

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY
AGENDA ITEM REQUEST
for Proposed Rulemaking

AGENDA REQUESTED: June 28, 2023

DATE OF REQUEST: June 9, 2023

INDIVIDUAL TO CONTACT REGARDING CHANGES TO THIS REQUEST, IF NEEDED: Gwen Ricco, Rule/Agenda Coordinator, (512) 239-2678

CAPTION: Docket No. 2023-0255-RUL. Consideration for publication of, and hearing on, proposed amendments to 30 TAC Chapter 290, Public Drinking Water, Sections 290.38, 290.39, 290.41, 290.42, 290.43, 290.44, 290.45, 290.46, and 290.47, as well as Chapter 291, Utility Regulations, Sections 291.160, 291.161, 291.162, and new Section 291.163.

The proposed rulemaking would amend 30 TAC Chapter 290, Subchapter D, and Chapter 291, Subchapter L, for consistency with the Texas Water Code, Sections 13.1394, and 13.1395; to add weather resiliency requirements consistent with the After-Action Review of Winter Storm Uri findings; and to clarify and streamline existing state rules. (Christina DuPont, Ruth Takeda; Rule Project No. 2023-125-290-OW)

Cari-Michel LaCaille

Director

Richard P. H.

Division Deputy Director

Agenda Coordinator

Copy to CCC Secretary? NO YES

Texas Commission on Environmental Quality

Interoffice Memorandum

To: Commissioners **Date:** June 9, 2023

Thru: Laurie Gharis, Chief Clerk
Erin E. Chancellor, Interim Executive Director

From: *CML* Cari-Michel La Caille, Director
Office of Water

Docket No.: 2023-0255-RUL

Subject: Commission Approval for Proposed Rulemaking
Chapter 290, Public Drinking Water
Chapter 291, Utility Regulations
SB 3 and Staff-Initiated Revisions and Efficiencies
Rule Project No. 2023-125-290-OW

Background and reason(s) for the rulemaking:

In 2021, the 87th Texas Legislature passed Senate Bill 3, which relates to preparing for, preventing, and responding to weather emergencies and power outages. Senate Bill 3 requires that certain water service providers ensure continuity of operations during an extended power outage. The passage of Senate Bill 3 amended Texas Water Code (TWC), Chapter 13, by adding §13.1394, Standards of Emergency Operations, and amending §13.1395, Standards of Emergency Operations in Certain Counties.

Also in 2021, and in response to the widespread power and equipment failures during Winter Storm Uri that resulted in drinking water outages and shortages, the commission organized an after-action review to evaluate the factors that impacted public water systems across the state. This review resulted in findings and recommendations to enhance and integrate additional public water system critical infrastructure resiliency measures.

A.) Summary of what the rulemaking would do:

This rulemaking package proposes to amend 30 Texas Administrative Code (TAC), Chapter 290, Subchapter D, and Chapter 291, Subchapter L, for consistency with the TWC, §§13.1394 and 13.1395; to add weather resiliency and generator maintenance requirements consistent with the Winter Storm Uri After Action Review findings; and to clarify and streamline existing state rules.

B.) Scope required by federal regulations or state statutes:

This rulemaking package proposes to amend 30 TAC Chapter 290, §§290.38, 290.39, 290.41, 290.42, 290.43, 290.44, 290.45, 290.46, and 290.47, as well as 30 TAC Chapter 291, §§291.160, 291.161, and 290.162, and to add 30 TAC §291.163 to implement new TWC §13.1394 and the amendment of TWC §13.1395 by Senate Bill 3. No federal regulations apply.

C.) Additional staff recommendations that are not required by federal rule or state statute:

This rulemaking package includes proposed staff recommendations based on findings from the after-action review and to clarify and streamline existing state rules.

1. *Weatherization of Public Water System Critical Infrastructure*

The ED's staff proposes to amend 30 TAC §§290.38, 290.41, 290.42, 290.43, 290.44, 290.46, and 290.47 to require additional public water system components and plant equipment be protected from adverse weather conditions. A definition for "adverse

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weather conditions” will be added to delineate a variety of weather conditions for a holistic approach to weatherization of a public water system’s critical infrastructure.

2. *Plant Operations Manual Criteria*

The existing rule requires a public water system’s plant operations manual to include, in sufficient detail, the protocols to be utilized in the event of a natural or man-made catastrophe. The ED’s staff proposes to amend 30 TAC §290.42(l) to further clarify “sufficient detail” by requiring certain elements be included in the plant operations manual for site-specific emergency protocols, instructions, and troubleshooting measures for continuity of operations during emergency situations.

3. *Emergency Preparedness Plan Efficiencies*

The ED’s staff proposes to amend 30 TAC §290.45(a) to add requirements for an affected utility to submit a revised EPP if the affected utility makes any significant changes to the system that affect emergency operations, alters implementation methods that are not reflected in the approved EPP, or fails to provide a minimum of 20 psi or a pressure approved by the ED, as required by TWC §13.1394, throughout the distribution system during emergency operations. To adhere to statute, an EPP template for all affected utilities defined under TWC §13.1394 will be added to 30 TAC §290.47 Appendix G to assist affected utilities with the creation of an EPP. Additionally, the ED’s staff proposes to incorporate language in 30 TAC §290.45 to add clarity to EPP applicability between a wholesale and purchase customer.

4. *Minimum Standards Generator Maintenance*

The ED’s staff proposes to amend 30 TAC §§290.45, 290.46(f), 290.46(m), and 291.162 to consolidate all existing generator maintenance procedures and record retention requirements for public water systems serving 1,000 connections or more; and add generator maintenance procedures and record retention requirements for public water systems with less than 1,000 connections. Currently, affected utilities who choose a generator(s) as an alternative power option in their EPP are required to inspect, operate, and maintain it in accordance with manufacturers specifications, and public water systems with 1,000 connections or more are required to service and maintain emergency generators in accordance with Level 2 maintenance requirements in the National Fire Protection Association (NFPA 110 Standard).

5. *Fuel Storage Requirement for Generators*

The ED’s staff proposes to amend 30 TAC §290.45(g) and §290.45(h) to increase the minimum standard requirement of eight hours of on-site fuel-storage capacity to 48 hours for systems relying upon on-site generators or any other emergency power equipment necessary to sustain emergency operations during power outages.

6. *Boil Water Notice Issuance and Rescission Efficiencies*

The ED’s staff proposes to amend 30 TAC §290.46(q) and §290.47(c) to clarify when written notification from the ED is required prior to issuing a boil water notice, requiring special precautions and protective measures; when a public water system must submit compliance documentation to the ED prior to rescinding a boil water notice; and to remove the boil water notice templates in 30 TAC §290.47, Appendix C to allow ED’s staff to make modifications to the templates if warranted. The proposed changes will streamline processes and reduce confusion when public water systems must issue and rescind a boil water notice, special precaution or protective measure.

7. *Laboratory Accreditation Definition*

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The ED's staff proposes to amend 30 TAC §§290.38, 290.44(i), and 290.46(g) to revise the definition of and references to "certified laboratory or approved laboratory" to "accredited laboratory" which is the term used by the agency's Laboratory Accreditation Program and the Environmental Protection Agency.

8. *Streamline the Exception Process for Setback Distances and Pressure Gauge Requirements*

The ED's staff proposes to amend 30 TAC §290.43(b)(1) to require the setback distance between an elevated or ground storage tank and on-site sewage sprayfield to be consistent with the setback distance requirement of a public water supply well and an on-site sewage sprayfield. The existing rule requires that a potable water storage tank be at a greater distance from an on-site sewage sprayfield than a public water supply well and requires a public water system to request an exception if the public water system cannot meet the distance requirement. By making the setback distance requirements equivalent, public water systems will no longer need to request an exception to the rule. In addition, the ED's staff proposes to amend 30 TAC §290.43(d)(2) to clarify when more than one pressure tank is connected via manifold, only one gauge will be required. The existing rule requires that each pressure tank have a pressure gauge; however, when pressure tanks are manifolded together, one gauge will provide the pressure reading across all the connected pressure tanks. Public water systems will no longer be required to submit an exception request to use one gauge.

Statutory authority:

TWC §13.1394 and §13.1395

Effect on the:

A.) Regulated community:

State Statutes

Senate Bill 3 required affected utilities to submit and implement an EPP. While there are options available in the statute that were implemented at little to no cost to the affected utility, there were instances where an affected utility was required to purchase a generator or incur costs for other system improvements required to provide water service during an extended power outage. The proposed amendments and additions included in this rule package will make 30 TAC, Chapter 290 and 291 consistent with TWC §13.1394 and §13.1395.

Staff Recommendations

Weatherization of Critical Infrastructure

Increased costs may be incurred to protect critical system components and plant equipment from adverse weather conditions beyond the weatherization methods that are already being used by the public water system.

Plant Operations Manual

The costs associated with updating a plant operations manual to include protocols that ensure continuity of operations during emergency conditions will be minimal. Costs could be incurred if the public water system outsources this task.

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Generator Maintenance

The type of maintenance that a public water system or affected utility with a generator currently performs will determine if additional maintenance is required. Costs could be incurred if the entity outsources this task.

Generator Fuel Storage

Cost associated with the requirement to maintain enough fuel onsite to sustain 48 hours of emergency operations will be determined by the type and number of generators that a system needs to operate under emergency conditions. This provision may impose additional requirements on larger water systems, including registration for above ground fuel storage tanks needed to meet additional fuel storage capacity. Contaminated or expended fuel may need to be disposed of in a particular way to comply with hazardous waste regulations and some regulated entities may be required to have a Spill Prevention, Control, and Countermeasure Plan if the amount of fuel stored meets certain volume thresholds.

Boil Water Notices

The proposed revisions will benefit public water systems that are required to issue a boil water notice by providing clarity and streamlining the procedures for issuing and rescinding boil water notices.

Laboratory Accreditation

The proposed revisions will acknowledge current vernacular providing clarity in determining rule applicability and the appropriate type of laboratories used for regulatory sample analysis.

Setback Distance Requirements and Pressure Gauge Requirements

The proposed revisions for changes to the setback distance and pressure gauge requirements will benefit public water systems by eliminating costs and the time associated with requesting an exception to agency rules.

B.) Public:

The proposed revisions would benefit the public by increasing resiliency measures to mitigate water service disruptions during severe weather events, power outages and other emergency conditions; however, customers may experience increased costs for water service depending on costs incurred by affected utilities to implement these proposed revisions.

C.) Agency programs:

Senate Bill 3 established a new program, which required additional agency staff and resources to implement. The staff-initiated rule efficiencies would save staff resources by removing, clarifying, or streamlining agency processes. Implementing the after-action review recommendations would impact multiple agency programs but can be accomplished using existing resources.

Stakeholder meetings:

The commission did not hold any stakeholder meetings related to this rulemaking; however, a rule public hearing will be held during the comment period in Austin, TX.

Public Involvement Plan

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No.

Alternative Language Requirements

No.

Potential controversial concerns and legislative interest:

None.

Would this rulemaking affect any current policies or require development of new policies?

No.

What are the consequences if this rulemaking does not go forward? Are there alternatives to rulemaking?

These proposed rule changes would make agency rules consistent with state statute. There are no alternatives to implementing the statutory changes made by Senate Bill 3. However, proposed rule changes related to implementing the after-action review recommendations and the staff-initiated rule efficiencies could be removed from the rulemaking.

Key points in the proposal rulemaking schedule:

Anticipated proposal date: June 28, 2023

Anticipated *Texas Register* publication date: July 14, 2023

Anticipated public hearing date: August 11, 2023

Anticipated public comment period: July 14, 2023 - August 14, 2023

Anticipated adoption date: November 29, 2023

Agency contacts:

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Ruth Takeda, Staff Attorney, Environmental Law Division, (512) 239-6635
Gwen Ricco, Texas Register Rule/Agenda Coordinator, (512) 239-2678

Attachments:

Senate Bill 3

cc: Chief Clerk, 2 copies
Executive Director's Office
Jim Rizk
Morgan Johnson
Krista Kyle
Office of General Counsel
Christina DuPont
Ruth Takeda
Gwen Ricco

AN ACT

relating to preparing for, preventing, and responding to weather emergencies and power outages; increasing the amount of administrative and civil penalties.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:

SECTION 1. Chapter 411, Government Code, is amended by adding Subchapter K-1 to read as follows:

SUBCHAPTER K-1. POWER OUTAGE ALERT

Sec. 411.301. POWER OUTAGE ALERT. (a) With the cooperation of the Texas Department of Transportation, the Texas Division of Emergency Management, the office of the governor, and the Public Utility Commission of Texas, the department shall develop and implement an alert to be activated when the power supply in this state may be inadequate to meet demand.

(b) The Public Utility Commission of Texas by rule shall adopt criteria for the content, activation, and termination of the alert described by Subsection (a). The criteria must provide for an alert to be regional or statewide.

Sec. 411.302. ADMINISTRATION. (a) The director is the statewide coordinator of the power outage alert.

(b) The director shall adopt rules and issue directives as necessary to ensure proper implementation of the power outage alert. The rules and directives must include the procedures to be used by the Public Utility Commission of Texas and the independent

1 organization certified under Section 39.151, Utilities Code, to
2 communicate with the director about the power outage alert.

3 Sec. 411.303. DEPARTMENT TO RECRUIT PARTICIPANTS. The
4 department shall recruit public and commercial television and radio
5 broadcasters, private commercial entities, state or local
6 governmental entities, the public, and other appropriate persons to
7 assist in developing and implementing the power outage alert
8 system.

9 Sec. 411.304. STATE AGENCIES. (a) A state agency
10 participating in the power outage alert system shall:

11 (1) cooperate with the department and assist in
12 developing and implementing the alert system; and

13 (2) establish a plan for providing relevant
14 information to its officers, investigators, or employees, as
15 appropriate, once the power outage alert system has been activated.

16 (b) In addition to its duties as a state agency under
17 Subsection (a), the Texas Department of Transportation shall
18 establish a plan for providing relevant information to the public
19 through an existing system of dynamic message signs located across
20 the state.

21 Sec. 411.305. ACTIVATION OF POWER OUTAGE ALERT. (a) When
22 the Public Utility Commission of Texas or an independent
23 organization certified under Section 39.151, Utilities Code,
24 notifies the department that the criteria adopted under Section
25 411.301(b) for the activation of the alert has been met, the
26 department shall confirm the accuracy of the information and, if
27 confirmed, immediately issue a power outage alert under this

1 subchapter in accordance with department rules.

2 (b) In issuing the power outage alert, the department shall
3 send the alert to designated media outlets in this state. Following
4 receipt of the alert, participating radio stations and television
5 stations and other participating media outlets may issue the alert
6 at designated intervals.

7 Sec. 411.306. CONTENT OF POWER OUTAGE ALERT. The power
8 outage alert must include a statement that electricity customers
9 may experience a power outage.

10 Sec. 411.307. TERMINATION OF POWER OUTAGE ALERT. The
11 director shall terminate any activation of the power outage alert
12 as soon as practicable after the Public Utility Commission of Texas
13 or the Electric Reliability Council of Texas notifies the
14 department that the criteria adopted under Section 411.301(b) for
15 the termination of the alert has been met.

16 Sec. 411.308. LIMITATION ON PARTICIPATION BY TEXAS
17 DEPARTMENT OF TRANSPORTATION. Notwithstanding Section 411.304(b),
18 the Texas Department of Transportation is not required to use any
19 existing system of dynamic message signs in a statewide alert
20 system created under this subchapter if that department receives
21 notice from the United States Department of Transportation Federal
22 Highway Administration that the use of the signs would result in the
23 loss of federal highway funding or other punitive actions taken
24 against this state due to noncompliance with federal laws,
25 regulations, or policies.

26 SECTION 2. Section [418.048](#), Government Code, is amended to
27 read as follows:

1 Sec. 418.048. MONITORING WEATHER; DISASTER PREPAREDNESS
2 EDUCATION. (a) The division shall keep continuously apprised of
3 weather conditions that present danger of climatic activity, such
4 as precipitation, severe enough to constitute a disaster.

5 (b) The division shall create a list of suggested actions
6 for state agencies and the public to take to prepare for winter
7 storms, organized by severity of storm based on the National
8 Weather Service Winter Storm Severity Index.

9 (c) The division shall develop disaster preparedness
10 educational materials that include instructions for preparing a
11 disaster kit containing supplies most needed in a disaster or
12 emergency, such as water, nonperishable food, medical supplies,
13 flashlights, and other essential items, to assist families and
14 businesses in adequately preparing for winter storms, hurricanes,
15 floods, drought, fires, and other potential disasters.

16 (d) The division shall post on the division's Internet
17 website and distribute to local governments and businesses the
18 educational materials and instructions developed under Subsection
19 (c).

20 SECTION 3. Chapter 418, Government Code, is amended by
21 adding Subchapter J to read as follows:

22 SUBCHAPTER J. TEXAS ENERGY RELIABILITY COUNCIL

23 Sec. 418.301. DEFINITIONS. In this subchapter:

24 (1) "Chief" means the division's chief.

25 (2) "Council" means the Texas Energy Reliability
26 Council.

27 Sec. 418.302. COUNCIL ESTABLISHED. (a) The Texas Energy

1 Reliability Council is established to:

2 (1) ensure that the energy and electric industries in
3 this state meet high priority human needs and address critical
4 infrastructure concerns; and

5 (2) enhance coordination and communication in the
6 energy and electric industries in this state.

7 (b) Chapter 2110 does not apply to the council.

8 Sec. 418.303. MEMBERSHIP. (a) The council is composed of:

9 (1) the chairman of the Railroad Commission of Texas;

10 (2) the presiding officer of the Public Utility
11 Commission of Texas;

12 (3) the chief executive of the Office of Public
13 Utility Counsel;

14 (4) the presiding officer of the Texas Commission on
15 Environmental Quality;

16 (5) the chair of the Texas Transportation Commission;

17 (6) a person to represent the independent organization
18 certified under Section 39.151, Utilities Code, for the ERCOT power
19 region, appointed by the governor;

20 (7) the chief;

21 (8) five persons to represent participants in the
22 natural gas supply chain in this state, appointed by the Railroad
23 Commission of Texas to represent as many types of participants as
24 possible;

25 (9) five persons to represent the electric industry,
26 appointed by the Public Utility Commission of Texas, including:

27 (A) one person to represent entities that provide

1 dispatchable electric energy to the power grid in this state;

2 (B) one person to represent transmission and
3 distribution utilities, as defined by Section 31.002, Utilities
4 Code;

5 (C) one person to represent retail electric
6 providers, as defined by Section 31.002, Utilities Code;

7 (D) one person to represent municipally owned
8 utilities, as defined by Section 11.003, Utilities Code; and

9 (E) one person to represent electric
10 cooperatives;

11 (10) three persons to represent energy sectors not
12 otherwise represented on the council, appointed by the Public
13 Utility Commission of Texas; and

14 (11) five persons to represent industrial concerns,
15 appointed by the governor, including:

16 (A) one person to represent motor fuel producers;
17 and

18 (B) one person to represent chemical
19 manufacturers.

20 (b) A member of the council described by Subsection (a)(1),
21 (2), (3), (4), (5), (6), or (7) may designate a person from the
22 member's agency to represent the member in any meeting.

23 (c) The council may request that a person collaborate with
24 the council to achieve the purposes described by Section 418.302.

25 Sec. 418.304. OFFICERS. (a) The chief shall serve as
26 presiding officer of the council.

27 (b) The council may select an assistant presiding officer

1 and secretary from among its members.

2 Sec. 418.305. COMPENSATION; REIMBURSEMENT. A member of the
3 council is not entitled to compensation or reimbursement of
4 expenses for service on the council.

5 Sec. 418.306. MEETINGS. (a) After its initial meeting, the
6 council shall meet at least twice each year at a time and place
7 determined by the chief.

8 (b) The council may meet at other times the council
9 considers appropriate. The presiding officer may call a meeting on
10 the officer's own motion.

11 Sec. 418.307. ADMINISTRATIVE SUPPORT. The division shall
12 provide administrative support to the council.

13 Sec. 418.308. GENERAL DUTIES OF COUNCIL. (a) The council
14 shall foster communication and planning to ensure preparedness for
15 making available and delivering energy and electricity in this
16 state to ensure that high priority human needs are met and critical
17 infrastructure needs are addressed.

18 (b) The council shall foster communication and coordination
19 between the energy and electric industries in this state.

20 Sec. 418.309. INFORMATION. (a) In this section:

21 (1) "Gas provider" means:

22 (A) a natural gas pipeline facility operator;

23 (B) an operator of a natural gas well; or

24 (C) an entity that produces, treats, processes,
25 pressurizes, stores, or transports natural gas in this state or
26 otherwise participates in the natural gas supply chain in this
27 state.

1 (2) "Public utility" means an entity that generates,
2 transmits, or distributes electric energy to the public, including
3 an electric cooperative, an electric utility, a municipally owned
4 utility, or a river authority.

5 (b) A public utility or gas provider shall provide to the
6 council any information related to a disaster requested by the
7 council. Information obtained by the council under this subsection
8 is confidential and not subject to disclosure by the council if the
9 information is critical energy infrastructure information as
10 defined by the independent organization certified under Section
11 39.151, Utilities Code, for the ERCOT power region or federal law.

12 (c) Except as provided by Subsection (d), the meetings of
13 the council and information obtained or created by the council are
14 not subject to the requirements of Chapter 551 or 552.

15 (d) Information written, produced, collected, assembled, or
16 maintained under law or in connection with the transaction of
17 official business by the council or an officer or employee of the
18 council is subject to Section 552.008 in the same manner as public
19 information.

20 Sec. 418.310. REPORT. (a) Not later than November 1 of
21 each even-numbered year, the council shall submit to the
22 legislature a report on the reliability and stability of the
23 electricity supply chain in this state.

24 (b) The report must include recommendations on methods to
25 strengthen the electricity supply chain in this state and to
26 decrease the frequency of extended power outages caused by a
27 disaster in this state.

1 SECTION 4. Subchapter C, Chapter 81, Natural Resources
2 Code, is amended by adding Section 81.073 to read as follows:

3 Sec. 81.073. CRITICAL NATURAL GAS FACILITIES AND ENTITIES.

4 (a) The commission shall collaborate with the Public Utility
5 Commission of Texas to adopt rules to establish a process to
6 designate certain natural gas facilities and entities associated
7 with providing natural gas in this state as critical customers or
8 critical gas suppliers during energy emergencies.

9 (b) The rules must:

10 (1) establish criteria for designating persons who own
11 or operate a facility under the jurisdiction of the commission
12 under Section 81.051(a) or engage in an activity under the
13 jurisdiction of the commission under Section 81.051(a) who must
14 provide critical customer and critical gas supply information, as
15 defined by the commission, to the entities described by Section
16 38.074(b)(1), Utilities Code;

17 (2) consider essential operational elements when
18 defining critical customer designations and critical gas supply
19 information for the purposes of Subdivision (1), including natural
20 gas production, processing, and transportation, related produced
21 water handling and disposal facilities, and the delivery of natural
22 gas to generators of electric energy; and

23 (3) require that only facilities and entities that are
24 prepared to operate during a weather emergency may be designated as
25 a critical customer under this section.

26 SECTION 5. Subchapter C, Chapter 86, Natural Resources
27 Code, is amended by adding Section 86.044 to read as follows:

1 Sec. 86.044. WEATHER EMERGENCY PREPAREDNESS. (a) In this
2 section, "gas supply chain facility" means a facility that is:

3 (1) used for producing, treating, processing,
4 pressurizing, storing, or transporting natural gas;

5 (2) not primarily used to support liquefied natural
6 gas pretreatment, liquefaction, or regasification facilities in
7 the business of exporting or importing liquefied natural gas to or
8 from foreign countries;

9 (3) otherwise regulated by the commission under this
10 subtitle; and

11 (4) not regulated by the commission under Chapter 121,
12 Utilities Code.

13 (b) This section applies only to a gas supply chain facility
14 that is:

15 (1) included on the electricity supply chain map
16 created under Section 38.203, Utilities Code; and

17 (2) designated as critical by the commission in the
18 manner provided by Section 81.073.

19 (c) The commission by rule shall require a gas supply chain
20 facility operator to implement measures to prepare to operate
21 during a weather emergency. In adopting the rules, the commission
22 shall take into consideration weather predictions produced by the
23 office of the state climatologist.

24 (d) The commission shall:

25 (1) inspect gas supply chain facilities for compliance
26 with rules adopted under Subsection (c);

27 (2) provide the owner of a facility described by

1 Subdivision (1) with a reasonable period of time in which to remedy
2 any violation the commission discovers in an inspection; and

3 (3) report to the attorney general any violation that
4 is not remedied in a reasonable period of time.

5 (e) The commission shall prioritize inspections conducted
6 under Subsection (d)(1) based on risk level, as determined by the
7 commission.

8 (f) The commission by rule shall require an operator of a
9 gas supply chain facility that experiences repeated
10 weather-related or major weather-related forced interruptions of
11 production to:

12 (1) contract with a person who is not an employee of
13 the operator to assess the operator's weatherization plans,
14 procedures, and operations; and

15 (2) submit the assessment to the commission.

16 (g) The commission may require an operator of a gas supply
17 chain facility to implement appropriate recommendations included
18 in an assessment submitted to the commission under Subsection (f).

19 (h) If the commission determines that a person has violated
20 a rule adopted under this section, the commission shall notify the
21 attorney general of a violation that is not remedied in a reasonable
22 amount of time. The attorney general shall initiate a suit to
23 recover a penalty for the violation in the manner provided by
24 Subchapter G.

25 SECTION 6. Section 86.222, Natural Resources Code, is
26 amended by adding Subsections (a-1), (c), and (d) to read as
27 follows:

1 (a-1) Notwithstanding Subsection (a), a person who violates
2 a provision of a rule adopted under Section 86.044 is liable for a
3 penalty of not more than \$1,000,000 for each offense.

4 (c) The commission by rule shall establish a classification
5 system to be used by a court under this subchapter for violations of
6 rules adopted under Section 86.044 that includes a range of
7 penalties that may be recovered for each class of violation based
8 on:

9 (1) the seriousness of the violation, including:

10 (A) the nature, circumstances, extent, and
11 gravity of a prohibited act; and

12 (B) the hazard or potential hazard created to the
13 health, safety, or economic welfare of the public;

14 (2) the history of previous violations;

15 (3) the amount necessary to deter future violations;

16 (4) efforts to correct the violation; and

17 (5) any other matter that justice may require.

18 (d) The classification system established under Subsection
19 (c) shall provide that a penalty in an amount that exceeds \$5,000
20 may be recovered only if the violation is included in the highest
21 class of violations in the classification system.

22 SECTION 7. Section 15.023, Utilities Code, is amended by
23 adding Subsection (b-1) to read as follows:

24 (b-1) Notwithstanding Subsection (b), the penalty for a
25 violation of a provision of Section 35.0021 or 38.075 may be in an
26 amount not to exceed \$1,000,000 for a violation. Each day a
27 violation continues or occurs is a separate violation for purposes

1 of imposing a penalty.

2 SECTION 8. Section 17.002, Utilities Code, is amended by
3 adding Subdivisions (3-a) and (3-b) to read as follows:

4 (3-a) "Critical care residential customer" means a
5 residential customer who has a person permanently residing in the
6 customer's home who has been diagnosed by a physician as being
7 dependent upon an electric-powered medical device to sustain life.

8 (3-b) "Critical load industrial customer" means an
9 industrial customer for whom an interruption or suspension of
10 electric service will create a dangerous or life-threatening
11 condition on the customer's premises.

12 SECTION 9. Section 17.003, Utilities Code, is amended by
13 adding Subsection (d-1) to read as follows:

14 (d-1) An electric utility providing electric delivery
15 service for a retail electric provider, as defined by Section
16 31.002, shall provide to the retail electric provider, and the
17 retail electric provider shall periodically provide to the retail
18 electric provider's retail customers together with bills sent to
19 the customers, information about:

20 (1) the electric utility's procedures for implementing
21 involuntary load shedding initiated by the independent
22 organization certified under Section 39.151 for the ERCOT power
23 region;

24 (2) the types of customers who may be considered
25 critical care residential customers, critical load industrial
26 customers, or critical load according to commission rules adopted
27 under Section 38.076;

1 (3) the procedure for a customer to apply to be
2 considered a critical care residential customer, a critical load
3 industrial customer, or critical load according to commission rules
4 adopted under Section 38.076; and

5 (4) reducing electricity use at times when involuntary
6 load shedding events may be implemented.

7 SECTION 10. Section 17.005, Utilities Code, is amended to
8 read as follows:

9 Sec. 17.005. PROTECTIONS FOR CUSTOMERS OF MUNICIPALLY OWNED
10 UTILITIES. (a) A municipally owned utility may not be deemed to be
11 a "service provider" or "billing agent" for purposes of Sections
12 17.156(b) and (e).

13 (b) The governing body of a municipally owned utility shall
14 adopt, implement, and enforce rules that shall have the effect of
15 accomplishing the objectives set out in Sections 17.004(a) and (b)
16 and 17.102, as to the municipally owned utility within its
17 certificated service area.

18 (c) The governing body of a municipally owned utility or its
19 designee shall perform the dispute resolution function provided for
20 by Section 17.157 for disputes arising from services provided by
21 the municipally owned utility to electric customers served within
22 the municipally owned utility's certificated service area.

23 (d) With respect to electric customers served by a
24 municipally owned utility outside its certificated service area or
25 otherwise served through others' distribution facilities, after
26 retail competition begins as authorized by the legislature, the
27 provisions of this chapter as administered by the commission apply.

1 (e) Nothing in this chapter shall be deemed to apply to a
2 wholesale customer of a municipally owned utility.

3 (f) A municipally owned utility shall periodically provide
4 with bills sent to retail customers of the utility information
5 about:

6 (1) the utility's procedure for implementing
7 involuntary load shedding;

8 (2) the types of customers who may be considered
9 critical care residential customers, critical load industrial
10 customers, or critical load according to commission rules adopted
11 under Section 38.076;

12 (3) the procedure for a customer to apply to be
13 considered a critical care residential customer, a critical load
14 industrial customer, or critical load according to commission rules
15 adopted under Section 38.076; and

16 (4) reducing electricity use at times when involuntary
17 load shedding events may be implemented.

18 SECTION 11. Section [17.006](#), Utilities Code, is amended to
19 read as follows:

20 Sec. 17.006. PROTECTIONS FOR CUSTOMERS OF ELECTRIC
21 COOPERATIVES. (a) An electric cooperative shall not be deemed to
22 be a "service provider" or "billing agent" for purposes of Sections
23 [17.156](#)(b) and (e).

24 (b) The electric cooperative shall adopt, implement, and
25 enforce rules that shall have the effect of accomplishing the
26 objectives set out in Sections [17.004](#)(a) and (b) and [17.102](#).

27 (c) The board of directors of the electric cooperative or

1 its designee shall perform the dispute resolution function provided
2 for by Section 17.157 for electric customers served by the electric
3 cooperative within its certificated service area.

4 (d) With respect to electric customers served by an electric
5 cooperative outside its certificated service area or otherwise
6 served through others' distribution facilities, after the
7 legislature authorizes retail competition, the provisions of this
8 chapter as administered by the commission shall apply.

9 (e) Nothing in this chapter shall be deemed to apply to a
10 wholesale customer of an electric cooperative.

11 (f) An electric cooperative shall periodically provide with
12 bills sent to retail customers of the cooperative information
13 about:

14 (1) the cooperative's procedure for implementing
15 involuntary load shedding;

16 (2) the types of customers who may be considered
17 critical care residential customers, critical load industrial
18 customers, or critical load according to commission rules adopted
19 under Section 38.076;

20 (3) the procedure for a customer to apply to be
21 considered a critical care residential customer, a critical load
22 industrial customer, or critical load according to commission rules
23 adopted under Section 38.076; and

24 (4) reducing electricity use at times when involuntary
25 load shedding events may be implemented.

26 SECTION 12. The heading to Chapter 35, Utilities Code, is
27 amended to read as follows:

CHAPTER 35. [~~ALTERNATIVE~~] ENERGY PROVIDERS

SECTION 13. Subchapter A, Chapter 35, Utilities Code, is amended by adding Section 35.0021 to read as follows:

Sec. 35.0021. WEATHER EMERGENCY PREPAREDNESS. (a) This section applies only to a municipally owned utility, electric cooperative, power generation company, or exempt wholesale generator that sells electric energy at wholesale in the ERCOT power region.

(b) The commission by rule shall require each provider of electric generation service described by Subsection (a) to implement measures to prepare the provider's generation assets to provide adequate electric generation service during a weather emergency according to reliability standards adopted by the commission. In adopting the rules, the commission shall take into consideration weather predictions produced by the office of the state climatologist.

(c) The independent organization certified under Section 39.151 for the ERCOT power region shall:

(1) inspect generation assets in the ERCOT power region for compliance with the reliability standards;

(2) provide the owner of a generation asset with a reasonable period of time in which to remedy any violation the independent organization discovers in an inspection; and

(3) report to the commission any violation.

(c-1) The independent organization certified under Section 39.151 for the ERCOT power region shall prioritize inspections conducted under Subsection (c)(1) based on risk level, as

1 determined by the organization.

2 (d) The commission by rule shall require a provider of
3 electric generation service described by Subsection (a) for a
4 generation asset that experiences repeated or major
5 weather-related forced interruptions of service to:

6 (1) contract with a person who is not an employee of
7 the provider to assess the provider's weatherization plans,
8 procedures, and operations for that asset; and

9 (2) submit the assessment to the commission and the
10 independent organization certified under Section 39.151 for the
11 ERCOT power region.

12 (e) The commission may require a provider of electric
13 generation service described by Subsection (a) to implement
14 appropriate recommendations included in an assessment submitted to
15 the commission under Subsection (d).

16 (f) The independent organization certified under Section
17 39.151 for the ERCOT power region shall review, coordinate, and
18 approve or deny requests by providers of electric generation
19 service described by Subsection (a) for a planned power outage
20 during any season and for any period of time.

21 (g) The commission shall impose an administrative penalty
22 on an entity, including a municipally owned utility or an electric
23 cooperative, that violates a rule adopted under this section and
24 does not remedy that violation within a reasonable period of time.

25 SECTION 14. Section 35.004, Utilities Code, is amended by
26 amending Subsection (e) and adding Subsections (f), (g), and (h) to
27 read as follows:

1 (e) In this section, "ancillary services" means services
2 necessary to facilitate the transmission of electric energy
3 including load following, standby power, backup power, reactive
4 power, and any other services as the commission may determine by
5 rule.

6 (f) The commission shall ensure that ancillary services
7 necessary to facilitate the transmission of electric energy are
8 available at reasonable prices with terms and conditions that are
9 not unreasonably preferential, prejudicial, discriminatory,
10 predatory, or anticompetitive. [~~In this subsection, "ancillary~~
11 ~~services" means services necessary to facilitate the transmission~~
12 ~~of electric energy including load following, standby power, backup~~
13 ~~power, reactive power, and any other services as the commission may~~
14 ~~determine by rule.~~] On the introduction of customer choice in the
15 ERCOT power region, acquisition of generation-related ancillary
16 services on a nondiscriminatory basis by the independent
17 organization in ERCOT on behalf of entities selling electricity at
18 retail shall be deemed to meet the requirements of this subsection.

19 (g) The commission shall:

20 (1) review the type, volume, and cost of ancillary
21 services to determine whether those services will continue to meet
22 the needs of the electricity market in the ERCOT power region; and

23 (2) evaluate whether additional services are needed
24 for reliability in the ERCOT power region while providing adequate
25 incentives for dispatchable generation.

26 (h) The commission shall require the independent
27 organization certified under Section [39.151](#) for the ERCOT power

1 region to modify the design, procurement, and cost allocation of
2 ancillary services for the region in a manner consistent with
3 cost-causation principles and on a nondiscriminatory basis.

4 SECTION 15. Subchapter B, Chapter 35, Utilities Code, is
5 amended by adding Section 35.037 to read as follows:

6 Sec. 35.037. FACILITATING CERTAIN INTERCOMPANY LANDFILL
7 GAS-TO-ELECTRICITY USE. (a) This section only applies in a county
8 with a population of more than one million in which a national
9 wildlife refuge is wholly or partly located.

10 (b) Notwithstanding any other provision of this title, and
11 for the purposes of reducing environmental emissions, putting to a
12 beneficial purpose landfill gas as an electric generation fuel that
13 would otherwise be flared, enabling the operation of electric
14 generation to a greater degree, and enhancing the reliability and
15 resilience of electric service in this state, a person who is not an
16 electric utility and who owns and operates equipment or facilities
17 to produce, generate, transmit, distribute, store, sell, or furnish
18 electricity produced by the use of landfill methane gas may:

19 (1) use the equipment or facilities to provide
20 electricity and electric service to the person and to the person's
21 affiliates without being considered to be an electric utility, a
22 public utility, a retail electric provider, a power marketer, or a
23 person providing aggregation;

24 (2) interconnect the equipment or facilities in a
25 timely manner and on reasonable and nondiscriminatory terms and
26 conditions with any electric utility, municipally owned utility, or
27 electric cooperative that has a retail service area for any portion

1 of the equipment or facilities; and

2 (3) receive backup, supplemental, or other electric
3 service for any of the person's or the person's affiliates'
4 facilities that consume electricity from any electric utility,
5 municipally owned utility, or electric cooperative that has a
6 retail service area for any portion of the person's facilities or
7 equipment that are interconnected regardless of whether those
8 facilities are in the same retail service area as the location of
9 the interconnection point.

10 (c) Backup, supplemental, or other electric service
11 provided under this section through an interconnection for a
12 person's electricity-consuming facilities that are connected to
13 the person's interconnected equipment or facilities does not
14 constitute a service area encroachment or other violation of law by
15 the electric utility, municipally owned utility, or electric
16 cooperative supplying the backup, supplemental, or other electric
17 service.

18 SECTION 16. Subchapter D, Chapter 38, Utilities Code, is
19 amended by adding Sections 38.074, 38.075, 38.076, and 38.077 to
20 read as follows:

21 Sec. 38.074. CRITICAL NATURAL GAS FACILITIES AND ENTITIES.

22 (a) The commission shall collaborate with the Railroad Commission
23 of Texas to adopt rules to establish a process to designate certain
24 natural gas facilities and entities associated with providing
25 natural gas in this state as critical during energy emergencies.

26 (b) The rules must:

27 (1) ensure that the independent organization

1 certified under Section 39.151 for the ERCOT power region and each
2 electric utility, municipally owned utility, and electric
3 cooperative providing service in the ERCOT power region is provided
4 with the information required by Section 81.073, Natural Resources
5 Code;

6 (2) provide for prioritizing for load-shed purposes
7 during an energy emergency the facilities and entities designated
8 under Subsection (a); and

9 (3) provide discretion to an electric utility,
10 municipally owned utility, or electric cooperative providing
11 service in the ERCOT power region to prioritize power delivery and
12 power restoration among the facilities and entities designated
13 under Subsection (a) on the utility's or cooperative's systems, as
14 circumstances require.

15 Sec. 38.075. WEATHER EMERGENCY PREPAREDNESS. (a) The
16 commission by rule shall require each electric cooperative,
17 municipally owned utility, and transmission and distribution
18 utility providing transmission service in the ERCOT power region to
19 implement measures to prepare the cooperative's or utility's
20 facilities to maintain service quality and reliability during a
21 weather emergency according to standards adopted by the commission.
22 In adopting the rules, the commission shall take into consideration
23 weather predictions produced by the office of the state
24 climatologist.

25 (b) The independent organization certified under Section
26 39.151 for the ERCOT power region shall:

27 (1) inspect the facilities of each electric

1 cooperative, municipally owned utility, and transmission and
2 distribution utility providing transmission service in the ERCOT
3 power region for compliance with the reliability standards;

4 (2) provide the owner of a facility described by
5 Subdivision (1) with a reasonable period of time in which to remedy
6 any violation the independent organization discovers in an
7 inspection; and

8 (3) report to the commission any violation that is not
9 remedied in a reasonable period of time.

10 (c) The independent organization certified under Section
11 39.151 for the ERCOT power region shall prioritize inspections
12 conducted under Subsection (b)(1) based on risk level, as
13 determined by the organization.

14 (d) The commission shall impose an administrative penalty
15 on an entity, including a municipally owned utility or an electric
16 cooperative, that violates a rule adopted under this section and
17 does not remedy that violation within a reasonable period of time.

18 (e) Notwithstanding any other provision of this subtitle,
19 the commission shall allow a transmission and distribution utility
20 to design and operate a load management program for nonresidential
21 customers to be used where the independent organization certified
22 under Section 39.151 for the ERCOT power region has declared a Level
23 2 Emergency or a higher level of emergency or has otherwise directed
24 the transmission and distribution utility to shed load. A
25 transmission and distribution utility implementing a load
26 management program under this subsection shall be permitted to
27 recover the reasonable and necessary costs of the load management

1 program under Chapter 36. A load management program operated under
2 this subsection is not considered a competitive service.

3 Sec. 38.076. INVOLUNTARY AND VOLUNTARY LOAD SHEDDING. (a)

4 The commission by rule shall adopt a system to allocate load
5 shedding among electric cooperatives, municipally owned utilities,
6 and transmission and distribution utilities providing transmission
7 service in the ERCOT power region during an involuntary load
8 shedding event initiated by the independent organization certified
9 under Section 39.151 for the region during an energy emergency.

10 (b) The system must provide for allocation of the load
11 shedding obligation to each electric cooperative, municipally
12 owned utility, and transmission and distribution utility in
13 different seasons based on historical seasonal peak demand in the
14 service territory of the electric cooperative, municipally owned
15 utility, or transmission and distribution utility.

16 (c) The commission by rule shall:

17 (1) categorize types of critical load that may be
18 given the highest priority for power restoration; and

19 (2) require electric cooperatives, municipally owned
20 utilities, and transmission and distribution utilities providing
21 transmission service in the ERCOT power region to submit to the
22 commission and the independent organization certified under
23 Section 39.151 for the region:

24 (A) customers or circuits the cooperative or
25 utility has designated as critical load; and

26 (B) a plan for participating in load shedding in
27 response to an involuntary load shedding event described by

1 Subsection (a).

2 (d) The commission by rule shall require electric
3 cooperatives and municipally owned utilities providing
4 transmission service in the ERCOT power region to:

5 (1) maintain lists of customers willing to voluntarily
6 participate in voluntary load reduction; and

7 (2) coordinate with municipalities, businesses, and
8 customers that consume large amounts of electricity to encourage
9 voluntary load reduction.

10 (e) This section does not abridge, enlarge, or modify the
11 obligation of an electric cooperative, a municipally owned utility,
12 or a transmission and distribution utility to comply with federal
13 reliability standards.

14 (f) After each load shedding event, the commission may
15 conduct an examination of the implementation of load shedding,
16 including whether each electric cooperative, municipally owned
17 utility, and transmission and distribution utility complied with
18 its plan as filed with the commission under Subsection (c)(2).

19 Sec. 38.077. LOAD SHEDDING EXERCISES. (a) The commission
20 and the independent organization certified for the ERCOT power
21 region shall conduct simulated or tabletop load shedding exercises
22 with providers of electric generation service and transmission and
23 distribution service in the ERCOT power region.

24 (b) The commission shall ensure that each year at least one
25 simulated or tabletop exercise is conducted during a summer month
26 and one simulated or tabletop exercise is conducted during a winter
27 month.

1 SECTION 17. Chapter 38, Utilities Code, is amended by
2 adding Subchapter F to read as follows:

3 SUBCHAPTER F. TEXAS ELECTRICITY SUPPLY CHAIN SECURITY AND MAPPING
4 COMMITTEE

5 Sec. 38.201. TEXAS ELECTRICITY SUPPLY CHAIN SECURITY AND
6 MAPPING COMMITTEE. (a) In this subchapter, "electricity supply
7 chain" means:

8 (1) facilities and methods used for producing,
9 treating, processing, pressurizing, storing, or transporting
10 natural gas for delivery to electric generation facilities; and

11 (2) critical infrastructure necessary to maintain
12 electricity service.

13 (b) The Texas Electricity Supply Chain Security and Mapping
14 Committee is established to:

15 (1) map this state's electricity supply chain;

16 (2) identify critical infrastructure sources in the
17 electricity supply chain;

18 (3) establish best practices to prepare facilities
19 that provide electric service and natural gas service in the
20 electricity supply chain to maintain service in an extreme weather
21 event and recommend oversight and compliance standards for those
22 facilities; and

23 (4) designate priority service needs to prepare for,
24 respond to, and recover from an extreme weather event.

25 (c) The committee is composed of:

26 (1) the executive director of the commission;

27 (2) the executive director of the Railroad Commission

1 of Texas;

2 (3) the president and the chief executive officer of
3 the independent organization certified under Section 39.151 for the
4 ERCOT power region; and

5 (4) the chief of the Texas Division of Emergency
6 Management.

7 (d) Each member of the committee may designate a personal
8 representative from the member's organization to represent the
9 member on the committee. A member is responsible for the acts and
10 omissions of the designee related to the designee's representation
11 on the committee.

12 (e) The executive director of the commission serves as the
13 chair of the committee. The executive director of the Railroad
14 Commission of Texas serves as vice chair of the committee.

15 Sec. 38.202. ADMINISTRATION. (a) The committee shall meet
16 at least once each calendar quarter at a time determined by the
17 committee and at the call of the chair.

18 (b) A member who is an ex officio member from a state agency
19 shall be reimbursed for actual and necessary expenses in carrying
20 out committee responsibilities from money appropriated for that
21 purpose in the agency's budget. Other members of the committee may
22 receive reimbursement for actual and necessary expenses in carrying
23 out committee responsibilities from money appropriated for that
24 purpose.

25 (c) The commission, the Railroad Commission of Texas, and
26 the Texas Division of Emergency Management shall provide staff as
27 necessary to assist the committee in carrying out the committee's

1 duties and responsibilities.

2 (d) The independent organization certified under Section
3 39.151 for the ERCOT power region shall provide staff as necessary
4 to assist the committee in carrying out the committee's duties and
5 responsibilities.

6 (e) Except as otherwise provided by this subchapter, the
7 committee is not subject to Chapters 2001, 551, and 552, Government
8 Code.

9 (f) Information written, produced, collected, assembled, or
10 maintained under law or in connection with the transaction of
11 official business by the committee or an officer or employee of the
12 committee is subject to Section 552.008, Government Code. This
13 subsection does not apply to the physical locations of critical
14 facilities, maps created under this subchapter, or proprietary
15 information created or gathered during the mapping process.

16 Sec. 38.203. POWERS AND DUTIES OF COMMITTEE. (a) The
17 committee shall:

18 (1) map the state's electricity supply chain in order
19 to designate priority electricity service needs during extreme
20 weather events;

21 (2) identify and designate the sources in the
22 electricity supply chain necessary to operate critical
23 infrastructure, as defined by Section 421.001, Government Code;

24 (3) develop a communication system between critical
25 infrastructure sources, the commission, and the independent
26 organization certified under Section 39.151 for the ERCOT power
27 region to ensure that electricity and natural gas supplies in the

1 electricity supply chain are prioritized to those sources during an
2 extreme weather event; and

3 (4) establish best practices to prepare facilities
4 that provide electric service and natural gas service in the
5 electricity supply chain to maintain service in an extreme weather
6 event and recommend oversight and compliance standards for those
7 facilities.

8 (b) The committee shall update the electricity supply chain
9 map at least once each year.

10 (c) The commission shall:

11 (1) create and maintain a database identifying
12 critical infrastructure sources with priority electricity needs to
13 be used during an extreme weather event; and

14 (2) update the database at least once each year.

15 (d) The information maintained in the database is
16 confidential under Section 418.181, Government Code, and not
17 subject to disclosure under Chapter 552, Government Code.

18 (e) The committee shall provide the Texas Energy
19 Reliability Council with access to the electricity supply chain
20 map.

21 Sec. 38.204. MAPPING REPORT. (a) Not later than January 1,
22 2022, the committee shall submit a report to the governor, the
23 lieutenant governor, the speaker of the house of representatives,
24 the legislature, and the Texas Energy Reliability Council on the
25 activities and findings of the committee. The report must:

26 (1) provide an overview of the committee's findings
27 regarding mapping the electricity supply chain and identifying

1 sources necessary to operate critical infrastructure;

2 (2) recommend a clear and thorough communication
3 system for the commission, the Railroad Commission of Texas, the
4 Texas Division of Emergency Management, and the independent
5 organization certified under Section 39.151 for the ERCOT power
6 region and critical infrastructure sources in this state to ensure
7 that electricity supply is prioritized to those sources during
8 extreme weather events; and

9 (3) include a list of the established best practices
10 and recommended oversight and compliance standards adopted under
11 Section 38.203(a)(4).

12 (b) The report is public information except for portions
13 considered confidential under Chapter 552, Government Code, or
14 other state or federal law.

15 SECTION 18. Subchapter D, Chapter 39, Utilities Code, is
16 amended by adding Sections 39.159 and 39.160 to read as follows:

17 Sec. 39.159. DISPATCHABLE GENERATION. (a) For the
18 purposes of this section, a generation facility is considered to be
19 non-dispatchable if the facility's output is controlled primarily
20 by forces outside of human control.

21 (b) The commission shall ensure that the independent
22 organization certified under Section 39.151 for the ERCOT power
23 region:

24 (1) establishes requirements to meet the reliability
25 needs of the power region;

26 (2) periodically, but at least annually, determines
27 the quantity and characteristics of ancillary or reliability

1 services necessary to ensure appropriate reliability during
2 extreme heat and extreme cold weather conditions and during times
3 of low non-dispatchable power production in the power region;

4 (3) procures ancillary or reliability services on a
5 competitive basis to ensure appropriate reliability during extreme
6 heat and extreme cold weather conditions and during times of low
7 non-dispatchable power production in the power region;

8 (4) develops appropriate qualification and
9 performance requirements for providing services under Subdivision
10 (3), including appropriate penalties for failure to provide the
11 services; and

12 (5) sizes the services procured under Subdivision (3)
13 to prevent prolonged rotating outages due to net load variability
14 in high demand and low supply scenarios.

15 (c) The commission shall ensure that:

16 (1) resources that provide services under Subsection
17 (b) are dispatchable and able to meet continuous operating
18 requirements for the season in which the service is procured;

19 (2) winter resource capability qualifications for a
20 service described by Subsection (b) include on-site fuel storage,
21 dual fuel capability, or fuel supply arrangements to ensure winter
22 performance for several days; and

23 (3) summer resource capability qualifications for a
24 service described by Subsection (b) include facilities or
25 procedures to ensure operation under drought conditions.

