

Project Application Form New Technology Implementation Grant Program (NTIG)

Texas Commission on Environmental Quality (TCEQ)
Texas Emissions Reduction Plan (TERP)

Solicitation No. 582-25-70001-NG

Este documento es la Solicitud de Aplicaciones de Subvenciones para Programa de Subvenciones para la Implementación de Nuevas Tecnologías (NTIG). La información en este documento lo ayudará a determinar si califica para una subvención. Comuníquese al 800-919-TERP (8377) para obtener ayuda con esta información.



Eligible Activities

Project eligibility criteria and types of purchases eligible under this program are explained in TCEQ’s NTIG Request for Grant Applications (RFGA). The RFGA can be found at the [NTIG homepage](#). Applicants should review the RFGA before completing this application.

Application Completeness

TCEQ will review applications and project proposals for completeness. If an application or project proposal is found to be incomplete, TCEQ will notify the applicant in writing and provide details about what is missing from the application or project proposal. Applicants will be provided a deadline of three full business days to submit the missing information to TCEQ.

Submission Instructions

Applications will be accepted for consideration during this grant period only if received by TCEQ via upload to **TCEQ’s file transfer protocol secure (FTPS) server** or via mail at one of TCEQ’s addresses **no later than 5:00 p.m. Central Time, Jan. 7, 2025**. Only one project per application may be submitted.

Any information submitted as part of the application forms is considered public information and may be subject to disclosure pursuant to the Texas Public Information Act, even if you have marked it confidential. See Appendix C of the RFGA for more detail.

Important Dates	Date
Program Opening Date	Oct. 31, 2024
Application Submission Deadline	Jan. 7, 2025

Section 1: Applicant Information

1. Legal Name of Entity Applying for the Grant

If selected for a grant, the legal name of the applicant will be used for contracting purposes.

Applicant Legal Name (Must Match W-9 Form)	
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2. Business Information

All business entities such as corporations or partnerships must have an active registration with the Texas Secretary of State by 5:00 p.m. CT on the opening date on the cover page of this document. Businesses must maintain an active registration throughout the contract period.

Ownership / Business Type	
Employer Identification Number (EIN)	

3. Authorized Official (AO)

The applicant or an employee who has legal authority to sign for and speak on behalf of the entity.

AO First Name	
AO Middle Initial	
AO Last Name	
AO Suffix (If applicable)	
AO Title	
AO Primary Phone Number	
AO Secondary Phone Number	
AO Email Address	
AO Mailing Address (Street or PO Box)	
AO City, State, and Zip Code	

4. Designated Project Representative (DPR)

The applicant or an employee who will serve as the point of contact for this application.

Is the DPR the same person as the AO? (If yes, select Yes and continue to Section 2. If no, select No and enter DPR information.)	
DPR First Name	
DPR Middle Initial	
DPR Last Name	
DPR Suffix (If applicable)	
DPR Title	
DPR Primary Phone Number	
DPR Secondary Phone Number	
DPR Email Address	
DPR Mailing Address (Street or PO Box)	
DPR City, State, and Zip Code	

Section 2: Third-Party Preparer Signature Page

1. Third-Party Preparer (TPP)

A third-party preparer is someone other than the applicant or an employee of the applicant. If a third-party preparer was not used in the preparation of an application, select "No" from the dropdown list and proceed to Section 3.

Was this application prepared by a third-party?	
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2. Third-Party Preparer Certification

I hereby certify to the best of my knowledge and belief that all information provided in this application, including any attachments, is true and correct, as represented to me by the applicant. I understand that failure to sign the application will make this application ineligible. I understand that making a false statement may cause the submitted application to be ineligible, may make any resulting contracts voidable, and may subject me to criminal and civil penalties.

TPP Printed Name (First Last)	
TPP Title	
TPP Company Name	
TPP Mailing Address (Street or PO Box)	
City	
State	
Zip Code	
TPP Primary Phone Number	
TPP Secondary Phone Number	
TPP Email Address	
TPP Signature*	
TPP Signature Date	

*If using an electronic signature instead of a typed signature, please complete the entire application before signing electronically. The ability to edit, add, or remove information will not be available after the application is electronically signed.

