

**Executive Summary – Enforcement Matter – Case No. 62328**  
**INSTEEL WIRE PRODUCTS COMPANY**  
**RN100656370**  
**Docket No. 2022-0572-IHW-E**

**Order Type:**

1660 Agreed Order

**Findings Order Justification:**

N/A

**Media:**

IHW

**Small Business:**

No

**Location(s) Where Violation(s) Occurred:**

Insteel Wire Products, 11020 Tanner Road, Houston, Harris County

**Type of Operation:**

Carbon steel strand manufacturing business

**Other Significant Matters:**

Additional Pending Enforcement Actions: No

Past-Due Penalties: No

Other: N/A

Interested Third-Parties: None

**Texas Register Publication Date:** March 22, 2024

**Comments Received:** No

***Penalty Information***

**Total Penalty Assessed:** \$37,200

**Amount Deferred for Expedited Settlement:** \$7,440

**Total Paid to General Revenue:** \$29,760

**Total Due to General Revenue:** \$0

Payment Plan: N/A

**Compliance History Classifications:**

Person/CN - High

Site/RN - High

**Major Source:** Yes

**Statutory Limit Adjustment:** N/A

**Applicable Penalty Policy:** January 2021

***Investigation Information***

**Complaint Date(s):** N/A

**Complaint Information:** N/A

**Date(s) of Investigation:** September 1, 2021

**Date(s) of NOE(s):** September 9, 2021

***Violation Information***

1. Failed to update the Notice of Registration. Specifically, the Respondent did not add a waste stream for the Class 2 filter press cake waste or update the primary contact phone number [30 TEX. ADMIN. CODE § 335.6(c)].

**Executive Summary – Enforcement Matter – Case No. 62328**  
**INSTEEL WIRE PRODUCTS COMPANY**  
**RN100656370**  
**Docket No. 2022-0572-IHW-E**

2. Failed to include a waste code for each waste itemized on the manifest. Specifically, Manifest No. 3953983, dated May 13, 2020, had an incorrect waste transporter EPA identification number in Box. No. 8 [30 TEX. ADMIN. CODE § 335.10(a) and 40 CODE OF FEDERAL REGULATIONS § 262.20(a)].

3. Caused, suffered, allowed, or permitted the disposal of industrial solid waste at an unauthorized facility. Specifically, the Facility allowed approximately 15 cubic yards of Class 1 industrial solid waste to be transported off-site and disposed of in an area of a landfill designed for municipal solid waste and Class 2 and Class 3 industrial wastes on May 13, 2020 [30 TEX. ADMIN. CODE §§ 335.2(b) and 335.4].

***Corrective Actions/Technical Requirements***

**Corrective Action(s) Completed:**

The Respondent implemented the following corrective measures:

- a. Updated the Facility's Notice of Registration on June 15, 2021;
- b. Updated Non-Hazardous Waste Manifest No. 3953983 to include the correct identification number and submitting it to the disposal facility by May 26, 2022; and
- c. On May 18, 2021, updated the Facility's standard operating procedures designed to ensure that any industrial solid waste generated at the Facility is transported and disposed of only at authorized facilities.

**Technical Requirements:**

N/A

***Contact Information***

**TCEQ Attorney:** N/A

**TCEQ Enforcement Coordinator:** Stephanie McCurley, Enforcement Division, Enforcement Team 3, MC 219, (512) 239-2607; Michael Parrish, Enforcement Division, MC 219, (512) 239-2548

**Respondent:** Ho Woltz III, President, INSTEEL WIRE PRODUCTS COMPANY, 1373 Boggs Drive, Mount Airy, North Carolina 27030

Elizabeth C. Southern, Vice President and Chief Legal Officer, INSTEEL WIRE PRODUCTS COMPANY, 1373 Boggs Drive, Mount Airy, North Carolina 27030

**Respondent's Attorney:** N/A



# Penalty Calculation Worksheet (PCW)

Policy Revision 5 (January 28, 2021)

PCW Revision February 11, 2021

<b>DATES</b>	<b>Assigned</b>	27-Apr-2022	<b>Screening</b>	6-May-2022	<b>EPA Due</b>	
	<b>PCW</b>	19-May-2022				

