## Executive Summary – Enforcement Matter – Case No. 61846 Rivers Edge Interests, Ltd. RN110901360 Docket No. 2022-0141-WQ-E

Order Type:

1660 Agreed Order

**Findings Order Justification:** 

N/A **Media:** WQ

**Small Business:** 

No

Location(s) Where Violation(s) Occurred:

Rivers Edge Subdivision, located approximately 1,500 feet east of the intersection of Old Conroe Road and Park Avenue, Conroe, Montgomery County

Type of Operation: Large construction site Other Significant Matters:

Additional Pending Enforcement Actions: No

Past-Due Penalties: No

Other: N/A

Interested Third-Parties: The complainant has expressed an interest in this

matter but does not wish to speak at Agenda. **Texas Register Publication Date:** September 8, 2023

Comments Received: No

**Penalty Information** 

**Total Penalty Assessed:** \$16,875

Amount Deferred for Expedited Settlement: \$3,375

Total Paid to General Revenue: \$13,500 Total Due to General Revenue: \$0

Payment Plan: N/A

**Compliance History Classifications:** 

Person/CN - High Site/RN - High **Major Source:** No

Statutory Limit Adjustment: N/A

**Applicable Penalty Policy:** January 2021

**Investigation Information** 

Complaint Date(s): November 16, 2021 and December 9, 2021

Complaint Information: Alleged that best management practices ("BMPs") were not

being maintained at the site and sediment runoff is accumulating off site.

**Date(s) of Investigation:** November 23, 2021

Date(s) of NOE(s): January 21, 2022

## Executive Summary – Enforcement Matter – Case No. 61846 Rivers Edge Interests, Ltd. RN110901360 Docket No. 2022-0141-WQ-E

## Violation Information

Failed to install and maintain BMPs at the Site which resulted in a discharge of pollutants into or adjacent to any water in the state. Specifically, silt fencing at the Site was undercut, falling over, and over capacitated with sediment in multiple locations which resulted in the discharge of sediment onto private properties located along Conastoga Court in Conroe, Texas and into an intermittent receiving stream that flowed off-site [30 Tex. Admin. Code § 305.125(1), Tex. Water Code § 26.121(a), and Texas Pollutant Discharge Elimination System General Permit No. TXR1597AT, Part III, Section F.6(a), (b), (c), and (d)].

## Corrective Actions/Technical Requirements

## **Corrective Action(s) Completed:**

N/A

## **Technical Requirements:**

The Order will require the Respondent to:

- a. Within 30 days:
- i. Repair BMPs at the Site to prevent the discharge of sediment off-site;
- ii. Make all reasonable efforts, as determined by the Executive Director, to work with the respective property owners to remove and dispose of accumulated sediment from the private properties located along Conastoga Court and from the area along the receiving stream.; and
- iii. Develop and implement procedures and conduct employee training to ensure BMPs are maintained in effective operating condition.
- b. Within 45 days, submit written certification to demonstrate compliance with a.

## Executive Summary – Enforcement Matter – Case No. 61846 Rivers Edge Interests, Ltd. RN110901360 Docket No. 2022-0141-WQ-E

## **Contact Information**

TCEQ Attorney: N/A

**TCEQ Enforcement Coordinator:** Harley Hobson, Enforcement Division, Enforcement Team 1, MC 219, (512) 239-1337; Michael Parrish, Enforcement Division, MC 219, (512) 239-2548

**Respondent:** Blake Roberts, President, Rivers Edge Interests, Ltd., 10410 Windermere Lakes Boulevard, Houston, Texas 77065

Patrick Carrigan-Smith, Director of Land Acquisition and Development, Rivers Edge

