

**Executive Summary – Enforcement Matter – Case No. 60591**  
**City of Garrison**  
**RN101607075**  
**Docket No. 2021-0478-MWD-E**

**Order Type:**

1660 Agreed Order

**Findings Order Justification:**

N/A

**Media:**

MWD

**Small Business:**

No

**Location(s) Where Violation(s) Occurred:**

City of Garrison WWTP, 155 Sewer Plant Road, Nacogdoches County

**Type of Operation:**

Wastewater treatment facility

**Other Significant Matters:**

Additional Pending Enforcement Actions: No

Past-Due Penalties: No

Other: N/A

Interested Third-Parties: None

**Texas Register Publication Date:** April 1, 2022

**Comments Received:** No

***Penalty Information***

**Total Penalty Assessed:** \$18,750

**Amount Deferred for Expedited Settlement:** \$3,750

**Total Paid to General Revenue:** \$0

**Total Due to General Revenue:** \$0

Payment Plan: N/A

**Supplemental Environmental Project (“SEP”) Conditional Offset:** \$15,000

Name of SEP: WWTP Improvements (Compliance)

**Compliance History Classifications:**

Person/CN - Satisfactory

Site/RN - Satisfactory

**Major Source:** No

**Statutory Limit Adjustment:** N/A

**Applicable Penalty Policy:** January 2021

***Investigation Information***

**Complaint Date(s):** N/A

**Complaint Information:** N/A

**Date(s) of Investigation:** January 29, 2021

**Date(s) of NOE(s):** March 2, 2021

**Executive Summary – Enforcement Matter – Case No. 60591**  
**City of Garrison**  
**RN101607075**  
**Docket No. 2021-0478-MWD-E**

***Violation Information***

1. Failed to comply with permitted effluent limitations for biochemical oxygen demand (5-day), dissolved oxygen, and *Escherichia coli* [30 TEX. ADMIN. CODE § 305.125(1), TEX. WATER CODE § 26.121(a)(1), and Texas Pollutant Discharge Elimination System ("TPDES") Permit No. WQ0011304001, Effluent Limitations and Monitoring Requirements Nos. 1 and 6].
2. Failed to collect and analyze effluent samples at the intervals specified in the permit. Specifically, the Respondent did not collect and analyze *Escherichia coli* for the monthly monitoring period of April 2020 [30 TEX. ADMIN. CODE §§ 305.125(1), 319.5(b), and TPDES Permit No. WQ0011304001, Effluent Limitations and Monitoring Requirements No. 1].

***Corrective Actions/Technical Requirements***

**Corrective Action(s) Completed:**

N/A

**Technical Requirements:**

1. The Order will require the Respondent to implement and complete a SEP (see SEP Attachment A).
2. The Order will also require the Respondent to, within 130 days, submit written certification of compliance with the effluent limitations of TPDES Permit No. WQ0011304001, including specific corrective actions that were implemented at the Facility to achieve compliance and copies of the most current self-reported discharge monitoring reports, demonstrating at least three consecutive months of compliance with all permitted effluent limitations.

**Executive Summary – Enforcement Matter – Case No. 60591**  
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***Contact Information***

**TCEQ Attorney:** N/A

**TCEQ Enforcement Coordinator:** Ellen Ojeda, Enforcement Division, Enforcement Team 3, MC 219, (512) 239-2581; Michael Parrish, Enforcement Division, MC 219, (512) 239-2548

**TCEQ SEP Coordinator:** Betty Sanders, SEP Coordinator, Litigation Division, MC 175, (512) 239-3992

**Respondent:** The Honorable Russell Wright, Mayor, City of Garrison, 330 South B Avenue, Garrison, Texas 75946  
Jackie Brown, City Superintendent, City of Garrison, 330 South B Avenue, Garrison, Texas 75946

**Respondent's Attorney:** N/A



# Penalty Calculation Worksheet (PCW)

Policy Revision 5 (January 28, 2021)

PCW Revision February 11, 2021

|              |                 |             |                  |             |                |  |
|--------------|-----------------|-------------|------------------|-------------|----------------|--|
| <b>DATES</b> | <b>Assigned</b> | 29-Mar-2021 | <b>Screening</b> | 31-Mar-2021 | <b>EPA Due</b> |  |
|              | <b>PCW</b>      | 8-Oct-2021  |                  |             |                |  |

|                                        |                  |
|----------------------------------------|------------------|
| <b>RESPONDENT/FACILITY INFORMATION</b> |                  |
| <b>Respondent</b>                      | City of Garrison |
| <b>Reg. Ent. Ref. No.</b>              | RN101607075      |
| <b>Facility/Site Region</b>            | 10-Beaumont      |
| <b>Major/Minor Source</b>              | Minor            |

|                                        |                 |                              |                     |
|----------------------------------------|-----------------|------------------------------|---------------------|
| <b>CASE INFORMATION</b>                |                 |                              |                     |
| <b>Enf./Case ID No.</b>                | 60591           | <b>No. of Violations</b>     | 3                   |
| <b>Docket No.</b>                      | 2021-0478-MWD-E | <b>Order Type</b>            | 1660                |
| <b>Media Program(s)</b>                | Water Quality   | <b>Government/Non-Profit</b> | Yes                 |
| <b>Multi-Media</b>                     |                 | <b>Enf. Coordinator</b>      | Stephanie Frederick |
|                                        |                 | <b>EC's Team</b>             | Enforcement Team 3  |
| <b>Admin. Penalty \$ Limit Minimum</b> | \$0             | <b>Maximum</b>               | \$25,000            |

## Penalty Calculation Section

|                                                             |                   |          |
|-------------------------------------------------------------|-------------------|----------|
| <b>TOTAL BASE PENALTY (Sum of violation base penalties)</b> | <b>Subtotal 1</b> | \$15,000 |
|-------------------------------------------------------------|-------------------|----------|

### ADJUSTMENTS (+/-) TO SUBTOTAL 1

Subtotals 2-7 are obtained by multiplying the Total Base Penalty (Subtotal 1) by the indicated percentage.

|                           |       |            |                                |         |
|---------------------------|-------|------------|--------------------------------|---------|
| <b>Compliance History</b> | 25.0% | Adjustment | <b>Subtotals 2, 3, &amp; 7</b> | \$3,750 |
|---------------------------|-------|------------|--------------------------------|---------|

|              |                                                                   |
|--------------|-------------------------------------------------------------------|
| <b>Notes</b> | Enhancement for five months of self-reported effluent violations. |
|--------------|-------------------------------------------------------------------|

|                    |    |      |             |                   |     |
|--------------------|----|------|-------------|-------------------|-----|
| <b>Culpability</b> | No | 0.0% | Enhancement | <b>Subtotal 4</b> | \$0 |
|--------------------|----|------|-------------|-------------------|-----|

|              |                                                        |
|--------------|--------------------------------------------------------|
| <b>Notes</b> | The Respondent does not meet the culpability criteria. |
|--------------|--------------------------------------------------------|

|                                                      |                   |     |
|------------------------------------------------------|-------------------|-----|
| <b>Good Faith Effort to Comply Total Adjustments</b> | <b>Subtotal 5</b> | \$0 |
|------------------------------------------------------|-------------------|-----|