<b>RESPONDENT/FACILITY INFORMATION</b>	
<b>Respondent</b>	INSTEEL WIRE PRODUCTS COMPANY
<b>Reg. Ent. Ref. No.</b>	RN100656370
<b>Facility/Site Region</b>	12-Houston
<b>Major/Minor Source</b>	Major

<b>CASE INFORMATION</b>			
<b>Enf./Case ID No.</b>	62328	<b>No. of Violations</b>	3
<b>Docket No.</b>	2022-0572-IHW-E	<b>Order Type</b>	1660
<b>Media Program(s)</b>	Industrial and Hazardous Waste	<b>Government/Non-Profit</b>	No
<b>Multi-Media</b>		<b>Enf. Coordinator</b>	Stephanie McCurley
		<b>EC's Team</b>	Enforcement Team 7
<b>Admin. Penalty \$ Limit Minimum</b>	\$0	<b>Maximum</b>	\$25,000

## Penalty Calculation Section

<b>TOTAL BASE PENALTY (Sum of violation base penalties)</b>	<b>Subtotal 1</b>	\$42,750
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### ADJUSTMENTS (+/-) TO SUBTOTAL 1

Subtotals 2-7 are obtained by multiplying the Total Base Penalty (Subtotal 1) by the indicated percentage.

<b>Compliance History</b>	-10.0%	Adjustment	<b>Subtotals 2, 3, &amp; 7</b>	-\$4,275
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<b>Notes</b>	Reduction for High Performer classification.
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<b>Culpability</b>	No	0.0%	Enhancement	<b>Subtotal 4</b>	\$0
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<b>Notes</b>	The Respondent does not meet the culpability criteria.
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<b>Good Faith Effort to Comply Total Adjustments</b>	<b>Subtotal 5</b>	-\$1,275
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<b>Economic Benefit</b>	0.0%	Enhancement*	<b>Subtotal 6</b>	\$0
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Total EB Amounts	\$20	*Capped at the Total EB \$ Amount
Estimated Cost of Compliance	\$300	

<b>SUM OF SUBTOTALS 1-7</b>	<b>Final Subtotal</b>	\$37,200
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<b>OTHER FACTORS AS JUSTICE MAY REQUIRE</b>	0.0%	Adjustment	\$0
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Reduces or enhances the Final Subtotal by the indicated percentage.

<b>Notes</b>	
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<b>Final Penalty Amount</b>	\$37,200
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<b>STATUTORY LIMIT ADJUSTMENT</b>	<b>Final Assessed Penalty</b>	\$37,200
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<b>DEFERRAL</b>	20.0%	Reduction	Adjustment	-\$7,440
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Reduces the Final Assessed Penalty by the indicated percentage.

<b>Notes</b>	Deferral offered for expedited settlement.
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<b>PAYABLE PENALTY</b>	\$29,760
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**Screening Date** 6-May-2022

**Docket No.** 2022-0572-IHW-E

**PCW**

**Respondent** INSTEEL WIRE PRODUCTS COMPANY

Policy Revision 5 (January 28, 2021)

**Case ID No.** 62328

PCW Revision February 11, 2021

**Reg. Ent. Reference No.** RN100656370

**Media** Industrial and Hazardous Waste

**Enf. Coordinator** Stephanie McCurley

### Compliance History Worksheet

#### >> Compliance History Site Enhancement (Subtotal 2)

Component	Number of...	Number	Adjust.
NOVs	Written notices of violation ("NOVs") with same or similar violations as those in the current enforcement action ( <i>number of NOVs meeting criteria</i> )	0	0%
	Other written NOVs	0	0%
Orders	Any agreed final enforcement orders containing a denial of liability ( <i>number of orders meeting criteria</i> )	0	0%
	Any adjudicated final enforcement orders, agreed final enforcement orders without a denial of liability, or default orders of this state or the federal government, or any final prohibitory emergency orders issued by the commission	0	0%
Judgments and Consent Decrees	Any non-adjudicated final court judgments or consent decrees containing a denial of liability of this state or the federal government ( <i>number of judgments or consent decrees meeting criteria</i> )	0	0%
	Any adjudicated final court judgments and default judgments, or non-adjudicated final court judgments or consent decrees without a denial of liability, of this state or the federal government	0	0%
Convictions	Any criminal convictions of this state or the federal government ( <i>number of counts</i> )	0	0%
Emissions	Chronic excessive emissions events ( <i>number of events</i> )	0	0%
Audits	Letters notifying the executive director of an intended audit conducted under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 ( <i>number of audits for which notices were submitted</i> )	0	0%
	Disclosures of violations under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 ( <i>number of audits for which violations were disclosed</i> )	0	0%
Other	Environmental management systems in place for one year or more	No	0%
	Voluntary on-site compliance assessments conducted by the executive director under a special assistance program	No	0%
	Participation in a voluntary pollution reduction program	No	0%
	Early compliance with, or offer of a product that meets future state or federal government environmental requirements	No	0%

