

Seaport and Rail Yard Areas Emissions Reduction (SPRY) Program

Project Application Form
TCEQ-20556
Solicitation No. 582-22-31151



A PROGRAM OF TCEQ

Non-Attainment Areas and Affected Counties:

Austin Area: Bastrop, Caldwell, Hays, Travis, and Williamson Counties

Beaumont-Port Arthur Area: Hardin, Jefferson, and Orange Counties

Corpus Christi Area: Nueces and San Patricio Counties

Dallas-Fort Worth Area: Collin, Dallas, Denton, Ellis, Henderson, Hood, Hunt, Johnson, Kaufman, Parker, Rockwall, Tarrant, and Wise Counties

El Paso Area: El Paso County

Houston-Galveston-Brazoria Area: Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, and Waller Counties

San Antonio Area: Bexar, Comal, Guadalupe, and Wilson Counties

Tyler-Longview Area: Gregg, Harrison, Rusk, Smith, and Upshur Counties

Applicants must commit to operating the grant-funded equipment at one or more of the designated seaports or rail yards for a minimum of 200 days per year for the duration of the five-year Activity Life. Refer to Section 2.2 of the RFGA for the list of eligible Seaports and Rail Yards that qualify under this grant program.

Eligible Applicants: Eligible applicants includes a person or entity that owns or leases an eligible on-road vehicle, non-road yard truck, or other cargo-handling equipment.

Eligible Activities: Applications may be submitted to replace or repower drayage and cargo handling equipment at seaports and rail yards located within the air quality non-attainment areas or affected counties of Texas. The vehicle and engine being purchased must be certified to the current federal emissions standards applicable to that vehicle or engine. Refer to Section 2.0 of the RFGA for eligibility criteria.

Application Deadline: Applications will be accepted until 5:00 p.m. Central Time on November 22, 2022. Ten (10) activities max per application. Applications may be submitted via electronic mail to TERPapply@tceq.texas.gov or by mail to one of the addresses below.

Regular Post Delivery

Texas Commission on Environmental Quality
Air Grants Division
SPRY, MC-204
P.O. Box 13087
Austin, Texas 78711-3087



Express Delivery

Texas Commission on Environmental Quality
Air Grants Division
SPRY, MC-204
12100 Park 35 Circle
Austin, Texas 78753

Form 1: Applicant Information

1. Applicant Legal Name

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2. Business Information

Ownership Code (Business Type):	
Payee Identification Number (FEI or SSN):	
Does the Applicant meet the TERP Small Business definition? (see RFGA)	N/A

3. Authorized Official

The applicant or an employee who has legal authority to sign for and speak on behalf of the entity.

Prefix		First		MI		Last		Suffix	
Title									
Primary Phone				Secondary Phone					
E-mail Address									
Mailing Address									
City				State			Zip Code		
Check here if the physical address is the same as the mailing address.									<input type="checkbox"/>
Physical Address									
City				State			Zip Code		

4. Designated Project Representative

The applicant or an employee who will serve as the point of contact for this application.

Check here if the Designated Project Representative is the same as the Authorized Official									<input type="checkbox"/>
Prefix		First		MI		Last		Suffix	
Title									
Primary Phone				Secondary Phone					
E-mail Address									
Mailing Address									
City				State			Zip Code		
Check here if the physical address is the same as the mailing address.									<input type="checkbox"/>
Physical Address									
City				State			Zip Code		

5. Designated Location for Records Access and Review by the TCEQ or its Representative

Please provide the physical address where records relating to this project may be accessed and reviewed.

Physical Address									
City				State			Zip Code		

Form 2: Third-Party Preparer Signature Page

1. Was this application prepared by a third-party? Yes No

A third-party preparer is someone who is assisting in the preparation of the grant application, but who is not related to or a current employee of the applicant.

2. Third-Party Preparer Certification.

I hereby certify that, to the best of my knowledge and belief, all information provided in this application and any attachments is true and correct, as represented to me by the applicant. I understand that failure to sign the application or signing it with a false statement may make the submitted offer or any resulting contracts voidable.

Third-Party Preparer Information.

Printed Name:	
Title:	
Company Name:	
Street Address:	
City, State, Zip Code:	
Phone Number:	
Email Address:	
Signature*:	
Date of Signature:	

*Please fill out the entire application before completing with an electronic signature. The ability to edit, add, or remove information will be removed after the application is electronically signed.

