

# Drayage Truck Incentive Program (DTIP) Project Application Form

TCEQ-10430i

Version 17.01



Your application will be considered void if any language in this form is altered.  
This application form is valid only for the application period ending May 26, 2017, and is subject to the criteria noted in the Request for Grant Applications.

### **Application Deadline:**

DTIP applications will be accepted until 5 p.m. Central Time on May 26, 2017, subject to the criteria in the Request for Grant Applications (RFGA).

Texas Commission on Environmental Quality  
Air Quality Division  
Implementation Grants Section (DTIP), MC-204  
P.O. Box 13087  
Austin, TX 78711-3087

<http://www.terpgrants.org>

TCEQ USE ONLY  
Application #

TCEQ USE ONLY  
Contract #

**Drayage Truck Incentive Program**  
**TCEQ-10430i**  
**Version 17.01**  
**Form 1: Signature Page**

<b>1. Applicant's Legal Name:</b> Applicant legal name will be used to establish a contract.	
<b>2. Signature of Authorized Official:</b> Applicant or representative of applicant with the authority to execute a contract.	
I hereby certify that to the best of my knowledge and belief all information provided in this application and the required attachments is true and correct. If the application was prepared by a third party, I certify that I have reviewed the completed application, I agree with the information provided, and the date provided below is the date I signed the form. I further understand that prior to incorporating this information into a grant contract the data and information may be revised by the TCEQ for accuracy and that the acceptance of a grant contract will constitute agreement with those revisions. Failure to sign the application or signing it with a false statement may make the submitted offer or any resulting contracts voidable.	
<b>Signature of Authorized Official:</b> Authorized official's original signature must be in <b>BLUE</b> ink.	
<i>Faxed or photocopied signature pages will not be accepted. The application, with an original signature, must be received by the application deadline or the application will not be accepted.</i>	
<b>Printed Name of Authorized Official:</b>	
<b>Authorized Official's Title:</b>	
<b>Date of Signature:</b> Date of signature must be in <b>BLUE</b> ink.	
<b>Intentional falsification of these forms will be prosecuted to the extent allowed under the law and may be used as an adverse factor in future grant selection decisions.</b>	
If you have questions on how to fill out this form or about the Texas Emissions Reduction Plan (TERP) program, please contact us at 1-800-919-TERP (8377).	
Upon submission, all proposals become the property of the State of Texas and as such become subject to the Texas Public Information Act, V.T.C.S. art. 6252-17a.	
Personal Information Policy: Individuals are entitled to request and review their personal information that the agency gathers on its forms. Individuals may also have any errors in their information corrected. To review such information, contact the TCEQ TERP program at 1-800-919-TERP (8377).	

Do NOT alter forms. Altered forms will be void.

**This form is only valid for the application period ending May 26, 2017.**

**Drayage Truck Incentive Program**  
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**Form 2: Third-Party Preparer Signature Page**

**Was this application prepared by a third party?** A third party may include a consultant, dealer, or other person who is not currently employed by the applicant. Select **Yes** or **No** from the drop-down menu.

If **Yes**, the third-party preparer must provide an original signature below.

I hereby certify that to the best of my knowledge and belief all information provided in this application and required attachments is true and correct, as represented to me by the applicant. I understand that failure to sign the application or signing it with a false statement may make the submitted offer or any resulting contracts voidable.

**Signature of Third-Party Preparer:**

Preparer's original signature must be in **BLUE** ink.

**Printed Name:**

Include the prefix Mr./Ms./Mrs.

**Title:**

**Company Name:**

**Address:**

**Phone Number:**

**E-Mail Address:**

**Date of Signature:**

Date must be in **BLUE** ink.

Intentional falsification of these forms will be prosecuted to the extent allowed under the law and may be used as an adverse factor in future grant approval decisions for applications involving the third-party preparer.

