



Application Form

Freight Switcher Locomotive

Replacement, Repower, and Refueling Infrastructure Projects

Texas Volkswagen Environmental Mitigation Program (TxVEMP)
Texas Commission on Environmental Quality (TCEQ)
Solicitation No. 582-23-44687-VW

If you have questions on how to fill out this project application, please contact us at (833) 215-TXVW or VWSettle@tceq.texas.gov.

Esta es la Aplicación de Subvención para el Programa de Mitigación Ambiental de Texas (TxVEMP por sus siglas en inglés). Debe llenar y enviar esta aplicación para solicitar una subvención. Comuníquese al 833-215-TXVW (8989) para obtener ayuda con esta solicitud.

TCEQ invites applications under TxVEMP to replace and repower older freight switcher locomotives with new, all-electric models.

Replacement and Repower Projects: This project application form should be completed only by applicants seeking to replace or repower **freight switcher locomotives**.

Infrastructure Projects: Applicants upgrading or replacing a freight switcher locomotive under this grant program may request additional funding for onsite refueling infrastructure to fuel one or more of the qualifying locomotives.

Application Submission Limitations: Please be aware that applicants will be subject to application submission limitations as described in the Request for Grant Applications (RFGA). Applicants are limited to submitting no more than 10 activities in a single application, and TCEQ reserves the right to limit the award of more than 50% of a funding allocation to a single applicant. For the purposes of these limitations, an applicant includes an individual or business and all their associated legal affiliates.

Application Completeness: All applications for funding must be substantially complete and must be submitted by the application deadline. Submission of a grant application that is not substantially complete may be disqualified from consideration under this RFGA.

Application Deadline: As long as funding is available, applications will be accepted for consideration on a first-come, first-served basis during this grant period only if received by TCEQ no later than 5 p.m., Central Time, August 31, 2026. **Please see Section 13 of this application for application submission instructions.**

Key Events	Date
Freight Switcher Applications Open	August 13, 2025
Application Submission Deadline	Aug. 31, 2026

Section 1: Freight Switcher Locomotive Project Criteria and Description

Freight Switcher Locomotive Project Criteria

Applicants seeking to replace or repower qualifying freight switcher locomotives must meet the conditions found in Section 6 of this application for program requirements and eligibility criteria. Additional freight switcher locomotive project criteria are in Sections 2.2 and 2.4 of the RFGA.

1. Locomotive Project Type: Replacement or Repower

Replacement projects and repower projects must be submitted on separate applications. Applicants may submit more than one application under this solicitation. Eligible freight switchers include pre-Tier 4 switcher locomotives that operate 1,000 or more hours per year. Replacement projects involve replacing older locomotives with newer and cleaner models. Repower projects involve replacing the existing engine(s) on an eligible locomotive with a new engine(s). Refer to Sections 2.5 and 2.6 of the RFGA for more information.

Indicate the project type by checking only one of the boxes below.

Replacement Project	<input type="checkbox"/>
Repower Project	<input type="checkbox"/>

2. Project and Business Description

In the space below, please provide a detailed description of the proposed replacement or repower project. Include a description of your business and how the equipment is used in your routine operations. Any grant-funded equipment must be intended for the same or a similar purpose as the equipment being replaced.

Section 2: Applicant Information

1. Legal Name of Entity Applying for the Grant

If selected for a grant, the legal name of the applicant will be used for contracting purposes.

Applicant Legal Name (Must match W-9 Form)	
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2. Business Information

All business entities such as corporations or partnerships must have an active registration with the Texas Secretary of State by August 13, 2025, 5 p.m. CT. Businesses must maintain an active registration for the contract period.

Ownership / Business Type	
Federal Employer Identification Number (FEI)	
Social Security Number (SSN) Individuals and Sole Proprietors only	

3. Authorized Official (AO)

The applicant or an employee who has legal authority to sign for and speak on behalf of the entity.

AO Prefix	
AO First Name	
AO Middle Initial	
AO Last Name	
AO Suffix (If applicable)	
AO Title	
AO Primary Phone Number	
AO Cell Phone Number	
AO Email Address	
AO Mailing Address (Street or PO Box)	
AO City, State, and Zip Code	

4. Designated Project Representative (DPR)

The applicant or an employee who will serve as the point of contact for this application.

Is the DPR the same person as the AO? (If the DPR is the same as the AO, select Yes and continue to Section 2.)	
DPR Prefix	
DPR First Name	
DPR Middle Initial	
DPR Last Name	
DPR Suffix (If applicable)	
DPR Title	
DPR Primary Phone Number	
DPR Cell Phone Number	
DPR Email Address	
DPR Mailing Address (Street or PO Box)	
DPR City, State, and Zip Code	

Section 3: Third-Party Preparer Signature Page

1. Third-Party Preparer

A third-party preparer is someone other than the applicant or an employee of the applicant.