Interests, Ltd., 10410 Windermere Lakes Boulevard, Houston, Texas 77065

**Respondent's Attorney:** N/A

## Penalty Calculation Worksheet (PCW) Policy Revision 5 (January 28, 2021) PCW Revision February 11, 2021 Assigned 24-Jan-2022 **DATES** Screening 24-Jan-2022 PCW 28-Jan-2022 **EPA Due RESPONDENT/FACILITY INFORMATION** Respondent Rivers Edge Interests, Ltd. Reg. Ent. Ref. No. RN110901360 Facility/Site Region 12-Houston Major/Minor Source Minor **CASE INFORMATION Enf./Case ID No. 61846** No. of Violations Docket No. 2022-0141-WQ-E Order Type 1660 Media Program(s) Water Quality Multi-Media Government/Non-Profit No Enf. Coordinator Harley Hobson EC's Team Enforcement Team 1 Admin. Penalty \$ Limit Minimum \$25,000 \$0 Maximum

			Penalty C	Calcula	tion Section	n		
TOTA	L BASE PENA	LTY (Sum of	f violation base	e penal	ties)		Subtotal 1	\$18,750
ADJU		/-) TO SUBT						
	Subtotals 2-7 are of Compliance Hi		ng the Total Base Penalty	y (Subtotal 1 <b>-10.0%</b>	<ul> <li>by the indicated pe Adjustment</li> </ul>		otals 2, 3, & 7	-\$1,875
	Notes		Reduction for High	Performer	classification.			
	Culpability	No		0.0%	Enhancement		Subtotal 4	\$0
	Notes	The Ro	espondent does not	t meet the	culpability crite	ria.		
	<b>Good Faith Eff</b>	ort to Comply	Total Adjustments	S			Subtotal 5	\$0
	<b>Economic Ben</b>				Enhancement*		Subtotal 6	\$0
	Estimated	Total EB Amounts d Cost of Compliance		*Cappe	d at the Total EB \$ A	mount	_	
SUM (	OF SUBTOTA	LS 1-7					Final Subtotal	\$16,875
		AS JUSTICE N	MAY REQUIRE		0.0%		Adjustment	\$0
Reduces	Notes	Substitute by the man	reaceu percentage.					
						Final Pe	nalty Amount	\$16,875
STATI	UTORY LIMI	T ADJUSTME	NT			Final Asse	essed Penalty	\$16,875
DEFEI					20.0%	Reduction	Adjustment	-\$3,375
Reduces t	the Final Assessed Pe	enalty by the indicate	ed percentage.					
	Notes		Deferral offered for	r expedite	d settlement.			
PAYA	BLE PENALT	Y						\$13,500

Screening Date 24-Jan-2022

**Respondent** Rivers Edge Interests, Ltd.

**Case ID No.** 61846

Reg. Ent. Reference No. RN110901360

>> Final Compliance History Adjustment

**Media** Water Quality

Enf. Coordinator Harley Hobson

Policy Revision 5 (January 28, 2021)

PCW Revision February 11, 2021

**PCW** 

-10%

Component	ory <i>Site</i> Enhancement (Subtotal 2)  Number of	Number	Adjust.	
NOVs	Written notices of violation ("NOVs") with same or similar violations as those in the current enforcement action (number of NOVs meeting criteria)	0	0%	
	Other written NOVs	0	0%	
	Any agreed final enforcement orders containing a denial of liability ( <i>number of orders meeting criteria</i> )	0	0%	
Orders	Any adjudicated final enforcement orders, agreed final enforcement orders without a denial of liability, or default orders of this state or the federal government, or any final prohibitory emergency orders issued by the commission	0	0%	
Judgments	Any non-adjudicated final court judgments or consent decrees containing a denial of liability of this state or the federal government (number of judgments or consent decrees meeting criteria)	0	0%	
and Consent Decrees	Any adjudicated final court judgments and default judgments, or non-adjudicated final court judgments or consent decrees without a denial of liability, of this state or the federal government	0	0%	
Convictions	Any criminal convictions of this state or the federal government ( <i>number of counts</i> )	0	0%	
Emissions	Chronic excessive emissions events (number of events)	0	0%	
Audits	Letters notifying the executive director of an intended audit conducted under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 (number of audits for which notices were submitted)	0	0%	
Addits	Disclosures of violations under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 (number of audits for which violations were disclosed)	0	0%	
	Environmental management systems in place for one year or more	No	0%	
Other	Voluntary on-site compliance assessments conducted by the executive director under a special assistance program	No	0%	
Other	Participation in a voluntary pollution reduction program	No	0%	
	Early compliance with, or offer of a product that meets future state or federal government environmental requirements	No	0%	
	Adjustment Per	centage (Sul	ototal 2)	
peat Violator	(Subtotal 3)			
No Adjustment Percentage (Subtotal 3) 00				
mpliance Hist	ory Person Classification (Subtotal 7)			
High Performer  Adjustment Percentage (Subtotal 7) -10				
mpliance Hist	ory Summary			
Compliance History Notes Reduction for High Performer classification.				

