

## Texas Commission on Environmental Quality

### CHECKLIST WORKSHEET

MSW TYPE 5 PROCESSOR - PART 1

Regulating Entity Name \_\_\_\_\_

Date : \_\_\_\_\_

Additional I D: \_\_\_\_\_

Investigator Name: \_\_\_\_\_

Item Number	Description	Answer	Citations	Notes
	<b>SECTION A: GENERAL PROHIBITIONS</b>			
Item Number 1	Description	Is the facility operated in accordance with the Texas Health and Safety Code, any regulations, rules, permit, license or order of the commission?		
	Answer		330.15(a)	Notes
Item Number 2	Description	Is the facility operated in a manner that causes a discharge or imminent threat of discharge of MSW into or adjacent to the waters in the state without obtaining specific authorization for the discharge?		
	Answer		330.15(a)(1)	Notes
Item Number 3	Description	If the facility is a transfer station, does the facility process liquid waste without specific authorization?		
	Answer		330.15(i)	Notes
Item Number	Description	<b>SECTION B: WASTE ACCEPTANCE AND ANALYSIS</b>		
	Answer			Notes
Item Number 4	Description	Does the facility operate in accordance with the approved Waste Acceptance and Analysis Plan?		
	Answer		330.121(a)	Notes
Item Number 5	Description	Is the facility a solid waste processing or experimental facility? If the answer is no, go to Section C.		
	Answer			Notes
Item Number 6	Description	Are records documenting sampling and analysis of effluent discharged to a trap, interceptor or treatment facility permitted under the Texas Water Code being maintained for three years?		
	Answer		330.203(c)(1)	Notes
Item Number 7	Description	Are records of analysis for received wastes made for applicable constituents maintained at the facility for at least three years?		
	Answer		330.203(c)(2)	Notes
Item Number	Description	<b>SECTION C: FACILITY-GENERATED WASTES</b>		
	Answer			Notes
Item Number 8	Description	Are wastes generated by the facility processed or disposed at an authorized facility?		
	Answer		330.205(b)	Notes
Item Number 9	Description	Does the facility send sludges that do not pass the Paint Filter Liquids Test and the contaminant standards in 330.205(b) to an authorized facility?		
	Answer		330.205(d)	Notes
Item Number	Description	<b>SECTION D: CONTAMINATED WATER MANAGEMENT</b>		
	Answer			Notes
Item Number 10	Description	If the facility conducts landfill mining, is leachate or gas condensate used in any mining process?		
	Answer		330.207(c)	Notes
Item Number 11	Description	If the facility processes grease trap waste, grit trap waste or septage or is a mobile liquid waste processing unit or demonstration project, is leachate discharged to a septic system?		
	Answer		330.207(d)	Notes

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MSW TYPE 5 PROCESSOR - PART 1 (Cont)