Form 3: Program Certifications

This section includes specific requirements and statements for funding under SPRY. These terms apply to any contract awarded by TCEQ from this application. TCEQ urges applicants to download a copy of the example grant contract from www.terpgrants.org and review it so that any questions can be discussed early in the application review process. TCEQ will not normally change the contract language to deal with individual requests from grant recipients.

By signing this application, you understand and certify compliance with all the statements below, as well as with any state statutes, regulations, policies, guidelines, and requirements as they relate to the application, acceptance, and use of funds for this project. **If any of these certifications change between submittal of the application and award of a contract, you will promptly notify TCEQ.**

Equipment and Activity Certifications

1. Ownership. The equipment has been continuously owned by the applicant for the two years immediately preceding the application signature date. For on-road vehicles, the applicant has been listed on the front of the title document for the two years immediately preceding the application signature date.

2. Operation & Registration. The equipment has been continuously located and used in Texas for the two years immediately preceding the application signature date. In addition, on-road vehicles have been continuously registered for operation in Texas for the two years immediately preceding the application signature date. Applicants with apportioned registration must submit a Quarterly Mileage Summary Form that includes accurate dates and miles driven in each registered state.

3. Condition. The equipment is currently in good operating condition and capable of performing its primary function in the routine operations of the applicant at the time of application signature. To the best of the applicant's knowledge, the equipment is capable of continuing to perform its primary function for at least five years from the application signature date, taking into account normal maintenance, repairs, and upkeep.

4. Continued Operation and Use. If the grant funds were not available, the applicant expects to otherwise continue to operate the equipment in Texas for at least five years from the application signature date, and the applicant otherwise would not have planned to replace the equipment.

5. Destruction. The applicant has the legal authority to complete the approved method of destruction (disposition) of the equipment or engine being replaced. The old equipment, including the engine, must be rendered permanently inoperable within 90 days of receiving financial reimbursement.

6. Not Otherwise Required. To the best of the applicant's knowledge, the proposed activities are not required by any state or federal law, rule or regulation, memorandum of agreement, or other legally binding contract.

7. No Emissions Reductions Credits. Activities funded under this program are not eligible to generate marketable credits under state or federal emissions reduction credit averaging, banking, or trading programs. If the project is funded, the applicant waives, for all time, its right to claim or apply for any emissions reduction credits from the use of the low-emission technology funded under this SPRY program.

8. Not to Exceed 100% of Equipment Cost. The amount of the SPRY grant award plus any other public financial assistance, tax credits or deductions, or other grants may not exceed the total capital cost of the equipment or vehicles.

9. Failure to achieve the NOX emissions reductions projected in the designated eligible counties for this project may require the grantee to return all or a share of the grant funds. Emission reductions will be based on the grant equipment being used for the percentage of annual hours, miles, or fuel use that occurs in the eligible counties, as designated in the application for that activity. Failure to operate the grant equipment for the percentage of annual use in the eligible counties as designated in the application may result in the TCEQ requiring the return of all or a share of the grant funds.

10. Requirement to Monitor. The applicant will monitor the use of the grant-funded equipment or infrastructure over the designated Activity Life. The applicant agrees to provide information on the use of the equipment upon request of the TCEQ.

11. Insurance Coverage. The applicant will maintain, for the term of the Activity Life, property loss insurance or self-insurance coverage on any equipment or infrastructure acquired, leased, repowered, retrofitted, or constructed using these funds, in an amount enough to reimburse the grant award.

Continued on next page

12. Legal Authority. The applicant has the legal authority in the State of Texas to apply for the grant. The applicant's governing body has authorized the filing of the application, understands these requirements and certifications, and has authorized the person identified as the Authorized Official to submit this application and to provide such additional information as may be required.

13. The applicant will notify the TCEQ of any termination of use, change in use, sale, transfer, or destruction of grant-funded equipment during the activity life. The applicant further agrees that, during the activity life, the TCEQ may be entitled to the return of all or a share of the grant funds for any loss of emissions reductions compared with the emissions reductions projected in awarding the grant.