Total Compliance History Adjustment Percentage (Subtotals 2, 3, & 7) -10%

Final Adjustment Percentage \*capped at 100%

	E	conomic	Benefit	Wo	rksheet		
Respondent	Rivers Edge Ir	iterests, Ltd.					
Case ID No.							
Reg. Ent. Reference No.	RN110901360						
Media Violation No.	Water Quality 1					Percent Interest	Years of Depreciation
						5.0	15
	Item Cost	Date Required	Final Date	Yrs	<b>Interest Saved</b>	Costs Saved	EB Amount
Item Description		•					
20011 2 0001 19 11 011							
Delayed Costs							
Equipment				0.00	\$0	\$0	\$0
Buildings				0.00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0
Engineering/Construction	\$1,500	10-May-2021	16-Oct-2023	2.44	\$12	\$244	\$256
Land				0.00	\$0	n/a	\$0
Record Keeping System				0.00	\$0	n/a	\$0
Training/Sampling	\$100	23-Nov-2021	16-Oct-2023	1.90	\$9	n/a	\$9
Remediation/Disposal	\$1,000	10-May-2021	16-Oct-2023	2.44	\$122	n/a	\$122
Permit Costs				0.00	\$0	n/a	\$0
Other (as needed)				0.00	\$0	n/a	\$0
Notes for DELAYED costs	Estimated Tra The Date I Estimated Rer is the date t	ation was first doc aining/Sampling c Required is the inv mediation/Disposa the violation was f	umented, and to ost to develop a restigation date of cost to remover irst documente	ne Fina and imp and th e and c d, and t	I Date is the estime Diement procedure e Final Date is the Dispose of accumul The Final Date is the	he Date Required is ated date of complies to ensure BMPs are estimated date of ated sediment. The ne estimated date of	e maintained. compliance.  Date Required compliance.
Avoided Costs	ANNUA	LIZE avoided co	osts before en			one-time avoide	
Disposal				0.00	\$0	\$0	\$0
Personnel				0.00	\$0	\$0 #0	\$0
Inspection/Reporting/Sampling				0.00	\$0 #0	\$0	\$0 \$0
Supplies/Equipment				0.00	\$0 #0	\$0 #0	\$0 ¢0
Financial Assurance				0.00	\$0	\$0	\$0
ONE-TIME avoided costs Other (as needed)				0.00	\$0 \$0	\$0 \$0	\$0 \$0
Other (as needed)				0.00	1 \$0	<b>\$</b> U	\$0
Notes for AVOIDED costs							
Approx. Cost of Compliance		\$2,600			TOTAL		\$387

To request a more accessible version of this report, please contact the TCEQ Help Desk at (512) 239-4357.



# Compliance History Report

Compliance History Report for CN605652148, RN110901360, Rating Year 2022 which includes Compliance History (CH) components from September 1, 2017, through August 31, 2022.