Was this application prepared by a third party?	
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2. Third-Party Preparer Certification

I hereby certify to the best of my knowledge and belief that all information provided in this application and any attachments is true and correct, as represented to me by the applicant. I understand that failure to sign the application will make this application ineligible. I understand that making a false statement may make the submitted application ineligible, may make any resulting contracts voidable, and may subject me to criminal and civil penalties.

3. Third-Party Preparer Information

Third-Party Preparer Printed Name (First, Last)	
Title	
Company Name	
Mailing Address (Street or PO Box)	
City	
State	
Zip Code	
Primary Phone Number	
Cell Phone Number	
Email Address	
Third-Party Preparer Signature*	
Third-Party Preparer Signature Date	

*If using an electronic signature, please complete the entire application before signing electronically. The ability to edit, add, or remove information will not be available after the application is electronically signed.

Section 4: Certification of Eligibility to Receive a State-Funded Grant

All applicants must complete this section of the form to certify eligibility to receive a grant under this program, even if child support obligations do not apply to the applicant. Failure to submit this form may result in rejection of the application.

Certification Regarding Child Support Obligations.

Under Section 231.006, Texas Family Code, a child support obligor who is more than 30 days delinquent in paying child support and a business entity in which the obligor is a sole proprietor, partner, shareholder, or owner with an ownership interest of at least 25%, is not eligible to receive a state-funded grant or loan. All applicants must include in the application the name and social security number of the individual or sole proprietor and each partner, shareholder, or owner with an ownership interest of 25% or more of the business entity submitting the application.

FEDERAL PRIVACY ACT NOTICE: This notice is given pursuant to the Federal Privacy Act. Disclosure of your Social Security Number (SSN) is required under Section 231.006(c) and Section 231.302(c)(3) of the Texas Family Code. The SSN will be used to identify persons that may owe child support. The SSN will be kept confidential to the fullest extent allowed under Section 231.302(e), Texas Family Code.

Please Check One of the Following Applicant Options (Check only one).

1. Individual or Sole Proprietor	<input type="checkbox"/>
2. One or more individuals own 25% or more of the business entity	<input type="checkbox"/>
3. No individual owns 25% or more of the business entity	<input type="checkbox"/>
4. Governmental Entity	<input type="checkbox"/>

If Option 1 or 2 is checked above, list the name(s) and social security number(s) (SSN) below.

Name		Social Security Number (SSN)	
Name		Social Security Number (SSN)	
Name		Social Security Number (SSN)	
Name		Social Security Number (SSN)	
Name		Social Security Number (SSN)	

By signing this application in Section 12: Project Summary Page, the applicant certifies that the individual or business entity named in this application is not ineligible to receive a grant under Section 231.006 of the Texas Family Code, and acknowledges that any contract may be terminated, and any payments withheld if this certification is inaccurate.

Section 5: General Certifications

This section includes specific requirements and statements for funding under the TxVEMP Grants Program. These terms apply to any contract awarded by TCEQ from this application. The TxVEMP Grants Program RFGA and the draft contract, located on the [TxVEMP Grants Program webpage](#), contain additional terms and conditions that the applicant should review before submitting an application.

By signing this application, you understand and certify compliance with all the statements below, as well as with any state statutes, regulations, policies, guidelines, and requirements as they relate to the application, acceptance, and use of funds for this project. A waiver may be requested for the ownership and use requirements under Appendix B in the RFGA.

If any of these certifications change after submittal of the application, you will provide prompt written notification to TCEQ within three (3) business days of becoming aware of the change. Failure to notify TCEQ and/or any changes to your certifications may make the application ineligible and may make any resulting contracts voidable.

Equipment and Activity Certifications

1. **Ownership.** The equipment the applicant proposes to replace or repower has been continuously owned by the applicant for the two years immediately preceding the application signature date.
2. **Operation and Registration.** The equipment has been continuously located and used in Texas for the two years immediately preceding the application signature date. If eligible, the equipment has also been registered for operation in Texas for the preceding two years.
3. **Condition.** The equipment is in good operating condition and capable of performing its primary function in the routine operations of the applicant at the time of application signature. To the best of the applicant's knowledge, the equipment is capable of continuing to perform its primary function for at least five years from the application signature date, taking into account normal maintenance, repairs, and upkeep.
4. **Continued Operation and Use.** If the grant funds were not available, the applicant expects to otherwise continue to operate the equipment in Texas for at least five years from the application signature date, and the applicant otherwise would not have planned to replace the equipment.
5. **Disposition.** The applicant has the legal authority to complete the approved method of disposition of the equipment or engine being replaced. If selected for a grant, the grantee is responsible for completing the disposition of the old equipment and engines being replaced before the reimbursement payment will be issued by TCEQ.
6. **Not Otherwise Required.** To the best of the applicant's knowledge, the proposed activities are not required by any state or federal law, rule or regulation, memorandum of agreement, or other legally binding contract.
7. **No Emissions Reductions Credits.** Activities funded under this program are not eligible to generate marketable credits under state or federal emissions reduction credit averaging, banking, or trading programs. If the project is funded, the applicant waives, for all time, its right to claim or apply for any emissions reduction credits from the use of the equipment or low-emission technology funded under the TxVEMP Grants Program.
8. **Not to Exceed 100% of Equipment Cost.** The amount of the TxVEMP grant award plus any other public financial assistance, tax credits or deductions, or other grants may not exceed the total eligible costs of the equipment.
9. **Duty to Use, Maintain, and Repair Equipment.** If awarded a grant, the applicant agrees to replace or repower the old equipment and purchase new equipment as described in this application. The applicant agrees to use any grant-funded equipment as described in this application. The applicant will maintain and repair any grant-funded equipment to ensure it remains in good operating condition during the Activity Life.
10. **Requirement to Monitor.** The applicant will monitor and log the use of the grant-funded equipment over the designated Activity Life. The applicant agrees to provide all required information on the use of the equipment upon request of TCEQ.
11. **Insurance Coverage.** The applicant will maintain, for the term of the Activity Life, property loss insurance in an amount equal or greater than the grant award and any other types of insurance required under a TxVEMP contract, or self-insurance coverage (for government entities only) on any equipment acquired, leased, repowered, or constructed using these funds.