Administrative and State Contracting Certifications

14. Uniform Grant Management Standards. In accordance with Chapter 783, Texas Government Code, if the applicant is a local government, federal or state entity, or political subdivision, it will comply fully with the Uniform Grant Management Standards (UGMS), including its successor guidance, Texas Grant Management Standards (TxGMS), the terms of which shall control effective January 1, 2022. This includes compliance with UGMS Parts II and III when procuring goods and services under a resulting contract. For all other applicants, the cost principles of UGMS/TxGMS will apply to any resulting contract. These documents are available at: <http://www.comptroller.texas.gov/purchasing/grant-management/>.

15. Procurement of Goods and Services. If this application results in a contract, all procurement transactions made with (or to be reimbursed by) grant funds must be conducted in a manner providing full and open competition; all purchases decisions must be based on sound business decisions and arm's length bargaining; and purchases must be made without any real or apparent personal or organizational conflicts of interest as described in UGMS/TxGMS.

16. Conflict of Interest. The applicant has not given, offered to give, nor intends to give any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant in connection with the submitted application.

17. Nondiscrimination. The applicant will comply with all State and Federal statutes relating to nondiscrimination.

18. Grant Administration. The applicant will maintain an appropriate grant administration system to ensure that all terms, conditions, and specifications of the grant, including these certifications and assurances, are met.

19. Audit. Acceptance of funds under this program acts as acceptance of the authority of the State Auditor's Office, or any successor agency, to conduct an audit or investigation in connection with those funds. The applicant or other entity that may receive funds directly or indirectly under SPRY must provide the state auditor with access to any information the state auditor considers relevant to the investigation or audit. The applicant will include this clause concerning the authority to audit funds received indirectly, and the requirement to cooperate in any subcontract it awards.

20. Debt to the State. The applicant is not indebted to the state or has an outstanding tax delinquency. The applicant must comply with all State and Federal tax laws and fee requirements and is solely responsible for filing all State and Federal tax and fee forms.

21. Contract. The applicant understands that a copy of the contract shell is available from the TCEQ, including a copy posted on the TCEQ's web site at www.terpgrants.org. The applicant further understands that the TCEQ will not normally change the contract language to deal with individual requests from grant recipients.

22. Contracting with an Executive of a State Agency. Under Texas Government Code Section 669.003, relating to contracting with an executive of a state agency, the applicant represents that no person who, in the past four years, served as an executive of the TCEQ or any other state agency, was involved with or has any interest in this application. If the applicant employs or has used the services of a former executive head of TCEQ or other state agency, then the applicant shall provide the following information: name of the former executive, name of the state agency, date of separation from a state agency, position with the applicant, and date of employment with the applicant.

23. Debarment and Excluded Parties. Applicant certifies that the applying entity and its principals are eligible to participate in this transaction and have not been subjected to suspension, debarment, or similar ineligibility determined by any federal, state, or local governmental entity. The applicant also certifies that it is not listed in the prohibited vendors lists authorized by Executive Order No. 13224, "Blocking Property and Prohibiting Transactions with Persons Who Commit, Threaten to Commit, or Support Terrorism," published by the U.S. Department of Treasury, Office of Foreign Assets Control.

Continued on next page

24. Abortion Funding Limitation. The applicant represents and warrants that payments made by TCEQ to PERFORMING PARTY and PERFORMING PARTY's receipt of appropriated funds under the contract are not prohibited by Article IX, Section 6 of the General Appropriations Act, nor by Texas Government Code, Chapter 2273, *Prohibited Transactions*.

25. Under Section 2155.006 of the Texas Government Code, the applicant certifies that the individual or business entity named in this application is not ineligible to receive the specified contract and acknowledges that any contract resulting from this RFGA may be terminated and payment withheld if this certification is inaccurate.

26. The applicant has not been adjudicated during the preceding three-year period to have committed substantive, non-clerical violations resulting in an actual release of hazardous waste that presented an imminent and substantial danger to the public health and safety or the environment.

27. Applicant, nor any of its officers, have been adjudicated by a court of law to have violated the Texas Deceptive Trade Practices Act.

28. Under Section 161.0085 of the Texas Health and Safety Code, the applicant certifies that the individual or business entity named in this application is not ineligible to receive the specified contract.

If any of these certifications change between the submittal of the application and the award of a contract, the applicant will promptly notify TCEQ.

