39314	DEAN, WANDA	2010-0415-PST-E	JOHNSON	3/27/2011	\$5,250	\$0	\$5,250	\$0
PST	39000	Rizvi, Asad	2010-0102-PST-E	BRAZOS	3/27/2011	\$6,026	\$0	\$6,026	\$0
PST	40185	DHAN GURU INC	2010-1307-PST-E	HARRIS	4/6/2011	\$12,838	\$0	\$12,838	\$0
PST	39670	HENRY'S ALL SEASONS MARKETS, INC.	2010-0765-PST-E	HARRIS	4/8/2011	\$10,021	\$0	\$10,021	\$0
PST	37834	JASANI, AMEER ALI	2009-0959-PST-E	TYLER	4/8/2011	\$20,230	\$0	\$20,230	\$0
PST	40611	Nirmal & Armaan Enterprises, Inc.	2010-1758-PST-E	TARRANT	4/18/2011	\$5,600	\$1,120	\$4,480	\$0
PST	40568	PSNKI INVESTMENT INC	2010-1712-PST-E	GALVESTON	4/18/2011	\$14,104	\$2,820	\$11,284	\$0
PST	38415	DIAZ, REYNALDO	2009-1547-PST-E	HIDALGO	4/18/2011	\$13,361	\$0	\$13,361	\$0
PST	40545	GOD IS THE GREATEST INC.	2010-1688-PST-E	HARRIS	4/18/2011	\$2,858	\$571	\$2,287	\$0
PST	40554	Himchuli International LLC	2010-1701-PST-E	TARRANT	4/18/2011	\$1,975	\$395	\$1,580	\$0
PST	38879	TIKI FOOD MART LLC	2009-2052-PST-E	GALVESTON	4/18/2011	\$13,935	\$0	\$13,935	\$0
PST	40529	JYKM Union, Inc.	2010-1680-PST-E	WILLIAMSON	4/18/2011	\$4,105	\$821	\$3,284	\$0
PST	40094	IRZUM BUSINESS INC	2010-1230-PST-E	HARRIS	4/18/2011	\$5,356	\$1,071	\$4,285	\$0
PST	40443	BUC-EE'S, LTD.	2010-1567-PST-E	LEE	4/18/2011	\$18,375	\$3,675	\$14,700	\$0
PST	40534	Lodge Lumber Company, Inc.	2010-1677-PST-E	HARRIS	4/18/2011	\$1,875	\$375	\$1,500	\$0
PST	40518	Gopal International, Inc.	2010-1657-PST-E	ORANGE	4/18/2011	\$2,625	\$525	\$2,100	\$0
PST	40346	CHEN, TOMMY	2010-1461-PST-E	HARRIS	4/18/2011	\$2,550	\$510	\$2,040	\$0
PST	39897	Amish Group, Inc.	2010-1003-PST-E	HARRIS	5/8/2011	\$8,043	\$0	\$8,043	\$0
PST	40494	RAS ENTERPRISE INC	2010-1621-PST-E	DALLAS	5/8/2011	\$2,159	\$431	\$1,728	\$0
PST	40485	ALTAF, MUHAMMAD	2010-1626-PST-E	JASPER	5/8/2011	\$1,100	\$0	\$1,100	\$0
PST	38479	BA Properties Management, Inc.	2009-1615-PST-E	HARRIS	5/8/2011	\$4,203	\$0	\$4,203	\$0
PST	38506	BA Properties Management, Inc.	2009-1653-PST-E	HARRIS	5/8/2011	\$3,112	\$0	\$3,112	\$0
PST	40128	Coastway Inc.	2010-1253-PST-E	ORANGE	5/8/2011	\$4,130	\$0	\$4,130	\$0
PST	40636	Daheri & Talpur Inc	2010-1785-PST-E	BASTROP	5/8/2011	\$1,999	\$399	\$1,600	\$0
PST	39290	Kavmel Inc.	2010-0364-PST-E	TARRANT	5/8/2011	\$6,964	\$0	\$6,964	\$0
PST	39915	ABDELRAHIM A. ZARDEH D.B.A. TONEYS FINA	2010-1020-PST-E	TARRANT	5/8/2011	\$5,250	\$0	\$5,250	\$0
PST	39140	Saenz, Rogelio *	2010-0227-PST-E	KLEBERG	5/8/2011	\$26,208	\$0	\$26,208	\$0
PST	40579	HAPPY LUCKY CORPORATION	2010-1750-PST-E	HUNT	5/8/2011	\$2,358	\$471	\$1,887	\$0
PST	40122	E Z STOP, L.L.C.	2010-1249-PST-E	NUECES	5/8/2011	\$7,785	\$1,557	\$6,228	\$0
PST	40572	AMERICAN GROCERIES MANAGEMENT NO. 8, INC.	2010-1716-PST-E	MONTGOMERY	5/8/2011	\$2,213	\$442	\$1,771	\$0
PST	40511	FireWeed Corporation	2010-1649-PST-E	GRAYSON	5/8/2011	\$2,300	\$460	\$1,840	\$0
PST	40854	Crawdad's, Inc. dba Crawdad's 4 Kountze	2010-2017-PST-E	HARRIS	5/22/2011	\$4,500	\$900	\$3,600	\$0
PST	40687	GOKAL, ASHIQ A	2010-1825-PST-E	TARRANT	5/22/2011	\$3,600	\$720	\$2,880	\$0
PST	40609	Dallas R&S International, Inc.	2010-1770-PST-E	DALLAS	5/22/2011	\$2,451	\$490	\$1,961	\$0
PST	40406	KHURSA ENTERPRISES INC	2010-1521-PST-E	TARRANT	5/22/2011	\$9,783	\$1,956	\$7,827	\$0
PST	40314	Hamilton Holdings, L.P.	2010-1431-PST-E	TOM GREEN	5/22/2011	\$2,550	\$510	\$2,040	\$0

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## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	40449	Local C Stores, L.L.C.	2010-1570-PST-E	HARRIS	5/22/2011	\$2,632	\$526	\$2,106	\$0
PST	40524	CUSA EE, LLC	2010-1666-PST-E	HARRIS	5/22/2011	\$4,000	\$800	\$3,200	\$0
PST	40469	Big Score Investors, LLC	2010-1692-PST-E	DALLAS	5/22/2011	\$2,417	\$483	\$1,934	\$0
PST	40593	R. E. Sweeney Company, Inc.	2010-1738-PST-E	TARRANT	5/22/2011	\$4,996	\$999	\$3,997	\$0
PST	40496	IBI Business, Inc.	2010-1630-PST-E	FORT BEND	5/22/2011	\$8,678	\$1,735	\$6,943	\$0
PST	40801	AJITNATH INVESTMENT, INC.	2010-1958-PST-E	HARRIS	5/22/2011	\$4,380	\$876	\$3,504	\$0
PST	40592	Diba Petroleum, Inc.	2010-1735-PST-E	DALLAS	5/22/2011	\$7,606	\$1,521	\$6,085	\$0
PST	40050	Irma Boeck *	2010-1177-PST-E	GUADALUPE	5/28/2011	\$3,745	\$0	\$3,745	\$0
PST	39808	HOUSTON CORNER STORE INC	2010-0914-PST-E	HARRIS	5/28/2011	\$11,589	\$0	\$11,589	\$0
PST	39805	Sami Awad	2010-0910-PST-E	HARRIS	5/28/2011	\$15,997	\$0	\$15,997	\$0
PST	38150	STRITZ, MIROS	2009-1313-PST-E	GALVESTON	5/28/2011	\$6,500	\$0	\$6,500	\$0
PST	38167	D.C.T.D., Inc.	2009-1334-PST-E	TOM GREEN	6/9/2011	\$8,868	\$0	\$8,868	\$0
PST	39827	WSAL ENTERPRISES INC	2010-0929-PST-E	BEXAR	6/11/2011	\$10,111	\$0	\$10,111	\$0
PST	40372	Big Score Investors, LLC	2010-1545-PST-E	DALLAS	6/11/2011	\$4,000	\$0	\$4,000	\$0
PST	39642	GERLA, KAREN *	2010-0831-PST-E	SAN PATRICIO	6/11/2011	\$6,300	\$0	\$6,300	\$0
PST	40502	M.Amaan Inc	2010-1635-PST-E	MAVERICK	6/11/2011	\$3,675	\$0	\$3,675	\$0
PST	40279	COMPLETE CARE AUTOMOTIVE LLC *	2010-1452-PST-E	JEFFERSON	6/11/2011	\$4,725	\$0	\$4,725	\$0
PST	39752	E & D Contractors LLC *	2010-0936-PST-E	JEFFERSON	6/11/2011	\$3,500	\$0	\$3,500	\$0
PST	40042	PEOPLES, BILLY JR	2010-1160-PST-E	ROCKWALL	6/11/2011	\$2,675	\$0	\$2,675	\$0
PST	40218	TEXAS AUTO WORLD LP	2010-1339-PST-E	NUECES	6/11/2011	\$3,850	\$0	\$3,850	\$0
PST	40001	Borth Newton	2010-1115-PST-E	DEWITT	6/11/2011	\$5,250	\$0	\$5,250	\$0
PST	40374	Mikyan Retail Inc	2010-1489-PST-E	HARRIS	6/20/2011	\$2,811	\$0	\$2,811	\$0
PST	40818	SBGJ INVESTMENTS INC	2010-1961-PST-E	JOHNSON	6/20/2011	\$2,500	\$500	\$2,000	\$0
PST	40270	ANMOL Enterprises, Inc.	2010-1379-PST-E	LIBERTY	6/20/2011	\$5,680	\$0	\$5,680	\$0
PST	40755	Pearsall Ventures, Inc.	2010-1904-PST-E	BEXAR	6/20/2011	\$3,250	\$650	\$2,600	\$0
PST	39917	Jasanis International, Inc.	2010-1018-PST-E	HARDIN	6/20/2011	\$8,601	\$0	\$8,601	\$0
PST	40603	Texas Youth Commission	2010-1751-PST-E	COOKE	6/20/2011	\$2,300	\$460	\$1,840	\$0
PST	39371	VSV, Inc.	2010-0452-PST-E	HARRIS	6/20/2011	\$4,704	\$0	\$4,704	\$0
PST	40563	ZUMAYA, RUBEN	2010-1704-PST-E	MONTGOMERY	6/20/2011	\$3,850	\$770	\$3,080	\$0
PST	40790	BANIABBASI, MOHAMED AMIN	2010-1936-PST-E	HARRIS	6/20/2011	\$4,310	\$862	\$3,448	\$0
PST	40764	City of Houston	2010-1955-PST-E	HARRIS	6/20/2011	\$4,500	\$900	\$3,600	\$0
PST	40308	BUENO, HERMENEGILDO	2010-1428-PST-E	EL PASO	6/20/2011	\$5,145	\$0	\$5,145	\$0
PST	39343	New A & S Investments Inc	2010-0426-PST-E	TARRANT	6/20/2011	\$12,817	\$0	\$12,817	\$0
PST	40809	City of Houston	2010-1953-PST-E	HARRIS	6/20/2011	\$2,250	\$450	\$1,800	\$0
PST	40792	U S REALTY HOLDINGS LTD	2010-1916-PST-E	TARRANT	6/20/2011	\$2,900	\$580	\$2,320	\$0
PST	40813	ANANTNATH INVESTMENT, INC.	2010-1963-PST-E	HARRIS	6/20/2011	\$5,424	\$1,084	\$4,340	\$0
PST	40719	Danesh Group Corporation	2010-1859-PST-E	TRAVIS	6/20/2011	\$2,250	\$450	\$1,800	\$0
PST	40670	Ben Corporation	2010-1811-PST-E	HARRIS	6/20/2011	\$3,000	\$600	\$2,400	\$0

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PST	40774	Addison Enterprises Inc.	2010-1913-PST-E	DALLAS	6/20/2011	\$4,322	\$864	\$3,458	\$0
PST	39892	610 ENTERPRISES INC.	2010-0993-PST-E	HARRIS	6/20/2011	\$8,896	\$0	\$8,896	\$0
PST	39487	Happ Y Chap, LLC	2010-0576-PST-E	LIBERTY	6/20/2011	\$6,100	\$0	\$6,100	\$0
PST	40410	Overseas Enterprises USA, Inc.	2010-1372-PST-E	ORANGE	6/20/2011	\$8,589	\$1,717	\$6,872	\$0
PST	40083	Hadi & Aga Store Management LLC	2010-1204-PST-E	TARRANT	6/20/2011	\$18,181	\$0	\$18,181	\$0
PST	40281	West Orem Food Mart LLC	2010-1459-PST-E	HARRIS	6/20/2011	\$8,035	\$1,607	\$6,428	\$0
PST	40156	National Priority Enterprises, INC.	2010-1281-PST-E	ORANGE	6/20/2011	\$2,388	\$0	\$2,388	\$0
PST	40722	GOOD BY MARKETING CO	2010-1860-PST-E	JEFFERSON	6/20/2011	\$6,112	\$1,222	\$4,890	\$0
PST	40679	E & S, Inc.	2010-1823-PST-E	HARRIS	6/20/2011	\$3,151	\$630	\$2,521	\$0
PST	39034	LaPorte Business, Inc.	2010-0118-PST-E	HARRIS	6/20/2011	\$9,401	\$0	\$9,401	\$0
PST	40785	VENUS OPERATING CORPORATION	2010-1921-PST-E	TARRANT	6/20/2011	\$2,918	\$583	\$2,335	\$0
PST	40929	RSN Enterprises, Inc.	2011-0013-PST-E	HARRIS	7/2/2011	\$4,000	\$800	\$3,200	\$0
PST	41036	Pilgrim's Pride Corporation	2011-0139-PST-E	*MULTIPLE	7/2/2011	\$3,621	\$724	\$2,897	\$0
PST	40958	Hoskins Electric Co.	2011-0035-PST-E	TRAVIS	7/2/2011	\$3,354	\$670	\$2,684	\$0
PST	41007	Y & V Enterprises LLC	2011-0101-PST-E	TARRANT	7/2/2011	\$1,295	\$259	\$1,036	\$0
PST	40892	NATIONAL PETRO INC	2010-2053-PST-E	TARRANT	7/2/2011	\$1,625	\$325	\$1,300	\$0
PST	40848	SUPERTRACK ARLINGTON INC	2010-2010-PST-E	TARRANT	7/2/2011	\$6,904	\$1,380	\$5,524	\$0
PST	40509	SONNYREENA CORPORATION	2010-1648-PST-E	HUNT	7/2/2011	\$3,000	\$600	\$2,400	\$0
PST	40898	North Bay General Hospital, Inc.	2010-2079-PST-E	SAN PATRICIO	7/2/2011	\$2,600	\$520	\$2,080	\$0
PST	40732	Amina Corporation	2010-1874-PST-E	TARRANT	7/2/2011	\$2,300	\$460	\$1,840	\$0
PST	41096	OM NMO Global Inc.	2011-0186-PST-E	TARRANT	7/2/2011	\$2,629	\$525	\$2,104	\$0
PST	40993	CHAI EXPRESS INC	2011-0081-PST-E	TARRANT	7/2/2011	\$2,975	\$595	\$2,380	\$0
PST	41002	Saginaw Enterprises, LLC	2011-0112-PST-E	TARRANT	7/2/2011	\$1,875	\$375	\$1,500	\$0
PST	41035	S Bayyoud Inc	2011-0130-PST-E	TARRANT	7/2/2011	\$4,500	\$900	\$3,600	\$0
PST	41025	EMMAR, SUFIAN	2011-0125-PST-E	TRAVIS	7/2/2011	\$1,875	\$375	\$1,500	\$0
PST	40906	Hudson Bend Grocery Inc.	2010-2067-PST-E	TRAVIS	7/2/2011	\$1,875	\$375	\$1,500	\$0
PST	40804	TIKI FOOD MART LLC	2010-1949-PST-E	GALVESTON	7/2/2011	\$3,578	\$715	\$2,863	\$0
PST	40763	Tenet Hospitals Limited dba Doctor's Hospital	2010-1908-PST-E	DALLAS	7/2/2011	\$11,244	\$2,248	\$8,996	\$0
PST	40773	Real & Retail Unlimited Inc.	2010-1914-PST-E	DENTON	7/2/2011	\$4,189	\$837	\$3,352	\$0
PST	40974	WTG Fuels, Inc.	2011-0052-PST-E	HARRIS	7/2/2011	\$1,875	\$375	\$750	\$750
PST	40955	Fort Worth Lucky One, Inc.	2011-0033-PST-E	TARRANT	7/2/2011	\$2,679	\$535	\$2,144	\$0
PST	40171	SAHIL VENTURES INC	2010-1299-PST-E	MONTGOMERY	7/2/2011	\$5,353	\$1,070	\$4,283	\$0
PST	40844	P U CORPORATION	2010-2003-PST-E	COOKE	7/2/2011	\$5,942	\$1,188	\$4,754	\$0
PST	41259	PTCAA Texas, L.P.	2011-0383-PST-E	EL PASO	7/2/2011	\$1,750	\$0	\$1,750	\$0
PST	40570	AADARSH BUSINESS INC	2010-1737-PST-E	BASTROP	7/2/2011	\$1,754	\$350	\$1,404	\$0
PST	41311	WESTERN NATIONAL LIFE INSURANCE COMPANY	2011-0403-PST-E	POTTER	7/2/2011	\$875	\$0	\$875	\$0
PST	38740	Noortima, Inc.	2009-1891-PST-E	HARRIS	7/7/2011	\$8,395	\$0	\$8,395	\$0
PST	40455	AGUIRRE, FELIPE J	2010-1605-PST-E	ZAVALA	7/10/2011	\$3,850	\$0	\$3,850	\$0

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PST	40448	Mithani's Inc.	2010-1607-PST-E	MAVERICK	7/10/2011	\$3,675	\$0	\$3,675	\$0
PST	39955	Patricia Fuller	2010-1072-PST-E	MONTAGUE	7/10/2011	\$3,675	\$0	\$3,675	\$0
PST	39855	Charles Simmons	2010-0955-PST-E	VICTORIA	7/10/2011	\$6,000	\$0	\$6,000	\$0
PST	40233	Valdez, Louisa	2010-1356-PST-E	GARZA	7/10/2011	\$2,750	\$0	\$2,750	\$0
PST	39315	NPJ Enterprises, Inc.	2010-0400-PST-E	BRAZOS	7/10/2011	\$2,625	\$0	\$2,625	\$0
PST	39842	MENDOZA, RAFAEL III	2010-0934-PST-E	HIDALGO	7/10/2011	\$5,408	\$0	\$5,408	\$0
PST	40440	EGLAND, GENEVA	2010-1568-PST-E	JEFFERSON	7/10/2011	\$3,675	\$0	\$3,675	\$0
PST	39517	Waylon Collins	2010-0597-PST-E	FISHER	7/17/2011	\$2,625	\$0	\$2,625	\$0
PST	39834	STR VENTURES INC	2010-0911-PST-E	HARRIS	7/20/2011	\$23,730	\$0	\$23,730	\$0
PST	36661	Odessa Corporation	2008-1641-PST-E	PARKER	7/21/2011	\$31,475	\$0	\$31,475	\$0
PST	39572	Gregory S. Shindler	2010-1376-PST-E	HOUSTON	7/22/2011	\$6,300	\$0	\$6,300	\$0
PST	39779	5 Star Diamond, LLC	2010-0886-PST-E	DALLAS	7/22/2011	\$11,023	\$0	\$11,023	\$0
PST	36676	S.A.A.A. ENTERPRISES, INC.	2010-1805-PST-E	HARRIS	7/22/2011	\$7,800	\$0	\$7,800	\$0
PST	41061	HAR26 INC	2011-0151-PST-E	TARRANT	7/31/2011	\$1,925	\$385	\$1,540	\$0
PST	41047	Khan, Inc.	2011-0141-PST-E	DALLAS	7/31/2011	\$10,046	\$2,009	\$8,037	\$0
PST	40846	Four Hays, Inc.	2010-2007-PST-E	JOHNSON	7/31/2011	\$2,250	\$450	\$1,800	\$0
PST	41028	Metro World Inc.	2011-0121-PST-E	TARRANT	7/31/2011	\$1,925	\$385	\$1,540	\$0
PST	41004	SB FIVE STAR INC	2011-0099-PST-E	TARRANT	7/31/2011	\$2,300	\$460	\$1,840	\$0
PST	41056	NGUYEN, TAO V	2011-0145-PST-E	TARRANT	7/31/2011	\$2,550	\$510	\$2,040	\$0
PST	40926	GOOD UNITED BUSINESS, INC.	2011-0017-PST-E	HARRIS	7/31/2011	\$5,100	\$1,020	\$4,080	\$0
PST	41044	ZAGHLOUL, ANTON N	2011-0210-PST-E	TARRANT	7/31/2011	\$1,925	\$385	\$1,540	\$0
PST	41016	J & S Trading, Inc.	2011-0114-PST-E	TRAVIS	7/31/2011	\$1,875	\$375	\$1,500	\$0
PST	39525	Petroleum Wholesale, L.P.	2010-0615-PST-E	ORANGE	7/31/2011	\$5,200	\$0	\$5,200	\$0
PST	40948	New Everest Group Corporation	2011-0022-PST-E	TARRANT	7/31/2011	\$2,750	\$550	\$2,200	\$0
PST	40559	AB GROCERY, INC.	2010-1708-PST-E	HARRIS	7/31/2011	\$6,252	\$1,250	\$5,002	\$0
PST	41021	ALCORP, Inc.	2011-0118-PST-E	TRAVIS	7/31/2011	\$1,875	\$375	\$1,500	\$0
PST	41095	Siber Enterprise, Inc.	2011-0185-PST-E	HARRIS	7/31/2011	\$2,905	\$581	\$2,324	\$0
PST	40819	MEHAK & HARIS LLC	2010-1962-PST-E	TARRANT	7/31/2011	\$3,000	\$600	\$2,400	\$0
PST	40991	City of Grapevine	2011-0089-PST-E	TARRANT	7/31/2011	\$1,875	\$375	\$0	\$1,500
PST	41143	Ruble Petroleum, Inc.	2011-0234-PST-E	HUNT	7/31/2011	\$4,610	\$922	\$3,688	\$0
PST	39638	Anatolian Trading, Inc. *	2010-0733-PST-E	HARRIS	7/31/2011	\$15,076	\$0	\$15,076	\$0
PST	39182	Shell Petroleum, L.L.C.	2010-0260-PST-E	NUECES	7/31/2011	\$14,529	\$9,990	\$4,539	\$0
PST	41074	Lisa Motor Lines, Inc.	2011-0168-PST-E	TARRANT	7/31/2011	\$2,894	\$578	\$2,316	\$0
PST	40894	NASIR, MUJAHID	2010-2055-PST-E	TARRANT	7/31/2011	\$1,925	\$385	\$1,540	\$0
PST	40897	NORTH ATLANTIC TRADING, INC.	2010-2062-PST-E	DENTON	7/31/2011	\$2,354	\$470	\$1,884	\$0
PST	41060	SINGH, RAJINDER	2011-0149-PST-E	HUNT	8/3/2011	\$5,121	\$0	\$5,121	\$0
PST	41249	Am-Go Group, Inc	2011-0335-PST-E	HUNT	8/13/2011	\$2,500	\$500	\$2,000	\$0
PST	40555	PSJS ENTERPRISES INC	2010-1700-PST-E	DALLAS	8/13/2011	\$20,263	\$12,058	\$8,205	\$0

## Singles Programs

## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	41062	Salima Roheen, Inc.	2011-0153-PST-E	TARRANT	8/13/2011	\$4,950	\$990	\$3,960	\$0
PST	39411	BA Properties Management, Inc.	2010-0449-PST-E	HARRIS	8/13/2011	\$10,686	\$0	\$10,686	\$0
PST	41384	Shanil Oil Company	2011-0477-PST-E	JEFFERSON	8/13/2011	\$3,884	\$776	\$3,108	\$0
PST	41241	BARAKATS CORPORATION	2011-0333-PST-E	HUNT	8/13/2011	\$4,629	\$925	\$3,704	\$0
PST	40999	Dewan Enterprises, Inc.	2011-0097-PST-E	TARRANT	8/13/2011	\$3,100	\$620	\$2,480	\$0
PST	40029	AA Enterprises, Inc.	2010-1136-PST-E	MONTGOMERY	8/13/2011	\$25,595	\$5,580	\$20,015	\$0
PST	40970	Four Star, Inc.	2011-0049-PST-E	TRAVIS	8/13/2011	\$4,500	\$900	\$3,600	\$0
PST	40997	MOMIN, ALLAUDDIN NOORALI	2011-0090-PST-E	TRAVIS	8/13/2011	\$2,250	\$450	\$1,800	\$0
PST	40849	786 Texas Gas, Inc.	2010-2009-PST-E	JEFFERSON	8/13/2011	\$7,354	\$1,470	\$5,884	\$0
PST	39743	Blackthorne Services, L.L.C.	2010-0855-PST-E	WALLER	8/13/2011	\$21,696	\$4,339	\$17,357	\$0
PST	41078	HUYNH, DANG CONG	2011-0178-PST-E	TARRANT	8/13/2011	\$8,644	\$1,728	\$6,916	\$0
PST	41198	JDJ COMPANY INCORPORATED	2011-0295-PST-E	JOHNSON	8/13/2011	\$2,621	\$524	\$2,097	\$0
PST	41048	RZN ENTERPRISES INC	2011-0143-PST-E	TRAVIS	8/13/2011	\$2,500	\$500	\$2,000	\$0
PST	41282	BHASIN, RAVI	2011-0373-PST-E	HUNT	8/13/2011	\$2,621	\$524	\$2,097	\$0
PST	40995	City of Houston	2011-0088-PST-E	HARRIS	8/13/2011	\$2,300	\$460	\$1,840	\$0
PST	41147	SHAILA INTERNATIONAL INC	2011-0235-PST-E	JEFFERSON	8/13/2011	\$3,592	\$718	\$2,874	\$0
PST	41310	HENG, KHUN	2011-0414-PST-E	HUNT	8/13/2011	\$2,629	\$525	\$2,104	\$0
PST	39797	One Stop Food Stores, Inc.	2010-0943-PST-E	DALLAS	8/13/2011	\$5,640	\$0	\$5,640	\$0
PST	41144	Yatim Capital Investments, L.L.C.	2011-0231-PST-E	COLLIN	8/13/2011	\$2,250	\$450	\$1,800	\$0
PST	38491	Elim Gas Station Corporation	2009-1631-PST-E	COLLIN	8/13/2011	\$17,179	\$0	\$17,179	\$0
PST	41018	Everbrite Enterprises, Inc.	2011-0115-PST-E	TRAVIS	8/13/2011	\$1,875	\$375	\$1,500	\$0
PST	41151	JOSH & JOSH INC	2011-0242-PST-E	TARRANT	8/13/2011	\$2,250	\$450	\$1,800	\$0
PST	41236	N H A J, L.C.	2011-0323-PST-E	HARRIS	8/13/2011	\$2,461	\$492	\$1,969	\$0
PST	41049	ANDREPONT, RUSSELL	2011-0148-PST-E	JEFFERSON	8/13/2011	\$2,865	\$573	\$2,292	\$0
PST	41058	Fort Worth Transportation Authority	2011-0146-PST-E	TARRANT	8/13/2011	\$2,550	\$510	\$2,040	\$0
PST	38586	CORPIAN, GARY *	2009-1720-PST-E	TAYLOR	8/18/2011	\$2,625	\$2,625	\$0	\$0
PST	39075	Katha Higginbotham *	2010-0157-PST-E	MONTGOMERY	8/18/2011	\$2,750	\$0	\$2,750	\$0
PST	36230	Petroleum Wholesale, L.P.	2008-1170-MLM-E	ORANGE	8/18/2011	\$64,151	\$0	\$64,151	\$0
PST	40197	DAVIS, EDWIN	2010-1327-PST-E	HARRIS	8/20/2011	\$3,850	\$0	\$3,850	\$0
PST	40011	Ans Fina Business Enterprises Inc	2010-1128-PST-E	DALLAS	8/20/2011	\$13,653	\$0	\$13,653	\$0
PST	40158	JASANI, AMEER ALI	2010-1309-PST-E	TYLER	8/20/2011	\$22,225	\$0	\$22,225	\$0
PST	41150	Rock Creek Grocery & Cajun Cuisine, Inc.	2011-0232-PST-E	JOHNSON	8/27/2011	\$2,621	\$524	\$2,097	\$0
PST	41031	Austin S & S, Inc. dba Super Mart	2011-0126-PST-E	TRAVIS	8/27/2011	\$1,500	\$300	\$1,200	\$0
PST	41039	City of Arlington	2011-0134-PST-E	TARRANT	8/27/2011	\$5,000	\$1,000	\$0	\$4,000
PST	41254	Schilling Oil Co. Inc.	2011-0369-PST-E	COOKE	8/27/2011	\$5,550	\$1,110	\$4,440	\$0
PST	41160	ENDARI, JOSEPH T	2011-0251-PST-E	JEFFERSON	8/27/2011	\$9,329	\$1,865	\$7,464	\$0
PST	41281	PARK, CHIWOO	2011-0365-PST-E	HARRIS	8/27/2011	\$5,730	\$1,146	\$4,584	\$0
PST	41008	Greenspoint Enterprises LLC	2011-0100-PST-E	HARRIS	8/27/2011	\$11,317	\$2,263	\$9,054	\$0

## Singles Programs

## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	39460	Bello, Ganiu	2010-0538-PST-E	TARRANT	8/27/2011	\$2,625	\$0	\$2,625	\$0
PST	41109	Vardhman Investment, Inc.	2011-0205-PST-E	GALVESTON	8/27/2011	\$9,423	\$1,884	\$7,539	\$0
PST	41268	LADHA, AMIN	2011-0393-PST-E	JOHNSON	8/27/2011	\$2,135	\$427	\$1,708	\$0
PST	41069	Irkasa Inc.	2011-0164-PST-E	TARRANT	8/27/2011	\$2,000	\$400	\$1,600	\$0
PST	39369	B & F Jolly 2, LP	2010-0453-PST-E	COLLIN	8/27/2011	\$26,828	\$5,365	\$21,463	\$0
PST	41131	HOWELL, CHARLENE F	2011-0219-PST-E	JOHNSON	8/27/2011	\$2,629	\$525	\$2,104	\$0
PST	40726	RIHANI, AKRAM	2010-1868-PST-E	TARRANT	8/27/2011	\$8,699	\$1,739	\$6,960	\$0
PST	41103	Allied Waste Systems, Inc.	2011-0196-PST-E	TARRANT	8/27/2011	\$14,368	\$2,873	\$5,748	\$5,747
PST	41107	STRAWBERRY FOOD, LLC	2011-0200-PST-E	HARRIS	8/27/2011	\$4,630	\$926	\$3,704	\$0
PST	41238	Super Five Inc.	2011-0328-PST-E	ELLIS	8/27/2011	\$4,605	\$921	\$3,684	\$0
PST	41050	B F Beverage, Inc	2011-0144-PST-E	TARRANT	8/27/2011	\$15,855	\$3,171	\$12,684	\$0
PST	41029	Nuraminco, Inc.	2011-0188-PST-E	TRAVIS	8/27/2011	\$1,625	\$325	\$1,300	\$0
PST	40936	FTR-First Texas Realty, Ltd.	2011-0075-PST-E	HARRIS	8/27/2011	\$2,681	\$536	\$2,145	\$0
PST	41277	SANR, Inc.	2011-0362-PST-E	JEFFERSON	8/27/2011	\$3,925	\$785	\$3,140	\$0
PST	41178	Speedy Shop, LLC	2011-0277-PST-E	TARRANT	8/27/2011	\$2,550	\$510	\$2,040	\$0
PST	41212	TA OPERATING LLC	2011-0302-PST-E	HARRIS	8/27/2011	\$4,764	\$952	\$3,812	\$0
PST	41248	EKN Corporation	2011-0334-PST-E	HARRIS	8/27/2011	\$2,478	\$495	\$1,983	\$0
PST	39569	Star Fuels, Inc.	2010-0696-PST-E	WALLER	8/27/2011	\$15,226	\$0	\$15,226	\$0
<b>Subtotal for PST : 450</b>						<b>\$3,027,118</b>	<b>\$433,722</b>	<b>\$2,581,399</b>	<b>\$11,997</b>
PWS	39202	Pettus Municipal Utility District	2010-0302-PWS-E	BEE	9/26/2010	\$520	\$104	\$416	\$0
PWS	39210	SP Utility Company, Inc.	2010-0301-PWS-E	BRAZORIA	9/26/2010	\$1,605	\$0	\$1,605	\$0
PWS	38858	Three Community WSC	2009-2026-MLM-E	HENDERSON	9/26/2010	\$996	\$199	\$797	\$0
PWS	39412	Aqua Texas, Inc.	2010-0494-PWS-E	HAYS	9/26/2010	\$546	\$109	\$437	\$0
PWS	40564	Falcon Club Utility Company, Inc.	2010-1589-UCR-E	RANDALL	9/29/2010	\$0	\$0	\$0	\$0
PWS	39627	City of Southside Place	2010-0716-PWS-E	HARRIS	10/15/2010	\$296	\$59	\$237	\$0
PWS	39452	Anderson Water Company, Inc.	2010-0529-PWS-E	GRIMES	10/15/2010	\$157	\$31	\$126	\$0
PWS	39287	Laguna Tres, Inc.	2010-0378-PWS-E	HOOD	10/15/2010	\$214	\$42	\$172	\$0
PWS	39351	WSWS Company	2010-0434-PWS-E	GRAYSON	10/15/2010	\$1,495	\$299	\$1,196	\$0
PWS	34690	Anna Jeffcoat	2007-1565-PWS-E	HASKELL	10/15/2010	\$3,424	\$0	\$3,424	\$0
PWS	39398	City of Cotulla	2010-0487-PWS-E	LA SALLE	10/15/2010	\$5,363	\$1,072	\$4,291	\$0
PWS	38046	Candelaria Water Supply Corp	2009-1210-PWS-E	PRESIDIO	10/15/2010	\$7,923	\$0	\$7,923	\$0
PWS	39583	Wilburr & Co., Inc.	2010-0678-PWS-E	BURNET	10/15/2010	\$1,050	\$0	\$1,050	\$0
PWS	39714	Huber Garden, Inc.	2010-0861-PWS-E	ECTOR	10/15/2010	\$1,144	\$228	\$916	\$0
PWS	38212	Ms. Molly's LLC	2009-1443-PWS-E	COLORADO	10/16/2010	\$6,681	\$0	\$6,681	\$0
PWS	38903	Amistad Lake Developments, Inc.	2009-2079-PWS-E	VAL VERDE	10/25/2010	\$1,380	\$0	\$1,380	\$0
PWS	40152	RACHED, MICHAEL	2010-1277-WOC-E	SAN PATRICIO	10/25/2010	\$210	\$0	\$210	\$0
PWS	39880	DAVIS, JOHN P	2010-0981-PWS-E	COMAL	10/25/2010	\$342	\$68	\$274	\$0
PWS	39664	Kelton Independent School District	2010-0845-PWS-E	WHEELER	10/25/2010	\$1,562	\$0	\$1,562	\$0

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PWS	39641	BOSKIND, PAUL ALAN	2010-0748-PWS-E	MEDINA	10/25/2010	\$2,011	\$0	\$2,011	\$0
PWS	40143	SALAZAR, CARLOS	2010-1270-WOC-E	CAMERON	10/25/2010	\$210	\$0	\$210	\$0
PWS	40564	Falcon Club Utility Company, Inc.	2010-1589-UCR-E	RANDALL	11/3/2010	\$0	\$0	\$0	\$0
PWS	39539	Montgomery County MUD 9	2010-0630-PWS-E	MONTGOMERY	11/15/2010	\$508	\$101	\$407	\$0
PWS	39431	Market Place Innovation, Inc.	2010-0514-PWS-E	HARRIS	11/15/2010	\$3,262	\$652	\$2,610	\$0
PWS	39540	Montgomery County MUD 8	2010-0631-PWS-E	MONTGOMERY	11/15/2010	\$508	\$101	\$407	\$0
PWS	39604	Lake Forest Falls, Inc.	2010-0698-MLM-E	MONTGOMERY	11/15/2010	\$269	\$53	\$216	\$0
PWS	39801	Sonic Automotive of Texas, L.P.	2010-0904-PWS-E	HARRIS	11/15/2010	\$2,293	\$0	\$2,293	\$0
PWS	39396	City of La Feria	2010-0485-PWS-E	CAMERON	11/15/2010	\$695	\$139	\$556	\$0
PWS	39790	Turlington Water Supply Corporation	2010-0893-PWS-E	FREESTONE	11/15/2010	\$224	\$44	\$180	\$0
PWS	38626	HUFFMASTER, CALVERT C JR	2009-1878-PWS-E	VICTORIA	11/15/2010	\$762	\$152	\$610	\$0
PWS	39626	City of Lampasas	2010-0717-PWS-E	LAMPASAS	11/15/2010	\$2,136	\$427	\$1,709	\$0
PWS	39611	San Pedro Canyon Water Company	2010-0697-PWS-E	VAL VERDE	12/4/2010	\$474	\$94	\$380	\$0
PWS	39904	B & J Water Company	2010-1009-PWS-E	GRIMES	12/4/2010	\$356	\$71	\$285	\$0
PWS	39709	JEWISH COMMUNITY CENTER OF HOUSTON TX	2010-0807-PWS-E	FORT BEND	12/4/2010	\$2,800	\$0	\$2,800	\$0
PWS	39689	Stiff Creek Mobile Home Park, L.P.	2010-0796-PWS-E	COLLIN	12/4/2010	\$425	\$85	\$340	\$0
PWS	39323	Live Oak Resort, Inc.	2010-0411-PWS-E	WASHINGTON	12/4/2010	\$1,638	\$327	\$1,311	\$0
PWS	39704	City of Premont	2010-0799-PWS-E	JIM WELLS	12/4/2010	\$3,970	\$794	\$3,176	\$0
PWS	39381	Sahil Management Ltd.	2010-0572-PWS-E	BEXAR	12/4/2010	\$580	\$0	\$580	\$0
PWS	39559	City of Bynum	2010-0646-PWS-E	HILL	12/4/2010	\$232	\$46	\$186	\$0
PWS	38932	Monticello Drive Estates, Inc.	2010-0021-PWS-E	PARKER	12/4/2010	\$3,354	\$670	\$2,684	\$0
PWS	39879	Oak Grove Wsc	2010-1004-PWS-E	BOWIE	12/4/2010	\$357	\$71	\$286	\$0
PWS	39520	ABDELHAK, MARDOCHE	2010-0602-PWS-E	BEXAR	12/5/2010	\$5,659	\$0	\$5,659	\$0
PWS	39423	POSADA, FELIPE	2010-0506-PWS-E	VICTORIA	12/5/2010	\$727	\$0	\$727	\$0
PWS	38533	Stiff Creek Mobile Home Park, L.P.	2009-1675-PWS-E	COLLIN	12/24/2010	\$6,904	\$0	\$6,904	\$0
PWS	28748	JACKSON, GEORGE W	2010-0531-PWS-E	*MULTIPLE	12/24/2010	\$2,701	\$0	\$2,701	\$0
PWS	39800	K C Utilities, Inc.	2010-0905-PWS-E	BRAZORIA	12/25/2010	\$275	\$55	\$220	\$0
PWS	40074	City of Gregory	2010-1186-PWS-E	SAN PATRICIO	12/25/2010	\$635	\$127	\$508	\$0
PWS	39803	Thirsty Parrot, LLC	2010-0903-PWS-E	WALLER	12/25/2010	\$423	\$84	\$339	\$0
PWS	39687	SHUPAK, CURTIS J	2010-0781-PWS-E	BURLESON	1/14/2011	\$1,658	\$0	\$1,658	\$0
PWS	39759	CVS Pharmacy, Inc.	2010-0869-PWS-E	HARRIS	2/5/2011	\$7,281	\$0	\$7,281	\$0
PWS	40015	Southwest Shipyard, L.P.	2010-1129-PWS-E	HARRIS	2/5/2011	\$12,388	\$0	\$12,388	\$0
PWS	32826	Swenson WSC	2010-1106-PWS-E	STONEWALL	2/5/2011	\$170	\$0	\$170	\$0
PWS	39959	City of Teague	2010-1067-PWS-E	FREESTONE	2/5/2011	\$4,092	\$818	\$3,274	\$0
PWS	40054	Wright City WSC	2010-1172-PWS-E	SMITH	2/5/2011	\$166	\$33	\$133	\$0
PWS	39922	STUCKER, THOMAS B	2010-1024-PWS-E	MONTGOMERY	2/5/2011	\$10,612	\$0	\$10,612	\$0
PWS	39510	Gonzalez, Mary Alice	2010-0681-PWS-E	RANDALL	2/5/2011	\$2,394	\$0	\$2,394	\$0
PWS	39802	ADAMS, CRAIG E	2010-0902-PWS-E	HARRIS	2/5/2011	\$2,068	\$0	\$2,068	\$0

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PWS	39791	GOOD SHEPHERD RESIDENTIAL TREATMENT CENTRE INC	2010-0892-PWS-E	HARRIS	2/5/2011	\$210	\$42	\$168	\$0
PWS	39864	City of Collinsville	2010-0963-PWS-E	GRAYSON	2/5/2011	\$1,925	\$385	\$1,540	\$0
PWS	38195	SHEFFIELD, DAVID LEE	2009-1556-PWS-E	POLK	2/20/2011	\$273	\$54	\$219	\$0
PWS	39656	American Heritage Housing Corporation	2010-0860-PWS-E	HARRIS	2/20/2011	\$349	\$69	\$280	\$0
PWS	40303	Millsbaugh Operations, Inc.	2010-1417-PWS-E	CROCKETT	2/20/2011	\$3,093	\$0	\$3,093	\$0
PWS	40208	South Freestone County Water Supply Corporation	2010-1363-PWS-E	FREESTONE	2/20/2011	\$1,290	\$258	\$1,032	\$0
PWS	40057	Trailswest Mobile Home Park, LLC	2010-1174-PWS-E	BOWIE	2/20/2011	\$2,148	\$0	\$2,148	\$0
PWS	38507	Flo Community Water Supply Corporation	2009-1652-PWS-E	LEON	2/20/2011	\$1,510	\$0	\$1,510	\$0
PWS	39898	Brookeland Fresh Water Supply District	2010-1029-PWS-E	JASPER	2/20/2011	\$3,242	\$648	\$2,594	\$0
PWS	39692	Dimitriou Georgopoulos	2010-0785-PWS-E	LUBBOCK	2/20/2011	\$1,994	\$0	\$1,994	\$0
PWS	40209	West Yukon Estates LLC	2010-1362-PWS-E	HARRIS	2/20/2011	\$3,310	\$0	\$3,310	\$0
PWS	40224	Esperanza Water Service Company, Inc.	2010-1349-PWS-E	HUDSPETH	2/20/2011	\$221	\$44	\$177	\$0
PWS	39877	Carolyn Maxey	2010-0983-PWS-E	BURNET	2/20/2011	\$1,789	\$357	\$1,432	\$0
PWS	38945	Luke's Mobile Home Park, Inc.	2010-0037-PWS-E	PARKER	2/20/2011	\$1,313	\$0	\$1,313	\$0
PWS	39961	Feron Corporation	2010-1075-PWS-E	EL PASO	2/20/2011	\$10,318	\$2,063	\$8,255	\$0
PWS	39715	DOLEN, DANNY JO	2010-0908-PWS-E	LIMESTONE	2/20/2011	\$437	\$87	\$350	\$0
PWS	40199	Lake Livingston Water Supply and Sewer Service Corporation	2010-1323-PWS-E	POLK	3/7/2011	\$392	\$78	\$314	\$0
PWS	35760	MENDEZ, ROBERTO	2008-0708-PWS-E	STARR	3/7/2011	\$2,250	\$450	\$1,800	\$0
PWS	39958	BRADBERRY, TIMOTHY	2010-1068-PWS-E	TARRANT	3/7/2011	\$2,557	\$0	\$2,557	\$0
PWS	31106	Ocean Mobile Home Park, LLC	2010-1582-PWS-E	CHAMBERS	3/7/2011	\$240	\$0	\$240	\$0
PWS	40300	Demondtrond Buick Company	2010-1426-PWS-E	HARRIS	3/7/2011	\$6,477	\$0	\$6,477	\$0
PWS	39878	City of Cockrell Hill	2010-0982-PWS-E	DALLAS	3/7/2011	\$2,430	\$0	\$0	\$2,430
PWS	39640	Autozone Texas, L.P.	2010-0736-PWS-E	HARRIS	3/7/2011	\$2,104	\$0	\$2,104	\$0
PWS	39957	Harris County Water Control and Improvement District 119	2010-1066-PWS-E	HARRIS	3/7/2011	\$1,500	\$300	\$1,200	\$0
PWS	39674	POPPE, KENNETH JOHN *	2010-0768-PWS-E	VICTORIA	3/12/2011	\$6,643	\$0	\$6,643	\$0
PWS	40399	Nueces Wsc	2010-1518-PWS-E	NUECES	3/19/2011	\$655	\$131	\$524	\$0
PWS	40177	Faith West, Inc.	2010-1297-PWS-E	HARRIS	3/19/2011	\$2,280	\$0	\$2,280	\$0
PWS	40354	ARCHER, BRAD	2010-1472-PWS-E	DENTON	3/19/2011	\$180	\$36	\$144	\$0
PWS	39949	Circle M Bar & Grill, Inc., Inc.	2010-1055-PWS-E	HARRIS	3/19/2011	\$5,973	\$0	\$5,973	\$0
PWS	40390	BACK PORCH BAR-B-Q, INC.	2010-1509-PWS-E	VICTORIA	3/19/2011	\$850	\$170	\$680	\$0
PWS	40467	City of Ballinger	2010-1601-PWS-E	RUNNELS	3/19/2011	\$343	\$0	\$343	\$0
PWS	38934	GARZA, HENRY M	2010-0020-PWS-E	HAYS	3/19/2011	\$2,096	\$419	\$1,677	\$0
PWS	40537	City of Beeville	2010-1681-PWS-E	BEE	3/19/2011	\$1,180	\$0	\$1,180	\$0
PWS	40273	226 Corp.	2010-1392-PWS-E	MIDLAND	3/19/2011	\$2,141	\$0	\$2,141	\$0
PWS	40492	PEREZ, ELIZABETH	2010-1641-PWS-E	WEBB	3/19/2011	\$501	\$100	\$401	\$0
PWS	40478	City of Avinger	2010-1610-PWS-E	CASS	3/19/2011	\$630	\$126	\$504	\$0
PWS	39612	Oakhollow MH Park, LLC *	2010-0701-PWS-E	BRAZORIA	3/27/2011	\$1,391	\$0	\$1,391	\$0

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Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	39695	HUTCHESON, CECIL D	2010-0791-PWS-E	LUBBOCK	4/8/2011	\$466	\$0	\$466	\$0
PWS	40114	LEGGETT, PAUL	2010-1252-PWS-E	PANOLA	4/18/2011	\$6,674	\$0	\$6,674	\$0
PWS	40258	Sebastian Municipal Utility District	2010-1374-PWS-E	WILLACY	4/18/2011	\$2,895	\$0	\$2,895	\$0
PWS	40306	Neal's Lodges, Inc.	2010-1419-PWS-E	UVALDE	4/18/2011	\$1,958	\$0	\$1,958	\$0
PWS	39433	NextEra Energy Project Management, LLC	2010-0564-PWS-E	STERLING	4/18/2011	\$6,272	\$1,254	\$5,018	\$0
PWS	40325	BILLUPS, ARNOLD	2010-1437-PWS-E	JIM WELLS	4/18/2011	\$550	\$110	\$440	\$0
PWS	40942	GRAY, LARRY W	2011-0042-WOC-E	ORANGE	4/18/2011	\$210	\$0	\$210	\$0
PWS	39757	Ranger Utility Company	2010-0867-PWS-E	BOWIE	4/18/2011	\$1,695	\$339	\$1,356	\$0
PWS	40131	Ray Lee Averyt	2010-1256-PWS-E	TARRANT	4/18/2011	\$3,231	\$0	\$3,231	\$0
PWS	40211	HUGHES, PAMELA SUE	2010-1409-PWS-E	LUBBOCK	5/8/2011	\$5,075	\$0	\$5,075	\$0
PWS	40028	Northshore	2010-1159-PWS-E	DENTON	5/8/2011	\$4,800	\$0	\$4,800	\$0
PWS	40835	City Of Slaton	2010-1993-PWS-E	LUBBOCK	5/8/2011	\$818	\$163	\$655	\$0
PWS	40420	City Of Rusk	2010-1532-PWS-E	CHEROKEE	5/8/2011	\$267	\$53	\$214	\$0
PWS	40049	Kas Investments, Ltd.	2010-1165-PWS-E	BRAZORIA	5/8/2011	\$2,825	\$0	\$2,825	\$0
PWS	38185	Thomas Osborn Hammond	2009-1348-PWS-E	SOMERVELL	5/8/2011	\$15,443	\$0	\$15,443	\$0
PWS	39049	NEIBRANDT, BRUCE F	2010-0133-PWS-E	VICTORIA	5/8/2011	\$600	\$0	\$600	\$0
PWS	39908	Soda Water Supply Corporation	2010-1010-PWS-E	POLK	5/8/2011	\$312	\$0	\$312	\$0
PWS	40499	Old Marbach School Water Supply Corporation	2010-1659-PWS-E	LIVE OAK	5/8/2011	\$2,415	\$483	\$1,932	\$0
PWS	37837	Allan R Stuart *	2009-0973-PWS-E	ANGELINA	5/8/2011	\$7,389	\$0	\$7,389	\$0
PWS	38063	Trudy J. Gillem	2010-0447-PWS-E	BEE	5/8/2011	\$6,341	\$0	\$6,341	\$0
PWS	40203	SOUTH LAKE PARK SERVICES INC	2010-1324-PWS-E	TARRANT	5/8/2011	\$7,717	\$0	\$7,717	\$0
PWS	2099	Thunderbird Bay Water Services, Inc.	2010-0912-UCR-E	BROWN	5/11/2011	\$0	\$0	\$0	\$0
PWS	33399	City of Leona	2010-1946-PWS-E	LEON	5/22/2011	\$302	\$0	\$302	\$0
PWS	40776	Us Forest Service	2010-1999-PWS-E	GRAY	5/22/2011	\$205	\$41	\$164	\$0
PWS	39532	JOHNSON, ANDREAS	2011-0436-UCR-E	HARRIS	6/8/2011	\$0	\$0	\$0	\$0
PWS	40290	JACKSON, GEORGE W	2010-1399-PWS-E	LUBBOCK	6/11/2011	\$518	\$0	\$518	\$0
PWS	39530	HORVATH, SHAWN M	2010-0619-PWS-E	DENTON	6/11/2011	\$4,676	\$0	\$4,676	\$0
PWS	40971	NEIBRANDT, BRUCE F	2011-0062-PWS-E	VICTORIA	6/20/2011	\$3,053	\$0	\$3,053	\$0
PWS	40039	HALL, DARRELL	2010-1149-PWS-E	GRIMES	6/20/2011	\$1,497	\$0	\$1,497	\$0
PWS	40864	City of Linden	2010-2024-PWS-E	CASS	6/20/2011	\$2,635	\$527	\$2,108	\$0
PWS	39650	LUKE, DOLORES A	2010-0745-PWS-E	HARDIN	6/20/2011	\$688	\$0	\$688	\$0
PWS	40589	ARC Communities 8 LLC	2010-1731-PWS-E	PARKER	6/20/2011	\$215	\$43	\$172	\$0
PWS	40779	Monarch Utilities I L.P.	2010-1997-PWS-E	HOOD	6/20/2011	\$262	\$52	\$210	\$0
PWS	40550	B AND B WSC	2010-1695-PWS-E	NAVARRO	6/20/2011	\$210	\$42	\$168	\$0
PWS	40843	Memorial Point Utility District	2010-2002-PWS-E	POLK	6/20/2011	\$710	\$142	\$568	\$0
PWS	38363	City of Carl's Corner	2009-1496-PWS-E	HILL	6/20/2011	\$9,050	\$0	\$9,050	\$0
PWS	14288	Water Association Of North Lake, Inc.	2010-0413-PWS-E	*MULTIPLE	6/20/2011	\$9,842	\$0	\$9,842	\$0
PWS	40301	LAS PALOMAS WATER SERVICES COMPANY	2010-1427-PWS-E	WILSON	6/20/2011	\$392	\$78	\$314	\$0

## Singles Programs

## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	36236	KIMBLE, KARENA *	2008-1176-PWS-E	BANDERA	6/20/2011	\$6,581	\$0	\$6,581	\$0
PWS	33490	KL Comfort Park, Ltd.	2010-2088-PWS-E	BELL	6/20/2011	\$422	\$0	\$422	\$0
PWS	38222	Tom Green County FWSD 2	2010-1414-PWS-E	TOM GREEN	6/20/2011	\$604	\$0	\$604	\$0
PWS	40116	NGUYEN, BAO VU	2010-1236-MLM-E	BRAZOS	7/2/2011	\$322	\$64	\$258	\$0
PWS	40787	REEVES, KAREN	2010-1931-PWS-E	COOKE	7/2/2011	\$5,063	\$1,012	\$4,051	\$0
PWS	40795	MUELLER, HARTMUT F	2010-1933-PWS-E	BLANCO	7/2/2011	\$1,379	\$275	\$1,104	\$0
PWS	40738	City of Normangee	2010-1879-PWS-E	LEON	7/2/2011	\$1,624	\$324	\$1,300	\$0
PWS	40812	Aqua Texas, Inc.	2010-1957-MLM-E	TRAVIS	7/2/2011	\$2,987	\$597	\$2,390	\$0
PWS	39382	D & K Del Bosque, Inc.	2010-0574-PWS-E	GARZA	7/10/2011	\$4,034	\$0	\$4,034	\$0
PWS	39688	SOLALINDE, ALFREDO	2010-0794-PWS-E	HARRIS	7/10/2011	\$378	\$0	\$378	\$0
PWS	40239	CHRISTOPHER W DUNCAN	2010-1371-PWS-E	ANGELINA	7/22/2011	\$3,320	\$0	\$3,320	\$0
PWS	40382	JASPER CINDI INC	2010-1498-PWS-E	JASPER	7/22/2011	\$850	\$0	\$850	\$0
PWS	28010	Bosque Basin Water Supply Corporation	2010-1245-PWS-E	MCLENNAN	7/22/2011	\$14,355	\$0	\$14,355	\$0
PWS	40108	DRC Business Inc.	2010-1227-PWS-E	HARRIS	7/22/2011	\$3,927	\$0	\$3,927	\$0
PWS	40905	MOORE WATER SUPPLY CORPORATION	2010-2070-PWS-E	FRIO	7/31/2011	\$892	\$178	\$714	\$0
PWS	40786	City of East Tawakoni	2010-1922-PWS-E	HUNT	7/31/2011	\$2,600	\$520	\$2,080	\$0
PWS	39950	Murvaul Wsc	2010-1054-PWS-E	PANOLA	7/31/2011	\$605	\$0	\$605	\$0
PWS	39606	George Naddour *	2010-0715-PWS-E	HARRIS	7/31/2011	\$5,867	\$0	\$5,867	\$0
PWS	40985	City of Cameron	2011-0096-PWS-E	MILAM	7/31/2011	\$385	\$77	\$308	\$0
PWS	40917	TRAVELING TIGER CENTERS LLC	2010-2083-PWS-E	HUDSPETH	7/31/2011	\$2,112	\$0	\$2,112	\$0
PWS	40055	GARZA, HENRY M	2010-1171-PWS-E	HAYS	7/31/2011	\$348	\$69	\$279	\$0
PWS	40868	GRAVES, BARBARA A	2011-0008-PWS-E	JIM WELLS	7/31/2011	\$1,177	\$235	\$942	\$0
PWS	40874	Prairie Grove WSC	2010-2059-PWS-E	ANGELINA	7/31/2011	\$532	\$106	\$426	\$0
PWS	37340	Foster Consolidated Investments, L.L.C.	2011-0094-PWS-E	WILLIAMSON	8/13/2011	\$705	\$0	\$705	\$0
PWS	40090	MILLER, DANA L	2010-1211-PWS-E	DENTON	8/13/2011	\$4,256	\$0	\$4,256	\$0
PWS	40808	PARS PROPERTIES INC	2010-1959-PWS-E	*MULTIPLE	8/13/2011	\$14,568	\$0	\$14,568	\$0
PWS	40698	Tempe Water Supply Corporation	2010-1840-PWS-E	POLK	8/13/2011	\$1,022	\$204	\$818	\$0
PWS	41034	Pure WSC	2011-0129-PWS-E	MCLENNAN	8/13/2011	\$535	\$107	\$428	\$0
PWS	41199	Concho Rural Water Corporation	2011-0294-PWS-E	TOM GREEN	8/13/2011	\$355	\$71	\$284	\$0
PWS	40150	SMALLWOOD, GERALD S	2010-1273-PWS-E	UVALDE	8/20/2011	\$18,422	\$0	\$18,422	\$0
PWS	40091	THE ANGLERS LODGE LLC	2010-1232-PWS-E	VAL VERDE	8/20/2011	\$15,052	\$0	\$15,052	\$0
PWS	31226	POSADA, FELIPE	2010-1586-PWS-E	*MULTIPLE	8/20/2011	\$88,933	\$0	\$88,933	\$0
PWS	41159	City of Temple	2011-0280-PWS-E	BELL	8/27/2011	\$6,339	\$1,267	\$5,072	\$0
PWS	41170	Monarch Utilities I L.P.	2011-0261-PWS-E	JOHNSON	8/27/2011	\$267	\$53	\$214	\$0
PWS	41042	City of Rosebud	2011-0136-PWS-E	FALLS	8/27/2011	\$1,667	\$333	\$1,334	\$0
PWS	41093	RAYS ACQUISITION COMPANY LLC	2011-0182-PWS-E	WALLER	8/27/2011	\$2,483	\$0	\$2,483	\$0
PWS	41263	MINALDI, BEVERLY LEE	2011-0350-PWS-E	SABINE	8/27/2011	\$1,878	\$375	\$1,503	\$0
PWS	41465	City of Star Harbor	2011-0562-PWS-E	HENDERSON	8/27/2011	\$305	\$61	\$244	\$0

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Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
<b>Subtotal for PWS : 170</b>						<b>\$525,719</b>	<b>\$22,227</b>	<b>\$501,062</b>	<b>\$2,430</b>
WATE RIGHT	40254	Longview Bridge and Road, LTD.	2010-1367-WR-E	NEWTON	11/15/2010	\$350	\$0	\$350	\$0
WATE RIGHT	39598	MCKEE, DAVID	2010-0694-WR-E	JOHNSON	12/4/2010	\$13,115	\$2,623	\$10,492	\$0
WATE RIGHT	40471	ANDERSON COLUMBIA CO., INC.	2010-1616-WR-E	ZAPATA	12/25/2010	\$350	\$0	\$350	\$0
WATE RIGHT	40473	Harrison County	2010-1614-WR-E	HARRISON	12/25/2010	\$350	\$0	\$350	\$0
WATE RIGHT	40657	3 T EXPLORATION INC	2010-1804-WR-E	SMITH	2/5/2011	\$350	\$0	\$350	\$0
WATE RIGHT	40633	The Lane Construction Corporation	2010-1784-WR-E	LYNN	2/5/2011	\$350	\$0	\$350	\$0
WATE RIGHT	40380	Keithville Well Drilling & Service Inc	2010-1502-WR-E	NACOGDOCHES	2/20/2011	\$605	\$121	\$484	\$0
WATE RIGHT	40714	Gardner Production, LLC	2010-1899-WR-E	JACK	2/20/2011	\$350	\$0	\$350	\$0
WATE RIGHT	40741	BEST PETROLEUM EXPLORATIONS INC	2010-1878-WR-E	JACK	2/20/2011	\$350	\$0	\$350	\$0
WATE RIGHT	40815	EOG Resources, Inc.	2010-1964-WR-E	NACOGDOCHES	3/7/2011	\$875	\$0	\$875	\$0
WATE RIGHT	40298	PPG Industries, Inc	2010-1413-WR-E	WICHITA	3/7/2011	\$1,620	\$324	\$1,296	\$0
WATE RIGHT	40391	Kirsten Davis *	2010-1500-WR-E	DALLAS	3/19/2011	\$600	\$120	\$480	\$0
WATE RIGHT	40638	SWAN, KEN R	2010-1787-WR-E	JACK	5/8/2011	\$1,000	\$200	\$800	\$0
WATE RIGHT	40814	R Construction Company	2011-0195-WR-E	NACOGDOCHES	5/22/2011	\$350	\$0	\$350	\$0
WATE RIGHT	38482	GP Golf, LLLP. *	2009-1623-WR-E	TRAVIS	6/11/2011	\$41,500	\$0	\$41,500	\$0
WATE RIGHT	40385	Trinity Turf Nursery, Inc.	2010-1504-WR-E	DENTON	6/11/2011	\$1,000	\$0	\$1,000	\$0
WATE RIGHT	40837	Texas Industries, Inc.	2010-2008-WR-E	JACK	6/20/2011	\$765	\$153	\$612	\$0
WATE RIGHT	41319	Ross Ridge Sand Company LP	2011-0421-WR-E	ORANGE	7/2/2011	\$350	\$0	\$350	\$0
WATE RIGHT	40590	SEVENTEEN LAKES HOMEOWNERS ASSOCIATION, INC.	2010-1733-WR-E	DENTON	7/31/2011	\$1,000	\$200	\$800	\$0
WATE RIGHT	40816	DTE Gas Resources, LLC	2010-1976-WR-E	JACK	8/13/2011	\$1,027	\$205	\$822	\$0
<b>Subtotal for WATERIGHT : 20</b>						<b>\$66,257</b>	<b>\$3,946</b>	<b>\$62,311</b>	<b>\$0</b>
WQ	38830	City of Edinburg	2009-1979-MWD-E	HIDALGO	9/26/2010	\$60,900	\$0	\$0	\$60,900
WQ	39168	Federal Emergency Management Agency	2010-0263-MWD-E	GALVESTON	9/26/2010	\$2,625	\$525	\$2,100	\$0
WQ	39568	Ross Construction, Inc.	2010-0652-WQ-E	WILLIAMSON	9/26/2010	\$750	\$150	\$600	\$0
WQ	39368	City of Port Arthur	2010-0455-MWD-E	JEFFERSON	9/26/2010	\$5,160	\$1,032	\$4,128	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	39443	City of Muenster	2010-0527-MWD-E	COOKE	9/26/2010	\$3,740	\$0	\$3,740	\$0
WQ	39424	Union Grove Independent School District	2010-0515-MWD-E	UPSHUR	9/26/2010	\$1,550	\$310	\$1,240	\$0
WQ	38820	City of Tatum	2009-1986-MWD-E	RUSK	9/26/2010	\$10,720	\$2,144	\$0	\$8,576
WQ	39613	Eastwood Hills Mobile Home Park Limited Partnership	2010-0702-MWD-E	MONTGOMERY	9/26/2010	\$2,080	\$416	\$1,664	\$0
WQ	38717	Aqua Development, Inc.	2009-1892-MWD-E	TRAVIS	9/26/2010	\$2,975	\$595	\$2,380	\$0
WQ	39308	Aqua Texas, Inc.	2010-0398-MWD-E	HARRIS	9/26/2010	\$14,130	\$0	\$14,130	\$0
WQ	39139	City of Fredericksburg	2010-0228-MWD-E	GILLESPIE	9/26/2010	\$13,000	\$2,600	\$0	\$10,400
WQ	35231	Cardinal Meadows Improvement District	2008-0117-MWD-E	JEFFERSON	10/15/2010	\$19,880	\$10,280	\$9,600	\$0
WQ	39448	Lower Colorado River Authority	2010-0533-MWD-E	*MULTIPLE	10/15/2010	\$1,250	\$250	\$1,000	\$0
WQ	37944	City of Covington	2009-1089-MWD-E	HILL	10/15/2010	\$8,250	\$8,250	\$0	\$0
WQ	39686	BROWNSVILLE MUNICIPAL UTILITIES CORPORATION	2010-0777-MWD-E	CAMERON	10/15/2010	\$7,850	\$1,570	\$6,280	\$0
WQ	39214	Bayshore Industrial, L.P.	2010-0307-IWD-E	HARRIS	10/15/2010	\$6,090	\$1,218	\$4,872	\$0
WQ	39726	Plainview BioEnergy, LLC	2010-0825-IWD-E	HALE	10/15/2010	\$3,660	\$732	\$2,928	\$0
WQ	39306	City of Pearsall	2010-0343-MWD-E	FRIO	10/15/2010	\$3,025	\$605	\$0	\$2,420
WQ	39553	Lake Municipal Utility District	2010-0643-MWD-E	HARRIS	10/15/2010	\$3,300	\$660	\$2,640	\$0
WQ	39073	Hanson Pipe & Precast LLC	2010-0153-IWD-E	DALLAS	10/15/2010	\$4,320	\$864	\$3,456	\$0
WQ	37880	Travis Vista Water and Sewer Supply Corporation	2009-1008-MWD-E	TRAVIS	10/15/2010	\$27,974	\$27,974	\$0	\$0
WQ	39265	Bridgestone Municipal Utility District	2010-0248-MWD-E	HARRIS	10/15/2010	\$18,888	\$0	\$18,888	\$0
WQ	39584	City of Henderson	2010-0674-MWD-E	RUSK	10/15/2010	\$4,600	\$920	\$3,680	\$0
WQ	39765	Angelina & Neches River Authority	2010-0872-MWD-E	ANGELINA	10/15/2010	\$2,880	\$576	\$2,304	\$0
WQ	39476	MIRHAJ, KOBRA	2010-0561-MWD-E	HARRIS	10/15/2010	\$60,083	\$12,016	\$48,067	\$0
WQ	39016	City of Penelope	2010-0092-MWD-E	HILL	10/15/2010	\$10,197	\$2,039	\$0	\$8,158
WQ	39309	Larry Hawkins	2010-0394-SLG-E	CLAY	10/15/2010	\$5,040	\$1,008	\$4,032	\$0
WQ	39666	Golden Spread Redi-Mix, Inc.	2010-0761-IWD-E	POTTER	10/25/2010	\$4,550	\$910	\$3,640	\$0
WQ	39288	Hanson Aggregates LLC	2010-0333-IWD-E	MONTGOMERY	10/25/2010	\$11,220	\$2,244	\$8,976	\$0
WQ	39696	James Allen Lewis	2010-0790-MWD-E	HARRISON	10/25/2010	\$6,420	\$1,284	\$5,136	\$0
WQ	39447	LEDEZMA READY MIX LLC	2010-0532-MLM-E	MCCULLOCH	10/25/2010	\$5,544	\$1,108	\$4,436	\$0
WQ	38991	Endeavor Wall Homes, LLC	2010-0082-WQ-E	PARKER	10/25/2010	\$750	\$150	\$600	\$0
WQ	39654	City of New Deal	2010-0749-MWD-E	LUBBOCK	10/25/2010	\$7,670	\$1,534	\$0	\$6,136
WQ	40123	LOCOS PICK & PULL LLC	2010-1250-WQ-E	SMITH	10/25/2010	\$700	\$0	\$700	\$0
WQ	39438	Aqua Development, Inc.	2010-0528-MWD-E	MONTGOMERY	10/25/2010	\$26,260	\$0	\$26,260	\$0
WQ	39341	Dr. Alejandro Garcia II	2010-0425-EAQ-E	BEXAR	10/25/2010	\$6,000	\$1,200	\$4,800	\$0
WQ	36948	City of Chico	2008-1953-MWD-E	WISE	10/25/2010	\$208,475	\$0	\$0	\$208,475
WQ	39576	Tawakoni Waste Water Corporation	2010-0666-MWD-E	HUNT	10/25/2010	\$10,107	\$0	\$10,107	\$0
WQ	39403	Samuel Gomez	2010-0492-WQ-E	EL PASO	10/25/2010	\$2,100	\$420	\$1,680	\$0
WQ	39087	Davis Bayou Service Company *	2010-0174-MWD-E	LIBERTY	10/25/2010	\$7,590	\$1,518	\$6,072	\$0
WQ	40165	Steve Wier, Inc.	2010-1286-WQ-E	TRAVIS	10/25/2010	\$700	\$0	\$700	\$0
WQ	39609	City of Boyd	2010-0699-MWD-E	WISE	10/25/2010	\$6,360	\$1,272	\$0	\$5,088

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Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	39748	Kendall Speight, INC.	2010-0851-SLG-E	NUECES	10/25/2010	\$2,560	\$512	\$2,048	\$0
WQ	39639	ODA, MIKE	2010-0782-WOC-E	FORT BEND	10/25/2010	\$750	\$150	\$600	\$0
WQ	39298	Central Texas Highway Constructors, LLC	2010-0389-WQ-E	CALDWELL	10/25/2010	\$23,750	\$0	\$23,750	\$0
WQ	39720	Grant Road Public Utility District	2010-0817-MWD-E	HARRIS	10/25/2010	\$1,050	\$210	\$840	\$0
WQ	39685	City of Tenaha	2010-0778-MWD-E	SHELBY	10/25/2010	\$6,000	\$1,200	\$4,800	\$0
WQ	39486	Champion Technologies, Inc.	2010-0570-IWD-E	FORT BEND	10/25/2010	\$11,250	\$0	\$11,250	\$0
WQ	40124	Richard Clark Builders, Inc.	2010-1251-WQ-E	MCLENNAN	10/25/2010	\$700	\$0	\$700	\$0
WQ	39649	Praxair, Inc.	2010-0743-IWD-E	*MULTIPLE	10/25/2010	\$1,250	\$250	\$1,000	\$0
WQ	40048	Key Building Systems, Incorporated	2010-1163-WQ-E	GREGG	10/25/2010	\$700	\$0	\$700	\$0
WQ	39792	GREENWOOD MOTOR LINES, INC.	2010-0375-WQ-E	WEBB	10/25/2010	\$900	\$180	\$720	\$0
WQ	38399	Tempe Water Supply Corporation	2009-1497-MWD-E	POLK	11/4/2010	\$12,240	\$0	\$12,240	\$0
WQ	38950	FARIAS, SALVADOR III	2010-0026-EAQ-E	BEXAR	11/14/2010	\$13,260	\$0	\$13,260	\$0
WQ	39527	MeadWestvaco Texas, L.P.	2010-0617-IWD-E	JASPER	11/15/2010	\$28,361	\$5,672	\$11,344	\$11,345
WQ	39592	Knife River Corporation - South	2010-0687-IWD-E	JEFFERSON	11/15/2010	\$4,092	\$818	\$3,274	\$0
WQ	39668	City of New Waverly	2010-0763-MWD-E	WALKER	11/15/2010	\$2,040	\$408	\$0	\$1,632
WQ	39531	Walnut Cove Water Supply Corporation	2010-0618-MWD-E	MONTGOMERY	11/15/2010	\$7,339	\$1,467	\$5,872	\$0
WQ	39669	City of Atlanta	2010-0764-MWD-E	CASS	11/15/2010	\$2,134	\$0	\$2,134	\$0
WQ	39724	Monarch Utilities I L.P.	2010-0818-IWD-E	HAYS	11/15/2010	\$2,100	\$420	\$1,680	\$0
WQ	39746	Tarkington ISD	2010-0848-MWD-E	LIBERTY	11/15/2010	\$5,680	\$1,136	\$4,544	\$0
WQ	39736	Lone Star NGL Mont Belvieu L.P.	2010-0844-IWD-E	HARRIS	11/15/2010	\$2,500	\$500	\$2,000	\$0
WQ	39747	S. I. Enterprises, LLC	2010-0850-MWD-E	HARRIS	11/15/2010	\$2,040	\$408	\$1,632	\$0
WQ	39683	HARTZOG, WILLIAM E JR	2010-0776-MWD-E	HARRIS	11/15/2010	\$1,150	\$230	\$920	\$0
WQ	39192	Weatherford Farms, Inc	2010-0282-IWD-E	FORT BEND	11/15/2010	\$8,250	\$1,650	\$6,600	\$0
WQ	38856	City of Caddo Mills	2009-2028-MWD-E	HUNT	11/15/2010	\$14,800	\$0	\$14,800	\$0
WQ	39708	David Schuler Dba Spirit Custom Homes	2010-0804-WQ-E	BRAZOS	11/15/2010	\$4,000	\$800	\$1,600	\$1,600
WQ	39453	City of Wilmer	2010-0549-WQ-E	DALLAS	11/15/2010	\$2,000	\$400	\$1,600	\$0
WQ	38918	City of Shepherd	2010-0004-MWD-E	SAN JACINTO	11/15/2010	\$2,882	\$0	\$0	\$2,882
WQ	39630	New Vision Custom Homes, Inc.	2010-0732-WQ-E	BRAZOS	11/15/2010	\$3,600	\$720	\$2,880	\$0
WQ	38266	City of Bynum	2009-1406-MWD-E	HILL	11/15/2010	\$3,575	\$0	\$3,575	\$0
WQ	39488	Orange County Water Control and Improvement District No. 1	2010-0592-MWD-E	ORANGE	11/15/2010	\$15,045	\$0	\$15,045	\$0
WQ	39567	Best Sea-Pack of Texas, Inc.	2010-0704-IWD-E	BRAZORIA	11/15/2010	\$26,030	\$0	\$26,030	\$0
WQ	39981	Dallas Chemical Technologies, Inc.	2010-1100-IWD-E	HARRIS	11/15/2010	\$14,169	\$2,833	\$11,336	\$0
WQ	37117	Tommy Davis	2009-0184-WQ-E	SOMERVELL	12/2/2010	\$4,200	\$0	\$4,200	\$0
WQ	39767	Texas Department of Transportation	2010-0873-MWD-E	*MULTIPLE	12/4/2010	\$3,060	\$612	\$0	\$2,448
WQ	39176	Claussen's Crest (San Antonio) Home Owners' Association, Inc.	2010-0271-EAQ-E	BEXAR	12/4/2010	\$6,000	\$1,200	\$4,800	\$0
WQ	39631	D.B. Western, Inc. - Texas	2010-0713-IWD-E	HARRIS	12/4/2010	\$91,135	\$18,227	\$72,908	\$0
WQ	38215	Creek Park Corporation	2009-1372-MWD-E	JOHNSON	12/4/2010	\$4,750	\$0	\$4,750	\$0

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Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	39905	Shin-Etsu Silicones of America, Inc.	2010-1006-IWD-E	BRAZORIA	12/4/2010	\$24,875	\$0	\$24,875	\$0
WQ	38756	Chilton Water Supply and Sewer Service Corporation	2009-1910-MWD-E	FALLS	12/4/2010	\$24,184	\$0	\$24,184	\$0
WQ	39918	Cowtown RV Park, Ltd.	2010-1022-MWD-E	PARKER	12/4/2010	\$2,146	\$429	\$1,717	\$0
WQ	39067	City of Hudson	2010-0152-MWD-E	ANGELINA	12/4/2010	\$8,249	\$1,649	\$0	\$6,600
WQ	40078	Dril-Quip, Inc.	2010-1189-MWD-E	HARRIS	12/4/2010	\$3,360	\$672	\$2,688	\$0
WQ	39208	Marhaba Partners Limited Partnership	2010-0291-MWD-E	*MULTIPLE	12/4/2010	\$2,400	\$480	\$1,920	\$0
WQ	37517	Benbrook Texas Limited Partnership	2009-0628-MWD-E	TARRANT	12/4/2010	\$21,200	\$17,600	\$3,600	\$0
WQ	39903	San Antonio Water System	2010-1007-MWD-E	BEXAR	12/4/2010	\$32,700	\$0	\$0	\$32,700
WQ	38188	City of Wolfe City	2009-1387-MWD-E	HUNT	12/4/2010	\$7,990	\$0	\$7,990	\$0
WQ	36844	City of Gustine	2008-1819-MWD-E	COMANCHE	12/4/2010	\$65,133	\$65,133	\$0	\$0
WQ	39984	Eastland County Water Supply District	2010-1101-MWD-E	EASTLAND	12/4/2010	\$4,392	\$878	\$3,514	\$0
WQ	39671	City of Bridge City	2010-0766-MWD-E	ORANGE	12/25/2010	\$18,225	\$3,645	\$14,580	\$0
WQ	39738	CEMEX Construction Materials South, LLC	2010-0870-IWD-E	GALVESTON	12/25/2010	\$1,786	\$357	\$1,429	\$0
WQ	38776	Timberwood Development Company, L.P.	2009-1956-MLM-E	BEXAR	12/25/2010	\$1,650	\$330	\$1,320	\$0
WQ	39822	SUNRISE MATERIALS, L.P.	2010-0932-WQ-E	LIBERTY	12/25/2010	\$2,000	\$400	\$1,600	\$0
WQ	40276	Bul-Tex Development Corporation	2010-1393-EAQ-E	BEXAR	12/25/2010	\$1,500	\$300	\$1,200	\$0
WQ	40472	Mullen-Telles, Inc.	2010-1615-WQ-E	EL PASO	12/25/2010	\$700	\$0	\$700	\$0
WQ	38845	City of Goodlow	2009-2020-MWD-E	NAVARRO	12/25/2010	\$10,000	\$10,000	\$0	\$0
WQ	39870	Fort Bend County Municipal Utility District 169 *	2010-0975-MWD-E	FORT BEND	12/25/2010	\$2,850	\$570	\$2,280	\$0
WQ	37737	Brookshire Municipal Water District	2009-0839-MWD-E	WALLER	12/25/2010	\$58,395	\$0	\$0	\$58,395
WQ	40517	Texas A&M University - Corpus Christi	2010-1660-WQ-E	NUECES	12/25/2010	\$700	\$0	\$700	\$0
WQ	39769	SHAMROCK REALTY, LTD.	2010-0876-WQ-E	MCLENNAN	12/25/2010	\$1,500	\$300	\$1,200	\$0
WQ	39716	East Montgomery County MUD 3	2010-0814-MWD-E	MONTGOMERY	12/25/2010	\$1,370	\$274	\$1,096	\$0
WQ	40415	Pioneer General Contractors, Inc.	2010-1535-WQ-E	RANDALL	12/25/2010	\$700	\$0	\$700	\$0
WQ	39712	Martin Realty & Land, Inc.	2010-0812-MWD-E	MONTGOMERY	12/25/2010	\$6,124	\$1,224	\$4,900	\$0
WQ	40031	City of Beckville	2010-1141-MWD-E	PANOLA	12/25/2010	\$4,737	\$947	\$3,790	\$0
WQ	39954	GULF MARINE FABRICATORS LP	2010-1064-MWD-E	SAN PATRICIO	12/25/2010	\$3,930	\$786	\$3,144	\$0
WQ	39891	Chemtrade Refinery Services, Inc.	2010-0991-IWD-E	JEFFERSON	12/25/2010	\$5,940	\$1,188	\$4,752	\$0
WQ	40046	West Hardin County Consolidated Independent School District	2010-1162-MWD-E	HARDIN	12/25/2010	\$3,500	\$700	\$2,800	\$0
WQ	40086	Montgomery County WCID 1	2010-1198-MWD-E	MONTGOMERY	12/25/2010	\$3,450	\$690	\$2,760	\$0
WQ	40413	Westin Homes and Properties, L.P.	2010-1551-WQ-E	FORT BEND	12/25/2010	\$700	\$0	\$700	\$0
WQ	39895	City of Moody	2010-0999-MWD-E	MCLENNAN	12/25/2010	\$4,650	\$930	\$3,720	\$0
WQ	38989	WHATLEY, JASON	2010-0068-SLG-E	BRAZORIA	1/14/2011	\$2,650	\$0	\$2,650	\$0
WQ	39901	Texas Lehigh Cement Company LP	2010-1057-MWD-E	HAYS	2/5/2011	\$2,080	\$416	\$1,664	\$0
WQ	39821	Rancho Sienna KC, LP	2010-0918-EAQ-E	WILLIAMSON	2/5/2011	\$4,500	\$900	\$1,800	\$1,800
WQ	40139	City of Hallsville	2010-1263-MWD-E	HARRISON	2/5/2011	\$5,420	\$0	\$5,420	\$0
WQ	39995	City of Blanket	2010-1110-MWD-E	BROWN	2/5/2011	\$2,314	\$462	\$1,852	\$0
WQ	39900	Gulf Coast Waste Disposal Authority	2010-1032-IWD-E	HARRIS	2/5/2011	\$14,175	\$2,835	\$0	\$11,340

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WQ	25652	City of Franklin	2009-0852-MWD-E	ROBERTSON	2/5/2011	\$47,310	\$0	\$0	\$47,310
WQ	38706	City of Dodd City	2009-1954-MWD-E	FANNIN	2/5/2011	\$14,645	\$0	\$12,215	\$2,430
WQ	40107	City of Waelder	2010-1215-MWD-E	GONZALES	2/5/2011	\$1,800	\$360	\$0	\$1,440
WQ	40062	Brazoria County Municipal Utility District 21	2010-1176-MWD-E	BRAZORIA	2/5/2011	\$14,300	\$0	\$14,300	\$0
WQ	40081	PIKOFF ENTERPRISE, INC.	2010-1203-EAQ-E	WILLIAMSON	2/5/2011	\$4,000	\$800	\$3,200	\$0
WQ	36492	Briarwood Lutheran Ministries	2008-1421-MWD-E	DENTON	2/5/2011	\$6,025	\$0	\$6,025	\$0
WQ	40135	City of Bellville	2010-1260-MWD-E	AUSTIN	2/5/2011	\$2,640	\$528	\$2,112	\$0
WQ	40569	Nouveau Construction and Technology Services LP	2010-1718-WQ-E	NUECES	2/5/2011	\$700	\$0	\$700	\$0
WQ	37125	City of Asherton	2009-0176-MWD-E	*MULTIPLE	2/5/2011	\$2,540	\$508	\$2,032	\$0
WQ	39946	City of Bremond	2010-1053-MWD-E	ROBERTSON	2/5/2011	\$4,940	\$988	\$3,952	\$0
WQ	39844	HEBERT, RANDY	2010-0945-WQ-E	ORANGE	2/20/2011	\$950	\$0	\$950	\$0
WQ	40051	Unimin Corporation	2010-1164-IWD-E	CHEROKEE	2/20/2011	\$8,820	\$1,764	\$7,056	\$0
WQ	39149	City Of Edgewood	2010-0242-MWD-E	VAN ZANDT	2/20/2011	\$5,380	\$5,380	\$0	\$0
WQ	39793	Navasota Concrete, Inc.	2010-0998-IWD-E	GRIMES	2/20/2011	\$12,000	\$2,400	\$9,600	\$0
WQ	40063	Port O'Connor Municipal Utility District	2010-1175-MWD-E	CALHOUN	2/20/2011	\$5,280	\$1,056	\$0	\$4,224
WQ	40085	Capital Metropolitan Transportation Authority	2010-1206-EAQ-E	WILLIAMSON	2/20/2011	\$3,000	\$600	\$2,400	\$0
WQ	39933	City of Waller	2010-1062-MWD-E	WALLER	2/20/2011	\$1,990	\$398	\$1,592	\$0
WQ	40168	J.E. Kingham Construction Company, Ltd.	2010-1289-WQ-E	POLK	2/20/2011	\$2,625	\$525	\$2,100	\$0
WQ	40087	Conroe Independent School District	2010-1207-MWD-E	MONTGOMERY	2/20/2011	\$3,255	\$651	\$2,604	\$0
WQ	39919	City of Port Lavaca	2010-1021-MWD-E	CALHOUN	2/20/2011	\$19,950	\$3,990	\$0	\$15,960
WQ	40162	City of Rosebud	2010-1285-MWD-E	FALLS	2/20/2011	\$5,850	\$1,170	\$4,680	\$0
WQ	39440	City of Alvin	2010-0523-MWD-E	BRAZORIA	2/20/2011	\$68,160	\$0	\$68,160	\$0
WQ	39372	City of Fredericksburg	2010-0471-MWD-E	GILLESPIE	2/20/2011	\$14,987	\$0	\$0	\$14,987
WQ	38154	City of Oakwood	2009-1306-MWD-E	LEON	2/20/2011	\$5,375	\$0	\$5,375	\$0
WQ	38763	Westlake Municipal Utility District 1	2009-1901-MWD-E	HARRIS	2/20/2011	\$18,875	\$0	\$0	\$18,875
WQ	40008	PLACE PROPERTIES DEVELOPMENT SERVICES LLC	2010-1125-EAQ-E	BEXAR	2/20/2011	\$2,650	\$530	\$2,120	\$0
WQ	39414	Rita Laura Redow Karbalai	2010-0497-MWD-E	*MULTIPLE	2/20/2011	\$89,531	\$17,905	\$71,626	\$0
WQ	39591	Westfield Mobil Home Community, Ltd. dba Westfield Mobile Home Park	2010-0683-MWD-E	HARRIS	2/20/2011	\$11,450	\$2,290	\$9,160	\$0
WQ	40715	Bownds Construction, Inc.	2010-1866-WQ-E	RANDALL	2/20/2011	\$700	\$0	\$700	\$0
WQ	39185	Granbury Materials, LLC	2010-0276-WQ-E	HOOD	2/20/2011	\$14,000	\$0	\$11,690	\$2,310
WQ	39285	Greg Larson *	2010-0385-OSS-E	MCCULLOCH	2/25/2011	\$525	\$0	\$525	\$0
WQ	39717	GALVAN, DANIEL JR	2010-0813-WOC-E	MATAGORDA	2/25/2011	\$3,750	\$0	\$3,750	\$0
WQ	39413	GARCIA, ERASMO	2010-0496-OSS-E	MCCULLOCH	2/25/2011	\$262	\$0	\$262	\$0
WQ	40464	City of Center	2010-1594-MWD-E	SHELBY	3/7/2011	\$8,692	\$1,738	\$6,954	\$0
WQ	40263	Restaurant Service, L.L.C.	2010-1383-MWD-E	HARRIS	3/7/2011	\$1,260	\$252	\$1,008	\$0
WQ	40163	City of Bellevue	2010-1287-MWD-E	CLAY	3/7/2011	\$3,240	\$648	\$2,592	\$0
WQ	40350	Combined Relocation Services, Inc.	2010-1464-WQ-E	PARKER	3/7/2011	\$750	\$150	\$600	\$0
WQ	39871	Texas Department of Transportation	2010-1041-IWD-E	FORT BEND	3/7/2011	\$3,900	\$780	\$0	\$3,120

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WQ	39982	City of Liberty	2010-1096-MWD-E	LIBERTY	3/7/2011	\$36,733	\$0	\$0	\$36,733
WQ	40252	City of Refugio	2010-1391-MWD-E	REFUGIO	3/7/2011	\$2,425	\$485	\$1,940	\$0
WQ	40140	LGI Homes, LTD. *	2010-1264-MWD-E	MONTGOMERY	3/7/2011	\$5,680	\$1,136	\$4,544	\$0
WQ	39812	City of Deport	2010-0915-MWD-E	LAMAR	3/7/2011	\$11,600	\$2,320	\$9,280	\$0
WQ	40183	Chevron U.S.A. Inc.	2010-1310-IWD-E	HARRIS	3/7/2011	\$405	\$81	\$324	\$0
WQ	40411	Structural Metals, Inc.	2010-1606-IWD-E	GUADALUPE	3/7/2011	\$6,200	\$1,240	\$4,960	\$0
WQ	40466	Paradise Isd	2010-1593-MWD-E	WISE	3/7/2011	\$3,600	\$720	\$2,880	\$0
WQ	40201	City of Austin	2010-1328-EAQ-E	TRAVIS	3/7/2011	\$3,650	\$0	\$3,650	\$0
WQ	40269	HARTZOG, WILLIAM E JR	2010-1381-MWD-E	HARRIS	3/7/2011	\$17,500	\$3,500	\$14,000	\$0
WQ	40282	City of Rhome	2010-1404-MWD-E	WISE	3/7/2011	\$8,250	\$0	\$8,250	\$0
WQ	40106	UNIVERSAL FOREST PRODUCTS TEXAS LLC	2010-1214-IWD-E	WALKER	3/7/2011	\$22,200	\$4,440	\$17,760	\$0
WQ	40223	San Angelo Packing Company, Inc.	2010-1347-IWD-E	TOM GREEN	3/7/2011	\$20,573	\$4,114	\$16,459	\$0
WQ	40952	CRUSE, LANDON K	2010-1917-WOC-E	TARRANT	3/7/2011	\$210	\$0	\$210	\$0
WQ	39495	Texas Department of Transportation	2010-0588-WQ-E	EASTLAND	3/7/2011	\$3,000	\$600	\$0	\$2,400
WQ	40368	Lambs Real Estate Investment I, LLC.	2010-1485-EAQ-E	WILLIAMSON	3/7/2011	\$1,800	\$360	\$1,440	\$0
WQ	40347	Inverness Forest Improvement District	2010-1463-MWD-E	HARRIS	3/19/2011	\$3,440	\$688	\$2,752	\$0
WQ	40435	Richey Road Mud	2010-1569-MWD-E	HARRIS	3/19/2011	\$1,397	\$279	\$1,118	\$0
WQ	40438	Hamshire-Fannett Independent School District	2010-1572-MWD-E	JEFFERSON	3/19/2011	\$6,660	\$1,332	\$0	\$5,328
WQ	40133	Harris County Utility District 16	2010-1258-MWD-E	HARRIS	3/19/2011	\$6,000	\$1,200	\$4,800	\$0
WQ	40266	AMERICAN WATER OPERATIONS AND MAINTENANCE, INC.	2010-1558-WQ-E	BELL	3/19/2011	\$3,750	\$0	\$3,750	\$0
WQ	40474	Pine Cove, Inc.	2010-1639-MWD-E	COLORADO	3/19/2011	\$3,340	\$668	\$2,672	\$0
WQ	40523	First Texas Homes, Inc.	2010-1668-WQ-E	FORT BEND	3/19/2011	\$750	\$150	\$600	\$0
WQ	40193	City of San Marcos	2010-1316-EAQ-E	HAYS	3/19/2011	\$3,750	\$750	\$0	\$3,000
WQ	40341	50'S Group Properties, LTD.	2010-1455-IWD-E	TOM GREEN	3/19/2011	\$3,375	\$0	\$3,375	\$0
WQ	40026	Holcim (Texas) Limited Partnership	2010-1200-IWD-E	ELLIS	3/19/2011	\$2,600	\$520	\$2,080	\$0
WQ	39703	Aqua Utilities, Inc.	2010-0787-MWD-E	HARRIS	3/19/2011	\$86,860	\$0	\$86,860	\$0
WQ	40851	VHI CONSTRUCTION INC	2010-2011-WQ-E	PARKER	3/19/2011	\$700	\$0	\$700	\$0
WQ	40292	Aqua Utilities, Inc.	2010-1412-MWD-E	HARRIS	3/19/2011	\$10,175	\$2,035	\$8,140	\$0
WQ	38987	City of Hitchcock	2010-0075-MWD-E	GALVESTON	3/19/2011	\$59,075	\$0	\$59,075	\$0
WQ	40022	ATCHISON TRUCKING, LLC	2010-1140-WQ-E	BRAZORIA	3/19/2011	\$750	\$150	\$600	\$0
WQ	39043	Annette Villegas	2010-0255-SLG-E	NUECES	3/19/2011	\$12,600	\$0	\$12,600	\$0
WQ	39571	City of Missouri City	2010-0659-MWD-E	FORT BEND	3/19/2011	\$54,000	\$0	\$0	\$54,000
WQ	40191	First National Bank of Hughes Springs	2010-1369-IWD-E	MARION	3/19/2011	\$9,212	\$1,842	\$7,370	\$0
WQ	38832	SUNRISE MATERIALS, L.P.	2009-2019-WQ-E	MONTGOMERY	3/19/2011	\$12,299	\$0	\$12,299	\$0
WQ	40221	Trucker's Corner, LP	2010-1344-MWD-E		3/19/2011	\$6,232	\$1,246	\$4,986	\$0
WQ	40219	HUEBNER HILLS OWNERS ASSOCIATION	2010-1338-EAQ-E	BEXAR	3/19/2011	\$3,750	\$750	\$3,000	\$0
WQ	40166	Bernard Timbers Water Supply Corporation	2010-1295-MWD-E	WHARTON	3/19/2011	\$6,580	\$1,316	\$5,264	\$0
WQ	40204	City of Joaquin	2010-1346-MWD-E	SHELBY	3/19/2011	\$3,280	\$656	\$2,624	\$0

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WQ	40369	City of Duncanville	2010-1528-WQ-E	DALLAS	3/19/2011	\$9,160	\$0	\$0	\$9,160
WQ	38703	PERKINS, MARK	2009-1857-OSI-E	SHELBY	3/27/2011	\$2,479	\$0	\$2,479	\$0
WQ	39498	Stonehenge Granite of Austin, Inc.	2010-0593-WQ-E	HAYS	4/8/2011	\$1,050	\$0	\$1,050	\$0
WQ	40326	Harris County Water Control and Improvement District 89	2010-1457-MWD-E	HARRIS	4/18/2011	\$22,660	\$4,532	\$18,128	\$0
WQ	37788	City of Godley	2009-0907-MWD-E	JOHNSON	4/18/2011	\$44,750	\$0	\$0	\$44,750
WQ	40088	Happy Hill Farm Children's Home, Inc.	2010-1209-MWD-E	SOMERVELL	4/18/2011	\$15,300	\$3,060	\$12,240	\$0
WQ	40683	City of Hughes Springs	2010-1821-MWD-E	CASS	4/18/2011	\$1,300	\$260	\$1,040	\$0
WQ	39163	Town of Little Elm	2010-0249-MWD-E	DENTON	4/18/2011	\$12,225	\$2,445	\$0	\$9,780
WQ	40264	City of Saint Jo	2010-1384-MWD-E	MONTAGUE	4/18/2011	\$10,500	\$0	\$10,500	\$0
WQ	40759	City of Daingerfield	2010-1925-MWD-E	MORRIS	4/18/2011	\$4,260	\$852	\$3,408	\$0
WQ	40964	Carleton Construction, LTD.	2011-0064-WQ-E	JEFFERSON	4/18/2011	\$700	\$0	\$700	\$0
WQ	40526	Explorer Pipeline Company	2010-1671-IWD-E	ANDERSON	4/18/2011	\$7,125	\$1,425	\$5,700	\$0
WQ	40850	Ryan Companies US, Inc.	2011-0018-WQ-E	POTTER	4/18/2011	\$700	\$0	\$700	\$0
WQ	40642	Commodore Cove Improvement District	2010-1788-MWD-E	BRAZORIA	4/18/2011	\$4,417	\$883	\$3,534	\$0
WQ	40489	Holly Park Marina Inc.	2010-1637-SLG-E	SABINE	4/18/2011	\$1,300	\$260	\$1,040	\$0
WQ	38667	8 Mile Park, L.P.	2009-1829-MWD-E	BRAZORIA	4/18/2011	\$39,998	\$35,920	\$4,078	\$0
WQ	40009	H. E. Butt Grocery Company *	2010-1143-EAQ-E	COMAL	4/18/2011	\$750	\$150	\$600	\$0
WQ	40381	Aqua Development, Inc.	2010-1497-MWD-E	MONTGOMERY	4/18/2011	\$2,800	\$560	\$2,240	\$0
WQ	38112	City of New Boston	2009-1297-MWD-E	BOWIE	4/18/2011	\$28,120	\$0	\$28,120	\$0
WQ	40293	CEMEX Construction Materials South, LLC	2010-1411-IWD-E	HARRIS	4/18/2011	\$9,315	\$1,863	\$7,452	\$0
WQ	40665	Monarch Utilities I L.P.	2010-1808-MWD-E	HENDERSON	4/18/2011	\$3,170	\$634	\$2,536	\$0
WQ	40527	Wellborn Special Utility District	2010-1670-MWD-E	BRAZOS	4/18/2011	\$5,694	\$1,138	\$4,556	\$0
WQ	40944	MATA, LORENZO E	2011-0040-WOC-E	WEBB	4/18/2011	\$210	\$0	\$210	\$0
WQ	35577	City of O'Brien	2008-0454-MWD-E	HASKELL	4/18/2011	\$37,409	\$37,409	\$0	\$0
WQ	40571	City Of Breckenridge	2010-1715-MWD-E	STEPHENS	4/18/2011	\$7,875	\$0	\$7,875	\$0
WQ	40404	United Structures of America, Inc.	2010-1529-MWD-E	HARRIS	4/18/2011	\$3,158	\$631	\$2,527	\$0
WQ	40890	MCKELVAIN, CHARLEY	2011-0011-WOC-E	WISE	4/18/2011	\$210	\$0	\$210	\$0
WQ	40708	FTI Industries, Inc.	2010-1855-WQ-E	JOHNSON	5/8/2011	\$800	\$160	\$640	\$0
WQ	40508	Alloy Polymers Orange LLC	2010-1645-IWD-E	ORANGE	5/8/2011	\$17,160	\$3,432	\$13,728	\$0
WQ	40136	City of Uvalde	2010-1340-MWD-E	UVALDE	5/8/2011	\$8,400	\$1,680	\$0	\$6,720
WQ	36662	City of Elkhart	2010-1462-MWD-E	ANDERSON	5/8/2011	\$21,070	\$0	\$21,070	\$0
WQ	40640	West Harris County Municipal Utility District 17	2010-1789-MWD-E	HARRIS	5/8/2011	\$1,600	\$320	\$1,280	\$0
WQ	40591	Bollinger Texas City, L.P.	2010-1734-IWD-E	GALVESTON	5/8/2011	\$7,950	\$1,590	\$6,360	\$0
WQ	40598	Orange County Water Control and Improvement District No. 1	2010-1777-MWD-E	ORANGE	5/8/2011	\$10,000	\$0	\$10,000	\$0
WQ	40522	Town of Lakewood Village	2010-1664-MWD-E	DENTON	5/8/2011	\$9,922	\$1,984	\$7,938	\$0
WQ	40541	Continental Homes of Texas, L.P.	2010-1694-EAQ-E	WILLIAMSON	5/8/2011	\$5,000	\$1,000	\$4,000	\$0
WQ	40612	KEWINE LLC	2010-1760-EAQ-E	COMAL	5/8/2011	\$4,000	\$800	\$3,200	\$0
WQ	37882	City of Overton	2009-1689-MWD-E	RUSK	5/8/2011	\$52,065	\$0	\$52,065	\$0