**Adjustment Percentage (Subtotal 2)** 0%

#### >> Repeat Violator (Subtotal 3)

No

**Adjustment Percentage (Subtotal 3)** 0%

#### >> Compliance History Person Classification (Subtotal 7)

High Performer

**Adjustment Percentage (Subtotal 7)** -10%

#### >> Compliance History Summary

**Compliance History Notes**

Reduction for High Performer classification.

**Total Compliance History Adjustment Percentage (Subtotals 2, 3, & 7)** -10%

#### >> Final Compliance History Adjustment

**Final Adjustment Percentage \*capped at 100%** -10%

**Screening Date** 6-May-2022  
**Respondent** INSTEEL WIRE PRODUCTS COMPANY  
**Case ID No.** 62328  
**Reg. Ent. Reference No.** RN100656370  
**Media** Industrial and Hazardous Waste  
**Enf. Coordinator** Stephanie McCurley

**Docket No.** 2022-0572-IHW-E

**PCW**

*Policy Revision 5 (January 28, 2021)*

*PCW Revision February 11, 2021*

**Violation Number** 1

**Rule Cite(s)**

30 Tex. Admin. Code § 335.6(c)

**Violation Description**

Failed to update the Notice of Registration ("NOR"). Specifically, the Respondent did not add a waste stream for the Class 2 filter press cake waste or update the primary contact phone number.

**Base Penalty** \$25,000

>> **Environmental, Property and Human Health Matrix**

OR	Release	Harm			Percent
		Major	Moderate	Minor	
	Actual				0.0%
	Potential				

>> **Programmatic Matrix**

Matrix Notes	Falsification	Harm			Percent
		Major	Moderate	Minor	
		x			20.0%

Matrix Notes

100% of the rule requirement was not met.

**Adjustment** \$20,000

\$5,000

**Violation Events**

Number of Violation Events 1 398 Number of violation days

daily	
weekly	
monthly	
quarterly	
semiannual	
annual	
single event	x

**Violation Base Penalty** \$5,000

One single event is recommended.

**Good Faith Efforts to Comply**

25.0%

Reduction \$1,250

Before NOE/NOV NOE/NOV to EDPRP/Settlement Offer

Extraordinary		
Ordinary	x	
N/A		

Notes

The Respondent completed updating the NOR on June 15, 2021 prior to the Notice of Enforcement ("NOE") dated September 9, 2021.

**Violation Subtotal** \$3,750

**Economic Benefit (EB) for this violation**

**Statutory Limit Test**

**Estimated EB Amount** \$5

**Violation Final Penalty Total** \$3,250

**This violation Final Assessed Penalty (adjusted for limits)** \$3,250

# Economic Benefit Worksheet

**Respondent** INSTEEL WIRE PRODUCTS COMPANY  
**Case ID No.** 62328  
**Reg. Ent. Reference No.** RN100656370  
**Media** Industrial and Hazardous Waste  
**Violation No.** 1

Percent Interest	Years of Depreciation
5.0	15

Item Description	Item Cost	Date Required	Final Date	Yrs	Interest Saved	Costs Saved	EB Amount
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### Delayed Costs

Equipment				0.00	\$0	\$0	\$0
Buildings				0.00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0
Engineering/Construction				0.00	\$0	\$0	\$0
Land				0.00	\$0	n/a	\$0
Record Keeping System				0.00	\$0	n/a	\$0
Training/Sampling				0.00	\$0	n/a	\$0
Remediation/Disposal				0.00	\$0	n/a	\$0
Permit Costs				0.00	\$0	n/a	\$0
Other (as needed)	\$100	13-May-2020	15-Jun-2021	1.09	\$5	n/a	\$5

**Notes for DELAYED costs**

Estimated delayed cost to update the NOR. The Date Required is the date the potentially non-conforming waste was received by the landfill and the Final Date is the date of compliance.