26 Sec. 39.160. WHOLESAL PRICING PROCEDURES. (a) The
27 commission by rule shall establish an emergency pricing program for

1 the wholesale electric market.

2 (b) The emergency pricing program must take effect if the
3 high system-wide offer cap has been in effect for 12 hours in a
4 24-hour period after initially reaching the high system-wide offer
5 cap. The commission by rule shall determine the criteria for the
6 emergency pricing program to cease.

7 (c) The emergency pricing program may not allow an emergency
8 pricing program cap to exceed any nonemergency high system-wide
9 offer cap.

10 (d) The commission by rule shall establish an ancillary
11 services cap to be in effect during the period an emergency pricing
12 program is in effect.

13 (e) Any wholesale pricing procedure that has a low
14 system-wide offer cap may not allow the low system-wide offer cap to
15 exceed the high system-wide offer cap.

16 (f) The commission shall review each system-wide offer cap
17 program adopted by the commission, including the emergency pricing
18 program, at least once every five years to determine whether to
19 update aspects of the program.

20 (g) The emergency pricing program must allow generators to
21 be reimbursed for reasonable, verifiable operating costs that
22 exceed the emergency cap.

23 SECTION 19. Subchapter 2, Chapter 39, Utilities Code, is
24 amended by adding Section 39.9165 to read as follows:

25 Sec. 39.9165. DISTRIBUTED GENERATION REPORTING. (a) In
26 this section, "distributed generation" is an electrical generating
27 facility that:

- 1 (1) may be located at a customer's point of delivery;
2 (2) is connected at a voltage less than 60 kilovolts;
3 and
4 (3) may be connected in parallel operation to the
5 utility system.

6 (b) An independent organization certified under Section
7 39.151 shall require an owner or operator of distributed generation
8 to register with the organization and interconnecting transmission
9 and distribution utility information necessary for the
10 interconnection of the distributed generator.

11 (c) This section does not apply to distributed generation
12 -serving a residential property.

13 SECTION 20. Section 105.023, Utilities Code, is amended by
14 adding Subsections (b-1), (e), and (f) to read as follows:

15 (b-1) Notwithstanding Subsection (b), a civil penalty under
16 this section shall be in an amount of not less than \$1,000 and not
17 more than \$1,000,000 for each violation of Section 104.258(c).

18 (e) The railroad commission by rule shall establish a
19 classification system to be used by a court under this subchapter
20 for violations of Section 104.258(c) that includes a range of
21 penalties that may be recovered for each class of violation based
22 on:

- 23 (1) the seriousness of the violation, including:
24 (A) the nature, circumstances, extent, and
25 gravity of a prohibited act; and
26 (B) the hazard or potential hazard created to the
27 health, safety, or economic welfare of the public;

- 1 (2) the history of previous violations;
- 2 (3) the amount necessary to deter future violations;
- 3 (4) efforts to correct the violation; and
- 4 (5) any other matter that justice may require.

5 (f) The classification system established under Subsection
6 (e) shall provide that a penalty in an amount that exceeds \$5,000
7 may be recovered only if the violation is included in the highest
8 class of violations in the classification system.

9 SECTION 21. Section 121.2015, Utilities Code, is amended by
10 amending Subsection (a) and adding Subsections (a-1), (c-1), (c-2),
11 (d), (e), and (f) to read as follows:

12 (a) The railroad commission shall adopt rules regarding:

13 (1) public education and awareness relating to gas
14 pipeline facilities; ~~and~~

15 (2) community liaison for responding to an emergency
16 relating to a gas pipeline facility; and

17 (3) measures a gas pipeline facility operator must
18 implement to prepare the gas pipeline facility to maintain service
19 quality and reliability during extreme weather conditions if the
20 gas pipeline facility:

21 (A) directly serves a natural gas electric
22 generation facility operating solely to provide power to the
23 electric grid for the ERCOT power region or for the ERCOT power
24 region and an adjacent power region; and

25 (B) is included on the electricity supply chain
26 map created under Section 38.203.

27 (a-1) In adopting rules under Subsection (a)(3), the

1 railroad commission shall take into consideration weather
2 predictions produced by the office of the state climatologist.

3 (c-1) The railroad commission shall:

4 (1) inspect gas pipeline facilities described by
5 Subsection (a)(3) for compliance with rules adopted under
6 Subsection (a)(3);

7 (2) provide the owner of a facility described by
8 Subsection (a)(3) with a reasonable period of time in which to
9 remedy any violation the railroad commission discovers in an
10 inspection; and

11 (3) report to the attorney general any violation that
12 is not remedied in a reasonable period of time.

13 (c-2) The railroad commission shall prioritize inspections
14 conducted under Subsection (c-1)(1) based on risk level, as
15 determined by the railroad commission.

16 (d) The railroad commission by rule shall require a gas
17 pipeline facility operator described by Subsection (a)(3) that
18 experiences repeated or major weather-related forced interruptions
19 of service to:

20 (1) contract with a person who is not an employee of
21 the operator to assess the operator's weatherization plans,
22 procedures, and operations; and

23 (2) submit the assessment to the commission.

24 (e) The railroad commission may require an operator of a gas
25 pipeline facility described by Subsection (a)(3) to implement
26 appropriate recommendations included in an assessment submitted to
27 the commission under Subsection (d).

1 (f) The railroad commission shall assess an administrative
2 penalty against a person who violates a rule adopted under
3 Subsection (a)(3) if the violation is not remedied in a reasonable
4 period of time in the manner provided by this subchapter.

5 SECTION 22. Section 121.206, Utilities Code, is amended by
6 adding Subsections (b-1) and (e) to read as follows:

7 (b-1) Notwithstanding Subsection (b), the penalty for each
8 violation may not exceed \$1,000,000 for a violation of a rule
9 adopted under Section 121.2015(a)(3). Each day a violation
10 continues may be considered a separate violation for the purpose of
11 penalty assessment.

12 (e) The guidelines must provide that a penalty in an amount
13 that exceeds \$5,000 for a violation of a rule adopted under Section
14 121.2015(a)(3) may be assessed only if circumstances justify the
15 enhancement of the penalty.

16 SECTION 23. The heading to Section 186.007, Utilities Code,
17 is amended to read as follows:

18 Sec. 186.007. PUBLIC UTILITY COMMISSION WEATHER EMERGENCY
19 PREPAREDNESS REPORTS [~~REPORT~~].

20 SECTION 24. Sections 186.007(a-1), (b), (d), (e), and (f),
21 Utilities Code, are amended to read as follows:

22 (a-1) The commission shall analyze emergency operations
23 plans developed by electric utilities as defined by Section 31.002,
24 power generation companies as defined by Section 31.002,
25 municipally owned utilities, and electric cooperatives that
26 operate generation facilities in this state and retail electric
27 providers as defined by Section 31.002 and prepare a weather

1 emergency preparedness report on power [~~generation~~] weatherization
2 preparedness. In preparing the report, the commission shall:

3 (1) review [~~the~~] emergency operations plans
4 [~~currently~~] on file with the commission;

5 (2) analyze and determine the ability of the electric
6 grid to withstand extreme weather events in the upcoming year;

7 (3) consider the anticipated weather patterns for the
8 upcoming year as forecasted by the National Weather Service or any
9 similar state or national agency; and

10 (4) make recommendations on improving emergency
11 operations plans and procedures in order to ensure the continuity
12 of electric service.

13 (b) The commission shall [~~may~~] require an [~~electric~~
14 ~~generation~~] entity subject to this section to file an updated
15 emergency operations plan if it finds that an emergency operations
16 plan on file does not contain adequate information to determine
17 whether the [~~electric generation~~] entity can provide adequate
18 electric [~~generation~~] services.

19 (d) The commission shall submit the report described by
20 Subsection (a-1) to the lieutenant governor, the speaker of the
21 house of representatives, and the members of the legislature not
22 later than September 30 of each even-numbered year [~~7-2012~~].

23 (e) The commission may submit additional [~~subsequent~~]
24 weather emergency preparedness reports if the commission finds that
25 significant changes to weatherization techniques have occurred or
26 are necessary to protect consumers or vital services, or if there
27 have been changes to statutes or rules relating to weatherization

1 requirements. A report under this subsection must be submitted not
2 later than:

3 (1) March 1 for a summer weather emergency
4 preparedness report; and

5 (2) September 1 for a winter weather emergency
6 preparedness report.

7 (f) The emergency operations plans submitted for a ~~the~~
8 report described by Subsection (a-1) and any additional
9 ~~subsequent~~ plans submitted under Subsection (e) are public
10 information except for the portions of the plan considered
11 confidential under Chapter 552, Government Code, or other state or
12 federal law. If portions of a plan are designated as confidential,
13 the plan shall be provided to the commission in a redacted form for
14 public inspection with the confidential portions removed. An
15 ~~electric generation~~ entity within the ERCOT power region shall
16 provide the entity's plan to ERCOT in its entirety.

17 SECTION 25. Subchapter A, Chapter 186, Utilities Code, is
18 amended by adding Section 186.008 to read as follows:

19 Sec. 186.008. RAILROAD COMMISSION WEATHER EMERGENCY
20 PREPAREDNESS REPORTS. (a) In this section, "commission" means the
21 Railroad Commission of Texas.

22 (b) The commission shall analyze emergency operations plans
23 developed by operators of facilities that produce, treat, process,
24 pressurize, store, or transport natural gas and are included on the
25 electricity supply chain map created under Section 38.203 and
26 prepare a weather emergency preparedness report on weatherization
27 preparedness of those facilities. In preparing the report, the

1 commission shall:

2 (1) review any emergency operations plans on file with
3 the commission;

4 (2) analyze and determine the ability of the
5 electricity supply chain, as mapped under Section 38.203, to
6 withstand extreme weather events in the upcoming year;

7 (3) consider the anticipated weather patterns for the
8 upcoming year as forecasted by the National Weather Service or any
9 similar state or national agency; and

10 (4) make recommendations on improving emergency
11 operations plans and procedures in order to ensure the continuity
12 of natural gas service for the electricity supply chain, as mapped
13 under Section 38.203.

14 (c) The commission shall require an entity subject to this
15 section to file an updated emergency operations plan if it finds
16 that an emergency operations plan on file does not contain adequate
17 information to determine whether the entity can provide adequate
18 natural gas services.

19 (d) The commission may adopt rules relating to the
20 implementation of the report described by Subsection (b).

21 (e) The commission shall submit the report described by
22 Subsection (b) to the lieutenant governor, the speaker of the house
23 of representatives, and the members of the legislature not later
24 than September 30 of each even-numbered year.

25 (f) The commission may submit additional weather emergency
26 preparedness reports if the commission finds that significant
27 changes to weatherization techniques have occurred or are necessary

1 to protect consumers or vital services, or if there have been
2 changes to statutes or rules relating to weatherization
3 requirements. A report under this subsection must be submitted not
4 later than:

5 (1) March 1 for a summer weather emergency
6 preparedness report; and

7 (2) September 1 for a winter weather emergency
8 preparedness report.

9 (g) The emergency operations plans submitted for a report
10 described by Subsection (b) and any additional plans submitted
11 under Subsection (f) are public information except for the portions
12 of the plan considered confidential under Chapter 552, Government
13 Code, or other state or federal law. If portions of a plan are
14 designated as confidential, the plan shall be provided to the
15 commission in a redacted form for public inspection with the
16 confidential portions removed.

17 SECTION 26. Subchapter E, Chapter 13, Water Code, is
18 amended by adding Section 13.1394 to read as follows:

19 Sec. 13.1394. STANDARDS OF EMERGENCY OPERATIONS. (a) In
20 this section:

21 (1) "Affected utility" means a retail public utility,
22 exempt utility, or provider or conveyor of potable or raw water
23 service that:

24 (A) furnishes water service to more than one
25 customer; and

26 (B) is not an affected utility under Section
27 13.1395.

1 (2) "Emergency operations" means the operation of a
2 water system during an extended power outage that impacts the
3 operating affected utility.

4 (3) "Extended power outage" means a power outage
5 lasting for more than 24 hours.

6 (b) An affected utility shall:

7 (1) ensure the emergency operation of its water system
8 during an extended power outage at a minimum water pressure of 20
9 pounds per square inch, or at a water pressure level approved by the
10 commission, as soon as safe and practicable following the
11 occurrence of a natural disaster; and

12 (2) adopt and submit to the commission for its
13 approval:

14 (A) an emergency preparedness plan that
15 demonstrates the utility's ability to provide the emergency
16 operations described by Subdivision (1); and

17 (B) a timeline for implementing the plan
18 described by Paragraph (A).

19 (c) The commission shall review an emergency preparedness
20 plan submitted under Subsection (b). If the commission determines
21 that the plan is not acceptable, the commission shall recommend
22 changes to the plan. The commission must make its recommendations
23 on or before the 90th day after the commission receives the plan.
24 In accordance with commission rules, an emergency preparedness plan
25 for a provider of potable water shall provide for one or more of the
26 following:

27 (1) the maintenance of automatically starting

- 1 auxiliary generators;
2 (2) the sharing of auxiliary generator capacity with
3 one or more affected utilities, including through participation in
4 a statewide mutual aid program;
5 (3) the negotiation of leasing and contracting
6 agreements, including emergency mutual aid agreements with other
7 retail public utilities, exempt utilities, or providers or
8 conveyors of potable or raw water service, if the agreements
9 provide for coordination with the division of emergency management
10 in the governor's office;
11 (4) the use of portable generators capable of serving
12 multiple facilities equipped with quick-connect systems;
13 (5) the use of on-site electrical generation or
14 distributed generation facilities;
15 (6) hardening the electric transmission and
16 distribution system serving the water system;
17 (7) for existing facilities, the maintenance of direct
18 engine or right angle drives;
19 (8) designation of the water system as a critical load
20 facility or redundant, isolated, or dedicated electrical feeds;
21 (9) water storage capabilities;
22 (10) water supplies delivered from outside the service
23 area of the affected utility;
24 (11) the ability to provide water through artesian
25 flows;
26 (12) redundant interconnectivity between pressure
27 zones;

1 (13) emergency water demand rules to maintain
2 emergency operations; or

3 (14) any other alternative determined by the
4 commission to be acceptable.

5 (d) Each affected utility that supplies, provides, or
6 conveys raw surface water shall include in its emergency
7 preparedness plan under Subsection (b) provisions for
8 demonstrating the capability of each raw water intake pump station,
9 pump station, and pressure facility to provide raw water service to
10 its wholesale customers during emergencies. This subsection does
11 not apply to raw water services that are unnecessary or otherwise
12 subject to interruption or curtailment during emergencies under a
13 contract.

14 (e) The commission shall adopt rules to implement this
15 section as an alternative to any rule requiring elevated storage.

16 (f) The commission shall provide an affected utility with
17 access to the commission's financial, managerial, and technical
18 contractors to assist the utility in complying with the applicable
19 emergency preparedness plan submission deadline.

20 (g) The commission by rule shall create an emergency
21 preparedness plan template for use by an affected utility when
22 submitting a plan under this section. The emergency preparedness
23 plan template shall contain:

24 (1) a list and explanation of the preparations an
25 affected utility may make under Subsection (c) for the commission
26 to approve the utility's emergency preparedness plan; and

27 (2) a list of all commission rules and standards

1 pertaining to emergency preparedness plans.

2 (h) An emergency generator used as part of an approved
3 emergency preparedness plan under Subsection (c) must be operated
4 and maintained according to the manufacturer's specifications.

5 (i) The commission shall inspect each utility to ensure that
6 the utility complies with the approved plan.

7 (j) The commission shall consider whether compliance with
8 this section will cause a significant financial burden on customers
9 of an affected utility when making recommended changes under
10 Subsection (c).

11 (k) An affected utility may adopt and enforce limitations on
12 water use while the utility is providing emergency operations.

13 (l) Except as specifically required by this section,
14 information provided by an affected utility under this section is
15 confidential and is not subject to disclosure under Chapter 552,
16 Government Code.

17 (m) The commission shall coordinate with the utility
18 commission in the administration of this section.

19 SECTION 27. The heading to Section 13.1395, Water Code, is
20 amended to read as follows:

21 Sec. 13.1395. STANDARDS OF EMERGENCY OPERATIONS IN CERTAIN
22 COUNTIES.

23 SECTION 28. Section 13.1395(d), Water Code, is amended to
24 read as follows:

25 (d) This subsection does not apply to raw water services
26 that are unnecessary or otherwise subject to interruption or
27 curtailment during emergencies under a contract. Each affected

1 utility that supplies, provides, or conveys surface water shall
2 include in its emergency preparedness plan under Subsection (b)
3 provisions:

4 (1) for the actual installation and maintenance of
5 automatically starting auxiliary generators or distributive
6 generation facilities for each raw water intake pump station, water
7 treatment plant, pump station, and pressure facility necessary to
8 provide water to its wholesale customers during emergencies; or

9 (2) that demonstrate the capability of each raw water
10 intake pump station, water treatment plant, pump station, and
11 pressure facility to provide water to its wholesale customers
12 during emergencies through alternative means acceptable to the
13 commission.

14 SECTION 29. Section 13.1396, Water Code, is amended by
15 adding Subsection (a-1) to read as follows:

16 (a-1) This section applies only to an affected utility, as
17 defined by Section 13.1394 or 13.1395.

18 SECTION 30. Subchapter E, Chapter 13, Water Code, is
19 amended by adding Section 13.151 to read as follows:

20 Sec. 13.151. BILLING FOR SERVICES PROVIDED DURING EXTREME
21 WEATHER EMERGENCY. (a) In this section, "extreme weather
22 emergency" means a period when the previous day's highest
23 temperature did not exceed 28 degrees Fahrenheit and the
24 temperature is predicted to remain at or below that level for the
25 next 24 hours according to the nearest National Weather Service
26 reports.

27 (b) A retail public utility that is required to possess a

1 certificate of public convenience and necessity or a district or
2 affected county that furnishes retail water or sewer utility
3 service shall not impose late fees or disconnect service for
4 nonpayment of bills that are due during an extreme weather
5 emergency until after the emergency is over and shall work with
6 customers that request to establish a payment schedule for unpaid
7 bills that are due during the extreme weather emergency.

8 SECTION 31. Section 13.414, Water Code, is amended by
9 adding Subsections (a-1), (d), and (e) to read as follows:

10 (a-1) Notwithstanding Subsection (a), a retail public
11 utility or affiliated interest that violates Section 13.151 is
12 subject to a civil penalty of not less than \$100 nor more than
13 \$50,000 for each violation.

14 (d) The utility commission by rule shall establish a
15 classification system to be used by a court under this section for
16 violations of Section 13.151 that includes a range of penalties
17 that may be recovered for each class of violation based on:

18 (1) the seriousness of the violation, including:

19 (A) the nature, circumstances, extent, and
20 gravity of a prohibited act; and

21 (B) the hazard or potential hazard created to the
22 health, safety, or economic welfare of the public;

23 (2) the history of previous violations;

24 (3) the amount necessary to deter future violations;

25 (4) efforts to correct the violation; and

26 (5) any other matter that justice may require.

27 (e) The classification system established under Subsection

1 (d) shall provide that a penalty in an amount that exceeds \$5,000
2 may be recovered only if the violation is included in the highest
3 class of violations in the classification system.

4 SECTION 32. Section 13.1396(a)(1), Water Code, is repealed.

5 SECTION 33. (a) The State Energy Plan Advisory Committee is
6 composed of 12 members. The governor, lieutenant governor, and
7 speaker of the house of representatives each shall appoint four
8 members to the advisory committee.

9 (b) Not later than September 1, 2022, the State Energy Plan
10 Advisory Committee shall prepare a comprehensive state energy plan.
11 The plan must:

12 (1) evaluate barriers in the electricity and natural
13 gas markets that prevent sound economic decisions;

14 (2) evaluate methods to improve the reliability,
15 stability, and affordability of electric service in this state;

16 (3) provide recommendations for removing the barriers
17 described by Subdivision (1) of this subsection and using the
18 methods described by Subdivision (2) of this subsection; and

19 (4) evaluate the electricity market structure and
20 pricing mechanisms used in this state, including the ancillary
21 services market and emergency response services.

22 (c) The state energy plan prepared under this section must
23 be submitted to the legislature not later than September 1, 2022.

24 SECTION 34. The Public Utility Commission of Texas and the
25 independent organization certified under Section 39.151, Utilities
26 Code, shall annually review statutes, rules, protocols, and bylaws
27 that apply to conflicts of interest for commissioners and for

1 members of the governing body of the independent organization and
2 submit to the legislature a report on the effects the statutes,
3 rules, protocols, and bylaws have on the ability of the commission
4 and the independent organization to fulfill their duties.

5 SECTION 35. The Public Utility Commission of Texas shall
6 complete the first review required by Section 39.160(f), Utilities
7 Code, as added by this Act, not later than December 31, 2021.

8 SECTION 36. (a) Not later than November 1, 2021, each
9 affected utility, as defined by Section 13.1394, Water Code, as
10 added by this Act, shall complete the submissions required by
11 Section 13.1396(c), Water Code.

12 (b) Not later than March 1, 2022, each affected utility
13 shall submit to the Texas Commission on Environmental Quality the
14 emergency preparedness plan required by Section 13.1394, Water
15 Code, as added by this Act.

16 (c) Not later than July 1, 2022, or upon final approval by
17 the commission, each affected utility shall implement the emergency
18 preparedness plan approved by the Texas Commission on Environmental
19 Quality under Section 13.1394, Water Code, as added by this Act.

20 (d) An affected utility, as defined by Section 13.1394,
21 Water Code, as added by this Act, may file with the Texas Commission
22 on Environmental Quality a written request for an extension, not to
23 exceed 90 days, of the date by which the affected utility is
24 required under Subsection (b) of this section to submit the
25 affected utility's emergency preparedness plan or of the date by
26 which the affected utility is required under Subsection (c) of this
27 section to implement the affected utility's emergency preparedness

1 plan. The Texas Commission on Environmental Quality shall approve
2 the requested extension for good cause shown.

3 SECTION 37. The Texas Electricity Supply Chain Security and
4 Mapping Committee shall produce the map required under Section
5 38.203, Utilities Code, as added by this Act, not later than
6 September 1, 2022.

7 SECTION 38. Not later than six months after the date the
8 Texas Electricity Supply Chain Security and Mapping Committee
9 produces the map required under Section 38.203, Utilities Code, as
10 added by this Act, the Railroad Commission of Texas shall adopt
11 rules necessary to implement:

12 (1) Section 86.044, Natural Resources Code, as added
13 by this Act; and

14 (2) Section 121.2015, Utilities Code, as amended by
15 this Act.

16 SECTION 39. Not later than six months after the effective
17 date of this Act, the Public Utility Commission of Texas shall adopt
18 rules necessary to implement:

19 (1) Section 35.0021, Utilities Code, as added by this
20 Act; and

21 (2) Section 38.075, Utilities Code, as added by this
22 Act.

23 SECTION 40. It is the intent of the legislature that this
24 Act not restrict or amend the sole jurisdiction of the Railroad
25 Commission of Texas to establish rules or requirements relating to
26 curtailment orders for facilities and entities in the commission's
27 jurisdiction under the Natural Resources Code or the Utilities

1 Code.

2 SECTION 41. This Act takes effect immediately if it
3 receives a vote of two-thirds of all the members elected to each
4 house, as provided by Section 39, Article III, Texas Constitution.
5 If this Act does not receive the vote necessary for immediate
6 effect, this Act takes effect September 1, 2021.

President of the Senate

Speaker of the House

I hereby certify that S.B. No. 3 passed the Senate on March 29, 2021, by the following vote: Yeas 31, Nays 0; May 27, 2021, Senate refused to concur in House amendments and requested appointment of Conference Committee; May 28, 2021, House granted request of the Senate; May 30, 2021, Senate adopted Conference Committee Report by the following vote: Yeas 31, Nays 0.

Secretary of the Senate

I hereby certify that S.B. No. 3 passed the House, with amendments, on May 24, 2021, by the following vote: Yeas 142, Nays 1, one present not voting; May 28, 2021, House granted request of the Senate for appointment of Conference Committee; May 30, 2021, House adopted Conference Committee Report by the following vote: Yeas 142, Nays 0, two present not voting.

Chief Clerk of the House

Approved:

Date

Governor

The Texas Commission on Environmental Quality (TCEQ, agency, or commission) proposes amendments to 30 Texas Administrative Code §§290.38, 290.39, 290.41, 290.42, 290.43, 290.44, 290.45, 290.46, and 290.47.

Background and Summary of the Factual Basis for the Proposed Rules

In 2021, the 87th Legislature passed Senate Bill (SB) 3, which relates to preparing for, preventing, and responding to weather emergencies and power outages. SB 3 requires that certain water service providers ensure emergency operations during an extended power outage. SB 3 amended Texas Water Code (TWC), Chapter 13, by adding §13.1394, Standards of Emergency Operations, and amending §13.1395, Standards of Emergency Operations in Certain Counties. New TWC §13.1394, requires that affected utilities create an emergency preparedness plan that shows how an affected utility will provide emergency operations and submit that plan to the commission for review and approval. TWC §13.1394, stipulates that a water service provider must maintain 20 pounds per square inch (psi) of pressure, or a water pressure approved by the executive director, during power outages that last longer than 24 hours as soon as it is safe and practicable following a natural disaster. The statute also specifies that the commission has 90 days to review the plan, once the plan is submitted, and either approve it or recommend changes. Once the commission approves the plan the water service provider must operate in accordance with the plan and maintain any generators in accordance with manufacturer's specifications. TWC §13.1394 also specifies that the commission will conduct inspections to ensure compliance and that waivers to these requirements are available under certain circumstances. SB 3 stated in Section 36(b) that each affected utility was to submit to the commission an emergency preparedness plan required by TWC §13.1394, no later than March 1, 2022, and stated in 36(c) that the emergency preparedness plan was to be implemented no later than July 1, 2022, unless the affected utility had obtained an adjusted,

commission approved timeline.

Amended TWC §13.1395, excludes from the requirement of creating an emergency preparedness plan those raw water services that are unnecessary or otherwise subject to interruption or curtailment during emergencies pursuant to contract.

In response to the widespread power and equipment failures and drinking water outages and shortages during Winter Storm Uri in 2021, the commission organized an after-action review to evaluate the factors that impacted public water systems across the state. This review resulted in findings and recommendations to enhance and integrate additional public water system critical infrastructure resiliency measures. These findings and recommendations were presented to the commission during a work session, held on May 19, 2022.

Section by Section Discussion

§290.38, Definitions

The commission proposes to add a definition to §290.38 for "accredited laboratory" to clarify the requirements for laboratories used to analyze drinking water samples for determination of compliance with maximum contaminant levels, actions levels, and microbial contaminants. This proposed change corresponds to the definition of "certified laboratory" in §290.38(12), which indicates that laboratories must be accredited, rather than certified, after June 30, 2008.

Laboratory accreditation is issued by the commission under Texas Water Code, Chapter 5, Subchapter R, and its associated commission rules.

The commission proposes to add a definition to §290.38 for "adverse weather conditions". This proposed change is a recommendation which resulted from the after-action review findings.

The commission proposes to amend the definition of "affected utility" by adding language to encompass the definitions of affected utility in TWC §13.1394 and §13.1395. The commission proposes these amendments to reflect the requirements of TWC §13.1394(a)(1) and §13.1395(a)(1).

The commission proposes to amend the definition of "approved laboratory" to clarify that laboratory approval is required for determining compliance with treatment technique requirements in addition to maximum or minimum allowable constituent levels currently stated in rule.

The commission proposes to amend the definition of "emergency operations" to clarify the minimum required water pressure that affected utilities must provide during emergency

operations. This clarification is consistent with the requirements under TWC §13.1394, which is 20 pounds per square inch, or a pressure approved by the executive director, and TWC §13.1395, which is 35 pounds per square inch.

The commission also proposes to amend sequential numbering for this section as necessary.

§290.39, General Provisions

The commission's proposed amendments for this section will clarify existing rules and also add provisions relating to TWC §13.1394 and §13.1395 to implement SB 3.

The commission proposes to amend §290.39(a) to include a statement that authority for this subchapter includes TWC §13.1394.

The commission proposes to amend §290.39(c)(4) by adding language that references TWC §13.1394 and §13.1395, replacing §§290.39(c)(4)(A) through 290.39(c)(4)(E) with a reference to §290.39(o) instead. This will reduce repetitive language already contained in §290.39(o).

The commission proposes to amend §290.39(n) to add a subsection tagline. This amendment will meet Texas Register rule standards and guidelines and will make the subsection consistent with other subsections in §290.39.

The commission proposes to amend the tagline of §290.39(o) to clarify that this subsection applies to affected utilities as defined in TWC §13.1394 and §13.1395.

The commission proposes to amend §290.39(o)(1) to remove a date and reference to the use of

another emergency preparedness plan that meets the requirements of the rule. The templates, included in Appendix G, may be used for the submittal of emergency preparedness plans for affected utilities as defined in TWC §13.1394 and §13.1395.

The commission proposes to amend §290.39(o)(2), and add §§290.39(o)(2)(A) through 290.39(o)(2)(C), to include language from TWC §13.1394(d) and §13.1395(d), requiring affected utilities who provide or convey surface water to wholesale customers to demonstrate in their emergency preparedness plan the ability to do so during emergencies, unless they provide raw water service that is unnecessary or subject to interruption or curtailment during emergencies under a contract.

The commission proposes to amend §290.39(o)(3) by adding a reference to the requirement that affected utilities select one of the options listed in §§290.45(h)(1)(A) through 290.45(h)(1)(N) when operating as an affected utility as defined in TWC §13.1394, or options listed in §§290.45(i)(1)(A) through 290.45(i)(1)(H) when operating as an affected utility as defined in TWC §13.1395. The amended reference clarifies which options are applicable under each water code section.

The commission proposes to amend §290.39(o)(4) to remove outdated language and to clarify the requirement for implementation of an approved emergency preparedness plan applies to all affected utilities defined in TWC §13.1394 and §13.1395.

§290.41, Water Sources

The commission proposes to add §290.41(f) requiring that all critical equipment associated with a raw water source be weatherized against adverse weather conditions. Weatherization

techniques may be chosen by the affected utility to protect critical equipment against the types of adverse weather conditions experienced in its region of the state. The commission proposes this addition in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

§290.42, Water Treatment

The commission proposes amendments and additions to this section in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to amend §290.42(l) to include additional minimum content requirements for a plant operations manual so that operators will have the necessary information for the continuation of operations.

The commission proposes to add §290.42(l)(1) to require that a plant operations manual include a description of planned protective measures for critical plant equipment during adverse weather conditions, replacement part information, information on manufacturer's user manuals, vendor/technician information, and information on alternative sources of equipment outside the area.

The commission proposes to add §290.42(l)(2) to require that a plant operations manual identify all chemicals used for the treatment of drinking water, the entity's chemical vendor information, and information on alternative sources of chemicals outside the area.

The commission proposes to add §290.42(l)(3), and §§290.42(l)(3)(A) through 290.42(l)(3)(F) to require that a plant operations manual include the following routine activities: protocol, schedules, and documentation related to chemical pump feed rate verification, chemical dose adjustments, process control sampling, calibration and accuracy verifications; operations of critical plant equipment, to include plant start-up and shut-down under normal and emergency conditions, while in manual and automated settings, as applicable, and the inclusion of manufacturer's specifications for maintaining and troubleshooting of critical plant equipment.

The commission proposes to add §290.42(l)(4) to require that a plant operations manual include information outlining a continuity of operations plan in the event that critical equipment fails, or key personnel are not available. This information could include arrangements for emergency plant coverage or mutual aid agreements with other utilities for equipment or personnel.

The commission proposes to add §290.42(l)(5) to require that a plant operations manual be reviewed and, if necessary, updated when a significant change occurs, as outlined in §290.39(j), after emergency events that impact plant operation, but at least every three years. This requirement is intended to ensure that a plant operations manual is evaluated and kept up-to-date.

The commission proposes to add §290.42(o) to require that all critical components associated with drinking water treatment facilities be weatherized against adverse weather conditions. Weatherization techniques may be chosen by the affected utility to protect critical equipment against the types of adverse weather conditions experienced in their region of the state.

§290.43, Water Storage

The commission proposes to amend §290.43(b)(1) to add new language that includes a setback distance of 150 feet between an elevated or ground storage tank and an on-site sewage facility (OSSF) spray field. This addition is consistent with the setback distance between a public water supply well and an OSSF spray field, which is a standard determined to provide adequate protection of public health. This addition streamlines the approval process by eliminating the requirement for a system to submit an exception if they cannot meet the previous setback distance of 500 feet between a storage tank and OSSF spray field, while still protecting public health.

The commission proposes to amend §290.43(d)(2) to clarify that only one pressure gauge is required when more than one pressure tank is connected by a common manifold. This amendment streamlines the approval process by eliminating the requirement for a system to submit an exception if they plan to use only one pressure gauge.

The commission proposes to add §290.43(g) to require that all critical equipment associated with water storage facilities be weatherized against adverse weather conditions. Weatherization techniques may be chosen by the affected utility to protect critical equipment against the types of adverse weather conditions experienced in their region of the state. The commission proposes this addition in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

§290.44, Water Distribution

The commission proposes to amend §290.44(d) to correct a compound word error and to specify that the distribution system of public water systems that are affected utilities, defined in TWC §13.1394 or §13.1395, must be designed to implement the requirements of §290.45(h) and §290.45(i), respectively.

The commission proposes to amend §290.44(i)(2)(J) to clarify that an accredited laboratory must analyze samples used to determine compliance for microbial contaminants. This proposed change is intended to make this regulation consistent with §290.119 and the definition of accredited laboratory.

The commission proposes to add §290.44(k) to require that all critical equipment associated with water transmission facilities be weatherized against adverse weather conditions.

Weatherization techniques may be chosen by the affected utility to protect critical equipment against the types of adverse weather conditions experienced in their region of the state. The commission proposes this addition in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

§290.45, Minimum Water System Capacity Requirements

The commission proposes to amend §§290.45(a)(2), 290.45(g)(1)(F) and 290.45(g)(6)(A)(i) to correct a compound word error.

The commission proposes to amend §290.45(a)(7) to include the minimum emergency pressure requirement of 20 psi or a pressure approved by the executive director for affected utilities under TWC §13.1394.

The commission proposes to add §290.45(a)(8) to include requirements for an affected utility to review their emergency preparedness plan at least once every three years and to submit a new or revised emergency preparedness plan to the executive director for approval within 90 days after certain conditions occur. Subparagraphs (A)-(D) describe the conditions which require a new or revised emergency preparedness plan to the executive director. These proposed requirements are intended to provide resiliency and continuity of operations to affected utilities and to eliminate the unnecessary burden of submitting an entire emergency preparedness plan for changes to personnel and emergency contacts.

The commission proposes to amend §290.45(b)(1)(D)(v) and §290.45(b)(2)(H) to clarify the rules by deleting the generator maintenance requirement portion of the rule, clarifying that minimum pressure requirements must be met in the event of loss of normal power and adding language which states emergency power must be maintained as required by proposed §290.46(m)(8).

The commission proposes to amend §290.45(b)(3) to clarify that affected utilities, defined in TWC §13.1394 or §13.1395, must have an emergency preparedness plan approved by the executive director and meet the requirements for emergency operations contained in §290.45(h) and §290.45(i), respectively.

The commission proposes to amend §290.45(c)(3) to clarify that affected utilities, defined in TWC §13.1394 or §13.1395, must have an emergency preparedness plan approved by the executive director and must meet the requirements for emergency operations contained in §290.45(h) or §290.45(i), respectively.

The commission proposes to amend §290.45(d)(4) to clarify that affected utilities, defined in TWC §13.1394 or §13.1395, must have an emergency preparedness plan approved by the executive director and meet the requirements for emergency operations contained in §290.45(h) and §290.45(i), respectively.

The commission proposes to amend §290.45(e)(1) to clarify that if a contract prohibits a water purchaser from securing water from sources other than the contracted wholesaler during emergency operations, the wholesaler is responsible for meeting applicable capacity requirements.

The commission proposes to amend §290.45(e)(3) to clarify that if emergency power is required it must be sufficient to meet the minimum pressure requirements, and to add that all wholesale contracts executed or amended on or after January 1, 2025, must specify if the wholesaler will supply water, pressure, or both water and pressure during emergency operations. This addition is meant for the wholesale entity to clarify whether it intends to provide both water and pressure to the purchasing entity or if the wholesale entity only intends to provide water under emergency operations. This addition is not intended to conflict with a wholesaler's "Force Majeure" clause but is required to ensure compliance with TWC §13.1394 and §13.1395.

The commission proposes to amend §290.45(e)(4) to clarify that affected utilities, defined in TWC §13.1394 or §13.1395, must have an emergency preparedness plan approved by the executive director and meet the requirements for emergency operations contained in §290.45(h) or §290.45(i), respectively.

The commission proposes to amend §290.45(f)(6) to clarify that this paragraph references

capacity requirements, consistent with other portions of §290.45, and to add that all wholesale contracts executed or amended on or after January 1, 2025, must specify if the wholesaler intends to supply water, pressure, or both water and pressure during emergency operations. This requirement is meant for the wholesale entity to clarify whether it intends to provide both water and pressure to the purchasing entity or if the wholesale entity only intends to provide water under emergency operations. This requirement is not intended to conflict with a wholesaler's "Force Majeure" clause but is required for wholesalers to comply with TWC §13.1394 and §13.1395.

The commission proposes to amend §290.45(g)(5)(A)(i) to include a requirement to provide 20 psi or a pressure approved by the executive director in distribution, as stated in TWC §13.1394, when operating emergency power facilities.

The commission proposes to amend §290.45(g)(5)(A)(iv) to clarify that affected utilities, defined in TWC §13.1394 or §13.1395, must have an emergency preparedness plan approved by the executive director and meet the requirements for emergency operations contained in §290.45(h) and §290.45(i), respectively.

The commission proposes to amend §290.45(g)(5)(B) to clarify that affected utilities, defined in TWC §13.1394 or §13.1395, must have an emergency preparedness plan approved by the executive director and meet the requirements for emergency operations contained in §290.45(h) and §290.45(i), respectively.

The commission proposes to amend §290.45(g)(5)(B)(i) – (iii) to add language that emergency power facilities must be maintained as prescribed in §290.46(m)(8), that the emergency power

must be activated before the distribution pressure falls below 20 psi or a pressure approved by the executive director, or 35 psi, as required by TWC §13.1394 and §13.1395, respectively, and increase the fuel requirement to operate emergency power facilities during emergency operations for at least 48 hours. The emergency power maintenance and the increase in available fuel requirements are in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to delete §290.45(g)(5)(D) which requires public water systems to maintain and submit an emergency response plan that details the procedures to follow and individuals to contact during a power outage. This requirement is redundant because it is required by all affected utilities in their emergency preparedness plan and all non-affected utilities in their plant operations manual.

The commission proposes to add a new §290.45(h) to differentiate the requirements between affected utilities defined in TWC §13.1394 and §13.1395 and to specify emergency power requirements in addition to the existing power requirements for public water systems in §290.45. The commission proposes to amend sequential numbering for this section and correct any cross-references within this chapter, as necessary.

The commission proposes to add new §290.45(h)(1) and subsequent subparagraphs to include the fourteen emergency operation options, as listed in TWC §13.1394(c)(1) through 13.1394(c)(14) for emergency preparedness plans.

The commission proposes to add new §290.45(h)(2) to require that affected utilities that

provide raw surface water to wholesale customers must include in their emergency preparedness plan how they intend to provide raw water services during emergencies, except during instances when raw water services are unnecessary or otherwise subject to interruption or curtailment during emergencies under a contract, as stated in TWC §13.1394(d).

The commission proposes to add new §290.45(h)(3) which requires that auxiliary power facilities for affected utilities be inspected, maintained, tested, and operated in accordance with the manufacturer's specifications and as outlined in proposed §290.46(m)(8). The commission proposes this addition to implement TWC §13.1394(h). The commission also proposes this addition in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add new §290.45(h)(4) to allow an affected utility to adopt and enforce limitations on water use while providing emergency operations, as stated in TWC §13.1394(k).

The commission proposes to add new §290.45(h)(5) to add that during emergency operations, affected utilities with elevated storage must operate in accordance with their approved emergency preparedness plan, which may or may not include using elevated storage, as stated in TWC §13.1394(e).

The commission proposes to add new §290.45(h)(6) which requires an affected utility maintain on-site, or make readily available during emergency operations, an amount of fuel necessary to operate any emergency power equipment during emergency operations for at least 48 hours.

The commission proposes this in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add new 290.45(h)(7) to require that each affected utility implement an emergency preparedness plan upon approval by the executive director under TWC §13.1394.

The commission proposes to amend §290.45(i) to add language that specifies that this subsection applies to affected utilities as defined in TWC §13.1395 and to remove repetitive language. This amendment will differentiate the requirements for affected utilities under TWC §13.1394 and §13.1395.

The commission proposes to amend §290.45(i)(1)(G) to remove reference for this emergency preparedness option to apply to existing facilities only and to correct a compound word error.

The commission proposes to amend §290.45(i)(2) to clarify that the requirements under this paragraph do not apply to raw water services that are unnecessary or otherwise subject to interruption or curtailment during emergencies pursuant to a contract, as indicated in TWC §13.1395(d).

The commission proposes to amend §290.45(i)(3) to require maintenance of an emergency generator, which is part of an approved emergency preparedness plan, by adding language that requires the generator to be maintained in accordance with Level 2 maintenance requirements contained in the current National Fire Protection Association (NFPA) 110 Standard and

manufacturer's recommendations if the affected utility serves 1,000 connections or greater, or manufacturer's specifications and as outlined in §290.46(m)(8) if the affected utility serves fewer than 1,000 connections. The commission proposes this amendment in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to amend §290.45(i)(5) to abbreviate "Texas Water Code" to TWC.

The commission proposes to amend §290.45(i)(6) to clarify that an affected utility must provide enough fuel necessary to operate emergency power facilities during emergency operations for at least 48 hours. The commission proposes this amendment in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §290.45(i)(7) to require that each affected utility implement an emergency preparedness plan upon approval by the executive director under TWC §13.1395.

§290.46, Minimum Acceptable Operating Practices for Public Water Systems

The commission proposes to amend §290.46(f)(5) to clarify that public water systems that are affected utilities, as defined by TWC §13.1394 or §13.1395, must maintain records related to their emergency preparedness plan for as long as the plan is applicable.

The commission proposes to amend §290.46(f)(5)(B) to add that an affected utility must

maintain copies of operating, inspection, testing, and maintenance records for auxiliary power equipment and associated components required to be maintained or actions performed as prescribed in §290.46(m)(8). These record requirements support implementation of TWC §13.1394(i), because the statute requires that the commission periodically inspect affected utilities to ensure compliance with their approved emergency preparedness plan.

The commission proposes to amend §290.46(g) to clarify that an accredited laboratory must analyze samples used to determine compliance for microbial contaminants. This proposed change is for consistency with §290.119 and the definition of accredited laboratory.

The commission proposes to amend §290.46(i) to correct a spelling error.

The commission proposes to add §290.46(m)(8) to require that emergency generators be maintained and tested monthly under at least 30% load based on manufacturer's name plate kilowatt (kW) rating for at least 30 minutes, or as recommended by the manufacturer, to ensure functionality during emergency situations. The commission proposes this requirement in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §290.46(m)(8)(A) to require that emergency generators operated at water systems serving 1,000 connections or greater to be maintained in accordance with Level 2 maintenance requirements contained in the current NFPA 110 Standard and the manufacturer's recommendations. In addition, the water system must maintain an inventory of spare parts, lubricants, and coolants for critical generator components. The commission

proposes this requirement in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm

The commission proposes to add §290.46(m)(8)(B) to require that emergency generators operated at water systems with fewer than 1,000 connections to be maintained according to §§290.46(m)(8)(B)(i) through 290.46(m)(8)(B)(x) and with any additional requirements prescribed in the manufacturer's specifications or Level 2 maintenance requirements contained in NFPA 110 Standard. In addition, the public water system must maintain an inventory of spare parts, lubricants, and coolants for critical generator components. The commission proposes this requirement in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §290.46(m)(8)(B)(i) to require inspection and maintenance of the generator fuel system prior to monthly generator start-up. The commission proposes this requirement in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §§290.46(m)(8)(B)(i)(I) through 290.46(m)(8)(B)(i)(V) to require inspection of the fuel tank for fuel levels, contamination, and condensation in the portion of the tank occupied by air; inspection of fuel lines and fittings for breaks, degradation, and replacement; inspection of fuel filters and water separators for clogging, sediment buildup, and replacement; inspection of the fuel transfer pumps, float switches and valves, where provided

between holding tanks and the generator, to verify that they are operating properly; and inspection of fuel tank grounding rods, cathodic and generator lightning protection for damage that may render the protection ineffective. The commission proposes these requirements in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §290.46(m)(8)(B)(ii) to require inspection of the fuel pump to verify that it is working properly when the generator is operating under load. The commission proposes this requirement in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §290.46(m)(8)(B)(iii) to require inspection and maintenance of the generator lubrication system, prior to monthly generator start up. The commission proposes this requirement in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §290.46(m)(8)(B)(iii)(I) and §290.46(m)(8)(B)(iii)(II) to require inspection of oil lines and oil reservoirs for adequate oil levels, leaks, breaks, degradation, and oil replacement, as well as the greasing of all bearing components and grease fittings. The commission proposes these requirements in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter

storm.

The commission proposes to add §290.46(m)(8)(B)(iv) to require inspection and maintenance of the generator coolant system, prior to monthly generator start up. The commission proposes this requirement in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §§290.46(m)(8)(B)(iv)(I) through 290.46(m)(8)(B)(iv)(III) to require inspection of the block heater, coolant lines and coolant reservoirs for adequate coolant levels, leaks, breaks, and degradation; inspection of coolant filters for clogging, sediment buildup, and coolant filter replacement; and inspection of the radiator, fan system, belts, and air intake and filters for obstruction, cracks, breaks, and leaks. The commission proposes these requirements in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §290.46(m)(8)(B)(v) to require inspection of the exhaust manifold and muffler, and that fumes are directed away from enclosed areas when the generator is operating under load. The commission proposes this requirement in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §290.46(m)(8)(B)(vi) to require that a carbon monoxide

monitor equipped with automatic alarms and generator shutdowns must be present and operational inside enclosed structures where generators are located. The commission proposes this requirement as a safety measure for utility staff.

The commission proposes to add §290.46(m)(8)(B)(vii) to require inspection and maintenance of the generator's electrical system be conducted prior to monthly generator start up. The commission proposes this requirement in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §290.46(m)(8)(B)(vii)(I) and §290.46(m)(8)(B)(vii)(II) to require inspection of battery chargers, wiring and cables for damage, corrosion, and connection continuity, verification that batteries are mounted and secured, that all contacts are tightened onto battery terminals, and inspection of each battery unit for electrolyte levels, adequate charge retention and appropriate discharge voltage. The commission proposes these requirements in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §290.46(m)(8)(B)(viii) to require inspection of generator engine starters and alternators when the generator is operating under load to verify that they are operating properly. The commission proposes this requirement in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §290.46(m)(8)(B)(ix) to require a monthly inspection of the Programmable Logic Controllers (PLC) and Uninterrupted Power Supplies (UPC), where applicable, to ensure that they are water-tight, not subject to floods, are properly ventilated, and that backup power supplies have adequate charge. The commission proposes this requirement in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §290.46(m)(8)(B)(x) to require a monthly inspection of the generator's switch gears to ensure they are water-tight and in good, working condition. The commission proposes this requirement in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §290.46(m)(9) to require that all critical components necessary for the continued operations of the water system's facilities be weatherized against adverse weather conditions. Weatherization techniques may be chosen by the affected utility to protect critical equipment against the types of adverse weather conditions experienced in their region of the state. The commission proposes this requirement in response to the after-action review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to amend subsection §290.46(q) to clarify the subject matter of the

subsection, which is special precautions, protective measures, and boil water notices. Overall, the proposed amendments to the subsection clarify when to issue notices and defines language that must be used when a special precaution, protective measure, or boil water notice is issued or rescinded, as well as the timeframe and documentation required to the executive director. The proposed amendments also rearrange portions of the subsection for clarity. These proposed amendments do not result in requirements that are less stringent than federal drinking water requirements.

The commission proposes to amend §290.46(q)(1) to remove the tagline and to clarify that along with boil water notices, this paragraph applies to special precautions and protective measures. A subparagraph will be added to include the situations that require these types of notices. The delivery method to customers and to the executive director for the initial and rescind notices, along with requirements for multilingual postings, are proposed to be deleted from this paragraph and relocated into proposed §§290.46(q)(2) through 290.46(q)(4).

The commission proposes to add §290.46(q)(1)(A), to include §§290.46(q)(1)(A)(i) through 290.46(q)(1)(A)(iii), and new §290.46(q)(1)(A)(iv) and 290.46(q)(1)(A)(v), which describe the situations that require a boil water notice. Included in these situations are instances of low system water pressure, *E. coli* or MCL violations, turbidity exceedances, low distribution residuals, and waterborne disease outbreaks. These changes are proposed to clarify the instances that require a boil water notice, special precaution, or protective measure.

Additionally, the commission proposes to delete the summarized conditions for combined filter effluent because the precise requirements are located in the reference and to amend §290.46(q)(1)(A)(i) through §290.46(q)(1)(A)(iii) to remove the taglines.

The commission proposes to add §290.46(q)(1)(B) to clarify that situations requiring special precautions or protective measures (other than boil water notices) may be determined by the public water system or at the discretion of the executive director. Executive director discretion will be determined as described in §290.46(q)(5).

The commission proposes to add §290.46(q)(2) to clarify that all boil water notices, special precautions, and protective measures must be issued using one or more of the Tier 1 delivery methods specified in §290.122(a)(2) and by using language and format specified by the executive director.

The commission proposes to add §290.46(q)(3) to clarify when and how a boil water notice, special precaution, or protective measure should be delivered to the executive director.

The commission proposes to add §290.46(q)(4) to clarify that a boil water notice, special precaution, or protective measure must be multilingual where appropriate based on local demographics.

The commission proposes to amend §290.46(q)(5) to remove the tagline, and to amend §290.46(q)(5)(A)(ii) and §290.46(q)(5)(A)(iii) to move the description of waterborne disease outbreak and the failure to maintain adequate disinfectant residuals into the situations that require boil water notices, special precautions or protective measures, under §290.46(q)(1)(A).

The commission proposes to amend §290.46(q)(5)(B) to add that the executive director may require additional actions be performed in order to rescind a notice, depending on local conditions and the nature of the event that triggered the initial notice. The executive director

will provide such additional actions in writing.