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**Form 3: Contact Information**

<b>1. Authorized Official:</b> Applicant or representative of the applicant with the authority to execute a contract.							
<b>Name and Title:</b>							
Prefix:		First:		MI:		Last:	
Title:							
<b>Mailing Address:</b>							
Street Line 1:							
Street Line 2:							
City:		State:		Zip code:			
<b>Physical Address: (for express delivery of legal documents)</b>							
Street Line 1:							
Street Line 2:							
City:		State:		Zip code:			
Primary Phone:				Secondary Phone:			
Fax Number:				E-Mail Address:			
<b>2. Designated Project Representative:</b> Mark the box with an "X" if the designated project representative is the same as the authorized official. If the same, the designated project representative information is not required.							
<b>Name and Title:</b>							
Prefix:		First:		MI:		Last:	
Title:							
<b>Mailing Address:</b>							
Street Line 1:							
Street Line 2:							
City:		State:		Zip code:			
<b>Physical Address: (for the express delivery of legal documents)</b>							
Street Line 1:							
Street Line 2:							
City:		State:		Zip code:			
Primary Phone:				Secondary Phone:			
Fax Number:				E-Mail Address:			
<b>3. Designated Location for Records Access and Review by the TCEQ or its Representative:</b> (physical address)							
Street Line 1:							
Street Line 2:							
City:		State:		Zip code:			

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**Form 4: Payee Information**

**1. Applicant's Legal Name:** Applicant legal name will be used to establish a contract if selected.

**2. Payee Identification Number (PIN):** Indicate the type of number being provided to be used as a PIN.  
 Provide one of the following numbers. Do not complete both A and B.

<b>A. Social Security Number (SSN):</b> Applicants applying as an individual or sole owner must provide their 9-digit SSN issued by the Social Security Administration.	
<b>B. Federal Employer's Identification Number (FEIN):</b> Applicants applying as a business entity must provide their 9-digit FEIN issued by the Internal Revenue Service.	

**3. Is the applicant currently reporting any Texas tax to the Comptroller?** (e.g., sales tax, franchise tax)  
 Texas tax does not include unemployment. Select Yes or No from the drop-down menu.

If Yes, provide the applicant's 11-digit Texas Taxpayer Number issued by the Comptroller.

**4. Ownership Codes:** Mark the box next to the appropriate ownership code with an X, and enter the additional information as requested. Please mark only one box in this section. The box marked should match the applicant legal name listed in Section 1.

I - Individual Recipient (not owning a business):		L - Limited Partnership:	
S - Sole Owner (individual owning a business):		Texas File #:	
Owner's Name:		T - Texas or Limited Liability Corporation:	
Owner's SSN:		Texas Charter #:	
P - Partnership:		A - Professional Association:	
Name:		Texas Charter #:	
SSN/FEI#:		C - Professional Corporation:	
Name:		Texas Charter #:	
SSN/FEI#:		O - Out-of-State Corporation:	
J - Joint Venture:		U - State Agency/University:	
G - Governmental Entity:		N - Other (explain):	

**5. Describe Applicant's Primary Business Type:** (e.g., stevedoring services, terminal operators, short-haul operations)



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Form 5a: Vehicle/ Equipment Being Replaced (cont.)

Description	Activity Number: 6	Activity Number: 7	Activity Number: 8	Activity Number: 9	Activity Number: 10
<b>Vehicle/ Equipment Description:</b>					
<b>Is the Equipment used primarily for container handling?:</b> <small>If "yes," the equipment may be classified as "Container Handling Equipment" for purposes of determining maximum grant amounts (Refer to Appendix C in the RFGA).</small>					
<b>Vehicle/ Equipment Make:</b>					
<b>Vehicle/ Equipment Model:</b>					
<b>Vehicle/ Equipment Year:</b>					
<b>Vehicle Identification Number (VIN) or Equipment ID:</b>					
<b>Gross Vehicle Weight Rating (GVWR):</b> <small>(On-road only)  Note: GVWR must be greater than 26,000 lbs. If the on-road vehicle normally operates in combination with a trailer, the Gross Combined weight Rating (GCWR) should be used.</small>					
<b>Engine Make:</b>					
<b>Engine Model / Model Number:</b>					
<b>Engine Identification Number:</b>					
<b>Engine Year:</b> <small>The engine must be model year 2006 or earlier.</small>					
<b>Engine Horsepower:</b> <small>Enter the max-rated engine break horsepower for the old equipment.</small>					
<b>Engine Family Code:</b> <small>Enter the 12-digit emissions code.</small>					
<b>Certified NOx Emissions:</b> <small>(g/bhp-hr)</small>					



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Form 6a: New Vehicle/ Equipment (cont.)