Administrative and State Contracting Certifications

1. **Legal Authority.** The applicant has the legal authority in the State of Texas to apply for the grant. The applicant's governing body has authorized the filing of the application, understands these requirements and certifications, and has authorized the person identified as the Authorized Official to act in connection with the application and to provide such additional information as may be required.
2. **Emission Reductions.** If awarded a grant, the applicant certifies that it will provide written notification to TCEQ within 30 calendar days of any termination of use, change in use, sale, transfer, or accidental or intentional destruction of grant-

funded vehicles during the activity life. The applicant further agrees that TCEQ may be entitled to the return of all or a prorated share of the grant funds for any loss of emissions reductions compared with the emissions reductions projected in awarding the grant.

3. Texas Grant Management Standards. In accordance with [Chapter 783, Texas Government Code](#), if the applicant is a local government, state entity, or political subdivision, it will comply fully with the [Texas Grant Management Standards \(TxGMS\)](#). This includes compliance with the relevant sections of TxGMS when procuring goods and services under a resulting contract. For all other applicants, the selected items of cost of TxGMS apply to any resulting contract.

4. Procurement of Goods and Services. If this application results in a contract, all procurement transactions made with (or to be reimbursed by) grant funds must be conducted in a manner providing full and open competition. All purchase decisions must be based on sound business decisions and arm's length bargaining. Purchases must be made without any real or apparent personal or organizational conflicts of interest as described in TxGMS.

5. Conflict of Interest. The applicant has not given, offered to give, nor intends to give any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant in connection with the submitted application.

6. Nondiscrimination. The applicant will comply with all State and Federal statutes relating to nondiscrimination. If the applicant is an employer under the Texas Labor Code, it must not discriminate on the basis of race, color, disability, religion, sex, national origin, age, or genetic information in its employment decisions.

7. Grant Administration. The applicant will maintain an appropriate grant administration system to ensure that they meet all terms, conditions, and specifications of the grant, including these certifications and assurances.

8. Audit. Acceptance of funds under this program acts as acceptance of the authority of the TCEQ, the State Auditor's Office (SAO), or any successor agency, to conduct an audit or investigation in connection with those funds. The applicant or other entity that may receive funds directly or indirectly from TCEQ must provide the SAO with access to any information the SAO considers relevant to the investigation or audit. The applicant will include this clause concerning the authority to audit funds received indirectly and the requirement to cooperate in any subcontract it awards.

9. Debt to the State. The applicant is not indebted to the state nor has an outstanding tax delinquency. The applicant must comply with all State and Federal tax laws and fee requirements and is solely responsible for filing all State and Federal tax and fee forms. Any payments due under the grant may be applied towards any debt or delinquency that is owed to the State of Texas.

10. Contract. The applicant understands that a PDF of the draft contract may be viewed and downloaded from the [TxVEMP Grants webpage](#). The draft contract is for reference only and contains terms and conditions which are standard provisions for grants awarded under this program. Any requested changes to the draft contract must be received by TCEQ no later than the date of the submission of this application. However, the applicant further understands that TCEQ will not normally change the contract language in response to individual requests from grant recipients, is under no obligation to do so, and requests for changes will delay any resulting contract. TCEQ reserves the right to modify the draft contract terms as necessary due to statutory, rule, or policy changes. Modifications will be posted to the [TxVEMP Grants webpage](#) and the Electronic State Business Daily.

11. Contracting with an Executive of a State Agency. Under [Texas Government Code Section 669.003](#), relating to contracting with an executive head of a state agency, applicant represents that no person who, in the past four years, served as an executive of TCEQ or any other state agency, was involved with or has any interest in this application. If applicant employs or has used the services of a former executive head of TCEQ or other state agency, the applicant shall provide the following information: name of former executive, name of state agency, date of separation from state agency, position with applicant, and date of employment with applicant.