### Avoided Costs

**ANNUALIZE avoided costs before entering item (except for one-time avoided costs)**

Disposal				0.00	\$0	\$0	\$0
Personnel				0.00	\$0	\$0	\$0
Inspection/Reporting/Sampling				0.00	\$0	\$0	\$0
Supplies/Equipment				0.00	\$0	\$0	\$0
Financial Assurance				0.00	\$0	\$0	\$0
ONE-TIME avoided costs				0.00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0

**Notes for AVOIDED costs**

Approx. Cost of Compliance

\$100

**TOTAL**

\$5

**Screening Date** 6-May-2022 **Docket No.** 2022-0572-IHW-E **PCW**  
**Respondent** INSTEEL WIRE PRODUCTS COMPANY *Policy Revision 5 (January 28, 2021)*  
**Case ID No.** 62328 *PCW Revision February 11, 2021*  
**Reg. Ent. Reference No.** RN100656370  
**Media** Industrial and Hazardous Waste  
**Enf. Coordinator** Stephanie McCurley

**Violation Number** 2

**Rule Cite(s)** 30 Tex. Admin. Code § 335.10(a) and 40 Code of Federal Regulations § 262.20(a)

**Violation Description** Failed to include a waste code for each waste itemized on the manifest. Specifically, Manifest No. 3953983, dated May 13, 2020, had an incorrect waste transporter EPA ID number in Box No. 8.

**Base Penalty** \$25,000

**>> Environmental, Property and Human Health Matrix**

OR	Release	Harm			Percent
		Major	Moderate	Minor	
	Actual				0.0%
	Potential				

**>> Programmatic Matrix**

Matrix Notes	Falsification	Harm			Percent
		Major	Moderate	Minor	
				x	1.0%

Matrix Notes

Less than 30% of the rule requirement was not met.

**Adjustment** \$24,750

\$250

**Violation Events**

Number of Violation Events 1 723 Number of violation days

daily	
weekly	
monthly	
quarterly	
semiannual	
annual	
single event	x

**Violation Base Penalty** \$250

One single event is recommended.

**Good Faith Efforts to Comply**

10.0%

Reduction \$25

	Before NOE/NOV	NOE/NOV to EDRP/Settlement Offer
Extraordinary		
Ordinary		x
N/A		

Notes

The Respondent provided proof that the correct manifest was submitted to the disposal facility on May 26, 2022, after the NOE dated September 9, 2021.

**Violation Subtotal** \$225

**Economic Benefit (EB) for this violation**

**Statutory Limit Test**

**Estimated EB Amount** \$10

**Violation Final Penalty Total** \$200

**This violation Final Assessed Penalty (adjusted for limits)** \$200

## Economic Benefit Worksheet

**Respondent** INSTEEL WIRE PRODUCTS COMPANY  
**Case ID No.** 62328  
**Reg. Ent. Reference No.** RN100656370  
**Media** Industrial and Hazardous Waste  
**Violation No.** 2

Percent Interest	Years of Depreciation
5.0	15

Item Description	Item Cost	Date Required	Final Date	Yrs	Interest Saved	Costs Saved	EB Amount
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### Delayed Costs

Equipment				0.00	\$0	\$0	\$0
Buildings				0.00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0
Engineering/Construction				0.00	\$0	\$0	\$0
Land				0.00	\$0	n/a	\$0
Record Keeping System	\$100	13-May-2020	26-May-2022	2.04	\$10	n/a	\$10
Training/Sampling				0.00	\$0	n/a	\$0
Remediation/Disposal				0.00	\$0	n/a	\$0
Permit Costs				0.00	\$0	n/a	\$0
Other (as needed)				0.00	\$0	n/a	\$0
<b>Notes for DELAYED costs</b>	Estimated delayed cost to update the manifest to include the correct ID number, submit the corrected manifest to the designated facility, and provide documentation to the TCEQ. The Date Required is the manifest date and the Final Date is the date of compliance.						