The commission proposes to amend §290.46(q)(5)(C) to clarify that a public water system shall provide any required information to the executive director to document that the public water system has met the rescind requirements for special precautions, protective measures and boil water notices required at the discretion of the executive director.

The commission proposes to amend §290.46(q)(6) to remove the tagline, to add language regarding notifying customers when a boil water notice, special precaution or protective measure has been rescinded, to reorganize the paragraph into subparagraphs and clauses that include the actions that must be performed prior to rescinding a boil water notice, and to amend sequential numbering as necessary.

The commission proposes to amend §290.46(q)(6)(A)(ii) and move the reference to flushing affected areas of a distribution system to §290.46(q)(6)(A)(iii).

The commission proposes to add §290.46(q)(6)(A)(iv) to address situations in which the executive director may require, in writing, that additional actions be completed, and that the executive director receives and approves documentation of those actions prior to rescinding a boil water notice.

The commission proposes to amend §290.46(q)(6)(B) to include that the method of rescind notice delivery to customers be in a manner similar to the original notice.

The commission proposes to amend §290.46(q)(6)(C) to include that the public water system

must submit a Certificate of Delivery for the rescind notice to be consistent with §290.122(f).

The commission proposes to amend §290.46(r) to clarify that an affected utility, as defined in TWC §13.1394 or TWC §13.1395, must maintain a minimum of 20 psi or a pressure approved by the executive director, or 35 psi, respectively, throughout the distribution system as soon as safe and practicable during an extended power outage following the occurrence of a natural disaster. The commission proposes the latter amendments pursuant to TWC §13.1394.

The commission proposes to amend §290.46(r) and §290.46(x)(4) to correct a compound word error.

§290.47, Appendices

The commission proposes to amend §290.47(c) and remove boil water notice templates which will allow executive director's staff to make warranted modifications to these templates and add to this subsection a table containing a non-exhaustive list of critical equipment, components and facilities that must be protected from adverse weather conditions. The commission proposes this change to assist water operators with the identification of facilities and components that if lost or impacted by adverse weather would result in water system being unable to produce, treat, store, or distribute treated water to customers.

The commission proposes to amend §290.47(g) to add an emergency preparedness plan template, under §290.47(g)(1), for use by those affected utilities defined in TWC §13.1394, and to amend the template, under §290.47(g)(2), for use by those affected utilities defined in TWC §13.1395. The commission proposes these changes to comply with TWC §13.1394 and §13.1395 requirements regarding the creation of templates by rule.

Fiscal Note: Costs to State and Local Government

Kyle Girtten, Analyst in the Budget and Planning Division, has determined that for the first five-year period the proposed rules are in effect, fiscal implications are anticipated for the agency or for other units of state or local government as a result of administration or enforcement of the proposed rules.

The agency estimates the implementation of amendments to the proposed rules will result in increased costs for public water systems for weatherization (§§290.38, 290.41, 290.42, 290.43, 290.44, 290.46, and 290.47) and fuel storage capacity (§290.45). There are approximately 3,212 government-owned public water systems, and the costs related to implementation of these requirements varies.

Most amendments to these rules are to implement changes made in SB 3, 87th Texas Legislature (2021), including specific changes to the TWC. Section 36 of the legislation already requires entities to submit emergency preparedness plans and receive TCEQ approval. Therefore, while there may have been costs to state and local government resulting from those statutory changes, there are no anticipated fiscal impacts as a result of the requirements related to emergency preparedness plans.

Public Benefits and Costs

Mr. Girtten determined that for each year of the first five years the proposed rules are in effect, the anticipated public benefit will be compliance with state law.

The agency estimates the implementation of amendments to the proposed rules will result in

increased costs initially for public water systems for weatherization (§§290.38, 290.41, 290.42, 290.43, 290.44, 290.46, and 290.47) and fuel storage capacity (§290.45). After the initial costs of installing these measures, affected utilities could experience long-term cost savings associated with the protection of critical water treatment, storage, and distribution equipment from severe weather events. Approximately 3,896 public water systems are owned by businesses or individuals, and the costs and savings related to implementation of these requirements varies.

Amendments to the rules are proposed primarily to implement changes made in SB 3, 87th Texas Legislature (2021), including the specific changes to the TWC. Section 36 of the legislation already requires entities to submit emergency preparedness plans and receive TCEQ approval. Therefore, while there may have been costs and other benefits to public or private entities resulting from those statutory changes, there are no anticipated fiscal impacts related to emergency preparedness plans.

Local Employment Impact Statement

The commission reviewed this proposed rulemaking and determined that a Local Employment Impact Statement is not required because the rules do not adversely affect a local economy in a material way for the first five years that they are in effect.

Rural Communities Impact Assessment

The commission reviewed this proposed rulemaking and determined that the proposed rules do not adversely affect rural communities in a material way for the first five years that they are in effect. The amendments would apply statewide and have the same effect in rural communities as in urban communities.

Small Business and Micro-Business Assessment

No adverse fiscal implications are anticipated for small or micro-businesses due to the implementation or administration of the proposed rules for the first five-year period that they are in effect.

Small Business Regulatory Flexibility Analysis

The commission reviewed this proposed rulemaking and determined that a Small Business Regulatory Flexibility Analysis is not required because the proposed rules do not adversely affect a small or micro-business in a material way for the first five years that they are in effect.

Government Growth Impact Statement

The commission prepared a Government Growth Impact Statement assessment for this proposed rulemaking. The proposed rulemaking does not create or eliminate a government program and will not require an increase or decrease in future legislative appropriations to the agency. The proposed rulemaking does not require the creation of new employee positions, eliminate current employee positions, nor require an increase or decrease in fees paid to the agency. The proposed rulemaking does repeal regulations to comply with changes to state law. The proposed rulemaking does not increase or decrease the number of individuals subject to its applicability. During the first five years, the proposed rules should not impact positively or negatively the state's economy.

Draft Regulatory Impact Analysis Determination

The commission reviewed this rulemaking in light of the regulatory analysis requirements of Texas Government Code §2001.0225 and determined that the rulemaking is not subject to

§2001.0225. A "major environmental rule" means a rule with a specific intent of which is to protect the environment or reduce risks to human health from environmental exposure, and that may adversely affect in a material way the economy, a sector of the economy, productivity, competition, jobs, the environment, or the public health and safety of the state or a sector of the state.

First, the rulemaking does not meet the statutory definition of a "major environmental rule" because its specific intent is not to protect the environment or reduce risks to human health from environmental exposure. The specific intent of the rulemaking is to ensure that affected utilities as defined by Texas Water Code §13.1394 and §13.1395 have emergency preparedness plans to provide potable water service during emergency operations and to clarify existing drinking water rules.

Second, the rulemaking does not meet the statutory definition of a "major environmental rule" because the rules will not adversely affect in a material way the economy, a sector of the economy, productivity, competition, jobs, the environment, or the public health and safety of the state or a sector of the state. It is not anticipated that the cost of complying with the rules will be significant with respect to the economy as a whole or with respect to a sector of the economy; therefore, the amendments will not adversely affect in a material way the economy, a sector of the economy, competition, or jobs.

Finally, the rulemaking does not meet any of the four applicability requirements for a "major environmental rule" listed in Texas Government Code §2001.0225(a). Section 2001.0225 only applies to a major environmental rule, the result of which is to: 1) exceed a standard set by federal law, unless the rule is specifically required by state law; 2) exceed an express

requirement of state law, unless the rule is specifically required by federal law; 3) exceed a requirement of a delegation agreement or contract between the state and an agency or representative of the federal government to implement a state and federal program; or 4) adopt a rule solely under the general powers of the agency instead of under a specific state law. This rulemaking does not meet any of the preceding four applicability requirements because this rulemaking: does not exceed any standard set by federal law for public water systems and is consistent with and no less stringent than federal rules; does not exceed any express requirement of state law under Texas Health and Safety Code (THSC), Chapter 341, Subchapter C; does not exceed a requirement of a delegation agreement or contract between the state and an agency or representative of the federal government; and is not based solely under the general powers of the agency, but specifically under THSC §341.031, which authorizes the commission to establish public drinking water standards and adopt and enforce rules to implement the federal Safe Drinking Water Act, as well under as SB 3, which authorizes the commission to promulgate rules in its implementation of TWC §13.1394 and §13.1395, and the other general powers of the Commission.

The commission invites public comment regarding the Draft Regulatory Impact Analysis Determination during the public comment period. Written comments on the Draft Regulatory Impact Analysis Determination may be submitted to the contact person at the address listed under the Submittal of Comments section of this preamble.

Takings Impact Assessment

The commission evaluated this rulemaking and performed a preliminary assessment of whether these rules constitute a taking under Texas Government Code, Chapter 2007.

The commission proposes these rules to clarify existing requirements and for the specific purpose of implementing SB 3, 87th R.S. (2021), which requires the commission to receive, review, and monitor compliance with affected utilities' emergency preparedness plans to ensure provision of potable water service during emergency operations.

The commission's analysis indicates that Texas Government Code, Chapter 2007, does not apply to these rules based upon exceptions to applicability in Texas Government Code §2007.003(b)(13). The rulemaking is an action that is taken in response to a real and substantial threat to public health and safety; that is designed to significantly advance the public health and safety purpose; and that does not impose a greater burden than is necessary to achieve the public health and safety purpose. Texas Government Code §2007.003(b)(13). Lack of potable water service during emergency operations constitutes a real and substantial threat to public health and safety and requires appropriate governmental regulation. The rules significantly advance the public health and safety purpose by ensuring appropriate governmental regulation of affected utilities' emergency preparedness plans and their implementation and do so in a way that does not impose a greater burden than is necessary to achieve the public health and safety purpose.

Further, the commission has determined that promulgation and enforcement of these rules would be neither a statutory nor a constitutional taking of private real property. Specifically, there are no burdens imposed on private real property under the rules because the rules neither relate to, nor have any impact on, the use or enjoyment of private real property, and there would be no reduction in property value as a result of these rules. The rules require affected utilities to submit emergency preparedness plans, comply with their emergency preparedness plans, and operate under their emergency preparedness plans during emergency

operations. Therefore, the rules would not constitute a taking under Texas Government Code Chapter 2007.

Consistency with the Coastal Management Program

The commission reviewed the proposed rules and found that they are neither identified in Coastal Coordination Act Implementation Rules, 31 TAC §505.11(b)(2) or (4), nor will they affect any action/authorization identified in Coastal Coordination Act Implementation Rules, 31 TAC §505.11(a)(6). Therefore, the proposed rules are not subject to the Texas Coastal Management Program.

Written comments on the consistency of this rulemaking may be submitted to the contact person at the address listed under the Submittal of Comments section of this preamble.

Announcement of Hearing

The commission will hold a hybrid virtual and in-person public hearing on this proposal in Austin on Friday, August 11, 2023, at 10:00 a.m. in building E, room 201S at the commission's central office located at 12100 Park 35 Circle. The hearing is structured for the receipt of oral or written comments by interested persons. Individuals may present oral statements when called upon in order of registration. Open discussion will not be permitted during the hearing; however, commission staff members will be available to discuss the proposal 30 minutes prior to the hearing.

Individuals who plan to attend the hearing virtually and want to provide oral comments and/or want their attendance on record must register by Wednesday, August 9, 2023. To register for the hearing, please email Rules@tceq.texas.gov and provide the following information: your

name, your affiliation, your email address, your phone number, and whether or not you plan to provide oral comments during the hearing. Instructions for participating in the hearing will be sent on Thursday, August 10, 2023, to those who register for the hearing.

Any public participant who does not wish to provide oral comments but would like to view the hearing may do so at no cost at:

https://teams.microsoft.com/l/meetup-join/19%3ameeting_NzJlNGM0NGUtOTNIYy00ZWm2LTllNjQtOTZmMjExNTcyOTg0%40thread.v2/0?context=%7b%22Tid%22%3a%22871a83a4-a1ce-4b7a-8156-3bcd93a08fba%22%2c%22Oid%22%3a%22e74a40ea-69d4-469d-a8ef-06f2c9ac2a80%22%2c%22IsBroadcastMeeting%22%3a%22true%22%7d

Persons who have special communication or other accommodation needs who are planning to attend the hearing should contact Sandy Wong, Office of Legal Services at (512) 239-1802 or 1-800-RELAY-TX (TDD). Requests should be made as far in advance as possible.

Submittal of Comments

Written comments may be submitted to Gwen Ricco, MC 205, Office of Legal Services, Texas Commission on Environmental Quality, P.O. Box 13087, Austin, Texas 78711-3087, or faxed to fax4808@tceq.texas.gov. Electronic comments may be submitted at:

<https://tceq.commentinput.com/comment/search>. File size restrictions may apply to comments being submitted via the TCEQ Public Comments system. All comments should reference Rule Project Number 2023-125-290-OW. The comment period closes on August 13, 2023. Please choose one of the methods provided to submit your written comments.

Copies of the proposed rulemaking can be obtained from the commission's website at

https://www.tceq.texas.gov/rules/propose_adopt.html. For further information, please contact Christina DuPont, Water Supply Division at 512-239-0537 or by email at christina.dupont@tceq.texas.gov.

SUBCHAPTER D: RULES AND REGULATIONS FOR PUBLIC WATER SYSTEMS

§290.38, §290.39, and §§290.41 – 290.47

Statutory Authority

These amendments are proposed under the authority of the Texas Water Code (TWC), §5.013, which establishes the general jurisdiction of the commission; TWC §5.102, which establishes the commission's general authority necessary to carry out its jurisdiction; §5.103, which establishes the commission's general authority to adopt rules; §5.105, which establishes the commission's authority to set policy by rule; Texas Health and Safety Code (THSC), §341.031, which establishes the commission's authority to establish public drinking water standards and adopt and enforce rules to implement the federal Safe Drinking Water Act; and SB 3, specifically TWC §13.1394 and §13.1395, which authorized the commission to promulgate rules in its implementation of these statutes.

The proposed amendments implement TWC §13.1394, as added by Senate Bill (SB 3) of the 87th Texas Legislative Session (2021), and TWC §13.1395 and §13.1396, as amended by SB 3 of the 87th Texas Legislative Session. Additional amendments proposed by the commission provide clarity to existing rules.

§290.38. Definitions.

The following words and terms, when used in this chapter shall have the following meanings, unless the context clearly indicates otherwise. If a word or term used in this chapter is not contained in the following list, its definition shall be as shown in 40 Code of Federal Regulations (CFR) §141.2. Other technical terms used shall have the meanings or definitions listed in the latest edition of *The Water Dictionary: A Comprehensive Reference of Water Terminology*, prepared by the American Water Works Association.

(1) Accredited laboratory - A laboratory accredited by the executive director to analyze drinking water samples to determine compliance with maximum contaminant levels, action levels, and microbial contaminants in accordance with §290.119 of this title (relating to Analytical Procedures).

(2) Adverse Weather Conditions - Any significant temperature, wind velocity, accumulation of precipitation including drought, or other weather pattern that may trigger the issuance of a national weather service watch, advisory, or warning.

(3) [(1)] Affected utility -

(A) A retail public utility (§291.3 of this title (relating to Definitions of Terms)), exempt utility (§291.103 of this title (relating to Certificates Not Required)), or provider or conveyor of potable or raw water service that furnishes water service to more than one customer is an affected utility as defined in TWC §13.1394; or [:]

(B) A retail public utility (§291.3 of this title (relating to Definitions of Terms)), exempt utility (§291.103 of this title (relating to Certificates Not Required)), or provider or conveyor of potable or raw water service that furnishes water service to more than one customer is an affected utility, as defined in TWC §13.1395, in a county with a population of:

(i) [(A) in a county with a population of] 3.3 million or more; or

(ii) [(B) in a county with a population of] 550,000 or more adjacent to a county with a population of 3.3 million or more.

(4) [(2)] Air gap--The unobstructed vertical distance through the free atmosphere between the lowest opening from any pipe or faucet conveying water to a tank, fixture, receptor, sink, or other assembly and the flood level rim of the receptacle. The vertical, physical separation must be at least twice the diameter of the water supply outlet, but never less than 1.0 inch.

(5) [(3)] American National Standards Institute (ANSI) standards--The standards of the American National Standards Institute, Inc.

(6) [(4)] American Society of Mechanical Engineers (ASME) standards--The standards of the ASME.

(7) [(5)] American Water Works Association (AWWA) standards--The latest edition of the applicable standards as approved and published by the AWWA.

(8) [(6)] Approved laboratory--A laboratory approved by the executive director to analyze water samples to determine their compliance with treatment technique requirements and [certain] maximum or minimum allowable constituent levels in accordance with §290.119 of this title (relating to Analytical Procedures).

(9) [(7)] ASTM International standards--The standards of ASTM International (formerly known as the American Society for Testing and Materials).

(10) [(8)] Auxiliary power--Either mechanical power or electric generators which can enable the system to provide water under pressure to the distribution system in the event of a local power failure. With the approval of the executive director, dual primary electric service may be considered as auxiliary power in areas which are not subject to large scale power outages due to natural disasters.

(11) [(9)] Bag filter--Pressure-driven separation device that removes particulate matter larger than 1 micrometer using an engineered porous filtration media. They are typically constructed of a non-rigid, fabric filtration media housed in a pressure vessel in which the direction of flow is from the inside of the bag to the outside.

(12) [(10)] Baseline performance--In reference to a membrane treatment facility, the detailed assessment of observed operational conditions at the time the membrane facility is placed in service for the purpose of tracking changes over time and determining when maintenance or service is required. Examples of parameters where baseline performance data is collected include: net driving pressure, normalized permeate flow, salt rejection, and salt passage.

(13) [(11)] Cartridge filter--Pressure-driven separation device that removes particulate matter larger than 1 micrometer using an engineered porous filtration media. They are typically constructed as rigid or semi-rigid, self-supporting filter elements housed in pressure vessels in which flow is from the outside of the cartridge to the inside.

(14) [(12)] Certified laboratory--A laboratory certified by the commission to analyze water samples to determine their compliance with maximum allowable constituent levels. After June 30, 2008, laboratories must be accredited, not certified, in order to perform sample analyses previously performed by certified laboratories.

(15) [(13)] Challenge test--A study conducted to determine the removal efficiency (log removal value) of a device for a particular organism, particulate, or surrogate.

(16) [(14)] Chemical disinfectant--Any oxidant, including but not limited to chlorine, chlorine dioxide, chloramines, and ozone added to the water in any part of the treatment or distribution process, that is intended to kill or inactivate pathogenic microorganisms.

(17) [(15)] Community water system--A public water system which has a potential to serve at least 15 residential service connections on a year-round basis or serves at least 25 residents on a year-round basis.

(18) [(16)] Connection--A single family residential unit or each commercial or industrial establishment to which drinking water is supplied from the system. As an example, the number of service connections in an apartment complex would be equal to the number of individual apartment units. When enough data is not available to accurately determine the number of connections to be served or being served, the population served divided by three will be used as the number of connections for calculating system capacity requirements. Conversely, if only the number of connections is known, the connection total multiplied by three will be the number used for population served. For the purposes of this definition, a

dwelling or business which is connected to a system that delivers water by a constructed conveyance other than a pipe shall not be considered a connection if:

(A) the water is used exclusively for purposes other than those defined as human consumption (see human consumption);

(B) the executive director determines that alternative water to achieve the equivalent level of public health protection provided by the drinking water standards is provided for residential or similar human consumption, including, but not limited to, drinking and cooking; or

(C) the executive director determines that the water provided for residential or similar human consumption is centrally treated or is treated at the point of entry by a provider, a pass through entity, or the user to achieve the equivalent level of protection provided by the drinking water standards.

(19) [(17)] Contamination--The presence of any foreign substance (organic, inorganic, radiological, or biological) in water which tends to degrade its quality so as to constitute a health hazard or impair the usefulness of the water.

(20) [(18)] Cross-connection--A physical connection between a public water system and either another supply of unknown or questionable quality, any source which may contain contaminating or polluting substances, or any source of water treated to a lesser degree in the treatment process.

(21) [(19)] Direct integrity test--A physical test applied to a membrane unit in order to identify and isolate integrity breaches/leaks that could result in contamination of the filtrate.

(22) [(20)] Disinfectant--A chemical or a treatment which is intended to kill or inactivate pathogenic microorganisms in water.

(23) [(21)] Disinfection--A process which inactivates pathogenic organisms in the water by chemical oxidants or equivalent agents.

(24) [(22)] Distribution system--A system of pipes that conveys potable water from a treatment plant to the consumers. The term includes pump stations, ground and elevated storage tanks, potable water mains, and potable water service lines and all associated valves, fittings, and meters, but excludes potable water customer service lines.

(25) [(23)] Drinking water--All water distributed by any agency or individual, public or private, for the purpose of human consumption or which may be used in the preparation of foods or beverages or for the cleaning of any utensil or article used in the course of preparation or consumption of food or beverages for human beings. The term "drinking water" shall also include all water supplied for human consumption or used by any institution catering to the public.

(26) [(24)] Drinking water standards--The commission rules covering drinking water standards in Subchapter F of this chapter (relating to Drinking Water Standards Governing Drinking Water Quality and Reporting Requirements for Public Water Systems).

(27) [(25)] Elevated storage capacity--That portion of water which can be stored at least 80 feet above the highest service connection in the pressure plane served by the storage tank.

(28) [(26)] Emergency operations--The operation of an affected utility during an extended power outage at a minimum water pressure of **20 [35]** pounds per square inch **(psi) or a pressure approved by the executive director as required under TWC §13.1394 and 35 psi as required under TWC §13.1395.**

(29) [(27)] Emergency power--Either mechanical power or electric generators which can enable the system to provide water under pressure to the distribution system in the event of a local power failure. With the approval of the executive director, dual primary electric service may be considered as emergency power in areas which are not subject to large scale power outages due to natural disasters.

(30) [(28)] Extended power outage--A power outage lasting for more than 24 hours.

(31) [(29)] Filtrate--The water produced from a filtration process; typically used to describe the water produced by filter processes such as membranes.

(32) [(30)] Flux--The throughput of a pressure-driven membrane filtration system expressed as flow per unit of membrane area. For example, gallons per square foot per day or liters per hour per square meter.

(33) [(31)] Grantee--For purposes of this chapter, any person receiving an ownership interest in a public water system, whether by sale, transfer, descent, probate, or otherwise.

(34) [(32)] Grantor--For purposes of this chapter, any person who conveys an ownership interest in a public water system, whether by sale, transfer, descent, probate, or otherwise.

(35) [(33)] Groundwater--Any water that is located beneath the surface of the ground and is not under the direct influence of surface water.

(36) [(34)] Groundwater under the direct influence of surface water--Any water beneath the surface of the ground with:

(A) significant occurrence of insects or other macroorganisms, algae, or large-diameter pathogens such as *Giardia lamblia* or *Cryptosporidium*;

(B) significant and relatively rapid shifts in water characteristics such as turbidity, temperature, conductivity, or pH which closely correlate to climatological or surface water conditions; or

(C) site-specific characteristics including measurements of water quality parameters, well construction details, existing geological attributes, and other features that are

similar to groundwater sources that have been identified by the executive director as being under the direct influence of surface water.

(37) [(35)] Health hazard--A cross-connection, potential contamination hazard, or other situation involving any substance that can cause death, illness, spread of disease, or has a high probability of causing such effects if introduced into the potable drinking water supply.

(38) [(36)] Human consumption--Uses by humans in which water can be ingested into or absorbed by the human body. Examples of these uses include, but are not limited to drinking, cooking, brushing teeth, bathing, washing hands, washing dishes, and preparing foods.

(39) [(37)] Indirect integrity monitoring--The monitoring of some aspect of filtrate water quality, such as turbidity, that is indicative of the removal of particulate matter.

(40) [(38)] Innovative/alternate treatment--Any treatment process that does not have specific design requirements in §290.42(a) - (f) of this title (relating to Water Treatment).

(41) [(39)] Interconnection--A physical connection between two public water supply systems.

(42) [(40)] International Fire Code (IFC)--The standards of the International Code Council.

(43) [(41)] Intruder-resistant fence--A fence six feet or greater in height, constructed of wood, concrete, masonry, or metal with three strands of barbed wire extending outward from the top of the fence at a 45 degree angle with the smooth side of the fence on the outside wall. In lieu of the barbed wire, the fence must be eight feet in height. The fence must be in good repair and close enough to surface grade to prevent intruder passage.

(44) [(42)] L/d ratio--The dimensionless value that is obtained by dividing the length (depth) of a granular media filter bed by the weighted effective diameter "d" of the filter media. The weighted effective diameter of the media is calculated based on the percentage of the total bed depth contributed by each media layer.

(45) [(43)] Licensed professional engineer--An engineer who maintains a current license through the Texas Board of Professional Engineers in accordance with its requirements for professional practice.

(46) [(44)] Log removal value (LRV)--Removal efficiency for a target organism, particulate, or surrogate expressed as \log_{10} (i.e., \log_{10} (feed concentration) - \log_{10} (filtrate concentration)).

(47) [(45)] Maximum contaminant level (MCL)--The MCL for a specific contaminant is defined in the section relating to that contaminant.

(48) [(46)] Maximum daily demand--In the absence of verified historical data or in cases where a public water system has imposed mandatory water use restrictions within the past 36 months, maximum daily demand means 2.4 times the average daily demand of the system.

(49) [(47)] Membrane filtration--A pressure or vacuum driven separation process in which particulate matter larger than one micrometer is rejected by an engineered barrier, primarily through a size-exclusion mechanism, and which has a measurable removal efficiency of a target organism that can be verified through the application of a direct integrity test; includes the following common membrane classifications microfiltration (MF), ultrafiltration (UF), nanofiltration (NF), and reverse osmosis (RO), as well as any "membrane cartridge filtration" (MCF) device that satisfies this definition.

(50) [(48)] Membrane LRV_{C-Test} --The number that reflects the removal efficiency of the membrane filtration process demonstrated during challenge testing. The value is based on the entire set of log removal values (LRVs) obtained during challenge testing, with one representative LRV established per module tested.

(51) [(49)] Membrane module--The smallest component of a membrane unit in which a specific membrane surface area is housed in a device with a filtrate outlet structure.

(52) [(50)] Membrane sensitivity--The maximum log removal value that can be reliably verified by a direct integrity test.

(53) [(51)] Membrane unit--A group of membrane modules that share common valving, which allows the unit to be isolated from the rest of the system for the purpose of integrity testing or other maintenance.

(54) [(52)] Milligrams per liter (mg/L)--A measure of concentration, equivalent to and replacing parts per million in the case of dilute solutions.

(55) [(53)] Monthly reports of water works operations--The daily record of data relating to the operation of the system facilities compiled in a monthly report.

(56) [(54)] National Fire Protection Association (NFPA) standards--The standards of the NFPA.

(57) [(55)] NSF International--The organization and the standards, certifications, and listings developed by NSF International (formerly known as the National Sanitation Foundation) related to drinking water.

(58) [(56)] Noncommunity water system--Any public water system which is not a community system.

(59) [(57)] Nonhealth hazard--A cross-connection, potential contamination hazard, or other situation involving any substance that generally will not be a health hazard, but will constitute a nuisance, or be aesthetically objectionable, if introduced into the public water supply.

(60) [(58)] Nontransient, noncommunity water system--A public water system that is not a community water system and regularly serves at least 25 of the same persons at least six months out of the year.

(61) [(59)] Pass--In reference to a reverse osmosis or nanofiltration membrane system, stages of pressure vessels in series in which the permeate from one stage is further processed in a following stage.

(62) [(60)] Peak hourly demand--In the absence of verified historical data, peak hourly demand means 1.25 times the maximum daily demand (prorated to an hourly rate) if a public water supply meets the commission's minimum requirements for elevated storage capacity and 1.85 times the maximum daily demand (prorated to an hourly rate) if the system uses pressure tanks or fails to meet the commission's minimum elevated storage capacity requirement.

(63) [(61)] Plumbing inspector--Any person employed by a political subdivision for the purpose of inspecting plumbing work and installations in connection with health and safety laws and ordinances, who has no financial or advisory interest in any plumbing company, and who has successfully fulfilled the examinations and requirements of the Texas State Board of Plumbing Examiners.

(64) [(62)] Plumbing ordinance--A set of rules governing plumbing practices which is at least as stringent and comprehensive as one of the following nationally recognized codes:

(A) the International Plumbing Code; or

(B) the Uniform Plumbing Code.

(65) [(63)] Potable water customer service line--The sections of potable water pipe between the customer's meter and the customer's point of use.

(66) [(64)] Potable water main--A pipe or enclosed constructed conveyance operated by a public water system which is used for the transmission or distribution of drinking water to a potable water service line.

(67) [(65)] Potable water service line--The section of pipe between the potable water main and the customer's side of the water meter. In cases where no customer water meter exists, it is the section of pipe that is under the ownership and control of the public water system.

(68) [(66)] Potential contamination hazard--A condition which, by its location, piping or configuration, has a reasonable probability of being used incorrectly, through carelessness, ignorance, or negligence, to create or cause to be created a backflow condition by which contamination can be introduced into the water supply. Examples of potential contamination hazards are:

- (A) bypass arrangements;
- (B) jumper connections;
- (C) removable sections or spools; and
- (D) swivel or changeover assemblies.

(69) [(67)] Process control duties--Activities that directly affect the potability of public drinking water, including: making decisions regarding the day-to-day operations and

maintenance of public water system production and distribution; maintaining system pressures; determining the adequacy of disinfection and disinfection procedures; taking routine microbiological samples; taking chlorine residuals and microbiological samples after repairs or installation of lines or appurtenances; and operating chemical feed systems, filtration, disinfection, or pressure maintenance equipment; or performing other duties approved by the executive director.

(70) [(68)] psi--Pounds per square inch.

(71) [(69)] Public drinking water program--Agency staff designated by the executive director to administer the Safe Drinking Water Act and state statutes related to the regulation of public drinking water. Any report required to be submitted in this chapter to the executive director must be submitted to the Texas Commission on Environmental Quality, Water Supply Division, MC 155, P.O. Box 13087, Austin, Texas 78711-3087.

(72) [(70)] Public health engineering practices--Requirements in this chapter or guidelines promulgated by the executive director.

(73) [(71)] Public water system--A system for the provision to the public of water for human consumption through pipes or other constructed conveyances, which includes all uses described under the definition for drinking water. Such a system must have at least 15 service connections or serve at least 25 individuals at least 60 days out of the year. This term includes: any collection, treatment, storage, and distribution facilities under the control of the operator of such system and used primarily in connection with such system, and any collection or pretreatment storage facilities not under such control which are used primarily in

connection with such system. Two or more systems with each having a potential to serve less than 15 connections or less than 25 individuals but owned by the same person, firm, or corporation and located on adjacent land will be considered a public water system when the total potential service connections in the combined systems are 15 or greater or if the total number of individuals served by the combined systems total 25 or greater at least 60 days out of the year. Without excluding other meanings of the terms "individual" or "served," an individual shall be deemed to be served by a water system if he lives in, uses as his place of employment, or works in a place to which drinking water is supplied from the system.

(74) [(72)] Quality Control Release Value (QCRV)--A minimum quality standard of a non-destructive performance test established by the manufacturer for membrane module production that ensures that the module will attain the targeted log removal value demonstrated during challenge testing.

(75) [(73)] Reactor Validation Testing--A process by which a full-scale ultraviolet (UV) reactor's disinfection performance is determined relative to operating parameters that can be monitored. These parameters include flow rate, UV intensity as measured by a UV sensor and the UV lamp status.

(76) [(74)] Resolution--The size of the smallest integrity breach that contributes to a response from a direct integrity test in membranes used to treat surface water or groundwater under the direct influence of surface water.

(77) [(75)] Sanitary control easement--A legally binding document securing all land, within 150 feet of a public water supply well location, from pollution hazards. This

document must fully describe the location of the well and surrounding lands and must be filed in the county records to be legally binding. For an example, see commission Form 20698.

(78) [(76)] Sanitary survey--An onsite review of a public water system's adequacy for producing and distributing safe drinking water by evaluating the following elements: water source; treatment; distribution system; finished water storage; pump, pump facilities, and controls; monitoring, reporting, and data verification; system management, operation and maintenance; and operator compliance.

(79) [(77)] Sensitivity--The maximum log removal value (LRV) that can be reliably verified by a direct integrity test in membranes used to treat surface water or groundwater under the direct influence of surface water; also applies to some continuous indirect integrity monitoring methods.

(80) [(78)] Service line--A pipe connecting the utility service provider's main and the water meter, or for wastewater, connecting the main and the point at which the customer's service line is connected, generally at the customer's property line.

(81) [(79)] Service pump--Any pump that takes treated water from storage and discharges to the distribution system.

(82) [(80)] Significant deficiency--Significant deficiencies cause, or have the potential to cause, the introduction of contamination into water delivered to customers. This may include defects in design, operation, or maintenance of the source, treatment, storage, or distribution systems.

(83) [(81)] Stage--In reference to a reverse osmosis or nanofiltration membrane system, a set of pressure vessels installed in parallel.

(84) [(82)] System--Public water system as defined in this section unless otherwise modified (i.e., distribution system).

(85) [(83)] Transfer pump--Any pump which conveys water from one point to another within the treatment process or which conveys water to storage facilities prior to distribution.

(86) [(84)] Transient, noncommunity water system--A public water system that is not a community water system and serves at least 25 persons at least 60 days out of the year, yet by its characteristics, does not meet the definition of a nontransient, noncommunity water system.

(87) [(85)] Vessel--In reference to a reverse osmosis or nanofiltration membrane system, a cylindrical housing unit where membrane modules are placed in a series to form one unit.

(88) [(86)] Wastewater lateral--Any pipe or constructed conveyance carrying wastewater, running laterally down a street, alley, or easement, and receiving flow only from the abutting properties.

(89) [(87)] Wastewater main--Any pipe or constructed conveyance which receives flow from one or more wastewater laterals.

(90) [(88)] Water system--Public water system as defined in this section unless otherwise modified (i.e., distribution system).

§290.39. General Provisions.

(a) Authority for requirements. Texas Health and Safety Code (THSC), Chapter 341, Subchapter C prescribes the duties of the commission relating to the regulation and control of public drinking water systems in the state. The statute requires that the commission ensure that public water systems: supply safe drinking water in adequate quantities, are financially stable and technically sound, promote use of regional and area-wide drinking water systems, and review completed plans and specifications and business plans for all contemplated public water systems not exempted by THSC, §341.035(d). The statute also requires the commission be notified of any subsequent material changes, improvements, additions, or alterations in existing systems and, consider compliance history in approving new or modified public water systems. Texas Water Code (TWC), **§13.1394 and §13.1395, prescribe** **[§13.1395, prescribes]** the duties of the commission relating to standards for emergency operations of affected utilities. The statute requires that the commission ensure that affected utilities provide water service as soon as safe and practicable during an extended power outage following the occurrence of a natural disaster.

(b) Reason for this subchapter and minimum criteria. This subchapter has been adopted to ensure regionalization and area-wide options are fully considered, the inclusion of all data essential for comprehensive consideration of the contemplated project, or improvements, additions, alterations, or changes thereto and to establish minimum standardized public health design criteria in compliance with existing state statutes and in accordance with good public

health engineering practices. In addition, minimum acceptable financial, managerial, technical, and operating practices must be specified to ensure that facilities are properly operated to produce and distribute safe, potable water.

(c) Required actions and approvals prior to construction. A person may not begin construction of a public drinking water supply system unless the executive director determines the following requirements have been satisfied and approves construction of the proposed system.

(1) A person proposing to install a public drinking water system within the extraterritorial jurisdiction of a municipality; or within 1/2-mile of the corporate boundaries of a district, or other political subdivision providing the same service; or within 1/2-mile of a certificated service area boundary of any other water service provider shall provide to the executive director evidence that:

(A) written application for service was made to that provider; and

(B) all application requirements of the service provider were satisfied, including the payment of related fees.

(2) A person may submit a request for an exception to the requirements of paragraph (1) of this subsection if the application fees will create a hardship on the person. The request must be accompanied by evidence documenting the financial hardship.

(3) A person who is not required to complete the steps in paragraph (1) of this subsection, or who completes the steps in paragraph (1) of this subsection and is denied service or determines that the existing provider's cost estimate is not feasible for the development to be served, shall submit to the executive director:

(A) plans and specifications for the system; and

(B) a business plan for the system.

(4) Emergency Preparedness Plan for Public Water Systems that are Affected Utilities, as defined in TWC §13.1394 and §13.1395, must be submitted as described in §290.39(o) of this title.

[(A) Each public water system that is also an affected utility, as defined by §290.38 of this title (relating to Definitions), is required to submit to the executive director, receive approval for, and adopt an emergency preparedness plan in accordance with §290.45 of this title (relating to Minimum Water System Capacity Requirements) using either the template in Appendix G of §290.47 of this title (relating to Appendices) or another emergency preparedness plan that meets the requirements of this section. Emergency preparedness plans are required to be prepared under the direction of a licensed professional engineer when an affected utility has been granted or is requesting an alternative capacity requirement in accordance with §290.45(g) of this title, or is requesting to meet the requirements of TWC §13.1395, as an alternative to any rule requiring elevated storage, or as determined by the executive director on a case-by-case basis.]

[(B) Each affected utility that supplies, provides, or conveys surface water to wholesale customers shall include in its emergency preparedness plan under subparagraph (A) of this paragraph provision for the actual installation and maintenance of automatically starting auxiliary generators or distributive generation facilities for each raw water intake pump station, water treatment plant, pump station, and pressure facility necessary to provide water to its wholesale customers.]

[(C) The executive director shall review an emergency preparedness plan submitted under subparagraph (A) of this paragraph. If the executive director determines that the plan is not acceptable, the executive director shall recommend changes to the plan. The executive director must make its recommendations on or before the 90th day after the executive director receives the plan. In accordance with commission rules, an emergency preparedness plan must include one of the options listed in §290.45(h)(1)(A) - (H) of this title.]

[(D) Each affected utility shall install any required equipment to implement the emergency preparedness plan approved by the executive director immediately upon operation.]

[(E) The executive director may grant a waiver of the requirements for emergency preparedness plans to an affected utility if the executive director determines that compliance with this section will cause a significant financial burden on customers of the affected utility. The affected utility shall submit financial, managerial, and technical information as requested by the executive director to demonstrate the financial burden.]

(d) Submission of plans.

(1) Plans, specifications, and related documents will not be considered unless they have been prepared under the direction of a licensed professional engineer. All engineering documents must have engineering seals, signatures, and dates affixed in accordance with the rules of the Texas Board of Professional Engineers.

(2) Detailed plans must be submitted for examination at least 30 days prior to the time that approval, comments or recommendations are desired. From this, it is not to be inferred that final action will be forthcoming within the time mentioned.

(3) The limits of approval are as follows.

(A) The commission's public drinking water program furnishes consultation services as a reviewing body only, and its licensed professional engineers may neither act as design engineers nor furnish detailed estimates.

(B) The commission's public drinking water program does not examine plans and specifications in regard to the structural features of design, such as strength of concrete or adequacy of reinforcing. Only the features covered by this subchapter will be reviewed.

(C) The consulting engineer and/or owner must provide surveillance adequate to assure that facilities will be constructed according to approved plans and must notify the executive director in writing upon completion of all work. Planning materials shall be submitted to the Texas Commission on Environmental Quality, Water Supply Division, MC 159, P.O. Box 13087, Austin, Texas 78711-3087.

(e) Submission of planning material. In general, the planning material submitted shall conform to the following requirements.

(1) Engineering reports are required for new water systems and all surface water treatment plants. Engineering reports are also required when design or capacity deficiencies are identified in an existing system. The engineering report shall include, at least, coverage of the following items:

(A) statement of the problem or problems;

(B) present and future areas to be served, with population data;

(C) the source, with quantity and quality of water available;

(D) present and estimated future maximum and minimum water quantity demands;

(E) description of proposed site and surroundings for the water works facilities;

(F) type of treatment, equipment, and capacity of facilities;

(G) basic design data, including pumping capacities, water storage and flexibility of system operation under normal and emergency conditions; and

(H) the adequacy of the facilities with regard to delivery capacity and pressure throughout the system.

(2) All plans and drawings submitted may be printed on any of the various papers which give distinct lines. All prints must be clear, legible and assembled to facilitate review.

(A) The relative location of all facilities which are pertinent to the specific project shall be shown.

(B) The location of all abandoned or inactive wells within 1/4-mile of a proposed well site shall be shown or reported.

(C) If staged construction is anticipated, the overall plan shall be presented, even though a portion of the construction may be deferred.

(D) A general map or plan of the municipality, water district, or area to be served shall accompany each proposal for a new water supply system.

(3) Specifications for construction of facilities shall accompany all plans. If a process or equipment which may be subject to probationary acceptance because of limited application or use in Texas is proposed, the executive director may give limited approval. In such a case, the owner must be given a bonded guarantee from the manufacturer covering acceptable performance. The specifications shall include a statement that such a bonded guarantee will be provided to the owner and shall also specify those conditions under which the

bond will be forfeited. Such a bond will be transferable. The bond shall be retained by the owner and transferred when a change in ownership occurs.

(4) A copy of each fully executed sanitary control easement and any other documentation demonstrating compliance with §290.41(c)(1)(F) of this title (relating to Water Sources) shall be provided to the executive director prior to placing the well into service. Each original easement document, if obtained, must be recorded in the deed records at the county courthouse. For an example, see commission Form 20698.

(5) Construction features and siting of all facilities for new water systems and for major improvements to existing water systems must be in conformity with applicable commission rules.

(6) For public water systems using reverse osmosis or nanofiltration membranes, the engineering report must include the requirements specified in paragraph (1)(A) - (H) of this subsection, and additionally must provide sufficient information to ensure effective treatment. Specifically:

(A) Provide a clear identification of the proposed raw water source.

(i) If the well has been constructed, a copy of the State of Texas Well Report according to 16 TAC Chapter 76 (relating to Water Well Drillers and Water Well Pump Installers), a cementing certificate (as required by §290.41(c)(3)(A) of this title), and a copy of the complete physical and chemical analysis of the raw water from the well as required by §290.41(c)(3)(G) of this title; or

(ii) If the well has not been constructed, the approximate longitude and latitude for the new well and the projected water quality.

(B) Provide a description of the pretreatment process that includes:

(i) target water quality of the proposed pretreatment process;

(ii) constituent(s) to be removed or treated;

(iii) method(s) or technologies used; and

(iv) operating parameters, such as chemical dosages, filter loading rates, and empty bed contact times.

(C) The design of a reverse osmosis or nanofiltration membrane system shall be based on the standard modeling tools of the manufacturer. The model must be run for both new membranes and end-of-life membranes. All design parameters required by the membrane manufacturer's modeling tool must be included in the modeled analysis. At a minimum, the model shall provide:

(i) system flow rate;

(ii) system recovery;

(iii) number of stages;

(iv) number of passes;

(v) feed pressure;

(vi) system configuration with the number of vessels per stage, the number of passes (if applicable), and the number of elements per vessel;

(vii) flux (in gallons per square foot per day) for the overall system;

(viii) selected fouling factor for new and end-of-life membranes;
and

(ix) ion concentrations in the feed water for all constituents required by the manufacturer's model and the projected ion concentrations for the permeate water and concentrate water.

(D) In lieu of the modeling requirements as detailed in subparagraph (C) of this paragraph, the licensed professional engineer may provide either a pilot study or similar full-scale data in accordance with §290.42(g) of this title (relating to Water Treatment). Alternatively, for reverse osmosis or nanofiltration units rated for flow rates less than 300 gallons per minute, the design specifications can be based on the allowable operating parameters of the manufacturer.

(E) Provide documentation that the components and chemicals for the proposed treatment process conform to American National Standards Institute/NSF International (ANSI/NSF) Standard 60 for Drinking Water Treatment Chemicals and ANSI/NSF Standard 61 for Drinking Water System Components.

(F) Provide the details for post-treatment and re-mineralization to reduce the corrosion potential of the finished water. If carbon dioxide and/or hydrogen sulfide is present in the reverse osmosis permeate, include the details for a degasifier for post-treatment.

(G) For compliance with applicable drinking water quality requirements in Subchapter F of this chapter (relating to Drinking Water Standards Governing Drinking Water Quality and Reporting Requirements for Public Water Systems), provide the projected water quality at the entry point to the distribution system and the method(s) used to make the water quality projections.

(H) When blending is proposed, provide the blending ratio, source of the water to be blended, and the calculations showing the concentrations of regulated constituents in the finished water.

(I) Provide a description of the disinfection byproduct formation potential based on total organic carbon and other precursor sample results.

(J) Provide the process control details to ensure the integrity of the membrane system. The engineering report shall identify specific parameters and set points that indicate when membrane cleaning, replacement, and/or inspection is necessary.

(i) The parameters shall be based on one, or more of the following:
increased salt passage, increased or decreased pressure differential, and/or change in
normalized permeate flow.

(ii) Define the allowable change from baseline performance.

(7) Before reverse osmosis or nanofiltration membrane systems can be used to
produce drinking water, but after the reverse osmosis or nanofiltration membrane system has
been constructed at the water system, the licensed professional engineer must submit an
addendum to the engineering report required by paragraph (6) of this subsection to the
executive director for review and approval. The addendum shall include the following
verification data of the full-scale treatment process:

(A) Provide the initial baseline performance of the plant. The baseline net
driving pressure, normalized permeate flow, and salt rejection (or salt passage) must be
documented when the reverse osmosis or nanofiltration membrane systems are placed online.

(B) Provide the frequency of cleaning or membrane replacement. The
frequency must be based on a set time interval or at a set point relative to baseline
performance of the unit(s).

(C) If modeling is used as the basis for the design, provide verification of
the model's accuracy. If the baseline performance evaluation shows that the modeling
projection in the engineering report were inaccurate, the licensed professional engineer shall
determine if the deviation from the modeled projections resulted from incorrect water quality

assumptions or from other incorrect data in the model. The model shall be considered inaccurate if the overall salt passage or the required feed pressure is 10% greater than the model projection. For any inaccurate model, provide a corrected model with the addendum to the engineering report.

(D) Provide verification of plant capacity. The capacity of the reverse osmosis and nanofiltration membrane facility shall be based on the as-built configuration of the system and the design parameters in the engineering report with adjustments as indicated by the baseline performance. Refer to paragraph (6)(C) of this subsection and §290.45(a)(6) of this title for specific considerations.

(E) Provide a complete physical and chemical analysis of the water. The analyses shall be in accordance with §290.41(c)(3)(G) of this title for the raw water (before any treatment), the water produced from the membrane systems, and the water after any post-treatment. Samples must be submitted to an accredited laboratory for chemical analyses.

(8) The calculations for sizing feed pump(s) and chemical storage tank(s) must be submitted to demonstrate that a project meets chemical feed and storage capacity requirements.

(f) Submission of business plans. The prospective owner of the system or the person responsible for managing and operating the system must submit a business plan to the executive director that demonstrates that the owner or operator of the system has available the financial, managerial, and technical capability to ensure future operation of the system in accordance with applicable laws and rules. The executive director may order the prospective

owner or operator to demonstrate financial assurance to operate the system in accordance with applicable laws and rules as specified in Chapter 37, Subchapter O of this title (relating to Financial Assurance for Public Drinking Water Systems), or as specified by commission rule, unless the executive director finds that the business plan demonstrates adequate financial capability. A business plan shall include the information and be presented in a format prescribed by the executive director. For community water systems, the business plan shall contain, at a minimum, the following elements:

- (1) description of areas and population to be served by the potential system;
- (2) description of drinking water supply systems within a two-mile radius of the proposed system, copies of written requests seeking to obtain service from each of those drinking water supply systems, and copies of the responses to the written requests;
- (3) time line for construction of the system and commencement of operations;
- (4) identification of and costs of alternative sources of supply;
- (5) selection of the alternative to be used and the basis for that selection;
- (6) identification of the person or entity which owns or will own the drinking water system and any identifiable future owners of the drinking water system;

(7) identification of any other businesses and public drinking water system(s) owned or operated by the applicant, owner(s), parent organization, and affiliated organization(s);

(8) an operations and maintenance plan which includes sufficient detail to support the budget estimate for operation and maintenance of the facilities;

(9) assurances that the commitments and resources needed for proper operation and maintenance of the system are, and will continue to be, available, including the qualifications of the organization and each individual associated with the proposed system;

(10) for retail public utilities as defined by TWC §13.002:

(A) projected rate revenue from residential, commercial, and industrial customers; and

(B) pro forma income, expense, and cash flow statements;

(11) identification of any appropriate financial assurance, including those being offered to capital providers;

(12) a notarized statement signed by the owner or responsible person that the business plan has been prepared under his direction and that he is responsible for the accuracy of the information; and

(13) other information required by the executive director to determine the adequacy of the business plan or financial assurance.

(g) Business plans not required. A person is not required to file a business plan if the person:

(1) is a county;

(2) is a retail public utility as defined by TWC §13.002, unless that person is a utility as defined by that section;

(3) has executed an agreement with a political subdivision to transfer the ownership and operation of the water supply system to the political subdivision;

(4) is a Class A utility, as defined by TWC §13.002, that has applied for or been granted an amendment of a certificate of convenience and necessity under TWC §13.258, for the area in which the construction of the public drinking water supply system will operate; or

(5) is a noncommunity, non-transient water system and the person has demonstrated financial assurance under THSC, Chapter 361 or Chapter 382 or TWC Chapter 26.

(h) Beginning and completion of work.

(1) No person may begin construction on a new public water system before receiving written approval of plans and specifications and, if required, approval of a business

plan from the executive director. No person may begin construction of modifications to a public water system without providing notification to the executive director and submitting and receiving approval of plans and specifications if requested in accordance with subsection (j) of this section.

(2) The executive director shall be notified in writing by the design engineer or the owner before construction is started.

(3) Upon completion of the water works project, the engineer or owner shall notify the executive director in writing as to its completion and attest to the fact that the completed work is substantially in accordance with the plans and change orders on file with the commission.

(i) Changes in previously approved plans and specifications. Any addenda or change orders which may involve a health hazard or relocation of facilities, such as wells, treatment units, and storage tanks, shall be submitted to the executive director for review and approval.

(j) Changes in existing systems or supplies. Public water systems shall notify the executive director prior to making any significant change or addition to the system's production, treatment, storage, pressure maintenance, or distribution facilities. Significant changes in existing systems or supplies shall not be instituted without the prior approval of the executive director.

