



# Instructions for Unregistered/Inactive Episodic Generator Notification

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## Who should use this form?

This form is for unregistered generators, generators who have an inactive solid waste registration, or short-term generators who have generated or will generate one or more of the following quantities of waste in a calendar month on an episodic basis:

- More than 100 kg (220 pounds) of non-acute hazardous waste
- More than 100 kg (220 pounds) of Class 1 Industrial waste. See [Appendix C](#) for assistance with determining if the waste generation activity qualifies as an industrial waste.
- More than 1 kg (2.2 pounds) of acute hazardous waste

Generators of less than the amounts listed above do not require a generator ID, EPA ID, or Texas Waste Code. If a disposal facility asks for an EPA ID or Texas Waste Code for waste that was generated in quantities less than the amounts above, use the following generic IDs/numbers:

- EPA ID: TXVSQG
- Texas Waste Code: VSQG + form code + classification code  
For instance: VSQG + 201 + H (hazardous) = VSQG201H  
See [Appendix A](#) for a list of form codes.

Read the instructions for additional information to determine if this form should be used for your waste generation activities. Note that incomplete forms will not be processed and may be returned to the sender for completion.

Submit notifications of planned episodic events at least 30 days prior to the generation begin date for the planned event. Submit notifications of unplanned episodic events within 72 hours of the event. Planned events submitted less than 30 days prior to the event may not be approved. Each site and generator can request one planned and one unplanned event per calendar year. Additional episodic waste generation events may not be approved and may require the site to obtain a solid waste registration instead.

Sites that have an active solid waste registration (registered generators) should notify of their episodic waste generation activity by submitting [TCEQ form 20940 - Episodic Notification for Registered Generators](#).

**Items in bold must be filled out for all notifications. Items with asterisks are required for all new notifications. Print clearly or type.**

## Submission Reason:

When this form is filled out via computer, the submission reason will automatically populate on the other pages of the form.

**New:** Mark “New” if this is the initial notification for this company/facility or individual at this site address for this waste generation event. Items marked with \* are required for all new notifications.

### Update:

- Mark “Update” if this site has already submitted initial notification of the episodic waste generation and needs to provide updated information such as additional waste streams or EHWNs on waste streams already submitted.
- For updates, indicate the 5-digit request ID for the site on all pages submitted.

**Submit Part F for each submission.**

## Generator Type:

**Episodic:** Mark “Episodic” if the generator will manage this waste under the regulations adopted in [30 Texas Administrative Code 335.60](#), which adopts the regulations in [40 Code of Federal Regulations Chapter 262 Subpart L](#) by reference.

**Short-term:** Mark “Short-term” if the generator will manage this waste as a short-term generator. A short-term generator will temporarily generate industrial or hazardous waste for less than a year but will take longer than an episodic event.

## Second Episodic Event

Check this box if this submission is for a second episodic event during the current calendar year. Note that second episodic events must be a different event type (#12) than the initial episodic event for the current calendar year. For example, if your site had an unplanned event this year, you can have a second planned event this year.

### Event Begin Date and End Date:

**Episodic:** The event begin date for a planned episodic event starts on the first day of any activities affiliated with the event and must be at least 30 days in the future. The event begin date for an unplanned episodic event begins on the first day the hazardous waste is generated, regardless of whether the generator has completed analysis confirming that the waste is hazardous. Submit this form within 72 hours of the event begin date for unplanned episodic events. The end date for any episodic event must be within 60 days of the begin date.

**Short-term:** The end date must be within 365 days of the event begin date.

### Part A: Site Information (refers to the location in Texas where the waste generation occurred)

This part contains information about the site/location in Texas where episodic waste generation occurred.

#### 1. Regulated Entity Number

Enter the 9-digit Regulated Entity Number (RN) assigned by TCEQ if this site already has one. Leave this item blank if the site does not have a RN.

#### 2. Solid Waste Registration #:

If applicable, enter the 5-digit SWR number assigned to your site. The solid waste registration must be inactive in order to request temporary codes using this form. If you're unsure of the SWR number, check the TCEQ [Central Registry search](#).

#### 3. EPA ID

Indicate the 12-character Environmental Protection Agency Identification (EPA ID) number for the site, if applicable.

#### 4. Site name

Enter the name the site will be known as/by, such as a DBA. Be sure to use a fully descriptive name (for example, ABC Company Warehouse 4, not Warehouse 4.) If a site does not have an existing RN, the Registration and Reporting section will use the site name as the regulated entity name.

When this form is filled out via computer, the site name will automatically populate to the other pages of the form.

