

Mass Emissions Cap and Trade Program 2016 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	39,838.3	tons
Allowable Allowances Allocated	24.5	tons
Flexible Allowable Allowances Allocated	0.0	tons
Emission Reduction Credits Converted into Allowances.....	440.4	tons
Retired Actual Allowances.....	(-320.9)	tons
Total Allocation	39,982.3	tons

EMISSIONS

Emissions Against Actual Allowances	29,963.7	tons
Emissions Against Allowable Allowances	0.0	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	29,963.7	tons

PENALTIES

Number of Portfolios with Penalties	18	
Total Penalties.....	(-107.3)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2016 (Current) Allowances	37	
Total 2016 Allowances Traded.....	148.7	
Average Price Per Ton.....	\$206	per ton
Total Applications Received Requesting Transfer of 2015 (Vintage) Allowances	108	
Total 2015 Allowances Traded.....	1,921.9	
Average Price Per Ton.....	\$151	per ton

Mass Emissions Cap and Trade Program 2015 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	39,835.1	tons
Allowable Allowances Allocated	24.5	tons
Flexible Allowable Allowances Allocated	0.0	tons
Emission Reduction Credits Converted into Allowances.....	440.4	tons
Retired Actual Allowances.....	(-320.2)	tons
Total Allocation	39,979.8	tons

EMISSIONS

Emissions Against Actual Allowances	30,490.1	tons
Emissions Against Allowable Allowances	0.0	tons
Emissions Against Flexible Allowable Allowances	0.0	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	30,490.1	tons

PENALTIES

Number of Portfolios with Penalties	63	
Total Penalties.....	(-131.5)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2015 (Current) Allowances	19	
Total 2015 Allowances Traded.....	120.6	
Average Price Per Ton.....	\$551	per ton
Total Applications Received Requesting Transfer of 2014 (Vintage) Allowances	116	
Total 2014 Allowances Traded.....	1,987.5	
Average Price Per Ton.....	\$174	per ton

Mass Emissions Cap and Trade Program 2014 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	39,841.0	tons
Allowable Allowances Allocated	24.5	tons
Flexible Allowable Allowances Allocated	0.0	tons
Emission Reduction Credits Converted into Allowances.....	440.4	tons
Retired Actual Allowances.....	(-306.0)	tons
Total Allocation	39,999.9	tons

EMISSIONS

Emissions Against Actual Allowances	29,735.5	tons
Emissions Against Allowable Allowances	0.0	tons
Emissions Against Flexible Allowable Allowances	0.0	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	29,735.5	tons

PENALTIES

Number of Portfolios with Penalties	45	
Total Penalties.....	(-166.9)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2014 (Current) Allowances	20	
Total 2014 Allowances Traded.....	216.1	
Average Price Per Ton.....	\$343	per ton
Total Applications Received Requesting Transfer of 2013 (Vintage) Allowances	122	
Total 2013 Allowances Traded.....	1,832.9	
Average Price Per Ton.....	\$218	per ton

Mass Emissions Cap and Trade Program 2013 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	39,245.8	tons
Allowable Allowances Allocated	180.1	tons
Flexible Allowable Allowances Allocated	975.0	tons
Emission Reduction Credits Converted into Allowances.....	440.4	tons
Retired Actual Allowances.....	(-142.6)	tons
Total Allocation	40,698.7	tons

EMISSIONS

Emissions Against Actual Allowances	29,025.9	tons
Emissions Against Allowable Allowances	11.0	tons
Emissions Against Flexible Allowable Allowances	709.2	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	29,746.1	tons

PENALTIES

Number of Portfolios with Penalties	34	
Total Penalties.....	(-151.6)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2013 (Current) Allowances	18	
Total 2013 Allowances Traded.....	458.7	
Average Price Per Ton.....	\$147	per ton
Total Applications Received Requesting Transfer of 2012 (Vintage) Allowances	117	
Total 2012 Allowances Traded.....	3,088.5	
Average Price Per Ton.....	\$134	per ton

Mass Emissions Cap and Trade Program 2012 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	39,118.2	tons
Allowable Allowances Allocated	298.1	tons
Flexible Allowable Allowances Allocated	1,141.0	tons
Emission Reduction Credits Converted into Allowances.....	440.4	tons
Retired Actual Allowances.....	(-20.0)	tons
Total Allocation	40,977.7	tons

EMISSIONS

Emissions Against Actual Allowances	28,684.7	tons
Emissions Against Allowable Allowances	73.7	tons
Emissions Against Flexible Allowable Allowances	779.7	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	29,538.1	tons

PENALTIES

Number of Portfolios with Penalties	21	
Total Penalties.....	(-112.8)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2012 (Current) Allowances	27	
Total 2012 Allowances Traded.....	142.7	
Average Price Per Ton.....	\$371	per ton
Total Applications Received Requesting Transfer of 2011 (Vintage) Allowances	152	
Total 2011 Allowances Traded.....	2,112.9	
Average Price Per Ton.....	\$300	per ton

Mass Emissions Cap and Trade Program 2011 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	38,193.5	tons
Allowable Allowances Allocated	1,740.6	tons
Flexible Allowable Allowances Allocated	1,641.3	tons
Emission Reduction Credits Converted into Allowances.....	440.4	tons
Retired Actual Allowances.....	(0.0)	tons
Total Allocation	42,015.8	tons

EMISSIONS

Emissions Against Actual Allowances	30,334.7	tons
Emissions Against Allowable Allowances	509.0	tons
Emissions Against Flexible Allowable Allowances	1,214.7	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	32,058.4	tons

PENALTIES

Number of Portfolios with Penalties	41	
Total Penalties.....	(-289.4)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2011 (Current) Allowances	33	
Total 2011 Allowances Traded.....	275.3	
Average Price Per Ton.....	\$439	per ton
Total Applications Received Requesting Transfer of 2010 (Vintage) Allowances	139	
Total 2010 Allowances Traded.....	1,894.3	
Average Price Per Ton.....	\$225	per ton

Mass Emissions Cap and Trade Program 2010 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	36,643.7	tons
Allowable Allowances Allocated	2,435.8	tons
Flexible Allowable Allowances Allocated	4,035.6	tons
Emission Reduction Credits Converted into Allowances.....	426.6	tons
Retired Actual Allowances.....	(0.0)	tons
Total Allocation	43,541.7	tons

EMISSIONS

Emissions Against Actual Allowances	26,913.9	tons
Emissions Against Allowable Allowances	546.5	tons
Emissions Against Flexible Allowable Allowances	3,146.2	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	30,606.6	tons

PENALTIES

Number of Portfolios with Penalties	40	
Total Penalties.....	(-256.2)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2010 (Current) Allowances	17	
Total 2010 Allowances Traded.....	765.5	
Average Price Per Ton.....	\$473	per ton
Total Applications Received Requesting Transfer of 2009 (Vintage) Allowances	103	
Total 2009 Allowances Traded.....	1,594.8	
Average Price Per Ton.....	\$270	per ton

Mass Emissions Cap and Trade Program 2009 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	36,199.9	tons
Allowable Allowances Allocated	3,036.6	tons
Flexible Allowable Allowances Allocated	4,187.4	tons
Emission Reduction Credits Converted into Allowances.....	396.6	tons
Retired Actual Allowances.....	(0.0)	tons
Total Allocation	43,820.5	tons

EMISSIONS

Emissions Against Actual Allowances	27,855.7	tons
Emissions Against Allowable Allowances	790.7	tons
Emissions Against Flexible Allowable Allowances	3,366.6	tons
Emissions Against Discrete Emission Credits	20.0	tons
Total Emissions.....	32,033.0	tons

PENALTIES

Number of Portfolios with Penalties	28	
Total Penalties.....	(-224.3)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2009 (Current) Allowances	13	
Total 2009 Allowances Traded.....	859.5	
Average Price Per Ton.....	\$794	per ton
Total Applications Received Requesting Transfer of 2008 (Vintage) Allowances	126	
Total 2008 Allowances Traded.....	3,510.2	
Average Price Per Ton.....	\$618	per ton

Mass Emissions Cap and Trade Program 2008 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	34,949.3	tons
Allowable Allowances Allocated	3,710.2	tons
Flexible Allowable Allowances Allocated	5,780.7	tons
Emission Reduction Credits Converted into Allowances.....	396.6	tons
Retired Actual Allowances.....	(0.0)	tons
Total Allocation	44,836.8	tons

EMISSIONS

Emissions Against Actual Allowances	27,405.4	tons
Emissions Against Allowable Allowances	1,074.4	tons
Emissions Against Flexible Allowable Allowances	4,154.7	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	32,634.5	tons

PENALTIES

Number of Portfolios with Penalties	32	
Total Penalties.....	(-122.1)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2008 (Current) Allowances	17	
Total 2008 Allowances Traded.....	1,457.9	
Average Price Per Ton.....	\$2,544	per ton
Total Applications Received Requesting Transfer of 2007 (Vintage) Allowances	119	
Total 2007 Allowances Traded.....	3,102.2	
Average Price Per Ton.....	\$1,482	per ton

Mass Emissions Cap and Trade Program 2007 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	34,529.7	tons
Allowable Allowances Allocated	5,241.9	tons
Flexible Allowable Allowances Allocated	9,553.6	tons
Emission Reduction Credits Converted into Allowances.....	383.9	tons
Retired Actual Allowances.....	(0.0)	tons
Total Allocation	49,709.1	tons

EMISSIONS

Emissions Against Actual Allowances	28,288.2	tons
Emissions Against Allowable Allowances	1,922.6	tons
Emissions Against Flexible Allowable Allowances	8,796.7	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	39,007.5	tons

PENALTIES

Number of Portfolios with Penalties	36	
Total Penalties.....	(-174.3)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2007 (Current) Allowances	55	
Total 2007 Allowances Traded.....	4,108.4	
Average Price Per Ton.....	\$4,682	per ton
Total Applications Received Requesting Transfer of 2006 (Vintage) Allowances	163	
Total 2006 Allowances Traded.....	8,945.7	
Average Price Per Ton.....	\$778	per ton

Mass Emissions Cap and Trade Program 2006 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	44,579.5	tons
Allowable Allowances Allocated	7,616.4	tons
Flexible Allowable Allowances Allocated	10,981.8	tons
Emission Reduction Credits Converted into Allowances.....	383.3	tons
Retired Actual Allowances.....	(0.0)	tons
Total Allocation	63,561.0	tons

EMISSIONS

Emissions Against Actual Allowances	37,779.1	tons
Emissions Against Allowable Allowances	2,981.4	tons
Emissions Against Flexible Allowable Allowances	11,170.6	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	51,931.1	tons

PENALTIES

Number of Portfolios with Penalties	62	
Total Penalties.....	(-166.3)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2006 (Current) Allowances	29	
Total 2006 Allowances Traded.....	4,210.3	
Average Price Per Ton.....	\$837	per ton
Total Applications Received Requesting Transfer of 2005 (Vintage) Allowances	69	
Total 2005 Allowances Traded.....	8,924.8	
Average Price Per Ton.....	\$1,043	per ton

Mass Emissions Cap and Trade Program 2005 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	61,556.0	tons
Allowable Allowances Allocated	9,078.4	tons
Flexible Allowable Allowances Allocated	15,233.6	tons
Emission Reduction Credits Converted into Allowances.....	291.2	tons
Retired Actual Allowances.....	(0.0)	tons
Total Allocation	86,159.2	tons

EMISSIONS

Emissions Against Actual Allowances	45,265.9	tons
Emissions Against Allowable Allowances	4,233.3	tons
Emissions Against Flexible Allowable Allowances	11,681.8	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	61,181.0	tons

PENALTIES

Number of Portfolios with Penalties	40	
Total Penalties.....	(-448.4)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2005 (Current) Allowances	47	
Total 2005 Allowances Traded.....	9,604.78	
Average Price Per Ton.....	\$620	per ton
Total Applications Received Requesting Transfer of 2004 (Vintage) Allowances	72	
Total 2004 Allowances Traded.....	5,072.4	
Average Price Per Ton.....	\$218	per ton

Mass Emissions Cap and Trade Program 2004 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	100,962.3	tons
Allowable Allowances Allocated	13,471.9	tons
Flexible Allowable Allowances Allocated	24,703.0	tons
Emission Reduction Credits Converted into Allowances.....	181.2	tons
Retired Actual Allowances.....	(0.0)	tons
Total Allocation	139,318.4	tons

EMISSIONS

Emissions Against Actual Allowances	54,855.7	tons
Emissions Against Allowable Allowances	15,404.0	tons
Emissions Against Flexible Allowable Allowances	4,111.3	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	74,371.0	tons

PENALTIES

Number of Portfolios with Penalties	21	
Total Penalties.....	(-693.0)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2004 (Current) Allowances	31	
Total 2004 Allowances Traded.....	4,683.8	
Average Price Per Ton.....	\$198	per ton
Total Applications Received Requesting Transfer of 2003 (Vintage) Allowances	34	
Total 2003 Allowances Traded.....	13,519.2	
Average Price Per Ton.....	\$29	per ton

Mass Emissions Cap and Trade Program 2003 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	157,509.3	tons
Allowable Allowances Allocated	16,407.7	tons
Flexible Allowable Allowances Allocated	35,250.5	tons
Emission Reduction Credits Converted into Allowances.....	149.2	tons
Retired Actual Allowances.....	(0.0)	tons
Total Allocation	209,316.7	tons

EMISSIONS

Emissions Against Actual Allowances	69,997.7	tons
Emissions Against Allowable Allowances	20,806.9	tons
Emissions Against Flexible Allowable Allowances	0.0	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	90,804.6	tons

PENALTIES

Number of Portfolios with Penalties	23	
Total Penalties.....	(-180.7)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2003 (Current) Allowances	37	
Total 2003 Allowances Traded.....	19,387.2	
Average Price Per Ton.....	\$139	per ton
Total Applications Received Requesting Transfer of 2002 (Vintage) Allowances	19	
Total 2002 Allowances Traded.....	16,410.9	
Average Price Per Ton.....	\$73	per ton

Mass Emissions Cap and Trade Program 2002 Control Period Summary

ALLOCATION

Actual Allowances Allocated.....	230,411.1	tons
Allowable Allowances Allocated	16,571.2	tons
Flexible Allowable Allowances Allocated	44,797.2	tons
Emission Reduction Credits Converted into Allowances.....	0.0	tons
Retired Actual Allowances.....	(0.0)	tons
Total Allocation	291,779.5	tons

EMISSIONS

Emissions Against Actual Allowances	88,403.2	tons
Emissions Against Allowable Allowances	18,180.7	tons
Emissions Against Flexible Allowable Allowances	0.0	tons
Emissions Against Discrete Emission Credits	0.0	tons
Total Emissions.....	106,583.9	tons

PENALTIES

Number of Portfolios with Penalties	13	
Total Penalties.....	(-2.6)	tons

TRANSACTIONS

Report includes information from approved Applications for Transfer of Allowances (Form ECT-2) but does not include transfers between sites under common ownership or control.

Total Applications Received Requesting Transfer of 2002 (Current) Allowances	67	
Total 2002 Allowances Traded.....	29,107.8	
Average Price Per Ton.....	\$105	per ton
Total Applications Received Requesting Transfer of 2001 (Vintage) Allowances	0	
Total 2001 Allowances Traded.....	0	
Average Price Per Ton.....	\$0	per ton