### Avoided Costs

#### ANNUALIZE avoided costs before entering item (except for one-time avoided costs)

Disposal				0.00	\$0	\$0	\$0
Personnel				0.00	\$0	\$0	\$0
Inspection/Reporting/Sampling				0.00	\$0	\$0	\$0
Supplies/Equipment				0.00	\$0	\$0	\$0
Financial Assurance				0.00	\$0	\$0	\$0
ONE-TIME avoided costs				0.00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0
<b>Notes for AVOIDED costs</b>							

Approx. Cost of Compliance	\$100	<b>TOTAL</b>	\$10
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**Screening Date** 6-May-2022 **Docket No.** 2022-0572-IHW-E **PCW**  
**Respondent** INSTEEL WIRE PRODUCTS COMPANY *Policy Revision 5 (January 28, 2021)*  
**Case ID No.** 62328 *PCW Revision February 11, 2021*  
**Reg. Ent. Reference No.** RN100656370  
**Media** Industrial and Hazardous Waste  
**Enf. Coordinator** Stephanie McCurley

**Violation Number** 3

**Rule Cite(s)** 30 Tex. Admin. Code §§ 335.2(b) and 335.4

**Violation Description**  
 Caused, suffered, allowed, or permitted the disposal of industrial solid waste ("ISW") at an unauthorized facility. Specifically, the Facility allowed approximately 15 cubic yards of Class 1 ISW to be transported off-site and disposed of in an area of a landfill designed for municipal solid waste and Class 2 and Class 3 industrial wastes on May 13, 2020.

**Base Penalty** \$25,000

**>> Environmental, Property and Human Health Matrix**

OR	Release	Harm			Percent
		Major	Moderate	Minor	
	Actual				30.0%
	Potential	x			

**>> Programmatic Matrix**

	Falsification	Major	Moderate	Minor	Percent
					0.0%

**Matrix Notes**  
 Human health or the environment will or could be exposed to pollutants that would exceed levels that are protective of human health or environmental receptors as a result of the violation.

**Adjustment** \$17,500

\$7,500

**Violation Events**

Number of Violation Events 5 370 Number of violation days

daily	
weekly	
monthly	
quarterly	x
semiannual	
annual	
single event	

**Violation Base Penalty** \$37,500

Five quarterly events are recommended from the May 13, 2020 unauthorized disposal date to the May 18, 2021 date of compliance.

**Good Faith Efforts to Comply** 0.0% Reduction \$0

	Before NOE/NOV	NOE/NOV to EDRP/Settlement Offer
Extraordinary		
Ordinary		
N/A	x	

**Notes**  
 The Respondent does not meet the good faith criteria for this violation.

**Violation Subtotal** \$37,500

**Economic Benefit (EB) for this violation** **Statutory Limit Test**

**Estimated EB Amount** \$5 **Violation Final Penalty Total** \$33,750

**This violation Final Assessed Penalty (adjusted for limits)** \$33,750

## Economic Benefit Worksheet

**Respondent** INSTEEL WIRE PRODUCTS COMPANY  
**Case ID No.** 62328  
**Reg. Ent. Reference No.** RN100656370  
**Media** Industrial and Hazardous Waste  
**Violation No.** 3

Percent Interest	Years of Depreciation
5.0	15

Item Description	Item Cost	Date Required	Final Date	Yrs	Interest Saved	Costs Saved	EB Amount
------------------	-----------	---------------	------------	-----	----------------	-------------	-----------

### Delayed Costs

Equipment				0.00	\$0	\$0	\$0
Buildings				0.00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0
Engineering/Construction				0.00	\$0	\$0	\$0
Land				0.00	\$0	n/a	\$0
Record Keeping System				0.00	\$0	n/a	\$0
Training/Sampling				0.00	\$0	n/a	\$0
Remediation/Disposal	\$100	13-May-2020	18-May-2021	1.01	\$5	n/a	\$5
Permit Costs				0.00	\$0	n/a	\$0
Other (as needed)				0.00	\$0	n/a	\$0

**Notes for DELAYED costs**

Estimated delayed cost to update the Facility's standard operation procedures designed to ensure that any ISW generated at the Facility is transported and disposed of only at authorized facilities. The Date Required is the date of the unauthorized disposal and the Final Date is the date of compliance.