|                         |      |              |                   |     |
|-------------------------|------|--------------|-------------------|-----|
| <b>Economic Benefit</b> | 0.0% | Enhancement* | <b>Subtotal 6</b> | \$0 |
|-------------------------|------|--------------|-------------------|-----|

|                              |          |                                   |
|------------------------------|----------|-----------------------------------|
| Total EB Amounts             | \$5,369  | *Capped at the Total EB \$ Amount |
| Estimated Cost of Compliance | \$52,451 |                                   |

|                             |                       |          |
|-----------------------------|-----------------------|----------|
| <b>SUM OF SUBTOTALS 1-7</b> | <b>Final Subtotal</b> | \$18,750 |
|-----------------------------|-----------------------|----------|

|                                             |      |            |     |
|---------------------------------------------|------|------------|-----|
| <b>OTHER FACTORS AS JUSTICE MAY REQUIRE</b> | 0.0% | Adjustment | \$0 |
|---------------------------------------------|------|------------|-----|

Reduces or enhances the Final Subtotal by the indicated percentage.

|              |  |
|--------------|--|
| <b>Notes</b> |  |
|--------------|--|

|                             |          |
|-----------------------------|----------|
| <b>Final Penalty Amount</b> | \$18,750 |
|-----------------------------|----------|

|                                   |                               |          |
|-----------------------------------|-------------------------------|----------|
| <b>STATUTORY LIMIT ADJUSTMENT</b> | <b>Final Assessed Penalty</b> | \$18,750 |
|-----------------------------------|-------------------------------|----------|

|                 |       |           |            |          |
|-----------------|-------|-----------|------------|----------|
| <b>DEFERRAL</b> | 20.0% | Reduction | Adjustment | -\$3,750 |
|-----------------|-------|-----------|------------|----------|

Reduces the Final Assessed Penalty by the indicated percentage.

|              |                                            |
|--------------|--------------------------------------------|
| <b>Notes</b> | Deferral offered for expedited settlement. |
|--------------|--------------------------------------------|

|                        |          |
|------------------------|----------|
| <b>PAYABLE PENALTY</b> | \$15,000 |
|------------------------|----------|

**Screening Date** 31-Mar-2021

**Docket No.** 2021-0478-MWD-E

**PCW**

**Respondent** City of Garrison

Policy Revision 5 (January 28, 2021)

**Case ID No.** 60591

PCW Revision February 11, 2021

**Reg. Ent. Reference No.** RN101607075

**Media** Water Quality

**Enf. Coordinator** Stephanie Frederick

### Compliance History Worksheet

#### >> Compliance History Site Enhancement (Subtotal 2)

| Component                     | Number of...                                                                                                                                                                                                                           | Number | Adjust. |
|-------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|---------|
| NOVs                          | Written notices of violation ("NOVs") with same or similar violations as those in the current enforcement action ( <i>number of NOVs meeting criteria</i> )                                                                            | 5      | 25%     |
|                               | Other written NOVs                                                                                                                                                                                                                     | 0      | 0%      |
| Orders                        | Any agreed final enforcement orders containing a denial of liability ( <i>number of orders meeting criteria</i> )                                                                                                                      | 0      | 0%      |
|                               | Any adjudicated final enforcement orders, agreed final enforcement orders without a denial of liability, or default orders of this state or the federal government, or any final prohibitory emergency orders issued by the commission | 0      | 0%      |
| Judgments and Consent Decrees | Any non-adjudicated final court judgments or consent decrees containing a denial of liability of this state or the federal government ( <i>number of judgments or consent decrees meeting criteria</i> )                               | 0      | 0%      |
|                               | Any adjudicated final court judgments and default judgments, or non-adjudicated final court judgments or consent decrees without a denial of liability, of this state or the federal government                                        | 0      | 0%      |
| Convictions                   | Any criminal convictions of this state or the federal government ( <i>number of counts</i> )                                                                                                                                           | 0      | 0%      |
| Emissions                     | Chronic excessive emissions events ( <i>number of events</i> )                                                                                                                                                                         | 0      | 0%      |
| Audits                        | Letters notifying the executive director of an intended audit conducted under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 ( <i>number of audits for which notices were submitted</i> )     | 0      | 0%      |
|                               | Disclosures of violations under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 ( <i>number of audits for which violations were disclosed</i> )                                                | 0      | 0%      |
| Other                         | Environmental management systems in place for one year or more                                                                                                                                                                         | No     | 0%      |
|                               | Voluntary on-site compliance assessments conducted by the executive director under a special assistance program                                                                                                                        | No     | 0%      |
|                               | Participation in a voluntary pollution reduction program                                                                                                                                                                               | No     | 0%      |
|                               | Early compliance with, or offer of a product that meets future state or federal government environmental requirements                                                                                                                  | No     | 0%      |

**Adjustment Percentage (Subtotal 2)** 25%

#### >> Repeat Violator (Subtotal 3)

No

**Adjustment Percentage (Subtotal 3)** 0%

#### >> Compliance History Person Classification (Subtotal 7)

Satisfactory Performer

**Adjustment Percentage (Subtotal 7)** 0%

#### >> Compliance History Summary

**Compliance History Notes**

Enhancement for five months of self-reported effluent violations.

**Total Compliance History Adjustment Percentage (Subtotals 2, 3, & 7)** 25%

#### >> Final Compliance History Adjustment

**Final Adjustment Percentage \*capped at 100%** 25%

|                                             |                                   |                                             |
|---------------------------------------------|-----------------------------------|---------------------------------------------|
| <b>Screening Date</b> 31-Mar-2021           | <b>Docket No.</b> 2021-0478-MWD-E | <b>PCW</b>                                  |
| <b>Respondent</b> City of Garrison          |                                   | <i>Policy Revision 5 (January 28, 2021)</i> |
| <b>Case ID No.</b> 60591                    |                                   | <i>PCW Revision February 11, 2021</i>       |
| <b>Reg. Ent. Reference No.</b> RN101607075  |                                   |                                             |
| <b>Media</b> Water Quality                  |                                   |                                             |
| <b>Enf. Coordinator</b> Stephanie Frederick |                                   |                                             |

**Violation Number**

**Rule Cite(s)** 30 Tex. Admin. Code § 305.125(1), Tex. Water Code § 26.121(a)(1), and Texas Pollutant Discharge Elimination System ("TPDES") Permit No. WQ0011304001, Effluent Limitations and Monitoring Requirements No. 1

**Violation Description** Failed to comply with permitted effluent limitations, as shown in the attached effluent violation table.