Item Number 12	<b>Description</b>	Was off-site discharge of contaminated waters made only after approval under the Texas Pollutant Discharge Elimination System authority?		
	<b>Answer</b>	<b>Citations</b>	330.207(e)	<b>Notes</b>
Item Number 13	<b>Description</b>	Are wastewaters discharged to a treatment facility permitted under the Texas Water Code? If the answer is NO, skip to Question 14.		
	<b>Answer</b>	<b>Citations</b>		<b>Notes</b>
Item Number 13A	<b>Description</b>	Have wastewaters interfered with or passed-through the treatment facilities processes or operations?		
	<b>Answer</b>	<b>Citations</b>	330.207(f)(1)	<b>Notes</b>
Item Number 13B	<b>Description</b>	Have wastewaters interfered with or passed-through the treatment facilities sludge processes, use or disposal?		
	<b>Answer</b>	<b>Citations</b>	330.207(f)(2)	<b>Notes</b>
Item Number 13C	<b>Description</b>	Are wastewaters inconsistent with the prohibited discharge standards?		
	<b>Answer</b>	<b>Citations</b>	330.207(f)(3)	<b>Notes</b>
Item Number 14	<b>Description</b>	If the facility is a liquid waste transfer facility, are any prohibited lagoons, open-top storage tanks, open vessels, and underground storage units utilized?		
	<b>Answer</b>	<b>Citations</b>	330.207(h)	<b>Notes</b>
Item Number	<b>Description</b>	SECTION E: STORAGE REQUIREMENTS		
	<b>Answer</b>	<b>Citations</b>		<b>Notes</b>
Item Number 15	<b>Description</b>	Is all solid waste stored in a manner that does not constitute a fire, safety or health hazard or provide food or harborage for animals and vectors?		
	<b>Answer</b>	<b>Citations</b>	330.209(a)	<b>Notes</b>
Item Number 16	<b>Description</b>	Is an area for source-separated or recyclable materials that is separate from a transfer station or process area provided?		
	<b>Answer</b>	<b>Citations</b>	330.209(b)	<b>Notes</b>
Item Number 17	<b>Description</b>	Are odors, vectors and windblown waste from the storage area controlled?		
	<b>Answer</b>	<b>Citations</b>	330.209(b)	<b>Notes</b>
Item Number 18	<b>Description</b>	If the facility is a transfer station that recovers material from solid waste that contains putrescibles, are processing units, processed and unprocessed waste and recycled materials stored in an enclosed building, vessel or container?		
	<b>Answer</b>	<b>Citations</b>	330.209(c)	<b>Notes</b>
Item Number 19	<b>Description</b>	If the facility processes liquid waste, are processing units, processed and unprocessed waste and recycled materials stored in an enclosed building, vessel or container?		
	<b>Answer</b>	<b>Citations</b>	330.209(c)	<b>Notes</b>
Item Number	<b>Description</b>	SECTION F: CITIZEN'S COLLECTION STATIONS		
	<b>Answer</b>	<b>Citations</b>		<b>Notes</b>
Item Number 20	<b>Description</b>	If the facility is a citizen's collection station, are rules posted governing who may use the facility and the types of materials that can and cannot be deposited?		
	<b>Answer</b>	<b>Citations</b>	330.213(a)	<b>Notes</b>
Item Number	<b>Description</b>	SECTION G: REQUIREMENTS FOR STATIONARY COMPACTORS		
	<b>Answer</b>	<b>Citations</b>		<b>Notes</b>
Item Number 21	<b>Description</b>	Does the facility operate a stationary compactor? If the answer is NO, skip to Section H.		
	<b>Answer</b>	<b>Citations</b>		<b>Notes</b>

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MSW TYPE 5 PROCESSOR - PART 1 (Cont)

Item Number 22	<b>Description</b>	Is the stationary compactor operated and maintained to prevent public nuisance through material loss or spillage, odor, vector breeding or harborage, or other conditions?	
	<b>Answer</b>	<b>Citations</b>	330.215(1) <b>Notes</b>
Item Number 23	<b>Description</b>	Does the owner or operator adhere to the provisions of the permit and certificate within the application?	
	<b>Answer</b>	<b>Citations</b>	330.215(2) <b>Notes</b>
Item Number	<b>Description</b>	SECTION H: PRE-OPERATION NOTICE:	
	<b>Answer</b>	<b>Citations</b>	<b>Notes</b>
Item Number 24	<b>Description</b>	Is the facility a Type V mobile liquid waste processing unit? If the answer is NO, skip to Section I.	
	<b>Answer</b>	<b>Citations</b>	<b>Notes</b>
Item Number 25	<b>Description</b>	Are waste solids produced by the unit disposed of in a regulated solid waste disposal facility?	
	<b>Answer</b>	<b>Citations</b>	330.217(a)(2) <b>Notes</b>
Item Number 26	<b>Description</b>	Are waste solids produced by the unit dewatered to pass the EPA paint filter test or transported to a dewatering facility?	
	<b>Answer</b>	<b>Citations</b>	330.217(a)(2) <b>Notes</b>
Item Number	<b>Description</b>	SECTION I: RECORDKEEPING AND REPORTING REQUIREMENTS	
	<b>Answer</b>	<b>Citations</b>	<b>Notes</b>
Item Number 27	<b>Description</b>	Is a copy of the permit or registration, the approved permit or registration application, and all other required plans or related documents and a set of as-built construction plans and specifications maintained as part of the operating record at the facility or at an approved alternative location?	
	<b>Answer</b>	<b>Citations</b>	330.219(a) <b>Notes</b>
Item Number 28	<b>Description</b>	Does the owner or operator promptly record and maintain the following in the operating record for the facility:	
	<b>Answer</b>	<b>Citations</b>	<b>Notes</b>
Item Number 28A	<b>Description</b>	Location restriction demonstrations?	
	<b>Answer</b>	<b>Citations</b>	330.219(b)(1) <b>Notes</b>
Item Number 28B	<b>Description</b>	Inspection records and training procedures?	
	<b>Answer</b>	<b>Citations</b>	330.219(b)(2) <b>Notes</b>
Item Number 28C	<b>Description</b>	Closure plans and monitoring, testing, analytical data related to closure?	
	<b>Answer</b>	<b>Citations</b>	330.219(b)(3) <b>Notes</b>
Item Number 28D	<b>Description</b>	Closure cost estimates and financial assurance documentation?	
	<b>Answer</b>	<b>Citations</b>	330.219(b)(4) <b>Notes</b>
Item Number 28E	<b>Description</b>	Copies of correspondence and responses relating to operation of the facility, modifications to the permit, approvals and other matters of technical assistance?	
	<b>Answer</b>	<b>Citations</b>	330.219(b)(5) <b>Notes</b>
Item Number 28F	<b>Description</b>	Special waste documents, manifests, shipping documents, trip tickets, etc.?	
	<b>Answer</b>	<b>Citations</b>	330.219(b)(6) <b>Notes</b>
Item Number 28G	<b>Description</b>	Any other documents specified in the approved authorization?	
	<b>Answer</b>	<b>Citations</b>	330.219(b)(7) <b>Notes</b>
Item Number 28H	<b>Description</b>	Record retention provisions for trip tickets required by 312.145?	
	<b>Answer</b>	<b>Citations</b>	330.219(b)(8) <b>Notes</b>
Item Number 28I	<b>Description</b>	Recordkeeping provisions to justify on a quarterly basis that the relevant percentage of incoming waste is processed to recover recycled products, if applicable?	

