# Rebate Grants Program
# Supplemental Activity Application Form
# Replacement of Heavy Duty Vehicles and Equipment

**TCEQ-20332a**
**Version 15.01-Draft**

Applications will be considered void if language is altered.
The completed form should be attached to the Project Application Form TCEQ-20332.
This application form is only valid for the application period ending June 26, 2015, subject to the criteria in the Request for Grant Applications (RFGA).

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**Application Deadline:**

REBATE applications will be accepted until 5 p.m. Central Time on June 26, 2015, subject to the criteria in the Request for Grant Applications (RFGA)

Texas Commission on Environmental Quality
Air Quality Division
Implementation Grants Section (REBATE), MC-204
P.O. Box 13087
Austin, TX 78711-3087

http://www.terpgrants.org

## Replacement of Heavy Duty Vehicles and Equipment
## Vehicle / Equipment Information
## Form 1

| Vehicle / Equipment Information | New Vehicle or Equipment | Vehicle or Equipment Being Replaced |
|---|---|---|
| Vehicle/Equipment Description: | | |
| Vehicle/Equipment Make: | | |
| Vehicle/Equipment Model or Model Number: | | |
| Vehicle/Equipment Model Year: | | |
| Vehicle/Equipment Identification Number: | | |
| Gross Vehicle Weight Rating: (On-Road only) | | |
| Engine Make: | | |
| Engine Model or Model Number: | | |
| Engine Identification Number: | | |
| Engine Model Year: | | |
| Engine Horsepower: | | |
| Fuel Type: | | |
| Engine Family Code: (12-digit emissions code) | | |
| Certified NOx Emissions: (g/bhp-hr) | | |

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**Replacement of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Cost Data**

**Form 2**

## 1. Requested Rebate Grant Amount.

This is the grant amount you are requesting and must be the LESSER of Eligible Costs (F) or the Rebate Grant Amount from Table (G).

| |
|---|
| |

## 2. Incremental Cost / Cost to Applicant Calculation.

Refer to section 1.5 of the RFGA for explanations of incremental cost, global positioning system, and scrappage value under Eligible Cost.

| | |
|---|---|
| **Capital Cost - Vehicle/Equipment Purchase (A):** | |
| **Global Positioning System (B):** | |
| **Scrappage Value (C):**<br>(The TCEQ will use a default scrap value of $1,000 for heavy-duty) | |
| **Other Financial Incentives and Tax Credits (D):** | |
| **Incremental Cost / Cost to Applicant (E):**<br>**(A + B - C - D = E)** | |

## 3. Grant Amount Calculation.

Refer to Section 1.5 of the RFGA for explanation of maximum grant amount calculation.

| | |
|---|---|
| **Incremental Cost (Cost to Applicant) (E):** | |
| **Eligible Costs (Incremental Costs (E) X 0.8 ) (F):** | |
| **Rebate Grant Amount from Table (G):**<br>(Please refer to the table & instructions contained in the RFGA) | |

## 4. Other Financial Incentives and Tax Credits.

Explain any other financial assistance to be used for the purchase or lease, such as tax credits or deductions, other grants, or any other public financial assistance. This does not include the amount you finance through a bank or other third-party to purchase the equipment.

| |
|---|
| |

## 5. Financing or Lease Terms for Replacement Vehicles.

Reimbursement will not be authorized for pre-payment of future periodic financing or lease payments. A grant recipient will need to either ensure that sufficient payments will be made prior to the end of the Availability of Funds to use the grant amount or structure the financing or lease agreement to allow for an up-front payment in return for lower periodic payments. CHOOSE ONE:

| | |
|---|---|
| **Capital Lease Financing** (equipment will be purchased and retained at the end of the lease): This option is limited to capital lease agreements with a binding commitment for the applicant to take ownership of the equipment. An option to buy at the end of the lease term, without this binding commitment, will not be considered under this option. | |
| **Regular Financing:** | |
| **Cash Purchase :** | |
| **Lease:** (*Equipment will be returned at the end of the lease. The lease must extend for at least the Activity life*) | |

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## Replacement of Heavy Duty Vehicles and Equipment

### Price Analysis For Vehicle or Equipment Being Purchased

### Form 3

The price of the vehicle or equipment must be reasonable, as determined by whether the price exceeds the price normally charged for that type of vehicle or equipment absent the availability of a grant. A price comparison option must be selected on this form. You must provide an original price quote for the vehicle or equipment to be purchased or, if the purchase has already been made, a copy of the invoice or purchase order. In addition, you must provide the price comparison information required for the option chosen. Governmental entities must follow competitive purchasing laws applicable to that entity in making a grant-funded purchase.