**Base Penalty**

**>> Environmental, Property and Human Health Matrix**

|           |                      |                      |                      |                                                   |                      |
|-----------|----------------------|----------------------|----------------------|---------------------------------------------------|----------------------|
| <b>OR</b> | <b>Harm</b>          |                      |                      |                                                   |                      |
|           | <b>Release</b>       | Major                | Moderate             |                                                   | Minor                |
|           | Actual               | <input type="text"/> | x                    |                                                   | <input type="text"/> |
| Potential | <input type="text"/> | <input type="text"/> | <input type="text"/> | <b>Percent</b> <input type="text" value="25.0%"/> |                      |

**>> Programmatic Matrix**

|                      |                      |                      |                      |                                                  |
|----------------------|----------------------|----------------------|----------------------|--------------------------------------------------|
| Falsification        | Major                | Moderate             | Minor                |                                                  |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |                                                  |
|                      |                      |                      |                      | <b>Percent</b> <input type="text" value="0.0%"/> |

**Matrix Notes**

A simplified model was used to evaluate biochemical oxygen demand (5-day) to determine whether the discharged amounts of pollutants exceeded protective levels. *Escherichia coli* was also considered. Human health or the environment has been exposed to significant amounts of pollutants which do not exceed levels that are protective of human health or environmental receptors as a result of the violation.

**Adjustment**

**Violation Events**

Number of Violation Events   Number of violation days

|              |                      |
|--------------|----------------------|
| daily        | <input type="text"/> |
| weekly       | <input type="text"/> |
| monthly      | x                    |
| quarterly    | <input type="text"/> |
| semiannual   | <input type="text"/> |
| annual       | <input type="text"/> |
| single event | <input type="text"/> |

**Violation Base Penalty**

One monthly event is recommended for May 2020.

**Good Faith Efforts to Comply**  Reduction

|               |                      |                                   |
|---------------|----------------------|-----------------------------------|
|               | Before NOE/NOV       | NOE/NOV to EDPRP/Settlement Offer |
| Extraordinary | <input type="text"/> | <input type="text"/>              |
| Ordinary      | <input type="text"/> | <input type="text"/>              |
| N/A           | x                    | <input type="text"/>              |

**Notes** The Respondent does not meet the good faith criteria for this violation.

**Violation Subtotal**

**Economic Benefit (EB) for this violation** **Statutory Limit Test**

**Estimated EB Amount**  **Violation Final Penalty Total**

**This violation Final Assessed Penalty (adjusted for limits)**

## Economic Benefit Worksheet

**Respondent** City of Garrison  
**Case ID No.** 60591  
**Reg. Ent. Reference No.** RN101607075  
**Media** Water Quality  
**Violation No.** 1

| Percent Interest | Years of Depreciation |
|------------------|-----------------------|
| 5.0              | 15                    |

| Item Description | Item Cost | Date Required | Final Date | Yrs | Interest Saved | Costs Saved | EB Amount |
|------------------|-----------|---------------|------------|-----|----------------|-------------|-----------|
|------------------|-----------|---------------|------------|-----|----------------|-------------|-----------|

### Delayed Costs

|                          |          |             |            |      |         |     |         |
|--------------------------|----------|-------------|------------|------|---------|-----|---------|
| Equipment                |          |             |            | 0.00 | \$0     | \$0 | \$0     |
| Buildings                |          |             |            | 0.00 | \$0     | \$0 | \$0     |
| Other (as needed)        |          |             |            | 0.00 | \$0     | \$0 | \$0     |
| Engineering/Construction |          |             |            | 0.00 | \$0     | \$0 | \$0     |
| Land                     |          |             |            | 0.00 | \$0     | n/a | \$0     |
| Record Keeping System    |          |             |            | 0.00 | \$0     | n/a | \$0     |
| Training/Sampling        |          |             |            | 0.00 | \$0     | n/a | \$0     |
| Remediation/Disposal     |          |             |            | 0.00 | \$0     | n/a | \$0     |
| Permit Costs             |          |             |            | 0.00 | \$0     | n/a | \$0     |
| Other (as needed)        | \$52,351 | 31-May-2020 | 4-Jun-2022 | 2.01 | \$5,264 | n/a | \$5,264 |

**Notes for DELAYED costs**

Estimated Other cost to purchase and install three new aerators, perform smoke testing, determine the cause of noncompliance, and achieve compliance with the permitted effluent limitations. The Date Required is the end date of the first month of noncompliance and the Final Date is the estimated date of compliance.

### Avoided Costs

**ANNUALIZE avoided costs before entering item (except for one-time avoided costs)**

|                               |  |  |  |      |     |     |     |
|-------------------------------|--|--|--|------|-----|-----|-----|
| Disposal                      |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Personnel                     |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Inspection/Reporting/Sampling |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Supplies/Equipment            |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Financial Assurance           |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| ONE-TIME avoided costs        |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Other (as needed)             |  |  |  | 0.00 | \$0 | \$0 | \$0 |

**Notes for AVOIDED costs**

Approx. Cost of Compliance

\$52,351

**TOTAL**

\$5,264

|                                |                                                                                                                                                                    |                                             |                 |            |
|--------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|-----------------|------------|
| <b>Screening Date</b>          | 31-Mar-2021                                                                                                                                                        | <b>Docket No.</b>                           | 2021-0478-MWD-E | <b>PCW</b> |
| <b>Respondent</b>              | City of Garrison                                                                                                                                                   | <i>Policy Revision 5 (January 28, 2021)</i> |                 |            |
| <b>Case ID No.</b>             | 60591                                                                                                                                                              | <i>PCW Revision February 11, 2021</i>       |                 |            |
| <b>Reg. Ent. Reference No.</b> | RN101607075                                                                                                                                                        |                                             |                 |            |
| <b>Media</b>                   | Water Quality                                                                                                                                                      |                                             |                 |            |
| <b>Enf. Coordinator</b>        | Stephanie Frederick                                                                                                                                                |                                             |                 |            |
| <b>Violation Number</b>        | 2                                                                                                                                                                  |                                             |                 |            |
| <b>Rule Cite(s)</b>            | 30 Tex. Admin. Code § 305.125(1), Tex. Water Code § 26.121(a)(1), and TPDES Permit No. WQ0011304001, Effluent Limitations and Monitoring Requirements Nos. 1 and 6 |                                             |                 |            |
| <b>Violation Description</b>   | Failed to comply with permitted effluent limitations, as shown in the attached effluent violation table.                                                           |                                             |                 |            |
| <b>Base Penalty</b>            |                                                                                                                                                                    |                                             |                 | \$25,000   |

**>> Environmental, Property and Human Health Matrix**

|           |                |       |          |                |       |
|-----------|----------------|-------|----------|----------------|-------|
| <b>OR</b> | <b>Harm</b>    |       |          | <b>Percent</b> |       |
|           | <b>Release</b> | Major | Moderate |                | Minor |
|           | Actual         |       |          |                | x     |
|           | Potential      |       |          |                |       |
|           |                |       |          | 15.0%          |       |

**>> Programmatic Matrix**

|  |               |       |          |       |                |
|--|---------------|-------|----------|-------|----------------|
|  | Falsification | Major | Moderate | Minor | <b>Percent</b> |
|  |               |       |          |       | 0.0%           |

**Matrix Notes**  
 A simplified model was used to evaluate biochemical oxygen demand (5-day) to determine whether the discharged amounts of pollutants exceeded protective levels. Dissolved oxygen was also considered. Human health or the environment has been exposed to insignificant amounts of pollutants which do not exceed levels that are protective of human health or environmental receptors as a result of the violation.