Description	Activity Number: 6	Activity Number: 7	Activity Number: 8	Activity Number: 9	Activity Number: 10
<b>Vehicle/ Equipment Description:</b>					
<b>Is the Equipment used primarily for container handling?:</b> <small>If "yes," the equipment may be classified as "Container Handling Equipment" for purposes of determining maximum grant amounts (Refer to Appendix C in the RFGA).</small>					
<b>Vehicle/ Equipment Make:</b>					
<b>Vehicle/ Equipment Model:</b>					
<b>Vehicle/ Equipment Year:</b>					
<b>Vehicle Identification Number (VIN) or Equipment ID:</b>					
<b>Gross Vehicle Weight Rating (GVWR):</b> <small>(On-road only)  Note: GVWR must be greater than 26,000 lbs. If the on-road vehicle normally operates in combination with a trailer, the Gross Combined weight Rating (GCWR) should be used.</small>					
<b>Engine Make:</b>					
<b>Engine Model / Model Number:</b>					
<b>Engine Identification Number:</b>					
<b>Engine Year:</b> <small>The engine must be model year 2010 or later.</small>					
<b>Engine Horsepower:</b> <small>Enter the max-rated engine break horsepower for the old equipment.</small>					
<b>Engine Family Code:</b> <small>Enter the 12-digit emissions code.</small>					
<b>Certified NOx Emissions:</b> <small>(g/bhp-hr)</small>					

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**Form 7: Vehicle/ Equipment Usage Information**

**Average Number of Annual Visits to Eligible Seaports and/or Rail Yards**

List the average number of annual visits to eligible seaports and rail yards, and the eligible seaports and rail yards visited, for each activity. The average number of annual visits to eligible seaports and rail yards should be the average number of visits per year for the two years preceding the application. Only one visit to an eligible seaport or rail yard per day may be counted toward the annual total. For drayage trucks that permanently reside at eligible seaports and rail yards each day of operation represents one visit. For a complete list of eligible seaport and rail yard terminals refer to Section 1.6 of the RFGA.

Activity #:	<b>1</b>	Avg. # of Annual Visits:		Terminals Visited:	
Activity #:	<b>2</b>	Avg. # of Annual Visits:		Terminals Visited:	
Activity #:	<b>3</b>	Avg. # of Annual Visits:		Terminals Visited:	
Activity #:	<b>4</b>	Avg. # of Annual Visits:		Terminals Visited:	
Activity #:	<b>5</b>	Avg. # of Annual Visits:		Terminals Visited:	
Activity #:	<b>6</b>	Avg. # of Annual Visits:		Terminals Visited:	
Activity #:	<b>7</b>	Avg. # of Annual Visits:		Terminals Visited:	
Activity #:	<b>8</b>	Avg. # of Annual Visits:		Terminals Visited:	
Activity #:	<b>9</b>	Avg. # of Annual Visits:		Terminals Visited:	
Activity #:	<b>10</b>	Avg. # of Annual Visits:		Terminals Visited:	



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### Price Analysis For Drayage Truck Being Purchased

The price of the vehicle/ equipment must be reasonable, as determined by whether the price exceeds the price normally charged for that type of vehicle/ equipment absent the availability of a grant. A price comparison option must be entered on *Form 10: Financial Data* for each activity. You must provide an original price quote for the vehicle/ Equipment to be purchased or, if the purchase has already been made, a copy of the invoice or purchase order. In addition, you must provide the price comparison information required for the option chosen. Governmental entities must follow competitive purchasing laws applicable to that entity in making a grant-funded purchase.

**1. No Price Comparison Information is Required.** If you choose one of the following options, only one primary price quote must be provided. If the purchase has already been made, then a copy of the invoice or purchase order should be provided. No additional price comparison information is required unless requested by the TCEQ.

**Option A:** The applicant is a governmental entity and will use competitive purchasing procedures or purchase from a cooperative purchasing program. Price information from a cooperative purchasing list or a written price quote must still be provided to show the expected cost.