12. Debarment and Excluded Parties. The applicant certifies that the applying entity and its principals are eligible to participate in this transaction and have not been subjected to suspension, debarment, or similar ineligibility determined by any federal, state, or local governmental entity. The applicant also certifies that it and its principals are not listed on the [State of Texas Debarred Vendor List](#) maintained by the Texas Comptroller of Public Accounts, or the [System for Award Management \(SAM\)](#) maintained by the General Services Administration as authorized by [Executive Order No. 13224](#), "Blocking Property and Prohibiting Transactions with Persons Who Commit, Threaten to Commit, or Support Terrorism," published by the U.S. Department of Treasury, Office of Foreign Assets Control.

13. Abortion Funding Limitation. The applicant represents and warrants it is not an abortion provider or an affiliate of an abortion provider under [Texas Government Code, Chapter 2273, Prohibited Transactions](#).

14. COVID-19 Vaccine Passport Prohibition. Under Section [161.0085 of the Texas Health and Safety Code](#), the applicant certifies that it is not ineligible to receive funds.

15. Disclosure Protections for Certain Charitable Organizations. If the applicant is a governmental entity, it represents and warrants that it will comply with [Section 2252.906 of the Texas Government Code](#) relating to disclosure protections for certain charitable organizations, charitable trusts, and private foundations.

16. Risk Review. The applicant's prior performance under other TCEQ contracts; its overall compliance history; and any federal, state, or local enforcement action for violation of environmental laws or permit conditions may be considered in determining eligibility. If the application is prepared by an individual who has previously submitted inaccurate or fraudulent information to the agency, the application will be considered "high risk," which reduces the likelihood of an award.

17. Texas Public Information Act. Information, documentation, and other material in connection with this solicitation or any resulting contract or grant may be subject to public disclosure pursuant to [Texas Government Code, Chapter 552](#). In accordance with [Texas Government Code, Section 2252.907](#), the applicant is required to make any information created or exchanged with the State pursuant to the contract and grant, and not otherwise excepted from disclosure under the Texas Public Information Act, available in a format that is accessible by the public at no additional charge to the State.

18. Public Camping Ban. If the applicant is a local entity, it certifies and affirms that it has not received a final judicial determination finding it intentionally adopted or enforced a policy that prohibited or discouraged the enforcement of a public camping ban in an action brought by the Attorney General under Local Government Code Section 364.003. If the applicant is currently being sued under this provision, it must disclose the lawsuit and its current posture to TCEQ.

19. Firearm Suppressor Policy. The applicant certifies that it has not received a final judicial determination finding it adopted a rule, order, ordinance, or policy under which it enforces, or allows the enforcement of, a federal statute, order, rule, or regulation that purports to regulate a firearm suppressor in violation of [Texas Government Code §2.102\(a\)](#) in an action brought by the Attorney General under [Texas Government Code §2.104](#). If the applicant is currently being sued under [Texas Government Code §2.104](#) or is sued under this section at any point during the duration of this grant, the applicant agrees to immediately disclose the lawsuit and its posture to the TCEQ.

Section 6: Program Certifications

Locomotive Project Certifications

For the replacement or repower of locomotives, the following certifications apply. By signing this application, you are certifying the applicable eligibility requirements listed herein.

Replacement or Repower of Locomotives:

- The applicant must currently and have continuously owned the locomotive for a minimum of two years immediately preceding the application signature date. Ownership by an affiliate or subsidiary of the applicant does not meet these requirements. The applicant will provide proof of ownership, which may include a copy of the bill of sale, maintenance records, or in some cases a title.
- The locomotive must currently be used in its primary function in the routine operations of the applicant and have been used in its primary function in the routine operations of the applicant in Texas for the two years immediately preceding the application signature date.
- The locomotive must be in operating condition with at least five years of useful life remaining.

A **waiver** may be submitted for any ownership, registration, and use requirements pursuant to Appendix B in the RFGA.

Refueling Infrastructure Project Certifications

This Section must be completed by applicants requesting grant funds for refueling infrastructure.

1. Site Ownership

Applicants are required to be the owner of the site where the equipment is installed unless the applicant establishes permission to install and operate the grant-funded equipment at the site during the contract period. **The information below must be completed if the applicant does not own the site where the equipment will be installed.**

Is the Applicant the Property Owner?	
If not, the property owner must provide their name, title, and signature below.	
Property Owner Name	
Authorized Official of Property Owner	
Authorized Official Title	
Phone Number	
Email Address	
Mailing Address (Street or PO Box)	
City, State, and Zip Code	

I, the undersigned owner of the real property located at the address identified in Section 8: Project Location below, consent to the installation of the refueling infrastructure on this property. I understand and agree that the applicant listed above is obligated, unless otherwise approved by TCEQ, to keep the refueling infrastructure in operation and in service for the duration of the activity life.