**Adjustment** \$21,250  
 \$3,750

**Violation Events**

Number of Violation Events   Number of violation days

|              |   |
|--------------|---|
| daily        |   |
| weekly       |   |
| monthly      |   |
| quarterly    | x |
| semiannual   |   |
| annual       |   |
| single event |   |

**Violation Base Penalty** \$7,500

Two quarterly events are recommended for the quarters containing the months of July, August, September, and October 2020.

**Good Faith Efforts to Comply**  Reduction

|               |                |                                   |
|---------------|----------------|-----------------------------------|
|               | Before NOE/NOV | NOE/NOV to EDPRP/Settlement Offer |
| Extraordinary |                |                                   |
| Ordinary      |                |                                   |
| N/A           | x              |                                   |

**Notes**  
 The Respondent does not meet the good faith criteria for this violation.

**Violation Subtotal** \$7,500

**Economic Benefit (EB) for this violation** **Statutory Limit Test**

**Estimated EB Amount** \$0 **Violation Final Penalty Total** \$9,375

**This violation Final Assessed Penalty (adjusted for limits)** \$9,375



## Economic Benefit Worksheet

**Respondent** City of Garrison  
**Case ID No.** 60591  
**Reg. Ent. Reference No.** RN101607075  
**Media** Water Quality  
**Violation No.** 2

| Percent Interest | Years of Depreciation |
|------------------|-----------------------|
| 5.0              | 15                    |

| Item Description | Item Cost | Date Required | Final Date | Yrs | Interest Saved | Costs Saved | EB Amount |
|------------------|-----------|---------------|------------|-----|----------------|-------------|-----------|
|------------------|-----------|---------------|------------|-----|----------------|-------------|-----------|

### Delayed Costs

|                          |  |  |  |      |     |     |     |
|--------------------------|--|--|--|------|-----|-----|-----|
| Equipment                |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Buildings                |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Other (as needed)        |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Engineering/Construction |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Land                     |  |  |  | 0.00 | \$0 | n/a | \$0 |
| Record Keeping System    |  |  |  | 0.00 | \$0 | n/a | \$0 |
| Training/Sampling        |  |  |  | 0.00 | \$0 | n/a | \$0 |
| Remediation/Disposal     |  |  |  | 0.00 | \$0 | n/a | \$0 |
| Permit Costs             |  |  |  | 0.00 | \$0 | n/a | \$0 |
| Other (as needed)        |  |  |  | 0.00 | \$0 | n/a | \$0 |

Notes for DELAYED costs

Delayed costs are captured on the Economic Benefit Worksheet for Violation No. 1.

### Avoided Costs

**ANNUALIZE avoided costs before entering item (except for one-time avoided costs)**

|                               |  |  |  |      |     |     |     |
|-------------------------------|--|--|--|------|-----|-----|-----|
| Disposal                      |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Personnel                     |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Inspection/Reporting/Sampling |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Supplies/Equipment            |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Financial Assurance           |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| ONE-TIME avoided costs        |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Other (as needed)             |  |  |  | 0.00 | \$0 | \$0 | \$0 |

Notes for AVOIDED costs

Approx. Cost of Compliance

\$0

**TOTAL**

\$0

**Screening Date** 31-Mar-2021 **Docket No.** 2021-0478-MWD-E **PCW**  
**Respondent** City of Garrison *Policy Revision 5 (January 28, 2021)*  
**Case ID No.** 60591 *PCW Revision February 11, 2021*  
**Reg. Ent. Reference No.** RN101607075  
**Media** Water Quality  
**Enf. Coordinator** Stephanie Frederick

**Violation Number**

**Rule Cite(s)** 30 Tex. Admin. Code §§ 305.125(1), 319.5(b), and TPDES Permit No. WQ0011304001, Effluent Limitations and Monitoring Requirements No. 1

**Violation Description** Failed to collect and analyze effluent samples at the intervals specified in the permit. Specifically, the Respondent did not collect and analyze Escherichia coli for the monthly monitoring period of April 2020.

**Base Penalty**

**>> Environmental, Property and Human Health Matrix**

| OR        | Release              | Harm                                      |                      |                      | Percent |
|-----------|----------------------|-------------------------------------------|----------------------|----------------------|---------|
|           |                      | Major                                     | Moderate             | Minor                |         |
|           |                      | Actual                                    | <input type="text"/> | <input type="text"/> |         |
| Potential | <input type="text"/> | <input checked="" type="text" value="x"/> | <input type="text"/> |                      |         |

**>> Programmatic Matrix**

|  | Falsification        | Major                | Moderate             | Minor                | Percent                           |
|--|----------------------|----------------------|----------------------|----------------------|-----------------------------------|
|  | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text" value="0.0%"/> |

**Matrix Notes** Human health or the environment will or could be exposed to significant amounts of pollutants that would not exceed levels that are protective of human health or environmental receptors as a result of the violation.

**Adjustment**

**Violation Events**

Number of Violation Events   Number of violation days

|              |                                           |
|--------------|-------------------------------------------|
| daily        | <input type="text"/>                      |
| weekly       | <input type="text"/>                      |
| monthly      | <input type="text"/>                      |
| quarterly    | <input type="text"/>                      |
| semiannual   | <input type="text"/>                      |
| annual       | <input type="text"/>                      |
| single event | <input checked="" type="text" value="x"/> |

**Violation Base Penalty**

One single event is recommended.

**Good Faith Efforts to Comply**  Reduction

|               | Before NOE/NOV                            | NOE/NOV to EDPRP/Settlement Offer |
|---------------|-------------------------------------------|-----------------------------------|
| Extraordinary | <input type="text"/>                      | <input type="text"/>              |
| Ordinary      | <input type="text"/>                      | <input type="text"/>              |
| N/A           | <input checked="" type="text" value="x"/> | <input type="text"/>              |

**Notes** The Respondent does not meet the good faith criteria for this violation.

**Violation Subtotal**

**Economic Benefit (EB) for this violation** **Statutory Limit Test**

**Estimated EB Amount**  **Violation Final Penalty Total**

**This violation Final Assessed Penalty (adjusted for limits)**

## Economic Benefit Worksheet

**Respondent** City of Garrison  
**Case ID No.** 60591  
**Reg. Ent. Reference No.** RN101607075  
**Media** Water Quality  
**Violation No.** 3

| Percent Interest | Years of Depreciation |
|------------------|-----------------------|
| 5.0              | 15                    |

| Item Description | Item Cost | Date Required | Final Date | Yrs | Interest Saved | Costs Saved | EB Amount |
|------------------|-----------|---------------|------------|-----|----------------|-------------|-----------|
|------------------|-----------|---------------|------------|-----|----------------|-------------|-----------|

### Delayed Costs

|                          |  |  |  |      |     |     |     |
|--------------------------|--|--|--|------|-----|-----|-----|
| Equipment                |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Buildings                |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Other (as needed)        |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Engineering/Construction |  |  |  | 0.00 | \$0 | \$0 | \$0 |
| Land                     |  |  |  | 0.00 | \$0 | n/a | \$0 |
| Record Keeping System    |  |  |  | 0.00 | \$0 | n/a | \$0 |
| Training/Sampling        |  |  |  | 0.00 | \$0 | n/a | \$0 |
| Remediation/Disposal     |  |  |  | 0.00 | \$0 | n/a | \$0 |
| Permit Costs             |  |  |  | 0.00 | \$0 | n/a | \$0 |
| Other (as needed)        |  |  |  | 0.00 | \$0 | n/a | \$0 |