In accordance with the TCEQ Central Registry Naming Standards, a site name should not contain INC, CO, LP, etc. in the name unless it is an integral part of the site name. This standard also applies to all the following organizational endings:

- |                                   |   |  |
|-----------------------------------|---|--|
| <input type="radio"/> ASSOC       | <input type="radio"/> INC                       | <input type="radio"/> LP                                     |
| <input type="radio"/> Associated  | <input type="radio"/> Incorporated              | <input type="radio"/> LTD                                    |
| <input type="radio"/> Associates  | <input type="radio"/> LC                        | <input type="radio"/> PA                                     |
| <input type="radio"/> Association | <input type="radio"/> LLC                       | <input type="radio"/> PC                                     |
| <input type="radio"/> CO          | <input type="radio"/> Limited                   | <input type="radio"/> PLLC                                   |
| <input type="radio"/> Company     | <input type="radio"/> Limited Company           | <input type="radio"/> Professional                           |
| <input type="radio"/> CORP        | <input type="radio"/> Limited Liability Company | <input type="radio"/> Professional Limited Liability Company |
| <input type="radio"/> Corporation | <input type="radio"/> Limited Partnership       |  |

Notification paperwork received with any of the above organizational endings in the facility name field may be returned for correction unless a justification is provided. To eliminate any delay in processing your notification, ensure you follow these standards. For any questions regarding standards for organizational endings, contact the TCEQ Central Registry at 512-239-5175.

## Site Location (in Texas)

### 5. Street Address (in Texas)

Enter the physical street address of the site in Texas. Do not provide a P.O. Box. If the site has no street address, complete #6 instead.

### 6. Site Location Description

This field should be blank unless #5 is not available. Enter specific directions for how to reach the site in Texas if the physical location of the site has no street address. Be sure to include at least two cross streets for reference. Sample Entry: "E on County Road 101 from US 83, turn left after 1.3 miles, go 0.3 miles".

### 7. City

Enter the city in Texas for the physical location of the site. If the site is not within city limits, enter the nearest city in Texas in this field.

### 8. Zip+4

Enter the zip code for the physical location of the site. If the site is not within a zip code, enter the nearest zip code in this field.

### 9. County

Enter the county in Texas in which the site is located. If the site extends over multiple counties, enter the county in Texas with the majority of the site.

### 10. Site Land Type

Check the box that best describes the land type of your site. Select only one type: Private, County, District, Federal, Tribal, Municipal, State, or Other. If your site's Land Type could be described as Municipal and another Land Type, such as County, District, or Tribal, do not check Municipal. Instead, choose the other appropriate Land Type.

### 11. Primary NAICS

All new notifications must provide a primary North American Industrial Classification System (NAICS) Code. The code should indicate the classification for the ultimate business use of the site. Go to [www.census.gov/naics/](http://www.census.gov/naics/) for a list of NAICS Codes.

## Part B: Event Type

### 12. Event Type

Check the box next to the event type that best describes the episodic waste generation event. If you choose "Other unplanned" or "Other planned", indicate the type of event in the field next to "Other unplanned" or "Other planned".

## Part C: Generator Information

The generator is the individual or organization responsible for the waste generation event and the management of the waste from cradle to grave.

### 13. Customer Number

Enter the 9-digit Customer Number (CN) here if TCEQ has already assigned one to the organization/individual. If the organization/individual does not have a customer number, leave this item blank. TCEQ will assign a new CN only if the generator has not yet been issued a CN. A Customer may be involved with many sites and/or licenses but should only have a single CN.

## 14. Generator's Legal Name

This is usually a company or business name but may be an individual. This name should be the complete legal name as filed with the Texas Secretary of State or Texas Comptroller of Public Accounts unless the generator is an individual. Verify the correct legal name for the organization by searching the Secretary of State and/or Texas Comptroller websites or by calling their help lines.

Secretary of State: <http://direct.sos.state.tx.us/acct/acct-login.asp> or call 512-463-5555

Texas Comptroller: <https://ourcpa.cpa.state.tx.us/coa/Index.html> or call 800-252-1386

The generator listed may be an association, commission, corporation (including a government corporation), federal agency, firm, individual, joint stock company, municipality, partnership, political subdivision of a state, state, trust, or any interstate body responsible for the waste generation event.

## 15. Type of Customer

Check ONE box that best indicates the Customer's relationship to the site. A Limited Liability Company is considered a Corporation. If none of the boxes fit your situation, check "Other" and write in the relationship.

- **Corporation:** The Customer meets all of the following:
  - Is legally incorporated under the laws of any state or country
  - Is recognized as a corporation by the Texas Secretary of State
  - Has proper operating authority to operate in TexasExamples of Corporations
  - General Corporations
  - Limited Liability Companies (LLC)
  - Subchapter S Corporations
  - Water Supply Corporations
- **Individual:** An individual is a person regulated by TCEQ but who has not established a business. Sole proprietors are considered individuals.
- **Limited Partnership (LP & LLP):** A Texas limited partnership is a partnership formed by two or more persons, having one or more general partners and one or more limited partners. The limited partnership operates in accordance with a partnership agreement, written or oral, of the partners as to the affairs of the limited partnership and the conduct of its business. While the partnership agreement is not filed for public record, the limited partnership must file a certificate of limited partnership with the Texas Secretary of State (SOS). The Secretary of State provides a form for the certificate of limited partnership which meets minimum state law requirements.
- **General Partnership:** A general partnership is created when two or more persons associate to carry on a business for profit. A partnership generally operates in accordance with a partnership agreement, but there is no requirement that the agreement be in writing and no state-filing requirement. General partnerships are required to file with the county in which they operate. Submit a tax ID if you have a sales tax permit.
- **Government – City, County, State, or Federal:** The Customer is either an agency of one of these levels of government or the governmental body itself (for example, City of Houston, Blanco County, Texas Department of Transportation, US Army Corps of Engineers).
- **Government – Other:** The Customer is a college district, council of governments, river authority, tribal government, utility district, or water district. (for example, Lower Colorado River Authority).
- **Other:** Does not fit any of the previous descriptions (for example, estate, joint venture, or a trust). Enter a short description of the Customer type in the blank provided.

