

June 2012



**Texas Emissions Reduction Plan:
Clean Fleet Reimbursement Forms**

Table of Contents:
(Each page is a separate worksheet tab)

Instructions for Requesting Reimbursement

Request for Reimbursement (Form 1)

AP-152 Form (Use for Payment Assignment)

HEAVY Duty Diesel - Detail Expense Summary

LIGHT Duty Diesel - Detail Expense Summary

**Texas Commission on Environmental Quality (TCEQ)
Texas Emissions Reduction Plan (TERP)
Clean Fleet**

INSTRUCTIONS FOR REQUESTING REIMBURSEMENT ON YOUR CLEAN FLEET GRANT

HOW TO SUBMIT A REQUEST FOR REIMBURSEMENT:

Use the attached forms to request reimbursement for eligible expenses. Before filing a Request for Reimbursement, you must have completed at least one grant Activity in the Contract. You must have paid the project expenses unless you are asking the TCEQ to make the payment directly to the Vendor or Finance Company listed in the Contract. You must submit documentation of the expenses with your request. The documentation requirements are located in your Contract in the General Conditions Request for Reimbursement section. The same person (Grantee's Authorized Representative) who signed the Contract or a person so authorized in the original application and/or Contract must sign the Request for Reimbursement Form. The forms submitted must contain original signatures.

1. FILL OUT FORM 1 - AMOUNT REQUESTED & ASSIGNMENT:

A. PAYMENTS TO GRANTEE: If the Grantee paid for the project costs, then the payment may go directly to the Grantee. On Form 1, complete ONLY SECTIONS 1, 3, and 4. In Section 1, using the TCEQ Contract, enter the TCEQ Contract Number and the Total Grant Award for all approved activities. Use the total from (all) the Detailed Expense Summaries to enter the Amount Of This Request. Enter YES or NO as to whether this is the Final Request for Reimbursement. The Grantee's Authorized Representative must sign the Certification Statement in Section 3. If this is the **final request**, sign the Release of Claims in Section 4.

B. PAYMENTS TO VENDOR OR FINANCE CO. (Assignments): If the grant payment is to go directly to the vendor or financing company, complete all the information requested on Form 1. An authorized representative of the Assignee must complete the Assignee information in Section 2. The Authorized Representatives of both the Grantee and the Assignee sign the Assignment section. The Authorized Representative of the Grantee must sign the Certification Statement in Section 3, and if this is the final request, the Release of Claims in Section 4 must also be signed. The Form AP-152 must be completed and signed by the Authorized Representative of the Grantee.

2. FILL OUT THE DETAILED EXPENSE SUMMARY:

Fill out the Detailed Expense Summary for each activity using the instructions on the form. Attach the required documentation behind each form.

A Detailed Expense Summary Form is required for each Activity completed and payment is being requested for.

There is a Detailed Expense Summary Form for each type of Activity (i.e., Heavy Duty Diesel Replacement and Light Duty Diesel Replacement). Each form requires an Activity number that is located in your Contract in the Scope of Work section.

Lines 1 to 5: Using the TCEQ Contract, enter the TCEQ Contract Number; Grant Recipient Name; enter whether this is the final request or not, the Activity Number that identifies the piece of equipment being replaced; and the Approved Grant Amount for this Activity.

Lines 6A - 6G: Using the instructions on the Detailed Expense Summary, enter the Capital Cost or Equipment Purchase Price in **Line 6A**; in **Line 6B**, enter the costs of Global Positioning Systems if purchased; in **Line 6C**, enter the actual or default scrap value; and in **Line 6D** enter any financial assistance received. To calculate the Incremental Cost in **Line 6E**, add (6A "Purchase Price" + 6B "GPS") then subtract (6C "Scrapage" and 6D "Financial Assistance"). In **Line 6F**, enter the Eligible Percentage of Incremental Cost for the Activity being requested (there may be different percentage amounts for Activities based on the year of manufacture). To calculate the Eligible Replacement Cost in **Line 6G**, multiply the Incremental Cost in **Line 6E** with the Eligible Percentage in **Line 6F**.

Line 7: Enter the lesser of the Approved Grant Amount from **Line 5** or the Eligible Replacement Project Cost on **Line 6G**.