Notes for DELAYED costs

### Avoided Costs

#### ANNUALIZE avoided costs before entering item (except for one-time avoided costs)

|                               |       |             |             |      |     |       |       |
|-------------------------------|-------|-------------|-------------|------|-----|-------|-------|
| Disposal                      |       |             |             | 0.00 | \$0 | \$0   | \$0   |
| Personnel                     |       |             |             | 0.00 | \$0 | \$0   | \$0   |
| Inspection/Reporting/Sampling |       |             |             | 0.00 | \$0 | \$0   | \$0   |
| Supplies/Equipment            |       |             |             | 0.00 | \$0 | \$0   | \$0   |
| Financial Assurance           |       |             |             | 0.00 | \$0 | \$0   | \$0   |
| ONE-TIME avoided costs        |       |             |             | 0.00 | \$0 | \$0   | \$0   |
| Other (as needed)             | \$100 | 30-Apr-2020 | 31-Mar-2021 | 0.92 | \$5 | \$100 | \$105 |

Notes for AVOIDED costs

Estimated avoided cost to collect and analyze effluent samples (\$100 for each month samples were missed). The Date Required is the initial date of noncompliance and the Final Date is the screening date.

Approx. Cost of Compliance

\$100

**TOTAL**

\$105

City of Garrison  
 TPDES Permit No. WQ0011304001  
 Docket No. 2021-0478-MWD-E  
 Case No. 60591

| Effluent Violation Table |                                   |                           |                               |
|--------------------------|-----------------------------------|---------------------------|-------------------------------|
| Monitoring Period        | Biochemical Oxygen Demand (5-day) | <i>Escherichia coli</i>   | Dissolved Oxygen              |
|                          | Daily Average Loading             | Single Grab Concentration | Monthly Minimum Concentration |
|                          | Limit = 30 lbs/day                | Limit = 399 CFU/100 ml    | Limit = 4 mg/L                |
| May 2020                 | 34.4                              | 2,420                     | c                             |
| July 2020                | 32.5                              | c                         | c                             |
| August 2020              | 31.2                              | c                         | c                             |
| September 2020           | 30.9                              | c                         | c                             |
| October 2020             | c                                 | c                         | 3.6                           |

c = compliant

lbs/day = pounds per day

CFU/100 ml = colony-forming-units per 100 milliliters

mg/L = milligrams per liter

The TCEQ is committed to accessibility.

To request a more accessible version of this report, please contact the TCEQ Help Desk at (512) 239-4357.



# Compliance History Report

Compliance History Report for CN600706808, RN101607075, Rating Year 2020 which includes Compliance History (CH) components from September 1, 2015, through August 31, 2020.

**Customer, Respondent, or Owner/Operator:** CN600706808, City of Garrison **Classification:** SATISFACTORY **Rating:** 1.17

**Regulated Entity:** RN101607075, CITY OF GARRISON WWTP **Classification:** SATISFACTORY **Rating:** 1.17

**Complexity Points:** 7 **Repeat Violator:** NO

**CH Group:** 08 - Sewage Treatment Facilities

**Location:** 155 Sewer Plant Road, in Nacogdoches County, Texas

**TCEQ Region:** REGION 10 - BEAUMONT

**ID Number(s):**  
**WASTEWATER PERMIT** WQ0011304001 **WASTEWATER EPA ID** TX0076503

**Compliance History Period:** September 01, 2015 to August 31, 2020 **Rating Year:** 2020 **Rating Date:** 09/01/2020

**Date Compliance History Report Prepared:** March 31, 2021

**Agency Decision Requiring Compliance History:** Enforcement

**Component Period Selected:** March 31, 2016 to March 31, 2021

**TCEQ Staff Member to Contact for Additional Information Regarding This Compliance History.**  
**Name:** Stephanie Frederick **Phone:** (512) 239-1001

## Site and Owner/Operator History:

- 1) Has the site been in existence and/or operation for the full five year compliance period? YES
- 2) Has there been a (known) change in ownership/operator of the site during the compliance period? NO

## Components (Multimedia) for the Site Are Listed in Sections A - J

### **A. Final Orders, court judgments, and consent decrees:**

N/A

### **B. Criminal convictions:**

N/A

### **C. Chronic excessive emissions events:**

N/A

### **D. The approval dates of investigations (CCEDS Inv. Track. No.):**

|         |                    |           |         |                    |           |
|---------|--------------------|-----------|---------|--------------------|-----------|
| Item 1  | April 28, 2016     | (1339931) | Item 15 | February 13, 2018  | (1487738) |
| Item 2  | June 24, 2016      | (1353177) | Item 16 | March 19, 2018     | (1491421) |
| Item 3  | July 21, 2016      | (1360144) | Item 17 | April 13, 2018     | (1494671) |
| Item 4  | August 24, 2016    | (1366587) | Item 18 | July 18, 2018      | (1515041) |
| Item 5  | September 27, 2016 | (1373269) | Item 19 | September 14, 2018 | (1528275) |
| Item 6  | October 21, 2016   | (1379459) | Item 20 | September 25, 2018 | (1521092) |
| Item 7  | November 19, 2016  | (1385407) | Item 21 | October 15, 2018   | (1534616) |
| Item 8  | January 17, 2017   | (1391540) | Item 22 | November 19, 2018  | (1542450) |
| Item 9  | March 16, 2017     | (1405055) | Item 23 | March 19, 2019     | (1562714) |
| Item 10 | May 04, 2017       | (1412138) | Item 24 | May 17, 2019       | (1585265) |
| Item 11 | July 10, 2017      | (1432284) | Item 25 | September 16, 2019 | (1607390) |
| Item 12 | August 17, 2017    | (1440846) | Item 26 | October 21, 2019   | (1614269) |
| Item 13 | December 18, 2017  | (1462449) | Item 27 | November 15, 2019  | (1620061) |
| Item 14 | February 09, 2018  | (1475547) |         |                    |           |

|         |                   |           |         |                |           |
|---------|-------------------|-----------|---------|----------------|-----------|
| Item 28 | December 20, 2019 | (1627408) | Item 32 | April 28, 2020 | (1654517) |
| Item 29 | January 18, 2020  | (1635041) | Item 33 | May 20, 2020   | (1661087) |
| Item 30 | February 11, 2020 | (1641656) | Item 34 | July 15, 2020  | (1674565) |
| Item 31 | March 18, 2020    | (1648169) |         |                |           |

**E. Written notices of violations (NOV) (CCEDS Inv. Track. No.):**

A notice of violation represents a written allegation of a violation of a specific regulatory requirement from the commission to a regulated entity. A notice of violation is not a final enforcement action, nor proof that a violation has actually occurred.