## Tax Information

### 16. Federal Tax ID

All businesses, except some small sole proprietors and individuals, should have a federal taxpayer identification number (FEIN). Do not enter a Social Security number in this field. Do not use prefixes, dashes, or hyphens. For more information on Federal Tax IDs visit <https://www.irs.gov/businesses/small-businesses-self-employed/employer-id-numbers>.

## 17. TX State Tax ID

Leave this field blank if the Customer has not been issued a TX State Tax ID. If one has been issued, enter it here. Check the State Comptroller's website at <https://ourcpa.cpa.state.tx.us/coa/Index.html> for a listing of the tax ID.

## 18. Texas SOS Filing Number

Leave this field blank if the Customer has not filed with SOS/CPA as a Corporation, Limited Partnership, or non-profit organization. If the Customer has filed with SOS/CPA, enter the Filing/Charter Number. Search for the SOS Filing number at <http://www.sos.state.tx.us/corp/sosda/index.shtml>.

## Mailing Address for the Generator

### 19. Mailing Address

Enter the mailing address for correspondence sent to the generator (for example, the generator's company headquarters). Ensure that the mailing address is deliverable per USPS at [https://tools.usps.com/go/ZipLookupAction\\_input](https://tools.usps.com/go/ZipLookupAction_input).

### 20. City

Enter the city that correlates to the street address provided in #19.

### 21. State

Enter the state that correlates to the street address provided in #19.

### 22. Zip+4

Enter the zip+4 code that correlates to the street address provided in #19. Ensure that the zip+4 is correct per USPS at [https://tools.usps.com/go/ZipLookupAction\\_input](https://tools.usps.com/go/ZipLookupAction_input).

### 23. Telephone

Enter the telephone number to contact the generator (for example, the generator's company headquarters).

### 24. Fax

Enter the fax number to contact the generator (for example, the generator's company headquarters).

### 25. Email

Enter an email address to contact the generator (for example, someone at the generator's company headquarters).

## Part D: Contact Information

The information in this part refers to the individual who represents the generator listed in Part C for this waste generation event, such as an environmental supervisor. Numbers 26-34 are required for all new submissions.

## Generator Representative Contact

### 26. First Name

Enter the first name of the individual serving as the generator representative for the site.

### 27. Last Name

Enter the last name of the individual serving as the generator representative for the site.

### 28. Title

Enter the title of the individual serving as the generator representative for the site.

### 29. Company Name

Enter the name of the company where the individual serving as the generator representative works.

### **30. Mailing Address**

Enter the mailing address where general correspondence regarding this submission can be sent. Ensure that the mailing address is deliverable per USPS at [https://tools.usps.com/go/ZipLookupAction\\_input](https://tools.usps.com/go/ZipLookupAction_input).

### **31. City**

Enter the city that correlates to the street address provided in #30.

### **32. State**

Enter the state that correlates to the street address provided in #30.

### **33. Zip+4**

Enter the zip+4 code that correlates to the street address provided in #30. Ensure that the zip+4 is correct per USPS at [https://tools.usps.com/go/ZipLookupAction\\_input](https://tools.usps.com/go/ZipLookupAction_input).

### **34. Telephone**

Enter the telephone number to contact the individual serving as the generator representative.

### **35. Fax**

Enter the fax number to contact the individual serving as the generator representative.

### **36. Email**

Enter the email address to contact the individual serving as the generator representative.

## **Broker/Environmental Consultant Contact (optional)**

This individual represents the organization that acts as the broker or environmental consultant for the waste.

### **37. First Name**

Enter the first name of the individual serving as the broker/environmental consultant contact.

### **38. Last Name**

Enter the last name of the individual serving as the broker/environmental consultant contact.

### **39. Title**

Enter the title of the individual serving as the broker/environmental consultant contact for the site.

### **40. Company Name**

Enter the name of the company that employs the broker/environmental consultant contact.

### **41. Mailing Address**

Enter the mailing address for the broker/environmental consultant contact. Ensure that the mailing address is deliverable per USPS at [https://tools.usps.com/go/ZipLookupAction\\_input](https://tools.usps.com/go/ZipLookupAction_input).

### **42. City**

Enter the city that correlates to the address provided in #41.

### **43. State**

Enter the state that correlates to the address provided in #41.

### **44. Zip+4**

Enter the zip+4 code that correlates to the address provided in #41. Ensure that the zip+4 is correct per USPS at [https://tools.usps.com/go/ZipLookupAction\\_input](https://tools.usps.com/go/ZipLookupAction_input).

### **45. Telephone**

Enter the telephone number for the broker/environmental consultant contact.



#### 46. Fax

Enter the fax number for the broker/environmental consultant contact.

#### 47. Email

Enter the email address for the broker/environmental consultant contact.

### Part E: Waste Streams (generated at the site in Part A)

For information on identifying waste streams, read [Guidelines for the Classification & Coding of Industrial & Hazardous Waste](#), TCEQ publication RG-022.