### Price Quote Guidelines

The cost information listed for the new vehicle/equipment must match the price quotes. Price quotes must be original and must have the **applicant's name** on the quote.
The **dealer should sign and date** the quote and provide contact information. Price quotes should be dated no more than three months prior to the application date.
The price quote should include specifications and prices for the standards vehicle or equipment options and additional equipment and option, to include as applicable:
a. specifications of the vehicle or equipment;
b. base price for standard feature vehicle or equipment;
c. itemized list and prices for factory-installed optional features;
d. itemized list of and price for add-on equipment to be sold and installed by the dealer (i.e. dump bed, wet kit, etc.);
e. additional fees and charges;
f. taxes.

**1. No Price Comparison Information is Required.** If you choose one of the following options, only one primary price quote must be provided. If the purchase has already been made, then a copy of the invoice or purchase order should be provided. No additional price comparison information is required unless requested by the TCEQ.

| | |
|---|---|
| **Option A:** The applicant is a governmental entity and will use competitive purchasing procedures or purchase from a cooperative purchasing program. Price information from a cooperative purchasing list or a written price quote must still be provided to show the expected cost. | |
| **Option B:** The requested grant amount listed on Form 2 (line # 1) does not exceed 60% of the vehicle or equipment cost. | |

**2. Price Comparison Information is Required.** If you did not choose one of the options above, you must provide price comparison information as requested under at least one of the options listed below. Choose the applicable option below and provide the requested information in addition to one primary price quote. **Machine-builder lists and/or manufacturer price estimate forms are not acceptable price quotes.**

| | |
|---|---|
| **Option C:** Two or more additional original price quotes for the same make, model, and model year of vehicle or equipment from unrelated dealers. | |
| **Option D:** Price information from a current government-approved price/bid list or cooperative purchasing price/bid list for the same make, model, and model year of vehicle or equipment. | |
| **Option E:** Price information from a current published/advertised price list available to the general public for the same make, model, and model year of vehicle or equipment. | |
| **Option F:** Documentation of prices charged for at least two recent sales to non-TERP customers by the dealer for the same make, model, and model year of vehicle or equipment. This information may include invoices or purchase orders with the identifying customer information blacked out or other written documentation (sales report, sales list, etc.) from the dealer. | |
| **Option G:** No price comparison information is available. This option will be accepted only in unusual or special circumstances, such as with a unique type of vehicle or equipment available from only one source. An explanation of why no price comparison information is available must be provided below, along with a justification for why the price should be considered reasonable. | |

**3. The TCEQ** is not obligated to accept a price quote if the price does not appear to be reasonable. If the price on the primary price quote is higher than the price comparison information provided above, you must provide an explanation of why the price should be considered reasonable. If no price comparison information is available, you must explain why the information is not available and why the price being charged should be considered reasonable. The TCEQ is not obligated to accept the price listed and may deny an application where the price is determined unreasonable or may use a lower amount for determining the incremental cost for the grant. The TCEQ may use published national pricing/value guides and comparison with prices charged for other grants to determine if the price is reasonable. Attach supporting documentation.

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## Replacement of Heavy Duty Vehicles and Equipment
## Percentage of Annual Usage
## Form 4

### % of Annual Usage Spent Operating in Eligible Areas

| | |
|---|---|
| **% of Annual Usage Austin Area:** (Bastrop, Caldwell, Hays, Travis, and Williamson Counties) | |
| **% of Annual Usage Beaumont-Port Arthur Area:** (Hardin, Jefferson, and Orange Counties) | |
| **% of Annual Usage Corpus Christi Area:** (Nueces and San Patricio Counties) | |
| **% of Annual Usage Dallas-Fort Worth Area:** (Collin, Dallas, Denton, Ellis, Hood, Johnson, Kaufman, Parker, Rockwall, Tarrant, and Wise Counties) | |
| **% of Annual Usage Houston-Galveston-Brazoria Area:** (Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, and Waller Counties) | |
| **% of Annual Usage San Antonio Area:** (Bexar, Comal, Guadalupe, and Wilson Counties) | |
| **% of Annual Usage Tyler-Longview Area:** (Gregg, Harrison, Rusk, Smith, and Upshur Counties) | |
| **% of Annual Usage-Victoria Area:** (Victoria County) | |
| **Total :** | |

**Note: Total percentage of Annual Usage spent operating in the eligible areas may not be less than 75%.**

**On-Road Vehicle Travel Description:** Please describe your typical route, including the following: a) Daily, weekly, or monthly trips; b) Cities traveled between; and c) Highways traveled.

