

## Texas Commission on Environmental Quality

### CHECKLIST WORKSHEET

MSW MEDICAL WASTE TRANSPORTER

Regulating Entity Name

Date :

Additional I D:

Investigator Name:

Item Number	Description	Answer	Citations	Notes
	<b>SECTION A: TRANSPORTER REGISTRATION</b>			
Item Number 1	Description	Was the medical waste (MW) transporter registered with the commission prior to commencing operation? If applicable, was the Transporter Registration Renewal Application submitted at least 60 days prior to the annual registration expiration date? Was all of the required information for the registration or renewal provided to the commission?		
	Answer			
Item Number 2	Description	Was the information on the Transporter Registration Form current? If applicable, was notification of changes to the following information made within 30 days:		
	Answer		330.9(l)(1)(C)	Notes
Item Number 2A	Description	the amount of untreated medical waste or the total operation increased more than 50%?		
	Answer		330.1211(b)	Notes
Item Number 2B	Description	the office or place of business is moved?		
	Answer		330.1211(b)(1)	Notes
Item Number 2C	Description	the name of registrant or owner changed?		
	Answer		330.1211(b)(2)	Notes
Item Number 2D	Description	the name of partners or corporate directors changed?		
	Answer		330.1211(b)(3)	Notes
Item Number 3	Description	Was the appropriate annual transporter registration fee (based upon the total weight of untreated medical waste transported) paid?		
	Answer		330.1211(b)(4)	Notes
Item Number 4	Description	<b>SECTION B: RECORDKEEPING</b>		
	Answer			
Item Number 4	Description	Was Financial Assurance current as required in 30 TAC Chapter 37, Subchapter U? Does it include an auto liability policy of at least \$1 million? Does it include either a pollution liability policy or an irrevocable letter of credit?		
	Answer		330.1211(l)	Notes
Item Number 5	Description	Was the Annual Report filed by March 1st and did it include the names, addresses, authorization numbers, and amount of waste deposited at each processor location?		
	Answer		330.1211(m)	Notes
Item Number 6	Description	<b>SECTION C: MANIFESTING</b>		
	Answer			
Item Number 6	Description	Were signed receipts (manifests) provided to the generator at the time of collection? Do the manifests include the transporter's name, address, phone #, and registration #? Do the manifests include the generator's name and address, the weight of the MW waste, and the date of the collection? (Note: If scales are not available, the number of containers may be used instead of weight, but weight must be provided within 45 days of collection).		
	Answer		330.1211(g)	Notes

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<b>Item Number</b> 7	<b>Description</b>	Were MW manifests forms properly completed and maintained for at least three years and made available upon request? Did the manifests and other documentation include the following:	
	<b>Answer</b>	<b>Citations</b> 330.1211(h)	<b>Notes</b>
<b>Item Number</b> 7A	<b>Description</b>	the transporter's name, address, phone number and registration?	
	<b>Answer</b>	<b>Citations</b> 330.1211(h)(1)	<b>Notes</b>
<b>Item Number</b> 7B	<b>Description</b>	the generator's name, address and the date that the waste was collected?	
	<b>Answer</b>	<b>Citations</b> 330.1211(h)(2)	<b>Notes</b>
<b>Item Number</b> 7C	<b>Description</b>	the number of containers of untreated waste collected and the total weight for the containers from each generator?	
	<b>Answer</b>	<b>Citations</b> 330.1211(h)(3)	<b>Notes</b>
<b>Item Number</b> 7D	<b>Description</b>	the name of person(s) collecting, transporting, and unloading the medical waste?	
	<b>Answer</b>	<b>Citations</b> 330.1211(h)(4)	<b>Notes</b>
<b>Item Number</b> 7E	<b>Description</b>	the date and place where medical waste was deposited or unloaded?	
	<b>Answer</b>	<b>Citations</b> 330.1211(h)(5)	<b>Notes</b>
<b>Item Number</b> 7F	<b>Description</b>	identification, including permit or registration number, location & operator of the facility accepting the medical waste?	
	<b>Answer</b>	<b>Citations</b> 330.1211(h)(6)	<b>Notes</b>
<b>Item Number</b> 7G	<b>Description</b>	the name and signature of the person acknowledging receipt of the waste and the weight of the waste accepted?	
	<b>Answer</b>	<b>Citations</b> 330.1211(h)(7)	<b>Notes</b>
<b>Item Number</b> 8	<b>Description</b>	Do the original shipping records (manifests) accompany each shipment to its final destination?	
	<b>Answer</b>	<b>Citations</b> 330.1211(i)	<b>Notes</b>
<b>Item Number</b> 9	<b>Description</b>	Were shipments only deposited at a facility authorized by the commission to accept untreated medical waste?	
	<b>Answer</b>	<b>Citations</b> 330.1211(j)	<b>Notes</b>
<b>Item Number</b>	<b>Description</b>	<b>SECTION D: VEHICLE REQUIREMENTS</b>	
	<b>Answer</b>	<b>Citations</b>	<b>Notes</b>
<b>Item Number</b> 10	<b>Description</b>	Were copies of the current transporter registration form maintained at the designated place of business and in each transport vehicle?	
	<b>Answer</b>	<b>Citations</b> 330.9(l)(4)	<b>Notes</b>
<b>Item Number</b> 11	<b>Description</b>	Was the cargo compartment maintained in a sanitary condition, securely locked (except when loading or unloading waste), constructed with a floor and sides made of impervious, nonporous material, and discharge openings securely closed during operation of the vehicle?	
	<b>Answer</b>	<b>Citations</b> 330.1211(c)(2)	<b>Notes</b>
<b>Item Number</b> 12	<b>Description</b>	Was the cargo compartment maintained at a temperature of 45 degrees F. or less for putrescible or biohazardous untreated medical waste transported for more than 72 hours after initial receipt from the generator?	
	<b>Answer</b>	<b>Citations</b> 330.1211(c)(2)	<b>Notes</b>
<b>Item Number</b> 13	<b>Description</b>	Did the transport vehicles have fully enclosed, leak-proof, cargo-carrying body, such as a cargo compartment, box trailer, or roll-off box?	
	<b>Answer</b>	<b>Citations</b> 330.1211(c)(1)	<b>Notes</b>
<b>Item Number</b> 14	<b>Description</b>	Did the transport vehicles used to transport medical waste protect the waste from mechanical stress or compaction?	
	<b>Answer</b>	<b>Citations</b> 330.1211(c)(1)	<b>Notes</b>

