

Texas Commission on Environmental Quality

CHECKLIST WORKSHEET

IHW UNIVERSAL WASTE TRANSPORTER CEI

Regulating Entity Name

Date :

Additional I D:

Investigator Name:

Item Number	Description	Complete this Checklist for facilities which transport their own universal waste or an independent transporter contracted to collect and transport universal wastes. (Transporter definition, 40 CFR 273.9)	
		Answer	Citations
Item Number	Description	Section A: GENERAL	
		Answer	Citations
Item Number 1	Description	Transporter Mode(s): Hwy, Rail, Water, Air	
		Answer	Citations
Item Number 2	Description	Carrier Classification: Private For Hire: Common, Contract, Interstate, Intrastate Foreign: Import, Export	
		Answer	Citations
Item Number 3	Description	Fleet Size: Vehicles are: Owned, Single trip leased, Term leased	
		Answer	Citations
Item Number 4	Description	Operating Area:	
		Answer	Citations
Item Number 5	Description	Freight Classification: Hazardous Waste, Class 1 Nonhazardous Waste, Other (Universal Waste)	
		Answer	Citations
Item Number 6	Description	List U.S. DOT Hazard Class: or Chemical Types:	
		Answer	Citations
Item Number 7	Description	List Other registrations (if applicable):TCEQ Permit Number, RRC Registration Number(s), Other.	
		Answer	Citations
Item Number	Description	SECTION B: STANDARDS	
		Answer	Citations
Item Number	Description	PROHIBITIONS	
		Answer	Citations
Item Number 1	Description	A transporter is prohibited from disposing of universal waste; is the transporter compliant?	
		Answer	Citations 335.261(a) Notes
Item Number 2	Description	A transporter is prohibited from diluting or treating universal waste, except by responding to releases as provided in 40 CFR 273.54; is the transporter compliant?	
		Answer	Citations 273.51(b) Notes
Item Number	Description	WASTE MANAGEMENT	
		Answer	Citations
Item Number 1	Description	Transporter must comply with the U.S. Department of Transportation (DOT) regulations that would be applicable to the waste if it were being transported as product or hazardous material. Are the DOT requirements met?	
		Answer	Citations 335.261(b)(35) Notes
Item Number	Description	STORAGE TIME LIMITS	
		Answer	Citations
		Answer	Citations

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IHW UNIVERSAL WASTE TRANSPORTER CEI (Cont)

Item Number 1	Description	Has universal waste been stored at a universal waste transfer facility for longer than ten days?		
	Answer	Citations	335.261(a)	Notes
Item Number	Description	Note: If a universal waste transporter stores universal waste for more than ten days, the transporter becomes a universal waste handler and must comply with all the applicable requirements of 40 CFR 273, Subparts B or C. [335.261(a) / 273.53(b)]		
	Answer	Citations		Notes
Item Number	Description	RESPONSES TO RELEASES		
	Answer	Citations		Notes
Item Number 1	Description	Is there any evidence present of fires and explosions or other releases to the environment?		
	Answer	Citations	335.4	Notes
Item Number 2	Description	If yes, have all releases of universal waste and any other residues from universal waste been contained and been remedied?		
	Answer	Citations	273.54(a)	Notes
Item Number 3	Description	Has the transporter of universal waste determined whether any material resulting from the release is hazardous waste?		
	Answer	Citations	273.54(b)	Notes
Item Number	Description	Note: If the problems as described above are indicated, the transporter may become a co-generator of the discharged waste. Refer to the Generator Checklist.		
	Answer	Citations		Notes
Item Number	Description	OFF-SITE SHIPMENTS		
	Answer	Citations		Notes
Item Number 1	Description	A transporter of universal waste is prohibited from transporting the universal waste to a place other than another universal waste handler, destination (TSD) facility or foreign destination. Is the transporter compliant?		
	Answer	Citations	335.261(a)	Notes
Item Number	Description	EXPORTS		
	Answer	Citations		Notes
Item Number 1	Description	Was a copy of the EPA Acknowledgment of Consent attached to the shipment if the shipment was to a foreign destination?		
	Answer	Citations	273.56(a)	Notes