Form 4: Certification of Eligibility to Receive a State-Funded Grant

All applicants must complete this form to certify eligibility to receive a grant under this program, even if child support obligations do not apply to the applicant. Failure to submit this form may result in rejection of the application.

Certification Regarding Child Support Obligations.

Under Section 231.006, Texas Family Code, a child support obligor who is more than 30 days delinquent in paying child support and a business entity in which the obligor is a sole proprietor, partner, shareholder, or owner with an ownership interest of at least 25%, is not eligible to receive a state-funded grant or loan. All applicants must include in the application the name and social security number of the individual or sole proprietor and each partner, shareholder, or owner with an ownership interest of 25% of the business entity submitting the application.

FEDERAL PRIVACY ACT NOTICE: This notice is given pursuant to the Federal Privacy Act. Disclosure of your Social Security Number (SSN) is required under Section 231.006(c) and Section 231.302(c)(2) of the Texas Family Code. The SSN will be used to identify persons that may owe child support. The SSN will be kept confidential to the fullest extent allowed under Section 231.302(e), Texas Family Code.

Please Check One of the Following Applicant Options:

1. Individual or sole proprietorship	
2. One or more individuals own 25% or more of the business entity	
3. No individual owns 25% or more of the business entity	
4. Governmental entity	

If Option 1 or 2 is checked, list the name(s) and social security number(s) (SSN) below.

Name:		Social Security Number (SSN):	
Name:		Social Security Number (SSN):	
Name:		Social Security Number (SSN):	
Name:		Social Security Number (SSN):	
Name:		Social Security Number (SSN):	

I certify that to the best of my knowledge and belief that the individual or business entity submitting this application is eligible to receive a grant. I acknowledge that the grant contract may be terminated, and any payments withheld if this certification is inaccurate.

Initial*:		Date:	
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*Please fill out the entire application before using an electronic signature. The ability to edit, add, or remove information will be removed after the application is electronically signed.

Form 5: Equipment Information**1. Old Equipment Information.**

Equipment Type: (i.e. terminal tractor, rubber tire gantry crane, etc.)	
Emission Source: On-Road Vehicle or Non-Road Equipment	
Identification Number: Equipment ID or last four digits of VIN #	
Equipment Model Year:	
Equipment Make:	
Equipment Model:	
Gross Vehicle Weight Rating: On-road equipment only	
Engine Model Year:	
Engine Make:	
Engine Identification/Serial Number:	
Engine Model:	
Engine Horsepower Rating (bhp/hr): Non-road equipment only	
Fuel Type:	
Engine Family Code: 12-digit emissions code for engines 2003 and newer	
Federal NO_x Emissions Standard (g/bhp-hr):	
Historical Annual Usage: List average annual use for the last two years immediately preceding the application signature date and select a unit from the dropdown list.	

2. New Equipment Information.

Equipment Type: (i.e., terminal tractor, rubber tire gantry crane, etc.)	
Emission Source: On-Road Vehicle or Non-Road Equipment	
Equipment Model Year:	
Engine Model Year:	
GVWR: (On-road projects)	
Horsepower: (Non-road projects)	
Fuel Type:	
Federal NO_x Emissions Standard (g/bhp-hr):	

3. Requested Grant Amount. Use the Maximum Eligible Grant Amount tables on the program website to estimate your grant amount. Applicants may be eligible for up to the maximum grant amount listed for the type of activity or 80% of the eligible costs, whichever is less.

Maximum Eligible Grant Amount from the Table:	
Requested Grant Amount:	

Form 5: Equipment Information**1. Old Equipment Information.**

Equipment Type: (i.e. terminal tractor, rubber tire gantry crane, etc.)	
Emission Source: On-Road Vehicle or Non-Road Equipment	
Identification Number: Equipment ID or last four digits of VIN #	
Equipment Model Year:	
Equipment Make:	
Equipment Model:	
Gross Vehicle Weight Rating: On-road equipment only	
Engine Model Year:	
Engine Make:	
Engine Identification/Serial Number:	
Engine Model:	
Engine Horsepower Rating (bhp/hr): Non-road equipment only	
Fuel Type:	
Engine Family Code: 12-digit emissions code for engines 2003 and newer	
Federal NO_x Emissions Standard (g/bhp-hr):	
Historical Annual Usage: List average annual use for the last two years immediately preceding the application signature date and select a unit from the dropdown list.	