## Singles Programs

## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	40777	Hill Country Harbor, L.P.	2010-1941-MWD-E	PALO PINTO	5/8/2011	\$2,350	\$0	\$2,350	\$0
WQ	40597	City of White Settlement	2010-1747-WQ-E	TARRANT	5/8/2011	\$8,250	\$0	\$8,250	\$0
WQ	40444	Pilgrim's Pride Corporation	2010-1564-IWD-E	NACOGDOCHES	5/8/2011	\$80,149	\$16,029	\$64,120	\$0
WQ	40538	Ohmstede Ltd.	2010-1682-IWD-E	HARRIS	5/8/2011	\$6,030	\$1,206	\$4,824	\$0
WQ	40405	BP Products North America Inc.	2010-1527-IWD-E	GALVESTON	5/8/2011	\$242,900	\$0	\$242,900	\$0
WQ	40392	City of Crockett	2010-1501-MWD-E	HOUSTON	5/8/2011	\$9,850	\$1,970	\$0	\$7,880
WQ	40434	Custom Crushed Stone, Inc.	2010-1560-EAQ-E	MEDINA	5/8/2011	\$8,250	\$1,650	\$6,600	\$0
WQ	39914	City of Kilgore	2010-1013-MWD-E	GREGG	5/22/2011	\$25,704	\$5,140	\$0	\$20,564
WQ	40587	Aqua Utilities, Inc.	2010-1725-MWD-E	BURNET	5/22/2011	\$4,247	\$849	\$3,398	\$0
WQ	41086	Perkins Aluminum Smelting, Inc.	2011-0179-WQ-E	DALLAS	5/22/2011	\$700	\$0	\$700	\$0
WQ	39164	Pettus Municipal Utility District	2010-0256-MWD-E	BEE	5/22/2011	\$25,155	\$5,031	\$20,124	\$0
WQ	40463	Trinity Bay Conservation District *	2010-1604-MWD-E	CHAMBERS	5/22/2011	\$6,620	\$0	\$6,620	\$0
WQ	40736	City of Quanah	2010-1875-MWD-E	HARDEMAN	5/22/2011	\$3,735	\$747	\$2,988	\$0
WQ	40616	STEBUNG FARM, LTD.	2010-1761-EAQ-E	BEXAR	5/22/2011	\$750	\$150	\$600	\$0
WQ	40662	Curry Creek Crushing, Inc.	2010-1806-WQ-E	KENDALL	5/22/2011	\$1,800	\$360	\$1,440	\$0
WQ	41032	Ray French Land Company, Ltd.	2011-0135-WQ-E	PARKER	5/22/2011	\$700	\$0	\$700	\$0
WQ	40724	North Alamo Water Supply Corporation	2010-1864-MWD-E	HIDALGO	5/22/2011	\$4,500	\$900	\$3,600	\$0
WQ	40780	City of Quinlan	2010-1920-MWD-E	HUNT	5/22/2011	\$13,130	\$2,626	\$10,504	\$0
WQ	38494	Oxid L.P.	2009-1636-IWD-E	HARRIS	5/22/2011	\$16,915	\$0	\$16,915	\$0
WQ	41075	GRACE, ALVIN	2011-0171-WOC-E	DENTON	5/22/2011	\$210	\$0	\$210	\$0
WQ	40716	Monterey Mushrooms, Inc.	2010-2000-IWD-E	MADISON	5/22/2011	\$9,720	\$1,944	\$7,776	\$0
WQ	40548	City of Alamo	2010-1732-MWD-E	HIDALGO	5/22/2011	\$23,655	\$4,731	\$18,924	\$0
WQ	40832	Hudson Products Corporation	2010-1988-IWD-E	FORT BEND	5/22/2011	\$4,320	\$864	\$3,456	\$0
WQ	38643	Aqua Utilities, Inc.	2009-1792-MWD-E	BURNET	5/22/2011	\$2,725	\$545	\$2,180	\$0
WQ	40886	Sunbelt Fresh Water Supply District	2010-2046-MWD-E	HARRIS	5/22/2011	\$970	\$194	\$776	\$0
WQ	40629	City of Troy	2010-1780-MWD-E	BELL	5/22/2011	\$6,880	\$1,376	\$5,504	\$0
WQ	40561	Westwood Water Supply Corporation	2010-1713-MWD-E	JASPER	5/22/2011	\$26,740	\$5,348	\$0	\$21,392
WQ	40830	LIBERTY HILL THF HOUSING, L.P.	2010-1985-EAQ-E	WILLIAMSON	5/22/2011	\$2,250	\$450	\$1,800	\$0
WQ	40525	Stolthaven Houston, Inc.	2010-1667-IWD-E	HARRIS	5/22/2011	\$20,100	\$0	\$10,050	\$10,050
WQ	40388	Texas Department of Aging and Disability Services	2010-1505-MWD-E	TOM GREEN	5/22/2011	\$5,660	\$1,132	\$4,528	\$0
WQ	40700	Inwood Heritage Oaks, Ltd.	2010-1846-EAQ-E	BEXAR	5/22/2011	\$4,000	\$800	\$3,200	\$0
WQ	37967	epower Investments, LLC	2009-1115-WQ-E	BEXAR	5/28/2011	\$1,100	\$0	\$1,100	\$0
WQ	38544	FLAT ROCK MINERALS LLC	2009-1688-WQ-E	UPSHUR	6/11/2011	\$31,900	\$0	\$31,900	\$0
WQ	40262	Georgetown Kidney Center, LLC	2010-1375-EAQ-E	WILLIAMSON	6/11/2011	\$1,000	\$0	\$1,000	\$0
WQ	39505	STEPHENS, JERRY TODD	2010-0578-WQ-E	LAMAR	6/11/2011	\$14,784	\$0	\$14,784	\$0
WQ	40336	SPRAGUE, GEORGE	2010-1577-WQ-E	ARANSAS	6/11/2011	\$13,000	\$0	\$13,000	\$0
WQ	38404	Microgy, Inc.	2009-1546-IWD-E	ERATH	6/11/2011	\$2,440	\$0	\$2,440	\$0
WQ	40189	YOUNG, TERESA K	2010-1314-WOC-E	DENTON	6/11/2011	\$750	\$0	\$750	\$0

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PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	40153	PLACE PROPERTIES DEVELOPMENT SERVICES LLC	2010-1278-EAQ-E	BEXAR	6/20/2011	\$2,500	\$500	\$2,000	\$0
WQ	40690	FIN & FEATHER RESORT LLC	2010-1829-MWD-E	SABINE	6/20/2011	\$2,300	\$460	\$1,840	\$0
WQ	40733	Veolia ES Technical Solutions, L.L.C.	2010-1870-IWD-E	JEFFERSON	6/20/2011	\$11,500	\$2,300	\$4,600	\$4,600
WQ	40073	City of Odem	2010-1208-MWD-E	SAN PATRICIO	6/20/2011	\$5,495	\$0	\$0	\$5,495
WQ	40728	Vam USA LLC	2010-1892-IWD-E	HARRIS	6/20/2011	\$71,424	\$0	\$35,712	\$35,712
WQ	40820	City of Big Lake	2010-1984-MWD-E	REAGAN	6/20/2011	\$11,934	\$0	\$0	\$11,934
WQ	40409	City of Arlington	2010-1625-WQ-E	TARRANT	6/20/2011	\$7,500	\$0	\$0	\$7,500
WQ	40228	Comal County	2010-1353-EAQ-E	COMAL	6/20/2011	\$1,875	\$375	\$0	\$1,500
WQ	40566	MEEKER, MARC ROGER	2010-1717-MWD-E	MONTGOMERY	6/20/2011	\$14,678	\$0	\$14,678	\$0
WQ	40383	Montgomery County MUD 42	2010-1543-MWD-E	MONTGOMERY	6/20/2011	\$8,740	\$1,748	\$6,992	\$0
WQ	40919	SMITH, WILLIAM DONALD	2011-0023-MWD-E	HARRIS	6/20/2011	\$6,080	\$1,216	\$4,864	\$0
WQ	40852	Kopperl Independent School District	2010-2016-MWD-E	BOSQUE	6/20/2011	\$9,160	\$1,832	\$7,328	\$0
WQ	40532	RAUL CHAPA	2010-1678-WOC-E	WEBB	6/20/2011	\$1,875	\$0	\$1,875	\$0
WQ	40933	Hines Land and Cattle Company, Ltd	2011-0016-EAQ-E	WILLIAMSON	6/20/2011	\$2,000	\$400	\$1,600	\$0
WQ	40540	Aus-Tex Parts & Services, Ltd.	2010-1683-MWD-E	HAYS	6/20/2011	\$13,510	\$0	\$13,510	\$0
WQ	40546	Harris County	2010-1707-MWD-E	HARRIS	6/20/2011	\$10,050	\$0	\$0	\$10,050
WQ	39249	Rayburn Country Municipal Utility District	2010-0347-MWD-E	JASPER	6/20/2011	\$10,035	\$0	\$10,035	\$0
WQ	39836	DEHERRERA, CHRISTINE *	2010-0941-WQ-E	TRAVIS	6/20/2011	\$1,050	\$0	\$1,050	\$0
WQ	40770	Donna Gottwald, D.D.S., P.C.	2010-1926-EAQ-E	BEXAR	6/20/2011	\$5,100	\$1,020	\$4,080	\$0
WQ	40950	City of Duncanville	2011-0119-WQ-E	DALLAS	6/20/2011	\$4,000	\$0	\$4,000	\$0
WQ	40643	West Cedar Creek Municipal Utility District	2010-1792-MWD-E	HENDERSON	6/20/2011	\$1,169	\$233	\$936	\$0
WQ	40758	City of Caddo Mills	2010-1901-MWD-E	HUNT	6/20/2011	\$1,200	\$240	\$960	\$0
WQ	40789	Heldenfels Enterprises, Inc.	2010-1924-IWD-E	NUECES	6/20/2011	\$6,570	\$1,314	\$5,256	\$0
WQ	40528	The Queen Ready Mix, Inc.	2010-1669-IWD-E	HARRIS	6/20/2011	\$3,360	\$672	\$2,688	\$0
WQ	38107	City of Cranfills Gap	2009-1288-MWD-E	BOSQUE	6/20/2011	\$4,625	\$925	\$3,700	\$0
WQ	40768	J&J Homes, Corporation	2010-1915-WQ-E	PARKER	6/20/2011	\$3,600	\$720	\$2,880	\$0
WQ	40802	Vistacon, Inc.	2010-1947-WQ-E	EL PASO	6/20/2011	\$2,100	\$420	\$1,680	\$0
WQ	40567	City of San Augustine	2010-1714-MWD-E	SAN AUGUSTINE	7/2/2011	\$37,975	\$7,595	\$0	\$30,380
WQ	40632	Western Rim Investors 2007-5, L.P.	2010-1779-EAQ-E	BEXAR	7/2/2011	\$10,000	\$2,000	\$8,000	\$0
WQ	41307	Hurtado Construction Company	2011-0400-WQ-E	FORT BEND	7/2/2011	\$700	\$0	\$700	\$0
WQ	39253	City of De Kalb	2010-0348-MWD-E	BOWIE	7/2/2011	\$20,960	\$20,960	\$0	\$0
WQ	40498	Waste Control Specialists LLC	2010-1632-IWD-E	ANDREWS	7/2/2011	\$3,550	\$710	\$2,840	\$0
WQ	40880	City of Cleveland	2010-2036-MWD-E	LIBERTY	7/2/2011	\$7,600	\$1,520	\$0	\$6,080
WQ	40685	Trinity Oaks S.A., Ltd.	2010-1839-EAQ-E	BEXAR	7/2/2011	\$17,500	\$3,500	\$14,000	\$0
WQ	40821	San Angelo By-Products, Inc.	2010-1966-IWD-E	TOM GREEN	7/2/2011	\$11,521	\$2,304	\$9,217	\$0
WQ	40994	City of Kennard	2011-0079-MWD-E	HOUSTON	7/2/2011	\$6,450	\$1,290	\$5,160	\$0
WQ	39446	City of Omaha	2010-0534-MWD-E	MORRIS	7/2/2011	\$9,020	\$0	\$0	\$9,020
WQ	40686	Vopak Terminal Galena Park, Inc.	2010-1843-IWD-E	HARRIS	7/2/2011	\$17,640	\$0	\$8,820	\$8,820

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WQ	40887	City of Tenaha	2010-2049-MWD-E	SHELBY	7/2/2011	\$9,806	\$1,961	\$0	\$7,845
WQ	14612	EBAA Iron, Inc.	2004-0505-WQ-E	EASTLAND	7/2/2011	\$1,050	\$0	\$1,050	\$0
WQ	40881	Pilot Travel Centers LLC	2010-2038-IWD-E	HUNT	7/2/2011	\$8,750	\$0	\$8,750	\$0
WQ	40778	Tall Timbers Utility Company, Inc.	2010-1942-MWD-E	SMITH	7/2/2011	\$7,400	\$1,480	\$5,920	\$0
WQ	41306	US Army Corps of Engineers	2011-0401-WQ-E	COMANCHE	7/2/2011	\$700	\$0	\$700	\$0
WQ	41117	Skidmore Water Supply Corporation	2011-0206-MWD-E	BEE	7/2/2011	\$6,097	\$0	\$6,097	\$0
WQ	40805	City of Cedar Park	2010-1951-MWD-E	WILLIAMSON	7/2/2011	\$12,800	\$0	\$0	\$12,800
WQ	40723	Chapel Hill Independent School District	2010-1893-MWD-E	TITUS	7/2/2011	\$17,472	\$0	\$17,472	\$0
WQ	40757	City of Leonard	2010-1900-MWD-E	FANNIN	7/2/2011	\$2,470	\$0	\$2,470	\$0
WQ	40935	West Harris County Municipal Utility District 10	2011-0060-MWD-E	HARRIS	7/2/2011	\$2,300	\$460	\$1,840	\$0
WQ	41128	Lagoon Pumping & Dredging Inc	2011-0240-WQ-E	COMANCHE	7/2/2011	\$3,750	\$0	\$3,750	\$0
WQ	40748	Woodmark Utilities, Inc.	2010-1884-MWD-E	SMITH	7/2/2011	\$8,600	\$1,720	\$6,880	\$0
WQ	40278	Geosource, Inc.	2010-1394-EAQ-E	COMAL	7/2/2011	\$9,375	\$1,875	\$7,500	\$0
WQ	40437	Harris County Municipal Utility District 366	2010-1571-MWD-E	HARRIS	7/2/2011	\$2,980	\$596	\$2,384	\$0
WQ	40831	CW SCOA West, L.P. *	2011-0057-MWD-E	HARRIS	7/2/2011	\$2,815	\$563	\$2,252	\$0
WQ	40882	Boles Independent School District	2010-2048-MWD-E	HUNT	7/2/2011	\$9,200	\$1,840	\$7,360	\$0
WQ	40182	RICE, BRET LYDEL	2010-1304-WQ-E	JOHNSON	7/10/2011	\$3,000	\$0	\$3,000	\$0
WQ	37019	MEIER, DOUGLAS	2011-0066-WQ-E	ELLIS	7/10/2011	\$26,400	\$0	\$26,400	\$0
WQ	39484	PS Royal Car Wash, L.P.	2010-0567-WQ-E	DENTON	7/10/2011	\$2,377	\$0	\$2,377	\$0
WQ	40461	Connie Rogers	2010-1591-SLG-E	*MULTIPLE	7/22/2011	\$11,250	\$0	\$11,250	\$0
WQ	40900	Compass Development AND Construction Inc	2010-2076-WQ-E	JASPER	7/31/2011	\$800	\$160	\$640	\$0
WQ	41169	WILER, SCOTT W	2011-0257-OSI-E	UPSHUR	7/31/2011	\$188	\$37	\$151	\$0
WQ	41430	HLM Development Company, L.L.C.	2011-0538-WQ-E	PARKER	7/31/2011	\$700	\$0	\$700	\$0
WQ	41006	Sunoco Partners Marketing & Terminals L.P.	2011-0106-IWD-E	JEFFERSON	7/31/2011	\$13,200	\$2,640	\$10,560	\$0
WQ	41489	Okland Construction Company, Inc.	2011-0592-WQ-E	COMAL	7/31/2011	\$700	\$0	\$700	\$0
WQ	41244	RTI Hot Mix, Ltd.	2011-0330-WQ-E	WILLIAMSON	7/31/2011	\$1,869	\$373	\$1,496	\$0
WQ	40870	SV-ONA Lakeline Land Limited Partnership	2010-2064-EAQ-E	WILLIAMSON	7/31/2011	\$3,600	\$720	\$2,880	\$0
WQ	40977	Lightwater Hospitality No. 1, Ltd. *	2011-0061-MLM-E	WILLIAMSON	7/31/2011	\$4,350	\$870	\$3,480	\$0
WQ	41073	Golden Spread Redi-Mix, Inc.	2011-0166-IWD-E	DEAF SMITH	7/31/2011	\$2,200	\$440	\$1,760	\$0
WQ	41429	Regatta Ridge LLC	2011-0537-WQ-E	BELL	7/31/2011	\$700	\$0	\$700	\$0
WQ	40839	Mirando City Water Supply Corporation	2010-1998-MWD-E	WEBB	7/31/2011	\$6,500	\$1,300	\$5,200	\$0
WQ	41431	Matus Construction Group, LLC	2011-0539-WQ-E	MCLENNAN	7/31/2011	\$700	\$0	\$700	\$0
WQ	40856	Military Highway Water Supply Corporation	2010-2019-MWD-E	CAMERON	7/31/2011	\$1,255	\$251	\$1,004	\$0
WQ	34001	City of Strawn	2009-1994-MWD-E	PALO PINTO	7/31/2011	\$18,980	\$0	\$0	\$18,980
WQ	40760	Formosa Utility Venture, Ltd. *	2010-1903-IWD-E	CALHOUN	7/31/2011	\$68,600	\$13,720	\$27,440	\$27,440
WQ	41098	Austin Trinity School *	2011-0247-MLM-E	TRAVIS	7/31/2011	\$6,545	\$1,309	\$5,236	\$0
WQ	39633	City of Arcola	2010-0710-MWD-E	FORT BEND	7/31/2011	\$29,040	\$0	\$29,040	\$0
WQ	40836	HONEY HOLDINGS I, LTD.	2010-1995-IWD-E	CHAMBERS	7/31/2011	\$3,210	\$642	\$2,568	\$0

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WQ	38914	Magic Valley Concrete LLC	2009-2072-WQ-E	HIDALGO	7/31/2011	\$9,894	\$0	\$9,894	\$0
WQ	41490	Jubilee Homes II, Ltd	2011-0590-WQ-E	BELL	7/31/2011	\$700	\$0	\$700	\$0
WQ	41076	National Oilwell Varco, L.P.	2011-0169-IWD-E	HARRIS	7/31/2011	\$1,529	\$305	\$1,224	\$0
WQ	40853	City of Albany	2010-2012-MWD-E	SHACKELFORD	7/31/2011	\$1,120	\$224	\$896	\$0
WQ	41404	Yantis Partners, LTD	2011-0502-WQ-E	BEXAR	7/31/2011	\$700	\$0	\$700	\$0
WQ	41493	DICKSON, MARY K	2011-0591-WQ-E	GRAYSON	7/31/2011	\$700	\$0	\$700	\$0
WQ	40983	Patricia A Haney *	2011-0067-EAQ-E	BEXAR	7/31/2011	\$4,500	\$900	\$3,600	\$0
WQ	40896	Linde Gas North America LLC	2010-2061-IWD-E	HARRIS	7/31/2011	\$11,118	\$2,223	\$4,448	\$4,447
WQ	40807	City of Leander	2010-1978-WQ-E	WILLIAMSON	7/31/2011	\$1,050	\$210	\$840	\$0
WQ	40788	City of Cranfills Gap	2010-1923-MWD-E	BOSQUE	7/31/2011	\$10,800	\$0	\$10,800	\$0
WQ	41033	City of Yorktown	2011-0127-MWD-E	DEWITT	8/13/2011	\$10,540	\$0	\$10,540	\$0
WQ	41204	H & K Jolly LLC	2011-0303-MWD-E	CLAY	8/13/2011	\$3,000	\$600	\$2,400	\$0
WQ	41030	City of Kingsville	2011-0123-MWD-E	KLEBERG	8/13/2011	\$10,600	\$0	\$0	\$10,600
WQ	40932	The Lubrizol Corporation	2011-0014-IWD-E	HARRIS	8/13/2011	\$35,800	\$7,160	\$14,320	\$14,320
WQ	40909	San Antonio Water System	2010-2084-MWD-E	BEXAR	8/13/2011	\$22,500	\$0	\$0	\$22,500
WQ	40373	The Meadows at Quail Run GP, LLC	2010-1488-WQ-E	WILSON	8/13/2011	\$2,400	\$0	\$2,400	\$0
WQ	41012	Wolf Hollow I, L.P.	2011-0110-IWD-E	HOOD	8/13/2011	\$2,274	\$0	\$2,274	\$0
WQ	40344	City of Holland	2010-1448-MWD-E	BELL	8/13/2011	\$5,880	\$5,880	\$0	\$0
WQ	41066	Mallard Point WWTP, LLC	2011-0158-MWD-E	HUNT	8/13/2011	\$6,664	\$1,332	\$5,332	\$0
WQ	41132	Dominion Homes, L.P.	2011-0239-WQ-E	RANDALL	8/13/2011	\$750	\$150	\$600	\$0
WQ	41079	Lower Colorado River Authority	2011-0170-MWD-E	*MULTIPLE	8/13/2011	\$5,250	\$1,050	\$4,200	\$0
WQ	40857	STOLTZ, ERIC	2010-2054-EAQ-E	COMAL	8/13/2011	\$6,000	\$1,200	\$4,800	\$0
WQ	40838	RODRIGUEZ, ROBERT L JR	2010-1994-SLG-E	TRAVIS	8/13/2011	\$1,020	\$204	\$816	\$0
WQ	40699	City of Azle	2010-1847-MWD-E	TARRANT	8/13/2011	\$37,160	\$0	\$0	\$37,160
WQ	40947	Northampton Municipal Utility District	2011-0026-MWD-E	HARRIS	8/13/2011	\$36,465	\$0	\$0	\$36,465
WQ	40930	Archdiocese of Galveston Houston	2011-0015-MWD-E	MONTGOMERY	8/13/2011	\$7,625	\$0	\$0	\$7,625
WQ	37451	US Army Corps of Engineers	2009-0526-MWD-E	*MULTIPLE	8/13/2011	\$2,457	\$0	\$2,457	\$0
WQ	40806	City of Thornton	2010-1977-MWD-E	LIMESTONE	8/27/2011	\$5,490	\$1,098	\$4,392	\$0
WQ	41267	City of Lovelady	2011-0351-MWD-E	HOUSTON	8/27/2011	\$3,972	\$794	\$3,178	\$0
WQ	40751	City of Cisco	2010-1885-MWD-E	EASTLAND	8/27/2011	\$10,584	\$0	\$10,584	\$0
WQ	41346	R & S Concrete, LLC	2011-0441-IWD-E	HARRIS	8/27/2011	\$1,560	\$312	\$1,248	\$0
WQ	41561	Rose City Sand Corporation	2011-0681-WQ-E	ORANGE	8/27/2011	\$700	\$0	\$700	\$0
WQ	41051	Manki LLC	2011-0154-MWD-E	POLK	8/27/2011	\$1,540	\$308	\$1,232	\$0
WQ	41127	MPR Investments, LLC	2011-0217-MWD-E	JOHNSON	8/27/2011	\$5,440	\$1,088	\$4,352	\$0
WQ	41422	Chilton Water Supply and Sewer Service Corporation	2011-0527-MWD-E	FALLS	8/27/2011	\$1,152	\$0	\$1,152	\$0
WQ	41334	City of Oglesby	2011-0439-MWD-E	CORYELL	8/27/2011	\$4,740	\$948	\$3,792	\$0
WQ	40969	City of Kyle *	2011-0047-MWD-E	HAYS	8/27/2011	\$20,000	\$0	\$20,000	\$0
WQ	40731	City of Montgomery	2010-1872-MWD-E	MONTGOMERY	8/27/2011	\$264	\$52	\$212	\$0

## Singles Programs

## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	40980	La Joya Independent School District	2011-0070-MWD-E	HIDALGO	8/27/2011	\$5,000	\$1,000	\$4,000	\$0
WQ	41315	PromiseLand San Marcos	2011-0409-EAQ-E	HAYS	8/27/2011	\$1,000	\$200	\$800	\$0
WQ	41563	Emmons Construction Management, L.C.	2011-0680-WQ-E	BELL	8/27/2011	\$700	\$0	\$700	\$0
WQ	38971	Ace Pumping & Septic Services, Inc.	2010-0060-SLG-E	HOOD	8/27/2011	\$12,305	\$0	\$12,305	\$0
WQ	41054	Gray Utility Service, L.L.C.	2011-0160-MWD-E	CHAMBERS	8/27/2011	\$9,781	\$1,956	\$7,825	\$0
WQ	34670	City of Munday	2010-1233-MWD-E	KNOX	8/27/2011	\$25,338	\$25,338	\$0	\$0
WQ	39134	LANXESS Corporation	2010-0224-IWD-E	ORANGE	8/27/2011	\$20,824	\$0	\$10,412	\$10,412
WQ	41491	Clarity Homes, LTD.	2011-0753-WQ-E	PARKER	8/27/2011	\$700	\$0	\$700	\$0
WQ	41167	THE SALVATION ARMY	2011-0258-MWD-E	ELLIS	8/27/2011	\$5,140	\$0	\$5,140	\$0
<b>Subtotal for WQ : 392</b>						<b>\$4,268,730</b>	<b>\$619,234</b>	<b>\$2,524,503</b>	<b>\$1,124,993</b>
WWA	39242	BEYER, JIMMY GAYLON	2010-0341-AGR-E	ERATH	9/26/2010	\$3,780	\$756	\$3,024	\$0
WWA	38487	Salvador G. Gonzalez dba Gonzalez Dairy	2009-1604-AGR-E	TOM GREEN	12/4/2010	\$6,600	\$0	\$6,600	\$0
WWA	39279	LOZANO, JULIO CESAR	2010-0362-AGR-E	TOM GREEN	12/24/2010	\$1,050	\$0	\$1,050	\$0
WWA	39755	STRONA, ROBERT WILLIAM	2010-0863-AGR-E	HILL	2/5/2011	\$8,940	\$0	\$8,940	\$0
WWA	39648	HAEDGE, STANLEY	2010-0744-AGR-E	ERATH	2/5/2011	\$525	\$105	\$420	\$0
WWA	39872	RODRIGUEZ, JAMES MICHAEL	2010-0974-AGR-E	TOM GREEN	2/20/2011	\$4,955	\$991	\$3,964	\$0
WWA	39887	DERIDDER, PETER WILFRIDUS	2010-0986-AGR-E	*MULTIPLE	2/20/2011	\$14,183	\$2,836	\$11,347	\$0
WWA	40442	PACK, JIMMY DON *	2010-1565-AGR-E	ERATH	3/19/2011	\$2,440	\$488	\$1,952	\$0
WWA	40384	SUBLETT, NICKIE JOE	2010-1507-AGR-E	HAMILTON	4/18/2011	\$1,240	\$248	\$992	\$0
WWA	40798	WYLY, RANDY EARL	2010-1938-AGR-E	ERATH	5/8/2011	\$2,520	\$504	\$2,016	\$0
WWA	39057	SUBLETT, NICKIE JOE	2010-0144-AGR-E	HAMILTON	5/8/2011	\$2,600	\$520	\$2,080	\$0
WWA	39809	COUMANS, RENE	2010-0913-AGR-E	HOPKINS	7/31/2011	\$4,730	\$0	\$4,730	\$0
WWA	40885	KOLLER, CHRISTINA *	2010-2045-AGR-E	CAMP	8/27/2011	\$1,900	\$380	\$1,520	\$0
<b>Subtotal for WWA : 13</b>						<b>\$55,463</b>	<b>\$6,828</b>	<b>\$48,635</b>	<b>\$0</b>
<b>Total for Singles Programs : 1,517</b>						<b>\$19,032,854</b>	<b>\$2,419,230</b>	<b>\$11,901,073</b>	<b>\$4,712,551</b>

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	38552	PILLAI, RAMESH	2009-1694-MLM-E	MADISON	9/10/2010	\$4,725	\$0	\$4,725	\$0
MM	37668	VU, KEVIN	2009-0781-MLM-E	LIMESTONE	9/26/2010	\$2,050	\$410	\$1,640	\$0
MM	38636	Deluxe Auto Parts, L.L.C.	2009-1797-MLM-E	NUECES	9/26/2010	\$7,350	\$1,470	\$5,880	\$0
MM	39997	JENNINGS, TIM W	2010-1121-WOC-E	JONES	9/26/2010	\$210	\$0	\$210	\$0
MM	38860	Indian Petro Corp.	2009-2034-MLM-E	SAN JACINTO	9/26/2010	\$16,836	\$3,367	\$13,469	\$0
MM	39996	MITCHELL, FRED A	2010-1120-WOC-E	JOHNSON	9/26/2010	\$210	\$0	\$210	\$0
MM	39425	Capitol Products, Inc.	2010-0511-MLM-E	WILLIAMSON	10/15/2010	\$6,000	\$1,200	\$4,800	\$0
MM	39468	Chevron Phillips Chemical Company LP	2010-0550-UIC-E	HUTCHINSON	10/25/2010	\$10,000	\$2,000	\$8,000	\$0
MM	40146	TOWNSEND, TOM N	2010-1271-WOC-E		10/25/2010	\$210	\$0	\$210	\$0
MM	39303	Byung Gue Lee	2010-0386-DCL-E	TARRANT	10/25/2010	\$5,087	\$1,017	\$4,070	\$0
MM	39458	B & G Dirt & Construction LLC	2010-0540-MLM-E	HARDIN	10/25/2010	\$2,042	\$408	\$1,634	\$0
MM	39618	MANOY, DOROTHY	2010-0726-MLM-E	SABINE	10/25/2010	\$2,191	\$438	\$1,753	\$0
MM	38192	NOLAN, CLARENCE LEE	2009-1347-MLM-E	BASTROP	11/14/2010	\$10,758	\$0	\$10,758	\$0
MM	38852	Fikes Wholesale, Inc.	2009-2057-MLM-E	BURLESON	11/15/2010	\$10,115	\$2,023	\$8,092	\$0
MM	39437	GLEN R BONDS DBA BONDS SEPTIC SERVICES	2010-0521-MLM-E	WALLER	11/15/2010	\$5,870	\$1,174	\$4,696	\$0
MM	39750	ROBERTSON, JERRY L	2010-0853-MLM-E	ORANGE	11/15/2010	\$2,684	\$536	\$2,148	\$0
MM	38702	AMK Enterprises, LLC	2009-1844-MLM-E	BANDERA	12/4/2010	\$2,550	\$510	\$2,040	\$0
MM	39754	SPECK, AARON LEE *	2010-0865-AGR-E	BROWN	12/4/2010	\$16,147	\$3,229	\$12,918	\$0
MM	39193	TILLERY, RICHARD L	2010-0267-MLM-E	SABINE	12/5/2010	\$24,800	\$0	\$24,800	\$0
MM	40510	JORDAN, DENNIS	2010-1653-WOC-E	DENTON	12/25/2010	\$210	\$0	\$210	\$0
MM	39690	City of Kirbyville	2010-0783-MLM-E	JASPER	12/25/2010	\$2,617	\$523	\$2,094	\$0
MM	39066	Del Webb Texas Limited Partnership	2010-0168-MLM-E	WILLIAMSON	12/25/2010	\$30,225	\$6,045	\$24,180	\$0
MM	40486	ANDERSON, JOHNNIE L	2010-1624-WOC-E	HUNT	12/25/2010	\$210	\$0	\$210	\$0
MM	38807	Kinser Ranch, LLC	2009-1937-MLM-E	TRAVIS	2/5/2011	\$6,300	\$1,260	\$5,040	\$0
MM	39980	RTI Hot Mix, Ltd.	2010-1092-MLM-E	HAYS	2/5/2011	\$3,300	\$660	\$2,640	\$0
MM	40628	KING, ZACHARY A	2010-1800-WOC-E	HAYS	2/5/2011	\$210	\$0	\$210	\$0
MM	39172	Villarreal, Leticia	2010-0264-MLM-E	BROOKS	2/5/2011	\$4,500	\$900	\$3,600	\$0
MM	37555	City of Mart	2009-0639-MLM-E	MCLENNAN	2/5/2011	\$22,164	\$0	\$22,164	\$0
MM	38767	Winger Machine & Tool, Inc.	2009-2004-MLM-E	TOM GREEN	2/20/2011	\$13,490	\$0	\$13,490	\$0
MM	39866	DUNCAN, FRANKLIN	2010-0988-MLM-E	VAL VERDE	2/20/2011	\$6,770	\$1,354	\$5,416	\$0
MM	38877	City of Dallas Water Utilities	2009-2053-WQ-E	DALLAS	2/20/2011	\$9,500	\$0	\$0	\$9,500
MM	39838	City of Roscoe	2010-0938-MLM-E	NOLAN	2/20/2011	\$2,100	\$420	\$1,680	\$0
MM	40740	NAZARIO SANCHEZ JR	2010-1905-WOC-E	LA SALLE	2/20/2011	\$210	\$0	\$210	\$0
MM	39675	Garrett Construction Co.	2010-0809-MLM-E	SAN PATRICIO	2/20/2011	\$8,312	\$1,662	\$6,650	\$0
MM	40342	Panola-Bethany Water Supply Corporation	2010-1451-MLM-E	PANOLA	3/7/2011	\$3,014	\$602	\$2,412	\$0
MM	40441	City of Cedar Hill	2010-1561-WQ-E	DALLAS	3/7/2011	\$5,110	\$0	\$5,110	\$0
MM	39893	City of Del Rio	2010-1028-MLM-E	VAL VERDE	3/7/2011	\$2,905	\$581	\$2,324	\$0
MM	38567	Citgo Refining and Chemicals Company L.P.	2009-1714-MLM-E	NUECES	3/7/2011	\$303,294	\$0	\$151,647	\$151,647

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	40179	Keith Roy dba Keiths Stump Grinding	2010-1321-MLM-E	JASPER	3/7/2011	\$1,865	\$373	\$1,492	\$0
MM	40138	H & H Iron and Metal, Inc.	2010-1262-MLM-E	NUECES	3/19/2011	\$8,250	\$0	\$8,250	\$0
MM	36439	Ronald West, L.L.C.	2008-1371-MLM-E	LIMESTONE	4/18/2011	\$1,800	\$0	\$1,800	\$0
MM	38538	Swindle, Gregory *	2009-1678-MLM-E	TOM GREEN	4/18/2011	\$13,436	\$0	\$6,718	\$6,718
MM	40214	Buckley Oil Company	2010-1343-DCL-E	WICHITA	4/18/2011	\$12,600	\$2,520	\$10,080	\$0
MM	40482	LUCAS, MATTHEW	2010-1617-MLM-E	TRAVIS	4/18/2011	\$3,750	\$750	\$3,000	\$0
MM	40943	MONROE, DEXTER	2011-0041-WOC-E	HENDERSON	4/18/2011	\$210	\$0	\$210	\$0
MM	40331	Mc-OO Inc	2010-1442-MLM-E	JEFFERSON	4/18/2011	\$6,250	\$1,250	\$5,000	\$0
MM	36501	BELL, WILLIAM CARL	2008-1590-MLM-E	KAUFMAN	4/18/2011	\$5,291	\$1,691	\$3,600	\$0
MM	38282	Arthur E Longron	2009-1383-MLM-E	ORANGE	5/8/2011	\$2,499	\$0	\$2,499	\$0
MM	40672	SMITH, WILLIAM DONALD	2010-1815-UTL-E	HARRIS	5/8/2011	\$1,278	\$254	\$1,024	\$0
MM	40702	J&S Water Company, L. L. C.	2010-1848-UTL-E	HARRIS	5/8/2011	\$873	\$174	\$699	\$0
MM	40257	Bailey Bark Materials, Inc.	2010-1370-MLM-E	NACOGDOCHES	5/8/2011	\$1,040	\$208	\$832	\$0
MM	40428	City Of Midland	2010-1549-MLM-E	MIDLAND	5/8/2011	\$11,200	\$2,240	\$0	\$8,960
MM	38977	SHILOH RIDGE WATER SUPPLY CORPORATION	2010-0061-MLM-E	POLK	5/8/2011	\$7,282	\$0	\$7,282	\$0
MM	39601	TILLERY, RICHARD L	2010-0797-MLM-E	SABINE	5/8/2011	\$7,646	\$0	\$7,646	\$0
MM	40614	MORRIS, LESLIE L	2010-1762-UTL-E	HARRIS	5/22/2011	\$388	\$77	\$311	\$0
MM	40280	Austin Equipment Company, LC	2010-1395-MLM-E	WILLIAMSON	5/22/2011	\$17,060	\$0	\$17,060	\$0
MM	40573	Country Terrace Water Company, Inc.	2010-1745-UTL-E	HARRIS	5/22/2011	\$388	\$77	\$311	\$0
MM	41046	SINQUEFIELD, DONALD H	2011-0157-WOC-E	HUNT	5/22/2011	\$210	\$0	\$210	\$0
MM	40578	PHAM, HUNG T	2010-1728-UTL-E	HARRIS	5/22/2011	\$428	\$85	\$343	\$0
MM	40644	Bayou Forest Village, Inc.	2010-1790-UTL-E	HARRIS	5/22/2011	\$404	\$80	\$324	\$0
MM	41154	COURTNEY, EDDIE	2011-0254-WOC-E	ANGELINA	5/22/2011	\$210	\$0	\$210	\$0
MM	41155	PUSTKA, EDWARD	2011-0255-WOC-E	LAVACA	5/22/2011	\$210	\$0	\$210	\$0
MM	40620	ADAMOLI, MARK *	2010-1766-UTL-E	HARRIS	5/22/2011	\$444	\$88	\$356	\$0
MM	40677	SOLHJOU, BAHRAM	2010-1832-UTL-E	HARRIS	5/22/2011	\$388	\$77	\$311	\$0
MM	40669	Trejo, Joe *	2010-1820-MLM-E	LAMB	5/22/2011	\$4,992	\$998	\$3,994	\$0
MM	38285	The Family of God, of Abilene, Texas *	2009-1430-MLM-E	BELL	5/28/2011	\$2,100	\$0	\$2,100	\$0
MM	39939	SETX Clearwater Environmental LLC	2010-1047-MLM-E	JEFFERSON	6/20/2011	\$4,250	\$0	\$4,250	\$0
MM	40220	Shell Oil Company	2010-1351-MLM-E	HARRIS	6/20/2011	\$158,450	\$0	\$79,225	\$79,225
MM	41210	OSBORN, DELTON K	2011-0305-WOC-E	GARZA	6/20/2011	\$210	\$0	\$210	\$0
MM	37201	Beacon Estates Water Supply Corporation	2009-1545-MLM-E	WALLER	6/20/2011	\$16,997	\$16,997	\$0	\$0
MM	40580	Ramblewood Utility & Water Supply Corporation	2010-1727-UTL-E	HARRIS	6/20/2011	\$420	\$84	\$336	\$0
MM	39181	Veolia ES Technical Solutions, L.L.C.	2010-0272-MLM-E	JEFFERSON	6/20/2011	\$17,840	\$0	\$8,920	\$8,920
MM	40450	Cray Valley USA, LLC	2010-1580-MLM-E	HARRIS	6/20/2011	\$18,213	\$3,642	\$14,571	\$0
MM	42486	PENNER, JERRY D	2009-0386-OSS-E	ELLIS	6/20/2011	\$0	\$0	\$0	\$0
MM	38508	Wayman Grigson	2009-1650-MLM-E	TOM GREEN	6/20/2011	\$7,811	\$0	\$7,811	\$0
MM	40558	CARTER GLASSBLOWING, INC.	2010-1702-MLM-E	DENTON	6/20/2011	\$31,000	\$6,200	\$24,800	\$0

## Multi-Media Programs

## Appendix 9: List of Administrative Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	40096	Webb County	2010-1283-MLM-E	WEBB	7/2/2011	\$1,447	\$0	\$1,447	\$0
MM	41329	MOSS, CHRISTOPHER D JR	2011-0419-WOC-E	HARRIS	7/2/2011	\$210	\$0	\$210	\$0
MM	41022	Akzo Nobel Surface Chemistry LLC	2011-0120-UIC-E	FORT BEND	7/2/2011	\$7,162	\$1,432	\$5,730	\$0
MM	40678	The Commons Water Supply, Inc.	2010-1833-UTL-E	HARRIS	7/2/2011	\$436	\$87	\$349	\$0
MM	40889	SANJUAN, BENJAMIN	2010-2050-UTL-E	HARRIS	7/2/2011	\$535	\$107	\$428	\$0
MM	40823	City Market Sun City, Inc	2010-1970-PST-E	WILLIAMSON	7/2/2011	\$1,575	\$315	\$1,260	\$0
MM	41324	MICAN, JOHNNY	2011-0418-WOC-E	LAVACA	7/2/2011	\$210	\$0	\$210	\$0
MM	39521	REYES, ANTONIO	2010-0606-MLM-E	CALDWELL	7/10/2011	\$1,574	\$0	\$1,574	\$0
MM	40110	Otila Tillie Grimes	2010-1226-MLM-E	*MULTIPLE	7/10/2011	\$24,705	\$0	\$24,705	\$0
MM	34557	Patrick Yong Soo Shin	2010-1646-DCL-E	DALLAS	7/22/2011	\$7,374	\$0	\$7,374	\$0
MM	40468	HUTCHESON, CECIL D	2010-1596-WOC-E	LUBBOCK	7/22/2011	\$625	\$0	\$625	\$0
MM	34921	Patrick Yong Soo Shin	2010-1647-DCL-E	DALLAS	7/22/2011	\$7,281	\$0	\$7,281	\$0
MM	40104	EnviroGreen Recovery Solutions LLC	2010-1224-MLM-E	JEFFERSON	7/22/2011	\$10,468	\$0	\$10,468	\$0
MM	40439	BEVERLIN, TERRY	2010-1562-MLM-E	HARDIN	7/22/2011	\$2,134	\$0	\$2,134	\$0
MM	40976	L C WHOLESALE PALLET, LLC	2011-0058-WQ-E	ERATH	7/31/2011	\$4,000	\$800	\$3,200	\$0
MM	41001	CHANDLER, JASON	2011-0093-MLM-E	NACOGDOCHES	7/31/2011	\$1,954	\$390	\$1,564	\$0
MM	40575	Beau Ray, Inc.	2010-1736-UTL-E	HARRIS	7/31/2011	\$428	\$85	\$343	\$0
MM	39944	City of Mathis	2010-1043-MLM-E	SAN PATRICIO	7/31/2011	\$9,980	\$0	\$0	\$9,980
MM	40972	D & D Contractors, Inc.	2011-0055-MLM-E	BEXAR	7/31/2011	\$5,475	\$1,095	\$4,380	\$0
MM	39388	Evergreen Enterprises, Inc.	2010-0478-MLM-E	TRAVIS	7/31/2011	\$11,356	\$0	\$11,356	\$0
MM	40581	City of Wills Point	2010-1721-MLM-E	VAN ZANDT	7/31/2011	\$14,606	\$2,921	\$0	\$11,685
MM	41243	Brittany Hill Water Association, Inc.	2011-0357-MLM-E	DENTON	8/13/2011	\$567	\$113	\$454	\$0
MM	41219	Badger Rotary Drilling LLC DBA Badger Oilfield Construction	2011-0317-MLM-E	STEPHENS	8/13/2011	\$2,230	\$446	\$1,784	\$0
MM	41197	Ernest Yanez Sr.	2011-0293-MLM-E	REFUGIO	8/13/2011	\$2,313	\$462	\$1,851	\$0
MM	41045	NORMA KLUNA	2011-0140-MLM-E	WALLER	8/13/2011	\$2,114	\$422	\$1,692	\$0
MM	40888	Aqua Texas, Inc.	2010-2047-UTL-E	HARRIS	8/13/2011	\$1,337	\$267	\$1,070	\$0
MM	39312	City Of Robert Lee	2010-0417-MLM-E	COKE	8/13/2011	\$28,940	\$0	\$0	\$28,940
MM	40695	Krebs Utilities, Inc.	2010-1835-UTL-E	HARRIS	8/20/2011	\$508	\$0	\$508	\$0
MM	40601	Krebs Utilities, Inc.	2010-1753-UTL-E	HARRIS	8/20/2011	\$452	\$0	\$452	\$0
MM	40602	Krebs Utilities, Inc.	2010-1752-UTL-E	HARRIS	8/20/2011	\$436	\$0	\$436	\$0
MM	41681	HERNANDEZ, BEATRICE	2011-0826-WOC-E	JONES	8/27/2011	\$210	\$0	\$210	\$0
MM	40660	Harris County Water Corporation	2010-1803-UTL-E	HARRIS	8/27/2011	\$315	\$63	\$252	\$0
MM	40771	Country Terrace Water Company, Inc.	2010-1911-UTL-E	HARRIS	8/27/2011	\$303	\$60	\$243	\$0
MM	41682	WILSON, MELVIN E	2011-0789-WOC-E	HUNT	8/27/2011	\$210	\$0	\$210	\$0
MM	41670	WILLIAMS, LARRY R	2011-0795-WOC-E	JONES	8/27/2011	\$210	\$0	\$210	\$0
<b>Total for Multi-Media Programs : 111</b>					<b>1,075,639</b>	<b>78,719</b>	<b>681,345</b>	<b>315,575</b>	<b>315,575</b>
<b>Total for all Programs : 1,628</b>					<b>20,108,493</b>	<b>2,497,949</b>	<b>12,582,418</b>	<b>5,028,126</b>	

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
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**Summary of SEPs:**

Total Orders : 1628  
Orders with SEPs : 222  
Orders with SEPs % : 13.6  
Orders with SEP Offset % : 28.6

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Effective Date	Ratio	Assessed Amt	Deferred Amt	Payable Amt	SEP Cost	SEP Offset
AQ	40863	Huntsman Petrochemical, LLC	08/13/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
		POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ. Performing Party shall ensure that the data is validated and sent to TCEQ's Leading Environmental Analysis and Display (LEADS) system. All costs associated with the collection, transfer, and formatting of these data to be compatible with the LEADS system is the responsibility of the Third-Party Recipient.					4,000.00	4,000.00
AQ	41189	Flint Hills Resources Port Arthur, LLC	08/27/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
		POLLUTION PREVENTION	Conduct home energy audits and to assist low income residents in the West Port Arthur area in improving their homes' energy efficiency such as by caulking, weatherizing, insulating, and replacing heating and cooling systems and major appliances with new, energy efficient equipment.					4,000.00	4,000.00
AQ	40397	Motiva Enterprises LLC	05/08/2011	1.00:1	85,125.00	17,025.00	34,050.00	34,050.00	34,050.00
		POLLUTION PREVENTION	Performing Party shall use SEP Funds to perform energy efficiency upgrade on public buildings and infrastructure. Specifically, SEP Funds may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); 3) the incremental cost of purchase of equipment or appliances that are more energy-efficient (versus the cost of purchasing 10nenergy efficient equipment or appliances); or 4) other direct costs authorized in advance by TCEQ.  SEP Funds may only be used for the direct cost of performing this project and no portion will be spent on administrative costs. For items 2, 3, and 4 above, Performing Party shall submit a budget and proposals for expenditure to TCEQ for written approval prior to the use of SEP Funds.					34,050.00	34,050.00
AQ	41184	DCP Midstream, LP	08/13/2011	1.00:1	10,179.00	0.00	5,090.00	5,089.00	5,089.00
		POLLUTION PREVENTION	The contribution will be used to reimburse local school districts for the cost of retrofitting or replacing school buses with cleaner technology buses to reduce air pollution emissions.					5,089.00	5,089.00
AQ	39718	Veolia ES Technical Solutions, L.L.C.	12/04/2010	1.00:1	22,100.00	4,420.00	8,840.00	8,840.00	8,840.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP amount to the Third-Party Recipient named above. The contribution will be to the Southeast Texas Regional Planning Commission to be used for the West Port Arthur Home Energy Efficiency Program as set forth in the agreement between the Third-Party Recipient and the TCEQ. Specifically, the Performing Party shall use SEP funds to assist low-income residents in the West Port Arthur area by: 1) conducting home energy audits; 2) weatherizing homes to improve energy efficiency; and/or 3) repairing or replacing heating/cooling systems and major appliances with new, energy-efficient equipment. Weatherizing homes may include costs of caulking openings as well as insulating walls, floors, and attics in homes. Any heating/cooling systems or major appliances that are replaced must be scrapped and must not be reused in any way. Performing Party shall use consistent and reliable criteria for determining the low-income status of residents assisted with SEP funds.					8,840.00	8,840.00	
AQ	40834	Southern Union Pipeline, Ltd.	07/31/2011	1.00:1	7,250.00	1,450.00	2,900.00	2,900.00	2,900.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.  Minimum Contribution: \$1,500					2,900.00	2,900.00	
AQ	40987	SABINA PETROCHEMICALS LLC	07/31/2011	1.00:1	44,000.00	8,800.00	17,600.00	17,600.00	17,600.00
	POLLUTION PREVENTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas Air Research Center for the Flare Minimization and Air Quality Modeling project. The contribution will be used in accordance with the Supplemental Environmental Project executed on October 30, 2009, between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used for advanced modeling involving utilizing optimization and plant-wide dynamic simulation to cause reductions in flaring during turnaround operations at industrial facilities. Flaring during turnaround operations (startups and shutdowns) at industrial facilities is a substantial source of both volatile organic compounds (VOCs) and highly reactive volatile organic compounds (HRVOCs), and flaring results in considerable loss of energy and resources. Reductions in VOC and HRVOC emissions are expected to occur primarily through reducing the duration of turnar					17,600.00	17,600.00	
AQ	39883	DCP Midstream, LP	03/07/2011	1.00:1	363,829.00	72,765.00	145,532.00	145,532.00	145,532.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					145,532.00	145,532.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

AQ	40312	Huntsman Petrochemical Corporation	07/02/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
		POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ. Performing Party shall ensure that the data is validated and sent to TCEQ's Leading Environmental Analysis and Display (LEADS) system. All costs associated with the collection, transfer, and formatting of these data to be compatible with the LEADS system is the responsibility of the Third-Party Recipient.					4,000.00	4,000.00
AQ	38480	Turner Industries Group, L.L.C.	04/18/2011	1.00:1	18,125.00	3,625.00	7,250.00	7,250.00	7,250.00
		POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					7,250.00	7,250.00
AQ	40696	Valero Refining-Texas, L.P.	06/20/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
		POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.  Minimum Contribution: \$1,500					4,000.00	4,000.00
AQ	40310	INEOS USA LLC	06/20/2011	1.00:1	411,776.00	82,355.00	164,711.00	164,710.00	164,710.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be used by Brazoria County for the Brazoria County Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. The Third-Party Recipient shall use SEP funds to: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources. SEP funds may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3)					164,710.00	164,710.00
AQ	39614	AET Inc Limited	08/27/2011	1.00:1	36,000.00	0.00	18,000.00	18,000.00	18,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION REDUCTION	The SEP Offset Amount shall be used to perform energy efficiency upgrades on public buildings and infrastructure. Specifically, the SEP Offset Amount may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); 3) the incremental cost of purchase of equipment or appliances that are more energy-efficient (versus the cost of purchasing non-energy efficient equipment or appliances); or 4) other direct costs authorized in advance and in writing by TCEQ. The SEP Offset Amount may only be used for the direct cost of performing this project and no portion will be spent on administrative costs. For items 2, 3, and 4 above, the Third-Party Administrator shall submit a budget and proposals for expenditure to TCEQ for written approval prior to the use of the SEP Offset Amount.					18,000.00	18,000.00	
AQ	39691	DCP Midstream, LP	02/20/2011	1.00:1	55,350.00	11,070.00	22,140.00	22,140.00	22,140.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.					22,140.00	22,140.00	
AQ	40562	Sherwin Alumina, L.P.	05/08/2011	1.00:1	4,550.00	910.00	1,820.00	1,820.00	1,820.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.  Minimum Contribution: \$1,500					1,820.00	1,820.00	
AQ	40706	Flint Hills Resources Port Arthur, LLC	06/20/2011	1.00:1	20,000.00	4,000.00	8,000.00	8,000.00	8,000.00
	POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.					8,000.00	8,000.00	
AQ	41213	LANXESS Corporation	08/27/2011	1.00:1	6,275.00	1,255.00	2,510.00	2,510.00	2,510.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	Specifically, the SEP Offset Amount will be used to perform energy efficiency upgrades on public buildings and infrastructure. The SEP Offset Amount may only be used for: (1) the cost of conducting energy efficiency audits; (2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); (3) the incremental cost of purchase of equipment or appliances that are more energy-efficient (versus the cost of purchasing non-energy efficient equipment or appliances); or (4) other direct costs authorized in advance by TCEQ. The SEP Offset Amount may only be used for the direct cost of performing this project and no portion will be spent on administrative costs. For items 2, 3, and 4 above, Performing Party shall submit a budget and proposals for expenditure to TCEQ for written approval prior to the use of SEP Funds.  Modifications may include but are not limited to weatherization; energy-reduction modifications such a					2,510.00	2,510.00	
AQ	40866	LyondellBasell Acetyls, LLC	07/31/2011	1.00:1	17,175.00	0.00	8,588.00	8,587.00	8,587.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.  Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.					8,587.00	8,587.00	
AQ	40953	Enterprise Products Operating LLC	07/02/2011	1.00:1	2,625.00	525.00	1,050.00	1,050.00	1,050.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.  Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.					1,050.00	1,050.00	
AQ	40313	ISP Technologies Inc.	05/08/2011	1.00:1	5,250.00	1,050.00	2,100.00	2,100.00	2,100.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION		The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.  Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.				2,100.00	2,100.00	
AQ	40352	Enterprise Products Operating LLC	03/19/2011	1.00:1	6,448.00	1,289.00	2,580.00	2,579.00	2,579.00
	POLLUTION PREVENTION		The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Barbers Hill Independent School District for the Alternative Fueled Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.					2,579.00	2,579.00
AQ	41182	City of Austin	08/13/2011	1.00:1	1,875.00	375.00	0.00	1,500.00	1,500.00
	POLLUTION PREVENTION		Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to the Austin Parks Foundation for the Restoration and Rehabilitation of the Barton Springs Pool Bypass Tunnel. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Third-Party Recipient and the TCEQ (the "Project"). The deteriorated condition of the Barton Springs Pool Bypass tunnel results in a significant increase of pollutants from urban runoff into the pool. Specifically, this SEP will restore and rehabilitate the Barton Springs Pool bypass tunnel inlet grate and outlet valve by providing funds for the engineering design, materials and construction activities to repair the tunnel.					1,500.00	1,500.00
AQ	39643	Akzo Nobel Surface Chemistry LLC	02/05/2011	1.00:1	15,960.00	0.00	7,980.00	7,980.00	7,980.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION		The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Houston-Galveston AERCO for the Clean Cities/Clean Vehicles Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality Funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.				7,980.00	7,980.00	
AQ	41164	OTAL PETROCHEMICALS USA INC.	08/27/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION		SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.				4,000.00	4,000.00	
AQ	40329	The Dow Chemical Company	05/08/2011	1.00:1	96,975.00	19,395.00	38,790.00	38,790.00	38,790.00
	POLLUTION PREVENTION		The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be used by Brazoria County for the Brazoria County Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. The Third-Party Recipient shall use SEP funds to: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources. SEP funds may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3)				38,790.00	38,790.00	
AQ	40432	E. I. du Pont de Nemours and Company	04/18/2011	1.00:1	27,675.00	5,535.00	11,070.00	11,070.00	11,070.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Air Quality Research Center at Lamar University for the Flare Speciation and Air Quality Modeling project to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency (CE) and Destruction and Removal Efficiency (DRE). All monies contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	11,070.00	11,070.00					
AQ	40427	Chevron Phillips Chemical Company LP	04/18/2011	1.00:1	5,150.00	1,030.00	2,060.00	2,060.00	2,060.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.	2,060.00	2,060.00					
AQ	39926	Gulf Coast Waste Disposal Authority	03/07/2011	1.00:1	17,100.00	3,420.00	0.00	13,680.00	13,680.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Houston-Galveston AERCO for the Clean Cities/Clean Vehicles Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality Funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.	13,680.00	13,680.00					
AQ	38258	Mobil Chemical Company Inc.	05/08/2011	1.00:1	12,600.00	2,520.00	5,040.00	5,040.00	5,040.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

		POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to install, operate, and maintain a stationary ambient air monitoring station in the vicinity of Memorial High School Ninth Grade Campus. The station will employ canister sampling, a hydrocarbon analyzer, and/or a chromatograph. Ancillary equipment will include a sample conditioning system, a ten meter meteorological tower, a climate controlled equipment shelter, a remote communications system, and have electronic data logging capabilities. The station will continuously sample and analyze the ambient air for a wide range of hydrocarbon species in accordance with Environmental Protection Agency's recommended "TO-14" list. SEP monies will be used for the cost of purchasing, installing, operating, and maintaining the air monitoring station along with its ancillary equipment.				5,040.00	5,040.00	
AQ	40047	Chevron Phillips Chemical Company LP	03/07/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Barbers Hill Independent School District for the Alternative Fueled Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.  SEP funds will only be used for the incremental costs of purchase of clean fuel or lower-emission vehicles or retrofit of existing vehicles and for the purchase of these cleaner burning fuels.				4,000.00	4,000.00	
AQ	39698	Formosa Plastics Corporation, Texas	12/04/2010	1.00:1	17,950.00	3,590.00	7,180.00	7,180.00	7,180.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas RC&D for the Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.				7,180.00	7,180.00	
AQ	39493	DCP Midstream, LP	09/26/2010	1.00:1	3,550.00	710.00	1,420.00	1,420.00	1,420.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Keep Odessa Beautiful for the Household Hazardous Waste, Tire Collection, and Recycling program to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will be used to provide citizens and schools with a no-cost safe and convenient opportunity to properly dispose of harmful chemicals, and to prevent illegal dumping of tires. The following types of materials will be accepted: batteries, oil and oil filters, paints, household and/or school chemicals, and used tires. The items collected in these events will be either reused or properly disposed. Citizens may 'reuse' unused portions of properly labeled and handled chemical products through the reusable materials exchange. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. This project will be administered in accordance with federal, state, and local environmental laws and regulations.					1,420.00	1,420.00	
AQ	39574	Chevron Phillips Chemical Company LP	04/18/2011	1.00:1	235,330.00	47,066.00	94,132.00	94,132.00	94,132.00
	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires. Benefit: Helps rid communities of the dangers and health threats associated with illegal tire sites. Eligible Counties: All counties in the state of Texas that are within the boundaries of one of the 23 RC&D Areas in the state of Texas.					94,132.00	94,132.00	
AQ	40154	The Dow Chemical Company	03/07/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be used by Brazoria County for the Brazoria County Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. The Third-Party Recipient shall use SEP funds to: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources. SEP funds may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3) other direct expenses authorized in advance and in writing by TCEQ.					4,000.00	4,000.00	
AQ	40871	Houston Refining LP	07/31/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	Performing Party shall use SEP Funds to perform energy efficiency upgrades on public buildings and infrastructure. Specifically, SEP Funds may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); 3) the incremental cost of purchase of more energy-efficient equipment or appliances (versus the cost of purchasing non-energy efficient equipment or appliances); or 4) other direct costs authorized in advance by TCEQ.  Environmental Benefits: reduce fuel and electricity usage for heating and cooling and other day-to-day operations. These reductions will reduce emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel and generation of electricity.					4,000.00	4,000.00	
AQ	39585	ConocoPhillips Company	11/15/2010	1.00:1	70,000.00	0.00	35,000.00	35,000.00	35,000.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative-fueled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.  Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.					35,000.00	35,000.00	
AQ	39367	Maximus Coffee Group, LP	11/15/2010	1.00:1	8,560.00	1,712.00	3,424.00	3,424.00	3,424.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be used by Harris County for the Ambient Air Pollutants Monitoring Study as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used to install or retrofit, operate, maintain, and potentially expand air monitoring stations in Harris County to continuously monitor ambient air for total non-methane hydrocarbons and hazardous air pollutants (HAPs). Specifically, SEP funds will be used to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					3,424.00	3,424.00	
AQ	38788	Exxon Mobil Corporation	03/19/2011	1.00:1	60,000.00	0.00	30,000.00	30,000.00	30,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION REDUCTION	Respondent shall contribute the SEP offset Amount to the Third-Party Administrator named above. The contribution will be to Barbers Hill Independent School District (Barbers Hill ISD) for the Vehicle and Equipment Program. The contribution will be used in accordance with the Supplemental Environmental Project between Barbers Hill ISD and the Texas Commission on Environmental Quality (TCEQ). The Third-Party Administrator shall 1) retire current diesel-powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles/equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles/equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources.	30,000.00	30,000.00					
AQ	39565	Exxon Mobil Corporation	04/18/2011	1.00:1	80,000.00	0.00	40,000.00	40,000.00	40,000.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Barbers Hill Independent School District for the Alternative Fueled Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.  SEP funds will only be used for the incremental costs of purchase of clean fuel or lower-emission vehicles or retrofit of existing vehicles and for the purchase of these cleaner burning fuels.	40,000.00	40,000.00					
AQ	41140	Century Asphalt, Ltd.	08/13/2011	1.00:1	6,500.00	1,300.00	2,600.00	2,600.00	2,600.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.  Minimum Contribution: \$1,500	2,600.00	2,600.00					
AQ	40519	Huntsman Petrochemical Corporation	05/08/2011	1.00:1	21,700.00	4,340.00	8,680.00	8,680.00	8,680.00
	POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ. Performing Party shall ensure that the data is validated and sent to TCEQ's Leading Environmental Analysis and Display (LEADS) system. All costs associated with the collection, transfer, and formatting of these data to be compatible with the LEADS system is the responsibility of the Third-Party Recipient.	8,680.00	8,680.00					
AQ	40043	Chevron Phillips Chemical Company LP	02/20/2011	1.00:1	23,250.00	4,650.00	9,300.00	9,300.00	9,300.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be used by Brazoria County for the Brazoria County Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. The Third-Party Recipient shall use SEP funds to: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources. SEP funds may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3) other direct expenses authorized in advance and in writing by TCEQ.	9,300.00	9,300.00					
AQ	40195	BASF FINA Petrochemicals Limited Partnership	04/18/2011	1.00:1	61,450.00	12,290.00	24,580.00	24,580.00	24,580.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas Air Quality Research Center at Lamar University for the Flare Speciation and Air Quality Modeling project to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency (CE) and Destruction and Removal Efficiency (DRE). All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	24,580.00	24,580.00					
AQ	40167	Plant Process Equipment, Inc.	03/19/2011	1.00:1	1,530.00	306.00	612.00	612.00	612.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Galveston Bay Foundation to be used for the Galveston Bay Foundation "Marsh Mania" as set forth in the agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to restore shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation. This project will seek to raise elevations by scraping and terracing. Scraping involves the use of earthmoving equipment to grade an eroded, bluff-like shoreline so that it slopes gradually towards the water resulting in the ability of plant growth. Terracing uses earthmoving equipment to create small levees by burrowing and stacking sediment in shallow water. The high elevation can then be planted with emergent vegetation. SEP monies will be used to pay for the direct costs of the implementation of the project, including material, equipment, and labor costs.	612.00	612.00					
AQ	40902	Shell Chemical LP	07/31/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	Performing Party shall use SEP Funds to perform energy efficiency upgrades on public buildings and infrastructure. Specifically, SEP Funds may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); 3) the incremental cost of purchase of more energy-efficient equipment or appliances (versus the cost of purchasing non-energy efficient equipment or appliances); or 4) other direct costs authorized in advance by TCEQ.  Environmental Benefits: reduce fuel and electricity usage for heating and cooling and other day-to-day operations. These reductions will reduce emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel and generation of electricity.					4,000.00	4,000.00	
AQ	40010	Texmark Chemicals, Inc.	03/19/2011	1.00:1	21,625.00	4,325.00	8,650.00	8,650.00	8,650.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to The Sheltering Arms to be used for the Sheltering Arms Weatherization Assistance Program as set forth in the Agreement between the Third-Party Recipient and TCEQ. The SEP offset amount shall be used to perform energy efficiency upgrades on low-income homes in Harris County. Specifically, the SEP offset amount may only be used for: 1) purchase and installation of compact fluorescent light bulbs; 2) the incremental cost of replacement of energy-inefficient fans and refrigerators with energy-efficient models; 3) the incremental cost of replacement of energy-inefficient washers and dryers with energy-efficient models; 4) sheetrock repairs to sustain and protect new attic insulation; 5) floor repairs to prevent air leaks; 6) repairs to patches made to sheetrock during the addition of all new insulation; and 7) other direct costs authorized in advance by TCEQ.					8,650.00	8,650.00	
AQ	39967	MEMC Pasadena, Inc.	02/05/2011	1.00:1	6,604.00	1,320.00	2,642.00	2,642.00	2,642.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Houston-Galveston AERCO for the Clean Cities/Clean Vehicles Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality Funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.					2,642.00	2,642.00	
AQ	40565	United States Gypsum Company	05/08/2011	1.00:1	10,500.00	2,100.00	4,200.00	4,200.00	4,200.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

		POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires. Benefit: Helps rid communities of the dangers and health threats associated with illegal tire sites. Eligible Counties: All counties in the state of Texas that are within the boundaries of one of the 23 RC&D Areas in the state of Texas. Estimated Time to Complete: 12 months. Estimated Cost: \$ 4,500 per collection event.				4,200.00	4,200.00
AQ	40065	Linde Gas North America LLC	05/08/2011	1.00:1	55,650.00	11,130.00	22,260.00	22,260.00
		POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.				22,260.00	22,260.00
AQ	38615	Davis Gas Processing, Inc.	05/22/2011	1.00:1	93,085.00	18,617.00	37,234.00	37,234.00
		POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.				37,234.00	37,234.00
AQ	40842	ConocoPhillips Company	07/02/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be used by Brazoria County for the Brazoria County Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. The Third-Party Recipient shall use SEP funds to: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources. SEP funds may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3)	4,000.00	4,000.00					
AQ	40190	Houston Refining LP	03/07/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Barbers Hill Independent School District for the Alternative Fueled Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.  SEP funds will only be used for the incremental costs of purchase of clean fuel or lower-emission vehicles or retrofit of existing vehicles and for the purchase of these cleaner burning fuels.	4,000.00	4,000.00					
AQ	37339	Valero Refining-Texas, L.P.	10/25/2010	1.00:1	291,649.00	0.00	145,825.00	145,824.00	145,824.00
	POLLUTION PREVENTION	The Respondent will contribute to Texas A&M University-Kingsville, Air Quality Monitoring in San Patricio County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Texas A&M University-Kingsville and the Texas Commission on Environmental Quality. The 3rd party will establish three air quality monitoring stations in the San Patricio Water District. The stations will consist of ambient air monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous non-regulatory information on air quality. The stations will also generate data on background concentrations, pollutant transports from outside the air shed, and from the industrial district during the periods of predominant southeast to northwest wind patterns. The monitoring sites will be located at the Odem Raw Water Stations, Taft Texana Blending Station, and the San Patricio Country.	45,824.00	45,824.00					
	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies. Eligible Counties: Statewide Minimum Contribution: \$2,000	100,000.00	100,000.00					
AQ	40322	The Lubrizol Corporation	04/18/2011	1.00:1	9,075.00	1,815.00	3,630.00	3,630.00	3,630.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Barbers Hill Independent School District for the Alternative Fueled Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.	3,630.00	3,630.00					
AQ	40425	Delek Refining, Ltd.	05/08/2011	1.00:1	70,250.00	14,050.00	28,100.00	28,100.00	28,100.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.  Minimum Contribution: \$1,500					28,100.00	28,100.00	
AQ	39387	The Dow Chemical Company	10/25/2010	1.00:1	20,000.00	0.00	10,000.00	10,000.00	10,000.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Houston-Galveston AERCO for the Clean Cities/Clean Vehicles Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality Funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.					10,000.00	10,000.00	
AQ	40514	Baytown Asphalt Materials, Ltd.	06/20/2011	1.00:1	2,750.00	550.00	1,100.00	1,100.00	1,100.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.					1,100.00	1,100.00	
AQ	40744	Shell Chemical LP	06/20/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	-						4,000.00	4,000.00
AQ	40624	The Dow Chemical Company	05/22/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be used by Brazoria County for the Brazoria County Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. The Third-Party Recipient shall use SEP funds to: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources. SEP funds may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3)						4,000.00	4,000.00
AQ	40506	Exxon Mobil Corporation	05/08/2011	1.00:1	5,875.00	1,175.00	2,350.00	2,350.00	2,350.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.						2,350.00	2,350.00
AQ	41217	Houston Refining LP	08/27/2011	1.00:1	10,568.00	0.00	5,284.00	5,284.00	5,284.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.					5,284.00	5,284.00	
AQ	38828	Ascend Performance Materials, LLC	07/31/2011	1.00:1	65,564.00	0.00	32,782.00	32,782.00	32,782.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be used by Brazoria County for the Brazoria County Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. The Third-Party Recipient shall use SEP funds to: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources. SEP funds may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3)					32,782.00	32,782.00	
AQ	41299	Exxon Mobil Corporation	08/27/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.					4,000.00	4,000.00	
AQ	40585	DCP Midstream, LP	05/08/2011	1.00:1	25,200.00	5,040.00	10,080.00	10,080.00	10,080.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires. Benefit: Helps rid communities of the dangers and health threats associated with illegal tire sites. Eligible Counties: All counties in the state of Texas that are within the boundaries of one of the 23 RC&D Areas in the state of Texas. Estimated Time to Complete: 12 months. Estimated Cost: \$ 4,500 per collection event.	10,080.00	10,080.00					
AQ	40693	Enterprise Products Operating LLC	05/22/2011	1.00:1	6,251.00	1,250.00	2,501.00	2,500.00	2,500.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.	2,500.00	2,500.00					
AQ	40210	Shell Chemical LP	04/18/2011	1.00:1	21,346.00	0.00	10,673.00	10,673.00	10,673.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Barbers Hill Independent School District for the Alternative Fueled Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.	10,673.00	10,673.00					
AQ	40765	E. I. du Pont de Nemours and Company	06/20/2011	1.00:1	8,375.00	1,675.00	3,350.00	3,350.00	3,350.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists. Minimum Contribution: \$1,500	3,350.00	3,350.00					
AQ	39013	Ascend Performance Materials, LLC	08/27/2011	1.00:1	22,175.00	0.00	11,088.00	11,087.00	11,087.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION REDUCTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be used by Brazoria County for the Brazoria County Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ.	11,087.00	11,087.00					
AQ	39873	Exxon Mobil Corporation	03/07/2011	1.00:1	26,950.00	5,390.00	10,780.00	10,780.00	10,780.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Houston Regional Monitoring Corporation for the HRMC Houston Area Air Monitoring to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations (designated HRM Sites 1, 3, 4, 7, 8, 10, Wallisville, and Lynchburg Ferry) that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	10,780.00	10,780.00					
AQ	39406	850 Pine Street, Inc.	11/15/2010	1.00:1	11,050.00	2,210.00	4,420.00	4,420.00	4,420.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Jefferson County Government to be used for the Cheek Community First Time Sewer Service for Low Income Home Owners project. Specifically, SEP monies will be used to provide first time sewer service for low-income residential customers in the Candlelight and Martel Subdivisions (Cheek Community) located in the western area of Jefferson County. This area has open sewage pits or poorly maintained septic systems which are a hazard to human health and the environment. SEP funds will be used to install wastewater infrastructure and a low pressure sewer system consisting of grinder pump stations located at each home. The project will be administered in accordance with federal, state, and local environmental laws and regulations.	4,420.00	4,420.00					
AQ	40916	J-W Operating Company	08/27/2011	1.00:1	212,500.00	0.00	0.00	212,500.00	212,500.00
	POLLUTION PREVENTION	SEP funds will be used to construct, operate, maintain, and potentially expand a network of continuous ambient monitoring stations ("CAMS") that monitor both air toxics and ozone precursors.	212,500.00	212,500.00					
AQ	39781	Prism Gas Systems I, L.P	02/05/2011	1.00:1	54,800.00	10,960.00	21,920.00	21,920.00	21,920.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.  Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.	21,920.00	21,920.00					
AQ	40694	Eastman Chemical Company	05/22/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.  Minimum Contribution: \$1,500					4,000.00	4,000.00	
AQ	38689	DCP Midstream, LP	03/07/2011	1.00:1	755,251.00	0.00	377,626.00	377,625.00	377,625.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					377,625.00	377,625.00	
AQ	38141	Shell Oil Company	10/25/2010	1.00:1	51,570.00	0.00	25,785.00	25,785.00	25,785.00
	POLLUTION PREVENTION	The Respondents shall contribute the SEP offset amount to the Third-Party Recipient named above. The Contribution will be to Houston Regional Monitoring Corporation for the Houston Area Monitoring program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.					25,785.00	25,785.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

AQ	39063	Exxon Mobil Corporation	10/15/2010	1.00:1	40,000.00	0.00	20,000.00	20,000.00	20,000.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The Contribution will be to Houston Regional Monitoring Corporation for the Houston Area Monitoring program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.</p>				20,000.00	20,000.00	
AQ	41104	Equistar Chemicals, LP	08/27/2011	1.00:1	20,000.00	4,000.00	8,000.00	8,000.00	8,000.00
		POLLUTION PREVENTION	<p>Performing Party shall use SEP Funds to perform energy efficiency upgrades on public buildings and infrastructure. Specifically, SEP Funds may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); 3) the incremental cost of purchase of more energy-efficient equipment or appliances (versus the cost of purchasing non-energy efficient equipment or appliances); or 4) other direct costs authorized in advance by TCEQ.</p> <p>Environmental Benefits: reduce fuel and electricity usage for heating and cooling and other day-to-day operations. These reductions will reduce emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel and generation of electricity.</p>				8,000.00	8,000.00	
AQ	39162	INEOS Nova, LLC	05/08/2011	1.00:1	10,250.00	2,050.00	4,100.00	4,100.00	4,100.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Houston-Galveston AERCO for the Clean Cities/Clean Vehicles Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.</p>				4,100.00	4,100.00	
AQ	37604	Albemarle Corporation	12/25/2010	1.00:1	12,690.00	0.00	6,345.00	6,345.00	6,345.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	CLEAN UP	Performing party shall coordinate with local city and county government officials and private entities to clean up sites where tires have been disposed of illegally, or to conduct tire collection events where residents will be able to drop off tires for proper disposal or recycling.	6,345.00	6,345.00					
AQ	39307	BASF FINA Petrochemicals Limited Partnership	09/26/2010	1.00:1	9,775.00	1,955.00	3,910.00	3,910.00	3,910.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient named above. The contribution will be Southeast Texas Regional Planning Commission to be used for the West Port Arthur Home Energy Efficiency Program as set forth in agreement between the Third-Party Recipient and the TCEQ. Specifically, the Performing Party shall use SEP Funds to assist low-income residents in the West Port Arthur area by: 1) conducting home energy audits; 2) weatherizing homes to improve energy efficiency; and/or 3) repairing or replacing heating/cooling systems and major appliances with new, energy-efficient equipment. Weatherizing homes may include costs of caulking openings as well as insulating walls, floors, and attics in homes. Any heating/cooling systems or major appliances that are replaced must be scrapped and must not be reused in any way. Performing Party shall use consistent and reliable criteria for determining the low-income status of residents assisted with SEP Funds.	3,910.00	3,910.00					
AQ	39814	ISP Synthetic Elastomers LLC	12/04/2010	1.00:1	6,325.00	1,265.00	2,530.00	2,530.00	2,530.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP amount to the Third-Party Recipient named above. The contribution will be to the Southeast Texas Regional Planning Commission to be used for the Southeast Texas Regional Air Monitoring Network Ambient Air Monitoring Station as set forth in agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to install, operate, and maintain a stationary ambient air monitoring station in the vicinity of Memorial High School Ninth Grade Campus. The station will employ canister sampling, a hydrocarbon analyzer, and/or a chromatograph. Ancillary equipment will include a sample conditioning system, a ten meter meteorological tower, a climate controlled equipment shelter, a remote communications system, and will have electronic data logging capabilities. The station will continuously sample and analyze the ambient air for a wide range of hydrocarbon species in accordance with Environmental Protection Agency's recommended "TO-14" list. SEP monies will be used for the cost of purchasing, installing, operating, and maintaining the air monitoring station along with its ancillary equipment.	2,530.00	2,530.00					
AQ	39580	ExxonMobil Oil Corporation	12/04/2010	1.00:1	19,200.00	3,840.00	7,680.00	7,680.00	7,680.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas Air Quality Research Center at Lamar University for the Flare Speciation and Air Quality Modeling project to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency (CE) and Destruction and Removal Efficiency (DRE). All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	7,680.00	7,680.00					
AQ	38179	Exxon Mobil Corporation	10/15/2010	1.00:1	3,700.00	0.00	1,850.00	1,850.00	1,850.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	SEP funds will be used to install or retrofit, operate, maintain, and potentially expand air monitoring stations in Harris County to continuously monitor ambient air for total non-methane hydrocarbons and hazardous air pollutants (HAPs). Specifically, SEP funds will be used to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring.				1,850.00	1,850.00		
AQ	38906	XTO Energy Inc.	11/15/2010	1.00:1	237,247.00	0.00	118,624.00	118,623.00	118,623.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.  Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.					118,623.00	118,623.00	
AQ	39324	Chevron Phillips Chemical Company LP	10/15/2010	1.00:1	30,000.00	0.00	15,000.00	15,000.00	15,000.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Houston Regional Monitoring Corporation for the HRMC Houston Area Air Monitoring to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations (designated HRM Sites 1, 3, 4, 7, 8, 10, Wallisville, and Lynchburg Ferry) that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					15,000.00	15,000.00	
AQ	40689	Ascend Performance Materials, LLC	08/13/2011	1.00:1	33,200.00	6,640.00	13,280.00	13,280.00	13,280.00
	POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fuelled or clean diesel buses.					13,280.00	13,280.00	
AQ	39093	ConocoPhillips Company	09/26/2010	1.00:1	47,084.00	0.00	23,542.00	23,542.00	23,542.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

POLLUTION PREVENTION		<p>The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.</p> <p>Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.</p>					23,542.00	23,542.00	
AQ	39241	Favelle Favco Cranes USA, Inc.	10/25/2010	1.00:1	3,345.00	669.00	1,338.00	1,338.00	1,338.00
POLLUTION PREVENTION		<p>The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&amp;D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p>					1,338.00	1,338.00	
AQ	39582	Midwest Wood Treating Inc	10/15/2010	1.00:1	12,000.00	2,400.00	4,800.00	4,800.00	4,800.00
POLLUTION PREVENTION		<p>The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&amp;D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p>					4,800.00	4,800.00	
AQ	39588	Cimarex Energy Co.	12/04/2010	1.00:1	6,536.00	1,307.00	2,615.00	2,614.00	2,614.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION		The Respondent shall contribute the SEP amount to the Third-Party Recipient named above. The contribution will be to the Southeast Texas Regional Planning Commission to be used for the Southeast Texas Regional Air Monitoring Network Ambient Air Monitoring Station as set forth in agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to install, operate, and maintain a stationary ambient air monitoring station in the vicinity of Memorial High School Ninth Grade Campus. The station will employ canister sampling, a hydrocarbon analyzer, and/or a chromatograph. Ancillary equipment will include a sample conditioning system, a ten meter meteorological tower, a climate controlled equipment shelter, a remote communications system, and will have electronic data logging capabilities. The station will continuously sample and analyze the ambient air for a wide range of hydrocarbon species in accordance with Environmental Protection Agency's recommended "TO-14" list. SEP monies will be used for the cost of purchasing, installing, operating, and maintaining the air monitoring station along with its ancillary equipment.				2,614.00	2,614.00	
AQ	40423	Southwest Shipyard, L.P.	03/19/2011	1.00:1	46,900.00	9,380.00	18,760.00	18,760.00	18,760.00
	POLLUTION PREVENTION		The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Barbers Hill Independent School District for the Alternative Fueled Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.				18,760.00	18,760.00	
AQ	39492	ExxonMobil Oil Corporation	10/15/2010	1.00:1	10,000.00	0.00	5,000.00	5,000.00	5,000.00
	POLLUTION PREVENTION		The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Air Quality Research Center at Lamar University for the Flare Speciation and Air Quality Modeling project to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency (CE) and Destruction and Removal Efficiency (DRE). All monies contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.				5,000.00	5,000.00	
AQ	39538	McWane, Inc.	12/04/2010	1.00:1	73,168.00	14,633.00	29,268.00	29,267.00	29,267.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

POLLUTION PREVENTION		<p>The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.</p> <p>Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.</p>					29,267.00	29,267.00	
AQ	39194	ExxonMobil Oil Corporation	11/15/2010	1.00:1	132,500.00	26,500.00	53,000.00	53,000.00	53,000.00
POLLUTION PREVENTION		<p>The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Air Quality Research Center at Lamar University for the Flare Speciation and Air Quality Modeling project to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency (CE) and Destruction and Removal Efficiency (DRE). All monies contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p>					53,000.00	53,000.00	
AQ	39998	ExxonMobil Oil Corporation	03/19/2011	1.00:1	20,614.00	0.00	10,307.00	10,307.00	10,307.00
POLLUTION PREVENTION		<p>The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Air Quality Research Center at Lamar University for the Flare Speciation and Air Quality Modeling project to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency (CE) and Destruction and Removal Efficiency (DRE). All monies contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p>					10,307.00	10,307.00	
AQ	40412	ExxonMobil Oil Corporation	04/18/2011	1.00:1	4,082.00	816.00	1,633.00	1,633.00	1,633.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ. Performing Party shall ensure that the data is validated and sent to TCEQ's Leading Environmental Analysis and Display (LEADS) system. All costs associated with the collection, transfer, and formatting of these data to be compatible with the LEADS system is the responsibility of the Third-Party Recipient.	1,633.00	1,633.00					
AQ	40398	Equistar Chemicals, LP	04/18/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.					4,000.00	4,000.00	
AQ	39699	E. I. du Pont de Nemours and Company	03/19/2011	1.00:1	43,500.00	8,700.00	17,400.00	17,400.00	17,400.00
	POLLUTION PREVENTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be used by the City of Orange Alternative Fuel Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the SEP Offset Amount will be used to perform energy efficiency upgrades on public buildings and infrastructure. The SEP Offset Amount may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3) other direct expenses authorized in advance and in writing by TCEQ. The SEP Offset Amount may only be used for the direct cost of performing this project and no portion will be spent on administrative costs.					17,400.00	17,400.00	
AQ	39828	Enterprise Products Operating LLC	03/07/2011	1.00:1	22,150.00	0.00	11,075.00	11,075.00	11,075.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Barbers Hill Independent School District for the Alternative Fueled Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.  SEP funds will only be used for the incremental costs of purchase of clean fuel or lower-emission vehicles or retrofit of existing vehicles and for the purchase of these cleaner burning fuels.					11,075.00	11,075.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

AQ	39224	Huntsman Petrochemical Corporation	10/25/2010	1.00:1	29,600.00	5,920.00	11,840.00	11,840.00	11,840.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient named above. The contribution will be to the Southeast Texas Regional Planning Commission to be used for the Southeast Texas Regional Air Monitoring Network Ambient Air Monitoring Station as set forth in agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to install, operate, and maintain a stationary ambient air monitoring station in the vicinity of Memorial High School Ninth Grade Campus. The station will employ canister sampling, a hydrocarbon analyzer, and/or a chromatograph. Ancillary equipment will include a sample conditioning system, a ten meter meteorological tower, a climate controlled equipment shelter, a remote communications system, and will have electronic data logging capabilities. The station will continuously sample and analyze the ambient air for a wide range of hydrocarbon species in accordance with Environmental Protection Agency's recommended "TO-14" list. SEP monies will be used for the cost of purchasing, installing, operating, and maintaining the air monitoring station along with its ancillary equipment.					11,840.00	11,840.00
AQ	40483	GB Biosciences Corporation	05/08/2011	1.00:1	9,850.00	1,970.00	3,940.00	3,940.00	3,940.00
		POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.					3,940.00	3,940.00
AQ	40954	The Dow Chemical Company	08/13/2011	1.00:1	30,000.00	0.00	15,000.00	15,000.00	15,000.00
		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					15,000.00	15,000.00
AQ	40641	Equistar Chemicals, LP	06/20/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment. Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.					4,000.00	4,000.00	
AQ	39727	Enterprise Products Operating LLC	12/25/2010	1.00:1	7,296.00	1,459.00	2,919.00	2,918.00	2,918.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Barbers Hill Independent School District for the Alternative Fueled Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.  SEP funds will only be used for the incremental costs of purchase of clean fuel or lower-emission vehicles or retrofit of existing vehicles and for the purchase of these cleaner burning fuels.						2,918.00	2,918.00
AQ	39305	Valero Refining-Texas, L.P.	10/15/2010	1.00:1	233,000.00	46,600.00	93,200.00	93,200.00	93,200.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Houston-Galveston AERCO for the Clean Cities/Clean Vehicles Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality Funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.						93,200.00	93,200.00
AQ	37082	OTAL PETROCHEMICALS USA INC.	05/08/2011	1.00:1	130,575.00	0.00	65,288.00	65,287.00	65,287.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION REDUCTION	Project will use funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology.	32,644.00	32,644.00					
	POLLUTION REDUCTION	Conduct home energy audits and to assist low income residents in the West Port Arthur area in improving their homes' energy efficiency such as by caulking, weatherizing, insulating, and replacing heating and cooling systems and major appliances with new, energy efficient equipment.	32,643.00	32,643.00					
AQ	40389	DCP Midstream, LP	02/05/2011	1.00:1	23,875.00	4,775.00	9,550.00	9,550.00	9,550.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	9,550.00	9,550.00					
AQ	41116	E. I. du Pont de Nemours and Company	08/13/2011	1.00:1	20,484.00	4,096.00	8,194.00	8,194.00	8,194.00
	POLLUTION PREVENTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be used by the City of Orange Alternative Fuel Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the SEP Offset Amount will be used to perform energy efficiency upgrades on public buildings and infrastructure. The SEP Offset Amount may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3) other direct expenses authorized in advance and in writing by TCEQ. The SEP Offset Amount may only be used for the direct cost of performing this project and no portion will be spent on administrative costs.	8,194.00	8,194.00					
AQ	37885	OTAL PETROCHEMICALS USA INC.	12/25/2010	1.00:1	13,275.00	2,655.00	5,310.00	5,310.00	5,310.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Energy Efficiency in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to perform energy efficiency upgrades on public buildings and infrastructure. Specifically, the SEP funds may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades; 3) the incremental cost of the purchase of more energy-efficient equipment or appliances; and/or 4) or other direct costs authorized in advance by TCEQ.	5,310.00	5,310.00					
AQ	40962	LANXESS Corporation	07/31/2011	1.00:1	8,260.00	1,652.00	3,304.00	3,304.00	3,304.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	Specifically, the SEP Offset Amount will be used to perform energy efficiency upgrades on public buildings and infrastructure. The SEP Offset Amount may only be used for: (1) the cost of conducting energy efficiency audits; (2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); (3) the incremental cost of purchase of equipment or appliances that are more energy-efficient (versus the cost of purchasing non-energy efficient equipment or appliances); or (4) other direct costs authorized in advance by TCEQ. The SEP Offset Amount may only be used for the direct cost of performing this project and no portion will be spent on administrative costs. For items 2, 3, and 4 above, Performing Party shall submit a budget and proposals for expenditure to TCEQ for written approval prior to the use of SEP Funds.  Modifications may include but are not limited to weatherization; energy-reduction modifications such as building envelope upgrades (cool roofs, exterior shading, optimized insulation and air sealing); installation of high-efficiency lighting fixtures and occupancy sensors for both lighting and HVAC; high-efficiency demand-controlled ventilation and energy-efficient boilers; more efficient exterior lighting and energy-efficient office equipment; replacing heating, ventilation, and cooling (HVAC) systems with more efficient boilers, motors, and variable-speed drives; reducing energy and maintenance costs by installing centralized energy management systems; lowering electric lighting and cooling loads and boosting productivity through increased use of daylighting; installation of LED traffic lights; and use of renewable energy sources. Performing Party shall quantify the environmental benefit of each project and submit the results of those calculations to TCEQ within 90 days of completion of each project.					3,304.00	3,304.00	
AQ	39284	Aruba Petroleum, INC.	03/07/2011	1.00:1	35,500.00	0.00	0.00	35,500.00	35,500.00
	POLLUTION PREVENTION	SEP funds will be used to construct, operate, maintain, and potentially expand a network of continuous ambient monitoring stations ("CAMS") that monitor both air toxics and ozone precursors.						35,500.00	35,500.00
AQ	39490	Shell Chemical LP *	02/05/2011	1.00:1	40,000.00	0.00	20,000.00	20,000.00	20,000.00
	POLLUTION PREVENTION	The Respondents shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Houston Regional Monitoring Corporation for the HRMC Houston Area Air Monitoring to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations (designated HRM Sites 1, 3, 4, 7, 8, 10, Wallisville, and Lynchburg Ferry) that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.						20,000.00	20,000.00
AQ	39238	Motiva Enterprises LLC	10/25/2010	1.00:1	97,167.00	19,433.00	38,867.00	38,867.00	38,867.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP amount to the Third-Party Recipient named above. The contribution will be to the Southeast Texas Regional Planning Commission to be used for the West Port Arthur Home Energy Efficiency Program as set forth in the agreement between the Third-Party Recipient and the TCEQ. Specifically, the Performing Party shall use SEP funds to assist low-income residents in the West Port Arthur area by: 1) conducting home energy audits; 2) weatherizing homes to improve energy efficiency; and/or 3) repairing or replacing heating/cooling systems and major appliances with new, energy-efficient equipment. Weatherizing homes may include costs of caulking openings as well as insulating walls, floors, and attics in homes. Any heating/cooling systems or major appliances that are replaced must be scrapped and must not be reused in any way. Performing Party shall use consistent and reliable criteria for determining the low-income status of residents assisted with SEP funds.					38,867.00	38,867.00	
AQ	39978	Flint Hills Resources, LP	02/20/2011	1.00:1	28,350.00	5,670.00	11,340.00	11,340.00	11,340.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP amount to the Third-Party Recipient named above. The contribution will be to the Southeast Texas Regional Planning Commission to be used for the West Port Arthur Home Energy Efficiency Program as set forth in the agreement between the Third-Party Recipient and the TCEQ. Specifically, the Performing Party shall use SEP funds to assist low-income residents in the West Port Arthur area by: 1) conducting home energy audits; 2) weatherizing homes to improve energy efficiency; and/or 3) repairing or replacing heating/cooling systems and major appliances with new, energy-efficient equipment. Weatherizing homes may include costs of caulking openings as well as insulating walls, floors, and attics in homes. Any heating/cooling systems or major appliances that are replaced must be scrapped and must not be reused in any way. Performing Party shall use consistent and reliable criteria for determining the low-income status of residents assisted with SEP funds.					11,340.00	11,340.00	
AQ	39751	Flint Hills Resources, LP	02/05/2011	1.00:1	70,382.00	0.00	35,191.00	35,191.00	35,191.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP amount to the Third-Party Recipient named above. The contribution will be to the Southeast Texas Regional Planning Commission to be used for the West Port Arthur Home Energy Efficiency Program as set forth in the agreement between the Third-Party Recipient and the TCEQ. Specifically, the Performing Party shall use SEP funds to assist low-income residents in the West Port Arthur area by: 1) conducting home energy audits; 2) weatherizing homes to improve energy efficiency; and/or 3) repairing or replacing heating/cooling systems and major appliances with new, energy-efficient equipment. Weatherizing homes may include costs of caulking openings as well as insulating walls, floors, and attics in homes. Any heating/cooling systems or major appliances that are replaced must be scrapped and must not be reused in any way. Performing Party shall use consistent and reliable criteria for determining the low-income status of residents assisted with SEP funds.					35,191.00	35,191.00	
AQ	40750	Huntsman Petrochemical Corporation	07/31/2011	1.00:1	20,000.00	0.00	10,000.00	10,000.00	10,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas Air Research Center for the Flare Minimization and Air Quality Modeling project. The contribution will be used in accordance with the Supplemental Environmental Project executed on October 30, 2009, between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used for advanced modeling involving utilizing optimization and plant-wide dynamic simulation to cause reductions in flaring during turnaround operations at industrial facilities. Flaring during turnaround operations (startups and shutdowns) at industrial facilities is a substantial source of both volatile organic compounds (VOCs) and highly reactive volatile organic compounds (HRVOCs), and flaring results in considerable loss of energy and resources. Reductions in VOC and HRVOC emissions are expected to occur primarily through reducing the duration of turnarounds and through recycling or reuse of materials that would otherwise be flared.  All dollars contributed will be used solely for the direct cost of the Project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state, and local environmental laws and regulations.	10,000.00	10,000.00					
AQ	40884	The Lubrizol Corporation	07/02/2011	1.00:1	17,450.00	3,490.00	6,980.00	6,980.00	6,980.00
	POLLUTION PREVENTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.  Minimum Contribution: \$1,500					6,980.00	6,980.00	
AQ	41247	Huntsman Petrochemical Corporation	08/27/2011	1.00:1	20,000.00	4,000.00	8,000.00	8,000.00	8,000.00
	POLLUTION PREVENTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas Air Research Center for the Flare Minimization and Air Quality Modeling project. The contribution will be used in accordance with the Supplemental Environmental Project executed on October 30, 2009, between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used for advanced modeling involving utilizing optimization and plant-wide dynamic simulation to cause reductions in flaring during turnaround operations at industrial facilities. Flaring during turnaround operations (startups and shutdowns) at industrial facilities is a substantial source of both volatile organic compounds (VOCs) and highly reactive volatile organic compounds (HRVOCs), and flaring results in considerable loss of energy and resources. Reductions in VOC and HRVOC emissions are expected to occur primarily through reducing the duration of turnar					8,000.00	8,000.00	
AQ	41099	Flint Hills Resources Port Arthur, LLC	08/27/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	Conduct home energy audits and to assist low income residents in the West Port Arthur area in improving their homes' energy efficiency such as by caulking, weatherizing, insulating, and replacing heating and cooling systems and major appliances with new, energy efficient equipment.					4,000.00	4,000.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