**Non-Road Equipment Use Description:** Job site location.

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## DISPOSITION OF VEHICLE / EQUIPMENT BEING REPLACED
## FORM 5

The old vehicle/equipment and engine MUST be scrapped (destroyed).

The applicant agrees to destroy and render permanently inoperable the old vehicle/equipment, including the engine **within 90 days of receiving financial reimbursement.** The applicant also agrees that TCEQ may inspect and otherwise verify the disposition of the vehicle/equipment and engine being replaced.

**The applicant agrees to submit a copy of the Texas Nonrepairable Vehicle Title issued by the Texas Department of Motor Vehicles (TxDMV) for the vehicle being replaced under the Agreement. The Texas Nonrepairable Vehicle Title must be submitted at the same time as the required disposition documentation to the TCEQ.**

Destruction includes completely crushing the vehicle/equipment and engine or putting a 3 inch hole or larger in the engine block on both sides (or otherwise destroying it) and cutting both frame rails in half (or performing other structural damage to the vehicle/equipment) rendering it inoperable.

**Please provide scrappage information in the box below:** (Provide information regarding the scrappage activities and, if known, identify name, address, and phone number of the company that will scrap the engine or equipment.)

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Please note: If a grant is awarded, the applicant will be required to submit the following information with the final disposition of the vehicle/engine:

1. Photographs depicting the vehicle/engine BEFORE and AFTER destroying/rendering it inoperable.
2. Photographs must clearly show the vehicle and engine identification numbers (which must be readable).
3. A photograph of the state inspection sticker for on-road vehicles.

ALL PHOTOS MUST BE IN COLOR.

**Replacement of Heavy Duty Vehicles and Equipment**

**Vehicle/Equipment Information**

**Form 6**

## VEHICLE/EQUIPMENT CERTIFICATION

| | |
|---|---|
| This form is to be completed and signed by a **certified or licensed mechanic** qualified to assess the condition of the vehicle or equipment. The mechanic may not be an employee of the applicant, unless approved by the TCEQ. | |
| **INFORMATION ON THE VEHICLE BEING REPLACED. Note: the VIN number or Equipment ID number must match the number listed on Form 1.** | |
| **Vehicle/Equipment Identification Number:** | |
| I, the undersigned, have inspected the vehicle/equipment noted above. The engine starts and runs properly and the vehicle/equipment is in good operating condition. In my professional opinion, the vehicle/equipment is able to perform the functions normally expected for this type of vehicle/equipment and could be expected to operate for an additional five to seven years. **Intentional falsification of these forms will be prosecuted to the extent allowed under the law and may be used as an adverse factor in future grant selection decisions.** | |
| **Printed Name:** | |
| **Name of Service Company:** | |
| **Phone Number:** | |
| **Address:** | |
| **List your mechanic certification type and number:** | |
| **Certified Mechanic Signature:** (Please sign and date in BLUE ink) | |
| **Date:** | |

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## Replacement of Heavy Duty Vehicles and Equipment

## Vehicle/Equipment Information

## Form 7

## Applicant Certification of Ownership or Lease, Condition, and Historical Use

Sign below to certify that these conditions have been met or a waiver is being requested. **NOTE:** If a waiver is being requested for any reason, please refer to the waiver requirements in Appendix G of the RFGA. Separate documentation is required.

### 1. Ownership or Lease

a. Vehicle or equipment has been continuously owned for the preceding two years. For vehicle titles, the applicant is listed on the front of the title document for the preceding two years.
b. If vehicle is leased, the applicant has leased the vehicle for the preceding two years and the applicant is listed as the lessee on the lease agreement for the preceding two years.

| | |
|---|---|
| Date of purchase or lease: | |
| Previous owner or leasing company: | |
| If a waiver for the Ownership and use requirements is being requested mark the box to the right with an "X". | |

### 2. Registration (on-road vehicles)

a. The vehicle has been continuously registered for operation in Texas for the preceding two years.

| | |
|---|---|
| Vehicle Registration expiration date: | |
| If a waiver for the Registration requirements is being requested mark the box to the right with an "X". | |

### 3. Operation in Texas

a. The vehicle or equipment has been continuously operated for at least 75% of its annual use in Texas for the preceding two years.
b. The vehicle has continuously had an up to date safety inspection over the preceding two years and has a current valid safety inspection, if required.