Customer, Respondent, CN605652148, Rivers Edge Interests, Ltd. Classification: HIGH Rating: 0.00

or Owner/Operator:

Regulated Entity: RN110901360, RIVERS EDGE Classification: HIGH Rating: 0.00

**SUBDIVISION** 

Complexity Points: 3 Repeat Violator: NO

**CH Group:** 09 - Construction

**Location:** approximately 1,500 feet east of the intersection of Old Conroe Road and Park Avenue, in Conroe, Montgomery

County, Texas

TCEQ Region: REGION 12 - HOUSTON

ID Number(s):

STORMWATER PERMIT TXR1518EMSTORMWATER PERMIT TXR1538HFSTORMWATER PERMIT TXR1518KCSTORMWATER PERMIT TXR1597AT

**STORMWATER PERMIT TXR1577ID** 

Compliance History Period: September 01, 2017 to August 31, 2022 Rating Year: 2022 Rating Date: 09/01/2022

**Date Compliance History Report Prepared:** June 06, 2023

Agency Decision Requiring Compliance History: Enforcement

Component Period Selected: June 06, 2018 to June 06, 2023

TCEQ Staff Member to Contact for Additional Information Regarding This Compliance History.

Name: Harley Hobson Phone: (512) 239-1337

#### Site and Owner/Operator History:

1) Has the site been in existence and/or operation for the full five year compliance period? NO

2) Has there been a (known) change in ownership/operator of the site during the compliance period? NO

#### Components (Multimedia) for the Site Are Listed in Sections A - J

A. Final Orders, court judgments, and consent decrees:

N/A

**B.** Criminal convictions:

N/A

C. Chronic excessive emissions events:

NI/Λ

D. The approval dates of investigations (CCEDS Inv. Track. No.):

Item 1 December 15, 2022 (1852718)

#### E. Written notices of violations (NOV) (CCEDS Inv. Track. No.):

A notice of violation represents a written allegation of a violation of a specific regulatory requirement from the commission to a regulated entity. A notice of violation is not a final enforcement action, nor proof that a violation has actually occurred.

N/A

F. Environmental audits:

N/Δ

G. Type of environmental management systems (EMSs):

N/A

H. Voluntary on-site compliance assessment dates:

N/A

I. Participation in a voluntary pollution reduction program:

N/A

J. Early compliance:

N/A

## **Sites Outside of Texas:**

N/A

## TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



IN THE MATTER OF AN	§	BEFORE THE
ENFORCEMENT ACTION	§	
CONCERNING	§	TEXAS COMMISSION ON
RIVERS EDGE INTERESTS, LTD.	§	
RN110901360	§	ENVIRONMENTAL QUALITY

#### AGREED ORDER DOCKET NO. 2022-0141-WQ-E

## I. JURISDICTION AND STIPULATIONS

On	, the Texas Commission on Environmental Quality ("the
Commission" or	"TCEQ") considered this agreement of the parties, resolving an enforcement
action regarding	Rivers Edge Interests, Ltd. (the "Respondent") under the authority of Tex.
WATER CODE chs.	7 and 26. The Executive Director of the TCEQ, through the Enforcement
Division, and the	e Respondent together stipulate that:

- 1. The Respondent owns and operates a large construction site located approximately 1,500 feet east of the intersection of Old Conroe Road and Park Avenue in Conroe, Montgomery County, Texas (the "Site"). The Site is near or adjacent to water in the state as defined in Tex. Water Code § 26.001(5).
- 2. The Executive Director and the Respondent agree that the TCEQ has jurisdiction to enter this Order pursuant to Tex. Water Code §§ 7.002, 7.051, and 7.073, and that the Respondent is subject to TCEQ's jurisdiction. The TCEQ has jurisdiction in this matter pursuant to Tex. Water Code § 5.013 because it alleges violations of Tex. Water Code ch. 26 and the rules of the TCEQ.
- 3. The occurrence of any violation is in dispute and the entry of this Order shall not constitute an admission by the Respondent of any violation alleged in Section II ("Allegations"), nor of any statute or rule.
- 4. An administrative penalty in the amount of \$16,875 is assessed by the Commission in settlement of the violations alleged in Section II ("Allegations"). The Respondent paid \$13,500 of the penalty and \$3,375 is deferred contingent upon the Respondent's timely and satisfactory compliance with all the terms of this Order. The deferred amount shall be waived only upon full compliance with all the terms and conditions contained in this Order. If the Respondent fails to timely and satisfactorily comply with any of the terms or requirements contained in this Order, the Executive Director may demand payment of all or part of the deferred penalty amount.
- 5. The Executive Director and the Respondent agree on a settlement of the matters alleged in this enforcement action, subject to final approval in accordance with 30 Tex. ADMIN. CODE § 70.10(a). Any notice and procedures, which might otherwise be authorized or required in this action, are waived in the interest of a more timely resolution of the matter.