2. New Equipment Information.

Equipment Type: (i.e., terminal tractor, rubber tire gantry crane, etc.)	
Emission Source: On-Road Vehicle or Non-Road Equipment	
Equipment Model Year:	
Engine Model Year:	
GVWR: (On-road projects)	
Horsepower: (Non-road projects)	
Fuel Type:	
Federal NO_x Emissions Standard (g/bhp-hr):	

3. Requested Grant Amount. Use the Maximum Eligible Grant Amount tables on the program website to estimate your grant amount. Applicants may be eligible for up to the maximum grant amount listed for the type of activity or 80% of the eligible costs, whichever is less.

Maximum Eligible Grant Amount from the Table:	
Requested Grant Amount:	

Form 6: New Equipment Usage Area

Click here for additional pages

Check here if percent annual usage is the same for all activities.

Activity Number: _____

Identify the percent of your total annual usage that you will be operating the grant-funded equipment within one or more of the following eligible areas. For on-road projects, you must select either 50%, 75%, or 100%. For non-road projects, you must select either 75% or 100%. For all projects, if your percent of usage in an eligible area is not one of the provided percentages, round down to the nearest percentage (e.g., 85% would round down to 75%).

Non-Attainment and Affected Counties	Percent Usage
% of Annual Usage Austin Area: Bastrop, Caldwell, Hays, Travis, and Williamson	
% of Annual Usage Beaumont-Port Arthur Area: Hardin Jefferson, and Orange	
% of Annual Usage Corpus Christi Area: Nueces and San Patricio	
% of Annual Usage Dallas-Fort Worth Area: Collin, Dallas, Ellis, Henderson, Hood, Hunt, Johnson, Kaufman, Parker, Rockwall, Tarrant, and Wise	
% of Annual Usage El Paso Area: El Paso	
% of Annual Usage Houston-Galveston-Brazoria Area: Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, and Waller	
% of Annual Usage San Antonio Area: Bexar, Comal, Guadalupe, and Wilson	
% of Annual Usage Tyler-Longview Area: Gregg, Harrison, Rusk, Smith, and Upshur	
Total Annual Usage:	

Business Description and/or Daily Route Description for New Equipment:

Please provide a description of your business and how the equipment will be used in your routine operations.

For **on-road equipment**, please include your typical driving route (including the cities traveled to and highways/roadways traveled on). For **non-road equipment**, please include where the equipment will be used in its routine operation. If the equipment is primarily being used for natural gas recovery purposes, please indicate this below.

Form 6: New Equipment Usage Area

Click here for additional pages

Check here if percent annual usage is the same for all activities.

Activity Number: _____

Identify the percent of your total annual usage that you will be operating the grant-funded equipment within one or more of the following eligible areas. For on-road projects, you must select either 50%, 75%, or 100%. For non-road projects, you must select either 75% or 100%. For all projects, if your percent of usage in an eligible area is not one of the provided percentages, round down to the nearest percentage (e.g., 85% would round down to 75%).

Non-Attainment and Affected Counties	Percent Usage
% of Annual Usage Austin Area: Bastrop, Caldwell, Hays, Travis, and Williamson	
% of Annual Usage Beaumont-Port Arthur Area: Hardin Jefferson, and Orange	
% of Annual Usage Corpus Christi Area: Nueces and San Patricio	
% of Annual Usage Dallas-Fort Worth Area: Collin, Dallas, Ellis, Henderson, Hood, Hunt, Johnson, Kaufman, Parker, Rockwall, Tarrant, and Wise	
% of Annual Usage El Paso Area: El Paso	
% of Annual Usage Houston-Galveston-Brazoria Area: Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, and Waller	
% of Annual Usage San Antonio Area: Bexar, Comal, Guadalupe, and Wilson	
% of Annual Usage Tyler-Longview Area: Gregg, Harrison, Rusk, Smith, and Upshur	
Total Annual Usage:	

Business Description and/or Daily Route Description for New Equipment:

Please provide a description of your business and how the equipment will be used in your routine operations.

For **on-road equipment**, please include your typical driving route (including the cities traveled to and highways/roadways traveled on). For **non-road equipment**, please include where the equipment will be used in its routine operation. If the equipment is primarily being used for natural gas recovery purposes, please indicate this below.

