

**CHECKLIST WORKSHEET**

PST MODIFIED COMPLIANCE EVALUATION INVESTIGATION (revised 08/2

Reg Ent Name :

Date :

Add ID:

Investigator Name:

| Item No | Description   | Answer | Citations                          | Notes |
|---------|---|--------|------------------------------------|-------|
|         | GENERAL/REGISTRATION  |        |                                    |       |
| 1       | Were required records being maintained?   |        | 334.10(b)                          |       |
| 2       | Is the UST(s) registered with the Commission?   |        | 334.7(a)(1)                        |       |
| 3       | Has the facility's tank registration been amended, updated, or changed as required?   |        | 334.7(d)(3)                        |       |
|         | SELF-CERTIFICATION  |        |                                    |       |
| 4       | Has a Self-Certification form been submitted in a timely manner to the Commission (initial)?  |        | 334.8(c)(4)(B)                     |       |
| 5       | Has a previously issued TCEQ Delivery Certificate been renewed?   |        | 334.8(c)(5)(B)(ii)                 |       |
| 6       | Did the facility make available, upon request, a valid TCEQ Delivery Certificate for the USTs at the facility?  |        | 334.8(c)(5)(A)(ii)                 |       |
| 7       | Did the owner/operator receive a fuel delivery without having a current delivery certificate?   |        | 334.8(c)(5)(A)(i)                  |       |
| 8       | Did the new facility (or returning to active out of service tanks) receive a temporary delivery authorization prior to receiving fuel?  |        | 334.8(c)(5)(D)(i)                  |       |
|         | FINANCIAL ASSURANCE   |        |                                    |       |
| 9       | Could the facility demonstrate financial responsibility for taking corrective action and for compensating third parties for bodily injury and property damage caused by accidental releases arising from the operation of petroleum USTs? |        | 37.815(a)<br>37.815(b)             |       |
| 10      | TOOS TANKS: Were the tanks properly emptied of petroleum product as defined in 334.54(d) within 90 days of termination of their Financial Assurance policy? (For Active tanks with no FA cite 37.815)                                     |        |                                    |       |
| 11      | If #10 is no, is there existing proof of insurance on the OOS tank(s)? (Applies to Active tanks in addition to 37.815)  |        | 37.867(a)                          |       |
|         | CORROSION PROTECTION  |        |                                    |       |
| 12      | Was the UST system equipped with corrosion protection?  |        | 334.49(a)                          |       |
| 13      | Was the corrosion protection system(s) inspected and tested within 3 to 6 months after the date of installation & once every 3 years?   |        | 334.49(c)(4)                       |       |
| 14      | Was the rectifier (impressed current systems) checked once every 60 days?   |        | 334.49(c)(2)(C)                    |       |
|         | RELEASE DETECTION   |        |                                    |       |
| 15      | Is pressurized piping equipped with a line leak detector?   |        | 334.50(b)(2)(A)(i)                 |       |
| 16      | Did the line leak detector(s) receive an annual performance test?   |        | 334.50(b)(2)(A)(i)(III)            |       |
| 17      | Was release detection for piping being performed (either by tightness testing or by monthly monitoring)?  |        | 334.50(b)(2)                       |       |
| 18      | Was release detection for all tanks being conducted on a monthly basis by one of the approved methods?  |        | 334.50(b)(1)(A)                    |       |
| 19      | If the Regulated Entity uses Vapor Monitoring or Groundwater Monitoring as a release detection method, was the site assessed by qualified personnel?  |        | 334.50(d)(5)(F)<br>334.50(d)(6)(E) |       |