**Option B:** The requested grant amount does not exceed 60% of the vehicle/ equipment cost.

**2. Price Comparison Information is Required.** If you did not choose one of the options above, you must provide price comparison information as requested under at least one of the options listed below. Choose the applicable option below and provide the requested information in addition to one primary price quote.

**Option C:** Two or more additional original price quotes for the same make, model, and model year of vehicle/ equipment from unrelated dealers.

**Option D:** Price information from a current government-approved price/bid list or cooperative purchasing price/bid list for the same make, model, and model year of vehicle/equipment.

**Option E:** Price information from a current published/advertised price list available to the general public for the same make, model, and model year of vehicle/equipment.

**Option F:** If the vehicle/equipment is "used," current advertised price information from internet marketing sites or other public advertisements for two or more of the same make, model, and model year of vehicle/ equipment.

**Option G:** Documentation of prices charged for at least two recent sales to non-TERP customers by the dealer for the same make, model, and model year of vehicle/equipment. This information may include invoices or purchase orders with the identifying customer information blacked out or other written documentation (sales report, sales list, etc.) from the dealer.

**3. The TCEQ** is not obligated to accept a price quote if the price does not appear to be reasonable. If the price on the primary price quote is higher than the price comparison information provided above, you must provide an explanation of why the price should be considered reasonable. If no price comparison information is available, you must explain why the information is not available and why the price being charged should be considered reasonable. The TCEQ is not obligated to accept the price listed and may deny an application where the price is determined unreasonable or may use a lower amount for determining the incremental cost for the grant. The TCEQ may use published national pricing/value guides and comparison with prices charged for other grants to determine if the price is reasonable.

**Option H:** No price comparison information is available. This option will be accepted only in unusual or special circumstances, such as with a unique type of vehicle or equipment available from only one source. An explanation of why no price comparison information is available must be provided below, along with a justification for why the price should be considered reasonable.





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Form 10: Financial Summary**

**1. Summary of Project Costs**

<p style="text-align: center;"><b>Total Incremental Cost of the Project:</b> Total the amounts in (E) on Forms 9 &amp; 9a: Financial Data</p>	
<p style="text-align: center;"><b>Total Grant Amount Requested:</b> Total the amounts in (I) on Forms 9 &amp; 9a: Financial Data</p>	

**2. Financial Incentives and Tax Credits**

<p>If the applicant is NOT receiving or does not plan to apply for other financial incentives, tax credits, or any other public financial assistance from another source to purchase the vehicle/equipment it is not necessary to fill out this section of the form (Form 10).</p> <p>Grant applicants must list all other financial incentives and tax credits received or for which they are eligible and expect to receive for the vehicle/equipment. The combined total of the DTIP grant and other financial incentives or assistance, including tax credits received or expected to be received, may not exceed the incremental costs to the grant applicant. If you receive a grant, you must certify in the annual reports that you have not received an additional incentive or if an incentive has been received you must include information on the incremental cost and the incentive amount in the report.</p>	
<p><b>Does this section apply to all activities in the application?</b> Select <u>Yes</u> or <u>No</u> from the drop-down menu.</p>	<b>Yes</b>
<p><b>If no, list the activity number(s) to which this section applies:</b></p>	
<p><b>Explanation of Financial Incentives and Tax Credits:</b> Please explain in the space below where the other financial incentives and tax credits are from, including the entity or program name. If known, please explain whether the other financial incentives and tax credits are from a program that is relied on for credit in the State Implementation Plan.</p>	

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**Form 11: Vehicle/ Equipment Certification**

This form is to be completed and signed by a certified or licensed mechanic qualified to assess the condition of the vehicles/equipment being replaced. The mechanic must either be certified by the National Institute for Automotive Service Excellence (ASE) or by an equivalent manufacturer training program. The mechanic may not be an employee of the applicant, unless approved by the TCEQ.