Form 7: Annual Days of Operation at Eligible Seaports, Facilities, and Rail Yards

Applicants must have operated the old equipment in one or more of the designated seaports or rail yards for a minimum of 200 days per year (12-month period) for the two years (24-month period) immediately preceding the application signature date. For each activity, list the number of days in the two years preceding the application signature date that the applicant has operated the equipment at one or more of the eligible seaport terminals, participating facilities of the Houston Ship Channel Security District (HSCSD), or rail yards. One day of operation may include one or more trips to and/or from eligible terminals, facilities, or rail yards. For a complete list of eligible seaport and rail yard terminals, refer to Section 2.2 of the RFGA.

Activity Number:	Total days of operation in an eligible terminal, facility, or rail yard for the two years preceding the application signature date:	Terminals/Facilities/Rail Yards Visited:	Terminal or Rail Yard Address:
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			

Click here for additional pages:

Form 8: Apportioned Registration Quarterly Mileage Summary

(On-Road Vehicles with Apportioned Registration Only)

Activity Number: _____

For vehicles with apportioned registration, this form is required to document mileage in each registered state for the two years immediately preceding the application signature date. **Intentional falsification of this form will be prosecuted to the extent allowed under the law and may be used as an adverse factor in future grant selection decisions.**

Authorized Official Signature:		Date of Signature:	
Year:			
Quarter 1 (Jan / Feb / Mar)	Texas:	Outside Texas:	Quarter 1 Total:
Miles:			
Quarter 2 (April / Mar / June)	Texas:	Outside Texas:	Quarter 2 Total:
Miles:			
Quarter 3 (July / Aug / Sept)	Texas:	Outside Texas:	Quarter 3 Total:
Miles:			
Quarter 4 (Oct / Nov / Dec)	Texas:	Outside Texas:	Quarter 4 Total:
Miles:			
Year Total Miles:			

Year:			
Quarter 1 (Jan / Feb / Mar)	Texas:	Outside Texas:	Quarter 1 Total:
Miles:			
Quarter 2 (April / Mar / June)	Texas:	Outside Texas:	Quarter 2 Total:
Miles:			
Quarter 3 (July / Aug / Sept)	Texas:	Outside Texas:	Quarter 3 Total:
Miles:			
Quarter 4 (Oct / Nov / Dec)	Texas:	Outside Texas:	Quarter 4 Total:
Miles:			
Year Total Miles:			

Form 9: Disposition of Equipment/Engine Being Replaced

Unless otherwise approved by the TCEQ, a grant applicant must agree to dispose of equipment replaced under this program by the methods outlined in Section 2.8 of the RFGA.

1. Method of Disposition. Mark the proposed method of disposition below.

Standard Destruction: Complete destruction or otherwise rendering permanently inoperable by crushing the vehicle and engine or cutting a 3-inch or larger hole in the engine block on both sides and cutting both frame rails in half. If you are proposing to use the Standard Method of Disposition, continue to Form 10.	
Alternative Destruction: The TCEQ will consider alternative methods of rendering the vehicle permanently inoperable in lieu of the standard method of destruction. If you are proposing to use an Alternative Method of Destruction, continue to Sections 2 and 3 below.	

2. Alternative Destruction. If the applicant is proposing an Alternative Method of Destruction in lieu of the standard method of destruction outlined in Section 2.8 of the RFGA, explain in the space provided below.

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3. Activities Included.

Does this alternative disposition proposal apply to all the activities in this application?	
If No, list the activity numbers to which the proposal applies:	

Form 10: W-9

Form **W-9**
(Rev. October 2018)
Department of the Treasury
Internal Revenue Service

**Request for Taxpayer
Identification Number and Certification**

**Give Form to the
requester. Do not
send to the IRS.**

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type. See Specific Instructions on page 3.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes. <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions) ▶ _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the U.S.)</i>
	5 Address (number, street, and apt. or suite no.) See instructions.	Requester's name and address (optional)
6 City, state, and ZIP code		
7 List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number									
				-			-		
or									
Employer identification number									
				-					

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ▶	Date ▶

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

Form 11: Summary Page

Applicant Information

Applicant Legal Name:			
Applicant Type:			
FEI or SSN:		Emission Source:	
New Equipment Usage Area:		Requested Grant Amount:	
Total Number of Activities:		Activity Type:	
Mailing Address:			
City:		State:	Zip Code:
How did you hear about us?			