Lines 8 - 9: Enter a check mark or X in the cell(s) indicating the type of supporting documentation attached and the method of payment used. Enter in the financing or lease terms in the space provided. If a Finance Lease is used to purchase the vehicle, it must include a binding commitment for the grantee to take ownership and title to the vehicle.

Line 10: Enter the NEW Vehicle information: Vehicle Manufacturer, Model Year, Model, VIN, and the Engine Manufacturer, Model Year, Model and Serial Number. Enter the Engine Test Group or Family Code in the space provided, and the date the NEW vehicle was placed into service.

REMEMBER - WE CANNOT PROCESS A PAYMENT UNTIL YOU HAVE SUBMITTED ALL THE REQUIRED DOCUMENTATION.

MAILING INSTRUCTIONS

Mail the completed forms and the required documentation to the address below. Forms must have original signatures.

It is **VERY IMPORTANT** that all of the information listed below be included on your mailing label to ensure the Request goes to the correct office.

Mail or deliver the request to:

Standard Mail

Texas Commission on Environmental Quality
Air Quality Division
Implementation Grants Section, MC-204
P.O. Box 13087
Austin, TX 78711-3087

Express Delivery

Texas Commission on Environmental Quality
Air Quality Division
Implementation Grants Section, MC-204
12100 Park 35 Circle
Austin, TX 78753

These forms and instructions are available on the TERP website <www.terpgrants.org>.

Texas Commission on Environmental Quality (TCEQ)
TEXAS EMISSIONS REDUCTION PLAN (TERP) GRANT REIMBURSEMENT REQUEST
FORM 1 - REQUEST FOR REIMBURSEMENT

SECTION 1. CONTRACT INFORMATION SECTION

TCEQ Contract Number (on top right hand corner of grant document):			
Total Amount of TCEQ Grant Award:			
Amount Of This Request:			
Final Request? (Yes - If all Grant Activities are completed) (No - if some activities are not yet purchased):			
Yes <input type="checkbox"/>		No <input type="checkbox"/>	
<small>Enter an X if this is the Final Request</small>		<small>Enter an X if there will be other Requests</small>	
GRANTEE (Legal Name on Grant) Address for grant payments - Include individual or entity name, address, city, state, and zip code.			
Name:			
Address:			
City:	State:	Zip Code:	
Grantee Social Security Number (SSN) or Federal Employer Identification Number (FEIN) in Grant:			

IS PAYMENT ASSIGNED TO A THIRD PARTY (ASSIGNEE):

(Mark the Appropriate Box with an X below)

Yes <small>Complete Section 2 Below</small>	No <small>Skip to Section 3 Below</small>
---	---

SECTION 2. ASSIGNMENT SECTION

ASSIGNEE (The Vendor to Receive Payment) **Address for grant payments** - Include individual or entity name, address, city, state, and zip code.

Name:			
Address:			
City:	State:	Zip Code:	
Assignee Social Security Number(SSN) or Federal Employer Identification Number(FEIN):			

I, (**Grantee's Authorized Representative**), by this document hereby provide notice of assignment to the Texas Commission on Environmental Quality (TCEQ) of the assignment to (**Assignee Name**) of the payment not to exceed (**See #1 Below**) for the reimbursement of the eligible costs of purchases from the Assignee under the contract (grant) executed between (**Grantee Name**) and the TCEQ for award of an TERP Grant. Upon submission of the required payment forms and other reporting forms, please forward the payment to the Assignee.

Grantee's Authorized Representative (Person signing this form):

Grantee Name:

Assignee Name:

Amount Requested (From Section 1 above):

AUTHORIZED SIGNATURES FOR ASSIGNMENT

GRANTEE'S AUTHORIZED REPRESENTATIVE SIGNATURE:

Printed Name:

Title:

Date:

ASSIGNEE'S AUTHORIZED REPRESENTATIVE SIGNATURE:

Printed Name:

Title:

Date:

SECTION 3. GRANTEE'S CERTIFICATION

I certify to the best of my knowledge and belief that the data on this request for payment, including the data provided in the attached Detailed Expense Summary(ies), is (are) correct and complete, and that all outlays and unliquidated obligations are for the purposes set forth in the award document.