Do not submit used oil, used oil filters, medical waste, or universal wastes on this form. They do not require a Texas Waste Code.

For new notifications, submit the information for at least one waste stream. Only hazardous and Class 1 Industrial wastes require a Texas Waste Code.

#### 48. [Texas Form Code](#)

Select the Texas Form Code from [Appendix A](#) that best describes this waste stream. The form code is always three digits. After submitting a waste code, the form code cannot change. Refer to [RG-022 Classification & Coding of Industrial & Hazardous Waste](#) to assist with determining the Texas Form Code.

#### 49. Classification:

Indicate either hazardous or Class 1 Industrial as the classification for the waste. Refer to [RG-022 Classification & Coding of Industrial & Hazardous Waste](#) to assist with determining the Class Code.

After submitting a waste code, the classification code cannot change.

#### 50. Description of waste and generation process:

This description should include:

- what the waste is
- how the waste was generated
- names of chemicals in the waste

Example: Spent solvent from degreasing operation in tool production. Steel blocks are machined to a specific shape then rinsed with the solvent prior to painting. Waste is a mixture of mineral spirits and metal shavings.

#### 51. Origin Code

Check one of the origin codes listed below that best describes the generating process or activity for this waste stream.

- 1 - was generated on-site from a product or service activity. This is the most commonly applicable origin code.
- 2 - resulted from a spill clean-up, equipment decommissioning, or emergency removal.
- 6 - was from a state, federal, or locally funded cleanup.
- 7 - was from a corrective action or closure.

### For hazardous wastes only

Questions #52-53 pertain to hazardous waste only. If this waste is Class 1 Industrial, do not fill out questions 52-53, and proceed to either #54 or #60 as applicable.

#### 52. Source Code

Enter the Source Code listed in [Appendix B](#) that best describes the production, service, or waste management process associated with the generation of this waste.



### **53. EPA Hazardous Waste Numbers/Codes**

These numbers are always four characters long, begin with a D, F, P, K, or U, and are referred to as EPA Hazardous Waste Numbers (EHWNs) or Codes. List all applicable EHWNs. If the waste has more than 23 EHWNs, continue listing the EHWNs on a separate page. See [Appendix D](#) for a list of EPA Hazardous Waste Numbers and [40 CFR Part 261](#) for additional information.

**For any additional waste streams, repeat the instructions from #48-53 for #54-59. Submit additional copies of Part D if you have more than 2 waste streams.**

## **Part F: Certification**

A certification page with a signature must be included with all submissions.

### **60. Contact for Questions**

Indicate the person to contact with any questions regarding the submission.

## **Preparer Information**

Provide information for the person who completed the form in #61-66.

### **61. Name**

Enter the name of the individual who prepared the forms.

### **62. Title**

Enter the title of the individual who prepared the forms.

### **63. Company**

Enter the company name where the individual who prepared the forms works.

### **64. Telephone**

Enter the telephone number to contact the individual who prepared the forms.

### **65. Fax**

Enter the fax number to contact the individual who prepared the forms.

### **66. Email**

Enter the email address to contact the individual who prepared the forms.

## **Authorized Signer**

This certification must be signed and dated by an authorized representative of the entity listed as the generator in Part B. See [40 CFR §270.11](#) for more information on signatories in general. An “authorized representative” is a person responsible for the overall management of the waste generation event. The authorized signer certifies that the information provided in this form is complete and accurate and that they have signatory authority to submit this form on behalf of the entity listed as the generator.

### **67. Same as Preparer**

Check this box if the Authorized Signer is the same as the Preparer and go to #74.

### **68. Name**

Enter the name of the individual who has authorization to sign the forms.

### **69. Title**

Enter the title of the individual who has authorization to sign the forms.

### **70. Company**

Enter the name of the company where the individual who has authorization to sign the forms works.

## 71. Telephone

Enter the telephone number to contact the individual who has authorization to sign the forms.

## 72. Fax

Enter the fax number to contact the individual who has authorization to sign the forms.

## 73. Email

Enter the email address to contact the individual who has authorization to sign the forms.

## 74. Signature

We do not accept documents that have digital or stamped signatures.

## Submitting Your Form

Choose only one of the following submission methods:

- Fax to (512) 239-6410
- Postal mail sent to:  
Texas Commission on Environmental Quality  
Registration and Reporting Section MC-129  
PO Box 13087  
Austin, TX 78711-3087
- Overnight mailing service sent to:  
Texas Commission on Environmental Quality  
Registration and Reporting Section MC-129  
12100 Park 35 Circle Bldg. D  
Austin, TX 78753

For any questions about filling out this form not covered above, or for information about the Industrial and Hazardous Waste Program, contact us at (512) 239-6413 or [wasteval@tceq.texas.gov](mailto:wasteval@tceq.texas.gov).

Individuals are entitled to request and review their personal information the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, contact the TCEQ Public Information Section at (512) 239-3282.

## Appendix A. Texas Form Codes

### LAB PACKS—Lab packs of mixed wastes, chemicals, lab wastes

Code	Waste Description
001	Lab pack of old chemicals only
002	Lab pack of debris only
003	Mixed lab packs
004	Lab pack containing acute hazardous wastes
005	Waste pharmaceuticals managed as hazardous waste
009	Other lab pack (specify in the waste description)

### LIQUIDS—Inorganic and organic liquids

**Inorganic Liquids:** Waste that is primarily inorganic and highly fluid (for example, aqueous), with low suspended inorganic solids and low organic content.