### Avoided Costs

**ANNUALIZE avoided costs before entering item (except for one-time avoided costs)**

Disposal				0.00	\$0	\$0	\$0
Personnel				0.00	\$0	\$0	\$0
Inspection/Reporting/Sampling				0.00	\$0	\$0	\$0
Supplies/Equipment				0.00	\$0	\$0	\$0
Financial Assurance				0.00	\$0	\$0	\$0
ONE-TIME avoided costs				0.00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0

**Notes for AVOIDED costs**

Approx. Cost of Compliance

\$100

**TOTAL**

\$5



# Compliance History Report

Compliance History Report for CN602387599, RN100656370, Rating Year 2023 which includes Compliance History (CH) components from September 1, 2018, through August 31, 2023.

<b>Customer, Respondent, or Owner/Operator:</b>	CN602387599, INSTEEL WIRE PRODUCTS COMPANY	<b>Classification:</b> HIGH	<b>Rating:</b> 0.00
<b>Regulated Entity:</b>	RN100656370, Insteel Wire Products	<b>Classification:</b> HIGH	<b>Rating:</b> 0.00
<b>Complexity Points:</b>	6	<b>Repeat Violator:</b> NO	
<b>CH Group:</b>	14 - Other		
<b>Location:</b>	11020 Tanner Road, in Houston, Harris County, Texas		
<b>TCEQ Region:</b>	REGION 12 - HOUSTON		

**ID Number(s):**

**AIR NEW SOURCE PERMITS** PERMIT 131859

**STORMWATER** PERMIT TXR05FM49

**INDUSTRIAL AND HAZARDOUS WASTE**

**INDUSTRIAL AND HAZARDOUS WASTE**

**NONPERMITTED** EPA ID TXR000085091

**NONPERMITTED** ID NUMBER R12100656370

**POLLUTION PREVENTION PLANNING** ID NUMBER

**INDUSTRIAL AND HAZARDOUS WASTE** SOLID WASTE

P09831

REGISTRATION # (SWR) 39452

**TAX RELIEF** ID NUMBER 22628

**Compliance History Period:** September 01, 2018 to August 31, 2023 **Rating Year:** 2023 **Rating Date:** 09/01/2023

**Date Compliance History Report Prepared:** December 11, 2023

**Agency Decision Requiring Compliance History:** Enforcement

**Component Period Selected:** December 11, 2018 to December 11, 2023

**TCEQ Staff Member to Contact for Additional Information Regarding This Compliance History.**

**Name:** Stephanie McCurley

**Phone:** (512) 239-2607

**Site and Owner/Operator History:**

- 1) Has the site been in existence and/or operation for the full five year compliance period? YES
- 2) Has there been a (known) change in ownership/operator of the site during the compliance period? NO

**Components (Multimedia) for the Site Are Listed in Sections A - J**

**A. Final Orders, court judgments, and consent decrees:**

N/A

**B. Criminal convictions:**

N/A

**C. Chronic excessive emissions events:**

N/A

**D. The approval dates of investigations (CCEDS Inv. Track. No.):**

Item 1                      March 07, 2023                      (1894688)

**E. Written notices of violations (NOV) (CCEDS Inv. Track. No.):**

A notice of violation represents a written allegation of a violation of a specific regulatory requirement from the commission to a regulated entity. A notice of violation is not a final enforcement action, nor proof that a violation has actually occurred.

N/A

**F. Environmental audits:**

N/A

**G. Type of environmental management systems (EMSs):**

N/A

**H. Voluntary on-site compliance assessment dates:**

N/A

**I. Participation in a voluntary pollution reduction program:**

N/A

**J. Early compliance:**

N/A

**Sites Outside of Texas:**

N/A

# TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



IN THE MATTER OF AN  
ENFORCEMENT ACTION  
CONCERNING  
INSTEEL WIRE PRODUCTS COMPANY  
RN100656370

§ BEFORE THE  
§ TEXAS COMMISSION ON  
§ ENVIRONMENTAL QUALITY  
§

## AGREED ORDER DOCKET NO. 2022-0572-IHW-E

### I. JURISDICTION AND STIPULATIONS

On \_\_\_\_\_, the Texas Commission on Environmental Quality ("the Commission" or "TCEQ") considered this agreement of the parties, resolving an enforcement action regarding INSTEEL WIRE PRODUCTS COMPANY (the "Respondent") under the authority of TEX. HEALTH & SAFETY CODE ch. 361 and TEX. WATER CODE ch. 7. The Executive Director of the TCEQ, through the Enforcement Division, and the Respondent together stipulate that:

1. The Respondent owns and operates a carbon steel strand manufacturing business located at 11020 Tanner Road in Houston, Harris County, Texas (the "Facility"). The Facility involves or involved the management of industrial solid waste ("ISW") as defined in TEX. HEALTH & SAFETY CODE ch. 361.
2. The Executive Director and the Respondent agree that the TCEQ has jurisdiction to enter this Order pursuant to TEX. WATER CODE §§ 7.002, 7.051, and 7.073, and that the Respondent is subject to TCEQ's jurisdiction. The TCEQ has jurisdiction in this matter pursuant to TEX. WATER CODE § 5.013 because it alleges violations of TEX. HEALTH & SAFETY CODE ch. 361 and the rules of the TCEQ.
3. The occurrence of any violation is in dispute and the entry of this Order shall not constitute an admission by the Respondent of any violation alleged in Section II ("Allegations"), nor of any statute or rule.
4. An administrative penalty in the amount of \$37,200 is assessed by the Commission in settlement of the violations alleged in Section II ("Allegations"). The Respondent paid \$29,760 of the penalty and \$7,440 is deferred contingent upon the Respondent's timely and satisfactory compliance with all the terms of this Order. The deferred amount shall be waived only upon full compliance with all the terms and conditions contained in this Order. If the Respondent fails to timely and satisfactorily comply with any of the terms or requirements contained in this Order, the Executive Director may demand payment of all or part of the deferred penalty amount.
5. The Executive Director and the Respondent agree on a settlement of the matters alleged in this enforcement action, subject to final approval in accordance with 30 TEX. ADMIN. CODE § 70.10(a). Any notice and procedures, which might otherwise be authorized or required in this action, are waived in the interest of a more timely resolution of the matter.

6. The Executive Director may, without further notice or hearing, refer this matter to the Office of the Attorney General of the State of Texas ("OAG") for further enforcement proceedings if the Executive Director determines that the Respondent has not complied with one or more of the terms or conditions in this Order.
7. This Order represents the complete and fully-integrated agreement of the parties. The provisions of this Order are deemed severable and, if a court of competent jurisdiction or other appropriate authority deems any provision of this Order unenforceable, the remaining provisions shall be valid and enforceable.
8. This Order shall terminate five years from its effective date or upon compliance with all the terms and conditions set forth in this Order, whichever is later.
9. The Executive Director recognizes that the Respondent implemented the following corrective measures at the Facility:
  - a. Updated the Facility's Notice of Registration ("NOR") on June 15, 2021;
  - b. Updated Non-Hazardous Waste Manifest No. 3953983 to include the correct ID number and submitting it to the disposal facility by May 26, 2022; and
  - c. On May 18, 2021, updated the Facility's standard operating procedures designed to ensure that any ISW generated at the Facility is transported and disposed of only at authorized facilities.

## II. ALLEGATIONS

During a record review conducted on September 1, 2021, an investigator documented that the Respondent:

1. Failed to update the NOR, in violation of 30 TEX. ADMIN. CODE § 335.6(c). Specifically, the Respondent did not add a waste stream for the Class 2 filter press cake waste or update the primary contact phone number.
2. Failed to include a waste code for each waste itemized on the manifest, in violation of 30 TEX. ADMIN. CODE § 335.10(a) and 40 Code of Federal Regulations § 262.20(a). Specifically, Manifest No. 3953983, dated May 13, 2020, had an incorrect waste transporter EPA ID number in Box. No. 8.
3. Caused, suffered, allowed, or permitted the disposal of ISW at an unauthorized facility, in violation of 30 TEX. ADMIN. CODE §§ 335.2(b) and 335.4. Specifically, the Facility allowed approximately 15 cubic yards of Class 1 industrial solid waste to be transported off-site and disposed of in an area of a landfill designed for MSW and Class 2 and Class 3 industrial wastes on May 13, 2020.

## III. DENIALS

The Respondent generally denies each allegation in Section II ("Allegations").