| | |
|---|---|
| If a waiver for the Operation in Texas requirements is being requested mark the box to the right with an "X". | |

### 4. Historical Use

| | |
|---|---|
| On-road Vehicles: (List average annual use in miles for the preceding two years) | |
| Non-road Equipment: (List average annual use in hours for the preceding two years) | |
| The vehicle/equipment being replaced has how many total miles/hours indicated on the odometer/hour meter? | |
| If the odometer/hour meter is NOT working, mark the box to the right with an "X". | |

### 5. Projected Use

| | |
|---|---|
| On-road Vehicles: (Projected average annual use in miles) | |
| Non-road Equipment): (Projected average annual use in hours) | |

### 6. Condition

a. The vehicle or equipment is currently in good operating condition and capable of performing its primary function at the time of signature.

b. To the best of the applicant's knowledge, the vehicle or equipment is capable of continuing to perform its primary function for at least the period of the designated activity life, taking into account normal maintenance, repairs, and upkeep.

| | |
|---|---|
| If a waiver for the Condition requirements is being requested, mark the box to the right with an "X". | |

### 7. Continued Operation and Use

a. If the grant funds were not available, the applicant expects to otherwise continue to operate the vehicle or equipment in Texas for at least the period of the designated activity life and the applicant otherwise would not have planned to replace the vehicle or equipment.

b. If the vehicle is currently leased, the lease agreement extends through the period of the designated activity life or the lease includes a purchase clause.

| | |
|---|---|
| If a waiver for the Continued Operation Use requirements is being requested, mark the box to the right with an "X". | |

### 8. Signature

| | |
|---|---|
| **Signature of Authorized Official:** | |
| **Date of Signature** (must be the date the form was signed in blue ink): | |

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## Replacement of Heavy Duty Vehicles and Equipment

## The following documentation is required with the application

**Below is a list of all the pages that require a signature. ALL forms must include original signatures in all signature blocks and should be signed in BLUE ink. No photocopies, faxes, scanned copies, or other copies of required signatures will be accepted. If a signature page is missing or has been altered, the application will not be accepted.**

**SIGNATURE PAGES**

Signature Page (Authorized Official); Vehicle Certification (Certified Mechanic); Applicant Certification of Ownership or Lease, Condition, and Historical Use (Authorized Official); Child Support Certification (Authorized Official); W-9 Form (Authorized Official)

### On-Road Vehicles

**1.** Copy of current title or lease agreements (vehicle leases only) listing the applicant as the owner or lessee. If not two years old, provide copies of previous title or lease documents.

**2.** Copy of current registration document or receipt, if registration required for the vehicle being replaced.

If registration was renewed within the **last six months**, attach copies of previous registration documentation to show continuous registration for two years. Pup-Trailer registration if applicable (required if the pup-trailer is attached 100% of the time).

**3.** For on-road vehicles, three color photographs showing (1) the entire vehicle, including the tires, (2) the engine showing the engine plate if possible, and (3) the vehicle registration and inspection sticker.

**4.** A detailed original price quote and price comparisons (if required) for the purchase of the vehicle if it has not yet been purchased.

**5.** If the vehicle has already been purchased, provide the purchase, lease, or financing agreement and/or invoice showing the price paid. The purchase may not have been made before the opening of the grant application period.

**6.** W-9 Form (Request for Taxpayer Identification Number and Certification Form).

**7.** Waiver Request (if applicable).

**8.** Photocopy of state or federal issued identification card (if applicant is individual or sole proprietor).

### Non-Road Equipment

**1.** For non-road equipment that is not titled, provide copies of any available records to document ownership for the previous two years such as a bill of sale, finance agreement, inventory record, etc. Lack of a record does not preclude your submission of an application, but TCEQ staff may need to discuss the ownership of the equipment with you in more detail before we can proceed with processing the application.

**2.** For non-road vehicles or equipment, two color photographs showing (1) the entire vehicle or equipment and (2) the engine showing the engine plate if possible.

**3.** A detailed original price quote and price comparisons (if required) for the purchase of the vehicle/equipment if it has not yet been purchased.

**4.** If the vehicle/equipment has already been purchased, provide the purchase, lease, or financing agreement and/or invoice showing the price paid. The purchase may not have been made before the opening of the grant application period.

**5.** W-9 Form (Request for Taxpayer Identification Number and Certification Form).

**6.** Waiver Request (if applicable).

**7.** Photocopy of state or federal issued identification card (if applicant is individual or sole proprietor).

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