AQ	41110	Chevron Phillips Chemical Company LP	08/13/2011	1.00:1	70,000.00	0.00	35,000.00	35,000.00	35,000.00
		POLLUTION PREVENTION	SEP Funds will be used to conduct home energy audits, to make repairs and upgrades to older homes, and to cover the incremental cost of replacing older energy-inefficient appliances with more energy-efficient ones for low-income homeowners in the West Port Arthur area. This project is an pollution reduction project that will result in a reduction of air pollutants in the airshed.					35,000.00	35,000.00
AQ	39390	Exxon Mobil Corporation	10/25/2010	1.00:1	40,000.00	0.00	20,000.00	20,000.00	20,000.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Houston Regional Monitoring Corporation for the HRMC Houston Area Air Monitoring to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations (designated HRM Sites 1, 3, 4, 7, 8, 10, Wallisville, and Lynchburg Ferry) that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					20,000.00	20,000.00
AQ	40180	OTAL PETROCHEMICALS USA INC.	07/31/2011	1.00:1	213,972.00	42,794.00	85,589.00	85,589.00	85,589.00
		POLLUTION PREVENTION	SEP funds will be used by the Third-Party Recipient to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring, and for the cost of operating, maintaining, and periodically upgrading or adding to these air monitoring stations along with ancillary equipment approved by TCEQ. Performing Party shall ensure that the data is validated and sent to TCEQ's Leading Environmental Analysis and Display (LEADS) system. All costs associated with the collection, transfer, and formatting of these data to be compatible with the LEADS system is the responsibility of the Third-Party Recipient.					85,589.00	85,589.00
AQ	38941	Exxon Mobil Corporation	03/19/2011	1.00:1	6,325.00	0.00	3,163.00	3,162.00	3,162.00
		POLLUTION REDUCTION	Respondent shall contribute the SEP offset Amount to the Third-Party Administrator named above. The contribution will be to Barbers Hill Independent School District (Barbers Hill ISD) for the Vehicle and Equipment Program. The contribution will be used in accordance with the Supplemental Environmental Project between Barbers Hill ISD and the Texas Commission on Environmental Quality (TCEQ). The Third-Party Administrator shall 1) retire current diesel-powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles/equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles/equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources.					3,162.00	3,162.00
AQ	39247	E. I. du Pont de Nemours and Company	11/15/2010	1.00:1	30,050.00	6,010.00	12,020.00	12,020.00	12,020.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas Air Quality Research Center at Lamar University for the Flare Speciation and Air Quality Modeling project to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency (CE) and Destruction and Removal Efficiency (DRE). All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	12,020.00	12,020.00					
AQ	40212	City of Fort Worth	03/19/2011	1.00:1	15,900.00	3,180.00	0.00	12,720.00	12,720.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.	12,720.00	12,720.00					
AQ	39330	Exxon Mobil Corporation	09/26/2010	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Barbers Hill Independent School District for the Alternative Fueled Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.  SEP Funds will only be used for the incremental costs of purchase of clean fuel or lower-emission vehicles or retrofit of existing vehicles and for the purchase of these cleaner burning fuels.	4,000.00	4,000.00					
AQ	39952	INEOS USA LLC	03/07/2011	1.00:1	70,000.00	0.00	35,000.00	35,000.00	35,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be used by Brazoria County for the Brazoria County Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. The Third-Party Recipient shall use SEP funds to: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources. SEP funds may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3) other direct expenses authorized in advance and in writing by TCEQ.	35,000.00	35,000.00					
AQ	39514	Chevron Phillips Chemical Company LP	02/05/2011	1.00:1	527,900.00	105,580.00	211,160.00	211,160.00	211,160.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Houston Regional Monitoring Corporation for the HRMC Houston Area Air Monitoring to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations (designated HRM Sites 1, 3, 4, 7, 8, 10, Wallisville, and Lynchburg Ferry) that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	211,160.00	211,160.00					
AQ	40418	Shell Oil Company	06/20/2011	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.  Environmental Benefits: Replacement of gasoline and diesel powered lawnmowers, heavy equipment, and vehicles with lower-emission items will reduce air pollution emissions of particulate matter (PM), volatile organic carbon (VOC) compounds, nitrogen oxides (NOx), and other pollutants associated with the combustion of fuel.	4,000.00	4,000.00					
AQ	40922	FAMCOR Oil, Inc.	07/31/2011	1.00:1	30,000.00	6,000.00	12,000.00	12,000.00	12,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

		POLLUTION PREVENTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.	12,000.00	12,000.00			
IHW	38579	US Ecology Texas, Inc.	12/25/2010	1.00:1	54,600.00	10,920.00	21,840.00	21,840.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.	21,840.00	21,840.00			
IHW	40362	Munro Dry Cleaning Company	05/08/2011	1.00:1	6,525.00	1,305.00	2,610.00	2,610.00
		POLLUTION PREVENTION	Project will coordinate with city and/or county government for hte clean up of sites where trash and debris has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified, there is no preexisting obligation to clean up the site by the owner or the government, and reasonable efforts have already been taken to prevent the dumping. SEP funds shall be used for the direct cost of collection and disposal of the trash and debris.  Benefit: Help rid communities of the dangers and health threats associated with non-regulated trash dumps which contaminate air and water, and harbor disease carrying animals and insects.	2,610.00	2,610.00			
IHW	39340	Cemex Cement of Texas, L.P. *	08/27/2011	1.00:1	23,850.00	0.00	11,925.00	11,925.00
		CLEAN UP	Respondent shall coordinate with local city and county government officials and private entities to clean up sites where tires have been disposed of illegally, or to conduct tire collection events where residents will be able to drop off tires for proper disposal or recycling. Eligible tire cleanup sites will be limited to areas where a responsible party cannot be identified or where there is no preexisting obligation to clean up the site by the owner or government and where reasonable efforts have been made to prevent the dumping. SEP monies will be used for the direct cost of collection and disposal of tires and debris.	11,925.00	11,925.00			
IHW	37853	Momentive Specialty Chemicals, Inc.	10/15/2010	1.00:1	79,600.00	0.00	39,800.00	39,800.00
		POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	19,900.00	19,900.00			

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	CLEAN UP	Respondent shall contribute to Third Party Recipient and contribution will be used to clean up sites where tires have been disposed of illegally. The SEP Offset Amount will be used to pay for the labor and disposal costs associated with proper clean up and disposal of abandoned tires. Eligible cleanup sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. Any SEP Offset Amount remaining after completion of this project may, upon approval of the Executive Director, be applied to another approved RC&D project.  Respondent shall also contribute to Third Party Recipient for Household Hazardous Waste collection whereby residents shall be allowed to bring household hazardous waste to the collection site for proper disposal. Specifically, the SEP Offset Amount will pay for the labor and disposal costs associated with properly disposing of paint, thinners, pesticides, oil and gas, corrosive cleaners, fertilizers, and other wastes. Citizens will not be charged disposal fees. The project will be administered in accordance with TCEQ guidance on household hazardous waste and in compliance with federal, state, and local environmental laws and regulations.					19,900.00	19,900.00	
IHW	40588	Diamond Shamrock Refining Company, L.P.	08/27/2011	1.00:1	103,075.00	20,615.00	41,230.00	41,230.00	41,230.00
	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies. Eligible Counties: Statewide Minimum Contribution: \$2,000					41,230.00	41,230.00	
IHW	40000	Formosa Plastics Corporation, Texas	02/20/2011	1.00:1	67,675.00	13,535.00	27,070.00	27,070.00	27,070.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to the National Audubon Society for the Sundown Sanctuary Island Anti-erosion, Re-vegetation and Pest Control Project. The Sundown Island and four other small coastal islands located off Port O'Connor are major sites for nesting colonial waterbirds. These islands are increasingly subject to erosion and consequent land loss due to an increasing number of vessels, storm winds and surges, etc. Texas coastal sanctuary islands in this area host one of the largest roseate spoonbill, reddish egret and brown pelican colonies in the U.S. Colonial. The SEP offset amount will be used to restore the size of the islands utilizing dredge spoil, conduct re-vegetation using native plants, and treat vegetation to control pest populations.  The SEP will be done in accordance with all federal, state and local environmental laws and regulations. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The Respondent shall not profit from this SEP in any manner. The Respondent certifies that it has no prior commitment to make this contribution and that it is being done solely in an effort to settle this enforcement action.					27,070.00	27,070.00	
IHW	40327	Shell Oil Company	05/08/2011	1.00:1	97,020.00	19,404.00	38,808.00	38,808.00	38,808.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

POLLUTION PREVENTION		Contributions will be used in accordance with the Supplemental Environmental Project Agreement between the Armand Bayou Nature Center and the Texas Commission on Environmental Quality. The tallgrass prairie was once a common ecosystem in Texas and the United States. Today more than 99% of this habitat has been lost and the remainder is highly fragmented and severely threatened by exotic species and development. Prescribed burning is one stewardship tool used to maintain a tallgrass prairie ecosystem. If the prairie is not maintained, it can be destroyed by invasive species which primarily include the Chinese Tallow tree and other woody plants. Historically, prairies were managed by grazing, natural fires set by lightning, or fires set by Native Americans to aid in their hunter-gatherer lifestyle. ABNC must employ prescribed burning methods and can not use more natural stewardship tools due to its location in the center of a highly urbanized and industrialized area. ABNC=s stewards					38,808.00	38,808.00	
IHW	39785	Intergulf Corporation	08/27/2011	1.00:1	52,600.00	0.00	26,300.00	26,300.00	26,300.00
ENVIRONMENTAL ENHANCEMENT		The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Armand Bayou Nature Center to be used for the Coastal Tall Grass Management-Prescribed Burn Program and Prairie Restoration Project Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to pay for the labor and materials costs associated with conducting prescribed burns, removing non-native trees, and for planting native trees and plants.  The Respondent certifies that there is no prior commitment to do this project and that it is being performed solely in an effort to settle this enforcement action.					13,150.00	13,150.00	
ENVIRONMENTAL ENHANCEMENT		the SEP Offset Amount will be used to restore shoreline elevations, grow plants for shoreline restoration, and plant new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation. This project will seek to raise elevations by scraping and terracing. Scraping involves the use of earthmoving equipment to grade an eroded, bluff-like shoreline so that it slopes gradually towards the water resulting in the ability of plant growth. Terracing uses earthmoving equipment to create small levees by burrowing and stacking sediment in shallow water. The higher elevation can then be planted with emergent vegetation. The SEP Offset Amount will be used to pay for the direct cost of the implementation of the project, including material, equipment and labor costs.					13,150.00	13,150.00	
IHW	38720	Exxon Mobil Corporation	02/05/2011	1.00:1	69,600.00	13,920.00	27,840.00	27,840.00	27,840.00
POLLUTION PREVENTION		The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.					27,840.00	27,840.00	
IHW	40762	Holly Energy Partners - Operating, L.P.	07/02/2011	1.00:1	30,350.00	6,070.00	12,140.00	12,140.00	12,140.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

		POLLUTION PREVENTION	Project will repair or replace failing water systmes or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies. Eligible Counties: Statewide Minimum Contribution: \$2,000				12,140.00	12,140.00	
IHW	38848	Southwest Shipyard, L.P.	09/26/2010	1.00:1	397,856.00	79,571.00	159,143.00	159,142.00	159,142.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Armand Bayou Nature Center to be used for the Coastal Tall Grass Management-Prescribed Burn Program and Prairie Restoration Project Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to pay for the labor and materials costs associated with conducting prescribed burns, removing non-native trees, and for planting native trees and plants.					159,142.00	159,142.00
IHW	36502	Valero Refining-Texas, L.P.	10/15/2010	1.00:1	38,688.00	0.00	19,344.00	19,344.00	19,344.00
		POLLUTION REDUCTION	Performing Party is developing a 162-acre nature park on the shores of Oso Bay (the "Property"). The Oso Conservation Interpretive Park will include a nature center building, interpretive trails, and significant habitat for wildlife (enhanced through wetland construction, wetland restoration, and invasive species control). The Property already contains wetlands, although some of the wetland complexes have been degraded due to poor rangeland management. Performing Party shall use SEP Funds to assist in developing the park and the nature center building to the U.S. Green Building Council's LEED (Leadership in Energy and Environmental Design (LEED) Green Building Rating System) standards.					19,344.00	19,344.00
MSW	40927	City of Big Lake	07/31/2011	1.00:1	3,937.00	787.00	0.00	3,150.00	3,150.00
		POLLUTION PREVENTION	Project will repair or replace failing water systmes or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies. Eligible Counties: Statewide Minimum Contribution: \$2,000					3,150.00	3,150.00
MSW	39780	City of Newton	05/08/2011	1.00:1	7,000.00	1,400.00	0.00	5,600.00	5,600.00
		POLLUTION REDUCTION	Respondent shall perform an illegal trash dump cleanup along Meek Street between MLK Street and State Highway 87 and also along Sylvester Street northwest of MLK Street (the "project") in Newton County, Texas. The project will utilize city crews and heavy equipment to collect and haul away discarded miscellaneous household items such as dilapidated furniture, broken appliances from the two sites. The collected waste will be transported to the Dewey Solid Waste Landfill, in Deweyville, Newton County, Texas.					5,600.00	5,600.00
MSW	35798	City of Kingsville	12/25/2010	1.00:1	80,625.00	0.00	0.00	80,625.00	80,625.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide local residents with a means of properly disposing household hazardous wastes such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers in one day collection events. SEP monies will be used to pay for the associated labor, materials, and disposal costs. Citizens will not be charged disposal fees. The project is administered in accordance with TCEQ guidance on household hazardous waste and in compliance with federal, state, and local environmental laws and regulations. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs.	40,312.00	40,312.00					
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	40,313.00	40,313.00					
PST	41039	City of Arlington	08/27/2011	1.00:1	5,000.00	1,000.00	0.00	4,000.00	4,000.00
	CLEAN UP	Respondent shall cleanup illegally dumped waste located at 32.78.812N - 97.13.976W, on Greenbelt Road along the Trinity River on the edge of River Legacy Park and install a fence to help prevent future illegal dumping (the "Project"). Respondent shall bid for contractors to remove and haul away items such as an old boat, dilapidated roof and other bulky waste items and to install a fence along Greenbelt Road. In addition to the fence, Respondent shall erect "No Dumping" signs to discourage future dumping and shall post a sign in a conspicuous location to advertise that the cleanup was performed as a Supplemental Environmental Project as settlement of an enforcement action with TCEQ.	4,000.00	4,000.00					
PST	41103	Allied Waste Systems, Inc.	08/27/2011	1.00:1	14,368.00	2,873.00	5,748.00	5,747.00	5,747.00
	POLLUTION PREVENTION	Project will coordinate with city and/or county government for the clean up of sites where trash and debris has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified, there is no preexisting obligation to clean up the site by the owner or the government, and reasonable efforts have already been taken to prevent the dumping. SEP funds shall be used for the direct cost of collection and disposal of the trash and debris.  Benefit: Help rid communities of the dangers and health threats associated with non-regulated trash dumps which contaminate air and water, and harbor disease carrying animals and insects.  Eligible Counties: Statewide  Minimum Contribution: \$2,000	5,747.00	5,747.00					
PST	40991	City of Grapevine	07/31/2011	1.00:1	1,875.00	375.00	0.00	1,500.00	1,500.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	Respondent shall hold a one-day event for the collection of used tires for proper disposal (the "Project"). The event will be advertised in the local newspaper of largest circulation and all include the required enforcement statement. Bids for an authorized tire contractor will be solicited and provided. City personnel will be used to direct traffic and assist with the collection of tires. Citizens will be invited to drop off tires at the collection site located at 501 Shady Brook Drive, Grapevine, Texas. The contractor will pick up and transport the tires to a proper disposal.	1,500.00	1,500.00					
PST	40974	WTG Fuels, Inc.	07/02/2011	1.00:1	1,875.00	375.00	750.00	750.00	750.00
	POLLUTION PREVENTION	Contributions will be used to pay for labor and materials costs associated with implementing the Marsh Mania Project, which include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation. This project will seek to raise elevations by scraping and terracing. Scraping involves the use of earthmoving equipment to grae an eroded, bluff-like shoreline so that it slopes gradually towards the water resulting in the ability of plant growth. Terracing uses earthmoving equipment to create small levees by burrowing and stacking sediment in shallow water.					750.00	750.00	
PWS	39878	City of Cockrell Hill	03/07/2011	1.00:1	2,430.00	0.00	0.00	2,430.00	2,430.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful for the Stop Trashing Texas project as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will pay for the labor and disposal costs associated with cleanup of unauthorized trash dumps by supplying project coordination, labor, supplies, and materials for clean up events statewide. SEP funds used for this purpose shall be limited to the actual direct costs for the specific purpose necessary to meet the requirements of the SEP and no potion of the SEP funds will be spent on administrative costs related to this portion of the SEP. Trash dump cleanups may be coordinated with waste collection facilities and/or local governments to employ heavy machinery for the removal of large waste items. To the maximum extent possible, cleanups shall be accomplished with the use of volunteers from community groups, private companies, schools, and youth organizations. SEP funds may be used for activities. This project will be administered in accordance with federal, state, and local environmental laws and regulations.					2,430.00	2,430.00	
WQ	39914	City of Kilgore	05/22/2011	1.00:1	25,704.00	5,140.00	0.00	20,564.00	20,564.00
	POLLUTION REDUCTION	The Respondent shall hold two one-day events for the collection, recycling, or proper disposal of residential electronic waste ("E-waste") consisting of computers, cell phones, scanners and televisions; and residential universal waste ("U-waste") consisting of household batteries, florescent light bulbs and non-empty aerosol cans. The Respondent shall offer a designated drop-off location where the public can drop off materials for disposal or recycling at no cost to the citizens. The events will be advertised in a local newspaper to increase public awareness of and participation in the events.					20,564.00	20,564.00	
WQ	39767	Texas Department of Transportation	12/04/2010	1.00:1	3,060.00	612.00	0.00	2,448.00	2,448.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Unauthorized Trash Dump Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					2,448.00	2,448.00	
WQ	40567	City of San Augustine	07/02/2011	1.00:1	37,975.00	7,595.00	0.00	30,380.00	30,380.00
	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies. Eligible Counties: Statewide Minimum Contribution: \$2,000						30,380.00	30,380.00
WQ	39527	MeadWestvaco Texas, L.P.	11/15/2010	1.00:1	28,361.00	5,672.00	11,344.00	11,345.00	11,345.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.						11,345.00	11,345.00
WQ	39821	Rancho Sienna KC, LP	02/05/2011	1.00:1	4,500.00	900.00	1,800.00	1,800.00	1,800.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Unauthorized Trash Dump Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.						1,800.00	1,800.00
WQ	38830	City of Edinburg	09/26/2010	1.00:1	60,900.00	0.00	0.00	60,900.00	60,900.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

		POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful for the Stop Trashing Texas project as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will pay for the labor and disposal costs associated with cleanup of unauthorized trash dumps by supplying project coordination, labor, supplies, and materials for clean up events statewide. SEP funds used for this purpose shall be limited to the actual direct costs for the specific purpose necessary to meet the requirements of the SEP and no portion of the SEP funds will be spent on administrative costs related to this portion of the SEP. Trash dump cleanups may be coordinated with waste collection facilities and/or local governments to employ heavy machinery for the removal of large waste items. To the maximum extent possible, cleanups shall be accomplished with the use of volunteers from community groups, private companies, schools, and youth organizations. SEP funds may be used for activities. This project will be administered in accordance with federal, state, and local environmental laws and regulations.					30,450.00	30,450.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.					30,450.00	30,450.00
WQ	40438	Hamshire-Fannett Independent School District	03/19/2011	1.00:1	6,660.00	1,332.00	0.00	5,328.00	5,328.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Jefferson County Government to be used for the Cheek Community First Time Sewer Service for Low Income Home Owners project. Specifically, SEP monies will be used to provide first time sewer service for low-income residential customers in the Candlelight and Martel Subdivisions (Cheek Community) located in the western area of Jefferson County. This area has open sewage pits or poorly maintained septic systems which are a hazard to human health and the environment. SEP Funds will be used to install wastewater infrastructure and a low pressure sewer system consisting of grinder pump stations located at each home. The project will be administered in accordance with federal, state, and local environmental laws and regulations.					5,328.00	5,328.00
WQ	40733	Veolia ES Technical Solutions, L.L.C.	06/20/2011	1.00:1	11,500.00	2,300.00	4,600.00	4,600.00	4,600.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires. Benefit: Helps rid communities of the dangers and health threats associated with illegal tire sites. Eligible Counties: All counties in the state of Texas that are within the boundaries of one of the 23 RC&D Areas in the state of Texas. Estimated Time to Complete: 12 months. Estimated Cost: \$ 4,500 per collection event.	4,600.00	4,600.00					
WQ	37788	City of Godley	04/18/2011	1.00:1	44,750.00	0.00	0.00	44,750.00	44,750.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.	18,000.00	18,000.00					
	POLLUTION REDUCTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system.	26,750.00	26,750.00					
WQ	40880	City of Cleveland	07/02/2011	1.00:1	7,600.00	1,520.00	0.00	6,080.00	6,080.00
	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies. Eligible Counties: Statewide Minimum Contribution: \$2,000	6,080.00	6,080.00					
WQ	40136	City of Uvalde	05/08/2011	1.00:1	8,400.00	1,680.00	0.00	6,720.00	6,720.00
	ENVIRONMENTAL RESTORATION	The Respondent shall replant the Cooks Slough Nature Park constructed wetland, which is a freshwater marsh with new emergent plantings including approximately one-thousand cattails (Typha latifolia) and an equal number of soft stem bulrushes (Scirpus validus). Water levels will be lowered to allow for proper planting. Plantings will be made in the shallow areas of the wetland following the seasonal departure of migratory waterfowl from the existing wetland area. Wetlands provide productive ecosystems that are rich in organic matter and nutrients which support organisms within the wetland and can be effective in improving the water quality in area lakes, rivers, and estuaries. Improving wetland vegetation impacts the productivity of these systems by supporting waterfowl and other wildlife, as well as capturing sediments, and filtering pollutants, which improves water quality.	6,720.00	6,720.00					
WQ	39163	Town of Little Elm	04/18/2011	1.00:1	12,225.00	2,445.00	0.00	9,780.00	9,780.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall conduct two city-wide pharmaceutical collection events to provide city-wide collection and proper disposal of pharmaceuticals at no cost to the public. The events will include collection and proper disposal of pharmaceuticals, including those that may be considered to have endocrine disrupting effects, from citizens in the Town of Little Elm and surrounding communities.  This SEP will provide a discernible environmental benefit by providing proper and safe disposal of harmful chemicals that can leach into the soil, water, and air. The events are designed to help alleviate the threat to wildlife posed by improperly disposed pharmaceuticals, which can cause endocrine disruption and affect other biological processes.	9,780.00	9,780.00					
WQ	40073	City of Odem	06/20/2011	1.00:1	5,495.00	0.00	0.00	5,495.00	5,495.00
	POLLUTION REDUCTION	Respondent shall perform a waterway cleanup project (the "project") along Peter Swale Creek, in the Bayside Watershed, a tributary to the Aransas River, in San Patricio County, Texas. The project will remove illegally discarded bulky municipal waste including but not limited to abandoned tires, dilapidated furniture, broken appliances, animal carcasses, and trash. The project will be performed at the intersection of County Road 1694 and County Road 2269 in San Patricio County, Texas.	5,495.00	5,495.00					
WQ	40728	Vam USA LLC	06/20/2011	1.00:1	71,424.00	0.00	35,712.00	35,712.00	35,712.00
	POLLUTION PREVENTION	Contributions will be used in accordance with the Supplemental Environmental Project Agreement between the Armand Bayou Nature Center and the Texas Commission on Environmental Quality. The tallgrass prairie was once a common ecosystem in Texas and the United States. Today more than 99% of this habitat has been lost and the remainder is highly fragmented and severely threatened by exotic species and development. Prescribed burning is one stewardship tool used to maintain a tallgrass prairie ecosystem. If the prairie is not maintained, it can be destroyed by invasive species which primarily include the Chinese Tallow tree and other woody plants. Historically, prairies were managed by grazing, natural fires set by lightning, or fires set by Native Americans to aid in their hunter-gatherer lifestyle. ABNC must employ prescribed burning methods and can not use more natural stewardship tools due to its location in the center of a highly urbanized and industrialized area. ABNC=s stewards	17,856.00	17,856.00					
	POLLUTION PREVENTION	Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will pay for materials, supplies, disposal costs and transportation costs directly associated with the clean up of trash.	17,856.00	17,856.00					
WQ	40820	City of Big Lake	06/20/2011	1.00:1	11,934.00	0.00	0.00	11,934.00	11,934.00
	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies. Eligible Counties: Statewide Minimum Contribution: \$2,000	11,934.00	11,934.00					
WQ	39654	City of New Deal	10/25/2010	1.00:1	7,670.00	1,534.00	0.00	6,136.00	6,136.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	6,136.00	6,136.00					
WQ	41030	City of Kingsville	08/13/2011	1.00:1	10,600.00	0.00	0.00	10,600.00	10,600.00
	POLLUTION PREVENTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies. Eligible Counties: Statewide Minimum Contribution: \$2,000	10,600.00	10,600.00					
WQ	40409	City of Arlington	06/20/2011	1.00:1	7,500.00	0.00	0.00	7,500.00	7,500.00
	POLLUTION REDUCTION	Respondent shall perform a cleanup in Lake Arlington in the Village Creek Watershed, a tributary of the West Fork Trinity River on the western border of Arlington just north of Interstate 20. The specific locations for the freshwater cleanup include 3512 Lake Powell and 3502 Yacht Club Court (the "project").	7,500.00	7,500.00					
WQ	40228	Comal County	06/20/2011	1.00:1	1,875.00	375.00	0.00	1,500.00	1,500.00
	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires. Benefit: Helps rid communities of the dangers and health threats associated with illegal tire sites. Eligible Counties: All counties in the state of Texas that are within the boundaries of one of the 23 RC&D Areas in the state of Texas. Estimated Time to Complete: 12 months. Estimated Cost: \$ 4,500 per collection event.	1,500.00	1,500.00					
WQ	40063	Port O'Connor Municipal Utility District	02/20/2011	1.00:1	5,280.00	1,056.00	0.00	4,224.00	4,224.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to National Audubon Society for the Sundown Sanctuary Island Anti-erosion, Re-vegetation and Pest Control Project. The Sundown Island and four other small coastal islands located off Port O'Connor are major sites for nesting colonial waterbirds. These islands are increasingly subject to erosion and consequent land loss due to an increasing number of vessels, storm winds and surges, etc. Texas coastal sanctuary islands in this area host one of the largest roseate spoonbill, reddish egret and Brown pelican colonies in the U.S. Colonial. The SEP offset amount will be used to restore the size of the islands utilizing dredge spoil, conduct revegetation using native plants, and treat vegetation to control pest populations.  The SEP will be done in accordance with all federal, state and local environmental laws and regulations. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The Respondent shall not profit from this SEP in any manner. The Respondent certifies that it has no prior commitment to make this contribution and that it is being done solely in an effort to settle this enforcement action.					4,224.00	4,224.00	
WQ	40932	The Lubrizol Corporation	08/13/2011	1.00:1	35,800.00	7,160.00	14,320.00	14,320.00	14,320.00
	POLLUTION PREVENTION	Contributions will be used in accordance with the Supplemental Environmental Project Agreement between the Armand Bayou Nature Center and the Texas Commission on Environmental Quality. The tallgrass prairie was once a common ecosystem in Texas and the United States. Today more than 99% of this habitat has been lost and the remainder is highly fragmented and severely threatened by exotic species and development. Prescribed burning is one stewardship tool used to maintain a tallgrass prairie ecosystem. If the prairie is not maintained, it can be destroyed by invasive species which primarily include the Chinese Tallow tree and other woody plants. Historically, prairies were managed by grazing, natural fires set by lightning, or fires set by Native Americans to aid in their hunter-gatherer lifestyle. ABNC must employ prescribed burning methods and can not use more natural stewardship tools due to its location in the center of a highly urbanized and industrialized area. ABNC=s stewards						14,320.00	14,320.00
WQ	39871	Texas Department of Transportation	03/07/2011	1.00:1	3,900.00	780.00	0.00	3,120.00	3,120.00
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.						3,120.00	3,120.00
WQ	40909	San Antonio Water System	08/13/2011	1.00:1	22,500.00	0.00	0.00	22,500.00	22,500.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	Performing Party shall establish, operate and maintain water quality monitoring stations in streams, lakes, rivers, or other water bodies within one hundred miles of San Marcos, Texas. The stations will consist of ambient water quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous monitoring of area water quality. The information collected will be non-regulatory, and will be used to monitor the quality and safety of Texas surface waters, and to assess the state of the environment with real-time or near real-time monitoring data. SEP monies will be used to pay for the instrumentation, installation, and maintenance and/or operation of the instrumentation, data validation, software license, and web server costs.	22,500.00	22,500.00					
WQ	36948	City of Chico	10/25/2010	1.00:1	208,475.00	0.00	0.00	208,475.00	208,475.00
	POLLUTION REDUCTION	The Respondent shall provide first-time wastewater service to approximately 12 or more low-income residences that comply with the parameters of this SEP, up to the SEP Offset Amount for SEP No. 1 that have failing or inadequately designed septic systems, at no cost to the residents.	200,000.00	200,000.00					
	POLLUTION REDUCTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies.	8,475.00	8,475.00					
WQ	39446	City of Omaha	07/02/2011	1.00:1	9,020.00	0.00	0.00	9,020.00	9,020.00
	CLEAN UP	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. ("RC&D") to be used for the Cleanup of Unauthorized Trash Dumps program as set forth in an agreement between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, the SEP Offset Amount will be used to coordinate with city and county government officials and private entities to clean up sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be identified, where there is no pre-existing obligation to clean up the site by the owner or the government, and where reasonable efforts have already been taken to prevent the dumping. The SEP Offset Amount may be used for the direct cost of collection and disposal or recycling of debris. Any SEP Offset Amount remaining after completion of the Project may, upon approval of the Executive Director, be applied to another approved RC&D project.	9,020.00	9,020.00					
WQ	40686	Vopak Terminal Galena Park, Inc.	07/02/2011	1.00:1	17,640.00	0.00	8,820.00	8,820.00	8,820.00
	POLLUTION PREVENTION	Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will pay for materials, supplies, disposal costs and transportation costs directly associated with the clean up of trash.	8,820.00	8,820.00					
WQ	40193	City of San Marcos	03/19/2011	1.00:1	3,750.00	750.00	0.00	3,000.00	3,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

POLLUTION PREVENTION									
			<p>The Wenzel Tract Quarry is on an 85-acre property in Hays County, acquired by the Hill Country Conservancy and City of Austin to serve as an aquifer enhancement area for the Edwards Aquifer.</p> <p>This project involves using the quarry to capture and hold diverted storm flow until it can be absorbed or recharged into the aquifer. It has been estimated that the quarry could divert up to 275 acre feet of storm runoff into the aquifer annually. This would help protect against low or cessation of flow to Barton Springs, which is comprised of four springs that provide the only known habitat for two species of Plethodontid salamanders, Eurycea sosorum, the Barton Springs Salamander, and Eurycea waterlooensis, the Austin Blind Salamander. Eurycea sosorum was federally listed as endangered in 1998 and Eurycea waterlooensis is being evaluated for listing by the United States Fish and Wildlife Service. Additionally, the quarry is expected to attract nesting ducks and other waterfowl through mudflats and shallow water retention areas.</p> <p>Performing Party shall use SEP Funds on the property to:          1) Remove invasive plants; 2) provide native plantings; 3) perform water sampling and analysis; 4) create mudflats and shallow water retention areas; 5) provide nesting structures for birds; 6) restore and enhance wetlands; and 7) construct diversion channel to capture storm flow for aquifer recharge enhancement.</p>				3,000.00	3,000.00	
WQ	39668	City of New Waverly	11/15/2010	1.00:1	2,040.00	408.00	0.00	1,632.00	1,632.00
POLLUTION PREVENTION									
			<p>The Respondent shall contribute to SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&amp;D Unauthorized Trash Dump Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p>				1,632.00	1,632.00	
WQ	39900	Gulf Coast Waste Disposal Authority	02/05/2011	1.00:1	14,175.00	2,835.00	0.00	11,340.00	11,340.00
POLLUTION PREVENTION									
			<p>The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Galveston Bay Foundation to be used for the Galveston Bay Foundation "Marsh Mania" as set forth in the agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to restore shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation. This project will seek to raise elevations by scraping and terracing. Scraping involves the use of earthmoving equipment to grade an eroded, bluff-like shoreline so that it slopes gradually towards the water resulting in the ability of plant growth. Terracing uses earthmoving equipment to create small levees by burrowing and stacking sediment in shallow water. The high elevation can then be planted with emergent vegetation. SEP monies will be used to pay for the direct costs of the implementation of the project, including material, equipment, and labor costs.</p>				11,340.00	11,340.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

WQ	25652	City of Franklin	02/05/2011	1.00:1	47,310.00	0.00	0.00	47,310.00	47,310.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute the SEP amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide local residents with a means of properly disposing household hazardous wastes such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers in one day collection events. SEP monies will be used to pay for the associated labor, materials, and disposal costs. Citizens will not be charged disposal fees. The project is administered in accordance with TCEQ guidance on household hazardous waste and in compliance with federal, state, and local environmental laws and regulations. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs.</p>					10,000.00	10,000.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&amp;D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p>					15,000.00	15,000.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&amp;D Unauthorized Trash Dump Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p>					22,310.00	22,310.00
WQ	39982	City of Liberty	03/07/2011	1.00:1	36,733.00	0.00	0.00	36,733.00	36,733.00
		POLLUTION PREVENTION	<p>The Respondent shall repair or replace failing or inadequately designed private sewer lines, access units, and clean-outs for low-income residents in Liberty County. The SEP Offset Amount will be used for the cost of equipment and labor and to purchase new materials to repair or replace lines for a minimum of 50 low-income residences. The failure of these existing lines may result in overflows of untreated sewage to the environment, causing unsanitary conditions and environmental concerns. The homeowners will not be charged for any portion of the cost of this SEP.</p>					36,733.00	36,733.00
WQ	40887	City of Tenaha	07/02/2011	1.00:1	9,806.00	1,961.00	0.00	7,845.00	7,845.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	Project will repair or replace failing water systmes or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies. Eligible Counties: Statewide Minimum Contribution: \$2,000					7,845.00	7,845.00	
WQ	37737	Brookshire Municipal Water District	12/25/2010	1.00:1	58,395.00	0.00	0.00	58,395.00	58,395.00
	ENVIRONMENTAL ENHANCEMENT	Custom water meter replacement for homeowners and public rights-of-way. This SEP will provide a discernible environmental benefit by reducing the amount of potable water lost to system leakage.						58,395.00	58,395.00
WQ	34001	City of Strawn	07/31/2011	1.00:1	18,980.00	0.00	0.00	18,980.00	18,980.00
	POLLUTION PREVENTION	Respondent shall hold a one-day event for the collecting, recycling and proper disposal of tires, electronics (including non-working televisions and computers), appliances (including stoves, washers, dryers, and refrigerators/freezers without Freon), old furniture, and mattresses (the "Project"). Secure drop-off sites will be manned by City personnel who will oversee the separation of the collected materials. The City is contracted to use IESI as the collection and waste disposal contractor. Manifests will be provided to show proper transport, recycling and disposal of collected materials.						18,980.00	18,980.00
WQ	40760	Formosa Plastics Corporation, Texas *	07/31/2011	1.00:1	68,600.00	13,720.00	27,440.00	27,440.00	27,440.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to National Audubon Society for the Sundown Sanctuary Island Anti-erosion, Re-vegetation and Pest Control Project. The Sundown Island and four other small coastal islands located off Port O'Connor are major sites for nesting colonial waterbirds. These islands are increasingly subject to erosion and consequent land loss due to an increasing number of vessels, storm winds and surges, etc. Texas coastal sanctuary islands in this area host one of the largest roseate spoonbill, reddish egret and Brown pelican colonies in the U.S. Colonial. The SEP offset amount will be used to restore the size of the islands utilizing dredge spoil, conduct revegetation using native plants, and treat vegetation to control pest populations.  The SEP will be done in accordance with all federal, state and local environmental laws and regulations. All dollars contributed will be used s						27,440.00	27,440.00
WQ	38706	City of Dodd City	02/05/2011	1.00:1	14,645.00	0.00	12,215.00	2,430.00	2,430.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	Project will coordinate with city and/or county government for the clean up of sites where trash and debris has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified, there is no preexisting obligation to clean up the site by the owner or the government, and reasonable efforts have already been taken to prevent the dumping. SEP funds shall be used for the direct cost of collection and disposal of the trash and debris.  Benefit: Help rid communities of the dangers and health threats associated with non-regulated trash dumps which contaminate air and water, and harbor disease carrying animals and insects.  Eligible Counties: Statewide  Minimum Contribution: \$2,000					2,430.00	2,430.00	
WQ	39067	City of Hudson	12/04/2010	1.00:1	8,249.00	1,649.00	0.00	6,600.00	6,600.00
	POLLUTION PREVENTION	The Respondent shall hold a one-day event for the collection, recycling, or proper disposal of tires, batteries, electronics, and large and small household appliances. The Respondent shall offer a pickup service for elderly and disabled residents as well as offer a supervised designated drop-off location where the public can drop off materials for disposal or recycling at no cost to the citizens.						6,600.00	6,600.00
WQ	39919	City of Port Lavaca	02/20/2011	1.00:1	19,950.00	3,990.00	0.00	15,960.00	15,960.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.						15,960.00	15,960.00
WQ	40107	City of Waelder	02/05/2011	1.00:1	1,800.00	360.00	0.00	1,440.00	1,440.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful for the Stop Trashing Texas project as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will pay for the labor and disposal costs associated with cleanup of unauthorized trash dumps by supplying project coordination, labor, supplies, and materials for clean up events statewide. SEP funds used for this purpose shall be limited to the actual direct costs for the specific purpose necessary to meet the requirements of the SEP and no portion of the SEP funds will be spent on administrative costs related to this portion of the SEP. Trash dump cleanups may be coordinated with waste collection facilities and/or local governments to employ heavy machinery for the removal of large waste items. To the maximum extent possible, cleanups shall be accomplished with the use of volunteers from community groups, private companies, schools, and youth organizations. SEP funds may be used for activities. This project will be administered in accordance with federal, state, and local environmental laws and regulations.						1,440.00	1,440.00
WQ	39306	City of Pearsall	10/15/2010	1.00:1	3,025.00	605.00	0.00	2,420.00	2,420.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.					2,420.00	2,420.00	
WQ	39708	David Schuler Dba Spirit Custom Homes	11/15/2010	1.00:1	4,000.00	800.00	1,600.00	1,600.00	1,600.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful for the Stop Trashing Texas project as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will pay for the labor and disposal costs associated with cleanup of unauthorized trash dumps by supplying project coordination, labor, supplies, and materials for clean up events statewide. SEP funds used for this purpose shall be limited to the actual direct costs for the specific purpose necessary to meet the requirements of the SEP and no portion of the SEP funds will be spent on administrative costs related to this portion of the SEP. Trash dump cleanups may be coordinated with waste collection facilities and/or local governments to employ heavy machinery for the removal of large waste items. To the maximum extent possible, cleanups shall be accomplished with the use of volunteers from community groups, private companies, schools, and youth organizations. SEP funds may be used for activities. This project will be administered in accordance with federal, state, and local environmental laws and regulations.					1,600.00	1,600.00	
WQ	39609	City of Boyd	10/25/2010	1.00:1	6,360.00	1,272.00	0.00	5,088.00	5,088.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.					5,088.00	5,088.00	
WQ	40546	Harris County	06/20/2011	1.00:1	10,050.00	0.00	0.00	10,050.00	10,050.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	Contributions will be used in accordance with the Supplemental Environmental Project Agreement between the Armand Bayou Nature Center and the Texas Commission on Environmental Quality. The tallgrass prairie was once a common ecosystem in Texas and the United States. Today more than 99% of this habitat has been lost and the remainder is highly fragmented and severely threatened by exotic species and development. Prescribed burning is one stewardship tool used to maintain a tallgrass prairie ecosystem. If the prairie is not maintained, it can be destroyed by invasive species which primarily include the Chinese Tallow tree and other woody plants. Historically, prairies were managed by grazing, natural fires set by lightning, or fires set by Native Americans to aid in their hunter-gatherer lifestyle. ABNC must employ prescribed burning methods and can not use more natural stewardship tools due to its location in the center of a highly urbanized and industrialized area. ABNC=s stewards					10,050.00	10,050.00	
WQ	39571	City of Missouri City	03/19/2011	1.00:1	54,000.00	0.00	0.00	54,000.00	54,000.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third Party Recipient named above. The contribution will be to the Friends of the River San Bernard for the Friends of the River San Bernard Natural Area Acquisition and Conservation Program, as set forth in the SEP Agreement referenced above between the Third Party Recipient and the TCEQ. The SEP offset amount will be used to preserve a contiguous wetland corridor along the San Bernard River in lower Fort Bend County. Ducks Unlimited has protected an adjacent 114 acre tract with two conservation easements, but the contiguity of this wetland corridor greatly depends on the acquisition and preservation of the remaining acreage. This SEP will focus on three (3) tracts of land comprising approximately 645 acres in the wetland corridor on the north side of the San Bernard River and west of Armstrong Road. Third Party Recipient shall use SEP funds to acquire conservation easements on these tracts to provide permanent protection and stewardship of these lands and waters. All tracts acquired in whole or in part with SEP funds shall be preserved in perpetuity through conservation easements that comply with Subchapter A, Chapter 183, Texas Natural Resources Code. The Holder of the San Bernard Conservation Easements shall be WETLANDS AMERICA TRUST, INC. (affiliated with Ducks Unlimited) which Performing Party certifies is an entity that meets the definition of "holder" under Section 183.001(2), Texas Natural Resources Code.					54,000.00	54,000.00	
WQ	39372	City of Fredericksburg	02/20/2011	1.00:1	14,987.00	0.00	0.00	14,987.00	14,987.00
	POLLUTION PREVENTION	Project shall coordinate collection events for local residents to bring in household hazardous waste such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers for proper disposal. Where available, the projects may also offer electronics collection and recycling. SEP funds shall be used for collection, recycling and disposal.  Benefit: Provides a means of properly disposing of HHW which might otherwise be disposed of in storm drains, the sewage systems, or other means of detrimental to the environment.  Eligible Counties: Statewide  Minimum Contribution: \$4,500					14,987.00	14,987.00	
WQ	38918	City of Shepherd	11/15/2010	1.00:1	2,882.00	0.00	0.00	2,882.00	2,882.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.					2,882.00	2,882.00	
WQ	38820	City of Tatum	09/26/2010	1.00:1	10,720.00	2,144.00	0.00	8,576.00	8,576.00
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Unauthorized Trash Dump Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					8,576.00	8,576.00	
WQ	38763	Westlake Municipal Utility District 1	02/20/2011	1.00:1	18,875.00	0.00	0.00	18,875.00	18,875.00
	ENVIRONMENTAL RESTORATION	The Project will include restoring shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation. This project will seek to raise elevations by scraping and terracing. Scraping involves the use of earthmoving equipment to grade an eroded, bluff-like shoreline so that it slopes gradually towards the water resulting in the ability of plant growth. Terracing uses earthmoving equipment to create small levees by burrowing and stacking sediment in shallow water. The higher elevation can then be planted with emergent vegetation. SEP monies will be used to pay for the direct cost of the implementation of the project, including material, equipment and labor costs.					18,875.00	18,875.00	
WQ	39903	San Antonio Water System	12/04/2010	1.00:1	32,700.00	0.00	0.00	32,700.00	32,700.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

POLLUTION PREVENTION		<p>The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be used by San Antonio River Authority for the San Antonio River Water Quality Monitoring Network to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. The Third-Party recipient shall install additional water quality monitoring sites to complement and enhance the existing San Antonio River Basin Monitoring Network (SARBMN) along the San Antonio River in Bexar, Wilson, Karnes, and Goliad counties. The Third-Party recipient may also use SEP funds to pay for the continued operation and maintenance of existing SARBMN sites. The network is expected to document the effects of accidental spills and pollution events that affect the river as well as nonpoint source pollution. The Third-Party recipient may employ a contractor to install and provide ongoing operation and maintenance of the monitoring sites, validate data, analyze data, and provide documentation of water quality. Third-Party recipient shall compile data in a database for public information and make it available at <a href="http://www.texaswaterdata.org">www.texaswaterdata.org</a> and possibly other URLs. The information collected will be used to monitor the water quality within San Antonio River area waters with real time or near real time monitoring data. SEP funds will be used to pay for the instrumentation, installation, and maintenance and/or operation of the instrumentation, data validation, software license, and telemetry costs. Depending upon the site-specific data need(s) (coordinated with TCEQ), individual sites may monitor various water quality parameters such as dissolved oxygen, pH, specific conductance, temperature, turbidity, water level and stream flow. As technology and funding become available, the sites may be expanded to monitor additional parameters such as blue-green algae, chlorophyll, bacteria, and nutrients including ammonia, nitrates, and phosphates.</p>						32,700.00	32,700.00
WQ	40561	Westwood Water Supply Corporation	05/22/2011	1.00:1	26,740.00	5,348.00	0.00	21,392.00	21,392.00
POLLUTION PREVENTION		<p>Project shall identify sites where there is no responsible party who is able to pull the tanks. RC&amp;D shall give written notification to TCEQ of the responsible parties who are not able to pull the tanks and request TCEQ approval of these sites. Once the site is approved by TCEQ, RC&amp;D shall do the following: 1) conduct soil sampling and notify the TCEQ SEP Coordinator &amp; the appropriate TCEQ Regional Office w/in 24 hours as to whether sampling indicates a tank has or has not leaked; 2) remove &amp; properly dispose of any liquids found in the tanks; 3) do any necessary remediation; 4) backfill the hole; and 5) compile a UST closure report for each UST system removed.</p> <p>Eligible Counties: See web page for counties ineligible for receiving SEP funding for this project. Minimum Contribution: \$10,000</p>						21,392.00	21,392.00
WQ	40699	City of Azle	08/13/2011	1.00:1	37,160.00	0.00	0.00	37,160.00	37,160.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

CLEAN UP			<p>There are two separate custom projects in this SEP however, the penalty amounts are not specified between the projects. Project 1: Azle Collection Event and Project 2 Ash Creek and Reynolds Branch Creek Cleanup.</p> <p>Project 1. Respondent shall hold a one-day event for the collection, recycling, or proper disposal of concrete, logs, furniture, car parts, and large, bulky municipal household waste. Respondent shall offer a supervised drop-off collection site where the public can drop off materials for disposal or recycling at no cost to the citizens. The event will be advertised in a local newspaper to increase public awareness of and participation in the event and will include the required enforcement statement.</p> <p>Project 2. Respondent shall perform a waterway cleanup project along Ash Creek and Reynolds Branch Creek, in Tarrant County, Texas. For the Ash Creek cleanup, the project will be performed beginning at Stewart Street and proceed to Ash Creek Park. For Reynolds Branch Creek cleanup, the cleanup location will begin at Silver Creek Road and proceed to Ash Creek. The project will remove illegally discarded bulky solid waste including, but not limited to abandoned tires and tire rims, various car parts, concrete, logs, furniture, and trash. Respondent shall advertise the cleanup project with the required enforcement statement in the local newspaper.</p>					37,160.00	37,160.00
WQ	40896	Linde Gas North America LLC	07/31/2011	1.00:1	11,118.00	2,223.00	4,448.00	4,447.00	4,447.00
POLLUTION PREVENTION			<p>Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will pay for materials, supplies, disposal costs and transportation costs directly associated with the clean up of trash.</p>					4,447.00	4,447.00
WQ	40805	City of Cedar Park	07/02/2011	1.00:1	12,800.00	0.00	0.00	12,800.00	12,800.00
POLLUTION PREVENTION			<p>Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system.</p> <p>Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies.</p> <p>Eligible Counties: Statewide Minimum Contribution: \$2,000</p>					12,800.00	12,800.00
WQ	39495	Texas Department of Transportation	03/07/2011	1.00:1	3,000.00	600.00	0.00	2,400.00	2,400.00
POLLUTION PREVENTION			<p>The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&amp;D Unauthorized Trash Dump Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p>					2,400.00	2,400.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

WQ	40525	Stolthaven Houston, Inc.	05/22/2011	1.00:1	20,100.00	0.00	10,050.00	10,050.00	10,050.00
		POLLUTION PREVENTION	Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will pay for materials, supplies, disposal costs and transportation costs directly associated with the clean up of trash.					10,050.00	10,050.00
WQ	39016	City of Penelope	10/15/2010	1.00:1	10,197.00	2,039.00	0.00	8,158.00	8,158.00
		CLEAN UP	The Respondent shall hold a cleanup event to collect solid waste and remove and properly dispose of or recycle used tires throughout the city and surrounding areas, at no cost to the residents. The Respondent shall ensure that the event occurs after normal work hours or on weekend days if respondent's personnel are used, or in the alternative, a contractor may be used during regular business hours; offers to the public a no-cost option to dispose of tires and solid waste; if advertised, that the event is advertised in a newspaper of large circulation in the geographic area and that the advertisement includes a statement that the project is being performed as a Supplemental Environmental Project as a result of an enforcement action brought by TCEQ. The Respondent shall utilize a contractor to haul and properly dispose of or recycle the collected tires and solid waste. At the Executive Director's discretion, the Respondent may receive SEP Offset Amount credit only for the direct cost of implementing the project. Specifically, the Respondent may receive SEP Offset Amount credit for costs of equipment, materials, and contract labor costs in accordance with TCEQ expenditure restrictions on the use of SEP funds. Credit for the use of the SEP Offset Amount shall be limited to costs that are actual, documented, reasonable, and necessary to performance of the SEP. The Respondent must competitively bid work for the project to ensure that costs are reasonable and customary. The Respondent may receive credit for the reasonable and customary costs of labor if its employees are used in implementing the SEP. The Respondent shall not receive credit for volunteer labor or equipment. The SEP Offset Amount will be used for the direct cost of the project and no portion of the SEP Offset Amount shall be spent on the Respondent's administrative costs. The Respondent shall also not receive credit for gratuities and/or inducements (food, refreshments, or other gifts) for volunteers.					8,158.00	8,158.00
WQ	39139	City of Fredericksburg	09/26/2010	1.00:1	13,000.00	2,600.00	0.00	10,400.00	10,400.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide local residents with a means of properly disposing household hazardous wastes such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers in one day collection events. SEP monies will be used to pay for the associated labor, materials, and disposal costs. Citizens will not be charged disposal fees. The project is administered in accordance with TCEQ guidance on household hazardous waste and in compliance with federal, state, and local environmental laws and regulations. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs.					10,400.00	10,400.00
WQ	40392	City of Crockett	05/08/2011	1.00:1	9,850.00	1,970.00	0.00	7,880.00	7,880.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	ENVIRONMENTAL RESTORATION	The Respondent shall perform an erosion control project in Town Branch Creek to reduce siltation in a seasonal tributary that flows into Hurricane Bayou and into the Trinity River in Houston County. This project will consist of the installation of approximately 4,342 linear feet of slope protection to eliminate ongoing erosion at approximately 3 to 5 bends in the creek, beginning north of Pease Street (31 degs. 19'28.71"N 95 degs. 28'00.44"W) to the entrance of the North Wastewater Treatment Plant (31 degs. 19'59.21"N 95 degs. 28'.24.17"W). Slope stabilization will be addressed by the installation of R-Rap Walls (also referred to as a Bag Wall installation).					7,880.00	7,880.00	
WQ	40947	Northampton Municipal Utility District	08/13/2011	1.00:1	36,465.00	0.00	0.00	36,465.00	36,465.00
	POLLUTION PREVENTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to the Bayou Land Conservancy for the Spring Creek Greenway Project. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, this SEP will focus on preserving multiple tracts of land comprising approximately 1,000 acres in the riparian corridor east of FM 2978 and west of U.S. 59. The Third-Party Recipient shall use SEP Funds to acquire conservation easements on these tracts to provide permanent protection and stewardship of these lands and waters. The Third-Party Recipient shall also use SEP Funds to conduct restoration work, including tree plantings, on these tracts, as needed. All property acquired in whole or in part with SEP Funds must be preserved in perpetuity through a conservation easement that complies with Subchapter A, Chapter 183, Texas Natural Resources Code. The holder of the conservation easement must be an entity that meets the definition of "holder" under section 183.001(2), Texas Natural Resources Code. Additionally, the holder of the conservation easement may not also be the owner of the property. The Third-Party Recipient shall use only conservation easements that are substantially similar to the conservation easement described in the Supplement Environmental Project Agreement between Third-Party Recipient and TCEQ. The Third-Party Recipient shall not use or set aside SEP Funds for legal defense of these properties without prior written approval of the TCEQ.					36,465.00	36,465.00	
WQ	40930	Archdiocese of Galveston Houston	08/13/2011	1.00:1	7,625.00	0.00	0.00	7,625.00	7,625.00
	POLLUTION PREVENTION	Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to the Bayou Land Conservancy for the Spring Creek Greenway Project. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, this SEP will focus on preserving multiple tracts of land comprising approximately 1,000 acres in the riparian corridor east of FM 2978 and west of U.S. 59. The Third-Party Recipient shall use SEP Funds to acquire conservation easements on these tracts to provide permanent protection and stewardship of these lands and waters. The Third-Party Recipient shall also use SEP Funds to conduct restoration work, including tree plantings, on these tracts, as needed. All property acquired in whole or in part with SEP Funds must be preserved in perpetuity through a conservation easement that complies with Subchapter A, Chapter 183, Texas Na					7,625.00	7,625.00	
WQ	39134	LANXESS Corporation	08/27/2011	1.00:1	20,824.00	0.00	10,412.00	10,412.00	10,412.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Single Program

	POLLUTION PREVENTION	Specifically, the SEP Offset Amount will be used to perform energy efficiency upgrades on public buildings and infrastructure. The SEP Offset Amount may only be used for: (1) the cost of conducting energy efficiency audits; (2) the incremental cost for construction or implementation of energy-efficiency upgrades (versus the cost of non-energy efficiency upgrades); (3) the incremental cost of purchase of equipment or appliances that are more energy-efficient (versus the cost of purchasing non-energy efficient equipment or appliances); or (4) other direct costs authorized in advance by TCEQ. The SEP Offset Amount may only be used for the direct cost of performing this project and no portion will be spent on administrative costs. For items 2, 3, and 4 above, Performing Party shall submit a budget and proposals for expenditure to TCEQ for written approval prior to the use of SEP Funds.  Modifications may include but are not limited to weatherization; energy-reduction modifications such a					10,412.00	10,412.00	
WQ	39185	Granbury Materials, LLC	02/20/2011	1.00:1	14,000.00	0.00	11,690.00	2,310.00	2,310.00
	POLLUTION PREVENTION	Third Party Recipient shall coordinate with local public and private entities to provide low-income homeowners with assistance to enable repair or replacement of their failing water systems or on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients shall not be charged for the cost of replacing or repairing the failing systems.						2,310.00	2,310.00
WQ	40369	City of Duncanville	03/19/2011	1.00:1	9,160.00	0.00	0.00	9,160.00	9,160.00
	POLLUTION REDUCTION	The Respondent shall perform an erosion control project in Ten Mile Creek to reduce siltation in Tributary 11 of the Trinity River in Dallas County. This project will consist of the installation of approximately 61 linear feet of slope protection to eliminate ongoing erosion of the creek bank. Beginning at the back of the curb on the southwest corner of the lot at 1106 Spring Lake, along Tributary 11 of Ten Mile Creek, the wall will begin 200 feet east to the west creek top and proceed north-northeast approximately 61 feet to the end point of the wall. The embankment failure is located on the west side of Tributary 11 adjacent to 1106 Spring Lake Drive in Duncanville, Texas. Slope stabilization will be addressed by the installation of R-Rap Walls (also referred to as a Bag Wall installation). This SEP will provide a discernible environmental benefit by stabilizing the creek bank and preventing further erosion that would result in sedimentation and siltation in the waterway. The project will reduce erosion, pooling of stagnant water, and downstream sedimentation. Continued erosion leads to high sediment loads in waterways, silting, and disruption of ecosystems. This project will benefit and protect the water quality of Tributary 11, Ten Mile Creek and the Trinity River Basin.						9,160.00	9,160.00
<b>Count of Single Program Orders: 213</b>			Total:		9,128,720.00	1,047,349.00	3,368,820.00	1,712,551.00	1,712,551.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Multi-Media Program

Date Range Selected : 09/01/2010 - 08/31/2011

PGM	Case No.	Respondent Name	Effective Date	Ratio	Assessed Amt	Deferred Amt	Payable Amt	SEP Cost	SEP Offset
MM	38538	White Buffalo Environmental Services, LLC *	04/18/2011	1.00:1	13,436.00	0.00	6,718.00	6,718.00	6,718.00
		POLLUTION REDUCTION	<p>The Third-Party Recipient currently operates a Citizen's Recycling Center at 702 Warehouse Road in San Angelo, Texas. The Center is open to the general public and currently accepts the following types of materials for recycling primarily from citizens in Tom Green, Coke, Concho, and Irion Counties: glass, plastics, electronics/e-waste, office paper, aluminum, mixed metals, newspaper, magazines, appliances, oil and oil filters, and lead acid batteries.</p> <p>Use of equipment purchased with SEP Funds must be limited to activities related to recycling efforts. If any such equipment is sold after purchase with SEP Funds, the Third-Party Recipient shall receive a minimum of three bids for the purchase price and all proceeds shall go back into supporting the recycling operations at the Center. Sale of the equipment for less than the highest bid requires the prior approval of the TCEQ.</p> <p>All dollars contributed will be used solely for the direct cost of the project, and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p> <p>The Respondents certify that there is no prior commitment to make this contribution and that it is being done solely in an effort to settle this enforcement action.</p>					6,718.00	6,718.00
MM	40220	Shell Oil Company	06/20/2011	1.00:1	158,450.00	0.00	79,225.00	79,225.00	79,225.00
		ENVIRONMENTAL ENHANCEMENT	<p>The SEP Offset Amount will be used to preserve a contiguous wetland corridor along the San Bernard River. This is a land and water preservation project aimed at preserving approximately 645 acres of a contiguous wetland corridor along the San Bernard River in lower Fort Bend County. Ducks Unlimited has protected an adjacent 114 acre tract with two conservation easements, but the contiguity of this wetland corridor greatly depends on the acquisition and preservation of the remaining acreage.</p>					79,225.00	79,225.00
MM	38877	City of Dallas Water Utilities	02/20/2011	1.00:1	9,500.00	0.00	0.00	9,500.00	9,500.00
		POLLUTION PREVENTION	<p>Third Party Recipient shall coordinate with local public and private entities to provide low-income homeowners with assistance to enable repair or replacement of their failing water systems or on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients shall not be charged for the cost of replacing or repairing the failing systems.</p>					9,500.00	9,500.00
MM	39944	City of Mathis	07/31/2011	1.00:1	9,980.00	0.00	0.00	9,980.00	9,980.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Multi-Media Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Unauthorized Trash Dump Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	9,980.00	9,980.00					
MM	40428	City Of Midland	05/08/2011	1.00:1	11,200.00	2,240.00	0.00	8,960.00	8,960.00
	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires. Benefit: Helps rid communities of the dangers and health threats associated with illegal tire sites. Eligible Counties: All counties in the state of Texas that are within the boundaries of one of the 23 RC&D Areas in the state of Texas. Estimated Time to Complete: 12 months. Estimated Cost: \$ 4,500 per collection event.	8,960.00	8,960.00					
MM	38567	Citgo Refining and Chemicals Company L.P.	03/07/2011	1.00:1	303,294.00	0.00	151,647.00	151,647.00	151,647.00
	POLLUTION PREVENTION	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires. Benefit: Helps rid communities of the dangers and health threats associated with illegal tire sites. Eligible Counties: All counties in the state of Texas that are within the boundaries of one of the 23 RC&D Areas in the state of Texas.	151,647.00	151,647.00					
MM	39181	Veolia ES Technical Solutions, L.L.C.	06/20/2011	1.00:1	17,840.00	0.00	8,920.00	8,920.00	8,920.00
	POLLUTION PREVENTION	The contribution will be to Southeast Texas Regional Planning Commission for the West Port Arthur Home Energy Efficiency Program. The contribution will be used in accordance with the Supplemental Environmental Project between the Third-Party Recipient and the TCEQ (the "Project"). Specifically, SEP Offset Amount will be used to conduct home energy audits and to assist low income residents in the West Port Arthur area by weatherizing their homes, which will improve their homes' energy efficiency. SEP Offset Amount will be used to pay for the cost of caulking and insulating the homes and appliances, as well as for replacing heating and cooling systems and major appliances with new, energy efficient equipment.	8,920.00	8,920.00					
MM	39312	City Of Robert Lee	08/13/2011	1.00:1	28,940.00	0.00	0.00	28,940.00	28,940.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 10: List of SEP's & SEP Projects - FY2011

### Multi-Media Program

POLLUTION REDUCTION		<p>The Third-Party Recipient shall coordinate with public or private entities to remove underground storage tanks. The project will provide tank removal at sites where there is no responsible party or the responsible party is financially unable to remove the tanks. The Third-Party Recipient shall work with TCEQ as well as other entities to identify the responsible party (or parties) and the reason the party is not able to remove the tanks. The Third-Party Recipient shall request TCEQ approval of these sites prior to any work at the site.</p> <p>Once the site is approved by TCEQ, the Third-Party Recipient shall coordinate with authorized representatives to perform the tank removal in accordance with the applicable portions of TCEQ's guidance that covers removal of underground storage tanks, specifically RG-411.</p>					28,940.00	28,940.00	
MM	40581	City of Wills Point	07/31/2011	1.00:1	14,606.00	2,921.00	0.00	11,685.00	11,685.00
POLLUTION PREVENTION		<p>Respondent shall hold a three-day event for the collecting, recycling and proper disposal of tires, electronics (including non-working televisions), appliances (without Freon) and household hazardous waste ("HHW") including, but not limited to, pesticides, herbicides, paint, various solvents, old batteries, and gasoline and oil products (the "Project"). Secure drop-off sites will be manned by City personnel, and a contracted Haz-Mat certified person to oversee the separation of the HHW material from the collected materials. The City will hire a waste disposal contractor to take the segregated waste to a TCEQ-approved facility located at 5100 Youngblood, Dallas, Texas. Manifests will be provided to show proper transport, recycling and disposal of collected materials.</p>					11,685.00	11,685.00	
<b>Count of Multi-Media Program Orders: 9</b>			Total:	567,246.00	5,161.00	246,510.00	315,575.00	315,575.00	
<b>Grand Total Count of Orders: 222</b>			Grand Total:	9,695,966.00	1,052,510.00	3,615,330.00	5,028,126.00	5,028,126.00	

\* There are Multiple Respondent Names associated to this Case No.



## Appendix 11: List of Administrative Orders Issued FY2010

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AIREI	37806	Kaneka Texas Corporation	HG3299J	2009-0935-AIR-E	HARRIS	12/18/2009	\$1,950	\$390	\$1,560	\$0
AIREI	37933	Ranger Gas Gathering, L.L.C.	EA0042C	2009-1072-AIR-E	EASTLAND	02/07/2010	\$8,875	\$1,775	\$7,100	\$0
AIREI	36800	Salzgitter Mannesmann Stainless Tubes USA, Inc.	HG3179U	2008-1774-AIR-E	HARRIS	10/24/2009	\$7,350	\$0	\$7,350	\$0
		H								
<b>AIREI Orders Issued: 3</b>						<b>Total:</b>	<b>\$18,175</b>	<b>\$2,165</b>	<b>\$16,010</b>	<b>\$0</b>
AQ	37315	850 Pine Street, Inc.	1657	2009-0374-AIR-E	JEFFERSON	10/18/2009	\$9,575	\$1,915	\$3,830	\$3,830
AQ	37773	Accent Collision Center, Inc.	87962	2009-0879-AIR-E	TAYLOR	10/18/2009	\$750	\$150	\$600	\$0
AQ	37088	Affiliated Foods, Inc.	89263	2009-0128-AIR-E	RANDALL	11/27/2009	\$3,000	\$600	\$2,400	\$0
AQ	37501	Albemarle Corporation	1559	2009-0583-AIR-E	HARRIS	07/11/2010	\$7,200	\$0	\$3,600	\$3,600
AQ	37369	Albemarle Corporation	2310	2009-0427-AIR-E	HARRIS	10/18/2009	\$20,989	\$4,197	\$16,792	\$0
AQ	37884	Alcoa World Alumina LLC	4805700002	2009-1012-AIR-E	CALHOUN	10/31/2009	\$6,250	\$1,250	\$5,000	\$0
AQ	36471	Alcoa, Inc.	2050	2008-1425-AIR-E	MILAM	01/28/2010	\$19,655	\$0	\$9,828	\$9,827
AQ	37062	Alumax Mill Products, Inc.	1413	2009-0094-AIR-E	BOWIE	10/04/2009	\$11,929	\$2,385	\$9,544	\$0
AQ	37217	Amarillo Road Company, L.P.	45063	2009-0286-AIR-E	HALE	07/08/2010	\$25,200	\$0	\$25,200	\$0
AQ	37298	American Airlines, Inc.	1463	2009-0352-AIR-E	DENTON	09/21/2009	\$5,457	\$1,091	\$4,366	\$0
AQ	38641	Applied Fabrication & Field Services LLC		2009-1794-AIR-E	ORANGE	07/11/2010	\$1,050	\$210	\$840	\$0
AQ	37894	Approach Operating, L.L.C.	2797	2009-1032-AIR-E	CROCKETT	11/13/2009	\$32,108	\$6,421	\$25,687	\$0
AQ	37475	Ash Grove Texas, L.P.	1054	2009-0501-AIR-E	ELLIS	11/13/2009	\$5,725	\$0	\$5,725	\$0
AQ	38428	Atlas Pipeline Mid-Continent WestTex, LLC	19592	2009-1505-AIR-E	REAGAN	03/08/2010	\$3,975	\$795	\$3,180	\$0
AQ	38800	Basa Resources, Inc.		2009-1964-AIR-E	STEPHENS	07/11/2010	\$2,000	\$400	\$1,600	\$0
AQ	37122	Basell USA Inc.	9423	2009-0182-AIR-E	HARRIS	03/20/2010	\$2,950	\$0	\$2,950	\$0
AQ	38553	BASF Corporation	1733A	2009-1277-AIR-E	BRAZORIA	05/09/2010	\$6,425	\$1,285	\$5,140	\$0
AQ	37446	BASF Corporation	HG1249P	2009-0525-AIR-E	HARRIS	09/21/2009	\$13,300	\$2,660	\$10,640	\$0
AQ	38230	BASF Corporation	1331	2009-1386-AIR-E	HARRIS	02/22/2010	\$11,160	\$2,232	\$8,928	\$0
AQ	37497	BASF Corporation	BL0021O	2009-0572-AIR-E	BRAZORIA	09/21/2009	\$6,507	\$1,301	\$5,206	\$0
AQ	38705	BASF FINA Petrochemicals Limited Partnership	36644	2009-1852-AIR-E	JEFFERSON	06/04/2010	\$17,730	\$3,546	\$14,184	\$0
AQ	37646	BASF FINA Petrochemicals Limited Partnership	1877	2009-0750-AIR-E	JEFFERSON	10/31/2009	\$8,200	\$1,640	\$6,560	\$0
AQ	38527	Bayer Materialscience LLC	34017	2009-1666-AIR-E	CHAMBERS	04/25/2010	\$11,322	\$2,264	\$4,529	\$4,529
AQ	38855	Baytown Asphalt Materials, Ltd.	51817	2009-2025-AIR-E	CHAMBERS	06/18/2010	\$3,300	\$660	\$1,320	\$1,320
AQ	39179	Bell Helicopter Textron Inc	1630	2010-0268-AIR-E	TARRANT	07/11/2010	\$2,025	\$405	\$1,620	\$0

\* There are Multiple Respondent Names associated to this Case No.



**TCEQ**  
Singles Program

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	35960	Big City Crushed Concrete, L.P.		2008-0877-AIR-E	DENTON	10/18/2009	\$5,600	\$0	\$5,600	\$0
AQ	38834	Blackland Grain & Storage, Inc.	91007	2009-1998-AIR-E	BELL	04/25/2010	\$13,500	\$2,700	\$10,800	\$0
AQ	37492	Bob King's Truck Beds, LLC		2009-0562-AIR-E	WISE	10/18/2009	\$2,880	\$576	\$2,304	\$0
AQ	36513	BUENO, HERMENEGILDO	EE1054N	2008-1467-AIR-E	EL PASO	10/18/2009	\$1,260	\$252	\$1,008	\$0
AQ	38284	Cabot Corporation	1666	2009-1417-AIR-E	GRAY	02/22/2010	\$2,430	\$486	\$1,944	\$0
AQ	37572	Casita Enterprises, Inc.	1802	2009-0657-AIR-E	NAVARRO	10/31/2009	\$2,375	\$475	\$1,900	\$0
AQ	38493	Chemical Lime, Ltd.	1122	2009-1635-AIR-E	COMAL	04/25/2010	\$5,400	\$1,080	\$4,320	\$0
AQ	37171	Chemical Lime, Ltd.	1108	2009-0223-AIR-E	BOSQUE	10/04/2009	\$6,000	\$1,200	\$4,800	\$0
AQ	38495	Chemical Lime, Ltd.	1108	2009-1455-AIR-E	BOSQUE	03/20/2010	\$2,825	\$565	\$2,260	\$0
AQ	37477	Chevron Phillips Chemical Company, LP	2151	2009-0523-AIR-E	BRAZORIA	11/13/2009	\$10,245	\$2,049	\$4,098	\$4,098
AQ	37334	Chevron Phillips Chemical Company, LP	HW0013C	2009-0396-AIR-E	HUTCHINSON	09/21/2009	\$25,000	\$5,000	\$10,000	\$10,000
AQ	38886	Chevron Phillips Chemical Company, LP	21101	2009-2037-AIR-E	JEFFERSON	07/11/2010	\$31,250	\$6,250	\$25,000	\$0
AQ	37425	Chevron Phillips Chemical Company, LP	18568	2009-0489-AIR-E	JEFFERSON	09/21/2009	\$8,034	\$1,606	\$6,428	\$0
AQ	37896	Chevron Phillips Chemical Company, LP	22690	2009-1023-AIR-E	BRAZORIA	01/28/2010	\$20,700	\$4,140	\$8,280	\$8,280
AQ	39157	Chevron Phillips Chemical Company, LP	2165	2010-0247-AIR-E	HUTCHINSON	08/21/2010	\$3,070	\$614	\$2,456	\$0
AQ	37778	Chevron Phillips Chemical Company, LP	2164	2009-0893-AIR-E	HUTCHINSON	11/27/2009	\$6,994	\$1,398	\$5,596	\$0
AQ	37168	Chevron Phillips Chemical Company, LP	21101	2009-0221-AIR-E	JEFFERSON	03/08/2010	\$20,278	\$0	\$20,278	\$0
AQ	37337	Chevron Phillips Chemical Company, LP	21101	2009-0389-AIR-E	JEFFERSON	09/21/2009	\$7,450	\$1,490	\$5,960	\$0
AQ	38327	Chevron Phillips Chemical Company, LP	1310	2009-1466-AIR-E	ORANGE	04/11/2010	\$2,650	\$530	\$2,120	\$0
AQ	37536	Citgo Refining and Chemicals Company L.P.	46644	2009-0622-AIR-E	NUECES	09/21/2009	\$4,800	\$960	\$3,840	\$0
AQ	37277	Citgo Refining and Chemicals Company L.P.	8778A	2009-0340-AIR-E	NUECES	10/04/2009	\$7,700	\$1,540	\$6,160	\$0
AQ	35918	Citgo Refining and Chemicals Company L.P.	2695A	2008-0837-AIR-E	NUECES	10/04/2009	\$10,000	\$0	\$10,000	\$0
AQ	37724	City of Edinburg	2841	2009-0821-AIR-E	HIDALGO	11/27/2009	\$1,280	\$256	\$1,024	\$0
AQ	38218	City of Snyder	2383	2009-1364-AIR-E	SCURRY	01/28/2010	\$2,050	\$410	\$1,640	\$0
AQ	39349	Clarke Products, Inc.	2888	2010-0435-AIR-E	TARRANT	08/09/2010	\$1,000	\$200	\$800	\$0
AQ	38294	Clearstream Wastewater Systems, Incorporated	1796	2009-1420-AIR-E	HARDIN	02/22/2010	\$7,125	\$1,425	\$5,700	\$0
AQ	36872	Coastal Industrial Coatings, Incorporated		2008-1883-AIR-E	HARDIN	10/24/2009	\$5,200	\$0	\$5,200	\$0
AQ	38348	ConocoPhillips Company	30513	2009-1481-AIR-E	BRAZORIA	03/20/2010	\$10,000	\$0	\$5,000	\$5,000
AQ	38039	ConocoPhillips Company	5920A	2009-1203-AIR-E	BRAZORIA	01/28/2010	\$16,975	\$3,395	\$6,790	\$6,790

\* There are Multiple Respondent Names associated to this Case No.



## Appendix 11: List of Administrative Orders Issued FY2010

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	37999	ConocoPhillips Company	1440	2009-1156-AIR-E	HUTCHINSON	12/18/2009	\$17,850	\$3,570	\$7,140	\$7,140
AQ	37094	ConocoPhillips Company	1440	2009-0129-AIR-E	HUTCHINSON	11/27/2009	\$304,126	\$60,825	\$121,651	\$121,650
AQ	39196	ConocoPhillips Company	22086	2010-0284-AIR-E	BRAZORIA	08/30/2010	\$10,000	\$0	\$5,000	\$5,000
AQ	37657	ConocoPhillips Company	21593	2009-0602-AIR-E	CHAMBERS	10/31/2009	\$4,900	\$980	\$3,920	\$0
AQ	38385	ConocoPhillips Company	234	2009-1476-AIR-E	MOORE	04/25/2010	\$21,415	\$4,283	\$17,132	\$0
AQ	37249	ConocoPhillips Company	5920A	2009-0301-AIR-E	BRAZORIA	09/21/2009	\$10,000	\$0	\$10,000	\$0
AQ	37640	Davis Gas Processing, Inc.	3024	2009-0734-AIR-E	CROCKETT	11/27/2009	\$36,085	\$7,217	\$28,868	\$0
AQ	38577	DCP Midstream, LP	8925	2009-1718-AIR-E	PANOLA	04/11/2010	\$5,355	\$1,071	\$2,142	\$2,142
AQ	37612	DCP Midstream, LP	18466	2009-0647-AIR-E	MIDLAND	11/27/2009	\$2,460	\$492	\$1,968	\$0
AQ	37566	DCP Midstream, LP	20326	2009-0636-AIR-E	HOWARD	10/31/2009	\$1,500	\$300	\$1,200	\$0
AQ	37722	DCP Midstream, LP	74738	2009-0809-AIR-E	JIM WELLS	11/27/2009	\$10,139	\$0	\$5,070	\$5,069
AQ	37766	DCP Midstream, LP	181	2009-0875-AIR-E	HUTCHINSON	11/27/2009	\$12,300	\$2,460	\$4,920	\$4,920
AQ	38684	DCP Midstream, LP	2556	2009-1833-AIR-E	JIM WELLS	06/18/2010	\$10,127	\$0	\$5,064	\$5,063
AQ	37893	DCP Midstream, LP	2593	2009-0994-AIR-E	CROCKETT	02/22/2010	\$9,150	\$1,830	\$3,660	\$3,660
AQ	36093	Destructors, Inc.	949753F	2008-1012-AIR-E	LIMESTONE	09/21/2009	\$60,000	\$0	\$60,000	\$0
AQ	38627	Devon Gas Services L.P.	JHA018R	2009-1765-AIR-E	JOHNSON	05/09/2010	\$750	\$150	\$600	\$0
AQ	37248	Diamond Shamrock Refining Company, L.P.	9708	2009-0300-AIR-E	MOORE	10/04/2009	\$17,004	\$3,400	\$6,802	\$6,802
AQ	38563	Diamond Shamrock Refining Company, L.P.	89852	2009-1705-AIR-E	LIVE OAK	08/09/2010	\$53,235	\$10,647	\$42,588	\$0
AQ	37660	Diamond Shamrock Refining Company, L.P.	1555	2009-0748-AIR-E	MOORE	08/09/2010	\$245,227	\$0	\$122,614	\$122,613
AQ	34660	Diamond Shamrock Refining Company, L.P.	1555	2007-1544-AIR-E	MOORE	11/13/2009	\$140,443	\$28,088	\$56,178	\$56,177
AQ	38429	Dobbs Coating Systems, Inc.		2009-1703-AIR-E	PARKER	05/09/2010	\$2,000	\$400	\$1,600	\$0
AQ	38794	E. I. du Pont de Nemours and Company	2055	2009-1958-AIR-E	ORANGE	07/11/2010	\$40,000	\$8,000	\$16,000	\$16,000
AQ	37883	E. I. du Pont de Nemours and Company	9176	2009-1011-AIR-E	ORANGE	02/07/2010	\$9,350	\$1,870	\$3,740	\$3,740
AQ	37210	E. I. du Pont de Nemours and Company	9176	2009-0285-AIR-E	ORANGE	10/04/2009	\$14,178	\$2,835	\$5,672	\$5,671
AQ	37753	E. I. du Pont de Nemours and Company	4351	2009-0861-AIR-E	JEFFERSON	11/13/2009	\$6,075	\$1,215	\$4,860	\$0
AQ	38737	E. I. du Pont de Nemours and Company	4351	2009-1887-AIR-E	JEFFERSON	05/09/2010	\$6,275	\$1,255	\$5,020	\$0
AQ	38075	E. I. du Pont de Nemours and Company	4351	2009-1230-AIR-E	JEFFERSON	02/22/2010	\$26,448	\$5,289	\$21,159	\$0
AQ	37510	E. I. du Pont de Nemours and Company	20204	2009-0600-AIR-E	ORANGE	10/31/2009	\$26,975	\$5,395	\$10,790	\$10,790
AQ	37697	Eagle Rock Desoto Pipeline, L.P.	2997	2009-0790-AIR-E	NACOGDOCHES	10/18/2009	\$1,975	\$395	\$1,580	\$0

\* There are Multiple Respondent Names associated to this Case No.



## Appendix 11: List of Administrative Orders Issued FY2010

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	38220	Eagle Rock Field Services, L.P.	522	2009-1143-AIR-E	CARSON	12/18/2009	\$1,875	\$375	\$1,500	\$0
AQ	38319	Eagle Rock Field Services, L.P.	18500	2009-1447-AIR-E	GRAY	03/20/2010	\$5,700	\$1,140	\$4,560	\$0
AQ	38963	Eagle Rock Field Services, L.P.	146	2010-0041-AIR-E	WHEELER	08/30/2010	\$2,650	\$530	\$2,120	\$0
AQ	39038	Eagle Rock Field Services, L.P.	44650	2010-0123-AIR-E	CARSON	06/26/2010	\$10,504	\$2,100	\$8,404	\$0
AQ	38346	Eagle Rock Field Services, L.P.	624	2009-1432-AIR-E	GRAY	04/11/2010	\$2,730	\$546	\$2,184	\$0
AQ	37961	Eagle Rock Field Services, L.P.	154	2009-1077-AIR-E	HEMPHILL	12/18/2009	\$1,875	\$375	\$1,500	\$0
AQ	38275	Eagle Rock Field Services, L.P.	477	2009-1395-AIR-E	MOORE	03/20/2010	\$1,875	\$375	\$1,500	\$0
AQ	38276	Eagle Rock Field Services, L.P.	3173	2009-1396-AIR-E	HEMPHILL	04/11/2010	\$1,976	\$395	\$1,581	\$0
AQ	37939	Eagle Rock Field Services, L.P.	44650	2009-1083-AIR-E	CARSON	01/28/2010	\$2,054	\$410	\$1,644	\$0
AQ	36535	Eastman Chemical Company	1979	2008-1449-AIR-E	HARRISON	01/28/2010	\$75,839	\$0	\$37,920	\$37,919
AQ	34588	Elegant Craftworks, Inc.	2096	2007-1480-AIR-E	DALLAS	11/13/2009	\$5,250	\$0	\$5,250	\$0
AQ	38056	Enbridge Pipelines (East Texas) L.P.	2559	2009-1153-AIR-E	MARION	02/07/2010	\$1,875	\$375	\$1,500	\$0
AQ	37796	Enbridge Pipelines (Texas Gathering) L.P.	2973	2009-0920-AIR-E	HEMPHILL	10/31/2009	\$5,295	\$1,059	\$4,236	\$0
AQ	37549	Enterprise Products Operating L.P.	642	2009-0621-AIR-E	POLK	10/31/2009	\$19,000	\$3,800	\$15,200	\$0
AQ	38924	Enterprise Products Operating LLC	642	2010-0008-AIR-E	POLK	06/18/2010	\$24,250	\$4,850	\$19,400	\$0
AQ	38925	Equistar Chemicals, LP	NE0051B	2010-0010-AIR-E	NUECES	07/11/2010	\$4,850	\$970	\$3,880	\$0
AQ	36512	Equistar Chemicals, LP	2174	2008-1474-AIR-E	HARRIS	03/20/2010	\$29,252	\$0	\$29,252	\$0
AQ	36026	Equistar Chemicals, LP	19109	2008-0958-AIR-E	HARRIS	01/28/2010	\$51,857	\$0	\$51,857	\$0
AQ	38455	Equistar Chemicals, LP	2128	2009-1593-AIR-E	HARRIS	07/11/2010	\$111,855	\$22,370	\$89,485	\$0
AQ	37415	Equistar Chemicals, LP	4634B	2009-0480-AIR-E	BRAZORIA	08/09/2010	\$7,140	\$0	\$7,140	\$0
AQ	36007	Equistar Chemicals, LP	6257E	2008-0923-AIR-E	HARRIS	12/18/2009	\$5,575	\$0	\$5,575	\$0
AQ	35212	Equistar Chemicals, LP	BL0113I	2008-0080-AIR-E	BRAZORIA	03/20/2010	\$50,000	\$0	\$50,000	\$0
AQ	36408	Equistar Chemicals, LP	4682B	2008-1350-AIR-E	NUECES	04/25/2010	\$10,273	\$0	\$10,273	\$0
AQ	38629	Equistar Chemicals, LP	4477	2009-1781-AIR-E	HARRIS	08/21/2010	\$10,000	\$2,000	\$8,000	\$0
AQ	34415	Equistar Chemicals, LP	4634B	2007-1315-AIR-E	BRAZORIA	02/07/2010	\$51,600	\$0	\$51,600	\$0
AQ	37090	Equistar Chemicals, LP	4477	2009-0154-AIR-E	HARRIS	08/09/2010	\$62,038	\$0	\$62,038	\$0
AQ	37184	Equistar Chemicals, LP	HG0033B	2009-0263-AIR-E	HARRIS	08/09/2010	\$64,000	\$0	\$64,000	\$0
AQ	38411	Equistar Chemicals, LP	6257E	2009-1549-AIR-E	HARRIS	04/11/2010	\$6,150	\$1,230	\$4,920	\$0
AQ	38810	Equistar Chemicals, LP	6257E	2009-1976-AIR-E	HARRIS	06/18/2010	\$10,000	\$0	\$10,000	\$0
AQ	36134	Equistar Chemicals, LP	1768	2008-1085-AIR-E	HARRIS	01/28/2010	\$13,240	\$0	\$13,240	\$0
AQ	38853	Equistar Chemicals, LP	18978	2009-2024-AIR-E	HARRIS	06/18/2010	\$30,000	\$6,000	\$24,000	\$0
AQ	36175	Equistar Chemicals, LP	CI0002G	2008-1123-AIR-E	CHAMBERS	04/11/2010	\$5,250	\$0	\$5,250	\$0

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**Singles Program**

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	37166	Equistar Chemicals, LP	HG1996R	2009-0219-AIR-E	HARRIS	02/22/2010	\$40,000	\$0	\$40,000	\$0
AQ	37719	Excalibur Collision Center, Inc.	DB4024F	2009-0810-AIR-E	DALLAS	11/27/2009	\$1,000	\$200	\$800	\$0
AQ	38369	Explorer Pipeline Company	27987	2009-1434-AIR-E	TARRANT	02/07/2010	\$3,475	\$695	\$2,780	\$0
AQ	38922	Exxon Mobil Corporation	18287	2010-0007-AIR-E	HARRIS	08/30/2010	\$50,000	\$0	\$25,000	\$25,000
AQ	35824	Exxon Mobil Corporation	HG0228H	2008-0734-AIR-E	HARRIS	03/20/2010	\$30,000	\$0	\$15,000	\$15,000
AQ	38534	Exxon Mobil Corporation	18287	2009-1677-AIR-E	HARRIS	04/25/2010	\$40,000	\$8,000	\$16,000	\$16,000
AQ	38278	Exxon Mobil Corporation	1229	2009-1539-AIR-E	HARRIS	06/04/2010	\$111,750	\$22,350	\$44,700	\$44,700
AQ	37938	Exxon Mobil Corporation	18287	2009-1080-AIR-E	HARRIS	03/08/2010	\$40,000	\$0	\$20,000	\$20,000
AQ	37858	Exxon Mobil Corporation	3452	2009-0986-AIR-E	HARRIS	01/28/2010	\$7,800	\$1,560	\$3,120	\$3,120
AQ	37325	Exxon Mobil Corporation	3452	2009-0388-AIR-E	HARRIS	08/30/2010	\$10,000	\$0	\$5,000	\$5,000
AQ	37164	Exxon Mobil Corporation	3452	2009-0217-AIR-E	HARRIS	10/04/2009	\$18,725	\$3,745	\$7,490	\$7,490
AQ	38392	Exxon Mobil Corporation	1553	2009-1524-AIR-E	HARRIS	04/25/2010	\$31,425	\$6,285	\$25,140	\$0
AQ	37831	Exxon Mobil Corporation	390	2009-0958-AIR-E	NACOGDOCHES	11/27/2009	\$16,800	\$3,360	\$13,440	\$0
AQ	37010	Exxon Mobil Corporation	18287	2009-0073-AIR-E	HARRIS	09/21/2009	\$19,875	\$3,975	\$7,950	\$7,950
AQ	37573	Exxon Mobil Corporation	3452	2009-0654-AIR-E	HARRIS	10/31/2009	\$9,325	\$1,865	\$3,730	\$3,730
AQ	38796	Exxon Mobil Corporation	3452	2009-1952-AIR-E	HARRIS	06/04/2010	\$10,000	\$0	\$5,000	\$5,000
AQ	36759	Exxon Mobil Corporation	18287	2008-1727-AIR-E	HARRIS	10/18/2009	\$30,000	\$0	\$15,000	\$15,000
AQ	38071	ExxonMobil Oil Corporation	2754	2009-1227-AIR-E	JEFFERSON	03/08/2010	\$5,000	\$1,000	\$2,000	\$2,000
AQ	37491	ExxonMobil Oil Corporation	JE00671	2009-0568-AIR-E	JEFFERSON	10/04/2009	\$20,000	\$4,000	\$8,000	\$8,000
AQ	37108	ExxonMobil Oil Corporation	19566	2009-0153-AIR-E	JEFFERSON	10/18/2009	\$38,494	\$0	\$38,494	\$0
AQ	37554	Federal Heath Sign Company, L.L.C.	37278	2009-0624-AIR-E	CHEROKEE	07/11/2010	\$15,000	\$3,000	\$12,000	\$0
AQ	37534	Felix Jackson dba Jackson Brothers Ranch	CI0087S	2009-0604-AIR-E	CHAMBERS	03/20/2010	\$3,500	\$0	\$3,500	\$0
AQ	38263	Firestone Polymers, LLC	292	2009-1402-AIR-E	ORANGE	03/08/2010	\$6,531	\$1,306	\$5,225	\$0
AQ	38778	Firestone Polymers, LLC	292	2009-1933-AIR-E	ORANGE	06/04/2010	\$6,450	\$1,290	\$2,580	\$2,580
AQ	38530	Flint Hills Resources, LP	16989	2009-1676-AIR-E	JEFFERSON	06/26/2010	\$52,054	\$10,410	\$20,822	\$20,822
AQ	38478	Flint Hills Resources, LP	8803A	2009-1614-AIR-E	NUECES	03/20/2010	\$15,876	\$3,175	\$12,701	\$0
AQ	39345	Flint Hills Resources, LP	8803A	2010-0433-AIR-E	NUECES	08/21/2010	\$5,400	\$1,080	\$4,320	\$0
AQ	38465	Flint Hills Resources, LP	6308	2009-1603-AIR-E	NUECES	03/08/2010	\$5,700	\$1,140	\$4,560	\$0
AQ	39187	Flint Hills Resources, LP	16989	2010-0309-AIR-E	JEFFERSON	08/30/2010	\$29,400	\$0	\$14,700	\$14,700
AQ	37793	Flint Hills Resources, LP	16989	2009-0916-AIR-E	JEFFERSON	12/18/2009	\$18,275	\$0	\$9,138	\$9,137
AQ	38833	Flint Hills Resources, LP	16989	2009-1999-AIR-E	JEFFERSON	07/11/2010	\$17,550	\$3,510	\$7,020	\$7,020
AQ	38168	Flint Hills Resources, LP	16989	2009-1335-AIR-E	JEFFERSON	02/22/2010	\$16,715	\$3,343	\$6,686	\$6,686

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**Singles Program**

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	39141	Flint Hills Resources, LP	4835500017	2010-0233-AIR-E	NUECES	08/09/2010	\$6,025	\$1,205	\$4,820	\$0
AQ	36843	Flying J, Inc.	EE1111D	2008-1835-AIR-E	EL PASO	09/21/2009	\$1,240	\$248	\$992	\$0
AQ	37165	Formosa Plastics Corporation, Texas	7699	2009-0216-AIR-E	CALHOUN	10/04/2009	\$62,555	\$12,511	\$25,022	\$25,022
AQ	38127	Fort Worth Excavating, Inc.	TA1189L	2009-1289-AIR-E	TARRANT	05/09/2010	\$5,160	\$1,032	\$4,128	\$0
AQ	38452	Frontera Generation Limited Partnership	1827	2009-1597-AIR-E	HIDALGO	02/22/2010	\$5,600	\$1,120	\$4,480	\$0
AQ	38407	GAFFORD, JOSH		2009-1563-AIR-E	LEON	05/09/2010	\$900	\$180	\$720	\$0
AQ	38257	GB Biosciences Corporation	2266	2009-1394-AIR-E	HARRIS	02/22/2010	\$9,843	\$1,968	\$3,938	\$3,937
AQ	36495	General Dynamics Ordnance and Tactical Systems, Inc.	DB0482W	2008-1447-AIR-E	DALLAS	01/28/2010	\$3,125	\$0	\$3,125	\$0
AQ	37443	Genesis Quality Aggregates, Ltd.	83522	2009-0544-AIR-E	MAVERICK	03/20/2010	\$3,000	\$600	\$2,400	\$0
AQ	38251	Georgia-Pacific Wood Products LLC	1195	2009-1380-AIR-E	NEWTON	02/22/2010	\$1,875	\$375	\$1,500	\$0
AQ	37349	GRADY, JIMMY P		2009-0429-AIR-E	GRAYSON	02/07/2010	\$1,450	\$0	\$1,450	\$0
AQ	37979	Guardian Industries Corp.	1091	2009-1138-AIR-E	NAVARRO	04/25/2010	\$59,696	\$11,939	\$47,757	\$0
AQ	37928	Gulf Coast Waste Disposal Authority	40782	2009-1076-AIR-E	HARRIS	01/28/2010	\$7,350	\$1,470	\$0	\$5,880
AQ	37908	Hayward Baker, Inc.	82791L002	2009-1042-AIR-E	JEFFERSON	10/31/2009	\$750	\$150	\$600	\$0
AQ	38231	Helena Chemical Company	88408	2009-1341-AIR-E	PALO PINTO	04/11/2010	\$2,000	\$400	\$1,600	\$0
AQ	37779	Helena Chemical Company	3805	2009-0871-AIR-E	WICHITA	11/13/2009	\$6,250	\$1,250	\$5,000	\$0
AQ	38370	Helena Chemical Company	89054	2009-1433-AIR-E	ELLIS	02/07/2010	\$2,000	\$400	\$1,600	\$0
AQ	38018	Hess Corporation	4816500017	2009-1173-AIR-E	GAINES	03/08/2010	\$19,050	\$3,810	\$7,620	\$7,620
AQ	38299	HFOTCO LLC	HG0345C	2009-1429-AIR-E	HARRIS	01/28/2010	\$2,125	\$425	\$1,700	\$0
AQ	37710	Houston Refining LP	1372	2009-0779-AIR-E	HARRIS	08/09/2010	\$10,000	\$2,000	\$8,000	\$0
AQ	35873	Houston Refining LP	HG0048L	2008-0790-AIR-E	HARRIS	10/31/2009	\$37,950	\$0	\$37,950	\$0
AQ	36508	Houston Refining LP	HG0048L	2008-1454-AIR-E	HARRIS	08/09/2010	\$10,000	\$0	\$10,000	\$0
AQ	38965	Houston Refining LP	2167	2010-0028-AIR-E	HARRIS	07/11/2010	\$10,000	\$2,000	\$8,000	\$0
AQ	37127	Houston Refining LP	2167	2009-0181-AIR-E	HARRIS	12/18/2009	\$40,524	\$0	\$40,524	\$0
AQ	37780	Huntsman Petrochemical Corporation	1322	2009-0894-AIR-E	JEFFERSON	03/20/2010	\$68,725	\$13,745	\$27,490	\$27,490
AQ	38189	HUYNH, TU		2009-1378-AIR-E	GALVESTON	03/20/2010	\$1,050	\$210	\$840	\$0
AQ	37426	INEOS Americas LLC	5215A	2009-0490-AIR-E	JEFFERSON	11/27/2009	\$12,120	\$2,424	\$9,696	\$0
AQ	37665	INEOS USA LLC	95	2009-0758-AIR-E	BRAZORIA	11/13/2009	\$10,000	\$2,000	\$8,000	\$0
AQ	38595	INEOS USA LLC	95	2009-1737-AIR-E	BRAZORIA	04/25/2010	\$10,000	\$2,000	\$8,000	\$0
AQ	37943	INEOS USA LLC	95	2009-1084-AIR-E	BRAZORIA	04/11/2010	\$40,000	\$0	\$20,000	\$20,000
AQ	37755	INEOS USA LLC	49823	2009-0715-AIR-E	HARRIS	10/31/2009	\$6,900	\$1,380	\$5,520	\$0
AQ	37435	Intercontinental Terminals Company LLC	1078	2009-0500-AIR-E	HARRIS	10/18/2009	\$10,000	\$0	\$5,000	\$5,000

\* There are Multiple Respondent Names associated to this Case No.