- 1 Date: 05/31/2020 (1667617)  
Self Report? YES Classification: Moderate  
Citation: 2D TWC Chapter 26, SubChapter A 26.121(a)  
30 TAC Chapter 305, SubChapter F 305.125(1)  
Description: Failure to meet the limit for one or more permit parameter
- 2 Date: 07/31/2020 (1681337)  
Self Report? YES Classification: Moderate  
Citation: 2D TWC Chapter 26, SubChapter A 26.121(a)  
30 TAC Chapter 305, SubChapter F 305.125(1)  
Description: Failure to meet the limit for one or more permit parameter
- 3 Date: 08/31/2020 (1687913)  
Self Report? YES Classification: Moderate  
Citation: 2D TWC Chapter 26, SubChapter A 26.121(a)  
30 TAC Chapter 305, SubChapter F 305.125(1)  
Description: Failure to meet the limit for one or more permit parameter
- 4 Date: 09/30/2020 (1694261)  
Self Report? YES Classification: Moderate  
Citation: 2D TWC Chapter 26, SubChapter A 26.121(a)  
30 TAC Chapter 305, SubChapter F 305.125(1)  
Description: Failure to meet the limit for one or more permit parameter
- 5 Date: 10/31/2020  
Self Report? YES Classification: Moderate  
Citation: 2D TWC Chapter 26, SubChapter A 26.121(a)  
30 TAC Chapter 305, SubChapter F 305.125(1)  
Description: Failure to meet the limit for one or more permit parameter

**F. Environmental audits:**

N/A

**G. Type of environmental management systems (EMSs):**

N/A

**H. Voluntary on-site compliance assessment dates:**

N/A

**I. Participation in a voluntary pollution reduction program:**

N/A

**J. Early compliance:**

N/A

**Sites Outside of Texas:**

N/A

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



**IN THE MATTER OF AN  
ENFORCEMENT ACTION  
CONCERNING  
CITY OF GARRISON  
RN101607075**

**§  
§  
§  
§  
§**

**BEFORE THE  
  
TEXAS COMMISSION ON  
  
ENVIRONMENTAL QUALITY**

**AGREED ORDER  
DOCKET NO. 2021-0478-MWD-E**

**I. JURISDICTION AND STIPULATIONS**

On \_\_\_\_\_, the Texas Commission on Environmental Quality ("the Commission" or "TCEQ") considered this agreement of the parties, resolving an enforcement action regarding the City of Garrison (the "Respondent") under the authority of TEX. WATER CODE chs. 7 and 26. The Executive Director of the TCEQ, through the Enforcement Division, and the Respondent together stipulate that:

1. The Respondent owns and operates a wastewater treatment facility located at 155 Sewer Plant Road in Nacogdoches County, Texas (the "Facility"). The Facility is near or adjacent to water in the state as defined in TEX. WATER CODE § 26.001(5).
2. The Executive Director and the Respondent agree that the TCEQ has jurisdiction to enter this Order pursuant to TEX. WATER CODE §§ 7.002, 7.051, and 7.073, and that the Respondent is subject to TCEQ's jurisdiction. The TCEQ has jurisdiction in this matter pursuant to TEX. WATER CODE § 5.013 because it alleges violations of TEX. WATER CODE ch. 26 and the rules of the TCEQ.
3. The occurrence of any violation is in dispute and the entry of this Order shall not constitute an admission by the Respondent of any violation alleged in Section II ("Allegations"), nor of any statute or rule.
4. An administrative penalty in the amount of \$18,750 is assessed by the Commission in settlement of the violations alleged in Section II ("Allegations"). The amount of \$3,750 is deferred contingent upon the Respondent's timely and satisfactory compliance with all the terms of this Order and shall be waived only upon full compliance with all the terms and conditions of this Order. If the Respondent fails to timely and satisfactorily comply with any of the terms and conditions contained in this Order, the Executive Director may demand payment of all or part of the deferred penalty amount.

Pursuant to TEX. WATER CODE § 7.067, \$15,000 of the penalty shall be conditionally offset by the Respondent's timely and satisfactory completion of a Supplemental Environmental Project ("SEP") as defined in the attached SEP Agreement ("Attachment A", incorporated herein by reference). The Respondent's obligation to pay the conditionally offset portion of the penalty shall be discharged upon full compliance with all the terms and conditions of this Order, which includes the timely and satisfactory

completion of all provisions of the SEP Agreement, as determined by the Executive Director.

5. The Executive Director and the Respondent agree on a settlement of the matters alleged in this enforcement action, subject to final approval in accordance with 30 TEX. ADMIN. CODE § 70.10(a). Any notice and procedures, which might otherwise be authorized or required in this action, are waived in the interest of a more timely resolution of the matter.
6. The Executive Director may, without further notice or hearing, refer this matter to the Office of the Attorney General of the State of Texas ("OAG") for further enforcement proceedings if the Executive Director determines that the Respondent has not complied with one or more of the terms or conditions in this Order.
7. This Order represents the complete and fully-integrated agreement of the parties. The provisions of this Order are deemed severable and, if a court of competent jurisdiction or other appropriate authority deems any provision of this Order unenforceable, the remaining provisions shall be valid and enforceable.
8. This Order shall terminate five years from its effective date or upon compliance with all the terms and conditions set forth in this Order, whichever is later.

## II. ALLEGATIONS

During a record review conducted on January 29, 2021, an investigator documented that the Respondent:

1. Failed to comply with permitted effluent limitations, in violation of 30 TEX. ADMIN. CODE § 305.125(1), TEX. WATER CODE § 26.121(a)(1), and Texas Pollutant Discharge Elimination System ("TPDES") Permit No. WQ0011304001, Effluent Limitations and Monitoring Requirements Nos. 1 and 6, as shown in the effluent violation table below.

| Monitoring Period | Biochemical Oxygen Demand (5-day) | <i>Escherichia coli</i>   | Dissolved Oxygen              |
|-------------------|-----------------------------------|---------------------------|-------------------------------|
|                   | Daily Average Loading             | Single Grab Concentration | Monthly Minimum Concentration |
|                   | Limit = 30 lbs/day                | Limit = 399 CFU/100 ml    | Limit = 4 mg/L                |
| May 2020          | 34.4                              | 2,420                     | c                             |
| July 2020         | 32.5                              | c                         | c                             |
| August 2020       | 31.2                              | c                         | c                             |
| September 2020    | 30.9                              | c                         | c                             |
| October 2020      | c                                 | c                         | 3.6                           |

c = compliant

lbs/day = pounds per day

CFU/100 ml = colony-forming-units per 100 milliliters

mg/L = milligrams per liter



2. Failed to collect and analyze effluent samples at the intervals specified in the permit, in violation of 30 TEX. ADMIN. CODE §§ 305.125(1), 319.5(b), and TPDES Permit No. WQ0011304001, Effluent Limitations and Monitoring Requirements No. 1. Specifically, the Respondent did not collect and analyze *Escherichia coli* for the monthly monitoring period of April 2020.

### III. DENIALS

The Respondent generally denies each allegation in Section II ("Allegations").