Code	Waste Description
101	Aqueous waste with low solvents
102	Aqueous waste with low other toxic organics
103	Spent acid with metals
104	Spent acid without metals
105	Acidic aqueous waste
106	Caustic solution with metals but no cyanides
107	Caustic solution with metals and cyanides
108	Caustic solution with cyanides but no metals
109	Spent caustic
110	Caustic aqueous waste
111	Aqueous waste with reactive sulfides
112	Aqueous waste with other reactive (for example, explosives)
113	Other aqueous waste with high dissolved solids
114	Other aqueous waste with low dissolved solids
115	Scrubber water
116	Leachate
117	Waste liquid mercury
119	Other inorganic liquids (specify in the waste description)
198	Nonhazardous photographic chemical wastes (inorganic)
199	Brine solution that could also bear the form code 113

**Organic Liquids:** Waste that is primarily organic and is highly fluid, with low inorganic solids content and low-to-moderate water content.

**Code Waste Description**

- 200 Still bottoms in liquid form (fluid but not sludge)
- 201 Concentrated solvent-water solution
- 202 Halogenated (for example, chlorinated) solvent
- 203 Non-halogenated solvent
- 204 Halogenated/non-halogenated solvent mixture
- 205 Oil-water emulsion or mixture
- 206 Waste oil (not used oil per [30 TAC 324](#), see [waste stream instructions](#))
- 207 Concentrated aqueous solution of other organic
- 208 Concentrated phenolics
- 209 Organic paint, ink, lacquer, or varnish
- 210 Adhesives or epoxies
- 211 Paint thinner or petroleum distillates
- 212 Reactive or polymerizable organic liquids
- 219 Other organic liquids (specify in the waste description)
- 296 Ethylene glycol-based antifreeze
- 297 Nonhazardous liquids containing greater than or equal to (>) 50 and less than (<) 500 ppm PCBs
- 298 Nonhazardous liquids containing greater than or equal to (>) 500 ppm PCBs
- 299 Nonhazardous photographic chemical waste (organic)

**SOLIDS—Inorganic or organic solids**

**Inorganic Solids:** Waste that is primarily inorganic and solid, with low organic content and low-to-moderate water content; not pumpable.

**Code Waste Description**

- 301 Soil contaminated with organic
- 302 Soil contaminated with inorganics only
- 303 Ash, slag, or other residue from incineration of wastes
- 304 Other “dry” ash, slag, or thermal residue
- 305 “Dry” lime or metal hydroxide solids, chemically “fixed”
- 306 “Dry” lime or metal hydroxide solids, not “fixed”
- 307 Metal scale, filings, or scrap
- 308 Empty or crushed metal drums or containers
- 309 Batteries or battery parts, casings, cores
- 310 Spent solid filters or absorbents
- 311 Asbestos solids and debris
- 312 Metal-cyanide salts/chemicals
- 313 Reactive cyanide salts/chemicals
- 314 Reactive sulfide salts/chemicals

**Code Waste Description**

315	Other reactive salts/chemicals
316	Other metal salts/chemicals
319	Other waste inorganic solids (specify in Comments)
388	Empty or crushed glass containers
389	Nonhazardous sandblasting waste
390	Nonhazardous concrete/cement/construction debris
391	Nonhazardous dewatered wastewater treatment sludge
392	Nonhazardous dewatered air pollution control device sludge
393	Catalyst waste
394	Nonhazardous solids containing greater than or equal to (>) 50 ppm and less than (<) 500 ppm PCBs
395	Nonhazardous solids containing greater than or equal to (>) 500 ppm PCBs
396	Nonhazardous electrical equipment/devices containing greater than or equal to (>) 50 ppm and less than (<) 500 ppm PCBs
397	Nonhazardous electric equipment/devices containing greater than or equal to (>) 500 ppm PCBs
398	Nonhazardous soils containing greater than or equal to (>) 50 ppm or less than (<) 500 ppm PCBs
399	Nonhazardous soils containing greater than or equal to (>) 500 ppm PCBs

**Organic Solid:** Waste that is primarily organic and solid, with low-to-moderate inorganic content and water content; not pumpable.

**Code Waste Description**

401	Halogenated pesticide solid
402	Non-halogenated pesticide solid
403	Solids resins or polymerized organic
404	Spent carbon
405	Reactive organic solid
406	Empty fiber or plastic containers
407	Other halogenated organic solids (specify in the waste description)
409	Other non-halogenated organic solids (specify in waste description)
488	Wood debris
489	Petroleum contaminated solids
490	Sandblasting waste
491	Dewatered biological treatment sludge
492	Dewatered sewage or other untreated biological sludge
493	Catalyst waste
494	Solids containing greater than or equal to (>) 50 ppm and less than (<) 500 ppm PCBs
495	Solids containing greater than or equal to (>) 500 ppm PCBs
496	Electrical equipment/devices containing greater than or equal to (>) 50 ppm and less than (<) 500 ppm PCBs
497	Electrical equipment/devices containing greater than or equal to (>) 500 ppm PCBs
498	Soils containing greater than or equal to (>) 50 ppm and less than (<) 500 ppm PCBs

**Code Waste Description**

499 Soils containing greater than or equal to (>) 500 ppm PCBs

**SLUDGE—Inorganic or organic sludge**

**Inorganic Sludge:** Waste that is primarily inorganic, with moderate-to-high water content and low organic content and pumpable.