**TCEQ**  
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**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	38978	Intercontinental Terminals Company LLC	1078	2010-0065-AIR-E	HARRIS	08/30/2010	\$10,000	\$2,000	\$8,000	\$0
AQ	37304	INVISTA S.a r.l.	1904	2009-0364-AIR-E	VICTORIA	10/18/2009	\$5,356	\$1,071	\$2,143	\$2,142
AQ	37490	INVISTA S.a r.l.	1996	2009-0561-AIR-E	ORANGE	06/18/2010	\$22,660	\$0	\$11,330	\$11,330
AQ	38406	INVISTA S.a r.l.	1908	2009-1538-AIR-E	HARRIS	06/26/2010	\$4,550	\$910	\$3,640	\$0
AQ	38679	ISP Synthetic Elastomers LLC	9908	2009-1820-AIR-E	JEFFERSON	04/25/2010	\$10,450	\$2,090	\$4,180	\$4,180
AQ	37616	ISP Synthetic Elastomers LLC	9908	2009-0699-AIR-E	JEFFERSON	02/07/2010	\$27,170	\$5,434	\$21,736	\$0
AQ	37290	Jeld-Wen, Inc.	21189	2009-0357-AIR-E	HOPKINS	10/04/2009	\$5,500	\$1,100	\$2,200	\$2,200
AQ	38109	JMSL LLC		2009-1276-AIR-E	MAVERICK	07/16/2010	\$3,500	\$0	\$3,500	\$0
AQ	29964	K & K Tank Cleaning Systems, Inc.	31434	2006-0861-AIR-E	TARRANT	06/18/2010	\$10,440	\$0	\$10,440	\$0
AQ	37629	Kiewit Offshore Services, LTD.	SD0184W	2009-1748-AIR-E	SAN PATRICIO	06/26/2010	\$29,590	\$5,918	\$23,672	\$0
AQ	38010	Kinder Morgan Liquids Terminals LLC	HG0262H	2009-1161-AIR-E	HARRIS	04/25/2010	\$13,480	\$2,696	\$5,392	\$5,392
AQ	37548	Kinder Morgan Tejas Pipeline LLC	714	2009-0613-AIR-E	STARR	10/31/2009	\$3,750	\$750	\$3,000	\$0
AQ	38342	Kinder Morgan Texas Pipeline LLC	794	2009-1474-AIR-E	MATAGORD A	03/08/2010	\$6,375	\$1,275	\$5,100	\$0
AQ	37839	Kinder Morgan Texas Pipeline, Inc.	3267	2009-0967-AIR-E	BEE	01/28/2010	\$8,000	\$1,600	\$6,400	\$0
AQ	37269	KING, NELSON		2009-0218-AIR-E	WISE	11/20/2009	\$1,712	\$0	\$1,712	\$0
AQ	24950	KM Aviation, Inc.	78318	2008-0076-AIR-E	DALLAS	12/03/2009	\$30,000	\$0	\$30,000	\$0
AQ	38485	KM Liquids Terminals LLC	984	2009-1622-AIR-E	HARRIS	05/09/2010	\$12,000	\$2,400	\$4,800	\$4,800
AQ	37239	KMCO, L.P.	1441	2009-0299-AIR-E	HARRIS	10/18/2009	\$21,128	\$4,225	\$16,903	\$0
AQ	37643	Land O'Lakes Purina Feed LLC	89543	2009-0733-AIR-E	DEAF SMITH	11/27/2009	\$3,360	\$672	\$2,688	\$0
AQ	38970	LANXESS Corporation	22508	2010-0142-AIR-E	ORANGE	08/21/2010	\$5,050	\$1,010	\$2,020	\$2,020
AQ	39101	LBC Houston, L.P.	3467B	2010-0196-AIR-E	HARRIS	08/30/2010	\$4,425	\$885	\$3,540	\$0
AQ	38324	Leading Edge Aviation Services Inc	28896	2009-1427-AIR-E	POTTER	04/11/2010	\$27,520	\$0	\$27,520	\$0
AQ	34860	Lee-Var Inc. dba Palmer of Texas	25359	2009-0552-AIR-E	ANDREWS	11/13/2009	\$43,750	\$0	\$21,875	\$21,875
AQ	37925	Lindsey Contractors, Inc.	42292	2009-1071-AIR-E	MCLENNAN	10/31/2009	\$1,250	\$250	\$1,000	\$0
AQ	39377	Lone Star Specialty Products, LLC	56304	2010-0466-AIR-E	MORRIS	08/21/2010	\$5,940	\$1,188	\$4,752	\$0
AQ	37455	Lucite International, Inc.	1437	2009-0522-AIR-E	JEFFERSON	10/18/2009	\$70,546	\$14,109	\$28,219	\$28,218
AQ	38458	Lyondell Chemical Company	18103	2009-1581-AIR-E	HARRIS	04/11/2010	\$0	\$0	\$0	\$0
AQ	15009	Magnum Blue Ribbons Feeds, Inc.	DD0066S	2009-1113-AIR-E	DEAF SMITH	04/11/2010	\$1,550	\$0	\$1,550	\$0
AQ	37877	Mansfield Plumbing Products LLC	2316	2009-1004-AIR-E	RUSK	12/18/2009	\$12,500	\$2,500	\$10,000	\$0
AQ	36737	Marathon Petroleum Company LLC	GB0055R	2008-1709-AIR-E	GALVESTON	01/28/2010	\$115,347	\$23,069	\$0	\$92,278
AQ	38926	Mario Sinacola & Sons Excavating, Inc.	51564L054	2010-0022-AIR-E	DENTON	08/09/2010	\$1,000	\$200	\$800	\$0
AQ	39391	MarkWest Pinnacle, L.L.C.	2818	2010-0429-AIR-E	NACOGDOC HES	08/30/2010	\$3,182	\$636	\$2,546	\$0

\* There are Multiple Respondent Names associated to this Case No.



**TCEQ**  
Singles Program

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	39019	Martin Sanchez	91733	2010-0091-AIR-E	DALLAS	06/18/2010	\$1,050	\$210	\$840	\$0
AQ	37832	Matrix Metals LLC	2121	2009-0955-AIR-E	FORT BEND	02/07/2010	\$18,750	\$3,750	\$15,000	\$0
AQ	38892	Millennium Petrochemicals Inc.	4751	2009-2068-AIR-E	HARRIS	08/30/2010	\$6,650	\$1,330	\$5,320	\$0
AQ	37078	Millennium Petrochemicals Inc.	4751	2009-0133-AIR-E	HARRIS	01/28/2010	\$12,450	\$0	\$12,450	\$0
AQ	37391	Millicrete Ready Mix, LP	76546	2009-0447-AIR-E	LIBERTY	10/04/2009	\$3,588	\$717	\$2,871	\$0
AQ	37635	Milwhite, Inc.	7537	2009-0722-AIR-E	CAMERON	10/18/2009	\$6,000	\$1,200	\$4,800	\$0
AQ	38454	Mineral Technologies, Inc.	2870	2009-1592-AIR-E	PECOS	02/07/2010	\$8,000	\$1,600	\$6,400	\$0
AQ	38331	Mitsubishi Caterpillar Forklift America Inc.	1432	2009-1460-AIR-E	HARRIS	08/30/2010	\$105,590	\$0	\$52,833	\$52,757
AQ	37852	MK1 CONSTURCTION SERVICES LLC		2009-0978-AIR-E	BEXAR	11/13/2009	\$1,000	\$200	\$800	\$0
AQ	38863	MORRIS, GARRY		2009-2035-AIR-E	GRAYSON	06/18/2010	\$1,125	\$225	\$900	\$0
AQ	37907	Motiva Enterprises LLC	8404	2009-1043-AIR-E	JEFFERSON	06/18/2010	\$312,272	\$62,454	\$124,909	\$124,909
AQ	38217	Natural Gas Pipeline Company of America LLC	826	2009-1362-AIR-E	GRAY	03/08/2010	\$10,100	\$2,020	\$8,080	\$0
AQ	37685	Nipco, Inc.	27236	2009-0771-AIR-E	ECTOR	10/18/2009	\$2,900	\$580	\$2,320	\$0
AQ	38296	Noltex, L.L.C	19074	2009-1425-AIR-E	HARRIS	04/25/2010	\$4,403	\$880	\$3,523	\$0
AQ	38580	Norit Americas, Inc.	1379	2009-1722-AIR-E	HARRISON	04/11/2010	\$3,456	\$691	\$2,765	\$0
AQ	39243	Norit Americas, Inc.	1379	2010-0345-AIR-E	HARRISON	08/30/2010	\$15,251	\$3,050	\$12,201	\$0
AQ	37977	North American Steel Corporation	87293	2009-0993-AIR-E	TARRANT	11/27/2009	\$4,000	\$800	\$3,200	\$0
AQ	37649	NuStar Logistics, L.P.	2599	2009-0791-AIR-E	GALVESTON	10/18/2009	\$12,500	\$2,500	\$10,000	\$0
AQ	38484	Occidental Permian Ltd.	16754	2009-1625-AIR-E	YOAKUM	05/09/2010	\$9,000	\$1,800	\$3,600	\$3,600
AQ	37344	ONEOK Hydrocarbon Southwest, LLC	3956B	2009-0400-AIR-E	CHAMBERS	02/07/2010	\$160,000	\$0	\$80,000	\$80,000
AQ	37954	ONEOK, Inc.	EE1195R	2009-1100-AIR-E	EL PASO	01/28/2010	\$1,020	\$204	\$816	\$0
AQ	38371	Orange County Container Group LLC	23890	2009-1501-AIR-E	KAUFMAN	04/11/2010	\$5,162	\$1,032	\$4,130	\$0
AQ	38038	Oxy USA WTP LP	52119	2009-1204-AIR-E	GAINES	04/25/2010	\$99,500	\$0	\$99,500	\$0
AQ	37937	Oxy Vinyls, LP	3855B	2009-1079-AIR-E	HARRIS	03/08/2010	\$24,850	\$0	\$12,425	\$12,425
AQ	37822	Paris Generation, L.P.	18394	2009-0931-AIR-E	LAMAR	12/18/2009	\$2,100	\$420	\$1,680	\$0
AQ	35182	Pasadena Refining System, Inc	76192	2008-0050-AIR-E	HARRIS	10/18/2009	\$10,000	\$0	\$10,000	\$0
AQ	38542	Permian Tank & Manufacturing, Inc.	2838	2009-1669-AIR-E	RUSK	04/25/2010	\$6,100	\$1,220	\$4,880	\$0
AQ	38765	Petrofuels Quality Marketing, LP	CI0113T	2009-1922-AIR-E	CHAMBERS	06/04/2010	\$13,670	\$2,734	\$10,936	\$0
AQ	38161	Pioneer Natural Resources USA, Inc.	21316	2009-1321-AIR-E	POTTER	01/28/2010	\$1,875	\$375	\$1,500	\$0
AQ	38115	Prism Gas Systems I, L.P	32829	2009-1265-AIR-E	HARRISON	03/20/2010	\$58,197	\$11,639	\$23,279	\$23,279
AQ	38279	PVR East Texas Gas Processing, LLC	2980	2009-1388-AIR-E	HARRISON	02/07/2010	\$3,750	\$750	\$3,000	\$0
AQ	38070	PVR Gas Resources, LLC	2963	2009-1225-AIR-E	OCHILTREE	12/18/2009	\$1,875	\$375	\$1,500	\$0

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## Appendix 11: List of Administrative Orders Issued FY2010

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	37286	Rainbow Painting, Inc.	78276	2009-0353-AIR-E	ORANGE	10/04/2009	\$1,000	\$200	\$800	\$0
AQ	38540	Regency Field Services LLC	20150	2009-1670-AIR-E	PECOS	03/08/2010	\$938	\$187	\$751	\$0
AQ	38430	REPUBLIC CORPORATION	1772	2009-1702-AIR-E	HARRISON	03/20/2010	\$3,745	\$749	\$2,996	\$0
AQ	37962	Republic Plastics, Ltd.	2680	2009-1107-AIR-E	GUADALUPE	10/31/2009	\$3,100	\$620	\$2,480	\$0
AQ	35057	Rescar, Inc.	1532	2007-1958-AIR-E	ORANGE	10/04/2009	\$2,425	\$485	\$1,940	\$0
AQ	38710	Rhodia Inc.	1610	2009-1854-AIR-E	HARRIS	06/18/2010	\$26,163	\$5,232	\$20,931	\$0
AQ	39104	Rhodia Inc.	4802	2010-0194-AIR-E	HARRIS	08/21/2010	\$7,350	\$1,470	\$5,880	\$0
AQ	38412	Rhodia Inc.	1609	2009-1540-AIR-E	HARRIS	05/09/2010	\$6,007	\$1,201	\$4,806	\$0
AQ	38326	Rhodia Inc.	HG0696Q	2009-1450-AIR-E	HARRIS	03/20/2010	\$23,025	\$4,605	\$18,420	\$0
AQ	38408	Rio Grande Valley Sugar Growers, Inc.	114	2009-1564-AIR-E	HIDALGO	02/22/2010	\$5,855	\$1,171	\$4,684	\$0
AQ	38325	Robroy Industries-Texas, L. P.	20805	2009-1456-AIR-E	UPSHUR	04/25/2010	\$26,250	\$5,250	\$10,500	\$10,500
AQ	37228	Rohm and Haas Texas Incorporated	HG0632T	2009-0296-AIR-E	HARRIS	09/21/2009	\$59,700	\$11,940	\$23,880	\$23,880
AQ	35303	Rohm and Haas Texas Incorporated	8838	2008-0156-AIR-E	HARRIS	01/28/2010	\$81,000	\$16,200	\$64,800	\$0
AQ	37762	Rohm and Haas Texas Incorporated	723	2009-0891-AIR-E	HARRIS	12/18/2009	\$10,000	\$2,000	\$4,000	\$4,000
AQ	37935	Rohm and Haas Texas Incorporated	8838	2009-1116-AIR-E	HARRIS	08/30/2010	\$542,251	\$0	\$271,126	\$271,125
AQ	39062	SABINA PETROCHEMICALS LLC	2629	2010-0137-AIR-E	JEFFERSON	08/09/2010	\$32,429	\$6,485	\$12,972	\$12,972
AQ	38274	SandRidge CO2, LLC	2685	2009-1403-AIR-E	TERRELL	03/08/2010	\$33,641	\$6,728	\$26,913	\$0
AQ	38247	SandRidge CO2, LLC	2760	2009-1379-AIR-E	PECOS	02/07/2010	\$13,800	\$2,760	\$11,040	\$0
AQ	37624	Sealy Oil Mill & Feed Co		2009-0573-AIR-E	AUSTIN	10/04/2009	\$1,050	\$210	\$840	\$0
AQ	38635	Shell Chemical LP	9334	2009-1789-AIR-E	HARRIS	06/18/2010	\$10,000	\$2,000	\$4,000	\$4,000
AQ	37398	Shell Chemical LP	3216	2009-0465-AIR-E	HARRIS	10/31/2009	\$20,000	\$4,000	\$8,000	\$8,000
AQ	38815	Shell Chemical LP	9334	2009-1984-AIR-E	HARRIS	07/11/2010	\$55,899	\$11,179	\$22,360	\$22,360
AQ	37712	Shell Oil Company	21262	2009-0806-AIR-E	HARRIS	03/20/2010	\$30,000	\$6,000	\$12,000	\$12,000
AQ	38908	Shell Oil Company	21262	2009-2090-AIR-E	HARRIS	06/26/2010	\$10,000	\$0	\$5,000	\$5,000
AQ	37981	Shintech Incorporated	1361	2009-1133-AIR-E	BRAZORIA	01/28/2010	\$546	\$109	\$437	\$0
AQ	37920	Sid Richardson Carbon, LTD	1550	2009-1045-AIR-E	HOWARD	08/09/2010	\$10,788	\$0	\$10,788	\$0
AQ	37872	Signal International Texas, L.P.	2396	2009-0999-AIR-E	JEFFERSON	06/26/2010	\$11,600	\$0	\$11,600	\$0
AQ	38138	Sofia Enterprises, L.P.	8710	2009-1291-AIR-E	TOM GREEN	03/08/2010	\$5,200	\$1,040	\$4,160	\$0
AQ	38129	South Texas Aggregates, Inc.	39691	2009-1287-AIR-E	MAVERICK	12/18/2009	\$2,000	\$400	\$1,600	\$0
AQ	37603	Southern Crushed Concrete, LLC	909464N	2009-0686-AIR-E	HARRIS	03/08/2010	\$65,662	\$13,131	\$26,266	\$26,265
AQ	37976	Southern Manufacturing Co., L.L.C.	JE0168B	2009-1126-AIR-E	JEFFERSON	08/21/2010	\$2,675	\$535	\$2,140	\$0
AQ	37498	Southern Union Gas Services, Ltd.	2724	2009-0592-AIR-E	WINKLER	11/27/2009	\$22,794	\$4,558	\$9,118	\$9,118
AQ	38609	Southern Union Pipeline, Ltd.	4837100011	2009-1760-AIR-E	PECOS	05/09/2010	\$2,050	\$410	\$820	\$820

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**Singles Program**

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Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	38362	Stolthaven Houston, Inc.	1060	2009-1484-AIR-E	HARRIS	03/08/2010	\$1,630	\$326	\$1,304	\$0
AQ	38160	Strike Construction, LLC	91565	2009-1140-AIR-E	CHAMBERS	03/08/2010	\$1,750	\$350	\$1,400	\$0
AQ	37694	Sunoco Partners Marketing & Terminals L.P.	1573	2009-0844-AIR-E	JEFFERSON	11/27/2009	\$50,125	\$10,025	\$20,050	\$20,050
AQ	38838	Sunoco Pipeline L.P.	2691	2009-1990-AIR-E	SCURRY	06/18/2010	\$5,900	\$1,180	\$4,720	\$0
AQ	35751	Sunoco, Inc. (R&M)	5572B	2008-0643-AIR-E	HARRIS	04/25/2010	\$10,250	\$2,050	\$8,200	\$0
AQ	37132	Sunoco, Inc. (R&M)	1424	2009-0188-AIR-E	HARRIS	11/13/2009	\$154,025	\$30,805	\$123,220	\$0
AQ	38026	Targa Midstream Services Limited Partnership	612	2009-1180-AIR-E	CHAMBERS	05/09/2010	\$37,720	\$7,544	\$30,176	\$0
AQ	37305	Targa Midstream Services Limited Partnership	612	2009-0377-AIR-E	CHAMBERS	10/18/2009	\$65,450	\$13,090	\$26,180	\$26,180
AQ	37504	Tenet Hospitals Limited	1425	2009-0582-AIR-E	EL PASO	10/31/2009	\$19,331	\$3,866	\$15,465	\$0
AQ	38728	Texas Department of Public Safety	3032	2009-1880-AIR-E	HIDALGO	06/26/2010	\$9,875	\$1,975	\$7,900	\$0
AQ	38126	Texas Municipal Power Agency	83	2009-1290-AIR-E	GRIMES	01/28/2010	\$2,750	\$550	\$2,200	\$0
AQ	38435	Texas State University - San Marcos	1568	2009-1568-AIR-E	HAYS	03/20/2010	\$3,510	\$702	\$2,808	\$0
AQ	38382	Texmark Chemicals, Inc.	1363	2009-1514-AIR-E	HARRIS	06/04/2010	\$13,650	\$2,730	\$5,460	\$5,460
AQ	38092	The Dow Chemical Company	20432	2009-1251-AIR-E	BRAZORIA	04/11/2010	\$146,917	\$0	\$73,459	\$73,458
AQ	38895	The Lubrizol Corporation	1935	2009-2073-AIR-E	HARRIS	06/26/2010	\$8,970	\$1,794	\$3,588	\$3,588
AQ	37642	The Lubrizol Corporation	1582	2009-0711-AIR-E	HARRIS	03/08/2010	\$8,147	\$1,629	\$6,518	\$0
AQ	38476	The Lubrizol Corporation	1933	2009-1605-AIR-E	HARRIS	08/09/2010	\$143,460	\$0	\$71,730	\$71,730
AQ	37432	The Premcor Refining Group Inc.	6825A	2009-0511-AIR-E	JEFFERSON	03/20/2010	\$24,750	\$0	\$12,375	\$12,375
AQ	38457	TIN Inc.	2699	2009-1582-AIR-E	JASPER	04/11/2010	\$5,877	\$1,175	\$2,351	\$2,351
AQ	38501	TOTAL PETROCHEMICALS USA, INC.	3908B	2009-1640-AIR-E	HARRIS	03/08/2010	\$10,000	\$2,000	\$8,000	\$0
AQ	38769	TOTAL PETROCHEMICALS USA, INC.	54026	2009-1921-AIR-E	JEFFERSON	07/11/2010	\$38,300	\$7,660	\$15,320	\$15,320
AQ	38909	TOTAL PETROCHEMICALS USA, INC.	20381	2009-2089-AIR-E	JEFFERSON	08/09/2010	\$38,800	\$7,760	\$15,520	\$15,520
AQ	37430	TOTAL PETROCHEMICALS USA, INC.	20381	2009-0491-AIR-E	JEFFERSON	10/04/2009	\$9,475	\$1,895	\$3,790	\$3,790
AQ	38919	TOTAL PETROCHEMICALS USA, INC.	3908B	2010-0019-AIR-E	HARRIS	08/21/2010	\$25,375	\$5,075	\$20,300	\$0
AQ	39160	TOTAL PETROCHEMICALS USA, INC.	54026	2010-0257-AIR-E	JEFFERSON	08/30/2010	\$9,905	\$1,981	\$3,962	\$3,962
AQ	35533	TOTAL PETROCHEMICALS USA, INC.	1267	2008-0413-AIR-E	JEFFERSON	10/31/2009	\$41,432	\$8,286	\$16,573	\$16,573
AQ	37659	TOTAL PETROCHEMICALS USA, INC.	3908B	2009-0749-AIR-E	HARRIS	11/27/2009	\$20,000	\$4,000	\$8,000	\$8,000
AQ	29445	TOTAL PETROCHEMICALS USA, INC.	4824500037	2006-0561-AIR-E	JEFFERSON	08/30/2010	\$493,200	\$0	\$246,600	\$246,600
AQ	38322	TOTAL PETROCHEMICALS USA, INC.	1267	2009-1446-AIR-E	JEFFERSON	03/20/2010	\$20,550	\$4,110	\$8,220	\$8,220
AQ	38714	TOTAL PETROCHEMICALS USA, INC.	3908B	2009-1869-AIR-E	HARRIS	06/26/2010	\$30,578	\$6,115	\$24,463	\$0
AQ	37445	TPC Group LLC	1327	2009-0524-AIR-E	JEFFERSON	10/18/2009	\$10,200	\$2,040	\$4,080	\$4,080

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**Singles Program**

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Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	37553	TPC Group LLC	46307	2009-0634-AIR-E	HARRIS	02/07/2010	\$63,140	\$0	\$31,570	\$31,570
AQ	38692	TPC Group LLC	20485	2009-1819-AIR-E	JEFFERSON	06/04/2010	\$20,206	\$4,041	\$8,083	\$8,082
AQ	38323	Transcontinental Gas Pipe Line Company, LLC	668	2009-1445-AIR-E	HARDIN	03/20/2010	\$34,285	\$6,857	\$13,714	\$13,714
AQ	39454	Trend Gathering & Treating, LP	91998	2010-0543-AIR-E	FREESTONE	08/30/2010	\$2,000	\$400	\$1,600	\$0
AQ	38381	Trinity Tank Car, Inc.	1658	2009-1513-AIR-E	TARRANT	02/07/2010	\$1,875	\$375	\$1,500	\$0
AQ	37409	Unison Drilling, Inc.	83399	2009-0464-AIR-E	MEDINA	10/04/2009	\$820	\$164	\$656	\$0
AQ	38432	US Department of the Army	EE1214P	2009-1565-AIR-E	EL PASO	05/09/2010	\$1,000	\$200	\$800	\$0
AQ	36224	US Fiberglass, Inc.	HA0175A	2008-1181-AIR-E	HALE	04/25/2010	\$2,350	\$0	\$2,350	\$0
AQ	37213	Valero Refining-Texas, L.P.	2937	2009-0288-AIR-E	NUECES	09/21/2009	\$24,484	\$0	\$12,242	\$12,242
AQ	38156	Valero Refining-Texas, L.P.	39142	2009-1314-AIR-E	GALVESTON	01/28/2010	\$10,000	\$2,000	\$4,000	\$4,000
AQ	37441	Valero Refining-Texas, L.P.	2250	2009-0510-AIR-E	NUECES	12/18/2009	\$13,706	\$2,741	\$5,483	\$5,482
AQ	37392	Valero Refining-Texas, L.P.	39142	2009-0448-AIR-E	GALVESTON	09/21/2009	\$10,000	\$0	\$5,000	\$5,000
AQ	34542	Valero Refining-Texas, L.P.	1253	2007-1413-AIR-E	GALVESTON	10/31/2009	\$60,125	\$12,025	\$48,100	\$0
AQ	38360	Valero Refining-Texas, L.P.	1381	2009-1487-AIR-E	HARRIS	05/09/2010	\$23,330	\$4,666	\$9,332	\$9,332
AQ	39021	Valero Refining-Texas, L.P.	39142	2010-0098-AIR-E	GALVESTON	08/09/2010	\$10,000	\$2,000	\$4,000	\$4,000
AQ	38373	Viking Industrial Painting Inc.		2009-1502-AIR-E	BELL	06/04/2010	\$800	\$160	\$640	\$0
AQ	34442	VILLARREAL, MARTA *	76629	2009-1013-AIR-E	JIM WELLS	02/07/2010	\$44,200	\$0	\$44,200	\$0
AQ	38634	Vopak Logistics Services USA Inc.	1637	2009-1784-AIR-E	HARRIS	05/09/2010	\$3,150	\$630	\$1,260	\$1,260
AQ	37462	Vopak Terminal Deer Park, Inc.	HG0629I	2009-0532-AIR-E	HARRIS	10/04/2009	\$7,200	\$1,440	\$2,880	\$2,880
AQ	38029	Vopak Terminal Deer Park, Inc.	466A	2009-1181-AIR-E	HARRIS	02/07/2010	\$10,160	\$0	\$5,080	\$5,080
AQ	38172	Vopak Terminal Deer Park, Inc.	1068	2009-1338-AIR-E	HARRIS	06/18/2010	\$124,670	\$24,934	\$49,868	\$49,868
AQ	38685	Vopak Terminal Galena Park, Inc.	1070	2009-1836-AIR-E	HARRIS	06/26/2010	\$12,635	\$2,527	\$5,054	\$5,054
AQ	37735	W & W Fiberglass Tank Company	2448	2009-0822-AIR-E	GRAY	02/22/2010	\$19,944	\$3,988	\$15,956	\$0
AQ	38287	Waste Management of Texas, Inc.	1478	2009-1419-AIR-E	DENTON	03/08/2010	\$1,925	\$385	\$1,540	\$0
AQ	38016	Watson, Incorporated	87637	2009-1171-AIR-E	TARRANT	11/27/2009	\$1,070	\$214	\$856	\$0
AQ	36424	Weir Bros., Inc.	455040152	2008-1369-MSW-E	DENTON	10/04/2009	\$39,400	\$0	\$39,400	\$0
AQ	38997	Wilkinson Gary Iron & Metal, Inc.	84294	2010-0051-AIR-E	WEBB	06/26/2010	\$990	\$198	\$792	\$0
AQ	39116	Wilkinson Jim Iron & Metal, Inc.	4806100212	2010-0156-AIR-E	CAMERON	08/09/2010	\$6,750	\$1,350	\$5,400	\$0
AQ	38210	WTG Gas Processing, L.P.	20137	2009-1371-AIR-E	HOWARD	06/18/2010	\$36,750	\$7,350	\$29,400	\$0
AQ	37158	WTG Gas Processing, L.P.	4822700003	2009-0210-AIR-E	HOWARD	10/04/2009	\$5,850	\$1,170	\$4,680	\$0
AQ	36061	WTG Jameson, L.P.	55477	2008-0979-AIR-E	COKE	11/27/2009	\$57,705	\$0	\$57,705	\$0
AQ	37728	WTG Jameson, L.P.	865	2009-0820-AIR-E	COKE	11/27/2009	\$5,322	\$1,064	\$4,258	\$0

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**Singles Program**

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	33193	ZOLTEK CORPORATION	35215	2007-0580-AIR-E	TAYLOR	02/22/2010	\$21,590	\$0	\$21,590	\$0
<b>AQ Orders Issued: 340</b>						<b>Total:</b>	<b>\$8,181,948</b>	<b>\$926,193</b>	<b>\$4,873,975</b>	<b>\$2,381,780</b>
DC	38865	CNBG Cleaning, Ltd.	105833446	2009-2038-DCL-E	BEXAR	06/26/2010	\$1,174	\$234	\$940	\$0
DC	30272	Elvi Lorena Hilton	104992896	2008-0198-DCL-E	DALLAS	11/07/2009	\$1,825	\$0	\$1,825	\$0
DC	30696	Hong-Vanthi Nguyen	TXR000072082	2008-1130-DCL-E	HARRIS	04/09/2010	\$2,088	\$0	\$2,088	\$0
DC	38353	James W. Weaver	103967378	2009-1472-DCL-E	TARRANT	03/20/2010	\$3,738	\$747	\$2,991	\$0
<b>DC Orders Issued: 4</b>						<b>Total:</b>	<b>\$8,825</b>	<b>\$981</b>	<b>\$7,844</b>	<b>\$0</b>
ER	36915	R & L Carriers Shared Services, L.L.C.		2008-1906-MSW-E	BELL	07/11/2010	\$1,100	\$0	\$1,100	\$0
<b>ER Orders Issued: 1</b>						<b>Total:</b>	<b>\$1,100</b>	<b>\$0</b>	<b>\$1,100</b>	<b>\$0</b>
IHW	38981	BFI Waste Services of Texas, LP	85812	2010-0125-IHW-E	HARRIS	08/21/2010	\$7,650	\$1,530	\$3,060	\$3,060
IHW	33820	Central Industrial Park, Ltd.	TXR000079133	2007-0969-IHW-E	HARRIS	01/28/2010	\$15,000	\$0	\$15,000	\$0
IHW	38390	CHANGING SURFACE INC	F2048	2009-1522-IHW-E	BEXAR	04/25/2010	\$9,000	\$1,800	\$7,200	\$0
IHW	37686	Disposal Properties, LLC	31905	2009-0761-IHW-E	BEXAR	11/13/2009	\$2,650	\$530	\$2,120	\$0
IHW	36521	E. I. du Pont de Nemours and Company	30010	2008-1529-IHW-E	JEFFERSON	08/09/2010	\$85,500	\$17,100	\$34,200	\$34,200
IHW	38093	Exxon Mobil Corporation	30040	2009-1221-IHW-E	HARRIS	04/11/2010	\$40,550	\$8,110	\$16,220	\$16,220
IHW	34977	LEWIS, BRENDA	TXR000064444	2007-1845-IHW-E	JIM WELLS	11/13/2009	\$40,000	\$38,800	\$1,200	\$0
IHW	36253	Load Trail, Inc.	TXR000079187	2008-1220-IHW-E	LAMAR	10/18/2009	\$44,630	\$8,926	\$35,704	\$0
IHW	37414	Multi-Chem Group, LLC	88112	2009-0475-IHW-E	SUTTON	02/22/2010	\$141,335	\$28,267	\$56,534	\$56,534
IHW	37278	Oglebay Norton Industrial Sands, Inc.	73107	2009-0342-IHW-E	MCCULLOCH	10/04/2009	\$11,425	\$2,285	\$4,570	\$4,570
IHW	38962	Oxid L.P.	31613	2010-0042-IHW-E	HARRIS	07/11/2010	\$14,000	\$2,800	\$11,200	\$0
IHW	12085	POPMA, JOHN	37333	2004-0083-IHW-E	UPSHUR	11/13/2009	\$29,250	\$14,237	\$15,013	\$0
IHW	35217	Rhodia Inc.	31019	2008-0102-MLM-E	HARRIS	11/13/2009	\$14,000	\$2,800	\$11,200	\$0
IHW	39119	Sprint Waste Services LP	88036	2010-0195-IHW-E	HARRIS	06/26/2010	\$2,875	\$575	\$2,300	\$0
IHW	38867	Stolthaven Houston, Inc.	33722	2010-0031-IHW-E	HARRIS	08/30/2010	\$36,530	\$7,306	\$14,612	\$14,612
IHW	36635	The Dow Chemical Company	30106	2008-1555-IHW-E	BRAZORIA	11/27/2009	\$97,500	\$19,500	\$39,000	\$39,000
IHW	37676	W-Tek, Inc.	39671	2009-0760-IHW-E	DALLAS	11/27/2009	\$18,340	\$3,668	\$14,672	\$0
<b>IHW Orders Issued: 17</b>						<b>Total:</b>	<b>\$610,235</b>	<b>\$158,234</b>	<b>\$283,805</b>	<b>\$168,196</b>

\* There are Multiple Respondent Names associated to this Case No.



**Singles Program**

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MSW	38336	Absolutely Outdoors, Ltd.	100199	2009-1477-MSW-E	HARRIS	03/20/2010	\$2,215	\$443	\$1,772	\$0
MSW	37751	Anaconda Disposal LLC		2009-0838-MSW-E	BEXAR	11/27/2009	\$2,500	\$500	\$2,000	\$0
MSW	37752	APODACA, RICHARD C *		2009-0906-MSW-E	EL PASO	12/18/2009	\$1,050	\$210	\$840	\$0
MSW	37729	BARNES, OB		2009-0825-MSW-E	SWISHER	12/18/2009	\$2,500	\$500	\$2,000	\$0
MSW	37808	Biomedical Waste Solutions, LLC	40231	2009-0856-MSW-E	JEFFERSON	10/31/2009	\$3,000	\$600	\$2,400	\$0
MSW	14768	BLACK, YOLANDA *	UNA455160026	2008-1234-MSW-E	WEBB	10/11/2009	\$12,000	\$0	\$12,000	\$0
MSW	38170	BUXTON, RANDY		2009-1332-MSW-E	NEWTON	05/01/2010	\$1,050	\$0	\$1,050	\$0
MSW	34819	CATHEY, LARRY	100098	2008-0727-MSW-E	MCLENNAN	02/07/2010	\$38,216	\$0	\$38,216	\$0
MSW	38033	CHAVEZ, JUAN	455150174	2009-1081-MSW-E	HIDALGO	06/18/2010	\$15,000	\$11,400	\$3,600	\$0
MSW	38675	City of Alamo	1080001	2009-1828-MSW-E	HIDALGO	05/09/2010	\$2,125	\$425	\$1,700	\$0
MSW	38599	City Of Clarendon		2009-1860-MSW-E	DONLEY	04/25/2010	\$1,000	\$200	\$800	\$0
MSW	34964	City of Crowell *	1401	2007-1857-MSW-E	FOARD	06/18/2010	\$11,500	\$0	\$0	\$11,500
MSW	36584	City of Marshall	F2025	2008-1548-MLM-E	HARRISON	11/13/2009	\$4,750	\$950	\$3,800	\$0
MSW	36534	City of Marshall *	F2025	2008-1511-MSW-E	HARRISON	10/04/2009	\$5,400	\$1,080	\$2,160	\$2,160
MSW	38439	City of Taft	455140023 UA	2009-1624-MSW-E	SAN PATRICIO	03/08/2010	\$1,200	\$240	\$960	\$0
MSW	34215	CLABAUGH, STEVE	100237	2008-1818-MSW-E	MIDLAND	11/27/2009	\$6,643	\$0	\$6,643	\$0
MSW	38470	CORRAL, YSMAEL		2009-1607-MSW-E	TOM GREEN	04/25/2010	\$1,050	\$210	\$840	\$0
MSW	38252	Dearborn, George Henry DBA Frontier Tires Service	26915	2009-1391-MSW-E	ORANGE	06/18/2010	\$3,500	\$700	\$2,800	\$0
MSW	37932	Dhiraj Ramolia *		2009-0979-MSW-E	COLLIN	05/09/2010	\$5,000	\$0	\$5,000	\$0
MSW	33191	Earth Haulers, Inc.	455040179	2007-0471-MSW-E	TARRANT	12/18/2009	\$15,300	\$0	\$15,300	\$0
MSW	36273	Eggemeyer Land Clearing, LLC		2008-1227-MSW-E	BEXAR	10/04/2009	\$3,921	\$0	\$3,921	\$0
MSW	38847	ENGLAND, PHILLIP D		2009-2002-MSW-E	HUNT	06/18/2010	\$2,625	\$525	\$2,100	\$0
MSW	38425	Excell Disposal Waste Containers, Inc	455120135	2009-1554-MSW-E	HARRIS	05/09/2010	\$900	\$180	\$720	\$0
MSW	38709	FITZGERALD, PHIL	455120136	2009-1932-MLM-E	LIBERTY	07/11/2010	\$1,250	\$250	\$1,000	\$0
MSW	38330	FOX TREE & LANDSCAPE NURSERY INC	100167	2009-1426-MSW-E	NUECES	02/07/2010	\$3,600	\$720	\$2,880	\$0
MSW	35401	FRENCH, MICHAEL EUGENE	455040169	2008-0289-MSW-E	KAUFMAN	05/09/2010	\$2,000	\$0	\$2,000	\$0
MSW	28008	GARCIA, JESUS	UNA455140027	2008-0646-MSW-E	JIM WELLS	01/07/2010	\$4,050	\$0	\$4,050	\$0
MSW	37715	Gary Humphreys		2009-0824-MSW-E	BEXAR	10/31/2009	\$1,875	\$375	\$1,500	\$0
MSW	35921	GONZALES, ARTHUR D	3205	2008-0952-MSW-E	CROCKETT	02/12/2010	\$1,000	\$0	\$1,000	\$0
MSW	37743	Guadalupe Alvarado		2009-0813-MSW-E	CULBERSON	01/28/2010	\$2,500	\$500	\$2,000	\$0
MSW	37025	Hector Rangel Jr. & Luz Maria Rangel	455150145	2009-0087-MSW-E	HIDALGO	10/18/2009	\$3,300	\$660	\$2,640	\$0

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Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MSW	34712	HERNANDEZ, BENNY	6200074	2007-1679-MSW-E	HIDALGO	02/27/2010	\$7,875	\$0	\$7,875	\$0
MSW	36971	Industrial Scrap Materials, Inc.		2009-0008-MSW-E	TARRANT	10/24/2009	\$2,040	\$0	\$2,040	\$0
MSW	28006	Jaime Garcia	UNA455140052	2009-1439-MSW-E	CAMERON	05/29/2010	\$7,800	\$0	\$7,800	\$0
MSW	37496	LOWREY, GERALD		2009-0574-MSW-E	LAMAR	02/17/2010	\$2,500	\$0	\$2,500	\$0
MSW	36097	Mary Rose Independent Executries	455160053	2008-1032-MSW-E	MAVERICK	02/07/2010	\$1,100	\$0	\$1,100	\$0
MSW	36912	MCMAHAN, STAN		2008-1905-MSW-E	LIMESTONE	11/07/2009	\$2,750	\$0	\$2,750	\$0
MSW	33340	Mcquery J W Mac	455040162	2007-0715-MSW-E	TARRANT	08/30/2010	\$10,250	\$0	\$10,250	\$0
MSW	34334	N.E. CONSTRUCTION L.L.P.		2007-1197-MSW-E	DALLAS	02/22/2010	\$33,061	\$0	\$33,061	\$0
MSW	5947	NICHOLS, R C	455100029	2008-1400-MSW-E	MONTGOMERY	07/11/2010	\$3,600	\$0	\$3,600	\$0
MSW	34227	PANAGOPOULOS, JOE E		2008-1188-MSW-E	BEXAR	11/27/2009	\$8,625	\$0	\$8,625	\$0
MSW	38260	Premier Recyclers L L C		2009-1336-MLM-E	JASPER	04/11/2010	\$5,246	\$1,049	\$4,197	\$0
MSW	37688	RAPID ENVIRONMENTAL SERVICES, LLC		2009-0782-MSW-E	EL PASO	03/08/2010	\$475	\$0	\$475	\$0
MSW	38249	Richard Tomlin *	455100042	2009-1384-MSW-E	SHELBY	03/20/2010	\$1,300	\$260	\$1,040	\$0
MSW	36880	ROBERTS, FRANK *		2008-1870-MSW-E	BOSQUE	03/20/2010	\$2,625	\$0	\$2,625	\$0
MSW	35948	Rodriguez Rolando and Rodriguez Josefina	455150172	2008-0932-MSW-E	CAMERON	01/28/2010	\$1,000	\$0	\$1,000	\$0
MSW	38463	SANDERS, CALVIN		2009-1526-MSW-E	UPSHUR	05/29/2010	\$2,500	\$0	\$2,500	\$0
MSW	37736	Santos Barcenas dba Tyre King Recycling	6027064	2009-0812-MSW-E	HALE	03/14/2010	\$2,500	\$0	\$2,500	\$0
MSW	37537	Servando de La Garza	6200005	2009-0616-MSW-E	WEBB	10/31/2009	\$14,000	\$2,800	\$11,200	\$0
MSW	38398	SGP Holdings Inc	100091	2009-1529-MSW-E	LIMESTONE	07/11/2010	\$2,875	\$575	\$2,300	\$0
MSW	38700	Starr County	455150175	2009-1855-MSW-E	STARR	08/09/2010	\$5,000	\$0	\$5,000	\$0
MSW	35243	TAYLOR, MARVIN WAYNE		2008-0115-MSW-E	SHELBY	02/22/2010	\$2,250	\$0	\$2,250	\$0
MSW	36962	Transcontinental Realty Investors, Inc.		2009-0016-MSW-E	DALLAS	10/18/2009	\$35,700	\$0	\$35,700	\$0
MSW	38814	Tyler County		2009-1989-MLM-E	TYLER	06/26/2010	\$2,315	\$463	\$1,852	\$0
MSW	33340	Vega Waste Disposal Services Inc.	455040162	2007-0715-MSW-E	TARRANT	05/15/2010	\$25,000	\$0	\$25,000	\$0
MSW	38043	Village Farms, L.P.		2009-1177-MSW-E	PRESIDIO	01/28/2010	\$4,600	\$920	\$1,840	\$1,840
MSW	38173	West Body Shop, Inc.		2009-1339-MLM-E	RANDALL	04/11/2010	\$4,000	\$800	\$3,200	\$0
MSW	37581	Wilke Tire Service, Inc.	6200374	2009-0670-MSW-E	NUECES	06/24/2010	\$10,475	\$0	\$10,475	\$0
MSW	38523	Will Dylan Investments, Inc.		2009-1661-MSW-E	EL PASO	07/31/2010	\$1,300	\$0	\$1,300	\$0
MSW	25928	Wood Processing Services, Inc.	100100	2008-0678-MSW-E	JOHNSON	06/04/2010	\$5,862	\$0	\$5,862	\$0
<b>MSW Orders Issued: 60</b>						<b>Total:</b>	<b>\$370,644</b>	<b>\$27,535</b>	<b>\$327,609</b>	<b>\$15,500</b>

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Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
OL	38831	ABUNDIZ, JOSE		2009-2006-LII-E	TRAVIS	08/29/2010	\$250	\$0	\$250	\$0
OL	37120	ALPERT, MARC		2009-0175-LII-E	TRAVIS	06/18/2010	\$742	\$0	\$742	\$0
OL	34911	ALVARADO, SIXTO NAVA		2007-1797-LII-E	DALLAS	09/12/2009	\$750	\$0	\$750	\$0
OL	37748	ARANDA, ERASMO		2009-0849-LII-E	DALLAS	01/07/2010	\$262	\$0	\$262	\$0
OL	37399	BECKER, CHRIS JAMES	LI0006448	2009-0451-LII-E	TRAVIS	01/28/2010	\$620	\$0	\$620	\$0
OL	37565	BELTRAN, HOMER V	LI0007505	2009-0648-LII-E	JIM WELLS	11/13/2009	\$750	\$150	\$600	\$0
OL	38209	BLACKWOOD, GARY		2009-1330-LII-E	TARRANT	04/11/2010	\$188	\$37	\$151	\$0
OL	39132	BOTERO, HERNAN		2010-0221-LII-E	GALVESTON	08/09/2010	\$1,371	\$274	\$1,097	\$0
OL	39935	BRADDOCK, JOHNNY D		2010-1060-WOC-E	COLORADO	08/30/2010	\$210	\$0	\$210	\$0
OL	37824	BRYANT, CORY L	WO0008046	2009-0960-WOC-E	KERR	10/18/2009	\$210	\$0	\$210	\$0
OL	38982	BUCK, ROBERT		2010-0050-LII-E	DALLAS	06/26/2010	\$225	\$45	\$180	\$0
OL	37347	CAMACHO, JOSE ANTONIO		2009-0251-LII-E	HARRIS	12/17/2009	\$744	\$0	\$744	\$0
OL	39937	CANSLER, JERRY RAY		2010-1051-WOC-E	JACK	08/30/2010	\$210	\$0	\$210	\$0
OL	38335	CHAVARRIA, PEDRO		2009-1375-LII-E	DENTON	05/29/2010	\$250	\$0	\$250	\$0
OL	37199	CORMIER, ANDRE C	LI0009027	2009-0270-LII-E	TARRANT	12/17/2009	\$341	\$0	\$341	\$0
OL	37775	DAILEY, MARK WILLIAM	LI0004576	2009-0902-LII-E	BEXAR	07/08/2010	\$852	\$0	\$852	\$0
OL	37403	DAVIS, GARETT		2009-0466-LII-E	HAYS	12/03/2009	\$262	\$0	\$262	\$0
OL	37450	DEHART, JOEY P	WO0025464	2009-0579-WOC-E	BREWSTER	02/17/2010	\$500	\$0	\$500	\$0
OL	37502	DODSON, KENDAL R	BP0008303	2009-0584-LII-E	COLLIN	01/28/2010	\$500	\$100	\$400	\$0
OL	38559	GARCIA, ALBERTO		2009-1701-LII-E	DALLAS	05/09/2010	\$250	\$0	\$250	\$0
OL	38456	GATSON, LEEMOND	WO0007938	2009-1596-WOC-E	NEWTON	01/28/2010	\$210	\$0	\$210	\$0
OL	37212	GODOY, JAVIER	LI0007455	2009-0265-LII-E	TARRANT	12/03/2009	\$131	\$0	\$131	\$0
OL	37731	GONZALEZ, JUAN GERVAICIO		2009-0811-LII-E	HOOD	11/27/2009	\$1,325	\$265	\$1,060	\$0
OL	37818	GREEN, COLBY		2009-0940-LII-E	WILLIAMSON	02/27/2010	\$1,286	\$0	\$1,286	\$0
OL	38147	GRODA, KENNETH WAYNE		2009-1283-LII-E	HARRIS	05/15/2010	\$262	\$0	\$262	\$0
OL	37787	GUZMAN, ANGEL		2009-0899-LII-E	HARRIS	02/17/2010	\$1,360	\$0	\$1,360	\$0
OL	38673	HUNTER, PHILLIP B	LI0017072	2009-1863-LII-E	COMAL	06/18/2010	\$525	\$105	\$420	\$0
OL	39936	ISHAM, JOSHUA A	WW0035104	2010-1052-WOC-E	LYNN	08/30/2010	\$210	\$0	\$210	\$0
OL	38923	JOHNSTON, DARREL		2010-0011-LII-E	TARRANT	07/11/2010	\$225	\$45	\$180	\$0
OL	38518	KENNEY, JERAMIE C	LI0012842	2009-1626-LII-E	KERR	05/09/2010	\$712	\$142	\$570	\$0
OL	38393	LOYA, JUAN		2009-1530-LII-E	TARRANT	04/11/2010	\$500	\$100	\$400	\$0
OL	37332	Mardonio Flores		2009-0395-LII-E	TRAVIS	11/20/2009	\$525	\$0	\$525	\$0
OL	38933	MARTIN, BRAD		2009-2075-LII-E	HARRIS	08/29/2010	\$994	\$0	\$994	\$0

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Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
OL	38489	MARTINEZ, DAVID		2009-1638-LII-E	DALLAS	06/18/2010	\$188	\$37	\$151	\$0
OL	37699	MATLOCK, ED JR		2009-0780-LII-E	WILLIAMSON	10/31/2009	\$250	\$50	\$200	\$0
OL	37292	MCCUE, CLINTON D	LI0009153	2009-0358-LII-E	HARRIS	10/31/2009	\$787	\$157	\$630	\$0
OL	36256	MCGREGOR, PAUL		2008-1206-LII-E	HARRIS	09/20/2009	\$500	\$0	\$500	\$0
OL	38424	MCLEMORE, TAYLOR B	LI0017498	2009-1552-LII-E	WISE	02/22/2010	\$188	\$37	\$151	\$0
OL	38985	MEJIA, JOSE		2010-0072-LII-E	TRAVIS	07/11/2010	\$250	\$50	\$200	\$0
OL	37776	MOORE, JOHN L	WO0026396	2009-0905-WOC-E	MCLENNAN	05/01/2010	\$718	\$0	\$718	\$0
OL	37271	NORRIS, MIKE		2009-0344-LII-E	HAYS	07/08/2010	\$262	\$0	\$262	\$0
OL	38748	O'Yeller Landscaping, Inc.		2009-1903-LII-E	TRAVIS	07/11/2010	\$250	\$50	\$200	\$0
OL	37951	ORLANDO, JASON B	LI0017171	2009-0985-LII-E	BELL	02/07/2010	\$188	\$37	\$151	\$0
OL	37859	ORNELAS, LUIS		2009-0941-LII-E	HARRIS	12/18/2009	\$1,945	\$389	\$1,556	\$0
OL	37596	PADDACK, MICHAEL		2009-0740-LII-E	WILLIAMSON	10/31/2009	\$399	\$79	\$320	\$0
OL	37948	RICHTER, SUSAN		2009-1094-WOC-E	BURNET	05/01/2010	\$1,367	\$0	\$1,367	\$0
OL	37571	ROBINSON, VINCENT	LI0010134	2009-0656-LII-E	HARRIS	02/17/2010	\$1,044	\$0	\$1,044	\$0
OL	38610	ROMERO, GUILLERMO L	LI0008966	2009-1768-LII-E	HARRIS	04/11/2010	\$564	\$112	\$452	\$0
OL	37701	SAENZ, GUILLERMO		2009-0795-WOC-E	ZAPATA	11/13/2009	\$1,980	\$396	\$1,584	\$0
OL	37276	SALDANA, HUMBERTO		2009-0345-LII-E	TARRANT	12/17/2009	\$262	\$0	\$262	\$0
OL	38774	SANCHEZ, OSCAR		2009-2005-LII-E	DALLAS	06/04/2010	\$250	\$50	\$200	\$0
OL	38468	SANTOS, GEORGE		2009-1595-LII-E	HARRIS	07/31/2010	\$750	\$0	\$750	\$0
OL	37845	SCHNIEDER, CRAIG		2009-0953-LII-E	BELL	05/09/2010	\$250	\$0	\$250	\$0
OL	39128	SIMPSON, VICTORIA		2010-0218-LII-E	COMAL	08/09/2010	\$375	\$75	\$300	\$0
OL	37622	SINGH, BALBIR		2009-0708-LII-E	DALLAS	10/18/2009	\$1,050	\$210	\$840	\$0
OL	35748	SLATER, JOE F	LI0014284	2009-1884-LII-E	HOOD	05/29/2010	\$1,093	\$0	\$1,093	\$0
OL	36638	SNYDER, MERVIN		2008-1628-WOC-E	WOOD	10/31/2009	\$1,992	\$0	\$1,992	\$0
OL	38526	STUTTERS, CRAIG		2009-1668-LII-E	BEXAR	07/16/2010	\$250	\$0	\$250	\$0
OL	38522	TARVER, TAYLOR		2009-1663-LII-E	TARRANT	06/24/2010	\$500	\$0	\$500	\$0
OL	37916	TIMS, MACK A		2009-1053-LII-E	BELL	04/09/2010	\$250	\$0	\$250	\$0
OL	37799	URY, MICHAEL	LI0007102	2009-0924-LII-E	HAYS	10/31/2009	\$2,500	\$500	\$2,000	\$0
OL	38235	VILLEDA, MAURICIO		2009-1366-LII-E	DALLAS	03/08/2010	\$188	\$37	\$151	\$0
OL	34705	VINSON, PAUL	BP0002516	2007-1566-LII-E	HARRIS	11/01/2009	\$200	\$0	\$200	\$0
OL	38727	WHITE, DANIEL C		2009-1877-WOC-E	WILBARGER	03/08/2010	\$210	\$0	\$210	\$0
OL	39058	WOOD, JAMES H	LI0004130	2010-0143-LII-E	TARRANT	08/09/2010	\$1,705	\$341	\$1,364	\$0
OL	39053	YOUNGER, HAROLD VON JR	LI0006655	2010-0158-LII-E	HARRIS	06/26/2010	\$563	\$112	\$451	\$0

\* There are Multiple Respondent Names associated to this Case No.



TCEQ

Singles Program

Date Range Selected : 09/01/2009 - 8/31/2010

Appendix 11: List of Administrative Orders Issued FY2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
OL Orders Issued: 66						Total:	\$41,020	\$3,982	\$37,038	\$0

\* There are Multiple Respondent Names associated to this Case No.



## Appendix 11: List of Administrative Orders Issued FY2010

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	37655	"Grecoair, Inc."	72171	2009-0753-PST-E	EL PASO	12/18/2009	\$10,015	\$2,003	\$8,012	\$0
PST	39257	66 Wholesale, Inc.	12646	2010-0353-PST-E	CROSBY	08/09/2010	\$1,750	\$0	\$1,750	\$0
PST	37817	A&S Petroleum LLC	40251	2009-0939-PST-E	TARRANT	12/18/2009	\$2,574	\$514	\$2,060	\$0
PST	36836	Aamson Enterprises Inc	74264	2008-1815-PST-E	HARRIS	12/17/2009	\$12,305	\$0	\$12,305	\$0
PST	37453	Accel Quick Stop, Inc.	0042114	2009-0519-PST-E	TARRANT	10/04/2009	\$2,768	\$553	\$2,215	\$0
PST	38564	Adam and Melinda Marquez	39333	2009-1664-PST-E	STARR	05/29/2010	\$3,675	\$0	\$3,675	\$0
PST	35771	Addison Enterprises Inc.	61113	2008-0676-PST-E	HARRIS	01/28/2010	\$5,116	\$1,023	\$4,093	\$0
PST	39239	Adnik Business, Inc.	36871	2010-0321-PST-E	BRAZORIA	08/21/2010	\$2,037	\$407	\$1,630	\$0
PST	35958	Agile Investments, LLC	9890	2008-0873-PST-E	HILL	02/11/2010	\$9,819	\$0	\$9,819	\$0
PST	35888	AHMAD, NISAR	60245	2008-0793-PST-E	HARRIS	12/18/2009	\$6,971	\$0	\$6,971	\$0
PST	37394	AHMAD, RAMIN *	42381	2009-0453-PST-E	TARRANT	10/18/2009	\$15,658	\$3,131	\$12,527	\$0
PST	36420	AKJ Management, Inc.	55933	2008-1363-PST-E	KAUFMAN	11/13/2009	\$5,625	\$0	\$5,625	\$0
PST	37255	AL-AQQAD, TAISSEER	73778	2009-0328-PST-E	TARRANT	09/21/2009	\$3,021	\$604	\$2,417	\$0
PST	38130	ALIHEMATI, JOHN	17836	2009-1292-PST-E	EL PASO	07/11/2010	\$5,296	\$1,059	\$4,237	\$0
PST	38223	Alisha Ann Dunkin	45053	2009-1370-PST-E	HOOD	04/09/2010	\$5,250	\$0	\$5,250	\$0
PST	38554	All Beverage Corporation	17470	2009-1684-PST-E	DALLAS	05/09/2010	\$22,455	\$4,491	\$17,964	\$0
PST	39170	Allsup Petroleum, Inc.	8412	2010-0270-PST-E	BAILEY	07/11/2010	\$1,750	\$0	\$1,750	\$0
PST	38106	Allsup Petroleum, Inc.	62280	2009-1243-PST-E	TOM GREEN	03/08/2010	\$7,759	\$1,551	\$6,208	\$0
PST	36883	ALMEDA, INC.	70523	2008-1875-PST-E	HARRIS	10/11/2009	\$6,296	\$0	\$6,296	\$0
PST	38014	Al-Naz, Inc.	35540	2009-1183-PST-E	DEWITT	01/28/2010	\$2,800	\$560	\$2,240	\$0
PST	36774	ALSHAAFI, JAMAL S	4943	2008-1748-PST-E	BELL	08/29/2010	\$3,500	\$0	\$3,500	\$0
PST	38944	Alvin Ventures, Inc.	54839	2010-0030-PST-E	BRAZORIA	07/11/2010	\$3,380	\$676	\$2,704	\$0
PST	38267	Ancira Enterprises Incorporated	29774	2009-1357-PST-E	BEXAR	02/07/2010	\$4,950	\$990	\$3,960	\$0
PST	38233	Arash The Archer Corporation	43968	2009-1350-PST-E	GALVESTON	08/30/2010	\$3,663	\$0	\$3,663	\$0
PST	38551	ASA Store, Inc.	35320	2009-1671-PST-E	HARRIS	08/09/2010	\$3,081	\$0	\$3,081	\$0
PST	38515	Avais Enterprises, Inc.	66765	2009-1645-PST-E	GALVESTON	04/11/2010	\$2,418	\$483	\$1,935	\$0
PST	37856	B & S Enterprise, Inc.	41150	2009-0984-PST-E	NAVARRO	11/27/2009	\$3,750	\$750	\$3,000	\$0
PST	38584	B & Y Enterprise, Inc.	67426	2009-1723-PST-E	HARRIS	04/11/2010	\$2,870	\$574	\$2,296	\$0
PST	39338	B T Rand Oil Company Inc	23670	2010-0416-PST-E	JEFFERSON	08/30/2010	\$6,520	\$1,304	\$5,216	\$0
PST	38068	B T Rand Oil Company Inc	23668	2009-1216-PST-E	JEFFERSON	01/28/2010	\$1,968	\$393	\$1,575	\$0
PST	35513	B. K. Trading, Inc.	47134	2008-0416-PST-E	TITUS	12/18/2009	\$3,675	\$0	\$3,675	\$0
PST	37942	Babwani Associates, Inc.	2474	2009-1087-PST-E	TARRANT	01/28/2010	\$2,715	\$543	\$2,172	\$0
PST	39145	BARNETT, BARRY		2010-0240-WOC-E	JEFFERSON	07/11/2010	\$210	\$0	\$210	\$0

\* There are Multiple Respondent Names associated to this Case No.



**Singles Program**

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	37626	BARTON, GARY	18292	2009-0712-PST-E	EL PASO	03/08/2010	\$7,916	\$1,583	\$6,333	\$0
PST	39319	BAYLOR UNIVERSITY	8720	2010-0409-PST-E	MCLENNAN	08/30/2010	\$3,875	\$775	\$0	\$3,100
PST	36489	BEARDEN, BILL	74804	2008-1444-PST-E	ELLIS	12/17/2009	\$10,221	\$0	\$10,221	\$0
PST	38051	Beltway 8 Investments, LLC	69116	2009-1192-PST-E	HARRIS	02/07/2010	\$12,192	\$2,438	\$9,754	\$0
PST	38297	Ben Corporation	30586	2009-1376-PST-E	HARRIS	04/25/2010	\$6,269	\$1,253	\$5,016	\$0
PST	37911	Bert Schrank, Inc.	31937	2009-1051-PST-E	HAMILTON	12/18/2009	\$2,608	\$521	\$2,087	\$0
PST	35628	Bharwani Investment Group, Inc.	17756	2008-0622-PST-E	DALLAS	07/11/2010	\$10,400	\$0	\$10,400	\$0
PST	36803	BLACKWELL, MARK E	38531	2008-1822-PST-E	TOM GREEN	03/28/2010	\$19,915	\$0	\$19,915	\$0
PST	38990	BONARACK INVESTMENT INC	27514	2010-0122-PST-E	DALLAS	07/11/2010	\$3,946	\$789	\$3,157	\$0
PST	39044	Borna Enterprises, Inc.	49490	2010-0111-PST-E	BURNET	08/21/2010	\$6,315	\$1,263	\$5,052	\$0
PST	34949	BROOKS, JULIUS L	48211	2007-1885-PST-E	MIDLAND	04/29/2010	\$3,675	\$0	\$3,675	\$0
PST	39255	Buffet Partners, L.P.	105934	2010-0352-PST-E	LUBBOCK	08/09/2010	\$1,750	\$0	\$1,750	\$0
PST	38637	Burlington Norther Santa Fe Corporation	65827	2009-1786-PST-E	TARRANT	04/25/2010	\$4,277	\$855	\$3,422	\$0
PST	37584	C & R Distributing, Inc.	77672	2009-0653-PST-E	EL PASO	10/18/2009	\$4,447	\$889	\$3,558	\$0
PST	38937	C Store Management Company	26613	2009-2065-PST-E	TARRANT	06/04/2010	\$4,184	\$836	\$3,348	\$0
PST	37914	C.T.S. GROUP #1, L.L.C.	42763	2009-1014-PST-E	BRAZORIA	06/04/2010	\$2,910	\$582	\$2,328	\$0
PST	38761	CALDERON, REYNALDO	30809	2009-1909-PST-E	HIDALGO	07/16/2010	\$7,875	\$0	\$7,875	\$0
PST	39379	Carmax Auto Superstores, Inc.	1540	2010-0472-PST-E	HARRIS	08/30/2010	\$4,523	\$904	\$3,619	\$0
PST	38575	Carmax Auto Superstores, Inc.	72687	2009-1716-PST-E	COLLIN	06/04/2010	\$12,130	\$2,426	\$9,704	\$0
PST	37644	Center Convenience, Inc.	74160	2009-0736-PST-E	HARRIS	09/21/2009	\$3,596	\$719	\$2,877	\$0
PST	37550	Central Transport, Inc.	19996	2009-0638-PST-E	EL PASO	01/28/2010	\$2,650	\$0	\$2,650	\$0
PST	37324	Charlotte Ann Blackwell Granger	24219	2009-0380-PST-E	ORANGE	06/24/2010	\$6,600	\$0	\$6,600	\$0
PST	33483	CHEUNG, CHUEN F *	43969	2007-0649-PST-E	HARRIS	08/30/2010	\$7,620	\$0	\$7,620	\$0
PST	37379	Chittagong Corporation	24279	2009-0437-PST-E	GRIMES	10/18/2009	\$9,532	\$1,906	\$7,626	\$0
PST	38793	CHUP CORPORATION INC	15149	2009-1951-PST-E	DALLAS	06/18/2010	\$2,825	\$565	\$2,260	\$0
PST	37585	Circle Bar Truck Corral, Inc.	64553	2009-0666-PST-E	CROCKETT	11/13/2009	\$28,225	\$5,645	\$22,580	\$0
PST	38623	CIRCLE K STORES INC.	5467	2009-1779-PST-E	EL PASO	05/09/2010	\$8,971	\$1,794	\$7,177	\$0
PST	39264	CIRCLE K STORES INC.	25199	2010-0369-PST-E	EL PASO	08/30/2010	\$3,726	\$745	\$2,981	\$0
PST	38352	City Int'l., Ltd.	47169	2009-1499-PST-E	HARRIS	03/08/2010	\$3,620	\$724	\$2,896	\$0
PST	39469	City of Denver City	50032	2010-0562-PST-E	YOAKUM	08/09/2010	\$1,750	\$0	\$1,750	\$0
PST	37952	City of Freeport	50446	2009-1109-PST-E	BRAZORIA	02/22/2010	\$12,375	\$2,475	\$9,900	\$0
PST	37474	Clara, Inc.	71980	2009-0539-PST-E	BASTROP	09/21/2009	\$4,275	\$855	\$3,420	\$0
PST	37964	COROVESSIS, NICK	34101	2009-1120-PST-E	BRAZORIA	05/09/2010	\$5,086	\$1,017	\$4,069	\$0

\* There are Multiple Respondent Names associated to this Case No.



## Appendix 11: List of Administrative Orders Issued FY2010

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	35569	CURTICE, JIMMY O	1867	2008-0467-PST-E	JASPER	08/21/2010	\$7,875	\$1,575	\$6,300	\$0
PST	37795	Cusa Kbc, Llc	2055	2009-0936-PST-E	BEXAR	10/18/2009	\$6,096	\$1,219	\$4,877	\$0
PST	37637	D. Lakhani Inc.	24714	2009-0689-PST-E	DALLAS	06/26/2010	\$16,086	\$0	\$16,086	\$0
PST	38483	Darlene Chappell	7623	2009-1594-PST-E	IRION	04/25/2010	\$5,055	\$1,011	\$4,044	\$0
PST	38766	Deer Horn Aviation LTD. Co.	9793	2009-1945-PST-E	MIDLAND	06/18/2010	\$4,375	\$875	\$3,500	\$0
PST	37667	Delicias Restaurant -Store, LLC	9883	2009-0769-PST-E	BROOKS	09/21/2009	\$7,700	\$0	\$7,700	\$0
PST	38659	Devin Borjas	40637	2009-1805-PST-E	HALE	07/08/2010	\$3,675	\$0	\$3,675	\$0
PST	37516	Dewan Enterprises, Inc.	54499	2009-0581-PST-E	TARRANT	09/21/2009	\$3,080	\$616	\$2,464	\$0
PST	38749	DHANANI, WAZIR A	50051	2009-1902-PST-E		07/31/2010	\$3,675	\$0	\$3,675	\$0
PST	38741	DHUKA, RAHIM	62344	2009-1886-PST-E	HARRIS	08/09/2010	\$7,385	\$1,477	\$5,908	\$0
PST	37959	Dhukani Investments, Inc.	79052	2009-1097-PST-E	MONTGOMERY	12/18/2009	\$7,596	\$1,519	\$6,077	\$0
PST	39427	Don A. Stewart, Inc.	45535	2010-0510-PST-E	TRAVIS	08/30/2010	\$3,500	\$700	\$2,800	\$0
PST	38312	DONATO, LINO Z	27684	2009-1437-PST-E	ATASCOSA	06/18/2010	\$10,487	\$6,887	\$3,600	\$0
PST	38032	Double V, Inc.	77375	2009-1182-PST-E	HARRIS	11/13/2009	\$3,047	\$609	\$2,438	\$0
PST	35236	DRENNAN, RAY	74229	2008-0124-PST-E	YOUNG	06/30/2010	\$11,550	\$9,750	\$1,800	\$0
PST	37919	DRJO ENTERPRISE INC.	64319	2009-1010-PST-E	DALLAS	11/13/2009	\$4,933	\$986	\$3,947	\$0
PST	37851	E Z SPEEDY, INC.	72876	2009-0956-PST-E	HARRIS	02/07/2010	\$6,375	\$1,275	\$5,100	\$0
PST	38002	Eastex Hardy Properties, LLC	48363	2009-1147-PST-E	HARRIS	11/27/2009	\$2,118	\$423	\$1,695	\$0
PST	39074	Edgewood Independent School District	48463	2010-0161-PST-E	BEXAR	08/21/2010	\$4,400	\$880	\$3,520	\$0
PST	37714	Ekram & Nasir Corporation	33164	2009-0832-PST-E	DALLAS	02/07/2010	\$4,666	\$0	\$4,666	\$0
PST	37617	El Paso Surgery Centers, L.P.	72645	2009-0706-PST-E	EL PASO	11/27/2009	\$3,556	\$711	\$2,845	\$0
PST	38529	El Paso-Los Angeles Limousine Express Inc.	11846	2009-1665-PST-E	EL PASO	03/20/2010	\$3,151	\$630	\$2,521	\$0
PST	36160	Elegant, Inc	39697	2008-1114-PST-E	HARRIS	08/21/2010	\$14,360	\$2,872	\$11,488	\$0
PST	35666	EL-HAMED, SAMMY	39342	2008-0544-PST-E	DALLAS	10/31/2009	\$18,188	\$14,588	\$3,600	\$0
PST	38421	Elvan Management Inc.	8960	2009-1542-PST-E	HOOD	06/18/2010	\$3,250	\$650	\$2,600	\$0
PST	39191	FAIRBANK FOOD STORE INC	5447	2010-0279-PST-E	HARRIS	08/21/2010	\$4,944	\$988	\$3,956	\$0
PST	38054	Fastrac Food Store's, Inc.	73063	2009-1212-PST-E	HARRIS	02/22/2010	\$2,221	\$444	\$1,777	\$0
PST	37733	Federal Aviation Administration	37909	2009-0829-PST-E	BEXAR	03/20/2010	\$4,375	\$875	\$3,500	\$0
PST	38781	Fikes Wholesale, Inc.	3125	2009-1917-PST-E	MCLENNAN	07/11/2010	\$14,725	\$2,945	\$11,780	\$0
PST	38414	First National Bank of Hebronville	20976	2009-1494-PST-E	DUVAL	03/08/2010	\$2,375	\$475	\$1,900	\$0
PST	36941	FLORES, ROMELIO	71132	2008-1934-PST-E	EL PASO	05/15/2010	\$16,519	\$0	\$16,519	\$0
PST	38376	Fuelcon Management LLC	42105	2009-1500-PST-E	DENTON	03/20/2010	\$3,406	\$681	\$2,725	\$0

\* There are Multiple Respondent Names associated to this Case No.



**Singles Program**

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	38182	Gagan Holdings, L.L.C.	70629	2009-1351-PST-E	HARRIS	06/26/2010	\$5,321	\$0	\$5,321	\$0
PST	38570	Galan Enterprises, Inc.	79408	2009-1710-PST-E	HARRIS	06/04/2010	\$14,625	\$2,925	\$11,700	\$0
PST	35812	GARCIA, ARNOLD J	28922	2008-0716-PST-E	FRIIO	05/01/2010	\$7,350	\$0	\$7,350	\$0
PST	38389	GARCIA, MANUEL III	74960	2009-1521-PST-E	ZAPATA	05/29/2010	\$625	\$0	\$625	\$0
PST	38620	Gentek Investments, Inc.	75029	2009-1802-PST-E	HARRIS	05/09/2010	\$3,579	\$715	\$2,864	\$0
PST	36974	Glazer's Wholesale Drug Company Inc	29433	2009-0026-PST-E	NUECES	09/21/2009	\$11,159	\$0	\$11,159	\$0
PST	39268	Golden Horn Corporation	66097	2010-0346-PST-E	GALVESTON	08/21/2010	\$6,151	\$1,230	\$4,921	\$0
PST	36147	Grupo Victoria Corporation	63331	2008-1071-PST-E	WEBB	09/12/2009	\$6,096	\$0	\$6,096	\$0
PST	38272	GTE Southwest Incorporated	11295	2009-1411-PST-E	COLLIN	04/11/2010	\$3,314	\$662	\$2,652	\$0
PST	36713	GUZMAN, JESUS JR	21846	2008-1739-PST-E	STARR	10/11/2009	\$3,500	\$0	\$3,500	\$0
PST	39234	H & B Marketing Services, Inc.	67432	2010-0330-PST-E	BRAZORIA	08/30/2010	\$9,008	\$1,801	\$7,207	\$0
PST	37031	HABIB, MOHAMMAD RAFIUL	3611	2009-0072-PST-E	DALLAS	11/07/2009	\$6,420	\$0	\$6,420	\$0
PST	37972	HADDAD, MAYNARD *	1332	2009-1105-PST-E	EL PASO	12/18/2009	\$6,105	\$1,221	\$4,884	\$0
PST	39188	Hajarat Corporation	36599	2010-0274-PST-E	HARRIS	08/21/2010	\$3,771	\$754	\$3,017	\$0
PST	35847	Hall Jack	49986	2008-0763-PST-E	NEWTON	02/11/2010	\$3,675	\$0	\$3,675	\$0
PST	39089	Hamza Enterprises, Inc.	9041	2010-0171-PST-E	HARRIS	08/21/2010	\$3,222	\$644	\$2,578	\$0
PST	39252	HANIF, WAFIA	29899	2007-2009-PST-E	RAINS	06/04/2010	\$9,271	\$0	\$9,271	\$0
PST	36278	HANKAMER VENTURES, LP	8865	2008-1255-PST-E	CHAMBERS	05/09/2010	\$9,164	\$0	\$9,164	\$0
PST	37917	Happy Center, LLC	60803	2009-1050-PST-E	HARRIS	12/18/2009	\$8,625	\$1,725	\$6,900	\$0
PST	37625	Harbor Seafood, Inc.	65659	2009-0713-PST-E	GALVESTON	06/04/2010	\$2,500	\$0	\$2,500	\$0
PST	39064	HARRELL, RAYMON *	75942	2010-0148-PST-E	MONTGOME RY	08/30/2010	\$2,750	\$550	\$2,200	\$0
PST	39154	Healthsouth of San Antonio, Inc.	45922	2010-0216-PST-E	BEXAR	08/21/2010	\$11,339	\$2,267	\$9,072	\$0
PST	37327	HELO, TIM KAHIR	45731	2009-0391-PST-E	HOWARD	09/21/2009	\$4,976	\$995	\$3,981	\$0
PST	32135	HEMEED, BANGUSH	46884	2007-0010-PST-E	HARRIS	06/04/2010	\$14,000	\$10,400	\$3,600	\$0
PST	38001	Hempstead Independent School District	56044	2009-1151-PST-E	WALLER	02/22/2010	\$11,875	\$2,375	\$0	\$9,500
PST	36734	Hernandez Javier	30799	2008-1706-PST-E	HIDALGO	09/12/2009	\$5,250	\$0	\$5,250	\$0
PST	36683	Hina Enterprises, Inc.	73347	2008-1667-PST-E	ORANGE	04/11/2010	\$10,297	\$0	\$10,297	\$0
PST	39256	Hi-Performance Fuel, Inc.	528	2010-0354-PST-E	FLOYD	08/09/2010	\$1,750	\$0	\$1,750	\$0
PST	38049	Hitchcock Business INC.	64364	2009-1209-PST-E	GALVESTON	03/20/2010	\$2,644	\$528	\$2,116	\$0
PST	38122	Hong & Taft, Inc.	69145	2009-1281-PST-E	HARRIS	01/28/2010	\$3,702	\$740	\$2,962	\$0
PST	37749	Hoover Valley Country Store, LLC	29701	2009-0857-PST-E	BURNET	12/18/2009	\$3,002	\$600	\$2,402	\$0
PST	37320	Houston Harrisburg Convenience Store, Inc.	71614	2009-0392-PST-E	HARRIS	10/11/2009	\$3,596	\$0	\$3,596	\$0

\* There are Multiple Respondent Names associated to this Case No.



**Singles Program**

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	38539	Houston Sky Business, Inc.	74659	2009-1692-PST-E	HARRIS	05/09/2010	\$5,096	\$1,019	\$4,077	\$0
PST	38664	HP Enterprise Services LLC	43836	2009-1809-PST-E	DALLAS	05/09/2010	\$1,750	\$350	\$1,400	\$0
PST	37695	HPT TA Properties Trust	52846	2009-0786-PST-E	KAUFMAN	10/31/2009	\$22,598	\$4,519	\$18,079	\$0
PST	39219	Hugh Howard	47190	2010-0299-PST-E	PRESIDIO	08/21/2010	\$4,700	\$940	\$3,760	\$0
PST	37375	Humberto Gonzalez	44800	2009-0419-PST-E		09/21/2009	\$3,500	\$700	\$2,800	\$0
PST	39147	Hut Enterpreise, LLC	24726	2010-0217-PST-E	HARRIS	08/21/2010	\$7,786	\$1,557	\$6,229	\$0
PST	35699	HUYNH, QUANG *	0035860	2008-0527-PST-E	TARRANT	11/13/2009	\$15,783	\$0	\$15,783	\$0
PST	39313	I & C Texas Enterprises, Inc.	26547	2010-0402-PST-E	DALLAS	08/21/2010	\$6,078	\$1,215	\$4,863	\$0
PST	35796	IMKAN ENTERPRISES, INC.	41224	2008-0660-PST-E	HARRIS	09/20/2009	\$29,386	\$0	\$29,386	\$0
PST	11519	Inara Convenience Inc.	11356	2008-0094-PST-E	TARRANT	04/11/2010	\$23,450	\$0	\$23,450	\$0
PST	37639	INAYAT'S, Inc. dba Stop & Shop	67449	2009-0729-PST-E	TARRANT	10/31/2009	\$4,796	\$959	\$3,837	\$0
PST	34736	Interglobe Trade Channel, Inc.	53419	2007-1600-PST-E	DENTON	10/18/2009	\$27,430	\$0	\$27,430	\$0
PST	38583	J & Z C-Store, Inc.	17924	2009-1721-PST-E	HARRIS	06/18/2010	\$3,625	\$725	\$2,900	\$0
PST	39051	J F A Oil Company	18734	2010-0138-PST-E	HARRIS	08/30/2010	\$5,500	\$1,100	\$4,400	\$0
PST	38708	J. A. F. ENTERPRISE, INC.	73201	2009-1851-PST-E	HARRIS	07/31/2010	\$4,225	\$0	\$4,225	\$0
PST	37111	J.D. Martin III	40937	2009-0156-PST-E	JEFFERSON	08/29/2010	\$15,600	\$0	\$15,600	\$0
PST	38707	James D. Satterwhite	77227	2009-1881-PST-E	ELLIS	06/18/2010	\$2,675	\$1,475	\$1,200	\$0
PST	37842	James Kaufman	59682	2009-0970-PST-E	JEFFERSON	03/14/2010	\$6,300	\$0	\$6,300	\$0
PST	35584	JANBAZIAN, SARKIS	57212	2008-0474-PST-E	DENTON	11/13/2009	\$12,000	\$2,400	\$9,600	\$0
PST	38124	Javeria Enterprises Inc	41396	2009-1285-PST-E	HARRIS	05/09/2010	\$2,560	\$0	\$2,560	\$0
PST	39076	JAY MAHARAJ CORPORATION	18279	2010-0141-PST-E	MONTGOME RY	06/18/2010	\$3,089	\$617	\$2,472	\$0
PST	37533	JEONG, JEFFREY H	70063	2009-0608-PST-E	HARRIS	09/21/2009	\$3,850	\$770	\$3,080	\$0
PST	35514	Joe Ben Wolf	25802	2008-0398-PST-E	GREGG	08/30/2010	\$6,300	\$2,700	\$3,600	\$0
PST	38792	Joe's & Cho, Inc.	66381	2009-1930-PST-E	TARRANT	06/26/2010	\$5,088	\$1,017	\$4,071	\$0
PST	38436	John Fountain	48139	2009-1485-PST-E	NEWTON	07/16/2010	\$6,300	\$0	\$6,300	\$0
PST	35449	JONES, THOMAS *	21661	2008-0281-PST-E	GRAYSON	10/24/2009	\$3,675	\$0	\$3,675	\$0
PST	34846	JUDD, SHELLEY *	23519	2007-1725-PST-E	HASKELL	06/18/2010	\$2,250	\$0	\$2,250	\$0
PST	39148	Jupiter Food Mart, LLC	66413	2010-0239-PST-E	TARRANT	08/09/2010	\$4,699	\$939	\$3,760	\$0
PST	38732	K & R HOLDINGS, INC.	22387	2009-1883-PST-E	HARRIS	07/16/2010	\$3,675	\$0	\$3,675	\$0
PST	36158	Kabani Corporation *	39380	2008-1175-PST-E	TARRANT	11/13/2009	\$10,662	\$0	\$10,662	\$0
PST	36517	Kavmel Inc.	45606	2008-1471-PST-E	TARRANT	10/18/2009	\$4,375	\$875	\$3,500	\$0
PST	38023	KDG, Inc.	10643	2009-1055-PST-E	WILLIAMSON	01/28/2010	\$4,504	\$900	\$3,604	\$0

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**Singles Program**

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Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	37508	KDP, LLC	79027	2009-0587-PST-E	HARRIS	03/28/2010	\$19,964	\$0	\$19,964	\$0
PST	35103	Kea Investments, Inc.	27381	2007-1997-PST-E	HENDERSON	06/18/2010	\$3,125	\$0	\$3,125	\$0
PST	38715	KELLER, MELTON	63899	2009-1864-PST-E	KIMBLE	06/26/2010	\$23,696	\$4,739	\$18,957	\$0
PST	36285	Kenneth W. Blevins	1875	2008-1237-PST-E	LIVE OAK	04/09/2010	\$1,000	\$0	\$1,000	\$0
PST	38262	Kesss Investments #10001, LLC	78186	2009-1399-PST-E	EL PASO	03/08/2010	\$4,429	\$885	\$3,544	\$0
PST	37847	KHAIL, TAJRANGEZA *	12207	2009-0980-PST-E	JEFFERSON	06/24/2010	\$7,800	\$0	\$7,800	\$0
PST	35877	KHALAF, TAREK	43157	2008-0789-PST-E	YOUNG	02/07/2010	\$6,300	\$0	\$6,300	\$0
PST	37054	KHAN, MOHAMMED DINAJ	23988	2009-0098-PST-E	HARDIN	08/09/2010	\$21,426	\$0	\$21,426	\$0
PST	38885	Khuram Enterprises Inc	50224	2009-2051-PST-E	GALVESTON	08/09/2010	\$3,173	\$634	\$2,539	\$0
PST	38617	KIM, YONG KYU	66221	2009-1780-PST-E	FORT BEND	06/04/2010	\$4,750	\$950	\$3,800	\$0
PST	35987	KING, KANDY		2008-0901-PST-E	NUECES	12/06/2009	\$7,000	\$0	\$7,000	\$0
PST	39097	Kleberg County	72077	2010-0154-PST-E	KLEBERG	07/11/2010	\$7,025	\$1,405	\$0	\$5,620
PST	38747	KO, YOUNG KON	57169	2009-1876-PST-E	BRAZORIA	06/26/2010	\$3,523	\$704	\$2,819	\$0
PST	38835	Kroger Texas LP	79753	2009-2007-PST-E	BRAZORIA	06/04/2010	\$4,323	\$864	\$3,459	\$0
PST	37250	KSS Enterprises, Inc.	39631	2009-0307-PST-E	HARRIS	10/31/2009	\$2,736	\$0	\$2,736	\$0
PST	38647	Laporte Petroleum Corporation	57274	2009-1796-PST-E	HARRIS	08/09/2010	\$16,026	\$3,205	\$12,821	\$0
PST	38318	Larry J. Appelt	19630	2009-1454-PST-E	LAVACA	04/25/2010	\$26,798	\$5,359	\$21,439	\$0
PST	37706	LCG Enterprise, Inc.	63159	2009-0798-PST-E	TARRANT	10/31/2009	\$3,794	\$758	\$3,036	\$0
PST	31441	LE, THUY NGA *	43106	2006-1791-PST-E	ORANGE	02/27/2010	\$6,895	\$0	\$6,895	\$0
PST	35943	LEEMAK 529 LLC	69783	2008-0865-PST-E	HARRIS	06/26/2010	\$62,316	\$0	\$31,158	\$31,158
PST	38110	Leo Group, Inc.	40591	2009-1273-PST-E	HARRIS	03/08/2010	\$8,184	\$1,636	\$6,548	\$0
PST	37956	LIND, ELLA L	83593	2009-1102-PST-E	TRAVIS	04/11/2010	\$3,850	\$0	\$3,850	\$0
PST	37601	Little Star Inc.	49049	2009-0707-PST-E	GALVESTON	09/21/2009	\$3,096	\$619	\$2,477	\$0
PST	37909	Longpoint Enterprises, Inc.	36603	2009-1029-PST-E	HARRIS	02/07/2010	\$20,894	\$4,178	\$16,716	\$0
PST	38784	LOPEZ, RUBEN	73768	2009-1936-PST-E	DIMITT	08/09/2010	\$6,096	\$0	\$6,096	\$0
PST	38003	Lu Thi Pham	24134	2009-1150-PST-E	JEFFERSON	05/15/2010	\$6,300	\$0	\$6,300	\$0
PST	39046	LUJAN, RICHARD J	6438	2010-0155-PST-E	LUBBOCK	07/11/2010	\$1,750	\$0	\$1,750	\$0
PST	38074	LUU, KATHY	40177	2009-1229-PST-E	HARRIS	03/08/2010	\$3,149	\$630	\$2,519	\$0
PST	38900	LY, BEN	68860	2009-2085-PST-E	HARRIS	06/26/2010	\$5,770	\$1,154	\$4,616	\$0
PST	38809	M & F Holdings, Inc.	424	2009-1971-PST-E	HARRIS	06/26/2010	\$7,268	\$1,453	\$5,815	\$0
PST	38270	M & F, Inc.	74164	2009-1393-PST-E	HARRIS	07/31/2010	\$4,696	\$0	\$4,696	\$0
PST	39180	M & M Brother Corporation	72075	2010-0246-PST-E	HARRIS	08/21/2010	\$12,348	\$2,469	\$9,879	\$0
PST	39365	M & S Business Corporation	35151	2010-0461-PST-E	HARRIS	08/30/2010	\$5,951	\$1,190	\$4,761	\$0

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**TCEQ**  
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Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	38943	M. Dumia, Inc.	44364	2010-0129-PST-E	HARRIS	08/09/2010	\$6,517	\$1,303	\$5,214	\$0
PST	35625	M.Y.M. Investment Inc. dba Kwik & Save Food Store	45095	2008-0532-PST-E	HARRIS	08/09/2010	\$5,364	\$0	\$5,364	\$0
PST	38866	MANJARI INC	51520	2009-2015-PST-E	PARKER	07/11/2010	\$7,897	\$1,579	\$6,318	\$0
PST	37489	Mansour Ghaith *	5690	2009-0565-PST-E	TARRANT	09/21/2009	\$5,976	\$1,195	\$4,781	\$0
PST	37370	Maredia Inc	652	2009-0420-PST-E	GRIMES	10/18/2009	\$19,701	\$3,940	\$15,761	\$0
PST	37965	MARIAM, KIDANE	40235	2009-1098-PST-E	DALLAS	11/27/2009	\$6,140	\$1,228	\$4,912	\$0
PST	35861	Mary Automotive, Inc.	41920	2008-0776-PST-E	DALLAS	12/12/2009	\$6,850	\$0	\$6,850	\$0
PST	37623	Maryem Shams, Inc.	18867	2009-0710-PST-E	MCLENNAN	01/28/2010	\$2,735	\$547	\$2,188	\$0
PST	36130	Mattie Novosad	41621	2008-1068-PST-E	BELL	10/24/2009	\$7,875	\$0	\$7,875	\$0
PST	27285	MCADAMS, ROBERT	29501	2008-1490-PST-E	HENDERSON	05/09/2010	\$46,145	\$0	\$46,145	\$0
PST	37700	MCLARRIN, WILLIAM RANDLE	48760	2009-0556-PST-E	SAN JACINTO	02/17/2010	\$5,600	\$0	\$5,600	\$0
PST	37666	McMahan General Store, LLC	73339	2009-0762-PST-E	CALDWELL	06/26/2010	\$7,210	\$0	\$7,210	\$0
PST	37810	Mel Stevenson & Associates, Inc.	31378	2009-0928-PST-E	BEXAR	11/27/2009	\$8,373	\$1,674	\$6,699	\$0
PST	36544	MEMON, FARHAN	39407	2008-1564-PST-E	DALLAS	04/11/2010	\$5,046	\$0	\$5,046	\$0
PST	38938	META VENTURES INC	35344	2010-0034-PST-E	HARRIS	07/11/2010	\$5,100	\$1,020	\$4,080	\$0
PST	39283	MFS Corporation	20768	2010-0412-PST-E	MCLENNAN	08/30/2010	\$4,500	\$900	\$3,600	\$0
PST	39195	Midway Petroleum Unit 5, L.P.	74575	2010-0283-PST-E	MONTGOMERY	08/09/2010	\$2,222	\$444	\$1,778	\$0
PST	39007	Miller of Denton, Ltd.	75063	2010-0084-PST-E	DENTON	06/04/2010	\$5,028	\$1,005	\$4,023	\$0
PST	38621	MONTEMAYOR, FERNANDO *	45078	2009-1801-PST-E	HARRIS	06/04/2010	\$5,250	\$1,050	\$4,200	\$0
PST	35166	Moore Larry G	4034	2008-0011-PST-E	PANOLA	09/12/2009	\$3,675	\$0	\$3,675	\$0
PST	35631	MORGAN, WAYNE T	12481	2008-0517-PST-E	MORRIS	06/24/2010	\$2,625	\$0	\$2,625	\$0
PST	38118	MPA River Oaks Limited Partnership	60032	2009-1299-PST-E	HARRIS	02/07/2010	\$11,295	\$2,259	\$9,036	\$0
PST	38813	MTV Group LLC	77774	2009-1950-PST-E	GALVESTON	06/04/2010	\$9,910	\$1,982	\$7,928	\$0
PST	37097	Mudor Rahman, Inc.	24028	2009-0118-PST-E	ORANGE	04/25/2010	\$2,055	\$0	\$2,055	\$0
PST	38146	Mukhi Petroleum LLC	44573	2009-1316-PST-E	TARRANT	08/09/2010	\$2,163	\$0	\$2,163	\$0
PST	37786	Munir Nasah	18892	2009-0870-PST-E	MCLENNAN	12/18/2009	\$5,550	\$1,110	\$4,440	\$0
PST	38517	Murad Ali Plasticwala	27743	2009-1629-PST-E	DALLAS	06/18/2010	\$3,675	\$735	\$2,940	\$0
PST	38268	N & D Mekhail Enterprises, Inc.	48763	2009-1392-PST-E	HARRIS	03/08/2010	\$2,048	\$409	\$1,639	\$0
PST	38269	N#1 Airline Center, Inc.	39651	2009-1358-PST-E	HARRIS	02/22/2010	\$3,209	\$641	\$2,568	\$0
PST	38302	NANCE, FELIX	13460	2009-1431-PST-E		06/04/2010	\$2,625	\$0	\$2,625	\$0
PST	38585	NASSER, ABU	27498	2009-1724-PST-E	BEXAR	06/26/2010	\$12,033	\$2,406	\$9,627	\$0

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PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	38190	National Convenience Stores Incorporated	35116	2009-1368-PST-E	HARRIS	04/25/2010	\$6,221	\$1,244	\$2,489	\$2,488
PST	39012	Nausheen, Inc.	39840	2010-0087-PST-E	HARRIS	08/09/2010	\$4,907	\$981	\$3,926	\$0
PST	35840	NAVARRO, GILBERT	30890	2008-0723-PST-E	CROSBY	02/17/2010	\$15,750	\$0	\$15,750	\$0
PST	39722	Nazma, LLC.	83372	2010-0838-PST-E	HAYS	08/30/2010	\$875	\$0	\$875	\$0
PST	36574	Neelam Markets, Inc.	35144	2008-1502-PST-E	HARRIS	08/30/2010	\$121,750	\$0	\$60,875	\$60,875
PST	26124	Nelco Distributing Co.	28529	2005-1223-PST-E	SAN PATRICIO	10/11/2009	\$4,200	\$0	\$4,200	\$0
PST	38612	NELSON, ROBERT JR	37250	2009-1755-PST-E	DALLAS	05/09/2010	\$2,625	\$525	\$2,100	\$0
PST	37507	New K & T Quick Stop, Inc	65698	2009-0578-PST-E	TARRANT	10/04/2009	\$10,416	\$2,083	\$8,333	\$0
PST	38724	New Mart Corporation	14941	2009-1879-PST-E	FORT BEND	05/09/2010	\$5,168	\$1,033	\$4,135	\$0
PST	39033	New Open Pantry, LLC	14927	2010-0126-PST-E	DALLAS	08/21/2010	\$5,533	\$1,106	\$4,427	\$0
PST	38349	New Star Holdings, L.L.C.	66101	2009-1462-PST-E	GALVESTON	05/09/2010	\$2,344	\$468	\$1,876	\$0
PST	36937	New Way Enterprise Inc.	35274	2008-1935-PST-E	BRAZORIA	11/07/2009	\$11,276	\$0	\$11,276	\$0
PST	36438	NGUYEN, TUAN	65273	2008-1374-PST-E	HARRIS	09/21/2009	\$3,937	\$0	\$3,937	\$0
PST	38742	Nhan Thi Tran	11926	2009-1870-PST-E	JEFFERSON	06/18/2010	\$2,625	\$525	\$2,100	\$0
PST	35382	Nida, Inc.	29294	2008-0232-PST-E	HARRIS	10/22/2009	\$4,224	\$0	\$4,224	\$0
PST	37926	NIPA ENTERPRISES INC.	60524	2009-1073-PST-E	GALVESTON	06/04/2010	\$15,695	\$0	\$15,695	\$0
PST	37472	NMAD Enterprises Inc.	12656	2009-0513-PST-E	JEFFERSON	10/04/2009	\$15,784	\$3,156	\$12,628	\$0
PST	36903	NN Business, Inc.	18571	2008-1892-PST-E	NUECES	11/13/2009	\$6,676	\$0	\$6,676	\$0
PST	38947	Noble Enterprises, Inc.	35069	2010-0035-PST-E	GALVESTON	07/11/2010	\$2,487	\$497	\$1,990	\$0
PST	39092	North Texas Fixture Company, Inc.	75791	2010-0176-PST-E	TARRANT	08/09/2010	\$2,525	\$505	\$2,020	\$0
PST	39029	Nueces County	22966	2010-0110-PST-E	NUECES	08/21/2010	\$5,125	\$1,025	\$0	\$4,100
PST	38891	Nueces Electric Cooperative, Inc.	29143	2009-2043-PST-E	NUECES	06/18/2010	\$4,131	\$826	\$3,305	\$0
PST	38238	OCAMPO, ADAM R	62180	2009-1367-PST-E	TARRANT	02/22/2010	\$11,609	\$2,321	\$9,288	\$0
PST	38616	Olmos Construction, Inc.	18581	2009-1749-PST-E	BEXAR	05/09/2010	\$3,346	\$669	\$2,677	\$0
PST	38555	Olympia C-Store Management LLC	74065	2009-1672-PST-E	TARRANT	05/09/2010	\$5,536	\$1,107	\$4,429	\$0
PST	38125	One Tri-State Enterprises, L.L.C.	33094	2009-1286-PST-E	HARRIS	02/07/2010	\$5,996	\$1,199	\$4,797	\$0
PST	38153	Oxford Convenience, Inc.	27149	2009-1272-PST-E	DENTON	02/22/2010	\$2,831	\$566	\$2,265	\$0
PST	38723	P. L. Lam Corp.	45840	2009-1868-PST-E	BASTROP	06/04/2010	\$2,875	\$575	\$2,300	\$0
PST	38519	Pacific Convenience & Fuels, LLC	45529	2009-1647-PST-E	DALLAS	06/04/2010	\$10,571	\$2,114	\$8,457	\$0
PST	39174	PARK, CHIWOO	60283	2010-0250-PST-E	HARRIS	08/21/2010	\$4,565	\$913	\$3,652	\$0
PST	37747	PARK, CHONG C	55446	2009-0854-PST-E	HARRIS	12/18/2009	\$9,414	\$1,882	\$7,532	\$0
PST	36927	PARK, SOOK	17119	2008-1945-PST-E	NUECES	04/11/2010	\$5,000	\$0	\$5,000	\$0

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PST	37466	Patton & Dial Quality Oil Company, LLC	24229	2009-0540-PST-E	HOUSTON	10/18/2009	\$14,400	\$2,880	\$11,520	\$0
PST	38546	PAYAN, ANDRES	2655	2009-1667-PST-E	EL PASO	03/20/2010	\$4,532	\$906	\$3,626	\$0
PST	37958	PDRMK Properties I, Ltd.	77466	2009-1101-PST-E	COOKE	12/18/2009	\$11,724	\$2,344	\$9,380	\$0
PST	37542	Petrocapital Management, LLC	35088	2009-0617-PST-E	GALVESTON	02/22/2010	\$4,673	\$934	\$3,739	\$0
PST	39220	PETROGAS LLC	72376	2010-0305-PST-E	HARRIS	08/30/2010	\$5,848	\$1,169	\$4,679	\$0
PST	38773	Petroleum Wholesale, L.P.	29860	2009-1926-PST-E	HARRIS	08/09/2010	\$3,675	\$735	\$2,940	\$0
PST	38812	Petroleum Wholesale, L.P.	49945	2009-1938-PST-E	TARRANT	08/21/2010	\$5,579	\$1,115	\$4,464	\$0
PST	35617	Petroleum Wholesale, L.P.	44876	2008-0512-PST-E	HARRIS	09/21/2009	\$2,375	\$0	\$2,375	\$0
PST	36698	Petroleum Wholesale, L.P.	22745	2008-1674-PST-E	BASTROP	08/30/2010	\$34,807	\$0	\$34,807	\$0
PST	38283	Petroleum Wholesale, L.P.	65069	2009-1416-PST-E	HARRIS	03/20/2010	\$3,542	\$708	\$2,834	\$0
PST	27012	Piazza, Joseph	23635	2005-1711-MLM-E	EL PASO	12/17/2009	\$10,800	\$0	\$10,800	\$0
PST	38754	Pilot Travel Centers LLC	78672	2009-1914-PST-E	HARRIS	05/09/2010	\$7,096	\$1,419	\$5,677	\$0
PST	38816	Pinak Corporation	70400	2009-1983-PST-E	GALVESTON	07/11/2010	\$3,076	\$615	\$2,461	\$0
PST	37913	Pine Forest Country Club	52804	2009-1046-PST-E	HARRIS	06/04/2010	\$14,521	\$2,904	\$11,617	\$0
PST	37836	PLATIS, JOHN	71362	2009-0963-PST-E	HARRIS	05/09/2010	\$10,096	\$0	\$10,096	\$0
PST	38080	Post Oak Retailer's Inc.	35405	2009-1232-PST-E	FORT BEND	03/20/2010	\$5,315	\$1,063	\$4,252	\$0
PST	38453	PP & MB Corporation	72254	2009-1602-PST-E	HARRIS	04/25/2010	\$5,064	\$1,012	\$4,052	\$0
PST	37209	Preston Mery Properties, Inc.	64308	2009-0282-PST-E	WILSON	03/20/2010	\$7,000	\$0	\$7,000	\$0
PST	39197	PROBH CORPORATION	42860	2010-0278-PST-E	JOHNSON	08/30/2010	\$10,687	\$2,137	\$8,550	\$0
PST	38380	PRYOR, MATTHEW A	48133	2009-1495-PST-E	JEFFERSON	07/16/2010	\$8,925	\$0	\$8,925	\$0
PST	39003	PTCAA Texas, L.P.	4953	2010-0054-PST-E	ORANGE	08/21/2010	\$11,743	\$2,348	\$9,395	\$0
PST	38697	PVSS Enterprises, Inc.	75302	2009-1862-PST-E	HARRIS	06/04/2010	\$6,821	\$1,364	\$5,457	\$0
PST	38444	Qandil, Inc. *	20379	2009-1576-PST-E	BRAZOS	08/21/2010	\$3,625	\$0	\$3,625	\$0
PST	39069	Quick Fuel Fleet Services, LLC	74348	2010-0150-PST-E	TARRANT	08/30/2010	\$18,238	\$3,647	\$14,591	\$0
PST	38755	R & D Distributing Ltd	30995	2009-1920-PST-E	SHELBY	06/26/2010	\$14,495	\$2,899	\$11,596	\$0
PST	37447	R C McBryde Oil Company	1620	2009-0514-PST-E	KIMBLE	06/18/2010	\$67,600	\$0	\$67,600	\$0
PST	38573	R N Business, Inc.	39045	2009-1712-PST-E	BRAZORIA	06/04/2010	\$16,214	\$0	\$16,214	\$0
PST	38803	R. B. Rogers	62701	2009-1967-PST-E	GRAY	08/09/2010	\$2,625	\$0	\$2,625	\$0
PST	38128	Raheel & Rida Inc.	27455	2009-1282-PST-E	DALLAS	03/08/2010	\$4,053	\$810	\$3,243	\$0
PST	37086	Rahisa United, Inc.	77533	2009-0137-PST-E	HARRIS	09/21/2009	\$16,242	\$3,248	\$12,994	\$0
PST	38108	Reena & Arish Business, Inc.	57108	2009-1280-PST-E	HARRIS	03/08/2010	\$3,770	\$754	\$3,016	\$0
PST	38042	REYES, GILBERT	72395	2009-1205-PST-E	EL PASO	10/31/2009	\$875	\$0	\$875	\$0
PST	38625	RF FOOD AND GAS, INC.	32238	2009-1773-PST-E	BEXAR	06/26/2010	\$6,446	\$1,289	\$5,157	\$0

\* There are Multiple Respondent Names associated to this Case No.



