Application for Registration as an LPST Corrective Action Specialist

TCEQ-00531



OPERATOR LICENSING SECTION, MC 178 PERMITTING & REGISTRATION SUPPORT DIVISION P.O. Box 13088 Austin, TX 78711-3088 (512) 239-6133

INSTRUCTIONS

PERTINENT INFORMATION

The Texas Commission on Environmental Quality (TCEQ) is allowed 45 days to evaluate a properly completed application. Be sure that the entire form has been filled out completely and accurately. Any incomplete or omitted information may delay the processing of the application. The form should be completed by either typing or printing legibly in black ink. All attachments to the application should be securely attached and should include the applicant's name.

SECTION A - APPLICANT (business) INFORMATION:

- 1. The applicant's name should reflect the official company or business name, as shown on the applicant's federal or state taxpayer identification form. Any difference in the applicant's name, as shown on the taxpayer identification form, and as shown on this application, should be explained in Section D.
- 2. through 12. Self explanatory.
- 13. Federal Taxpayer ID No. will be used solely for the purposes of determining changes in ownership of this business. A certificate of Registration for Corrective Action Specialist is non-transferable.
- 14. through 16. Self explanatory.
- 17. Indicate the business type (category) into which the applicant falls. For incorporated businesses, indicate the state in which the corporate charter was filed, any appropriate charter identification information, and the year of incorporation.
- 18. List all branch offices (and the name and telephone number of the branch office authorized representative) which operate under the same business name, and will be operating under the requested certificate. Branch offices which operate under a different business name (different tax ID number) <u>must</u> apply for a separate certificate of registration.

SECTION B - GENERAL INFORMATION:

- 1. State the length of time that the applicant has operated this business. (Describe in Section D of the application, any changes in business ownership or business name within the last two years.)
- 2. State the type of corrective action activities normally performed by the applicant. These include, but are not necessarily limited to, corrective action activities performed at leaking petroleum storage tank (LPST) sites, Resource Conservation and Recovery Act (RCRA) sites, Oil Spill Prevention and Response Act (OSPRA) sites, Texas Water Code Chapter 26 sites, or any "other" corrective action activities conducted under the auspices of the TCEQ, the Texas General Land Office, the Texas Railroad Commission or the U.S. Environmental Protection Agency. If "other" is indicated, please explain.
- 3. through 4. Self explanatory.

SECTION C - ATTACHMENTS

- 1. Fees Check or money order in the amount of \$232 should be made payable to "Texas Commission on Environmental Quality."
- 2. The applicant must provide an insurance certificate (original, copy not acceptable) showing general liability coverage for corrective action services in the amount of not less than one million dollars (\$1,000,000). The coverage must be of a type approved by the executive director and the certificate must designate The Texas Commission on Environmental Quality Permitting & Registration Support Division as the certificate holder. Other insurance coverage, such as environmental pollution or worker's compensation, is not acceptable.
- 3. The applicant **must provide a current** (not more than 12 months old) **financial statement** (balance sheet) demonstrating that the applicant's net worth is not less than twenty five thousand dollars (\$25,000). This financial statement must be prepared in conformity with accounting principles as defined by the American Institute of Public Accountants, and must be signed by the applicant's authorized representative.
- 4. The applicant **must provide sworn statements, on TCEQ forms, from at least three different clients/companies**, not related to the applicant by blood or marriage, who have engaged the applicant with the **immediately preceding 24 months** to perform corrective action services.

SECTION D - ADDITIONAL INFORMATION:

Use the space provided for any additional information relevant to this application.

SECTION E - AFFIRMATION:

The authorized representative must sign and date the application certifying that all information and attachments are true and correct.

CLIENT REFERENCE FORMS (Please review the rules before filling out this section.)

SECTION II. CLIENT INFORMATION

a) It is preferred that the three jobs provided are from different clients/companies. If for some reason this request cannot be met, please provide a cover letter of explanation, and other alternatives will be explored.

SECTION III. CLIENT'S EVALUATION OF CORRECTIVE ACTION PERFORMED BY APPLICANT

- a) For the client's convenience, please type in all information except for E, F, G, and H. If the client agrees with the provided information, obtain a signature of approval.
- b) When filling out the description of work performed, please give a detailed description of the corrective action services provided as defined in the rules.

USE SEPARATE ATTACHED SHEETS IF SPACE IN ANY SECTION OF ANY FORM IS INSUFFICIENT. PLEASE CODE ANY INFORMATION ON ATTACHED SHEETS BY STATING TO WHICH FORM (AND WHICH SECTION NO. ON THE FORM) THE INFORMATION APPLIES.

MAKE SURE THAT THE ENTIRE APPLICATION IS COMPLETE AND THAT ALL REQUIRED ATTACHMENTS ARE ENCLOSED. KEEP A PHOTOCOPY OF ALL DOCUMENTS FOR YOUR FILES. SEND ONLY ORIGINALS TO THE TCEQ.