Section 3: Certification of Eligibility to Receive a State-Funded Grant

All applicants must complete this section to certify eligibility to receive a grant under this program, even if child support obligations do not apply to the applicant. Failure to complete this section may result in rejection of the application.

Certification Regarding Child Support Obligations

Under [Texas Family Code, Section 231.006](#), a child support obligor who is more than 30 days delinquent in paying child support and a business entity in which the obligor is a sole proprietor, partner, shareholder, or owner with an ownership interest of at least 25%, is not eligible to receive a state-funded grant or loan. All applicants must include in the application the name and social security number of the individual or sole proprietor and each partner, shareholder, or owner with an ownership interest of 25% or more of the business entity submitting the application.

Federal Privacy Act Notice: This notice is given pursuant to the [Federal Privacy Act](#). Disclosure of your Social Security Number (SSN) is required under [Texas Family Code, Section 231.006\(c\)](#) and [Section 231.302\(c\)\(3\)](#). The SSN will be used to identify persons that may owe child support. The SSN will be kept confidential to the fullest extent allowed under [Texas Family Code, Section 231.302\(e\)](#).

Please Check ONLY One of the Following Applicant Options.

1. Individual or Sole Proprietor	
2. One or more individuals own 25% or more of the business entity	
3. No individual owns 25% or more of the business entity	
4. Governmental Entity	

If Option 1 or 2 is checked above, list the name(s) and social security number(s) below.

Name		Social Security Number	
Name		Social Security Number	
Name		Social Security Number	
Name		Social Security Number	

Applicant Certification

By signing this application in **Section 7**, under [Texas Family Code, Section 231.006](#), the applicant certifies that the individual or business entity named in this application is not ineligible to receive the specified grant and acknowledges that any contract may be terminated, and any payments may be withheld if this certification is inaccurate.

Section 4: Health/Safety and Environmental Impact Certification

The applicant confirms that it has made a reasonable effort to identify safety and health issues that might arise from the implementation, testing, or use of the proposed technology. (Please mark one box below with an X.)

1. The applicant is not aware of any safety and health issues that might arise from the implementation, testing, or use of the proposed technology.	
2. The applicant is aware of one or more safety and health issue that might arise from the implementation, testing, or use of the proposed technology and has identified those issues in the Project Proposal.	

The applicant confirms that it has made a reasonable effort to identify adverse environmental impacts, such as increased emissions of pollutants or the creation of a hazardous waste that might arise from the implementation, testing, or use of the proposed technology. (Please mark one box below with an X.)

1. The applicant is not aware of any adverse environmental impacts, such as increased emissions of pollutants or the creation of a hazardous waste, that might arise from the implementation, testing, or use of the proposed technology.	
2. The applicant is aware of one or more adverse environmental impacts, such as increased emissions of pollutants or the creation of a hazardous waste, that might arise from the implementation, testing, or use of the proposed technology and has identified those issues in the Project Proposal.	

Section 5: Project Budget Summary

Refer to Section 3.0 of the NTIG RFGA for a detailed description of each eligible budget category. Complete the relevant Project Budgets Sections 5.1 through 5.5 as needed. List all expenses by budget category, including a description of each line item and its purpose in the project. For Electricity Storage projects, clearly distinguish between costs related to the storage project and costs related to the renewable energy source in Sections 5.1 through 5.5. Costs related to the renewable energy source are not reimbursable but may be submitted for matching costs.

The sum of the Proposed Matching Cost Totals must meet or exceed the sum of the Proposed Grant Reimbursement Cost Totals. Individual budget categories do not need to be split 50/50 between those two columns.

The project budget is subject to disclosure under the Public Information Act (PIA). Applicants must supply supporting documentation for the budget category costs that provides sufficient detail to demonstrate the underlying expenses and allow for clear connections to the project.

A supplemental budget for major subcontractors should also be included with the application. This is particularly recommended for projects in which the subcontractor will be completing a major portion of the proposed grant-funded work.