**Singles Program**

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	38983	Rio Grande Regional Hospital, Inc.	44597	2010-0071-PST-E	HIDALGO	06/18/2010	\$875	\$0	\$875	\$0
PST	37820	Rios Ruben	16398	2009-0943-PST-E	NUECES	03/28/2010	\$7,700	\$0	\$7,700	\$0
PST	36119	RIVAS, JUAN G	13582	2008-1038-PST-E	DALLAS	02/07/2010	\$13,071	\$0	\$13,071	\$0
PST	37889	Riz Investment, Inc.	66760	2009-1019-PST-E	BRAZORIA	08/09/2010	\$7,031	\$0	\$7,031	\$0
PST	37338	Road Runner Real Estate Inv Trust		2009-0405-PST-E	GRAYSON	07/16/2010	\$6,300	\$0	\$6,300	\$0
PST	35633	Robertina Haro	62431	2008-0534-PST-E	HIDALGO	02/07/2010	\$9,350	\$0	\$9,350	\$0
PST	38466	Rosemin Enterprises, Inc.	32265	2009-1558-PST-E	HARRIS	05/09/2010	\$4,222	\$844	\$3,378	\$0
PST	38103	Roshani Enterprises Inc.	65568	2009-1246-PST-E	DALLAS	04/25/2010	\$4,070	\$814	\$3,256	\$0
PST	37013	RSE, Inc.	42276	2009-0053-PST-E	DALLAS	10/18/2009	\$2,945	\$0	\$2,945	\$0
PST	36873	Rupaul Mini Mart, Inc.	67577	2008-1844-PST-E	HARRIS	10/04/2009	\$11,320	\$0	\$11,320	\$0
PST	38423	RVR Investments, Inc.	15606	2009-1559-PST-E	DALLAS	11/27/2009	\$3,500	\$0	\$3,500	\$0
PST	39037	S.A.R ENTERPRISES, INC.	72640	2010-0115-PST-E	TARRANT	08/21/2010	\$16,656	\$3,331	\$13,325	\$0
PST	37848	SAAJ Corporation	39785	2009-0981-PST-E	HARRIS	02/07/2010	\$3,368	\$673	\$2,695	\$0
PST	38422	Sac-N-Pac Stores, Inc.	62317	2009-1537-PST-E	HAYS	04/11/2010	\$6,900	\$1,380	\$5,520	\$0
PST	38829	Sada Bahar, Inc.	16571	2009-1988-PST-E	ELLIS	06/26/2010	\$4,750	\$950	\$3,800	\$0
PST	39006	Sadiq Corporation	33170	2010-0085-PST-E	DALLAS	08/09/2010	\$3,728	\$745	\$2,983	\$0
PST	37630	Sajida Corporation	26698	2009-0731-PST-E	GALVESTON	12/18/2009	\$4,771	\$954	\$3,817	\$0
PST	24699	Salim Aziz Dossani	59152	2008-1254-PST-E	HARRIS	02/22/2010	\$65,088	\$0	\$65,088	\$0
PST	37698	Salima Roheen, Inc.	40244	2009-0788-PST-E	TARRANT	12/18/2009	\$3,820	\$764	\$3,056	\$0
PST	38701	SAS HOUSTON PROPERTIES, LLC.	23095	2009-1856-PST-E	HARRIS	06/04/2010	\$2,795	\$559	\$2,236	\$0
PST	35644	Schilling Sierra INC.	68014	2008-0531-PST-E	HUDSPETH	02/27/2010	\$9,095	\$0	\$9,095	\$0
PST	38901	Seis NLSS MG Corporation	69782	2009-2078-PST-E	BRAZORIA	08/21/2010	\$7,651	\$1,530	\$6,121	\$0
PST	38568	SH & PN Investment Group Inc.	41224	2009-1708-PST-E	HARRIS	06/18/2010	\$11,490	\$2,298	\$9,192	\$0
PST	35678	SHAH, MAULESH	6278	2008-0565-PST-E	DENTON	03/20/2010	\$4,500	\$900	\$3,600	\$0
PST	38611	Shams Enterprises, L.P.	26177	2009-1761-PST-E	GALVESTON	06/04/2010	\$4,283	\$856	\$3,427	\$0
PST	38152	Shannon Medical Center	48337	2009-1298-PST-E	TOM GREEN	01/28/2010	\$2,425	\$485	\$1,940	\$0
PST	38785	SHAPIRO FAMILY LTD PARTNERSHIP	13780	2009-1949-PST-E	POTTER	08/21/2010	\$3,575	\$715	\$2,860	\$0
PST	37424	Shareef I Enterprises, Inc.	33082	2009-0483-PST-E	GALVESTON	09/21/2009	\$3,071	\$614	\$2,457	\$0
PST	38104	Shawna, Inc.	4020	2009-1238-PST-E	EASTLAND	02/22/2010	\$2,625	\$525	\$2,100	\$0
PST	37613	SHEKHANI, AFZAL	33787	2009-0692-PST-E	HARRIS	10/11/2009	\$6,728	\$0	\$6,728	\$0
PST	39072	SHIWAKOTI, BISHNU	33196	2010-0160-PST-E	DALLAS	07/11/2010	\$2,938	\$587	\$2,351	\$0
PST	36849	Sindlo, Inc.	69987	2008-1825-PST-E	HARRIS	12/03/2009	\$2,884	\$0	\$2,884	\$0
PST	38083	SINGH, AMRIK	29847	2009-1226-PST-E	GALVESTON	05/15/2010	\$5,500	\$0	\$5,500	\$0

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**Singles Program**

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	38556	Six Knastars, Inc	48125	2009-1754-PST-E	FORT BEND	04/25/2010	\$3,583	\$716	\$2,867	\$0
PST	38729	SKY2C INC	70019	2009-1888-PST-E	HARRIS	06/04/2010	\$3,991	\$798	\$3,193	\$0
PST	39204	Skyland Gas, Inc	32214	2010-0331-PST-E	HARRIS	08/21/2010	\$3,878	\$775	\$3,103	\$0
PST	37559	SL Horizon, LLC	56859	2009-0635-PST-E	LUBBOCK	11/13/2009	\$20,502	\$4,100	\$16,402	\$0
PST	38836	SMHS 1 INC	27485	2009-1996-PST-E	TARRANT	06/18/2010	\$10,220	\$2,044	\$8,176	\$0
PST	36328	Song Yoon Soo	70271	2008-1288-PST-E	HARRIS	05/09/2010	\$13,839	\$0	\$13,839	\$0
PST	38005	Southern Tank Transport, Inc.	75421	2009-1159-PST-E	COMAL	01/28/2010	\$7,500	\$1,500	\$6,000	\$0
PST	37774	Spanky's Wrecker Service Incorporated	20548	2009-0863-PST-E	JEFFERSON	11/27/2009	\$5,250	\$1,050	\$4,200	\$0
PST	38936	Star 1485 West, Inc.	44259	2009-2056-PST-E	MONTGOMERY	08/09/2010	\$8,250	\$1,650	\$6,600	\$0
PST	38571	Star Fuels, Inc.	26734	2009-1736-PST-E	HARRIS	06/04/2010	\$2,446	\$489	\$1,957	\$0
PST	37193	Star Fuels, Inc.	26344	2009-0273-PST-E	HARRIS	02/22/2010	\$11,596	\$0	\$11,596	\$0
PST	36905	Star Fuels, Inc.	23115	2008-1886-PST-E	HARRIS	02/07/2010	\$6,075	\$0	\$6,075	\$0
PST	38691	Star Fuels, Inc.	30587	2009-1826-PST-E	HARRIS	06/26/2010	\$8,802	\$1,760	\$7,042	\$0
PST	39230	Steinhagen Oil Company, Inc.	9315	2010-0420-PST-E	ORANGE	08/09/2010	\$4,554	\$910	\$3,644	\$0
PST	39269	Steinhagen Oil Company, Inc.	28671	2010-0320-PST-E	JEFFERSON	08/21/2010	\$3,497	\$699	\$2,798	\$0
PST	38464	STELLA LINK BUSINESS LLC	63909	2009-1601-PST-E	HARRIS	02/22/2010	\$2,368	\$473	\$1,895	\$0
PST	37703	STEPHENSON, MICHAEL G	28210	2009-0799-PST-E	MIDLAND	06/04/2010	\$17,564	\$3,512	\$14,052	\$0
PST	37716	STEWART, DONALD	9518	2009-0816-PST-E	KENEDY	07/08/2010	\$8,925	\$0	\$8,925	\$0
PST	36023	SUPERIOR GROCERS, INC	11375	2008-0947-PST-E	JOHNSON	11/13/2009	\$20,148	\$4,029	\$16,119	\$0
PST	38657	SYED & NASRU ENTERPRISES, INC.	63985	2009-1751-PST-E	HARRIS	08/30/2010	\$6,706	\$0	\$6,706	\$0
PST	37388	Tammy Jenkins *	10105	2009-0456-PST-E	HOOD	07/31/2010	\$2,625	\$0	\$2,625	\$0
PST	38155	Tarpley, Inc.	19816	2009-1349-PST-E	MEDINA	04/11/2010	\$12,584	\$2,516	\$10,068	\$0
PST	39260	TAWAKUL INVESTMENTS INC	38762	2010-0338-PST-E	DALLAS	08/21/2010	\$4,025	\$805	\$3,220	\$0
PST	36411	TCS 1 MANAGEMENT COMPANY, L.L.C.	54144	2008-1327-PST-E	ORANGE	10/31/2009	\$7,650	\$0	\$7,650	\$0
PST	37739	Texas Petroleum Group, LLC	52784	2009-0835-PST-E	HARRIS	11/27/2009	\$3,750	\$750	\$3,000	\$0
PST	39061	Thalji Enterprises, Inc.	26726	2010-0139-PST-E	GALVESTON	07/11/2010	\$3,301	\$660	\$2,641	\$0
PST	38403	The Express 146 Enterprise Inc	74344	2009-1548-PST-E	GALVESTON	06/04/2010	\$2,910	\$582	\$2,328	\$0
PST	38512	The Machi Corporation	42129	2009-1655-PST-E	TARRANT	04/11/2010	\$2,625	\$525	\$2,100	\$0
PST	39342	Tien Shan, Inc.	69779	2010-0450-PST-E	HARRIS	08/30/2010	\$1,070	\$214	\$856	\$0
PST	39470	Tiger Holdings, Inc.	71658	2010-0626-PST-E	BRAZOS	08/21/2010	\$3,500	\$0	\$3,500	\$0
PST	37377	TMT, Inc.	66446	2009-0436-PST-E	DALLAS	09/21/2009	\$4,071	\$814	\$3,257	\$0
PST	38516	Tori Rose Land, Inc.	52265	2009-1630-PST-E	COLLIN	04/11/2010	\$2,683	\$536	\$2,147	\$0

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**Singles Program**

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	38132	TOUCEDO, PAUL	15954	2009-1296-PST-E	HUNT	05/15/2010	\$2,625	\$0	\$2,625	\$0
PST	38805	Town & Country Market, LLC	75950	2009-1968-PST-E	HARRIS	06/26/2010	\$3,330	\$666	\$2,664	\$0
PST	31760	TREVINO, EULALIO	34606	2006-1845-PST-E	FRIO	11/07/2009	\$5,775	\$0	\$5,775	\$0
PST	39035	Tristar Convenience Stores, Inc.	66831	2010-0116-PST-E	HARRIS	08/09/2010	\$2,109	\$421	\$1,688	\$0
PST	38067	Twister Investment, Inc.	76170	2009-1211-PST-E	MONTGOME RY	12/18/2009	\$7,980	\$1,596	\$6,384	\$0
PST	37711	U S Capital Investments LLC	72153	2009-0834-PST-E	TARRANT	12/18/2009	\$4,846	\$969	\$3,877	\$0
PST	38999	UNITED FA & S ENTERPRISE INC	79656	2010-0078-PST-E	HARRIS	08/09/2010	\$8,500	\$1,700	\$6,800	\$0
PST	38085	United Parcel Service, Inc.	24414	2009-1240-PST-E	EL PASO	03/08/2010	\$4,417	\$883	\$3,534	\$0
PST	39678	United Parcel Service, Inc.	24420	2010-0772-PST-E	LUBBOCK	08/21/2010	\$1,750	\$0	\$1,750	\$0
PST	39509	United Supermarkets, L.L.C.	74538	2010-0605-PST-E	LUBBOCK	08/09/2010	\$1,750	\$0	\$1,750	\$0
PST	37789	University Behavioral Health of El Paso, LLC	22385	2009-0919-PST-E	EL PASO	10/04/2009	\$2,450	\$0	\$2,450	\$0
PST	38477	Uppal Brothers, Inc.	44704	2009-1611-PST-E	TARRANT	04/25/2010	\$13,682	\$2,736	\$10,946	\$0
PST	36018	USA Developers LLC	32899	2008-0992-PST-E		05/29/2010	\$3,675	\$0	\$3,675	\$0
PST	38055	V & SS Enterprises, L.L.C.	68487	2009-1189-PST-E	FORT BEND	12/18/2009	\$7,349	\$1,469	\$5,880	\$0
PST	38148	V D N Enterprises, Inc.	31562	2009-1311-PST-E	LIBERTY	05/01/2010	\$7,721	\$0	\$7,721	\$0
PST	34891	Vance Ronnie	4367	2007-1779-PST-E	JONES	10/31/2009	\$5,250	\$1,650	\$3,600	\$0
PST	37018	VELA, JAIME	53995	2009-0062-PST-E	ZAPATA	03/28/2010	\$6,300	\$0	\$6,300	\$0
PST	37670	Victoria Momin, Inc.	32802	2009-0763-PST-E	HARRIS	11/13/2009	\$10,459	\$2,091	\$8,368	\$0
PST	37861	Virani Nazlin A	39292	2009-0933-PST-E	BEXAR	12/18/2009	\$8,196	\$1,639	\$6,557	\$0
PST	38994	VNR Enterprises Inc.	39041	2010-0077-PST-E	GALVESTON	06/26/2010	\$5,519	\$1,103	\$4,416	\$0
PST	38060	VSNB, LLC	57397	2009-1196-PST-E	HARRIS	01/28/2010	\$2,557	\$511	\$2,046	\$0
PST	38087	W & J Investment, Inc.	69947	2009-1195-PST-E	HARRIS	02/07/2010	\$3,071	\$614	\$2,457	\$0
PST	38304	W & J Investment, Inc.	73918	2009-1409-PST-E	HARRIS	03/20/2010	\$2,376	\$475	\$1,901	\$0
PST	37840	WAHAB, ZIA	51521	2009-0946-PST-E	DALLAS	02/07/2010	\$21,424	\$4,284	\$17,140	\$0
PST	37245	Wajed Ent. Inc.	71293	2009-0308-PST-E	HARRIS	03/08/2010	\$10,729	\$0	\$10,729	\$0
PST	38681	WALIA, SURJIT SINGH	T002648	2009-1853-PST-E	GALVESTON	06/24/2010	\$4,834	\$0	\$4,834	\$0
PST	38433	WALKER, LEE A *	79856	2009-1588-PST-E	VAL VERDE	04/11/2010	\$2,625	\$525	\$2,100	\$0
PST	37411	Wanda J Noah	10108	2009-0471-PST-E	HOOD	10/04/2009	\$3,575	\$715	\$2,860	\$0
PST	38680	Warm Springs Rehabilitation Hospitals, Inc. *	62473	2009-1825-PST-E	BEXAR	07/11/2010	\$8,000	\$1,600	\$6,400	\$0
PST	37551	We Are Crazy, Inc.	68602	2009-0637-PST-E	ORANGE	02/17/2010	\$8,873	\$0	\$8,873	\$0
PST	39085	WESTSTAR SUNSHINE INC	66064	2010-0105-PST-E	BRAZORIA	08/09/2010	\$32,631	\$6,526	\$26,105	\$0

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**TCEQ**  
Singles Program

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PST	35297	WILEY, MIKE A		2008-0155-PST-E	JASPER	10/04/2009	\$11,000	\$0	\$11,000	\$0
PST	38462	WWY Inc.	42960	2009-1587-PST-E	DALLAS	03/08/2010	\$3,865	\$773	\$3,092	\$0
PST	38079	YARBROUGH, LUTHER G *	30263	2009-1231-PST-E	HARRIS	04/09/2010	\$5,500	\$0	\$5,500	\$0
PST	37535	Yoonason, LLC	63258	2009-0610-PST-E	DALLAS	10/18/2009	\$7,747	\$1,549	\$6,198	\$0
PST	38878	ZAFAR INC	17743	2009-2060-PST-E	HARRIS	06/18/2010	\$7,067	\$1,413	\$5,654	\$0
PST	38576	ZAZ, Inc.	10895	2009-1713-PST-E	DALLAS	05/09/2010	\$4,842	\$968	\$3,874	\$0
PST	37506	Zeba, Inc.	68659	2009-0586-PST-E	SAN PATRICIO	10/18/2009	\$2,625	\$525	\$2,100	\$0
PST	38808	ZENU & NILU ENTERPRISE INC.	66023	2009-1919-PST-E	HARRIS	08/09/2010	\$3,315	\$663	\$2,652	\$0
<b>PST Orders Issued: 395</b>						<b>Total:</b>	<b>\$3,024,824</b>	<b>\$373,112</b>	<b>\$2,534,871</b>	<b>\$116,841</b>

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**TCEQ**  
Singles Program

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	38037	A. & P. Water Supply Corporation	1830017	2009-1208-PWS-E	PANOLA	03/08/2010	\$413	\$82	\$331	\$0
PWS	37709	ABDELHAK, MARDOCHE	0150131	2009-0804-PWS-E	BEXAR	02/22/2010	\$2,533	\$506	\$2,027	\$0
PWS	14329	ALDERS, WELDON W	1460098	2008-0362-MLM-E	LIBERTY	12/18/2009	\$61,390	\$0	\$61,390	\$0
PWS	38420	Alexander Moulding Mill Company	0970010	2009-1553-PWS-E	HAMILTON	02/07/2010	\$4,789	\$0	\$4,789	\$0
PWS	38514	Al's Investments Inc DBA Al's North Texas Property Management, Inc.	2490074	2009-1658-PWS-E	WISE	04/11/2010	\$535	\$107	\$428	\$0
PWS	37547	Amistad Lago Villa Homeowner's Association, Inc.	2330055	2009-0630-PWS-E	VAL VERDE	10/04/2009	\$2,622	\$524	\$2,098	\$0
PWS	37973	AMK Properties L L C	0820017	2009-1123-PWS-E	FRIO	04/09/2010	\$3,599	\$0	\$3,599	\$0
PWS	38396	Aqua Texas, Inc.	2270282	2009-1533-PWS-E	TRAVIS	04/25/2010	\$1,975	\$395	\$1,580	\$0
PWS	39693	ARMSTRONG, MARK E		2010-0789-WOC-E	GRAYSON	08/30/2010	\$210	\$0	\$210	\$0
PWS	37494	BABB, TERRY L SR	1070024	2009-0569-MLM-E	HENDERSON	03/14/2010	\$7,537	\$0	\$7,537	\$0
PWS	38801	BALL DWIGHT AND BALL LINDA	1013249	2009-1966-PWS-E	HARRIS	06/26/2010	\$125	\$25	\$100	\$0
PWS	36618	BARNETT, NORMAN	1011183	2008-1620-PWS-E	HARRIS	09/20/2009	\$614	\$0	\$614	\$0
PWS	37296	Barranca Corporation	0710121	2009-0369-PWS-E	EL PASO	02/22/2010	\$4,673	\$934	\$3,739	\$0
PWS	38119	Beechwood WSC	2020014	2009-1279-PWS-E	SABINE	12/18/2009	\$1,429	\$285	\$1,144	\$0
PWS	39763	BICKHAM, DANIEL W		2010-0874-WOC-E	SAN PATRICIO	08/30/2010	\$210	\$0	\$210	\$0
PWS	37608	Blanca Cruz	0100084	2009-0695-PWS-E	BANDERA	04/11/2010	\$2,535	\$0	\$2,535	\$0
PWS	37833	BOCKMON, RICKEY G		2009-0957-WOC-E	LUBBOCK	09/21/2009	\$210	\$0	\$210	\$0
PWS	37495	BRADEN, MARIE	1500113	2009-0596-PWS-E	LLANO	10/04/2009	\$562	\$112	\$450	\$0
PWS	38048	Brandon-Irene Water Supply Corporation	1090018	2009-1242-PWS-E	HILL	02/22/2010	\$500	\$100	\$400	\$0
PWS	37841	Buchanan Lake Village, Inc.	1500003	2009-0971-PWS-E	LLANO	12/18/2009	\$856	\$171	\$685	\$0
PWS	37229	Cain Addition HomeOwners Association CAHA	1370036	2009-0320-PWS-E	KLEBERG	10/11/2009	\$3,300	\$0	\$3,300	\$0
PWS	36058	Cain Addition HomeOwners Association CAHA *	1370036	2009-1069-UCR-E	KLEBERG	02/10/2010	\$0	\$0	\$0	\$0
PWS	36058	Cain Addition HomeOwners Association CAHA *	1370036	2009-1069-UCR-E		09/09/2009	\$0	\$0	\$0	\$0
PWS	36058	Cain Addition HomeOwners Association CAHA *	1370036	2009-1069-UCR-E	KLEBERG	01/07/2010	\$0	\$0	\$0	\$0
PWS	38265	CANTU, REYES	1520251	2009-1408-PWS-E	LUBBOCK	02/07/2010	\$265	\$53	\$212	\$0
PWS	38488	Caverns Inn Restaurant & Motel, Inc. *	1300028	2009-1627-PWS-E	KENDALL	05/09/2010	\$4,401	\$0	\$4,401	\$0
PWS	38837	Central Bosque Water Supply Corporation	1550061	2009-2014-PWS-E	MCLENNAN	05/09/2010	\$129	\$25	\$104	\$0
PWS	39853	CHILDERS, KENNY		2010-0964-WOC-E		08/30/2010	\$210	\$0	\$210	\$0

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**Singles Program**

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Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	38959	Childress Creek Water Supply Corporation	0180026	2010-0040-PWS-E	BOSQUE	07/11/2010	\$6,340	\$0	\$0	\$6,340
PWS	38967	Circle T Promotions, Ltd.	0970015	2010-0064-PWS-E	HAMILTON	08/09/2010	\$1,264	\$252	\$1,012	\$0
PWS	35781	City Int'l., Ltd.	1012512	2008-0680-PWS-E	HARRIS	03/08/2010	\$520	\$0	\$520	\$0
PWS	38592	City of Austin	2270001	2009-1734-PWS-E	TRAVIS	05/09/2010	\$570	\$114	\$456	\$0
PWS	38541	City of Austwell	1960006	2009-1683-PWS-E	REFUGIO	05/09/2010	\$267	\$0	\$267	\$0
PWS	37656	City of Beeville	0130001	2009-0754-PWS-E	BEE	11/13/2009	\$5,161	\$0	\$5,161	\$0
PWS	38578	City of Berryville	1070205	2009-1728-PWS-E	HENDERSON	04/11/2010	\$305	\$61	\$244	\$0
PWS	37569	City of Blanco	0160002	2009-0655-PWS-E	BLANCO	09/21/2009	\$397	\$0	\$397	\$0
PWS	39511	City of Bruceville Eddy	1550024	2010-0600-PWS-E	MCLENNAN	08/30/2010	\$282	\$56	\$226	\$0
PWS	38214	City of Bryan	0210001	2009-1465-PWS-E	BRAZOS	03/20/2010	\$4,341	\$868	\$3,473	\$0
PWS	37661	City of Cameron	1660001	2009-0755-PWS-E	MILAM	11/13/2009	\$3,264	\$652	\$2,612	\$0
PWS	39027	City of Center	2100001	2010-0104-PWS-E	SHELBY	08/30/2010	\$10,075	\$2,015	\$8,060	\$0
PWS	36564	City of Corpus Christi	1780003	2008-1521-MLM-E	NUECES	02/22/2010	\$10,481	\$0	\$0	\$10,481
PWS	38413	City of Crystal City	2540001	2009-1560-PWS-E	ZAVALA	03/20/2010	\$856	\$171	\$685	\$0
PWS	25477	City Of Edgewood	WQ001464800	2009-0589-PWS-E	VAN ZANDT	10/04/2009	\$2,160	\$0	\$0	\$2,160
PWS	37428	City of Edmonson	0950015	2009-0495-PWS-E	HALE	10/04/2009	\$210	\$42	\$168	\$0
PWS	35996	City of Elsa	1080005	2008-0915-PWS-E	HIDALGO	09/21/2009	\$10,782	\$0	\$10,782	\$0
PWS	37422	City of Emory	1900001	2009-0485-PWS-E	RAINS	10/18/2009	\$19,430	\$0	\$0	\$19,430
PWS	29904	City of Evant	0500015	2009-1356-PWS-E	CORYELL	03/08/2010	\$1,872	\$0	\$1,872	\$0
PWS	37473	City of Granite Shoals	0270049	2009-0547-PWS-E	BURNET	10/04/2009	\$1,330	\$0	\$0	\$1,330
PWS	38902	City of Gustine	0470003	2009-2080-PWS-E	COMANCHE	08/30/2010	\$2,675	\$0	\$2,675	\$0
PWS	38972	CITY OF HENRIETTA	0390001	2010-0057-PWS-E	CLAY	06/04/2010	\$660	\$132	\$528	\$0
PWS	16926	City of Huxley	2100019	2009-0493-PWS-E	SHELBY	10/04/2009	\$2,745	\$0	\$0	\$2,745
PWS	38145	City of Kemp	1290004	2009-1309-PWS-E	KAUFMAN	01/28/2010	\$630	\$126	\$504	\$0
PWS	37299	City of La Villa	1080023	2009-0370-PWS-E	HIDALGO	02/07/2010	\$3,172	\$0	\$0	\$3,172
PWS	27681	City of Marlin	0730002	2005-2035-PWS-E	FALLS	12/18/2009	\$43,880	\$0	\$0	\$43,880
PWS	38898	City of Murchison	1070027	2009-2081-PWS-E	HENDERSON	06/04/2010	\$635	\$0	\$635	\$0
PWS	36669	City of Overton	2010002	2008-1650-PWS-E	RUSK	02/07/2010	\$2,108	\$0	\$2,108	\$0
PWS	37708	City of Palestine	0010001	2009-0817-PWS-E	ANDERSON	02/22/2010	\$11,285	\$0	\$0	\$11,285
PWS	37382	City of Petrolia	0390002	2009-0458-PWS-E	CLAY	09/21/2009	\$1,008	\$201	\$807	\$0
PWS	39091	City of Rose City	1810139	2010-0202-PWS-E	ORANGE	06/26/2010	\$457	\$0	\$457	\$0
PWS	38019	City of Rotan	0760002	2009-1176-PWS-E	FISHER	11/13/2009	\$2,677	\$535	\$2,142	\$0

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**TCEQ**  
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PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	37927	City of San Augustine	2030001	2009-1074-PWS-E	SAN AUGUSTINE	01/28/2010	\$705	\$0	\$705	\$0
PWS	34792	City of San Augustine	2030001	2007-1678-PWS-E	SAN AUGUSTINE	11/13/2009	\$9,525	\$0	\$0	\$9,525
PWS	38450	City of Santa Rosa	0310009	2009-1551-PWS-E	CAMERON	03/08/2010	\$895	\$0	\$895	\$0
PWS	37732	City Of Slaton	1520004	2009-0836-PWS-E	LUBBOCK	02/22/2010	\$17,468	\$3,493	\$0	\$13,975
PWS	36667	City of Strawn	1820005	2008-1652-PWS-E	PALO PINTO	09/21/2009	\$810	\$0	\$810	\$0
PWS	38486	City of Trinidad	1070004	2009-1628-PWS-E	HENDERSON	04/11/2010	\$1,776	\$0	\$1,776	\$0
PWS	37705	City of Trinity	2280002	2009-0826-PWS-E	TRINITY	12/18/2009	\$7,930	\$1,586	\$0	\$6,344
PWS	37900	Consumers Water Inc.	1010219	2009-1030-PWS-E	HARRIS	12/18/2009	\$2,040	\$408	\$1,632	\$0
PWS	38806	Copperas Cove MHC, LLC	0500043	2009-1980-MLM-E	CORYELL	07/11/2010	\$5,207	\$1,041	\$2,083	\$2,083
PWS	38481	Creedmoor-MAHA Water Supply Corporation	2270008	2009-1697-PWS-E	TRAVIS	04/25/2010	\$545	\$109	\$436	\$0
PWS	38320	Cross Country Water Supply Corporation	1550059	2009-1452-PWS-E	MCLENNAN	03/20/2010	\$635	\$127	\$508	\$0
PWS	37235	Custom Water Co., L.L.C.	1690004	2009-0312-PWS-E	MONTAGUE	01/07/2010	\$1,590	\$0	\$1,590	\$0
PWS	37955	Custom Water Co., L.L.C.	1690011	2009-1092-PWS-E	MONTAGUE	05/01/2010	\$2,373	\$0	\$2,373	\$0
PWS	37620	Cypress Springs Special Utility District	0800016	2009-0718-PWS-E	FRANKLIN	11/27/2009	\$820	\$0	\$820	\$0
PWS	37146	D & M Water Supply Corporation	1740010	2009-0261-PWS-E	NACOGDOC HES	10/04/2009	\$620	\$124	\$496	\$0
PWS	37876	D M Ventures, Inc.	1013276	2009-1059-PWS-E	HARRIS	03/28/2010	\$4,540	\$0	\$4,540	\$0
PWS	37681	Deadwood Water Supply Corporation	1830025	2009-0774-PWS-E	PANOLA	11/13/2009	\$735	\$147	\$588	\$0
PWS	37523	Deanville Water Supply Corporation	0260014	2009-0631-PWS-E	BURLESON	10/18/2009	\$3,145	\$629	\$2,516	\$0
PWS	36049	Derdeyn/Ford, Inc.	1580002	2008-0974-PWS-E	MARION	09/20/2009	\$585	\$0	\$585	\$0
PWS	39326	DIAZ, ISABEL P		2010-0459-WOC-E	HASKELL	08/09/2010	\$210	\$0	\$210	\$0
PWS	37429	DOLEN, DANNY J	1470034	2009-0516-PWS-E	LIMESTONE	10/31/2009	\$1,660	\$332	\$1,328	\$0
PWS	37970	EAST CEDAR CREEK FWSD	1070167	2009-1164-PWS-E	HENDERSON	10/31/2009	\$1,320	\$264	\$1,056	\$0
PWS	38513	East Crawford Water Supply Corporation	1550023	2009-1660-PWS-E	MCLENNAN	03/20/2010	\$802	\$160	\$642	\$0
PWS	24528	ENGLISH, DON L	1250033	2009-2077-PWS-E	JIM WELLS	08/25/2010	\$0	\$0	\$0	\$0
PWS	24528	ENGLISH, DON L	1250033	2009-2077-PWS-E	JIM WELLS	12/29/2009	\$0	\$0	\$0	\$0
PWS	24528	ENGLISH, DON L	1250033	2009-2077-PWS-E	JIM WELLS	06/23/2010	\$0	\$0	\$0	\$0
PWS	24528	ENGLISH, DON L	1250033	2009-2077-PWS-E	JIM WELLS	02/10/2010	\$0	\$0	\$0	\$0
PWS	37846	Etoile Water Supply Corporation	1740011	2009-0954-PWS-E	NACOGDOC HES	10/18/2009	\$850	\$0	\$850	\$0
PWS	7258	Fair Road Properties, Inc.	0610226	2007-1699-MLM-E	DENTON	02/07/2010	\$14,455	\$0	\$14,455	\$0
PWS	35654	Feron Corporation	0710189	2009-1943-PWS-E	EL PASO	06/26/2010	\$16,448	\$0	\$16,448	\$0

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PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	36892	First Baptist Church of Jonestown, Texas	2270389	2008-1880-PWS-E	TRAVIS	02/07/2010	\$5,070	\$1,470	\$3,600	\$0
PWS	38712	Five Nine Seven Limited Partnership	0210006	2009-1904-PWS-E	BRAZOS	06/26/2010	\$2,099	\$419	\$1,680	\$0
PWS	38096	Fort Gates Water Supply Corporation	0500017	2009-1260-PWS-E	CORYELL	02/22/2010	\$750	\$150	\$600	\$0
PWS	37340	Foster Consolidated Investments, L.L.C. dba Chaparral III	2460047	2009-0406-PWS-E	WILLIAMSON	11/20/2009	\$168	\$0	\$168	\$0
PWS	38024	Frognot Water Supply Corporation	0430035	2009-1174-PWS-E	COLLIN	11/27/2009	\$262	\$52	\$210	\$0
PWS	37902	GHANI, NORA *	1012666	2009-1033-PWS-E	HARRIS	06/18/2010	\$8,663	\$0	\$8,663	\$0
PWS	39289	Harris County Municipal Utility District 154	1011642	2010-0374-PWS-E	HARRIS	08/30/2010	\$1,500	\$300	\$1,200	\$0
PWS	38587	HEJL, GORDON *	0460258	2009-1729-PWS-E	COMAL	07/11/2010	\$7,204	\$0	\$7,204	\$0
PWS	39169	HIGH PRAIRIE WATER SUPPLY CORPORATION	1570018	2010-0342-PWS-E	MADISON	08/09/2010	\$822	\$164	\$658	\$0
PWS	36524	Highland Park Water Supply Corporation	0180071	2008-1488-PWS-E	BOSQUE	02/22/2010	\$997	\$0	\$997	\$0
PWS	31668	Hilco United Services, Inc.	1090037	2009-1927-PWS-E	HILL	05/09/2010	\$2,300	\$0	\$2,300	\$0
PWS	38905	Hilco United Services, Inc.	0180015	2010-0009-PWS-E	BOSQUE	06/18/2010	\$187	\$37	\$150	\$0
PWS	37638	HOLT, SYLL	0030037	2009-0737-PWS-E	ANGELINA	02/17/2010	\$305	\$0	\$305	\$0
PWS	38931	Hudson Water Supply Corporation	0030023	2010-0112-PWS-E	ANGELINA	06/25/2010	\$840	\$0	\$840	\$0
PWS	38818	Hunter Road Investments LLC	1050105	2009-2008-PWS-E	HAYS	07/11/2010	\$2,780	\$556	\$2,224	\$0
PWS	37898	International A.L.E.R.T. Academy	2300009	2009-1028-PWS-E	UPSHUR	03/08/2010	\$9,062	\$0	\$9,062	\$0
PWS	38694	Jarrell-Schwertner Water Supply Corporation	2460011	2009-1840-PWS-E	WILLIAMSON	06/04/2010	\$570	\$114	\$456	\$0
PWS	34976	Jeanette Smith Raines	0260039	2007-1869-PWS-E	BURLESON	06/04/2010	\$4,138	\$0	\$4,138	\$0
PWS	37105	Joe Thurman Lodge & Livery, Inc.	1670005	2009-0159-PWS-E	MILLS	11/13/2009	\$2,376	\$475	\$1,901	\$0
PWS	36500	John Kiousis	1520184	2008-1560-PWS-E	LUBBOCK	11/13/2009	\$3,484	\$0	\$3,484	\$0
PWS	37444	Kas Investments, Ltd.	0200366	2009-0529-PWS-E	BRAZORIA	07/31/2010	\$4,848	\$0	\$4,848	\$0
PWS	37075	KBL Management, Inc	0270016	2009-0126-PWS-E	BURNET	11/27/2009	\$7,018	\$0	\$7,018	\$0
PWS	37878	Kempner Water Supply Corporation	1410028	2009-1005-PWS-E	LAMPASAS	11/13/2009	\$1,762	\$352	\$0	\$1,410
PWS	37866	King Cottle Water Supply Corporation	0510004	2009-0996-PWS-E	COTTLE	11/27/2009	\$277	\$55	\$222	\$0
PWS	37873	Kingsbridge Municipal Utility District	0790158	2009-1003-PWS-E	FORT BEND	11/27/2009	\$1,500	\$300	\$1,200	\$0
PWS	38097	Lake palo Pinto Area Water Supply Corporation	1820069	2009-1268-PWS-E	PALO PINTO	02/22/2010	\$290	\$58	\$232	\$0
PWS	35040	LAKE RIDGE WATER SYSTEM, L.P.	2330029	2007-1944-PWS-E	VAL VERDE	10/04/2009	\$374	\$0	\$374	\$0
PWS	38897	Leveretts Chapel Water Supply Corporation	2010036	2009-2082-PWS-E	RUSK	06/26/2010	\$952	\$190	\$762	\$0
PWS	37875	Libby Water Supply Corporation	1740012	2009-1058-PWS-E	NACOGDOC HES	11/13/2009	\$367	\$0	\$367	\$0

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PWS	38386	Lillie's Kitchen & Store, LLC	2330065	2009-1518-PWS-E	VAL VERDE	02/07/2010	\$367	\$73	\$294	\$0
PWS	38668	Lisa Lowery Trust	0460213	2009-1817-PWS-E	COMAL	08/09/2010	\$2,382	\$0	\$2,382	\$0
PWS	38025	Luella Water Supply Corporation	0910032	2009-1175-PWS-E	GRAYSON	11/27/2009	\$280	\$56	\$224	\$0
PWS	36363	LUKE, DOLORES A	1000071	2008-1303-PWS-E	HARDIN	09/16/2009	\$1,452	\$0	\$1,452	\$0
PWS	37242	M R K Investment Corp.	2400024	2009-0313-PWS-E	WEBB	06/04/2010	\$10,736	\$0	\$10,736	\$0
PWS	38013	MC Equities, LLC	1012154	2009-1167-PWS-E	HARRIS	01/28/2010	\$267	\$53	\$214	\$0
PWS	37074	Mcmullen County WCID 2	1560001	2009-0125-PWS-E	MCMULLEN	10/18/2009	\$1,011	\$202	\$809	\$0
PWS	38839	Melrose Water Supply Corporation	1740006	2009-2010-PWS-E	NACOGDOC HES	07/11/2010	\$20,348	\$4,069	\$16,279	\$0
PWS	38077	Melrose Water Supply Corporation	1740006	2009-1236-PWS-E	NACOGDOC HES	12/18/2009	\$3,540	\$708	\$2,832	\$0
PWS	38733	Midway Petroleum, L.P.	1700619	2009-1939-PWS-E	MONTGOMERY	06/26/2010	\$4,603	\$920	\$3,683	\$0
PWS	38772	Milano WSC	1660009	2009-1965-PWS-E	MILAM	06/04/2010	\$6,288	\$1,257	\$5,031	\$0
PWS	37756	Miller Grove Water Supply Corporation	1120016	2009-0808-PWS-E	HOPKINS	10/18/2009	\$367	\$0	\$367	\$0
PWS	35380	MILLER, BARBARA	0790190	2008-0268-PWS-E	FORT BEND	11/07/2009	\$11,714	\$0	\$11,714	\$0
PWS	37830	Mirando City Water Supply Corporation	2400025	2009-0952-PWS-E	WEBB	02/22/2010	\$2,270	\$454	\$1,816	\$0
PWS	38887	Moore Station Water Supply Corporation	1070055	2009-2061-PWS-E	HENDERSON	06/25/2010	\$715	\$143	\$572	\$0
PWS	36120	MOORE, JOHN C	1550127	2008-1040-PWS-E	MCLENNAN	09/20/2009	\$1,674	\$0	\$1,674	\$0
PWS	37771	Moore's Water System of Beaver Lake, Inc.	1550127	2009-0917-PWS-E	MCLENNAN	03/14/2010	\$3,851	\$0	\$3,851	\$0
PWS	35172	NEELY, JACK	2120051	2010-0287-PWS-E	SMITH	08/21/2010	\$960	\$0	\$960	\$0
PWS	37978	NGUYEN, HA VAN	0270042	2009-1125-PWS-E	BURNET	02/22/2010	\$4,142	\$0	\$4,142	\$0
PWS	38186	Ni America Operating LLC	1260103	2009-1354-PWS-E	JOHNSON	04/25/2010	\$660	\$132	\$528	\$0
PWS	38328	North Milam Water Supply Corporation	1660011	2009-1458-PWS-E	MILAM	03/20/2010	\$635	\$127	\$508	\$0
PWS	37899	North Runnels Wsc	2000005	2009-1031-PWS-E	RUNNELS	10/31/2009	\$267	\$53	\$214	\$0
PWS	37905	Northwind Properties, LTD	1011947	2009-1040-PWS-E	HARRIS	02/07/2010	\$385	\$0	\$385	\$0
PWS	36835	ONEILL, LARRY	0150186	2008-1829-PWS-E	BEXAR	11/27/2009	\$6,286	\$0	\$6,286	\$0
PWS	38896	OXFORD, JIM		2009-2083-WOC-E	BEE	08/09/2010	\$9,872	\$0	\$9,872	\$0
PWS	38670	Paradise Ranch Landowners' Association Inc.	2350065	2009-1812-PWS-E	VICTORIA	04/25/2010	\$475	\$95	\$380	\$0
PWS	38789	Patton Lake, Inc.	1700488	2009-1946-PWS-E	MONTGOMERY	07/11/2010	\$1,497	\$299	\$1,198	\$0
PWS	37104	PETTY, KARA LEAH	2350062	2009-0148-PWS-E	VICTORIA	11/20/2009	\$850	\$0	\$850	\$0
PWS	37627	Possum Kingdom Water Supply Corporation	1820076	2009-0719-PWS-E	PALO PINTO	10/04/2009	\$275	\$55	\$220	\$0

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PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	38315	Prairie Hill Wsc	1470011	2009-1459-PWS-E	LIMESTONE	03/20/2010	\$930	\$186	\$744	\$0
PWS	37704	Quick Deal Enterprises, Inc.	1012526	2009-0827-PWS-E	HARRIS	10/31/2009	\$2,502	\$0	\$2,502	\$0
PWS	37418	Raymond C. Honke	0270104	2009-0484-PWS-E	BURNET	09/21/2009	\$1,332	\$266	\$1,066	\$0
PWS	38574	RAYNOR, WALLACE ALLEN	0920028	2009-1732-PWS-E	GREGG	04/11/2010	\$405	\$0	\$405	\$0
PWS	38851	Reagan County Water Supply District	1920007	2009-2032-PWS-E	REAGAN	06/04/2010	\$35,122	\$7,024	\$28,098	\$0
PWS	37843	Rio WSC	2140016	2009-0972-PWS-E	STARR	11/27/2009	\$2,450	\$490	\$1,960	\$0
PWS	38140	RISINGER, DALE	1250039	2009-1301-PWS-E	JIM WELLS	05/09/2010	\$1,990	\$0	\$1,990	\$0
PWS	37270	River Bend Water Services, Inc.	1610030	2009-0355-PWS-E	MATAGORD A	02/22/2010	\$646	\$0	\$646	\$0
PWS	35218	ROBINSON, ANN	1090045	2008-0103-PWS-E	HILL	02/07/2010	\$3,093	\$0	\$3,093	\$0
PWS	39938	ROELKE, RICHARD R		2010-1050-WOC-E	GRAYSON	08/30/2010	\$210	\$0	\$210	\$0
PWS	38841	Ross Water Supply Corporation	1550042	2009-2009-PWS-E	MCLENNAN	06/18/2010	\$287	\$57	\$230	\$0
PWS	23808	S.L.C. Water Supply Corporation	1470031	2009-1315-MLM-E	LIMESTONE	03/20/2010	\$12,215	\$0	\$0	\$12,215
PWS	38537	Salem Elm Ridge WSC	1660013	2009-1686-PWS-E	MILAM	03/20/2010	\$1,050	\$210	\$840	\$0
PWS	19857	Sam Rayburn Water, Inc.	2030006	2009-0160-PWS-E	SAN AUGUSTINE	01/28/2010	\$4,475	\$0	\$4,475	\$0
PWS	38059	SESSO, MICHAEL	2330061	2009-1213-PWS-E	VAL VERDE	01/28/2010	\$1,281	\$256	\$1,025	\$0
PWS	37295	SHUPAK, CURTIS J	0260042	2009-0361-MLM-E	BURLESON	03/28/2010	\$2,141	\$0	\$2,141	\$0
PWS	39080	SIMS, PAUL	2390042	2010-0212-PWS-E	WASHINGTON	08/30/2010	\$607	\$0	\$607	\$0
PWS	37395	SIMS, PAUL	2390042	2009-0472-PWS-E	WASHINGTON	08/30/2010	\$577	\$0	\$577	\$0
PWS	36089	South Texas Water Authority	1370035	2008-1016-PWS-E	KLEBERG	02/07/2010	\$4,455	\$0	\$4,455	\$0
PWS	36066	SOZA, MICHAEL	2270030	2008-0993-PWS-E	TRAVIS	09/20/2009	\$1,630	\$0	\$1,630	\$0
PWS	38417	Spring Valley Water Supply Corporation	1550044	2009-1550-PWS-E	MCLENNAN	03/20/2010	\$802	\$160	\$642	\$0
PWS	38640	Staff Water Supply Corporation	0670023	2009-1787-PWS-E	EASTLAND	08/30/2010	\$374	\$74	\$300	\$0
PWS	36301	STEWART, DONA	0340019	2008-1257-PWS-E	CASS	10/24/2009	\$2,515	\$0	\$2,515	\$0
PWS	38184	Stratus Interests, Inc.	1300037	2009-1353-PWS-E	KENDALL	07/11/2010	\$1,748	\$0	\$1,748	\$0
PWS	39077	TC Transport, Inc.	0710184	2010-0223-PWS-E	EL PASO	08/09/2010	\$339	\$67	\$272	\$0
PWS	39020	Terra Southwest, Inc.	0610161	2010-0099-PWS-E	DENTON	06/26/2010	\$392	\$78	\$314	\$0
PWS	38341	Texas Conference Association Of Seventh-Day Adventists	1013330	2009-1589-PWS-E	HARRIS	02/22/2010	\$286	\$57	\$229	\$0
PWS	38873	Texas Department of Criminal Justice	0260048	2009-2041-PWS-E		08/30/2010	\$3,675	\$735	\$0	\$2,940
PWS	38303	Texas Department of Transportation	1680007	2009-1436-PWS-E	MITCHELL	02/07/2010	\$5,840	\$0	\$0	\$5,840
PWS	37921	Texas Department of Transportation	1770005	2009-1062-PWS-E	NOLAN	01/28/2010	\$10,465	\$0	\$0	\$10,465

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**TCEQ**  
Singles Program

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
PWS	37234	Texas H2O, Inc.	0460018	2009-0311-PWS-E	COMAL	10/04/2009	\$1,125	\$225	\$900	\$0
PWS	36874	Texas Parks and Wildlife Department	0140159	2008-1863-PWS-E	BELL	11/13/2009	\$1,050	\$0	\$1,050	\$0
PWS	37924	Texas Parks and Wildlife Department	0970013	2009-1065-PWS-E	HAMILTON	02/22/2010	\$3,250	\$650	\$2,600	\$0
PWS	38596	The Consolidated Water Supply Corporation	1130035	2009-1746-PWS-E	HOUSTON	04/11/2010	\$1,210	\$242	\$968	\$0
PWS	38504	The Jarvis Adventure, Inc.	1012205	2009-1646-PWS-E	HARRIS	05/09/2010	\$6,730	\$0	\$6,730	\$0
PWS	38017	The Texas A&M University System	0210017	2009-1172-PWS-E	BRAZOS	03/08/2010	\$5,355	\$1,071	\$4,284	\$0
PWS	2099	Thunderbird Bay Water Services, Inc.	0250031	2010-0912-UCR-E	BROWN	08/11/2010	\$0	\$0	\$0	\$0
PWS	2099	Thunderbird Bay Water Services, Inc.	0250031	2010-0912-UCR-E	BROWN	06/04/2010	\$0	\$0	\$0	\$0
PWS	38308	Tlaloc Outdoors, Inc.	2330044	2009-1449-PWS-E	VAL VERDE	05/09/2010	\$446	\$89	\$357	\$0
PWS	38222	Tom Green County FWSD 2	2260004	2009-1374-PWS-E	TOM GREEN	04/11/2010	\$2,534	\$506	\$2,028	\$0
PWS	37997	Upper Leon River Municipal Water District	0470015	2009-1148-PWS-E	COMANCHE	11/27/2009	\$267	\$53	\$214	\$0
PWS	37367	US Department of Agriculture	0030103	2009-0438-PWS-E	ANGELINA	09/21/2009	\$825	\$0	\$825	\$0
PWS	37026	US Department of the Air Force	1013173	2009-0109-PWS-E	HARRIS	08/30/2010	\$990	\$0	\$990	\$0
PWS	39277	Valley Mills Independent School District	1550133	2010-0537-PWS-E	MCLENNAN	08/21/2010	\$1,680	\$0	\$1,680	\$0
PWS	38113	VANOVER, RHONDA C *	1520188	2009-1274-PWS-E	LUBBOCK	03/20/2010	\$4,408	\$0	\$4,408	\$0
PWS	34348	WALKER, PAT	1870084	2007-1241-PWS-E	POLK	10/07/2009	\$3,196	\$0	\$3,196	\$0
PWS	34716	WATTS, ALLEN		2007-1590-WOC-E	GUADALUPE	10/24/2009	\$1,550	\$0	\$1,550	\$0
PWS	37727	Webb County	2400022	2009-0818-PWS-E	WEBB	10/31/2009	\$965	\$0	\$965	\$0
PWS	38449	Westwood Shores Municipal Utility District	2280016	2009-1585-PWS-E	TRINITY	03/08/2010	\$305	\$0	\$305	\$0
PWS	37364	WHITE, CURTIS	2030013	2009-0439-PWS-E	SAN AUGUSTINE	09/21/2009	\$610	\$0	\$610	\$0
PWS	36994	WINDHAM, RAYMOND E	0250042	2009-0039-PWS-E	BROWN	10/31/2009	\$2,030	\$0	\$2,030	\$0
PWS	25905	Windwood Water System, Inc.	1010920	2008-0968-PWS-E	HARRIS	10/04/2009	\$637	\$0	\$637	\$0
PWS	35264	WINSTEAD, DARRYL	2270210	2008-0136-PWS-E		06/04/2010	\$2,375	\$0	\$2,375	\$0
PWS	35264	WINSTEAD, DARRYL	2460046	2009-1017-UCR-E	WILLIAMSON	03/10/2010	\$0	\$0	\$0	\$0
PWS	35264	WINSTEAD, DARRYL	2460046	2009-1017-UCR-E	WILLIAMSON	09/23/2009	\$0	\$0	\$0	\$0
PWS	35264	WINSTEAD, DARRYL	2460046	2009-1017-UCR-E	WILLIAMSON	02/04/2010	\$0	\$0	\$0	\$0
PWS	37838	Woodlawn WSC	0030029	2009-0966-PWS-E	ANGELINA	10/31/2009	\$354	\$0	\$354	\$0
PWS	38094	Wright City WSC	2120099	2009-1262-PWS-E	SMITH	11/27/2009	\$424	\$0	\$424	\$0
PWS	38216	Wright City WSC	2120027	2009-1363-PWS-E	SMITH	02/07/2010	\$347	\$0	\$347	\$0
PWS	37941	Z-Lab, Inc.		2009-1082-PWS-E	TRAVIS	02/07/2010	\$350	\$70	\$280	\$0

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## Appendix 11: List of Administrative Orders Issued FY2010

### Singles Program

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
<b>PWS Orders Issued: 208</b>						<b>Total:</b>	<b>\$671,131</b>	<b>\$42,918</b>	<b>\$462,593</b>	<b>\$165,620</b>
UIC	38365	MATA, LOKEY		2009-1470-UIC-E	POTTER	05/09/2010	\$1,000	\$200	\$800	\$0
<b>UIC Orders Issued: 1</b>						<b>Total:</b>	<b>\$1,000</b>	<b>\$200</b>	<b>\$800</b>	<b>\$0</b>
WATERIGHT	38687	Applied Materials, Inc.		2009-1849-WR-E	TRAVIS	06/18/2010	\$500	\$100	\$400	\$0
WATERIGHT	36997	BENEFIELD, JAMES		2009-0081-WR-E	BURNET	08/21/2010	\$1,000	\$0	\$1,000	\$0
WATERIGHT	38045	Central North Construction, LLC		2009-1214-WR-E	NAVARRO	02/07/2010	\$900	\$180	\$720	\$0
WATERIGHT	38783	J&J PRAIRIE OAKS RANCH LLC		2009-1959-WR-E	MONTAGUE	03/20/2010	\$350	\$0	\$350	\$0
WATERIGHT	38782	J.R. Thompson, Inc.	TXR05P730	2009-1957-WR-E	MONTAGUE	03/20/2010	\$350	\$0	\$350	\$0
WATERIGHT	39109	J3 Excavation Company, LLC		2010-0205-WR-E	KIMBLE	06/26/2010	\$350	\$0	\$350	\$0
WATERIGHT	37985	James Construction Group, L.L.C.		2009-1134-WR-E	BELL	11/27/2009	\$710	\$142	\$568	\$0
WATERIGHT	37886	KING, RICHARD C		2009-1036-WR-E	VAN ZANDT	10/18/2009	\$350	\$0	\$350	\$0
WATERIGHT	39015	M J SHERIDAN OF TEXAS INC		2010-0101-WR-E	SMITH	06/18/2010	\$350	\$0	\$350	\$0
WATERIGHT	38780	Paramount Place, Inc.		2009-1955-WR-E	JOHNSON	06/26/2010	\$1,500	\$300	\$1,200	\$0
WATERIGHT	38448	Septic Hydro-Tec, Inc.		2009-1591-WR-E	POLK	01/28/2010	\$350	\$0	\$350	\$0
WATERIGHT	38988	Swan Oilfield Services, LP		2010-0083-WR-E	ARCHER	06/04/2010	\$350	\$0	\$350	\$0
WATERIGHT	38921	T. J. Lambrecht Construction, Inc.		2010-0014-WR-E	BAYLOR	05/09/2010	\$350	\$0	\$350	\$0
WATERIGHT	38915	Texas Energy Operations, LC		2010-0018-WR-E	ARCHER	05/09/2010	\$350	\$0	\$350	\$0
<b>WATERIGHT Orders Issued: 14</b>						<b>Total:</b>	<b>\$7,760</b>	<b>\$722</b>	<b>\$7,038</b>	<b>\$0</b>
WATUTIL	29353	SANDOVAL, JOSEPH	X0757	2006-0531-MLM-E	BEXAR	01/07/2010	\$2,832	\$0	\$2,832	\$0
<b>WATUTIL Orders Issued: 1</b>						<b>Total:</b>	<b>\$2,832</b>	<b>\$0</b>	<b>\$2,832</b>	<b>\$0</b>

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**Singles Program**

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	37730	AHMED, MOHAMMED VASEL	WQ001496000	2009-0720-MWD-E	POLK	02/27/2010	\$2,140	\$0	\$2,140	\$0
WQ	39130	Akzo Nobel Chemicals Inc. *	TX0006688	2010-0210-IWD-E	HARRIS	08/30/2010	\$33,875	\$6,775	\$13,550	\$13,550
WQ	39907	ALLEN, JIMMY W		2010-1031-WOC-E	JEFFERSON	08/30/2010	\$210	\$0	\$210	\$0
WQ	38159	Alloy Polymers Orange LLC	TX0104710	2009-1325-IWD-E	ORANGE	03/08/2010	\$13,300	\$2,660	\$5,320	\$5,320
WQ	38510	Altura Homes DFW LP	TXR15OK39	2009-1657-WQ-E	ROCKWALL	02/07/2010	\$700	\$0	\$700	\$0
WQ	37674	Ameriforge Corporation	TX0113972	2009-0766-IWD-E	HARRIS	11/27/2009	\$7,980	\$1,596	\$6,384	\$0
WQ	38798	Aqua Utilities, Inc.	TX0100684	2009-1962-MWD-E	HAYS	07/11/2010	\$4,775	\$955	\$3,820	\$0
WQ	39123	Aqua Utilities, Inc.	WQ001347700	2010-0214-MWD-E	TRAVIS	08/21/2010	\$970	\$194	\$776	\$0
WQ	37598	ARENA, MIKE		2009-0739-EAQ-E	BEXAR	10/31/2009	\$2,700	\$540	\$2,160	\$0
WQ	36633	Arnold Trucking, Inc.		2008-1578-WQ-E	HARRISON	09/12/2009	\$3,150	\$0	\$3,150	\$0
WQ	39237	Ash Grove Texas, L.P.	TX0083437	2010-0332-IWD-E	ELLIS	08/30/2010	\$2,750	\$550	\$2,200	\$0
WQ	38716	Austin Montessori School, Inc.	11-09082804	2009-1893-EAQ-E	TRAVIS	04/25/2010	\$750	\$150	\$600	\$0
WQ	37521	Aztec Cove Property Owners Association, Inc.	TX0072109	2009-0595-MWD-E	TRINITY	09/21/2009	\$2,740	\$548	\$2,192	\$0
WQ	39462	Ballenger Construction Company	TXR15OV75	2010-0542-WQ-E	HAYS	08/21/2010	\$700	\$0	\$700	\$0
WQ	37224	BEDNAR, MILOS	TXR15HY22	2009-0294-WQ-E	MONTGOMERY	12/18/2009	\$9,630	\$0	\$9,630	\$0
WQ	38378	Bell 11700, Ltd.	11-09051903	2009-1508-EAQ-E	TRAVIS	03/20/2010	\$2,250	\$450	\$1,800	\$0
WQ	38547	Bell County Water Control & Improvement District 2	TX0020257	2009-1763-MWD-E	BELL	08/30/2010	\$74,500	\$0	\$74,500	\$0
WQ	37781	BLUE, DANIEL G JR	13-09021301	2009-0895-EAQ-E	BEXAR	10/18/2009	\$1,400	\$280	\$1,120	\$0
WQ	37311	BNSF Railway Company	TX0070530	2009-0365-IWD-E	HARRIS	10/04/2009	\$9,020	\$1,804	\$7,216	\$0
WQ	39874	Boone & Boone Construction, Ltd.	TXR15PW55	2010-1002-WQ-E	SMITH	08/30/2010	\$700	\$0	\$700	\$0
WQ	36865	Brazos Valley Construction, Incorporated	TXR15FB85	2008-1852-WQ-E	BRAZOS	12/18/2009	\$4,750	\$950	\$3,800	\$0
WQ	36855	Brothers Paving, LLC	TXR15MB92	2008-1838-WQ-E	WEBB	12/18/2009	\$3,150	\$0	\$3,150	\$0
WQ	38690	BYNUM, DON W	TXR05Y851	2009-1838-WQ-E	JEFFERSON	02/22/2010	\$700	\$0	\$700	\$0
WQ	36675	Cacharel Texas Hawaii, Ltd.	WQ001404600	2008-1648-MWD-E	TARRANT	09/21/2009	\$20,924	\$4,184	\$16,740	\$0
WQ	37677	Cal-Maine Foods, Inc.	TXR05O647	2009-0805-WQ-E	GONZALES	11/13/2009	\$10,968	\$2,193	\$8,775	\$0
WQ	38890	CAMPBELL CONCRETE & MATERIALS LLC	TXG110054	2009-2067-IWD-E		06/26/2010	\$2,413	\$482	\$1,931	\$0
WQ	37881	Capital Metropolitan Transportation Authority	1104080901B	2009-1063-EAQ-E	TRAVIS	11/13/2009	\$750	\$150	\$600	\$0
WQ	38446	CAPITAL ONE NATIONAL ASSOCIATION	TXR15FG72	2009-1578-WQ-E	SMITH	04/11/2010	\$10,500	\$2,100	\$8,400	\$0
WQ	35112	Carrizo Waste, Inc.	WQ001451000	2007-2011-MWD-E	NACOGDOCHES	09/21/2009	\$45,800	\$44,600	\$1,200	\$0

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Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	38787	Centex Materials LLC	TXG110235	2009-1940-IWD-E	TRAVIS	08/30/2010	\$2,340	\$468	\$1,872	\$0
WQ	39042	Chambers County Improvement District	TX0128325	2010-0128-MWD-E	CHAMBERS	06/18/2010	\$2,400	\$480	\$1,920	\$0
WQ	38889	Chevron Phillips Chemical Company, LP	TX0095869	2009-2066-IWD-E	HUTCHINSON	07/11/2010	\$9,050	\$1,810	\$7,240	\$0
WQ	38618	Circle Bar Truck Corral, Inc.	WQ001424000	2009-1772-MWD-E	CROCKETT	06/18/2010	\$1,155	\$231	\$924	\$0
WQ	38976	City of Alto	TX0025020	2010-0062-MWD-E	CHEROKEE	06/04/2010	\$4,760	\$952	\$0	\$3,808
WQ	38314	City of Asherton	TX0071056	2009-1464-MWD-E	DIMITT	04/25/2010	\$3,380	\$676	\$2,704	\$0
WQ	38307	City of Austin	WQ001054301	2009-1428-MWD-E	TRAVIS	03/20/2010	\$8,200	\$0	\$8,200	\$0
WQ	37992	City of Austwell	TX0048038	2009-1146-MWD-E	REFUGIO	06/18/2010	\$4,440	\$4,440	\$0	\$0
WQ	38683	City of Beaumont		2009-1850-WQ-E	JEFFERSON	04/11/2010	\$1,500	\$300	\$1,200	\$0
WQ	38313	City of Big Spring	22238	2009-1457-SLG-E	HOWARD	05/09/2010	\$7,290	\$1,458	\$0	\$5,832
WQ	37982	City of Brazoria	TX0025615	2009-1132-MWD-E	BRAZORIA	02/22/2010	\$38,420	\$0	\$0	\$38,420
WQ	37910	City of Bridgeport	TX0119733	2009-1034-MWD-E	WISE	02/22/2010	\$12,000	\$2,400	\$0	\$9,600
WQ	38086	City of Brownwood	TX0047040000	2009-1269-WQ-E	BROWN	10/18/2009	\$700	\$0	\$700	\$0
WQ	38111	City of Buffalo	TX0053627	2009-1267-MWD-E	LEON	04/11/2010	\$3,425	\$685	\$2,740	\$0
WQ	37904	City of Canadian	TX0053961	2009-1021-MWD-E	HEMPHILL	11/27/2009	\$4,400	\$880	\$3,520	\$0
WQ	39024	City of Canton	TX0099112	2010-0109-MWD-E	VAN ZANDT	06/18/2010	\$6,850	\$1,370	\$5,480	\$0
WQ	38273	City of Carrizo Springs	TX0025976	2009-1412-MWD-E	DIMITT	03/08/2010	\$5,650	\$1,130	\$4,520	\$0
WQ	37869	City of Celeste	TX0024180	2009-1044-MWD-E	HUNT	11/27/2009	\$10,400	\$2,080	\$8,320	\$0
WQ	38759	City of Celina	TX0023272	2009-1889-MWD-E	COLLIN	06/18/2010	\$7,140	\$1,428	\$5,712	\$0
WQ	37871	City of Centerville	TX0077810	2009-0997-MWD-E	LEON	10/31/2009	\$6,150	\$1,230	\$4,920	\$0
WQ	39095	City of Charlotte	TX0033375	2010-0182-MWD-E	ATASCOSA	06/26/2010	\$7,710	\$1,542	\$6,168	\$0
WQ	37157	City of Chillicothe	TX0053066	2009-0202-MWD-E	HARDEMAN	04/25/2010	\$59,820	\$59,820	\$0	\$0
WQ	39028	City of Cleveland	TX0053473	2010-0103-MWD-E	LIBERTY	06/18/2010	\$5,460	\$1,092	\$4,368	\$0
WQ	39107	City of Clifton	TX0033936	2010-0199-MWD-E	BOSQUE	06/26/2010	\$3,500	\$700	\$2,800	\$0
WQ	38910	City of Colorado City	WQ001007700	2009-2088-MWD-E	MITCHELL	06/18/2010	\$1,410	\$0	\$1,410	\$0
WQ	38009	City of Converse		2009-1201-WQ-E	BEXAR	02/07/2010	\$3,060	\$612	\$2,448	\$0
WQ	37811	City of Corpus Christi	TX0047058	2009-0974-MWD-E	NUECES	01/28/2010	\$7,300	\$0	\$0	\$7,300
WQ	38786	City of Dallas	TX0047830	2009-1941-MWD-E	DALLAS	08/30/2010	\$9,100	\$0	\$0	\$9,100
WQ	37116	City of Dublin	TX0054348	2009-0164-MWD-E	ERATH	08/21/2010	\$102,000	\$0	\$102,000	\$0
WQ	38771	City of Eagle Lake	TX0072885	2009-1911-MWD-E	COLORADO	06/18/2010	\$8,505	\$1,701	\$6,804	\$0
WQ	37514	City of Eagle Pass Water Works System	TX0107492	2009-0607-MWD-E	MAVERICK	11/13/2009	\$24,605	\$0	\$24,605	\$0
WQ	39118	City of East Tawakoni	TX0101303	2010-0201-MWD-E	RAINS	08/09/2010	\$7,895	\$1,579	\$0	\$6,316

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## Appendix 11: List of Administrative Orders Issued FY2010

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	37891	City of Elkhart	WQ001073500	2009-1025-MWD-E	ANDERSON	01/28/2010	\$2,790	\$558	\$2,232	\$0
WQ	38358	City of Fairfield	TX0075850	2009-1492-MWD-E	FREESTONE	06/04/2010	\$9,970	\$1,994	\$0	\$7,976
WQ	37821	City of Farwell	WQ001066100	2009-0948-MWD-E	PARMER	10/31/2009	\$4,500	\$900	\$3,600	\$0
WQ	38870	City of Florence	TX0078247	2009-2048-MWD-E	WILLIAMSON	08/30/2010	\$5,970	\$1,194	\$4,776	\$0
WQ	37835	City Of Follett	WQ001050800	2009-0961-MWD-E	LIPSCOMB	02/07/2010	\$4,160	\$832	\$3,328	\$0
WQ	37784	City of Fort Worth		2009-0900-WQ-E	TARRANT	11/13/2009	\$6,250	\$0	\$0	\$6,250
WQ	38951	City of Fort Worth	TX0047295	2010-0046-MWD-E	TARRANT	08/09/2010	\$7,550	\$0	\$0	\$7,550
WQ	38368	City of Galveston	21945	2009-1488-SLG-E	GALVESTON	05/09/2010	\$5,400	\$1,080	\$0	\$4,320
WQ	38980	City of Gatesville	WQ001017600	2010-0063-MWD-E	CORYELL	06/26/2010	\$5,130	\$0	\$5,130	\$0
WQ	38660	City of Gonzales	TX0027243	2009-1814-MWD-E	GONZALES	04/11/2010	\$3,525	\$705	\$2,820	\$0
WQ	37374	City of Grapevine	TX0032018	2009-0441-MWD-E	TARRANT	10/04/2009	\$3,750	\$0	\$0	\$3,750
WQ	38035	City of Gregory		2009-1197-WQ-E	SAN PATRICIO	02/07/2010	\$2,100	\$420	\$1,680	\$0
WQ	38649	City of Groveton	WQ001055600	2009-1799-MWD-E	TRINITY	04/25/2010	\$10,700	\$2,140	\$8,560	\$0
WQ	38064	City of Hawk Cove	TX0126691	2009-1193-MWD-E	HUNT	03/08/2010	\$3,575	\$715	\$2,860	\$0
WQ	38760	City of Hempstead	TX0023809	2009-1924-MWD-E	WALLER	06/04/2010	\$24,750	\$0	\$24,750	\$0
WQ	38256	City of Hempstead	TX0023809	2009-1401-MWD-E	WALLER	04/11/2010	\$15,875	\$0	\$15,875	\$0
WQ	38622	CITY OF HENRIETTA	TX0022306	2009-1774-MWD-E	CLAY	04/11/2010	\$5,074	\$1,014	\$4,060	\$0
WQ	37718	City of Karnes City	TX0086134	2009-0814-MWD-E	KARNES	11/27/2009	\$9,030	\$0	\$9,030	\$0
WQ	32700	City of Kemp	TX0023396	2009-0964-MWD-E	KAUFMAN	05/09/2010	\$18,690	\$0	\$18,690	\$0
WQ	36157	City of Kenedy	WQ000452500	2008-1104-SLG-E	KARNES	01/28/2010	\$4,075	\$0	\$0	\$4,075
WQ	38661	City of Krum	TX0024198	2009-1815-MWD-E	DENTON	05/09/2010	\$4,860	\$972	\$0	\$3,888
WQ	38955	City of La Coste	TX0107743	2010-0038-MWD-E	MEDINA	07/11/2010	\$14,990	\$0	\$14,990	\$0
WQ	38011	City of La Grange	TX0020923	2009-0951-MWD-E	FAYETTE	03/08/2010	\$4,100	\$820	\$0	\$3,280
WQ	38917	City of Leander		2010-0016-WQ-E	TRAVIS	05/09/2010	\$700	\$0	\$700	\$0
WQ	38050	City of Leander	TX0092151	2009-1206-MWD-E	WILLIAMSON	08/21/2010	\$13,490	\$2,698	\$10,792	\$0
WQ	37991	City of Leander		2009-1149-WQ-E	WILLIAMSON	07/11/2010	\$2,700	\$540	\$2,160	\$0
WQ	37562	City of Leonard	TX0054208	2009-0661-MWD-E	FANNIN	10/18/2009	\$8,010	\$1,602	\$6,408	\$0
WQ	38722	City of Lewisville	TX0052892	2009-1890-MWD-E	DENTON	06/04/2010	\$4,850	\$0	\$4,850	\$0
WQ	39385	City of Lipan	TX0111333	2010-0476-MWD-E	HOOD	08/30/2010	\$2,067	\$0	\$2,067	\$0
WQ	37988	City of Loraine	TX0100056	2009-1136-MWD-E	MITCHELL	01/28/2010	\$2,675	\$535	\$2,140	\$0
WQ	38791	City of Lubbock	TX0106071	2009-1973-MLM-E	LUBBOCK	06/26/2010	\$66,665	\$13,333	\$53,332	\$0
WQ	38133	City of Madisonville	WQ001021500	2009-1248-MWD-E	MADISON	03/08/2010	\$4,425	\$885	\$0	\$3,540

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PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	38008	City of Marlin	TXRNER264	2009-1170-WQ-E	FALLS	10/18/2009	\$700	\$0	\$700	\$0
WQ	38144	City of Mart	TX0026051	2009-1302-MWD-E	MCLENNAN	04/11/2010	\$3,725	\$745	\$2,980	\$0
WQ	38503	City of Maypearl	TX0053571	2009-1641-MWD-E	ELLIS	04/11/2010	\$17,730	\$0	\$0	\$17,730
WQ	39100	City of McCamey	WQ001021800	2010-0200-MWD-E	UPTON	06/26/2010	\$4,000	\$800	\$3,200	\$0
WQ	38142	City of McGregor	WQ001021900	2009-1303-MWD-E	MCLENNAN	04/11/2010	\$3,825	\$765	\$3,060	\$0
WQ	38021	City of Meadow	WQ001034600	2009-1179-MWD-E	TERRY	12/18/2009	\$2,100	\$420	\$1,680	\$0
WQ	39106	City of Mexia	TX0052990	2010-0163-MWD-E	LIMESTONE	08/21/2010	\$6,850	\$1,370	\$5,480	\$0
WQ	38102	City of Midway	TX0102849	2009-1257-MWD-E	MADISON	02/07/2010	\$5,175	\$1,035	\$4,140	\$0
WQ	38719	City of Mount Pleasant	TX0105171	2009-1867-MWD-E	TITUS	06/04/2010	\$19,125	\$0	\$19,125	\$0
WQ	36842	City of Mullin	TX0119008	2008-1820-MWD-E	MILLS	03/08/2010	\$13,000	\$13,000	\$0	\$0
WQ	39113	City of Nome	TX0054178	2009-1981-MWD-E	JEFFERSON	07/11/2010	\$21,510	\$4,302	\$0	\$17,208
WQ	37950	City of Olney	TX0024261	2009-1114-MWD-E	YOUNG	12/18/2009	\$3,885	\$777	\$3,108	\$0
WQ	37578	City of Palestine	TX0025453	2009-0659-MWD-E	ANDERSON	12/18/2009	\$28,700	\$5,740	\$0	\$22,960
WQ	38655	City of Palmer	TX0026603	2009-1803-MWD-E	ELLIS	06/12/2010	\$9,430	\$0	\$0	\$9,430
WQ	38116	City Of Petersburg	WQ001024600	2009-1256-MWD-E	HALE	12/18/2009	\$2,300	\$0	\$2,300	\$0
WQ	39459	City of Pineland	TX0027154	2010-0551-MWD-E	SABINE	08/30/2010	\$4,970	\$994	\$3,976	\$0
WQ	39001	City of Premont	WQ001025300	2010-0043-MWD-E	JIM WELLS	06/26/2010	\$2,955	\$591	\$2,364	\$0
WQ	38698	City of Ranger	TX0118702	2009-1843-MWD-E	EASTLAND	06/26/2010	\$39,600	\$0	\$39,600	\$0
WQ	37589	City of Reno (Lamar Co)	TX0082309	2009-0669-MWD-E	LAMAR	10/31/2009	\$12,015	\$2,403	\$0	\$9,612
WQ	37757	City of Rio Grande City	TX0068764	2009-0866-MWD-E	STARR	06/18/2010	\$25,686	\$5,137	\$0	\$20,549
WQ	38248	City of Robinson		2009-1473-WQ-E	MCLENNAN	04/25/2010	\$5,100	\$0	\$0	\$5,100
WQ	37500	City of Sabinal	TX0118435	2009-0577-MWD-E	UVALDE	10/31/2009	\$5,540	\$0	\$0	\$5,540
WQ	37738	City of Sadler	TX0055361	2009-0840-MWD-E	GRAYSON	06/26/2010	\$11,200	\$8,726	\$2,474	\$0
WQ	37552	City of San Benito	TX0125971	2009-0626-MWD-E	CAMERON	11/27/2009	\$21,790	\$4,358	\$0	\$17,432
WQ	36856	City of San Marcos	11-06071901	2008-1842-EAQ-E	HAYS	09/21/2009	\$2,140	\$428	\$0	\$1,712
WQ	38869	City of San Marcos	11-08040302	2009-2040-EAQ-E	HAYS	07/11/2010	\$4,750	\$950	\$0	\$3,800
WQ	38682	City of Santa Rosa	TX0075451	2009-1899-MWD-E	CAMERON	06/26/2010	\$3,125	\$625	\$0	\$2,500
WQ	36735	City of Seymour	TX0117641	2008-1717-MWD-E	BAYLOR	10/31/2009	\$15,875	\$3,175	\$12,700	\$0
WQ	38271	City of Shavano Park	13-09061102	2009-1404-EAQ-E	BEXAR	02/22/2010	\$1,875	\$375	\$1,500	\$0
WQ	37863	City of Sinton	TX0024562	2009-0995-MWD-E	SAN PATRICIO	02/22/2010	\$2,360	\$472	\$1,888	\$0
WQ	39023	City of Splendora	TX0102512	2010-0108-MWD-E	MONTGOMERY	07/11/2010	\$6,288	\$1,257	\$5,031	\$0
WQ	38974	City of Streetman	TX0072338	2010-0058-MWD-E	FREESTONE	08/09/2010	\$5,370	\$1,074	\$4,296	\$0

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WQ	37520	City of Teague	TX0034509	2009-0594-MWD-E	FREESTONE	09/21/2009	\$7,462	\$1,492	\$0	\$5,970
WQ	38624	City of Texarkana	TX0099287	2009-1770-MWD-E	BOWIE	04/25/2010	\$6,200	\$1,240	\$4,960	\$0
WQ	37564	City of Valley View	TX0087343	2009-0629-MWD-E	COOKE	11/27/2009	\$15,470	\$3,094	\$0	\$12,376
WQ	37610	City of Waller	TX0032476	2009-0704-MWD-E	WALLER	10/18/2009	\$1,690	\$338	\$1,352	\$0
WQ	37460	City of Webster	TX0024589	2009-0536-MWD-E	HARRIS	10/18/2009	\$8,250	\$1,650	\$6,600	\$0
WQ	37993	City of Wellman	WQ001364200	2009-1145-MWD-E	TERRY	02/07/2010	\$2,725	\$545	\$0	\$2,180
WQ	37512	City of Wellman	WQ001364200	2009-0627-MWD-E	TERRY	11/13/2009	\$6,720	\$1,344	\$0	\$5,376
WQ	37990	City of Wichita Falls	TXR05Y564	2009-1154-WQ-E	WICHITA	11/13/2009	\$890	\$178	\$712	\$0
WQ	37759	City of Willow Park	TX0099732	2009-0864-MWD-E	PARKER	10/18/2009	\$8,610	\$1,722	\$6,888	\$0
WQ	38072	City of Wortham	WQ001055100	2009-1228-MWD-E	FREESTONE	03/20/2010	\$16,725	\$3,345	\$13,380	\$0
WQ	37819	City Public Service Of San Antonio	TX0063681	2009-0968-MWD-E	BEXAR	02/22/2010	\$5,350	\$1,070	\$0	\$4,280
WQ	38884	Classic Special Real Estate, Ltd.	11-95012702	2009-2062-EAQ-E	WILLIAMSON	08/09/2010	\$3,000	\$600	\$2,400	\$0
WQ	38277	Claybar Construction, LLP	TXR05Y721	2009-1423-WQ-E	ORANGE	11/13/2009	\$700	\$0	\$700	\$0
WQ	38007	CMH Parks, Inc.	TX0116491	2009-1160-MWD-E	COLLIN	01/28/2010	\$9,990	\$1,998	\$7,992	\$0
WQ	37574	CNL Income Splashtown, LLC *	TX0073105	2009-0665-MWD-E	HARRIS	03/08/2010	\$1,850	\$370	\$1,480	\$0
WQ	38862	Columbia-Brazoria Independent School District	TX0079235	2009-2027-MWD-E	BRAZORIA	05/09/2010	\$3,140	\$628	\$2,512	\$0
WQ	38757	Comal County	13-09082001	2009-1908-EAQ-E	COMAL	04/11/2010	\$750	\$150	\$600	\$0
WQ	38405	Comal Independent School District	13-04101101	2009-1536-EAQ-E	COMAL	03/08/2010	\$4,700	\$940	\$3,760	\$0
WQ	37582	Connie Rogers	710674	2009-0673-SLG-E	HIDALGO	12/03/2009	\$2,000	\$0	\$2,000	\$0
WQ	38036	COOK, LOUIS	11-98090101	2009-1198-EAQ-E	HAYS	01/28/2010	\$2,000	\$400	\$1,600	\$0
WQ	38062	Coston & Son, Inc.	TXG110508	2009-1220-IWD-E	LAMAR	04/11/2010	\$10,440	\$2,088	\$8,352	\$0
WQ	33028	Creek Park Corporation	TX0118770	2007-0410-MWD-E	JOHNSON	09/21/2009	\$16,380	\$0	\$16,380	\$0
WQ	37091	D & K Development Corp.	TX0105872	2009-0143-MWD-E	TARRANT	04/11/2010	\$62,400	\$19,212	\$43,188	\$0
WQ	38572	Daniels Building & Construction, Inc.		2009-1719-WQ-E	JEFFERSON	02/22/2010	\$700	\$0	\$700	\$0
WQ	38843	Daniels Building & Construction, Inc.		2009-2013-WQ-E	JEFFERSON	06/04/2010	\$1,800	\$360	\$1,440	\$0
WQ	38699	David Besser Homes, LLC	TXR15LU59	2009-1874-WQ-E	ROCKWALL	04/11/2010	\$700	\$0	\$700	\$0
WQ	39528	David Wood Construction, L.P.	TXR15PJ62	2010-0640-WQ-E	KING	08/21/2010	\$700	\$0	\$700	\$0
WQ	38718	Decoty Coffee Company	TXRNEW721	2009-1873-WQ-E	TOM GREEN	04/11/2010	\$700	\$0	\$700	\$0
WQ	37482	DENNIS, DOUG		2009-0555-OSI-E	PARKER	10/18/2009	\$1,011	\$202	\$809	\$0
WQ	36094	Devon Development Corporation		2008-1018-WQ-E	PARKER	09/21/2009	\$1,050	\$0	\$1,050	\$0
WQ	39504	Dominion Homes, L.P.	TXR15PI45	2010-0579-WQ-E	RANDALL	08/09/2010	\$700	\$0	\$700	\$0
WQ	38795	Double Diamond Properties Construction Co.	TXR15OM52	2009-1948-WQ-E	GRAYSON	08/21/2010	\$5,550	\$1,110	\$4,440	\$0

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WQ	39409	DOVER FLUID MANAGEMENT, INC.	TXR05Z109	2010-0495-WQ-E	SMITH	08/09/2010	\$700	\$0	\$700	\$0
WQ	36678	Downstream Environmental, L.L.C.	TXRNER937	2008-1660-WQ-E	HARRIS	06/18/2010	\$3,210	\$0	\$3,210	\$0
WQ	38073	Draper Construction & Land Development, LLC		2009-1241-WQ-E	HARRISON	02/22/2010	\$16,050	\$0	\$8,025	\$8,025
WQ	39055	DRENNAN DAY CUSTOM HOMES INC.	11-10021201	2010-0147-EAQ-E	WILLIAMSON	08/09/2010	\$2,500	\$500	\$2,000	\$0
WQ	39570	Drewery Construction Company, Incorporated		2010-0667-WQ-E	NACOGDOCHES	08/21/2010	\$700	\$0	\$700	\$0
WQ	38949	Duchmandola, Ltd.	WQ000478000	2010-0032-IWD-E	HAYS	08/09/2010	\$4,200	\$840	\$3,360	\$0
WQ	38031	Duval County Conservation and Reclamation District	TX0127141	2009-1200-MWD-E	DUVAL	01/28/2010	\$2,675	\$535	\$2,140	\$0
WQ	37648	Easher Corporation	TX0118010	2009-0880-IWD-E	PALO PINTO	11/27/2009	\$3,060	\$612	\$2,448	\$0
WQ	14612	EBAA Iron, Inc.	TXR05K279	2004-0505-WQ-E	EASTLAND	11/14/2009	\$6,405	\$0	\$6,405	\$0
WQ	37328	El Modeno Gardens, Inc. *		2009-0459-WQ-E	TYLER	11/27/2009	\$12,500	\$2,500	\$10,000	\$0
WQ	38644	ELG Metals, Inc.	TX0106861	2009-1795-IWD-E	HARRIS	04/25/2010	\$3,640	\$728	\$2,912	\$0
WQ	38438	Ellinger Sewer and Water Supply Corporation	TX0066648	2009-1569-MWD-E	FAYETTE	04/11/2010	\$4,500	\$0	\$4,500	\$0
WQ	38603	Evonik Degussa Corporation	TX0007544	2009-1747-IWD-E	ORANGE	05/09/2010	\$17,380	\$3,476	\$13,904	\$0
WQ	38065	ExxonMobil Oil Corporation	TX0118737	2009-1194-IWD-E	JEFFERSON	06/04/2010	\$5,819	\$1,163	\$4,656	\$0
WQ	37567	FARASAT ALI DBA Alpine Used Auto Parts	TXR05H784	2009-0690-WQ-E	FORT BEND	04/09/2010	\$29,580	\$0	\$29,580	\$0
WQ	37358	FLAT ROCK MINERALS LLC	TXR05X737	2009-0421-WQ-E	UPSHUR	07/16/2010	\$10,650	\$0	\$10,650	\$0
WQ	37760	Flournoy Construction Company, L.L.C.	TXR15NX44	2009-0873-WQ-E	BEXAR	09/21/2009	\$700	\$0	\$700	\$0
WQ	37888	Fort Bend County Municipal Utility District 58	TX0126675	2009-0976-MWD-E	FORT BEND	01/28/2010	\$2,400	\$480	\$1,920	\$0
WQ	38975	Fort Bend County Municipal Utility District No. 124	TX0119873	2010-0059-MWD-E	FORT BEND	08/21/2010	\$6,960	\$0	\$6,960	\$0
WQ	37680	FOSTER, JERICO	000000	2009-0775-SLG-E	HARRIS	02/27/2010	\$3,500	\$0	\$3,500	\$0
WQ	36869	Four D. Construction, Inc.		2008-1851-WQ-E	DALLAS	10/11/2009	\$3,120	\$0	\$3,120	\$0
WQ	37855	Fruitvale Independent School District	TX0087246	2009-0983-MWD-E	VAN ZANDT	10/31/2009	\$5,520	\$1,104	\$4,416	\$0
WQ	37366	FUESSEL, TERRY		2009-0479-OSS-E	IRION	01/28/2010	\$262	\$0	\$262	\$0
WQ	39503	G.T.T. General Contractors, Inc.	TXR15PK76	2010-0580-WQ-E	MONTGOMERY	08/21/2010	\$700	\$0	\$700	\$0
WQ	39115	GAY, BRIAN K		2010-0203-OSI-E	BRAZORIA	08/21/2010	\$469	\$93	\$376	\$0
WQ	38509	George Welch Custom Homes LLC	TXR15OM80	2009-1656-WQ-E	ROCKWALL	12/18/2009	\$700	\$0	\$700	\$0
WQ	39782	GILSON, GRANT H		2010-0928-LII-E	ORANGE	08/30/2010	\$175	\$0	\$175	\$0
WQ	38356	GM Fabricators LP dba Gulf Marine Fabricators	TX0102890	2009-1534-IWD-E	SAN PATRICIO	02/07/2010	\$4,316	\$863	\$3,453	\$0

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WQ	37084	GOMER, JEROME		2009-0145-WQ-E	BELL	10/04/2009	\$3,000	\$0	\$3,000	\$0
WQ	38030	GOMEZ, ADRIAN	TXR05Y312	2009-1165-WQ-E	PALO PINTO	02/22/2010	\$2,647	\$529	\$2,118	\$0
WQ	37577	Grand Ranch Treatment Company	TX0115991	2009-0660-MWD-E	JOHNSON	10/04/2009	\$1,310	\$262	\$1,048	\$0
WQ	37742	GUERRA & REYNA INVESTMENTS LP	13-07083002	2009-0872-EAQ-E	BEXAR	10/18/2009	\$750	\$150	\$600	\$0
WQ	39235	Haas-Anderson Construction, Ltd.		2010-0349-WQ-E	KLEBERG	08/09/2010	\$700	\$0	\$700	\$0
WQ	37798	Halliburton Energy Services, Inc.	TX0078638	2009-0923-IWD-E	HARRIS	11/27/2009	\$8,520	\$0	\$8,520	\$0
WQ	37653	Hammond Mound Utilities, Inc.	TX0117366	2009-0752-MWD-E	WALLER	10/04/2009	\$1,300	\$260	\$1,040	\$0
WQ	37461	Hampton-Kelley Investments, LLC		2009-0541-WQ-E	PARKER	12/18/2009	\$1,050	\$210	\$840	\$0
WQ	38535	Hanson Aggregates West, LLC	13-02041002	2009-1685-EAQ-E	COMAL	03/20/2010	\$650	\$130	\$520	\$0
WQ	37273	Hanson Aggregates, Inc.	TX0000345	2009-0343-IWD-E	WISE	10/04/2009	\$8,108	\$1,621	\$6,487	\$0
WQ	37452	Harris County Municipal District 148	TX0071897	2009-0575-MWD-E	HARRIS	11/13/2009	\$21,650	\$4,330	\$0	\$17,320
WQ	37241	Harris County Municipal Utility District 109	TX0058963	2009-0305-MWD-E	HARRIS	09/21/2009	\$10,000	\$0	\$0	\$10,000
WQ	37225	Harris County Municipal Utility District 167	TX0094307	2009-0304-MWD-E	HARRIS	01/28/2010	\$3,740	\$748	\$2,992	\$0
WQ	38562	Harris County Municipal Utility District 238	TX0093891	2009-1831-MWD-E	HARRIS	06/18/2010	\$3,900	\$780	\$3,120	\$0
WQ	38696	Harris County Municipal Utility District 285	TX0092991	2009-1841-MWD-E	HARRIS	04/25/2010	\$950	\$190	\$760	\$0
WQ	39184	Harris County Municipal Utility District 304	TX0097225	2010-0275-MWD-E	HARRIS	08/09/2010	\$2,240	\$448	\$1,792	\$0
WQ	39079	Harris County Municipal Utility District 401	TX0125687	2010-0172-MWD-E	HARRIS	08/09/2010	\$920	\$184	\$736	\$0
WQ	37689	Harris County Municipal Utility District 82	TX0071528	2009-0784-MWD-E	HARRIS	11/13/2009	\$13,400	\$0	\$13,400	\$0
WQ	37995	Hays Consolidated Independent School District	11-09021801	2009-1124-EAQ-E	HAYS	11/27/2009	\$750	\$150	\$600	\$0
WQ	37503	Herlinda Deleon Enterprises, Inc.	TXG110856	2009-0614-IWD-E	LUBBOCK	05/01/2010	\$2,279	\$0	\$2,279	\$0
WQ	38345	HOLDER, DOUG		2009-1480-OSI-E	JOHNSON	11/27/2009	\$210	\$0	\$210	\$0
WQ	39417	Hoover Construction Company, Inc.	TXR15PK17	2010-0501-WQ-E	LIVE OAK	08/30/2010	\$750	\$150	\$600	\$0
WQ	39507	House of Boats, INC.	TXR05R590	2010-0604-WQ-E	ARANSAS	08/21/2010	\$700	\$0	\$700	\$0
WQ	37768	Hudspeth County Water Control And Improvement District 1	TX0115657	2009-0537-MWD-E	HUDSPETH	10/04/2009	\$8,400	\$1,680	\$6,720	\$0
WQ	36052	Humble Partners Limited Partnership	TX0020320	2008-1050-MWD-E	HARRIS	08/30/2010	\$3,529	\$0	\$3,529	\$0
WQ	37741	HYDER, SYED N	TX0071145	2009-0850-MWD-E	BRAZOS	02/07/2010	\$42,840	\$8,568	\$34,272	\$0
WQ	33142	Ice Melt Products, L.L.C.	TXR05Q769	2007-0748-MLM-E	BORDEN	12/18/2009	\$1,980	\$0	\$1,980	\$0
WQ	38561	Igloo Products Corp.	TX0079057	2009-1726-IWD-E	WALLER	06/04/2010	\$3,619	\$723	\$2,896	\$0