**Code Waste Description**

- 501 Lime sludge without metals
- 502 Lime sludge with metals/metal hydroxide sludge
- 503 Wastewater treatment sludge with toxic organic
- 504 Other wastewater treatment sludge
- 505 Untreated plating sludge without cyanides
- 506 Untreated plating sludge with cyanides
- 507 Other sludge with cyanides
- 508 Sludge with reactive sulfides
- 509 Sludge with other reactive
- 510 Degreasing sludge with metal scale or filings
- 511 Air pollution control device sludge (for example, fly ash, wet scrubber sludge)
- 512 Sediment or lagoon dragout contaminated with organic
- 513 Sediment or lagoon dragout contaminated with inorganics only
- 514 Drilling mud
- 515 Asbestos slurry or sludge
- 516 Chloride or other brine sludge
- 519 Other inorganic sludge (specify in the waste description)
- 597 Catalyst waste
- 598 Nonhazardous sludge containing greater than or equal to (>) 50 ppm and less than (<) 500 ppm PCBs
- 599 Nonhazardous sludge containing greater than or equal to (>) 500 ppm PCBs

**Organic Sludge:** Waste that is primarily organic with low-to-moderate inorganic solids content and water content, and pumpable.

**Code Waste Description**

- 601 Still bottoms of halogenated (for example, chlorinated) solvents or other organic liquids
- 602 Still bottoms of non-halogenated solvents or other organic liquids
- 603 Oily sludge
- 604 Organic paint or ink sludge
- 605 Reactive or polymerizable organic
- 606 Resins, tars, or tarry sludge
- 607 Biological treatment sludge
- 608 Sewage or other untreated biological sludge
- 609 Other organic sludge (specify in the waste description)

**Code Waste Description**

- 695 Petroleum-contaminated sludge other than still bottoms and oily sludge
- 696 Grease
- 697 Catalyst waste
- 698 Nonhazardous sludge containing greater than or equal to (>) 50 ppm and less than (<) 500 ppm PCBs
- 699 Nonhazardous sludge containing greater than or equal to (>) 500 ppm PCBs

**GAS—Inorganic or organic gases**

**Inorganic Gas:** Waste that is primarily inorganic with a low organic content and is a gas at atmospheric pressure.

**Code Waste Description**

- 701 Inorganic gas

**Organic Gas:** Waste that is primarily organic with low-to-moderate inorganic content and is a gas at atmospheric pressure.

**Code Waste Description**

- 801 Organic gas



## Appendix B. Source Codes:

### Wastes from ongoing production and service processes

Code	Description
G01	Dip, flush or spray rinsing (using solvents to clean or prepare parts or assemblies for further processing)
G02	Stripping and acid or caustic cleaning (using caustics to remove coatings or layers from parts or assemblies)
G03	Plating and phosphating (electro- or non-electroplating or phosphating)
G04	Etching (using caustics or other methods to remove layers or partial layers)
G05	Metal forming and treatment (pickling, heat treating, punching, bending, annealing, grinding, hardening, etc.)
G06	Painting and coating (manufacturing, building, or maintenance)
G07	Product and by-product processing (direct flow of wastes from chemical manufacturing or processing, etc.)
G08	Removal of spent process liquids or catalysts (bulk removal of wastes from chemical manufacturing or processing, etc.)
G09	Other production or service-related processes from which the waste is a direct outflow or result (specify in the waste description)

### Other intermittent events or processes

Code	Description
G11	Discarding off-specification, out-of-date, and/or unused chemicals or products
G12	Lagoon or sediment dragout and leachate collection (large scale operations in open pits, ponds, or lagoons)
G13	Cleaning out process equipment (periodic sludge or residual removal from enclosed processes including internal scrubbing or cleaning)
G14	Removal of tank sludge, sediments or slag (periodic sludge or residual removal from storage tanks including internal scrubbing or cleaning)
G15	Process equipment change-out or discontinuation of equipment use (final materials and residuals removal including cleaning)
G16	Oil changes and filter or battery replacement (automotive, machinery, etc.)
G19	Other one-time or intermittent processes (specify in the waste description)

### Pollution control and waste management process residuals

Code	Description
G21	Air pollution control devices (baghouse dust ash, etc. from stack scrubbers or precipitators; vapor collection, etc.)
G22	Laboratory analytical wastes (used chemicals from laboratory operations)
G23	Wastewater treatment (sludge, filter cake, etc., including wastes from treatment before discharge by NPDES or POTW or by UIC disposal)
G24	Solvent or product distillation as part of a production process (including totally enclosed treatment systems)

## Spills and accidental releases

<b>Code</b>	<b>Description</b>
-------------	--------------------

- |     |   |
|-----|---|
| G31 | Accidental contamination of products, materials, or containers            |
| G32 | Cleanup of spill residues (infrequent, not routine)                       |
| G33 | Leak collection and floor sweeping (on-going, routine)                    |
| G39 | Other cleanup of current contamination (specify in the waste description) |