Signature:		Date:	
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***Please complete the entire application before signing electronically. The ability to edit, add, or remove information will not be available after the application is electronically signed.**

2. Refueling Infrastructure Equipment Ownership

By signing this application, the applicant certifies that it will purchase and own the grant-funded equipment during the contract period and throughout the activity life subject to the following: Any sale of the grant-funded equipment during the activity life will be subject to approval and consent to assignment by TCEQ in accordance with the contract terms.

Section 7: Freight Switcher Locomotive Equipment Information

An activity represents the replacement or repower of a single piece of equipment. Eligible freight switchers to be replaced or repowered must be pre-Tier 4 switcher locomotives that operate 1,000 or more hours per year. Applicants may include up to 10 activities on a single application. If you have more than 5 activities, insert the equipment information for Activities 06-10 on page 12 of this application. Applicants may be eligible for reimbursement of up to 100% of the incremental cost for government entities or 75% of the incremental cost for nongovernmental entities, not to exceed an amount that results in a cost per ton of NO_x reduced of \$20,000 or less. Color photographs of the front, right side, left side, and rear of the locomotive; left and right side of the engine, including a close-up of the engine data plate of the old equipment must be provided with the application for each activity. Each photograph must be labeled with the associated activity number.

Activities 01-05

1. Old Equipment Information (the equipment proposed to be replaced or repowered)

Activity Number	01	02	03	04	05
Equipment Description	Switcher	Switcher	Switcher	Switcher	Switcher
Equipment Identification Number List the entire ID number					
Equipment Make					
Equipment Model					
Equipment Year					

2. Old Engine Information (the engine proposed to be replaced or repowered)

Activity Number	01	02	03	04	05
Fuel Type					
Engine ID/Serial Number					
Engine Make					
Engine Model					
Engine Model Year					
Engine Horsepower Rating (bhp/hr)					
Engine Family Code 12-digit emissions code for engines 2003 and newer					
Federal NO _x Emissions (g/bhp-hr)					
Annual Usage Rate See the technical supplement for the default annual gallons					

Section 7: Freight Switcher Locomotive Equipment Information Continued

Activities 01-05 Continued

3. New Equipment Information (the proposed new, grant-funded equipment)

Activity Number	01	02	03	04	05
Equipment Description Replacement only	Switcher	Switcher	Switcher	Switcher	Switcher
Equipment Model Year Replacement only					
Fuel Type					
Engine Manufacture Year					
Engine Horsepower Rating (bhp/hr)					
Federal NO_x Emissions (g/bhp-hr)	0.0	0.0	0.0	0.0	0.0
Annual Usage Rate See the technical supplement for the default annual gallons					

4. Requested Grant Amount

Applicants may be eligible for up to 100% of the incremental cost for **governmental entities** or 75% of the incremental cost for **nongovernmental** entities. Awards for freight switcher replacement and repower projects have a maximum cost per ton (CPT) of NO_x reduced of \$20,000. Please indicate the requested grant amount and cost per ton of NO_x reduced.

Activity Number	01	02	03	04	05
Requested Grant Amount					
Cost Per Ton of NO_x Reduced Use the grant estimator to determine CPT					

Section 7: Freight Switcher Locomotive Equipment Information Continued

Activities 06-10

1. Old Equipment Information (the equipment proposed to be replaced or repowered)

Activity Number	06	07	08	09	10
Equipment Description	Switcher	Switcher	Switcher	Switcher	Switcher
Equipment Identification Number List the entire ID number					
Equipment Make					
Equipment Model					
Equipment Year					

2. Old Engine Information (the engine proposed to be replaced or repowered)

Activity Number	06	07	08	09	10
Fuel Type					
Engine ID/Serial Number					
Engine Make					
Engine Model					
Engine Model Year					
Engine Horsepower Rating (bhp/hr)					
Engine Family Code 12-digit emissions code for engines 2003 and newer					
Federal NO _x Emissions (g/bhp-hr)					
Annual Usage Rate See the technical supplement for the default annual gallons					

Section 7: Freight Switcher Locomotive Equipment Information Continued

Activities 06-10 Continued

3. New Equipment Information (the proposed new, grant-funded equipment)

Activity Number	06	07	08	09	10
Equipment Description Replacement only	Switcher	Switcher	Switcher	Switcher	Switcher
Equipment Model Year Replacement only					
Fuel Type					
Engine Manufacture Year					
Engine Horsepower Rating (bhp/hr)					
Federal NO_x Emissions (g/bhp-hr)	0.0	0.0	0.0	0.0	0.0
Annual Usage Rate See the technical supplement for the default annual gallons					

4. Requested Grant Amount

Applicants may be eligible for up to 100% of the incremental cost for **government entities** or 75% of the incremental cost for **nongovernmental entities**. Awards for freight switcher replacement and repower projects have a maximum cost per ton (CPT) of NO_x reduced of \$20,000. Please indicate the requested grant amount and cost per ton of NO_x reduced.