GRANTEE'S AUTHORIZED REPRESENTATIVE SIGNATURE:

Printed Name:

Title:

Date:

SECTION 4. RELEASE OF CLAIMS SECTION

(Sign this section only if payment has been requested for All Activities in the grant award)

Subject to receiving all reimbursement due and payable to date, the recipient hereby releases all claims against the TCEQ and its officers, agents and employees from any and all claims arising under or by virtue of the TCEQ's contract with the recipient.

GRANTEE'S AUTHORIZED REPRESENTATIVE SIGNATURE:

Printed Name:

Title:

Date:

For Comptroller's use only

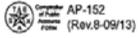
APPLICATION FOR TEXAS IDENTIFICATION NUMBER

• See instructions on back

	1. Is this a new account?	<input type="checkbox"/> YES Mail Code 000 Complete Sections 1 - 5	<input type="checkbox"/> NO Enter Mail Code _____ Complete Sections 1, 2 & 5	Agency number _____	
SECTION 1	2. TEXAS IDENTIFICATION NUMBER (TIN) - Indicate the type of number you are providing to be used for your TIN				
	<input type="checkbox"/> 1 - Employer Identification Number (EIN) <input type="checkbox"/> 2 - Social Security number (SSN) Enter the number indicated _____ <input type="checkbox"/> 3 - Comptroller's assigned number (FOR STATE AGENCY USE ONLY)				
	3. Are you currently reporting any Texas tax to the Comptroller's office such as sales tax or franchise tax?				
	<input type="checkbox"/> YES <input type="checkbox"/> NO If "YES," enter Texas Taxpayer Number _____				
SECTION 2	PAYEE INFORMATION (Please type or print)				
	4. Name of payee (Individual or business to be paid)				
	5. Mailing address where you want to receive payments				
	6. (Optional)				
	7. (Optional)				
	8. (Optional)				
	9. City _____ State _____ ZIP Code _____				
	10. Payee telephone number (Area code and number) _____ SIC code _____ Security type code (0, 1, 2) _____ Zone code _____				
	SECTION 3	11. OWNERSHIP CODES - Check only one code by the appropriate ownership type that applies to you or your business.			
		<input type="checkbox"/> I - Individual Recipient (not owning a business) <input type="checkbox"/> L - Texas Limited Partnership: If checked, enter the Texas File Number _____			
<input type="checkbox"/> S - Sole Ownership (Individual owning a business): If checked, enter the owner's name and Social Security number (SSN) <input type="checkbox"/> T - Texas Corporation: If checked, enter the Texas File Number _____					
Owner's name _____ SSN <input type="text" value="2"/> _____					
<input type="checkbox"/> P - Partnership: If checked, enter two partner's names and Social Security numbers (SSN). If a partner is a corporation, use the corporation's Employer Identification Number (EIN). <input type="checkbox"/> A - Professional Association: If checked, enter the Texas File Number _____					
Name _____ SSN/EIN _____					
Name _____ SSN/EIN _____					
<input type="checkbox"/> N - Other: If checked, explain. _____					
<input type="checkbox"/> C - Professional Corporation: If checked, enter the Texas File Number _____					
<input type="checkbox"/> O - Out-of-State Corporation <input type="checkbox"/> G - Governmental Entity <input type="checkbox"/> U - State agency / University <input type="checkbox"/> F - Financial Institution <input type="checkbox"/> R - Foreign (out of U.S.A.)					
SECTION 4	12. Payment Assignment? <input type="checkbox"/> YES <input type="checkbox"/> NO <i>Note: A copy of the assignment agreement between payees must be attached.</i>				
	Assignee name _____ Assignee TIN _____ Assignment date _____				
SECTION 5	13. Comments _____				
	Authorized signature (Applicant or authorized agent) _____ Date _____				
	Agency name _____ Prepared by _____ Phone (Area code and number) _____				
	15. _____				

AP-152 FORM SAMPLE

USE THIS FORM IF YOU WANT THE TCEQ TO PAY YOUR VENDOR OR FINANCING COMPANY



APPLICATION FOR TEXAS IDENTIFICATION NUMBER

• See instructions on back

For Comptroller's use only

1. Is this a new account? YES Mail Code 000 Complete Sections 1 - 5 NO Enter Mail Code _____ Agency number _____ Complete Sections 1, 2 & 5