1. Eligible Budget Categories

Budget Category	Proposed Grant Reimbursement Cost Totals	Proposed Matching Cost Totals	Total (Reimbursement + Matching Costs)
Equipment Use the columns to the right to list the Proposed Equipment Cost Totals from Section 5.1			
Supplies and Materials Use the columns to the right to list the Proposed Supplies and Materials Cost Totals from Section 5.2			
Construction Use the columns to the right to list the Proposed Construction Cost Totals from Section 5.3			
Contract Services Use the columns to the right to list the Proposed Contract Services Cost Totals from Section 5.4			
Operation and Maintenance Use the columns to the right to list the Proposed Operations and Maintenance Cost Totals from Section 5.5			
Sum of Costs Number in Total column should match Project Totals in Section 7: Project Summary			
Requested Grant Amount Cannot exceed 50% of Sum of Costs: Total above			

2. Additional Funding Sources (Refer to the RFGA, Section 3.0 Available Funding and Cost)

In determining the amount of a grant, TCEQ shall reduce the incremental cost of a proposed project by the value of any existing financial incentive that directly reduces the cost of the proposed project, including tax credits or deductions, other grants, or any other public financial assistance. If the applicant has received, or anticipates receiving, any additional financial incentives, indicate the dollar amount: _____

Section 5.1: Equipment Project Budget

[Click here for additional pages +](#)

Include detailed information in the columns indicated for both Costs Proposed for Grant Reimbursement and the Proposed Matching Costs.

Expenses that are ineligible for reimbursement are not allowable as matching expenses, unless the expense is related to the renewable energy source for an Electricity Storage project. Refer to Section 3.3 of the NTIG RFGA for information regarding ineligible costs. Costs incurred prior to the opening of the grant round are not eligible for reimbursement.

Description and Purpose	Costs Proposed for Grant Reimbursement	Proposed Matching Costs
Subtotal		
Total Eligible Costs		

Section 5.3: Construction Project Budget

[Click here for additional pages +](#)

Include detailed information in the columns indicated for both Costs Proposed for Grant Reimbursement and the Proposed Matching Costs.

Expenses that are ineligible for reimbursement are not allowable as matching expenses. Refer to Section 3.3 of the NTIG RFGA for information regarding ineligible costs. Costs incurred prior to the opening of the grant round are not eligible for reimbursement.

Description and Purpose	Costs Proposed for Grant Reimbursement	Proposed Matching Costs
Subtotal		
Total Eligible Costs		

Section 5.4: Contract Services (Consultants and Subcontracts) Project Budget

[Click here for additional pages +](#)

Include detailed information in the columns indicated for both Costs Proposed for Grant Reimbursement and the Proposed Matching Costs.

Expenses that are ineligible for reimbursement are not allowable as matching expenses. Refer to Section 3.3 of the NTIG RFGA for information regarding ineligible costs. Costs incurred prior to the opening of the grant round are not eligible for reimbursement.

Description and Purpose	Costs Proposed for Grant Reimbursement	Proposed Matching Costs
Subtotal		
Total Eligible Costs		

Section 6: Program Certifications

This section includes specific requirements and statements for funding under the New Technology Implementation Grant Program. These terms apply to any contract awarded by TCEQ from this application. The New Technology Implementation Grant Program RFGA and the draft contract, located on the [New Technology Implementation Grant Program webpage](#), contain additional terms and conditions that the applicant should review before submitting an application.

By signing this application, you understand and certify compliance with all the statements below, as well as with any state statutes, regulations, policies, guidelines, and requirements as they relate to the application, acceptance, and use of funds for this project. If any of these certifications materially change after submittal of the application, you will provide prompt written notification to TCEQ within three business days of becoming aware of the change. Failure to notify TCEQ of any changes to your certifications may make the application ineligible and may make any resulting contracts voidable.