Activity Number	06	07	08	09	10
Requested Grant Amount					
Cost Per Ton of NO_x Reduced (use the grant estimator to determine CPT)					

Section 7.1: Project Information

Activities 01-10

An activity represents the individual replacement or repower of a single piece of equipment. Applicants may include up to 10 activities on a single application. Fill in a column for each additional locomotive project activity being replaced or repowered.

1. Select the primary use of the old locomotive.

Refer to Appendix B of the Locomotive Technical Supplement for details on the options. Check only one box per activity.

Activity Number	01	02	03	04	05
Switchyard					
Industrial Rail – Limited use by an industrial or commercial entity					

Activity Number	06	07	08	09	10
Switchyard					
Industrial Rail – Limited use by an industrial or commercial entity					

2. Select the old locomotive type.

Check only one box per activity.

Activity Number	01	02	03	04	05
Genset/Hybrid					
Regular One-Engine Switcher					

Activity Number	06	07	08	09	10
Genset/Hybrid					
Regular One-Engine Switcher					

Section 7.1: Project Information Continued

Use description of locomotive being replaced.

In the space provided below, describe the use of the locomotive to support the category selected in Item 1 above.

Section 8: Refueling Infrastructure (optional)

This Section must be completed by applicants who are requesting grant funds to purchase and install refueling infrastructure for qualifying freight switcher locomotives detailed in Section 7 of this application. At least one locomotive must operate on the fuel type selected in Part 1 of this Section. All grant-funded refueling infrastructure must be owned and operated by the applicant; leased equipment is not eligible.

1. Fuel Type and Information

Select the fuel type from the drop-down menu:	Select One
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What is the charge rate (kW) or throughput rate (kg/day) of the refueling infrastructure?

Charge Rate of Refueling Infrastructure:	
Charge Rate Unit of Measure:	

2. Project Location

Please list the location of the proposed refueling infrastructure project

Physical Address:	
City:	
State:	
Zip Code:	
County:	

3. Project Details

Please briefly describe the proposed refueling infrastructure project below. Be sure to describe how this refueling infrastructure will support, at the very least, the qualifying vehicles or equipment detailed in this application. If this project will expand existing refueling infrastructure, please indicate this as well.

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4. Required Documentation

Applicants with projects that include refueling infrastructure must provide documentation that includes:

- a) a site plan with the application (including a scaled map which could be a photograph, satellite map, drawing, or similar graphic of the proposed site) that shows the planning and design of the proposed facility. The site plan must:
 - i. demonstrate how the vehicle or equipment may access the proposed facility; and
 - ii. provide the location of the facility within the property and include any easements, set-back requirements, and property boundaries.
- b) a demonstration of the applicant's ability to install, operate and maintain the refueling infrastructure at the proposed site by providing documentation, such as property ownership records, lease agreements or other legal agreements, that can show the following:
 - i. the ability to complete any required construction on the proposed site; and
 - ii. the ability to operate on the proposed property for at least 5 years from the date the application is signed.

5. Infrastructure Grant Amount

Please indicate the projected costs and requested grant amount in the space provided below. Refer to Section 3 of the RFGA to determine the maximum grant amount and eligible costs.

Applicants may receive up to the maximum reimbursement rate by entity type as listed below:

- a) Governmental entities may request up to 100% of the incremental cost of an infrastructure project.
Governmental entities include a state or local government agency (including school district, municipality, city, county, special district, joint powers authority, or port authority owning fleets purchased with government funds), and a tribal government or native village. A federal government agency or entity is not included in this definition and will be considered a private entity for this grant program.
- b) Nongovernmental entities may request up to 75% of the incremental cost of an infrastructure project.

Equipment Total:	
Supplies and Materials Total:	
Construction Total:	
Contract Services Total:	
Total Incremental Project Costs:	
Refueling Infrastructure Grant Amount (based on a or b):	

Section 9: Operation of Grant-Funded Equipment

Activities 01-05

Check here if the percentage of annual usage is the same for all activities and enter under Activity 01.	
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At least 51% of the grant-funded equipment's annual operation must occur in one of the priority areas for the duration **of the five-year activity life**.

In the table below, identify the areas where the grant-funded equipment will operate, and provide the percentage of annual operation for Activities 01-05 in the space provided. For applications with more than five activities, please also fill out page 19. Applicants may elect to increase their total annual commitment up to a **maximum of 95%**. Refer to Section 2.8 of the RFGA for usage requirements. A map of the Priority Areas can be found in Appendix A of the RFGA.