- 6. The Executive Director may, without further notice or hearing, refer this matter to the Office of the Attorney General of the State of Texas ("OAG") for further enforcement proceedings if the Executive Director determines that the Respondent has not complied with one or more of the terms or conditions in this Order.
- 7. This Order represents the complete and fully-integrated agreement of the parties. The provisions of this Order are deemed severable and, if a court of competent jurisdiction or other appropriate authority deems any provision of this Order unenforceable, the remaining provisions shall be valid and enforceable.
- 8. This Order shall terminate five years from its effective date or upon compliance with all the terms and conditions set forth in this Order, whichever is later.

#### II. ALLEGATIONS

During an investigation conducted on November 23, 2021, an investigator documented that the Respondent failed to install and maintain best management practices ("BMPs") at the Site which resulted in a discharge of pollutants into or adjacent to any water in the state, in violation of 30 Tex. Admin. Code § 305.125(1), Tex. Water Code § 26.121(a), and Texas Pollutant Discharge Elimination System ("TPDES") General Permit No. TXR1597AT, Part III, Section F.6(a), (b), (c), and (d). Specifically, silt fencing at the Site was undercut, falling over, and over capacitated with sediment in multiple locations which resulted in the discharge of sediment onto private properties located along Conastoga Court in Conroe, Texas and into an intermittent receiving stream that flowed off-site.

#### III. DENIALS

The Respondent generally denies each allegation in Section II ("Allegations").

### IV. ORDERING PROVISIONS

NOW, THEREFORE, THE TEXAS COMMISSION ON ENVIRONMENTAL QUALITY ORDERS that:

1. The Respondent is assessed a penalty as set forth in Section I, Paragraph No. 4. The payment of this penalty and the Respondent's compliance with all of the requirements set forth in this Order resolve only the allegations in Section II. The Commission shall not be constrained in any manner from requiring corrective action or penalties for violations which are not raised here. Penalty payments shall be made payable to "TCEQ" and shall be sent with the notation "Re: Rivers Edge Interests, Ltd., Docket No. 2022-0141-WO-E" to:

Financial Administration Division, Revenue Operations Section Attention: Cashier's Office, MC 214 Texas Commission on Environmental Quality P.O. Box 13088 Austin, Texas 78711-3088

- 2. The Respondent shall undertake the following technical requirements:
  - a. Within 30 days after the effective date of this Order:

- i. Repair BMPs at the Site to prevent the discharge of sediment off-site in accordance with TPDES General Permit No. TXR1597AT;
- ii. Make all reasonable efforts, as determined by the Executive Director, to work with the respective property owners to remove and dispose of accumulated sediment from the private properties located along Conastoga Court and from the area along the receiving stream; and
- iii. Develop and implement procedures and conduct employee training to ensure BMPs are maintained in effective operating condition.
- b. Within 45 days after the effective date of this Order, submit written certification, and include detailed supporting documentation including photographs, receipts, and/or other records to demonstrate compliance with Ordering Provision No. 2.a. The certification shall be signed by the Respondent and shall include the following certification language:

"I certify under penalty of law that I have personally examined and am familiar with the information submitted and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations."