<b>INFORMATION ON VEHICLE(S)/EQUIPMENT BEING REPLACED.</b>			
<small><b>NOTE:</b> The VIN or Equipment ID for each activity must match the number listed on Forms 5 &amp; 5a.</small>			
<b>Activity Number:</b>	<b>1</b>	<b>VIN or Equipment ID:</b>	
<b>Activity Number:</b>	<b>2</b>	<b>VIN or Equipment ID:</b>	
<b>Activity Number:</b>	<b>3</b>	<b>VIN or Equipment ID:</b>	
<b>Activity Number:</b>	<b>4</b>	<b>VIN or Equipment ID:</b>	
<b>Activity Number:</b>	<b>5</b>	<b>VIN or Equipment ID:</b>	
<b>Activity Number:</b>	<b>6</b>	<b>VIN or Equipment ID:</b>	
<b>Activity Number:</b>	<b>7</b>	<b>VIN or Equipment ID:</b>	
<b>Activity Number:</b>	<b>8</b>	<b>VIN or Equipment ID:</b>	
<b>Activity Number:</b>	<b>9</b>	<b>VIN or Equipment ID:</b>	
<b>Activity Number:</b>	<b>10</b>	<b>VIN or Equipment ID:</b>	
<p>I, the undersigned, have inspected the vehicle(s) noted above. The engine starts and runs properly and the vehicle is in good operating condition. In my professional opinion, the vehicle is able to perform the functions normally expected for this type of vehicle and could be expected to operate for an additional five years taking into account normal maintenance, repairs, and upkeep. <b>Intentionally falsifying information in these forms will be prosecuted to the extent allowed under the law and may be used as an adverse factor in future grant selection decisions.</b></p>			
<b>Printed Name:</b>			
<b>Name of Service Company:</b>			
<b>Phone Number:</b>			
<b>Address:</b>			
<b>Mechanic Certification Type and Number:</b> List the type and number, or other qualifications to assess the condition of the vehicle/equipment			
<b>Certified Mechanic Signature:</b> Please sign and date in <b>BLUE</b> ink.			
<b>Date:</b>			

**Drayage Truck Incentive Program**  
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**Form 12: Applicant Certification**  
Complete for **EACH** Replacement Activity

	<b>Activity Number:</b>
Complete separate form for each replacement activity.	

**1. Ownership or Lease**

a. The applicant has continually owned or leased the vehicle/equipment for at least the preceding two years or the vehicle/ equipment was owned or leased under a different name but one of the conditions explained in c. below applies.

b. The applicant is listed as the owner on the front of the current title (on-road) or ownership documents (non-road), or is listed as the lessee on the lease agreement; or a different name is listed but one of the conditions explained in c. below applies.

Date of purchase or lease:

Previous owner or leasing company:

c. The TCEQ may consider, case by case, situations where the ownership of the applicant company has changed, the assets of the company were purchased by another company without a change in use and vocation of the vehicle/equipment, or the company changed names or incorporation status.

Indicate if one of the conditions in c. applies by selecting **Yes** or **No** from the drop-down menu. If **Yes**, the applicant must provide a detailed written explanation with the application.

**2. Authority to Dispose**

a. The applicant has the authority to dispose of the vehicle/ equipment being replaced. If the vehicle/ equipment is currently being leased, the lease agreement has a commitment or option to buy and the applicant will purchase the vehicle/ equipment upon award of the grant in order to dispose of the vehicle/ equipment.

**3. Registration (on-road only)**

a. The vehicle/equipment is currently registered for operation in Texas in the applicant's name or is not registered but is being used exclusively for non-road purposes at an eligible seaport or rail yard.

b. The vehicle/equipment has been continuously registered in Texas, with no more than two months lapsed registration per year, for the preceding two years or has not been registered but has been used exclusively for non-road purposes at an eligible seaport or rail yard for the preceding two years.

Registration Number:

Registration expiration date:

**4. Operation in Texas**

a. The vehicle/equipment has been operated for at least 75% of annual mileage or hours of operation in Texas for the preceding two years.

b. The vehicle/equipment has been operated for the number of visits entered in Form 7 of this application to eligible seaports and rail yards over the preceding two years.

**5. Truck Condition**

a. The vehicle/equipment is currently in good operating condition and capable of performing its primary function.

b. The vehicle/equipment has a current safety inspection and has had an up-to-date safety inspection over the preceding two years or the vehicle/equipment has been used exclusively for non-road purposes at an eligible seaport or rail yard (on-road only).