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**Singles Program**

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	38471	Independence Woods, LLC.	11-05011402	2009-1608-EAQ-E	TRAVIS	04/25/2010	\$9,375	\$1,875	\$7,500	\$0
WQ	38281	Ingram ReadyMix, Inc.	TXG110027	2009-1414-IWD-E	NUECES	02/07/2010	\$3,420	\$684	\$2,736	\$0
WQ	39378	Ingram ReadyMix, Inc.	TXR05O439	2010-0463-IWD-E	BURNET	08/21/2010	\$900	\$180	\$720	\$0
WQ	37607	Ingram ReadyMix, Inc.	TXR05U444	2009-0698-MLM-E	MEDINA	10/04/2009	\$3,750	\$750	\$3,000	\$0
WQ	37457	Inverness Forest Improvement District	TX0023612	2009-0538-MWD-E	HARRIS	09/21/2009	\$4,050	\$810	\$3,240	\$0
WQ	38166	Is Zen Center	TX0126306	2009-1327-MWD-E	MONTGOME RY	06/18/2010	\$6,150	\$1,230	\$4,920	\$0
WQ	38864	J & J NRH 100 Family Limited Partnership	TXR15PN84	2009-2036-WQ-E	TARRANT	04/25/2010	\$1,500	\$300	\$1,200	\$0
WQ	39050	Jasper County Wcid 1	TX0021300	2010-0136-MWD-E	JASPER	08/09/2010	\$2,800	\$560	\$2,240	\$0
WQ	39032	Jefferson County Drainage District 6		2010-0127-WQ-E	JEFFERSON	06/18/2010	\$700	\$0	\$700	\$0
WQ	38443	Jerry Resendez Enterprises, Inc.	TXR15GG31	2009-1577-WQ-E	WEBB	05/09/2010	\$15,200	\$3,040	\$12,160	\$0
WQ	39129	Jim Hogg County Wcid 2	TX0101826	2010-0165-MWD-E	JIM HOGG	08/09/2010	\$7,140	\$0	\$7,140	\$0
WQ	38601	Joe Williamson Construction Company	TXR15ON15	2009-1745-WQ-E	HIDALGO	02/22/2010	\$700	\$0	\$700	\$0
WQ	38802	JSWC, Ltd.	13-02080502	2009-1993-EAQ-E	BEXAR	06/26/2010	\$13,000	\$2,600	\$10,400	\$0
WQ	38310	K & H Holdings, LLC		2009-1442-MWD-E	TARRANT	06/18/2010	\$3,540	\$708	\$2,832	\$0
WQ	37957	KAHLA, JOE		2009-1110-OSI-E	JASPER	10/18/2009	\$210	\$0	\$210	\$0
WQ	38366	Kaufman County Municipal Utility District 12	TX0126454	2009-1511-MWD-E	KAUFMAN	03/20/2010	\$2,740	\$548	\$2,192	\$0
WQ	35393	Keeper Management, LLC	TX0091847	2008-0256-MWD-E	HARRIS	02/17/2010	\$2,160	\$0	\$2,160	\$0
WQ	38775	Killeen Majestic Homes, Inc.		2009-1931-WQ-E	BELL	06/04/2010	\$2,000	\$400	\$1,600	\$0
WQ	37544	Killeen Majestic Homes, Inc.	TXR15NN95	2009-0675-WQ-E	BELL	12/18/2009	\$750	\$150	\$600	\$0
WQ	36998	Kimball Hill Homes Austin, L.P.	TXR15KP57	2009-0056-WQ-E	HAYS	02/22/2010	\$30,000	\$0	\$30,000	\$0
WQ	37671	Kleinwood Joint Powers Board	TX0046817	2009-0783-MWD-E	HARRIS	02/07/2010	\$18,650	\$0	\$0	\$18,650
WQ	37887	Knife River Corporation - South	TXG110862	2009-1006-IWD-E	ORANGE	04/11/2010	\$13,707	\$2,741	\$10,966	\$0
WQ	36557	KNIGHT, NANCY *	11-08051901	2008-1495-EAQ-E	WILLIAMSON	02/22/2010	\$11,000	\$0	\$11,000	\$0
WQ	36208	KOBO, INC	TXR15DM40	2008-1209-WQ-E	LAMPASAS	10/04/2009	\$2,372	\$0	\$2,372	\$0
WQ	37459	Kopperl Independent School District	TX0116661	2009-0535-MWD-E	BOSQUE	10/04/2009	\$6,600	\$1,320	\$5,280	\$0
WQ	38255	L. Guerrero & Sons Ready-Mix Company	TXR05S401	2009-1307-WQ-E	FORT BEND	04/11/2010	\$2,100	\$420	\$1,680	\$0
WQ	38135	LA FONTANA SPRINGS LLC	13-08120501	2009-1324-EAQ-E	COMAL	01/28/2010	\$13,000	\$2,600	\$5,200	\$5,200
WQ	37434	Lake Lavon Baptist Encampment	WQ001419200	2009-0576-MWD-E	COLLIN	10/31/2009	\$4,950	\$990	\$3,960	\$0
WQ	37745	LCY Elastomers, L.P.	TX0128015	2009-0853-IWD-E	HARRIS	11/13/2009	\$24,125	\$4,825	\$9,650	\$9,650
WQ	37579	Leander ISD	11-09020601	2009-0658-EAQ-E	WILLIAMSON	10/31/2009	\$1,500	\$300	\$1,200	\$0
WQ	38532	Linde North America, Inc.	TX0124401	2009-1673-IWD-E	LEON	03/20/2010	\$1,900	\$380	\$1,520	\$0

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Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	38920	LOGGINS, ALLEN JR		2010-0015-WQ-E	ANGELINA	04/25/2010	\$700	\$0	\$700	\$0
WQ	37713	Lower Colorado River Authority	TX0125521	2009-0815-MWD-E	MATAGORDA	11/13/2009	\$950	\$190	\$760	\$0
WQ	37505	Lucite International, Inc. *		2009-0649-IWD-E	JEFFERSON	02/22/2010	\$10,000	\$0	\$10,000	\$0
WQ	39665	Lupe Rubio Construction Company, Inc.	TXR15PM24	2010-0769-WQ-E	BURNET	08/21/2010	\$700	\$0	\$700	\$0
WQ	37591	M.R. Patel *	TX0081299	2009-0671-MWD-E	LIBERTY	02/22/2010	\$51,710	\$0	\$51,710	\$0
WQ	37915	Magnolia ISD	TX0092843	2009-1048-MWD-E	MONTGOMERY	11/27/2009	\$4,920	\$984	\$3,936	\$0
WQ	38162	Manitou Ltd., Incorporated	WQ001201500	2009-1275-MWD-E	BRAZOS	03/08/2010	\$6,950	\$1,390	\$5,560	\$0
WQ	37690	Mark Gibbs		2009-0787-WQ-E	VICTORIA	11/13/2009	\$2,140	\$428	\$1,712	\$0
WQ	39266	MARSDEN, JOE		2010-0355-EAQ-E	WILLIAMSON	08/30/2010	\$2,000	\$400	\$1,600	\$0
WQ	35321	Master Medical Equipment, Inc.		2008-0178-WQ-E	LLANO	09/21/2009	\$2,100	\$0	\$2,100	\$0
WQ	37814	Matagorda County Water Control and Improvement District 6	TX0024155	2009-0944-MWD-E	MATAGORDA	10/31/2009	\$2,400	\$480	\$1,920	\$0
WQ	38521	MCCORKLE, MICHAEL *		2009-1700-WQ-E	HAYS	08/30/2010	\$1,375	\$0	\$1,375	\$0
WQ	38134	McMullen County Water Control & Improvement District No. 1 *	TX0107646	2009-1322-MWD-E	MCMULLEN	06/26/2010	\$12,240	\$2,448	\$9,792	\$0
WQ	38442	Mid-Tex Properties LP	TXR15JB02	2009-1528-WQ-E	MCLENNAN	05/09/2010	\$9,100	\$1,820	\$7,280	\$0
WQ	39875	Miles Construction Company, Inc.		2010-1001-WQ-E	SMITH	08/30/2010	\$700	\$0	\$700	\$0
WQ	38226	Military Highway Water Supply Corporation	TX0104612	2009-1373-MWD-E	HIDALGO	02/07/2010	\$7,200	\$1,440	\$5,760	\$0
WQ	37662	MIRHAJ, KOBRA	TX0094935	2009-0773-MWD-E	HARRIS	01/28/2010	\$7,360	\$0	\$7,360	\$0
WQ	39236	Monument Inn, Inc.	TX0113760	2010-0308-MWD-E	HARRIS	08/09/2010	\$3,500	\$700	\$2,800	\$0
WQ	37692	MOORE, T R		2009-0792-OSI-E	TYLER	10/04/2009	\$210	\$0	\$210	\$0
WQ	34753	MOSONYI, MICAH		2007-1634-WQ-E	TARRANT	02/22/2010	\$3,150	\$0	\$3,150	\$0
WQ	38979	MST Lumberton Properties, Ltd.	TXR15KP16	2010-0066-WQ-E	HARDIN	07/11/2010	\$1,530	\$306	\$1,224	\$0
WQ	38377	NB Retail, Ltd.	13-09052101A	2009-1507-EAQ-E	COMAL	02/07/2010	\$3,000	\$600	\$2,400	\$0
WQ	39117	New NGC Inc.	TXR05Z156	2010-0206-WQ-E	STONEWALL	06/26/2010	\$700	\$0	\$700	\$0
WQ	37870	North Texas District Council Assemblies of God	TX0118877	2009-0998-MWD-E	ELLIS	02/22/2010	\$21,423	\$4,284	\$0	\$17,139
WQ	37931	North Texas Municipal Water District	TX0023931	2009-1088-MWD-E	DALLAS	01/28/2010	\$5,600	\$1,120	\$0	\$4,480
WQ	36556	Ocean2Ocean, LLC	13-07100401	2008-1496-EAQ-E	COMAL	10/11/2009	\$48,450	\$0	\$48,450	\$0
WQ	38600	ODA, MIKE	TX0124699	2009-1742-MWD-E	FORT BEND	07/11/2010	\$1,100	\$220	\$880	\$0
WQ	37813	Olivares Septic Cleaning	22487	2009-0938-SLG-E	BEE	02/22/2010	\$1,055	\$211	\$844	\$0
WQ	38560	Orange County Water Control and Improvement District 2	TX0054810	2009-1727-MWD-E	ORANGE	08/30/2010	\$14,550	\$2,910	\$11,640	\$0

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PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	38642	Orange County Water Control and Improvement District No. 1	TX0108073	2009-1793-MWD-E	ORANGE	04/25/2010	\$1,920	\$384	\$1,536	\$0
WQ	38638	Owens Corning Insulating Systems, LLC	TX0065749	2009-1788-IWD-E	ELLIS	05/09/2010	\$19,054	\$0	\$19,054	\$0
WQ	38859	Parker Pearson, L.P.	TXR15S634	2009-2031-WQ-E	PARKER	06/26/2010	\$20,000	\$4,000	\$8,000	\$8,000
WQ	39482	Parkside at Mayfield Ranch, Ltd.	11-10021202	2010-0571-EAQ-E	WILLIAMSON	08/30/2010	\$750	\$150	\$600	\$0
WQ	37169	Peaster Independent School District Public Facility Corporation	TX0107981	2009-0227-MWD-E	PARKER	02/07/2010	\$31,460	\$0	\$0	\$31,460
WQ	39110	Pedigo-Fuller, Inc.	TXR15OZ28	2010-0207-WQ-E	BELL	06/26/2010	\$700	\$0	\$700	\$0
WQ	39010	PHILLIPS, ROBERT A		2010-0095-OSI-E	SCHLEICHER	06/04/2010	\$175	\$0	\$175	\$0
WQ	38165	Pilgrim's Pride Corporation	TX0062936	2009-1337-IWD-E	TITUS	04/25/2010	\$43,700	\$8,740	\$34,960	\$0
WQ	37791	Pine Cove, Inc.	TX0129429	2009-0962-MWD-E	COLORADO	10/18/2009	\$1,900	\$380	\$1,520	\$0
WQ	39078	Plains Cotton Cooperative Association	WQ000259900	2010-0173-IWD-E	LAMB	07/11/2010	\$11,220	\$2,244	\$8,976	\$0
WQ	38597	Port Terminal Railroad Association	TX0070645	2009-1740-MWD-E	HARRIS	04/25/2010	\$4,810	\$0	\$4,810	\$0
WQ	38280	Postel Industries, Inc.		2009-1415-WQ-E	POLK	03/08/2010	\$950	\$190	\$760	\$0
WQ	37687	Preston Club Utility Corporation	TX0102164	2009-0772-MWD-E	GRAYSON	07/11/2010	\$59,080	\$24,844	\$34,236	\$0
WQ	38821	Pulte Homes of Texas, L.P.	TX0128244	2009-1987-MWD-E	HARRIS	07/11/2010	\$1,150	\$230	\$920	\$0
WQ	35405	R & A Harris South, LP	TX0088897	2008-0298-IWD-E	HARRIS	01/28/2010	\$3,060	\$612	\$2,448	\$0
WQ	35670	R & S Concrete, LLC	TXG110384	2008-0576-MLM-E	HARRIS	02/07/2010	\$14,544	\$0	\$14,544	\$0
WQ	37260	RAJ Construction LLC		2009-0329-WQ-E	YOUNG	02/17/2010	\$1,050	\$0	\$1,050	\$0
WQ	38383	Real Holdings Group, LLC	TX0086550	2009-1520-MWD-E	LEE	03/20/2010	\$9,250	\$1,850	\$7,400	\$0
WQ	38502	Red River Authority of Texas	TX0075591	2009-1648-MWD-E	HALL	02/22/2010	\$1,570	\$314	\$0	\$1,256
WQ	39355	REN SERVICES, INC.		2010-0444-MLM-E	NUECES	08/30/2010	\$2,289	\$457	\$1,832	\$0
WQ	37055	Reynolds & Kay, Ltd.	TXR15IZ24	2009-0161-WQ-E	SMITH	09/21/2009	\$26,450	\$0	\$13,225	\$13,225
WQ	39213	Richards ISD	TX0106119	2010-0303-MWD-E	GRIMES	08/21/2010	\$6,360	\$1,272	\$5,088	\$0
WQ	37207	RICHTER LAND LLC	13-08103101	2009-0303-MLM-E	COMAL	10/04/2009	\$12,000	\$2,400	\$9,600	\$0
WQ	37363	Rita Laura Redow Karbalai	TX0087785	2009-0460-MWD-E	HARRIS	10/31/2009	\$10,835	\$2,167	\$8,668	\$0
WQ	37308	River City Ready Mix, Inc.	R13ST0127	2009-0362-WQ-E	COMAL	11/27/2009	\$6,250	\$0	\$6,250	\$0
WQ	37802	Rocky Point Estate Land Trust	TX0123552	2009-0969-MWD-E	DENTON	01/28/2010	\$17,756	\$0	\$17,756	\$0
WQ	37614	Round Rock Independent School District	11-09032601	2009-0726-EAQ-E	WILLIAMSON	10/18/2009	\$7,000	\$1,400	\$0	\$5,600
WQ	39068	Round Rock Independent School District	11-08061801	2010-0151-EAQ-E	WILLIAMSON	06/26/2010	\$9,375	\$1,875	\$7,500	\$0
WQ	38952	Round Rock Independent School District	11-09102903	2010-0045-EAQ-E	WILLIAMSON	07/11/2010	\$750	\$150	\$600	\$0
WQ	37744	RP25 Development, LP	TXR15GN36	2009-0855-WQ-E	TARRANT	03/20/2010	\$14,560	\$2,912	\$11,648	\$0
WQ	38101	RTI Hot Mix, Ltd.	11-04091602	2009-1255-EAQ-E	WILLIAMSON	03/20/2010	\$800	\$160	\$640	\$0

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WQ	37413	Sabine River Authority of Texas	TX0079651	2009-0469-MWD-E	ORANGE	09/21/2009	\$1,514	\$302	\$1,212	\$0
WQ	36986	Sally F. Powell Company, Inc.	TXR15NA59	2009-0021-WQ-E	BEXAR	10/18/2009	\$1,800	\$0	\$1,800	\$0
WQ	38525	Samuel C. Mullin		2009-1662-OSS-E	EASTLAND	04/25/2010	\$500	\$100	\$400	\$0
WQ	37682	San Antonio Water System	TX0055689	2009-0897-MWD-E	BEXAR	01/28/2010	\$46,000	\$0	\$0	\$46,000
WQ	37984	San Patricio County Mud 1	TX0110337	2009-1135-MWD-E	SAN PATRICIO	03/08/2010	\$2,875	\$575	\$2,300	\$0
WQ	37385	Scenic Point Northview, Inc.	TX0121797	2009-0527-MWD-E	PALO PINTO	09/21/2009	\$19,760	\$3,952	\$15,808	\$0
WQ	39011	SCROGGINS, JIM R		2010-0096-OSI-E	ERATH	06/04/2010	\$175	\$0	\$175	\$0
WQ	38819	Scurry-Rosser Independent School District	TX0126128	2009-1985-MWD-E	KAUFMAN	06/04/2010	\$4,515	\$903	\$3,612	\$0
WQ	38565	Senna Hills, Ltd. *	WQ001323800	2009-1707-MWD-E	TRAVIS	05/09/2010	\$2,675	\$535	\$2,140	\$0
WQ	38520	Septic Hydro-Tec, Inc.	21619	2009-1659-SLG-E	POLK	03/20/2010	\$2,887	\$577	\$2,310	\$0
WQ	37619	Shelbyville Independent School District	TX0102261	2009-0563-MWD-E	SHELBY	10/18/2009	\$15,000	\$0	\$15,000	\$0
WQ	38946	SHEL-JENN, INC.	11-03090501	2010-0067-EAQ-E	WILLIAMSON	08/09/2010	\$3,550	\$710	\$2,840	\$0
WQ	37438	SKELTON, THOMAS M *	11-09020201	2009-0508-EAQ-E	WILLIAMSON	09/21/2009	\$3,000	\$600	\$2,400	\$0
WQ	37611	Skidmore Water Supply Corporation	TX0119407	2009-0694-MWD-E	BEE	10/04/2009	\$3,090	\$618	\$0	\$2,472
WQ	36590	SLC Construction LLC	TXR05Y203	2008-1583-WQ-E	ARANSAS	10/04/2009	\$4,000	\$0	\$4,000	\$0
WQ	39428	Smith County	TXR05Z130	2010-0516-WQ-E	SMITH	08/21/2010	\$700	\$0	\$700	\$0
WQ	39429	Smith County	TXR05Z129	2010-0517-WQ-E	SMITH	08/21/2010	\$700	\$0	\$700	\$0
WQ	39430	Smith County	TXR05Z128	2010-0518-WQ-E	SMITH	08/21/2010	\$700	\$0	\$700	\$0
WQ	37253	Sooners Group, LP		2009-0321-WQ-E	COLLIN	09/21/2009	\$1,050	\$210	\$840	\$0
WQ	38178	South Texas Aggregates, Inc.	13-08051502	2009-1346-EAQ-E	UVALDE	01/28/2010	\$970	\$194	\$776	\$0
WQ	38602	Southeastern Freight Lines, Inc.		2009-1744-WQ-E	NUECES	02/07/2010	\$700	\$0	\$700	\$0
WQ	38996	Southerland Communities RR Ranch Ltd	13-07010401	2010-0079-EAQ-E	BEXAR	08/21/2010	\$5,106	\$1,021	\$4,085	\$0
WQ	38888	Southern Star Concrete, Inc.	TXG110453	2009-2063-IWD-E	HARRIS	06/04/2010	\$1,631	\$326	\$1,305	\$0
WQ	38053	Southwest Shipyard, L.P.	TX0092282	2009-1207-IWD-E	HARRIS	05/09/2010	\$72,385	\$0	\$36,193	\$36,192
WQ	37586	SPRAGUE, GEORGE		2009-0685-WQ-E	ARANSAS	12/17/2009	\$3,150	\$0	\$3,150	\$0
WQ	37940	Spring Center, Inc.	TX0091791	2009-1054-MWD-E	HARRIS	02/22/2010	\$17,765	\$0	\$17,765	\$0
WQ	38736	Spring Independent School District dba Spring ISD Transportation Center and dba Wunsche Middle Schoo	TX0031305	2009-1895-MWD-E	HARRIS	06/04/2010	\$4,560	\$912	\$3,648	\$0
WQ	37996	Springfield Custom Homes, Inc.		2009-1168-WQ-E	DENTON	10/31/2009	\$700	\$0	\$700	\$0
WQ	37864	Springfield Custom Homes, Inc.	TXR15OB88	2009-1037-WQ-E	DALLAS	10/31/2009	\$700	\$0	\$700	\$0
WQ	37867	Springfield Custom Homes, Inc.	TXR15OB80	2009-1039-WQ-E	DENTON	10/31/2009	\$700	\$0	\$700	\$0
WQ	38004	Springfield Custom Homes, Inc.		2009-1169-WQ-E	DENTON	10/31/2009	\$700	\$0	\$700	\$0

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WQ	37865	Springfield Townhomes, Inc.	TXR15OB95	2009-1038-WQ-E	DALLAS	10/31/2009	\$700	\$0	\$700	\$0
WQ	37216	St. Francis Village, Inc.	TX0066745	2009-0279-MWD-E	TARRANT	10/04/2009	\$6,680	\$1,336	\$0	\$5,344
WQ	37588	Stafford Mobile Home Park, Inc.	TX0117358	2009-0667-MWD-E	FORT BEND	02/17/2010	\$7,500	\$0	\$7,500	\$0
WQ	37618	Stallion Oilfield Services Ltd.	23464	2009-0705-SLG-E	HARRIS	11/13/2009	\$7,360	\$1,472	\$2,944	\$2,944
WQ	37998	STEPHENS, LARRY D		2009-1152-OSI-E	JOHNSON	11/13/2009	\$210	\$0	\$210	\$0
WQ	38316	Stephenville Mobil Home Park, Ltd.	TX0132039	2009-1448-MWD-E	ERATH	04/25/2010	\$3,909	\$781	\$3,128	\$0
WQ	37387	Stoneridge Custom Homes, Inc.		2009-0473-WQ-E	TARRANT	11/07/2009	\$1,050	\$0	\$1,050	\$0
WQ	37652	SUMNER, BRIAN KING		2009-0742-OSI-E	HARDIN	09/21/2009	\$175	\$0	\$175	\$0
WQ	37968	T & I Taylor, Inc.	TX0042081	2009-1118-MWD-E	CHAMBERS	04/25/2010	\$3,850	\$770	\$3,080	\$0
WQ	37892	Texas Airstream Harbor, Inc.	TX0068039	2009-1041-MWD-E	ANGELINA	12/18/2009	\$1,125	\$225	\$900	\$0
WQ	37405	Texas Department of Criminal Justice	TX0031585	2009-0462-MWD-E	BRAZORIA	09/21/2009	\$3,360	\$672	\$0	\$2,688
WQ	39030	Texas Department of Criminal Justice	TX0031551	2010-0113-MWD-E	FORT BEND	08/21/2010	\$1,880	\$376	\$0	\$1,504
WQ	37412	Texas Department of Transportation	TX0027855	2009-0434-MWD-E	PALO PINTO	09/21/2009	\$8,010	\$1,602	\$0	\$6,408
WQ	37772	Texas Department of Transportation	TX0075191	2009-0877-MWD-E	ELLIS	12/18/2009	\$5,140	\$1,028	\$0	\$4,112
WQ	37854	Texas H2O, Inc.	TX0089338	2009-0982-MWD-E	HOOD	02/07/2010	\$9,280	\$1,856	\$3,712	\$3,712
WQ	37826	Texas Parks and Wildlife Department	TX0124087	2009-0892-IWD-E	HARRIS	02/07/2010	\$5,040	\$1,008	\$0	\$4,032
WQ	36218	Texas Petrochemicals LP *	WQ000051100	2008-1177-IWD-E	JEFFERSON	11/13/2009	\$39,928	\$7,985	\$15,972	\$15,971
WQ	37587	The Devereux Foundation	TX0075744	2009-0668-MWD-E	VICTORIA	10/04/2009	\$4,160	\$832	\$0	\$3,328
WQ	34179	The Fort Worth Boat Club	TX0067504	2007-1117-MWD-E	TARRANT	09/10/2009	\$11,880	\$0	\$11,880	\$0
WQ	38688	The Shredder Company, LLC	TXRNEQ341	2009-1839-WQ-E	EL PASO	03/08/2010	\$700	\$0	\$700	\$0
WQ	37765	Thomas Enterprises, Inc.	13-06100701	2009-0876-EAQ-E	BEXAR	02/07/2010	\$16,500	\$3,300	\$13,200	\$0
WQ	37673	Thomas Redi-Mix Company, Inc.	TXG110669	2009-0767-IWD-E	POTTER	12/18/2009	\$6,909	\$1,381	\$5,528	\$0
WQ	39816	THOMAS, DAVID E		2010-0927-OSI-E	ELLIS	08/30/2010	\$210	\$0	\$210	\$0
WQ	38726	Torres Altos, L.P.	TXR15W877	2009-1875-WQ-E	EL PASO	06/04/2010	\$6,000	\$1,200	\$4,800	\$0
WQ	36207	Total Land Development Corp.		2008-1151-WQ-E	BELL	11/20/2009	\$2,100	\$0	\$2,100	\$0
WQ	37770	Trinity Bay Conservation District	TX0020460	2009-0878-MWD-E	CHAMBERS	12/18/2009	\$11,750	\$2,350	\$9,400	\$0
WQ	38948	TXI Operations, LP	TXG110183	2010-0036-IWD-E	TARRANT	07/11/2010	\$9,180	\$1,836	\$3,672	\$3,672
WQ	36978	Tynan Water Supply Corporation	TX0119601	2009-0018-MWD-E	BEE	08/30/2010	\$26,215	\$26,215	\$0	\$0
WQ	38912	U. S. Land Corp.	TX0118851	2009-2070-MWD-E	MONTGOMERY	08/21/2010	\$1,090	\$218	\$872	\$0
WQ	38467	Union Pacific Railroad Company		2009-1535-MLM-E	SHELBY	04/25/2010	\$7,500	\$0	\$7,500	\$0
WQ	38334	US Army Corps of Engineers	TX0057991	2009-1478-MWD-E	BOSQUE	04/25/2010	\$1,200	\$240	\$960	\$0
WQ	37486	US Department of the Army	13-09011302	2009-0553-EAQ-E	BEXAR	12/18/2009	\$1,507	\$0	\$1,507	\$0

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## Appendix 11: List of Administrative Orders Issued FY2010

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	37257	V&M Star, a Partnership with General and Limited Partners, LP	TX0088455	2009-0317-IWD-E	HARRIS	10/04/2009	\$5,670	\$1,134	\$4,536	\$0
WQ	39564	V&M Star, a Partnership with General and Limited Partners, LP	TX0088455	2010-0655-IWD-E	HARRIS	08/30/2010	\$6,080	\$1,216	\$4,864	\$0
WQ	38254	Vam USA LLC	TX0129917	2009-1308-IWD-E	HARRIS	03/20/2010	\$33,280	\$0	\$16,640	\$16,640
WQ	37576	Victoria County Wcid 1	TX0122246	2009-0662-MWD-E	VICTORIA	11/13/2009	\$34,676	\$0	\$0	\$34,676
WQ	38770	Wallace Vernon 1998 Trust	11-08082102	2009-1912-EAQ-E	WILLIAMSON	06/04/2010	\$1,800	\$360	\$1,440	\$0
WQ	37792	Warren Independent School District	TX0076015	2009-0859-MWD-E	TYLER	11/13/2009	\$4,400	\$880	\$0	\$3,520
WQ	37758	Weatherford U.S., L.P.	TX0089940	2009-0865-IWD-E	HARRIS	11/27/2009	\$20,615	\$0	\$20,615	\$0
WQ	38367	Webb County	21519	2009-1512-SLG-E	WEBB	04/25/2010	\$4,543	\$0	\$0	\$4,543
WQ	37696	Webber, LLC	TXR15NU90	2009-0796-WQ-E	ORANGE	10/04/2009	\$700	\$0	\$700	\$0
WQ	36721	WERLINGER, DALE		2008-1684-WQ-E	ROBERTSON	03/24/2010	\$4,400	\$0	\$4,400	\$0
WQ	37723	WEST, JIM		2009-0828-WOC-E	LIMESTONE	10/04/2009	\$210	\$0	\$210	\$0
WQ	38099	Western Dairy Transport, L.L.C.	TX0131563	2009-1266-IWD-E	ERATH	03/20/2010	\$7,140	\$1,428	\$5,712	\$0
WQ	26305	Western Trails WSC	WQ001031300	2005-1335-MWD-E	BEXAR	02/22/2010	\$25,500	\$21,900	\$3,600	\$0
WQ	37309	White Oak Utilities, Inc.	TX0119857	2009-0367-MWD-E	MONTGOMERY	09/21/2009	\$8,010	\$1,602	\$6,408	\$0
WQ	37650	Whitestone Custom Homes, Ltd.	TXR15NQ05	2009-0743-WQ-E	BEXAR	09/21/2009	\$700	\$0	\$700	\$0
WQ	39370	WHITWORTH, V C		2010-0470-MLM-E	MCCULLOCH	08/30/2010	\$1,292	\$258	\$1,034	\$0
WQ	37746	Wilkins Contracting, Inc.		2009-0858-WQ-E	SMITH	10/04/2009	\$700	\$0	\$700	\$0
WQ	38916	Williams & Thomas, LP		2010-0017-WQ-E	TRAVIS	04/25/2010	\$700	\$0	\$700	\$0
WQ	38137	Williamson County *	TXR15AR46	2009-1326-WQ-E	WILLIAMSON	06/18/2010	\$22,950	\$4,590	\$18,360	\$0
WQ	37769	Woden Independent School District	WQ001434500	2009-0898-MWD-E	NACOGDOCHES	02/07/2010	\$14,225	\$2,845	\$11,380	\$0
WQ	37343	WRL General Contractors, Ltd.	TXR15JY67	2009-0414-WQ-E	SMITH	09/21/2009	\$11,875	\$2,375	\$9,500	\$0
WQ	37812	XINDARIS, CHRIS		2009-1000-WQ-E	BELL	02/27/2010	\$1,050	\$0	\$1,050	\$0
<b>WQ Orders Issued: 389</b>						<b>Total:</b>	<b>\$3,185,678</b>	<b>\$541,643</b>	<b>\$1,988,642</b>	<b>\$655,393</b>

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## Appendix 11: List of Administrative Orders Issued FY2010

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WWA	39045	ALMEIDA, FABIAN	TXG920055	2010-0130-AGR-E	JOHNSON	07/11/2010	\$2,080	\$416	\$1,664	\$0
WWA	38333	ANGUIANO, SALVADOR		2009-1461-AGR-E	ERATH	07/31/2010	\$2,200	\$0	\$2,200	\$0
WWA	39399	Aztex Dairy, Inc.	WQ000484400	2010-0486-AGR-E	ERATH	08/30/2010	\$2,075	\$415	\$1,660	\$0
WWA	38953	Erath County Dairy Sales, Inc. *	WQ000321100	2010-0033-AGR-E	ERATH	07/11/2010	\$1,010	\$202	\$808	\$0
WWA	35934	George Ted DeVries	WQ000306100	2008-0854-AGR-E	ERATH	10/31/2009	\$4,350	\$870	\$3,480	\$0
WWA	37420	George Ted DeVries	WQ000306100	2009-0488-AGR-E	ERATH	08/09/2010	\$28,755	\$5,751	\$23,004	\$0
WWA	38088	GERRITS, FRANCISCUS BERDINE	TXG920496	2009-1249-AGR-E	WOOD	04/11/2010	\$19,093	\$3,818	\$15,275	\$0
WWA	37912	Green Cow Compost, LLC		2009-1047-AGR-E	ERATH	05/09/2010	\$4,240	\$848	\$3,392	\$0
WWA	37890	KOLLER, JOHNNY MINZE *		2009-1020-AGR-E	CAMP	12/18/2009	\$770	\$154	\$616	\$0
WWA	39318	Lone Oak Feeders Inc	TXG921109	2010-0408-AGR-E	ERATH	08/30/2010	\$1,630	\$326	\$1,304	\$0
WWA	38261	LOZEMAN, GERRIT	TXG920032	2009-1389-AGR-E	HOPKINS	02/07/2010	\$2,600	\$520	\$2,080	\$0
WWA	35820	MONTANEZ, DAVID		2009-1741-AGR-E	ERATH	07/31/2010	\$11,250	\$0	\$11,250	\$0
WWA	37975	Organic Residual Reclamation LLC		2009-1144-IWD-E	ERATH	06/26/2010	\$12,000	\$2,400	\$9,600	\$0
WWA	34594	SCHIEVINK, JOCHUM	TXG920211	2009-0507-AGR-E	ERATH	08/09/2010	\$8,330	\$0	\$8,330	\$0
WWA	36660	Sonador Dairy, L.L.C.	TXG920804	2008-1630-AGR-E	HOPKINS	08/30/2010	\$5,875	\$0	\$5,875	\$0
WWA	37221	STUEVE, GLENN RONALD *	TXG920260	2009-0291-AGR-E	DEAF SMITH	10/04/2009	\$2,650	\$530	\$2,120	\$0
WWA	34194	SUBLETT, NICKIE JOE	TXG920674	2007-1140-AGR-E	HAMILTON	03/08/2010	\$1,010	\$0	\$1,010	\$0
WWA	37621	TUINIER, TJITTE	TXG920121	2009-0724-AGR-E	HOPKINS	11/27/2009	\$15,083	\$3,016	\$12,067	\$0
WWA	39295	Vander Horst Enterprises, LLC	TXG920575	2010-0383-AGR-E	ERATH	08/21/2010	\$2,945	\$589	\$2,356	\$0
WWA	37575	VEREecken, DIRK	TXG920669	2009-0664-AGR-E	HOPKINS	10/18/2009	\$6,600	\$1,320	\$5,280	\$0
WWA	38854	VISS, DANIEL		2009-2016-AGR-E	ERATH	06/18/2010	\$3,100	\$620	\$2,480	\$0
WWA	37112	WEST, LARRY		2009-0150-AGR-E	GRAYSON	10/31/2009	\$2,369	\$473	\$1,896	\$0
WWA	38674	WYLY, RANDY EARL	WQ000316000	2009-1827-AGR-E	ERATH	08/09/2010	\$1,875	\$375	\$1,500	\$0
<b>WWA Orders Issued: 23</b>						<b>Total:</b>	<b>\$141,890</b>	<b>\$22,643</b>	<b>\$119,247</b>	<b>\$0</b>

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Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	37011	A-1 LUFKIN RENTAL CENTER, INC.	21323	2009-0112-MLM-E	ANGELINA	11/27/2009	\$3,250	\$0	\$1,625	\$1,625
MM	38678	ADDINGTON, BOBBY G II		2009-1823-WOC-E	COLLIN	02/22/2010	\$210	\$0	\$210	\$0
MM	37384	Advanced Environmental Recycling Technologies, Inc.	79047	2009-0432-MLM-E	KIMBLE	03/08/2010	\$9,282	\$1,856	\$7,426	\$0
MM	32138	Aguado Stone Incorporated	78594	2008-1713-MLM-E	WILLIAMSON	10/31/2009	\$41,750	\$0	\$41,750	\$0
MM	35427	ALONSO, JULIO S *	F1992	2008-0317-MLM-E	BEXAR	08/21/2010	\$9,500	\$0	\$9,500	\$0
MM	33180	Arfa & Shifa Business, Inc	F-1927	2007-0560-MLM-E	JEFFERSON	04/25/2010	\$9,495	\$1,899	\$7,596	\$0
MM	38078	Attoyac Construction, LLC		2009-1239-MLM-E	SAN AUGUSTINE	03/08/2010	\$8,750	\$1,750	\$7,000	\$0
MM	35387	Austin Equipment Company, LC	11-05022201	2009-0918-MLM-E	WILLIAMSON	06/18/2010	\$20,250	\$0	\$20,250	\$0
MM	33620	B & M Unclaimed Freight, Inc.		2007-0859-MLM-E	LIMESTONE	11/19/2009	\$12,500	\$0	\$12,500	\$0
MM	38686	BARKER, MAXWELL	WO0027249	2009-1837-WOC-E	BROWN	02/22/2010	\$210	\$0	\$210	\$0
MM	38219	BARRERA, FILIBERTO	SW0004824	2009-1365-WOC-E	CAMERON	11/13/2009	\$210	\$0	\$210	\$0
MM	38558	Bixby Enterprises, Inc.	13-99090103	2009-1693-MLM-E	BEXAR	06/18/2010	\$8,540	\$1,708	\$6,832	\$0
MM	37421	Brady Implement Company		2009-0482-MLM-E	MCCULLOCH	11/13/2009	\$3,925	\$785	\$3,140	\$0
MM	38549	BREAZEALE, DARRELL W		2009-1698-WOC-E	POLK	05/09/2010	\$368	\$73	\$295	\$0
MM	34170	BRICKLE, JOHN R JR	455040171	2007-0874-MLM-E	PARKER	12/03/2009	\$2,100	\$0	\$2,100	\$0
MM	37632	Bryan Iron & Metal, Ltd.	TXR05L476	2009-0107-MLM-E	BRAZOS	08/30/2010	\$8,662	\$0	\$8,662	\$0
MM	36893	BULLARD, RYAN		2009-0842-MLM-E	MARION	10/24/2009	\$10,941	\$0	\$10,941	\$0
MM	37645	BURNS, BECKY B		2009-0756-WOC-E	NAVARRO	09/21/2009	\$210	\$0	\$210	\$0
MM	39009	CANTU, MARTIN	18465	2009-1762-MLM-E	HIDALGO	08/21/2010	\$8,435	\$1,687	\$6,748	\$0
MM	39275	CARL CHILDERS	UNA455160099	2010-0360-MLM-E	LA SALLE	08/21/2010	\$2,156	\$431	\$1,725	\$0
MM	37879	Charles Mullins		2009-0652-MLM-E	KAUFMAN	12/18/2009	\$2,694	\$538	\$2,156	\$0
MM	37478	City of Brady	WQ000471200	2009-0546-MLM-E	MCCULLOCH	10/04/2009	\$3,775	\$0	\$0	\$3,775
MM	37518	City Of Robert Lee	0410002	2009-0625-MLM-E	COKE	10/24/2009	\$11,174	\$0	\$0	\$11,174
MM	319	City of Roma	2140007	2008-0493-MSW-E		10/04/2009	\$27,950	\$0	\$27,950	\$0
MM	37080	CLOUD, ALVIN		2009-0135-MLM-E	GALVESTON	02/17/2010	\$1,580	\$0	\$1,580	\$0
MM	38604	COTTON, CLINTON		2009-1769-OSI-E	COMANCHE	02/07/2010	\$210	\$0	\$210	\$0
MM	36511	Crescent NJK Corporation	104091574	2008-1432-DCL-E	TARRANT	09/21/2009	\$1,270	\$254	\$1,016	\$0
MM	35752	CROUCH, WILLIAM S	102215704	2008-0640-MLM-E	BOWIE	06/18/2010	\$5,432	\$1,086	\$4,346	\$0
MM	37897	CRUCE, MICHAEL W	WW0029900	2009-1035-WOC-E	UPSHUR	10/18/2009	\$210	\$0	\$210	\$0
MM	37470	Dal-Tile Corporation	18330	2009-0559-PST-E	DALLAS	09/21/2009	\$2,527	\$505	\$2,022	\$0
MM	38372	DE LUNA, OSCAR		2009-1516-WOC-E	WILLACY	11/27/2009	\$210	\$0	\$210	\$0
MM	35171	Double Diamond Utilities Co.	1820061	2008-0036-MLM-E	HILL	11/27/2009	\$20,721	\$0	\$20,721	\$0

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Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	34980	Dowd & Sons Automobile Salvage Company, Inc.	28032	2007-1881-MLM-E	NAVARRO	12/18/2009	\$4,673	\$0	\$4,673	\$0
MM	30273	DUNN, JAMES C JR	TXR000073692	2006-0992-DCL-E		09/12/2009	\$3,185	\$0	\$3,185	\$0
MM	39178	DYES, CARL		2010-0273-WOC-E	NACOGDOCHES	08/09/2010	\$210	\$0	\$210	\$0
MM	38044	EVANS, CURTIS		2009-1178-MLM-E	JEFF DAVIS	02/22/2010	\$6,377	\$1,275	\$5,102	\$0
MM	35688	FACHORN, SAMUEL		2008-0578-MLM-E	BURLESON	09/21/2009	\$3,142	\$0	\$3,142	\$0
MM	39512	FLOWERS, BRIAN O	WW0011826	2010-0608-WOC-E	MILAM	08/21/2010	\$210	\$0	\$210	\$0
MM	5765	GABER, VICTORIA *	1840144	2006-0682-MLM-E	PARKER	04/25/2010	\$14,451	\$0	\$14,451	\$0
MM	39734	GARZA, JUAN MARTINEZ		2010-0829-WOC-E	NUECES	08/30/2010	\$210	\$0	\$210	\$0
MM	36087	Genesis Quality Aggregates, Ltd.	84019L001	2008-1026-MLM-E	MAVERICK	09/21/2009	\$5,000	\$0	\$5,000	\$0
MM	35123	Gerald Grimes	TXR000078062	2008-0038-DCL-E	DALLAS	02/17/2010	\$1,537	\$0	\$1,537	\$0
MM	36429	Gilbert Garcia		2008-1380-MLM-E	TRAVIS	11/07/2009	\$9,000	\$0	\$9,000	\$0
MM	38764	GILLOTT, DAVID T		2009-1916-WOC-E	WISE	03/20/2010	\$210	\$0	\$210	\$0
MM	37583	GRANDY, GLEN L JR	43010	2009-0716-MLM-E	BELL	04/11/2010	\$17,706	\$3,541	\$14,165	\$0
MM	38753	Griffin, Teresa		2009-1915-WOC-E	SAN PATRICIO	03/08/2010	\$210	\$0	\$210	\$0
MM	37289	H.H.J., Inc.	TX0115827	2009-0359-MLM-E	MONTGOMERY	01/28/2010	\$11,510	\$2,302	\$9,208	\$0
MM	35332	HORKA, R F		2008-0205-MLM-E	HARDIN	06/26/2010	\$8,500	\$0	\$8,500	\$0
MM	38500	HORN, MICHAEL R	WO0010103	2009-1642-WOC-E	NEWTON	12/18/2009	\$210	\$0	\$210	\$0
MM	38057	Hudspeth County	TXR05Y543	2009-1218-WQ-E	HUDSPETH	10/18/2009	\$700	\$0	\$700	\$0
MM	39331	HUGHES, RODNEY E	WO0009274	2010-0460-WOC-E	LUBBOCK	08/09/2010	\$210	\$0	\$210	\$0
MM	37048	INEOS USA LLC	32164	2009-0089-MLM-E	CALHOUN	02/07/2010	\$34,999	\$0	\$34,999	\$0
MM	38329	Irion County		2009-1422-MLM-E	IRION	03/20/2010	\$1,900	\$380	\$0	\$1,520
MM	38650	Jacinto Enterprises, Inc.	24054	2009-1798-MLM-E	MAVERICK	08/09/2010	\$2,553	\$510	\$2,043	\$0
MM	37807	JACKSON, KENNEN W		2009-0934-WOC-E	LIMESTONE	10/04/2009	\$750	\$150	\$600	\$0
MM	38232	Jim Wells County	UNA455140002	2009-1333-MLM-E	JIM WELLS	08/09/2010	\$2,000	\$0	\$2,000	\$0
MM	35806	JONES, JAMES	455040186	2008-0709-MLM-E	GRAYSON	09/20/2009	\$2,257	\$0	\$2,257	\$0
MM	37850	JUMA PALLETS, INC.	TXR05Y918	2009-0977-MLM-E	EL PASO	11/27/2009	\$3,188	\$637	\$2,551	\$0
MM	38676	KING, JEREMY R	SW0004886	2009-1830-WOC-E	HARRIS	02/22/2010	\$210	\$0	\$210	\$0
MM	38499	KING, LEWIS		2009-1643-WOC-E	WILBARGER	01/28/2010	\$210	\$0	\$210	\$0
MM	37783	L & M Woodwaste Recycling, Inc.	100223	2009-0851-MLM-E	TRAVIS	12/18/2009	\$5,000	\$1,000	\$4,000	\$0
MM	36367	Lee Dry Clean, Inc.	104085550	2008-1263-DCL-E	HARRIS	05/15/2010	\$9,450	\$0	\$9,450	\$0

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Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	37195	LES PERRY DBA BILT RITE PORTABLE BUILDINGS		2009-0226-MLM-E	ORANGE	09/21/2009	\$2,649	\$529	\$2,120	\$0
MM	36191	Linda Forster DBA Furniture Sales, Inc. *		2008-1136-MLM-E	JOHNSON	02/22/2010	\$1,050	\$0	\$1,050	\$0
MM	36596	LOPEZ, ROLANDO		2008-1562-WOC-E	HIDALGO	10/18/2009	\$1,875	\$375	\$1,500	\$0
MM	37707	Manitex, Inc.	81466	2009-0807-MLM-E	WILLIAMSON	12/18/2009	\$11,222	\$2,244	\$8,978	\$0
MM	37003	Marc Lopez dba M & R Aggregates	75790	2009-0088-MLM-E	STARR	02/17/2010	\$32,025	\$0	\$32,025	\$0
MM	38511	McDavid Austin-Acra, L.L.C.	47614	2009-1649-MLM-E	WILLIAMSON	07/11/2010	\$4,359	\$871	\$3,488	\$0
MM	36204	MCPIKE, RUBY		2008-1140-MLM-E	JEFFERSON	01/07/2010	\$8,475	\$0	\$8,475	\$0
MM	38498	MEYERS, JOSEPH M		2009-1644-WOC-E	SAN JACINTO	12/18/2009	\$210	\$0	\$210	\$0
MM	38052	MEYERS, JOSEPH M		2009-1217-OSI-E	SAN JACINTO	10/31/2009	\$210	\$0	\$210	\$0
MM	37777	Michael Arena		2009-0901-OSI-E	HARDIN	10/04/2009	\$210	\$0	\$210	\$0
MM	37825	MICHEL, JUAN		2009-0950-WOC-E	EL PASO	09/21/2009	\$210	\$0	\$210	\$0
MM	38654	Mission Vacuum & Pump Truck Service, Inc.		2009-1813-MLM-E	HIDALGO	06/26/2010	\$3,317	\$663	\$2,654	\$0
MM	35971	Mooney Aircraft Corporation	31211	2008-0882-MLM-E	KERR	04/11/2010	\$5,450	\$1,090	\$4,360	\$0
MM	37041	MOORE, PHYLLIS		2009-0106-MLM-E	MCLENNAN	10/24/2009	\$4,792	\$0	\$4,792	\$0
MM	39246	National Oilwell Varco, L.P.	70895	2010-0324-MSW-E	YOAKUM	08/30/2010	\$25,839	\$5,167	\$20,672	\$0
MM	36204	NELSON, PATRICIA RAMSEY		2009-1438-MLM-E	JEFFERSON	02/07/2010	\$5,975	\$0	\$5,975	\$0
MM	39190	Nueces County Water Control and Improvement District No. 5	TX0054291	2010-0226-MLM-E	NUECES	08/30/2010	\$15,700	\$0	\$15,700	\$0
MM	36893	PARKER, DAVID		2008-1868-MLM-E	MARION	10/24/2009	\$10,941	\$0	\$10,941	\$0
MM	38868	PASSMORE, SCOTT G		2009-2044-WOC-E	PARKER	04/11/2010	\$210	\$0	\$210	\$0
MM	38669	Patrick Billy Whitman		2009-1824-MLM-E	HARDIN	06/04/2010	\$1,984	\$396	\$1,588	\$0
MM	38745	PATTERSON, TOMMY		2009-1900-WOC-E	MCLENNAN	04/25/2010	\$210	\$0	\$210	\$0
MM	35616	Petroleum Wholesale, L.P.	48158	2008-0503-PST-E	WILLIAMSON	09/21/2009	\$6,600	\$0	\$6,600	\$0
MM	38459	POPKO, GREGORY L		2009-1633-WOC-E	DALLAS	04/09/2010	\$376	\$0	\$376	\$0
MM	34991	Rapid Marine Fuels, LLC	83463	2007-1894-MLM-E	EL PASO	04/25/2010	\$6,092	\$0	\$6,092	\$0
MM	34523	Ray Carpenter dba Carpenter Dirt Work	47035	2007-1807-MLM-E	LAMPASAS	12/18/2009	\$4,257	\$0	\$4,257	\$0
MM	37597	REED S. LEHMAN GRAIN, LTD.		2009-0693-MLM-E	BEXAR	02/07/2010	\$1,050	\$0	\$1,050	\$0
MM	37862	RIDLEHUBER, HAROLD	85019	2009-1022-MLM-E	HILL	02/07/2010	\$4,725	\$945	\$3,780	\$0
MM	37297	Road Masters I G LLC	26828	2009-0247-MLM-E	EL PASO	06/26/2010	\$18,350	\$3,670	\$14,680	\$0
MM	37860	RODRIGUEZ, GILBERT SR		2009-1085-MLM-E	ATASCOSA	05/01/2010	\$9,386	\$0	\$9,386	\$0
MM	37098	Roy Smalley		2009-0163-MLM-E	RED RIVER	02/07/2010	\$7,850	\$4,250	\$3,600	\$0

\* There are Multiple Respondent Names associated to this Case No.



**Multi-Media Program**

**Appendix 11: List of Administrative Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 8/31/2010

PGM	Case No.	Respondent Name	TCEQ ID	Docket#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	33620	RUTLEDGE, TOMMY		2007-0859-MLM-E	LIMESTONE	10/31/2009	\$12,500	\$8,900	\$3,600	\$0
MM	38817	S & A Lee Corporation	103970257	2009-1974-DCL-E	GRAYSON	06/26/2010	\$950	\$190	\$760	\$0
MM	38163	Sabine River Authority of Texas	730046	2009-1304-MLM-E	SABINE	01/28/2010	\$1,900	\$380	\$1,520	\$0
MM	37734	Saguaro, Corp.	40237	2009-0831-MLM-E	EL PASO	04/25/2010	\$12,015	\$2,403	\$9,612	\$0
MM	38492	SCHENK, PAUL JR		2009-1699-AGR-E	ARCHER	05/09/2010	\$1,050	\$210	\$840	\$0
MM	38524	SCHLEY, CLARENCE		2009-1725-MLM-E	FAYETTE	04/25/2010	\$2,100	\$420	\$1,680	\$0
MM	39325	SELLERS, AUNIE C		2010-0458-WOC-E	CROSBY	08/09/2010	\$210	\$0	\$210	\$0
MM	35301	Sendero Exteriors, Inc.		2008-0212-WQ-E	DENTON	12/03/2009	\$8,736	\$0	\$8,736	\$0
MM	35255	SSS Construction, LLC		2008-0139-MLM-E	BEXAR	03/08/2010	\$15,000	\$0	\$15,000	\$0
MM	38677	STAILEY, DWAYNE D		2009-1822-WOC-E	COLLIN	02/22/2010	\$210	\$0	\$210	\$0
MM	37570	Tapia Dairy, Inc.	TXG920973	2009-0651-AGR-E	RUNNELS	10/31/2009	\$1,290	\$258	\$1,032	\$0
MM	38797	TAYLOR, CHARLES H	21227	2009-1960-MLM-E	WISE	06/04/2010	\$650	\$130	\$520	\$0
MM	38131	THE J.B. ALLEN COMPANY	24139	2009-1293-MLM-E	ZAVALA	03/08/2010	\$1,895	\$379	\$1,516	\$0
MM	33880	Three Lakes Land Co., L.L.C.	455150134	2007-1075-MLM-E	CAMERON	01/28/2010	\$40,250	\$36,650	\$3,600	\$0
MM	37782	Tom Dye, Contractor, LLC	11-07111901	2009-0903-MLM-E	HAYS	04/25/2010	\$4,235	\$0	\$4,235	\$0
MM	35836	TRUESTATES, LLC	11-97010304	2008-0702-MLM-E	TRAVIS	10/18/2009	\$8,500	\$0	\$8,500	\$0
MM	36433	US Department of the Army *		2008-1265-WQ-E	BELL	10/18/2009	\$2,444	\$0	\$2,444	\$0
MM	36653	US Ecology Texas, Inc.	50052	2008-0355-MLM-E	NUECES	10/18/2009	\$92,650	\$18,530	\$37,060	\$37,060
MM	38058	VANDERPOOL, WESLEY		2009-1261-MLM-E	STARR	02/22/2010	\$1,020	\$204	\$816	\$0
MM	35750	Weirich Brothers, L.P.	KG0017H	2008-0642-MLM-E	KIMBLE	11/29/2009	\$10,795	\$0	\$10,795	\$0
MM	38768	Westgate Homes, Inc.		2009-1923-MLM-E	HOOD	08/09/2010	\$3,545	\$709	\$2,836	\$0
MM	37693	WILLIAMS, FRED S JR		2009-0794-WOC-E	COLLIN	10/04/2009	\$210	\$0	\$210	\$0
MM	39906	WILLIAMSON, LAVERN		2010-1030-WOC-E	PARKER	08/30/2010	\$210	\$0	\$210	\$0
MM	38177	WILSON, RANDY		2009-1345-WOC-E	HARRIS	11/13/2009	\$210	\$0	\$210	\$0
MM	38332	Y S H CORPORATION	103953626	2009-1421-DCL-E	TARRANT	06/04/2010	\$8,187	\$1,635	\$6,552	\$0
MM	38229	ZAVALA, PATRICK D		2009-1382-WOC-E	DICKENS	11/13/2009	\$210	\$0	\$210	\$0
<b>MM Orders Issued: 118</b>						<b>Total:</b>	<b>\$814,836</b>	<b>\$113,565</b>	<b>\$646,117</b>	<b>\$55,154</b>
<b>Total Orders Issued : 1,640</b>						<b>GrandTotal:</b>	<b>\$17,081,898</b>	<b>\$2,213,893</b>	<b>\$11,309,521</b>	<b>\$3,558,484</b>

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

Date Range Selected : 09/01/2009 - 08/31/2010

PGM	Case No.	Respondent Name	Effective Date	Ratio	Assessed Amt	Deferred Amt	Payable Amt	SEP Cost	SEP Offset
AQ	38895	The Lubrizol Corporation	06/26/2010	1.00:1	8,970.00	1,794.00	3,588.00	3,588.00	3,588.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Houston Regional Monitoring Corporation for the HRMC Houston Area Air Monitoring to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations (designated HRM Sites 1, 3, 4, 7, 8, 10, Wallisville, and Lynchburg Ferry) that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP Funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p>					3,588.00	3,588.00
AQ	38577	DCP Midstream, LP	04/11/2010	1.00:1	5,355.00	1,071.00	2,142.00	2,142.00	2,142.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&amp;D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p>					2,142.00	2,142.00
AQ	37290	Jeld-Wen, Inc.	10/04/2009	1.00:1	5,500.00	1,100.00	2,200.00	2,200.00	2,200.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.</p> <p>Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.</p>					2,200.00	2,200.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

AQ	38794	E. I. du Pont de Nemours and Company	07/11/2010	1.00:1	40,000.00	8,000.00	16,000.00	16,000.00	16,000.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Texas PTA Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					16,000.00	16,000.00
AQ	38484	Occidental Permian Ltd.	05/09/2010	1.00:1	9,000.00	1,800.00	3,600.00	3,600.00	3,600.00
		POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					3,600.00	3,600.00
AQ	38769	OTAL PETROCHEMICALS USA INC.	07/11/2010	1.00:1	38,300.00	7,660.00	15,320.00	15,320.00	15,320.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient named above. The contribution will be Southeast Texas Regional Planning Commission to be used for the Southeast Texas Regional Air Monitoring Network Ambient Air Monitoring Station as set forth in agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to install, operate, and maintain a stationary ambient air monitoring station in the vicinity of Memorial High School Ninth Grade Campus. The station will employ canister sampling, a hydrocarbon analyzer, and/or a chromatograph. Ancillary equipment will include a sample conditioning system, a ten meter meteorological tower, a climate controlled equipment shelter, a remote communications system, and have electronic data logging capabilities. The station will continuously sample and analyze the ambient air for a wide range of hydrocarbon species in accordance with Environmental Protection Agency's recommended "TO-14" list. SEP monies will be used for the cost of purchasing, installing, operating, and maintaining the air monitoring station along with its ancillary equipment.					15,320.00	15,320.00
AQ	37477	Chevron Phillips Chemical Company, LP	11/13/2009	1.00:1	10,245.00	2,049.00	4,098.00	4,098.00	4,098.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

POLLUTION PREVENTION		<p>The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.</p> <p>Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.</p>					4,098.00	4,098.00	
AQ	38909	OTAL PETROCHEMICALS USA INC.	08/09/2010	1.00:1	38,800.00	7,760.00	15,520.00	15,520.00	15,520.00
POLLUTION PREVENTION		<p>The Respondent shall contribute the SEP Amount to the Third-Party Recipient named above. The contribution will be Southeast Texas Regional Planning Commission to be used for the Southeast Texas Regional Air Monitoring Network Ambient Air Monitoring Station as set forth in agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to install, operate, and maintain a stationary ambient air monitoring station in the vicinity of Memorial High School Ninth Grade Campus. The station will employ canister sampling, a hydrocarbon analyzer, and/or a chromatograph. Ancillary equipment will include a sample conditioning system, a ten meter meteorological tower, a climate controlled equipment shelter, a remote communications system, and have electronic data logging capabilities. The station will continuously sample and analyze the ambient air for a wide range of hydrocarbon species in accordance with Environmental Protection Agency's recommended "TO-14" list. SEP monies will be used for the cost of purchasing, installing, operating, and maintaining the air monitoring station along with its ancillary equipment.</p>					15,520.00	15,520.00	
AQ	37498	Southern Union Gas Services, Ltd.	11/27/2009	1.00:1	22,794.00	4,558.00	9,118.00	9,118.00	9,118.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

POLLUTION PREVENTION	<p>The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.</p> <p>Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.</p>	9,118.00	9,118.00
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AQ	37907	Motiva Enterprises LLC	06/18/2010	1.00:1	312,272.00	62,454.00	124,909.00	124,909.00	124,909.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Texas PTA Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p>				94,909.00	94,909.00	
		POLLUTION PREVENTION	<p>Performing Party shall: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources. SEP Funds may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3) other direct expenses authorized in advance and in writing by TCEQ. The Respondent certifies that there is no prior commitment to do this project and that it is being performed solely in an effort to settle this enforcement action.</p>				20,000.00	20,000.00	
		POLLUTION PREVENTION	<p>The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Performing Party shall use SEP Funds to perform energy efficiency upgrades on public buildings and infrastructure. Specifically, SEP Funds may only be used for: 1) the costs of conducting energy efficiency audits; 2) the incremental costs for construction or implementation of energy-efficiency upgrades (versus the costs of non-energy efficiency upgrades); 3) the incremental costs of purchasing of equipment or appliances that are more energy-efficient (versus the costs of purchasing energy efficient equipment or appliances); or 4) other direct costs authorized in advance by TCEQ.</p>				10,000.00	10,000.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

AQ	37883	E. I. du Pont de Nemours and Company	02/07/2010	1.00:1	9,350.00	1,870.00	3,740.00	3,740.00	3,740.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					3,740.00	3,740.00
AQ	38457	TIN Inc.	04/11/2010	1.00:1	5,877.00	1,175.00	2,351.00	2,351.00	2,351.00
		POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					2,351.00	2,351.00
AQ	38922	Exxon Mobil Corporation	08/30/2010	1.00:1	50,000.00	0.00	25,000.00	25,000.00	25,000.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Houston Regional Monitoring Corporation for the HRMC Houston Area Air Monitoring to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations (designated HRM Sites 1, 3, 4, 7, 8, 10, Wallisville, and Lynchburg Ferry) that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP Funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					25,000.00	25,000.00
AQ	37430	OTAL PETROCHEMICALS USA INC.	10/04/2009	1.00:1	9,475.00	1,895.00	3,790.00	3,790.00	3,790.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	Performing Party shall: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources. SEP Funds may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3) other direct expenses authorized in advance and in writing by TCEQ. The Respondent certifies that there is no prior commitment to do this project and that it is being performed solely in an effort to settle this enforcement action.	3,790.00	3,790.00					
AQ	37304	INVISTA S.a r.l.	10/18/2009	1.00:1	5,356.00	1,071.00	2,143.00	2,142.00	2,142.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.  Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.	2,142.00	2,142.00					
AQ	37937	Oxy Vinyls, LP	03/08/2010	1.00:1	24,850.00	0.00	12,425.00	12,425.00	12,425.00
	POLLUTION PREVENTION	Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.	12,425.00	12,425.00					
AQ	37228	Rohm and Haas Texas Incorporated	09/21/2009	1.00:1	59,700.00	11,940.00	23,880.00	23,880.00	23,880.00
	POLLUTION PREVENTION	Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.  The Respondent certifies that there is no prior commitment to do this project and that it is being performed solely in an effort to settle this enforcement action.	23,880.00	23,880.00					
AQ	35824	Exxon Mobil Corporation	03/20/2010	1.00:1	30,000.00	0.00	15,000.00	15,000.00	15,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.	15,000.00	15,000.00					
AQ	37445	TPC Group LLC	10/18/2009	1.00:1	10,200.00	2,040.00	4,080.00	4,080.00	4,080.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region 106 as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations	4,080.00	4,080.00					
AQ	37780	Huntsman Petrochemical Corporation	03/20/2010	1.00:1	68,725.00	13,745.00	27,490.00	27,490.00	27,490.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to install, operate, and maintain a stationary ambient air monitoring station in the vicinity of Memorial High School Ninth Grade Campus. The station will employ canister sampling, a hydrocarbon analyzer, and/or a chromatograph. Ancillary equipment will include a sample conditioning system, a ten meter meteorological tower, a climate controlled equipment shelter, a remote communications system, and have electronic data logging capabilities. The station will continuously sample and analyze the ambient air for a wide range of hydrocarbon species in accordance with Environmental Protection Agency's recommended "TO-14" list. SEP monies will be used for the cost of purchasing, installing, operating, and maintaining the air monitoring station along with its ancillary equipment.	27,490.00	27,490.00					
AQ	38325	Robroy Industries-Texas, L. P.	04/25/2010	1.00:1	26,250.00	5,250.00	10,500.00	10,500.00	10,500.00
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	10,500.00	10,500.00					
AQ	37334	Chevron Phillips Chemical Company, LP	09/21/2009	1.00:1	25,000.00	5,000.00	10,000.00	10,000.00	10,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Texas Air Quality Control Region 211 as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	10,000.00	10,000.00					
AQ	37462	Vopak Terminal Deer Park, Inc.	10/04/2009	1.00:1	7,200.00	1,440.00	2,880.00	2,880.00	2,880.00
	POLLUTION PREVENTION	Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.					2,880.00	2,880.00	
AQ	38530	Flint Hills Resources, LP	06/26/2010	1.00:1	52,054.00	10,410.00	20,822.00	20,822.00	20,822.00
	POLLUTION REDUCTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient named above. The contribution will be Southeast Texas Regional Planning Commission to be used for the West Port Arthur Home Energy Efficiency Program as set forth in agreement between the Third-Party Recipient and the TCEQ. Specifically, the Performing Party shall use SEP Funds to assist low-income residents in the West Port Arthur area by: 1) conducting home energy audits; 2) weatherizing homes to improve energy efficiency; and/or 3) repairing or replacing heating/cooling systems and major appliances with new, energy-efficient equipment. Weatherizing homes may include costs of caulking openings as well as insulating walls, floors, and attics in homes. Any heating/cooling systems or major appliances that are replaced must be scrapped and must not be reused in any way. Performing Party shall use consistent and reliable criteria for determining the low-income status of residents assisted with SEP Funds.					20,822.00	20,822.00	
AQ	37213	Valero Refining-Texas, L.P.	09/21/2009	1.00:1	24,484.00	0.00	12,242.00	12,242.00	12,242.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Performing Party is undertaking the acquisition and development of a 162-acre nature park and the construction of a nature center on the shores of Oso Bay. The Oso Conservation Interpretive Park will include a 15,270 square-foot nature center building, interpretive trails, and significant habitat for wildlife.  Performing Party shall develop the nature center to the U.S. Green Building Council's LEED (Leadership in Energy and Environmental Design (LEED) Green Building Rating System) standards. For example, the nature center will use photovoltaic panels to supply electricity, solar panels to heat water, and energy saving measures such as industrial light tubes to supply daytime lighting to classrooms and heat pumps to supply cooling and heating.					12,242.00	12,242.00	
AQ	38348	ConocoPhillips Company	03/20/2010	1.00:1	10,000.00	0.00	5,000.00	5,000.00	5,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Texas PTA Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	5,000.00	5,000.00					
AQ	37553	TPC Group LLC	02/07/2010	1.00:1	63,140.00	0.00	31,570.00	31,570.00	31,570.00
	POLLUTION PREVENTION	Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.	31,570.00	31,570.00					
AQ	37603	Southern Crushed Concrete, LLC	03/08/2010	1.00:1	65,662.00	13,131.00	26,266.00	26,265.00	26,265.00
	POLLUTION PREVENTION	The Respondent will contribute to Houston-Galveston AERCO's Clean Cities/Clean Vehicles Program. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.	26,265.00	26,265.00					
AQ	38156	Valero Refining-Texas, L.P.	01/28/2010	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.	4,000.00	4,000.00					

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

AQ	38534	Exxon Mobil Corporation	04/25/2010	1.00:1	40,000.00	8,000.00	16,000.00	16,000.00	16,000.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The Contribution will be to Houston Regional Monitoring Corporation for the Houston Area Monitoring program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.				16,000.00	16,000.00	
AQ	38635	Shell Chemical LP	06/18/2010	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
		POLLUTION PREVENTION	The Respondents shall contribute the SEP offset amount to the Third-Party Recipient named above. The Contribution will be to Houston Regional Monitoring Corporation for the Houston Area Monitoring program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.				4,000.00	4,000.00	
AQ	37210	E. I. du Pont de Nemours and Company	10/04/2009	1.00:1	14,178.00	2,835.00	5,672.00	5,671.00	5,671.00
		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the Third-Party Recipient will contribute to Jefferson County for its Retrofit/Replacement of Heavy Equipment and Vehicles with Alternative Fueled Equipment and Vehicles. Specifically, the contribution will be used to purchase alternative fueled equipment such as propane or electric powered lawn mowers, propane powered light duty and heavy equipment, and alternative fueled vehicles and retire diesel and gasoline powered equipment and vehicles. Jefferson County will also convert current equipment and vehicles to alternative fueled equipment in order to lower emissions.  All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.				5,671.00	5,671.00	
AQ	37432	The Premcor Refining Group Inc.	03/20/2010	1.00:1	24,750.00	0.00	12,375.00	12,375.00	12,375.00
		POLLUTION REDUCTION	Conduct home energy audits and to assist low income residents in the West Port Arthur area in improving their homes' energy efficiency such as by caulking, weatherizing, insulating, and replacing heating and cooling systems and major appliances with new, energy efficient equipment.				12,375.00	12,375.00	
AQ	36471	Alcoa, Inc.	01/28/2010	1.00:1	19,655.00	0.00	9,828.00	9,827.00	9,827.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology.					9,827.00	9,827.00	
AQ	38071	ExxonMobil Oil Corporation	03/08/2010	1.00:1	5,000.00	1,000.00	2,000.00	2,000.00	2,000.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to install, operate, and maintain a stationary ambient air monitoring station in the vicinity of Memorial High School Ninth Grade Campus. The station will employ canister sampling, a hydrocarbon analyzer, and/or a chromatograph. Ancillary equipment will include a sample conditioning system, a ten meter meteorological tower, a climate controlled equipment shelter, a remote communications system, and have electronic data logging capabilities. The station will continuously sample and analyze the ambient air for a wide range of hydrocarbon species in accordance with Environmental Protection Agency's recommended "TO-14" list. SEP monies will be used for the cost of purchasing, installing, operating, and maintaining the air monitoring station along with its ancillary equipment.					2,000.00	2,000.00	
AQ	38855	Baytown Asphalt Materials, Ltd.	06/18/2010	1.00:1	3,300.00	660.00	1,320.00	1,320.00	1,320.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Chambers County for the Chambers County Meteorological and Air Monitoring Program to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for meteorological and air monitoring to provide, at a minimum, wind direction, speed, ambient temperature and ultraviolet ray intensity data for use in understanding the production and travel of ozone. Performing Party shall install the monitoring devices at public buildings in Chambers County. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					1,320.00	1,320.00	
AQ	37344	ONEOK Hydrocarbon Southwest, LLC	02/07/2010	1.00:1	160,000.00	0.00	80,000.00	80,000.00	80,000.00
	POLLUTION REDUCTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fuelled or clean diesel buses.					40,000.00	40,000.00	
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Energy Efficiency in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to perform energy efficiency upgrades on public buildings and infrastructure. Specifically, the SEP funds may only be used for: 1) the cost of conducting energy efficiency audits; 2) the incremental cost for construction or implementation of energy-efficiency upgrades; 3) the incremental cost of the purchase of more energy-efficient equipment or appliances; and/or 4) or other direct costs authorized in advance by TCEQ.					40,000.00	40,000.00	
AQ	38382	Texmark Chemicals, Inc.	06/04/2010	1.00:1	13,650.00	2,730.00	5,460.00	5,460.00	5,460.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Houston-Galveston AERCO for the Clean Cities/Clean Vehicles Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.	5,460.00	5,460.00					
AQ	38278	Exxon Mobil Corporation	06/04/2010	1.00:1	111,750.00	22,350.00	44,700.00	44,700.00	44,700.00
	POLLUTION REDUCTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The Contribution will be to Houston Regional Monitoring Corporation for the Houston Area Monitoring program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.	44,700.00	44,700.00					
AQ	37398	Shell Chemical LP	10/31/2009	1.00:1	20,000.00	4,000.00	8,000.00	8,000.00	8,000.00
	POLLUTION PREVENTION	Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.	8,000.00	8,000.00					
AQ	37938	Exxon Mobil Corporation	03/08/2010	1.00:1	40,000.00	0.00	20,000.00	20,000.00	20,000.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Harris County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.  SEP Funds will only be used for the incremental costs of purchase of clean fuel or lower-emission vehicles or retrofit of existing vehicles and for the purchase of these cleaner burning fuels.	20,000.00	20,000.00					

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

AQ	38685	Vopak Terminal Galena Park, Inc.	06/26/2010	1.00:1	12,635.00	2,527.00	5,054.00	5,054.00	5,054.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Houston Regional Monitoring Corporation for the HRMC Houston Area Air Monitoring to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations (designated HRM Sites 1, 3, 4, 7, 8, 10, Wallisville, and Lynchburg Ferry) that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP Funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p>				5,054.00	5,054.00	
AQ	38029	Vopak Terminal Deer Park, Inc.	02/07/2010	1.00:1	10,160.00	0.00	5,080.00	5,080.00	5,080.00
		POLLUTION PREVENTION	<p>Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.</p>				5,080.00	5,080.00	
AQ	38039	ConocoPhillips Company	01/28/2010	1.00:1	16,975.00	3,395.00	6,790.00	6,790.00	6,790.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.</p>				6,790.00	6,790.00	
AQ	38970	LANXESS Corporation	08/21/2010	1.00:1	5,050.00	1,010.00	2,020.00	2,020.00	2,020.00
		POLLUTION PREVENTION	<p>Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be used by the City of Orange Alternative Fuel Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the SEP Offset Amount will be used to perform energy efficiency upgrades on public buildings and infrastructure. The SEP Offset Amount may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3) other direct expenses authorized in advance and in writing by TCEQ. The SEP Offset Amount may only be used for the direct cost of performing this project and no portion will be spent on administrative costs.</p>				2,020.00	2,020.00	
AQ	34860	Lee-Var Inc. dba Palmer of Texas	11/13/2009	1.00:1	43,750.00	0.00	21,875.00	21,875.00	21,875.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	21,875.00	21,875.00					
AQ	39160	OTAL PETROCHEMICALS USA INC.	08/30/2010	1.00:1	9,905.00	1,981.00	3,962.00	3,962.00	3,962.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient named above. The contribution will be Southeast Texas Regional Planning Commission to be used for the Southeast Texas Regional Air Monitoring Network Ambient Air Monitoring Station as set forth in agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to install, operate, and maintain a stationary ambient air monitoring station in the vicinity of Memorial High School Ninth Grade Campus. The station will employ canister sampling, a hydrocarbon analyzer, and/or a chromatograph. Ancillary equipment will include a sample conditioning system, a ten meter meteorological tower, a climate controlled equipment shelter, a remote communications system, and have electronic data logging capabilities. The station will continuously sample and analyze the ambient air for a wide range of hydrocarbon species in accordance with Environmental Protection Agency's recommended "TO-14" list. SEP monies will be used for the cost of purchasing, installing, operating, and maintaining the air monitoring station along with its ancillary equipment.	3,962.00	3,962.00					
AQ	36737	Marathon Petroleum Company LLC	01/28/2010	1.00:1	115,347.00	23,069.00	0.00	92,278.00	92,278.00
	POLLUTION PREVENTION	The Respondent shall operate and maintain the existing off-site ambient air benzene and meteorological monitoring station from January 1, 2010 through December 31, 2010; and shall upload the resulting data to the TCEQ Leading Environmental Analysis and Display System (LEADS) data system. This SEP will provide an additional twelve months of ambient air benzene and meteorological monitoring and data, as well as improve the data availability and add an alert system for elevated ambient air benzene levels.	92,278.00	92,278.00					
AQ	38115	Prism Gas Systems I, L.P	03/20/2010	1.00:1	58,197.00	11,639.00	23,279.00	23,279.00	23,279.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Texas PTA Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	23,279.00	23,279.00					
AQ	37858	Exxon Mobil Corporation	01/28/2010	1.00:1	7,800.00	1,560.00	3,120.00	3,120.00	3,120.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Harris County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.	3,120.00	3,120.00					
AQ	38815	Shell Chemical LP	07/11/2010	1.00:1	55,899.00	11,179.00	22,360.00	22,360.00	22,360.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Houston Regional Monitoring Corporation for the HRMC Houston Area Air Monitoring to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations (designated HRM Sites 1, 3, 4, 7, 8, 10, Wallisville, and Lynchburg Ferry) that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP Funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	22,360.00	22,360.00					
AQ	37441	Valero Refining-Texas, L.P.	12/18/2009	1.00:1	13,706.00	2,741.00	5,483.00	5,482.00	5,482.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. The performing party shall establish three air quality monitoring stations within four facilities of the San Patricio Municipal Water District. The stations will consist of ambient air quality monitoring instruments, meteorological instruments, and ancillary equipment. The primary objective of these new monitoring stations is to provide continuous information on air quality. This includes generating data on background concentrations, pollutant transport from outside the air shed, and pollutant transport from the industrial district during the periods of predominant southeast to northwest wind patterns. The monitoring sites will be located at the Odem Raw Water Station, the Taft Texana Blending Station, and the San Patricio Country Municipal Water District Main Office. These new sites will be in addition to the already existing site located at the Aransas Pass Treatment Plant, for a total of four sites. SEP Funds will be used to pay for the instruments, installation, and maintenance and/or operation of the instruments, data validation, software license, and web server costs related solely to this project.	5,482.00	5,482.00					
AQ	39187	Flint Hills Resources, LP	08/30/2010	1.00:1	29,400.00	0.00	14,700.00	14,700.00	14,700.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient named above. The contribution will be Southeast Texas Regional Planning Commission to be used for the West Port Arthur Home Energy Efficiency Program as set forth in agreement between the Third-Party Recipient and the TCEQ. Specifically, the Performing Party shall use SEP Funds to assist low-income residents in the West Port Arthur area by: 1) conducting home energy audits; 2) weatherizing homes to improve energy efficiency; and/or 3) repairing or replacing heating/cooling systems and major appliances with new, energy-efficient equipment. Weatherizing homes may include costs of caulking openings as well as insulating walls, floors, and attics in homes. Any heating/cooling systems or major appliances that are replaced must be scrapped and must not be reused in any way. Performing Party shall use consistent and reliable criteria for determining the low-income status of residents assisted with SEP Funds.					14,700.00	14,700.00	
AQ	37455	Lucite International, Inc.	10/18/2009	1.00:1	70,546.00	14,109.00	28,219.00	28,218.00	28,218.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Performing Party shall: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission Vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources. SEP Funds may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3) other direct expenses authorized in advance and in writing by TCEQ.					28,218.00	28,218.00	
AQ	37325	Exxon Mobil Corporation	08/30/2010	1.00:1	10,000.00	0.00	5,000.00	5,000.00	5,000.00
	POLLUTION REDUCTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.					5,000.00	5,000.00	
AQ	37490	INVISTA S.a.r.l.	06/18/2010	1.00:1	22,660.00	0.00	11,330.00	11,330.00	11,330.00
	POLLUTION REDUCTION	RC&D shall use SEP Funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of retrofitting or replacing older diesel buses with alternative fueled or clean diesel technology to reduce air pollution emissions.					11,330.00	11,330.00	
AQ	37793	Flint Hills Resources, LP	12/18/2009	1.00:1	18,275.00	0.00	9,138.00	9,137.00	9,137.00
	POLLUTION PREVENTION	Performing Party shall use SEP Funds to assist low-income residents in the West Port Arthur area by: 1) conducting home energy audits; 2) weatherizing homes to improve energy efficiency; and/or 3) repairing or replacing heating/cooling systems and major appliances with new, energy-efficient equipment. Weatherizing homes may include costs of caulking openings as well as insulating walls, floors, and attics in homes. Any heating/cooling systems or major appliances that are replaced must be scrapped and must not be reused in any way. Performing Party shall use consistent and reliable criteria for determining the low-income status of residents assisted with SEP Funds.					9,137.00	9,137.00	
AQ	37164	Exxon Mobil Corporation	10/04/2009	1.00:1	18,725.00	3,745.00	7,490.00	7,490.00	7,490.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent will contribute to Houston-Galveston AERCO's Clean Cities/Clean Vehicles Program in Harris County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.	7,490.00	7,490.00					
AQ	38331	Mitsubishi Caterpillar Forklift America Inc.	08/30/2010	1.00:1	105,590.00	0.00	52,833.00	52,757.00	52,757.00
	POLLUTION PREVENTION	SEP funds will be used to install or retrofit, operate, maintain, and potentially expand air monitoring stations in Harris County to continuously monitor ambient air for total non-methane hydrocarbons and hazardous air pollutants (HAPs). Specifically, SEP funds will be used to purchase equipment (such as automated canister samplers), hardware, software, and licenses to enable the monitoring.					32,757.00	32,757.00	
	POLLUTION REDUCTION	The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses.					20,000.00	20,000.00	
AQ	35533	OTAL PETROCHEMICALS USA INC.	10/31/2009	1.00:1	41,432.00	8,286.00	16,573.00	16,573.00	16,573.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.  Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.					16,573.00	16,573.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

AQ	39062	SABINA PETROCHEMICALS LLC	08/09/2010	1.00:1	32,429.00	6,485.00	12,972.00	12,972.00	12,972.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas Air Quality Research Center at Lamar University for the Flare Speciation and Air Quality Modeling project to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency (CE) and Destruction and Removal Efficiency (DRE). All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					12,972.00	12,972.00
AQ	38172	Vopak Terminal Deer Park, Inc.	06/18/2010	1.00:1	124,670.00	24,934.00	49,868.00	49,868.00	49,868.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The Contribution will be to Houston Regional Monitoring Corporation for the Houston Area Monitoring program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.					49,868.00	49,868.00
AQ	38018	Hess Corporation	03/08/2010	1.00:1	19,050.00	3,810.00	7,620.00	7,620.00	7,620.00
		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.  Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.					7,620.00	7,620.00
AQ	37392	Valero Refining-Texas, L.P.	09/21/2009	1.00:1	10,000.00	0.00	5,000.00	5,000.00	5,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent will contribute to Houston-Galveston AERCO's Clean Cities/Clean Vehicles Program in Harris County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.	5,000.00	5,000.00					
AQ	37501	Albemarle Corporation	07/11/2010	1.00:1	7,200.00	0.00	3,600.00	3,600.00	3,600.00
	POLLUTION REDUCTION	SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.	3,600.00	3,600.00					
AQ	37896	Chevron Phillips Chemical Company, LP	01/28/2010	1.00:1	20,700.00	4,140.00	8,280.00	8,280.00	8,280.00
	POLLUTION PREVENTION	The Respondent will contribute to Houston-Galveston AERCO's Clean Cities/Clean Vehicles Program. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.	8,280.00	8,280.00					
AQ	37722	DCP Midstream, LP	11/27/2009	1.00:1	10,139.00	0.00	5,070.00	5,069.00	5,069.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.	5,069.00	5,069.00					
AQ	37766	DCP Midstream, LP	11/27/2009	1.00:1	12,300.00	2,460.00	4,920.00	4,920.00	4,920.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.	4,920.00	4,920.00					
AQ	37305	Targa Midstream Services Limited Partnership	10/18/2009	1.00:1	65,450.00	13,090.00	26,180.00	26,180.00	26,180.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Chambers County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replaces those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.  SEP Funds will only be used for the incremental costs of purchase of clean fuel or lower-emission vehicles or retrofit of existing vehicles and for the purchase of these cleaner burning fuels.	26,180.00	26,180.00					
AQ	37659	OTAL PETROCHEMICALS USA INC.	11/27/2009	1.00:1	20,000.00	4,000.00	8,000.00	8,000.00	8,000.00
	POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Harris County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.	8,000.00	8,000.00					
AQ	38476	The Lubrizol Corporation	08/09/2010	1.00:1	143,460.00	0.00	71,730.00	71,730.00	71,730.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION		SEP funds will be used to supplement existing operation of a network of ambient air monitoring stations that continuously measure and record concentrations of ambient air pollutants. Specifically, SEP Funds will be used to operate, maintain, and potentially expand portions of the existing ambient air quality monitoring network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives.				71,730.00	71,730.00
AQ	37248	Diamond Shamrock Refining Company, L.P.	10/04/2009	1.00:1	17,004.00	3,400.00	6,802.00	6,802.00
	POLLUTION PREVENTION		The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.				6,802.00	6,802.00
AQ	37315	850 Pine Street, Inc.	10/18/2009	1.00:1	9,575.00	1,915.00	3,830.00	3,830.00
	POLLUTION PREVENTION		The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.				3,830.00	3,830.00
AQ	37999	ConocoPhillips Company	12/18/2009	1.00:1	17,850.00	3,570.00	7,140.00	7,140.00
	POLLUTION PREVENTION		The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.				7,140.00	7,140.00
AQ	38692	TPC Group LLC	06/04/2010	1.00:1	20,206.00	4,041.00	8,083.00	8,082.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.					8,082.00	8,082.00	
AQ	37094	ConocoPhillips Company	11/27/2009	1.00:1	304,126.00	60,825.00	121,651.00	121,650.00	121,650.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program in Hutchinson County as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.						121,650.00	121,650.00
AQ	29445	OTAL PETROCHEMICALS USA INC.	08/30/2010	1.00:1	493,200.00	0.00	246,600.00	246,600.00	246,600.00
	POLLUTION REDUCTION	Performing Party shall: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources. SEP Funds may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3) other direct expenses authorized in advance and in writing by TCEQ. The Respondent certifies that there is no prior commitment to do this project and that it is being performed solely in an effort to settle this enforcement action.						100,000.00	100,000.00
	POLLUTION REDUCTION	The contribution will be used to reimburse local school districts for the cost of retrofitting or replacing school buses with cleaner technology buses to reduce air pollution emissions.						146,600.00	146,600.00
AQ	39196	ConocoPhillips Company	08/30/2010	1.00:1	10,000.00	0.00	5,000.00	5,000.00	5,000.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.						5,000.00	5,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

AQ	38527	Bayer Materials LLC	04/25/2010	1.00:1	11,322.00	2,264.00	4,529.00	4,529.00	4,529.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The Contribution will be to Houston Regional Monitoring Corporation for the Houston Area Monitoring program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.					4,529.00	4,529.00
AQ	38833	Flint Hills Resources, LP	07/11/2010	1.00:1	17,550.00	3,510.00	7,020.00	7,020.00	7,020.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient named above. The contribution will be Southeast Texas Regional Planning Commission to be used for the West Port Arthur Home Energy Efficiency Program as set forth in agreement between the Third-Party Recipient and the TCEQ. Specifically, the Performing Party shall use SEP Funds to assist low-income residents in the West Port Arthur area by: 1) conducting home energy audits; 2) weatherizing homes to improve energy efficiency; and/or 3) repairing or replacing heating/cooling systems and major appliances with new, energy-efficient equipment. Weatherizing homes may include costs of caulking openings as well as insulating walls, floors, and attics in homes. Any heating/cooling systems or major appliances that are replaced must be scrapped and must not be reused in any way. Performing Party shall use consistent and reliable criteria for determining the low-income status of residents assisted with SEP Funds.					7,020.00	7,020.00
AQ	38778	Firestone Polymers, LLC	06/04/2010	1.00:1	6,450.00	1,290.00	2,580.00	2,580.00	2,580.00
		POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					2,580.00	2,580.00
AQ	38257	GB Biosciences Corporation	02/22/2010	1.00:1	9,843.00	1,968.00	3,938.00	3,937.00	3,937.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent will contribute to Houston-Galveston AERCO's Clean Cities/Clean Vehicles Program. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.					3,937.00	3,937.00	
AQ	37510	E. I. du Pont de Nemours and Company	10/31/2009	1.00:1	26,975.00	5,395.00	10,790.00	10,790.00	10,790.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					10,790.00	10,790.00	
AQ	37660	Diamond Shamrock Refining Company, L.P.	08/09/2010	1.00:1	245,227.00	0.00	122,614.00	122,613.00	122,613.00
	POLLUTION REDUCTION	Project will fuse funds to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new cleaner technology. RC&D shall disburse funds to school districts, area transit agencies, or local governments on a needs-rated basis, using non-attainment area status, condition of buses, or economic status of recipient as possible rating factors if competition for funds exists.					122,613.00	122,613.00	
AQ	38322	OTAL PETROCHEMICALS USA INC.	03/20/2010	1.00:1	20,550.00	4,110.00	8,220.00	8,220.00	8,220.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to the City of Port Arthur to be used for the Port Arthur Alternative Fuel Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Performing Party shall: 1) retire current diesel powered or gasoline-powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled or low-emission vehicles or equipment; 2) convert current vehicles and equipment to alternative-fueled vehicles or equipment; or 3) retrofit current gasoline-powered and diesel-powered vehicles and equipment to decrease emissions from those sources. SEP Funds may only be used for: 1) the incremental costs of purchase of alternative-fueled or lower emission vehicles or equipment or retrofit of existing vehicles or equipment; 2) the incremental cost of purchase of alternative fuels versus regular gasoline or diesel fuels; or 3) other direct expenses authorized in advance and in writing by TCEQ.	8,220.00	8,220.00					
AQ	38684	DCP Midstream, LP	06/18/2010	1.00:1	10,127.00	0.00	5,064.00	5,063.00	5,063.00
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	5,063.00	5,063.00					
AQ	38634	Vopak Logistics Services USA Inc.	05/09/2010	1.00:1	3,150.00	630.00	1,260.00	1,260.00	1,260.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP offset amount to the Third-Party Recipient named above. The Contribution will be to Houston Regional Monitoring Corporation for the Houston Area Monitoring program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.	1,260.00	1,260.00					
AQ	38609	Southern Union Pipeline, Ltd.	05/09/2010	1.00:1	2,050.00	410.00	820.00	820.00	820.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful to be used for the Texas Waterways Cleanup Program. Specifically, the contribution will be used to cleanup rivers, lakes, and shorelines, by supplying project coordination, labor, supplies, and materials for cleanup events and by providing assistance with disposal fees for proper disposal of wastes collected at events. To maximize the event, cleanups will use volunteers for labor. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	820.00	820.00					

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

AQ	37435	Intercontinental Terminals Company LLC	10/18/2009	1.00:1	10,000.00	0.00	5,000.00	5,000.00	5,000.00
		POLLUTION PREVENTION	Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.					5,000.00	5,000.00
AQ	37712	Shell Oil Company	03/20/2010	1.00:1	30,000.00	6,000.00	12,000.00	12,000.00	12,000.00
		POLLUTION PREVENTION	Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.					12,000.00	12,000.00
AQ	38168	Flint Hills Resources, LP	02/22/2010	1.00:1	16,715.00	3,343.00	6,686.00	6,686.00	6,686.00
		POLLUTION PREVENTION	Performing Party shall use SEP Funds to assist low-income residents in the West Port Arthur area by: 1) conducting home energy audits; 2) weatherizing homes to improve energy efficiency; and/or 3) repairing or replacing heating/cooling systems and major appliances with new, energy-efficient equipment. Weatherizing homes may include costs of caulking openings as well as insulating walls, floors, and attics in homes. Any heating/cooling systems or major appliances that are replaced must be scrapped and must not be reused in any way. Performing Party shall use consistent and reliable criteria for determining the low-income status of residents assisted with SEP Funds.					6,686.00	6,686.00
AQ	38323	Transcontinental Gas Pipe Line Company, LLC	03/20/2010	1.00:1	34,285.00	6,857.00	13,714.00	13,714.00	13,714.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Texas PTA Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					13,714.00	13,714.00
AQ	37943	INEOS USA LLC	04/11/2010	1.00:1	40,000.00	0.00	20,000.00	20,000.00	20,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.  Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.	20,000.00	20,000.00					
AQ	37491	ExxonMobil Oil Corporation	10/04/2009	1.00:1	20,000.00	4,000.00	8,000.00	8,000.00	8,000.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.	8,000.00	8,000.00					
AQ	37165	Formosa Plastics Corporation, Texas	10/04/2009	1.00:1	62,555.00	12,511.00	25,022.00	25,022.00	25,022.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to assist in the rehabilitation of the wastewater treatment system, including: replacement of the main sanitary sewer lift station to prevent sewage overflows or backups of sewage into residences; replacement of the final effluent outfall line at the wastewater treatment facility site; demolition of portions of the former site to alleviate storm water inflow into the chlorine contact chamber; and engineering design and oversight for the project.	25,022.00	25,022.00					
AQ	38679	ISP Synthetic Elastomers LLC	04/25/2010	1.00:1	10,450.00	2,090.00	4,180.00	4,180.00	4,180.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

POLLUTION PREVENTION		The Respondent shall contribute the SEP Amount to the Third-Party Recipient named above. The contribution will be Southeast Texas Regional Planning Commission to be used for the Southeast Texas Regional Air Monitoring Network Ambient Air Monitoring Station as set forth in agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to install, operate, and maintain a stationary ambient air monitoring station in the vicinity of Memorial High School Ninth Grade Campus. The station will employ canister sampling, a hydrocarbon analyzer, and/or a chromatograph. Ancillary equipment will include a sample conditioning system, a ten meter meteorological tower, a climate controlled equipment shelter, a remote communications system, and have electronic data logging capabilities. The station will continuously sample and analyze the ambient air for a wide range of hydrocarbon species in accordance with Environmental Protection Agency's recommended "TO-14" list. SEP monies will be used for the cost of purchasing, installing, operating, and maintaining the air monitoring station along with its ancillary equipment.					4,180.00	4,180.00	
AQ	38485	KM Liquids Terminals LLC	05/09/2010	1.00:1	12,000.00	2,400.00	4,800.00	4,800.00	4,800.00
POLLUTION PREVENTION		The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Houston-Galveston AERCO for the Clean Cities/Clean Vehicles Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.					4,800.00	4,800.00	
AQ	37694	Sunoco Partners Marketing & Terminals L.P.	11/27/2009	1.00:1	50,125.00	10,025.00	20,050.00	20,050.00	20,050.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

POLLUTION PREVENTION		<p>The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.</p> <p>Acceptable retrofit technologies include particulate matter traps, diesel particulate matter filters, nitrogen oxides (NOx) reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by the United States Environmental Protection Agency ("EPA") or the California Air Resources Board.</p>	20,050.00	20,050.00					
AQ	38360	Valero Refining-Texas, L.P.	05/09/2010	1.00:1	23,330.00	4,666.00	9,332.00	9,332.00	9,332.00
POLLUTION PREVENTION		<p>The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Houston-Galveston AERCO for the Clean Cities/Clean Vehicles Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.</p>	9,332.00	9,332.00					
AQ	37010	Exxon Mobil Corporation	09/21/2009	1.00:1	19,875.00	3,975.00	7,950.00	7,950.00	7,950.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION		The Respondent will contribute to Houston-Galveston AERCO's Clean Cities/Clean Vehicles Program in Harris County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.				7,950.00	7,950.00	
AQ	38010	Kinder Morgan Liquids Terminals LLC	04/25/2010	1.00:1	13,480.00	2,696.00	5,392.00	5,392.00	5,392.00
	POLLUTION PREVENTION		The Respondent will contribute to Houston-Galveston AERCO's Clean Cities/Clean Vehicles Program. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.				5,392.00	5,392.00	
AQ	37928	Gulf Coast Waste Disposal Authority	01/28/2010	1.00:1	7,350.00	1,470.00	0.00	5,880.00	5,880.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