### IV. ORDERING PROVISIONS

NOW, THEREFORE, THE TEXAS COMMISSION ON ENVIRONMENTAL QUALITY ORDERS that:

1. The Respondent is assessed a penalty as set forth in Section I, Paragraph No. 4. The payment of this penalty and the Respondent's compliance with all of the requirements set forth in this Order resolve only the allegations in Section II. The Commission shall not be constrained in any manner from requiring corrective action or penalties for violations which are not raised here. Penalty payments shall be made payable to "TCEQ" and shall be sent with the notation "Re: City of Garrison, Docket No. 2021-0478-MWD-E" to:  

Financial Administration Division, Revenue Operations Section  
Attention: Cashier's Office, MC 214  
Texas Commission on Environmental Quality  
P.O. Box 13088  
Austin, Texas 78711-3088
2. The Respondent shall implement and complete an SEP as set forth in Section I, Paragraph No. 4. The amount of \$15,000 of the assessed penalty is conditionally offset based on the Respondent's implementation and completion of the SEP pursuant to the terms of the SEP Agreement, as defined in Attachment A. Penalty payments for any portion of the SEP deemed by the Executive Director as not complete shall be paid within 30 days after the date the Executive Director demands payment.
3. The Respondent shall, within 130 days after the effective date of this Order, submit written certification of compliance with the effluent limitations of TPDES Permit No. WQ0011304001, including specific corrective actions that were implemented at the Facility to achieve compliance and copies of the most current self-reported discharge monitoring reports, demonstrating at least three consecutive months of compliance with all permitted effluent limitations. The certification shall include detailed supporting documentation including photographs, receipts, and/or other records to demonstrate compliance with the permitted effluent limitations. The certification shall be signed by the Respondent and shall include the following certification language:

"I certify under penalty of law that I have personally examined and am familiar with the information submitted and all attached

documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations."

The certification shall be submitted to:

Order Compliance Team  
Enforcement Division, MC 149A  
Texas Commission on Environmental Quality  
P.O. Box 13087  
Austin, Texas 78711-3087

with a copy to:

Water Section Manager  
Beaumont Regional Office  
Texas Commission on Environmental Quality  
3870 Eastex Freeway  
Beaumont, Texas 77703-1830

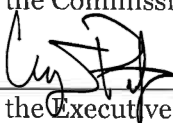
4. All relief not expressly granted in this Order is denied.
5. The duties and provisions imposed by this Order shall apply to and be binding upon the Respondent. The Respondent is ordered to give notice of this Order to personnel who maintain day-to-day control over the Facility operations referenced in this Order.
6. If the Respondent fails to comply with any of the Ordering Provisions in this Order within the prescribed schedules, and that failure is caused solely by an act of God, war, strike, riot, or other catastrophe, the Respondent's failure to comply is not a violation of this Order. The Respondent shall have the burden of establishing to the Executive Director's satisfaction that such an event has occurred. The Respondent shall notify the Executive Director within seven days after the Respondent becomes aware of a delaying event and shall take all reasonable measures to mitigate and minimize any delay.
7. The Executive Director may grant an extension of any deadline in this Order or in any plan, report, or other document submitted pursuant to this Order, upon a written and substantiated showing of good cause. All requests for extensions by the Respondent shall be made in writing to the Executive Director. Extensions are not effective until the Respondent receives written approval from the Executive Director. The determination of what constitutes good cause rests solely with the Executive Director. Extension requests shall be sent to the Order Compliance Team at the address listed above.
8. This Order, issued by the Commission, shall not be admissible against the Respondent in a civil proceeding, unless the proceeding is brought by the OAG to: (1) enforce the terms of this Order; or (2) pursue violations of a statute within the Commission's jurisdiction, or of a rule adopted or an order or permit issued by the Commission under such a statute.

9. This Order may be executed in separate and multiple counterparts, which together shall constitute a single instrument. Any page of this Order may be copied, scanned, digitized, converted to electronic portable document format ("pdf"), or otherwise reproduced and may be transmitted by digital or electronic transmission, including but not limited to facsimile transmission and electronic mail. Any signature affixed to this Order shall constitute an original signature for all purposes and may be used, filed, substituted, or issued for any purpose for which an original signature could be used. The term "signature" shall include manual signatures and true and accurate reproductions of manual signatures created, executed, endorsed, adopted, or authorized by the person or persons to whom the signatures are attributable. Signatures may be copied or reproduced digitally, electronically, by photocopying, engraving, imprinting, lithographing, electronic mail, facsimile transmission, stamping, or any other means or process which the Executive Director deems acceptable. In this paragraph exclusively, the terms: electronic transmission, owner, person, writing, and written, shall have the meanings assigned to them under TEX. BUS. ORG. CODE § 1.002.
10. The effective date of this Order is the date it is signed by the Commission. A copy of this fully executed Order shall be provided to each of the parties.

### SIGNATURE PAGE

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

\_\_\_\_\_  
For the Commission



\_\_\_\_\_  
Date

6/27/2022

\_\_\_\_\_  
For the Executive Director

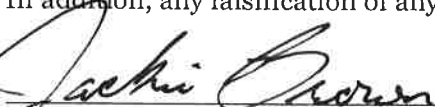
\_\_\_\_\_  
Date

I, the undersigned, have read and understand the attached Order. I am authorized to agree to the attached Order, and I do agree to the terms and conditions specified therein. I further acknowledge that the TCEQ, in accepting payment for the penalty amount, is materially relying on such representation.

I also understand that failure to comply with the Ordering Provisions, if any, in this Order and/or failure to timely pay the penalty amount, may result in:

- A negative impact on compliance history;
- Greater scrutiny of any permit applications submitted;
- Referral of this case to the Attorney General's Office for contempt, injunctive relief, additional penalties, and/or attorney fees, or to a collection agency;
- Increased penalties in any future enforcement actions;
- Automatic referral to the Attorney General's Office of any future enforcement actions; and
- TCEQ seeking other relief as authorized by law.

In addition, any falsification of any compliance documents may result in criminal prosecution.



\_\_\_\_\_  
Signature

03/07/2022

\_\_\_\_\_  
Date

Jackie Brown

\_\_\_\_\_  
Name (Printed or typed)  
Authorized Representative of  
City of Garrison

Superintendent

\_\_\_\_\_  
Title

*If mailing address has changed, please check this box and provide the new address below:*

**Instructions:** Send the original, signed Order with penalty payment to the Financial Administration Division, Revenue Operations Section at the address in Ordering Provision 1 of this Order.

**Attachment A**

**Docket Number: 2021-0478-MWD-E**

**SUPPLEMENTAL ENVIRONMENTAL PROJECT**

|                           |                                     |
|---------------------------|-------------------------------------|
| <b>Respondent:</b>        | City of Garrison                    |
| <b>Penalty Amount:</b>    | Fifteen Thousand Dollars (\$15,000) |
| <b>SEP Offset Amount:</b> | Fifteen Thousand Dollars (\$15,000) |
| <b>Type of SEP:</b>       | Compliance                          |
| <b>Project Name:</b>      | <i>WWTP Improvements</i>            |
| <b>Location of SEP:</b>   | Nacogdoches County                  |

The Texas Commission on Environmental Quality (“TCEQ”) agrees to offset the administrative Penalty Amount assessed in this Agreed Order for Respondent to perform a Supplemental Environmental Project (“SEP”). The SEP Offset Amount is set forth above and such offset is conditioned upon completion of the project in accordance with the terms of this Attachment A.