(1) Public water systems shall submit plans and specifications to the executive director for the following significant changes:

(A) proposed changes to existing systems which result in an increase or decrease in production, treatment, storage, or pressure maintenance capacity;

(B) proposed changes to the disinfection process used at plants that treat surface water or groundwater that is under the direct influence of surface water including changes involving the disinfectants used, the disinfectant application points, or the disinfectant monitoring points;

(C) proposed changes to the type of disinfectant used to maintain a disinfectant residual in the distribution system;

(D) proposed changes in existing distribution systems when the change is greater than 10% of the number of connections, results in the water system's inability to comply with any of the applicable capacity requirements of §290.45 of this title, or involves interconnection with another public water system; and

(E) any other material changes specified by the executive director.

(2) Public water systems shall notify the executive director in writing of the addition of treatment chemicals, including long-term treatment changes, that will impact the corrosivity of the water. These are considered to be significant changes that require written approval from the executive director.

(A) Examples of long-term treatment changes that could impact the corrosivity of the water include the addition of a new treatment process or modification of an existing treatment process. Examples of modifications include switching secondary disinfectants, switching coagulants, and switching corrosion inhibitor products. Long-term changes can include dose changes to existing chemicals if the system is planning long-term changes to its finished water pH or residual inhibitor concentration. Long-term treatment changes would not include chemical dose fluctuations associated with daily raw water quality changes.

(B) After receiving the notification, the executive director will determine whether the submittal of plans and specifications will be required. Upon request of the executive director, the water system shall submit plans and specifications in accordance with the requirements of subsection (d) of this section.

(3) Plans and specifications may not be required for changes that are specifically addressed in paragraph (1)(D) of this subsection in the following situations:

(A) Unless plans and specifications are required by Chapter 293 of this title (relating to Water Districts), the executive director will not require another state agency or a political subdivision to submit planning material on distribution line improvements if the entity has its own internal review staff and complies with all of the following criteria:

(i) the internal review staff includes one or more licensed professional engineers that are employed by the political subdivision and must be separate

from, and not subject to the review or supervision of, the engineering staff or firm charged with the design of the distribution extension under review;

(ii) a licensed professional engineer on the internal review staff determines and certifies in writing that the proposed distribution system changes comply with the requirements of §290.44 of this title (relating to Water Distribution) and will not result in a violation of any provision of §290.45 of this title;

(iii) the state agency or political subdivision includes a copy of the written certification described in this subparagraph with the initial notice that is submitted to the executive director.

(B) Unless plans and specifications are required by Chapter 293 of this title, the executive director will not require planning material on distribution line improvements from any public water system that is required to submit planning material to another state agency or political subdivision that complies with the requirements of subparagraph (A) of this paragraph. The notice to the executive director must include a statement that a state statute or local ordinance requires the planning materials to be submitted to the other state agency or political subdivision and a copy of the written certification that is required in subparagraph (A) of this paragraph.

(4) Public water systems shall notify the executive director in writing of proposed replacement or change of membrane modules, which may be a significant change. After receiving the notification, the executive director will determine whether the submittal of plans and specifications will be required. Upon request of the executive director, the system

shall submit plans and specifications in accordance with the requirements of subsection (d) of this section. In its notification to the executive director, the system shall include the following information:

(A) The membrane module make/type, model, and manufacturer;

(B) The membrane plant's water source (groundwater, surface water, groundwater under the direct influence of surface water, or other);

(C) Whether the membrane modules are used for pathogen treatment or not;

(D) Total number of membrane modules per membrane unit; and

(E) The number of membrane modules being replaced or changed for each membrane unit.

(5) Public water systems that furnish for public or private use drinking water containing added fluoride may not permanently terminate the fluoridation of water unless it provides both written notice to the executive director 60 days before the termination and written notice to customers as required by §290.122(j) of this title (relating to Public Notification).

(k) Planning material acceptance. Planning material for improvements to an existing system which does not meet the requirements of all sections of this subchapter will not be considered unless the necessary modifications for correcting the deficiencies are included in the proposed improvements, or unless the executive director determines that reasonable

progress is being made toward correcting the deficiencies and no immediate health hazard will be caused by the delay.

(l) Exceptions. Requests for exceptions to one or more of the requirements in this subchapter shall be considered on an individual basis. Any water system which requests an exception must demonstrate to the satisfaction of the executive director that the exception will not compromise the public health or result in a degradation of service or water quality.

(1) The exception must be requested in writing and must be substantiated by carefully documented data. The request for an exception shall precede the submission of engineering plans and specifications for a proposed project for which an exception is being requested.

(2) Any exception granted by the commission is subject to revocation.

(3) Any request for an exception which is not approved by the commission in writing is denied.

(4) The executive director may establish site-specific requirements for systems that have been granted an exception. The requirements may include, but are not limited to: site-specific design, operation, maintenance, and reporting requirements.

(5) Water systems that are granted an exception shall comply with the requirements established by the executive director under paragraph (4) of this subsection.

(m) Notification of system startup or reactivation. The owner or responsible official must provide written notification to the commission of the startup of a new public water supply system or reactivation of an existing public water supply system. This notification must be made immediately upon meeting the definition of a public water system as defined in §290.38 of this title.

(n) **Actions required of the owner or operator of a public drinking water system.** The commission may require the owner or operator of a public drinking water supply system that was constructed without the approval required by THSC, §341.035, that has a history of noncompliance with THSC, Chapter 341, Subchapter C or commission rules, or that is subject to a commission enforcement action to take the following action:

(1) provide the executive director with a business plan that demonstrates that the system has available the financial, managerial, and technical resources adequate to ensure future operation of the system in accordance with applicable laws and rules. The business plan must fulfill all the requirements for a business plan as set forth in subsection (f) of this section;

(2) provide adequate financial assurance of the ability to operate the system in accordance with applicable laws and rules. The executive director will set the amount of the financial assurance, after the business plan has been reviewed and approved by the executive director.

(A) The amount of the financial assurance will equal the difference between the amount of projected system revenues and the projected cash needs for the period of time prescribed by the executive director.

(B) The form of the financial assurance will be as specified in Chapter 37, Subchapter O of this title and will be as specified by the executive director.

(C) If the executive director relies on rate increases or customer surcharges as the form of financial assurance, such funds shall be deposited in an escrow account as specified in Chapter 37, Subchapter O of this title and released only with the approval of the executive director.

(o) Emergency Preparedness Plans for Affected Utilities as defined in TWC §13.1394 and §13.1395.

(1) Each public water system that is also an affected utility [and that exists as of November 1, 2011] is required to adopt and submit to the executive director an emergency preparedness plan in accordance with §290.45 of this title and may use [using] the template in Appendix G of §290.47 of this title [or another emergency preparedness plan that meets the requirements of this subchapter no later than February 1, 2012]. Emergency preparedness plans are required to be prepared under the direction of a licensed professional engineer when an affected utility has been granted or is requesting an alternative capacity requirement in accordance with §290.45(g) of this title, or is requesting to meet the requirements of TWC §13.1394 or §13.1395, as an alternative to any rule requiring elevated storage, or as determined by the executive director on a case-by-case basis.

(2) Each affected utility that supplies, provides, or conveys surface water to wholesale customers shall include the following provisions in its emergency preparedness plan [under this subsection provisions for the actual installation and maintenance of automatically

starting auxiliary generators or distributive generation facilities for each raw water intake pump station, water treatment plant, pump station, and pressure facility necessary to provide water to its wholesale customers.]

(A) An affected utility as defined by TWC §13.1394 shall provide provisions for demonstrating the capability of each raw water intake pump, water treatment plant, pump station, and pressure facility necessary to provide water to its wholesale customers during emergencies.

(B) An affected utility as defined by TWC §13.1395 shall provide provisions for the installation and maintenance of automatically starting auxiliary generators or distributive generation facilities for each raw water intake pump station, water treatment plant, pump station, and pressure facility necessary to provide water to its wholesale customers, or demonstrate the capability of providing raw water to its wholesale customers during emergencies through alternative means acceptable to the commission.

(C) Subparagraphs (A) and (B) do not apply to raw water services that are unnecessary or otherwise subject to interruption or curtailment during emergencies under a contract, as referenced in TWC §13.1394 and §13.1395.

(3) The executive director shall review an emergency preparedness plan submitted under this subsection. If the executive director determines that the plan is not acceptable, the executive director shall recommend changes to the plan. The executive director must make its recommendations on or before the 90th day after the executive director receives the plan. In accordance with the commission rules, an emergency preparedness plan must

include one of the options listed in §290.45(h)(1)(A) – (N)(H) of this title when operating under TWC §13.1394, or options listed in §290.45(i)(1)(A) – (H) of this title when operating under TWC §13.1395.

(4) An [Not later than June 1, 2012, each] affected utility defined in TWC § 13.1394 and §13.1395. shall implement the emergency preparedness plan approved by the executive director.

(5) An affected utility may file with the executive director a written request for an extension not to exceed 90 days, of the date by which the affected utility is required under this subsection to submit the affected utility's emergency preparedness plan or of the date by which the affected utility is required under this subsection to implement the affected utility's emergency preparedness plan. The executive director may approve the requested extension for good cause shown.

(6) The executive director may grant a waiver of the requirements for emergency preparedness plans to an affected utility if the executive director determines that compliance with this section will cause a significant financial burden on customers of the affected utility. The affected utility shall submit financial, managerial, and technical information as requested by the executive director to demonstrate the financial burden.

§290.41. Water Sources.

(I) The well site shall be fine graded so that the site is free from depressions, reverse grades, or areas too rough for proper ground maintenance so as to ensure that surface water will drain away from the well. In all cases, arrangements shall be made to

convey well pump drainage, packing gland leakage, and floor drainage away from the wellhead. Suitable drain pipes located at the outer edge of the concrete floor shall be provided to collect this water and prevent its ponding or collecting around the wellhead. This wastewater shall be disposed of in a manner that will not cause any nuisance from mosquito breeding or stagnation. Drains shall not be directly connected to storm or sanitary sewers.

(J) In all cases, a concrete sealing block extending at least three feet from the well casing in all directions, with a minimum thickness of six inches and sloped to drain away at not less than 0.25 inches per foot shall be provided around the wellhead.

(K) Wellheads and pump bases shall be sealed by a gasket or sealing compound and properly vented to prevent the possibility of contaminating the well water. A well casing vent shall be provided with an opening that is covered with 16-mesh or finer corrosion-resistant screen, facing downward, elevated and located so as to minimize the drawing of contaminants into the well. Wellheads and well vents shall be at least two feet above the highest known watermark or 100-year flood elevation, if available, or adequately protected from possible flood damage by levees.

(L) If a well blow-off line is provided, its discharge shall terminate in a downward direction and at a point which will not be submerged by flood waters.

(M) A suitable sampling cock shall be provided on the discharge pipe of each well pump prior to any treatment.

(N) Flow-measuring devices shall be provided for each well to measure production yields and provide for the accumulation of water production data. These devices shall be located to facilitate daily reading.

(O) All completed well units shall be protected by intruder-resistant fences, the gates of which are provided with locks or shall be enclosed in locked, ventilated well houses to exclude possible contamination or damage to the facilities by trespassers. The gates or wellhouses shall be locked during periods of darkness and when the plant is unattended.

(P) An all-weather access road shall be provided to each well site.

(Q) If an air release device is provided on the discharge piping, it shall be installed in such a manner as to preclude the possibility of submergence or possible entrance of contaminants. In this respect, all openings to the atmosphere shall be covered with 16-mesh or finer, corrosion-resistant screening material or an acceptable equivalent.

(4) Pitless units may be desirable in areas subject to vandalism or extended periods of subfreezing weather.

(A) Pitless units shall be shop fabricated from the point of connection with the well casing to the unit cap or cover, be threaded or welded to the well casing, be of watertight construction throughout, and be of materials and weight at least equivalent and compatible to the casing. The units must have a field connection to the lateral discharge from the pitless unit of threaded, flanged, or mechanical joint connection.

(B) The design of the pitless unit shall make provisions for an access to disinfect the well, a properly designed casing vent, a cover at the upper terminal of the well that will prevent the entrance of contamination, a sealed entrance connection for electrical cable, and at least one check valve within the well casing. The unit shall have an inside diameter as great as that of the well casing up to and including casing diameters of 12 inches.

(C) If the connection to the casing is by field weld, the shop-assembled unit must be designed specifically for field welding to the casing. The only field welding permitted will be that needed to connect a pitless unit to the well casing.

(D) With the exception of the fact that the well was constructed using a pitless unit, the well must otherwise meet all of the requirements of paragraph (3) of this subsection.

(d) Springs and other water sources.

(1) Springs and other similar sources of flowing artesian water shall be protected from potential contaminant sources in accordance with the requirements of subsection (c)(1) of this section.

(2) Before placing the spring or similar source into service, completion data similar to that required by subsection (c)(3)(A) of this section must be submitted to the executive director for review and approval to the Texas Commission on Environmental Quality, Water Supply Division, MC 159, P.O. Box 13087, Austin, Texas 78711-3087.

(3) Springs and similar sources shall be constructed in a manner which will preclude the entrance of surface water and debris.

(A) The site shall be fine graded so that it is free from depressions, reverse grades, or areas too rough for proper ground maintenance in order to ensure that surface water will drain away from the source.

(B) The spring or similar source shall be encased in an open-bottomed, watertight basin which intercepts the flowing water below the surface of the ground. The basin shall extend at least 18 inches above ground level. The top of the basin shall also be at least two feet above the highest known watermark or 100-year flood elevation, if available, or adequately protected from possible flood damage by levees.

(C) In all cases, a concrete sealing block shall be provided which extends at least three feet from the encasement in all directions. The sealing block shall be at least six inches thick and be sloped to drain away from the encasement at not less than 0.25 inches per foot.

(D) The top of the encasement shall be provided with a sloped, watertight roof which prevents the ponding of water and precludes the entrance of animals, insects, and other sources of contamination.

(E) The roof of the encasement shall be provided with a hatch that is not less than 30 inches in diameter. The hatch shall have a raised curbing at least four inches in height with a lockable cover that overlaps the curbing at least two inches in a downward

direction. Where necessary, a gasket shall be used to make a positive seal when the hatch is closed. All hatches shall remain locked except during inspections and maintenance.

(F) The encasement shall be provided with a gooseneck vent or roof ventilator which is equipped with approved screens to prevent entry of animals, birds, insects, and heavy air contaminants. Screens shall be fabricated of corrosion-resistant material and shall be 16-mesh or finer. Screens shall be securely clamped in place with stainless or galvanized bands or wires.

(G) The encasement shall be provided with an overflow which is designed to prevent the entry of animals, birds, insects, and debris. The discharge opening of the overflow shall be above the surface of the ground and shall not be subject to submergence.

(4) Springs and similar sources must be provided with the appurtenances required by subsection (c)(3)(L) - (O) of this section.

(5) All systems with new springs or similar sources must monitor microbiological source water quality at the new springs or similar sources in accordance with §290.111 of this title (relating to Surface Water Treatment) on a schedule determined by the executive director. The system must notify the agency of the new spring or similar source prior to construction. The executive director may waive these requirements if the spring or similar source has been determined not to be under the direct influence of surface water.

(e) Surface water sources and development.

(1) To determine the degree of pollution from all sources within the watershed, an evaluation shall be made of the surface water source in the area of diversion and its tributary streams. The area where surface water sources are diverted for drinking water use shall be evaluated and protected from sources of contamination.

(A) Where surface water sources are subject to continuous or intermittent contamination by municipal, agricultural, or industrial wastes and/or treated effluent, the adverse effects of the contamination on the quality of the raw water reaching the treatment plant shall be determined by site evaluations and laboratory procedures.

(B) The disposal of all liquid or solid wastes from any source on the watershed must be in conformity with applicable regulations and state statutes.

(C) Shore installations, marinas, boats and all habitations on the watershed shall be provided with satisfactory sewage disposal facilities. Septic tanks and soil absorption fields, tile or concrete sanitary sewers, sewer manholes, or other approved toilet facilities shall not be located in an area within 75 feet horizontally from the lake water surface at the uncontrolled spillway elevation of the lake or 75 feet horizontally from the 50-year flood elevation, whichever is lower.

(D) Disposal of wastes from boats or any other watercraft shall be in accordance with §§321.1 - 321.11 of this title (relating to Boat Sewage Disposal).

(E) Pesticides or herbicides which are used within the watershed shall be applied in strict accordance with the product label restrictions.

(F) Before approval of a new surface water source, the system shall provide the executive director with information regarding specific water quality parameters of the potential source water. These parameters are pH, total coliform, *Escherichia coli*, turbidity, alkalinity, hardness, bromide, total organic carbon, temperature, color, taste and odor, regulated volatile organic compounds, regulated synthetic organic compounds, regulated inorganic compounds, and possible sources of contamination. If data on the incidence of *Giardia* cysts and *Cryptosporidium* oocysts has been collected, the information shall be provided to the executive director. This data shall be provided to the executive director as part of the approval process for a new surface water source.

(G) All systems with new surface water intakes or new bank filtration wells must monitor microbiological source water quality at the new surface water intakes or new bank filtration wells in accordance with §290.111 of this title on a schedule determined by the executive director. The system must notify the agency of the new surface water intake or bank filtration well prior to construction.

(2) Intakes shall be located and constructed in a manner which will secure raw water of the best quality available from the source.

(A) Intakes shall not be located in areas subject to excessive siltation or in areas subject to receiving immediate runoff from wooded sloughs or swamps.

(B) Raw water intakes shall not be located within 1,000 feet of boat launching ramps, marinas, docks, or floating fishing piers which are accessible by the public.

(C) A restricted zone of 200 feet radius from the raw water intake works shall be established and all recreational activities and trespassing shall be prohibited in this area. Regulations governing this zone shall be in the city ordinances or the rules and regulations promulgated by a water district or similar regulatory agency. The restricted zone shall be designated with signs recounting these restrictions. The signs shall be maintained in plain view of the public and shall be visible from all parts of the restricted area. In addition, special buoys may be required as deemed necessary by the executive director. Provisions shall be made for the strict enforcement of such ordinances or regulations.

(D) Commission staff shall make an on-site evaluation of any proposed raw water intake location. The evaluation must be requested prior to final design and must be supported by preliminary design drawings. Once the final intake location has been selected, the executive director shall be furnished with an original or legible copy of a United States Geological Survey 7.5-minute topographic quadrangle showing the accurate intake location.

(E) Intakes shall be located and constructed in a manner which will allow raw water to be taken from a variety of depths and which will permit withdrawal of water when reservoir levels are very low. Fixed level intakes are acceptable if water quality data is available to establish that the effect on raw water quality will be minimal.

(F) Water intake works shall be provided with screens or grates to minimize the amount of debris entering the plant.

(G) Intakes shall not be located within 500 feet of a sewage treatment plant or lands irrigated with sewage effluent.

(3) The raw water pump station shall be located in a well-drained area and shall be designed to remain in operation during flood events.

(4) An all weather road shall be provided to the raw water pump station.

(5) The raw water pump station and all appurtenances must be installed in a lockable building that is designed to prevent intruder access or enclosed by an intruder-resistant fence with lockable gates.

(f) Weatherization. All critical components necessary for the continuous provision of raw water from the source must be protected from adverse weather conditions.

§290.42. Water Treatment.

(a) Capacity and location.

(1) Based on current acceptable design standards, the total capacity of the public water system's treatment facilities must always be greater than its anticipated maximum daily demand.

The water treatment plant and all pumping units shall be located in well-drained areas not subject to flooding and away from seepage areas or where the groundwater water table is near the surface.

(A) Water treatment plants shall not be located within 500 feet of a sewage treatment plant or lands irrigated with sewage effluent. A minimum distance of 150 feet must be maintained between any septic tank drainfield line and any underground treatment or storage unit. Any sanitary sewers located within 50 feet of any underground treatment or storage unit shall be constructed of ductile iron or polyvinyl chloride (PVC) pipe with a minimum pressure rating of 150 pounds per square inch (psi) and have watertight joints.

(B) Plant site selection shall also take into consideration the need for disposition of all plant wastes in accordance with all applicable regulations and state statutes, including both liquid and solid wastes, or by-product material from operation and/or maintenance.

(3) Each water treatment plant shall be located at a site that is accessible by an all-weather road.

(b) Groundwater.

(1) Disinfection facilities shall be provided for all groundwater supplies for the purpose of microbiological control and distribution protection and shall be in conformity with applicable disinfection requirements in subsection (e) of this section and in a manner consistent with the requirements of §290.110 of this title (relating to Disinfectant Residuals).

(2) Treatment facilities shall be provided for groundwater if the water does not meet the drinking water standards. The facilities provided shall be in conformance with established and proven methods.

(A) Filters provided for turbidity and microbiological quality control shall be preceded by coagulant addition and shall conform to the requirements of subsection (d)(11) of this section. Filtration rates for iron and manganese removal, regardless of the media or type of filter, shall be based on a maximum rate of five gallons per minute per square foot (gpm/sq ft).

(B) The removal of iron and manganese may not be required if it can be demonstrated that these metals can be sequestered so that the discoloration problems they cause do not exist in the distribution system.

(C) All processes involving exposure of the water to atmospheric contamination shall provide for subsequent disinfection of the water ahead of ground storage tanks. Likewise, all exposure of water to atmospheric contamination shall be accomplished in a manner such that insects, birds, and other foreign materials will be excluded from the water. Aerators and all other such openings shall be screened with 16-mesh or finer corrosion-resistant screen.

(D) If reverse osmosis or nanofiltration membrane systems are used, the design shall conform to the requirements in paragraph (9) of this subsection.

(3) Any proposed change in the extent of water treatment required will be determined on the basis of geological data, well construction features, nearby sources of contamination, and on qualitative and quantitative microbiological and chemical analyses.

(4) Appropriate laboratory facilities shall be provided for controls as well as to check the effectiveness of disinfection or any other treatment processes employed.

(5) All plant piping shall be constructed to minimize leakage.

(6) All groundwater systems shall provide sampling taps for raw water, treated water, and at a point representing water entering the distribution system at every entry point.

(7) Air release devices shall be installed in such a manner as to preclude the possibility of submergence or possible entrance of contaminants. In this respect, all openings to the atmosphere shall be covered with 16-mesh or finer corrosion-resistant screening material or an equivalent acceptable to the executive director.

(8) The executive director may require 4-log removal or inactivation of viruses based on raw water sampling results required by §290.116 of this title (relating to Groundwater Corrective Actions and Treatment Techniques).

(9) Reverse osmosis or nanofiltration membrane systems used for the treatment of primary and secondary contaminants defined in Subchapter F of this chapter (relating to Drinking Water Standards Governing Drinking Water Quality and Reporting Requirements for Public Water Systems), must meet the design criteria in subparagraphs (A) - (L) of this paragraph.

(A) The design for all reverse osmosis and nanofiltration membrane systems must be in accordance with the findings of the engineering report. Variations from the

engineering report must be explained and shall not compromise public health. Minimum engineering report requirements are found in §290.39(e)(1) and (6) of this title (relating to General Provisions).

(B) The reverse osmosis and nanofiltration membrane systems must be designed to ensure adequate cleaning of the membrane system.

(C) The reverse osmosis or nanofiltration membrane systems must be designed to operate at flux rates which assure effective filtration at all times based on at least one of the following:

(i) manufacturer's computer models for new and end-of-life membranes;

(ii) site-specific pilot study;

(iii) comparable design data from an alternative site; or

(iv) the manufacturer's allowable operating parameters, if the membrane unit's capacity is rated less than 300 gallons per minute.

(D) Pretreatment shall be provided such that the feed water quality to the membrane units shall meet the minimum allowable requirements of the membrane manufacturer. Pretreatment processes shall be sized correctly for the flow of the plant, and the components and chemicals used for pretreatment in contact with the water must conform to

American National Standards Institute/NSF International (ANSI/NSF) Standard 60 for Drinking Water Treatment Chemicals or ANSI/NSF Standard 61 for Drinking Water System Components.

Other pretreatment processes will be reviewed on an individual basis in accordance with the innovative/alternate treatment requirements specified in subsection (g) of this section.

Acceptable pretreatment techniques include:

(i) bags, cartridge filters, or screens for particulate removal;

(ii) chemical addition that will not adversely affect the reverse osmosis or nanofiltration membrane;

(iii) filters for iron and manganese removal in accordance with paragraph (2)(A) of this subsection;

(iv) aeration or degasification; and

(v) ion exchange softening.

(E) The treatment plant must include post-treatment facilities for corrosivity control, re-mineralization and the removal of dissolved gases, such as carbon dioxide and hydrogen sulfide, if necessary to meet the system's water quality goals. The treatment must be sized correctly for the flow of the plant, and the components and chemicals used for treatment must conform to ANSI/NSF Standard 60 for Drinking Water Treatment Chemicals or ANSI/NSF Standard 61 for Drinking Water System Components.

(F) Pipes and pipe galleries shall meet the minimum requirements specified in subsection (d)(12) and (13) of this section.

(G) Each reverse osmosis or nanofiltration membrane unit shall be equipped to measure conductivity or total dissolved solids in the feed and the permeate water.

(H) Chemical storage and chemical feed facilities shall comply with subsection (f) of this section.

(I) Provide cross-connection protection for common piping used for cleaning and normal production modes.

(J) Provide flow meters on the pipes for feed, permeate, and concentrate water. Additional metering devices shall be provided as appropriate to monitor the flow rate through specific treatment processes. Metering devices shall be located to facilitate use and to assist in the determination of chemical dosages, the accumulation of water production data, and the operation of plant facilities.

(K) The water system must provide pressure measuring and recording devices before and after each membrane stage.

(L) The water system must provide equipment to monitor the temperature of the water. The temperature of the water must be measured using a thermometer or thermocouple with a minimum accuracy of plus or minus 0.5 degrees Celsius.

(c) Groundwater under the direct influence of surface water, springs, and other water sources.

(1) Water obtained from springs, infiltration galleries, wells in fissured areas, wells in carbonate rock formations, or wells that do not penetrate impermeable strata or any other source subject to surface or near surface contamination of recent origin shall be evaluated for the provision of treatment facilities. Groundwater under the direct influence of surface water, as defined in §290.38 of this title (relating to Definitions), shall be provided minimum treatment as required by the executive director under this subsection or subsection (d) of this section, as applicable. Minimum treatment shall consist of coagulation with direct filtration and adequate disinfection. In all cases, the treatment process shall be designed to achieve at least a 2-log removal of *Cryptosporidium* oocysts, a 3-log removal or inactivation of *Giardia* cysts, and a 4-log removal or inactivation of viruses before the water is supplied to any consumer. The executive director may require additional levels of treatment in cases of poor source water quality. Based on raw water monitoring results, the executive director may require additional levels of treatment for *Cryptosporidium* treatment as specified in §290.111 of this title (relating to Surface Water Treatment).

(A) Filters provided for turbidity and microbiological quality control shall conform to the requirements of subsection (d)(11) of this section.

(B) All processes involving exposure of the water to atmospheric contamination shall provide for subsequent disinfection of the water ahead of ground storage tanks. Likewise, all exposure of water to atmospheric contamination shall be accomplished in a manner such that insects, birds, and other foreign materials will be excluded from the water.

Aerators and all other such openings shall be screened with 16-mesh or finer corrosion-resistant screen.

(2) Any proposed change in the extent of water treatment required will be determined on the basis of geological data, well construction features, nearby sources of contamination, and qualitative and quantitative microbiological and chemical analyses.

(3) Appropriate laboratory facilities shall be provided for controls as well as for checking the effectiveness of disinfection or any other treatment processes employed.

(4) All plant piping shall be constructed to minimize leakage. No cross-connection or interconnection shall be permitted to exist between a conduit carrying potable water and another conduit carrying raw water or water in a prior stage of treatment.

(5) All systems using springs and other water sources shall provide sampling taps for raw water, treated water, and at a point representing water entering the distribution system at every entry point.

(6) Return of the decanted water or sludge to the treatment process shall be adequately controlled so that there will be a minimum of interference with the treatment process and shall conform to the applicable requirements of subsection (d)(3) of this section. Systems that do not comply with the provisions of subsection (d)(3) of this section commit a treatment technique violation and must notify their customers in accordance with the requirements of §290.122(b) of this title (relating to Public Notification).

(7) Air release devices on treated waterlines shall be installed in such a manner as to preclude the possibility of submergence or possible entrance of contaminants. In this respect, all openings to the atmosphere shall be covered with 16-mesh or finer corrosion-resistant screening material or an equivalent acceptable to the executive director.

(8) Reverse osmosis and nanofiltration membrane systems not provided for microbiological quality control shall conform to the requirements of subsection (b) of this section.

(d) Surface water.

(1) All water secured from surface sources shall be given complete treatment at a plant which provides facilities for pretreatment disinfection, taste and odor control, continuous coagulation, sedimentation, filtration, covered clearwell storage, and terminal disinfection of the water with chlorine or suitable chlorine compounds. In all cases, the treatment process shall be designed to achieve at least a 2-log removal of *Cryptosporidium* oocysts, a 3-log removal or inactivation of *Giardia* cysts, and a 4-log removal or inactivation of viruses before the water is supplied to any consumer. The executive director may require additional levels of treatment in cases of poor source water quality. Based on raw water monitoring results, the executive director may require additional levels of treatment for *Cryptosporidium* treatment as specified in §290.111 of this title.

(2) All plant piping shall be constructed so as to be thoroughly tight against leakage. No cross-connection or interconnection shall be permitted to exist in a filtration plant

between a conduit carrying filtered or post-chlorinated water and another conduit carrying raw water or water in any prior stage of treatment.

(A) Vacuum breakers must be provided on each hose bibb within the plant facility.

(B) No conduit or basin containing raw water or any water in a prior stage of treatment shall be located directly above, or be permitted to have a single common partition wall with another conduit or basin containing finished water.

(C) Make-up water supply lines to chemical feeder solution mixing chambers shall be provided with an air gap or other acceptable backflow prevention device.

(D) Filters shall be located so that common walls will not exist between them and aerators, mixing and sedimentation basins or clearwells. This rule is not strictly applicable, however, to partitions open to view and readily accessible for inspection and repair.

(E) Filter-to-waste connections, if included, shall be provided with an air gap connection to waste.

(F) Air release devices on treated waterlines shall be installed in such a manner as to preclude the possibility of submergence or possible entrance of contaminants. In this respect, all openings to the atmosphere shall be covered with 16-mesh or finer corrosion-resistant screening material or an equivalent acceptable to the executive director.

(3) Return of the decanted water or solids to the treatment process shall be adequately controlled so that there will be a minimum of interference with the treatment process. Systems that do not comply with the provisions of this paragraph commit a treatment technique violation and must notify their customers in accordance with the requirements of §290.122(b) of this title.

(A) Unless the executive director has approved an alternate recycling location, spent backwash water and the liquids from sludge settling lagoons, spent backwash water tanks, sludge thickeners, and similar dewatering facilities shall be returned to the raw waterline upstream of the raw water sample tap and coagulant feed point. The blended recycled liquids shall pass through all of the major unit processes at the plant.

(B) Recycle facilities shall be designed to minimize the magnitude and impact of hydraulic surges that occur during the recycling process.

(C) Solids produced by dewatering facilities such as sludge lagoons, sludge thickeners, centrifuges, mechanical presses, and similar devices shall not be returned to the treatment plant without the prior approval of the executive director.

(4) Reservoirs for pretreatment or selective quality control shall be provided where complete treatment facilities fail to operate satisfactorily at times of maximum turbidities or other abnormal raw water quality conditions exist. Recreational activities at such reservoirs shall be prohibited.

(5) Flow-measuring devices shall be provided to measure the raw water supplied to the plant, the recycled decant water, the treated water used to backwash the filters, and the treated water discharged from the plant. Additional metering devices shall be provided as appropriate to monitor the flow rate through specific treatment processes. Metering devices shall be located to facilitate use and to assist in the determination of chemical dosages, the accumulation of water production data, and the operation of plant facilities.

(6) Chemical storage facilities shall comply with applicable requirements in subsection (f)(1) of this section.

(7) Chemical feed facilities shall comply with the applicable requirements in subsection (f)(2) of this section.

(8) Flash mixing equipment shall be provided.

(A) Plants with a design capacity greater than 3.0 million gallons per day (MGD) must provide at least one hydraulic mixing unit or at least two sets of mechanical flash mixing equipment designed to operate in parallel. Public water systems with other surface water treatment plants, interconnections with other systems, or wells that can meet the system's average daily demand are exempt from the requirement for redundant mechanical flash mixing equipment.

(B) Flash mixing equipment shall have sufficient flexibility to ensure adequate dispersion and mixing of coagulants and other chemicals under varying raw water characteristics and raw water flow rates.

(9) Flocculation equipment shall be provided.

(A) Plants with a design capacity greater than 3.0 MGD must provide at least two sets of flocculation equipment which are designed to operate in parallel. Public water systems with other surface water treatment plants, interconnections with other systems, or wells that can meet the system's average daily demand are exempt from the requirement for redundant flocculation equipment.

(B) Flocculation facilities shall be designed to provide adequate time and mixing intensity to produce a settleable floc under varying raw water characteristics and raw water flow rates.

(i) Flocculation facilities for straight-flow and up-flow sedimentation basins shall provide a minimum theoretical detention time of at least 20 minutes when operated at their design capacity. Flocculation facilities constructed prior to October 1, 2000, are exempt from this requirement if the settled water turbidity of each sedimentation basin remains below 10.0 nephelometric turbidity units and the treatment plant meets with turbidity requirements of §290.111 of this title.

(ii) The mixing intensity in multiple-stage flocculators shall decrease as the coagulated water passes from one stage to the next.

(C) Coagulated water or water from flocculators shall flow to sedimentation basins in such a manner as to prevent destruction of floc. Piping, flumes, and

troughs shall be designed to provide a flow velocity of 0.5 to 1.5 feet per second. Gates, ports, and valves shall be designed at a maximum flow velocity of 4.0 feet per second in the transfer of water between units.

(10) Clarification facilities shall be provided.

(A) Plants with a design capacity greater than 3.0 MGD must provide at least two sedimentation basins or clarification units which are designed to operate in parallel. Public water systems with other surface water treatment plants, interconnections with other systems, or wells that can meet the system's average daily demand are exempt from the requirement for redundant sedimentation basins or clarification units.

(B) The inlet and outlet of clarification facilities shall be designed to prevent short-circuiting of flow or the destruction of floc.

(C) Clarification facilities shall be designed to remove flocculated particles effectively.

(i) When operated at their design capacity, basins for straight-flow or up-flow sedimentation of coagulated waters shall provide either a theoretical detention time of at least six hours in the flocculation and sedimentation chambers or a maximum surface overflow rate of 0.6 gpm/sq ft of surface area in the sedimentation chamber.

(ii) When operated at their design capacity, basins for straight-flow or up-flow sedimentation of softened waters shall provide either a theoretical detention

time of at least 4.5 hours in the flocculation and sedimentation chambers or a maximum surface overflow rate of 1.0 gpm/sq ft of surface area in the sedimentation chamber.

(iii) When operated at their design capacity, sludge-blanket and solids-recirculation clarifiers shall provide either a theoretical detention time of at least two hours in the flocculation and sedimentation chambers or a maximum surface overflow rate of 1.0 gpm/sq ft in the settling chamber.

(iv) A side wall water depth of at least 12 feet shall be provided in clarification basins that are not equipped with mechanical sludge removal facilities.

(v) The effective length of a straight-flow sedimentation basin shall be at least twice its effective width.

(D) Clarification facilities shall be designed to prevent the accumulation of settled solids.

(i) At treatment plants with a single clarification basin, facilities shall be provided to drain the basin within six hours. In the event that the plant site topography is such that gravity draining cannot be realized, a permanently installed electric-powered pump station shall be provided to dewater the basin. Public water systems with other potable water sources that can meet the system's average daily demand are exempt from this requirement.

(ii) Facilities for sludge removal shall be provided by mechanical means or by hopper-bottomed basins with valves capable of complete draining of the units.

(11) Gravity or pressure type filters shall be provided.

(A) The use of pressure filters shall be limited to installations with a treatment capacity of less than 0.50 MGD.

(B) Filtration facilities shall be designed to operate at filtration rates which assure effective filtration at all times.

(i) The design capacity of gravity rapid sand filters shall not exceed a maximum filtration rate of 2.0 gpm/sq ft. At the beginning of filter runs for declining rate filters, a maximum filtration rate of 3.0 gpm/sq ft is allowed.

(ii) Where high-rate gravity filters are used, the design capacity shall not exceed a maximum filtration rate of 5.0 gpm/sq ft. At the beginning of filter runs for declining rate filters, a maximum filtration rate of 6.5 gpm/sq ft is allowed.

(iii) The design capacity of pressure filters shall not exceed a maximum filtration rate of 2.0 gpm/sq ft with the largest filter off-line.

(iv) Except as provided in clause (vi) of this subparagraph, any surface water treatment plant that provides, or is being designed to provide, less than 7.5 MGD must be able to meet either the maximum daily demand or the minimum required 0.6 gpm per connection, whichever is larger, with all filters on-line.

(v) Any surface water treatment plant that provides, or is being designed to provide, 7.5 MGD or more must be able to meet either the maximum daily demand or the minimum required 0.6 gpm per connection, whichever is larger, with the largest filter off-line.

(vi) Any surface water treatment plant that uses pressure filters must be able to meet either the maximum daily demand or the minimum required 0.6 gpm per connection, whichever is larger, with the largest filter off-line.

(C) The depth and condition of the media and support material shall be sufficient to provide effective filtration.

(i) The filtering material shall conform to American Water Works Association (AWWA) standards and be free from clay, dirt, organic matter, and other impurities.

(ii) The grain size distribution of the filtering material shall be as prescribed by AWWA standards.

(iii) The depth of filter sand, anthracite, granular activated carbon, or other filtering materials shall be 24 inches or greater and provide an L/d ratio, as defined in §290.38 of this title, of at least 1,000.

(I) Rapid sand filters typically contain a minimum of eight inches of fine sand with an effective size of 0.35 to 0.45 millimeter (mm), eight inches of medium sand with an effective size of 0.45 to 0.55 mm, and eight inches of coarse sand with an

effective size of 0.55 to 0.65 mm. The uniformity coefficient of each size range should not exceed 1.6.

(II) High-rate dual media filters typically contain a minimum of 12 inches of sand with an effective size of 0.45 to 0.55 mm and 24 inches of anthracite with an effective size of 0.9 to 1.1 mm. The uniformity coefficient of each material should not exceed 1.6.

(III) High-rate multi-media filters typically contain a minimum of three inches of garnet media with an effective size of 0.2 to 0.3 mm, nine inches of sand with an effective size of 0.5 to 0.6 mm, and 24 inches of anthracite with an effective size of 0.9 to 1.1 mm. The uniformity coefficient of each size range should not exceed 1.6.

(IV) High-rate mono-media anthracite or granular activated carbon filters typically contain a minimum of 48 inches of anthracite or granular activated carbon with an effective size of 1.0 to 1.2 mm. The uniformity coefficient of each size range should not exceed 1.6.

(iv) Under the filtering material, at least 12 inches of support gravel shall be placed varying in size from 1/16 inch to 2.5 inches. The gravel may be arranged in three to five layers such that each layer contains material about twice the size of the material above it. Other support material may be approved on an individual basis.

(D) The filter shall be provided with facilities to regulate the filtration rate.

(i) With the exception of declining rate filters, each filter unit shall be equipped with a manually adjustable rate-of-flow controller with rate-of-flow indication or flow control valves with indicators.

(ii) Each declining rate filter shall be equipped with a rate-of-flow limiting device or an adjustable flow control valve with a rate-of-flow indicator.

(iii) The effluent line of each filter installed after January 1, 1996, must be equipped with a slow opening valve or another means of automatically preventing flow surges when the filter begins operation.

(E) The filters shall be provided with facilities to monitor the performance of the filter. Monitoring devices shall be designed to provide the ability to measure and record turbidity as required by §290.111 of this title.

(i) Each filter shall be equipped with a sampling tap so that the effluent turbidity of the filter can be individually monitored.

(ii) Each filter operated by a public water system that serves fewer than 10,000 people shall be equipped with an on-line turbidimeter and recorder which will allow the operator to measure and record the turbidity at 15-minute intervals. The executive director may allow combined filter effluent monitoring in lieu of individual filter effluent monitoring under the following conditions:

(I) The public water system has only two filters that were installed prior to October 1, 2000, and were never equipped with individual on-line turbidimeters and recorders; and

(II) The plant is equipped with an on-line turbidimeter and recorder which will allow the operator to measure and record the turbidity level of the combined filter effluent at a location prior to clearwell storage at 15-minute intervals.

(iii) Each filter operated by a public water system that serves at least 10,000 people shall be equipped with an on-line turbidimeter and recorder which will allow the operator to measure and record the turbidity at 15-minute intervals.

(iv) Each filter installed after October 1, 2000, shall be equipped with an on-line turbidimeter and recorder which will allow the operator to determine the turbidity at 15-minute intervals.

(v) Each filter unit that is not equipped with an on-line turbidimeter and recorder shall be equipped with a device to indicate loss of head through the filter. In lieu of loss-of-head indicators, declining rate filter units may be equipped with rate-of-flow indicators.

(F) Filters shall be designed to ensure adequate cleaning during the backwash cycle.

(i) Only filtered water shall be used to backwash the filters. This water may be supplied by elevated wash water tanks, by the effluent of other filters, or by pumps which take suction from the clearwell and are provided for backwashing filters only. For installations having a treatment capacity no greater than 150,000 gallons per day, water for backwashing may be secured directly from the distribution system if proper controls and rate-of-flow limiters are provided.

(ii) The rate of filter backwashing shall be regulated by a rate-of-flow controller or flow control valve.

(iii) The rate of flow of backwash water shall not be less than 20 inches vertical rise per minute (12.5 gpm/sq ft) and usually not more than 35 inches vertical rise per minute (21.8 gpm/sq ft).

(iv) The backwash facilities shall be capable of expanding the filtering bed during the backwash cycle.

(I) For facilities equipped with air scour, the backwash facilities shall be capable of expanding the filtering bed at least 15% during the backwash cycle.

(II) For mixed-media filters without air scour, the backwash facilities shall be capable of expanding the filtering bed at least 25% during the backwash cycle.

(III) For mono-media sand filters without air scour, the backwash facilities shall be capable of expanding the filtering bed at least 40% during the backwash cycle.

(v) The filter freeboard in inches shall exceed the wash rate in inches of vertical rise per minute.

(vi) When used, surface filter wash systems shall be installed with an atmospheric vacuum breaker or a reduced pressure principle backflow assembly in the supply line. If an atmospheric vacuum breaker is used, it shall be installed in a section of the supply line through which all the water passes and which is located above the overflow level of the filter.

(vii) Gravity filters installed after January 1, 1996, shall be equipped with air scour backwash or surface wash facilities.

(G) Each filter installed after October 1, 2000, shall be equipped with facilities that allow the filter to be completely drained without removing other filters from service.

(12) Pipe galleries shall provide ample working room, good lighting, and good drainage provided by sloping floors, gutters, and sumps. Adequate ventilation to prevent condensation and to provide humidity control is also required.

(13) The identification of influent, effluent, waste backwash, and chemical feed lines shall be accomplished by the use of labels or various colors of paint. Where labels are used, they shall be placed along the pipe at no greater than five-foot intervals. Color coding must be by solid color or banding. If bands are used, they shall be placed along the pipe at no greater than five-foot intervals.

(A) A plant that is built or repainted after October 1, 2000, must use the following color code. The color code to be used in labeling pipes is as follows:

Figure: 30 TAC §290.42(d)(13)(A)

LETTERS	COLOR OF PIPE
Potable Water	Light Blue
Compressed Air	Light Green
Instrument Air	Light Green with Dark Green Bands
Chlorine (gas, liquid, or vent)	Yellow
Chlorine (solution)	Yellow with Red Bands
Liquid Alum	Yellow with Orange Bands
Alum (solution)	Yellow with Green Bands
Ammonia	Yellow with Brown Bands
Chlorine Dioxide (solution)	Yellow with Blue Bands
Ferric chloride	Brown with Red Bands
Ferric sulfate	Brown with Yellow Bands
Polymers	White with Green Bands
Liquid caustic	White with Red Bands
Caustic (solution)	White with Orange Bands
Fluoride	White with Yellow Bands
Ozone	Stainless Steel with White Bands
Settled Water	Green
Filter Effluent	Light Blue
Backwash Supply	Light Blue
Backwash Waste	Dark Grey
Drain	Dark Grey
Raw Water	Tan

(B) A plant that was repainted before October 1, 2000, may use an alternate color code. The alternate color code must provide clear visual distinction between process streams.

(C) The system must maintain clear, current documentation of its color code in a location easily accessed by all personnel.

(14) All surface water treatment plants shall provide sampling taps for raw, settled, individual filter effluent, and clearwell discharge. Additional sampling taps shall be provided as appropriate to monitor specific treatment processes.

(15) An adequately equipped laboratory shall be available locally so that daily microbiological and chemical tests can be conducted.

(A) For plants serving 25,000 persons or more, the local laboratory used to conduct the required daily microbiological analyses must be accredited by the executive director to conduct coliform analyses.

(B) For plants serving populations of less than 25,000, the facilities for making microbiological tests may be omitted if the required microbiological samples can be submitted to a laboratory accredited by the executive director on a timely basis.

(C) All surface water treatment plants shall be provided with equipment for making at least the following determinations:

(i) pH;

(ii) temperature;

(iii) disinfectant residual;

(iv) alkalinity;

(v) turbidity;

(vi) jar tests for determining the optimum coagulant dose; and

(vii) other tests deemed necessary to monitor specific water quality problems or to evaluate specific water treatment processes.

(D) Each surface water treatment plant that uses chlorine dioxide shall provide testing equipment for measuring chlorine dioxide and chlorite levels.

(E) Each surface water treatment plant that uses sludge-blanket clarifiers shall be equipped with facilities to monitor the depth of the sludge blanket.

(F) Each surface water treatment plant that uses solids-recirculation clarifiers shall be equipped with facilities to monitor the solids concentration in the slurry.

(16) Each surface water treatment plant shall be provided with a computer and software for recording performance data, maintaining records, and submitting reports to the executive director. The executive director may allow a water system to locate the computer at a site other than the water treatment plant only if performance data can be reliably transmitted to the remote location on a real-time basis, the plant operator has access to the computer at all times, and performance data is readily accessible to agency staff during routine and special investigations.

(17) Reverse osmosis and nanofiltration membrane systems not provided for microbiological quality control shall conform to the requirements of subsection (b)(9) of this section.

(e) Disinfection.

(1) All water obtained from surface sources or groundwater sources that are under the direct influence of surface water must be disinfected in a manner consistent with the requirements of §290.110 of this title.

(2) All groundwater must be disinfected prior to distribution and in a manner consistent with the requirements of §290.110 of this title. The point of application must be ahead of the water storage tank(s) if storage is provided prior to distribution. Permission to use alternate disinfectant application points must be obtained in writing from the executive director.

(3) Disinfection equipment shall be selected and installed so that continuous and effective disinfection can be secured under all conditions.

(A) Disinfection equipment shall have a capacity at least 50% greater than the highest expected dosage to be applied at any time. It shall be capable of satisfactory operation under every prevailing hydraulic condition.

(B) Automatic proportioning of the disinfectant dosage to the flow rate of the water being treated shall be provided at plants where the treatment rate varies automatically and at all plants where the treatment rate varies more than 50% above or below the average flow. Manual control shall be permissible only if an operator is always on hand to make adjustments promptly.

(C) All disinfecting equipment in surface water treatment plants shall include at least one functional standby unit of each capacity for ensuring uninterrupted operation. Common standby units are permissible but, generally, more than one standby unit must be provided because of the differences in feed rates or the physical state in which the disinfectants are being fed (solid, liquid, or gas).

(D) Facilities shall be provided for determining the amount of disinfectant used daily and the amount of disinfectant remaining for use.

(E) When used, solutions of calcium hypochlorite shall be prepared in a separate mixing tank and allowed to settle so that only a clear supernatant liquid is transferred to the hypochlorinator container.

(F) Provisions shall be made for both pretreatment disinfection and post-disinfection in all surface water treatment plants. Additional application points shall be installed if they are required to adequately control the quality of the treated water.

(G) The use of disinfectants other than free chlorine and chloramines will be considered on a case-by-case basis under the exception guidelines of §290.39(l) of this title. If water containing chloramines and water containing free chlorine are blended, then a case-by-case review under §290.39(l) of this title will be required.

(4) Systems that use chlorine gas must ensure that the risks associated with its use are limited as follows.

(A) When chlorine gas is used, a full-face self-contained breathing apparatus or supplied air respirator that meets Occupational Safety and Health Administration (OSHA) standards for construction and operation, and a small bottle of fresh ammonia solution (or approved equal) for testing for chlorine leakage shall be readily accessible outside the chlorinator room and immediately available to the operator in the event of an emergency.

(B) Housing for gas chlorination equipment and cylinders of chlorine shall be in separate buildings or separate rooms with impervious walls or partitions separating all mechanical and electrical equipment from the chlorine facilities. Housing shall be located above ground level as a measure of safety. Equipment and cylinders may be installed on the outside of the buildings when protected from adverse weather conditions and vandalism.

(C) Adequate ventilation, which includes both high level and floor level screened vents, shall be provided for all enclosures in which gas chlorine is being stored or fed. Enclosures containing more than one operating 150-pound cylinder of chlorine shall also provide forced air ventilation which includes: screened and louvered floor level and high level vents; a fan which is located at and draws air in through the top vent and discharges to the outside atmosphere through the floor level vent; and a fan switch located outside the enclosure. Alternately, systems may install negative pressure ventilation as long as the facilities also have gas containment and treatment as prescribed by the current International Fire Code (IFC).

(5) Hypochlorination solution containers and pumps must be housed in a secure enclosure to protect them from adverse weather conditions and vandalism. The solution container top must be completely covered to prevent the entrance of dust, insects, and other contaminants.

(6) Where anhydrous ammonia feed equipment is utilized, it must be housed in a separate enclosure equipped with both high and low level ventilation to the outside atmosphere. The enclosure must be provided with forced air ventilation which includes: screened and louvered floor level and high level vents; a fan which is located at and draws air in through the floor vent and discharges through the top vent; and a fan switch located outside the enclosure. Alternately, systems may install negative pressure ventilation as long as the facilities also have gas containment and treatment as prescribed by the current IFC.

(7) Chloramine disinfection shall be performed in a manner which assures that the proper chlorine to ammonia (as nitrogen) ratio is achieved in order to maintain a monochloramine residual and limit nitrification.

(A) The order of chlorine and ammonia injection must be accomplished in a manner which allows inactivation of viruses and oxidation of cyanide.

(i) When chlorine is injected upstream of any other disinfectant, the ammonia injection point must be downstream of the chlorine injection point.

(ii) When chlorine and ammonia are added to distribution water that has a chloramine residual, ammonia should be added first.