\Rightarrow IMPORTANT THINGS TO REMEMBER \Leftarrow

- 1. Everything must possess an original signature; copies and faxes will not be accepted.
- If duplicating the application, only good clear legible copies of the original TCEQ form will be acceptable. Replications, such as computerized versions of the application, will not be accepted.
- 3. Mail all application materials and fees under one cover to the following address:

Operator Licensing Section, MC 178 Permitting & Registration Support Division Texas Commission on Environmental Quality P.O. Box 13088 Austin, TX 78711-3088 (512) 239-6133

TCEQ rules are available from a number of public sources. The Texas Register is the official source for the rules of state agencies. For detailed information, refer to Obtaining TCEQ Rules (GI-032) available from the TCEQ Publications Unit.

TCEQ Publications, MC 118 P.O. Box 13087 Austin, TX 78711-3087

> Phone: 512-239-0028 Fax: 512-239-4488

Access to the TCEQ agency rules is available online at: <http://www.tceq.state.tx.us/rules>

Texas Register P.O. Box 13824 Austin, TX 78711-3824

Phones: 512-463-5561 or 1-800-226-7199

Both the Texas Register and the Texas Adminstrative Code are available on the Secretary of State's web site at: <http://www.sos.state.tx.us/texreg/>



APPLICATION FOR CERTIFICATE OF REGISTRATION AS AN LPST CORRECTIVE ACTION SPECIALIST

Please type or print neatly in ink.

A. APPLICANT INFORMATION:

Business Name					Business Telephone		
					Primary ()	
1)					2) Alternate ()	
Mailing Address (i.e., P.O. Box/	Drawer)	City			County	State (abbrev.)	Zip
3)		4)			5)	6)	7)
Permanent Physical Address		City			County	State (abbrev.)	Zip
8)		9)		10)	11)	12)	
Federal Taxpayer ID No.	Name of Authorized Representat	,			Telephone Number of	,	,
13)	14)				16)		
,	Title of Authorized Representative	e			,		
	15)						
Type of Business (check one):	•						
17)	Partnership	Other (s	pecify)				
	ted (for Corporations only): State_						
State and year incorpora	ted (for Corporations only). State_			Year			
18) List any branch offices w	hich will use the <u>same company na</u>	<u>me</u> and same	certificate of	registration.			
						Area Code	Telephone Number
a)						()	
Physical addr	ess City		County	State Abbrev	z. Zip Code	()	
						()	
Authorized Repres	entative	Title					
b)						()	
Physical addr	ess City		County	State Abbrev	Zip Code		
Authorized Repres	entative	Title				()	
Authorized Repres	entative	THE					
c)						()	
Physical addr	ess City		County	State Abbrev	Zip Code		
Authorized Repres	entative	Title				· · · · · · · · · · · · · · · · · · ·	
IF MORE SPAC	E IS NEEDED, PLEASE INC	CLUDE AD	DITIONAL		FION IN SECTION	I D OF THIS F	ORM.

B. GENERAL INFORMATION:

1)	How long has the ap	oplicant been in the	contracting busines	s under the current o	wnership? Years	Months	
2)	Types of corrective a	action activities norr	nally performed by t	he applicant:			
	□ LPST	□ RCRA	□ OSPRA		Ch. 26 TX WATER CO	DE	
3)	List any other applic	able registrations o	r certifications:				
		Тур	e		Registration I	No. / State	Expiration Date
						/	
						/	
4)	Has the applicant's	s certificate or regis	tration ever been su	spended or revoked (in Texas or any other state)?	□ Yes □ No	
	lf yes, please expl	ain:					

C. ATTACHMENTS:

The following documents must be attached in order to complete the requirements for application filing and certificate issuance:

- registration fee in a total amount of \$232 should be submitted with the initial application. Fees should be paid by check, certified check, or money order made payable to the "Texas Commission on Environmental Quality." To facilitate application processing, the check or money order should be attached to the front of the application package;
- certificate of comprehensive general liability insurance (\$1,000,000 minimum coverage) designating The Texas Commission on Environmental Quality - Permitting & Registration Support Division as a certificate holder;
- 3) a financial statement (balance sheet), prepared in conformity with accounting principles as defined by the American Institute of Public Accountants, indicating that the applicant's current net worth is not less than \$25,000 and signed by the applicant's authorized representative;
- 4) sworn statements on TCEQ forms, from at least three clients/companies from whom the applicant has performed corrective action services, within the immediately preceding 24 months.

D. ADDITIONAL INFORMATION (Identify applicable section number):

E. SIGNATURE

I,

Print or type name

Title

do certify that this application and any attachments contain no willful or negligent misrepresentation or falsification and that all information is true, accurate, and complete. I understand that any misrepresentation or falsification may result in the rejection of this application.