SECTION 1

2. TEXAS IDENTIFICATION NUMBER (TIN) - Indicate the type of number you are providing to be used for your TIN
 Employer Identification Number (EIN) Grantee's
 2 - Social Security number (SSN) Enter the number indicated _____
 3 - Comptroller's assigned number (FOR STATE AGENCY USE ONLY)

3. Are you currently reporting any Texas tax to the Comptroller's office such as sales tax or franchise tax?
 YES NO If "YES," enter Texas Taxpayer Number _____

SECTION 2

4. Name of payee (Individual or business to be paid) LEGAL NAME of the BUSINESS RECEIVING PAYMENT

5. Mailing address where you want to receive payments Assignee for: (GRANTEE'S NAME ON THE CONTRACT)

6. (Optional) MAILING Address Where Payment Is to be Mailed

7. (Optional)

8. (Optional)

9. City City State TX ZIP Code Zip Code

10. Payee telephone number (Area code and number) _____ SIC code _____ Security type code (0, 1, 2) _____ Zone code _____

SECTION 3

11. OWNERSHIP CODES - Check only one code by the appropriate ownership type that applies to you or your business.

I - Individual Recipient (not owning a business)

L - Texas Limited Partnership: If checked, enter the Texas File Number _____

S - Sole Ownership (Individual owning a business): If checked, enter the owner's name and Social Security number (SSN)
 Owner's name _____
 SSN _____

T - Texas Corporation: If checked, enter the Texas File Number _____

A - Professional Association: If checked, enter the Texas File Number _____

P - Partnership: If checked, enter two partner's names and Social Security numbers (SSN). If a partner is a corporation, use the corporation's Employer Identification Number (EIN).
 Name _____
 SSN/EIN _____

C - Professional Corporation: If checked, enter the Texas File Number _____

O - Out-of-State Corporation

G - Governmental Entity

U - State agency / University

F - Financial Institution

R - Foreign (out of U.S.A.)

N - Other: If checked, explain. _____

SECTION 4

12. Payment Assignment? YES NO Note: A copy of the assignment agreement between payees must be attached.
 Assignee name LEGAL NAME of the BUSINESS RECEIVING PAYMENT
 Assignee TIN FEI # of BUSINESS REC'G PYMT Assignment date _____ Date _____

SECTION 5

13. Comments

14. **sign here** Signed by Grantee (or Authorized Signer in the Contract) Date _____

15. Agency name _____ Prepared by _____ Phone (Area code and number) _____

NOTE: ONLY THOSE BOXES WITH ENTRIES IN THEM NEED BE COMPLETED

**Texas Commission on Environmental Quality
Texas Emissions Reduction Plan (TERP)
Clean Fleet Program -- HEAVY DUTY DIESEL VEHICLES -- Detailed Expense Summary**