		POLLUTION PREVENTION	The Respondent will contribute to Barbers Hill Independent School District's ("Barbers Hill ISD") Alternative Fueled Vehicle and Equipment Program in Harris County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between Barbers Hill ISD and the Texas Commission on Environmental Quality. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.  SEP Funds will only be used for the incremental costs of purchase of clean fuel or lower-emission vehicles or retrofit of existing vehicles and for the purchase of these cleaner burning fuels.				5,880.00	5,880.00	
AQ	34660	Diamond Shamrock Refining Company, L.P.	11/13/2009	1.00:1	140,443.00	28,088.00	56,178.00	56,177.00	56,177.00
		CLEAN UP	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					56,177.00	56,177.00
AQ	36535	Eastman Chemical Company	01/28/2010	1.00:1	75,839.00	0.00	37,920.00	37,919.00	37,919.00
		CLEAN UP	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.					37,919.00	37,919.00
AQ	38092	The Dow Chemical Company	04/11/2010	1.00:1	146,917.00	0.00	73,459.00	73,458.00	73,458.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas PTA for the Texas PTA Clean School Bus Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to reimburse local school districts for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fueled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					73,458.00	73,458.00
AQ	37573	Exxon Mobil Corporation	10/31/2009	1.00:1	9,325.00	1,865.00	3,730.00	3,730.00	3,730.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent will contribute to Houston-Galveston AERCO's Clean Cities/Clean Vehicles Program in Harris County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.					3,730.00	3,730.00	
AQ	37893	DCP Midstream, LP	02/22/2010	1.00:1	9,150.00	1,830.00	3,660.00	3,660.00	3,660.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.					3,660.00	3,660.00	
AQ	38796	Exxon Mobil Corporation	06/04/2010	1.00:1	10,000.00	0.00	5,000.00	5,000.00	5,000.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Barbers Hill Independent School District for the Alternative Fueled Vehicle and Equipment Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to retire current diesel-powered or gasoline powered vehicles and equipment and replace those vehicles and equipment with alternative-fueled vehicles and equipment; convert current vehicles and equipment to alternative-fueled equipment; or retrofit current gasoline or diesel-powered equipment.  SEP Funds will only be used for the incremental costs of purchase of clean fuel or lower-emission vehicles or retrofit of existing vehicles and for the purchase of these cleaner burning fuels.					5,000.00	5,000.00	
AQ	37762	Rohm and Haas Texas Incorporated	12/18/2009	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

		POLLUTION PREVENTION	Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.				4,000.00	4,000.00	
AQ	37935	Rohm and Haas Texas Incorporated	08/30/2010	1.00:1	542,251.00	0.00	271,126.00	271,125.00	271,125.00
		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.					271,125.00	271,125.00
AQ	38908	Shell Oil Company	06/26/2010	1.00:1	10,000.00	0.00	5,000.00	5,000.00	5,000.00
		POLLUTION PREVENTION	Performing Party shall use SEP Funds to operate, maintain, and potentially expand portions of the existing Houston Regional Monitoring Corporation Ambient Air Quality Monitoring Network in the Houston area in order to continue to provide information on data quality and trends to the public, TCEQ, and industry representatives. SEP funds may be used to operate a single monitoring site or multiple sites contingent upon the amount of SEP funds provided.					5,000.00	5,000.00
AQ	39021	Valero Refining-Texas, L.P.	08/09/2010	1.00:1	10,000.00	2,000.00	4,000.00	4,000.00	4,000.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Houston-Galveston AERCO for the Clean Cities/Clean Vehicles Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fuelled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.					4,000.00	4,000.00
AQ	36759	Exxon Mobil Corporation	10/18/2009	1.00:1	30,000.00	0.00	15,000.00	15,000.00	15,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent will contribute to Houston-Galveston AERCO's Clean Cities/Clean Vehicles Program in Harris County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.					15,000.00	15,000.00	
IHW	37414	Multi-Chem Group, LLC	02/22/2010	1.00:1	141,335.00	28,267.00	56,534.00	56,534.00	56,534.00
	CLEAN UP	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.					56,534.00	56,534.00	
IHW	36521	E. I. du Pont de Nemours and Company	08/09/2010	1.00:1	85,500.00	17,100.00	34,200.00	34,200.00	34,200.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas Air Quality Research Center at Lamar University for the Flare Speciation and Air Quality Modeling project to be used as set forth in an agreement between the Third-Party Recipient and the TCEQ. SEP funds will be used for advanced modeling and simulations to identify the VOC species generated and emitted from industrial flares at chemical process facilities under various operating conditions and environmental conditions as well as determine the Combustion Efficiency (CE) and Destruction and Removal Efficiency (DRE). All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					34,200.00	34,200.00	
IHW	38981	BFI Waste Services of Texas, LP	08/21/2010	1.00:1	7,650.00	1,530.00	3,060.00	3,060.00	3,060.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION		The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Gulf Coast Waste Disposal Authority to be used for the River, Lakes, Bays, and Bayous Trash Bash Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will be used to pay for materials, supplies, disposal cost and transportation cost directly associated with the clean up of trash and pollutants from the Galveston Bay and waterways in the San Jacinto watershed.				3,060.00	3,060.00	
IHW	37278	Oglebay Norton Industrial Sands, Inc.	10/04/2009	1.00:1	11,425.00	2,285.00	4,570.00	4,570.00	4,570.00
	POLLUTION PREVENTION		The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.				4,570.00	4,570.00	
IHW	38093	Exxon Mobil Corporation	04/11/2010	1.00:1	40,550.00	8,110.00	16,220.00	16,220.00	16,220.00
	POLLUTION PREVENTION		The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.				16,220.00	16,220.00	
IHW	38867	Stolthaven Houston, Inc.	08/30/2010	1.00:1	36,530.00	7,306.00	14,612.00	14,612.00	14,612.00
	POLLUTION PREVENTION		The Respondent shall contribute the SEP Amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide local residents with a means of properly disposing household hazardous wastes such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers in one day collection events. SEP monies will be used to pay for the associated labor, materials, and disposal costs. Citizens will not be charged disposal fees. The project is administered in accordance with TCEQ guidance on household hazardous waste and in compliance with federal, state, and local environmental laws and regulations. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs.				14,612.00	14,612.00	
IHW	36635	The Dow Chemical Company	11/27/2009	1.00:1	97,500.00	19,500.00	39,000.00	39,000.00	39,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

		POLLUTION PREVENTION	The Respondent will contribute to Houston-Galveston AERCO's Clean Cities/Clean Vehicles Program in Harris County. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Houston-Galveston AERCO and the Texas Commission on Environmental Quality. SEP monies will be used to aid local school districts and area transit agencies in reaching local match requirements mandated by the Federal Highway Administration's ("FHWA") Congestion Mitigation/Air Quality funding program. SEP monies will be disbursed to school districts and transit agencies in need of funding assistance in the Houston-Galveston non-attainment area. Those SEP monies will be used exclusively by the school districts and transit agencies as supplements to meet the local match requirements of the EPA. SEP monies will be used to pay for the cost of replacing older diesel buses with alternative fueled or clean diesel buses. The old buses will be permanently retired and only sold for scrap. The schools and transit agencies will also use the SEP monies to retrofit more buses to reduce emissions. Houston-Galveston AERCO will send the TCEQ verification in the form of paid invoices and other documentation to show that the retrofits were completed. Retrofit technologies include particulate matter traps, diesel particulate matter filters, NOx reduction catalyst technology in combination with diesel particulate filters, and other emission control technologies that are developed and approved by EPA or the California Air Resources Board.				39,000.00	39,000.00	
MSW	34964	City of Crowell *	06/18/2010	1.00:1	11,500.00	0.00	0.00	11,500.00	11,500.00
		POLLUTION REDUCTION	The Respondent Foard County shall provide supplemental energy efficiency upgrades to public buildings and infrastructure. Upgrades may include but are not limited to weatherization, energy-reduction modifications such as use of renewable energy sources; building envelope upgrades (cool roofs, exterior shading, optimized insulation and air sealing), installation of high-efficiency lighting fixtures and occupancy sensors for both lighting and HVAC, high-efficiency demand-controlled ventilation and energy-efficient boilers, more efficient exterior lighting and energy-efficient office equipment, replacing heating, ventilation, and cooling (HVAC) systems with more efficient boilers, motors, and variable-speed drives; reducing energy and maintenance costs by installing centralized energy management systems; lowering electric lighting and cooling loads and boosting productivity through increased use of daylighting, or installation of LED traffic lights.				11,500.00	11,500.00	
MSW	38043	Village Farms, L.P.	01/28/2010	1.00:1	4,600.00	920.00	1,840.00	1,840.00	1,840.00
		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.				1,840.00	1,840.00	
MSW	36534	City of Marshall *	10/04/2009	1.00:1	5,400.00	1,080.00	2,160.00	2,160.00	2,160.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	CLEAN UP	The Respondents shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.				2,160.00		2,160.00	
PST	35943	LEEMAK 529 LLC	06/26/2010	1.00:1	62,316.00	0.00	31,158.00	31,158.00	31,158.00
	CLEAN UP	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.					31,158.00	31,158.00	
PST	38190	National Convenience Stores Incorporated	04/25/2010	1.00:1	6,221.00	1,244.00	2,489.00	2,488.00	2,488.00
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Armand Bayou Nature Center to be used for the Coastal Tall Grass Management-Prescribed Burn Program and Prairie Restoration Project Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to pay for the labor and materials costs associated with conducting prescribed burns, removing non-native trees, and for planting native trees and plants.					2,488.00	2,488.00	
PST	38001	Hempstead Independent School District	02/22/2010	1.00:1	11,875.00	2,375.00	0.00	9,500.00	9,500.00
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.					9,500.00	9,500.00	
PST	39319	BAYLOR UNIVERSITY	08/30/2010	1.00:1	3,875.00	775.00	0.00	3,100.00	3,100.00
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.					3,100.00	3,100.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

PST	39029	Nueces County	08/21/2010	1.00:1	5,125.00	1,025.00	0.00	4,100.00	4,100.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful for the Stop Trashing Texas project as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will pay for the labor and disposal costs associated with cleanup of unauthorized trash dumps by supplying project coordination, labor, supplies, and materials for clean up events statewide. SEP funds used for this purpose shall be limited to the actual direct costs for the specific purpose necessary to meet the requirements of the SEP and no portion of the SEP funds will be spent on administrative costs related to this portion of the SEP. Trash dump cleanups may be coordinated with waste collection facilities and/or local governments to employ heavy machinery for the removal of large waste items. To the maximum extent possible, cleanups shall be accomplished with the use of volunteers from community groups, private companies, schools, and youth organizations. SEP Funds may be used for activities. This project will be administered in accordance with federal, state, and local environmental laws and regulations.</p>				2,050.00	2,050.00	
		POLLUTION PREVENTION	<p>The Respondent shall contribute the SEP Amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to cleanup unauthorized dump sites, recycle materials when feasible, and properly dispose of all waste. Eligible sites will be limited to those where a responsible party cannot be found or is unable to clean the site and where reasonable efforts have been made to prevent the dumping.</p>				2,050.00	2,050.00	
PST	36574	Neelam Markets, Inc.	08/30/2010	1.00:1	121,750.00	0.00	60,875.00	60,875.00	60,875.00
		CLEAN UP	<p>Project shall identify sites where there is no responsible party who is able to pull the tanks. RC&amp;D shall give written notification to TCEQ of the responsible parties who are not able to pull the tanks and request TCEQ approval of these sites. Once the site is approved by TCEQ, RC&amp;D shall do the following: 1) conduct soil sampling and notify the TCEQ SEP Coordinator &amp; the appropriate TCEQ Regional Office w/in 24 hours as to whether sampling indicates a tank has or has not leaked; 2) remove &amp; properly dispose of any liquids found in the tanks; 3) do any necessary remediation; 4) backfill the hole; and 5) compile a UST closure report for each UST system removed.</p>				60,875.00	60,875.00	
PST	39097	Kleberg County	07/11/2010	1.00:1	7,025.00	1,405.00	0.00	5,620.00	5,620.00
		POLLUTION PREVENTION	<p>The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&amp;D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.</p>				5,620.00	5,620.00	
PWS	37878	Kempner Water Supply Corporation	11/13/2009	1.00:1	1,762.00	352.00	0.00	1,410.00	1,410.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent will contribute to Lower Colorado River Authority ("LCRA") Household Hazardous Waste and Reusable Materials Collection. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Lower Colorado River Authority and the Texas Commission on Environmental Quality. SEP monies will be used to sponsor household hazardous waste collection events, battery collection events, used oil collection events, paint collection events, and tire collection events in conjunction with local city and county governments through the LCRA Statutory District. These collection events provide citizens with a safe and convenient opportunity to properly dispose of household hazardous wastes such as: paint, tires, pesticides, motor oil, oil filters, antifreeze, batteries, and household cleaners. Citizens will be encouraged to reuse unused portions of properly labeled and handled chemical products (i.e. fertilizers, paints, and some pesticides/herbicides) through the reusable materials exchange. SEP monies will be used by LCRA to supplement scheduled events, and to add additional collection events. The LCRA has not previously budgeted for these supplemental, additional, or special collection events and will not budget for them.	1,410.00	1,410.00					
PWS	38959	Childress Creek Water Supply Corporation	07/11/2010	1.00:1	6,340.00	0.00	0.00	6,340.00	6,340.00
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.	6,340.00	6,340.00					
PWS	36564	City of Corpus Christi	02/22/2010	1.00:1	10,481.00	0.00	0.00	10,481.00	10,481.00
	ENVIRONMENTAL RESTORATION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Coastal Bend Bays & Estuaries Program for the Colonial Waterbird Rookery Island Enhancement Project to be used by the Third-Party Recipient as set forth in an agreement between the Third-Party Recipient and the TCEQ. The SEP Offset Amount will be used to pay for the labor and material costs associated with preventing erosion in the unprotected areas of the islands and restoring parts of the islands that have suffered from erosion.	10,481.00	10,481.00					
PWS	25477	City Of Edgewood	10/04/2009	1.00:1	2,160.00	0.00	0.00	2,160.00	2,160.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	2,160.00	2,160.00					

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

PWS	37708	City of Palestine	02/22/2010	1.00:1	11,285.00	0.00	0.00	11,285.00	11,285.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide local residents with a means of properly disposing household hazardous wastes such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers in one day collection events. SEP monies will be used to pay for the associated labor, materials, and disposal costs. Citizens will not be charged disposal fees. The project is administered in accordance with TCEQ guidance on household hazardous waste and in compliance with federal, state, and local environmental laws and regulations. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs.					11,285.00	11,285.00
PWS	38806	Copperas Cove MHC, LLC	07/11/2010	1.00:1	5,207.00	1,041.00	2,083.00	2,083.00	2,083.00
		POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.					2,083.00	2,083.00
PWS	37732	City Of Slaton	02/22/2010	1.00:1	17,468.00	3,493.00	0.00	13,975.00	13,975.00
		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the Third-Party Recipient shall repair or replace failing water systems or on-site wastewater systems for low income homeowners. The Third-Party Recipient shall use SEP funds to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems. The Third-Party recipient shall use a consistent and documented system for determining eligible participants.					13,975.00	13,975.00
PWS	38303	Texas Department of Transportation	02/07/2010	1.00:1	5,840.00	0.00	0.00	5,840.00	5,840.00
		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.					5,840.00	5,840.00
PWS	37921	Texas Department of Transportation	01/28/2010	1.00:1	10,465.00	0.00	0.00	10,465.00	10,465.00
		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.					10,465.00	10,465.00
PWS	37299	City of La Villa	02/07/2010	1.00:1	3,172.00	0.00	0.00	3,172.00	3,172.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

		POLLUTION REDUCTION	Project will repair or replace failing water systems or on-site wastewater systems for low-income homeowners. SEP funds will be used to pay for the labor and material costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing system. Benefit: Protect water sources for drinking, recreation and wildlife from contamination from the failing treatment systems; protect public health from contaminated drinking water supplies.				3,172.00	3,172.00	
PWS	37705	City of Trinity	12/18/2009	1.00:1	7,930.00	1,586.00	0.00	6,344.00	6,344.00
		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					6,344.00	6,344.00
PWS	37473	City of Granite Shoals	10/04/2009	1.00:1	1,330.00	0.00	0.00	1,330.00	1,330.00
		POLLUTION PREVENTION	The Respondent will contribute to Lower Colorado River Authority ("LCRA") Household Hazardous Waste and Reusable Materials Collection. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Lower Colorado River Authority and the Texas Commission on Environmental Quality. SEP monies will be used to sponsor household hazardous waste collection events, battery collection events, used oil collection events, paint collection events, and tire collection events in conjunction with local city and county governments through the LCRA Statutory District. These collection events provide citizens with a safe and convenient opportunity to properly dispose of household hazardous wastes such as: paint, tires, pesticides, motor oil, oil filters, antifreeze, batteries, and household cleaners. Citizens will be encouraged to reuse unused portions of properly labeled and handled chemical products (i.e. fertilizers, paints, and some pesticides/herbicides) through the reusable materials exchange. SEP monies will be used by LCRA to supplement scheduled events, and to add additional collection events. The LCRA has not previously budgeted for these supplemental, additional, or special collection events and will not budget for them.					1,330.00	1,330.00
PWS	34792	City of San Augustine	11/13/2009	1.00:1	9,525.00	0.00	0.00	9,525.00	9,525.00
		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the Third-Party Recipient shall repair or replace failing water systems or on-site wastewater systems for low income homeowners. The Third-Party Recipient shall use SEP funds to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems. The Third-Party recipient shall use a consistent and documented system for determining eligible participants.					9,525.00	9,525.00
PWS	16926	City of Huxley	10/04/2009	1.00:1	2,745.00	0.00	0.00	2,745.00	2,745.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.				2,745.00	2,745.00	
PWS	23808	S.L.C. Water Supply Corporation	03/20/2010	1.00:1	12,215.00	0.00	0.00	12,215.00	12,215.00
		POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful to be used for the Texas Waterways Cleanup Program. Specifically, the contribution will be used to cleanup rivers, lakes, and shorelines, by supplying project coordination, labor, supplies, and materials for cleanup events and by providing assistance with disposal fees for proper disposal of wastes collected at events. To maximize the event, cleanups will use volunteers for labor. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.				12,215.00	12,215.00	
PWS	38873	Texas Department of Criminal Justice	08/30/2010	1.00:1	3,675.00	735.00	0.00	2,940.00	2,940.00
		POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.				2,940.00	2,940.00	
PWS	37422	City of Emory	10/18/2009	1.00:1	19,430.00	0.00	0.00	19,430.00	19,430.00
		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.				19,430.00	19,430.00	
PWS	27681	City of Marlin	12/18/2009	1.00:1	43,880.00	0.00	0.00	43,880.00	43,880.00
		CLEAN UP	Project will coordinate with city and/or county government for the clean up of sites where trash and debris has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified, there is no preexisting obligation to clean up the site by the owner or the government, and reasonable efforts have already been taken to prevent the dumping. SEP funds shall be used for the direct cost of collection and disposal of the trash and debris.				43,880.00	43,880.00	
WQ	37578	City of Palestine	12/18/2009	1.00:1	28,700.00	5,740.00	0.00	22,960.00	22,960.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide local residents with a means of properly disposing household hazardous wastes such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers in one day collection events. SEP monies will be used to pay for the associated labor, materials, and disposal costs. Citizens will not be charged disposal fees. The project is administered in accordance with TCEQ guidance on household hazardous waste and in compliance with federal, state, and local environmental laws and regulations. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs.	22,960.00	22,960.00					
WQ	37784	City of Fort Worth	11/13/2009	1.00:1	6,250.00	0.00	0.00	6,250.00	6,250.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to cleanup rivers, lakes, and shorelines, by supplying project coordination, labor, supplies, and materials for cleanup events and by providing assistance with disposal fees for proper disposal of wastes collected at events. To maximize the event, cleanups will use volunteers for labor. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	6,250.00	6,250.00					
WQ	37169	Peaster Independent School District Public Facility Corporation	02/07/2010	1.00:1	31,460.00	0.00	0.00	31,460.00	31,460.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.	31,460.00	31,460.00					
WQ	37614	Round Rock Independent School District	10/18/2009	1.00:1	7,000.00	1,400.00	0.00	5,600.00	5,600.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	5,600.00	5,600.00					
WQ	37826	Texas Parks and Wildlife Department	02/07/2010	1.00:1	5,040.00	1,008.00	0.00	4,032.00	4,032.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	ENVIRONMENTAL RESTORATION	The Respondent proposes to repair a gated weir in the Santa Anna Bayou marsh at the San Jacinto Battleground State Park that was damaged by Hurricane Ike in September 2008. The purpose of the weir is to prevent entry into the marsh of discharges from upstream industrial complexes located along the Phillips ditch which is used primarily for surface runoff of rain water. Occasionally a chemical spill may occur within the industrial complexes which may migrate into the Phillips ditch and empty into Santa Anna's bayou and eventually into the park's marsh. The Respondent shall utilize a contractor to provide heavy equipment to complete the highly specialized work.	4,032.00	4,032.00
WQ	37611 Skidmore Water Supply Corporation	10/04/2009 1.00:1 3,090.00 618.00 0.00	2,472.00	2,472.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to cleanup rivers, lakes, and shorelines, by supplying project coordination, labor, supplies, and materials for cleanup events and by providing assistance with disposal fees for proper disposal of wastes collected at events. To maximize the event, cleanups will use volunteers for labor. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	2,472.00	2,472.00
WQ	38159 Alloy Polymers Orange LLC	03/08/2010 1.00:1 13,300.00 2,660.00 5,320.00	5,320.00	5,320.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.	5,320.00	5,320.00
WQ	38254 Vam USA LLC	03/20/2010 1.00:1 33,280.00 0.00 16,640.00	16,640.00	16,640.00
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Gulf Coast Waste Disposal Authority to be used for the River, Lakes, Bays, and Bayous Trash Bash Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will be used to pay for materials, supplies, disposal cost and transportation cost directly associated with the clean up of trash and pollutants from the Galveston Bay and waterways in the San Jacinto watershed.	16,640.00	16,640.00
WQ	38053 Southwest Shipyard, L.P.	05/09/2010 1.00:1 72,385.00 0.00 36,193.00	36,192.00	36,192.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Galveston Bay Foundation to be used for the Galveston Bay Foundation "Marsh Mania" as set forth in the agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to restore shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation. This project will seek to raise elevations by scraping and terracing. Scraping involves the use of earthmoving equipment to grade an eroded, bluff-like shoreline so that it slopes gradually towards the water resulting in the ability of plant growth. Terracing uses earthmoving equipment to create small levees by burrowing and stacking sediment in shallow water. The high elevation can then be planted with emergent vegetation. SEP monies will be used to pay for the direct costs of the implementation of the project, including material, equipment, and labor costs.	36,192.00	36,192.00					
WQ	38502	Red River Authority of Texas	02/22/2010	1.00:1	1,570.00	314.00	0.00	1,256.00	1,256.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful to be used for the Texas Waterways Cleanup Program. Specifically, the contribution will be used to cleanup rivers, lakes, and shorelines, by supplying project coordination, labor, supplies, and materials for cleanup events and by providing assistance with disposal fees for proper disposal of wastes collected at events. To maximize the event, cleanups will use volunteers for labor. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	1,256.00	1,256.00					
WQ	38655	City of Palmer	06/12/2010	1.00:1	9,430.00	0.00	0.00	9,430.00	9,430.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide local residents with a means of properly disposing household hazardous wastes such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers in one day collection events. SEP monies will be used to pay for the associated labor, materials, and disposal costs. Citizens will not be charged disposal fees. The project is administered in accordance with TCEQ guidance on household hazardous waste and in compliance with federal, state, and local environmental laws and regulations. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs.	9,430.00	9,430.00					
WQ	38503	City of Maypearl	04/11/2010	1.00:1	17,730.00	0.00	0.00	17,730.00	17,730.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful for the Stop Trashing Texas project as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will pay for the labor and disposal costs associated with cleanup of unauthorized trash dumps by supplying project coordination, labor, supplies, and materials for clean up events statewide. SEP funds used for this purpose shall be limited to the actual direct costs for the specific purpose necessary to meet the requirements of the SEP and no portion of the SEP funds will be spent on administrative costs related to this portion of the SEP. Trash dump cleanups may be coordinated with waste collection facilities and/or local governments to employ heavy machinery for the removal of large waste items. To the maximum extent possible, cleanups shall be accomplished with the use of volunteers from community groups, private companies, schools, and youth organizations. SEP Funds may be used for activities. This project will be administered in accordance with federal, state, and local environmental laws and regulations.	17,730.00	17,730.00					
WQ	37552	City of San Benito	11/27/2009	1.00:1	21,790.00	4,358.00	0.00	17,432.00	17,432.00
	POLLUTION PREVENTION	The Respondent will contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the Third-Party Recipient will provide low income homeowners with failing on-site wastewater treatment systems or no system at all, with technical and financial assistance to install, repair, or replace those water and wastewater sewage systems. To reduce costs, the Third-Party Recipient teams with low income homeowners to solve water and wastewater problems by working together to build water and wastewater infrastructures. SEP contributions are to be used for material and labor costs.	17,432.00	17,432.00					
WQ	38358	City of Fairfield	06/04/2010	1.00:1	9,970.00	1,994.00	0.00	7,976.00	7,976.00
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Unauthorized Trash Dump Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	7,976.00	7,976.00					
WQ	38011	City of La Grange	03/08/2010	1.00:1	4,100.00	820.00	0.00	3,280.00	3,280.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent will contribute to Lower Colorado River Authority ("LCRA") Household Hazardous Waste and Reusable Materials Collection. The contribution will be used in accordance with the Supplemental Environmental Project Agreement between the Lower Colorado River Authority and the Texas Commission on Environmental Quality. SEP monies will be used to sponsor household hazardous waste collection events, battery collection events, used oil collection events, paint collection events, and tire collection events in conjunction with local city and county governments through the LCRA Statutory District. These collection events provide citizens with a safe and convenient opportunity to properly dispose of household hazardous wastes such as: paint, tires, pesticides, motor oil, oil filters, antifreeze, batteries, and household cleaners. Citizens will be encouraged to reuse unused portions of properly labeled and handled chemical products (i.e. fertilizers, paints, and some pesticides/herbicides) through the reusable materials exchange. SEP monies will be used by LCRA to supplement scheduled events, and to add additional collection events. The LCRA has not previously budgeted for these supplemental, additional, or special collection events and will not budget for them.	3,280.00	3,280.00					
WQ	37811	City of Corpus Christi	01/28/2010	1.00:1	7,300.00	0.00	0.00	7,300.00	7,300.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.	7,300.00	7,300.00					
WQ	37757	City of Rio Grande City	06/18/2010	1.00:1	25,686.00	5,137.00	0.00	20,549.00	20,549.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	20,549.00	20,549.00					
WQ	38976	City of Alto	06/04/2010	1.00:1	4,760.00	952.00	0.00	3,808.00	3,808.00
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	3,808.00	3,808.00					
WQ	36218	Texas Petrochemicals LP *	11/13/2009	1.00:1	39,928.00	7,985.00	15,972.00	15,971.00	15,971.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. The project will use dredge spoil material to restore eight miles of shoreline within the Texas Point National Wildlife Refuge in Jefferson County, Sabine Pass, Texas. SEP monies will be used for the installation of an estimated 2,000,000 cubic yards of dredge spoil material along Sabine-Neches Waterway, as well as building up the jetties along the entrance to the Sabine Pass region and adding additional granite and dredge materials, which include rocks that will increase the base width and total height. Jefferson County Waterway and Navigation District will do sampling of the dredge spoilage beforehand to determine if human or environmental receptors will be adversely affected.	15,971.00	15,971.00					
WQ	37589	City of Reno (Lamar Co)	10/31/2009	1.00:1	12,015.00	2,403.00	0.00	9,612.00	9,612.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.	9,612.00	9,612.00					
WQ	38133	City of Madisonville	03/08/2010	1.00:1	4,425.00	885.00	0.00	3,540.00	3,540.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.	3,540.00	3,540.00					
WQ	38368	City of Galveston	05/09/2010	1.00:1	5,400.00	1,080.00	0.00	4,320.00	4,320.00
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Galveston Bay Foundation to be used for the Galveston Bay Foundation "Marsh Mania" as set forth in the agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to restore shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation. This project will seek to raise elevations by scraping and terracing. Scraping involves the use of earthmoving equipment to grade an eroded, bluff-like shoreline so that it slopes gradually towards the water resulting in the ability of plant growth. Terracing uses earthmoving equipment to create small levees by burrowing and stacking sediment in shallow water. The high elevation can then be planted with emergent vegetation. SEP monies will be used to pay for the direct costs of the implementation of the project, including material, equipment, and labor costs.	4,320.00	4,320.00					
WQ	36856	City of San Marcos	09/21/2009	1.00:1	2,140.00	428.00	0.00	1,712.00	1,712.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	This project involves using the quarry to capture and hold diverted storm flow until it can be absorbed or recharged into the aquifer. It has been estimated that the quarry could divert up to 275 acre feet of storm runoff into the aquifer annually. This would help protect against low or cessation of flow to Barton Springs, which is comprised of four springs that provide the only known habitat for two species of Plethodontid salamanders, Eurycea sosorum, the Barton Springs Salamander, and Eurycea waterlooensis, the Austin Blind Salamander. Eurycea sosorum was federally listed as endangered in 1998 and E. waterlooensis is being evaluated for listing by the United States Fish and Wildlife Service. Additionally, the quarry is expected to attract nesting ducks and other waterfowl through mudflats and shallow water retention areas.	1,712.00	1,712.00					
WQ	37452	Harris County Municipal District 148	11/13/2009	1.00:1	21,650.00	4,330.00	0.00	17,320.00	17,320.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.						17,320.00	17,320.00
WQ	39130	Akzo Nobel Chemicals Inc. *	08/30/2010	1.00:1	33,875.00	6,775.00	13,550.00	13,550.00	13,550.00
	POLLUTION PREVENTION	The Respondents shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Galveston Bay Foundation to be used for the Galveston Bay Foundation "Marsh Mania" as set forth in the agreement between the Third-Party Recipient and the TCEQ. SEP monies will be used to restore shoreline elevations, growing plants for shoreline restoration, and planting new habitat. Historic subsidence and erosion have resulted in shoreline elevations which are too low to support vegetation. This project will seek to raise elevations by scraping and terracing. Scraping involves the use of earthmoving equipment to grade an eroded, bluff-like shoreline so that it slopes gradually towards the water resulting in the ability of plant growth. Terracing uses earthmoving equipment to create small levees by burrowing and stacking sediment in shallow water. The high elevation can then be planted with emergent vegetation. SEP monies will be used to pay for the direct costs of the implementation of the project, including material, equipment, and labor costs.						13,550.00	13,550.00
WQ	37564	City of Valley View	11/27/2009	1.00:1	15,470.00	3,094.00	0.00	12,376.00	12,376.00
	POLLUTION REDUCTION	The Respondent shall provide first-time wastewater service to at least two low-income residents with failing or inadequately designed septic systems. SEP monies will be used for the equipment, labor, and other necessary direct costs to install sewer lines, a manhole, a pumping station, and to properly decommission the existing residential septic systems.						12,376.00	12,376.00
WQ	38073	Draper Construction & Land Development, LLC	02/22/2010	1.00:1	16,050.00	0.00	8,025.00	8,025.00	8,025.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to the Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	8,025.00	8,025.00					
WQ	39118	City of East Tawakoni	08/09/2010	1.00:1	7,895.00	1,579.00	0.00	6,316.00	6,316.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide local residents with a means of properly disposing household hazardous wastes such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers in one day collection events. SEP monies will be used to pay for the associated labor, materials, and disposal costs. Citizens will not be charged disposal fees. The project is administered in accordance with TCEQ guidance on household hazardous waste and in compliance with federal, state, and local environmental laws and regulations. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs.  The Respondent certifies that there is no prior commitment to do this project and that it is being performed solely in an effort to settle this enforcement action.	6,316.00	6,316.00					
WQ	37671	Kleinwood Joint Powers Board	02/07/2010	1.00:1	18,650.00	0.00	0.00	18,650.00	18,650.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will be used to pay for materials, supplies, disposal cost and transportation cost directly associated with the clean up of trash and pollutants from the Galveston Bay and waterways in the San Jacinto watershed.	18,650.00	18,650.00					
WQ	37374	City of Grapevine	10/04/2009	1.00:1	3,750.00	0.00	0.00	3,750.00	3,750.00
	POLLUTION PREVENTION	The Respondent shall hold a collection event to collect, properly dispose, or recycle household non-hazardous materials and electronics. Items to be collected will include, but not limited to, used motor oil, batteries, pesticides, antifreeze, televisions and computers. The Respondent shall offer a convenient drop off location at no cost to the public. The event will be advertised in a local newspaper to increase public awareness of and participation in the event. The Respondent shall use a qualified contractor to properly dispose of used oil, household chemicals, and electronics.	3,750.00	3,750.00					
WQ	37587	The Devereux Foundation	10/04/2009	1.00:1	4,160.00	832.00	0.00	3,328.00	3,328.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION		The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to cleanup rivers, lakes, and shorelines, by supplying project coordination, labor, supplies, and materials for cleanup events and by providing assistance with disposal fees for proper disposal of wastes collected at events. To maximize the event, cleanups will use volunteers for labor. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					3,328.00	3,328.00
WQ	37412	Texas Department of Transportation	09/21/2009	1.00:1	8,010.00	1,602.00	0.00	6,408.00	6,408.00
	POLLUTION PREVENTION		The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.					6,408.00	6,408.00
WQ	37576	Victoria County Wcid 1	11/13/2009	1.00:1	34,676.00	0.00	0.00	34,676.00	34,676.00
	POLLUTION PREVENTION		The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. An environmental restoration project to improve and maintain the wetland habitat of the Myrtle Foester-Whitmire Unit of the Aransas National Wildlife Refuge (Refuge) for waterfowl and shorebirds. The Guadalupe-Blanco River Trust, Guadalupe-Blanco River Authority (GBRA), and the Aransas National Wildlife Refuge (Refuge) are engaging in a cooperative program to provide a more reliable supply of water to the Myrtle Foester-Whitmire Unit and to improve the efficiency of the delivery of freshwater to and within the Unit.					34,676.00	34,676.00
WQ	37870	North Texas District Council Assemblies of God	02/22/2010	1.00:1	21,423.00	4,284.00	0.00	17,139.00	17,139.00
	POLLUTION PREVENTION		The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.					17,139.00	17,139.00
WQ	37241	Harris County Municipal Utility District 109	09/21/2009	1.00:1	10,000.00	0.00	0.00	10,000.00	10,000.00
	POLLUTION PREVENTION		The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will be used to pay for materials, supplies, disposal cost and transportation cost directly associated with the clean up of trash and pollutants from the Galveston Bay and waterways in the San Jacinto watershed.					10,000.00	10,000.00
WQ	38248	City of Robinson	04/25/2010	1.00:1	5,100.00	0.00	0.00	5,100.00	5,100.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful to be used for the Texas Waterways Cleanup Program. Specifically, the contribution will be used to cleanup rivers, lakes, and shorelines, by supplying project coordination, labor, supplies, and materials for cleanup events and by providing assistance with disposal fees for proper disposal of wastes collected at events. To maximize the event, cleanups will use volunteers for labor. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	5,100.00	5,100.00					
WQ	37854	Texas H2O, Inc.	02/07/2010	1.00:1	9,280.00	1,856.00	3,712.00	3,712.00	3,712.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	3,712.00	3,712.00					
WQ	37745	LCY Elastomers, L.P.	11/13/2009	1.00:1	24,125.00	4,825.00	9,650.00	9,650.00	9,650.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. Trash Bash is an annual event that entails the use of volunteers to clean up trash and pollutants from Galveston Bay and waterways in the San Jacinto watershed. The project has resulted in the removal of an average of 141.7 tons of trash and 729 abandoned tires annually from these waterways. SEP monies will be used to pay for materials, supplies, disposal cost and transportation cost directly associated with the clean up of trash and pollutants from the Galveston Bay and waterways in the San Jacinto watershed.	9,650.00	9,650.00					
WQ	38869	City of San Marcos	07/11/2010	1.00:1	4,750.00	950.00	0.00	3,800.00	3,800.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Wenzel Tract Quarry is on an 85-acre property in Hays County, acquired by the Hill Country Conservancy and City of Austin to serve as an aquifer enhancement area for the Edwards Aquifer.  This project involves using the quarry to capture and hold diverted storm flow until it can be absorbed or recharged into the aquifer. It has been estimated that the quarry could divert up to 275 acre feet of storm runoff into the aquifer annually. This would help protect against low or cessation of flow to Barton Springs, which is comprised of four springs that provide the only known habitat for two species of Plethodontid salamanders, Eurycea sosorum, the Barton Springs Salamander, and Eurycea waterlooensis, the Austin Blind Salamander. Eurycea sosorum was federally listed as endangered in 1998 and Eurycea waterlooensis is being evaluated for listing by the United States Fish and Wildlife Service. Additionally, the quarry is expected to attract nesting ducks and other waterfowl through mudflats and shallow water retention areas.  Performing Party shall use SEP Funds on the property to: 1) Remove invasive plants; 2) provide native plantings; 3) perform water sampling and analysis; 4) create mudflats and shallow water retention areas; 5) provide nesting structures for birds; 6) restore and enhance wetlands; and 7) construct diversion channel to capture storm flow for aquifer recharge enhancement.					3,800.00	3,800.00	
WQ	37772	Texas Department of Transportation	12/18/2009	1.00:1	5,140.00	1,028.00	0.00	4,112.00	4,112.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.						4,112.00	4,112.00
WQ	38135	LA FONTANA SPRINGS LLC	01/28/2010	1.00:1	13,000.00	2,600.00	5,200.00	5,200.00	5,200.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.  The Respondent certifies that there is no prior commitment to do this project and that it is being performed solely in an effort to settle this enforcement action.						5,200.00	5,200.00
WQ	37931	North Texas Municipal Water District	01/28/2010	1.00:1	5,600.00	1,120.00	0.00	4,480.00	4,480.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to cleanup rivers, lakes, and shorelines, by supplying project coordination, labor, supplies, and materials for cleanup events and by providing assistance with disposal fees for proper disposal of wastes collected at events. To maximize the event, cleanups will use volunteers for labor. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	4,480.00	4,480.00					
WQ	37216	St. Francis Village, Inc.	10/04/2009	1.00:1	6,680.00	1,336.00	0.00	5,344.00	5,344.00
	CLEAN UP	The Respondent shall hold a minimum of two one-day waterway cleanup events surrounding Lake Benbrook for the collection, recycling, and proper disposal of improperly disposed debris and wastes. The Respondent shall coordinate the events with the Corps of Engineers and shall use volunteers from the community, including a local Boy Scout Troop, to accomplish the events. Participating Boy Scouts may work toward earning merit badges for citizenship in the community, environmental science, and/or water conservation. Volunteers will collect and properly dispose of or recycle wastes surrounding Lake Benbrook shorelines. The events will be advertised in a local newspaper to increase public awareness and participation.	5,344.00	5,344.00					
WQ	36157	City of Kenedy	01/28/2010	1.00:1	4,075.00	0.00	0.00	4,075.00	4,075.00
	CLEAN UP	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.	4,075.00	4,075.00					
WQ	37055	Reynolds & Kay, Ltd.	09/21/2009	1.00:1	26,450.00	0.00	13,225.00	13,225.00	13,225.00
	ENVIRONMENTAL ENHANCEMENT	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the Third-Party Recipient shall repair or replace failing water systems or on-site wastewater systems for low income homeowners. The Third-Party Recipient shall use SEP funds to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems. The Third-Party recipient shall use a consistent and documented system for determining eligible participants.	13,225.00	13,225.00					
WQ	38859	Parker Pearson, L.P.	06/26/2010	1.00:1	20,000.00	4,000.00	8,000.00	8,000.00	8,000.00
	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Water or Wastewater Treatment Assistance Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide low income rural homeowners with assistance to enable the repair or replacement of their failing on-site wastewater systems. SEP monies will be used to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems.	8,000.00	8,000.00					
WQ	38951	City of Fort Worth	08/09/2010	1.00:1	7,550.00	0.00	0.00	7,550.00	7,550.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION		The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful for the Stop Trashing Texas project as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will pay for the labor and disposal costs associated with cleanup of unauthorized trash dumps by supplying project coordination, labor, supplies, and materials for clean up events statewide. SEP funds used for this purpose shall be limited to the actual direct costs for the specific purpose necessary to meet the requirements of the SEP and no portion of the SEP funds will be spent on administrative costs related to this portion of the SEP. Trash dump cleanups may be coordinated with waste collection facilities and/or local governments to employ heavy machinery for the removal of large waste items. To the maximum extent possible, cleanups shall be accomplished with the use of volunteers from community groups, private companies, schools, and youth organizations. SEP Funds may be used for activities. This project will be administered in accordance with federal, state, and local environmental laws and regulations.				7,550.00	7,550.00	
WQ	38786	City of Dallas	08/30/2010	1.00:1	9,100.00	0.00	0.00	9,100.00	9,100.00
	POLLUTION PREVENTION		The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful for the Stop Trashing Texas project as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will pay for the labor and disposal costs associated with cleanup of unauthorized trash dumps by supplying project coordination, labor, supplies, and materials for clean up events statewide. SEP funds used for this purpose shall be limited to the actual direct costs for the specific purpose necessary to meet the requirements of the SEP and no portion of the SEP funds will be spent on administrative costs related to this portion of the SEP. Trash dump cleanups may be coordinated with waste collection facilities and/or local governments to employ heavy machinery for the removal of large waste items. To the maximum extent possible, cleanups shall be accomplished with the use of volunteers from community groups, private companies, schools, and youth organizations. SEP Funds may be used for activities. This project will be administered in accordance with federal, state, and local environmental laws and regulations.				9,100.00	9,100.00	
WQ	37819	City Public Service Of San Antonio	02/22/2010	1.00:1	5,350.00	1,070.00	0.00	4,280.00	4,280.00
	POLLUTION PREVENTION		The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas State University for the Continuous Water Quality Monitoring Network in Bell County as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP monies will be used by the Recipient to install and/or support a continuous monitoring station that will collect water quality data every fifteen (15) minutes and report data to the TCEQ LEADS system every hour using cellular telemetry. The following water quality parameters will be measured: dissolved oxygen, temperature, specific conductance, pH, turbidity, and water level.				4,280.00	4,280.00	
WQ	38948	TXI Operations, LP	07/11/2010	1.00:1	9,180.00	1,836.00	3,672.00	3,672.00	3,672.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful for the Stop Trashing Texas project as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will pay for the labor and disposal costs associated with cleanup of unauthorized trash dumps by supplying project coordination, labor, supplies, and materials for clean up events statewide. SEP funds used for this purpose shall be limited to the actual direct costs for the specific purpose necessary to meet the requirements of the SEP and no portion of the SEP funds will be spent on administrative costs related to this portion of the SEP. Trash dump cleanups may be coordinated with waste collection facilities and/or local governments to employ heavy machinery for the removal of large waste items. To the maximum extent possible, cleanups shall be accomplished with the use of volunteers from community groups, private companies, schools, and youth organizations. SEP Funds may be used for activities. This project will be administered in accordance with federal, state, and local environmental laws and regulations.	3,672.00	3,672.00					
WQ	38661	City of Krum	05/09/2010	1.00:1	4,860.00	972.00	0.00	3,888.00	3,888.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful for the Stop Trashing Texas project as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will pay for the labor and disposal costs associated with cleanup of unauthorized trash dumps by supplying project coordination, labor, supplies, and materials for clean up events statewide. SEP funds used for this purpose shall be limited to the actual direct costs for the specific purpose necessary to meet the requirements of the SEP and no portion of the SEP funds will be spent on administrative costs related to this portion of the SEP. Trash dump cleanups may be coordinated with waste collection facilities and/or local governments to employ heavy machinery for the removal of large waste items. To the maximum extent possible, cleanups shall be accomplished with the use of volunteers from community groups, private companies, schools, and youth organizations. SEP Funds may be used for activities. This project will be administered in accordance with federal, state, and local environmental laws and regulations.	3,888.00	3,888.00					
WQ	38313	City of Big Spring	05/09/2010	1.00:1	7,290.00	1,458.00	0.00	5,832.00	5,832.00
	CLEAN UP	The Respondent shall perform one or more cleanups of unauthorized trash and tire dumpsites in Howard County. The number of sites to be cleaned will be dependent upon the cost to clean each site. The Respondent shall spend at least the SEP Offset Amount and shall complete cleanup of at least one site. The Respondent shall ensure that it recycles, where possible, recyclable items removed from the sites. Eligible sites will be those where a responsible party cannot be found or is unable to clean the site and where reasonable efforts have been made to prevent the dumping. The Respondent shall post signs or implement other measures to ensure that the property owner implements measures to prevent future occurrences of illegal dumping. The Respondent shall ensure that cleanup areas lacking vegetation after completion of the cleanup shall be planted with native plants or vegetation to prevent erosion and resulting siltation.	5,832.00	5,832.00					
WQ	37682	San Antonio Water System	01/28/2010	1.00:1	46,000.00	0.00	0.00	46,000.00	46,000.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION		The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Texas State University for the Continuous Water Quality Monitoring Network in Bell County as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP monies will be used by the Recipient to install and/or support a continuous monitoring station that will collect water quality data every fifteen (15) minutes and report data to the TCEQ LEADS system every hour using cellular telemetry. The following water quality parameters will be measured: dissolved oxygen, temperature, specific conductance, pH, turbidity, and water level.				46,000.00	46,000.00	
WQ	37993	City of Wellman	02/07/2010	1.00:1	2,725.00	545.00	0.00	2,180.00	2,180.00
	POLLUTION PREVENTION		The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.				2,180.00	2,180.00	
WQ	37520	City of Teague	09/21/2009	1.00:1	7,462.00	1,492.00	0.00	5,970.00	5,970.00
	POLLUTION PREVENTION		The Respondent shall contribute the SEP Amount to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to provide local residents with a means of properly disposing household hazardous wastes such as paint, thinners, pesticides, oil and gas, corrosive cleaners, and fertilizers in one day collection events. SEP monies will be used to pay for the associated labor, materials, and disposal costs. Citizens will not be charged disposal fees. The project is administered in accordance with TCEQ guidance on household hazardous waste and in compliance with federal, state, and local environmental laws and regulations. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs.				5,970.00	5,970.00	
WQ	37405	Texas Department of Criminal Justice	09/21/2009	1.00:1	3,360.00	672.00	0.00	2,688.00	2,688.00
	POLLUTION PREVENTION		The Respondent will contribute to Brazoria County to provide assistance to low income homeowners to repair or replace failing or inadequately designed On-Site Sewage Facilities ("OSSFs") within the county. Many of the OSSFs currently in operation in Brazoria County are substandard and result in the release of sewage in residential areas, public rights-of-way, and waterways.  Brazoria County shall not charge homeowners for any portion of the cost of the project paid for by SEP funds.				2,688.00	2,688.00	
WQ	37512	City of Wellman	11/13/2009	1.00:1	6,720.00	1,344.00	0.00	5,376.00	5,376.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	5,376.00	5,376.00					
WQ	38367	Webb County	04/25/2010	1.00:1	4,543.00	0.00	0.00	4,543.00	4,543.00
	CLEAN UP	RC&D will coordinate with local city and county government officials to clean-up sites where tires have been disposed of illegally. Contributions will be used to clean up illegal tire sites. Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner or government. SEP monies will be used for the direct cost of collection and disposal of debris and tires.	4,543.00	4,543.00					
WQ	39030	Texas Department of Criminal Justice	08/21/2010	1.00:1	1,880.00	376.00	0.00	1,504.00	1,504.00
	POLLUTION PREVENTION	The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Keep Texas Beautiful for the Stop Trashing Texas project as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, SEP funds will pay for the labor and disposal costs associated with cleanup of unauthorized trash dumps by supplying project coordination, labor, supplies, and materials for clean up events statewide. SEP funds used for this purpose shall be limited to the actual direct costs for the specific purpose necessary to meet the requirements of the SEP and no portion of the SEP funds will be spent on administrative costs related to this portion of the SEP. Trash dump cleanups may be coordinated with waste collection facilities and/or local governments to employ heavy machinery for the removal of large waste items. To the maximum extent possible, cleanups shall be accomplished with the use of volunteers from community groups, private companies, schools, and youth organizations. SEP Funds may be used for activities. This project will be administered in accordance with federal, state, and local environmental laws and regulations.	1,504.00	1,504.00					
WQ	37618	Stallion Oilfield Services Ltd.	11/13/2009	1.00:1	7,360.00	1,472.00	2,944.00	2,944.00	2,944.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to coordinate with city and/or county governmental officials regarding the clean up of sites where trash has been disposed of illegally. Eligible sites will be limited to those where a responsible party can not be identified and where there is no pre-existing obligation to clean up the site by the owner or the government. Additionally, reasonable efforts must have already been taken to prevent the dumping. SEP monies will be used to pay for the direct cost of collection and disposal of debris. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	2,944.00	2,944.00					
WQ	38682	City of Santa Rosa	06/26/2010	1.00:1	3,125.00	625.00	0.00	2,500.00	2,500.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

	POLLUTION PREVENTION	The Respondent shall contribute to the SEP offset amount to the Third-Party Recipient named above. The contribution will be to Texas Association of Resource Conservation and Development Areas, Inc. to be used for the RC&D Abandoned Tire Clean-Up Program as set forth in an agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	2,500.00	2,500.00					
WQ	37500	City of Sabinal	10/31/2009	1.00:1	5,540.00	0.00	0.00	5,540.00	5,540.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.	5,540.00	5,540.00					
WQ	37910	City of Bridgeport	02/22/2010	1.00:1	12,000.00	2,400.00	0.00	9,600.00	9,600.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the Third-Party Recipient shall repair or replace failing water systems or on-site wastewater systems for low income homeowners. The Third-Party Recipient shall use SEP funds to pay for the labor and materials costs related to repairing or replacing the failing systems. The recipients will not be charged for the cost of replacing or repairing the failing systems. The Third-Party recipient shall use a consistent and documented system for determining eligible participants.	9,600.00	9,600.00					
WQ	37982	City of Brazoria	02/22/2010	1.00:1	38,420.00	0.00	0.00	38,420.00	38,420.00
	POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Brazoria County shall provide assistance to low-income homeowners to repair or replace failing or inadequately designed On-Site Sewage Facilities ("OSSFs") within the county. Many of the OSSFs currently in operation in Brazoria County are substandard and result in the release of sewage in residential areas, public rights-of-way, and waterways.	38,420.00	38,420.00					
WQ	37792	Warren Independent School District	11/13/2009	1.00:1	4,400.00	880.00	0.00	3,520.00	3,520.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Single Program

POLLUTION PREVENTION		The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to aid local school districts, area transit agencies, and local governments in need of funding assistance to pay for the cost of the following activities to reduce emissions: 1) replacing older diesel buses with alternative fuelled or clean diesel buses; or 2) retrofitting older diesel buses with new, cleaner technology. The funds will be disbursed on a needs-rated basis, using non-attainment area status, condition of buses, and economic status of the recipient as possible rating factors if competition for the funds exists. To maximize funds, retrofitting will take priority over replacement of buses. Older buses deemed not suitable for retrofitting will be permanently retired and sold only for scrap.	3,520.00	3,520.00					
WQ	39113	City of Nome	07/11/2010	1.00:1	21,510.00	4,302.00	0.00	17,208.00	17,208.00
POLLUTION PREVENTION		The Respondent shall contribute the SEP Offset Amount to the Third-Party Recipient named above. The contribution will be to Jefferson County Government to be used for the Cheek Community First Time Sewer Service for Low Income Home Owners project. Specifically, SEP monies will be used to provide first time sewer service for low-income residential customers in the Candlelight and Martel Subdivisions (Cheek Community) located in the western area of Jefferson County. This area has open sewage pits or poorly maintained septic systems which are a hazard to human health and the environment. SEP Funds will be used to install wastewater infrastructure and a low pressure sewer system consisting of grinder pump stations located at each home. The project will be administered in accordance with federal, state, and local environmental laws and regulations.	17,208.00	17,208.00					
<b>Count of Single Program Orders: 214</b>			<b>Total:</b>	6,941,607.00	743,658.00	2,694,619.00	1,503,330.00	1,503,330.00	

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Multi-Media Program

Date Range Selected : 09/01/2009 - 08/31/2010

PGM	Case No.	Respondent Name	Effective Date	Ratio	Assessed Amt	Deferred Amt	Payable Amt	SEP Cost	SEP Offset
MM	37478	City of Brady	10/04/2009	1.00:1	3,775.00	0.00	0.00	3,775.00	3,775.00
		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					3,775.00	3,775.00
MM	37011	A-1 LUFKIN RENTAL CENTER, INC.	11/27/2009	1.00:1	3,250.00	0.00	1,625.00	1,625.00	1,625.00
		CLEAN UP	Angelina Beautiful Clean (AB/C) is an affiliate member of Keep Texas Beautiful and sponsors cleanups throughout Angelina County, participates in the Texas Recycles Day, and provides information to the public about recycling and the benefits to maintaining a clean and healthy environment. Cleanup events will collect and properly dispose of illegally dumped waste in Angelina County.  Eligible sites will be limited to areas where a responsible party can not be identified and where there is no preexisting obligation to clean up the site by the owner.					1,625.00	1,625.00
MM	37518	City Of Robert Lee	10/24/2009	1.00:1	11,174.00	0.00	0.00	11,174.00	11,174.00
		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used to clean-up sites where tires have been disposed of illegally. Eligible sites will be limited to those where a responsible party cannot be found and where reasonable efforts have been made to prevent the dumping. SEP monies will be used to pay for the direct cost of collecting and disposing of tires. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					11,174.00	11,174.00
MM	38329	Irion County	03/20/2010	1.00:1	1,900.00	380.00	0.00	1,520.00	1,520.00
		POLLUTION PREVENTION	The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used purchase equipment for activities related to recycling efforts at the Citizen's Recycling Center. The center is open to the general public and currently accepts glass, plastics, e-waste, paper, aluminium, mixed metals, appliances, oil and filters, and batteries. All dollars contributed will be used solely for the direct cost of the project and no portion will be spent on administrative costs. The SEP will be done in accordance with all federal, state and local environmental laws and regulations.					1,520.00	1,520.00
MM	36653	US Ecology Texas, Inc.	10/18/2009	1.00:1	92,650.00	18,530.00	37,060.00	37,060.00	37,060.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 12: List of SEP's & SEP Projects - FY2010

### Multi-Media Program

ENVIRONMENTAL ENHANCEMENT	<p>The Respondent shall contribute to the Third-Party Recipient pursuant to the agreement between the Third-Party Recipient and the TCEQ. Specifically, the contribution will be used for the Colonial Waterbird Rookery Island Enhancement Project. Shamrock and Causeway Islands are important rookery islands with heavy bird usage that are experiencing significant loss of wetland and rookery habitat due to dredging activities and erosion from waves and ships. SEP monies will be used to pay for the labor and material costs associated with preventing erosion in the unprotected areas of the islands and restoring parts of the islands that have suffered from erosion. The project will protect critical bird habitat and also enhance the wetlands.</p>	37,060.00	37,060.00		
<b>Count of Multi-Media Program Orders: 5</b>	Total: 112,749.00	18,910.00	38,685.00	55,154.00	55,154.00
<b>Grand Total Count of Orders: 219</b>	Grand Total: 7,054,356.00	762,568.00	2,733,304.00	3,558,484.00	3,558,484.00

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 13: List of Court Orders Issued FY2015

### Singles Programs

Date Range Selected : 09/01/2014 - 08/31/2015

Order Types Selected : BANKRUPTCY, CONTEMPT, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No.	Respondent Name	TCEQ ID	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Cost	SEP Offset	Attorney Fees	Court Costs
AQ	48078	Jagger Industries, LLC *	HGA084F	HARRIS	11/26/2014	50,000.00	0.00	50,000.00	0.00	0.00	4,000.00	0.00
<b>Subtotal for AQ: 1</b>						<b>50,000.00</b>	<b>0.00</b>	<b>50,000.00</b>	<b>0.00</b>	<b>0.00</b>	<b>4,000.00</b>	<b>0.00</b>
DIST	47735	McMullen County Water Control & Improvement District No. 1	5795000	MCMULLEN	11/6/2014	5,000.00	0.00	5,000.00	0.00	0.00	3,500.00	0.00
<b>Subtotal for DIST: 1</b>						<b>5,000.00</b>	<b>0.00</b>	<b>5,000.00</b>	<b>0.00</b>	<b>0.00</b>	<b>3,500.00</b>	<b>0.00</b>
IHW	1677	United Casing Incorporated	TXR000032581	NUECES	9/11/2014	41,500.00	0.00	41,500.00	0.00	0.00	8,500.00	0.00
IHW	43596	POND SITE *		HARRIS	3/31/2015	10,000,000.00	0.00	10,000,000.00	0.00	0.00	866,000.00	0.00
<b>Subtotal for IHW: 2</b>						<b>10,041,500.00</b>	<b>0.00</b>	<b>10,041,500.00</b>	<b>0.00</b>	<b>0.00</b>	<b>874,500.00</b>	<b>0.00</b>
IHWN P	48485	Con-way Freight Inc. *	LGL107054215	HUNT	8/25/2015	3,774.50	0.00	3,774.50	0.00	0.00	3,774.50	0.00
<b>Subtotal for IHWNP: 1</b>						<b>3,774.50</b>	<b>0.00</b>	<b>3,774.50</b>	<b>0.00</b>	<b>0.00</b>	<b>3,774.50</b>	<b>0.00</b>
MSW	46602	SKM Recycling, Inc.		ECTOR	9/25/2014	7,700.00	0.00	7,700.00	0.00	0.00	2,000.00	0.00
MSW	42787	Harry Hronas *		HUNT	10/13/2014	2,500.00	0.00	2,500.00	0.00	0.00	2,000.00	0.00
MSW	39142	ESPARZA RODOLFO		EL PASO	11/25/2014	0.00	0.00	0.00	0.00	0.00	0.00	0.00
MSW	35722	CAMPBELL, KENNETH *	455100067	SHELBY	1/12/2015	5,700.00	0.00	5,700.00	0.00	0.00	1,000.00	0.00
MSW	32440	FLORES, ESTUBERTO JR	455150152	HIDALGO	1/27/2015	9,225.00	0.00	9,225.00	0.00	0.00	5,000.00	0.00
MSW	42931	ALLSTATE SERVICES LTD *	R11105029227	TRAVIS	2/5/2015	600.00	0.00	600.00	0.00	0.00	2,500.00	0.00
MSW	48482	PAYNE, ROBERT HAROLD JR	UNA455130274	WILSON	2/12/2015	14,100.00	0.00	14,100.00	0.00	0.00	3,937.50	0.00
MSW	48053	HERNANDEZ, OMAR GALINDO *	LGL107016719	ECTOR	2/19/2015	1,500.00	0.00	1,500.00	0.00	0.00	1,200.00	0.00
MSW	39489	Santos Barcenas dba Tyre King Recycling	6027064	HALE	3/31/2015	80,050.00	0.00	80,050.00	0.00	0.00	4,200.00	0.00
MSW	49038	The Kroger Co. *	R12107572695	HARRIS	4/9/2015	18,000.00	0.00	18,000.00	0.00	0.00	1,000.00	0.00
MSW	42417	SMITH, ROY WAYNE	6200570	MCCULLOCH	7/17/2015	48,050.00	0.00	48,050.00	0.00	0.00	5,162.50	0.00
MSW	49133	Jerry Waller Tire Service, Incorporated *	6026486	DALLAS	7/20/2015	1,387,000.00	0.00	1,387,000.00	0.00	0.00	2,500.00	0.00
MSW	45272	DUNCAN, WILLIAM BARTLEY		NACOGDOCHES	8/3/2015	18,099.00	0.00	18,099.00	0.00	0.00	6,475.00	0.00
MSW	38751	TEMPLETON, WILLIAM	455150177	CAMERON	8/11/2015	213,920.00	0.00	213,920.00	0.00	0.00	73,500.00	0.00
MSW	38653	ACI Partners, LLC	UNA455130149	BEXAR	8/26/2015	20,000.00	0.00	20,000.00	0.00	0.00	10,000.00	0.00
MSW	41363	MOBLEY, DAVID M	455120143	WHARTON	8/26/2015	9,500.00	0.00	9,500.00	0.00	0.00	7,500.00	0.00
<b>Subtotal for MSW: 16</b>						<b>1,835,944.00</b>	<b>0.00</b>	<b>1,835,944.00</b>	<b>0.00</b>	<b>0.00</b>	<b>127,975.00</b>	<b>0.00</b>
PST	41209	MONTALVO, HUMBERTO *	67828	HARRIS	9/30/2014	14,000.00	0.00	14,000.00	0.00	0.00	4,200.00	0.00

## Singles Programs

## Appendix 13: List of Court Orders Issued FY 2015

Date Range Selected : 09/01/2014 - 08/31/2015

Order Types Selected : BANKRUPTCY, CONTEMPT, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No.	Respondent Name	TCEQ ID	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Cost	SEP Offset	Attorney Fees	Court Costs
PST	41527	GALINDO, LEOPOLDO E	19910	CRANE	2/12/2015	50,350.00	0.00	50,350.00	0.00	0.00	6,825.00	0.00
PST	40184	GATES, ROBERT N	46758	TYLER	4/14/2015	38,400.00	0.00	38,400.00	0.00	0.00	6,900.00	0.00
PST	43693	GALLOWAY, GLENN E		JEFFERSON	4/23/2015	35,137.00	0.00	35,137.00	0.00	0.00	6,300.00	0.00
PST	36734	HERNANDEZ, JAVIER	30799	HIDALGO	7/11/2015	44,850.00	0.00	44,850.00	0.00	0.00	3,000.00	0.00
PST	8389	SAYFI, JAMIL	4186	BEXAR	8/7/2015	325,969.86	0.00	325,969.86	0.00	0.00	6,625.00	0.00
PST	21940	Leo Johnson	47814	MCLENNAN	8/26/2015	145,700.00	0.00	145,700.00	0.00	0.00	5,000.00	0.00
<b>Subtotal for PST: 7</b>						<b>654,406.86</b>	<b>0.00</b>	<b>654,406.86</b>	<b>0.00</b>	<b>0.00</b>	<b>38,850.00</b>	<b>0.00</b>
PWS	43458	Shawn M. Horvath	0610243	DENTON	9/25/2014	415,817.00	0.00	415,817.00	0.00	0.00	10,000.00	0.00
PWS	6619	LUCAS, GARY M	0710034	EL PASO	10/29/2014	0.00	0.00	0.00	0.00	0.00	0.00	0.00
PWS	40039	Darrell Hall	0930063	GRIMES	12/5/2014	90,000.00	0.00	90,000.00	0.00	0.00	20,000.00	0.00
PWS	39125	Mostafa A Soliman	2370049	WALLER	1/14/2015	251,701.00	0.00	251,701.00	0.00	0.00	12,350.00	0.00
PWS	40730	SANDOVAL, JOSEPH *	0150552	BEXAR	5/14/2015	60,688.39	0.00	60,688.39	0.00	0.00	8,750.00	0.00
PWS	40200	Syll Holt	0030037	ANGELINA	7/18/2015	132,950.00	0.00	132,950.00	0.00	0.00	9,840.00	0.00
PWS	40239	Lakeside Water Co. *	0030104	ANGELINA	8/4/2015	14,300.00	0.00	14,300.00	0.00	0.00	5,700.00	0.00
PWS	7051	Fort Hancock Water Control and Improvement District	1150005	HUDSPETH	8/7/2015	0.00	0.00	0.00	0.00	0.00	0.00	0.00
PWS	41439	Triumph Christian Center, Inc	0790365	FORT BEND	8/19/2015	92,850.49	0.00	92,850.49	0.00	0.00	9,800.00	0.00
<b>Subtotal for PWS: 9</b>						<b>1,058,306.88</b>	<b>0.00</b>	<b>1,058,306.88</b>	<b>0.00</b>	<b>0.00</b>	<b>76,440.00</b>	<b>0.00</b>
WATE RIGHT	46953	MORSE, VIVIAN G *		KERR	12/16/2014	21,500.00	0.00	21,500.00	0.00	0.00	8,000.00	0.00
<b>Subtotal for WATERIGHT: 1</b>						<b>21,500.00</b>	<b>0.00</b>	<b>21,500.00</b>	<b>0.00</b>	<b>0.00</b>	<b>8,000.00</b>	<b>0.00</b>
WQ	48629	NARDECCHIA, ANTHONY *	R11107207110	TRAVIS	11/4/2014	100.00	0.00	100.00	0.00	0.00	175.00	0.00
WQ	48366	J & N MINI MART 2 *		HARRIS	5/20/2015	1,500.00	0.00	1,500.00	0.00	0.00	600.00	0.00
<b>Subtotal for WQ: 2</b>						<b>1,600.00</b>	<b>0.00</b>	<b>1,600.00</b>	<b>0.00</b>	<b>0.00</b>	<b>775.00</b>	<b>0.00</b>
WWAG	36657	James Kent Gabel *	TXG920047	LAMB	7/1/2015	150,000.00	0.00	150,000.00	0.00	0.00	25,000.00	0.00
<b>Subtotal for WWAG: 1</b>						<b>150,000.00</b>	<b>0.00</b>	<b>150,000.00</b>	<b>0.00</b>	<b>0.00</b>	<b>25,000.00</b>	<b>0.00</b>
<b>Total for Singles Programs : 41</b>						<b>13,822,032.24</b>	<b>0.00</b>	<b>13,822,032.24</b>	<b>0.00</b>	<b>0.00</b>	<b>1,162,814.50</b>	<b>0.00</b>

## Multi-Media Programs

## Appendix 13: List of Court Orders Issued FY 2015

Date Range Selected : 09/01/2014 - 08/31/2015

Order Types Selected : BANKRUPTCY, CONTEMPT, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No.	Respondent Name	TCEQ ID	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Cost	SEP Offset	Attorney Fees	Court Costs
MM	46421	Nayco, Inc. *	R04106465222	TARRANT	9/3/2014	20,000.00	0.00	20,000.00	0.00	0.00	5,000.00	0.00
MM	39729	HILL, JACKIE		CORYELL	9/29/2014	62,650.00	0.00	62,650.00	0.00	0.00	2,975.00	0.00
MM	46018	Tire Recycling & Processing LLC	6200832	CAMERON	11/4/2014	197,750.00	0.00	197,750.00	0.00	0.00	37,500.00	0.00
MM	39706	AGUILAR, RAMON		JEFFERSON	11/14/2014	66,200.00	0.00	66,200.00	0.00	0.00	3,600.00	0.00
MM	43599	W LOOP SOUTH ADM BLDG W82007 *	LGL102404944	HARRIS	1/26/2015	2,000,000.00	0.00	2,000,000.00	0.00	0.00	150,000.00	0.00
<b>Total for Multi-Media Programs : 5</b>						<b>2,346,600.00</b>	<b>0.00</b>	<b>2,346,600.00</b>	<b>0.00</b>	<b>0.00</b>	<b>199,075.00</b>	<b>0.00</b>
<b>Total for all Programs : 46</b>						<b>16,168,632.24</b>	<b>0.00</b>	<b>16,168,632.24</b>	<b>0.00</b>	<b>0.00</b>	<b>1,361,889.50</b>	<b>0.00</b>

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 14: List of Court Orders Issued FY2014

### Singles Programs

Date Range Selected : 09/01/2013 - 08/31/2014

Order Types Selected : BANKRUPTCY, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY, CONTEMPT

PGM	Case No.	Respondent Name	TCEQ ID	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Cost	SEP Offset	Attorney Fees	Court Costs
AQ	46492	Drilling Structures International, Inc. *	LGL106615313	HARRIS	9/10/2013	11,437.50	0.00	11,437.50	0.00	0.00	2,500.00	229.00
AQ	46671	AKZO NOBEL CHEMICALS DEER PARK *	HG0717K	HARRIS	10/2/2013	18,750.00	0.00	18,750.00	0.00	0.00	2,500.00	325.00
AQ	40037	BROTHERS MATERIALS, LTD	950261O	WEBB	12/2/2013	125,602.25	0.00	125,602.25	0.00	0.00	8,325.00	0.00
AQ	41862	Natural Gas Pipeline Company of America LLC	3194	BAILEY	1/31/2014	175,000.00	0.00	175,000.00	0.00	0.00	27,500.00	0.00
AQ	35821	Alon USA, LP	PSDTX891	HOWARD	2/13/2014	515,000.00	0.00	515,000.00	0.00	0.00	35,000.00	0.00
AQ	45236	Equistar Chemicals, LP *	1768	HARRIS	3/19/2014	50,000.00	0.00	50,000.00	0.00	0.00	11,000.00	325.00
AQ	38061	LUGO, GUADALUPE *	87165	HARRIS	8/25/2014	0.00	0.00	0.00	0.00	0.00	0.00	500.00
<b>Subtotal for AQ: 7</b>						<b>895,789.75</b>	<b>0.00</b>	<b>895,789.75</b>	<b>0.00</b>	<b>0.00</b>	<b>86,825.00</b>	<b>1,379.00</b>
DC	34557	Patrick Yong Soo Shin	100607845	DALLAS	2/12/2014	48,974.00	0.00	48,974.00	0.00	0.00	2,000.00	0.00
<b>Subtotal for DC: 1</b>						<b>48,974.00</b>	<b>0.00</b>	<b>48,974.00</b>	<b>0.00</b>	<b>0.00</b>	<b>2,000.00</b>	<b>0.00</b>
MSW	33472	WASHINGTON, ALVIN		TRAVIS	7/15/2014	225,000.00	0.00	225,000.00	0.00	0.00	2,000.00	0.00
MSW	44011	Ernesto Garcia	455150192	CAMERON	8/13/2014	22,625.00	0.00	22,625.00	0.00	0.00	4,375.00	0.00
<b>Subtotal for MSW: 2</b>						<b>247,625.00</b>	<b>0.00</b>	<b>247,625.00</b>	<b>0.00</b>	<b>0.00</b>	<b>6,375.00</b>	<b>0.00</b>
PST	34353	LUCIO, MIKE	13926	CAMERON	10/15/2013	101,708.08	0.00	101,708.08	0.00	0.00	5,160.00	0.00
PST	35061	RAY, MATT *	41914	DALLAS	11/26/2013	19,216.09	0.00	19,216.09	0.00	0.00	4,200.00	0.00
PST	33540	LAWTON, RAY *		MARION	1/7/2014	99,575.00	0.00	99,575.00	0.00	0.00	7,100.00	0.00
PST	39415	WHALEY, DUSTIN	52799	OLDHAM	3/19/2014	50,275.00	0.00	50,275.00	0.00	0.00	5,675.00	0.00
PST	40895	SALEH, ADNEN M	53692	TARRANT	6/2/2014	46,344.19	0.00	46,344.19	0.00	0.00	2,837.50	0.00
PST	38564	Adan and Melinda Marquez	39333	STARR	8/5/2014	5,000.00	0.00	5,000.00	0.00	0.00	3,700.00	0.00
<b>Subtotal for PST: 6</b>						<b>322,118.36</b>	<b>0.00</b>	<b>322,118.36</b>	<b>0.00</b>	<b>0.00</b>	<b>28,672.50</b>	<b>0.00</b>
WQ	47532	CHAVEZ, SIMON	R11106789027	TRAVIS	10/1/2013	75.00	0.00	75.00	0.00	0.00	150.00	0.00
WQ	36950	San Antonio Water System	WQ0010137033	BEXAR	10/15/2013	1,300,000.00	0.00	1,300,000.00	0.00	0.00	0.00	0.00
WQ	46565	William Mark Outterside *	LGL106607062	BRAZORIA	1/3/2014	10,500.00	5,250.00	5,250.00	0.00	0.00	0.00	0.00
WQ	47243	BEST FOREIGN AND AMERICAN *		HARRIS	3/31/2014	33,270.00	0.00	33,270.00	0.00	0.00	4,000.00	395.00
<b>Subtotal for WQ: 4</b>						<b>1,343,845.00</b>	<b>5,250.00</b>	<b>1,338,595.00</b>	<b>0.00</b>	<b>0.00</b>	<b>4,150.00</b>	<b>395.00</b>
<b>Total for Singles Programs : 20</b>						<b>2,858,352.11</b>	<b>5,250.00</b>	<b>2,853,102.11</b>	<b>0.00</b>	<b>0.00</b>	<b>128,022.50</b>	<b>1,774.00</b>

**Multi-Media Programs**

**Appendix 14: List of Court Orders Issued FY2014**

Date Range Selected : 09/01/2013 - 08/31/2014

Order Types Selected : BANKRUPTCY, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY, CONTEMPT

PGM	Case No.	Respondent Name	TCEQ ID	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Cost	SEP Offset	Attorney Fees	Court Costs
MM	37096	Port Arthur Chemical & Environmental Services, LLC *	TXD008950461	HARRIS	3/13/2014	3,234,162.50	0.00	3,234,162.50	0.00	0.00	0.00	0.00
MM	40110	Otila Grimes	2350061	VICTORIA	6/11/2014	84,005.00	0.00	84,005.00	0.00	0.00	4,375.00	0.00
MM	45192	JGC Platinum Odessa LLC *	LGL106522030	ECTOR	6/11/2014	7,500.00	0.00	7,500.00	0.00	0.00	3,000.00	0.00
<b>Total for Multi-Media Programs : 3</b>						<b>3,325,667.50</b>	<b>0.00</b>	<b>3,325,667.50</b>	<b>0.00</b>	<b>0.00</b>	<b>7,375.00</b>	<b>0.00</b>
<b>Total for all Programs : 23</b>						<b>6,184,019.61</b>	<b>5,250.00</b>	<b>6,178,769.61</b>	<b>0.00</b>	<b>0.00</b>	<b>135,397.50</b>	<b>1,774.00</b>

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 15: List of Court Orders Issued FY2013

### Singles Programs

Date Range Selected : 09/01/2012 - 08/31/2013

Order Types Selected : BANKRUPTCY, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No.	Respondent Name	TCEQ ID	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Cost	SEP Offset	Attorney Fees	Court Costs
AQ	43896	SOCOTHERM GULF OF MEXICO LLC		HARRIS	10/8/2012	4,062.50	0.00	4,062.50	0.00	0.00	875.00	0.00
AQ	44209	HARRIS CO MUD 81 WATER TOWER *		HARRIS	10/16/2012	3,000.00	0.00	3,000.00	0.00	0.00	350.00	0.00
AQ	46144	Airgas Specialty Gases, INC. *		HARRIS	4/2/2013	17,500.00	0.00	17,500.00	0.00	0.00	1,500.00	0.00
AQ	43007	Exxon Mobil Corporation *	3452	HARRIS	6/5/2013	277,500.00	69,375.00	69,375.00	138,750.00	138,750.00	65,000.00	0.00
<b>Subtotal for AQ: 4</b>						<b>302,062.50</b>	<b>69,375.00</b>	<b>93,937.50</b>	<b>138,750.00</b>	<b>138,750.00</b>	<b>67,225.00</b>	<b>0.00</b>
DC	42802	PMSV/River Oaks, LP *	104064142	HARRIS	11/26/2012	1,043,312.50	0.00	1,043,312.50	0.00	0.00	25,000.00	0.00
DC	30897	Myung & Choon Kim, Inc.	104094933	DALLAS	11/28/2012	2,666.25	0.00	2,666.25	0.00	0.00	1,800.00	0.00
<b>Subtotal for DC: 2</b>						<b>1,045,978.75</b>	<b>0.00</b>	<b>1,045,978.75</b>	<b>0.00</b>	<b>0.00</b>	<b>26,800.00</b>	<b>0.00</b>
ER	36921	RODRIGUEZ, ARTURO IVAN *		BEXAR	10/1/2012	32,718.08	0.00	32,718.08	0.00	0.00	8,600.00	0.00
<b>Subtotal for ER: 1</b>						<b>32,718.08</b>	<b>0.00</b>	<b>32,718.08</b>	<b>0.00</b>	<b>0.00</b>	<b>8,600.00</b>	<b>0.00</b>
IHW	46134	Ineos Polyethylene North America	TXD980625958	HARRIS	1/16/2013	35,000.00	0.00	35,000.00	0.00	0.00	2,500.00	237.00
IHW	43050	NEF Partners, Inc. *	90710	WALLER	5/16/2013	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>Subtotal for IHW: 2</b>						<b>35,000.00</b>	<b>0.00</b>	<b>35,000.00</b>	<b>0.00</b>	<b>0.00</b>	<b>2,500.00</b>	<b>237.00</b>
MSW	29047	KIMBRELL, GARLAND	100015	LUBBOCK	10/9/2012	15,450.00	0.00	15,450.00	0.00	0.00	4,093.75	0.00
MSW	38445	Eastex Sand & Materials, Inc.		HARDIN	10/9/2012	25,200.00	0.00	25,200.00	0.00	0.00	4,781.25	0.00
MSW	34895	DIAZ, JUAN ANTONIO		BEXAR	10/19/2012	0.00	0.00	0.00	0.00	0.00	0.00	0.00
MSW	45139	LARRY D WEISE *	LGL106474380	HARRIS	12/6/2012	7,500.00	7,000.00	500.00	0.00	0.00	500.00	253.00
MSW	40173	BEVERLIN, TERRY	455100063	HARDIN	2/27/2013	18,084.00	0.00	18,084.00	0.00	0.00	2,000.00	0.00
MSW	40720	SANCHEZ, EVA	455150185	HIDALGO	6/12/2013	27,225.00	0.00	27,225.00	0.00	0.00	1,750.00	0.00
MSW	41603	VASQUEZ, ROSA *	455150196	HIDALGO	7/23/2013	84,550.00	0.00	84,550.00	0.00	0.00	2,160.00	0.00
<b>Subtotal for MSW: 7</b>						<b>178,009.00</b>	<b>7,000.00</b>	<b>171,009.00</b>	<b>0.00</b>	<b>0.00</b>	<b>15,285.00</b>	<b>253.00</b>
PST	41176	Pathan Investments, INC. DBA Time Out	16557	JEFFERSON	11/28/2012	537,184.00	0.00	537,184.00	0.00	0.00	5,175.00	0.00
PST	34782	MERCADO, LUPE	38988	DEWITT	12/20/2012	182,558.45	0.00	182,558.45	0.00	0.00	5,400.00	0.00
PST	36111	CHAUDHRY, FARAH	14538	NACOGDOCHES	1/7/2013	12,600.00	0.00	12,600.00	0.00	0.00	3,300.00	247.60
PST	35877	KHALAF, TAREK	43157	YOUNG	2/14/2013	0.00	0.00	0.00	0.00	0.00	0.00	0.00
PST	37847	KHAIL, TAJRANGEZA *	12207	JEFFERSON	5/3/2013	174,225.00	0.00	174,225.00	0.00	0.00	3,600.00	0.00
PST	28446	HUERECA, JESUS L	33821	EL PASO	6/3/2013	21,000.00	0.00	21,000.00	0.00	0.00	2,400.00	0.00

## Singles Programs

## Appendix 15: List of Court Orders Issued FY2013

Date Range Selected : 09/01/2012 - 08/31/2013

Order Types Selected : BANKRUPTCY, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No.	Respondent Name	TCEQ ID	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Cost	SEP Offset	Attorney Fees	Court Costs
PST	35413	CARTER, GLADYS	67988	DENTON	6/3/2013	62,300.00	0.00	62,300.00	0.00	0.00	2,400.00	0.00
PST	40056	MOSLEY, ROBBIE	15644	LAMB	6/11/2013	84,730.49	0.00	84,730.49	0.00	0.00	1,600.00	0.00
PST	33086	Brooks Special Company *	30767	CAMERON	8/26/2013	1,026,000.00	0.00	1,026,000.00	0.00	0.00	16,875.00	0.00
<b>Subtotal for PST: 9</b>						<b>2,100,597.94</b>	<b>0.00</b>	<b>2,100,597.94</b>	<b>0.00</b>	<b>0.00</b>	<b>40,750.00</b>	<b>247.60</b>
PWS	6346	NORRA, CAROL ANN	1011926	HARRIS	3/13/2013	0.00	0.00	0.00	0.00	0.00	0.00	0.00
PWS	34177	Barbara Miller	0790190	FORT BEND	6/20/2013	292,414.00	0.00	292,414.00	0.00	0.00	6,000.00	0.00
<b>Subtotal for PWS: 2</b>						<b>292,414.00</b>	<b>0.00</b>	<b>292,414.00</b>	<b>0.00</b>	<b>0.00</b>	<b>6,000.00</b>	<b>0.00</b>
WQ	43628	Krebs Utilities, Inc. *	LGL106331945	HARRIS	9/4/2012	64,845.74	64,845.74	0.00	0.00	0.00	5,000.00	265.00
WQ	38956	Hexagon Honeycomb Corporation *		KERR	3/11/2013	0.00	0.00	0.00	0.00	0.00	0.00	0.00
WQ	46565	Geraldine K. Brock *	LGL106609233	BRAZORIA	4/15/2013	0.00	0.00	0.00	0.00	0.00	0.00	0.00
WQ	46565	SUBDIVISION OF TRACTS 26 27 AND 31 *	LGL106609233	BRAZORIA	4/15/2013	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>Subtotal for WQ: 4</b>						<b>64,845.74</b>	<b>64,845.74</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>5,000.00</b>	<b>265.00</b>
WWA G	26892	John Roof dba Roof Dairy	WQ000327700 0	JOHNSON	11/16/2012	315,000.00	0.00	315,000.00	0.00	0.00	4,000.00	0.00
WWA G	2942	Justin David Loper *	WQ000308900 0	ERATH	6/12/2013	37,500.00	0.00	37,500.00	0.00	0.00	7,500.00	0.00
WWA G	44199	Fisher Ham and Meat Co. *		HARRIS	6/12/2013	20,000.00	10,000.00	10,000.00	0.00	0.00	4,000.00	0.00
<b>Subtotal for WWAG: 3</b>						<b>372,500.00</b>	<b>10,000.00</b>	<b>362,500.00</b>	<b>0.00</b>	<b>0.00</b>	<b>15,500.00</b>	<b>0.00</b>
<b>Total for Singles Programs : 34</b>						<b>4,424,126.01</b>	<b>151,220.74</b>	<b>4,134,155.27</b>	<b>138,750.00</b>	<b>138,750.00</b>	<b>188,160.00</b>	<b>1,002.60</b>

## Multi-Media Programs

## Appendix 15: List of Court Orders Issued FY2013

Date Range Selected : 09/01/2012 - 08/31/2013

Order Types Selected : BANKRUPTCY, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No.	Respondent Name	TCEQ ID	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Cost	SEP Offset	Attorney Fees	Court Costs
MM	33641	WILLIAMS, GEORGE	455130098	BEXAR	10/17/2012	14,000.00	0.00	14,000.00	0.00	0.00	3,312.50	0.00
MM	25187	PRIESS, RANDY *	TXR000062471	MASON	12/18/2012	308,000.00	0.00	308,000.00	0.00	0.00	5,760.00	0.00
MM	45264	Holloman Corporation *		ECTOR	1/16/2013	2,500.00	0.00	2,500.00	0.00	0.00	1,200.00	0.00
MM	36204	MCPIKE, RUBY		JEFFERSON	2/21/2013	63,475.00	0.00	63,475.00	0.00	0.00	4,000.00	0.00
MM	36204	NELSON, PATRICIA RAMSEY		JEFFERSON	2/21/2013	50,500.00	0.00	50,500.00	0.00	0.00	4.00	0.00
MM	6945	WALTERS, LLOYD	JE0257B	JEFFERSON	3/5/2013	96,150.00	0.00	96,150.00	0.00	0.00	38,450.00	0.00
MM	43668	UNAUTHORIZED DUMP SITE 2224 LIVE OAK *	LGL106329089	HUNT	6/11/2013	92,500.00	0.00	92,500.00	0.00	0.00	73,000.00	0.00
MM	26724	Shawn D. Fuller	1520232	LUBBOCK	7/31/2013	70,000.00	0.00	70,000.00	0.00	0.00	3,000.00	0.00
MM	39478	Gulf Chemical & Metallurgical Corporation	19793	BRAZORIA	8/14/2013	7,500,000.00	1,500,000.00	6,000,000.00	0.00	0.00	300,000.00	0.00
<b>Total for Multi-Media Programs : 9</b>						<b>8,197,125.00</b>	<b>1,500,000.00</b>	<b>6,697,125.00</b>	<b>0.00</b>	<b>0.00</b>	<b>428,726.50</b>	<b>0.00</b>
<b>Total for all Programs : 43</b>						<b>12,621,251.01</b>	<b>1,651,220.74</b>	<b>10,831,280.27</b>	<b>138,750.00</b>	<b>138,750.00</b>	<b>616,886.50</b>	<b>1,002.60</b>

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 16: List of Court Orders Issued FY2012

### Singles Programs

Date Range Selected : 09/01/2011 - 08/31/2012

Order Types Selected : BANKRUPTCY, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No.	Respondent Name	TCEQ ID	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Cost	SEP Offset	Attorney Fees	Court Costs
AQ	41574	Akzo Nobel Polymer Chemicals LLC *		HARRIS	9/8/2011	6,250.00	0.00	6,250.00	0.00	0.00	2,500.00	302.00
AQ	42774	CHEVRON PHILLIPS CHEMICAL COMPANY LP *	4437A	HARRIS	11/29/2011	15,000.00	0.00	15,000.00	0.00	0.00	2,000.00	246.00
AQ	32061	BP Products North America Inc.	GB0004L	GALVESTON	12/20/2011	49,500,000.00	0.00	49,500,000.00	0.00	0.00	500,000.00	0.00
AQ	44104	DTX Oil, LLC		HARRIS	1/3/2012	43,750.00	0.00	43,750.00	0.00	0.00	4,500.00	0.00
AQ	43594	AGRIFOS FERTILIZER PASADENA *	HG0534U	HARRIS	4/11/2012	9,375.00	0.00	9,375.00	0.00	0.00	1,500.00	315.00
AQ	40401	Aruba Petroleum, INC.	93483	WISE	4/13/2012	98,000.00	49,000.00	49,000.00	49,000.00	49,000.00	10,000.00	0.00
AQ	41401	El Paso Natural Gas Company		POTTER	6/11/2012	120,000.00	0.00	120,000.00	0.00	0.00	8,000.00	0.00
AQ	40323	McCain Farms, LLC		CAMERON	6/21/2012	10,000.00	0.00	10,000.00	0.00	0.00	2,000.00	0.00
AQ	42761	TOTAL PETROCHEMICALS USA LA PORTE PLANT *	21538	HARRIS	6/22/2012	12,500.00	0.00	12,500.00	0.00	0.00	1,800.00	314.00
AQ	33649	Clint West	R10105096317	JEFFERSON	7/9/2012	4,150.00	0.00	4,150.00	0.00	0.00	3,412.50	0.00
<b>Subtotal for AQ: 10</b>						<b>49,819,025.00</b>	<b>49,000.00</b>	<b>49,770,025.00</b>	<b>49,000.00</b>	<b>49,000.00</b>	<b>535,712.50</b>	<b>1,177.00</b>
ER	36921	RODRIGUEZ, ARTURO IVAN *		BEXAR	11/14/2011	0.00	0.00	0.00	0.00	0.00	3,000.00	0.00
<b>Subtotal for ER: 1</b>						<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>3,000.00</b>	<b>0.00</b>
IHW	32631	WHEATLEY, RON *	87488	RAINS	9/12/2011	4,400,000.00	0.00	4,400,000.00	0.00	0.00	75,000.00	0.00
IHW	35053	McCulloch County	F1759	MCCULLOCH	12/15/2011	0.00	0.00	0.00	0.00	0.00	0.00	0.00
IHW	432	River City Metal Finishing, Inc. *	82605	BEXAR	8/29/2012	281,400.00	0.00	281,400.00	0.00	0.00	11,925.00	0.00
<b>Subtotal for IHW: 3</b>						<b>4,681,400.00</b>	<b>0.00</b>	<b>4,681,400.00</b>	<b>0.00</b>	<b>0.00</b>	<b>86,925.00</b>	<b>0.00</b>
MSW	32506	Fort Worth Excavating, Inc.	455040173	TARRANT	10/26/2011	50,000.00	0.00	50,000.00	0.00	0.00	35,000.00	0.00
MSW	2806	Quality Caliche Pit, Inc. *	455150022	HIDALGO	10/31/2011	117,175.00	0.00	117,175.00	0.00	0.00	10,593.75	0.00
MSW	1817	Doyle Rinn DBA Ballinger Auto Wrecking	26102	RUNNELS	11/17/2011	0.00	0.00	0.00	0.00	0.00	0.00	0.00
MSW	2818	WALDREP, BOBBY RAY	14413	CAMERON	3/21/2012	100,000.00	0.00	100,000.00	0.00	0.00	4,618.75	0.00
MSW	36893	PARKER, DAVID		MARION	5/3/2012	117,841.00	0.00	117,841.00	0.00	0.00	7,650.00	0.00
MSW	36893	BULLARD, RYAN		MARION	5/10/2012	119,041.00	0.00	119,041.00	0.00	0.00	8,100.00	0.00
MSW	2621	Astro Waste, Inc.	40147	HARRIS	6/20/2012	38,015.00	0.00	38,015.00	0.00	0.00	16,000.00	0.00
MSW	4815	HUGHES, SCOTT		JONES	8/2/2012	105,000.00	0.00	105,000.00	0.00	0.00	2,000.00	0.00
MSW	659	WORD, PRESTON *		COMAL	8/9/2012	97,960.00	0.00	97,960.00	0.00	0.00	20,000.00	0.00

## Singles Programs

## Appendix 16: List of Court Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

Order Types Selected : BANKRUPTCY, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No.	Respondent Name	TCEQ ID	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Cost	SEP Offset	Attorney Fees	Court Costs
Subtotal for MSW: 9						745,032.00	0.00	745,032.00	0.00	0.00	103,962.50	0.00
PST	33643	Raul R. Martinez	61800	TARRANT	10/3/2011	41,950.00	0.00	41,950.00	0.00	0.00	13,568.75	0.00
PST	2375	HALL, BILLY G	67740		11/29/2011	0.00	0.00	0.00	0.00	0.00	0.00	0.00
PST	36271	GARCIA, LEONARD	8230	TOM GREEN	12/14/2011	46,950.00	0.00	46,950.00	0.00	0.00	1,600.00	0.00
PST	34933	Pasadena Investment Group Corporation	43773	JEFFERSON	2/22/2012	63,150.00	0.00	63,150.00	0.00	0.00	7,600.00	0.00
PST	37551	We Are Crazy, Inc.	68602	ORANGE	6/28/2012	50,623.00	0.00	50,623.00	0.00	0.00	2,137.00	0.00
PST	35449	JONES, THOMAS *	21661	GRAYSON	7/26/2012	25,425.00	0.00	25,425.00	0.00	0.00	1,505.00	0.00
Subtotal for PST: 6						228,098.00	0.00	228,098.00	0.00	0.00	26,410.75	0.00
PWS	36058	Cain Addition HomeOwners Association CAHA *	1370036	*MULTIPLE	10/11/2011	0.00	0.00	0.00	0.00	0.00	0.00	0.00
PWS	7462	KELLY, FRANCIS *	0250032	BROWN	1/12/2012	0.00	0.00	0.00	0.00	0.00	0.00	0.00
PWS	42482	Cyndie Park 2 Water Supply Corporation	1780050	NUECES	2/21/2012	153,700.00	0.00	153,700.00	0.00	0.00	6,297.50	0.00
PWS	7009	RIVER RUN WATER SYSTEM *	0200575	BRAZORIA	3/7/2012	0.00	0.00	0.00	0.00	0.00	0.00	0.00
PWS	42001	ESSEX, DOROTHY A	0150156	BEXAR	4/16/2012	116,850.00	0.00	116,850.00	0.00	0.00	8,312.50	0.00
PWS	7009	RIVER RUN WATER SYSTEM *	0200575	BRAZORIA	4/26/2012	64,250.00	0.00	64,250.00	0.00	0.00	17,500.00	0.00
Subtotal for PWS: 6						334,800.00	0.00	334,800.00	0.00	0.00	32,110.00	0.00
WQ	2686	HAWLEY, BAILEY RAY	WQ0013853001	*MULTIPLE	9/30/2011	149,650.00	0.00	149,650.00	0.00	0.00	57,000.00	0.00
WQ	33444	THOMAS, TOMMY JOE	WQ0012919001	HARRIS	10/24/2011	16,500.00	0.00	16,500.00	0.00	0.00	8,500.00	0.00
WQ	43310	SAMUEL OGUNTOPE DBA UNIQUE FOREIGN & AMERICAN USED AUTO PARTS *	TXR05V918	HARRIS	1/10/2012	21,775.00	0.00	21,775.00	0.00	0.00	0.00	242.00
WQ	43061	NORTHWOODS APARTMENTS *	LGL106258171	HARRIS	2/10/2012	93,750.00	0.00	93,750.00	0.00	0.00	0.00	0.00
WQ	43891	Vam USA LLC *	WQ0003420000	HARRIS	2/14/2012	72,500.00	36,250.00	36,250.00	72,500.00	72,500.00	2,000.00	0.00
WQ	43103	Advanced Formulas, Inc.		HARRIS	5/18/2012	17,562.00	0.00	17,562.00	0.00	0.00	0.00	0.00
WQ	42974	Arch-Con Corporation *		HARRIS	8/29/2012	17,500.00	0.00	17,500.00	0.00	0.00	6,000.00	307.00
Subtotal for WQ: 7						389,237.00	36,250.00	352,987.00	72,500.00	72,500.00	73,500.00	549.00
Total for Singles Programs : 42						56,197,592.00	85,250.00	56,112,342.00	121,500.00	121,500.00	861,620.75	1,726.00

## Multi-Media Programs

## Appendix 16: List of Court Orders Issued FY2012

Date Range Selected : 09/01/2011 - 08/31/2012

Order Types Selected : BANKRUPTCY, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No.	Respondent Name	TCEQ ID	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Cost	SEP Offset	Attorney Fees	Court Costs
MM	41291	Intercontinental Terminals Company LLC *	TXR05X836	HARRIS	9/29/2011	32,500.00	0.00	32,500.00	0.00	0.00	9,000.00	0.00
MM	32452	TREE DISPOSAL SITE *	100003	BEXAR	12/12/2011	0.00	0.00	0.00	0.00	0.00	235,000.00	0.00
MM	38192	NOLAN, CLARENCE LEE		BASTROP	4/16/2012	24,300.00	0.00	24,300.00	0.00	0.00	1,031.25	0.00
MM	28934	MARTIN, VERGIL	1010232	HARRIS	5/17/2012	0.00	0.00	0.00	0.00	0.00	0.00	0.00
MM	35680	MCC Recycling LLP *	A85794	HARRIS	5/18/2012	1,330,000.00	0.00	1,330,000.00	0.00	0.00	450,000.00	0.00
MM	7319	Sunilandings Utilities INC *	TX0092142	CALHOUN	8/1/2012	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total for Multi-Media Programs : 6</b>						<b>1,386,800.00</b>	<b>0.00</b>	<b>1,386,800.00</b>	<b>0.00</b>	<b>0.00</b>	<b>695,031.25</b>	<b>0.00</b>
<b>Total for all Programs : 48</b>						<b>57,584,392.00</b>	<b>85,250.00</b>	<b>57,499,142.00</b>	<b>121,500.00</b>	<b>121,500.00</b>	<b>1,556,652.00</b>	<b>1,726.00</b>

\* There are Multiple Respondent Names associated to this Case No.