Authorized Official

The applicant or an employee of the applicant who has the legal authority to sign on behalf of the entity.

I hereby certify that to the best of my knowledge and belief, all information provided in this application and any attachments are true and correct. I certify that I have read the complete application after all forms and information were completed; I agree with the information provided, and the date provided below is the date I signed the form. I further understand that prior to incorporating these forms and information into a contract, the data and information may be revised by TCEQ for accuracy, and the acceptance of a contract will constitute agreement with those revisions. My signature also constitutes acceptance of the certifications in Form 3, the terms of the RFGA, and any other changes posted through addenda on the Electronic State Business Daily. Failure to sign the application or signing it with an incorrect statement may make the submitted offer or any resulting contracts voidable.

Printed Name of Authorized Official:	
Authorized Official Title:	
Signature of Authorized Official:	
Date of Signature:	

The application, signed by the Authorized Official, must be received by the application deadline, or the application will not be accepted.

Intentional falsification of these forms will be prosecuted to the extent allowed under the law and may be used as an adverse factor in future grant selection decisions.

Upon submission, all proposals become the property of the State of Texas and, as such, become subject to the Texas Public Information Act, Texas Government Code Chapter 552.

Personal Information Policy: Individuals are entitled to request and review their personal information that the agency gathers on its forms. Individuals may also have any errors in their information corrected.

To review such information, contact the TCEQ TERP program at TERP@tceq.texas.gov or 1-800-919-TERP (8377).

Required Attachments – Part 1

State or Federal Identification Card - Only applicable if applicant is an Individual or Sole Proprietor.

Please insert a copy of your State or Federal Identification Card in the provided box below. Picture or attachment must be clear and easily readable.

New Equipment Prior Purchase Documents – Only applicable if applicant has already purchased the new equipment.

If the equipment has already been purchased, provide the purchase, lease or financing agreement showing the price paid. Please use this upload feature to attach purchase, lease, or finance agreements for each activity purchased prior to your application submission.

New equipment purchases made before the opening of the grant application period may not be eligible.



Required Attachments – Part 2a: Color Photographs of Old Equipment

Exterior Equipment Photographs

Please upload color photographs into the boxes provided below of the front, right side, left side, and rear. The equipment photographs should be clear and readable.

Activity _____ Front -Select camera icon to upload your photograph

Activity _____ Rear -Select camera icon to upload your photograph



Required Attachments – Part 2a: Color Photographs of Old Equipment

Exterior Equipment Photographs

Please upload color photographs into the boxes provided below of the front, right side, left side, and rear. The equipment photographs should be clear and readable.

Activity _____ Front -Select camera icon to upload your photograph

Activity _____ Rear -Select camera icon to upload your photograph



Required Attachments – Part 2b: Color Photographs of Old Equipment

Exterior Equipment Photographs

Please upload color photographs into the boxes provided below of the front, right side, left side, and rear. The equipment photographs should be clear and readable.

Activity _____ Right Side -Select camera icon to upload your photograph

Activity _____ Left Side -Select camera icon to upload your photograph



Required Attachments – Part 2b: Color Photographs of Old Equipment

Exterior Equipment Photographs

Please upload color photographs into the boxes provided below of the front, right side, left side, and rear. The equipment photographs should be clear and readable.

Activity _____ Right Side -Select camera icon to upload your photograph

Activity _____ Left Side -Select camera icon to upload your photograph



Required Attachments – Part 2b: Color Photographs of Old Equipment

Exterior Equipment Photographs

Please upload color photographs into the boxes provided below of the front, right side, left side, and rear. The equipment photographs should be clear and readable.

Activity _____ Right Side -Select camera icon to upload your photograph

Activity _____ Left Side -Select camera icon to upload your photograph



Required Attachments – Part 2c: Color Photographs of Old Equipment

Engine Photographs

Please upload color photographs into the boxes provided below of the engine and engine plate of the old equipment. The engine plate should include the engine family code, engine model, engine serial number and be clear and readable.

Activity _____ Engine Right -Select camera icon to upload your photograph

Activity _____ Engine Left -Select camera icon to upload your photograph



Required Attachments – Part 2d: Color Photographs of Old Equipment

Engine Photographs

Please upload color photographs into the boxes provided below of the engine and engine plate of the old equipment. The engine plate should include the engine family code, engine model, engine serial number and be clear and readable.