(iii) When chlorine and ammonia are added to distribution water that has a free chlorine residual, chlorine should be added first.

(B) Mixing shall be provided to disperse chemicals.

(C) Sampling taps must be provided at locations that allow for chlorine and ammonia to be added to the water to form monochloramine as the primary chloramine species. These locations must be listed in the system's monitoring plan as described in §290.121 of this title (relating to Monitoring Plans). Sample taps must be provided as follows:

(i) upstream of the chlorine or ammonia chemical injection point, whichever is furthest upstream;

(ii) between the addition of the chloramine chemicals at chloramination facilities submitted for plan review after December 31, 2015. For these facilities,

an installation without this sample tap may be approved if an acceptable technical reason is described in the plan review documents. Technical reasons, such as disinfection byproduct control, must be supported by bench scale sampling results. Other technical reasons, such as membrane integrity, must be supported by documentation; and

(iii) at a point after mixing to be able to measure fully-formed monochloramine levels.

(D) When using chloramines, the feed and storage must be designed as described in subsection (f) of this section, regardless of water source.

(E) When using chloramines, the public water systems shall provide equipment for making at least the following determinations for purposes of complying with the requirements in §290.110 of this title:

(i) free ammonia (as nitrogen);

(ii) monochloramine;

(iii) total chlorine;

(iv) free chlorine; and

(v) nitrite and nitrate (both as nitrogen). The public water systems must either obtain equipment for measuring nitrite and nitrate or identify an accredited

laboratory that can perform nitrite and nitrate analysis and can provide results to the public water systems within 48 hours of sample delivery.

(f) Water treatment plant chemical storage and feed facilities.

(1) Chemical storage facilities shall be designed to ensure a reliable supply of chemicals to the feeders, minimize the possibility and impact of accidental spills, and facilitate good housekeeping.

(A) Bulk storage facilities at the plant shall be adequate to store at least a 15-day supply of all chemicals needed to comply with minimum treatment technique and maximum contaminant level (MCL) requirements. The capacity of these bulk storage facilities shall be based on the design capacity of the treatment plant. However, the executive director may require a larger stock of chemicals based on local resupply ability.

(B) Day tanks shall be provided to minimize the possibility of severely overfeeding liquid chemicals from bulk storage facilities. Day tanks will not be required if adequate process control instrumentation and procedures are employed to prevent chemical overfeed incidents.

(C) Every chemical bulk storage facility and day tank shall have a label that identifies the facility's or tank's contents and a device that indicates the amount of chemical remaining in the facility or tank.

(D) Dry chemicals shall be stored off the floor in a dry room that is located above ground and protected against flooding or wetting from floors, walls, and ceilings.

(E) Bulk storage facilities and day tanks must be designed to minimize the possibility of leaks and spills.

(i) The materials used to construct bulk storage and day tanks must be compatible with the chemicals being stored and resistant to corrosion.

(ii) Except as provided in this clause, adequate containment facilities shall be provided for all liquid chemical storage tanks.

(I) Containment facilities for a single container or for multiple interconnected containers must be large enough to hold the maximum amount of chemical that can be stored with a minimum freeboard of six vertical inches or to hold 110% of the total volume of the container(s), whichever is less.

(II) Common containment for multiple containers that are not interconnected must be large enough to hold the volume of the largest container with a minimum freeboard of six vertical inches or to hold 110% of the total volume of the container(s), whichever is less.

(III) The materials used to construct containment structures must be compatible with the chemicals stored in the tanks.

(IV) Incompatible chemicals shall not be stored within the same containment structure.

(V) No containment facilities are required for hypochlorite solution containers that have a capacity of 55 gallons or less.

(VI) On a site-specific basis, the executive director may approve the use of double-walled tanks in lieu of separate containment facilities.

(F) Chemical transfer pumps and control systems must be designed to minimize the possibility of leaks and spills.

(G) Piping, pumps, and valves used for chemical storage and transfer must be compatible with the chemical being fed.

(2) Chemical feed and metering facilities shall be designed so that chemicals shall be applied in a manner which will maximize reliability, facilitate maintenance, and ensure optimal finished water quality.

(A) Each chemical feeder that is needed to comply with a treatment technique or MCL requirement shall have a standby or reserve unit. Common standby feeders are permissible, but generally, more than one standby feeder must be provided due to the incompatibility of chemicals or the state in which they are being fed (solid, liquid, or gas).

(B) Chemical feed equipment shall be sized to provide proper dosage under all operating conditions.

(i) Devices designed for determining the chemical feed rate shall be provided for all chemical feeders.

(ii) The capacity of the chemical feeders shall be such that accurate control of the dosage can be achieved at the full range of feed rates expected to occur at the facility.

(iii) Chemical feeders shall be provided with tanks for chemical dissolution when applicable.

(C) Chemical feeders, valves, and piping must be compatible with the chemical being fed.

(D) Chemical feed systems shall be designed to minimize the possibility of leaks and spills and provide protection against backpressure and siphoning.

(E) If enclosed feed lines are used, they shall be designed and installed so as to prevent clogging and be easily maintained.

(F) Dry chemical feeders shall be located in a separate room that is provided with facilities for dust control.

(G) Coagulant feed systems shall be designed so that coagulants are applied to the water prior to or within the mixing basins or chambers so as to permit their complete mixing with the water.

(i) Coagulant feed points shall be located downstream of the raw water sampling tap.

(ii) Coagulants shall be applied continuously during treatment plant operation.

(H) Chlorine feed units, ammonia feed units, and storage facilities shall be separated by solid, sealed walls.

(I) Chemical application points shall be provided to achieve acceptable finished water quality, adequate taste and odor control, corrosion control, and disinfection.

(g) Other treatment processes. Innovative/alternate treatment processes will be considered on an individual basis, in accordance with §290.39(l) of this title. Where innovative/alternate treatment systems are proposed, the licensed professional engineer must provide pilot test data or data collected at similar full-scale operations demonstrating that the system will produce water that meets the requirements of Subchapter F of this chapter. Pilot test data must be representative of the actual operating conditions which can be expected over the course of the year. The executive director may require a pilot study protocol to be submitted for review and approval prior to conducting a pilot study to verify compliance with the requirements of §290.39(l) of this title and Subchapter F of this chapter. The executive director may require proof of a one-year manufacturer's performance warrantee or guarantee assuring that the plant will produce treated water which meets minimum state and federal standards for drinking water quality.

(1) Package-type treatment systems and their components shall be subject to all applicable design criteria in this section.

(2) Bag and cartridge filtration systems or modules installed or replaced after April 1, 2012, and used for microbiological treatment, can receive up to 3.0-log *Giardia* removal credit, up to 2.0-log *Cryptosporidium* removal credit for individual bag or cartridge filters, and up to 2.5-log *Cryptosporidium* removal credit for bag or cartridge filters operated in series only if the cartridges or bags meet the criteria in subparagraphs (A) - (C) of this paragraph.

(A) The filter system must treat the entire plant flow.

(B) To be eligible for this credit, systems must receive approval from the executive director based on the results of challenge testing that is conducted according to the criteria established by 40 Code of Federal Regulations (CFR) §141.719(a) and the executive director.

(i) A factor of safety equal to 1.0-log for individual bag or cartridge filters and 0.5-log for bag or cartridge filters in series must be applied to challenge testing results to determine removal credit.

(ii) Challenge testing must be performed on full-scale bag or cartridge filters, and the associated filter housing or pressure vessel, that are identical in material and construction to the filters and housings the system will use for removal of *Cryptosporidium* and *Giardia*.

(iii) Bag or cartridge filters must be challenge tested in the same configuration that the system will use, either as individual filters or as a series configuration of filters.

(iv) Systems may use results from challenge testing conducted prior to January 5, 2006, if prior testing was consistent with 40 CFR §141.719, submitted by the system's licensed professional engineer, and approved by the executive director.

(v) If a previously tested filter is modified in a manner that could change the removal efficiency of the filter product line, additional challenge testing to demonstrate the removal efficiency of the modified filter must be conducted and results submitted to the executive director for approval.

(C) Pilot studies must be conducted using filters that will meet the requirements of this section.

(3) Membrane filtration systems or modules installed or replaced after April 1, 2012, and used for microbiological treatment, can receive *Cryptosporidium* and *Giardia* removal credit for membrane filtration only if the systems or modules meet the criteria in subparagraphs (A) - (F) of this paragraph.

(A) The membrane module used by the system must undergo challenge testing to evaluate removal efficiency. Challenge testing must be conducted according to the criteria established by 40 CFR §141.719(b)(2) and the executive director.

(i) All membrane module challenge test protocols and results, the protocol for calculating the representative Log Removal Value (LRV) for each membrane module, the removal efficiency, calculated results of Membrane LRV_{C-Test} , and the non-destructive performance test with its Quality Control Release Value (QCRV) must be submitted to the executive director for review and approval prior to beginning a membrane filtration pilot study at a public water system.

(ii) Challenge testing must be conducted on either a full-scale membrane module identical in material and construction to the membrane modules to be used in the system's treatment facility, or a smaller-scale membrane module identical in material and similar in construction to the full-scale module if approved by the executive director.

(iii) Systems may use data from challenge testing conducted prior to January 5, 2006, if prior testing was consistent with 40 CFR §141.719, submitted by the system's licensed professional engineer, and approved by the executive director.

(iv) If a previously tested membrane is modified in a manner that could change the removal efficiency of the membrane product line or the applicability of the non-destructive performance test and associated QCRV, additional challenge testing to demonstrate the removal efficiency of the modified membrane and determine a new QCRV for the modified membrane must be conducted and results submitted to the executive director for approval.

(B) The membrane system must be designed to conduct and record the results of direct integrity testing in a manner that demonstrates a removal efficiency equal to or

greater than the removal credit awarded to the membrane filtration system approved by the executive director and meets the requirements in clauses (i) and (ii) of this subparagraph.

(i) The design must provide for direct integrity testing of each membrane unit.

(ii) The design must provide direct integrity testing that has a resolution of 3 micrometers or less.

(iii) The design must provide direct integrity testing with sensitivity sufficient to verify the log removal credit approved by the executive director. Sensitivity is determined by the criteria in 40 CFR §141.719(b)(3)(iii).

(iv) The executive director may reduce the direct integrity testing requirements for membrane units.

(C) The membrane system must be designed to conduct and record continuous indirect integrity monitoring on each membrane unit. The turbidity of the water produced by each membrane unit must be measured using the Hach FilterTrak Method 10133. The executive director may approve the use of alternative technology to monitor the quality of the water produced by each membrane unit.

(D) The level of removal credit approved by the executive director shall not exceed the lower of:

(i) the removal efficiency demonstrated during challenge testing conducted under the conditions in subparagraph (A) of this paragraph; or

(ii) the maximum removal efficiency that can be verified through direct integrity testing used with the membrane filtration process under the conditions in subparagraph (B) of this paragraph.

(E) Pilot studies must be conducted using membrane modules that will meet the requirements of this section.

(F) Membrane systems must be designed so that membrane units' feed water, filtrate, backwash supply, waste, and chemical cleaning piping shall have cross-connection protection to prevent chemicals from all chemical cleaning processes from contaminating other membrane units in other modes of operation. This may be accomplished by the installation of a double block and bleed valving arrangement, a removable spool system, or other alternative methods approved by the executive director.

(4) Bag, cartridge, or membrane filtration systems or modules installed or replaced before April 1, 2012, and used for microbiological treatment, can receive up to a 2.0-log removal credit for *Cryptosporidium* and up to a 3.0-log removal credit for *Giardia* based on site-specific pilot study results, design, operation, and reporting requirements.

(5) Ultraviolet (UV) light reactors used for microbiological inactivation can receive *Cryptosporidium*, *Giardia*, and virus inactivation credit if the reactors meet the criteria in subparagraphs (A) - (C) of this paragraph.

(A) UV light reactors can receive inactivation credit only if they are located after filtration.

(B) In lieu of a pilot study, the UV light reactors must undergo validation testing to determine the operating conditions under which a UV reactor delivers the required UV dose. Validation testing must be conducted according to the criteria established by 40 CFR §141.720(d)(2) and the executive director.

(i) The validation study must include the following factors: UV absorbance of the water; lamp fouling and aging; measurement uncertainty of on-line sensors; UV dose distributions arising from the velocity profiles through the reactor; failure of UV lamps and other critical system components; inlet and outlet piping or channel configuration of the UV reactor; lamp and sensor locations; and other parameters determined by the executive director.

(ii) Validation testing must be conducted on a full-scale reactor that is essentially identical to the UV reactor(s) to be used by the system and using waters that are essentially identical in quality to the water to be treated by the UV reactor.

(C) The UV light reactor systems must be designed to monitor and record parameters to verify the UV reactors operation within the validated conditions approved by the executive director. The UV light reactor must be equipped with facilities to monitor and record UV intensity as measured by a UV sensor, flow rate, lamp status, and other parameters designated by the executive director.

(6) Membrane filtration used by groundwater systems to achieve at least 4-log removal of viruses to comply with the groundwater rule requirements under §290.109 of this title (relating to Microbial Contaminants) and §290.116 of this title, the public water system shall meet the following criteria.

(A) The membrane module must have an absolute molecular weight cut-off, or an alternate parameter that describes the exclusion characteristics of the membrane, that can reliably achieve at least 4-log removal of viruses.

(B) The membrane system must be designed to conduct and record the results of integrity testing in a manner that demonstrates a removal efficiency equal to or greater than the removal credit awarded to the membrane system approved by the executive director.

(h) Sanitary facilities for water works installations. Toilet and hand washing facilities provided in accordance with established standards of good public health engineering practices shall be available at all installations requiring frequent visits by operating personnel.

(i) Permits for waste discharges. Any discharge of wastewater and other plant wastes shall be in accordance with all applicable state and federal statutes and regulations. Permits for discharging wastes from water treatment processes shall be obtained from the commission, if necessary.

(j) Treatment chemicals and media. All chemicals and any additional or replacement process media used in treatment of water supplied by public water systems must conform to ANSI/NSF Standard 60 for Drinking Water Treatment Chemicals and ANSI/NSF Standard 61 for Drinking Water System Components. Conformance with these standards must be obtained by certification of the product by an organization accredited by ANSI.

(k) Safety.

(1) Safety equipment for all chemicals used in water treatment shall meet applicable standards established by the OSHA or Texas Hazard Communication Act, Texas Health and Safety Code, Chapter 502.

(2) Systems must comply with United States Environmental Protection Agency (EPA) requirements for Risk Management Plans.

(l) Plant operations manual. A thorough plant operations manual must be compiled and kept up-to-date for operator review and reference. This manual should be of sufficient detail to provide the operator with routine maintenance and repair procedures, with protocols to be utilized in the event of a natural or man-made catastrophe, as well as provide telephone numbers of water system personnel, system officials, and local/state/federal agencies to be contacted in the event of an emergency. If operating a reverse osmosis or nanofiltration membrane system, the manual must also include the system's configuration, baseline performance data, and any set point for membrane cleaning or replacement. This manual must include, at a minimum, the following information to ensure the continuity of operations.

(1) Identify critical plant equipment and planned protective measures for this equipment during adverse weather conditions. This will include relevant equipment maintenance schedules, the location and part numbers for backup and replacement parts, reference to pertinent manufacturer's user manuals, and vendor/technician information to include how and where to source equipment outside of the area during localized adverse weather events.

(2) Identify all necessary water treatment chemicals and chemical vendors. This will include relevant chemical vendor contact information, order/replacement schedules, and information on how and where to source chemicals outside of the area during localized adverse weather events.

(3) Standard operating procedures for:

(A) Chemical feed-rate verification and documentation procedures;

(B) Dose adjustment criteria, protocols, and documentation procedures;

(C) Process control sampling locations, frequencies, and documentation procedures;

(D) Calibration and accuracy verification protocol, frequencies and documentation procedures related to online and benchtop monitoring equipment;

(E) Operation protocol to include start-up and shutdown for critical units under normal and emergency conditions for both manual, and automated settings, as applicable; and

(F) Copies of or links to manufacturer's specifications for maintaining and troubleshooting all critical plant equipment.

(4) Continuation of operations plan to prevent or mitigate disastrous impacts to the water system if a critical treatment unit or critical equipment fails or there is a temporary or permanent loss of key personnel.

(5) This manual must be reviewed and updated when significant changes, as described in §290.39(j) of this title, are made, after emergency events that impact public water system operation, or at least every three years.

(m) Security. Each water treatment plant and all appurtenances thereof shall be enclosed by an intruder-resistant fence. The gates shall be locked during periods of darkness and when the plant is unattended. A locked building in the fence line may satisfy this requirement or serve as a gate.

(n) Corrosion control treatment. Systems must install any corrosion control or source water treatment required by §290.117(f) and (g) of this title (relating to Regulation of Lead and Copper), respectively. Such treatment must be designed and installed consistent with the requirements of this subchapter. The requirements of 40 CFR §141.82(i) and §141.83(b)(7) relating to EPA involvement in treatment determination are adopted by reference.

(o) Weatherization. All critical drinking water treatment components necessary to achieve compliance with primary standards and treatment technique requirements must be protected from adverse weather conditions.

§290.43. Water Storage.

(a) Capacity. The minimum clearwell, storage tank, and pressure maintenance capacity shall be governed by the requirements in §290.45 of this title (relating to Minimum Water System Capacity Requirements).

(b) Location of clearwells, standpipes, and ground storage and elevated tanks.

(1) No public water supply elevated storage or ground storage tank shall be located:

(A) within 500 feet of any:

(i) municipal or industrial sewage treatment plant; [or any]

(ii) land which is spray irrigated with [treated] sewage treatment plant effluent; or

(iii) land on which sewage treatment plant sludge, septic tank sewage sludge, or biosolids is applied; or

(B) within 150 feet of any land spray irrigated with effluent from onsite sewage facilities as defined in §285.2 of this title (related to Definitions). [sludge disposal.]

(2) Insofar as possible, clearwells or treated water tanks shall not be located under any part of any buildings and, when possible, shall be constructed partially or wholly above ground.

(3) No storage tank or clearwell located below ground level is allowed within 50 feet of a sanitary sewer or septic tank. However, if the sanitary sewers are constructed of 150 pounds per square inch (psi) pressure-rated pipe with pressure-tested, watertight joints as used in water main construction, the minimum separation distance is ten feet.

(4) No storage tank or clearwell located below ground level is allowed within 150 feet of a septic tank soil absorption system.

(c) Design and construction of clearwells, standpipes, ground storage tanks, and elevated tanks. All facilities for potable water storage shall be covered and designed, fabricated, erected, tested, and disinfected in strict accordance with current American Water Works Association (AWWA) standards and shall be provided with the minimum number, size and type of roof vents, man ways, drains, sample connections, access ladders, overflows, liquid level indicators, and other appurtenances as specified in these rules. The roof of all tanks shall be designed and erected so that no water ponds at any point on the roof and, in addition, no area of the roof shall have a slope of less than 0.75 inch per foot.

(1) Roof vents shall be gooseneck or roof ventilator and be designed by the engineer based on the maximum outflow from the tank. Vents shall be installed in strict accordance with current AWWA standards and shall be equipped with approved screens to prevent entry of animals, birds, insects and heavy air contaminants. Screens shall be fabricated of corrosion-resistant material and shall be 16-mesh or finer. Screens shall be securely clamped in place with stainless or galvanized bands or wires and shall be designed to withstand winds of not less than tank design criteria (unless specified otherwise by the engineer).

(2) All roof openings shall be designed in accordance with current AWWA standards. If an alternate 30-inch diameter access opening is not provided in a storage tank, the primary roof access opening shall not be less than 30 inches in diameter. Other roof openings required only for ventilating purposes during cleaning, repairing or painting operations shall be not less than 24 inches in diameter or as specified by the licensed professional engineer. An existing tank without a 30-inch in diameter access opening must be modified to meet this requirement when major repair or maintenance is performed on the tank. Each access opening shall have a raised curbing at least four inches in height with a lockable cover that overlaps the curbing at least two inches in a downward direction. Where necessary, a gasket shall be used to make a positive seal when the hatch is closed. All hatches shall remain locked except during inspections and maintenance.

(3) Overflows shall be designed in strict accordance with current AWWA standards. If the overflow terminates at any point other than the ground level, it shall be located near enough and at a position accessible from a ladder or the balcony for inspection purposes. The overflow(s) shall be sized to handle the maximum possible fill rate without exceeding the capacity of the overflow(s). The discharge opening of the overflow(s) shall be

above the surface of the ground and shall not be subject to submergence. The discharge opening shall be covered with a gravity-hinged and weighted cover, an elastomeric duckbill valve, or other approved device to prevent the entrance of insects and other nuisances. When the tank is not overflowing, the cover shall close automatically and fit tightly with no gap over 1/16 inch.

(4) All clearwells and water storage tanks shall have a liquid level indicator located at the tank site. The indicator can be a float with a moving target, an ultrasonic level indicator, or a pressure gauge calibrated in feet of water. If an elevated tank or standpipe has a float with moving target indicator, it must also have a pressure indicator located at ground level. Pressure gauges must not be less than three inches in diameter and calibrated at not more than two-foot intervals. Remote reading gauges at the owner's treatment plant or pumping station will not eliminate the requirement for a gauge at the tank site unless the tank is located at the plant or station.

(5) Inlet and outlet connections shall be located so as to prevent short-circuiting or stagnation of water. Clearwells used for disinfectant contact time shall be appropriately baffled.

(6) Clearwells and potable water storage tanks shall be thoroughly tight against leakage, shall be located above the groundwater table, and shall have no walls in common with any other plant units containing water in the process of treatment. All associated appurtenances including valves, pipes, and fittings shall be tight against leakage.

(7) Each clearwell or potable water storage tank shall be provided with a means of removing accumulated silt and deposits at all low points in the bottom of the tank. Drains shall not be connected to any waste or sewage disposal system and shall be constructed so that they are not a potential agent in the contamination of the stored water. Each clearwell or potable water storage tank must be designed to drain the tank.

(8) All clearwells, ground storage tanks, standpipes, and elevated tanks shall be painted, disinfected, and maintained in strict accordance with current AWWA standards. However, no temporary coatings, wax grease coatings, or coating materials containing lead will be allowed. No other coatings will be allowed which are not approved for use (as a contact surface with potable water) by the United States Environmental Protection Agency, NSF International (NSF), or United States Food and Drug Administration. All newly installed coatings must conform to American National Standards Institute/NSF (ANSI/NSF) Standard 61 and must be certified by an organization accredited by ANSI.

(9) No tanks or containers shall be used to store potable water that have previously been used for any nonpotable purpose. Where a used tank is proposed for use, a letter from the previous owner or owners must be submitted to the executive director which states the use of the tank.

(10) Access manways in the riser pipe, shell area, access tube, bowl area or any other location opening directly into the water compartment shall be located in strict accordance with current AWWA standards. These openings shall not be less than 24 inches in diameter. However, in the case of a riser pipe or access tube of 36 inches in diameter or smaller, the access manway may be 18 inches times 24 inches with the vertical dimension not less than 24

inches. The primary access manway in the lower ring or section of a ground storage tank shall be not less than 30 inches in diameter. Where necessary, for any access manway which allows direct access to the water compartment, a gasket shall be used to make a positive seal when the access manway is closed.

(d) Design and construction of pressure (hydropneumatic) tanks. All hydropneumatic tanks must be located wholly above grade and must be of steel construction with welded seams except as provided in paragraph (8) of this subsection.

(1) Metal thickness for pressure tanks shall be sufficient to withstand the highest expected working pressures with a four to one factor of safety. Tanks of 1,000 gallons capacity or larger must meet the standards of the American Society of Mechanical Engineers (ASME) Section VIII, Division 1 Codes and Construction Regulations and must have an access port for periodic inspections. An ASME name plate must be permanently attached to those tanks. Tanks installed before July 1, 1988, are exempt from the ASME coding requirement, but all new installations must meet this regulation. Exempt tanks can be relocated within a system but cannot be relocated to another system.

(2) All pressure tanks shall be provided with a pressure release device and an easily readable pressure gauge. When more than one pressure tank is connected by a common manifold pipe and pressure switch to allow the tanks to operate as a single unit, the unit shall be provided at least one pressure gauge.

(3) Facilities shall be provided for maintaining the air-water-volume at the design water level and working pressure. Air injection lines must be equipped with filters or other

devices to prevent compressor lubricants and other contaminants from entering the pressure tank. A device to readily determine air-water-volume must be provided for all tanks greater than 1,000 gallon capacity. Galvanized tanks which are not provided with the necessary fittings and which were installed before July 1, 1988 shall be exempt from this requirement.

(4) Protective paint or coating shall be applied to the inside portion of any pressure tank. The coating shall be as specified in subsection (c)(8) of this section.

(5) No pressure tank that has been used to store any material other than potable water may be used in a public water system. A letter from the previous owner or owners must be provided as specified in subsection (c)(9) of this section.

(6) Pressure tank installations should be equipped with slow closing valves and time delay pump controls to eliminate water hammer and reduce the chance of tank failure.

(7) All associated appurtenances including valves, pipes and fittings connected to pressure tanks shall be thoroughly tight against leakage.

(8) Where seamless fiberglass tanks are utilized, they shall not exceed 300 gallons in capacity.

(9) No more than three pressure tanks shall be installed at any one site without the prior approval of the executive director.

(e) Facility security. All potable water storage tanks and pressure maintenance facilities must be installed in a lockable building that is designed to prevent intruder access or enclosed by an intruder-resistant fence with lockable gates. Pedestal-type elevated storage tanks with lockable doors and without external ladders are exempt from this requirement. The gates and doors must be kept locked whenever the facility is unattended.

(f) Service pumps. Service pump installations taking suction from storage tanks shall provide automatic low water level cutoff devices to prevent damage to the pumps. The service pump circuitry shall also resume pumping automatically once the minimum water level is reached in the tank.

(g) Weatherization. All critical components necessary for the safe and sufficient storage of raw water or treated drinking water must be protected from adverse weather conditions.

§290.44. Water Distribution.

(a) Design and standards. All potable water distribution systems including pump stations, mains, and both ground and elevated storage tanks, shall be designed, installed, and constructed in accordance with current American Water Works Association (AWWA) standards with reference to materials to be used and construction procedures to be followed. In the absence of AWWA standards, commission review may be based upon the standards of the American Society for Testing and Materials (ASTM), commercial, and other recognized standards utilized by licensed professional engineers.

(1) All newly installed pipes and related products must conform to American National Standards Institute/NSF International (ANSI/NSF) Standard 61 and must be certified by an organization accredited by ANSI.

(2) All plastic pipes for use in public water systems must also bear the NSF International Seal of Approval (NSF-pw) and have an ASTM design pressure rating of at least 150 pounds per square inch (psi) or a standard dimension ratio of 26 or less.

(3) No pipe which has been used for any purpose other than the conveyance of drinking water shall be accepted or relocated for use in any public drinking water supply.

(4) Water transmission and distribution lines must be installed in accordance with the manufacturer's instructions. However, the top of the waterline must be located below the frost line and in no case shall the top of the waterline be less than 24 inches below ground surface.

(5) The hydrostatic leakage rate shall not exceed the amount allowed or recommended by AWWA formulas.

(b) Lead ban. The following provisions apply to the use of lead in plumbing.

(1) The use of pipes and pipe fittings that contain more than 0.25% lead or solders and flux that contains more than 0.2% lead is prohibited in the following circumstances:

(A) for installation or repair of any public water supply; and

(B) for installation or repair of any plumbing in a residential or nonresidential facility providing water for human consumption and connected to a public drinking water supply system.

(2) This requirement will be waived for lead joints that are necessary for repairs to cast iron pipe.

(3) The following are exempt from prohibitions on the use of lead pipes, solder, and flux:

(A) pipes, pipe fittings, plumbing fittings, or fixtures, including backflow preventers, that are used exclusively for nonpotable services such as manufacturing, industrial processing, irrigation, outdoor watering, or any other uses where the water is not anticipated to be used for human consumption; or

(B) toilets, bidets, urinals, fill valves, flush-o-meter valves, tub fillers, shower valves, service saddles, fire hydrants or water distribution main gate valves that are two inches in diameter or larger.

(c) Minimum waterline sizes. The minimum waterline sizes are for domestic flows only and do not consider fire flows. Larger pipe sizes shall be used when the licensed professional engineer deems it necessary. It should be noted that the required sizes are based strictly on the number of customers to be served and not on the distances between connections or differences in elevation or the type of pipe. No new waterline less than two inches in diameter will be

allowed to be installed in a public water system distribution system. These minimum line sizes do not apply to individual customer service lines.

Figure: 30 TAC §290.44(c)

Maximum Number of Connections	Minimum Line Size (inches)
10	2
25	2.5
50	3
100	4
150	5
250	6
>250	8 and larger

(d) Minimum pressure requirement. The system must be designed to maintain a minimum pressure of 35 psi at all points within the distribution network at flow rates of at least 1.5 gallons per minute per connection. When the system is intended to provide **firefighting** **[fire fighting]** capability, it must also be designed to maintain a minimum pressure of 20 psi under combined fire and drinking water flow conditions. The distribution system of public water systems that are also affected utilities, **defined in TWC §13.1394 or §13.1395**, must be designed to meet the requirements of §290.45(h) **or (i)** of this title (relating to Minimum Water System Capacity Requirements).

(1) Air release devices shall be installed in the distribution system at all points where topography or other factors may create air locks in the lines. Air release devices shall be installed in such a manner as to preclude the possibility of submergence or possible entrance

of contaminants. In this respect, all openings to the atmosphere shall be covered with 16-mesh or finer, corrosion-resistant screening material or an acceptable equivalent.

(2) When service is to be provided to more than one pressure plane or when distribution system conditions and demands are such that low pressures develop, the method of providing increased pressure shall be by means of booster pumps taking suction from storage tanks. If an exception to this requirement is desired, the designing engineer must furnish for the executive director's review all planning material for booster pumps taking suction from other than a storage tank. The planning material must contain a full description of the supply to the point of suction, maximum demands on this part of the system, location of pressure recorders, safety controls, and other pertinent information. Where booster pumps are installed to take suction directly from the distribution system, a minimum residual pressure of 20 psi must be maintained on the suction line at all times. Such installations must be equipped with automatic pressure cut-off devices so that the pumping units become inoperative at a suction pressure of less than 20 psi. In addition, a continuous pressure recording device may be required at a predetermined suspected critical pressure point on the suction line in order to record the hydraulic conditions in the line at all times. If such a record indicates critical minimum pressures, less than 20 psi, adequate storage facilities must be installed with the booster pumps taking suction from the storage facility. Fire pumps used to maintain pressure on automatic sprinkler systems only for fire protection purposes are not considered as in-line booster pumps.

(3) Service connections that require booster pumps taking suction from the public water system lines must be equipped with automatic pressure cut-off devices so that the pumping units become inoperative at a suction pressure of less than 20 psi. Where these types

of installations are necessary, the preferred method of pressure maintenance consists of an air gapped connection with a storage tank and subsequent repressurization facilities.

(4) Each community public water system shall provide accurate metering devices at each residential, commercial, or industrial service connection for the accumulation of water usage data. A water system that furnishes the services or commodity only to itself or its employees when that service or commodity is not resold to or used by others is exempt from this requirement.

(5) The system shall be provided with sufficient valves and blowoffs so that necessary repairs can be made without undue interruption of service over any considerable area and for flushing the system when required. The engineering report shall establish criteria for this design.

(6) The system shall be designed to afford effective circulation of water with a minimum of dead ends. All dead-end mains shall be provided with acceptable flush valves and discharge piping. All dead-end lines less than two inches in diameter will not require flush valves if they end at a customer service. Where dead ends are necessary as a stage in the growth of the system, they shall be located and arranged to ultimately connect the ends to provide circulation.

(e) Location of waterlines. The following rules apply to installations of waterlines, wastewater mains or laterals, and other conveyances/appurtenances identified as potential sources of contamination. Furthermore, all ratings specified shall be defined by ASTM or AWWA standards unless stated otherwise. New mains, service lines, or laterals are those that

are installed where no main, service line, or lateral previously existed, or where existing mains, service lines, or laterals are replaced with pipes of different size or material.

(1) When new potable water distribution lines are constructed, they shall be installed no closer than nine feet in all directions to wastewater collection facilities. All separation distances shall be measured from the outside surface of each of the respective pieces.

(2) Potable water distribution lines and wastewater mains or laterals that form parallel utility lines shall be installed in separate trenches.

(3) No physical connection shall be made between a drinking water supply and a sewer line. Any appurtenance shall be designed and constructed so as to prevent any possibility of sewage entering the drinking water system.

(4) Where the nine-foot separation distance cannot be achieved, the following criteria shall apply.

(A) New waterline installation - parallel lines.

(i) Where a new potable waterline parallels an existing, non-pressure or pressure rated wastewater main or lateral and the licensed professional engineer licensed in the State of Texas is able to determine that the existing wastewater main or lateral is not leaking, the new potable waterline shall be located at least two feet above the existing wastewater main or lateral, measured vertically, and at least four feet away, measured

horizontally, from the existing wastewater main or lateral. Every effort shall be exerted not to disturb the bedding and backfill of the existing wastewater main or lateral.

(ii) Where a new potable waterline parallels an existing pressure-rated wastewater main or lateral and it cannot be determined by the licensed professional engineer if the existing line is leaking, the existing wastewater main or lateral shall be replaced with at least 150 psi pressure-rated pipe. The new potable waterline shall be located at least two feet above the new wastewater line, measured vertically, and at least four feet away, measured horizontally, from the replaced wastewater main or lateral.

(iii) Where a new potable waterline parallels a new wastewater main, the wastewater main or lateral shall be constructed of at least 150 psi pressure-rated pipe. The new potable waterline shall be located at least two feet above the wastewater main or lateral, measured vertically, and at least four feet away, measured horizontally, from the wastewater main or lateral.

(B) New waterline installation - crossing lines.

(i) Where a new potable waterline crosses above a wastewater main or lateral, the segment of the waterline pipe shall be centered over and must be perpendicular to the wastewater main or lateral such that the joints of the waterline pipe are equidistant and at least nine feet horizontally from the centerline of the wastewater main or lateral. When crossing an existing wastewater main or lateral and it is disturbed or shows signs of leaking, the wastewater main or lateral shall be replaced for at least nine feet in both directions (18 feet total) with at least 150 psi pressure-rated pipe embedded in cement

stabilized sand (see clause (v) of this subparagraph) for the total length of one pipe segment plus 12 inches beyond the joint on each end.

(I) The potable waterline shall be at least two feet above an existing, non-pressure rated wastewater main or lateral.

(II) The potable waterline shall be at least six inches above an existing, pressure-rated wastewater main or lateral.

(ii) Where a new potable waterline crosses a new, non-pressure rated wastewater main or lateral, the segment of the waterline pipe shall be centered over and shall be perpendicular to the wastewater main or lateral such that the joints of the waterline pipe are equidistant and at least nine feet horizontally from the centerline of the wastewater main or lateral. The potable waterline shall be at least two feet above the wastewater main or lateral. Whenever possible, the crossing shall be centered between the joints of the wastewater main or lateral. The wastewater pipe shall have a minimum pipe stiffness of 115 psi at 5.0% deflection. The wastewater main or lateral shall be embedded in cement stabilized sand (see clause (v) of this subparagraph) for the total length of one pipe segment plus 12 inches beyond the joint on each end. The materials and method of installation shall conform to one of the following options:

(I) Within nine feet horizontally of either side of the waterline, the wastewater pipe and joints shall be constructed with pipe material having a minimum pressure rating of at least 150 psi. An absolute minimum vertical separation distance

of two feet shall be provided. The wastewater main or lateral shall be located below the waterline.

(II) All sections of wastewater main or lateral within nine feet horizontally of the waterline shall be encased in an 18-foot (or longer) section of pipe. Flexible encasing pipe shall have a minimum pipe stiffness of 115 psi at 5.0% deflection. The encasing pipe shall be centered on the waterline and shall be at least two nominal pipe diameters larger than the wastewater main or lateral. The space around the carrier pipe shall be supported at five-foot (or less) intervals with spacers or be filled to the springline with washed sand. Each end of the casing shall be sealed with watertight non-shrink cement grout or a manufactured watertight seal. An absolute minimum separation distance of six inches between the encasement pipe and the waterline shall be provided. The wastewater line shall be located below the waterline.

(iii) When a new waterline crosses under a wastewater main or lateral, the waterline shall be encased as described for wastewater mains or laterals in clause (ii) of this subparagraph or constructed of ductile iron or steel pipe with mechanical or welded joints as appropriate. An absolute minimum separation distance of one foot between the waterline and the wastewater main or lateral shall be provided. When a new waterline crosses under a wastewater main, the procedures in §217.53(d) of this title (relating to Pipe Design) must be followed.

(iv) Where a new potable waterline crosses a new, pressure rated wastewater main or lateral, one segment of the waterline pipe shall be centered over and shall be perpendicular to the wastewater line such that the joints of the waterline pipe are

equidistant and at least nine feet horizontally from the center line of the wastewater main or lateral. The potable waterline shall be at least six inches above the wastewater main or lateral. Whenever possible, the crossing shall be centered between the joints of the wastewater main or lateral. The wastewater pipe shall have a minimum pressure rating of at least 150 psi. The wastewater main or lateral shall be embedded in cement stabilized sand (see clause (v) of this subparagraph) for the total length of one pipe segment plus 12 inches beyond the joint on each end.

(v) Where cement stabilized sand bedding is required, the cement stabilized sand shall have a minimum of 10% cement per cubic yard of cement stabilized sand mixture, based on loose dry weight volume (at least 2.5 bags of cement per cubic yard of mixture). The cement stabilized sand bedding shall be a minimum of six inches above and four inches below the wastewater main or lateral. The use of brown coloring in cement stabilized sand for wastewater main or lateral bedding is recommended for the identification of pressure rated wastewater mains during future construction.

(5) Waterline and wastewater main manhole or lateral manhole or cleanout separation. The separation distance from a potable waterline to a wastewater main manhole or lateral manhole or cleanout shall be a minimum of nine feet. Where the nine-foot separation distance cannot be achieved, the potable waterline shall be encased in a joint of at least 150 psi pressure class pipe at least 18 feet long and two nominal sizes larger than the new conveyance. The space around the carrier pipe shall be supported at five-foot intervals with spacers or be filled to the springline with washed sand. The encasement pipe shall be centered on the crossing and both ends sealed with cement grout or manufactured sealant.

(6) Location of fire hydrants. Fire hydrants shall not be installed within nine feet vertically or horizontally of any wastewater main, wastewater lateral, or wastewater service line regardless of construction.

(7) Location of potable or raw water supply or suction lines. Suction mains to pumping equipment shall not cross wastewater mains, wastewater laterals, or wastewater service lines. Raw water supply lines shall not be installed within five feet of any tile or concrete wastewater main, wastewater lateral, or wastewater service line.

(8) Proximity of septic tank drainfields. Waterlines shall not be installed closer than ten feet to septic tank drainfields.

(f) Sanitary precautions and disinfection. Sanitary precautions, flushing, disinfection procedures, and microbiological sampling as prescribed in AWWA standards for disinfecting water mains shall be followed in laying waterlines.

(1) Pipe shall not be laid in water or placed where it can be flooded with water or sewage during its storage or installation.

(2) Special precautions must be taken when waterlines are laid under any flowing or intermittent stream or semipermanent body of water such as marsh, bay, or estuary. In these cases, the water main shall be installed in a separate watertight pipe encasement and valves must be provided on each side of the crossing with facilities to allow the underwater portion of the system to be isolated and tested to determine that there are no leaks in the underwater line. Alternately, and with the permission of the executive director, the watertight pipe encasement may be omitted.

(3) New mains shall be thoroughly disinfected in accordance with AWWA Standard C651 and then flushed and sampled before being placed in service. Samples shall be collected for microbiological analysis to check the effectiveness of the disinfection procedure. Sampling shall be repeated if contamination persists. A minimum of one sample for each 1,000 feet of completed waterline will be required or at the next available sampling point beyond 1,000 feet as designated by the design engineer.

(g) Interconnections.

(1) Each proposal for a direct connection between public drinking water systems under separate administrative authority will be considered on an individual basis.

(A) Documents covering the responsibility for sanitary control shall accompany the submitted planning material.

(B) Each water supply shall be of a safe, potable quality.

(2) Where an interconnection between systems is proposed to provide a second source of supply for one or both systems, the system being utilized as a second source of supply must be capable of supplying a minimum of 0.35 gallons per minute per connection for the total number of connections in the combined distribution systems.

(h) Backflow, siphonage.

(1) No water connection from any public drinking water supply system shall be allowed to any residence or establishment where an actual or potential contamination hazard exists unless the public water facilities are protected from contamination.

(A) At any residence or establishment where an actual or potential contamination hazard exists, additional protection shall be required at the meter in the form of an air gap or backflow prevention assembly. The type of backflow prevention assembly required shall be determined by the specific potential hazard identified in §290.47(f) of this title (relating to Appendices).

(B) At any residence or establishment where an actual or potential contamination hazard exists and an adequate internal cross-connection control program is in effect, backflow protection at the water service entrance or meter is not required.

(i) An adequate internal cross-connection control program shall include an annual inspection and testing by a licensed backflow prevention assembly tester on all backflow prevention assemblies used for health hazard protection.

(ii) Copies of all such inspection and test reports must be obtained and kept on file by the water purveyor.

(iii) It will be the responsibility of the water purveyor to ensure that these requirements are met.

(2) No water connection from any public drinking water supply system shall be connected to any condensing, cooling, or industrial process or any other system of nonpotable

usage over which the public water supply system officials do not have sanitary control, unless the said connection is made in accordance with the requirements of paragraph (1) of this subsection. Water from such systems cannot be returned to the potable water supply.

(3) Overhead bulk water dispensing stations must be provided with an air gap between the filling outlet hose and the receiving tank to protect against back siphonage and cross-contamination.

(4) All backflow prevention assemblies that are required according to this section and associated table located in §290.47(f) of this title shall be tested upon installation by a licensed backflow prevention assembly tester and certified to be operating within specifications. Backflow prevention assemblies which are installed to provide protection against health hazards must also be tested and certified to be operating within specifications at least annually by a licensed backflow prevention assembly tester.

(A) Backflow prevention assembly testers shall have completed an executive director-approved course on cross-connection control and backflow prevention assembly testing, pass an examination administered by the executive director, and hold a current license as a backflow prevention assembly tester.

(i) Backflow prevention assembly testers are qualified to test and repair assemblies on any domestic, commercial, industrial, or irrigation service.

(ii) Backflow prevention assembly testers may test and repair assemblies on firelines only if they are permanently employed by an Approved Fireline

Contractor. The Texas Department of Insurance's State Fire Marshal's Office requires that any person performing maintenance on firelines must be employed by an Approved Fireline Contractor.

(B) Gauges used in the testing of backflow prevention assemblies shall be tested for accuracy annually in accordance with the University of Southern California's Manual of Cross-Connection Control or the AWWA's Recommended Practice for Backflow Prevention and Cross-Connection Control (AWWA Manual M14). Public water systems shall require testers to include test gauge serial numbers on the Backflow Prevention Assembly Test and Maintenance Report (commission Form 20700), and ensure testers have gauges tested for accuracy.

(C) A test report must be completed by the recognized backflow prevention assembly tester for each assembly tested. The signed and dated original must be submitted to the public water supplier for recordkeeping purposes. Any form which varies from the format specified in commission Form 20700 must be approved by the executive director prior to being placed in use.

(5) The use of a backflow prevention assembly at the service connection shall be considered as additional backflow protection and shall not negate the use of backflow protection on internal hazards as outlined and enforced by local plumbing codes.

(6) At any residence or establishment where there is no actual or potential contamination hazard, a backflow prevention assembly is not required.

(i) Water hauling. When drinking water is distributed by tank truck or trailer, it must be accomplished in the following manner.

(1) Water shall be obtained from an approved source.

(2) The equipment used to haul the water must be approved by the executive director and must be constructed as follows.

(A) The tank truck or trailer shall be used for transporting drinking water only and shall be labeled "Drinking Water." Tanks which have been used previously for purposes other than transporting potable liquids shall not be used for hauling drinking water.

(B) The tank shall be watertight and of an approved material which is impervious and easily cleaned and disinfected. Any paint or coating and any plastic or fiberglass materials used as contact surfaces must be approved by the United States Environmental Protection Agency, the United States Food and Drug Administration, or the NSF. Effective January 1, 1993, any newly installed surfaces shall conform to ANSI/NSF Standard 61 and must be certified by an organization accredited by ANSI.

(C) The tank shall have a manhole and a manhole cover which overlaps the raised manhole opening by a minimum of two inches and terminates in a downward direction. The cover shall fit firmly on the manhole opening and shall be kept locked.

(D) The tank shall have a vent which is faced downward and located to minimize the possibility of drawing contaminants into the stored water. The vent must be screened with 16-mesh or finer corrosion-resistant material.

(E) Connections for filling and emptying the tank shall be properly protected to prevent the possible entrance of contamination. These openings must be provided with caps and keeper chains.

(F) A drain shall be provided which will completely empty the tank for cleaning or repairs.

(G) When a pump is used to transfer the water from the tank, the pump shall be permanently mounted with a permanent connection to the tank. The discharge side of the pump shall be properly protected between uses by a protective cap and keeper chain.

(H) Hoses used for the transfer of drinking water to and from the tank shall be used only for that purpose and labeled for drinking water only. The hoses shall conform to ANSI/NSF Standard 61 and must be certified by an entity recognized by the commission. Hoses and related appurtenances must be cleaned and disinfected on a regular basis during prolonged use or before start-up during intermittent use. Hoses must be properly stored between uses and must be provided with caps and keeper chains or have the ends connected together.

(I) The tank shall be disinfected monthly and at any time that contamination is suspected.

(J) At least one sample per month from each tank shall be collected and submitted for microbiological analysis to one of the executive director's accredited [commission's approved] laboratories for each month of operation.

(K) A minimum free chlorine residual of 0.5 milligrams per liter (mg/L) or, if chloramines are used as the primary disinfectant, a chloramine residual of 1.0 mg/L (measured as total chlorine) shall be maintained in the water being hauled. Chlorine or chlorine containing compounds may be added on a "batch" basis to maintain the required residual.

(L) Operational records detailing the amount of water hauled, purchases, microbiological sampling results, chlorine residual readings, dates of disinfection, and source of water shall be maintained.

(j) If a structure is connected to a public water supply system and has a rainwater harvesting system, the structure must have appropriate cross-connection safeguards in accordance with subsection (h)(1) of this section.

(1) A privately owned rainwater harvesting system with a capacity of more than 500 gallons that is connected to a public water system for a back-up supply shall have a backflow prevention assembly or an air gap installed at the storage facility for the harvested rainwater to ensure physical separation between the rainwater harvesting system and the public water system.

(2) At each residence or facility where water from a rainwater harvesting system is used for potable purposes and there is a connection to a public water system, the public water system shall ensure that the rainwater harvesting system is installed and maintained by a master plumber or journeyman plumber licensed by the Texas State Board of Plumbing Examiners and who holds an endorsement issued by the Texas State Board of Plumbing Examiners as a Water Supply Protection Specialist.

(3) A person who intends to connect a rainwater harvesting system to a public water system must give written notice of that intention to the municipality or the owner or operator of the public water system in which the rainwater harvesting system is located.

(4) The public water system used as a back-up supply for the rainwater harvesting system may be connected only to the water storage tank and may not be connected to the plumbing of a structure.

(k) Weatherization. All critical distribution system components necessary for the continued transmission of water must be adequately protected from adverse weather conditions.

§290.45. Minimum Water System Capacity Requirements.

(a) General provisions.

(1) The requirements contained in this section are to be used in evaluating both the total capacities for public water systems and the capacities at individual pump stations and pressure planes which serve portions of the system that are hydraulically separated from, or

incapable of being served by, other pump stations or pressure planes. The capacities specified in this section are minimum requirements only and do not include emergency fire flow capacities for systems required to meet requirements contained in §290.46(x) and (y) of this title (relating to Minimum Acceptable Operating Practices for Public Drinking Water Systems).

(2) The executive director will require additional supply, storage, service pumping, and pressure maintenance facilities if a normal operating pressure of 35 pounds per square inch (psi) cannot be maintained throughout the system, or if the system's maximum daily demand exceeds its total production and treatment capacity. The executive director will also require additional capacities for a system that is unable to maintain a minimum pressure of 20 psi during firefighting, [fire fighting,] line flushing, other unusual conditions, and systems that are required to provide fire flow as specified in §290.46(x) and (y) of this title.

(3) The executive director may establish additional capacity requirements for a public water system using the method of calculation described in subsection (g)(2) of this section if there are repeated customer complaints regarding inadequate pressure or if the executive director receives a request for a capacity evaluation from customers of the system.

(4) Throughout this section, total storage capacity does not include pressure tank capacity.

(5) The executive director may exclude the capacity of facilities that have been inoperative for the past 120 days and will not be returned to an operative condition within the next 30 days when determining compliance with the requirements of this section.

(6) The capacity of the treatment facilities shall not be less than the required raw water or groundwater production rate or the anticipated maximum daily demand of the system. The production capacity of a reverse osmosis or nanofiltration membrane system shall be the quantity of permeate water after post-treatment that can be delivered to the distribution system. The amount available for customer use must consider:

(A) the quantity of feed water discharged to waste;

(B) the quantity of bypass water used for blending;

(C) the quantity of permeate water used for cleaning and maintenance;

and

(D) any other loss of raw water or groundwater available for use due to other processes at the reverse osmosis or nanofiltration facility.

(7) If a public water system that is an affected utility fails to provide a minimum of 20 psi or a pressure approved by the executive director, or 35 psi, as required by TWC §13.1394 and §13.1395 respectively, throughout the distribution system during emergency operations as soon as it is safe and practicable following the occurrence of a natural disaster, a revised emergency preparedness plan or justification regarding pressure drop shall be submitted for review and approval within 180 days of the date normal power is restored. Based on the review of the revised emergency preparedness plan, the executive director may require additional or alternative auxiliary emergency facilities.

(8) A public water system that is an affected utility is required to review its emergency preparedness plan once every three years. An affected utility shall submit a new or revised emergency preparedness plan to the executive director for approval within 90 days after any of the following conditions occur:

(A) An affected utility chooses to implement a different option or options other than those in the most recent approved emergency preparedness plan;

(B) A previously non-affected utility meets the definition of an affected utility;

(C) An affected utility makes a significant change as described in §290.39(j) of this title that affects emergency operations; or

(D) An affected utility makes changes to utility contact information, personnel changes, or emergency communications contacts. For these changes, the affected utility must submit only the updated applicable pages of the emergency preparedness plan to the executive director.