The certification shall be submitted to:

Enforcement Division, MC 149A Texas Commission on Environmental Quality P.O. Box 13087 Austin, Texas 78711-3087

with a copy to:

Water Section Manager Houston Regional Office Texas Commission on Environmental Quality 5425 Polk Street, Suite H Houston, Texas 77023-1452

- 3. All relief not expressly granted in this Order is denied.
- 4. The duties and provisions imposed by this Order shall apply to and be binding upon the Respondent. The Respondent is ordered to give notice of this Order to personnel who maintain day-to-day control over the Site operations referenced in this Order.
- 5. If the Respondent fails to comply with any of the Ordering Provisions in this Order within the prescribed schedules, and that failure is caused solely by an act of God, war, strike, riot, or other catastrophe, the Respondent's failure to comply is not a violation of this Order. The Respondent shall have the burden of establishing to the Executive Director's satisfaction that such an event has occurred. The Respondent shall notify the

Rivers Edge Interests, Ltd. DOCKET NO. 2022-0141-WQ-E Page 4

Executive Director within seven days after the Respondent becomes aware of a delaying event and shall take all reasonable measures to mitigate and minimize any delay.

- 6. The Executive Director may grant an extension of any deadline in this Order or in any plan, report, or other document submitted pursuant to this Order, upon a written and substantiated showing of good cause. All requests for extensions by the Respondent shall be made in writing to the Executive Director. Extensions are not effective until the Respondent receives written approval from the Executive Director. The determination of what constitutes good cause rests solely with the Executive Director. Extension requests shall be sent to the Order Compliance Team at the address listed above.
- 7. This Order, issued by the Commission, shall not be admissible against the Respondent in a civil proceeding, unless the proceeding is brought by the OAG to: (1) enforce the terms of this Order; or (2) pursue violations of a statute within the Commission's jurisdiction, or of a rule adopted or an order or permit issued by the Commission under such a statute.
- 8. This Order may be executed in separate and multiple counterparts, which together shall constitute a single instrument. Any page of this Order may be copied, scanned, digitized, converted to electronic portable document format ("pdf"), or otherwise reproduced and may be transmitted by digital or electronic transmission, including but not limited to facsimile transmission and electronic mail. Any signature affixed to this Order shall constitute an original signature for all purposes and may be used, filed, substituted, or issued for any purpose for which an original signature could be used. The term "signature" shall include manual signatures and true and accurate reproductions of manual signatures created, executed, endorsed, adopted, or authorized by the person or persons to whom the signatures are attributable. Signatures may be copied or reproduced digitally, electronically, by photocopying, engraving, imprinting, lithographing, electronic mail, facsimile transmission, stamping, or any other means or process which the Executive Director deems acceptable. In this paragraph exclusively, the terms: electronic transmission, owner, person, writing, and written, shall have the meanings assigned to them under Tex. Bus. Org. Code § 1.002.
- 9. The effective date of this Order is the date it is signed by the Commission. A copy of this fully executed Order shall be provided to each of the parties.

Rivers Edge Interests, Ltd. DOCKET NO. 2022-0141-WQ-E Page 5

#### **SIGNATURE PAGE**

TEXAS COMMISSION ON ENVIRONMENTAL COALIT	TEXAS	COMMISSION ON ENVIRONMENTAL	<b>QUALITY</b>
--	-------	-----------------------------	----------------

For the Commission	Date
	4/15/2024
For the Executive Director	Date
the attached Order, and I do agree to the te	nd the attached Order. I am authorized to agree to erms and conditions specified therein. I further ayment for the penalty amount, is materially relying
I also understand that failure to comply with and/or failure to timely pay the penalty am	th the Ordering Provisions, if any, in this Order count, may result in:
<ul><li>additional penalties, and/or attorney</li><li>Increased penalties in any future enfo</li></ul>	ations submitted; General's Office for contempt, injunctive relief, fees, or to a collection agency; orcement actions; eneral's Office of any future enforcement actions; and
In addition, any falsification of any compliant DocuSigned by:	ance documents may result in criminal prosecution.
Patrick Carrigan-Smith	4/4/2024
Signature	Date
Patrick Carrigan-Smith	Corporate Director, Land Acquisition and Developmen
Name (Printed or typed) Authorized Representative of Rivers Edge Interests, Ltd.	Title

☐ If mailing address has changed, please check this box and provide the new address below: