

**Project Application Form
Locomotive Replacement and Repower Projects
and Related Refueling Infrastructure Projects
Emissions Reduction Incentive Grant (ERIG)**

**Texas Emissions Reduction Plan (TERP)
Texas Commission on Environmental Quality (TCEQ)
Solicitation No. 582-23-42338-ER**



If you have questions on how to fill out this project application, please contact us at 512-239-4950 or TERP@tceq.texas.gov.

Esta es la aplicación de subvención para el Programa de Subsidios de Incentivos para la Reducción de Emisiones (ERIG por sus siglas en Inglés). Debe llenar y enviar esta aplicación para solicitar una subvención. Comuníquese al 800-919-TERP (8377) para obtener ayuda con esta solicitud.

TCEQ invites applications for the Emissions Reduction Incentive Grant to encourage an entity to upgrade or replace older locomotives with newer, cleaner models.

Replacement and Repower Projects: This project application form should be completed only by applicants seeking to replace or repower locomotives.

Infrastructure Projects: Applicants upgrading or replacing a locomotive under this grant program may request additional funding for onsite refueling infrastructure to fuel one or more of the qualifying locomotives.

Application Submission Limitations: Please be aware that applicants will be subject to application submission limitations as defined in Section 4.1 of the RFGA. For the purposes of these limitations, applicant includes an individual or business and all of their associated legal affiliates. Applicants may not submit more than 10 activities in a single application. TCEQ reserves the right to limit the award of more than \$10 million in grant funds to a single applicant.

Application Completeness: All applications for funding must be substantially complete and must be submitted within the application submission period listed on the cover page of the RFGA. TCEQ will not accept an application for consideration that is not substantially complete.

Application Deadline: While funding is available, applications will be accepted for consideration on a competitive basis during this grant period only if received by TCEQ via electronic mail at ERIG-Apply@tceq.texas.gov or via mail at one of TCEQ's addresses, no later than 5:00 p.m. Central Time March 31, 2023.

Please see Section 13 of this application for application submission instructions.

Key Events	Date and Time
Program Opening Date	February 3, 2023
Application Submission Deadline	April 4, 2023

Section 1: Locomotive Project Criteria and Description

1. Locomotive Project Criteria

Applicants seeking to replace or repower qualifying locomotives must meet the following criteria. Refer to Section 6 of this application for program requirements and eligibility criteria. Additional locomotive project criteria can be referenced in Section 2.0 the RFGA.

2. Locomotive Project Type: Replacement or Repower

Replacement projects and repower projects should be submitted on separate applications. Applicants may submit more than one application under this solicitation. Replacement projects involve replacing older locomotives with newer and cleaner models. Repower projects involve replacing the existing engine(s) on an eligible locomotive with a new, rebuilt, or remanufactured engine. Applicants proposing to replace or repower a locomotive must also complete Section 7.1: Locomotive Project in this application. Refer to Section 2.6 of the RFGA for more information.

Indicate the project type by checking only one of the boxes below.

Replacement Project	
Repower Project	

3. Project and Business Description

In the space below, please provide a detailed description of the proposed replacement or repower project. Include a description of your business and how the equipment is used in your routine operations. Any grant-funded equipment must be intended for the same or similar purpose as the equipment being replaced.

Section 2: Applicant Information

1. Legal Name of Entity Applying for the Grant

If selected for a grant, the legal name of the applicant will be used for contracting purposes (must match W-9 Form)

Applicant Legal Name:	
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2. Business Information

All business entities such as corporations or partnerships must have an active registration with the Texas Secretary of State by February 1, 2023, 5:00 p.m. CST. Businesses must maintain an active registration for the contract period.

Ownership / Business Type:	
Federal Employer Identification Number (FEI):	
Social Security Number (SSN): Individuals and Sole Proprietors only	

3. Authorized Official (AO)

The applicant or an employee who has legal authority to sign for and speak on behalf of the entity.

AO Prefix:	
AO First Name:	
AO Middle Initial:	
AO Last Name:	
AO Suffix (If applicable):	
AO Title:	
AO Primary Phone Number:	
AO Cell Phone Number:	
AO Email Address:	
AO Mailing Address (Street or PO Box):	
AO City, State, and Zip Code:	

4. Designated Project Representative (DPR)

The applicant or an employee who will serve as the point of contact for this application.

Is the DPR the same person as the AO? (If the DPR is the same as the AO, select Yes and continue to Section 3)	
DPR Prefix:	
DPR First Name:	
DPR Middle Initial:	
DPR Last Name:	
DPR Suffix (If applicable):	
DPR Title:	
DPR Primary Phone Number:	
DPR Cell Phone Number:	
DPR Email Address:	
DPR Mailing Address (Street or PO Box):	
DPR City, State, and Zip Code:	

Section 3: Third-Party Preparer Signature Page

1. Third-Party Preparer

A third-party preparer is someone other than the applicant or an employee of the applicant. If a third-party preparer was not used in the preparation of an application, select "No" from the dropdown list and proceed to Section 4.

Was this application prepared by a third party?	
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2. Third-Party Preparer Certification

I hereby certify to the best of my knowledge and belief that all information provided in this application and any attachments is true and correct, as represented to me by the applicant. I understand that failure to sign the application will make this application ineligible. I understand that making a false statement may make the submitted application ineligible, may make any resulting contracts voidable, and may subject me to criminal and civil penalties.

3. Third-Party Preparer Information

Third-Party Preparer Printed Name: (First, Last)	
Title:	
Company Name:	
Mailing Address: (Street or PO Box)	
City:	
State:	
Zip Code:	
Primary Phone Number:	
Cell Phone Number:	
Email Address:	
Third-Party Preparer Signature*:	
Third Party Signature Date:	

*If using an electronic signature, please complete the entire application before signing electronically. The ability to edit, add, or remove information will not be available after the application is electronically signed.

Section 4: Certification of Eligibility to Receive a State-Funded Grant

All applicants must complete this section of the form to certify eligibility to receive a grant under this program, even if child support obligations do not apply to the applicant. Failure to submit this form may result in rejection of the application.

Certification Regarding Child Support Obligations.

Under Section 231.006, Texas Family Code, a child support obligor who is more than 30 days delinquent in paying child support and a business entity in which the obligor is a sole proprietor, partner, shareholder, or owner with an ownership interest of at least 25%, is not eligible to receive a state-funded grant or loan. All applicants must include in the application the name and social security number of the individual or sole proprietor and each partner, shareholder, or owner with an ownership interest of 25% or more of the business entity submitting the application.

FEDERAL PRIVACY ACT NOTICE: This notice is given pursuant to the Federal Privacy Act. Disclosure of your Social Security Number (SSN) is required under Section 231.006(c) and Section 231.302(c)(2) of the Texas Family Code. The SSN will be used to identify persons that may owe child support. The SSN will be kept confidential to the fullest extent allowed under Section 231.302(e) of the Texas Family Code.

Please Check One of the Following Applicant Options (Check only one).

1. Individual or Sole Proprietor	
2. One or more individuals own 25% or more of the business entity	
3. No individual owns 25% or more of the business entity	
4. Governmental Entity	

If Option 1 or 2 is checked above, list the name(s) and social security number(s) (SSN) below.

Name		Social Security Number (SSN)	
Name		Social Security Number (SSN)	
Name		Social Security Number (SSN)	
Name		Social Security Number (SSN)	
Name		Social Security Number (SSN)	

Applicant Certification

By signing this application in Section 10: Project Summary Page, under Section 231.006, Family Code, the applicant certifies that the individual or business entity named in this application is not ineligible to receive a grant and acknowledges that any contract may be terminated, and any payments withheld if this certification is inaccurate.

Section 5: General Certifications

This section includes specific requirements and statements for funding under ERIG Program. These terms apply to any contract awarded by TCEQ from this application. The ERIG Program RFGA and the draft contract, located on the [ERIG webpage](#), contain additional terms and conditions that the applicant should review before submitting an application.

By signing this application, you understand and certify compliance with all the statements below, as well as with any state statutes, regulations, policies, guidelines, and requirements as they relate to the application, acceptance, and use of funds for this project. If any of these certifications materially change after submittal of the application, you will provide prompt written notification to TCEQ within three (3) business days of becoming aware of the change. Failure to notify TCEQ and/or any changes to your certifications may make the application ineligible and may make any resulting contracts voidable.

- 1. Legal Authority.** The applicant has the legal authority in the State of Texas to apply for the grant. The applicant's governing body has authorized the filing of the application, understands these requirements and certifications, and has authorized the person identified as the Authorized Official to act in connection with the application and to provide such additional information as may be required.
- 2.** If awarded a grant, the applicant certifies that it will provide written notification to TCEQ within 30 calendar days of any termination of use, change in use, sale, transfer, or accidental or intentional destruction of grant-funded vehicles during the activity life. The applicant further agrees that TCEQ may be entitled to the return of all or a prorated share of the grant funds for any loss of emissions reductions compared with the emissions reductions projected in awarding the grant.
- 3. Texas Grant Management Standards.** In accordance with Chapter 783, Texas Government Code, if the applicant is a local government, state entity, or political subdivision, it will comply fully with the Texas Grant Management Standards (TxGMS). This includes compliance with the relevant sections of TxGMS when procuring goods and services under a resulting contract. For all other applicants, the selected items of cost of TxGMS apply to any resulting contract. These documents are available at: <https://www.comptroller.texas.gov/purchasing/grant-management/>.
- 4. Procurement of Goods and Services.** If this application results in a contract, all procurement transactions made with (or to be reimbursed by) grant funds must be conducted in a manner providing full and open competition. All purchase decisions must be based on sound business decisions and arm's length bargaining, and purchases must be made without any real or apparent personal or organizational conflicts of interest as described in TxGMS.
- 5. Conflict of Interest.** The applicant has not given, offered to give, nor intends to give any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant in connection with the submitted application. All purchase decisions must be based on sound business decisions and arm's length bargaining.
- 6. Nondiscrimination.** The applicant will comply with all State and Federal statutes relating to nondiscrimination.
- 7. Grant Administration.** The applicant will maintain an appropriate grant administration system to ensure that they meet all terms, conditions, and specifications of the grant, including these certifications and assurances.
- 8. Audit.** Acceptance of funds under this program acts as acceptance of the authority of the State Auditor's Office, or any successor agency, to conduct an audit or investigation in connection with those funds. The applicant or other entity that may receive funds directly or indirectly from TCEQ must provide the state auditor with access to any information the state auditor considers relevant to the investigation or audit. Applicant will include this clause concerning the authority to audit funds received indirectly and the requirement to cooperate in any subcontract it awards.
- 9. Debt to the State.** The applicant is not indebted to the state nor has an outstanding tax delinquency. The applicant must comply with all State and Federal tax laws and fee requirements and is solely responsible for filing all State and Federal tax and fee forms.
- 10. Contract.** The applicant understands that a PDF of the draft contract may be viewed and downloaded from the [ERIG webpage](#). The draft contract is for reference only and contains terms and conditions which are standard provisions for grants awarded under this program. Any requested changes to the draft contract must be received by TCEQ no later than the date of the submission of this application. However, the applicant further understands that TCEQ will not normally change the contract language in response to individual requests from grant recipients and is under no obligation to do so. TCEQ reserves the right to modify the draft contract terms as necessary due to statutory, rule, or policy changes. Modifications will be posted to the [ERIG webpage](#) and the Electronic State Business Daily.
- 11. Contracting with an Executive of a State Agency.** Under Texas Government Code Section 669.003, relating to contracting with an executive head of a state agency, applicant represents that no person who, in the past four years, served as an executive of TCEQ or any other state agency, was involved with or has any interest in this application. If applicant employs or has used the services of a former executive head of TCEQ or other state agency, the applicant shall provide the following information: name of former executive, name of state agency, date of separation from state agency, position with applicant, and date of employment with applicant.
- 12. Debarment.** The applicant certifies that the applying entity and its principals are eligible to participate in this transaction and have not been subjected to suspension, debarment, or similar ineligibility determined by any federal, state, or local governmental entity. The applicant also certifies that it and its principals are not listed on the *State of Texas*

Debarred Vendor List maintained by the Texas Comptroller of Public Accounts, or the *System for Award Management (SAM)* maintained by the General Services Administration as authorized by Executive Order No. 13224, "Blocking Property and Prohibiting Transactions with Persons Who Commit, Threaten to Commit, or Support Terrorism," published by the U.S. Department of Treasury, Office of Foreign Assets Control.

13. Abortion Funding Limitation. The applicant represents and warrants it is not an abortion provider or an affiliate of an abortion provider under Texas Government Code, Chapter 2273, *Prohibited Transactions*.

14. COVID-19 Vaccine Passport Prohibition. Under Section 161.0085 of the Texas Health and Safety Code, the applicant certifies that it is not ineligible to receive funds.

15. If the applicant is a governmental entity, it represents and warrants that it will comply with Section 2252.906 of the Texas Government Code relating to disclosure protections for certain charitable organizations, charitable trusts, and private foundations.

Section 6: Program Certifications

Locomotive Project Certifications

For the replacement or repower of locomotives, the following certifications apply. By signing this application, you are certifying the applicable eligibility requirements listed herein.

Replacement or Repower of Locomotives:

- a) The applicant must have continuously owned the locomotive for a minimum of two years immediately preceding the application signature date. The applicant will provide proof of ownership, which may include a copy of the bill of sale, maintenance records, or in some cases a title.
- b) The locomotive must have been used in its primary function in the routine operations of the applicant in Texas for the two years immediately preceding the application signature date.
- c) The locomotive must currently be used in its primary function in the routine operations of the applicant and capable of performing its primary function for the same duration as the proposed activity life.

A **waiver** may be requested for the ownership and use requirements pursuant to Appendix B in the RFGA by submitting Supplemental Form 1: Program Waiver Request with the application.

Refueling Infrastructure Project Certifications

This Section must be completed only by applicants requesting grant funds for refueling infrastructure.

1. Site Ownership

Applicants are required to be the owner of the site where the equipment is installed unless the applicant establishes permission to install and operate the grant-funded equipment at the site during the contract period. **The information below must be completed if the applicant does not own the site where the equipment will be installed, and the applicant and site owner must provide their signatures.**

Is the Applicant the Property Owner?			
If not, the property owner must provide their name, title, and signature below.			
Property Owner Name:			
Title:			
I, the undersigned owner of the real property located at the address identified in Section 8: Refueling Infrastructure Project Location below, consent to the installation of the refueling infrastructure on this property. I understand and agree that the applicant listed above is obligated, unless otherwise approved by TCEQ, to keep the refueling infrastructure in operation and in service for the duration of the contract period.			
Signature:		Date:	

***Please complete the entire application before signing electronically. The ability to edit, add, or remove information will not be available after the application is electronically signed.**

2. Refueling Infrastructure Equipment Ownership

By signing this application, the applicant certifies that it will purchase and own the grant-funded equipment during the contract period subject to the following: Any sale of the grant-funded equipment during the contract period will be subject to approval and consent to assignment by TCEQ in accordance with the contract terms.

Section 7: Locomotive Equipment Information

Activity Number _____

An activity represents the individual replacement or repower of a single piece of equipment or engine(s).

[Click here for additional pages](#) _____

Applicants may include up to 10 activities on a single application.

Grantees may receive up to the lesser of the following options:

- 1) 80% of the eligible incremental cost; or
- 2) an incentive amount that results in a cost per ton of NO_x reduced that is less than or equal to \$20,000.

Refer to Section 3.0 of the RFGA for more information on eligible costs and determining grant amounts.

1. Locomotive Project Type. Indicate the project type by checking the appropriate box (check only one box).

Replacement:		Repower:	
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2. Old Equipment Information

Equipment Type: (i.e., line-haul, switcher, etc.)	
Equipment Identification Number: (List the entire Equipment ID Number)	
Equipment Make:	
Equipment Model:	
Equipment Model Year:	
Engine Make:	
Engine Model:	
Engine Identification Number:	
Engine Model Year:	
Engine Horsepower Rating (bhp/hr):	
Fuel Type: (Select the fuel type of the old equipment from the dropdown list)	
Engine Family Code: (12-digit emissions code required for engines 2003 and newer)	
Federal NO_x Emissions Standard (g/bhp-hr):	
Historical Annual Usage: List the average annual usage for the 2 years immediately preceding the signature date.	

3. Qualifying Equipment to be Purchased or Leased with Grant Funds

Equipment Type: (The new equipment must be of the same type and use as the old equipment listed above)	
Equipment Year:	
Engine Year: (The engine model year must be no more than 3 years older than the calendar year purchased)	
Horsepower:	
Fuel Type: (Choose the new equipment fuel type from the dropdown list)	
Federal NO_x Emissions (g/bhp-hr):	

4. Annual Usage

Usage Type Standard usage only for locomotive projects	Activity Annual Usage Rate	Usage Unit Select from dropdown list
Standard		

5. Requested Grant Amount. Please indicate the requested grant amount and cost per ton of NO_x reduced.

Requested Grant Amount:	
Cost Per Ton of NO_x Reduced: Use the grant estimator to determine Cost Per Ton	

Section 7.1: Locomotive Project Information

Activity Number _____

An activity represents the individual replacement or repower of a single piece of equipment.

[Click here for additional pages](#) _____

Applicants may include up to 10 activities on a single application.

Complete this form for each locomotive project activity.

1. Select the primary use of the qualifying locomotive. Refer to Appendix B of the Locomotive Technical Supplement for details on the options. Check only one box.

Switchyard, Short Haul, Etc.		Industrial Rail – Limited use by an industrial or commercial entity	
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2. Select the qualifying locomotive type. Check only one box.

Genset / Hybrid		Regular One-Engine Switcher	
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3. Use description of locomotive being replaced

In the space provided below, describe the use of the locomotive to support the category selected in Item 2 above.

Section 8: Refueling Infrastructure (optional)

This Section must be completed by applicants who are requesting grant funds to purchase and install refueling infrastructure for qualifying alternatively fueled equipment detailed in Section 7 of this application. At least one qualifying piece of equipment must operate on the fuel type selected in Part 1 of this Section. All grant-funded refueling infrastructure must be owned and operated by the applicant. Leased equipment is not eligible.

1. Fuel Type and Information

Select the fuel type from the drop-down box below:

Fuel Type:	
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What is the charge rate (kW) or throughput rate (kg/day) of the refueling infrastructure?

Charge Rate:		kW or kg/day:	
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2. Infrastructure Project Location

Please list the location of the proposed refueling infrastructure project

Physical Address:	
City:	
State:	
Zip Code:	
County:	

3. Infrastructure Project Details

Please briefly describe the proposed refueling infrastructure project below. Be sure to describe how this refueling infrastructure will support, at the very least, the qualifying equipment detailed in this application. If this project will expand existing refueling infrastructure, please indicate this as well.

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4. Required Documentation

Applicants with projects that include refueling infrastructure must provide documentation that includes:

- a) a site plan with the application (including a scaled map which could be a photograph, satellite map, drawing, or similar graphic of the proposed site) that shows the planning and design of the proposed facility. The site plan must:
 - i. demonstrate how the equipment may access the proposed facility; and
 - ii. provide the location of the facility within the property and include any easements, set-back requirements, and property boundaries.
- b) a demonstration of the applicant's ability to install, operate and maintain the refueling infrastructure at the proposed site by providing documentation, such as property ownership records, lease agreements or other legal agreements, that can show the following:
 - i. the ability to complete any required construction on the proposed site; and
 - ii. the ability to operate on the proposed property for the duration of the contract if awarded a grant.

5. Infrastructure Grant Amount

Please indicate the projected costs and requested grant amount in the space provided below. Refer to Section 3.0 of the RFGA to determine the maximum grant amount and eligible costs. Applicants may receive up to 50% of the incremental cost of the refueling infrastructure associated with this project.

Equipment Total:	
Supplies and Materials Total:	
Construction Total:	
Contract Services Total:	
Total Eligible Project Costs:	
Refueling Infrastructure Grant Amount:	

Section 9: Operation of Grant-Funded Equipment

Activity Number _____

An activity represents the replacement or repower of a single piece of equipment.

[Click here for additional pages](#) _____

For replacement and repower projects, **not less than 55%** of the grant-funded equipment annual operation must occur in one or more of the nonattainment areas and affected counties for the duration of the activity life.

Is the Percent of Annual Operation the same for all activities? _____

If the percent of annual operation is the same for all activities in this application, complete only one copy of Section 9, and proceed to Section 10: Disposition of Equipment and Engine Being Replaced. Otherwise, complete one copy of Section 9 for each activity.

In the table below, identify the areas where the grant-funded equipment will operate, and provide the percent of annual operation in the space provided. Applicants may elect to increase their total annual commitment in increments of 10% up to a maximum of 95%. Refer to Section 2.8 of the RFGA for usage requirements. A map of the eligible areas and counties can be found in Appendix A of the RFGA.

Nonattainment Areas and Affected Counties	Percent of Operation
Austin Area: Bastrop, Caldwell, Hays, Travis, and Williamson Counties	
Beaumont-Port Arthur Area: Hardin, Jefferson, and Orange Counties	
Corpus Christi Area: Nueces and San Patricio Counties	
Dallas-Fort Worth Area: Collin, Dallas, Denton, Ellis, Henderson, Hood, Hunt, Johnson, Kaufman, Parker, Rockwall, Tarrant, and Wise Counties	
El Paso Area: El Paso County	
Houston-Galveston-Brazoria Area: Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, and Waller Counties	
San Antonio Area: Bexar, Comal, Guadalupe, and Wilson Counties	
Tyler-Longview Area: Gregg, Harrison, Rusk, Smith, and Upshur Counties	
Other Eligible Counties: Anderson, Freestone, Howard, Hutchinson, Navarro, Panola, and Titus Counties	
Total % of Annual Operation: The total % of annual operation must be at least 55%, but not more than 95%. Select the percentage from the dropdown list. If the project's total usage falls between two percentages, round down to the next lowest percentage.	

Project Activity Life

Check here if the project life is the same for all activities.

Projects involving different activity lives should be applied for on separate applications.

Activity Life Select the activity life from the dropdown list	
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Section 10: Disposition of Equipment and Engine Being Replaced

If selected for a grant, the old equipment and engines being replaced must be destroyed and rendered permanently inoperable (commonly referred to as disposition) within 90 days of the reimbursement payment being issued by TCEQ. Refer to Section 4.7 of the RFGA.

1. Method of Disposition. Mark the proposed method of disposition below.

<p>Standard Destruction: Complete destruction or otherwise rendering permanently inoperable by crushing the locomotive and engine or cutting a 3-inch or larger hole on both sides of the engine block. Frame rails may be reused when completing a locomotive replacement project. If the proposed method of disposition is Standard Destruction, mark the box to the right and continue to Section 11.</p>	
<p>Alternative Destruction: TCEQ may consider alternative methods of rendering the equipment or engine permanently inoperable in lieu of the standard method of destruction. If the proposed method of disposition is Alternative Destruction, check the box to the right and complete Items 2 and 4 below.</p>	
<p>Permanent Removal from Texas: TCEQ may allow, on a case-by-case basis, the permanent removal of the old locomotive from the state of Texas in lieu of destruction. The applicant must provide sufficient assurances that the locomotive being replaced will not return to Texas. If proposing permanent removal from Texas, check the box to the right and complete Items 3 and 4 below.</p>	

2. Alternative Destruction. If the applicant is proposing an alternative method of destruction in lieu of the standard method of destruction outlined in Section 4.7 of the RFGA, please explain the alternative method of destruction in the space provided below.

3. Permanent Removal from the state of Texas. In the space below, please provide a summary detailing the plan to permanently remove the locomotive from Texas and explain the measures that will be taken to prevent the locomotive from returning to Texas. Attach any additional documentation to this application at the time of submission.

4. Activities Included in the Alternative Destruction or Permanent Removal from Texas.

<p>Alternative Destruction: Does the method of alternative destruction apply to all vehicles and engines listed in this application?</p>	
<p style="text-align: center;">If no, list the activity numbers to which the proposal applies:</p>	
<p>Permanent Removal from Texas: Does the proposal to permanently remove the old locomotive from the state of Texas apply to all activities listed in this application?</p>	
<p style="text-align: center;">If no, list the activity numbers to which the proposal applies:</p>	

Section 11: Project Summary Page

1. Applicant Information

Applicant Legal Name:	
Applicant Type:	
Does Applicant Qualify as a Small Business? A Small Business is defined as a business owned by a person or entity that owns and operates no more than five vehicles or pieces of equipment, of which at least one operates on diesel. [For Reporting Purposes Only]	
Applicant Mailing Address: (Street or PO Box)	
Applicant City:	
Applicant State:	
Applicant Zip Code:	

2. Project Information

Primary Project Area:	
Project Category:	Locomotive
Project Type:	
Total Number of Activities in this Application: This number should match the number of Section 7 forms	
Total Eligible Costs of Project: Total eligible costs of all activities from Section 7	
Total Requested Grant Amount: Total requested grant amount of all activities from Section 7 plus Total Infrastructure Grant Amount from Section 8, if applicable	

3. Authorized Official

The applicant or an employee of the applicant who has the legal authority to sign on behalf of the entity.

I hereby certify to the best of my knowledge and belief that all information provided in this application and any attachments is true and correct, including any representations made by a third-party preparer. My signature also constitutes acceptance of the certifications in Sections 5 and 6 of this application, the terms of the RFGA, and any other changes posted through addenda on the Electronic State Business Daily. **I understand that failure to sign the application will make this application ineligible. I understand that making a false statement may make the submitted application ineligible, may make any resulting contracts voidable, and may subject me to criminal or civil penalties.**

Printed Name of Authorized Official:	
Authorized Official Title:	
Signature of Authorized Official*:	
Date of Signature:	

The application, signed by the Authorized Official, must be received by the application deadline or the application will not be accepted.

Upon submission, all proposals become the property of the State of Texas and as such become subject to the Texas Public Information Act, Texas Government Code Chapter 552.

Personal Information Policy: Individuals are entitled to request and review their personal information that the agency gathers on its forms. Individuals may request to have their provided personal information updated. To review such information, contact TERP program staff at TERP@tceq.texas.gov or 1-800-919-TERP (8377).

*If using an electronic signature, please complete the entire application before signing electronically. The ability to add, edit, or remove information will not be available after the application is electronically signed.

Section 12: Application Checklist

All applications for funding must be substantially complete and must be submitted within the application submission period listed on the cover page of the RFGA. Submission of a grant application that is not substantially complete will disqualify the applicant from receiving a grant under this RFGA. A substantially complete application must include:

- all pages of the application;
- all required attachments;
- applicant's contact information;
- all required signatures; and
- all information necessary for TCEQ to review the application for selection according to the program requirements listed in the RFGA.

Application Section Checklist (All Applications)

Section 1: Locomotive Project Criteria and Description	Please fill out entirely.	
Section 2: Applicant Information	Please fill out entirely.	
Section 3: Third-Party Preparer Signature Page	Please fill out entirely if application was prepared by a third party. Signature Required.	
Section 4: Certification of Eligibility	Please fill out entirely.	
Section 5: General Certifications	Please read and include with application.	
Section 6: Program Certifications	Please read and include with application. Signature Required only if requesting infrastructure.	
Section 7: Locomotive Equipment Information	Please fill out entirely.	
Section 7.1: Locomotive Project Information	Please fill out entirely.	
Section 8: Refueling Infrastructure (Optional)	Please fill out entirely (if applicable).	
Section 9: Operation of Grant-Funded Equipment	Please fill out entirely.	
Section 10: Disposition of Equipment	Please fill out entirely.	
Section 11: Project Summary Page	Please fill out entirely. Signature Required.	
Section 12: Application Checklist	Please fill out entirely and include with application.	
Section 13: Application Submission Instructions	Please read important submission instructions.	

Required Attachments Checklist

<u>W-9 Form</u>	Download, fill out entirely. Signature Required.	
Copy of State or Federal Identification Card	Include only if applying as an Individual or Sole Proprietor.	
Color Photographs of Locomotives and Engines Being Replaced	Color photos of the front, right side, left side, and rear of locomotive; left and right side of engine, including close-up of engine data plate.	

Required Refueling Infrastructure Attachments Checklist (Applications with Refueling Infrastructure)

Site Plan	Include with the application	
Site Property Rights or Ownership Documentation	Include with the application	

Supplemental Forms (if applicable)

Supplemental Form 1: Program Waiver Request	Please fill out entirely and include with application. Signature Required.	
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Section 13: Application Submission Instructions

Electronic Application Submissions

Applications may be submitted electronically using one of the methods listed below. It is preferable that the application and its attachments be submitted as a single PDF, but it is not required. If the attachments for an application will be submitted as separate files, each attachment must be grouped by activity and clearly labeled with the activity number at the top of each page.

- 1) **Submitting Applications via Email.** For applications that are submitted via email to ERIG-Apply@tceq.texas.gov, please use the following naming convention for your application file in the subject line: 'FY23 ERIG and [your legal name].' Only one application may be submitted per email at a maximum total file size of 25MB.
- 2) **Submitting Applications via [TCEQ's FTPS Server](https://ftps.tceq.texas.gov).** If the application is larger than 25MB, please submit by uploading the file to TCEQ's file transfer protocol secure (FTPS) server **and selecting the share file(s) button**. Enter ERIG-Apply@tceq.texas.gov as the email address. Detailed directions for using TCEQ's FTPS Server can be found at <https://ftps.tceq.texas.gov/help/>. **Please note: Applications uploaded to TCEQ's FTPS server without completing the share file(s) step will not be considered as submitted.** [Click here](#) for detailed instructions on how to share files via TCEQ's FTPS server.

Physical Application Submissions

Applications may also be submitted by mailing a physical copy to one of these addresses:

Standard Mail:

Texas Commission on Environmental Quality Air Grants Division
MC-204 (ERIG)
P.O. Box 13087
Austin, TX 78711-3087

Express Mail:

Texas Commission on Environmental Quality Air Grants Division
MC-204 (ERIG)
12100 Park 35 Circle, Building F, 1st Floor, Suite 1301
Austin, TX 78753