**6. Continued Operation and Use**

a. To the best of the applicant's knowledge, the vehicle/equipment is capable of continuing to perform its primary function for at least the period of the designated activity life, taking into account normal maintenance, repairs, and upkeep.

b. If the grant funds were not available, the applicant expects to otherwise continue to operate the vehicle/equipment in Texas for at least the period of the activity life and the applicant would not have planned to replace the vehicle/equipment.

**7. Certification & Signature**

By providing a signature below, except where a waiver has been requested, I certify to the best of my knowledge and belief that the above requirements have been met for each activity listed on the application. I acknowledge that the grant contract may be terminated and payments withheld if this certification is found to be inaccurate.

**Signature of Authorized Official:**  
Authorized official's original signature must be in **BLUE** ink.

**Date of Signature:**  
Date of signature must be in **BLUE** ink.

**Drayage Truck Incentive Program**  
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**Form 13: General Certifications**

This section serves to assure the TCEQ that you understand and agree to the statements. These provisions relate to the basic contract form which will be in force between the applicant and the TCEQ upon award of a grant. TCEQ urges applicants to download a copy of the example grant contract from [www.terpgrants.org](http://www.terpgrants.org) and review it so that any questions can be discussed early in the application review process. By signing this application, the applicant assures and certifies that:

- 1. Legal Authority.** It possesses legal authority in the State of Texas to apply for the grant and that the applicant's governing body has authorized the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the authorized official to act in connection with the application and to provide such additional information as may be required.
- 2. Uniform Grant Management Standards.** It will comply with the Uniform Grant Management Standards (UGMS), adopted June 2004 by the Texas Comptroller of Public Accounts in accordance with Chapter 783, Texas Government Code. This document is available at: <http://www.window.state.tx.us/procurement/catrad/ugms.pdf>
- 3. Procurement of Goods and Services.** In procuring goods and services, it will comply with Part II. Cost Principles for State and Local Governments and Other Affected Parties and Part III. State Uniform Administrative Requirements for Grants of the UGMS. All procurement transactions will be conducted in a manner providing full and open competition.
- 4. Historically Underutilized Businesses (HUBs).** Qualified HUBs, as defined and designated under state law, shall have the maximum practicable opportunity to participate in the performance of the work arising out of this project.
- 5. Conflict of Interest.** Applicant has not given, offered to give, nor intends to give at anytime hereafter, any economic opportunity, future employment, gift, loan gratuity, special discount, trip, favor, or service to a public servant in connection with the submitted application. Under Government Code § 2155.004, no person involved in the preparation of the Request for Grant Applications may have any financial interest in this application. If applicant is not eligible, then any contract resulting from this application shall be immediately terminated. Furthermore, under Section 2155.004, Government Code, the applicant certifies that the individual or business entity named in this bid or contract is not ineligible to receive the specified contract and acknowledges that this contract may be terminated and payment withheld if this certification is inaccurate.
- 6. Nondiscrimination.** It will comply with all State and Federal statutes relating to nondiscrimination.
- 7. Grant Administration.** It will maintain an appropriate grant administration system to ensure that all terms, conditions, and specifications of the grant, including these certifications and assurances, are met.
- 8. Audit.** Pursuant to Section 2262.003 of the Texas Government Code, the state auditor may conduct an audit or investigation of the vendor or any other entity or person receiving funds from the state directly under this contract or indirectly through a subcontract under this contract. The acceptance of funds by the applicant or any other entity or person directly under this contract or indirectly through a subcontract under this contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds. Under the direction of the legislative audit committee, the applicant or other entity that is the subject of an audit or investigation by the state auditor must provide the state auditor with access to any information the state auditor considers relevant to the investigation or audit. Applicant will ensure that this clause concerning the authority to audit funds received indirectly by subcontractors through the vendor and the requirement to cooperate is included in any subcontract it awards.
- 9. Debt to the State.** It is not indebted to the state or has an outstanding tax delinquency. It further understands that the Texas Comptroller is precluded by law from paying a person who is indebted to the state or has a tax delinquency. The applicant must comply with all State and Federal tax laws and fee requirements and is solely responsible for filing all State and Federal tax and fee forms.