## Remediation of past contamination

<b>Code</b>	<b>Description</b>
-------------	--------------------

- |     |   |
|-----|---|
| G41 | Closure of hazardous waste management unit under RCRA         |
| G42 | Corrective action at a solid waste management unit under RCRA |
| G43 | Remedial action or emergency response under Superfund         |
| G44 | Cleanup under state or voluntary program                      |
| G45 | Cleanup of underground storage tank                           |
| G49 | Other remediation (specify in the waste description)          |

## Appendix C. What Is an Industrial Facility?

Industrial facilities may face additional regulations that do not apply to nonindustrial facilities. Therefore, it is very important to be able to determine whether a facility is industrial or nonindustrial.

### INDUSTRIAL ACTIVITY DEFINED

You have an industrial facility if you engage in any of the following activities:

- You make a product for wholesale according to an organized plan and with a division of labor.
- You change materials by processing them. Repackaging by itself is not considered an industrial activity.

or

- You substantially support either of those activities. Substantially supportive activities include such activities as transporting products or chemicals to another location so they can become part of a manufacturing operation (for example, transporting refined petroleum chemicals to be used to produce plastics). They do not include activities that are not directly supportive, such as transporting vending machine snacks to a company that manufactures plastics.

### EXAMPLES OF TYPICAL INDUSTRIAL FACILITIES

- |  |  |   |
|--|--|---|
| • Apparel and accessories manufacturers            | • Chemical and allied products manufacturers | • Electroplating operations                               |
| • Intermediate product/chemical storage facilities | • Oil and/or chemical refineries             | • Sawmills and planing mills                              |
| • Cabinet and/or furniture manufacturers           | • Electric generating plants                 | • Fabricated metal products facilities                    |
| • Mining operations                                | • Product testing facilities                 | • Slaughterhouses   |
| • Ceramic floor and wall tile manufacturers        | • Electronic assembly facilities             | • Formulating operations (for example, mixing operations) |
| • Mobile home construction                         | • Product research and development           | • Wineries  |

### EXAMPLES OF TYPICAL NONINDUSTRIAL FACILITIES

(Note: If one or more of the following facilities or activities is located on a site considered industrial, wastes from the facility or activity are considered industrial wastes. For example, wastes from a printing operation located on an industrial facility's site are considered industrial wastes.)

- |   |   |                                   |
|---|---|-----------------------------------|
| • Artisans                              | • Crude oil and natural gas pipelines         | • Repair services                 |
| • Household hazardous waste collection  | • Petroleum distributors only                 | • Funeral homes                   |
| • Automobile dealers                    | • Custom cabinetmakers                        | • Retail stores and outlets       |
| • Lawn and gardening services           | • Photographic studios                        | • Furniture refinishing           |
| • Commercial printers                   | • Distribution of electricity (no generation) | • Schools                         |
| • Meat packaging only (no slaughtering) | • Public transportation                       | • Gasoline stations               |
| • Concrete ready mix plants             | • Distribution of goods                       | • Telecommunications              |
| • One-hour eyeglass facilities          | • Dry cleaning facilities                     | • Grocery and convenience stores  |
|   |   | • Veterinary services             |
|   |   | • Health care and allied services |

## Appendix D: EPA Hazardous Waste Codes

A list of all the hazardous waste codes is shown below. See the regulations in 40 CFR 261 for details.

DXXX: characteristics of hazardous waste (see [40 CFR §261.24](#))

FXXX: hazardous waste from non-specific sources (see [40 CFR §261.31](#))

KXXX: hazardous waste from specific sources (see [40 CFR §261.32](#))

PXXX: discarded commercial chemical products, off-specification species, container residues, and spill residues thereof – acute hazardous waste (see [40 CFR §261.33](#))

UXXX: discarded commercial chemical products, off-specification species, container residues, and spill residues thereof – toxic wastes (see [40 CFR §261.33](#))