(Blue Ink Please) Signature of Authorized Representative

Date



TCEQ CORRECTIVE ACTION REFERENCE FORM LPST CORRECTIVE ACTION SPECIALIST

INSTRUCTIONS FOR PERSON COMPLETING THIS REFERENCE STATEMENT

The rules of The Texas Commission on Environmental Quality (TCEQ) (30 TAC Chapter 334, Subchapter J) require that an applicant seeking registration as an LPST Corrective Action Specialist submit sworn statements from <u>three different clients/companies</u>, not related by blood or marriage, for whom the applicant performed corrective action services within the immediately preceding 24 months. Please limit each reference to one specific job done within one specific time period. Please also give the specific physical address of the job site. (If no physical address exists, state directions to the job site from a point, such as an intersection, easily found on a highway map.) Be sure that the entire form has been filled out completely and accurately. Any incomplete or omitted information may delay the processing of the application. The form should be completed legibly and signed in <u>blue</u> ink.

SECTION I - BUSINESS NAME OF APPLICANT

Name of Business/Company applying for registration

SECTION II - CLIENT INFORMATION (customer for whom the work was done)

Client Representative (name of person completing form):		Title of Client Representative		
a)				
Business Name:		Business Telephone		
b)		()		
Job-Site Address (street or physical location)	City		State (abbrev.)	Zip
c)				

SECTION III - CLIENT'S EVALUATION OF CORRECTIVE ACTION PERFORMED BY APPLICANT (Please reference one specific job done during one specific time period.)

A. Show the project dates that the applicant participated in the corrective action activity.

From	19	To	19
	, 19	10	, 13

B. Which of the following corrective action <u>activities</u> were performed by the applicant on the above dates?

□ LPST □ RCRA □ OSPRA □ CERCLA □ Chap. 26, TX WATER CODE

C. What type of corrective action service was done?

□ Engineering □ Geology □ Hydrogeology □ Other (explain)

D. Please provide a detailed description of corrective action services (Phase II activities) performed by the applicant:

- F. Would you employ the applicant again for corrective action or other activities? \Box Yes \Box No If no, explain in Section IV.
- G. Would you recommend (or have you recommended) the applicant for corrective action activities to your business associates or colleagues?
 Yes I No If no, explain in Section IV.
- H. Please indicate your general assessment of the applicant in the following categories:

Quality of Performance	□ Execellent	□ Good	Poor	□ Uncertain
Business Integrity	□ Execellent	□ Good	D Poor	Uncertain

SECTION IV - ADDITIONAL INFORMATION (Identify applicable section number)

SECTION V - SIGNATURE

I, ______, do hereby attest that the above statements and information are true and correct to Print or type name the best of my belief and knowledge.

Signature _____

(Blue Ink Please)

Date _____



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c)				

SECTION III - CLIENT'S EVALUATION OF CORRECTIVE ACTION PERFORMED BY APPLICANT (Please reference one specific job done during one specific time period.)

A. Show the project dates that the applicant participated in the corrective action activity.

_		_	
From	10	To	10
	, 10	10	, 13

B. Which of the following corrective action <u>activities</u> were performed by the applicant on the above dates?

LPST CRCRA OSPRA CERCLA Chap. 26, TX WATER CODE

C. What type of corrective action service was done?

□ Engineering □ Geology □ Hydrogeology □ Other (explain)

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- F. Would you employ the applicant again for corrective action or other activities? \Box Yes \Box No If no, explain in Section IV.
- G. Would you recommend (or have you recommended) the applicant for corrective action activities to your business associates or colleagues?
 Yes
 No If no, explain in Section IV.
- H. Please indicate your general assessment of the applicant in the following categories:

Quality of Performance	□ Execellent	□ Good	□ Poor	Uncertain
Business Integrity	□ Execellent	□ Good	□ Poor	Uncertain

SECTION IV - ADDITIONAL INFORMATION (Identify applicable section number)

SECTION V - SIGNATURE

<i>I</i> ,	, do hereby attest that the above statements and information are true and correct to
Print or type name	
the best of my belief and knowledge.	

Signature _____

(Blue Ink Please)

Date _____



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European Contraction of the second	10	Ta	10
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	, 10	10	, 10

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LPST CRCRA OSPRA CERCLA Chap. 26, TX WATER CODE

C. What type of corrective action service was done?

□ Engineering □ Geology □ Hydrogeology □ Other (explain)	
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D. Please provide a detailed description of corrective action services (Phase II activities) performed by the applicant:

- E. Generally, was the activity completed to your satisfaction?
 Ves
 No If no, explain in Section IV.
- F. Would you employ the applicant again for corrective action or other activities? \Box Yes \Box No If no, explain in Section IV.
- G. Would you recommend (or have you recommended) the applicant for corrective action activities to your business associates or colleagues?
 Yes
 No If no, explain in Section IV.
- H. Please indicate your general assessment of the applicant in the following categories:

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Business Integrity	□ Execellent	□ Good	D Poor	Uncertain

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SECTION V - SIGNATURE

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Print or type name	
the best of my belief and knowledge.	

Signature _____

(Blue Ink Please)

Date _____