New Technology Implementation Grant Project Provisions

1. If selected for award, the applicant agrees to maintain and operate the proposed technology for five years after the final reimbursement is paid by TCEQ.
2. The applicant has informed TCEQ of all permits, including but not limited to permits with TCEQ, the Public Utility Commission of Texas (PUCT), and the Railroad Commission of Texas (RRC), and certifies that applicant is in compliance with all permits.
3. The proposed activities are not required by any state or federal law, rule, or regulation, memorandum of agreement, or other legally binding document.
4. **No Emissions Reductions Credits.** Activities funded under this program are not eligible to generate marketable credits under state or federal emissions reduction credit averaging, banking, or trading programs. If the project is funded, the applicant waives, for all time, its right to claim or apply for any emissions reduction credits from the use of the low-emission technology funded under this program.
5. **Not to exceed 50% of the implementation cost.** The amount of the grant award plus any other public financial assistance, tax credits or deductions, or other grants may not exceed 50% of the implementation cost of the proposed project.
6. **Requirement to Monitor.** The applicant will monitor the use of the grant-funded activities over the designated Contract Period. The applicant agrees to provide information on the use of the equipment upon request of TCEQ.
7. **Insurance Coverage.** The applicant will maintain, for the term of the Contract Period, insurance levels in accordance with the contract requirements.
8. The applicant will notify TCEQ of any termination of use, change in use, sale, transfer, or destruction of grant-funded new technology or the facilities in which it will be installed, during the Contract Period. TCEQ may be entitled to the return of all or a share of the grant funds for any non-compliance with these Assurances, any Contract terms, or misuse of grant funds during the Contract Period.

New Technology Stationary Compressor Project Provisions

1. **Ownership.** The equipment has been continuously owned for the two years immediately preceding the application signature date. A waiver may be submitted for this requirement.
2. **Operation.** The equipment has been continuously located and used in Texas for the two years prior to the application signature date. A waiver may be submitted for this requirement.
3. **Condition.** On the application signature date, the equipment is currently in good operating condition and capable of performing its primary function in the routine operations of the applicant. To the best of the applicant's knowledge, the equipment is capable of continuing to perform its primary function for the duration of the Contract Period, taking into account normal maintenance, repairs, and upkeep.
4. **Continued Operation and Use.** If the grant funds were not available, the applicant expects to otherwise continue to operate the equipment in Texas for at least the duration of the Contract Period and the applicant otherwise would not have planned to replace the equipment.

General Provisions

1. **Legal Authority.** The applicant has the legal authority in the State of Texas to apply for the grant. The applicant's governing body has authorized the filing of the application, understands these requirements and certifications, and has authorized the person identified as the Authorized Official to act in connection with the application and to provide such additional information as may be required.
2. If awarded a grant, the applicant certifies that it will provide written notification to TCEQ within 30 calendar days of any termination of use, change in use, sale, transfer, or accidental or intentional destruction of grant-funded equipment during the activity life. The applicant further agrees that TCEQ may be entitled to the return of all or a prorated share of the grant funds for any loss of emissions reductions compared with the emissions reductions projected in awarding the grant.
3. **Texas Grant Management Standards.** In accordance with Chapter 783 of the Texas Government Code, if the applicant is a local government, state entity, or political subdivision, it will comply fully with the Texas Grant Management Standards (TxGMS). This includes compliance with the relevant sections of TxGMS when procuring goods and services under a resulting contract. For all other applicants, the selected items of cost of TxGMS apply to any resulting contract. These documents are available at: <https://www.comptroller.texas.gov/purchasing/grant-management/>.
4. **Procurement of Goods and Services.** If this application results in a contract, all procurement transactions made with (or to be reimbursed by) grant funds must be conducted in a manner providing full and open competition. All purchase decisions must be based on sound business decisions and arm's length bargaining, and purchases must be made without any real or apparent personal or organizational conflicts of interest as described in TxGMS.
5. **Conflict of Interest.** The applicant has not given, offered to give, nor intends to give any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant in connection with the submitted application.
6. **Nondiscrimination.** The applicant will comply with all state and federal statutes relating to nondiscrimination. If the applicant is an employer under the Texas Labor Code, it must not discriminate on the basis of race, color, disability, religion, sex, national origin, age, or genetic information in its employment decisions.
7. **Grant Administration.** The applicant will maintain an appropriate grant administration system to ensure that they meet all terms, conditions, and specifications of the grant, including these certifications and assurances.
8. **Audit.** Acceptance of funds under this program acts as acceptance of the authority of the State Auditor's Office, or any successor agency, to conduct an audit or investigation in connection with those funds. The applicant or other entity that may receive funds directly or indirectly from TCEQ must provide the state auditor with access to any information the state auditor considers relevant to the investigation or audit. The applicant will include this clause concerning the authority to audit funds received indirectly and the requirement to cooperate in any subcontract it awards.
9. **Debt to the State.** The applicant is not indebted to the state nor has an outstanding tax delinquency. The applicant must comply with all state and federal tax laws and fee requirements and is solely responsible for filing all state and federal tax and fee forms.
10. **Contract.** The applicant understands that a PDF of the draft contract may be viewed and downloaded from the [NTIG webpage](#). The draft contract is for reference only and contains terms and conditions which are standard provisions for grants awarded under this program. Any requested changes to the draft contract must be received by TCEQ no later than the date of the submission of this application. However, the applicant further understands that TCEQ will not normally change the contract language in response to individual requests from grant recipients and is under no obligation to do so. TCEQ reserves the right to modify the draft contract terms as necessary due to statutory rule, or policy changes. Modifications will be posted to the [NTIG webpage](#) and the Electronic State Business Daily.
11. **Contracting with an Executive of a State Agency.** Under Section 669.003 of the Texas Government Code, relating to contracting with an executive head of a state agency, applicant represents that no person who, in the past four years, served as an executive of TCEQ or any other state agency, was involved with or has any interest in this application. If the applicant employs or has used the services of a former executive head of TCEQ or other state agency, the applicant shall provide the following information: name of former