D001	F001	K001	K073	K169	P001	P065	P191	U001	U060	U119	U177	U246
D002	F002	K002	K083	K170	P002	P066	P192	U002	U061	U120	U178	U247
D003	F003	K003	K084	K171	P003	P067	P194	U003	U062	U121	U179	U248
D004	F004	K004	K085	K172	P004	P068	P196	U004	U063	U122	U180	U249
D005	F005	K005	K086	K174	P005	P069	P197	U005	U064	U123	U181	U271
D006	F006	K006	K087	K175	P006	P070	P198	U006	U066	U124	U182	U278
D007	F007	K007	K088	K176	P007	P071	P199	U007	U067	U125	U183	U279
D008	F008	K008	K093	K177	P008	P072	P201	U008	U068	U126	U184	U280
D009	F009	K009	K094	K178	P009	P073	P202	U009	U069	U127	U185	U328
D010	F010	K010	K095	K181	P010	P074	P203	U010	U070	U128	U186	U353
D011	F011	K011	K096		P011	P075	P204	U011	U071	U129	U187	U359
D012	F012	K013	K097		P012	P076	P205	U012	U072	U130	U188	U364
D013	F019	K014	K098		P013	P077		U014	U073	U131	U189	U367
D014	F020	K015	K099		P014	P078		U015	U074	U132	U190	U372
D015	F021	K016	K100		P015	P081		U016	U075	U133	U191	U373
D016	F022	K017	K100		P016	P082		U017	U076	U134	U192	U387
D017	F023	K018	K101		P017	P084		U018	U077	U135	U193	U389
D018	F024	K019	K102		P018	P085		U019	U078	U136	U194	U394
D019	F025	K020	K103		P020	P087		U020	U079	U137	U196	U395
D020	F026	K021	K104		P021	P088		U021	U080	U138	U197	U404
D021	F027	K022	K105		P022	P089		U022	U081	U140	U200	U409
D022	F028	K023	K106		P023	P092		U023	U082	U141	U201	U410
D023	F032	K024	K107		P024	P093		U024	U083	U142	U202	U411
D024	F034	K025	K108		P026	P094		U025	U084	U143	U203	
D025	F035	K026	K109		P027	P095		U026	U085	U144	U204	
D026	F037	K027	K110		P028	P096		U027	U086	U145	U205	
D027	F038	K028	K111		P029	P097		U028	U087	U146	U206	
D028	F039	K029	K112		P030	P098		U029	U088	U147	U207	
D029		K030	K113		P031	P099		U030	U089	U148	U208	
D030		K031	K114		P033	P101		U031	U090	U149	U209	
D031		K032	K115		P034	P102		U032	U091	U150	U210	
D032		K033	K116		P036	P103		U033	U092	U151	U211	
D033		K034	K117		P037	P104		U034	U093	U152	U213	
D034		K035	K118		P038	P105		U035	U094	U153	U214	
D035		K036	K123		P039	P106		U036	U095	U154	U215	
D036		K037	K124		P040	P108		U037	U096	U155	U216	
D037		K038	K125		P041	P109		U038	U097	U156	U217	
D038		K039	K126		P042	P110		U039	U098	U157	U218	
D039		K040	K131		P043	P111		U041	U099	U158	U219	
D040		K041	K132		P044	P112		U042	U101	U159	U220	
D041		K042	K136		P045	P113		U043	U102	U160	U221	
D042		K043	K141		P046	P114		U044	U103	U161	U222	
D043		K044	K142		P047	P115		U045	U105	U162	U223	
		K045	K143		P048	P116		U046	U106	U163	U225	
		K046	K144		P049	P118		U047	U107	U164	U226	
		K047	K145		P050	P119		U048	U108	U165	U227	
		K048	K147		P051	P120		U049	U109	U166	U228	
		K049	K148		P054	P121		U050	U110	U167	U234	
		K050	K149		P056	P122		U051	U111	U168	U235	
		K051	K150		P057	P123		U052	U112	U169	U236	
		K052	K151		P058	P127		U053	U113	U170	U237	
		K060	K156		P059	P128		U055	U114	U171	U238	
		K061	K157		P060	P185		U056	U115	U172	U239	
		K062	K158		P062	P188		U057	U116	U173	U240	
		K069	K159		P063	P189		U058	U117	U174	U243	
		K071	K161		P064	P190		U059	U118	U176	U244	

## Appendix E. Definitions

These definitions have been provided to you for informational purposes. They are not the “legal” definitions. Legal definitions may be found in [30 TAC Chapter 335](#) and [40 CFR Part 260-299](#).

**Class 1:** Any industrial waste that may pose a danger or potential danger to human health or the environment. Class 1 waste is defined in [30 TAC §335.505](#) and [§335.508](#).

**Class 2:** Any industrial waste that cannot be described as hazardous, Class 1, or Class 3. Class 2 waste is defined in [30 TAC §335.506](#).

**Disposal Facility:** A facility where waste is placed for final disposition.

**Generator:** Any person, by site, who produces municipal hazardous waste or industrial solid waste; any person who possesses municipal hazardous waste or industrial solid waste to be shipped to any other person; or any person whose act first causes the solid waste to become subject to regulation under [30 TAC Chapter 335](#).

**Hazardous Waste:** These are identified by the EPA in [40 CFR Part 261](#).

**Industrial:** See [Appendix C](#) “What Is an Industrial Facility?”.

**Non-industrial:** See [Appendix C](#) “What Is an Industrial Facility?”.

**TAC:** [Texas Administrative Code](#)

**Universal Waste:** Any of the hazardous wastes defined as universal waste under [30 TAC §335.261\(b\)\(13\)\(F\)](#) (relating to Universal Waste Rule) that are managed under the universal waste requirements of [30 TAC 335 Subchapter H, Division 5](#).

**Unregistered generator:** an in-state generator that:

- does not have an active solid waste registration;
- in a calendar month generates more than 100 kilograms of non-acute hazardous waste, 1 kilogram of acute hazardous waste, or 100 kilograms of Class 1 waste; and
- ships hazardous waste and/or Class 1 industrial waste using a temporary generator identification number and a temporary Texas waste code that begins with a four-character sequence number assigned by the executive director.

**Used Oil:** Any oil that has been refined from crude oil, used, and, as a result of such use, is contaminated and will be recycled. Used oil is regulated by [30 TAC 324](#) and [40 CFR 279](#).