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<b>Item Number</b> 15	<b>Description</b>	Did the transport vehicles carry spill clean-up equipment which included disinfectant, absorbent, gloves, coveralls, eye protection, and proper containers?	
	<b>Answer</b>		<b>Citations</b> 330.1211(c)(1)
	<b>Notes</b>		
<b>Item Number</b> 16	<b>Description</b>	Were vehicles properly labeled with the transporter's name, TCEQ registration #, and "CAUTION: MEDICAL WASTE" on both sides and rear of cargo compartment in 3 inch high letters?	
	<b>Answer</b>		<b>Citations</b> 330.1211(c)(1)
	<b>Notes</b>		
<b>Item Number</b> 17	<b>Description</b>	Were the Vehicle Sanitation Records maintained for at least three years? Do the records include the date, disinfection process, VIN or license plate number? If applicable, was the owner of the transportation unit notified in writing that the unit was used to transport medical waste and when and how the unit was disinfected?	
	<b>Answer</b>		<b>Citations</b> 330.1211(d)
	<b>Notes</b>		
<b>Item Number</b>	<b>Description</b>	SECTION E: PACKAGING	
	<b>Answer</b>		<b>Citations</b>
	<b>Notes</b>		
<b>Item Number</b> 18	<b>Description</b>	Was the waste packaged and identified in accordance with 330.1207(c)? Were all leaking or damaged containers repackaged prior to transport?	
	<b>Answer</b>		<b>Citations</b> 330.1211(k)
	<b>Notes</b>		
<b>Item Number</b> 19	<b>Description</b>	Are transporter labels present? Do labels include the Medical Waste (MW) transporter's name, address, phone #, and registration #?	
	<b>Answer</b>		<b>Citations</b> 330.1207(c)(6)
	<b>Notes</b>		
<b>Item Number</b> 20	<b>Description</b>	Was the printing on the transporter's label (as defined in 330.1207(c)(6)) done in indelible ink and at least 0.5 inch in height?	
	<b>Answer</b>		<b>Citations</b> 330.1207(c)(7)
	<b>Notes</b>		
<b>Item Number</b>	<b>Description</b>	SECTION F: STORAGE	
	<b>Answer</b>		<b>Citations</b>
	<b>Notes</b>		
<b>Item Number</b> 21	<b>Description</b>	Was the untreated medical waste kept separate from other waste streams during transport and storage?	
	<b>Answer</b>		<b>Citations</b> 330.1211(e)
	<b>Notes</b>		
<b>Item Number</b> 22	<b>Description</b>	Did transfers of waste from one vehicle to another occur at only authorized locations? If not, has the emergency exception been properly documented and submitted?	
	<b>Answer</b>		<b>Citations</b> 330.1213
	<b>Notes</b>		
<b>Item Number</b> 22A	<b>Description</b>	Was the commission notified in writing within 5 working days on any case of vehicle malfunction?	
	<b>Answer</b>		<b>Citations</b> 330.1213(1)
	<b>Notes</b>		
<b>Item Number</b> 22B	<b>Description</b>	Was the nearest regional office notified no later than the end of the next working day in the case of a traffic accident?	
	<b>Answer</b>		<b>Citations</b> 330.1213(2)
	<b>Notes</b>		
<b>Item Number</b> 23	<b>Description</b>	Was the medical waste stored in a secure and protected manner and managed so as not to provide a breeding place or food for insects or rodents, and not generate noxious odors?	
	<b>Answer</b>		<b>Citations</b> 330.1209(a)
	<b>Notes</b>		