Priority Areas	Activity				
	01	02	03	04	05
% of Annual Usage in Austin Area: Bastrop, Caldwell, Hays, Travis, and Williamson Counties					
% of Annual Usage in Beaumont-Port Arthur Area: Hardin, Jefferson, and Orange Counties					
% of Annual Usage in Dallas-Fort Worth Area: Collin, Dallas, Denton, Ellis, Hood, Johnson, Kaufman, Parker, Rockwall, Tarrant, and Wise Counties					
% of Annual Usage in El Paso Area: El Paso County*					
% of Annual Usage in Houston-Galveston-Brazoria Area: Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, and Waller Counties					
% of Annual Usage in San Antonio Area: Bexar, Comal, Guadalupe, and Wilson Counties					
% of Annual Usage in Other Counties: Bell County					
Total Annual Usage The total % of annual operation must be at least 51%, but not more than 95%. Enter the combined percentage of the eligible areas for each activity.					

*These counties use a different formulation of diesel fuel that we will consider in our NO_x reduction calculations. Refer to the applicable technical supplement for more information.

Project Activity Life

Activity Life	5 years
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Section 9: Operation of Grant-Funded Equipment Continued

Activities 06-10

At least 51% of the grant-funded equipment's annual operation must occur in one of the priority areas for the duration of the five-year activity life.

In the table below, identify the areas where the grant-funded equipment will operate, and provide the percentage of annual operation for Activities 06-10 in the space provided. Applicants may elect to increase their total annual commitment, up to a maximum of 95%. Refer to Section 2.8 of the RFGA for usage requirements. A map of the priority areas can be found in Appendix A of the RFGA.

Priority Areas	Activity				
	06	07	08	09	10
% of Annual Usage in Austin Area: Bastrop, Caldwell, Hays, Travis, and Williamson Counties					
% of Annual Usage in Beaumont-Port Arthur Area: Hardin, Jefferson, and Orange Counties					
% of Annual Usage in Dallas-Fort Worth Area: Collin, Dallas, Denton, Ellis, Hood, Johnson, Kaufman, Parker, Rockwall, Tarrant, and Wise Counties					
% of Annual Usage in El Paso Area: El Paso County*					
% of Annual Usage in Houston-Galveston-Brazoria Area: Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, and Waller Counties					
% of Annual Usage in San Antonio Area: Bexar, Comal, Guadalupe, and Wilson Counties					
% of Annual Usage in Other Counties: Bell County					
Total Annual Usage: The total % of annual operation must be at least 51%, but not more than 95%. Enter the combined percentage of the eligible areas for each activity.					

*These counties use a different formulation of diesel fuel that we will consider in our NOx reduction calculations. Refer to the applicable technical supplement for more information.

Project Activity Life

Activity Life:	5 years
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Section 10: Disposition of Freight Switcher and Engine Being Replaced

Disposition requires that the old equipment and engine be destroyed and rendered permanently inoperable and non-repairable. Unless otherwise approved by TCEQ, a grant applicant must agree to dispose of the equipment replaced under this program by Standard Disposition. Anything other than standard disposition must be preapproved by TCEQ and included in the contract as a Special Condition.

If selected for a TxVEMP grant, the grant recipient is responsible for completing the disposition and providing disposition verification to TCEQ **before the reimbursement payment will be issued by TCEQ.**

1. Method of Disposition.

Mark the proposed method of disposition below.

Standard Destruction: Complete destruction or otherwise rendering permanently inoperable by crushing the equipment and engine or cutting a 3-inch or larger hole on both sides of the engine block and cutting both frame rails in half. If applicable, locomotive replacement projects may maintain the frame rails and wheels of the original locomotive as part of the standard disposition method. If the proposed method of disposition is Standard Destruction, mark the box to the right and continue to Section 11.	
Alternative Destruction: TCEQ may consider alternative methods of rendering the vehicle, equipment, or engine permanently inoperable in lieu of the standard method of destruction. If the proposed method of disposition is Alternative Destruction, check the box to the right and complete Sections 2 and 3 below.	

2. Alternative Destruction.

If the applicant is proposing an alternative method of destruction in lieu of the standard method of destruction outlined in Section 4.6 of the RFGA, please explain the alternative method of destruction in the space provided below.

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3. Activities Selected for Alternative Destruction.

Alternative Destruction: Does the alternative destruction apply to all vehicles, equipment, and engines listed in this application?	-Select-
If No, list the activity numbers for which the proposal applies:	

Section 11: Project Summary Page

1. Applicant Information

Applicant Legal Name:	
Applicant Type:	
Applicant Mailing Address: (Street or PO Box)	
Applicant City:	
Applicant State:	
Applicant Zip Code:	

2. Project Information

Primary Project Area:	
Emission Source:	Locomotive
Project Type:	
Total Number of Activities in this Application: (This number should match the number of Section 6 forms)	
Total Eligible Costs of Project: (Enter Requested Grant Amount from Section 6)	
Total Requested Grant Amount: (Total requested Grant Amount of all activities from Section 7 plus Total Infrastructure Grant Amount from Section 8, if applicable.)	

3. Authorized Official

The applicant or an employee of the applicant who has the legal authority to sign on behalf of the entity.