executive, name of state agency, date of separation from state agency, position with applicant, and date of employment with applicant.

12. **Debarment.** The applicant certifies that the applying entity and its principals are eligible to participate in this transaction and have not been subjected to suspension, debarment, or similar ineligibility determined by any federal, state, or local governmental entity. The applicant also certifies that it and its principals are not listed on the State of Texas Debarred Vendor List maintained by the Texas Comptroller of Public Accounts, or the System for Award Management (SAM) maintained by the General Services Administration as authorized by Executive Order No. 13224, "Blocking Property and Prohibiting Transactions with Persons Who Commit, Threaten to Commit, or Support Terrorism," published by the U.S. Department of Treasury, Office of Foreign Assets Control.
13. **Abortion Funding Limitation.** The applicant represents and warrants it is not an abortion provider or an affiliate of an abortion provider under Chapter 2273 of the Texas Government Code, Prohibited Transactions.
14. **COVID-19 Vaccine Passport Prohibition.** Under Section 161.0085 of the Texas Health and Safety Code, the applicant certifies that it is not ineligible to receive funds.
15. **Disclosure Protections for Certain Charitable Organizations.** If the applicant is a governmental entity, it represents and warrants that it will comply with Section 2252.906 of the Texas Government Code, relating to disclosure protections for certain charitable organizations, charitable trusts, and private foundations.
16. **Public Camping Ban.** If the applicant is a local entity, it certifies and affirms that it has not received a final judicial determination finding it intentionally adopted or enforced a policy that prohibited or discouraged the enforcement of a public camping ban in an action brought by the Attorney General under Local Government Code Section 364.003. If the applicant is currently being sued under this provision, it must disclose the lawsuit and its current posture to TCEQ.
17. The applicant has not been adjudicated during the preceding three-year period to have committed substantive, non-clerical violations resulting in an actual release of hazardous waste or any other environmental permit violations that presented an imminent and substantial danger to the public health and safety or the environment.

Section 7: Project Summary

1. Applicant Information

Applicant Legal Name (Must match Section 1)	
Applicant Type	
Applicant Mailing Address (Street or PO Box)	
Applicant City, State, and Zip Code	

2. Project Information

Project Location Street Address	
Project Location City, State, Zip Code	
Project Location County	
Project Type	
Project Totals (This number should match Section 5: Project Budget Summary)	
Total Requested Grant Amount (This number should match Requested Grant Amount in Section 5: Project Budget Summary)	

3. Authorized Official

The applicant or an employee of the applicant who has the legal authority to sign on behalf of the entity.