*Continued on next page*

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**Form 13a: General Certifications (Continued)**

**10. Grant Contract.** It understands that a copy of the grant contract shell is available from the TCEQ, including a copy posted on the TCEQ's web site at [www.terpgrants.org](http://www.terpgrants.org). It further understands that the TCEQ will not normally change the contract language to deal with individual requests from grant recipients.

**11. Contracting with an Executive of a State Agency.** Under Government Code § 669.003, relating to contracting with an executive of a state agency, Applicant represents that no person who, in the past four years, served as an executive of the Texas Commission on Environmental Quality (TCEQ) or any other state agency, was involved with or has any interest in this Application. If Applicant employs or has used the services of a former executive head of TCEQ or other state agency, then Respondent shall provide the following information: name of former executive, name of state agency, date of separation from state agency, position with Applicant, and date of employment with Applicant.

**12. Debarment.** Applicant certifies that the applying entity and its principals are eligible to participate in this transaction and have not been subjected to suspension, debarment, or similar ineligibility determined by any federal, state or local governmental entity and that Respondent is in compliance with the State of Texas statutes and rules relating to procurement and that Respondent is not listed on the federal government's terrorism watch list as described in Executive Order 13224. Entities ineligible for federal procurement are listed at <http://www.epls.gov>.

**13. Hurricane Katrina and Other Natural Disasters.** Under Section 2155.006(b) of the Texas Government Code, a state agency may not accept a bid or award a contract, including a contract for which purchasing authority is delegated to a state agency, that includes proposed financial participation by a person who, during the five-year period preceding the date of the bid or award, has been: (1) convicted of violating a federal law in connection with a contract awarded by the federal government for relief, recovery, or reconstruction efforts as a result of Hurricane Rita, as defined by Section 39.459, Utilities Code, Hurricane Katrina, or any other disaster occurring after September 24, 2005; or (2) assessed a penalty in a federal civil or administrative enforcement action in connection with a contract awarded by the federal government for relief, recovery, or reconstruction efforts as a result of Hurricane Rita, as defined by Section 39.459, Utilities Code, Hurricane Katrina, or any other disaster occurring after September 24, 2005. Under Section 2155.006 of the Texas Government Code, the applicant certifies that the individual or business entity named in this Application is not ineligible to receive the specified contract and acknowledges that any contract resulting from this RFGA may be terminated and payment withheld if this certification is inaccurate.

**14.** The applicant has not been adjudicated during the preceding three-year period to have committed substantive, non-clerical violations resulting in an actual release of hazardous waste that presented an imminent and substantial danger to the public health and safety or the environment.

**15.** Applicant, nor any of its officers, have been adjudicated by a court of law to have violated the Texas Deceptive Trade Practices Act.

**16.** If any of these certifications change between submittal of the Application and award of a contract or cancellation of the Solicitation, you will promptly notify TCEQ.

**Name of Applicant:**

Applicant name as it appears on Form 1: Signature Page.

**Authorized Official Signature:**

Authorized official's original signature must be in **BLUE** ink.

**Printed Name:**

**Date:**

Date of signature must be in **BLUE** ink.


**Drayage Truck Incentive Program  
TCEQ-10430i  
Version 17.01  
Form 14: Certification of Eligibility**

**All applicants must complete this form to certify eligibility to receive a grant under this program, regardless if child support obligations apply to the applicant. Failure to submit this form may result in rejection of the application.**

**Certification Regarding Child Support Obligations**

Under Section 231.006, Texas Family Code, a child support obligor who is more than 30 days delinquent in paying child support and a business entity in which the obligor is a sole proprietor, partner, shareholder, or owner with an ownership interest of at least 25% is not eligible to receive a state-funded grant or loan. All applicants must include in the application the name and social security number of the individual or sole proprietor and each partner, shareholder, or owner with an ownership interest of 25% of the business entity submitting the application.