I hereby certify to the best of my knowledge and belief that all information provided in this application and any attachments is true and correct, including any representations made by a third-party preparer. My signature also constitutes acceptance of the certifications in Sections 4 and 5 of this application, the terms of the RFGA, and any other changes posted through addenda on the Electronic State Business Daily. **I understand that failure to sign the application will make this application ineligible. I understand that making a false statement may make the submitted application ineligible, may make any resulting contracts voidable, and may subject me to criminal or civil penalties.**

Printed Name of Authorized Official:	
Authorized Official Title:	
Signature of Authorized Official*:	
Date of Signature:	

The application, signed by the Authorized Official, must be received by the application deadline or the application will not be accepted.

Upon submission, all proposals become the property of the State of Texas and as such become subject to the Texas Public Information Act, Texas Government Code Chapter 552.

Personal Information Policy: Individuals are entitled to request and review their personal information that the agency gathers on its forms. Individuals may request to have their provided personal information updated. To review such information, contact TxVEMP program staff at (833) 215-TXVW or VWSettle@tceq.texas.gov.

*If using an electronic signature, please complete the entire application before signing electronically. The ability to add, edit, or remove information will not be available after the application is electronically signed.

Section 12: Application Checklist

All applications for funding must be substantially complete and must be submitted within the application submission period listed on the cover page of the RFGA. Submission of a grant application that is not substantially complete will disqualify the applicant from receiving a grant under this RFGA. A substantially complete application must include:

- All pages of the application
- All required attachments
- Applicant's contact information
- All required signatures
- All information necessary for TCEQ to review the application for selection according to the program requirements listed in the RFGA.

Application Section Checklist (All Applications)

Section 1: Freight Switcher Locomotive Project Criteria and Description	Please fill out entirely.	
Section 2: Applicant Information	Please fill out entirely.	
Section 3: Third-Party Preparer Signature Page	Please fill out entirely if application was prepared by a third party. Signature Required.	
Section 4: Certification of Eligibility	Please fill out entirely.	
Section 5: General Certifications	Please read and include with application.	
Section 6: Program Certifications	Please read and include with application. Signature Required (if requesting infrastructure).	
Section 7: Freight Switcher Locomotive Equipment Information	Please fill out entirely.	
Section 7.1 Project Information	Please fill out entirely.	
Section 8: Refueling Infrastructure (optional)	Please fill out entirely (if applicable).	
Section 9: Operation of Grant-Funded Equipment (Percentage of Annual Usage)	Please fill out entirely.	
Section 10: Disposition	Please fill out entirely.	
Section 11: Project Summary Page	Please fill out entirely. Signature Required.	
Section 12: Application Checklist	Please fill out entirely and include with application.	
Section 13: Application Submission Instructions	Please read important submission instructions.	

Required Attachments Checklist (All Applications)

<u>W-9 Form</u>	Please download, fill out entirely, and include with application. Signature Required.	
Copy of State or Federal Identification Card	Include only if applying as an Individual or Sole Proprietor.	
Ownership Documentation	May include a copy of the bill of sale, maintenance records, or in some cases a title.	
Color Photographs of Vehicle, Equipment, or Engine Being Replaced	Attach color photographs of the front, right side, left side, and rear of the locomotive; left and right side of the engine, and a close-up of the engine data plate. Label each photo with the associated activity number.	

Required Refueling Infrastructure Attachments Checklist (Applications with Refueling Infrastructure)

Site Plan	Include with the application.	
Site Property Rights or Ownership Documentation	Include with the application.	

Supplemental Forms (if applicable)

Supplemental Form 1: Waivers of Program Requirements	Please fill out entirely (if applicable) and include with application. Signature Required.	
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Section 13: Application Submission Instructions

Electronic Application Submissions

Applications will be accepted for consideration during this grant period only if received by TCEQ electronically via TCEQ's FTPS Server or via mail, no later than 5:00 p.m., Central Time, by the application submission deadline listed on the cover page of this application. For electronic submissions, it is preferable that the application and its attachments be submitted as a single PDF. If the attachments for an application will be submitted as separate files, each attachment must be grouped by activity and clearly labeled with the activity number at the top of each page.

Submitting Applications via [TCEQ's FTPS Server](#). Please submit by uploading the file to TCEQ's file transfer protocol secure (FTPS) server **and selecting the share file(s) button**. Enter VWSettle@tceq.texas.gov as the email address. Detailed directions for using TCEQ's FTPS Server can be found at [TCEQ's FTPS Help](#). **Please note: Applications uploaded to TCEQ's FTPS server without completing the share file(s) step will not be considered as submitted.** See [detailed instructions on how to share files via TCEQ's FTPS server](#).

Physical Application Submissions

Applications may also be submitted by mailing a physical copy to one of these addresses:

Standard Mail:

Texas Commission on Environmental Quality
Air Grants Division (TxVEMP), MC-204
P.O. Box 13087
Austin, TX 78711-3087

Express Mail:

Texas Commission on Environmental Quality
Air Grants Division (TxVEMP), MC-204
12100 Park 35 Circle, Building F, 1st Floor, Suite 1301
Austin, TX 78753