(b) Community water systems.

(1) Groundwater supplies must meet the following requirements.

(A) If fewer than 50 connections without ground storage, the system must meet the following requirements:

(i) a well capacity of 1.5 gallons per minute (gpm) per connection;

and

(ii) a pressure tank capacity of 50 gallons per connection.

(B) If fewer than 50 connections with ground storage, the system must meet the following requirements:

(i) a well capacity of 0.6 gpm per connection;

(ii) a total storage capacity of 200 gallons per connection;

(iii) two or more service pumps having a total capacity of 2.0 gpm per connection; and

(iv) a pressure tank capacity of 20 gallons per connection.

(C) For 50 to 250 connections, the system must meet the following requirements:

(i) a well capacity of 0.6 gpm per connection;

(ii) a total storage capacity of 200 gallons per connection;

(iii) two or more pumps having a total capacity of 2.0 gpm per connection at each pump station or pressure plane. For systems which provide an elevated storage capacity of 200 gallons per connection, two service pumps with a minimum combined capacity of 0.6 gpm per connection are required at each pump station or pressure plane. If only wells and elevated storage are provided, service pumps are not required; and

(iv) an elevated storage capacity of 100 gallons per connection or a pressure tank capacity of 20 gallons per connection.

(D) For more than 250 connections, the system must meet the following requirements:

(i) two or more wells having a total capacity of 0.6 gpm per connection. Where an interconnection is provided with another acceptable water system capable of supplying at least 0.35 gpm for each connection in the combined system under emergency conditions, an additional well will not be required as long as the 0.6 gpm per connection requirement is met for each system on an individual basis. Each water system must still meet the storage and pressure maintenance requirements on an individual basis unless the interconnection is permanently open. In this case, the systems' capacities will be rated as though a single system existed;

(ii) a total storage capacity of 200 gallons per connection;

(iii) two or more pumps that have a total capacity of 2.0 gpm per connection or that have a total capacity of at least 1,000 gpm and the ability to meet peak

hourly demands with the largest pump out of service, whichever is less, at each pump station or pressure plane. For systems which provide an elevated storage capacity of 200 gallons per connection, two service pumps with a minimum combined capacity of 0.6 gpm per connection are required at each pump station or pressure plane. If only wells and elevated storage are provided, service pumps are not required;

(iv) an elevated storage capacity of 100 gallons per connection or a pressure tank capacity of 20 gallons per connection. If pressure tanks are used, a maximum capacity of 30,000 gallons is sufficient for up to 2,500 connections. An elevated storage capacity of 100 gallons per connection is required for systems with more than 2,500 connections. Alternate methods of pressure maintenance may be proposed and will be approved if the criteria contained in subsection (g)(5) of this section are met; and

(v) emergency power for systems which serve more than 250 connections and do not meet the elevated storage requirement. Sufficient emergency power must be provided to deliver a minimum of 0.35 gpm per connection **and meet minimum pressure requirements** to the distribution system in the event of the loss of normal power supply. Alternately, an emergency interconnection can be provided with another public water system that has emergency power and is able to supply at least 0.35 gpm for each connection in the combined system. Emergency power **must be maintained as required by §290.46(m)(8) of this title. [facilities in systems serving 1,000 connections or greater must be serviced and maintained in accordance with level 2 maintenance requirements contained in the current National Fire Protection Association (NFPA) 110 Standard. Although not required, compliance with NFPA 110 Standard is highly recommended for systems serving less than 1,000 connections. Logs of all emergency power use and maintenance must be maintained and kept**

on file for a period of not less than three years. These records must be made available, upon request, for executive director review.]

(E) Mobile home parks with a density of eight or more units per acre and apartment complexes which supply fewer than 100 connections without ground storage must meet the following requirements:

(i) a well capacity of 1.0 gpm per connection; and

(ii) a pressure tank capacity of 50 gallons per connection with a maximum of 2,500 gallons required.

(F) Mobile home parks and apartment complexes which supply 100 connections or greater, or fewer than 100 connections and utilize ground storage must meet the following requirements:

(i) a well capacity of 0.6 gpm per connection. Systems with 250 or more connections must have either two wells or an approved interconnection which is capable of supplying at least 0.35 gpm for each connection in the combined system;

(ii) a total storage of 200 gallons per connection;

(iii) at least two service pumps with a total capacity of 2.0 gpm per connection; and

(iv) a pressure tank capacity of 20 gallons per connection.

(2) Surface water supplies must meet the following requirements:

(A) a raw water pump capacity of 0.6 gpm per connection with the largest pump out of service;

(B) a treatment plant capacity of 0.6 gpm per connection under normal rated design flow;

(C) transfer pumps (where applicable) with a capacity of 0.6 gpm per connection with the largest pump out of service;

(D) a covered clearwell storage capacity at the treatment plant of 50 gallons per connection or, for systems serving more than 250 connections, 5.0% of daily plant capacity;

(E) a total storage capacity of 200 gallons per connection;

(F) a service pump capacity that provides each pump station or pressure plane with two or more pumps that have a total capacity of 2.0 gpm per connection or that have a total capacity of at least 1,000 gpm and the ability to meet peak hourly demands with the largest pump out of service, whichever is less. For systems which provide an elevated storage capacity of 200 gallons per connection, two service pumps with a minimum combined capacity of 0.6 gpm per connection are required at each pump station or pressure plane;

(G) an elevated storage capacity of 100 gallons per connection or a pressure tank capacity of 20 gallons per connection. If pressure tanks are used, a maximum capacity of 30,000 gallons is sufficient for systems of up to 2,500 connections. An elevated storage capacity of 100 gallons per connection is required for systems with more than 2,500 connections. Alternate methods of pressure maintenance may be proposed and will be approved if the criteria contained in subsection (g)(5) of this section are met; and

(H) emergency power for systems which serve more than 250 connections and do not meet the elevated storage requirement. Sufficient emergency power must be provided to deliver a minimum of 0.35 gpm per connection and meet minimum pressure requirements to the distribution system in the event of the loss of normal power supply. Alternately, an emergency interconnection can be provided with another public water system that has emergency power and is able to supply at least 0.35 gpm for each connection in the combined system. Emergency power must be maintained as required by §290.46(m)(8) of this title. [facilities in systems serving 1,000 connections or greater must be serviced and maintained in accordance with level 2 maintenance requirements contained in the current NFPA 110 Standard. Although not required, compliance with NFPA 110 Standard is highly recommended for systems serving less than 1,000 connections. Logs of all emergency power use and maintenance must be maintained and kept on file for a period of not less than three years. These records must be made available, upon request, for executive director review.]

(3) Any community public water system that is an affected utility, defined in TWC §13.1394 or §13.1395 shall have an emergency preparedness plan approved by the executive director and must meet the requirements for emergency operations contained in

subsection (h) **or (i)** of this section. This includes any affected utility that provides 100 gallons of elevated storage capacity per connection.

(c) Noncommunity water systems serving transient accommodation units. The following water capacity requirements apply to noncommunity water systems serving accommodation units such as hotel rooms, motel rooms, travel trailer spaces, campsites, and similar accommodations.

(1) Groundwater supplies must meet the following requirements.

(A) If fewer than 100 accommodation units without ground storage, the system must meet the following requirements:

(i) a well capacity of 1.0 gpm per unit; and

(ii) a pressure tank capacity of ten gallons per unit with a minimum of 220 gallons.

(B) For systems serving fewer than 100 accommodation units with ground storage or serving 100 or more accommodation units, the system must meet the following requirements:

(i) a well capacity of 0.6 gpm per unit;

(ii) a ground storage capacity of 35 gallons per unit;

(iii) two or more service pumps which have a total capacity of 1.0 gpm per unit; and

(iv) a pressure tank capacity of ten gallons per unit.

(2) Surface water supplies, regardless of size, must meet the following requirements:

(A) a raw water pump capacity of 0.6 gpm per unit with the largest pump out of service;

(B) a treatment plant capacity of 0.6 gpm per unit;

(C) a transfer pump capacity (where applicable) of 0.6 gpm per unit with the largest pump out of service;

(D) a ground storage capacity of 35 gallons per unit with a minimum of 1,000 gallons as clearwell capacity;

(E) two or more service pumps with a total capacity of 1.0 gpm per unit; and

(F) a pressure tank capacity of ten gallons per unit with a minimum requirement of 220 gallons.

(3) A noncommunity public water system that is an affected utility, **defined in TWC §13.1394 or §13.1395** shall meet the requirements of subsection (h) **or (i)** of this section.

(d) Noncommunity water systems serving other than transient accommodation units.

(1) The following table is applicable to paragraphs (2) and (3) of this subsection and shall be used to determine the maximum daily demand for the various types of facilities listed.

Figure: 30 TAC §290.45(d)(1)

Type of Establishment	Gallons/Person/Day
Restaurants.	18
Schools without cafeterias, gymnasiums, or showers.	18
Schools with cafeterias, but no gymnasiums or showers.	24
Schools with cafeterias, gymnasiums, and showers.	30
Youth camps without flush toilets, showers, or dining halls.	6
Youth camps with flush toilets, but no showers or dining halls.	24
Youth camps with flush toilets, showers, and dining halls.	42
Office buildings.	18
Hospitals (based on number of beds).	720
Institutions, other than hospitals.	240
Factories (exclusive of industrial processes).	24
Parks.	6
Swimming pools.	12
Country clubs.	120

Airports (per passenger).	6
Self-service laundries.	60
Service stations/stores.	12

It should be noted that this table is used to determine minimum capacities only and that the overriding criteria will be the ability of the system to maintain a minimum pressure of 35 psi under normal operating conditions. Minimum distribution pressure shall not be less than 20 psi at any time.

(2) Groundwater supplies must meet the following requirements.

(A) Subject to the requirements of subparagraph (B) of this paragraph, if fewer than 300 persons per day are served, the system must meet the following requirements:

(i) a well capacity which meets or exceeds the maximum daily demand of the system during the hours of operation; and

(ii) a minimum pressure tank capacity of 220 gallons with additional capacity, if necessary, based on a sanitary survey conducted by the executive director.

(B) Systems which serve 300 or more persons per day or serve fewer than 300 persons per day and provide ground storage must meet the following requirements:

(i) a well capacity which meets or exceeds the maximum daily demand;

(ii) a ground storage capacity which is equal to 50% of the maximum daily demand;

(iii) if the maximum daily demand is less than 15 gpm, at least one service pump with a capacity of three times the maximum daily demand;

(iv) if the maximum daily demand is 15 gpm or more, at least two service pumps with a total capacity of three times the maximum daily demand; and

(v) a minimum pressure tank capacity of 220 gallons with additional capacity, if necessary, based on a sanitary survey conducted by the executive director.

(3) Each surface water supply or groundwater supply that is under the direct influence of surface water, regardless of size, must meet the following requirements:

(A) a raw water pump capacity which meets or exceeds the maximum daily demand of the system with the largest pump out of service;

(B) a treatment plant capacity which meets or exceeds the system's maximum daily demand;

(C) a transfer pump capacity (where applicable) sufficient to meet the maximum daily demand with the largest pump out of service;

(D) a clearwell capacity which is equal to 50% of the maximum daily demand;

(E) two or more service pumps with a total capacity of three times the maximum daily demand; and

(F) a minimum pressure tank capacity of 220 gallons with additional capacity, if necessary, based on a sanitary survey conducted by the executive director.

(4) A noncommunity public water system that is an affected utility, defined in TWC §13.1394 or §13.1395, shall meet the requirements of subsection (h) or (i) of this section.

(e) Water wholesalers. The following additional requirements apply to systems which supply wholesale treated water to other public water supplies.

(1) All wholesalers must provide enough production, treatment, and service pumping capacity to meet or exceed the combined maximum daily commitments specified in their various contractual obligations. If a contract prohibits a purchaser from securing water from sources other than the contracted wholesaler during emergency operations, the wholesaler is responsible for meeting applicable capacity requirements.

(2) For wholesale water suppliers, minimum water system capacity requirements shall be determined by calculating the requirements based upon the number of retail customer service connections of that wholesale water supplier, if any, fire flow capacities, if required by §290.46(x) and (y) of this title and adding that amount to the maximum amount of water obligated or pledged under all wholesale contracts.

(3) Emergency power is required for each portion of the system which supplies more than 250 connections under direct pressure and does not provide an elevated storage capacity of at least 100 gallons per connection. If emergency power is required, it must be sufficient to deliver 20% of the minimum required service pump capacity **and meet minimum pressure requirements** in the event of the loss of normal power supply. When the wholesaler provides water through an air gap into the purchaser's storage facilities it will be the purchaser's responsibility to meet all minimum water system capacity requirements including emergency power. **For wholesale contracts executed or amended on or after January 1, 2025, the contract must specify if the wholesaler will supply water, pressure, or both water and pressure during emergency operations to comply with TWC §13.1394 or §13.1395.**

(4) A wholesaler that is an affected utility, **defined in TWC §13.1394 or §13.1395,** must meet the requirements specified in subsection (h) **or (i)** of this section.

(f) Purchased water systems. The following requirements apply only to systems which purchase treated water to meet all or part of their production, storage, service pump, or pressure maintenance capacity requirements.

(1) The water purchase contract must be available to the executive director in order that production, storage, service pump, or pressure maintenance capacity may be properly evaluated. For purposes of this section, a contract may be defined as a signed written document of specific terms agreeable to the water purchaser and the water wholesaler, or in its absence, a memorandum or letter of understanding between the water purchaser and the water wholesaler.

(2) The contract shall authorize the purchase of enough water to meet the monthly or annual needs of the purchaser.

(3) The contract shall also establish the maximum rate at which water may be drafted on a daily and hourly basis. In the absence of specific maximum daily or maximum hourly rates in the contract, a uniform purchase rate for the contract period will be used.

(4) The maximum authorized daily purchase rate specified in the contract, or a uniform purchase rate in the absence of a specified daily purchase rate, plus the actual production capacity of the system must be at least 0.6 gpm per connection.

(5) For systems which purchase water under direct pressure, the maximum hourly purchase authorized by the contract plus the actual service pump capacity of the system must be at least 2.0 gpm per connection or provide at least 1,000 gpm and be able to meet peak hourly demands, whichever is less.

(6) The purchaser is responsible for meeting all capacity [production] requirements. If additional capacity to meet increased demands cannot be attained from the wholesaler through a new or amended contract, additional capacity must be obtained from water purchase contracts with other entities, new wells, or surface water treatment facilities. However, if the water purchase contract prohibits the purchaser from securing water from sources other than the wholesaler, the wholesaler is responsible for meeting applicable capacity [all production] requirements. For wholesale contracts executed or amended on or after January

1, 2025, the contract must specify if the wholesaler will supply water, pressure, or both water and pressure during emergency operations to comply with TWC §13.1394 or §13.1395.

(7) All other minimum capacity requirements specified in this section and §290.46(x) and (y) of this title shall apply.

(g) Alternative capacity requirements. Public water systems may request approval to meet alternative capacity requirements in lieu of the minimum capacity requirements specified in this section. Any water system requesting to use an alternative capacity requirement must demonstrate to the satisfaction of the executive director that approving the request will not compromise the public health or result in a degradation of service or water quality and comply with the requirements found in §290.46(x) and (y) of this title. Alternative capacity requirements are unavailable for groundwater systems serving fewer than 50 connections without total storage as specified in subsection (b)(1) of this section or for noncommunity water systems as specified in subsections (c) and (d) of this section.

(1) Alternative capacity requirements for public water systems may be granted upon request to and approval by the executive director. The request to use an alternative capacity requirement must include:

(A) a detailed inventory of the major production, pressurization, and storage facilities utilized by the system;

(B) records kept by the water system that document the daily production of the system. The period reviewed shall not be less than three years. The applicant may not use a calculated peak daily demand;

(C) data acquired during the last drought period in the region, if required by the executive director;

(D) the actual number of active connections for each month during the three years of production data;

(E) description of any unusual demands on the system such as fire flows or major main breaks that will invalidate unusual peak demands experienced in the study period;

(F) any other relevant data needed to determine that the proposed alternative capacity requirement will provide at least 35 psi in the public water system except during line repair or during **firefighting [fire fighting]** when it cannot be less than 20 psi; and

(G) a copy of all data relied upon for making the proposed determination.

(2) Alternative capacity requirements for existing public water systems must be based upon the maximum daily demand for the system, unless the request is submitted by a licensed professional engineer in accordance with the requirements of paragraph (3) of this subsection. The maximum daily demand must be determined based upon the daily usage data contained in monthly operating reports for the system during a 36 consecutive month period.

The 36 consecutive month period must end within 90 days of the date of submission to ensure the data is as current as possible.

(A) Maximum daily demand is the greatest number of gallons, including groundwater, surface water, and purchased water delivered by the system during any single day during the review period. Maximum daily demand excludes unusual demands on the system such as fire flows or major main breaks.

(B) For the purpose of calculating alternative capacity requirements, an equivalency ratio must be established. This equivalency ratio must be calculated by multiplying the maximum daily demand, expressed in gpm per connection, by a fixed safety factor and dividing the result by 0.6 gpm per connection. The safety factor shall be 1.15 unless it is documented that the existing system capacity is adequate for the next five years. In this case, the safety factor may be reduced to 1.05. The conditions in §291.93(3) of this title (relating to Adequacy of Water Utility Service) concerning the 85% rule shall continue to apply to public water systems that are also retail public utilities.

(C) To calculate the alternative capacity requirements, the equivalency ratio must be multiplied by the appropriate minimum capacity requirements specified in subsection (b) of this section. Standard rounding methods are used to round calculated alternative production capacity requirement values to the nearest one-hundredth.

(3) Alternative capacity requirements which are proposed and submitted by licensed professional engineers for review are subject to the following additional requirements.

(A) A signed and sealed statement by the licensed professional engineer must be provided which certifies that the proposed alternative capacity requirements have been determined in accordance with the requirements of this subsection.

(B) If the system is new or at least 36 consecutive months of data is not available, maximum daily demand may be based upon at least 36 consecutive months of data from a comparable public water system. A licensed professional engineer must certify that the data from another public water system is comparable based on consideration of the following factors: prevailing land use patterns (rural versus urban); number of connections; density of service populations; fire flow obligations; and socio-economic, climatic, geographic, and topographic considerations as well as other factors as may be relevant. The comparable public water system shall not exhibit any of the conditions listed in paragraph (6)(A) of this subsection.

(4) The executive director shall consider requests for alternative capacity requirements in accordance with the following requirements.

(A) For those requests submitted under the seal of a licensed professional engineer, the executive director must mail written acceptance or denial of the proposed alternative capacity requirements to the public water system within 90 days from the date of submission. If the executive director fails to mail written notification within 90 days, the alternative capacity requirements submitted by a licensed professional engineer automatically become the alternative capacity requirements for the public water system.

(B) If the executive director denies the request:

(i) the executive director shall mail written notice to the public water system identifying the specific reason or reasons for denial and allow 45 days for the public water system to respond to the reason(s) for denial;

(ii) the denial is final if no response from the public water system is received within 45 days of the written notice being mailed; and

(iii) the executive director must mail a final written approval or denial within 60 days from the receipt of any response timely submitted by the public water system.

(5) Although elevated storage is the preferred method of pressure maintenance for systems of over 2,500 connections, it is recognized that local conditions may dictate the use of alternate methods utilizing hydropneumatic tanks and on-site emergency power equipment. Alternative capacity requirements to the elevated storage requirements may be obtained based on request to and approval by the executive director. Special conditions apply to systems qualifying for an elevated storage alternative capacity requirement.

(A) The system must submit documentation sufficient to assure that the alternate method of pressure maintenance is capable of providing a safe and uninterrupted supply of water under pressure to the distribution system during all demand conditions.

(i) A signed and sealed statement by a licensed professional engineer must be provided which certifies that the pressure maintenance facilities are sized,

designed, and capable of providing a minimum pressure of at least 35 psi at all points within the distribution network at flow rates of 1.5 gpm per connection or greater. In addition, the engineer must certify that the emergency power facilities are capable of providing the greater of the average daily demand or 0.35 gpm per connection while maintaining distribution pressures of at least 20 psi or a pressure approved by the executive director, or 35 psi, as required by TWC §13.1394 and §13.1395, respectively. and that emergency power facilities powering production and treatment facilities are capable of supplying at least 0.35 gpm per connection to storage.

(ii) The system's licensed professional engineer must conduct a hydraulic analysis of the system under peak conditions. This must include an analysis of the time lag between the loss of the normal power supply and the commencement of emergency power as well as the minimum pressure that will be maintained within the distribution system during this time lag. In no case shall this minimum pressure within the distribution system be less than 20 psi. The results of this analysis must be submitted to the executive director for review.

(iii) For existing systems, the system's licensed professional engineer must provide continuous pressure chart recordings of distribution pressures maintained during past power failures, if available. The period reviewed shall not be less than three years.

(iv) A public water system that is an affected utility, defined in TWC §13.1394 or §13.1395, must conduct the modeling requirements contained in clauses (i) - (iii) of this subparagraph using the requirements specified in subsection (h) or (i) of this section.

(B) Emergency power facilities must be maintained and provided with necessary appurtenances to assure immediate and dependable operation in case of normal power interruption. A public water system that is an affected utility, defined in TWC §13.1394 or §13.1395, must meet the requirements specified in subsection (h) or (i) of this section.

(i) The facilities must be serviced and maintained in accordance with Level [level] 2 maintenance requirements contained in the current NFPA 110 Standard and the manufacturers' recommendations if the affected utility serves 1,000 connections or greater, or in accordance with manufacturer's recommendations and as prescribed in §290.46(m)(8) of this title if the affected utility serves fewer than 1,000 connections.

(ii) The switching gear must be capable of bringing the emergency power generating equipment on-line during a power interruption such that the pressure in the distribution network does not fall below 20 psi or a pressure approved by the executive director, or 35 psi, as required by TWC §13.1394 and §13.1395, respectively. [at any time.]

(iii) The minimum on-site fuel storage capacity shall be determined by the fuel demand of the emergency power facilities and the frequency of fuel delivery. An amount of fuel equal to that required to operate the emergency power facilities during emergency operations [under-load] for a period of at least 48 [eight] hours must always be maintained on site or made readily available.

(iv) Residential rated mufflers or other means of effective noise suppression must be provided on each emergency power motor.

(C) Battery-powered or uninterrupted power supply pressure monitors and chart recorders which are configured to activate immediately upon loss of normal power must be provided for pressure maintenance facilities. These records must be kept for a minimum of three years and made available for review by the executive director. Records must include chart recordings of all power interruptions including interruptions due to periodic emergency power under-load testing and maintenance.

(D) An emergency response plan must be submitted detailing procedures to be followed and individuals to be contacted in the event of loss of normal power supply.]

(6) Any alternative capacity requirement granted under this subsection is subject to review and revocation or revision by the executive director. If permission to use an alternative capacity requirement is revoked, the public water system must meet the applicable minimum capacity requirements of this section.

(A) The following conditions, if attributable to the alternative capacity requirements, may constitute grounds for revocation or revision of established alternative capacity requirements or for denial of new requests, if the condition occurred within the last 36 months:

(i) documented pressure below 35 psi at any time not related to line repair, except during **firefighting [fire fighting]** when it cannot be less than 20 psi;

(ii) water outages due to high water usage;

(iii) mandatory water rationing due to high customer demand or overtaxed water production or supply facilities;

(iv) failure to meet a minimum capacity requirement or an established alternative capacity requirement;

(v) changes in water supply conditions or usage patterns which create a potential threat to public health; or

(vi) any other condition where the executive director finds that the alternative capacity requirement has compromised **[the]** public health or resulted in a degradation of service or water quality.

(B) If the executive director finds any of the conditions specified in subparagraph (A) of this paragraph, the process for revocation or revision of an alternative capacity requirement shall be as follows, unless the executive director finds that failure of the service or other threat to public health and safety is imminent under subparagraph (C) of this paragraph.

(i) The executive director must mail the public drinking water system written notice of the executive director's intent to revoke or revise an alternative capacity requirement identifying the specific reason(s) for the proposed action.

(ii) The public water system has 30 days from the date the written notice is mailed to respond to the proposed action.

(iii) The public water system has 30 days from the date the written notice is mailed to request a meeting with the agency's public drinking water program personnel to review the proposal. If requested, such a meeting must occur within 45 days of the date the written notice is mailed.

(iv) After considering any response from or after any requested meeting with the public drinking water system, the executive director must mail written notification to the public drinking water system of the executive director's final decision to continue, revoke, or revise an alternative capacity requirement identifying the specific reason(s) for the decision.

(C) If the executive director finds that failure of the service or other threat to public health and safety is imminent, the executive director may issue written notification of the executive director's final decision to revoke or revise an alternative capacity requirement at any time.

(h) Affected utilities as defined in TWC §13.1394. This subsection applies to all affected utilities, as defined in TWC §13.1394, and is in addition to any other requirements pertaining to emergency power found in this chapter.

(1) Affected utilities must provide one or more of the following options to ensure the emergency operation of its water system during an extended power outage at a minimum of

20 psi, or a pressure approved by the executive director, whichever is applicable, and in accordance with the affected utility's approved emergency preparedness plan:

(A) the maintenance of automatically starting auxiliary generators;

(B) the sharing of auxiliary generator capacity with one or more affected utilities, including through participation in a statewide mutual aid program;

(C) the negotiation of leasing and contracting agreements, including emergency mutual aid agreements with other retail public utilities, exempt utilities, or providers, or conveyers of potable water or raw water service, if the agreements provide for coordination with the division of emergency management in the governor's office;

(D) the use of portable generators capable of serving multiple facilities equipped with quick-connect systems;

(E) the use of on-site electrical generation or electrical distribution generation facilities;

(F) hardening of the electric transmission and electric distribution system against damage from natural disasters during an extended power outage;

(G) the maintenance of direct engine or right-angle drives;

(H) designation of the water system as a critical load facility or redundant, isolated or dedicated electrical feeds;

(I) water storage capabilities with sufficient storage to provide water to customers during an extended power outage;

(J) water supplies can be delivered from outside the service area of the affected utility by opening an emergency interconnect or using a water hauler;

(K) affected utility has ability to provide water through artesian flows;

(L) affected utility has ability to open valves between pressure zones to provide redundant interconnectivity between pressure zones;

(M) affected utility will implement emergency water demand rules to maintain emergency operations; or

(N) any other alternative determined by the executive director to be acceptable.

(2) Each affected utility that supplies, provides, or conveys raw surface water shall include in its emergency preparedness plan, under paragraph (1) of this subsection, provisions for demonstrating the capability of each raw water intake pump station, pump station, and pressure facility necessary to provide raw water service to its wholesale customers

during emergencies. This does not apply to raw water services that are unnecessary or otherwise subject to interruption or curtailment during emergencies under a contract.

(3) Emergency generators used as part of an approved emergency preparedness plan must be inspected, maintained, tested, and operated in accordance with the manufacturer's specifications and as outlined in 290.46(m)(8) of this title.

(4) An affected utility may adopt and is encouraged to enforce limitations on water use while the utility is providing emergency operations.

(5) As soon as safe and practicable following the occurrence of a natural disaster, an affected utility must operate in accordance with its approved emergency preparedness plan, which may include using elevated storage. An affected utility may meet the requirements of TWC §13.1394 including having a currently approved emergency preparedness plan, in lieu of any other rules regarding elevated storage requirements, provided that, under normal operating conditions, the affected utility continues to meet the pressure requirements of §290.46(r) of this title (related to Minimum Acceptable Operating Practices for Public Drinking Water Systems) and the production, treatment, total storage, and service pump capacity requirements of this subchapter.

(6) An affected utility must maintain on-site, or make readily available during emergency operations, an amount of fuel necessary to operate any required emergency power equipment necessary to maintain emergency operations for at least 48 hours.

(7) Each affected utility must implement its emergency preparedness plan upon approval by the executive director.

(i)(h) Affected utilities as defined by TWC §13.1395. This subsection applies to all affected utilities as defined by TWC §13.1395 and is in addition to any other requirements pertaining to emergency power requirements found in this subchapter.

(1) Affected utilities must provide one of the following options of sufficient power to meet the capacity requirements of paragraph (1) or (2) of this subsection, whichever is applicable, and in accordance with the affected utility's approved emergency preparedness plan:

(A) the maintenance of automatically starting auxiliary generators;

(B) the sharing of auxiliary generator capacity with one or more affected utilities;

(C) the negotiation of leasing and contracting agreements, including emergency mutual aid agreements with other retail public utilities, exempt utilities, or providers, or conveyors of potable or raw water service, if the agreements provide for coordination with the division of emergency management in the governor's office;

(D) the use of portable generators capable of serving multiple facilities equipped with quick-connect systems;

(E) the use of on-site electrical generation or electrical distributed generation facilities;

(F) hardening of the electric transmission and electric distribution system against damage from natural disasters during an extended power outage;

(G) for existing facilities, the maintenance of direct engine or right-angle right angle drives; or

(H) any other alternative determined by the executive director to be acceptable.

(2) Each affected utility that supplies, provides, or conveys surface water to wholesale customers shall install and maintain automatically starting auxiliary generators or distributive generation facilities for each raw water intake pump station, water treatment plant, pump station, and pressure facility necessary to provide water to its wholesale customers. This does not apply to raw water services that are unnecessary or otherwise subject to interruption or curtailment during emergencies under a contract.

(3) Emergency generators used as part of an approved emergency preparedness plan must be maintained, tested, and operated in accordance with Level 2 maintenance requirements contained in the current NFPA 110 Standard and the manufacturers specifications if the affected utility serves 1,000 connections or greater, or the manufacturer's specifications and as outlined in §290.46(m)(8) of this title for affected utilities serving fewer than 1,000 connections.

(4) An affected utility may adopt and is encouraged to enforce limitations on water use while the utility is providing emergency operations.

(5) As soon as safe and practicable following the occurrence of a natural disaster, an affected utility must operate in accordance with its approved emergency preparedness plan, which may include using elevated storage. An affected utility may meet the requirements of TWC [Texas Water Code,] §13.1395, including having a currently approved emergency preparedness plan, in lieu of any other rules regarding elevated storage requirements, provided that, under normal operating conditions, the affected utility continues to meet the pressure requirements of §290.46(r) of this title and the production, treatment, total storage and service pump capacity requirements of this subchapter.

(6) An affected utility must maintain on-site, or make readily available during emergency operations, an amount of fuel necessary to operate any required emergency power equipment necessary to maintain emergency operations for at least 48 hours.

(7) Each affected utility must implement their emergency preparedness plan upon approval by the executive director.

§290.46. Minimum Acceptable Operating Practices for Public Drinking Water Systems.

(a) General. When a public drinking water supply system is to be established, plans shall be submitted to the executive director for review and approval prior to the construction of the system. All public water systems are to be constructed in conformance with the requirements of this subchapter and maintained and operated in accordance with the following minimum

acceptable operating practices. Owners and operators shall allow entry to members of the commission and employees and agents of the commission onto any public or private property at any reasonable time for the purpose of inspecting and investigating conditions relating to public water systems in the state including the required elements of a sanitary survey as defined in §290.38 of this title (relating to Definitions). Members, employees, or agents acting under this authority shall observe the establishment's rules and regulations concerning safety, internal security, and fire protection, and if the property has management in residence, shall notify management or the person then in charge of his presence and shall exhibit proper credentials.

(b) Microbiological. Submission of samples for microbiological analysis shall be as required by Subchapter F of this chapter (relating to Drinking Water Standards Governing Drinking Water Quality and Reporting Requirements for Public Water Systems). Microbiological samples may be required by the executive director for monitoring purposes in addition to the routine samples required by the drinking water standards. These samples shall be submitted to an accredited laboratory. (A list of the accredited laboratories can be obtained by contacting the executive director.) The samples shall be submitted to the executive director in a manner prescribed by the executive director.

(c) Chemical. Samples for chemical analysis shall be submitted as directed by the executive director.

(d) Disinfectant residuals and monitoring. A disinfectant residual must be continuously maintained during the treatment process and throughout the distribution system.

(1) Disinfection equipment shall be operated and monitored in a manner that will assure compliance with the requirements of §290.110 of this title (relating to Disinfectant Residuals).

(2) The disinfection equipment shall be operated to maintain the following minimum disinfectant residuals in each finished water storage tank and throughout the distribution system at all times:

(A) a free chlorine residual of 0.2 milligrams per liter (mg/L); or

(B) a chloramine residual of 0.5 mg/L (measured as total chlorine) for those systems that distribute chloraminated water.

(e) Operation by trained and licensed personnel. Except as provided in paragraph (1) of this subsection, the production, treatment, and distribution facilities at the public water system must be operated at all times under the direct supervision of a water works operator who holds an applicable, valid license issued by the executive director. Except as provided in paragraph (1) of this subsection, all public water systems must use a water works operator who holds an applicable, valid license issued by the executive director to meet the requirements of this subsection. The licensed operator of a public water system may be an employee, contractor, or volunteer.

(1) Transient, noncommunity public water systems are exempt from the requirements of this subsection if they use only groundwater or purchase treated water from another public water system.

(2) All public water systems that are subject to the provisions of this subsection shall meet the following requirements.

(A) Public water systems shall not allow new or repaired production, treatment, storage, pressure maintenance, or distribution facilities to be placed into service without the prior guidance and approval of a licensed water works operator.

(B) Public water systems shall ensure that their operators are trained regarding the use of all chemicals used in the water treatment plant. Training programs shall meet applicable standards established by the Occupational Safety and Health Administration or the Texas Hazard Communication Act, Texas Health and Safety Code, Chapter 502.

(C) Public water systems using chlorine dioxide shall place the operation of the chlorine dioxide facilities under the direct supervision of a licensed operator who has a Class "C" or higher license.

(D) Effective September 1, 2016, reverse osmosis or nanofiltration membrane systems must have operators that have successfully completed at least one executive director-approved training course or event specific to the operations and maintenance of reverse osmosis or nanofiltration membrane treatment.

(3) Systems that only purchase treated water shall meet the following requirements in addition to the requirements contained in paragraph (2) of this subsection.

(A) Purchased water systems serving no more than 250 connections must use an operator who holds a Class "D" or higher license.

(B) Purchased water systems serving more than 250 connections, but no more than 1,000 connections, must use an operator who holds a Class "C" or higher license.

(C) Purchased water systems serving more than 1,000 connections must use at least two operators who hold a Class "C" or higher license and who each work at least 16 hours per month at the public water system's treatment or distribution facilities.

(4) Systems that treat groundwater and do not treat surface water or groundwater that is under the direct influence of surface water shall meet the following requirements in addition to the requirements contained in paragraph (2) of this subsection.

(A) Groundwater systems serving no more than 250 connections must use an operator with a Class "D" or higher license.

(B) Groundwater systems serving more than 250 connections, but no more than 1,000 connections, must use an operator with a Class "C" or higher groundwater license.

(C) Groundwater systems serving more than 1,000 connections must use at least two operators who hold a Class "C" or higher groundwater license and who each work at least 16 hours per month at the public water system's production, treatment, or distribution facilities.

(5) Systems that treat groundwater that is under the direct influence of surface water must meet the following requirements in addition to the requirements contained in paragraph (2) of this subsection.

(A) Systems which serve no more than 1,000 connections and utilize cartridge or membrane filters must use an operator who holds a Class "C" or higher groundwater license and has completed a four-hour training course on monitoring and reporting requirements or who holds a Class "C" or higher surface water license and has completed the Groundwater Production course.

(B) Systems which serve more than 1,000 connections and utilize cartridge or membrane filters must use at least two operators who meet the requirements of subparagraph (A) of this paragraph and who each work at least 24 hours per month at the public water system's production, treatment, or distribution facilities.

(C) Systems which serve no more than 1,000 connections and utilize coagulant addition and direct filtration must use an operator who holds a Class "C" or higher surface water license and has completed the Groundwater Production course or who holds a Class "C" or higher groundwater license and has completed a Surface Water Production course. Effective January 1, 2007, the public water system must use at least one operator who has completed the Surface Water Production I course and the Surface Water Production II course.

(D) Systems which serve more than 1,000 connections and utilize coagulant addition and direct filtration must use at least two operators who meet the

requirements of subparagraph (C) of this paragraph and who each work at least 24 hours per month at the public water system's production, treatment, or distribution facilities. Effective January 1, 2007, the public water system must use at least two operators who have completed the Surface Water Production I course and the Surface Water Production II course.

(E) Systems which utilize complete surface water treatment must comply with the requirements of paragraph (6) of this subsection.

(F) Each plant must have at least one Class "C" or higher operator on duty at the plant when it is in operation or the plant must be provided with continuous turbidity and disinfectant residual monitors with automatic plant shutdown and alarms to summon operators so as to ensure that the water produced continues to meet the commission's drinking water standards during periods when the plant is not staffed.

(6) Systems that treat surface water must meet the following requirements in addition to the requirements contained in paragraph (2) of this subsection.

(A) Surface water systems that serve no more than 1,000 connections must use at least one operator who holds a Class "B" or higher surface water license. Part-time operators may be used to meet the requirements of this subparagraph if the operator is completely familiar with the design and operation of the plant and spends at least four consecutive hours at the plant at least once every 14 days and the system also uses an operator who holds a Class "C" or higher surface water license. Effective January 1, 2007, the public water system must use at least one operator who has completed the Surface Water Production I course and the Surface Water Production II course.

(B) Surface water systems that serve more than 1,000 connections must use at least two operators; one of the required operators must hold a Class "B" or higher surface water license and the other required operator must hold a Class "C" or higher surface water license. Each of the required operators must work at least 32 hours per month at the public water system's production, treatment, or distribution facilities. Effective January 1, 2007, the public water system must use at least two operators who have completed the Surface Water Production I course and the Surface Water Production II course.

(C) Each surface water treatment plant must have at least one Class "C" or higher surface water operator on duty at the plant when it is in operation or the plant must be provided with continuous turbidity and disinfectant residual monitors with automatic plant shutdown and alarms to summon operators so as to ensure that the water produced continues to meet the commission's drinking water standards during periods when the plant is not staffed.

(D) Public water systems shall not allow Class "D" operators to adjust or modify the treatment processes at surface water treatment plant unless an operator who holds a Class "C" or higher surface license is present at the plant and has issued specific instructions regarding the proposed adjustment.

(f) Operating records and reports. All public water systems must maintain a record of water works operation and maintenance activities and submit periodic operating reports.

(1) The public water system's operating records must be organized, and copies must be kept on file or stored electronically.

(2) The public water system's operating records must be accessible for review during inspections and be available to the executive director upon request.

(3) All public water systems shall maintain a record of operations.

(A) The following records shall be retained for at least two years:

(i) the amount of chemicals used:

(I) Systems that treat surface water or groundwater under the direct influence of surface water shall maintain a record of the amount of each chemical used each day.

(II) Systems that serve 250 or more connections or serve 750 or more people shall maintain a record of the amount of each chemical used each day.

(III) Systems that serve fewer than 250 connections, serve fewer than 750 people, and use only groundwater or purchased treated water shall maintain a record of the amount of each chemical used each week;

(ii) the volume of water treated and distributed:

(I) Systems that treat surface water or groundwater under the direct influence of surface water shall maintain a record of the amount of water treated and distributed each day.

(II) Systems that serve 250 or more connections or serve 750 or more people shall maintain a record of the amount of water distributed each day.

(III) Systems that serve fewer than 250 connections, serve fewer than 750 people, and use only groundwater or purchase treated water shall maintain a record of the amount of water distributed each week.

(IV) Systems that serve 250 or more connections or serve 750 or more people and also add chemicals or provide pathogen or chemical removal shall maintain a record of the amount of water treated each day.

(V) Systems that serve fewer than 250 connections, serve fewer than 750 people, use only groundwater or purchase treated water, and also add chemicals or provide pathogen or chemical removal shall maintain a record of the amount of water treated each week;

(iii) the date, location, and nature of water quality, pressure, or outage complaints received by the system and the results of any subsequent complaint investigation;

(iv) the dates that dead-end mains were flushed;

(v) the dates that storage tanks and other facilities were cleaned;

(vi) the maintenance records for water system equipment and facilities. For systems using reverse osmosis or nanofiltration, maintain records of each clean-in-place process including the date, duration, and procedure used for each event;

(vii) for systems that do not employ full-time operators to meet the requirements of subsection (e) of this section, a daily record or a monthly summary of the work performed and the number of hours worked by each of the part-time operators used to meet the requirements of subsection (e) of this section; and

(viii) the owner or manager of a public water system that is operated by a volunteer to meet the requirements of subsection (e) of this section, shall maintain a record of each volunteer operator indicating the name of the volunteer, contact information for the volunteer, and the time period for which the volunteer is responsible for operating the public water system. These requirements apply to full-time and part-time licensed volunteer operators. Part-time licensed volunteer operators are excluded from the requirements of clause (vii) of this subparagraph.

(B) The following records shall be retained for at least three years:

(i) copies of notices of violation and any resulting corrective actions. The records of the actions taken to correct violations of primary drinking water

regulations must be retained for at least three years after the last action taken with respect to the particular violation involved;

(ii) copies of any public notice issued by the water system;

(iii) the disinfectant residual monitoring results from the distribution system;

(iv) the calibration records for laboratory equipment, flow meters, rate-of-flow controllers, on-line turbidimeters, and on-line disinfectant residual analyzers;

(v) the records of backflow prevention device programs;

(vi) the raw surface water monitoring results and source water monitoring plans required by §290.111 of this title (relating to Surface Water Treatment) must be retained for three years after bin classification required by §290.111 of this title;

(vii) notification to the executive director that a system will provide 5.5-log *Cryptosporidium* treatment in lieu of raw surface water monitoring;

(viii) except for those specified in subparagraphs (C)(iv) and (E)(i) of this paragraph, the results of all surface water treatment monitoring that are used to demonstrate log inactivation or removal;

(ix) free and total chlorine, monochloramine, ammonia, nitrite, and nitrate monitoring results if chloramines are used in the water system; and

(x) the records of treatment effectiveness monitoring for systems using reverse osmosis or nanofiltration membranes. Treatment effectiveness monitoring includes the parameters for determining when maintenance is required. Examples of parameters to be monitored include conductivity (or total dissolved solids) on each membrane unit, pressure differential across a membrane vessel, flow, flux, and water temperature. At a minimum, systems using reverse osmosis or nanofiltration membranes must monitor the conductivity (or total dissolved solids) of the feed and permeate water once per day.

(C) The following records shall be retained for a period of five years after they are no longer in effect:

(i) the records concerning a variance or exemption granted to the system;

(ii) Concentration Time (CT) studies for surface water treatment plants;

(iii) the Recycling Practices Report form and other records pertaining to site-specific recycle practices for treatment plants that recycle; and

(iv) the turbidity monitoring results and exception reports for individual filters as required by §290.111 of this title.

(D) The following records shall be retained for at least five years:

(i) the results of microbiological analyses;

(ii) the results of inspections (as required in subsection (m)(1) of this section) for all water storage and pressure maintenance facilities;

(iii) the results of inspections (as required by subsection (m)(2) of this section) for all pressure filters;

(iv) documentation of compliance with state approved corrective action plan and schedules required to be completed by groundwater systems that must take corrective actions;

(v) documentation of the reason for an invalidated fecal indicator source sample and documentation of a total coliform-positive sample collected at a location with conditions that could cause such positive samples in a distribution system;

(vi) notification to wholesale system(s) of a distribution coliform-positive sample for consecutive systems using groundwater;

(vii) Consumer Confidence Report compliance documentation;

(viii) records of the lowest daily residual disinfectant concentration and records of the date and duration of any failure to maintain the executive

director-approved minimum specified disinfectant residual for a period of more than four hours for groundwater systems providing 4-log treatment;

(ix) records of executive director-specified compliance requirements for membrane filtration, records of parameters specified by the executive director for approved alternative treatment and records of the date and duration of any failure to meet the membrane operating, membrane integrity, or alternative treatment operating requirements for more than four hours for groundwater systems. Membrane filtration can only be used if it is approved by the executive director and if it can be properly validated;

(x) assessment forms, regardless of who conducts the assessment, and documentation of corrective actions completed or documentation of corrective actions required but not yet completed as a result of those assessments and any other available summary documentation of the sanitary defects and corrective actions taken in accordance with §290.109 of this title (relating to Microbial Contaminants) for executive director review;

(xi) seasonal public water systems shall maintain executive director-approved start-up procedures and certification documentation in accordance with §290.109 of this title for executive director review; and

(xii) records of any repeat sample taken that meets the criteria for an extension of the 24-hour period for collecting repeat samples under §290.109 of this title.

(E) The following records shall be retained for at least ten years:

(i) copies of Monthly Operating Reports and any supporting documentation including turbidity monitoring results of the combined filter effluent;

(ii) the results of chemical analyses;

(iii) any written reports, summaries, or communications relating to sanitary surveys of the system conducted by the system itself, by a private consultant, or by the executive director shall be kept for a period not less than ten years after completion of the survey involved;

(iv) copies of the Customer Service Inspection reports required by subsection (j) of this section;

(v) copy of any Initial Distribution System Evaluation (IDSE) plan, report, approval letters, and other compliance documentation required by §290.115 of this title (relating to Stage 2 Disinfection Byproducts (TTHM and HAA5));

(vi) state notification of any modifications to an IDSE report;

(vii) copy of any 40/30 certification required by §290.115 of this title;

(viii) documentation of corrective actions taken by groundwater systems in accordance with §290.116 of this title (relating to Groundwater Corrective Actions and Treatment Techniques);

(ix) any Sample Siting Plans required by §290.109(d)(6) of this title and monitoring plans required by §290.121(b) of this title (relating to Monitoring Plans); and

(x) records of the executive director-approved minimum specified disinfectant residual and executive director-approved membrane system integrity monitoring results for groundwater systems providing 4-log treatment, including wholesale, and consecutive systems, regulated under §290.116(c) of this title.

(F) A public water system shall maintain records relating to lead and copper requirements under §290.117 of this title (relating to Regulation of Lead and Copper) for no less than 12 years. Any system subject to the requirements of §290.117 of this title shall retain on its premises original records of all sampling data and analyses, reports, surveys, letters, evaluations, schedules, executive determinations, and any other information required by the executive director under §290.117 of this title. These records include, but are not limited to, the following items: tap water monitoring results including the location of each site and date of collection; certification of the volume and validity of first-draw-tap sample criteria via a copy of the laboratory analysis request form; where residents collected the sample; certification that the water system informed the resident of proper sampling procedures; the analytical results for lead and copper concentrations at each tap sample site; and designation of any substitute site not used in previous monitoring periods.

(G) A public water system shall maintain records relating to special studies and pilot projects, special monitoring, and other system-specific matters as directed by the executive director.

(4) Public water systems shall submit routine reports and any additional documentation that the executive director may require to determine compliance with the requirements of this chapter.

(A) The reports must be submitted to the Texas Commission on Environmental Quality, Water Supply Division, MC 155, P.O. Box 13087, Austin, Texas 78711-3087 by the tenth day of the month following the end of the reporting period.

(B) The reports must contain all the information required by the drinking water standards and the results of any special monitoring tests which have been required.

(C) The reports must be completed in ink, typed, or computer-printed and must be signed by the licensed water works operator.

(5) All public water systems that are affected utilities under TWC §13.1394 or §13.1395 must maintain the following records for as long as they are applicable to the system:

(A) An emergency preparedness plan approved by the executive director and a copy of the approval letter.

(B) All required operating, inspection, testing, and maintenance records for auxiliary power equipment, and associated components required to be maintained, or actions performed as prescribed in §290.46(m)(8) of this title. [including periodic testing of the auxiliary power equipment under load and any associated automatic switch over equipment.]

(C) Copies of the manufacturer's specifications for all generators that are part of the approved emergency preparedness plan.

(g) Disinfection of new or repaired facilities. Disinfection by or under the direction of water system personnel must be performed when repairs are made to existing facilities and before new facilities are placed into service. Disinfection must be performed in accordance with American Water Works Association (AWWA) requirements and water samples must be submitted to **an accredited [a] laboratory [approved by the executive director]**. The sample results must indicate that the facility is free of microbiological contamination before it is placed into service. When it is necessary to return repaired mains to service as rapidly as possible, doses may be increased to 500 mg/L and the contact time reduced to 1/2 hour.

(h) Calcium hypochlorite. A supply of calcium hypochlorite disinfectant shall be kept on hand for use when making repairs, setting meters, and disinfecting new mains prior to placing them in service.

(i) Plumbing ordinance. Public water systems must adopt an adequate plumbing ordinance, regulations, or service agreement with provisions for proper enforcement to **ensure [insure]** that neither cross-connections nor other unacceptable plumbing practices are permitted (See §290.47(b) of this title (relating to Appendices)). Should sanitary control of the distribution system not reside with the purveyor, the entity retaining sanitary control shall be responsible for establishing and enforcing adequate regulations in this regard. The use of pipes and pipe fittings that contain more than 0.25% lead or solders and flux that contain more than 0.2% lead is prohibited for installation or repair of any public water supply and for installation or repair of any plumbing in a residential or nonresidential facility providing water for human

consumption and connected to a public drinking water supply system. This requirement may be waived for lead joints that are necessary for repairs to cast iron pipe.

(j) Customer service inspections. A customer service inspection certificate shall be completed prior to providing continuous water service to new construction, on any existing service either when the water purveyor has reason to believe that cross-connections or other potential contaminant hazards exist, or after any material improvement, correction, or addition to the private water distribution facilities. Any customer service inspection certificate form which varies from the format found in commission Form 20699 must be approved by the executive director prior to being placed in use.

(1) Individuals with the following credentials shall be recognized as capable of conducting a customer service inspection certification.

(A) Plumbing Inspectors and Water Supply Protection Specialists licensed by the Texas State Board of Plumbing Examiners (TSBPE).

(B) Customer service inspectors who have completed a commission-approved course, passed an examination administered by the executive director, and hold current professional license as a customer service inspector.

(2) As potential contaminant hazards are discovered, they shall be promptly eliminated to prevent possible contamination of the water supplied by the public water system. The existence of a health hazard, as identified in §290.47(f) of this title, shall be considered sufficient grounds for immediate termination of water service. Service can be restored only

when the health hazard no longer exists, or until the health hazard has been isolated from the public water system in accordance with §290.44(h) of this title (relating to Water Distribution).

(3) These customer service inspection requirements are not considered acceptable substitutes for and shall not apply to the sanitary control requirements stated in §290.102(a)(5) of this title (relating to General Applicability).