*Please check one of the following applicant options.*

1. Individual or sole proprietorship:	
2. One or more individuals own 25% or more of the business entity:	
3. No individual owns 25% or more of the business entity:	
4. Governmental entity:	

**If option 1 or 2 is checked, list the names(s) and social security numbers(s) (SSN) below.**

<b>Name:</b>		<b>SSN:</b>	

**I certify that to the best of my knowledge and belief that the individual or business entity submitting this application is eligible to receive a grant. I acknowledge that the grant contract may be terminated and any payments withheld if this certification is inaccurate.**

**Signature of Authorized Official:**

(Please sign and date in BLUE ink)

**Date:**


**Drayage Truck Incentive Program  
TCEQ-10430i  
Version 17.01  
Required Application Attachments**

**SIGNATURE PAGES**

Below is a list of pages that require an original signature. ALL signatures must be in **BLUE** ink. No photocopies, faxes, scanned copies, or other copies of required signatures will be accepted. If a signature page is missing, or has been altered, the application will not be accepted.

Form 1: Signature Page (Authorized Official), Form 2: Third-Party Preparer (Third-Party Preparer), Form 11: Vehicle Certification (Certified Mechanic), Form 12: Applicant Certification (Authorized Official), Form 13a: General Certification (Authorized Official), Form 14: Certification of Eligibility (Authorized Official), & W-9 Form (Authorized Official)

**Applications for the replacement of on-road drayage trucks must include the following attachments:**

1. Copy of current title or lease agreements (vehicle leases only) listing the applicant as the owner or lessee. If the title or lease agreement is less than two years old, provide copies of previous title or lease documents.
2. Copy of current registration document or receipt, if registration required for the vehicle being replaced.

**NOTE:** If registration was renewed within the **last six months**, attach copies of previous registration documentation to show continuous registration for two years.

3. At least three color photographs showing the entire vehicle/equipment (including the tires), engine (including the engine plate), and vehicle registration if registration is required for the vehicle being replaced.
4. If the new vehicle/equipment has not yet been purchased, provide the detailed original price quote and price comparisons (if required) for the purchase of the vehicle/equipment.
5. If the vehicle/equipment has already been purchased, provide the purchase, lease, or financing agreement and/or invoice showing the price paid. The purchase may not have been made before the opening of the grant application period.
6. If applicable, a written attachment explaining situations where the ownership of the applicant company has changed, the assets of the company were purchased by another company without a change in use and vocation of the vehicle/equipment, or the company changed names or incorporation status (See Form 12: Applicant Certification).
7. W-9 Form (Request for Taxpayer Identification Number and Certification Form).
8. Photocopy of state or federal issued identification card (if applicant is individual or sole proprietor).

**Applications for the replacement of non-road vehicles/equipment must include the following attachments:**

1. For non-road equipment that is not titled, provide copies of any available records to document ownership for the previous two years such as a bill of sale, finance agreement, inventory record, etc. Lack of records does not preclude your submission of an application, but TCEQ staff may need to discuss the ownership of the vehicle/equipment with you in more detail before processing the application.
2. At least three color photographs of the vehicle/equipment showing the front, side (including the tires), AND engine (including the engine plate).
3. If the new vehicle/equipment has not yet been purchased, a detailed original price quote and price comparisons (if required) for the purchase.
4. If the new vehicle/equipment has already been purchased, provide the purchase, lease, or financing agreement and/or invoice showing the price paid. The purchase may not have been made before the opening of the grant application period.
5. W-9 Form (Request for Taxpayer Identification Number and Certification Form).
6. Photocopy of state or federal issued identification card (if applicant is individual or sole proprietor).
7. If applicable, a written attachment explaining situations where the ownership of the applicant company has changed, the assets of the company were purchased by another company without a change in use and vocation of the drayage truck, or the company changed names or incorporation status (See *Form 12: Applicant Certification*).

**PLEASE NOTE:** The vehicles/equipment being replaced must be rendered permanently inoperable within 90 days of receiving financial reimbursement by completely crushing the vehicle/equipment or drilling a 3" hole or larger in the engine block on both sides (or otherwise destroying it) and cutting both frame rails in half (or perform other structural damage to the vehicle) rendering it inoperable. A certification of the disposition of the old vehicle and engine must be submitted on forms provided by the TCEQ.