Respondent is a Local Government that qualifies under Texas Water Code § 7.067 to apply the SEP Offset Amount set forth above to correct violations at its wastewater treatment facility (the “Facility”) which are described in this Agreed Order. This Agreed Order cites violations at Respondent’s Facility.

**1. Project Description**

**A. Project**

Respondent shall purchase three new aerator motors to replace the existing aerator motors at that Facility. The aerator motors will be installed by the City. Respondent will also hire a contractor to perform smoke testing on the entire wastewater system to help identify defects in the system that may require repairs. Specifically, the SEP Offset Amount shall be used for materials, supplies, and equipment for aerator motors and smoke testing (the “Project”). Respondent shall solicit bids from qualified contractors to perform the Project. Any advertisement, including publication, related to the SEP must include the enforcement statement as stated in Section 6, Publicity. The SEP will be performed in accordance with all federal, state, and local environmental laws and regulations, including permits that may be required prior to commencement of the SEP.

Respondent shall use the SEP Offset Amount only for the direct cost of implementing the Project, including supplies, materials, and equipment rentals, as listed in Subsection C. Minimum Expenditure, Estimated Cost Schedule, below. No portion of the SEP Offset Amount shall be spent on administrative costs, including but not limited to operating costs, reporting expenses, handling of expenses, project coordination, liability, or equipment breakdowns.

Respondent’s signature affixed to the attached Agreed Order certifies that Respondent has no prior commitment to perform this Project and that the SEP is being performed solely as part of the terms of settlement in this enforcement action.

**B. Environmental Benefit**

This SEP will provide a discernible environmental benefit by improving the quality of wastewater effluent being released into the environment. Inadequately treated effluent can carry bacteria, viruses, protozoa (parasitic organisms), helminths (intestinal worms), and bioaerosols (inhalable molds and fungi). The diseases they may cause range in severity from mild gastroenteritis to life-threatening ailments such as cholera, dysentery, infectious hepatitis, and severe gastroenteritis. Additional risks include occurrences of low dissolved oxygen, fish kills, algal bloom, and bacterial contamination in waterways.

**C. Minimum Expenditure**

Respondent shall spend at least the SEP Offset Amount to complete the project described in Section 1, above, and comply with all other provisions of this SEP. Respondent understands that it may cost more than the SEP Offset Amount to complete the Project.

**Estimated Cost Schedule**

| <b>Item</b>    | <b>Quantity</b> | <b>Cost</b> | <b>Units</b> | <b>Total</b>    |
|----------------|-----------------|-------------|--------------|-----------------|
| Aerator Motors | 3               | \$3,117     | Each         | \$9,351         |
| Smoke Testing  | 1               | \$43,000    | Lump Sum     | \$43,000        |
| <b>Total</b>   |                 |             |              | <b>\$52,351</b> |

**2. Performance Schedule**

Within 30 days after the effective date of this Agreed Order, Respondent shall begin implementation of the SEP. Respondent shall have completed the SEP in its entirety within 130 days after the effective date of this Agreed Order.

**3. Records and Reporting**

**A. Progress Report**

Within 30 days after the effective date of this Agreed Order, Respondent shall submit a Notice of Commencement to the TCEQ describing actions performed to date to implement the Project. Within 90 days after the effective date of this Agreed Order, Respondent shall submit a report detailing the progress made and all actions completed on the Project during the previous 60-day period and setting forth a schedule for achieving completion of the Project within the 130-day timeframe set forth in Section 2, Performance Schedule, above. Thereafter, Respondent shall submit progress reports to the TCEQ containing detailed information on all actions completed on the Project to date as set forth in the Reporting Schedule table below:

**Reporting Schedule**

| <b>Days from Effective Order Date</b> | <b>Information Required</b>                                      |
|---------------------------------------|------------------------------------------------------------------|
| 30                                    | Notice of Commencement describing actions taken to begin project |
| 90                                    | Actions completed during previous 60-day period                  |
| 130                                   | Notice of SEP completion                                         |

**B. Final Report**

Within 130 days after the effective date of the Agreed Order, or within 30 days after completion of the SEP, whichever is earlier, Respondent shall submit a Final Report to the TCEQ, which shall include the following:

1. Itemized list of expenditures and total cost of the Project;
2. Copies of invoices or receipts corresponding to the itemized list in paragraph 3.B.1., above;
3. Copies of cleared checks or payment records corresponding to the itemized list in paragraph 3.B.1., above;
4. Copies of proof of advertisement of invitation for bids, if applicable;
5. A certified statement of SEP completion and document authentication;
6. Detailed map showing specific location of the project site(s);
7. Dated photographs of the purchased materials and supplies; before and after work being performed during the Project; and of the completed Project; and
8. Any additional information Respondent believes will, or that is requested by TCEQ to demonstrate compliance with this Attachment A.

**C. Address**

Respondent shall submit all SEP reports and any additional information as requested to the following address:

Texas Commission on Environmental Quality  
Litigation Division  
Attention: SEP Coordinator, MC 175  
P.O. Box 13087  
Austin, Texas 78711-3087

**4. Additional Information and Access**

Respondent shall provide additional information as requested by TCEQ staff and shall allow access to all records related to the SEP Offset Amount. Respondent shall also allow representatives of the TCEQ access to the site of any work being financed in whole or in part by the SEP Offset Amount. This provision shall survive the termination of this Agreed Order.

**5. Failure to Fully Perform**

If Respondent does not perform its obligations under this Attachment A, including full expenditure of the SEP Offset Amount and submittal of the required reporting described in Sections 2 through 4 above, the Executive Director (“ED”) may require immediate payment of all or part of the SEP Offset Amount as set forth in the attached Agreed Order.

In the event the ED determines that Respondent failed to fully implement and complete the Project, Respondent shall remit payment for all or a portion of the SEP Offset Amount, as determined by the ED, and as set forth in the attached Agreed Order. After receiving notice of failure to complete the SEP, Respondent shall include the docket number of the attached Agreed Order and a note that the enclosed payment is for reimbursement of a SEP, shall make the check payable to “Texas Commission on Environmental Quality,” and shall mail it to:

Texas Commission on Environmental Quality  
Litigation Division  
Attention: SEP Coordinator, MC 175  
P.O. Box 13087  
Austin, Texas 78711-3087

**6. Publicity**

Any public statements concerning this Project made by or on behalf of Respondent must include a clear statement that **the Project was performed as part of the settlement of an enforcement action brought by the TCEQ**. Such statements include advertising, public relations, and press releases.

**7. Recognition**

Respondent may not seek recognition for this project in any other state or federal regulatory program.

**8. Other SEPs by TCEQ or Other Agencies**

The SEP Offset Amount identified in this Attachment A and in the attached Agreed Order has not been, and shall not be, included as a SEP for Respondent under any other Agreed Order negotiated with the TCEQ or any other agency of the state or federal government.