(4) A customer service inspection is an examination of the private water distribution facilities for the purpose of providing or denying water service. This inspection is limited to the identification and prevention of cross-connections, potential contaminant hazards, and illegal lead materials. The customer service inspector has no authority or obligation beyond the scope of the commission's regulations. A customer service inspection is not a plumbing inspection as defined and regulated by the TSBPE. A customer service inspector is not permitted to perform plumbing inspections. State statutes and TSBPE adopted rules require that TSBPE licensed plumbing inspectors perform plumbing inspections of all new plumbing and alterations or additions to existing plumbing within the municipal limits of all cities, towns, and villages which have passed an ordinance adopting one of the plumbing codes recognized by TSBPE. Such entities may stipulate that the customer service inspection be performed by the plumbing inspector as a part of the more comprehensive plumbing inspection. Where such entities permit customer service inspectors to perform customer service inspections, the customer service inspector shall report any violations immediately to the local entity's plumbing inspection department.

(k) Interconnection. No physical connection between the distribution system of a public drinking water supply and that of any other water supply shall be permitted unless the other

water supply is of a safe, sanitary quality and the interconnection is approved by the executive director.

(l) Flushing of mains. All dead-end mains must be flushed at monthly intervals. Dead-end lines and other mains shall be flushed as needed if water quality complaints are received from water customers or if disinfectant residuals fall below acceptable levels as specified in §290.110 of this title.

(m) Maintenance and housekeeping. The maintenance and housekeeping practices used by a public water system shall ensure the good working condition and general appearance of the system's facilities and equipment. The grounds and facilities shall be maintained in a manner so as to minimize the possibility of the harboring of rodents, insects, and other disease vectors, and in such a way as to prevent other conditions that might cause the contamination of the water.

(1) Each of the system's ground, elevated, and pressure tanks shall be inspected annually by water system personnel or a contracted inspection service.

(A) Ground and elevated storage tank inspections must determine that the vents are in place and properly screened, the roof hatches closed and locked, flap valves and gasketing provide adequate protection against insects, rodents, and other vermin, the interior and exterior coating systems are continuing to provide adequate protection to all metal surfaces, and the tank remains in a watertight condition.

(B) Pressure tank inspections must determine that the pressure release device and pressure gauge are working properly, the air-water ratio is being maintained at the proper level, the exterior coating systems are continuing to provide adequate protection to all metal surfaces, and the tank remains in watertight condition. Pressure tanks provided with an inspection port must have the interior surface inspected every five years.

(C) All tanks shall be inspected annually to determine that instrumentation and controls are working properly.

(2) When pressure filters are used, a visual inspection of the filter media and internal filter surfaces shall be conducted annually to ensure that the filter media is in good condition and the coating materials continue to provide adequate protection to internal surfaces.

(3) When cartridge filters are used, filter cartridges shall be changed at the frequency required by the manufacturer, or more frequently if needed.

(4) All water treatment units, storage and pressure maintenance facilities, distribution system lines, and related appurtenances shall be maintained in a watertight condition and be free of excessive solids.

(5) Basins used for water clarification shall be maintained free of excessive solids to prevent possible carryover of sludge and the formation of tastes and odors.

(6) Pumps, motors, valves, and other mechanical devices shall be maintained in good working condition.

(7) Reverse osmosis or nanofiltration membrane systems shall be cleaned, or replaced, in accordance with the allowable operating conditions of the manufacturer and shall be based on one or more of the following: increased salt passage, increased or decreased pressure differential, and/or change in normalized permeate flow.

(8) Emergency generators must be appropriately tested and maintained monthly under at least 30% load based on the manufacturer's name plate kilowatt (kW) rating for at least 30 minutes, or as recommended by the manufacturer, to ensure functionality during emergency situations.

(A) Emergency generators operated at water systems serving 1,000 connections or greater must be maintained in accordance with Level 2 maintenance requirements contained in the current National Fire Protection Association (NFPA) 110 Standard and manufacturer's recommendation. In addition, the water system must maintain an inventory of spare parts, lubricants, and coolants for critical generator components.

(B) Emergency generators operated at water systems serving fewer than 1,000 connections must be maintained according to clauses (i) - (x) of this subparagraph, supplemented with any additional requirements not listed below as prescribed in the manufacturer's specifications, or Level 2 maintenance requirements contained in NFPA 110 Standard. In addition, the public water system must maintain an inventory of spare parts, lubricants, and coolants for critical generator components.

(i) Prior to monthly generator start-up, inspect and perform any needed maintenance on the generator fuel system.

(I) Document tank levels and inspect fuel tanks for fuel contamination and condensation in the portion of the tank occupied by air. If contamination is suspected, replace or polish the contaminated fuel before use.

(II) Inspect fuel lines and fittings for breaks and degradation. Replace fuel lines if needed.

(III) Inspect fuel filters and water separators for water accumulation, clogging and sediment buildup. Replace fuel filters and separators at the frequency recommended by the manufacturer, or as needed.

(IV) Inspect fuel transfer pumps, float switches and valves, where provided, between holding tanks and the generator to verify that they are operating properly.

(V) Where provided, inspect fuel tank grounding rods, cathodic and generator lightning protection for damage that may render the protection ineffective.

(ii) While the generator is operating under load, inspect the fuel pump to verify that it is operating properly.

(iii) Prior to monthly generator start up, inspect and perform any needed maintenance on the generator lubrication system.

(I) Inspect oil lines and oil reservoirs for adequate oil levels, leaks, breaks and degradation. Change oil at the frequency recommended by the manufacturer.

(II) Grease all bearing components and grease fittings at the frequency recommended by the manufacturer.

(iv) Prior to monthly generator start up, inspect and perform any needed maintenance on the generator coolant system.

(I) Inspect the block heater, coolant lines and coolant reservoirs for adequate coolant levels, leaks, breaks and degradation; replace as needed.

(II) Inspect coolant filters for clogging and sediment buildup. Replace coolant filters at the frequency recommended by the manufacturer, or as needed.

(III) Inspect the radiator, fan system, belts and air intake and filters for obstruction, cracks, breaks, and leaks; replace as needed.

(v) While the generator is operating under load, inspect the exhaust manifold and muffler to verify that they are not obstructed or leaking, are in good working condition and that fumes are directed away from enclosed areas.

(vi) Where a generator is located inside an enclosed structure, a carbon monoxide monitor equipped with automatic alarms and generator shutdowns must be present and operational.

(vii) Prior to monthly generator start up, inspect and perform any needed maintenance on the generator electrical system.

(I) Confirm that all batteries are mounted and properly secured. Inspect battery chargers, wiring and cables for damage, corrosion, connection continuity, and that all contacts are securely tightened onto battery terminals.

(II) Inspect each battery unit for adequate electrolyte levels, charge retention and appropriate discharge voltage.

(viii) While the generator is operating under load, inspect engine starters and alternators to verify that they are operating properly.

(ix) At least once per month, inspect Programmable Logic Controllers (PLC) and Uninterrupted Power Supplies (UPS), where applicable, to ensure that they are water-tight and not subject to floods, are properly ventilated, and that backup power supplies have adequate charge.

(x) At least once per month, inspect switch gears to ensure they are water-tight and in good, working condition.

(9) All critical components as described in the table in §290.47(c) associated to the source, treatment, storage, or other facilities necessary for the continued operations and distribution of water to customers must be protected from adverse weather conditions. Weatherization methods must be maintained in good condition and replaced as needed to ensure adequate protection.

(n) Engineering plans and maps. Plans, specifications, maps, and other pertinent information shall be maintained to facilitate the operation and maintenance of the system's facilities and equipment. The following records shall be maintained on file at the public water system and be available to the executive director upon request.

(1) Accurate and up-to-date detailed as-built plans or record drawings and specifications for each treatment plant, pump station, and storage tank shall be maintained at the public water system until the facility is decommissioned. As-built plans of individual projects may be used to fulfill this requirement if the plans are maintained in an organized manner.

(2) An accurate and up-to-date map of the distribution system shall be available so that valves and mains can be easily located during emergencies.

(3) Copies of well completion data as defined in §290.41(c)(3)(A) of this title (relating to Water Sources) shall be kept on file for as long as the well remains in service.

(o) Filter backwashing at surface water treatment plants. Filters must be backwashed when a loss of head differential of six to ten feet is experienced between the influent and effluent loss of head gauges or when the turbidity level at the effluent of the filter reaches 1.0 nephelometric turbidity unit (NTU).

(p) Data on public water system ownership and management. The agency shall be provided with information regarding public water system ownership and management.

(1) When a public water system changes ownership, a written notice of the transaction must be provided to the executive director. The grantee shall notify the executive director of the change in ownership within 30 days after the effective date of the change in ownership by providing the name of the grantor, the effective date of the change in ownership, the physical and mailing address and phone number of the grantee, the public water system's drinking water supply identification number, and any other information necessary to identify the transaction.

(2) On an annual basis, the owner of a public water system shall provide the executive director with a list of all the operators and operating companies that the public water system uses. The notice shall contain the name, contact information, work status, license number, and license class of each operator and the name and registration number of each operating company. Public water systems may report the list of operators and operating companies to the executive director by utilizing the Texas Commission on Environmental

Quality (TCEQ) online "Operator Notice" form. If reporting cannot be accomplished utilizing the TCEQ online "Operator Notice" form, then a public water system may report the list of operators and operating companies on the written "Operator Notice" form to the executive director by mail, email or facsimile. (See §290.47(d) of this title).

(q) Special precautions, protective measures, and boil water notices. Special precautions, protective measures, and boil water notices shall be instituted by the public water system as specified in this subsection in the event of low distribution pressures (below 20 pounds per square inch (psi)), water outages, microbiological samples found to contain *Escherichia coli* (*E. coli*) (or other approved fecal indicator), failure to maintain adequate disinfectant residuals, elevated finished water turbidity levels, or other conditions which indicate that the potability of the drinking water supply has been compromised. Special precautions, protective measures, and boil water notices are corrective or protective actions which shall be instituted by the public water system to comply with the requirements of this subsection.

(1) **Boil water notices and rescind notices**. A public water system shall issue a boil water notice, **special precaution, or protective measure** to customers throughout the distribution system or in the affected area(s) of the distribution system as soon as possible, but in no case later than 24 hours after the public water system has met any of the criteria described in **subparagraph (A) and (B) of this paragraph. [paragraphs (2) - (5) of this subsection. Boil water notices shall be issued to customers by using one or more of the Tier 1 delivery methods as described in §290.122(a)(2) of this title (relating to Public Notification) and using the applicable boil water notice language and format specified in Figure: 30 TAC §290.47(c)(1) and (2) of this title. A copy of this notice shall be provided to the executive director within 24 hours or no later than the next business day after issuance by the public water system and a**

signed Certificate of Delivery shall be provided to the executive director within ten days after issuance by the public water system in accordance with §290.122(f) of this title. The boil water notice shall be multilingual where appropriate based upon local demographics. Once the boil water notice is no longer in effect, the public water system shall notify customers throughout the distribution system or in the affected area(s) of the distribution system that the boil water notice has been rescinded using the language and format specified in Figure: 30 TAC §290.47(c)(3) of this title. A public water system shall not rescind a boil water notice and/or notify customers that the boil water notice has been rescinded until the public water system has met all of the applicable requirements as described in paragraph (6) of this subsection.]

(A) Situations requiring boil water notices:

(i)[(2) Boil water notices for low distribution pressures.] The flowchart found in §290.47(e) of this title shall be used to determine if a boil water notice shall be issued by the public water system to customers in the event of a loss of distribution system pressure.

(ii)[(3) Boil water notices for *E. coli* (or other approved fecal indicator) maximum contaminant level (MCL) violations.] A public water system shall issue a boil water notice to customers for a violation of the MCL for *E. coli* (or other approved fecal indicator) as described in §290.109(b)(1) of this title.

(iii)[(4) Boil water notices for turbidity requirements.] A public water system shall issue a boil water notice to customers if the combined filter effluent turbidity of the finished water, produced by a treatment plant that is treating surface water or

groundwater under the direct influence of surface water, is above the turbidity level requirements as described in §290.122(a)(1)(B) of this title. [, specifically:]

[(A) a combined filter effluent turbidity level above 5.0 NTU;]

[(B) a combined filter effluent turbidity level above 1.0 NTU at a treatment plant using membrane filters;]

[(C) a combined filter effluent turbidity level above 1.0 NTU at a plant using other than membrane filters at the discretion of the executive director after consultation with the public water system; or]

[(D) failure of a public water system with treatment other than membrane filters to consult with the executive director within 24 hours after a combined filter effluent reading of 1.0 NTU.]

(iv) A public water system shall issue a boil water notice to customers if the public water system has failed to maintain adequate disinfectant residuals as described in subsection (d) of this section and as described in §290.110 of this title (relating to Disinfectant Residuals) for more than 24 hours.

(v) A public water system shall issue a boil water notice to customers if a waterborne disease outbreak occurs as defined in 40 Code of Federal Regulations §141.2.

(B) Situations requiring special precautions or protective measures may be determined by the public water system or at the discretion of the executive director, as described in paragraph (5) of this subsection.

(2) Boil water notices, special precautions, or protective measures shall be issued to customers by using one or more of the Tier 1 delivery methods as described in §290.122(a)(2) of this title (relating to Public Notification) and shall be issued using the applicable language and format specified by the executive director.

(3) A copy of boil water notice, special precaution, or protective measure issued shall be provided to the executive director electronically, within 24 hours or no later than the next business day after the issuance by the public water system, and a signed Certificate of Delivery shall be provided to the executive director within ten days after issuance by the public water system in accordance with §290.122(f) of this title.

(4) Boil water notices, special precautions, or protective measures shall be multilingual where appropriate, based upon local demographics.

(5) Actions which may be required by the executive director. Special precautions, protective measures, and boil water notices may be required at the discretion of the executive director and shall be instituted by the public water system, upon written notification to the public water system, and shall remain in effect until the public water system meets the requirements of subparagraph (C) of this paragraph and paragraph (6) of this subsection.

(A) Circumstances warranting the exercise of such discretion may include:

(i) the public water system has failed to provide any of the required compliance information to the executive director as described in §290.111(h)(2) of this title (relating to Surface Water Treatment) and the failure results in the inability of the executive director to determine compliance as described in §290.111(i) of this title or the existence of a potential or actual health hazard, as described in §290.38 of this title (relating to Definitions); or

(ii) waterborne emergencies [a waterborne disease outbreak, as defined in 40 Code of Federal Regulations §141.2, or other waterborne emergencies] for situations that do not meet the [this] definition of waterborne disease outbreak as defined in 40 Code of Federal Regulations §141.2, but that still have the potential to have serious adverse health effects [on health] as a result of short-term exposure. These can [could] include, but are not limited to, outbreaks not related to treatment deficiencies, as well as situations that have the potential to cause outbreaks, such as failures or significant interruption in water treatment processes, natural disasters that disrupt the water supply or distribution system, chemical spills, or unexpected loading of possible pathogens into the source water.]; or]

[(iii) the public water system has failed to maintain adequate disinfectant residuals as described in subsection (d) of this section and as described in §290.110 of this title.]

(B) The executive director will provide written notification to the public water system in the event a public water system is required to institute special precautions, protective measures, or issue boil water notices to customers at the discretion of the executive director. Upon written notification from the executive director, the public water system shall implement special precautions, protective measures, or issue boil water notices to customers within 24 hours or within the time period specified by the executive director. The executive director may specify, in writing, additional required actions to the requirements described in paragraph (6) of this subsection for a public water system to rescind the notice.

(C) The public water system shall provide any required information to the executive director to document that the public water system has met the rescind requirements for special precautions, protective measures, and boil water notices required at the discretion of the executive director under this paragraph.

(6) Once the boil water notice, special precaution, or protective measure is no longer in effect, the public water system shall notify customers that the notice has been rescinded. A public water system shall not rescind a notice or notify customers that a notice has been rescinded until the public water system has met all the applicable requirements, as described in subparagraph (A) of this paragraph.

(A) Required actions prior to rescinding a boil water notice include: [A public water system shall notify customers throughout the distribution system or in the affected area(s) of the distribution system that a boil water notice has been rescinded after the public water system has met the requirements of this paragraph. A boil water notice issued under the requirements of this subsection shall remain in effect until the public water system

has provided required compliance documentation to the executive director which establishes that the public water system has met the following requirements, as applicable:]

(i)[(A)] water distribution system pressures in excess of 20 psi are consistently being maintained throughout the distribution system in accordance with the flowchart found in §290.47(e) of this title (relating to Appendices);

(ii)[(B)] affected area(s) of the distribution system have been thoroughly flushed until a minimum of 0.2 mg/L free chlorine residual or 0.5 mg/L chloramine residual (measured as total chlorine) is present and is consistently being maintained in each finished water storage tank and throughout the distribution system as described in subsection (d) of this section;

(iii)[(C)] finished water entering the distribution system, produced by a treatment plant that is treating surface water or groundwater under the direct influence of surface water, has a turbidity level that is consistently [being maintained] below 1.0 NTU and the affected areas of the distribution system have been thoroughly flushed;

(iv)[(D)] additional actions may be required by the executive director, in writing, and these additional actions shall be completed and documentation provided to the executive director for approval prior to the public water system rescinding the notice, under paragraph (5) of this subsection have been met and the public water system is operating in accordance with §290.111(h)(2) of this title as described in paragraph (5)(A)(i) of this subsection; and

(v)(E) water samples for microbiological analysis, marked as "special" on the laboratory sample submission form, were collected from representative locations throughout the distribution system or in the affected area(s) of the distribution system after the public water system has met all other applicable requirements of this paragraph and the water samples collected for microbiological analysis are found negative for coliform organisms. The water samples described in this subparagraph shall be analyzed at laboratories in accordance with §290.119 of this title (relating to Analytical Procedures).

(B)(F) A public water system shall notify customers [throughout the distribution system or in the affected area(s) of the distribution system] that the[a boil water] notice has been rescinded within 24 hours or no later than the next business day, using [the] language and format specified by the executive director [in Figure: 30 TAC §290.47(c)(3) of this title,] once the public water system has met the requirements of this paragraph. The method of delivery of the rescind notice must be in a manner similar to the original notice.

(C) The public water system shall provide a copy of the rescind ["Boil Water Notice Rescinded"] notice, [and] a copy of the associated microbiological laboratory analysis results, as required by subparagraph (A)(E) of this paragraph, and a signed Certificate of Delivery to the executive director within ten days after the public water system has issued the rescind notice to customers in accordance with §290.122(f) of this title.

(r) Minimum pressures. All public water systems shall be operated to provide a minimum pressure of 35 psi throughout the distribution system under normal operating conditions. The system shall also be operated to maintain a minimum pressure of 20 psi during emergencies such as firefighting [fire fighting]. As soon as safe and practicable following the

occurrence of a natural disaster, a public water system that is an affected utility, as defined in TWC §13.1394 or §13.1395, shall maintain a minimum of 20 psi or a pressure approved by the executive director, or 35 psi, respectively, throughout the distribution system during an extended power outage.

(s) Testing equipment. Accurate testing equipment or some other means of monitoring the effectiveness of any chemical treatment or pathogen inactivation or removal processes must be used by the system.

(1) Flow-measuring devices and rate-of-flow controllers that are required by §290.42(b) and (d) of this title (relating to Water Treatment) shall be calibrated at least once every 12 months. Well meters required by §290.41(c)(3)(N) of this title shall be calibrated at least once every three years.

(2) Laboratory equipment used for compliance testing shall be properly calibrated.

(A) pH meters shall be properly calibrated.

(i) Benchtop pH meters shall be calibrated according to manufacturer specifications at least once each day.

(ii) The calibration of benchtop pH meters shall be checked with at least one buffer each time a series of samples is run, and if necessary, recalibrated according to manufacturer specifications.

(iii) On-line pH meters shall be calibrated according to manufacturer specifications at least once every 30 days.

(iv) The calibration of on-line pH meters shall be checked at least once each week with a primary standard or by comparing the results from the on-line unit with the results from a properly calibrated benchtop unit. If necessary, the on-line unit shall be recalibrated with primary standards.

(B) Turbidimeters shall be properly calibrated.

(i) Benchtop turbidimeters shall be calibrated with primary standards at least once every 90 days. Each time the turbidimeter is calibrated with primary standards, the secondary standards shall be restandardized.

(ii) The calibration of benchtop turbidimeters shall be checked with secondary standards each time a series of samples is tested, and if necessary, recalibrated with primary standards.

(iii) On-line turbidimeters shall be calibrated with primary standards at least once every 90 days.

(iv) The calibration of on-line turbidimeters shall be checked at least once each week with a primary standard, a secondary standard, or the manufacturer's proprietary calibration confirmation device or by comparing the results from the on-line unit

with the results from a properly calibrated benchtop unit. If necessary, the on-line unit shall be recalibrated with primary standards.

(C) Chemical disinfectant residual analyzers shall be properly calibrated.

(i) The accuracy of manual disinfectant residual analyzers shall be verified at least once every 90 days using chlorine solutions of known concentrations.

(ii) The accuracy of continuous disinfectant residual analyzers shall be checked at least once every seven days with a chlorine solution of known concentration or by comparing the results from the on-line analyzer with the result of approved benchtop method in accordance with §290.119 of this title.

(iii) If a disinfectant residual analyzer produces a result which is not within 15% of the expected value, the cause of the discrepancy must be determined and corrected and, if necessary, the instrument must be recalibrated.

(D) Analyzers used to determine the effectiveness of chloramination in §290.110(c)(5) of this title shall be properly verified in accordance with the manufacturer's recommendations every 90 days. These analyzers include monochloramine, ammonia, nitrite, and nitrate equipment used by the public water system.

(E) Ultraviolet (UV) light disinfection analyzers shall be properly calibrated.

(i) The accuracy of duty UV sensors shall be verified with a reference UV sensor monthly, according to the UV sensor manufacturer.

(ii) The reference UV sensor shall be calibrated by the UV sensor manufacturer on a yearly basis, or sooner if needed.

(iii) If used, the UV Transmittance (UVT) analyzer shall be calibrated weekly according to the UVT analyzer manufacturer specifications.

(F) Systems must verify the performance of direct integrity testing equipment in a manner and schedule approved by the executive director.

(G) Conductivity (or total dissolved solids) monitors and pressure instruments used for reverse osmosis and nanofiltration membrane systems shall be calibrated at least once every 12 months.

(H) Any temperature monitoring devices used for reverse osmosis and nanofiltration shall be verified and calibrated in accordance with the manufacturer's specifications.

(t) System ownership. All community water systems shall post a legible sign at each of its production, treatment, and storage facilities. The sign shall be located in plain view of the public and shall provide the name of the water supply and an emergency telephone number where a responsible official can be contacted.

(u) Abandoned wells. Abandoned public water supply wells owned by the system must be plugged with cement according to 16 TAC Chapter 76 (relating to Water Well Drillers and Water Well Pump Installers). Wells that are not in use and are non-deteriorated as defined in those rules must be tested every five years or as required by the executive director to prove that they are in a non-deteriorated condition. The test results shall be sent to the executive director for review and approval. Deteriorated wells must be either plugged with cement or repaired to a non-deteriorated condition.

(v) Electrical wiring. All water system electrical wiring must be securely installed in compliance with a local or national electrical code.

(w) Security. All systems shall maintain internal procedures to notify the executive director by a toll-free reporting phone number immediately of the following events, if the event may negatively impact the production or delivery of safe and adequate drinking water:

(1) an unusual or unexplained unauthorized entry at property of the public water system;

(2) an act of terrorism against the public water system;

(3) an unauthorized attempt to probe for or gain access to proprietary information that supports the key activities of the public water system;

(4) a theft of property that supports the key activities of the public water system;

or

(5) a natural disaster, accident, or act that results in damage to the public water system.

(x) Public safety standards. This subsection only applies to a municipality with a population of 1,000,000 or more, with a public utility within its corporate limits; a municipality with a population of more than 36,000 and less than 41,000 located in two counties, one of which is a county with a population of more than 1.8 million; a municipality, including any industrial district within the municipality or its extraterritorial jurisdiction (ETJ), with a population of more than 7,000 and less than 30,000 located in a county with a population of more than 155,000 and less than 180,000; or a municipality, including any industrial district within the municipality or its ETJ, with a population of more than 11,000 and less than 18,000 located in a county with a population of more than 125,000 and less than 230,000.

(1) In this subsection:

(A) "Regulatory authority" means, in accordance with the context in which it is found, either the commission or the governing body of a municipality.

(B) "Public utility" means any person, corporation, cooperative corporation, affected county, or any combination of these persons or entities, other than a municipal corporation, water supply or sewer service corporation, or a political subdivision of the state, except an affected county, or their lessees, trustees, and receivers, owning or operating for compensation in this state equipment or facilities for the transmission, storage, distribution, sale, or provision of potable water to the public or for the resale of potable water

to the public for any use or for the collection, transportation, treatment, or disposal of sewage or other operation of a sewage disposal service for the public, other than equipment or facilities owned and operated for either purpose by a municipality or other political subdivision of this state or a water supply or sewer service corporation, but does not include any person or corporation not otherwise a public utility that furnishes the services or commodity only to itself or its employees or tenants as an incident of that employee service or tenancy when that service or commodity is not resold to or used by others.

(C) "Residential area" means:

(i) an area designated as a residential zoning district by a governing ordinance or code or an area in which the principal land use is for private residences;

(ii) a subdivision for which a plat is recorded in the real property records of the county and that contains or is bounded by public streets or parts of public streets that are abutted by residential property occupying at least 75% of the front footage along the block face; or

(iii) a subdivision a majority of the lots of which are subject to deed restrictions limiting the lots to residential use.

(D) "Industrial district" has the meaning assigned by Texas Local Government Code, §42.044, and includes an area that is designated by the governing body of a municipality as a zoned industrial area.

(2) When the regulatory authority is a municipality, it shall by ordinance adopt standards for installing fire hydrants in residential areas in the municipality. These standards must, at a minimum, follow current AWWA standards pertaining to fire hydrants and the requirements of §290.44(e)(6) of this title.

(3) When the regulatory authority is a municipality, it shall by ordinance adopt standards for maintaining sufficient water pressure for service to fire hydrants adequate to protect public safety in residential areas in the municipality. The standards specified in paragraph (4) of this subsection are the minimum acceptable standards.

(4) A public utility shall deliver water to any fire hydrant connected to the public utility's water system located in a residential area so that the flow at the fire hydrant is at least 250 gallons per minute for a minimum period of two hours while maintaining a minimum pressure of 20 psi throughout the distribution system during emergencies such as **firefighting** **[fire fighting]**. That flow is in addition to the public utility's maximum daily demand for purposes other than **firefighting** **[fire fighting]**.

(5) When the regulatory authority is a municipality, it shall adopt the standards required by this subsection within one year of the effective date of this subsection or within one year of the date this subsection first applies to the municipality, whichever occurs later.

(6) A public utility shall comply with the standards established by a municipality under both paragraphs (2) and (3) of this subsection within one year of the date the standards first apply to the public utility. If a municipality has failed to comply with the deadline required by paragraph (5) of this subsection, then a public utility shall comply with the standards

specified in paragraphs (2) and (4) of this subsection within two years of the effective date of this subsection or within one year of the date this subsection first applies to the public utility, whichever occurs later.

(y) Fire hydrant flow standards.

(1) In this subsection:

(A) "Municipal utility" means a retail public utility, as defined by Texas Water Code (TWC), §13.002, that is owned by a municipality.

(B) "Residential area" means an area used principally for private residences that is improved with at least 100 single-family homes and has an average density of one home per half acre.

(C) "Utility" includes a "public utility" and "water supply or sewer service corporation" as defined by TWC §13.002.

(2) The governing body of a municipality by ordinance may adopt standards set by the executive director requiring a utility to maintain a minimum sufficient water flow and pressure to fire hydrants in a residential area located in the municipality or the municipality's ETJ. The municipality must submit a signed copy of the ordinance to the executive director within 60 days of the adoption of an ordinance by its governing body.

(3) In addition to a utility's maximum daily demand, the utility must provide, for purposes of emergency fire suppression:

(A) a minimum sufficient water flow of at least 250 gallons per minute for at least two hours; and

(B) a minimum sufficient water pressure of at least 20 psi.

(4) If a municipality adopts standards for a minimum sufficient water flow and pressure to fire hydrants, the municipality must require a utility to maintain at least the minimum sufficient water flow and pressure described by paragraph (3) of this subsection in fire hydrants in a residential area located within the municipality or the municipality's ETJ. If the municipality adopts a fire flow standard exceeding the minimum standards set in paragraph (3) of this subsection, the standard adopted by the municipality must be based on:

(A) the density of connections;

(B) service demands; and

(C) other relevant factors.

(5) If the municipality owns a municipal utility, it may not require another utility located in the municipality or the municipality's ETJ to provide water flow and pressure in a fire hydrant greater than that provided by the municipal utility as determined by the executive director.

(6) If the municipality does not own a municipal utility, it may not require a utility located in the municipality or the municipality's ETJ to provide a minimum sufficient water flow and pressure greater than the standard established by paragraph (3) of this subsection.

(7) An ordinance under paragraph (2) of this subsection may not require a utility to build, retrofit, or improve infrastructure in existence at the time the ordinance is adopted.

(8) A municipality with a population of less than 1.9 million that adopts standards under paragraph (2) of this subsection or that seeks to use a utility's water for emergency fire suppression shall enter into a written memorandum of understanding with the utility.

(A) The memorandum of understanding must provide for:

(i) the necessary testing of fire hydrants; and

(ii) other relevant issues pertaining to the use of the water and maintenance of the fire hydrants to ensure compliance with this subsection.

(B) The municipality must submit a signed copy of the memorandum of understanding to the executive director within 60 days of the execution of the memorandum of understanding between its governing body and the utility.

(9) A municipality may notify the executive director of a utility's failure to comply with a standard adopted under paragraph (3) of this subsection.

(10) On receiving the notice described by paragraph (9) of this subsection, the executive director shall require a utility in violation of a standard adopted under this subsection to comply within a reasonable time established by the executive director.

(z) Nitrification Action Plan (NAP). Any water system distributing chloraminated water must create a NAP. The system must create a written NAP that:

(1) contains the system-specific plan for monitoring free ammonia, monochloramine, total chlorine, nitrite, and nitrate levels;

(2) contains system-specific action levels of the above monitored chemicals where action must be taken;

(3) contains specific corrective actions to be taken if the action levels are exceeded; and

(4) is maintained as part of the system's monitoring plan in §290.121 of this title.

§290.47. Appendices.

(a) Appendix A. Recognition as a Superior or Approved Public Water System.

Figure: 30 TAC §290.47(a)

APPENDIX A

Requirements. Public water supply systems which achieve and maintain recognition must exceed the minimum acceptable standards of the commission in these sections.

(1) To attain recognition as a "Superior Public Water System", the following additional requirements must be met:

(A) Physical facilities shall comply with the requirements in these sections.

(B) There shall be a minimum of two licensed operators with additional operators required for larger systems.

(C) The system's microbiological record for the previous 24 months period shall indicate no violations (frequency, number or maximum contaminant level) of the drinking water standards.

(D) The quality of the water shall comply with all primary water quality parameters listed in the drinking water standards.

(E) The chemical quality of the water shall comply with all secondary constituent levels listed in the drinking water standards.

(F) The system's operation shall comply with applicable state statutes and minimum acceptable operating practices set forth in §290.46 of this title (relating to Minimum Acceptable Operating Practices for Public Drinking Water Systems).

(G) The system's capacities shall meet or exceed minimum water system capacity requirements set forth in §290.45 of this title (relating to Minimum Water System Capacity Requirements).

(H) The system shall have at least two wells, two raw water pumps or a combination of these with enough capacity to provide average daily consumption with the largest well or pump out of service. This requirement shall also apply to treatment plant pumps necessary for operation in accordance with §290.42 of this title (relating to Water Treatment).

(I) The water system shall be well maintained and the facilities shall present a pleasing appearance to the public.

(2) To attain recognition as an "Approved Public Water System," all additional requirements listed under subsection (a)(1) of this section with exception of secondary constituents, subsection (a)(1)(E) of this section must be met. Public water systems which provide water quality that exceeds the secondary chemical standards may be excluded from this recognition program at the discretion of the executive director.

Signs. Systems which have met the requirements for recognition as a superior or approved system may erect signs denoting this honor.

Inspections. To receive or maintain recognition as a superior or approved water system, the system must be inspected and evaluated by commission personnel as to physical facilities, appearance and operation. Systems which fail to meet the above requirements in this section will be denied recognition or will have their recognition revoked. The signs shall be immediately removed on notice from the executive director.

(b) Appendix B. Sample Retail Service Agreement.

Figure: 30 TAC §290.47(b)

Appendix B: Sample Retail Service Agreement

- I. PURPOSE. The NAME OF WATER SYSTEM is responsible for protecting the drinking water supply from contamination or pollution which could result from improper system construction or configuration on the retail connection owner's side of the meter. The purpose of this service agreement is to notify each customer of the restrictions which are in place to provide this protection. The public water system enforces these restrictions to ensure the public health and welfare. Each retail customer must sign this agreement before the NAME OF WATER SYSTEM will begin service. In addition, when service to an existing retail connection has been suspended or terminated, the water system will not re-establish service unless it has a signed copy of this agreement.
- II. RESTRICTIONS. The following unacceptable practices are prohibited by State regulations.
 - A. No direct connection between the public drinking water supply and a potential source of contamination is permitted. Potential sources of contamination shall be isolated from the public water system by an air-gap or an appropriate backflow prevention device.
 - B. No cross-connection between the public drinking water supply and a private water system is permitted. These potential threats to the public drinking water supply shall be eliminated at the service connection by the installation of an air-gap or a reduced pressure-zone backflow prevention device.
 - C. No connection which allows water to be returned to the public drinking water supply is permitted.
 - D. No pipe or pipe fitting which contains more than 0.25% lead may be used for the installation or repair of plumbing at any connection which provides water for human use.
 - E. No solder or flux which contains more than 0.2% lead can be used for the installation or repair of plumbing at any connection which provides water for human use.

- III. SERVICE AGREEMENT. The following are the terms of the service agreement between the NAME OF WATER SYSTEM (the Water System) and NAME OF CUSTOMER (the Customer).
- A. The Water System will maintain a copy of this agreement as long as the Customer and/or the premises is connected to the Water System.
 - B. The Customer shall allow his property to be inspected for possible cross-connections and other potential contamination hazards. These inspections shall be conducted by the Water System or its designated agent prior to initiating new water service; when there is reason to believe that cross-connections or other potential contamination hazards exist; or after any major changes to the private water distribution facilities. The inspections shall be conducted during the Water System's normal business hours.
 - C. The Water System shall notify the Customer in writing of any cross-connection or other potential contamination hazard which has been identified during the initial inspection or the periodic reinspection.
 - D. The Customer shall immediately remove or adequately isolate any potential cross-connections or other potential contamination hazards on his premises.
 - E. The Customer shall, at his expense, properly install, test, and maintain any backflow prevention device required by the Water System. Copies of all testing and maintenance records shall be provided to the Water System.
- IV. ENFORCEMENT. If the Customer fails to comply with the terms of the Service Agreement, the Water System shall, at its option, either terminate service or properly install, test, and maintain an appropriate backflow prevention device at the service connection. Any expenses associated with the enforcement of this agreement shall be billed to the Customer.

CUSTOMER'S
 SIGNATURE:_____

DATE:_____

(c) Appendix C. **Critical Water System Components [Boil Water Notices.]**

Table: 30 TAC §290.47(c)

Disclaimer: The following is a non-exhaustive list of critical equipment. There may be other critical equipment, not listed below, that must be protected from adverse weather conditions to ensure the continuity of operation of individual water production, treatment, storage, distribution, or other facilities.

Category	Subcategories	Critical Components
Source	Wells Intakes Interconnections	Well head Exposed pipes Electrical lines and equipment

Category	Subcategories	Critical Components
		Pumps and motors Valves, gauges, and meters Backflow prevention devices
Treatment	Rapid mixers Flocculators Clarifiers Filters	Exposed pipes Electrical lines and equipment Pumps and motors Mechanical drives and equipment Valves, gauges, and meters
Chemicals	Feeders Storage Containment	Exposed feeder and transfer lines Motive water lines Backflow prevention devices Pumps and rotameters Electrical lines and equipment Storage level indicators
Water Storage	Ground storage Elevated storage Pressure tanks	Exposed inlet and outlet pipes Vents and pressure relief devices Valves, pressure gauges, and meters, including liquid level indicators and sight glasses Cathodic protection
Distribution	Water lines Fire hydrants Flush valves Isolation valves Booster plants Backflow prevention devices Wholesale/purchase water interconnections	Exposed water lines (e.g., bridge or water crossings) Valves, gauges, and meters (excluding individual/retail customer meters) Pumps and motors
Auxiliary Power	Generators Right angle drives Switch gears Fuel storage Fuel containment	Electrical lines and equipment Switches, fuses and breakers Grounding rods and surge or lightning arrestors Transformers Fuel lines and equipment Cooling and lubricant lines and equipment Exhaust systems Batteries and terminals Valves and gauges Cathodic protection
Other Critical Components	Supervisory Control and Data Acquisition (SCADA)	Electrical lines and equipment Fuses

Category	Subcategories	Critical Components
	Programable Logic Controller (PLC) Human-Machine Interface (HMI) Variable Flow Device (VFD)	Surge protectors/ arrestors Uninterrupted Power Supply (UPS)

(1) Appendix C1: Boil Water Notice for Community Public Water Systems.]

[Figure: 30 TAC §290.47(c)(1)]

[Appendix C1: Boil Water Notice for Community Public Water Systems]

[<Date>]

[Due to <See Instruction 1>, the Texas Commission on Environmental Quality has required the <See Instruction 2> public water system to notify all customers to boil their water prior to consumption (e.g., washing hands/face, brushing teeth, drinking, etc). Children, seniors, and persons with weakened immune systems are particularly vulnerable to harmful bacteria, and all customers should follow these directions.)]

[To ensure destruction of all harmful bacteria and other microbes, water for drinking, cooking, and ice making should be boiled and cooled prior to use for drinking water or human consumption purposes. The water should be brought to a vigorous rolling boil and then boiled for two minutes.]

[In lieu of boiling, individuals may purchase bottled water or obtain water from some other suitable source for drinking water or human consumption purposes.]

[When it is no longer necessary to boil the water, the public water system officials will notify customers that the water is safe for drinking water or human consumption purposes.]

[Once the boil water notice is no longer in effect, the public water system will issue a notice to customers that rescinds the boil water notice in a manner similar to this notice.]

[Please share this information with all the other people who drink this water, especially those who may not have received this notice directly (for example, people in apartments, nursing homes, schools, and businesses). You can do this by posting this notice in a public place or distributing copies by hand or mail.]

[If you have questions concerning this matter, you may contact < See Instruction 3> at < See Instruction 4>.]

[< See Instruction 5>]

[Instructions:]

[Delete instructions below on copy given to customers.]

[This is the mandatory language for your "Boil Water Notice". Please replace all of the above referenced < **See Instruction**> numbers with the information as follows:]

[<1> A description of the conditions that require a "Boil Water Notice" to be issued for the public water system that may include but are not limited to: reduced distribution system pressure, line break, low disinfection residuals, etc.]

[<2> Public Water System Name / Public Water System Identification Number]

[<3> Name of public water system official and any other primary contact names.
(Do not list TCEQ as the primary contact.)]

[<4> Public water system official(s) phone number, business address, and any other useful contact numbers. Where appropriate, provide a telephone number or address where consumers may obtain a translated copy of the notice or assistance in the appropriate language.]

[<5> Public water systems may add optional language here concerning the actions they have taken to address the boil water notice situation.]

[The public water system customers and the executive director shall be able to reach the public water system at one of the numbers listed in this notice.]

[If a customer, individual, or employee wishes to contact the executive director, please call (512) 239-4691.]

[(2) Appendix C2: Boil Water Notice for Noncommunity Public Water Systems.]

[Figure: 30 TAC §290.47(c)(2)]

[Appendix C2: Boil Water Notice for Noncommunity Public Water Systems]

[<Date>]

[Due to <**See Instruction 1**>, the Texas Commission on Environmental Quality has required the <**See Instruction 2**> public water system to notify all customers, individuals, or employees that this establishment or business has implemented a boil water notice. All water provided by this establishment or business shall be boiled prior to use for drinking water or human consumption purposes prior to consumption (e.g., washing hands/face, brushing teeth, drinking, etc). Children, seniors, and persons with weakened immune systems are particularly vulnerable to harmful bacteria, and all customers should follow these directions).]

[To ensure destruction of all harmful bacteria and other microbes, water for drinking, cooking, and ice making should be boiled and cooled prior to use for drinking water or human consumption purposes. The water should be brought to a vigorous rolling boil and then boiled for two minutes.]

[In lieu of boiling, all customers, individuals, or employees may purchase bottled water or obtain water from some other suitable source for drinking water or human consumption purposes.]

[When it is no longer necessary to boil the water, the public water system officials of this establishment or business will notify customers, individuals, or employees that the water is safe for drinking water or human consumption purposes. Once the boil water notice is no longer in effect, the public water system officials will issue a notice to customers, individuals, or employees of this establishment or business that rescinds the boil water notice in a manner similar to this notice.]

[Please share this information with all the other people who drink this water, especially those who may not have received this notice directly (for example, people in apartments, nursing homes, schools, and businesses). You can do this by posting this notice in a public place or distributing copies by hand or mail.]

[If you have questions concerning this matter, you may contact < See Instruction 3> at < See Instruction 4>.]

[< See Instruction 5>]

[Instructions:]

[Delete instructions below on copy given to customers.]

[This is the mandatory language for your "Boil Water Notice". Please replace all of the above referenced < See Instruction> numbers with the information as follows:]

[<1> A description of the conditions that require a "Boil Water Notice" to be issued for the public water system that may include but are not limited to (e.g., reduced distribution system pressure, line break, low disinfection residuals, etc.).]

[<2> Public Water System Name / Public Water System Identification Number]

[<3> Name of public water system official and any other primary contact names.
(Do not list TCEQ as the primary contact.)]

[<4> Public water system official(s) phone number, business address, and any other useful contact numbers. Where appropriate, provide a telephone number or address where consumers may obtain a translated copy of the notice or assistance in the appropriate language.]

[<5> Public water systems may add optional language here concerning the actions they have taken to address the boil water notice situation.]

[The public water system customers and the executive director shall be able to reach the public water system at one of the numbers listed in this notice.]

[If a customer, individual, or employee wishes to contact the executive director, please call (512) 239-4691.]

[(3) Appendix C3: Boil Water Notice Rescinded.]

[Figure: 30 TAC §290.47(c)(3)]

[Appendix C3: Boil Water Notice Rescinded]

[<Date>]

[On <See Instruction 1>, the Texas Commission on Environmental Quality required the <See Instruction 2> public water system, <See Instruction 3>, to issue a Boil Water Notice to inform customers, individuals, or employees that due to conditions which occurred recently in the public water system, the water from this public water system was required to be boiled prior to use for drinking water or human consumption purposes.]

[The public water system has taken the necessary corrective actions to restore the quality of the water distributed by this public water system used for drinking water or human consumption purposes and has provided TCEQ with laboratory test results that indicate that the water no longer requires boiling prior to use as of < See Instruction 4>.]

[If you have questions concerning this matter, you may contact < See Instruction 5> at < See Instruction 6>.]

[< See Instruction 7>]

[Instructions:]

[Delete instructions below on copy given to customers.]

[This is the mandatory language for your "Boil Water Notice Rescinded" notice. Please replace all of the above referenced < See Instruction> numbers with the information as follows:]

[<1> Insert date that the initial Boil Water Notice was issued.]

[<2> Public Water System Name.]

[<3> Public Water System Identification Number.]

[<4> Boil Water Notice Rescind Date.]

[<5> Name of public water system official and any other primary contact names.
(Do not list TCEQ as the primary contact.)]

[<6> Public water system official(s) phone number, business address, and any other useful contact numbers. Where appropriate, provide a telephone number or address where consumers may obtain a translated copy of the notice or assistance in the appropriate language.]

[<7> Public water systems may add optional language here concerning the actions they have taken to address the boil water notice situation.]

[The public water system customers and the executive director shall be able to reach the public water system at one of the numbers listed in this notice.]

[If a customer, individual, or employee wishes to contact the executive director, please call (512) 239-4691.]

(d) Appendix D. Operator Notice.

Figure: 30 TAC §290.47(d)

30 TAC §290.46(p)(2), Data on water system ownership and management, requires the owner of a public water system to annually provide the executive director with a list of all the water works operators and operating companies that the public water system uses. The following form may be used to facilitate compliance with this requirement. This notice shall be submitted to the Texas Commission on Environmental Quality (TCEQ), Water Supply Division, MC-155, P.O. Box 13087, Austin, Texas 78711-3087 or via the TCEQ online "Operator Notice" form. Upon request, the "Operator Notice" form shall also be provided to the executive director during on-site inspections.

Appendix D: Operator Notice

Name of Operator or Operating Company	For Operators				For Companies
	Contact Information	Full, Part-time or Volunteer	License No.	Class of License	Registration No.
1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					

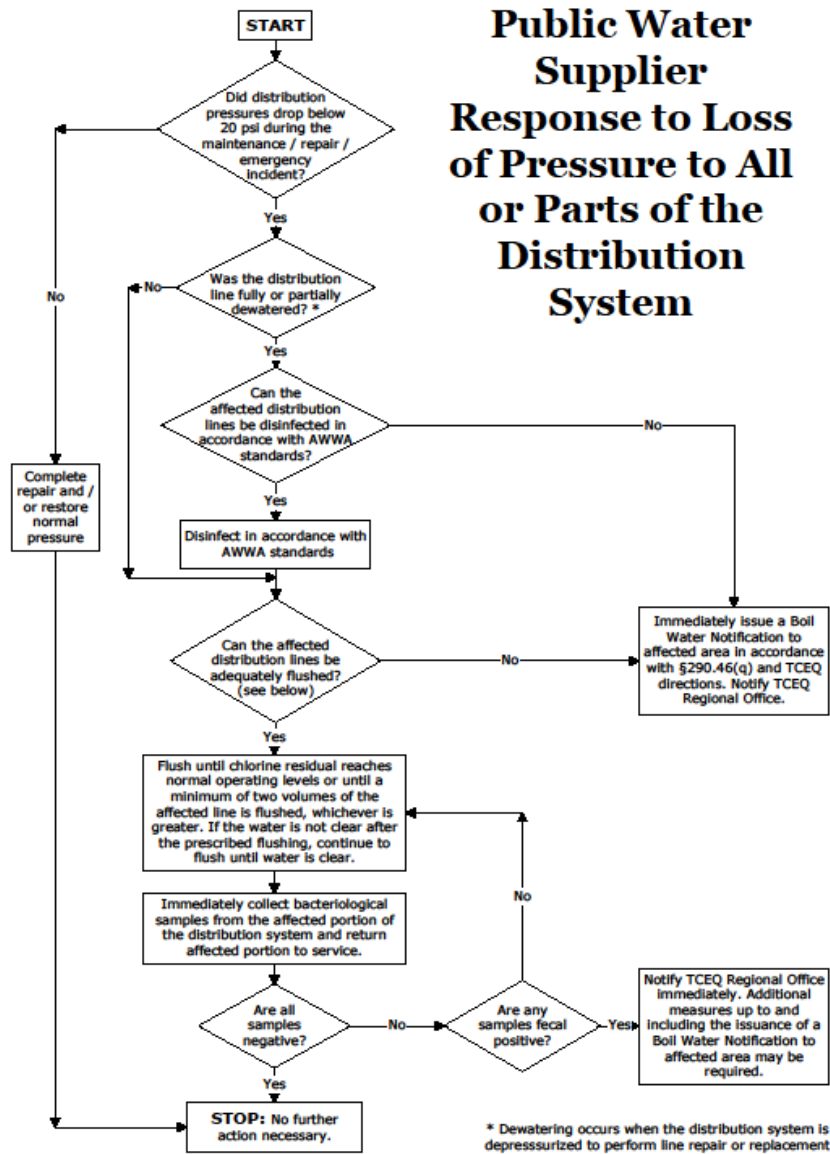
Signature of Water System Owner or Responsible / Official Date

Name of Water System Owner or Responsible Official / Title of Owner or Responsible Official

(e) Appendix E. Special Precautions.

Figure: 30 TAC §290.47(e)

Figure: 30 TAC §290.47(e)



(f) Appendix F. Assessment of Hazards and Selection of Assemblies.

Figure: 30 TAC §290.47(f)

Appendix F: Assessment of Hazards and Selection of Assemblies

The following table lists many common hazards. It is not an all-inclusive list of the hazards which may be found connected to public water systems.

Premises Isolation: Description of Premises	Assessment of Hazard	Required Assembly
Aircraft and missile plants	Health	RPBA or AG
Animal feedlots	Health	RPBA or AG
Automotive plants	Health	RPBA or AG
Breweries	Health	RPBA or AG
Canneries, packing houses and rendering plants	Health	RPBA or AG
Commercial car wash facilities	Health	RPBA or AG
Commercial laundries	Health	RPBA or AG
Cold storage facilities	Health	RPBA or AG
Connection to sewer pipe	Health	RPBA or AG
Dairies	Health	RPBA or AG
Docks and dockside facilities	Health	RPBA or AG
Dye works	Health	RPBA or AG
Food and beverage processing plants	Health	RPBA or AG
Hospitals, morgues, mortuaries, medical clinics, dental clinics, veterinary clinics, autopsy facilities, sanitariums, and medical labs	Health	RPBA or AG
Metal manufacturing, cleaning, processing, and fabrication plants	Health	RPBA or AG
Microchip fabrication facilities	Health	RPBA or AG
Paper and paper products plants	Health	RPBA or AG
Petroleum processing or storage facilities	Health	RPBA or AG
Photo and film processing labs	Health	RPBA or AG

Plants using radioactive material	Health	RPBA or AG
Plating or chemical plants	Health	RPBA or AG
Pleasure-boat marinas	Health	RPBA or AG
Private/Individual/Unmonitored wells	Health	RPBA or AG
Rainwater harvesting system	Health	RPBA or AG
Reclaimed water systems	Health	RPBA or AG
Restricted, classified or other closed facilities	Health	RPBA or AG
Rubber plants	Health	RPBA or AG
Sewage lift stations	Health	RPBA or AG
Sewage treatment plants	Health	RPBA or AG
Slaughter houses	Health	RPBA or AG
Steam plants	Health	RPBA or AG
Tall buildings or elevation differences where the highest outlet is 80 feet or more above the meter	Nonhealth	DCVA

Internal Protection - Description of Cross-Connection	Assessment of Hazard	Required Assembly
-------------------------------------------------------	----------------------	-------------------

Aspirators	Nonhealth†	AVB
Aspirator (medical)	Health	AVB or PVB
Autoclaves	Health	RPBA
Autopsy and mortuary equipment	Health	AVB or PVB
Bedpan washers	Health	AVB or PVB
Connection to industrial fluid systems	Health	RPBA
Connection to plating tanks	Health	RPBA
Connection to salt-water cooling systems	Health	RPBA
Connection to sewer pipe	Health	AG
Cooling towers with chemical additives	Health	AG
Cuspidors	Health	AVB or PVB
Degreasing equipment	Nonhealth†	DCVA

Domestic space-heating boiler	Nonhealth†	RPBA
Dye vats or machines	Health	RPBA
Fire-fighting system (toxic liquid foam concentrates)	Health	RPBA
Flexible shower heads	Nonhealth†	AVB or PVB
Heating equipment		
Commercial	Nonhealth†	RPBA
Domestic	Nonhealth†	DCVA
Hose bibs	Nonhealth†	AVB
Irrigation systems		
with chemical additives	Health	RPBA
without chemical additives	Nonhealth†	DCVA, AVB, or PVB
Kitchen equipment - Commercial	Nonhealth†	AVB
Lab bench equipment	Health or Nonhealth†	AVB or PVB
Ornamental fountains	Health	AVB or PVB
Swimming pools		
Private	Nonhealth†	PVB or AG
Public	Nonhealth†	RPBA or AG
Sewage pump	Health	AG
Sewage ejectors	Health	AG
Shampoo basins	Nonhealth†	AVB
Specimen tanks	Health	AVB or PVB
Steam generators	Nonhealth†	RPBA
Steam tables	Nonhealth†	AVB
Sterilizers	Health	RPBA
Tank vats or other vessels containing toxic substances	Health	RPBA
Trap primers	Health	AG
Vending machines	Nonhealth†	RPBA or PVB
Watering troughs	Health	AG or PVB

NOTE: AG = air gap; AVB = atmospheric vacuum breaker; DCVA = double check valve backflow prevention assembly; PVB = pressure vacuum breaker; RPBA = reduced-pressure principle backflow prevention assembly.