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| 20 | Was daily inventory control and monthly reconciliation being conducted as required for the release detection method and/or retail facility?   |  | 334.48(c)<br>334.50(d)(1)(B)(ii)<br>334.50(d)(1)(B)(iii)(I) |  |
|    | <b>EQUIPMENT</b>  |  |   |  |
| 21 | Were all tanks numbered according to registration/self-certification form?  |  | 334.8(c)(5)(C)  |  |
| 22 | Was the UST system equipped with a fill pipe that had a tight-fill fitting?   |  | 334.51(b)(2)(A)   |  |
| 23 | Was the UST system equipped with spill containment equipment?   |  | 334.51(b)(2)(B)   |  |
| 24 | Was the UST system equipped with overflow prevention equipment? (In the case of ball floats, can the facility provide documentation of installation?)   |  | 334.51(b)(2)(C)   |  |
| 25 | Can the facility provide documentation of inspections every 60 days for liquids in sumps, manways, overspill containers and catchment basins? (liquids must be removed within 96 hrs of discovery)  |  | 334.42(i)   |  |
| 26 | For UST systems installed on or after January 1, 2009, can the facility document that all sumps and manways that are part of system's release detection system sumps and manways are inspected annually?                                      |  | 334.45(d)(1)(E)(iv)   |  |
| 27 | For UST systems installed on or after January 1, 2009, can the facility document that tightness tests are performed every 3 years on sumps and manways that are part of secondary containment?  |  | 334.45(d)(1)(E)(iv)   |  |
| 28 | Can the facility provide documentation that not more than 35% of the total original length of an existing line has been replaced with single walled line on or after January 1, 2009?   |  | 334.45(d)(1)(E)(ii)   |  |
| 29 | Was the spill and overflow equipment maintained in good operating condition?  |  | 334.51(a)(6)  |  |
| 30 | If the facility has pressurized piping, are shear valves present and anchored?  |  | 334.45(c)(3)(A)   |  |
| 31 | For UST systems installed on or after January 1, 2009, can the facility document that the new system components are equipped with required secondary containment?   |  | 334.42(h)   |  |
|    | <b>OPERATOR TRAINING</b>  |  |   |  |
| 32 | Did the owner/operator designate at least one Class A, Class B, and Class C Operator for a regulated UST facility in accordance with applicable TCEQ deadlines?   |  | 334.602(a)  |  |
| 33 | Did the owner/operator provide documentation of training acceptable to TCEQ for designated Class A and B Operator for a regulated UST facility?   |  | 334.603(b)(1)   |  |
| 34 | Did the owner and operator ensure that a current and correct list of trained Class C operators is maintained at the facility?   |  | 334.603(b)(2)   |  |
| 35 | Did owner/operator have at least one certified Operator (Class A or B or C) on site at all times during hours of operation at a regulated UST facility (except unmanned facilities)?  |  | 334.602(a)(4)   |  |
| 36 | For an unmanned UST facility, did the owner/operator have weather resistant signage clearly visible from all dispensers listing basic safety procedures, a 24-hour telephone contact number, and when to call 911?                            |  | 334.602(a)(4)   |  |
| 37 | Did facility Class B Operator provide evidence of re-training within the timeframe specified by TCEQ (not to exceed a maximum of once per year) when the facility for which they are designated was found to be in significant noncompliance? |  | 334.605(c)  |  |

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| 38 | Were certified Class A and B operators re-trained within three years of their last training date?   |  | 334.605(a)       |  |
| 39 | Were certified Class C operators re-trained for the specific facility for which they are designated within three years of their last training date?   |  | 334.605(b)       |  |
| 40 | Did a UST facility owner designate a third party as its Class B Operator? If so, did the UST on-site supervisor hold a current A or A/B license and is employed by a registered UST contractor? |  | 334.602(b)(2)(B) |  |
|    | TEXAS DEPARTMENT OF AGRICULTURE   |  |                  |  |
| 41 | Is the Texas Department of Agriculture Annual Registration Form present and current?  |  |                  |  |
| 42 | Does each side of the dispenser at a retail facility have a TDA Consumer Information Sticker?   |  |                  |  |
|    | OTHER   |  |                  |  |
| 43 | Other violation noted during the investigation?   |  |                  |  |
| 44 | Other violation noted during the investigation?   |  |                  |  |