#### IV. ORDERING PROVISIONS

NOW, THEREFORE, THE TEXAS COMMISSION ON ENVIRONMENTAL QUALITY ORDERS that:

1. The Respondent is assessed a penalty as set forth in Section I, Paragraph No. 4. The payment of this penalty and the Respondent's compliance with all of the requirements set forth in this Order resolve only the allegations in Section II. The Commission shall not be constrained in any manner from requiring corrective action or penalties for violations which are not raised here. Penalty payments shall be made payable to "TCEQ" and shall be sent with the notation "Re: INSTEEL WIRE PRODUCTS COMPANY, Docket No. 2022-0572-IHW-E" to:

Financial Administration Division, Revenue Operations Section  
Attention: Cashier's Office, MC 214  
Texas Commission on Environmental Quality  
P.O. Box 13088  
Austin, Texas 78711-3088

2. All relief not expressly granted in this Order is denied.
3. The duties and provisions imposed by this Order shall apply to and be binding upon the Respondent. The Respondent is ordered to give notice of this Order to personnel who maintain day-to-day control over the Facility operations referenced in this Order.
4. The Executive Director may grant an extension of any deadline in this Order or in any plan, report, or other document submitted pursuant to this Order, upon a written and substantiated showing of good cause. All requests for extensions by the Respondent shall be made in writing to the Executive Director. Extensions are not effective until the Respondent receives written approval from the Executive Director. The determination of what constitutes good cause rests solely with the Executive Director.
5. This Order, issued by the Commission, shall not be admissible against the Respondent in a civil proceeding, unless the proceeding is brought by the OAG to: (1) enforce the terms of this Order; or (2) pursue violations of a statute within the Commission's jurisdiction, or of a rule adopted or an order or permit issued by the Commission under such a statute.
6. This Order may be executed in separate and multiple counterparts, which together shall constitute a single instrument. Any page of this Order may be copied, scanned, digitized, converted to electronic portable document format ("pdf"), or otherwise reproduced and may be transmitted by digital or electronic transmission, including but not limited to facsimile transmission and electronic mail. Any signature affixed to this Order shall constitute an original signature for all purposes and may be used, filed, substituted, or issued for any purpose for which an original signature could be used. The term "signature" shall include manual signatures and true and accurate reproductions of manual signatures created, executed, endorsed, adopted, or authorized by the person or persons to whom the signatures are attributable. Signatures may be copied or reproduced digitally, electronically, by photocopying, engraving, imprinting, lithographing, electronic mail, facsimile transmission, stamping, or any other means or process which the Executive Director deems acceptable. In this paragraph exclusively, the terms: electronic transmission, owner, person, writing, and written, shall have the meanings assigned to them under TEX. BUS. ORG. CODE § 1.002.

7. The effective date of this Order is the date it is signed by the Commission. A copy of this fully executed Order shall be provided to each of the parties.

**SIGNATURE PAGE**

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

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For the Commission

-----  
Date

  
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For the Executive Director

4/15/2024

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Date

I, the undersigned, have read and understand the attached Order. I am authorized to agree to the attached Order, and I do agree to the terms and conditions specified therein. I further acknowledge that the TCEQ, in accepting payment for the penalty amount, is materially relying on such representation.

I also understand that failure to comply with the Ordering Provisions, if any, in this Order and/or failure to timely pay the penalty amount, may result in:

- A negative impact on compliance history;
- Greater scrutiny of any permit applications submitted;
- Referral of this case to the OAG for contempt, injunctive relief, additional penalties, and/or attorney fees, or to a collection agency;
- Increased penalties in any future enforcement actions;
- Automatic referral to the OAG of any future enforcement actions; and
- TCEQ seeking other relief as authorized by law.

In addition, any falsification of any compliance documents may result in criminal prosecution.

  
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Signature

4/12/2024  
-----  
Date

Elizabeth C. Southern  
-----  
Name (Printed or typed)  
Authorized Representative of  
INSTEEL WIRE PRODUCTS COMPANY

VP Administration, Secretary  
-----  
Title and Chief Legal Officer

If mailing address has changed, please check this box and provide the new address below:

**Instructions:** Send the original, signed Order with penalty payment to the Financial Administration Division, Revenue Operations Section at the address in Ordering Provision 1 of this Order.