I hereby certify to the best of my knowledge and belief that all information provided in this application and any attachments is true and correct, including any representations made by a third-party preparer. My signature also constitutes acceptance of the certifications in Section 6 of this application, the terms of the RFGA, and any other changes posted through addenda on the Electronic State Business Daily. **I understand that failure to sign the application will make this application ineligible. I understand that any false statement may cause the submitted application to be ineligible, may make any resulting contracts voidable, and may subject me to criminal or civil penalties.**

Printed Name of Authorized Official	
Authorized Official Title	
Signature of Authorized Official*	
Date of Signature	

*If using an electronic signature instead of a typed signature, please complete the entire application before signing electronically. The ability to add, edit, or remove information will not be available after the application is electronically signed.

The application, signed by the Authorized Official, must be received by the application deadline or the application will not be accepted.

Personal Information Policy: Individuals are entitled to request and review their personal information that the agency gathers on its forms. Individuals may request to have their provided personal information updated. To review such information, contact TERP program staff at TERP@tceq.texas.gov or 1-800-919-TERP (8377).

Section 8: Application Checklist

All applications for funding must be submitted within the application submission period listed on the cover page of the RFGA. A complete application must include:

- all pages of the application
- all required attachments
- applicant’s contact information
- all required signatures
- any additional supporting documentation

Application Section Checklist (All Applications)

All sections of the application **must be completed entirely**.

Mark each box below to certify that you have **fully completed** the indicated section and that **you have signed all sections requiring a signature**.

Section 1: Applicant Information	
Section 2: Third-Party Preparer Signature Page Signature Required only if prepared by a third-party.	
Section 3: Certification of Eligibility to Receive a State-Funded Grant	
Section 4: Health/Safety and Environmental Impact Certification	
Section 5: Project Budget Summary Signature Required.	
Section 6: Program Certifications Read and include with the application.	
Section 7: Project Summary Signature Required.	
Section 8: Application Checklist Read and include with application.	

Required Attachments Checklist (All Applications)

<u>IRS Form W-9</u> Download, fill out entirely, and include with the application. Signature Required.	
Copy of State or Federal Identification Card Include only if applying as an Individual or Sole Proprietor.	
Project Proposal Submit a proposal using the instructions for your project type.	

Supplemental Forms (if applicable)

Supplemental Form 1: Waiver Request Include with application. Signature Required.	
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Section 9: Application Submission Instructions

Electronic Application Submissions

Applications may be submitted electronically using the method listed below. It is preferable that the application and its attachments be submitted as a single PDF, but it is not required. If the attachments for an application will be submitted as separate files, each attachment must be grouped by activity and clearly labeled with the activity number at the top of each page.

Submitting Applications via TCEQ's FTPS Server. Applications may be submitted securely by uploading the file to [TCEQ's FTPS Server](#) and selecting the share file(s) button. Enter NTIG-Apply@tceq.texas.gov as the email address. See [detailed directions for using TCEQ's FTPS site](#). **Please note: Applications uploaded to TCEQ's FTPS server without completing the share file(s) step will not be considered as submitted.** [Learn how to create an account and submit your application via the FTPS site in this step-by-step video guide](#). It is preferable that the application and its attachments be submitted as a single PDF, but it is not required. If the attachments for an application are submitted as separate files, each attachment must be grouped by activity and clearly labeled with the activity number at the top of each page.

Physical Application Submissions

Applications may also be submitted by mailing a physical copy to one of these addresses:

Standard Mail:

Texas Commission on Environmental Quality
Air Grants Division, MC-204 (NTIG)
P.O. Box 13087
Austin, TX 78711-3087

Express Mail:

Texas Commission on Environmental Quality
Air Grants Division, MC-204 (NTIG)
12100 Park 35 Circle, Building F, 1st Floor, Suite 1301
Austin, TX 78753