

## Texas Commission on Environmental Quality

### CHECKLIST WORKSHEET

#### IHW FOCUSED INVESTIGATION FOR GENERATION AND CLASSIFICATION

**Regulating Entity Name**

**Date :**

**Additional I D:**

**Investigator Name:**

Item Number	Description	Answer	Citations	Notes
	SECTION A: Recordkeeping and Reporting			
Item Number 1	Description Does the generator keep records of all hazardous waste and industrial solid waste activities in a format which is retrievable and easy to copy?		335.9(a)(1)(D)	Notes
Item Number 2	Description Do hazardous waste records reflect the current waste generation status?			Notes
Item Number 3	Description If the facility is a registered LQG, does the facility owner/operator use STEERS for submittals of notifications and Annual Waste Summaries? AND If the facility is a registered LQG, does the generator submit complete and correct Annual Waste Summaries on paper forms on or before January 25 of each year? (NB: Electronic AWS reports are due on or before March 1.)		335.9(a)(2)	Notes
Item Number 4	Description If the facility is an unregistered generator or an out-of-state primary exporter, have Waste Shipment Summaries (S1) and/or Foreign Waste Shipment Summaries (F1) been completed correctly and submitted on or before the 25th of each month for shipments originating during the previous month and maintained at the facility for at least 3 years?		335.13(c)	Notes
Item Number	SECTION B: Notification			
Item Number 1	Description Did the generator provide written notification for all municipal hazardous waste streams, industrial solid waste streams and associated waste management units?		335.6(c)(5)(A)	Notes
Item Number 2	Description Did the regulated entity immediately document any changes or additional information with respect to that originally provided and was written notification provided within 90 days?		335.6(c)	Notes
Item Number 3	Description Has the regulated entity provided written notification of the transportation of hazardous or Class 1 waste?		335.6(d)(2)	Notes
Item Number 4	Description For generators and receivers of recyclable materials and non-hazardous recyclable materials subject to the notification requirements of 335.24 or Subchapter H, submitted notification of the recycling activity provided as required under 335.6(h)?		335.6(h)	Notes
Item Number	SECTION C: Hazardous Waste Determination/Classification			
Item Number 1	Description Has the generator completed hazardous waste determinations for each solid waste generated?		335.62	Notes
Item Number 1A	Description Listed as a hazardous waste in 40 CFR Part 261, Subpart D?			Notes

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<b>Item Number</b> 1B	<b>Description</b>	Process or materials knowledge? Reference 335.504(3), 262.11(c)(2) AND Process or materials knowledge? 335.511	
	<b>Answer</b>	<b>Citations</b> 335.504(3)	<b>Notes</b>
<b>Item Number</b> 1C	<b>Description</b>	Tested for characterization as identified in Part 261, Subpart C? Reference 335.504(3), 262.11(c)(1)	
	<b>Answer</b>	<b>Citations</b>	<b>Notes</b>
<b>Item Number</b> 2	<b>Description</b>	For each hazardous waste, has the generator determined if it is restricted from land disposal?	
	<b>Answer</b>	<b>Citations</b> 335.431(c)(1)	<b>Notes</b>
<b>Item Number</b> 3	<b>Description</b>	Has the generator document waste classification determinations on all industrial (including non-hazardous) solid wastes?	
	<b>Answer</b>	<b>Citations</b> 335.503(a)(4)(	<b>Notes</b>
<b>Item Number</b> 3A	<b>Description</b>	Tested for characteristics as identified in Part 261, Subpart C? 335.509	
	<b>Answer</b>	<b>Citations</b>	<b>Notes</b>
<b>Item Number</b>	<b>Description</b>	SECTION D: Units	
	<b>Answer</b>	<b>Citations</b>	<b>Notes</b>
<b>Item Number</b> 1	<b>Description</b>	For each waste code, did the generator identify a waste management unit?	
	<b>Answer</b>	<b>Citations</b> 335.6(c)	<b>Notes</b>
<b>Item Number</b> 2	<b>Description</b>	For each unit, did the generator identify which wastes they manage?	
	<b>Answer</b>	<b>Citations</b> 335.6(c)	<b>Notes</b>
<b>Item Number</b> 3	<b>Description</b>	Prior to placement of waste in a land-based unit or prior to disposal, did the waste meet all applicable treatment standards?	
	<b>Answer</b>	<b>Citations</b> 335.431(c)(3)	<b>Notes</b>
<b>Item Number</b> 4	<b>Description</b>	If the waste or treatment residue is a restricted waste with a delayed effective date, was it placed in a unit that meets all MTRs (unless it meets a specified listed exclusion)?	
	<b>Answer</b>	<b>Citations</b> 335.431(c)(2)	<b>Notes</b>
<b>Item Number</b> 5	<b>Description</b>	If the answer to #3 or #4 is "no", is the activity authorized by some exemption, a variance from the LDR requirements, a case-by-case extension or some other authorization?	
	<b>Answer</b>	<b>Citations</b>	<b>Notes</b>
<b>Item Number</b> 6	<b>Description</b>	If yes, explain the authorized activity:	
	<b>Answer</b>	<b>Citations</b>	<b>Notes</b>
<b>Item Number</b>	<b>Description</b>	SECTION E: Additional information	
	<b>Answer</b>	<b>Citations</b>	<b>Notes</b>
<b>Item Number</b> 1	<b>Description</b>	Is a more in-depth investigation warranted? If YES, enter one of the following into the Comment area: a. [Applicable Type code] conducted during this investigation or b. In-depth investigation will be scheduled at a later date.	
	<b>Answer</b>	<b>Citations</b>	<b>Notes</b>