1. TCEQ CONTRACT NUMBER:			
2. GRANT RECIPIENT NAME:			
3. FINAL REQUEST THIS ACTIVITY: <i>(Enter Yes, if the Activity is complete or No, if there will be other costs on this Activity.)</i>			
4. ACTIVITY NUMBER: <i>(COMPLETE A SEPARATE DETAILED EXPENSE SUMMARY FOR EACH ACTIVITY REQUESTED.)</i>			
5. APPROVED GRANT AMOUNT FOR THIS ACTIVITY FROM CONTRACT:			
6. ACTUAL INCREMENTAL COST CALCULATION: <i>(Formula A + B - C - D = E and E x F = G)</i>			
A. CAPITAL COST OR EQUIPMENT PURCHASE PRICE: <i>(Enter the total invoiced price including taxes, registration, and other normal costs, but do NOT include any interest expense, loan application fees, application assistance costs, or consulting fees.)</i>			
B. ADD OTHER COSTS: GLOBAL POSITIONING SYSTEMS (GPS): <i>(Includes Purchase and Installation. Enter the cost to purchase and install the GPS to monitor and log the location and use of the vehicle. Ongoing operational and maintenance charges may not be included. The GPS unit must have been purchased from the vendor authorized and contracted by the TCEQ.)</i>			
C. SUBTRACT THE SCRAP VALUE OR THE VALUE RECEIVED FOR THE OLD EQUIPMENT BEING REPLACED: <i>(The TCEQ will use a default scrap value of \$1,000 for heavy duty on-road vehicles as stipulated in the contract. Enter \$1,000 in this box.)</i>			
D. SUBTRACT ALL FINANCIAL ASSISTANCE: <i>(List the value of any other financial assistance to be used for the purchase or lease, and explain in detail: The incremental cost must be reduced by the value of any other financial incentive received, including tax credits or deductions, other grants, or any other public financial assistance.)</i>			
E. INCREMENTAL COST CALCULATION (ROWS A + B - C - D = E): <i>(MULTIPLY PERCENTAGE OF INCREMENTAL COST BY CONTRACT)</i>			
<i>80% for old engine manufactured prior to 1988; 70% for old engine manufactured after 1987 & before 1998; 60% for old engine manufactured after 1997 & before 2004; or 50% for old engine manufactured after 2003.</i>			
G. ELIGIBLE REPLACEMENT PROJECT COST CALCULATION: <i>(MULTIPLY THE INCREMENTAL COST BY % APPROVED IN YOUR CONTRACT)</i>			
7. AMOUNT REQUESTED FOR THIS ACTIVITY <i>(Enter the lesser amount of the Grant Amount on Line 5 or the Eligible Replacement Project Cost on Line 6G.)</i>			
8. REQUIRED SUPPORTING DOCUMENTATION <i>Attach bills of sale, invoices, delivery receipts, proof of payment (canceled checks or wire transfers), executed (signed) financing agreement copies, and UCC1 statements. A PAYMENT CANNOT BE PROCESSED UNTIL YOU HAVE SUBMITTED THE REQUIRED DOCUMENTATION. Please check the following documentation items that you are submitting with this request of reimbursement:</i>			
Invoice(s) <input style="width: 50px;" type="text"/>	Wire Transfer <input style="width: 50px;" type="text"/>	Financial Agreement <input style="width: 50px;" type="text"/>	
Bill of Sale (Sales Contract) <input style="width: 50px;" type="text"/>	Copies of Canceled Checks <input style="width: 50px;" type="text"/>	Finance Lease Agreement <input style="width: 50px;" type="text"/>	
9. METHOD OF PAYMENT, FINANCING TERMS: <i>(Indicate with a checkmark the type of purchase: Cash, Regular Financing)</i>			
Cash Purchase: <input style="width: 50px;" type="text"/>	Regular Financing: <input style="width: 50px;" type="text"/> <i>Describe below</i>	Lease Financing: <input style="width: 50px;" type="text"/> <i>Describe below</i>	
Specify the exact terms of the financing or lease agreement, including the term of the lease or principal amount financed, the duration (in months), and amount of each installment below (the Finance Lease Agreement must include a binding commitment for the grantee to take ownership and title to the vehicle):			
<i>Note: The grant may only be used to reimburse principal amounts or lease payments already made (excluding interest or finance charges) and/or upfront down payments on the purchase or lease. Your grant reimbursements may not be used to prepay future lease payments.</i>			
10. NEW EQUIPMENT INFORMATION: <i>Enter below the information about the NEW vehicle purchased.</i>			
Equipment Manufacturer		Engine Manufacturer	
Equipment Model Year		Engine Model Year	
Equipment Model		Engine Model	
VIN or Serial Number		Engine Serial Number	
Engine Test Group (Family Code) 12-Digit Alphanumeric found on Engine Plate			
Date NEW vehicle or equipment was placed into service			

**Texas Commission on Environmental Quality
Texas Emissions Reduction Plan (TERP)
Clean Fleet Program -- LIGHT DUTY DIESEL VEHICLES -- Detailed Expense Summary**