Activity _____ Engine Plate -Select camera icon to upload your photograph

Activity _____ Engine Plate - Additional if needed -Select camera icon to upload your photograph



Required Attachments – Part 2d: Color Photographs of Old Equipment

Engine Photographs

Please upload color photographs into the boxes provided below of the engine and engine plate of the old equipment. The engine plate should include the engine family code, engine model, engine serial number and be clear and readable.

Activity _____ Engine Plate -Select camera icon to upload your photograph

Activity _____ Engine Plate - Additional if needed -Select camera icon to upload your photograph

Required Attachments – Part 3

On-Road Equipment Applications Only

Copy of Current Title or Lease Agreement for Old Equipment

Please use the "Attach Documents" button below to upload the old equipment title or lease agreement for each activity.

Copy of Registration Documents for Old Equipment

Please include documentation of continuous registration for the 12 months **immediately preceding the application signature date**.

NOTE: This may require 2 years of registration documents depending on your annual registration date.

Please use the "Attach Documents" button below to upload registration documents for each activity.

Note: To view all attachments, go to View>Show/Hide>Navigation Pane>Attachments.

Form 12: Application Checklist

1. Application Forms: Please ensure that you have read and attached all of the required documents. All pages that must be signed or initialed are indicated below. **If a signature page is missing or has been altered, the application will not be considered.**

Form 1	Applicant Information
Form 2	Third-Party Preparer (if applicable) Signature Required
Form 3	Program Certifications
Form 4	Certificate of Eligibility Initials required.
Form 5	Equipment Information
Form 6	New Equipment Usage Area
Form 7	Seaports and Rail Yards Usage
Form 8	Apportioned Registration Quarterly Mileage Summary Signature Required. (For apportioned registration only)
Form 9	Disposition
Form 10	W-9 For Instructions: https://www.irs.gov/pub/irs-pdf/fw9.pdf . The TCEQ requires applicants to complete the W-9 to certify their Taxpayer Identification Number (TIN). Signature Required
Form 11	Summary Page Signature Required.
Form 12	Application Checklist

2. Required Attachments: The following documents must be submitted with the application.

Copy of State or Federal Identification Card Only applicable if the applicant is an individual or sole proprietor.
Copy of Registration Documents for Old Equipment Include registration documentation covering the 12 months immediately preceding the application signature date.
Copy of Title or Lease Agreement for Old Equipment Include documentation of ownership for the 2 years immediately preceding the application signature date.
Color Photographs of Old Equipment Include photos of the tires, front, sides, and rear view, a picture of the entire engine, with the engine plate visible if possible.

Application Submission

Please confirm that you have completed all requirements for your Seaport and Rail Yard Areas Emissions Reduction (SPRY) Program application. To submit your application, including uploaded attachments, and photographs, please do the following:

Step 1 - Press the Save My Application Button to compile your application in preparation for email. Choose Reduced File Size and save your file with 'FY22 SPRY' and your legal name as your file name.

Step 2 - Email your saved application to TERPapply@tceq.texas.gov. Please include 'FY22 SPRY' and your legal name in the subject line. Submit one (1) email including your FY22 SPRY application and any attachments.

Helpful Tips and Tools:

Is your file too large to email?

- Adobe Acrobat Pro Users: Choose File, then Save As Other, then Optimized PDF instead of Reduced File Size as stated instated in Step 1 above. Remember, if you choose to optimize your application, your attachments will not be included with your application and will need to be included with your email instead. To avoid a large SPRY application file, confirm that your submitted documents and pictures are no larger than 500 KB each.
- Free Adobe PDF Compress tool: Browse to free tool located at <https://www.adobe.com/acrobat/online/compress-pdf.html> website, press the blue 'Select a file' button to upload your SPRY application and follow the directions. Download your final compressed SPRY application files, save your file with the program year and program acronym with your legal name as your file name. Example: FY22 SPRY Your Legal Name. Email your saved application to TERPapply@tceq.texas.gov

Need help to view, delete, or upload additional attachments?

- In Adobe Acrobat reader or Pro, choose View, then Show/Hide, then Navigation Pane, then Attachments.

Please contact TERP@tceq.texas.gov if you have questions or need help with your application.