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	<b>Answer</b>	<b>Citations</b>	<b>330.219(b)(9)</b>	<b>Notes</b>
<b>Item Number 29</b>	<b>Description</b>	Is all information contained in the operating record furnished upon request and made available at all reasonable times for inspection?		
	<b>Answer</b>	<b>Citations</b>	<b>330.219(e)</b>	<b>Notes</b>
<b>Item Number 30</b>	<b>Description</b>	Is all information in the operating record and required plans retained for the life of the facility?		
	<b>Answer</b>	<b>Citations</b>	<b>330.219(f)</b>	<b>Notes</b>
<b>Item Number 31</b>	<b>Description</b>	Is the facility a permitted MSW composting or landfill mining facility? If the answer is no, skip to Question 35.		
	<b>Answer</b>	<b>Citations</b>		<b>Notes</b>
<b>Item Number 32</b>	<b>Description</b>	Does the operator maintain records on-site, available for inspection for the two most recent calendar years or as established in an alternative schedule set by the executive director?		
	<b>Answer</b>	<b>Citations</b>	<b>330.219(d)</b>	<b>Notes</b>
<b>Item Number 33</b>	<b>Description</b>	Do records include a log of abnormal events, results of final soil product or final product testing?		
	<b>Answer</b>	<b>Citations</b>	<b>330.219(d)(2)</b>	<b>Notes</b>
<b>Item Number 34</b>	<b>Description</b>	Are there copies of the annual report for the five most recent calendar years or according to the alternative schedule established by the executive director?		
	<b>Answer</b>	<b>Citations</b>	<b>330.219(d)(3)</b>	<b>Notes</b>
<b>Item Number 35</b>	<b>Description</b>	Does the facility accept untreated medical waste for which a shipping document is required? If the answer is NO, skip to Section J in Part 2.		
	<b>Answer</b>	<b>Citations</b>		<b>Notes</b>
<b>Item Number 36</b>	<b>Description</b>	Does the facility ensure that a shipping document accompanies each shipment?		
	<b>Answer</b>	<b>Citations</b>	<b>330.219(h)(1)</b>	<b>Notes</b>
<b>Item Number 37</b>	<b>Description</b>	Does the owner or operator sign the shipping document and immediately provide one copy to the transporter?		
	<b>Answer</b>	<b>Citations</b>	<b>330.219(h)(2)</b>	<b>Notes</b>
<b>Item Number 38</b>	<b>Description</b>	Does the owner or operator retain one copy of the shipping document?		
	<b>Answer</b>	<b>Citations</b>	<b>330.219(h)(3)</b>	<b>Notes</b>
<b>Item Number 39</b>	<b>Description</b>	Does the owner or operator send a copy of the shipping document to the generator indicated that the medical waste was treated within 45 days of delivery?		
	<b>Answer</b>	<b>Citations</b>	<b>330.219(h)(4)</b>	<b>Notes</b>
<b>Item Number 40</b>	<b>Description</b>	Continue to MSW TYPE 5 PROCESSOR - Part 2 checklist.		
	<b>Answer</b>	<b>Citations</b>		<b>Notes</b>