## Appendix 17: List of Court Orders Issued FY2011

### Singles Programs

Date Range Selected : 09/01/2010 - 08/31/2011

Order Types Selected : BANKRUPTCY, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No.	Respondent Name	TCEQ ID	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Cost	SEP Offset	Attorney Fees	Court Costs
AQ	37672	KM Liquids Terminals LLC	5171	HARRIS	12/20/2010	40,000.00	0.00	40,000.00	0.00	0.00	4,000.00	0.00
AQ	41871	CARPENTER URETHANES I, LLC *	301	HARRIS	2/8/2011	5,000.00	0.00	5,000.00	0.00	0.00	1,000.00	0.00
AQ	42338	AIR PRODUCTS PASADENA PLANT *	HG0011L	HARRIS	8/2/2011	18,300.00	0.00	18,300.00	0.00	0.00	2,000.00	237.00
<b>Subtotal for AQ : 3</b>						<b>63,300.00</b>	<b>0.00</b>	<b>63,300.00</b>	<b>0.00</b>	<b>0.00</b>	<b>7,000.00</b>	<b>237.00</b>
IHW	26555	A.I. Divestitures, Inc. *	TXD981054273	WEBB	5/18/2011	200,000.00	100,000.00	100,000.00	100,000.00	100,000.00	70,000.00	0.00
<b>Subtotal for IHW : 1</b>						<b>200,000.00</b>	<b>100,000.00</b>	<b>100,000.00</b>	<b>100,000.00</b>	<b>100,000.00</b>	<b>70,000.00</b>	<b>0.00</b>
MSW	1740	CARR, ROBERT SR *	455040105	DENTON	12/6/2010	60,000.00	40,000.00	20,000.00	0.00	0.00	20,000.00	0.00
MSW	2806	Quality Caliche Pit, Inc. *	455150022	HIDALGO	1/7/2011	110,175.00	0.00	110,175.00	0.00	0.00	7,593.75	0.00
MSW	28386	CROY, CASEY		HAMILTON	1/13/2011	31,480.00	0.00	31,480.00	0.00	0.00	1,600.00	0.00
MSW	39397	PHAETHON DISPOSAL & GONZALEZ JOE & GONZALEZ EVA & ATLANTIC WASTE *		HARRIS	1/26/2011	12,500.00	0.00	12,500.00	0.00	0.00	6,000.00	0.00
<b>Subtotal for MSW : 4</b>						<b>214,155.00</b>	<b>40,000.00</b>	<b>174,155.00</b>	<b>0.00</b>	<b>0.00</b>	<b>35,193.75</b>	<b>0.00</b>
PST	14729	WARREN, MARIA E	31331	MONTAGUE	9/23/2010	44,937.50	0.00	44,937.50	0.00	0.00	1,800.00	0.00
PST	4632	G B A K Properties, Inc.	69783	HARRIS	12/6/2010	25,000.00	0.00	25,000.00	0.00	0.00	8,000.00	0.00
PST	2843	Sonal Enterprises Inc.	7106	BEXAR	12/20/2010	83,400.00	0.00	83,400.00	0.00	0.00	31,800.00	0.00
PST	31606	ARMENDARIZ, JAVIER B	43280	ECTOR	1/13/2011	49,325.00	0.00	49,325.00	0.00	0.00	1,400.00	0.00
PST	33144	SKINNER, SHARON	5032	COOKE	1/13/2011	33,150.00	0.00	33,150.00	0.00	0.00	1,200.00	0.00
PST	431	Southwest Oil Company of San Antonio, Inc.	32494	ATASCOSA	2/23/2011	434,300.00	0.00	434,300.00	0.00	0.00	11,000.00	0.00
PST	35211	Edna L. Rayford	53122	CALDWELL	6/8/2011	41,907.65	21,000.00	20,907.65	0.00	0.00	2,000.00	247.60
PST	36489	BEARDEN, BILL	74804	ELLIS	7/14/2011	62,550.00	0.00	62,550.00	0.00	0.00	6,400.00	0.00
<b>Subtotal for PST : 8</b>						<b>774,570.15</b>	<b>21,000.00</b>	<b>753,570.15</b>	<b>0.00</b>	<b>0.00</b>	<b>63,600.00</b>	<b>247.60</b>
WQ	41853	ROADWAY INN INTERNATIONAL AIRPORT WWTP *	WQ0014405001	HARRIS	11/19/2010	20,000.00	0.00	20,000.00	0.00	0.00	5,000.00	0.00
WQ	41065	Texas K & N International, Inc. *		HARRIS	12/16/2010	1,260.00	0.00	1,260.00	0.00	0.00	1,000.00	230.00
WQ	39405	Brazoria Estates, LLC *		BRAZORIA	1/14/2011	15,000.00	0.00	15,000.00	15,000.00	15,000.00	4,000.00	0.00
WQ	42029	MMI Products, Inc. *	TXR05N261	HARRIS	1/14/2011	60,000.00	0.00	60,000.00	0.00	0.00	15,000.00	246.00
WQ	25840	BULLOCK, DORIS		HARDIN	4/14/2011	22,750.00	0.00	22,750.00	0.00	0.00	12,500.00	0.00
WQ	41351	Monument G.P. Corp. *	LGL101527737	HARRIS	6/20/2011	62,807.00	47,307.00	15,500.00	0.00	0.00	2,500.00	0.00
<b>Subtotal for WQ : 6</b>						<b>181,817.00</b>	<b>47,307.00</b>	<b>134,510.00</b>	<b>15,000.00</b>	<b>15,000.00</b>	<b>40,000.00</b>	<b>476.00</b>
WWA	32211	VERNON FARM WILBARGER *	TXG920750	*MULTIPLE	8/10/2011	633,333.00	0.00	633,333.00	0.00	0.00	133,000.00	0.00
<b>Subtotal for WWA : 1</b>						<b>633,333.00</b>	<b>0.00</b>	<b>633,333.00</b>	<b>0.00</b>	<b>0.00</b>	<b>133,000.00</b>	<b>0.00</b>
<b>Total for Singles Programs : 23</b>						<b>2,067,175.15</b>	<b>208,307.00</b>	<b>1,858,868.15</b>	<b>115,000.00</b>	<b>115,000.00</b>	<b>348,793.75</b>	<b>960.60</b>

## Multi-Media Programs

## Appendix 17: List of Court Orders Issued FY2011

Date Range Selected : 09/01/2010 - 08/31/2011

Order Types Selected : BANKRUPTCY, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No.	Respondent Name	TCEQ ID	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Cost	SEP Offset	Attorney Fees	Court Costs
MM	35424	Shamrock Soil Products, Inc.		BEXAR	10/5/2010	16,000.00	0.00	16,000.00	0.00	0.00	14,000.00	298.00
MM	33045	ONEILL, MICHAEL LANTZ	TX0022918	SABINE	12/20/2010	1,336,971.00	0.00	1,336,971.00	0.00	0.00	26,972.50	0.00
MM	41089	CUTTEN ROAD AUTO STORAGE *		HARRIS	4/12/2011	18,250.00	0.00	18,250.00	0.00	0.00	1,750.00	0.00
MM	37947	Port Arthur Chemical & Environmental Services, LLC	TXR000079307	JEFFERSON	7/7/2011	841,000.00	0.00	841,000.00	0.00	0.00	135,162.50	0.00
MM	41163	American Opportunity For Housing 2002 Portfolio, LLC *		HARRIS	8/10/2011	61,775.00	0.00	61,775.00	0.00	0.00	2,500.00	0.00
MM	33642	Liberty Waste Systems, Inc.	87197	HARRIS	8/18/2011	244,800.00	0.00	244,800.00	0.00	0.00	13,050.00	0.00
<b>Total for Multi-Media Programs : 6</b>						<b>2,518,796.00</b>	<b>0.00</b>	<b>2,518,796.00</b>	<b>0.00</b>	<b>0.00</b>	<b>193,435.00</b>	<b>298.00</b>
<b>Total for all Programs : 29</b>						<b>4,585,971.15</b>	<b>208,307.00</b>	<b>4,377,664.15</b>	<b>115,000.00</b>	<b>115,000.00</b>	<b>542,228.75</b>	<b>1,258.60</b>

\* There are Multiple Respondent Names associated to this Case No.



**TCEQ**  
Singles Programs

**Appendix 18: List of Court Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 9/13/2010

Order Types Selected : BANKRUPTCY, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No	Respondent Name	TCEQ ID	Dist. Court#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
AQ	39083	MEMC Pasadena, Inc.	HX0029W	2009-25440	HARRIS	12/21/2009	\$7,813	\$0	\$7,813	\$0
AQ	21063	Randy Roan Construction, Inc.		2009-07542	MONTGOMERY	11/04/2009	\$23,000	\$5,000	\$18,000	\$0
AQ	36229	The Goodyear Tire & Rubber Company	6618	D-1-GV-10-000475	HARRIS	05/12/2010	\$44,500	\$0	\$44,500	\$0
AQ	3166	The Premcor Refining Group Inc.	30004	D-1-GV-09-001823	JEFFERSON	09/23/2009	\$1,900,000	\$950,000	\$950,000	\$950,000
<b>AQ Court Orders Issued: 4</b>						<b>Total:</b>	<b>\$1,975,313</b>	<b>\$955,000</b>	<b>\$1,020,313</b>	<b>\$950,000</b>
IHW	39947	North Richey Street Site *	52123	2009-32636	HARRIS	03/11/2010	\$0	\$0	\$0	\$0
<b>IHW Court Orders Issued: 1</b>						<b>Total:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
MSW	1470	Arnoldo V. Moya Sr.	455150010	D-1-GV-07-001281	HIDALGO	01/26/2010	\$17,750	\$0	\$17,750	\$0
MSW	33475	BIG BIRD TREE SERVICE, INC. *	100157	D-1-GV-09-001483	DALLAS	05/24/2010	\$0	\$0	\$0	\$0
MSW	37049	Bulldog Tire Recycling, Inc.	6200422	D-1-GV-09-000946	LIBERTY	04/28/2010	\$0	\$0	\$0	\$0
MSW	25608	BURKHARDT, DONALD	100033	D-1-GV-09-001190	HARRIS	06/17/2010	\$45,500	\$0	\$45,500	\$0
MSW	24703	Capitol City Disposal, Inc.		D-1-GV-09-001222	TRAVIS	10/16/2009	\$28,700	\$0	\$28,700	\$0
MSW	34895	DIAZ, JUAN ANTONIO		D-1-GV-09-001149	BEXAR	03/24/2010	\$31,500	\$0	\$31,500	\$0
MSW	308	FRIESENHAHN, DAVID		D-1-GV-03-004436	BEXAR	04/20/2010	\$100,000	\$50,000	\$50,000	\$0
MSW	32800	MERCADO, PHILLIP	455150153	D-1-GV-10-000151	HIDALGO	07/08/2010	\$83,900	\$0	\$83,900	\$0
MSW	37243	RIOS, MARIA DEL CARMEN	100224	2007-73897	HARRIS	06/03/2010	\$25,000	\$0	\$25,000	\$0
<b>MSW Court Orders Issued: 9</b>						<b>Total:</b>	<b>\$332,350</b>	<b>\$50,000</b>	<b>\$282,350</b>	<b>\$0</b>
OL	35050	HICKS, CORBY	LI0014828	D-1-GV-09-001994	WILLIAMSON	01/05/2010	\$31,800	\$0	\$31,800	\$0
<b>OL Court Orders Issued: 1</b>						<b>Total:</b>	<b>\$31,800</b>	<b>\$0</b>	<b>\$31,800</b>	<b>\$0</b>
PST	28788	AHMEDI, ABDULLAH	68977	D-1-GV-08-001621	DALLAS	09/22/2009	\$54,700	\$0	\$54,700	\$0
PST	32682	CULLINS, CHARLES D	36318	D-1-GV-09-001923	COLEMAN	05/05/2010	\$95,100	\$0	\$95,100	\$0
<b>PST Court Orders Issued: 2</b>						<b>Total:</b>	<b>\$149,800</b>	<b>\$0</b>	<b>\$149,800</b>	<b>\$0</b>
PWS	6623	LIMAS, LEROY LEONARD	0710158	D-1-GV-09-001336	EL PASO	11/09/2009	\$299,500	\$0	\$299,500	\$0
PWS	4870	Little Hope-Moore Water Supply Corporation	2340011	D-1-GV-08-001179	VAN ZANDT	07/08/2010	\$1,000	\$0	\$1,000	\$0
PWS	36993	SAUCIER JR, LESTER A	1810188	D-1-GV-09-001984	ORANGE	05/04/2010	\$10,000	\$0	\$10,000	\$0
<b>PWS Court Orders Issued: 3</b>						<b>Total:</b>	<b>\$310,500</b>	<b>\$0</b>	<b>\$310,500</b>	<b>\$0</b>



TCEQ

Singles Programs

Appendix 18: List of Court Orders Issued FY2010

Date Range Selected : 09/01/2009 - 9/13/2010

Order Types Selected : BANKRUPTCY, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No	Respondent Name	TCEQ ID	Dist. Court#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
WQ	2880	City of Port Arthur	WQ00103640	D-1-GV-07-001556	JEFFERSON	10/06/2009	\$275,000	\$200,000	\$75,000	\$75,000
WQ	32664	Panama Materials, LLC	TXR05R648	D-1-GV-09-000470	PALO PINTO	05/27/2010	\$21,850	\$0	\$21,850	\$0
WQ	34305	Rodman Excavation, Inc. *		D-1-GV-07-002293	HAYS	06/11/2010	\$300,000	\$300,000	\$0	\$300,000
<b>WQ Court Orders Issued: 3</b>						<b>Total:</b>	<b>\$596,850</b>	<b>\$500,000</b>	<b>\$96,850</b>	<b>\$375,000</b>



**TCEQ**

**Multi-Media Programs**

**Appendix 18: List of Court Orders Issued FY2010**

Date Range Selected : 09/01/2009 - 9/13/2010

Order Types Selected : BANKRUPTCY, DISMISSAL, FINAL JUDGMENT, RECEIVERSHIP, SETTLEMENT, TEMPORARY

PGM	Case No	Respondent Name	TCEQ ID	Dist. Court#	County	Order Date	Penalty Assessed	Penalty Deferred	Payable Amount	SEP Offset
MM	26819	DOSS, MILTON		D-1-GV-09-001992	BOWIE	04/13/2010	\$114,345	\$0	\$114,345	\$0
MM	394	HARRIS, JOHN ALLEN		99-00868	SMITH	07/08/2010	\$14,883	\$0	\$14,883	\$0
MM	34216	JAYNES, RICHARD WARREN *	78459	2007-08396	HARRIS	03/09/2010	\$30,000	\$75,000	\$30,000	\$20,000
MM	35680	U.S. Oil Recovery, L.P. *	52123	2009-32636	HARRIS	03/11/2010	\$66,120	\$0	\$66,120	\$0
<b>MM Court Orders Issued: 4</b>						<b>Total:</b>	<b>\$225,348</b>	<b>\$75,000</b>	<b>\$225,348</b>	<b>\$20,000</b>
<b>Total Court Orders Issued : 27</b>						<b>GrandTotal:</b>	<b>\$3,621,961</b>	<b>\$1,580,000</b>	<b>\$2,116,961</b>	<b>\$1,345,000</b>

## Appendix 19: Criminal Convictions FY 2015

1. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Creedex	MSW	1 Corporation		3	9/24/14

**Case Specifics:**

On 9/25/14, Creedex, LLC pleaded guilty to 3 misdemeanor counts of Illegal Dumping, Tex. Health & Safety Code § 365.012. Creedex paid a \$6,000 fine and remediated the site at a reported cost of \$89,289.66.

An investigation conducted by the TPWD Environmental Crimes Unit and the TCEQ Environmental Crimes Unit determined that Creedex illegally disposed waste in Cherokee County.

The Case was prosecuted by the Travis County Attorney's Office.

2. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Best Rate Septic	WQ	1 Individual	8		9/29/14

**Case Specifics:**

On September 29, 2014, Kathleen Wagner Gilbert pleaded guilty to 8 state jail felony counts of Criminal Mischief, Tex. Penal Code § 28.03. Ms. Gilbert was sentenced to two years' deferred adjudication, and ordered to pay a \$2,000 fine. Ms. Gilbert was also ordered to pay restitution to the City of Lufkin for the cost of installing a manhole in the city sewer collection line at the Best Rate Septic business location.

An investigation conducted by the TCEQ Environmental Crimes Unit and the TPWD Environmental Crimes Unit determined that Kathleen Wagner Gilbert discharged septic tank waste into the City of Lufkin sewer collection system without the proper authorization, which caused significant accumulation of grease in the collection system lines.

The case was prosecuted by the Angelina County District Attorney's Office.

3. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Mike Tate's 99 Jeffrey Elliot	AIR	1 Individual	3		10/27/14

**Case Specifics:**

On October 27, 2014, Jeffrey Elliot pleaded guilty to 3 second degree felony counts of Tampering with a Governmental Record, Tex. Penal Code § 37.10. Mr. Elliot was sentenced to three years of deferred adjudication and 150 hours of community service. Mr. Elliot was also ordered not to perform state vehicle inspections during the term of probation.

An investigation conducted by the TCEQ Environmental Crimes Unit determined that Mr. Elliot falsified vehicle emission tests.

The case was prosecuted by the Fort Bend County District Attorney's Office.

## Appendix 19: Criminal Convictions FY 2015

4. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Jerry Miller Will Gaines, LLC	MSW	1 Individual		1	10/16/14

**Case Specifics:**

On October 16, 2014, Jerry Miller pleaded guilty to one Class B Misdemeanor count of Illegal Dumping, Tex. Health & Safety Code § 365.012. Mr. Miller was sentenced to two years of deferred adjudication, and ordered to pay a \$2,000 fine.

An investigation conducted by the TCEQ Environmental Crimes Unit and the TPWD Environmental Crimes Unit determined that Mr. Miller disposed solid waste at an unpermitted location in Kennedy County.

The case was prosecuted by the Kennedy County District Attorney's Office.

5. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Enrique Fierro	IHW	1 Individual	1		11/14/14

**Case Specifics:**

On November 14, 2014, Enrique Cuellar Fierro pleaded to one felony count of Violations Related to Hazardous Waste, Tex. Water Code § 7.162(a)(2). Mr. Fierro was sentenced to three years of deferred adjudication, and pay \$6,000 restitution to Yoakum County and \$11,180.19 restitution to the TCEQ for the cost of lab analysis.

An investigation conducted by the TCEQ Environmental Crimes Unit and the TPWD Environmental Crimes Unit determined that Mr. Fierro illegally burned copper wire, which generated a hazardous waste, and that Mr. Fierro disposed the hazardous waste at an unpermitted location.

The case was prosecuted by the Travis County District Attorney's Office.

## Appendix 19: Criminal Convictions FY 2015

6. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
KGN Environmental and Metals	MSW	1 Corporation		1	3/10/15
<p><b>Case Specifics:</b></p> <p>On March 10, 2015, KGN Business Enterprises, Inc., pleaded guilty to one misdemeanor count of illegal dumping Tex. Health &amp; Safety Code § 365.012. The court ordered the defendant to pay a \$2000 fine and \$60,000 in remediation costs.</p> <p>An investigation conducted by the TCEQ Environmental Crimes Unit and TPWD Environmental Crimes Unit determined that KGN illegally disposed waste generated by the dismantlement of a barge.</p> <p>The case was prosecuted by the Travis County Attorney's Office.</p>					

7. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Robert Jackson Marshall	AIR	1 Individual		1	5/13/15
<p><b>Case Specifics:</b></p> <p>On May 13, 2015, Robert Jackson Marshall was sentenced on one misdemeanor count of violating motor fuel tax requirements under Chapter 162 of the Texas Tax Code. Mr. Marshall was ordered to serve one year of deferred adjudication, and ordered to pay a \$2,000 fine and \$13,968.28 restitution.</p> <p>An investigation by the Texas Comptroller of Public Accounts, Criminal Investigations Division and TCEQ Environmental Crimes Unit determined that Mr. Jackson illegally possessed dyed diesel fuel with the intent to use the fuel on a public roadway.</p> <p>The case was prosecuted by the Montgomery County Attorney's Office.</p>					

## Appendix 19: Criminal Convictions FY 2015

8. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Glen Lee Williams	MSW	1 Individual	1		6/26/15
<p><b>Case Specifics:</b></p> <p>On June 26, 2015, Glen Lee Williams was sentenced on one felony count of illegal dumping in violation of Tex. Health &amp; Safety Code § 365.012. Mr. Williams was ordered to serve five years of probation. In lieu of restitution, Mr. Williams was ordered to perform 600 hours of community service.</p> <p>An investigation conducted by the TCEQ Environmental Crimes Unit determined that Mr. Williams illegally disposed scrap tires at an unauthorized location in Bell County.</p> <p>The case was prosecuted by the Bell County District Attorney's Office.</p>					

9. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Emily Fuenes-Pena	Air	1 Individual	1		7/10/15
<p><b>Case Specifics:</b></p> <p>On July 10, 2015, Emily Fuenes-Pena was sentenced on one felony count of Tampering with a Governmental Record. Ms. Fuenes-Pena was ordered to serve two years of deferred adjudication, pay a \$2,000 fine, and to not conduct vehicle inspections for two years.</p> <p>An investigation conducted by the TCEQ Environmental Crimes Unit and the Houston Police Department determined that Ms. Fuenes-Pena falsified vehicle emission tests.</p> <p>The case was prosecuted by the Harris County District Attorney's Office.</p>					

## Appendix 19: Criminal Convictions FY 2015

10.	Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
	New Energy Fuels	Air	4 Individuals	5		8/27/15

**Case Specifics:**

On August 27, 2015 Dean Daniels, pleaded guilty to one count of Conspiracy to Commit Offenses and Defraud the United States, 18 U.S.C. § 371, and one count to Failure to Placard, 49 U.S.C. § 5124; William Bradley pleaded guilty to one count of Conspiracy to Commit offenses and Defraud the United States, 18 U.S.C. § 371; Richard Smith pleaded guilty to one count of Conspiracy to Commit Offenses and Defraud the United States, 18 U.S.C. § 371; and Brenda Daniels pleaded guilty to one count of Conspiracy to Commit Offenses and Defraud the United States, 18 U.S.C. § 371.

Dean Daniels was sentenced to 63 months imprisonment, followed by three years of supervised release and 100 hours of community service

William Bradley was sentenced to 51 months incarceration followed by three years of supervised release and 100 hours of community service.

Richard Smith was sentenced to 41 months incarceration, followed by three years of supervised release and 100 hours of community service.

Brenda Daniels was sentenced to 366 days imprisonment, followed by three years of supervised release and 100 hours of community service.

The four co-defendants are jointly and severally liable for \$23,347,560.92 in restitution.

An investigation by the EPA Criminal Investigation Division, the Internal Revenue Service, the US Department of Transportation, TCEQ Environmental Crimes Unit, Houston Police Department, among other federal, state, and local agencies, determined that the defendants generated fraudulent biodiesel diesel credits (RINs), at a business located in Waller, Texas and a second location in Logan, Ohio. The investigation determined that the defendants sold over \$15 million in fraudulent RINS credits, and also claimed over \$7 million in related fraudulent tax credits from the IRS. In addition, hazardous waste from the Waller operation was dumped in and around the City of Houston.

The case was prosecuted by the US Department of Justice Environmental and Natural Resources Division in the Southern District of Ohio.

## Appendix 20: Criminal Convictions FY 2014

1. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Jose Ibarra & Guido Construction	TERP	1 Individual 1 Corporation	2		9/5/13

**Case Specifics:**

On July 25, 2013, Jose Villarreal Ibarra pleaded guilty to one second degree felony count of Securing Execution of a Document by Deception, Tex. Penal Code § 32.46.

On September 5, 2013, Mr. Ibarra was sentenced to five years' probation, and ordered to pay \$220,000 restitution to the TCEQ, and publish a public apology in the Dallas Morning News.

On July 25, 2013, Guido Construction, Inc. pleaded guilty to one first degree felony count of Securing Execution of a Document by Deception, Tex. Penal Code § 32.46.

On September 5, 2013, Guido Construction, Inc. was ordered to pay a \$30,000 fine.

An investigation conducted by the TCEQ Environmental Crimes Unit, EPA Criminal Investigation Division, and the TPWD Environmental Crimes Unit determined that Mr. Ibarra and Guido Construction, Inc. submitted falsified documents to the TCEQ TERP program.

The case was prosecuted by the Travis County District Attorney's Office.

2. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
IPMR/William Lafon Musgrove	Air	1 Individual		1	9/12/13

**Case Specifics:**

On August 7, 2013, William Lafon Musgrove pleaded guilty to one misdemeanor count of Negligent Release of an Extremely Hazardous Substance, 42 USC § 7413(c)(4).

On September 12, 2013, Mr. Musgrove was sentenced to three years' probation, and ordered to pay a \$10,000 fine.

Mr. Musgrove is the vice president and operations manager of Industrial Precious Metals Recovery, Inc. (IPMR), in Royse City, Texas. An investigation conducted by the EPA Criminal Investigation Division and TCEQ Environmental Crimes Unit and determined that Mr. Musgrove allowed IPMR's metal recovery process to release Nitrogen Oxides without utilizing the company's air scrubber.

The case was prosecuted by the United States Attorney's Office for the Eastern District of Texas.

## Appendix 20: Criminal Convictions FY 2014

3. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Marquis Clyburn	IHW/Water	1 Individual	2		10/3/13

**Case Specifics:**

On October 3, 2013, Marquis Edward Clyburn pleaded guilty to one felony count of Intentional or Knowing Unauthorized Discharge of Waste, Tex. Water Code § 7.145, and one felony count for Violations Related to Hazardous Waste, Tex. Water Code § 7.162.

Mr. Clyburn was sentenced to two years' confinement in the Texas Department of Criminal Justice.

Mr. Clyburn also pleaded guilty and was sentenced to three additional felony offenses related to theft, forgery, and theft by check.

An investigation conducted by the Texas Parks and Wildlife Environmental Crimes Unit and the TCEQ Environmental Crimes Unit determined that Mr. Clyburn intentionally or knowingly stored corrosive hazardous waste in violation of TCEQ rules, and discharged industrial waste into a storm drain.

The case was prosecuted by the Harris County District Attorney's Office.

4. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Patrick Todd/ Texas Lone Star Leasing	TERP	1 Individual	1		10/4/2013

**Case Specifics:**

On July 29, 2013, Patrick Garfield Todd pleaded guilty to one first degree felony count of Securing Execution of a Document by Deception, Tex. Penal Code § 32.46.

On October 4, 2013, Mr. Todd was sentenced to five years' probation, and required to publish a public apology in the Dallas Morning News. In FY13, Todd's company, Texas Lone Star Leasing, paid \$194,610 restitution to the TERP program.

An investigation conducted by the TCEQ Environmental Crimes Unit, EPA Criminal Investigation Division, and TPWD Environmental Crimes Unit determined that Mr. Todd submitted falsified information to the TCEQ in a TERP grant application.

The case was prosecuted by the Travis County District Attorney's Office.

## Appendix 20: Criminal Convictions FY 2014

5. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Gary Thompson	Water	1 Individual		1	10/9/2013

**Case Specifics:**

On October 9, 2013, Gary Lee Thompson pleaded guilty to one misdemeanor count of Tampering with a Governmental Record, Tex. Penal Code § 37.10.

Mr. Thompson was ordered to pay a \$4,000 fine.

An investigation conducted by the TCEQ Environmental Crimes Unit and the EPA Criminal Investigation Division determined that Mr. Thompson knowingly submitted a false report to the TCEQ regarding the cause of a release of ferric sulfate into the environment.

The case was prosecuted by the Travis County District Attorney's Office.

6. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
CES, Matthew Bowman, Ryan C. Thomas	IHW	2 individuals	2	1	10/28/13 7/14/14

**Case Specifics:**

On May 9, 2013, Matthew Bowman pleaded guilty to one misdemeanor count of Willfully Causing Death to an Employee, 29 USC § 666 and one felony count of False Statement, 18 USC § 1001. On October 28, 2013, Matthew Bowman was sentenced to 12 months incarceration, 1 year probation, and a \$5,000 fine.

On July 14, 2014, Ryan Christopher Thomas pleaded guilty to one felony count of False Statement, 18 U.S.C. § 1001, and was sentenced to one year probation, 100 hours community service, and a \$500 fine.

An investigation conducted by the EPA Criminal Investigation Division, TCEQ Environmental Crimes Unit, US Dept. of Transportation, TPWD Environmental Crimes Unit, and Houston Police Department determined that Mr. Bowman, the former President of Port Arthur Chemical and Environmental Services, LLC, failed to protect employees from exposure hydrogen sulfide gas, and directed employees to falsify documents related to wastewater disposal. The investigation determined that Ryan Thomas, Logistics Manager for PACES, falsified non-hazardous waste manifests related to waste managed at the PACES facility.

The case was prosecuted by the US Attorney's Office for the Eastern District of Texas and the US Dept. of Justice Environmental Crimes Section.

## Appendix 20: Criminal Convictions FY 2014

7. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Primo Garage/Ivan Yave Gonzalez	Air	1 Individual	1		11/1/13

**Case Specifics:**

On November 1, 2013, Ivan Y. Gonzalez pleaded guilty to one felony count of Tampering with a Governmental Record, Tex. Penal Code § 37.10. Mr. Gonzalez was ordered to serve 5 years' deferred adjudication probation.

An investigation conducted by the Houston Police Department and TCEQ Environmental Crimes Unit found that Mr. Gonzalez made a false entry in a Texas Vehicle Inspection Report.

The case was prosecuted by the Harris County District Attorney's Office.

8. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Mijelum, Raymundo Soto, Jaime Montenegro	MSW	2 Individuals		2	11/5/13 1/27/14

**Case Specifics:**

On November 5, 2013, Raymundo Soto pleaded guilty to one misdemeanor count of Illegal Dumping, Tex. Health & Safety Code § 365.012. Mr. Soto was sentenced to 12 months deferred adjudication, a \$500 fine, and 50 hours of community service.

On January 27, 2014, Jaime Montenegro pleaded guilty to one misdemeanor count of Illegal Dumping, Tex. Health & Safety Code § 365.012. Mr. Montenegro was sentenced to 12 months deferred adjudication, a \$3000 fine, and 80 hours of community service.

An investigation conducted by the TCEQ Environmental Crimes Unit determined that Mr. Soto and Mr. Montenegro disposed street sweeping debris at an unpermitted location in El Paso County.

The case was prosecuted by the El Paso County Attorney's Office.

## Appendix 20: Criminal Convictions FY 2014

9. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Juan Deanda	MSW	1 Individual		10	11/20/13

**Case Specifics:**

On January 26, 2011, Juan Deanda pleaded guilty to Illegal Dumping, Tex. Health & Safety Code § 365.012, was sentenced to 3 years' deferred adjudication, and ordered to pay a \$100,000 fine and to clean up the dump site within 60 days.

On November 20, 2013, Juan Deanda plead true to a Motion to Adjudicate for violating conditions of his probation, and was adjudicated guilty of 10 counts of attempted illegal dumping under Tex. Health & Safety Code § 365.012. Mr. Deanda was ordered to serve 10 days in jail.

An investigation conducted by the Travis County Attorney's Office and the TCEQ Environmental Crimes Unit found that Capitol City Disposal, Inc., Mr. Deanda's company, operated an unpermitted landfill in Travis County.

The case was prosecuted by the Travis County District Attorney's Office.

10. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Billy Ray Ellis	Water	1 Individual		1	12/13/13

**Case Specifics:**

On December 13, 2013, Billy Ray Ellis pleaded guilty to one count of Unauthorized Discharge, Tex. Water Code § 7.147, and sentenced to 3 days in county jail.

An investigation conducted by TPWD Environmental Crimes Unit and the TCEQ Environmental Crimes unit determined that Mr. Ellis illegally disposed demolition debris in the Neches River, in Jasper County.

The case was prosecuted by the Travis County Attorney's Office.

## Appendix 20: Criminal Convictions FY 2014

11. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
CITGO	Air	2 Corporations	4	3	01/05/14

**Case Specifics:**

On January 5, 2014, CITGO Petroleum Corporation was ordered to pay a \$1,000,000 fine for two felony violations of Mishandling a Hazardous Air Pollutant, 42 U.S.C. §§ 7413(c)(1) and 7411(e).

On January 5, 2014, CITGO Refining and Chemicals Company, LLP was ordered to pay a \$1,000,000 fine for two felony violations of Mishandling a Hazardous Air Pollutant, 42 U.S.C. §§ 7413(c)(1) and 7411(e), and a \$45,000 fine for three misdemeanor counts for Taking of Migratory Birds Without a Permit, 16 U.S.C. §§ 703 and 707(a).

An investigation conducted by EPA Criminal Investigation Division, TCEQ Environmental Crimes Unit, Federal Bureau of Investigation, US. Fish and Wildlife Service, and TPWD Environmental Crimes Unit found that CITGO operated two open top tanks at its Corpus Christi refinery without required emission control devices.

The case was prosecuted by the US Dept. of Justice Environmental Crimes Section.

12. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Enterprise Transport	Air	1 Corporation 1 Individual		6	1/13/2014

**Case Specifics:**

On January 7, 2014, Enterprise Logistic Services, L.L.C. pleaded guilty to four misdemeanor counts of Violations Related to Hazardous Waste, Tampering with a Pollution Control Device, Tex. Water Code § 7.162(a)(6). Enterprise Logistic Services was ordered to pay a \$1,000,000 fine.

On January 7, 2014, John C. Schultz pleaded guilty to two misdemeanor counts of Violations Related to Hazardous Waste, Tampering with a Pollution Control Device, Tex. Water Code § 7.162(a)(6). Mr. Schultz was sentenced to two years' deferred adjudication, and ordered to pay a \$200,000 fine.

An investigation conducted by the TCEQ Environmental Crimes Unit, TPWD Environmental Crimes Unit, and EPA Criminal Investigation Division determined that Mr. Schultz, the manager Enterprise Logistic Services' tank truck washing facility in Freeport, TX, caused chemical tank trucks to be cleaned without venting emissions though a flare, as required by TCEQ regulations.

The case was prosecuted by the Travis County District Attorney's Office.

## Appendix 20: Criminal Convictions FY 2014

13. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Rodney Greenwood	Water	1 Individual		1	1/17/14

**Case Specifics:**

On January 14, 2014, Rodney C. Greenwood pleaded guilty to one misdemeanor count of Tampering with a Governmental Record, Tex. Penal Code § 37.10. Mr. Greenwood was sentenced to two years' community supervision, ordered to complete a wastewater operator class, and pay a \$3,000 fine.

An investigation conducted by the TCEQ Environmental Crimes Unit and EPA Criminal Investigation Division determined that Mr. Greenwood submitted false Discharge Monitoring Reports to the TCEQ.

The case was prosecuted by the Travis County District Attorney's Office.

14. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Califco Jonathan Shokrian	IHW	1 Corporation 1 Individual	2		2/27/14

**Case Specifics:**

On April 9, 2013, Jonathan Isaac Shokrian pleaded guilty to 1 felony count of Failure to Notify, 42 U.S.C. § 7413(c)(2)(B).

On February 27, 2014, Mr. Shokrian was sentenced to 1 year and 1 day of imprisonment, ordered to pay a \$25,000 fine, and make restitution to the victims of his crime by paying for medical monitoring for those exposed to asbestos. Upon release, Shokrian will be under supervised release for 1 year.

On April 9, 2013, Califco LLC pleaded guilty to 1 felony count of Failure to Notify, 42 U.S.C. § 7413(c)(2)(B).

On February 27, 2014, Califco LLC was placed on probation for a period of five years, ordered to pay a fine of \$500,000, and make restitution to the victims of the crime by paying for medical monitoring for those exposed to asbestos.

An investigation conducted by the EPA Criminal Investigation Division, TCEQ Environmental Crimes Unit, and TPWD Environmental Crimes Unit found that Mr. Shokrian and Califco LLC improperly disposed asbestos containing material, and exposed employees to asbestos.

The case was prosecuted by the United States Attorney's Office for the Northern District of Texas.

## Appendix 20: Criminal Convictions FY 2014

15. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Anthony Hanks	Air	1 Individual	1		2/27/14

**Case Specifics:**

On February 27, 2014, Anthony Hanks pleaded guilty to one second degree felony count of Tampering with a Governmental Record, Tex. Penal Code § 37.10. Mr. Hanks was sentenced to 3 years' deferred adjudication, ordered to pay \$1,000 fine. Mr. Hanks is required to perform 100 hours of community service, and is prohibited from conducting vehicle inspections for 3 years.

An investigation conducted by the Houston Police Department and TCEQ Environmental Crimes Unit found that Mr. Hanks made a false entry in a Texas Vehicle Inspection Report.

The case was prosecuted by the Harris County District Attorney's Office

16. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
AARC	Water	1 Corporation		1	3/21/14

**Case Specifics:**

On March 21, 2014, AARC Environmental Services Inc., pleaded guilty to one misdemeanor count of Tampering with a Governmental Record, Tex. Penal Code § 37.10. AARC Environmental Services Inc. was ordered to pay a \$100,000 fine.

An investigation conducted by the TCEQ Environmental Crimes Unit and TPWD Environmental Crimes Unit determined that AARC Environmental Services Inc. submitted false Discharge Monitoring Reports to the TCEQ.

The case was prosecuted by the Travis County District Attorney's Office.

## Appendix 20: Criminal Convictions FY 2014

17. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
LTW Enterprise/Lamont Waits	MSW	1 Individual		1	4/1/14

**Case Specifics:**

On April 1, 2014, Lamont Tyrone Waits pleaded guilty to one misdemeanor count of Illegal Dumping, Tex. Health & Safety Code § 365.012. Mr. Waits was sentenced to 1 year deferred adjudication, was ordered to pay \$200 restitution and a \$1,000 fine, and perform 24 hours of community service.

An investigation conducted by the TCEQ Environmental Crimes Unit and the Houston Police Department determined that Lamont Waits disposed municipal solid waste in a vacant lot, which was not an authorized waste disposal site.

The case was prosecuted by the Harris County District Attorney's Office.

18. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Red Dog Track, Inc.	TERP	1 Corporation		1	4/4/14

**Case Specifics:**

On April 4, 2014, Red Dog Track, Inc. pleaded guilty to one misdemeanor count of Tampering with a Governmental Record, Tex. Penal Code § 37.10.

On April 4, 2014, Red Dog Track, Inc. was ordered to pay \$150,000 restitution to the TCEQ and a \$7,500 fine.

An investigation conducted by the TCEQ Environmental Crimes Unit and the TPWD Environmental Crimes Unit determined that employees of Red Dog Track, Inc. submitted fraudulent usage reports to the TCEQ TERP program.

The case was prosecuted by the Travis County District Attorney's Office.

## Appendix 20: Criminal Convictions FY 2014

19. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Alice Alexandria	Water	1 Individual		1	4/7/14

**Case Specifics:**

On April 7, 2014, Alice Alexandria pleaded guilty to one misdemeanor count of Water Pollution, Tex. Water Code § 7.147, and was ordered to pay a \$1,500 fine.

An investigation conducted by the TCEQ ECU and Houston Police Department determined that Alice Alexandria, a dock worker at a business on the Houston Ship Channel, punctured two drums of drilling fluid with a fork lift and allowed the contents to discharge into the ship channel.

The case was prosecuted by the Harris County District Attorney's Office.

20. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Warrior Resources, Inc.	Water	1 Corporation		1	4/30/14

**Case Specifics:**

On April 30, 2014, Warrior Resources, Inc. pleaded guilty to one misdemeanor count of Unauthorized Discharge, Tex. Water Code § 7.147, and was ordered to pay a \$15,000 fine.

An investigation conducted by TPWD Environmental Crimes Unit and the TCEQ Environmental Crimes Unit determined that employees of Warrior Resources, Inc. allowed the discharge of a pollutant into water in the state.

The case was prosecuted by the Travis County District Attorney's Office.

## Appendix 20: Criminal Convictions FY 2014

21. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Insul-8, MS Industrial Services Inc.	Water	1 Corporation		1	5/22/14

**Case Specifics:**

On May 22, 2014, MS Industrial Services Inc. pleaded guilty to 1 misdemeanor counts water pollution, Tex. Water Code 7.147, and was ordered to pay a \$12,500 fine.

An investigation conducted by the Houston Police Department and the TCEQ Environmental Crimes Unit determined that on October 10, 2013, employees of MS Industrial Services Inc, dba Insul-8 allowed the discharge of fiberglass insulation material into the Houston Ship Channel, in violation of TCEQ rules.

The case was prosecuted by the Harris County District Attorney's Office.

22. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Tank's Paintball	Water	1 Corporation	1		6/20/14

**Case Specifics:** On June 20, 2014, Tank's Paintball Park, Inc. pleaded guilty to 1 felony count of Unauthorized Discharge, Tex. Water Code § 7.145, and was ordered to pay a \$7,500 fine.

An investigation conducted by the TCEQ Environmental Crimes Unit and the Houston Police Department determined that Tank's Paintball Park, Inc. caused a failing septic system to discharge into a Harris County flood control ditch.

The case was prosecuted by the Travis County District Attorney's Office.

## Appendix 20: Criminal Convictions FY 2014

23. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Woodscape Apartments/ Amaz Property Management	Water	1 Corporation		1	6/25/14
<p><b>Case Specifics:</b> On June 25, 2014, Amaz Property Management USA, Inc. plead no contest to one misdemeanor count water pollution, Tex. Water Code § 7.147, and was ordered to pay a \$10,000 fine, and \$1,269.35 in restitution to the City of Houston.</p> <p>The case investigation conducted by TCEQ Environmental Crimes Unit determined that Amaz Property Management USA, Inc. allowed the discharge of sewage into a storm drain located near 9707 South Gessner Rd, in Houston.</p> <p>The case was prosecuted by the Harris County District Attorney's Office.</p>					

24. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Randall Kersh	MSW	1 Individual		7	7/1/14
<p><b>Case Specifics:</b> On July 1, 2014, Randall Kersh pleaded guilty to seven misdemeanor counts of Illegal Dumping, Tex. Health &amp; Safety Code § 365.012. Mr. Kersh was sentenced to 2 years of community supervision, and ordered to pay a \$7,200 fine</p> <p>An investigation conducted by the TCEQ Environmental Crimes Unit determined that Mr. Kersh disposed scrap tires at an unpermitted location in Rusk County.</p> <p>The case was prosecuted by the Travis County District Attorney's Office.</p>					

## Appendix 20: Criminal Convictions FY 2014

25.	Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
	HDI	Water	1 Corporation		2	7/17/14
<p><b>Case Specifics:</b> On July 17, 2014, HDI, Inc. pleaded guilty to 2 misdemeanor counts of Unauthorized Discharge, Tex. Water Code § 7.147, and was ordered to pay a \$20,000 fine. An investigation conducted by TPWD Environmental Crimes Unit and the TCEQ Environmental Crimes Unit determined that employees of HDI, Inc. allowed the discharge of a pollutant into water in the state.</p> <p>The case was prosecuted by the Travis County District Attorney's Office.</p>						

26.	Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
	System Launch	Air	1 Individual	2		8/19/14
<p><b>Case Specifics:</b></p> <p>On January 14, 2014, Michael G. Johnson pleaded guilty to felony counts of Wire Fraud, 13 U.S.C. § 1843, and False Statements Under the Clean Air Act, 42 U.S.C. §7413(c)(2)(A). On August 19, 2014, Mr. Johnson was sentenced to 28 months imprisonment, and ordered to pay \$354,529 in restitution.</p> <p>An investigation conducted by the EPA Criminal Investigation Division and the TCEQ Environmental Crimes Unit determined that Mr. Johnson obtained EPA-issued Certificates of Conformity by submitting fraudulent vehicle engine emission tests.</p> <p>The case was prosecuted by the United States Attorney's Office for the Northern District of Texas.</p>						

## Appendix 20: Criminal Convictions FY 2014

27. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Tonda Helms, Spring Lake MHP	Water	1 Individual		1	8/28/14
<p><b>Case Specifics:</b></p> <p>On August 28, 2014, Tonda Jolane Helms pleaded guilty to one misdemeanor count of Unauthorized Discharge, Tex. Water Code § 7.147, and was sentenced to 2 years' probation, 80 hours of community service and ordered to pay \$1005 in restitution to the TCEQ.</p> <p>An investigation conducted by the TCEQ Environmental Crimes Unit determined that Ms. Helms operated a wastewater treatment plant at Spring Lake Mobile Home Park, near Tyler, without the required permit.</p> <p>The case was prosecuted by the Travis County District Attorney's Office.</p>					

## Appendix 21: Criminal Convictions FY 2013

1. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Upland Investments	Air	5 Individuals	5		3/18/13

**Case Specifics:**

On November 8, 2011, Bich Dong Ngo pleaded guilty to one felony count of False Statement under the Clean Air Act, 42 U.S.C. § 7413(c)(2)(A). On September 21, 2012, the United States District Court for the Northern District of Texas sentenced Bich Dong Ngo to 1 year probation, and no fine.

On January 1, 2012, Dahn Cong Tran pleaded guilty to one felony count of False Statement under the Clean Air Act, 42 U.S.C. § 7413(c)(2)(A). On September 5, 2012, the United States District Court for the Northern District of Texas sentenced Dahn Cong Tran to 1 year probation, and no fine.

On October 4, 2011, Huy Ngoc Nguyen pleaded guilty to one felony count of False Statement under the Clean Air Act, 42 U.S.C. § 7413(c)(2)(A). On September 5, 2012, the United States District Court for the Northern District of Texas sentenced Huy Ngoc Nguyen to 1 year probation, and no fine.

On August 7, 2012, Nghiem Van Tran pleaded guilty to one felony count of Conspiracy to Violate the Clean Air Act, 18 U.S.C. § 371, and 42 U.S.C. § 7413(c)(2)(A). On March 18, 2013, the United States District Court for the Northern District of Texas sentenced Nghiem Van Tran to 15 months imprisonment, which will begin on February 25, 2014, followed by one year of supervised release, and a \$5,000 fine.

On August 20, 2012, Nghi Cong Tran pleaded guilty to one felony count of Conspiracy to Violate the Clean Air Act, 18 U.S.C. § 371, and 42 U.S.C. § 7413(c)(2)(A). On December 5, 2012, the United States District Court for the Northern District of Texas sentenced Nghi Cong Tran to 15 months imprisonment, followed by one year of supervised release, and no fine.

A joint investigation conducted by TCEQ ECU, TX DPS, and EPA-CID determined that the defendants participated in a scheme to falsify vehicle emission tests.

This case was prosecuted by the United States Attorney's Office for the Northern District of Texas.

## Appendix 21: Criminal Convictions FY 2013

2. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Hot Transport	IHW	1 Individual	1		10/23/12

**Case Specifics:** On October 23, 2012, David Overdorf, pleaded guilty to one felony count of Disposal of Hazardous Waste without a Permit, 42 U.S.C. § 6928(d)(2)(A).

Mr. Overdorf was sentenced to five years probation, and ordered to pay a \$50,000 fine.

An investigation conducted by EPA-CID and TCEQ ECU determined that Mr. Overdorf illegally disposed of hazardous waste generated by HOT Transport. The hazardous waste consisted of tank wash wastewater that had the characteristics of ignitability and benzene toxicity.

This case was prosecuted by the United States Attorney's Office for the Eastern District of Texas.

3. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Pemco Services, Inc	Water	1 Corporation	8		11/2/12

**Case Specifics:** On November 2, 2012, Pemco Services, Inc. pleaded guilty to eight felony counts of Unauthorized Discharge of a Waste or Pollutant, Tex. Water Code § 7.145(a)(1) and (a)(2).

After a sentencing hearing, Pemco Services, Inc. was ordered to pay a \$1,350,000 fine and \$14,534.31 restitution to the Texas Parks and Wildlife Department.

An investigation conducted by the TPWD and TCEQ ECU determined that Pemco Services Inc. operated a drilling mud landfarm in violation of its Railroad Commission permit. Pemco Services Inc. disposed more than the permit volume of drilling mud at the site, and allowed stormwater contaminated with drilling mud to run off the facility, in violation of Railroad Commission rules.

This case was prosecuted by the Travis County District Attorney's Office.

## Appendix 21: Criminal Convictions FY 2013

4. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Team Industrial Services, Inc.	Air	1 Corporation		1	11/15/12
<p><b>Case Specifics:</b> On November 15, 2012, Team Industrial Services pleaded guilty to one count of Negligent Release of Hazardous Air Pollutants, 42 USC § 7413(c)(4) and 18 USC § 2.</p> <p>Team Industrial Services, Inc. was sentenced to five years probation, and a \$200,000.00 fine.</p> <p>An investigation by EPA-CID and TCEQ ECU determined that Team admitted that it negligently released hazardous pollutants from a refinery in Borger, Texas. Team Industrial Services did not follow required emission monitoring protocols, manipulated testing, and reported false information to EPA and TCEQ.</p> <p>This case was prosecuted by the United States Attorney's Office for the Northern District of Texas.</p>					

5. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Battery Reclamation, Inc.	Waste	3 Individuals		3	12/12/12
<p>On December 3, 2012, Kathlene Ann Cox pleaded guilty to one misdemeanor count of Negligent Release of a Hazardous Air Pollutant, 42 U.S.C. § 7413(c)(4). On December 12, 2012, Ms. Cox was sentenced to 1 year probation.</p> <p>On December 3, 2012, Robert L. Curry, Sr. pleaded guilty to one misdemeanor count of Negligent Release of a Hazardous Air Pollutant, 42 U.S.C. § 7413(c)(4). On December 12, 2012, Mr. Curry was sentenced to 1 year probation.</p> <p>On December 3, 2012, Herbert A.F. Larsen pleaded guilty to one misdemeanor count of Negligent Release of a Hazardous Air Pollutant, 42 U.S.C. § 7413(c)(4). On December 12, 2012, Mr. Larsen was sentenced to 1 year probation.</p> <p>An investigation conducted by the TCEQ ECU and the EPA-CID determined that the defendants operated a battery recycling facility in Pecos, Texas. The processing of alkaline batteries at the facility resulted in the large amounts of zinc manganese powder which was contaminated with mercury and cadmium. The stockpiling of this waste at the facility resulted in the continued release of hazardous air pollutants from the facility.</p> <p>This case was prosecuted by the United States Attorney's Office for the Western District of Texas.</p>					

## Appendix 21: Criminal Convictions FY 2013

6. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
McCulloch	Air	1 Corporation		1	12/14/12
<p>On December 14, 2012 McCulloch Auto Salvage, Inc. pleaded no contest to one misdemeanor count of Tampering with a Governmental Record, Tex. Penal Code § 37.10. McCulloch Auto Salvage, Inc. was ordered to pay a \$2,000 fine.</p> <p>An investigation conducted by the TCEQ ECU determined that McCulloch Auto Salvage submitted a falsified "Air Check Texas Retired Vehicle Transfer Manifest" to the TCEQ, which falsely indicated that a vehicle had been destroyed pursuant to the Low Income Vehicle Repair Assistance, Retrofit, and Accelerated Vehicle Retirement Program (LIRAP).</p> <p>This case was prosecuted by the Travis County Attorney's Office.</p>					

7. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Champion Dirt	Air	1 Corporation 1 Individual	2	2	12/19/12
<p>On December 19, 2012, Champion Dirt, Inc. pleaded guilty to two first degree felony counts of Aggregated Securing Execution of a Document by Deception, Tex. Penal Code § 32.46. Champion Dirt, Inc. was ordered to pay a \$10,000 fine.</p> <p>On December 19, 2012, Billy Ray Martinez, Sr. pleaded guilty to two misdemeanor counts of Securing Execution of a Document by Deception, Tex. Penal Code § 32.46. Billy Ray Martinez was sentenced to 2 years probation, and ordered to pay \$340,000 restitution to the TCEQ TERP program.</p> <p>An investigation conducted by the TCEQ ECU determined that Billy Ray Martinez and Champion Dirt, Inc. obtained several TERP grants from the TCEQ by fraud.</p> <p>This case was prosecuted by the Travis County District Attorney's Office.</p>					

## Appendix 21: Criminal Convictions FY 2013

<b>8. Case Name</b>	<b>Media Type</b>	<b>Number of Defendants</b>	<b>Felony Counts</b>	<b>Misdemeanor Counts</b>	<b>Date of Case Resolution</b>
Terra Biochem, LLC	Water	1 Corporation		1	2/1/13
<p>On February 1, 2013, Terra Biochem, LLC pleaded guilty to one misdemeanor count of Unauthorized Discharge, Tex. Water Code § 7.147. Terra Biochem, LLC was ordered to pay a \$37,447 fine and \$12,552.89 restitution to the Texas Parks and Wildlife Department.</p> <p>An investigation by the TCEQ ECU and Texas Parks and Wildlife determined that Terra Biochem, LLC discharged industrial wastewater from its facility without a permit.</p> <p>The case was prosecuted by the Travis County District Attorney's Office.</p>					

<b>9. Case Name</b>	<b>Media Type</b>	<b>Number of Defendants</b>	<b>Felony Counts</b>	<b>Misdemeanor Counts</b>	<b>Date of Case Resolution</b>
Charles Holston, Inc.	Water	3 Corporations		3	10/16/2012
<p>On October 16, 2012, Industrial Truck Lift, Inc. pleaded guilty to a class C misdemeanor count of Illegal Dumping, Tex. Health &amp; Safety Code § 365.012, and paid a \$2000 fine.</p> <p>On October 16, 2012, Rig Tools, Inc. pleaded guilty to a class C misdemeanor count of Illegal Dumping, Tex. Health &amp; Safety Code § 365.012, and paid a \$2000 fine.</p> <p>On October 16, 2012, BMG Industrial, Inc. pleaded guilty to a class C misdemeanor count of Illegal Dumping, Tex. Health &amp; Safety Code § 365.012, and paid a \$2000 fine.</p> <p>In investigation by TPWD and TCEQ ECU determined that companies associated with Charles Holston, Inc. illegally disposed contaminated stormwater from oil/gas separation facility in Center, Texas.</p> <p>The case was prosecuted by the Travis County Attorney's Office.</p>					

## Appendix 21: Criminal Convictions FY 2013

10. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
JT Kennard		1 Individual	1		3/7/13
<p>On March 7, 2013, JT Kennard pleaded guilty to one state jail felony count of Tampering with a Governmental Record, Tex. Penal Code § 37.10. JT Kennard was sentenced to 2 years deferred adjudication, and ordered to pay a \$200 fine.</p> <p>An investigation conducted by the TCEQ ECU determined that JT Kennard submitted falsified solid waste disposal records to the TCEQ Dallas/Fort Worth Regional Office. The falsified records were related to compliance with a pending administrative enforcement case.</p> <p>The case was prosecuted by the Tarrant County District Attorney's Office.</p>					

11. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Fermin Collaso	Waste	1 Individual	1		7/16/13
<p>On July 16, 2013, Fermin Collaso pleaded guilty to one state jail felony count of Illegal Dumping, Tex. Health &amp; Safety Code § 365.012. Fermin Collaso was sentenced to 3 years deferred adjudication, and ordered to pay a \$1,000 fine.</p> <p>An investigation conducted by the TCEQ ECU determined that Fermin Collaso illegally disposed solid waste, for commercial purposes, at 10040 Farm to Market Road 1346, in Bexar County.</p> <p>The case was prosecuted by the Bexar County District Attorney's Office.</p>					

## Appendix 21: Criminal Convictions FY 2013

12.	Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
	Stomper	Air	1 Corporation 1 Individual	1	1	8/1/13 8/29/13
<p>On July 29, 2013, Texas Lone Star Leasing, LLC pleaded guilty to one felony count of Aggregated Securing Execution of a Document by Deception, Tex. Penal Code § 32.46. Texas Lone Star Leasing, LLC, was ordered to pay a \$25,000 fine and \$194,610 in restitution to the TCEQ TERP program.</p> <p>On August 29, 2013, Raymond Tupper, Manager of Texas Lone Star Leasing, LLC pleaded guilty to one misdemeanor count of Securing Execution of a Document by Deception, Tex. Penal Code § 32.46. Mr. Tupper was sentenced to 2 years deferred adjudication.</p> <p>An investigation by the TCEQ ECU and EPA-CID determined that Patrick Todd, President of Texas Lone Star Leasing, LLC, and Raymond Tupper, Manager of Texas Lone Star Leasing, LLC obtained TERP grants through fraud. Specifically, the defendants submitted grant applications for replacement of three trucks, which contained falsified photographs indicating the trucks being replaced were larger and contained additional axles.</p> <p>The case was prosecuted by the Travis County District Attorney's office.</p>						

## Appendix 22: Criminal Convictions FY 2012

1. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Stacy Wasek	WQ	1 Individual		2	10/04/2011

**Case Specifics:**

**Case Specifics:** On October 4, 2011, Stacey Wasek pleaded guilty to two counts of Attempted Unauthorized Discharge of a Waste or Pollutant in violation of Tex. Water Code § 7.147.

Ms. Wasek was sentenced to one year of deferred adjudication and was ordered to pay a \$70,000 fine, complete a TCEQ PST Operator training class, and perform 25 hours of community service.

A TCEQ investigation determined that Ms. Wasek submitted falsified documents to a TCEQ Region 11 investigator in response to a Notice of Violation. The falsified documents indicated that necessary repairs had been made to spill and overflow equipment for an underground storage tank system, when in fact those repairs had not been made.

The case was prosecuted by the Travis County District Attorney's Office.

2. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Enviro Technology, Brian Spacek	WQ	1 Individual	1		10/20/11

**Case Specifics:**

On October 20, 2011, Brian Spacek pleaded guilty to one state jail felony count of Tampering with a Governmental Record, Tex. Penal Code § 37.10.

Mr. Spacek was sentenced to two years of probation.

A TCEQ investigation determined that Brian Spacek falsified chain of custody and laboratory analytical reports. The analytical reports were submitted to the City of Austin and other governmental entities by clients of Mr. Spacek's company, Enviro Technology, Inc. for the purpose of wastewater compliance monitoring required by the Clean Water Act.

The case was prosecuted by the Travis County District Attorney's Office.

## Appendix 22: Criminal Convictions FY 2012

3. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Thunderbolt	WQ	1 Individual 2 Corporations	6		10/24/2011

**Case Specifics:**

On October 24, 2011, I.C.T Engines, Inc., DBA Thunderbolt pleaded guilty to two felony counts of an Unauthorized Discharge, in violation of the Tex. Water Code § 7.145.

I.C.T Engines, Inc. was ordered to pay a \$6,000 fine.

On October 24, 2011, Impaco, Inc. pleaded guilty to two felony counts of an Unauthorized Discharge, in violation of the Tex. Water Code § 7.145.

Impaco, Inc. was ordered to pay a \$6,000 fine.

On October 24, 2011, Harry Hartley II pleaded guilty to two felony counts of an Unauthorized Discharge, in violation of the Tex. Water Code § 7.145.

Mr. Hartley was sentenced to ten years of probation, ordered to pay \$6,000 in fines and ordered to pay \$30,000 in restitution to the City of Houston.

A joint investigation by TPWD, TCEQ and the Houston Police Department determined that the defendants violated Tex. Water Code § 7.145 by discharging industrial waste water into a storm drain.

## Appendix 22: Criminal Convictions FY 2012

4. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
A & F Industrial Services	WQ	2 Individuals	3		11/09/2011

**Case Specifics:**

On July 7, 2011, Julie Perez pleaded guilty to one count of conspiracy to violate the Clean Water Act, 18 U.S.C. § 371.

On November 9, 2011, Ms. Perez was sentenced to five years probation and ordered to pay \$11,300 restitution to the San Antonio Water System.

On July 7, 2011, Patricia Guzman pleaded guilty to one count of Conspiracy to violate the Clean Water Act, 18 U.S.C. § 371 and one count of Removal of Property to Prevent Seizure, 18 U.S.C. § 2232.

On November 9, 2011, Ms. Guzman was sentenced to five years probation and ordered to pay \$11,300 restitution to the San Antonio Water System.

A joint EPA and TCEQ investigation determined that Julie Perez and Patricia Guzman conspired to discharge thousands of gallons of used restaurant grease and sludge into Huebner Creek and sewer pipes leading to Dos Rios Water Recycling Center by completing missing information on disposal manifests and forging driver's signatures on disposal manifests, which indicated under-reporting of grease and sludge waste.

The case was prosecuted by the United States Attorney's Office for the Western District of Texas.

5. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Salvador Farias	MSW	1		1	11/15/11

**Case Specifics:**

On November 15, 2011, Salvador Farias, III, pleaded guilty to 1 misdemeanor count of Illegal Dumping, Tex. Health & Safety Code § 365.012.

Mr. Farias was sentenced to one year deferred adjudication and ordered to pay a \$500 fine.

An investigation conducted by TCEQ and the San Antonio Police Department determined that Mr. Farias allowed the disposal of construction demolition debris and wood debris on his property, located at 13105 Babcock Road, in San Antonio.

This case was prosecuted by the Bexar County District Attorney's Office.

## Appendix 22: Criminal Convictions FY 2012

6. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
HOT Transport	IHW	1 Individual	1		11/22/11

**Case Specifics:**

On November 22, 2011, Clinton Promise pleaded to one felony count of Disposal of Hazardous Waste Without a Permit, 42 USC § 692(d)(2)(A).

Mr. Promise was sentenced to three years probation, ordered to pay a \$3,000.00 fine and to perform 40 hours of community service.

An investigation by EPA and TCEQ determined that Mr. Promise illegally disposed approximately 45,000 lbs of hazardous waste generated by HOT Transport. The hazardous waste consisted of tank wash wastewater that had the characteristics of Ignitability and Benzene Toxicity.

This case was prosecuted by the United States Attorney's Office for the Eastern District of Texas.

7. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Carlos Pedro Martinez	MSW	1 Individual	1		01/04/12

**Case Specifics:**

On January 4, 2012, Carlos Pedro Martinez, pleaded guilty to 1 felony count of Illegal Dumping, in violation of Tex. Health & Safety Code § 365.012.

Mr. Martinez was sentenced to 4 years deferred adjudication and ordered to pay a \$1000 fine, and complete 120 hours of community service.

An investigation by TCEQ and the Nueces County Constable's Office determined that Mr. Martinez, the owner of Coastal Sweeping Services, Inc., disposed more than 40 loads of street sweeping waste at an unpermitted location.

The case was prosecuted by the Nueces County District Attorney's office.

## Appendix 22: Criminal Convictions FY 2012

8. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Maria Huerta	WQ	1 Individual		1	01/09/12
<p><b>Case Specifics:</b></p> <p>On January 9, 2012, Maria Huerta pleaded guilty to one count of Tampering with a Governmental Record in violation of Tex. Penal Code § 37.10.</p> <p>Ms. Huerta was sentenced to 12 months deferred adjudication, ordered to pay a \$1,500 fine and ordered to forfeit her OSSF License for the period of probation.</p> <p>A TCEQ investigation determined that Mary Huerta submitted a false governmental record to the TCEQ. Specifically, Ms. Huerta attended the 2010 Texas On-Site Wastewater Treatment Research Council training, and on February 23, 2011, submitted documentation to the TCEQ which indicated Angel Huerta had also attended that training, and Angel Huerta did not attend the training.</p> <p>The case was prosecuted by the Travis County Attorney's Office.</p>					

## Appendix 22: Criminal Convictions FY 2012

9. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Belvan Corp. Michael Davis, Daniel Meacham & Robert Stewart	AIR	1 Corporation 3 Individuals	1	3	3/23/12 1/18/12

**Case Specifics:**

On December 22, 2011, Belvan Corp pleaded guilty to 1 felony count of Violating the Clean Air Act, 42 USC § 7412(c)(2)(B), Failure to Notify or Report under the Clean Air Act, 42 USC § 7413(c)(2)(B), and Aiding and Abetting, 18 USC § 2.

On March 23, 2012, Belvan Corp was sentenced to five years probation, and ordered to pay a \$500,000 fine.

On January 18, 2012, Michael Davis, Vice President of Engineering and Operations of Belvan Corp., pleaded guilty to one misdemeanor count of Negligent Endangerment under the Clean Air Act, 42 USC § 7413(c)(4), and Aiding and Abetting, 18 USC § 2.

Mr. Davis was sentenced to one year probation, ordered to pay a \$50,000 fine, and ordered to complete an 8 Hour Environmental Awareness Training.

On January 18, 2012, Daniel Valmer Meacham, Operations Supervisor of Belvan Corp., pleaded guilty to 1 misdemeanor count of Negligent Endangerment under the Clean Air Act, 42 USC § 7413(c)(4), and Aiding and Abetting, 18 USC § 2.

Mr. Meacham was sentenced to one year probation, ordered to pay a \$22,000 fine, and ordered to complete an 8 Hour Environmental Awareness Training.

On January 18, 2012, Robert Mark Stewart, Environmental Coordinator of Belvan Corp., pleaded guilty to 1 misdemeanor count of Negligent Endangerment under the Clean Air Act, 42 USC § 7413(c)(4), and Aiding and Abetting, 18 USC § 2.

Mr. Stewart was sentenced to one year probation, ordered to pay a \$15,000 fine, and to complete an 8 Hour Environmental Awareness Training.

A joint EPA and TCEQ investigation determined that the Defendants violated the Clean Air Act by allowing the release of hydrogen sulfide, sulfur dioxide, and other air pollutants from the Belvan Midway Lane Gas Processing Plant, from October 15, 2005 to December 12, 2008, and negligently placed people in imminent danger of death or serious bodily injury. The Defendants also negligently failed to notify the appropriate regulatory authorities of the continuing emission event, as required by the Clean Air Act.

The case was prosecuted by the United States Attorney's Office for the Northern District of Texas.

## Appendix 22: Criminal Convictions FY 2012

10. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Allan Doss	MSW	1		1	1/20/12
<p><b>Case Specifics:</b></p> <p>On January 20, 2012, pleaded no contest to one misdemeanor count of Illegal Dumping, Texas Health &amp; Safety Code § 365.012.</p> <p>Mr. Doss was sentenced to one month deferred adjudication, and ordered to pay a \$500 fine.</p> <p>And investigation conducted by TPWD and TCEQ determined that Mr. Doss disposed a brush pile and a dead horse in the Colorado River.</p> <p>The case was prosecuted by the Travis County Attorney's Office.</p>					

11. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Bencor, LLC/Christine Giese	IHW	1 Corporation 1 Individual	1	1	3/23/12
<p><b>Case Specifics:</b></p> <p>On March 23, 2012, Bencor, LLC pleaded guilty to one felony count of Transportation of Hazardous Waste Without a Manifest, in violation of Texas Water Code § 7.162.</p> <p>Bencor, LLC was ordered to pay a \$50,000 fine.</p> <p>On March 23, 2012, Christine Giese pleaded guilty to one misdemeanor count of Violations Relating to Hazardous Waste.</p> <p>Ms. Giese was sentenced to 1 year deferred adjudication probation and ordered to pay \$15,138.86 in restitution to the TCEQ.</p> <p>An investigation conducted by TCEQ, TPWD, and EPA determined that Christine Giese and Bencor, LLC caused drums containing hazardous wastes to be transported from the Bencor, LLC facility in Brenham to storage unit on Ponds Springs Road in Austin, Texas. The hazardous wastes were then abandoned in the storage unit.</p> <p>The case was prosecuted by the Travis County District Attorney's Office.</p>					

## Appendix 22: Criminal Convictions FY 2012

12. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Curby Ohneiser/ Ohnheiser Properties	AIR	1 Corporation		1	6/11/12

**Case Specifics:**

On June 11, 2012, Ohneiser Properties pleaded no contest to 1 misdemeanor count of Tampering with a Governmental Record in violation of Tex. Penal Code § 37.09.

Ohneiser Properties was ordered to pay a \$10,000 fine.

An investigation by the TCEQ Environmental Crimes Unit determined that Curby Ohneiser, owner of Ohnheiser Properties, submitted a falsified Request for Reimbursement to the TCEQ TERP program. On July 19, 2010, Ohneiser submitted a Request for Reimbursement for a 2007 John Deere tractor, with supporting documentation which indicated the tractor was purchased from Brazos Valley Equipment Company in Waco, when in fact the tractor was purchased from a private seller.

The case was prosecuted by the Travis County Attorney's Office.

## Appendix 22: Criminal Convictions FY 2012

13.	Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
	Rodgers	MSW	2 Individuals	1	1	6/11/12
<p><b>Case Specifics:</b></p> <p>On June 11, 2012, Gregory Allan Rodgers pleaded guilty to one felony count of illegal dumping in violation of Texas Health &amp; Safety Code § 365.012.</p> <p>Mr. Rodgers was ordered to pay \$25,038.89 restitution (joint and several liability with co-defendant), sentenced to 5 years of probation, and ordered to complete 100 hours of community service and forfeit his TCEQ scrap tire hauler license.</p> <p>On June 11, 2012, Ruth Rodgers pleaded guilty to one misdemeanor count of Illegal Dumping in violation of Texas Health &amp; Safety Code § 365.012.</p> <p>Mrs. Rodgers was ordered to pay \$25,038.89 restitution (joint and several liability with co-defendant), sentenced to 2 years of probation, and ordered to complete 100 hours of community service and forfeit her TCEQ scrap tire hauler license.</p> <p>A joint investigation conducted by TCEQ and the Travis County Attorney's Office determined that Mr. and Mrs. Rodgers illegally dumped tires at unpermitted location, 5490 East Highway 290, Giddings, Texas, which was not an approved solid waste disposal site.</p> <p>The case was prosecuted by the Travis County District Attorney's Office.</p>						

## Appendix 22: Criminal Convictions FY 2012

14.	Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
	Hisle	WQ	1 Individual		1	6/12/12
<p><b>Case Specifics:</b></p> <p>On June 12, 2012, James Fredrick Hisle pleaded no contest to the offense of Unauthorized Discharge, in violation of the Tex. Water Code § 7.147.</p> <p>Mr. Hisle was ordered to pay a fine of \$2,000.00.</p> <p>An joint investigation conducted by TCEQ and TPWD determined that on or about July 1, 2010, Mr. Hisle allowed the discharge of a waste or a pollutant into water in the state.</p> <p>The case was prosecuted by the Travis County Attorney's Office.</p>						

15.	Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
	Jack Kile	PWS	1 Individual	1		8/6/12
<p><b>Case Specifics:</b></p> <p>On August 6, 2012, Jack Kile pleaded guilty to one state jail felony count of Tampering with a Governmental Record in violation of Tex. Penal Code § 37.09.</p> <p>Mr. Kile was sentenced to 3 years deferred adjudication, ordered to pay a \$2500 fine, must and complete a water utility management course.</p> <p>An investigation by the TCEQ Environmental Crimes Unit determined Mr. Kile knowingly submitted falsified Surface Water Monthly Operating Reports to the Texas TCEQ from June 2009 to January 2010. The falsified reports indicated that the turbidity in drinking water produced by West Cedar Creek MUD was within acceptable limits, when in fact the turbidity was too high.</p> <p>The case was prosecuted by the Travis County District Attorney's Office.</p>						

## Appendix 22: Criminal Convictions FY 2012

16. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Up-Land Investments / Ngan Tien Tran	AIR	1 Individual	1		8/13/12

**Case Specifics:**

On April 2, 2012, 2012, Ngan Tien Tran pleaded guilty to one felony count of Making a False Statement in violation of Title 18, USC § 1001.

On August 13, 2012, Mr. Tran was sentenced to 12 months and one day imprisonment, and one year probation.

A joint investigation conducted by TCEQ, TX DPS, and EPA-CID investigation determined that Mr. Tran participated in a scheme to falsify vehicle emission tests.

The case was prosecuted by the United States Attorney's Office for the Northern District of Texas.

## Appendix 23: Criminal Convictions FY 2011

1. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Outdoor Creations Company	PI	1 Individual	1		9/03/10

**Case Specifics:**

On July 24, 2009, Robert Barton McCans, Jr., was convicted of one count of violating Texas Penal Code 31.02, Theft (\$1,500-\$20,000 [SJF]).

McCans was sentenced to five (5) years of probation, fined \$500, and ordered to pay restitution of \$10,100 to the victims of his scheme.

On September 3, 2010, Mr. McCans was found to be in violation of conditions of his probation and was sentenced to one year of incarceration.

The charges are a result of Mr. McCans holding himself out as a TCEQ-licensed landscape irrigator to securing job contracts and payments then absconding with payment.

## Appendix 23: Criminal Convictions FY 2011

2. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Fleet Management Limited	WQ	1 Corporation 2 Individuals	6		9/14/2010 11/22/10

**Case Specifics:**

On June 3, 2010, Fleet Management Limited pleaded guilty to one count of failing to maintain an accurate oil record book as required by the Act to Prevent Pollution in violation of 18 U.S.C., Section 2 and two counts of making false statements to the U.S. Coast Guard in violation of 18 U.S.C., Section 1001(a).

On September 14, 2010, Fleet Management Limited was ordered to serve four years of probation and pay a \$3,000,000 fine.

On November 22, 2010, Prasada Reddy Mareddy pleaded guilty of one count of Conspiracy to Obstruct a U.S. Coast Guard Investigation in violation of 18 U.S.C. Section 371 and 1519. Mr. Mareddy was ordered to serve five years of probation and pay a \$300 fine.

On November 22, 2010, John Porunnolil Zacharias pleaded guilty of one count of Conspiracy to Obstruct a U.S. Coast Guard Investigation in violation of 18 U.S.C. Section 371 and 1519 and one count of Failure to Maintain an Oil Record Book in violation of 33 U.S.C. Section 1908(a), 33 C.F. R. Section 151.25.

Mr. Zacharias was ordered to serve five years probation and pay a \$300 fine.

The charges resulted from allegations made by crewman from vessel Lowlands Sumida alleging that the vessel was bypassing oil water separator and discharging unmonitored waste oil, sludge, and oily bilge water, directly the open sea.

## Appendix 23: Criminal Convictions FY 2011

3. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Bell County Carpet	WQ	1 Corporation		1	9/15/10
<p><b>Case Specifics:</b> On September 15, 2010, Bell County Carpet Cleaning, Inc. pleaded guilty to one count of unauthorized discharge, in violation of Tex. Water Code section 7.147.</p> <p>Bell County Carpet Cleaning, Inc. was ordered to pay a \$3,700 fine.</p> <p>The charges are a result of an employee of Bell County Carpet Cleaning, Inc. discharging wastewater and cleaning solution into a storm sewer.</p>					

4. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Gulf Chemical & Metallurgical Corp,	WQ	1 Individual	11		9/28/10
<p><b>Case Specifics:</b></p> <p>On September 28, 2010, Raj Vadlamudi, a former environmental manager of Gulf Chemical, pleaded guilty to 11 counts of intentional or knowing unauthorized discharge, in violation of Tex. Water Code 7.145.</p> <p>Mr. Vadlamudi was ordered to serve three years probation and pay a \$5,000 fine.</p> <p>The charges resulted from Gulf Chemical &amp; Metallurgical Corp. intentionally discharging wastewater which exceeded their permit limits.</p>					

5. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Michael Lloyd Norfleet	PI / WQ	1 Individual		1	10/1/10
<p><b>Case Specifics:</b></p> <p>On October 1, 2010, Michael Lloyd Norfleet pleaded guilty to one count of tampering with a governmental record in violation of Tex. Penal Code section 37.09.</p> <p>Mr. Norfleet was ordered to pay a \$2000 fine.</p> <p>The charge resulted from Mr. Norfleet's submission of a falsified notice of construction for a petroleum storage tank removal.</p>					

## Appendix 23: Criminal Convictions FY 2011

6. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
C & C Road Construction Inc.	MSW	1 Individual		1	10/13/10

**Case Specifics:**

On October 13, 2010, Alfredo Francisco Corral, the Superintendent of C & C Road Construction Inc. pleaded guilty to one count of illegal dumping in violation of Tex. Health & Safety Code section 365.012.

Mr. Corral was ordered to pay a \$500 fine. C & C Road Construction Inc. also agreed to provide up to \$10,000 of clean-up services to Keep El Paso Beautiful.

The charges resulted from C & C Road Construction Inc. illegally dumping waste generated from the Keep El Paso Beautiful, Mesa Project in El Paso County.

7. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Daniel Sloan/ Emission Reduction Specialists	PI/Air	1 Individual 1 Corporation	2 4		11/19/10

**Case Specifics:**

On November 19, 2010, Daniel J. Sloan, owner and President of Emissions Reduction Specialists (ERS), pleaded guilty to two counts of Securing Execution of Document by Deception, a 2<sup>nd</sup> Degree Felony. In addition, the corporation Emission Reduction Specialist, pleaded guilty to four 1<sup>st</sup> Degree Felony counts of Securing Execution of Document by Deception.

Mr. Sloan was sentenced to 10 years of probation and must pay \$90,000 in restitution to the Texas Commission on Environmental Quality TERP program and Emission Reduction Specialist, received a \$10,000 fine.

The investigation conducted by the TCEQ Environmental Crimes Unit found that Sloan included inflated bid quotes in grant applications submitted to TCEQ's Texas Emission Reduction Plan (TERP). Specifically, Mr. Sloan inflated the quotes to include his consultant fees, which Mr. Sloan knew was not allowed by the TERP program.

## Appendix 23: Criminal Convictions FY 2011

8. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Bruce Schibik	WQ/PI	1 Individual	26		12/2/10

**Case Specifics:**

On December 2, 2010, Bruce Schibik pleaded guilty to 26 counts of an intentional or knowing unauthorized discharge in violation of Tex. Water Code section 7.145.

Mr. Schibik was ordered to pay a \$26,000 fine, to serve five years of probation and to not work as an environmental manager during the term of his probation.

The investigation conducted by the TCEQ Environmental Crimes Unit found that Schibik trained and directed certain Bayshore Industrial employees to take grab samples rather than flow weighted composite samples of treated wastewater required by Bayshore Industrial's NPDES permit. Schibik then allowed the samples to be analyzed at an offsite contract laboratory knowing the samples were not collected properly. Once Schibik received the data back from the lab for the samples, he hand wrote the lab results on the DMR form, hand wrote on the DMR that the samples were composite samples, signed the DMR and submitted it to the TCEQ every month for thirty-six (36) months from January 2006 to December 2008. Schibik submitted the DMRs to the TCEQ Austin Office with full knowledge of their falsity and with the intent that the DMRs be accepted by the TCEQ as a genuine and truthful governmental record. Bayshore Industrial discovered what Schibik was doing and, after conducting an internal investigation, fired Schibik on 3/24/09.

9. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Ricky Pruitt	IHW	1 Individual	1		1/25/11

**Case Specifics:**

On January 25, 2011 Ricky Pruitt was found to be in violation of conditions of his probation and his probation was revoked.

Mr. Pruitt was ordered to serve two years at TDCJ.

Mr. Pruitt was originally placed on probation on July 9, 1999 as a result of his conviction related to providing falsified insurance documentation to the TCEQ that was required under TCEQ hazardous waste rules.

## Appendix 23: Criminal Convictions FY 2011

10. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Nelson King d/b/a Affordable Lawn Care	Air	1 Individual		1	2/02/11

**Case Specifics:**

On February 2, 2011, Nelson King pleaded guilty to one count of misdemeanor illegal outdoor burning in violation of the Tex. Water Code 7.177.

Mr. King was sentenced to 8 months probation, fined \$500, and must perform 40 hours of community supervised release.

The charges resulted from an illegal outdoor burning incident which occurred in 2008. Mr. King had been previously informed about the outdoor burning prohibition by Wise County Fire Marshall and a TCEQ investigator, while investigating identical complaints received on Mr. King.

11. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
North American Steel Corporation	PI / AIR	1 Corporation		1	2/08/11

**Case Specifics:**

On February 8, 2011, North American Steel Corporation pleaded guilty to one count of tampering with a governmental record in violation of Tex. Penal Code section 37.10.

North American Steel Corporation was ordered to a \$100,000 fine.

The investigation conducted by the TCEQ Environmental Crimes Unit found that North American Steel Corporation submitted an air permit application which falsely represented that certain pollution control measure were in place.

## Appendix 23: Criminal Convictions FY 2011

12.	Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
	Capitol City Disposal, Incorporated	MSW	1 Corporation 1 Individual	26 26		2/08/11 4/14/11

**Case Specifics:**

On January 26, 2011, Capitol City Disposal, Incorporated pleaded guilty to 26 counts of felony illegal dumping in violation of Tex. Health & Safety Code section 365.012. On April 14, 2011, Juan Deanda pleaded guilty to 26 counts of felony illegal dumping in violation of Tex. Health & Safety Code section 365.012.

Capitol City Disposal, Incorporated was ordered to pay \$200,000 fine.

Juan Deanda was sentenced to 3 years probation, ordered to pay a \$100,000 fine, and ordered to restore the property where the illegal dumping occurred to its original condition within 60 days.

The investigation conducted jointly with the Travis County Attorney's Office and the Texas Environmental Task Force found that Capitol City Disposal, Inc. was operating an unpermitted landfill in Travis County.

13.	Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
	YDIDI I, L.P., d/b/a Chaparral Ice Center	WQ	1 Corporation	1		2/08/11

**Case Specifics:**

On February 8, 2011, YDIDI I, L.P., d/b/a Chaparral Ice Center pleaded guilty to 1 count of felony intentional or knowing unauthorized discharge in violation of Tex. Water Code section 7.145.

YDIDI I, L.P., d/b/a Chaparral Ice Center was ordered to pay a \$75,000 fine.

The investigation conducted jointly with the Travis County Attorney's Office, Travis County Sheriff's Office and TCEQ found that YDIDI I, L.P., d/b/a Chaparral Ice Center intentionally and knowingly discharged industrial waste. Specifically, waste ice removed from the ice rink, which contained a chemical colorant, was allowed to melt into a storm drain which then flowed into a nearby creek.

## Appendix 23: Criminal Convictions FY 2011

14.	Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
	A&F Industrial Services	WQ	1 Individual	2		4/13/11

**Case Specifics:**

On April 13, 2011, Jacob Tom Ojeda pleaded guilty to 1 count of Conspiracy, 18 USC 371 and to 1 count of Knowing discharge of a pollutant and Falsification of Records, 18, USC 1519.

Jacob Tom Ojeda was sentenced in the Western District of Texas to 13 months imprisonment, three years supervised release, \$100 special assessment and \$11,300 in restitution as applied to Count 1 of the Indictment. He was also sentenced to 13 months imprisonment; two years supervised release and \$100 special assessment as applied to Count #4 of the Indictment. Count 1 and Count 4 are to run concurrently.

This is the result of Jacob Tom Ojeda's unpermitted discharge into the sewers of San Antonio, thereby unpermitted disposal of waste to the waters of the State of Texas and the waters of the U.S. through an investigation conducted jointly with the EPA-CID and the TCEQ ECU.

15.	Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
	Arturo A. Gerardo	MSW	1 Individual		1	4/14/11

**Case Specifics:**

On April 14, 2011, Arturo A. Gerardo pleaded guilty to 1 count of count of illegal dumping in violation of Tex. Health & Safety Code section 365.012.

Mr. Gerardo was ordered to pay a fine of \$750.

This is the result of Arturo Gerardo illegally dumping residential trash into the Arroyo adjacent to 713 Coach, Canutillo, Texas.

## Appendix 23: Criminal Convictions FY 2011

16. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Ricardo Gonzales/ R&K Fabricating, Inc.	AIR	1 Individual		2	5/23/11
		1 Corporation		1	5/23/11

**Case Specifics:**

On May 23, 2011, Ricardo Gonzales pleaded guilty to 2 counts of intentionally or knowingly violating the Clean Air Act, in violation of Tex. Water Code section 7.177. In addition R&K Fabrication, Inc. pleaded guilty to 1 count of intentionally or knowingly Violating the Clean Air Act, in violation of Tex. Water Code section 7.177.

Mr. Ricardo Gonzales was sentenced to 1 year probation, ordered to pay a fine of \$25,000, and ordered to cease all sandblasting and surface coating operations until all required permits are obtained from the TCEQ. R & K Fabrication was ordered to pay a fine of \$175,000.

The investigation conducted by the TCEQ and the Travis County Attorney's Office determined that Ricardo Gonzalez had been operating a manufacturing facility that included sandblasting and surface coating operations that are subject to TCEQ air quality permits. Mr. Gonzales was aware of the permitting requirements, and continued his operation without obtaining the required air quality permits.

17. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Riedel Imaging/Pro Med Tech, Inc.	MSW	1 Corporation		1	7/13/11

**Case Specifics:**

On July 13, 2011, Pro Med Tech, Inc. pleaded to 1 misdemeanor count of Littering, in violation of Tex. Health and Safety Code section 365.012.

Pro Med. Tech, Inc. was assessed a \$400 fine.

An Investigation conducted by the TCEQ, Texas Parks and Wildlife Department ECU, and the Travis County Attorney's office determined that Pro Med Tech, Inc. illegally disposed of waste at in a car wash drain, without the appropriate authorization.

## Appendix 23: Criminal Convictions FY 2011

18. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Jaime Tarango	MSW	1 Individual	1		7/15/11
<p><b>Case Specifics:</b></p> <p>On July 15, 2011, Jaime Tarango pleaded guilty to 1 count of illegal dumping, in violation of Tex. Health &amp; Safety Code section 365.012.</p> <p>Mr. Jaime Tarango was sentenced to 5 years Deferred Adjudication, ordered to pay \$9,472.45 in restitution to TXDOT, and pay a \$4,000 fine.</p> <p>A TCEQ ECU investigation determined that on July 17, 2009, Mr. Tarango disposed 21 gallons of a diesel/gasoline mixture into the grass area abutting Interstate 10, near Plateau, Texas, in Culberson County. Mr. Tarango's actions resulted in TXDOT remediating the site, at a cost of \$9,472.45.</p>					

19. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Odis Pfeifer	PWS	1 Individual		1	08/08/11
<p><b>Case Specifics:</b></p> <p>On August 8, 2011, Odis Hermann Pfeiffer II pleaded guilty to 1 misdemeanor count of Tampering with a Governmental Record, in violation of Tex. Penal Code section 37.10.</p> <p>Mr. Pfeiffer was sentenced to 2 years of probation and ordered to complete 100 hours of community service.</p> <p>An investigation conducted by TCEQ Environmental Crimes Unit determined that Mr. Pfeiffer falsified Disinfectant Level Quarterly Operating Reports submitted for the Fayette Water Supply Corporation.</p>					

## Appendix 23: Criminal Convictions FY 2011

20. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
OSU Charles Ray Smith	WQ	1 Individual	1		8/10/11

**Case Specifics:**

On August 10, 2011, Charles Ray Smith pleaded guilty to one count of Unauthorized Discharge, in violation of Texas Water Code, Section 7.145.

Mr. Smith was sentenced to 4 years of probation, deferred adjudication and ordered to pay a fine of \$10,000, with \$9,000 of the fine deferred.

An investigation conducted by TCEQ Environmental Crimes Unit and Texas Parks and Wildlife determined that Mr. Smith discharged salt water from a drilling operation into water in the state.

21. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Mohammad Sultan	PST	1 Individual	6		8/18/11

**Case Specifics:**

On August 18, 2011, Mohammad Aftab Sultan pleaded guilty to five counts of Attempted Tampering with or Fabricating Physical Evidence, in violation of Tex. Penal Code section 32.09, and one count of Tampering with a Governmental Record, in violation of Tex. Penal Code section 32.10.

Mr. Sultan was sentenced to 2 years of probation and ordered to pay a \$60,000.00 fine.

A TCEQ ECU investigation determined that Mr. Sultan submitted falsified documents to the TCEQ in response to a petroleum storage tank inspection conducted by the TCEQ Beaumont Regional Office.

## Appendix 23: Criminal Convictions FY 2011

22. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Oil Patch	WQ	1 Corporation	1		8/26/11
<p><b>Case Specifics:</b></p> <p>On August 26, 2011, Oil Patch Water &amp; Sewer Services, LLC, pleaded guilty to one count of Intentional or Knowing Unauthorized Discharge, in violation of Tex. Water Code section 7.145</p> <p>Oil Patch Water &amp; Sewer Services, LLC was ordered to pay a \$150,000 fine.</p> <p>An investigation conducted by TCEQ ECU and TPWD determined that Oil Patch Water &amp; Sewer Services, LLC, which provides septic hauling services for oil and gas drilling sites, discharged wastewater into a pond in Hill County, without a permit.</p>					

## Appendix 24: Criminal Convictions FY 2010

1. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Lilly Grove Special Utility District	Air	1 Corporation	1		10/22/09

**Case Specifics:**

On October 22, 2009, Lilly Grove Special Utility District was convicted of committing one count of Reckless Emission of Air Contaminant & Endangerment in violation of TWC 7.182.

The court ordered Lilly Grove Special Utility District to contribute \$5000 to Keep Nacogdoches Beautiful in lieu of assessing a fine.

The charges were result of the release of a leaking 100-pound canister of chlorine gas into the air in January 6, 2009 by an employee under the direct instruction of a utility manager. Liquid chlorine, a contaminant when airborne, is harmful to the eyes, skin and mucous membranes, and can be fatal if ingested in high concentrations.

2. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Laredo Paving, Inc.	Air /PI	1 Corporation		1	11/10/09
		1 Individual		1	12/17/09

**Case Specifics:**

On November 10, 2009, Laredo Paving, Inc. pleaded guilty to one count of Interference with Public Duties in violation of TPC 38.15.

Laredo Paving was ordered to pay a \$20,000 fine.

On December 17, 2009 Lane McMillan plead guilty to one count of Tampering with a Governmental Record in violation of TPC 37.10.

Mr. Lane was order to one year probation and to pay a \$900 fine.

The charges are a result of an employee of Laredo Paving, Inc., causing a falsified TCEQ authorization to operate a rock crusher to be submitted to the TCEQ during the course of an enforcement action.

## Appendix 24: Criminal Convictions FY 2010

3. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Claude D. Stang	Air/PI	1 Individual	12		11/24/09
<p><b>Case Specifics:</b></p> <p>On November 24, 2009, Claude Stang pleaded guilty to twelve counts of Engaging in Organized Criminal Activity, with an underlying offense of Tampering with a Governmental Record in violation of TPC 71.02.</p> <p>Mr. Stang was ordered to serve (5) five years in the State Penitentiary</p> <p>The charges are a result of Mr. Stang causing false information to be submitted in multiple TERP Grant applications.</p>					

4. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Philip Robins	WQ/PI	1 Individual		1	12/17/09
<p><b>Case Specifics:</b></p> <p>On December 17, 2009, Philip Ching Robins, owner of Robins Engineering, pleaded guilty to one count of submitting false statement to the TCEQ in violation of TWC 7.149.</p> <p>Mr. Robins was ordered to serve two years probation, pay a \$10,000 fine and to relinquish his maintenance provider license for the period of probation.</p> <p>The charges are a result of Mr. Robins making and presenting falsified on-site sewage facilities maintenance inspection reports to the TCEQ and Rockwall County.</p>					

## Appendix 24: Criminal Convictions FY 2010

5. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Steve Simpson Drilling	WQ	1 Individual		1	1/4/10

**Case Specifics:**

On January 4, 2010, Steve Simpson plead guilty to one count of unauthorized discharge in violation of TWC 7.147.

Steve Simpson received 30 days deferred adjudication and a \$1000 fine. In addition, Simpson was ordered to pay \$880 restitution for lab analyses.

The charges are a result of Steve Simpson Drilling land applying drilling fluids to a property with an expired permit for land application.

6. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Philip Isaacs	WQ	1 Individual		1	3/4/10

**Case Specifics:**

On March 4, 2010, Philip Isaacs plead guilty to one count of unauthorized discharge in violation of TWC 7.147.

Lamar Isaacs was ordered to serve one year probation and order to pay a \$4,000 fine.

The charges are a result of Philip Isaacs discharging a cow manure slurry from a cargo cattle trailer after off-loading cattle. The discharge occurred at the side of the road on Highway 285, into a drainage ditch which is a few hundred yards up gradient from a tributary known as Salado creek.

## Appendix 24: Criminal Convictions FY 2010

7. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Lamar Isaacs	WQ	1 Individual		1	3/4/10

**Case Specifics:**

On March 4, 2010, Lamar Isaacs plead guilty to one count of unauthorized discharge in violation of TWC 7.147.

Lamar Isaacs was ordered to serve one year probation and order to pay a \$4,000 fine.

The charges are a result of Lamar Isaacs discharging a cow manure slurry from a cargo cattle trailer after off-loading cattle. The discharge occurred at the side of the road on Highway 285, into a drainage ditch which is a few hundred yards up gradient from a tributary known as Salado creek.

8. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Thomas Ray Moore Jr.	WQ/PI	1 Individual		2	4/14/10

**Case Specifics:**

On April 14, 2010, Thomas Ray Moore Jr. plead guilty to installing an On Site Sewage Facility (OSSF) without an installer license in violation of TWC 7.172 and installing an OSSF prior to receiving authorization in violation of TWC 7.174.

Mr. Moore was ordered to pay a \$500 fine.

A previous civil action against Mr. Moore was taken by the agency (2009-0792-OSI-E) for similar actions at another site.

## Appendix 24: Criminal Convictions FY 2010

9. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Henry Trujillo Trucking	SW	1 Individual		2	5/13/10

**Case Specifics:**

On May 13, 2010 Enrique Trujillo, owner of Henry Trujillo Trucking, plead guilty to two counts of illegal dumping in violation of H&SC 365.012.

Mr. Trujillo was order to pay a \$4,000 fine.

The charges are a result of Mr. Trujillo illegally dumping 36 cubic yards of solid waste consisting of PVC pipes, plastic hoses, metal rebar, concrete and asphalt. Since this is Mr. Trujillo's second conviction for illegal dumping any vehicles used in the commission of the offense are subject to forfeiture.

10. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Gulf Chemical & Metallurgical Corp,	WQ	1 Corporation	11		5/28/10

**Case Specifics:**

On May 28, 2010, Gulf Chemical & Metallurgical Corp. pleaded guilty to 11 counts of intentional discharging wastewater in violation of TWC 7.145.

Gulf Chemical & Metallurgical Corp. was ordered to pay a \$2,750,000 fine and to comply with special conditions related to upgrading the wastewater treatment system.

The charges resulted from Gulf Chemical & Metallurgical Corp. intentionally discharging wastewater which exceeded their permit limits.

## Appendix 24: Criminal Convictions FY 2010

11. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Tony's Tires and Road Service	SW	1 Individual		1	6/1/10

**Case Specifics:**

On June 1, 2010, Juan Antonio Diaz plead to one count of illegal handling of solid waste in violation H&SC 365.012, specifically the illegal disposal of used tires.

Mr. Diaz was sentenced to one year of deferred adjudication and ordered to pay a \$1,500 fine. In addition, Mr. Diaz was ordered to remove all tires from the site and dispose of them properly.

12. Case Name	Media Type	Number of Defendants	Felony Counts	Misdemeanor Counts	Date of Case Resolution
Texas Oil & Gathering	HW/ SDW	1 Corporation 2 Individuals	2 2 each		7/21/10

**Case Specifics:**

On July 21, 2010, Texas Oil and Gathering, Inc. (TOG), its' owner John Kessel, and operations manager Edgar Pettijohn, were sentenced in U.S. District Court, Houston, Texas, on one count of conspiracy to violate the Safe Drinking Water Act and one count of knowingly disposing of waste in violation of the Act. TOG was formerly authorized by the state to handle and transport used oil products.

Kessel was sentenced to 5 years of probation, ordered to perform 100 hours community service, and ordered to pay a \$50,000 fine. Pettijohn was sentenced to 5 years of probation, ordered to perform 100 hours community service, and ordered to pay a \$200 special assessment. TOG was sentenced to 3 years of probation, ordered to pay an \$80,000 fine and a \$200 special assessment.

According to factual statements filed with the pleas, Kessel and Pettijohn directed the falsification of documents such as bills of lading and driver logs in order to illegally dispose of hazardous waste water at an injection well knowing the injection well was not permitted to receive hazardous wastes.