*AVBs and PVBs may be used to isolate health hazards under certain conditions, that is, back siphonage situations. Additional area of premises isolation may be required.

†Where a greater hazard exists (due to toxicity or other potential health impact) additional area protection with RPBA is required.

(g) Appendix G. Emergency Preparedness Plan Templates. [Template.]

(1) G1: Emergency Preparedness Plan Template for Affected Utilities defined under TWC §13.1394

Figure: 30 TAC §290.47(g)(1)

Appendix G1: Emergency Preparedness Plan Template for Affected Utilities defined under TWC §13.1394

This appendix contains information to assist an affected utility, defined in TWC §13.1394, in preparing an emergency preparedness plan (EPP). A comprehensive guide and shell form, TCEO Form No. 20536B, for preparing a plan is available from the executive director upon request or by visiting the TCEO website. A cover letter containing the name of the affected utility; the affected utility representative's name, title, and contact telephone number; and, if applicable, the public water system's identification number (PWS ID) and district number must be included with the plan submittal.

Information provided by an affected utility relating to its emergency preparedness plan is confidential and is not subject to disclosure under Texas Government Code, Chapter 552.

Rules. All of 30 TAC Chapter 291, Subchapter L applies to affected utilities that are not public water systems. The following commission rules apply to affected utilities that are public water systems:

Definitions: §290.38

General Provisions: §290.39(a), (c)(4) and (o)

Water Distribution: §290.44(d)

Minimum Water System Capacity Requirements: 290.45(a)(7), (a)(8), (a)(9), (b)(3), (c)(3), (d)(4), (e)(3), (e)(4), (f)(6), (g)(5)(A)(i), (g)(5)(A)(iv), (g)(5)(B), (g)(5)(B)(i)-(iv), (g)(5)(C), and (i).

Minimum Acceptable Operating Practices for Public Drinking Water Systems: §290.46(f)(5), (m)(8), (m)(9), and (r).

Plan Options. An affected utility, as defined in TWC §13.1394, must choose from the 14 options listed under §290.45(h) and must be able to provide a minimum of 20 psi, or a pressure approved by the executive director, to its customers during a power outage lasting longer than 24 hours.

An affected utility must include one, or a combination of, the following in a submitted emergency preparedness plan:

(1) Auxiliary generators equipped with automatic start and switch over equipment. This equipment must have the ability to detect the failure of normal power from the electric grid; automatically start the generator; isolate necessary water equipment from the normal power grid; and switch the running generator's power to power the necessary water equipment to maintain the required minimum pressure.

(2) Direct pressure from another system. This option is only for systems that purchase water directly from another system and which may or may not repressurize the water to maintain at least 20 psi throughout the distribution system during an extended power outage. A distribution-only system that does not repressurize may choose to become a member of TXWARN in order to accept the assistance of certified operators or equipment loans to repair the distribution system during an extended power outage.

(3) Negotiated lease and contract agreements for emergency power equipment and any necessary fuel. This includes mutual aid agreements with other retail public utilities, exempt utilities, or providers or conveyors of potable or raw water service if the agreements provide for coordination with the division of emergency management in the governor's office. Consideration must be given to the location of where the other water supplier(s) are located as they may also be affected by the same natural disaster. In addition, when entering into a contract for leasing of emergency power equipment and necessary fuel, the contractual commitments of the supplier to other water suppliers and businesses within an area subject to the same natural disaster event must be taken into consideration.

(4) Portable generators capable of serving multiple facilities. The portable generator(s) and the necessary water equipment must be pre-equipped with quick-connect, mating electrical connectors to facilitate the rapid implementation of the EPP. The plan must address whether there is an adequate number of portable generators to operate all of the necessary water equipment in order to maintain the required minimum pressure in multiple pressure planes or at multiple systems, if affected by the same natural disaster event.

(5) In lieu of generators, alternative on-site electrical generation, or distributed electrical generation facilities, may be used. This may include the use of wind, solar or other power as a means of providing sufficient emergency power to operate the necessary water equipment to maintain the required minimum pressure.

(6) Hardening of the electric transmission and distribution system serving the affected utility. One alternative is to relocate electric transmission lines for the system from overhead to underground and protect them from flooding. Another alternative is to replace overhead transmission lines, poles, and related appurtenances with ones that can withstand historical hurricane-force wind velocities and ice accumulations, and trim or remove any trees next to and above the overhead transmission lines.

(7) Engines equipped with direct or right-angle drives can be used as auxiliary power sources. Each pump or other equipment must be equipped with appropriate mechanical fittings to facilitate the use of engines. The plan must address the operation of chemical feed pumps using a generator(s) and may need to be combined with another option.

(8) Designation of the water system as a critical load facility with the electrical provider, or a redundant, isolated, or dedicated electrical feed. This option will require documentation from your electrical provider indicating that your facility is protected from power loss lasting more than 24 hours. This will also require that another EPP option is chosen.

(9) Sufficient water capacity. Documentation of ground storage, elevated storage, or standpipe storage capacity to provide at least 20 psi, or a pressure approved by the executive director, to the entire distribution system during a power outage lasting more than 24 hours.

(10) Water delivery using an emergency interconnect or water hauler. This option must provide at least 20 psi, or a pressure approved by the executive director, to the entire distribution system during a power outage lasting more than 24 hours and may need to be combined with another option.

(11) Artesian flow. This option must provide at least 20 psi, or a pressure approved by the executive director, to the entire distribution system during a power outage lasting more than 24 hours and must be combined with another option.

(12) Redundant connection between pressure zones. Your distribution system must retain the ability to open valves between pressure zones to provide at least 20 psi, or a pressure approved by the executive director, to the entire distribution system during a power outage lasting more than 24 hours and may need to be combined with another option.

(13) Application of Emergency Demand rules. This option requires that a minimum of 0.35 gallons per minute (gpm) per connection is supplied to the distribution system while maintaining at least 20 psi, or a pressure approved by the executive director, to the entire distribution system during a power outage lasting more than 24 hours and must be combined with another option.

(14) Any other alternative determined by the executive director to be acceptable. This option requires that alternative solutions be proposed to the executive director as long as they meet the requirements of TWC §13.1394 and provide at least 20 psi, or a pressure approved by the executive director, to the entire distribution system during a power outage lasting more than 24 hours.

Plan Contents. Affected utilities, as defined in TWC §13.1394, should use TCEO Form 20536B and affected utilities, as defined in TWC §13.1395, should use TCEO Form 20536A. An EPP must provide for any applicable production, treatment, transfer and service pumps at an adequate flow rate and at a minimum pressure of 20 psi, or a pressure approved by the executive director, in the far reaches of an affected distribution system including multiple pressure planes. If applicable, provide the following information:

Contact information, including names, emergency telephone numbers, and e-mail addresses.

A time frame for the full implementation of the emergency preparedness plan.

- The location of distribution maps, diagram of the water system, and a copy of any necessary piping maps.
- All groundwater, surface water, and purchased water sources, with locations and individual capacities that will be used in response to a power outage lasting longer than 24 hours.
- All interconnections with other water providers, whether normally open or closed; size, whether wholesale, purchase, or both; available capacity, and any other pertinent information. When relying on a wholesaler to meet EPP requirements indicate if wholesaler intends to provide water or water and pressure, and any other pertinent information. Include the names of each interconnected entity and contact information, including names, titles, telephone numbers, and e-mail addresses.
- For each chemical used, list the type, location, volume of storage container, and volume required per day during emergency operations.
- All primary chemical supplier's contact information, including an alternate chemical supplier in case the primary supplier is unavailable.
- All equipment necessary to provide water to customers at the required minimum pressure and adequate flow rate. Include the capacity and power requirements of all treatment equipment to be used to meet the EPP requirements.
- The maximum and average daily demands. If the EPP is for a proposed affected utility, the minimum specified capacities in §290.45 of this title shall be used for the maximum daily demand.
- Any purchased water systems and the number of connections that your affected utility intends to provide with water, or with water and pressure, during a power outage lasting greater than 24 hours.
- All primary electrical power sources and include an electrical schematic.
- The size, location, and fuel requirement, in gallons per hour of diesel or gas, at the load necessary to maintain emergency operations for all on-site manual and automatic auxiliary power equipment. Provide information as to how your affected utility determined the necessary fuel quantity.
- Documentation as to how your affected utility will ensure that an adequate supply of fuel is maintained during emergency operations.
- For each fuel tank, provide the location, volume, name of fuel suppliers, contact names, titles, telephone numbers, and e-mail addresses.
- All shared auxiliary power equipment, list the size, location, fuel requirement, in gallons per hour of diesel or gas, at the load necessary to maintain emergency operations. List the name of the system sharing the equipment and the system's contact information including names, titles, emergency telephone numbers, and e-mail addresses.

- A copy of any leasing and contracting agreements, if the agreements provide for coordination with the division of emergency management in the governor's office, including mutual aid agreements with other retail public utilities, exempt utilities, providers, or conveyors of potable or raw water service. If leasing, include the vendor's name, location, and contact information.
- All portable generators' power, phase, type of quick-connect, fuel type, and fuel demand in gallons per hour.
- Specifications, a description, and detailed capacity information for all on-site electrical generation or distributive generation equipment. Include all fuel demands for this equipment.
- All direct or right-angle drive emergency power equipment with the name, type of engine, fuel type, and fuel demand in gallons per hour.
- Documentation from electric provider indicating the affected utility qualifies for critical load status, or has redundant, isolated, or dedicated electrical feeds, and is protected from power loss during a load shedding event.
- When relying on water storage, submit documentation demonstrating that the affected utility has sufficient ground, elevated, standpipe storage to provide water to the entire distribution system at the required 20 psi during a power outage lasting greater than 24 hours.
- When relying on an approved water hauler for service to the distribution system, the affected utility must demonstrate that it can provide water at 20 psi. When relying on a normally closed interconnect for water, provide system contact information, location, and capacity.
- For each approved artesian well, provide source information and location.
- When relying on redundant interconnectivity between pressure zones, provide the location of valves, how the affected utility intends to maintain 20 psi, and a system map with pressure planes and tank locations.
- When relying on emergency water demand rules, provide the restriction that the system will implement during an emergency response.
- Details for any other proposed alternative.
- All local and state emergency responders and their emergency contact information. Include medical facilities.
- All priority water users, such as hospitals and nursing homes, and their emergency contact names, titles, telephone numbers, and e-mail addresses.
- Contact information for primary certified laboratory and include an alternate lab in case primary lab is unavailable.
- Contact information for all utility providers to the affected utility.
- Any bulk water haulers that could be used, including contact names, telephone numbers, and

e-mail addresses.

□ The name of the system's designated media spokesperson and include a list of local media contact names, titles, type of media, telephone numbers, and e-mail addresses.

(2) G2: Emergency Preparedness Plan Template for Affected Utilities defined under TWC §13.1395

Figure: 30 TAC §290.47(g)(2) [§290.47(g)]

Appendix G2 [G]: Emergency Preparedness Plan Template for Affected Utilities defined under §13.1395

This appendix contains information to assist an affected utility, defined in TWC §13.1395, in preparing an emergency preparedness plan (EPP). A comprehensive guide and shell form, TCEQ Form No. 20536A, [20536,] for preparing a plan is available from the executive director upon request. A cover letter containing the name of the affected utility; the affected utility representative's name, title, and contact telephone number; and, if applicable, the public water system's identification number (PWS ID) and district number must be included with the plan submittal.

Information provided by an affected utility relating to its emergency preparedness plan is confidential and is not subject to disclosure under Texas Government Code, Chapter 552.

Rules. All of 30 TAC Chapter 291, Subchapter L applies to affected utilities that are not public water systems. The following commission rules apply to affected utilities that are public water systems:

Definitions: §290.38

General Provisions: §290.39(c)(4)[(A) - (E)] and (o)(1) - (5)

Water Distribution: §290.44(d)

Minimum Water System Capacity Requirements: §290.45(a)(7), (b)(3), (c)(3), (d)(4), (e)(3), (e)(4), (f)(6), (g)(5)(A)(i), (g)(5)(A)(iv), (g)(5)(B), and (h).

Minimum Acceptable Operating Practices for Public Drinking Water Systems: §290.46(f)(5), and (r).

Plan Options. An affected utility, as defined in TWC §13.1395, must choose from the 8 options listed under §290.45(i) and must be able to provide a minimum of 35 pounds per square inch (psi) to customers during a power outage lasting longer than 24 hours.

A submitted emergency preparedness plan must include one of the following:

(1) Auxiliary generators equipped with automatic starting generators and switch over equipment. This equipment must have the ability to detect the failure of normal power from the electric grid; automatically start the generator; isolate necessary water equipment from the normal power grid; and switch the running generator's power to power the necessary water equipment to maintain the required minimum pressure.

(2) Direct pressure from another system. This option is only for systems that purchase water directly from another system and which may or may not repressurize the water to maintain at least 35 psi throughout the distribution system during extended power outages that last longer than 24 hours. A distribution-only system that does not repressurize may choose to become a member of TXWARN in order to accept the assistance of certified operators or equipment loans to repair the distribution system during an extended power outage. [Two or more affected utilities may propose the sharing of auxiliary generator power. Necessary electrical and/or water connections equipped with automatic switch over and opening valves must be presented in the plan to demonstrate how one or more affected utilities will be able to maintain the required minimum pressure. Describe which equipment will share the auxiliary generator power and which equipment, if any, would receive power from only a single affected utility's auxiliary power equipment.]

(3) Becoming a contributing member of TXWARN (distribution-only affected utilities do not qualify for this option) or negotiated leasing and contract agreements for emergency power equipment and any necessary fuel. This includes [Copies of negotiated leasing and contract agreements for emergency power equipment and any necessary fuel. This includes mutual aid agreements with other retail public utilities, exempt utilities, or providers or conveyors of potable or raw water service if the agreements provide for] coordination with the division of emergency management in the governor's office. Consideration must be given to the location of where the other water supplier(s) are located as they may also be affected by the same natural disaster. In addition, when entering into a contract for leasing of emergency power equipment and necessary fuel, the contractual commitments of the supplier to other water suppliers and businesses within an area subject to the same natural disaster event must be taken into consideration.

(4) Portable [Use of portable] generators capable of serving multiple facilities. The portable generator(s) and the necessary water equipment must be pre-equipped with quick-connect, mating electrical connectors to facilitate the rapid implementation of the emergency preparedness plan. The plan must address whether there is an adequate number of portable generators to operate all of the necessary water equipment in order to maintain the required minimum pressure in multiple pressure plans or at multiple systems, if affected by the same natural disaster event.

(5) In lieu of generators, alternative on-site electrical generation, or distributed electrical generation facilities, may be used. This may include the use of wind, solar or other power as a means of providing sufficient emergency power to operate the necessary water equipment to maintain the required minimum pressure.

(6) Hardening of the electric transmission and distribution system serving the affected utility. One alternative is to relocate electric transmission lines for the system from

overhead to underground and protect them from flooding. Another alternative is to replace overhead transmission lines, poles, and related appurtenances with ones that can withstand historical hurricane-force wind velocities, and trim or remove any trees next to and above the overhead transmission lines. Either alternative must include documentation on the ability of applicable power plant(s) and station(s) to withstand hurricane-force winds.

(7) Engines equipped with direct or right angle drives can be used as auxiliary power sources. Each pump or other equipment must be equipped with appropriate mechanical fittings to facilitate the use of engines. The plan must address the operation of chemical feed pumps using a generator(s).

(8) Any other alternative determined by the executive director to be acceptable. This option requires that alternative solutions be proposed to the executive director as long as they meet the requirements of TWC §13.1395 and provide at least 35 psi to the entire distribution system during a power outage lasting longer than 24 hours.

Plan Contents. Affected utilities, as defined in TWC §13.1395, should use TCEO Form 20536A and affected utilities, as defined in TWC §13.1394, should use TCEO Form 20536B. An emergency preparedness plan must provide for any applicable production, treatment, transfer and service pumps at an adequate flow rate and at a minimum pressure of 35 pounds per square inch in the far reaches of an affected distribution system, including multiple pressure planes. If applicable, provide the following information:

Contact information, including names, emergency telephone [and pager] numbers, and e-mail addresses.

A time frame for the full implementation of the emergency preparedness plan.

The location of distribution maps, diagrams of the water system, and a copy of any necessary piping maps.

All groundwater, surface water, [List all ground, surface,] and purchased water sources, with locations and individual capacities.

All [List all] interconnections with other water providers, [providers;] whether normally open or closed; size, [size;] whether wholesale, purchase, or both; available capacity, [capacity;] and any other pertinent information. When relying on a wholesaler to meet emergency preparedness plan requirements indicate if the wholesaler intends to provide water or water and pressure, and any other pertinent information. Include the names of each interconnected entity [interconnection] and [their] contact information, including names, titles, telephone [and pager] numbers, and e-mail addresses.

List the capacity and power requirements of all treatment equipment.

For each chemical used, list the type, location, volume of storage container, [of storage, volume,] and volume required per day during emergency operations.

- All primary chemical supplier's contact information and include an alternate chemical supplier in case the primary supplier is nonfunctional.
- All equipment necessary to provide water to customers at the required minimum pressure and adequate flow rate. Include the capacity and power requirements of all treatment equipment to be used to meet EPP requirements.
- Provide a copy of all water distribution and transmission piping maps.]
- The [Provide the] maximum and average daily demands. If the EPP [emergency preparedness plan] is for a proposed affected utility, the minimum specified capacities in §290.45 of this title [subchapter] shall be used for the maximum daily demand.
- Any purchased water systems and the number of connections that your affected utility intends to provide with water, or water and pressure, during a power outage lasting longer than 24 hours.
- All [List all] primary electrical power sources and include an electrical schematic.
- List all equipment necessary to provide water to customers at the required minimum pressure and adequate flow rate, and the power requirements for each piece of equipment.]
- The [List the] size, location and fuel requirement in gallons per hour of diesel or gas, at the load necessary to maintain emergency operations for all on-site manual and automatic auxiliary power equipment. Provide [equipment, and provide] information as to how the affected utility determined the necessary fuel quantity.
- Documentation [Provide documentation] as to how the affected utility will ensure that it maintains an adequate supply of fuel during emergency operations.
- For each fuel tank, provide the location, volume, name of fuel suppliers, contact names, titles, telephone numbers, and e-mail addresses.
- For all shared auxiliary power equipment, list [List] the size, location, fuel requirement in gallons per hour of diesel or gas, at the load necessary to maintain emergency operations. List [operations, and] the names [name] of the systems [system] sharing the equipment and the system's contact information, including names, titles, [for all shared auxiliary power equipment. Include the other system's contact persons with their] emergency telephone [and pager] numbers and e-mail addresses.
- A [Provide a] copy of any leasing and contracting agreements, if the agreements provide for coordination with the division of emergency management in the governor's office, including mutual aid agreements with other retail public utilities, exempt utilities, or providers or conveyors of potable or raw water service. [service, if the agreements provide for coordination with the division of emergency management in the governor's office.] If leasing, include the vendor's name, location, and contact information.

- All [List all]** portable generators' power, phase, type of quick-connect, fuel type, and fuel demand in gallons per hour.
 - Specifications, [Provide specifications,]** a description, and detailed capacity information for all on-site electrical generation or distributive generation equipment. Include all fuel demands for this equipment.
 - All [List all]** direct or **right-angle [right angle]** drive emergency power equipment with the name, type of engine, fuel type, and fuel demand in gallons per hour.
 - Details [Provide details]** for any other proposed alternative.
- For each fuel tank, provide the location, volume, name of fuel suppliers, contact names, titles, telephone and pager numbers, and e-mail addresses.]**
- All [List all]** local and state emergency responders and their emergency contact telephone and pager numbers. Include medical facilities.
- All priority water users, such as hospitals, nursing homes, and their emergency contact names, titles, telephone numbers, and e-mail addresses.**
- Contact information for primary certified laboratories and include an alternate laboratory in case the primary lab is nonfunctional.**
- Contact information for all utility providers to the affected utility.**
- List all priority water users, such as hospitals and nursing homes, and their emergency contact names, titles, telephone and pager numbers, and e-mail addresses.]**
- Any [List any]** bulk water haulers that could be used, including contact names, telephone and pager numbers, and e-mail addresses.
 - The name of the [Provide the]** system's designated media spokesperson **and include [with]** a list of local media contact names, titles, type of media, telephone **[and pager]** numbers, and e-mail addresses.
 - The [Provide the]** water restrictions that the system will implement during an emergency response.
- Provide a proposed time frame for full implementation of the emergency preparedness plan.]**

(h) Appendix H. Sample Language for Notification Upon Changing from Free Chlorine to Chloramines.

Figure: 30 TAC §290.47(h)

Appendix H: Sample Language for Notification Upon Changing from Free Chlorine to Chloramines

A public water system (PWS) must notify its customers, in writing, at least 14 days before starting to use chloramines. This notification must contain the Sample Language for Notification Upon Changing from Free Chlorine to Chloramines included below. The notification should be provided to the news media, renal disease facilities, dialysis clinics, hospitals, physicians, local health departments, pet stores, zoos, and any other facilities that may be impacted by the change.

Sample Language for Notification Upon Changing from Free Chlorine to Chloramines

"On <Date>, the <Water System Name> will be changing the disinfectant that we use from chlorine to chloramines. This change is intended to benefit our customers by reducing the levels of disinfection byproducts in the system, while still providing protection from waterborne disease.

However, the change to chloramines can cause problems to persons dependent on dialysis machines. A condition known as hemolytic anemia can occur if the disinfectant is not completely removed from the water that is used for the dialysate. Consequently, the pretreatment scheme used for the dialysis units must include some means, such as a charcoal filter, for removing the chloramine prior to this date. Medical facilities should also determine if additional precautions are required for other medical equipment. In addition, chloraminated water may be toxic to fish. If you have a fish tank, please make sure that the chemicals or filters that you are using are designed for use in water that has been treated with chloramines. You may also need to change the type of filter that you use for fish tanks."

Optional: "When the chloraminated water first flushes out the chlorinated water there may be a slight taste and odor, and possibly discoloration for a short period of time. This will not compromise the safety of the water."

Important notes:

1. The PWS may not begin using chloramines prior to the date shown in the notice.
2. The Texas Commission on Environmental Quality does not require the PWS to include the name or contact telephone number of a PWS employee that the customers can contact if they have questions; however, several PWSs have included this information as a courtesy to its customers.

The Texas Commission on Environmental Quality (TCEQ, agency, or commission) proposes amendments to 30 Texas Administrative Code §§291.160, 291.161, and 291.162, and the addition of new §291.163.

Background and Summary of the Factual Basis for the Proposed Rules

In 2021, the 87th Legislature passed Senate Bill (SB) 3, which relates to preparing for, preventing, and responding to weather emergencies and power outages. SB 3 requires that certain water service providers ensure emergency operations during an extended power outage. SB 3 amended Texas Water Code (TWC), Chapter 13, by adding §13.1394, Standards of Emergency Operations, amending §13.1395, Standards of Emergency Operations in Certain Counties. New TWC §13.1394, requires that affected utilities create an emergency preparedness plan that shows how an affected utility will provide emergency operations and submit that plan to the commission for review and approval. TWC §13.1394, stipulates that a water service provider must maintain 20 pounds per square inch (psi) of pressure, or a water pressure approved by the executive director, during power outages that last longer than 24 hours as soon as it is safe and practicable following a natural disaster. The statute also specifies that the commission has 90 days to review the plan, once the plan is submitted, and either approve it or recommend changes. Once the commission approves the plan the water service provider must operate in accordance with the plan and maintain any generators in accordance with manufacturer's specifications. TWC §13.1394 also specifies that the commission will conduct inspections to ensure compliance and that waivers to these requirements are available under certain circumstances. SB 3 stated in Section 36(b) that each affected utility was to submit to the commission an emergency preparedness plan required by TWC §13.1394, no later than March 1, 2022, and stated in Section 36(c) that the emergency preparedness plan was to be implemented no later than July 1, 2022, unless the affected utility had obtained an adjusted,

commission approved timeline. The commission notes that these additions to the TWC, made by SB 3, give the commission the authority to regulate water service providers that have not previously been regulated by the TCEQ because, as the definition appears in TWC §13.1394, not all affected utilities are public water systems.

Amended TWC §13.1395, excludes from the requirement of creating an Emergency Preparedness Plan those raw water services that are unnecessary or otherwise subject to interruption or curtailment during emergencies pursuant to contract.

In response to the widespread power and equipment failures and drinking water outages and shortages during Winter Storm Uri in 2021, the commission organized an after-action review to evaluate the factors that impacted public water systems across the state. This review resulted in findings and recommendations to enhance and integrate additional public water system critical infrastructure resiliency measures. These findings and recommendations were presented to the commission during a work session, held on May 19, 2022.

Section by Section Discussion

§291.160, Purpose

The commission proposes to amend §291.160 to add a reference to TWC §13.1394 and to adjust the verb tense of the section based on the addition.

§291.161, Definitions

The commission proposes to amend the definition of "affected utility" by adding language to encompass the definitions of affected utility in TWC §13.1394 and §13.1395. The commission proposes these amendments to reflect the requirements in TWC §13.1394(a)(1) and §13.1395(a)(1). Current subsection lettering will be revised to accommodate the amended definition.

The commission proposes to amend the definition of "emergency operations" to clarify the minimum water pressure that affected utilities must provide during emergency operations. This clarification is consistent with the requirements under TWC §13.1394, which is 20 pounds per square inch, or a pressure approved by the executive director, and TWC §13.1395, which is 35 pounds per square inch.

§291.162, Emergency Operation of An Affected Utility

The commission proposes to amend the title of §291.162 to clarify that this section is applicable to affected utilities as defined in TWC §13.1395.

The commission proposes to amend §291.162(d) to clarify that this subsection does not apply to raw water services that are unnecessary or otherwise subject to interruption or curtailment during emergencies under a contract as stated in TWC §13.1395.

The commission proposes to amend §291.162(e) to revise the appendix reference from "Appendix J" to "Appendix G2" for consistency with proposed amendment to §290.47.

The commission proposes to amend §291.162(f) with language that refers to the generator maintenance requirements listed in proposed amendments to §290.46(m)(8). This proposed change is a recommendation approved by the commission as a result of the After-Action Review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to amend §291.162(i) to change "subchapter" to "section" based on the proposed addition of §291.163 to the subchapter. This amendment will make language consistent with proposed additions to §291.163(i).

The commission proposes to delete §291.162(j) and (k) because the deadlines listed in these subsections have passed and are no longer applicable; subsection lettering will be revised to accommodate these deletions.

The commission proposes to amend new §291.162(j) to clarify that affected utilities created after December 31, 2012, are required to have emergency preparedness plans approved and implemented prior to providing water to customers.

§291.163, Emergency Operation of an Affected Utility as defined in TWC §13.1394

The commission proposes to add new §291.163 to provide regulatory requirements for affected

utilities as defined in TWC §13.1394.

The commission proposes to add §291.163(a) which requires an affected utility to adopt and submit to the executive director for approval an emergency preparedness plan that demonstrates the utility's ability to provide emergency operations and a timeline for implementing the plan, as required by TWC §13.1394(b)(2)(A) and §13.1394(b)(2)(B).

The commission proposes to add §291.163(b) which requires the executive director to review the emergency preparedness plan submitted by an affected utility, to determine if the plan is acceptable, and to request additional information or recommend changes if the plan is not acceptable. The executive director's request for information or recommended changes must be made on or before the 90th day after the executive director receives the plan as required by TWC §13.1394(c).

The commission proposes to add §291.163(c), to include §291.163(c)(1) through §291.163(c)(14), which provides the 14 emergency operation options available to affected utilities as listed in TWC §13.1394(c)(1) through §13.1394(c)(14).

The commission proposes to add §291.163(d) which requires affected utilities that provide raw surface water to wholesale customers to include in their emergency preparedness plan how they intend to provide raw water services to their wholesale customers during emergencies. This requirement does not apply to raw water services that are unnecessary or otherwise subject to interruption or curtailment during emergencies under a contract under TWC §13.1394(d).

The commission proposes to add §291.163(e) which addresses the requirement for the commission to develop an emergency preparedness plan template. This new subsection informs affected utilities that they may use the template included in Appendix G1 of §290.47 to create their emergency preparedness plan as required under TWC §13.1394(g).

The commission proposes to add §291.163(f) which requires that any generator used as part of an approved emergency preparedness plan must be inspected, operated and maintained according to the manufacturer's specifications, per TWC §13.1394(h) and the requirements listed in §290.46(m)(8), which are proposed in a companion rulemaking in response to the After-Action Review, which found that additional maintenance to critical equipment and increased protection against adverse weather conditions would have reduced the impacts to water infrastructure during the winter storm.

The commission proposes to add §291.163(g) which allows the executive director to grant an affected utility a financial waiver to the requirement of submitting an emergency preparedness plan pursuant to TWC §13.1394(j). The executive director will consider whether complying with the emergency preparedness plan requirements would cause a significant financial burden on the affected utilities customers. The proposed rule requires that the affected utility submit documentation to the executive director that must demonstrate the significant financial burden on customers before a waiver is granted.

The commission proposes to add §291.163(h) which allows an affected utility to adopt and enforce limitations on water use while the utility is providing emergency operations pursuant to TWC §13.1394(k).

The commission proposes to add §291.163(i), which states that information provided by an affected utility under this section is confidential and is not subject to disclosure under Texas Government Code, Chapter 552 as stated in TWC §13.1394(l).

The commission proposes to add §291.163(j), which provides that affected utilities which are established after December 31, 2022, must have an emergency preparedness plan approved and implemented prior to providing water to customers. The commission proposes this addition based on emergency preparedness plan submission and implementation deadlines in March and July 2022, respectively, included in SB 3 for existing affected utilities.

The commission proposes to add §291.163(k) which provides that an affected utility that cannot provide a minimum of 20 psi, or a water pressure approved by the commission, during emergency operations to revise and submit their emergency preparedness plan within 180 days of restoration of power, and that based on a review of the plan, the executive director may require additional or alternative auxiliary emergency facilities to implement TWC §13.1394(b)(1).

Fiscal Note: Costs to State and Local Government

Kyle Girtten, Analyst in the Budget and Planning Division, has determined that for the first five-year period the proposed rules are in effect, no fiscal implications are anticipated for the agency or for other units of state or local government as a result of administration or enforcement of the proposed rules.

Amendments to the rule are proposed primarily to implement changes made in SB 3, 87th Texas Legislature (2021), including the specific changes to the TWC. Section 36 of the

legislation already includes requirements to submit emergency preparedness plans and receive TCEQ approval. Therefore, while there may have been costs to state and local government resulting from those statutory changes, there are no anticipated fiscal impacts as a result of the proposed rulemaking.

Public Benefits and Costs

Mr. Girten determined that for each year of the first five years the proposed rules are in effect, the anticipated public benefit will be compliance with state law. Amendments to the rules are proposed primarily to implement changes made in SB 3, 87th Texas Legislature (2021), including the specific changes to the TWC. Section 36 of the legislation already requires entities to submit emergency preparedness plans and receive TCEQ approval. Therefore, while there may have been costs and other benefits to public or private entities resulting from those statutory changes, there are no anticipated fiscal impacts as a result of the proposed rulemaking.

Local Employment Impact Statement

The commission reviewed this proposed rulemaking and determined that a Local Employment Impact Statement is not required because the rules do not adversely affect a local economy in a material way for the first five years that they are in effect.

Rural Communities Impact Assessment

The commission reviewed this proposed rulemaking and determined that the proposed rulemaking does not adversely affect rural communities in a material way for the first five years that they are in effect. The amendments would apply statewide and have the same effect in rural communities as in urban communities.

Small Business and Micro-Business Assessment

No adverse fiscal implications are anticipated for small or micro-businesses due to the implementation or administration of the proposed rules for the first five-year period that they are in effect.

Small Business Regulatory Flexibility Analysis

The commission reviewed this proposed rulemaking and determined that a Small Business Regulatory Flexibility Analysis is not required because the proposed rulemaking does not adversely affect a small or micro-business in a material way for the first five years that they are in effect.

Government Growth Impact Statement

The commission prepared a Government Growth Impact Statement assessment for this proposed rulemaking. The proposed rulemaking does not create or eliminate a government program and will not require an increase or decrease in future legislative appropriations to the agency. The proposed rulemaking does not require the creation of new employee positions, eliminate current employee positions, nor require an increase or decrease in fees paid to the agency. The proposed rulemaking does repeal regulations to comply with changes to state law. The proposed rulemaking does not increase or decrease the number of individuals subject to its applicability. During the first five years, the proposed rules should not impact positively or negatively the state's economy.

Draft Regulatory Impact Analysis Determination

The commission reviewed this rulemaking in light of the regulatory analysis requirements of

Texas Government Code §2001.0225 and determined that the rulemaking is not subject to §2001.0225. A "major environmental rule" means a rule with a specific intent to protect the environment or reduce risks to human health from environmental exposure, and that may adversely affect in a material way the economy, a sector of the economy, productivity, competition, jobs, the environment, or the public health and safety of the state or a sector of the state.

First, the rulemaking does not meet the statutory definition of a "major environmental rule" because its specific intent is not to protect the environment or reduce risks to human health from environmental exposure. The specific intent of the rulemaking is to ensure that affected utilities have emergency preparedness plans to provide potable water service during emergency operations.

Second, the rulemaking does not meet the statutory definition of a "major environmental rule" because the rules will not adversely affect in a material way the economy, a sector of the economy, productivity, competition, jobs, the environment, or the public health and safety of the state or a sector of the state. It is not anticipated that the cost of complying with the proposed rules will be significant with respect to the economy as a whole or with respect to a sector of the economy; therefore, the amendments will not adversely affect in a material way the economy, a sector of the economy, competition, or jobs.

Finally, the rulemaking does not meet any of the four applicability requirements for a "major environmental rule" listed in Texas Government Code §2001.0225(a). Section 2001.0225 only applies to a major environmental rule, the result of which is to: 1) exceed a standard set by federal law, unless the rule is specifically required by state law; 2) exceed an express

requirement of state law, unless the rule is specifically required by federal law; 3) exceed a requirement of a delegation agreement or contract between the state and an agency or representative of the federal government to implement a state and federal program; or 4) adopt a rule solely under the general powers of the agency instead of under a specific state law. This rulemaking does not meet any of the preceding four applicability requirements because this rulemaking: does not exceed any standard set by federal law for public water systems and is consistent with and no less stringent than federal rules; does not exceed any express requirement of state law under Texas Health and Safety Code (THSC), Chapter 341, Subchapter C; does not exceed a requirement of a delegation agreement or contract between the state and an agency or representative of the federal government; and is not based solely under the general powers of the agency, but specifically under TWC §5.107 which establishes the commission's authority to collect regulatory assessments from utility service providers under TWC Chapter 13; THSC §341.031, which allows the commission to establish public drinking water standards and adopt and enforce rules to implement the federal Safe Drinking Water Act, as well as under SB 3, which authorizes the commission to promulgate rules in its implementation of TWC §13.1394 and §13.1395, and the other general powers of the Commission.

The commission invites public comment regarding the Draft Regulatory Impact Analysis Determination during the public comment period. Written comments on the Draft Regulatory Impact Analysis Determination may be submitted to the contact person at the address listed under the Submittal of Comments section of this preamble.

Takings Impact Assessment

The commission evaluated this rulemaking and performed a preliminary assessment of whether

these rules constitute a taking under Texas Government Code, Chapter 2007.

The commission proposes these rules to clarify existing requirements and for the specific purpose of implementing SB 3, 87th R.S. (2021), which requires the commission to receive, review, and monitor compliance with affected utilities' emergency preparedness plans to ensure provision of potable water service during emergency operations.

The Commission's analysis indicates that Texas Government Code, Chapter 2007, does not apply to these rules based upon exceptions to applicability in Texas Government Code §2007.003(b)(13). The rulemaking is an action that is taken in response to a real and substantial threat to public health and safety; that is designed to significantly advance the public health and safety purpose; and that does not impose a greater burden than is necessary to achieve the public health and safety purpose. Texas Government Code §2007.003(b)(13). Lack of potable water service during emergency operations constitutes a real and substantial threat to public health and safety and requires appropriate governmental regulation. The rules significantly advance the public health and safety purpose by ensuring appropriate governmental regulation of affected utilities' emergency preparedness plans and do so in a way that does not impose a greater burden than is necessary to achieve the public health and safety purpose.

Further, the Commission has determined that promulgation and enforcement of these rules would be neither a statutory nor a constitutional taking of private real property. Specifically, there are no burdens imposed on private real property under the rule because the rules neither relate to, nor have any impact on, the use or enjoyment of private real property, and there would be no reduction in property value as a result of these rules. The rules require affected utilities to submit emergency preparedness plans, comply with their emergency preparedness

plans, and operate under their emergency preparedness plans during emergency operations. Therefore, the rules would not constitute a taking under Texas Government Code Chapter 2007.

Consistency with the Coastal Management Program

The commission reviewed the proposed rules and found that they are neither identified in Coastal Coordination Act Implementation Rules, 31 TAC §505.11(b)(2) or (4), nor will they affect any action/authorization identified in Coastal Coordination Act Implementation Rules, 31 TAC §505.11(a)(6). Therefore, the proposed rules are not subject to the Texas Coastal Management Program.

Written comments on the consistency of this rulemaking may be submitted to the contact person at the address listed under the Submittal of Comments section of this preamble.

Announcement of Hearing

The commission will hold a hybrid virtual and in-person public hearing on this proposal in Austin on Friday, August 11, 2023, at 10:00 a.m. in building E, room 201S at the commission's central office located at 12100 Park 35 Circle. The hearing is structured for the receipt of oral or written comments by interested persons. Individuals may present oral statements when called upon in order of registration. Open discussion will not be permitted during the hearing; however, commission staff members will be available to discuss the proposal 30 minutes prior to the hearing.

Individuals who plan to attend the hearing virtually and want to provide oral comments and/or want their attendance on record must register by Wednesday, August 9, 2023. To register for the hearing, please email Rules@tceq.texas.gov and provide the following information: your

name, your affiliation, your email address, your phone number, and whether or not you plan to provide oral comments during the hearing. Instructions for participating in the hearing will be sent on Thursday, August 10, 2023, to those who register for the hearing.

For the public who do not wish to provide oral comments but would like to view the hearing may do so at no cost at:

https://teams.microsoft.com/l/meetup-join/19%3ameeting_NzJlNGM0NGUtOTNIYy00ZWm2LTllNjQtOTZmMjExNTcyOTg0%40thread.v2/0?context=%7b%22Tid%22%3a%22871a83a4-a1ce-4b7a-8156-3bcd93a08fba%22%2c%22Oid%22%3a%22e74a40ea-69d4-469d-a8ef-06f2c9ac2a80%22%2c%22IsBroadcastMeeting%22%3a%22true%22%7d

Persons who have special communication or other accommodation needs who are planning to attend the hearing should contact Sandy Wong, Office of Legal Services at (512) 239-1802 or 1-800-RELAY-TX (TDD). Requests should be made as far in advance as possible.

Submittal of Comments

Written comments may be submitted to Gwen Ricco, MC 205, Office of Legal Services, Texas Commission on Environmental Quality, P.O. Box 13087, Austin, Texas 78711-3087, or faxed to fax4808@tceq.texas.gov. Electronic comments may be submitted at:

<https://tceq.commentinput.com/comment/search>. File size restrictions may apply to comments being submitted via the TCEQ Public Comments system. All comments should reference Rule Project Number 2023-125-290-OW. The comment period closes on August 13, 2023. Please choose one of the methods provided to submit your written comments.

Copies of the proposed rulemaking can be obtained from the commission's website at

https://www.tceq.texas.gov/rules/propose_adopt.html. For further information, please contact Christina DuPont, Water Supply Division at 512-239-0537 or by email at christina.dupont@tceq.texas.gov.

SUBCHAPTER L: STANDARDS OF EMERGENCY OPERATIONS

§§291.160 – 291.163

Statutory Authority

These amendments are proposed under the authority of the Texas Water Code (TWC), §5.013, which establishes the general jurisdiction of the commission; TWC §5.102, which establishes the commission's general authority necessary to carry out its jurisdiction; §5.103, which establishes the commission's general authority to adopt rules; §5.105, which establishes the commission's authority to set policy by rule; and Texas Health and Safety Code (THSC), §341.0315, which requires public water systems to comply with commission rules adopted to ensure the supply of safe drinking water.

The proposed amendments implement TWC §13.1394, as added by requirements in Senate Bill (SB) 3 of the 87th Texas Legislative Session (2021), and TWC §13.1395. Additional commission proposed amendments provide clarity to existing rules.

§291.160. Purpose.

Texas Water Code, Chapter 13, Subchapter E, **§13.1394 and** §13.1395, prescribes the duties of the commission relating to standards for emergency operations of affected utilities. The **statutes require [statute requires]** that the commission ensure that affected utilities provide water service as soon as safe and practicable during an extended power outage. This subchapter sets forth requirements and implementation of emergency operation planning of affected utilities as defined in this subchapter. Public water systems must comply with the emergency operations requirements as defined in Chapter 290, Subchapter D of this title (relating to Rules and Regulations for Public Water Systems).

§291.161. Definitions.

For the purposes of this subchapter, the following definitions apply.

(1) Affected utility –

(A) Any retail public utility, exempt utility, or provider or conveyor of potable or raw water service that furnishes water service to more than one customer is an affected utility as defined in TWC §13.1394; or [:]

(B) Any retail public utility, exempt utility, or provider or conveyor of potable or raw water service that furnishes water service to more than one customer is an affected utility as defined in TWC §13.1395 in a county with a population of:

(i) [(A) In a county with a population of] 3.3 million or more; or

(ii) [(B) In a county with a population of] 550,000 or more adjacent to a county with a population of 3.3 million or more.

(2) Emergency operations--The operation of an affected utility [a water system] during an extended power outage at a minimum water pressure of 20 pounds per square inch (psi), or a water pressure approved by the executive director as required under TWC §13.1394 or 35 psi as required under TWC §13.1395. [35 pounds per square inch.]

(3) Extended power outage--A power outage lasting for more than 24 hours.

(4) Population--The population shown by the most recent federal decennial census.

§291.162. Emergency Operation of an Affected Utility as defined in TWC §13.1395.

(a) An affected utility shall adopt and submit to the executive director for its approval an emergency preparedness plan that demonstrates the utility's ability to provide emergency operations.

(b) The executive director shall review an emergency preparedness plan submitted by an affected utility. If the executive director determines that the plan is not acceptable, the executive director shall recommend changes to the plan. The executive director must make its recommendations on or before the 90th day after the executive director receives the plan.

(c) An emergency preparedness plan shall provide for one of the following:

(1) the maintenance of automatically starting auxiliary generators;

(2) the sharing of auxiliary generator capacity with one or more affected utilities;

(3) the negotiation of leasing and contracting agreements, including emergency mutual aid agreements with other retail public utilities, exempt utilities, or providers or conveyors of potable or raw water service, if the agreements provide for coordination with the division of emergency management in the governor's office;

(4) the use of portable generators capable of serving multiple facilities equipped with quick-connect systems;

(5) the use of on-site electrical generation or distributed generation facilities;

(6) hardening the electric transmission and distribution system serving the water system;

(7) for existing facilities, the maintenance of direct engine or right angle drives;
or

(8) any other alternative determined by the executive director to be acceptable.

(d) Each affected utility that supplies, provides, or conveys surface water to wholesale customers shall include in its emergency preparedness plan provisions for the actual installation and maintenance of automatically starting auxiliary generators or distributive generation facilities for each raw water intake pump station, water treatment plant, pump station, and pressure facility necessary to provide water to its wholesale customers. This subsection does not apply to raw water services that are unnecessary or otherwise subject to interruption or curtailment during emergencies under a contract.

(e) The affected utility may use the template in Appendix G2 [J] of §290.47 of this title (relating to Appendices) to assist in preparation of the plan.

(f) An emergency generator used as part of an approved emergency preparedness plan must be operated and maintained according to the manufacturer's specifications and the requirements listed in §290.46(m)(8) of this title.

(g) The executive director may grant a waiver of the requirements of this section to an affected utility if the executive director determines that compliance with this section will cause a significant financial burden on customers of the affected utility. The affected utility shall submit financial, managerial, and technical information as requested by the executive director to demonstrate the financial burden.

(h) An affected utility may adopt and is encouraged to enforce limitations on water use while the utility is providing emergency operations.

(i) Information provided by an affected utility under this section [subchapter] is confidential and is not subject to disclosure under Texas Government Code, Chapter 552.

[(j) Affected utilities that are existing as of November 1, 2011, shall submit the emergency preparedness plan to the executive director no later than February 1, 2012.]

[(k) Affected utilities that are existing as of November 1, 2011, shall implement the emergency preparedness plan approved by the executive director no later than June 1, 2012.]

[(l) (1)] Affected utilities which are established after December 31, 2012 [the effective date of this rule] must have emergency preparedness plans approved and implemented prior to providing water to customers.

(k) [(m)] An affected utility may file with the executive director a written request for an extension, not to exceed 90 days, of the date by which the affected utility is required under this subchapter to submit the affected utility's emergency preparedness plan or the date the affected utility is required to implement the plan.

(l) [(n)] If an affected utility fails to provide a minimum of 35 pounds per square inch throughout the distribution system during emergency operations as soon as it is safe and practicable following the occurrence of a natural disaster, a revised emergency preparedness plan shall be submitted for review and approval within 180 days of the date normal power is restored. Based on the review of the revised emergency preparedness plan, the executive director may require additional or alternative auxiliary emergency facilities.

§291.163. Emergency Operation of an Affected Utility as defined in TWC §13.1394

(a) An affected utility shall adopt and submit to the executive director for approval an emergency preparedness plan that demonstrates the utility's ability to provide emergency operations and a timeline for implementing the plan.

(b) The executive director shall review an emergency preparedness plan submitted by an affected utility. If the executive director determines that the plan is not acceptable, the executive director shall request additional information or recommend changes to the plan. The executive director shall communicate to the affected utility the request for information or recommendations on or before the 90th day after the executive director receives the plan.

(c) An emergency preparedness plan shall include one or more of the following:

(1) the maintenance of automatically starting auxiliary generators;

(2) the sharing of auxiliary generator capacity with one or more affected utilities, including through participation in a statewide mutual aid program;

(3) the negotiation of leasing and contracting agreements, including emergency mutual aid agreements with other retail public utilities, exempt utilities, or providers or conveyors of potable or raw water service, if the agreements provide for coordination with the division of emergency management in the governor's office;

(4) the use of portable generators capable of serving multiple facilities equipped with quick-connect systems;

(5) the use of on-site electrical generation or distributed generation facilities;

(6) hardening the electric transmission and distribution system serving the water system;

(7) the maintenance of direct engine or right-angle drives;

(8) designation of the water system as a critical load facility or redundant, isolated, or dedicated electrical feeds;

(9) water storage capabilities;

(10) water supplies delivered from outside the service area of the affected utility;

(11) the ability to provide water through artesian flows;

(12) redundant interconnectivity between pressure zones;

(13) emergency water demand rules to maintain emergency operations; or

(14) any other alternative determined by the executive director to be acceptable.

(d) Each affected utility that supplies, provides, or conveys raw surface water to wholesale customers shall include in its emergency preparedness plan provisions for demonstrating the capability of each raw water intake pump station, pump station, and pressure facility necessary to provide water service to its wholesale customers. This subsection does not apply to raw water services that are unnecessary or otherwise subject to interruption or curtailment during emergencies under a contract.

(e) The affected utility may use the template in Appendix G1 of §290.47 of this title (relating to Appendices) to assist in preparation of the plan.

(f) An emergency generator used as part of an approved emergency preparedness plan must be inspected, operated and maintained according to the manufacturer's specifications and the requirements listed in §290.46(m)(8) of this title.

(g) The executive director may grant a waiver of the requirements of this section to an affected utility if the executive director determines that compliance with this section will cause a significant financial burden on customers of the affected utility. The affected utility shall submit financial, managerial, and technical information as requested by the executive director to demonstrate the financial burden.

(h) An affected utility may adopt and is encouraged to enforce limitations on water use while the utility is providing emergency operations.

(i) Information provided by an affected utility under this section is confidential and is not subject to disclosure under Texas Government Code, Chapter 552.

(j) Affected utilities, established after December 31, 2022, must have emergency preparedness plans approved and implemented prior to providing water to customers.

(k) If an affected utility fails to provide a minimum of 20 psi, or a water pressure approved by the commission, throughout the distribution system during emergency operations as soon as it is safe and practicable following the occurrence of a natural disaster, a revised emergency preparedness plan shall be submitted for review and approval within 180 days of the date normal power is restored. Based on the review of the revised emergency preparedness plan, the executive director may require additional or alternative auxiliary emergency facilities.