1. TCEQ CONTRACT NUMBER:			
2. GRANT RECIPIENT NAME:			
3. FINAL REQUEST THIS ACTIVITY: <i>(Enter Yes, if the Activity is complete or No, if there will be other costs on this Activity.)</i>			
4. ACTIVITY NUMBER: <i>(COMPLETE A SEPARATE DETAILED EXPENSE SUMMARY FOR EACH ACTIVITY REQUESTED.)</i>			
5. APPROVED GRANT AMOUNT FOR THIS ACTIVITY FROM CONTRACT:			
6. ACTUAL INCREMENTAL COST CALCULATION: <i>(Formula A + B - C - D = E and E x F = G)</i>			
A. CAPITAL COST OR EQUIPMENT PURCHASE PRICE: <i>(Enter the total invoiced price including taxes, registration, and other normal costs, but do NOT include any interest expense, loan application fees, application assistance costs, or consulting fees.)</i>			
B. ADD OTHER COSTS: GLOBAL POSITIONING SYSTEMS (GPS): <i>(Includes Purchase and Installation. Enter the cost to purchase and install the GPS to monitor and log the location and use of the vehicle. Ongoing operational and maintenance charges may not be included. The GPS unit must have been purchased from the vendor authorized and contracted by the TCEQ.)</i>			
C. SUBTRACT THE SCRAP VALUE OR THE VALUE RECEIVED FOR THE OLD EQUIPMENT BEING REPLACED: <i>(The TCEQ will use a default scrap value of \$500 for light duty on-road vehicles as stipulated in the contract. Enter \$500 in this box.)</i>			
D. SUBTRACT ALL FINANCIAL ASSISTANCE: <i>(List the value of any other financial assistance to be used for the purchase or lease, and explain in detail: The incremental cost must be reduced by the value of any other financial incentive received, including tax credits or deductions, other grants, or any other public financial assistance.)</i>			
E. INCREMENTAL COST CALCULATION (ROWS A + B - C - D = E):			
F. ELIGIBLE PERCENTAGE OF INCREMENTAL COST (per contract): <i>80% for old engine manufactured prior to 1994; 70% for old engine manufactured after 1993 & before 2004; or 60% for old engine manufactured after 2003.</i>			
G. ELIGIBLE REPLACEMENT PROJECT COST CALCULATION: <i>(MULTIPLY THE INCREMENTAL COST BY % APPROVED IN YOUR CONTRACT)</i>			
7. AMOUNT REQUESTED FOR THIS ACTIVITY <i>(Enter the lesser amount of the Grant Amount on Line 5 or the Eligible Replacement Project Cost on Line 6G.)</i>			
8. REQUIRED SUPPORTING DOCUMENTATION <i>Attach bills of sale, invoices, delivery receipts, proof of payment (canceled checks or wire transfers), executed (signed) financing agreement copies, and UCC1 statements. A PAYMENT CANNOT BE PROCESSED UNTIL YOU HAVE SUBMITTED THE REQUIRED DOCUMENTATION. Please check the following documentation items that you are submitting with this request of reimbursement:</i>			
Invoice(s) <input style="width: 80px;" type="text"/>	Wire Transfer <input style="width: 80px;" type="text"/>	Financial Agreement <input style="width: 80px;" type="text"/>	
Bill of Sale (Sales Contract) <input style="width: 80px;" type="text"/>	Copies of Canceled Checks <input style="width: 80px;" type="text"/>	Finance Lease Agreement <input style="width: 80px;" type="text"/>	
9. METHOD OF PAYMENT, FINANCING TERMS: <i>(Indicate with a checkmark the type of purchase: Cash, Regular Financing)</i>			
Cash Purchase: <input style="width: 80px;" type="text"/>	Regular Financing: <input style="width: 80px;" type="text"/> <i>Describe below</i>	Lease Financing: <input style="width: 80px;" type="text"/> <i>Describe below</i>	
Specify the exact terms of the financing or lease agreement, including the term of the lease or principal amount financed, the duration (in months), and amount of each installment below (the Finance Lease Agreement must include a binding commitment for the grantee to take ownership and title to the vehicle):			
<i>Note: The grant may only be used to reimburse principal amounts or lease payments already made (excluding interest or finance charges) and/or upfront down payments on the purchase or lease. Your grant reimbursements may not be used to prepay future lease payments.</i>			
10. NEW EQUIPMENT INFORMATION: <i>Enter below the information about the NEW vehicle purchased.</i>			
Equipment Manufacturer		Engine Manufacturer	
Equipment Model Year		Engine Model Year	
Equipment Model		Engine Model	
VIN or Serial Number		Engine Serial Number	
Engine Test Group (Family Code) 12-Digit Alphanumeric found on Engine Plate			
Date NEW vehicle or equipment was placed into service			